

2443-26

**Winter College on Optics: Trends in Laser Development and Multidisciplinary
Applications to Science and Industry**

4 - 15 February 2013

Phase retrieval techniques

M. Kujawinska

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Winter College on Optics, ICTP, February 2013

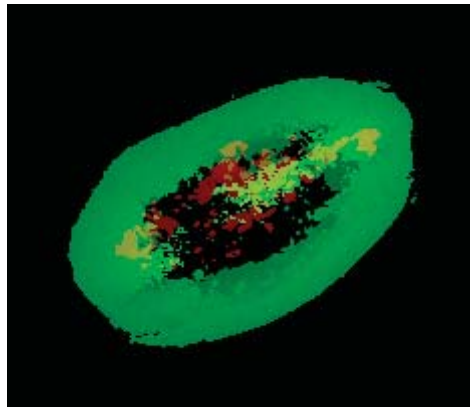
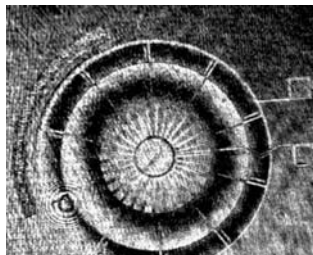


Phase retrieval techniques



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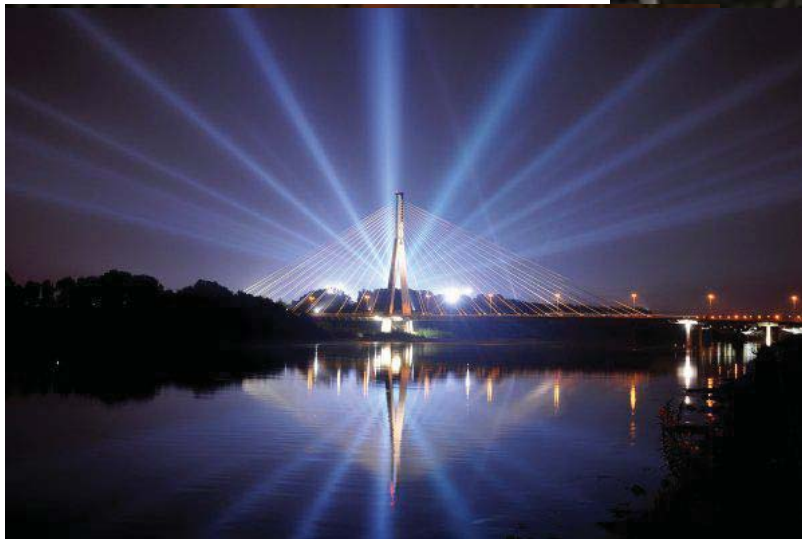


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Localization



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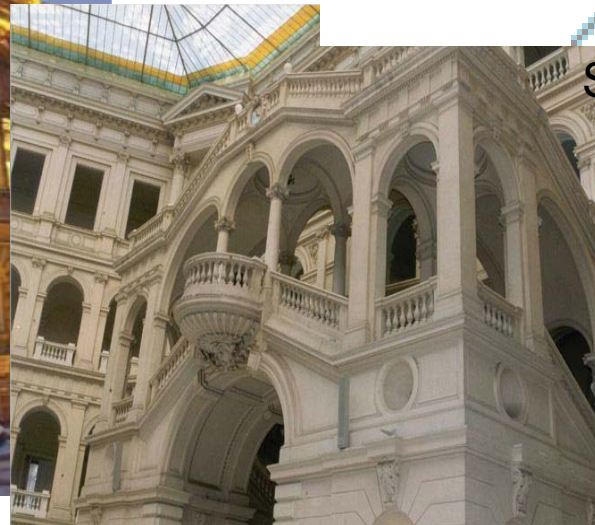
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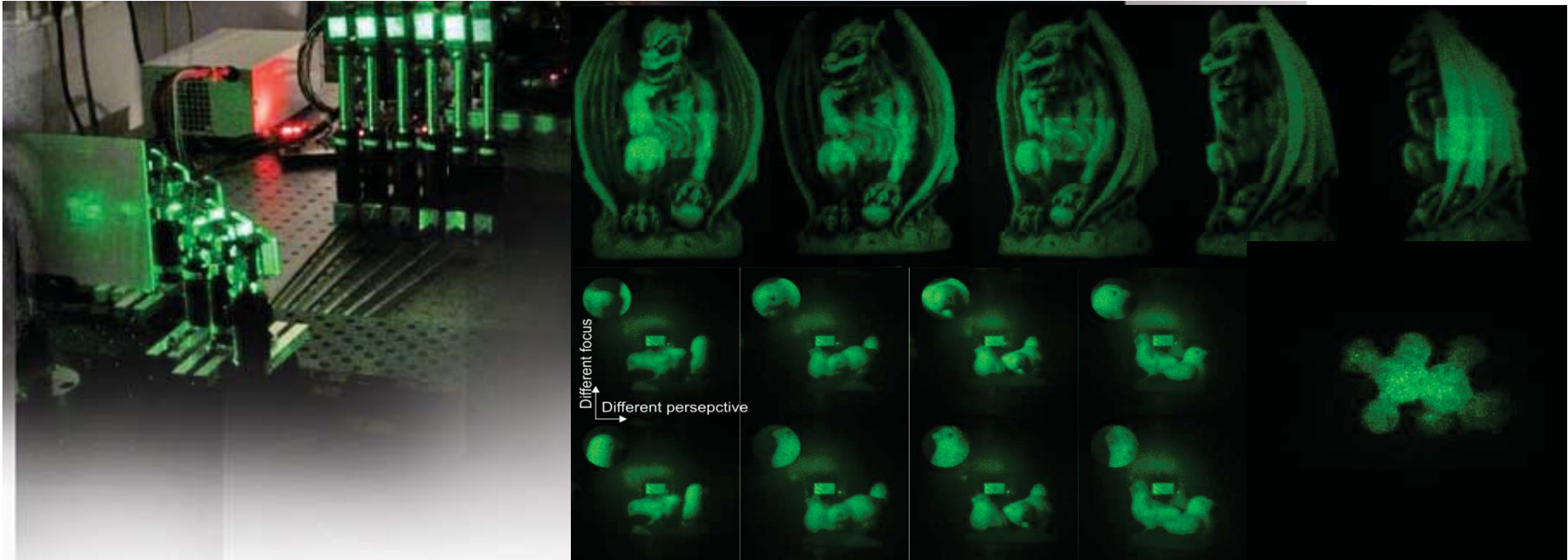
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Warsaw University of Technology

- Biggest technical university in Poland
- 2000 acad. teachers, 30000 students,
 - 20 faculties



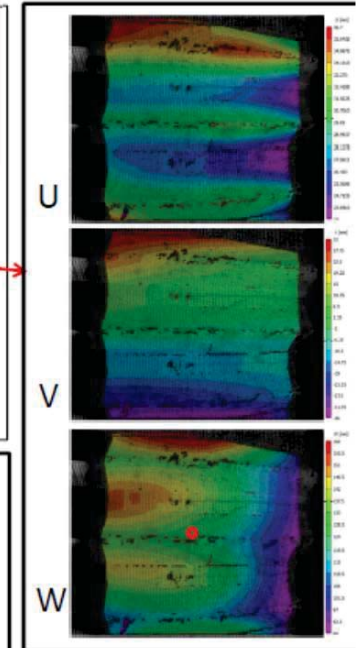
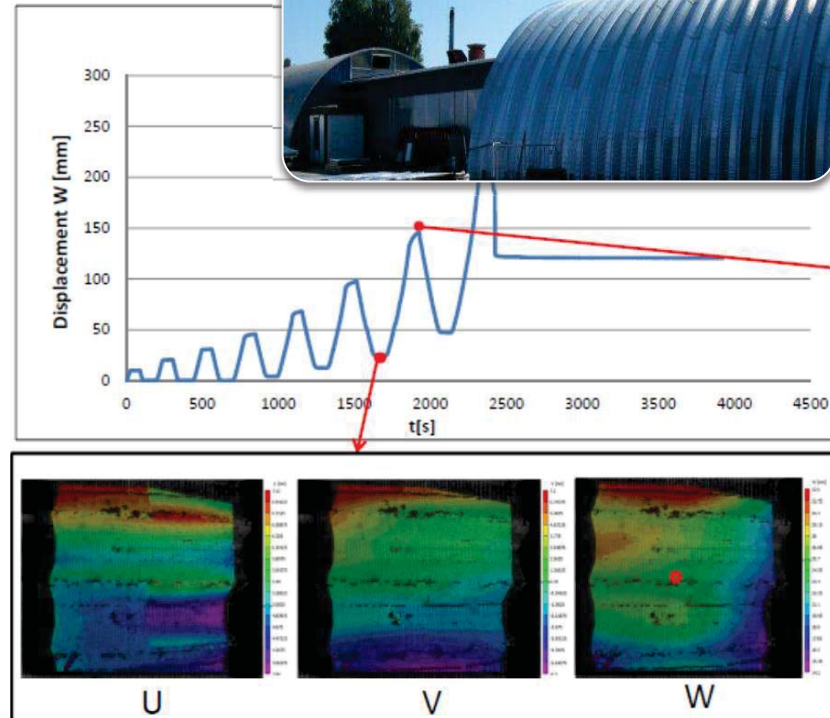
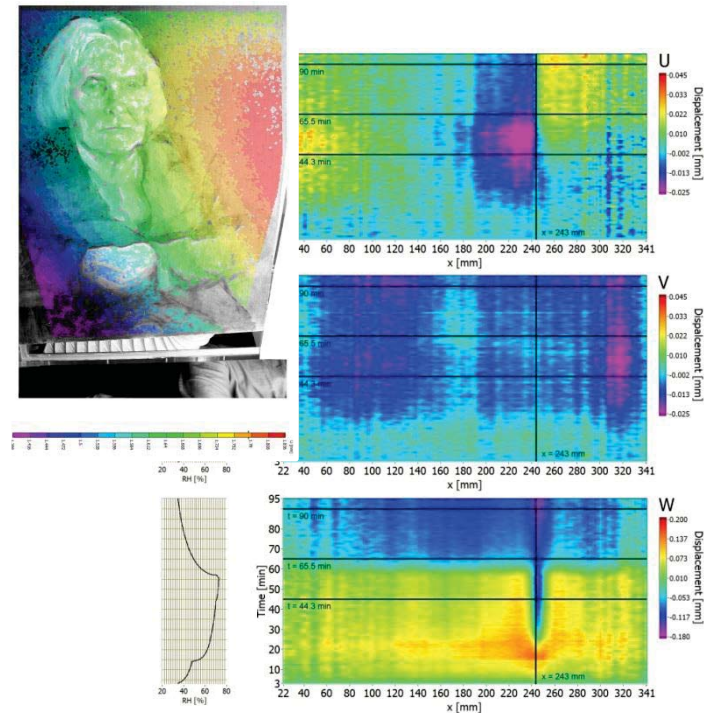
Photonics at Faculty of Mechatronics



TRUE 3D DIGITAL HOLOGRAPHIC DISPLAY AND VIDEO OF REAL WORLD OBJECTS

- design and development holographic displays
- opto-numerical image data processing
- DH interferometry and remote full 3D measurements

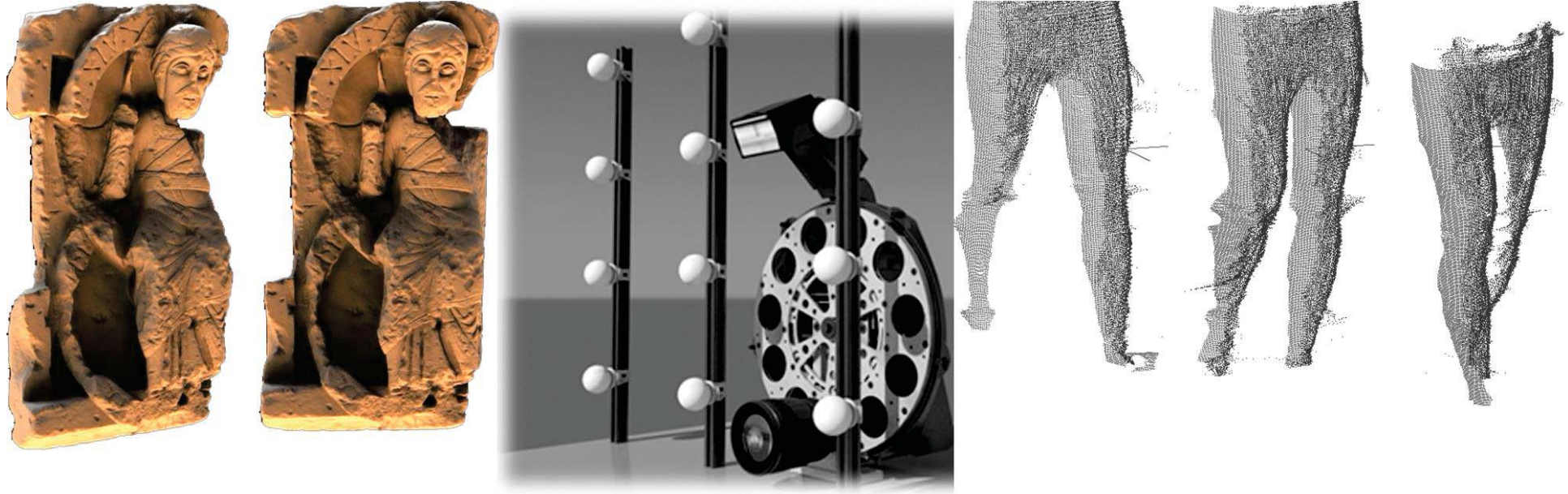
Photonics at Faculty of Mechatronics



FULL-FIELD MEASUREMENT & CHARACTERIZATION

- design and development of systems based on noncoherent light methods
- engineering and art structures health monitoring

Photonics at Faculty of Mechatronics



3D/4D MEASUREMENT

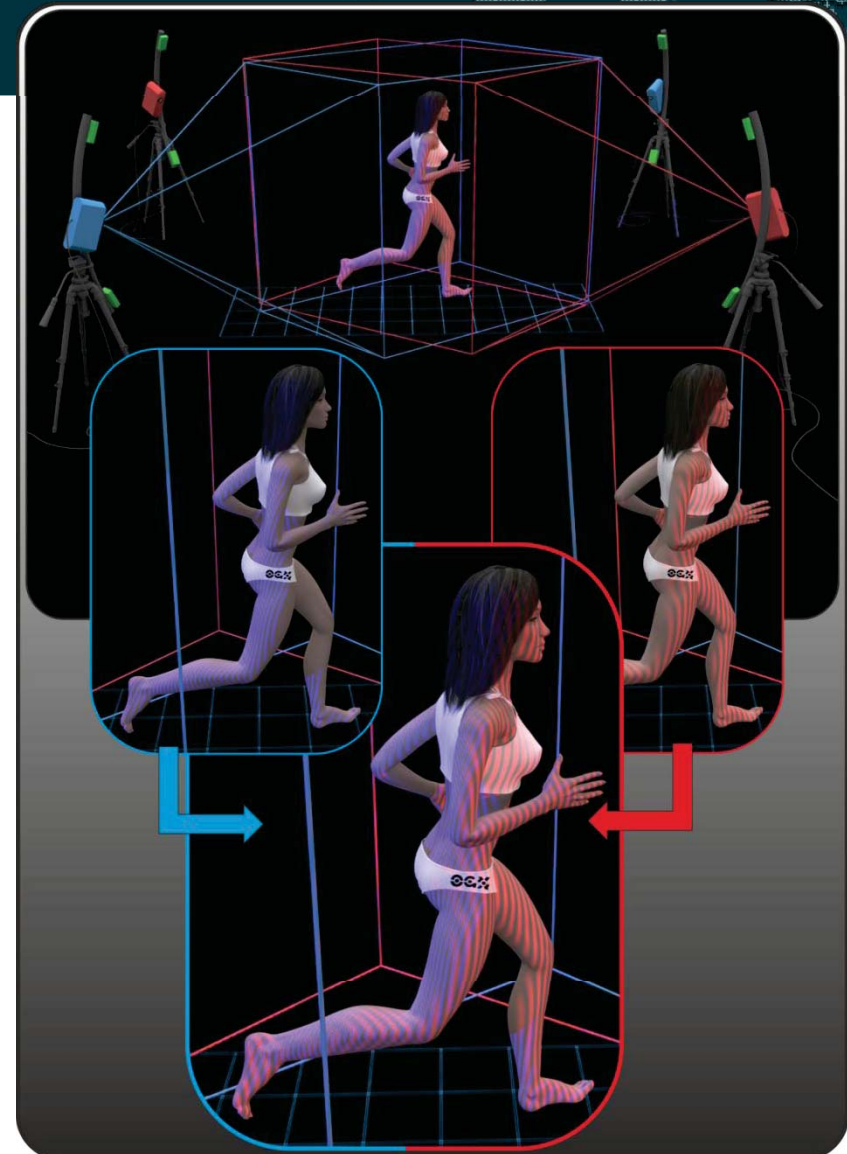
- development of structured light measurement system
- precise 3D and 4D (3D + time) measurement
- development of algorithms for automated 3D/4D data processing

Archiving cultural heritage objects

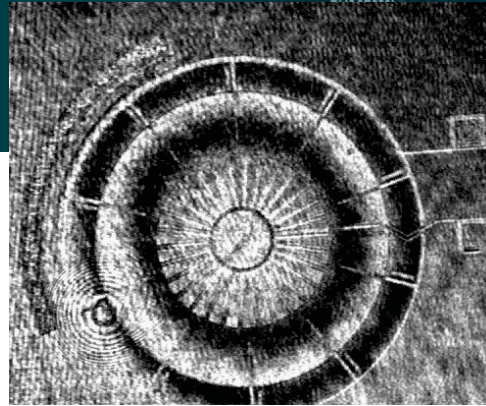
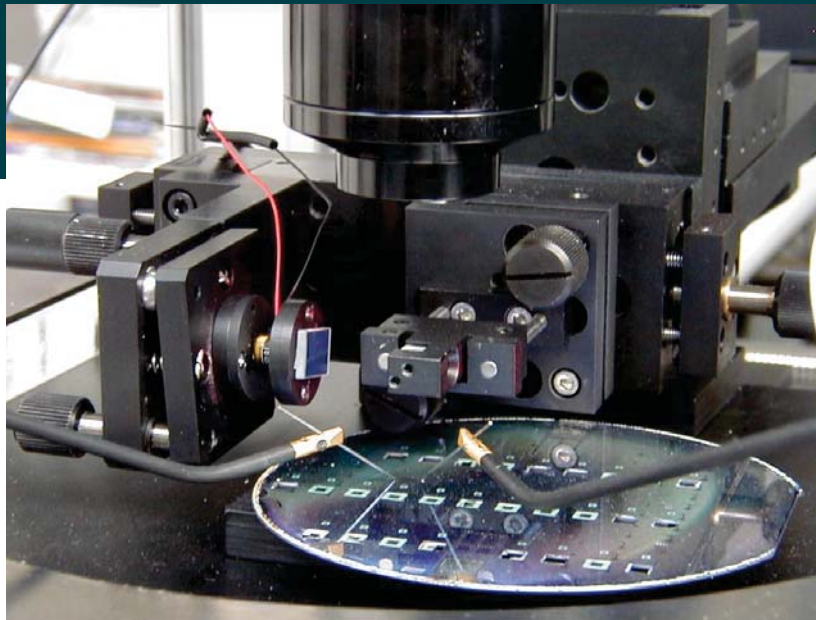


4D data capture - medical applications

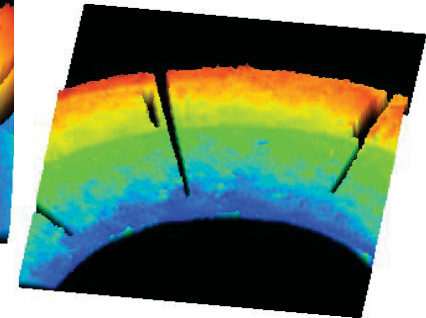
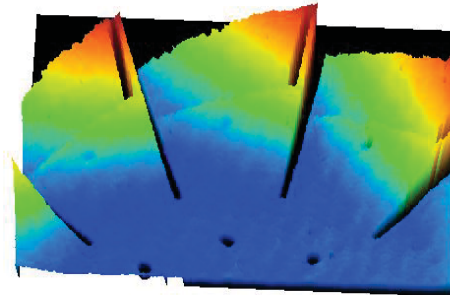
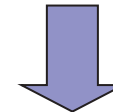
- Multidirectional measurement of static shape as well as dynamic surface coordinates
- Parameters:
 - **measurement volume:** 2m x 2m x 1,5m
 - **measurement uncertainty:** 0,4mm (static), 1mm (dynamic)
 - **measurement frequency:** 60Hz.
- Resulting data:
 - **4D coordinates** (x, y, z, t),
 - **movement parameters** (angles, corsections, etc).



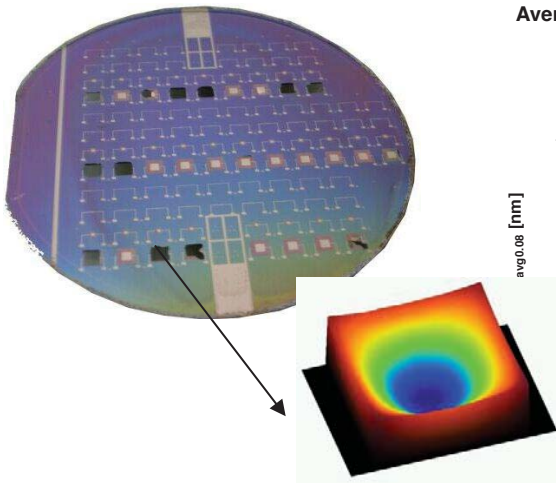
Static and active MEMS/MOEMS studies



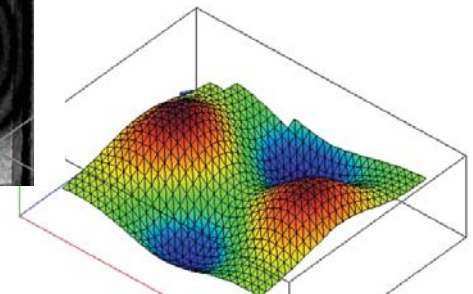
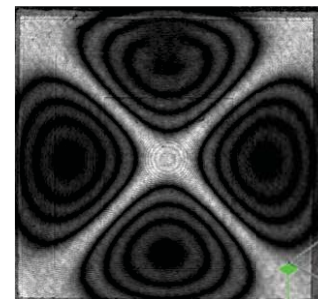
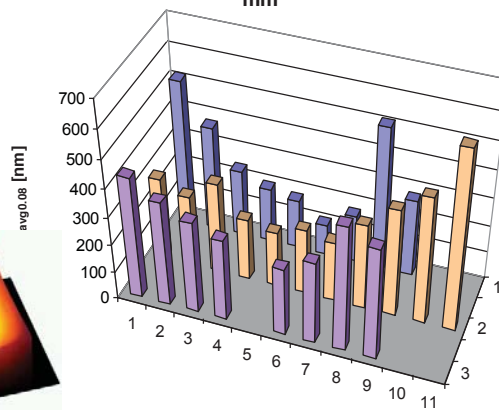
Shape



Vibration Enhanced TAI

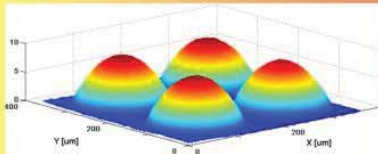


Average deformation of the membranes 0.45 x 0.45 mm²



Phase microscopy and tomography – new approach to 3D measurements of biological and technological structures

Phase in technology and photonics

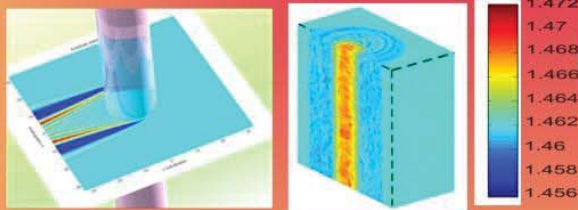


Shape, phase, stress



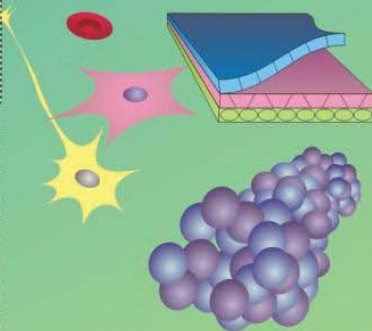
3D refractive index distribution

- Microlens
- DOE's
- Fibers
- Photonic fibers

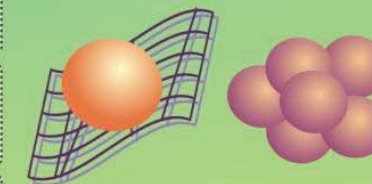


Phase in bio and life-science

- Different cell types: interactions and requirements
- Spheroid formation
- ECM analogues
- Microscaffolds
- Microtextures
- Micrometer scale cellular niches
- Selective surface modifications



3D arrangement



Individual cell's surroundings

Recent challenges for Full-Field Optical Metrology

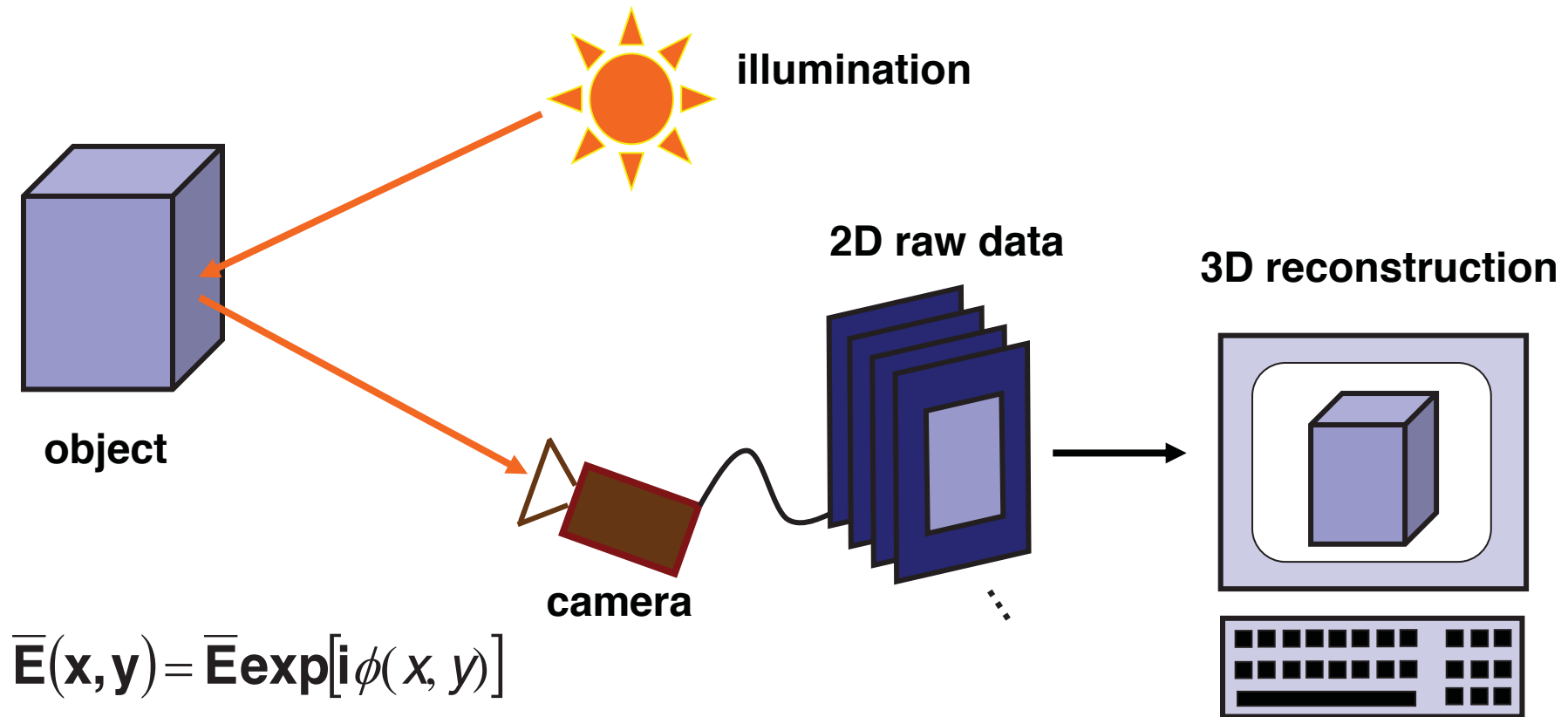


- ❑ Provide convenient tool for nanomaterials and microsystems (technical and biological) characterization with extended resolution capabilities
- ❑ Provide experimental data for Computer Aided Engineering (CAE) incl. industrial measurement, reliability analysis and outdoor structures monitoring
- ❑ Provide extended range of 3D (x,y,z) /4D $(x,y,x;t)$ data with the automatic path of their processing for new engineering/biomedical/multimedia applications.

General trend to transfer from 2D (x,y) imaging into 3D (x,y,z) and from point measurement/monitoring into 4D $(x,y,z;t)$ (u,v,w,t) measurement/visualization

Measurement Process

MP = code the information (complex amplitude) in intensity data +
+ capture the data + decode/reconstruct the information



$$\bar{E}(x, y) = \bar{E} \exp[i\phi(x, y)]$$

Detectors capture intensity data only.
Phase has to be coded

Coherent and noncoherent light based measurements methods



Assumption:

Output of coding: Fringe pattern/interferogram
Hologram
Specklegram/correlogram

Coherent methods of coding based on:

interferometry
holography

Noncoherent methods of coding based on:

grid/grating methods
moire methods (mechanical interference)
digital correlation methods

Measurement tasks



PRIMARY MEASUREMENT TASKS

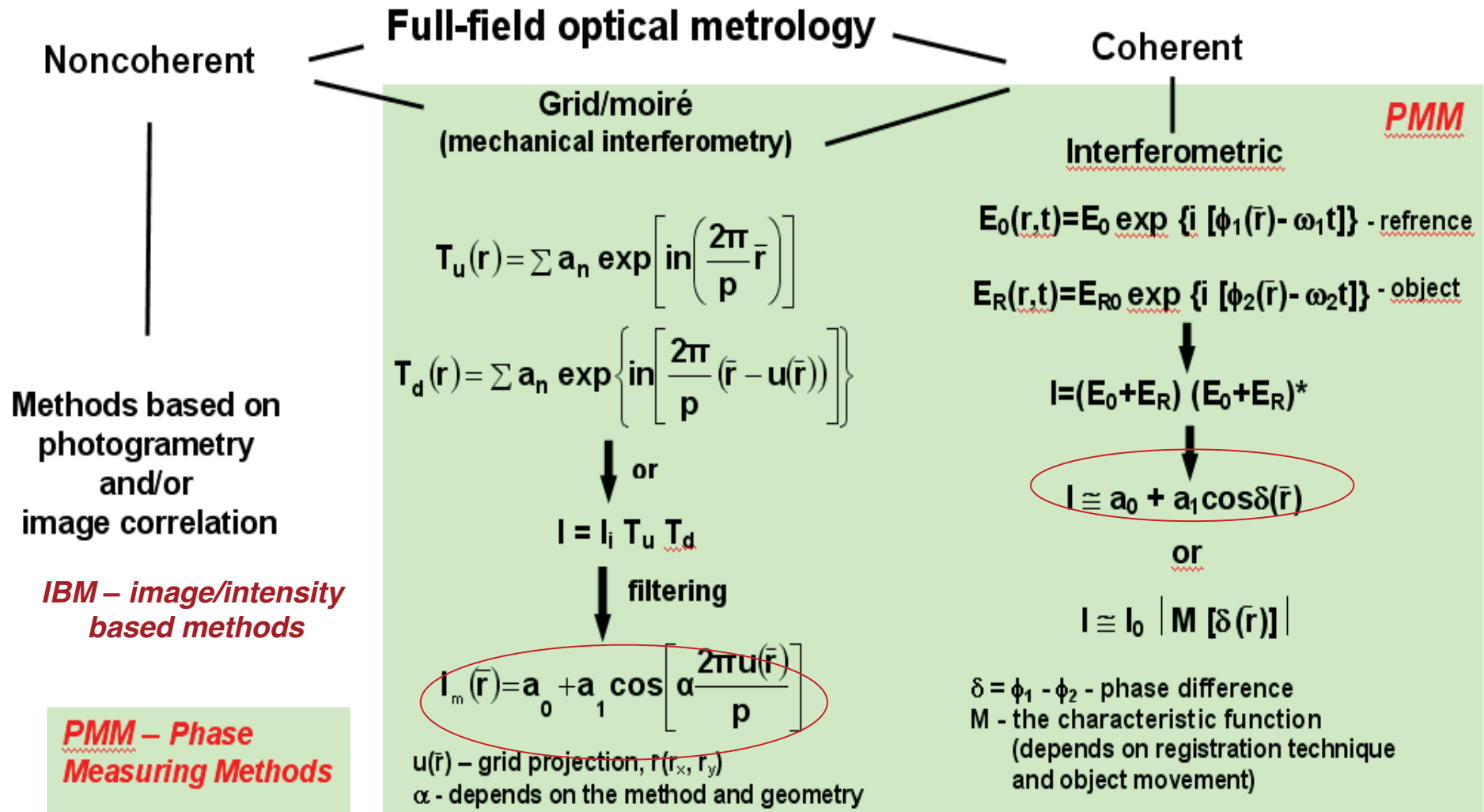
- shape/distance (geometrical properties incl. roughness)
- optical properties (wavefront aberrations)
- in-plane displacements (u , v)
- out-of-plane displacement (w)
- strains
- dynamic (vibration) characteristic (frequency, amplitude, phase)

RELATED MEASUREMENT TASKS

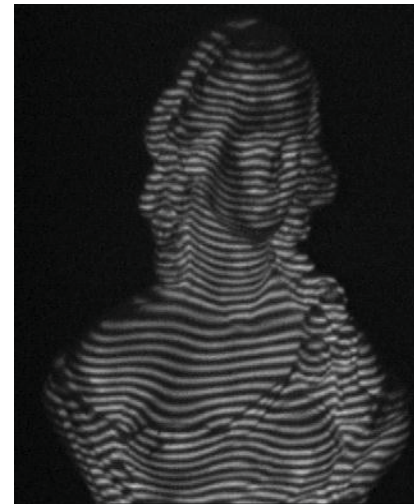
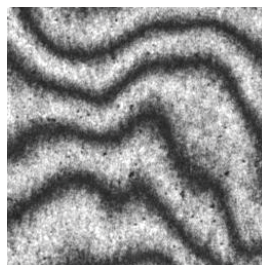
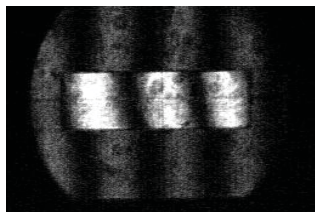
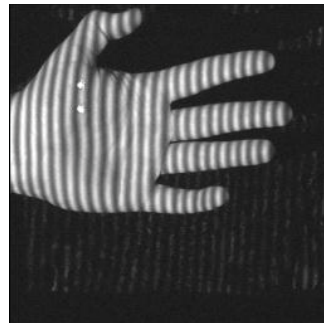
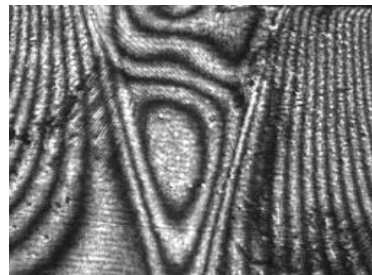
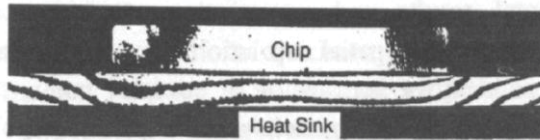
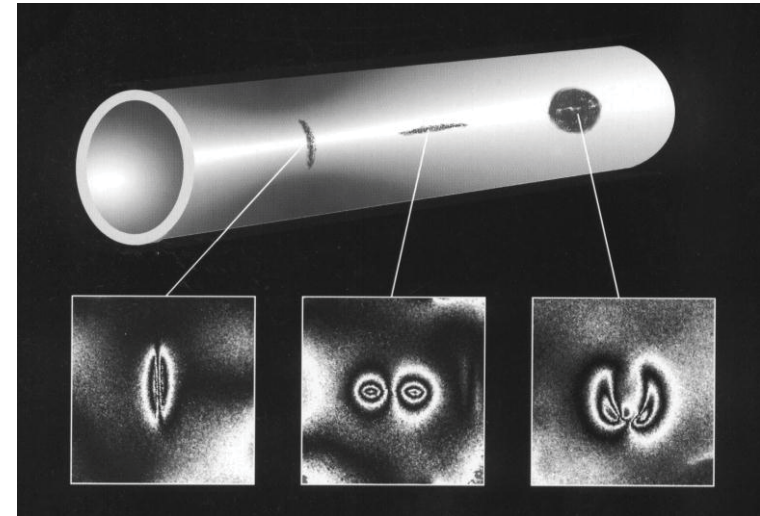
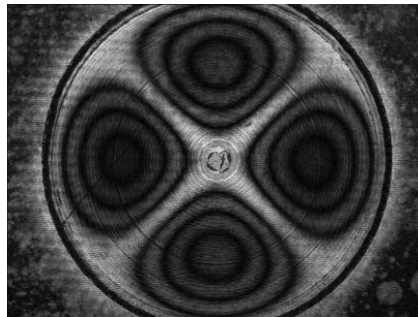
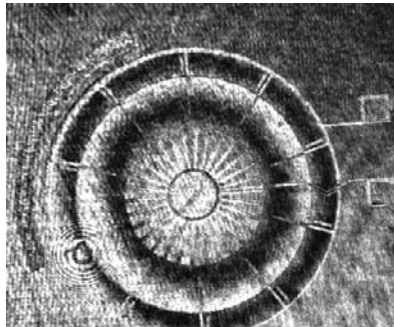
- material constants: E , ν , thermal coef., ...
- stress
- defect detection, quality assessment (NDT)
- figures of merit (MTF,.....)

Full-field coding of information

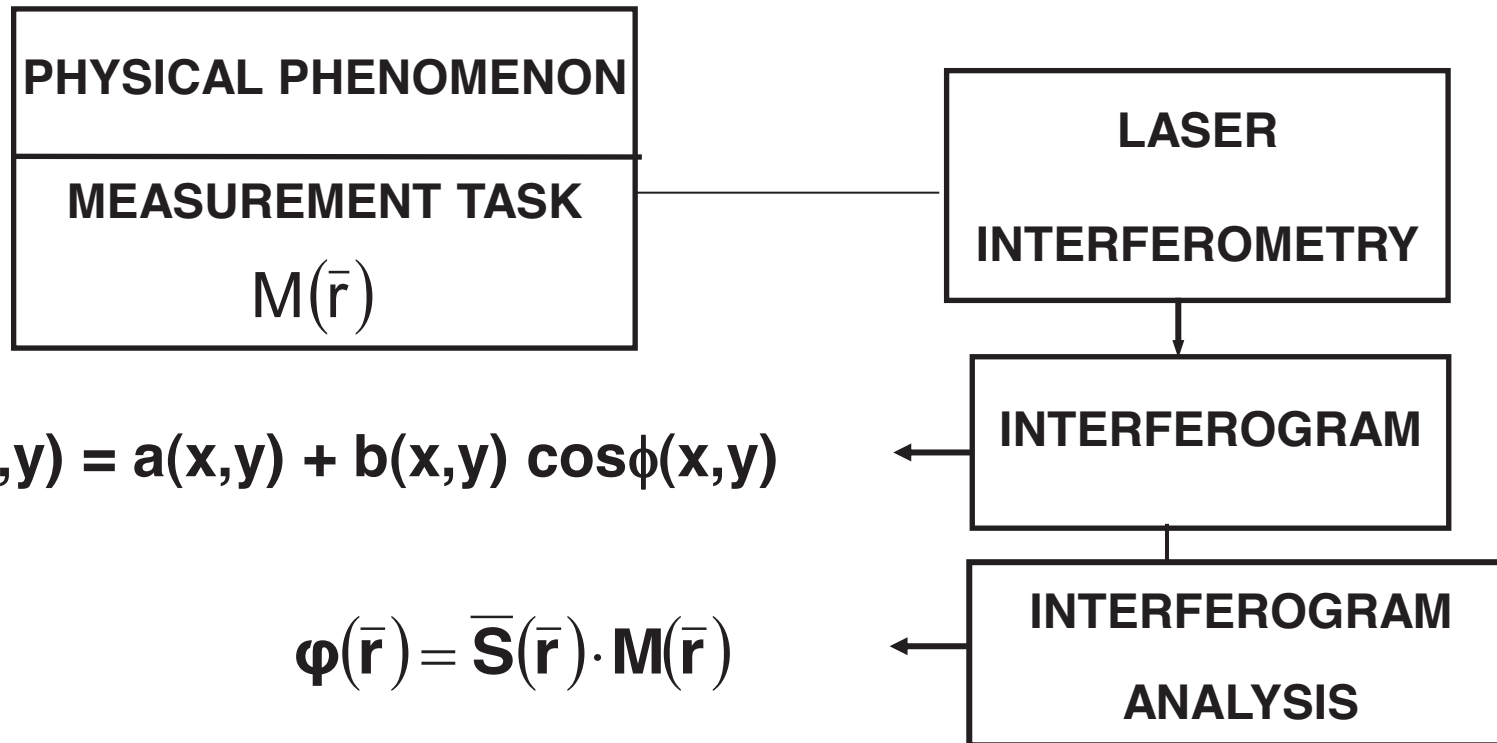
Coherent versus noncoherent methods



INFORMATION CODED INTO INTERFEROGRAMS AND FRINGE PATTERNS



Optical coding - sensitivity vector



$$I(x,y) = a(x,y) + b(x,y) \cos\phi(x,y)$$

$$\varphi(\bar{r}) = \bar{S}(\bar{r}) \cdot M(\bar{r})$$

$S(r)$ - sensitivity vector, $M(r)$ – measurand,

$a(P)$, $b(P)$ –directional vectors of illumination
and observation

$$S(P) = 2\pi/\lambda(b(P) - a(P))$$

THE SAME RULES REFER TO NONCOHERENT FRINGE BASED METHODS

Phase detection

- ▶ Optical methods provide fringe patterns (holography, interferometry, moiré, speckle) or line patterns (grid method).
- ▶ An image by itself is not a measurement, it is an intensity recording, following:
$$I(\varphi) = A [1 + \gamma \text{frgn}(\varphi)]$$

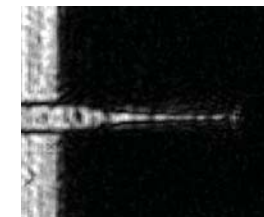
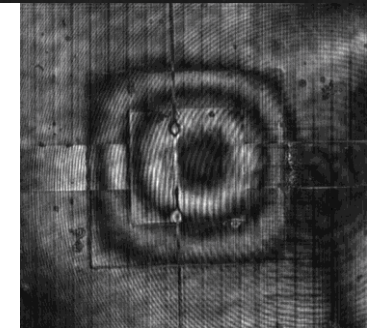
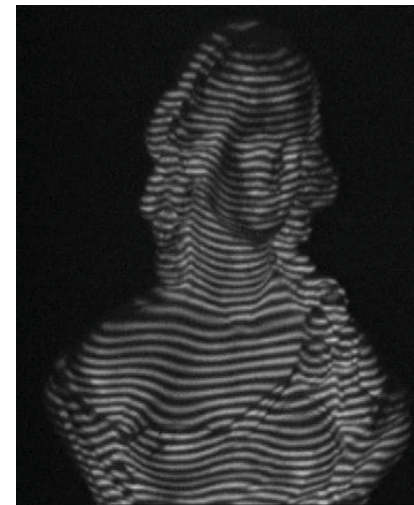
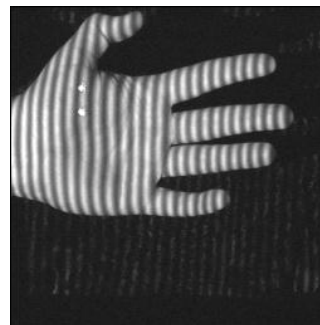
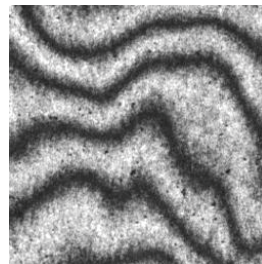
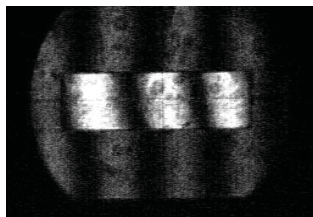
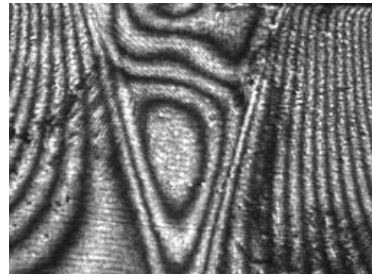
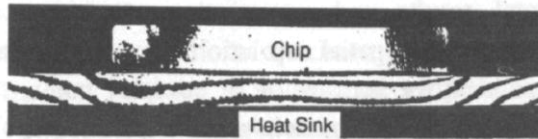
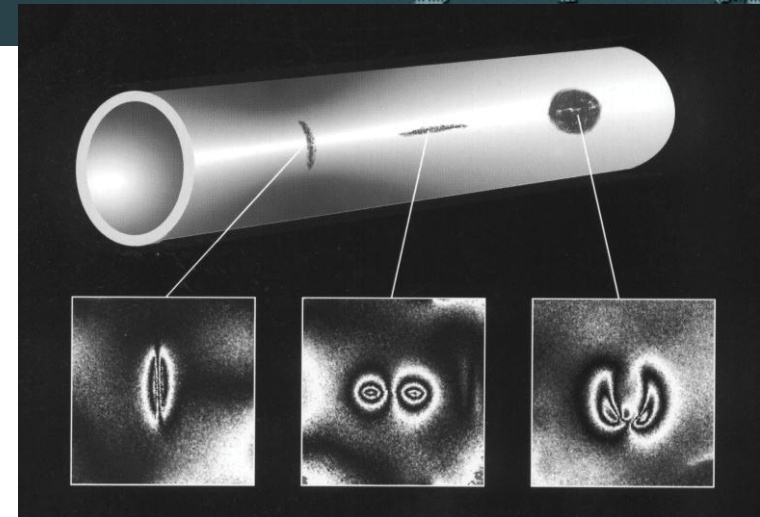
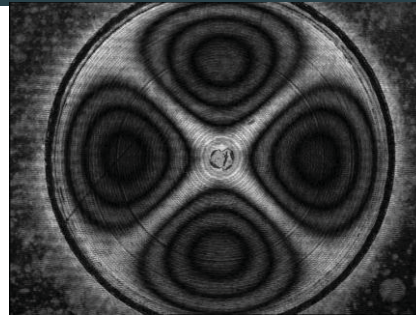
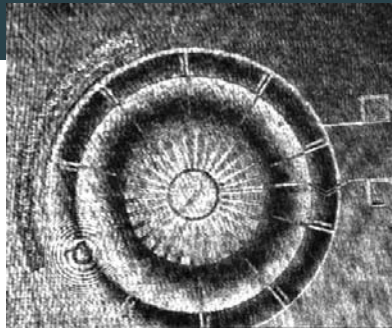
- ▶ The parameter of interest is the **phase**
but nowadays the **modulation** can be of interest as well e.g.

- Bessel fringes decoding for vibration parameters analysis
- fringes obtained in white light interferometry



- ▶ Phase detection is the calculation of the phase field from the intensity field

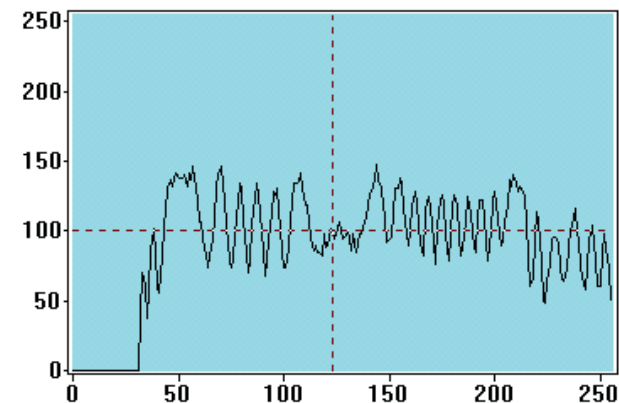
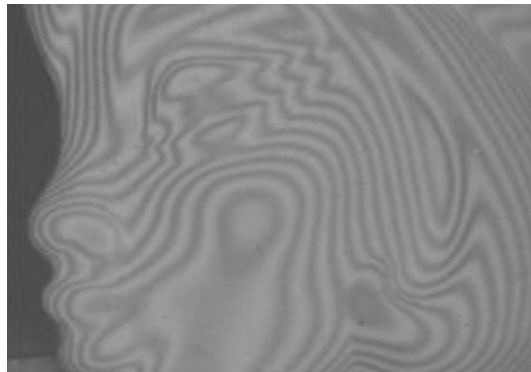
Information coded into Fringe Patterns



Fringe pattern analysis

**Goal: full reconversion of the original feature(s) represented by FP
i.e. solving an inverse problem**

basic FP: $I(x,y) = a(x,y) + b(x,y) \cos \phi(x,y)$



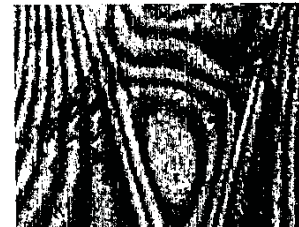
difficulties:

- **ill posed problem due to unknown a , b , ϕ**
- **the sign ambiguity problem $\cos(\phi) = \cos(-\phi)$**
- **the mod 2π problem ($\cos(\phi) = \cos(\phi + 2N\pi)$)**

Extended definition of FP (object complexity)

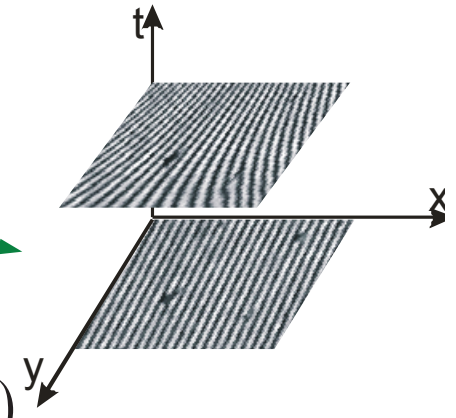
static event:

$$I(x, y) = a_0(x, y) + \sum_{n=1}^{\infty} a_n(x, y) \cos[n\varphi(x, y)] + n(x, y)$$



dynamic event:

$$I(x, y, t) = a_0(x, y, t) + \sum_{n=1}^{\infty} a_n(x, y, t) \cos [n\varphi(x, y, t)] + n(x, y, t)$$

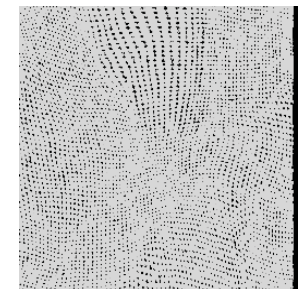


multiplexed events:

$$I(x, y) = \left(\sum_{k=1}^K \text{ or } \prod_{k=1}^K \right) (a(x, y) + \sum_{n=1}^{\infty} a_{kn}(x, y) \cos [n\varphi_k(x, y)]) + n(x, y)$$

difficulties:

- **noise, nonlinearities**
- **features variable in time**
- **demultiplexing**



Fringe pattern analysis methods

Intensity (passive) methods – single interferogram processed and analysed without modifications based on conventional digital image analysis methods

Problems of sign and mod 2π solved with „a priori” knowledge
(still used for archive interferogram analysis, not used in commercial measurement systems)

Phase (active) methods – require modifications (design) of fringe pattern through changes of phase acc. equation

$$I(x, y, t) = \overline{a}(x, y) + b(x, y) \cos[2\pi (f_{0x}x + f_{0y}y) + \nu_0 t) + \alpha(t) + \varphi(x, y)]$$

where f_{0x} , f_{0y} are spatial carrier frequencies

ν_0 is temporal carrier frequency (running fringes)

α is additionally introduced phase shift

For different phase methods one of these phase values is introduced

Intensity methods – fringe localization

**Fringe extrema localization (skeletoning, fringe tracking).
Require strong initial image preprocessing to allow efficient segmentation**

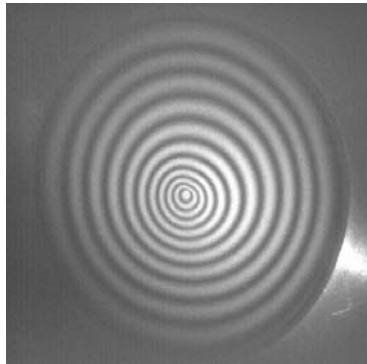
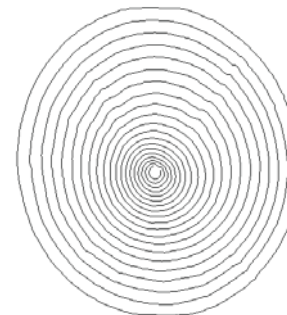


Image preprocessing



Segmentation

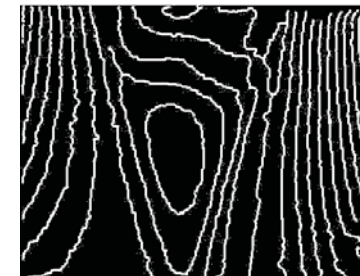


Skeletoning and
fringe numbering



**„a priori” knowledge required, difficult to automate analysis,
noneven sampling, low accuracy (<fringe/10)**

Now: new approaches with regularization methods



Intensity methods – RPT method

Regularized phase-tracking method RPT

Assumptions:

- agreement between model and experiment
- initial knowledge about spatial variations of searched function

Cost function U_T given by:

$$U_T = \sum_{(x,y) \in N_{x,y}} U_{x,y}(\phi_0, f_x, f_y)$$

where

$$U(x, y) = \sum_{(\epsilon, \eta) \in N_{x,y} \cap S} \left\{ [I'(\epsilon, \eta) - \cos p(x, y, \epsilon, \eta)]^2 - \lambda [\phi_0(\epsilon, \eta) - p(x, y, \epsilon, \eta)]^2 m(\epsilon, \eta) \right\}$$

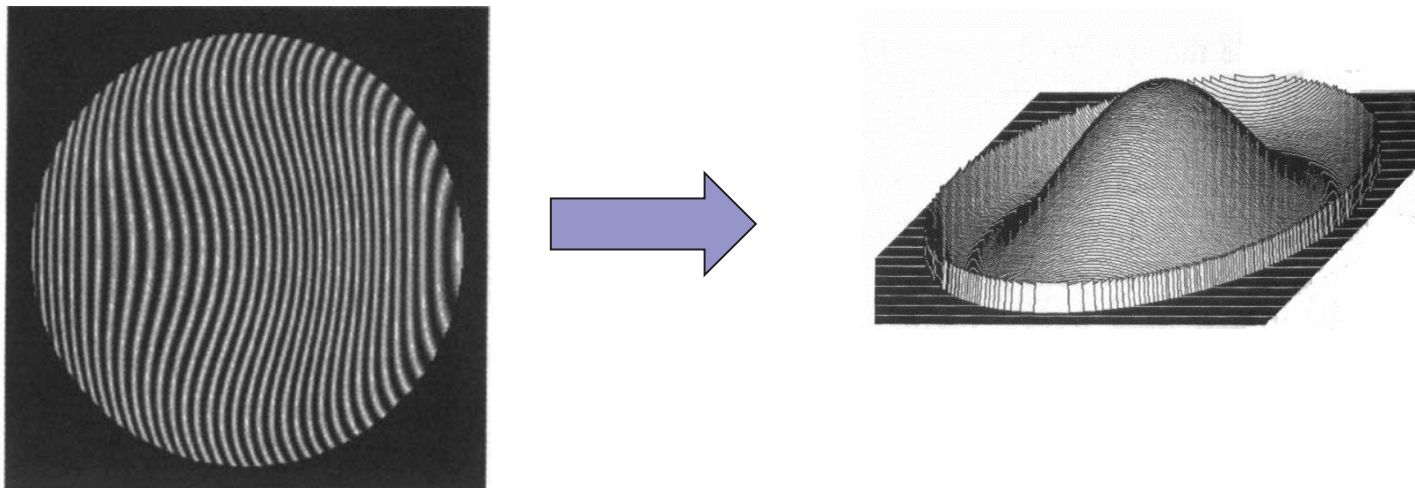
$$p(x, y, \epsilon, \eta) = \phi_0(x, y) + 2\pi f_x(x, y)(x - \epsilon) + 2\pi f_y(x, y)(y - \eta)$$

λ – regularization parameter controlling the smoothness of phase, N_{xy} - neighbourhood

has to be minimized by estimated function $\phi(x,y)$

Intensity methods - RPT

Possibility to reconstruct phase from a single fringe pattern without its modification



Result of direct phase reconstruction from interferogram by RPT method.

**However strong restrictions on reconstructed phase, long processing, local minima of cost function and high sensitivity to noise in fringe pattern.
RPT is not used in commercial systems.**

Phase based fringe pattern analysis methods



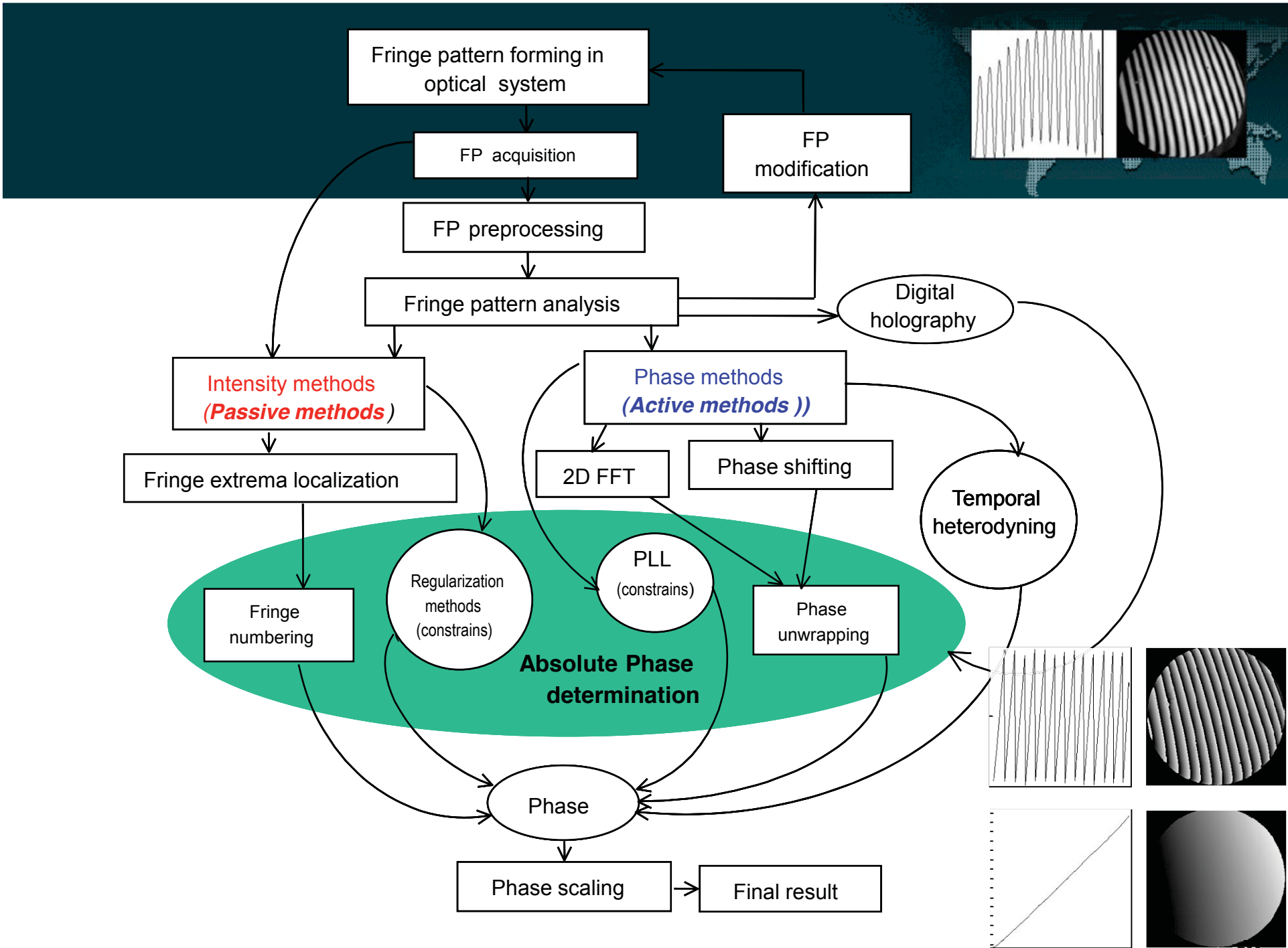
$$I(x, y, t) = a(x, y) + b(x, y) \cos[2\pi [(f_{0x}x + f_{0y}y) + \nu_0(t)] + \alpha(t) + \varphi(x, y)]$$

Required controlled modifications of phase in FP:

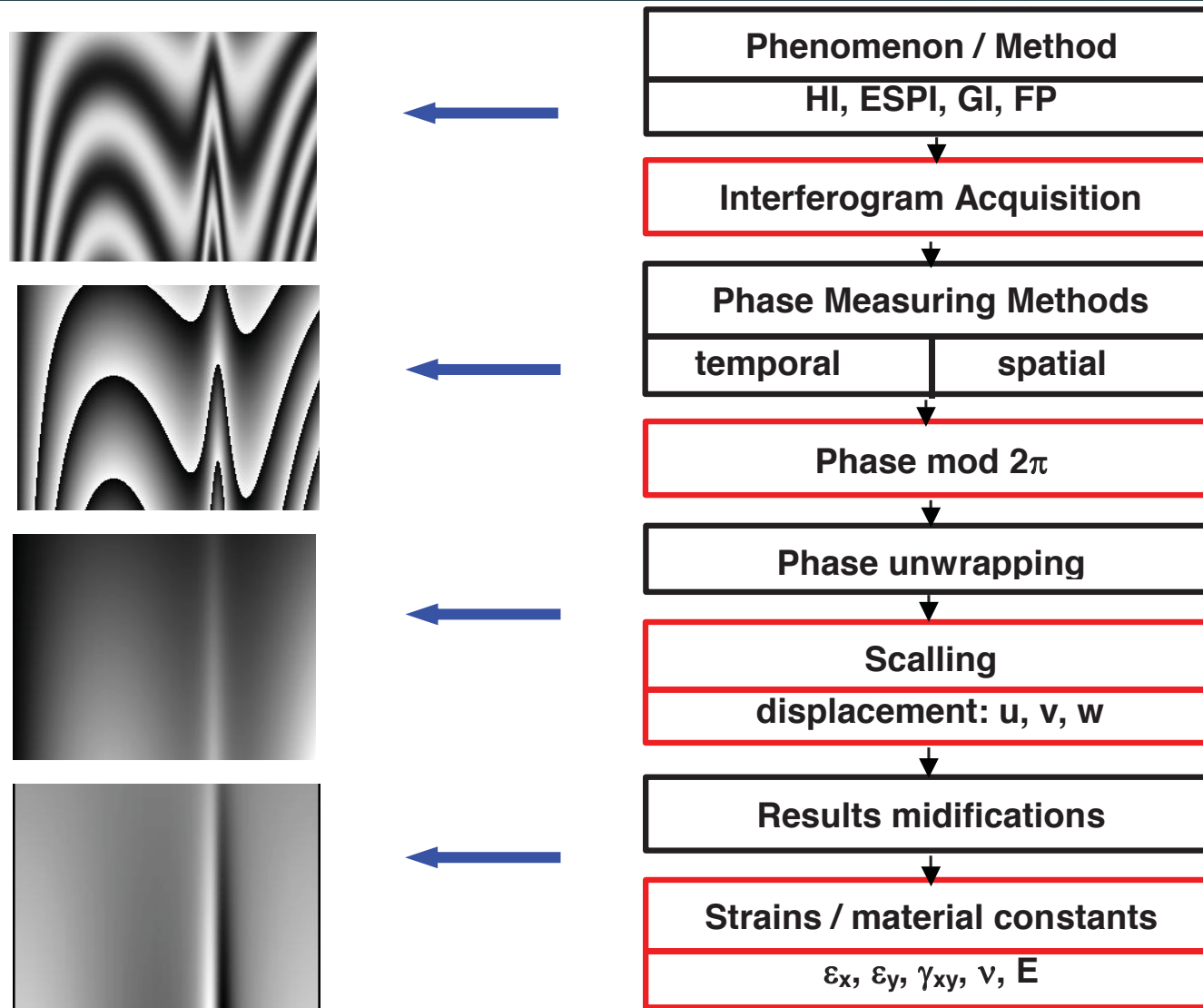
$\nu_0(t)$ – introduces temporal heterodyning (running fringes)
– electronic signal analysis (future specialized CMOS) by heterodyning method

$\alpha(t)$ – introduces controlled phase shifts – Temporal Phase Shifting (Stepping) Method (TPS)

f_{0x}, f_{0y} – introduces controlled number of carrier fringes in FP – Fourier Transform Method and Spatial Carrier Phase Shifting Method



Automatic fringe pattern analysis



Fringe pattern analysis strategies



▶ Temporal strategy

Many images are required

One independent measurement per pixel (unless spatial smoothing)

- Temporal phase stepping or phase shifting

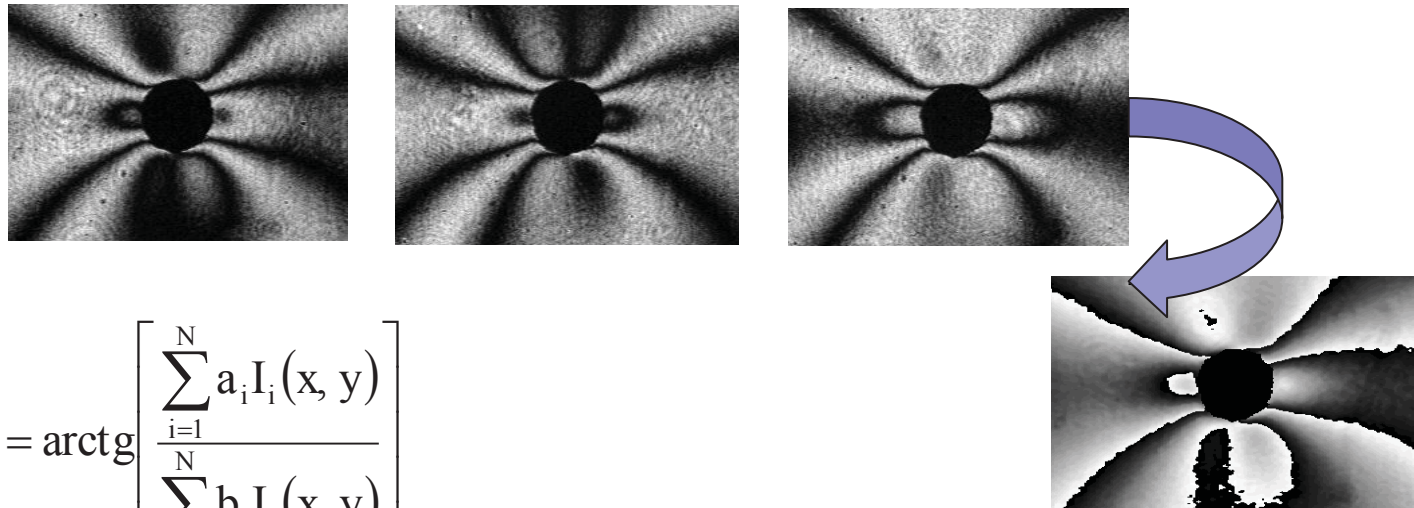
▶ Spatial strategies:

Only one image is required

The spatial resolution is low (not one independent measurement per pixel)

- Intensity methods (interpolation, fringe tracking, fringe multiplication...)
- Fourier transform method (extraction of the analytic signal when a carrier is present)
- Spatial phase stepping and spatial carrier phase shifting method
- Algorithms based on a wavelet transform

Phase shifting methods: temporal phase shifting



$$\bar{\Phi}(x, y) = \arctg \left[\frac{\sum_{i=1}^N a_i I_i(x, y)}{\sum_{i=1}^N b_i I_i(x, y)} \right]$$

where $i = 0, \dots, N-1$ for N-frame algorithms

The phase shifting algorithm is defined by:

- number of images/frames,
- phase shift introduced in images,
- the values of coefficients a and b (Fourier coefficients described by FFT of signal)

Means of temporal phase shifting

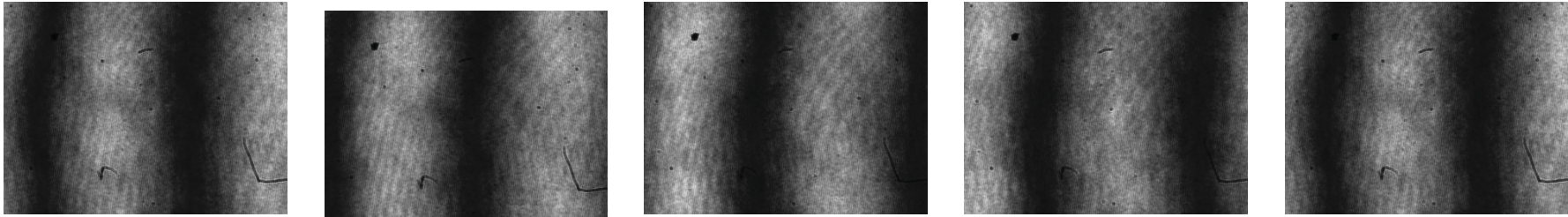


- ❑ How to add a known constant phase to the intensity?

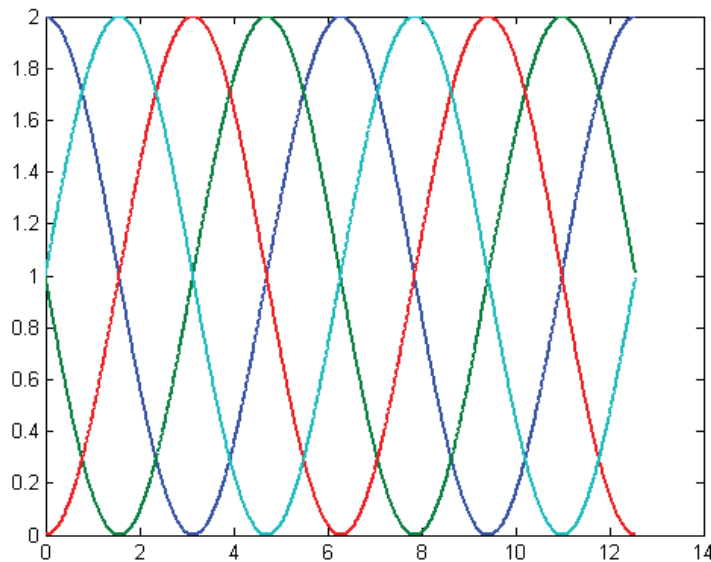
- ❑ Interferometric systems:
 - Mirror on piezo transducer
 - Rotating glass plate
 - Rotation of a polarizer
 - Using fibre optics phase shifter (FO at PZT cylinder)





- ❑ Profilometry by structured light, deflectometry, moiré:
 - Translation of a grid or grating
 - Electronic moiré (translation of virtual grid)

Temporal Phase Shifting Method



Five fringe patterns shifted in phase by 90°



-  $\delta_{1;5} = 0^\circ; 360^\circ$
-  $\delta_2 = 90^\circ;$
-  $\delta_3 = 180^\circ;$
-  $\delta_4 = 270^\circ;$

Extracting the phase

- The fringe profile is:

$$I(\phi) = A [1 + \gamma \text{frgn}(\phi)]$$

- The recorded intensities are:

$$I_k = I(\phi + k\delta)$$

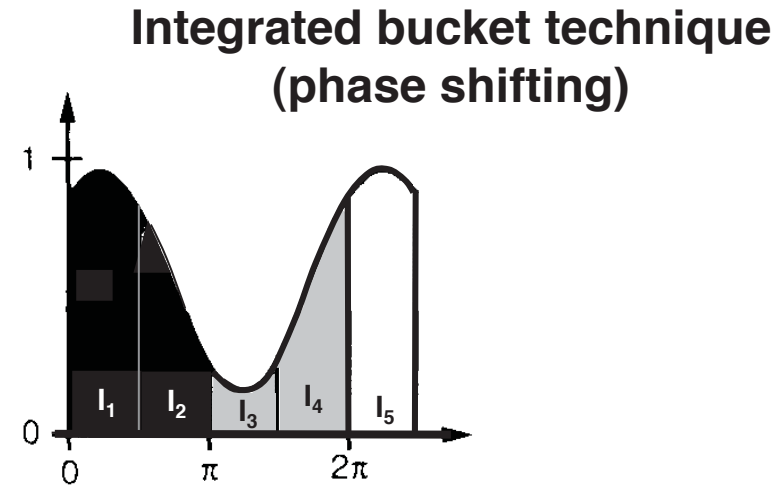
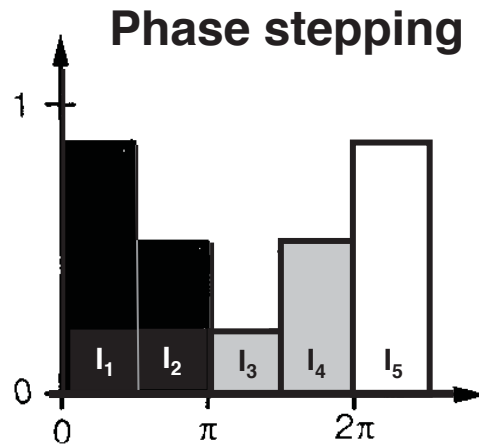
- When N samples are taken with a phase shift of $2\pi/N$, the phase of the first Fourier component is the argument of the first coefficient of the DFT of the intensities => N -bucket algorithm

$$m_n = \sum_{k=0}^{N-1} I_k \exp(-i 2\pi kn/N)$$

$$\phi = \arg(m_1) = - \arctan \left[\frac{\sum_{k=0}^{N-1} I_k \sin(2\pi k/N)}{\sum_{k=0}^{N-1} I_k \cos(2\pi k/N)} \right]$$

Temporal phase shifting (TPS)

Modes of phase shifted image capture



if $\alpha_i = \frac{i2\pi}{N}$ where $i=1, \dots, N$ then

$$\varphi(x, y) = \arctan \frac{\sum I_i(x, y) \sin \alpha_i}{\sum I_i(x, y) \cos \alpha_i}$$

For $\Delta\alpha=2\pi/3$ 3-image algorithm $\bar{\Phi} = \arctg \left[\sqrt{3} \frac{I_1 - I_3}{2I_2 - I_1 - I_3} \right]$

For $\Delta\alpha=\pi/2$ 4-image algorithm $\bar{\Phi} = \arctg \left[\frac{I_4 - I_2}{I_1 - I_3} \right]$

For $\Delta\alpha=\pi/2$ 5-image algorithm $\bar{\Phi} = \arctan \left[\frac{2(I_2 - I_4)}{2I_3 - I_1 - I_5} \right]$

Sources of errors in TPS

1. nonconstant phase shift $\delta = \frac{2\pi}{N}(1 + \epsilon)$
2. nonsinusoidal fringes (higher harmonics in fringe profile)
3. nonconstant values of background $a(x,y)$ & modulation $b(x,y)$
4. random noise (speckle, white detector noise...)

$$\sigma_{\phi}^2 = \frac{2\sigma_1^2}{\eta N a_1^2}$$

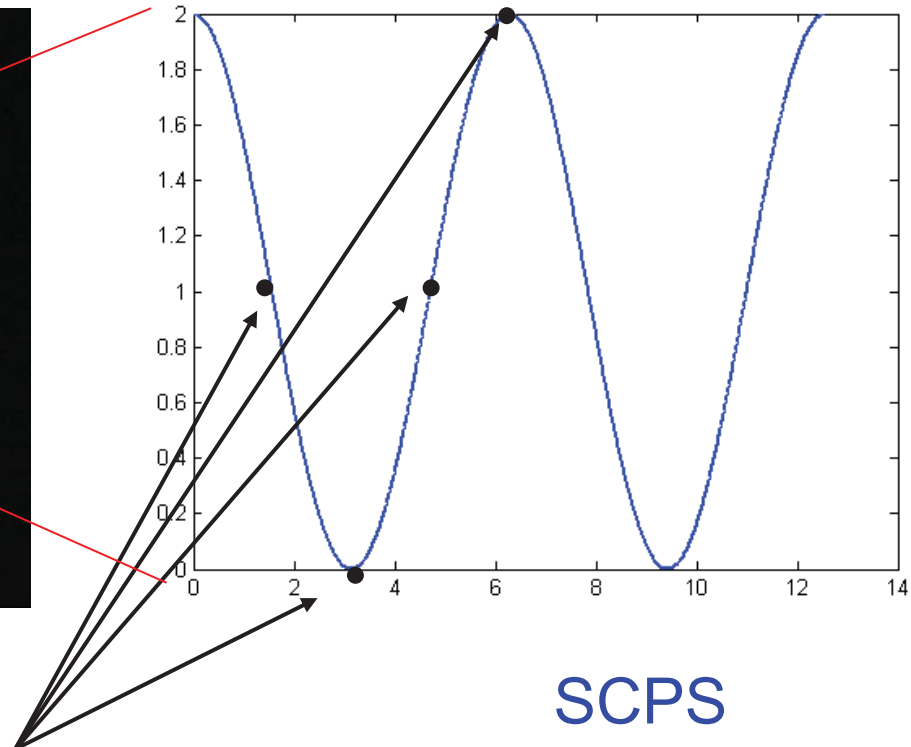
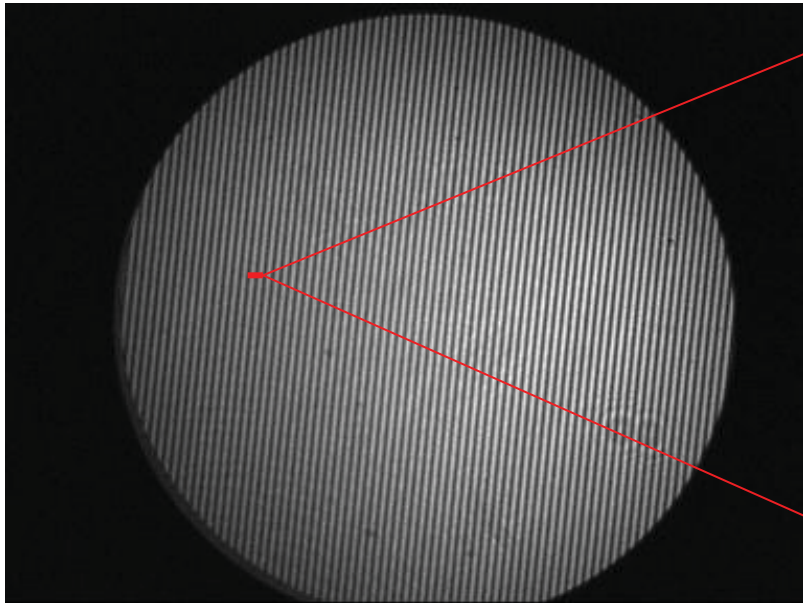
where σ_1 is standard deviation of intensity function
 η is the value 0.8-1

Solutions:

- Ad1. $M = N+1$ images algorithms (first and last images should be the same)
- Ad2. For q -harmonics in fringe profile number of images $N > q+1$
- Ad1+2. $M = 2N-2$ images

Spatial Carrier Phase Shifting

$$I_i(x, y) = a(x, y) + b(x, y) \cos[\phi(x, y) + 2\pi f_{0x}(x + i)]$$



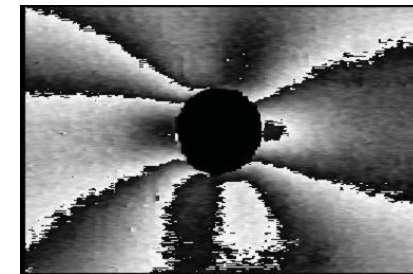
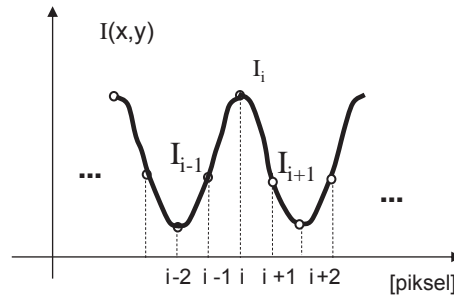
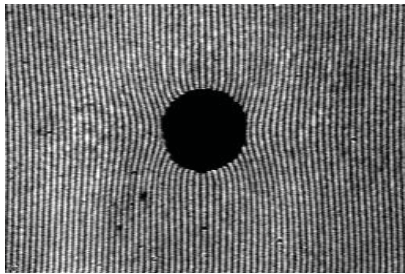
Assumption:
Phase is constant in
the sampling window

Sampling points

SCPS
Analysis based on a
single fringe pattern

Spatial Carrier Phase Shifting

Spatial carrier given by number of fringes in FP domain $f_0 = P/N$
 where P- number of sampling points in FP domain (depend on detector resolution,
 N- number of sampling points for one fringe (2π))

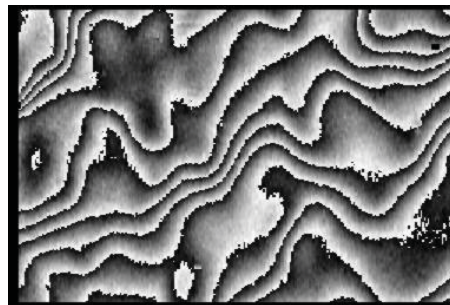
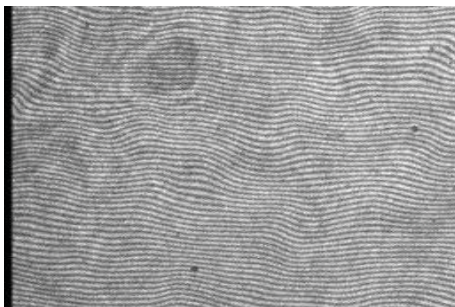


N-point algorithms

For $\alpha = \pi/2$ and $M+1$ $\phi''(x, y) = \arctg \left[\frac{2\{I(x-1, y) - I(x+1, y)\}}{2I(x, y) - I(x-2, y) - I(x+2, y)} \right]$

$$\phi'(x, y) = \phi''(x, y) - x \frac{\pi}{2}$$

$$\phi(x, y) = \arctg \frac{\sin \phi'(x, y)}{\cos \phi'(x, y)}$$



Spatial carrier in x or in y directions

TPS and SCPS comparison



■ TPS

- ☺ High accuracy phase retrieval (fringe/50),
- ☺ Phase retrieval independently in each point (no error propagation),
- ☺ Analysis of arbitrary shape of fringes (including closed)
- ☹ Require capturing a few phase shifted FP (static object/conditions).

■ SCPS

- ☹ Lower accuracy phase retrieval (fringe/20)
- ☹ Phase retrieval under assumption of constant phase in sampling window,
- ☹ Analysis of linearized fringes with high carrier frequency
- ☺ Analysis based on a single image (analysis of dynamic events)

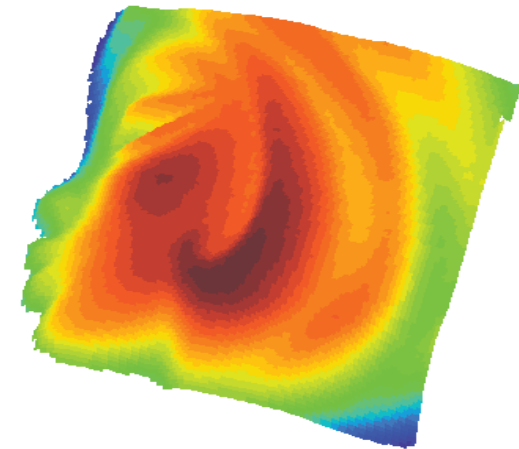
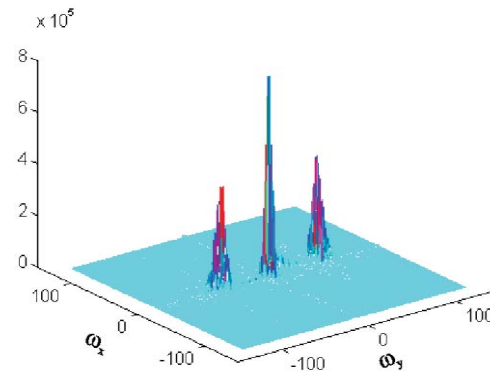
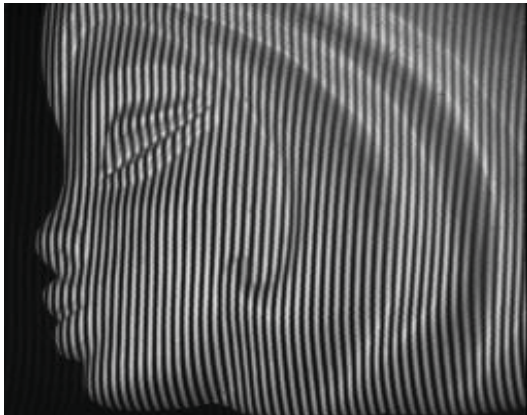
TPS and SCPS are widely used in commercial optical measurement instrumentation

Fourier Transform Method

$$I(x, y, t) = \sum a_n(x, y) \cos[2\pi n (f_{ox}x + f_{oy}y) + \phi_n(x, y)]$$

spatial heterodyning – adding carrier frequencies f_{ox} or f_{oy}

Condition: $f_0 > \max(\text{grad } \phi)$
if higher harmonics present they cannot overlap +1st
diffraction (information order)



Analytic signal (FT) method

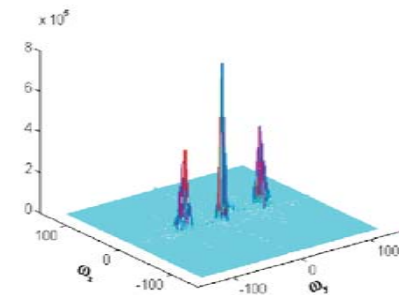
- The intensity is a phase modulation of the carrier:

$$\begin{aligned} I(\mathbf{r}) &= A \{1 + \gamma \cos [2\pi \mathbf{f}_0 \cdot \mathbf{r} + \phi(\mathbf{r})]\} \\ &= A + \frac{A\gamma}{2} \exp(i 2\pi \mathbf{f}_0 \cdot \mathbf{r}) \exp[i \phi(\mathbf{r})] + \frac{A\gamma}{2} \exp(-i 2\pi \mathbf{f}_0 \cdot \mathbf{r}) \exp[-i \phi(\mathbf{r})] \\ &= A + C(\mathbf{r}) \exp(i 2\pi \mathbf{f}_0 \cdot \mathbf{r}) + C^*(\mathbf{r}) \exp(-i 2\pi \mathbf{f}_0 \cdot \mathbf{r}), \end{aligned}$$

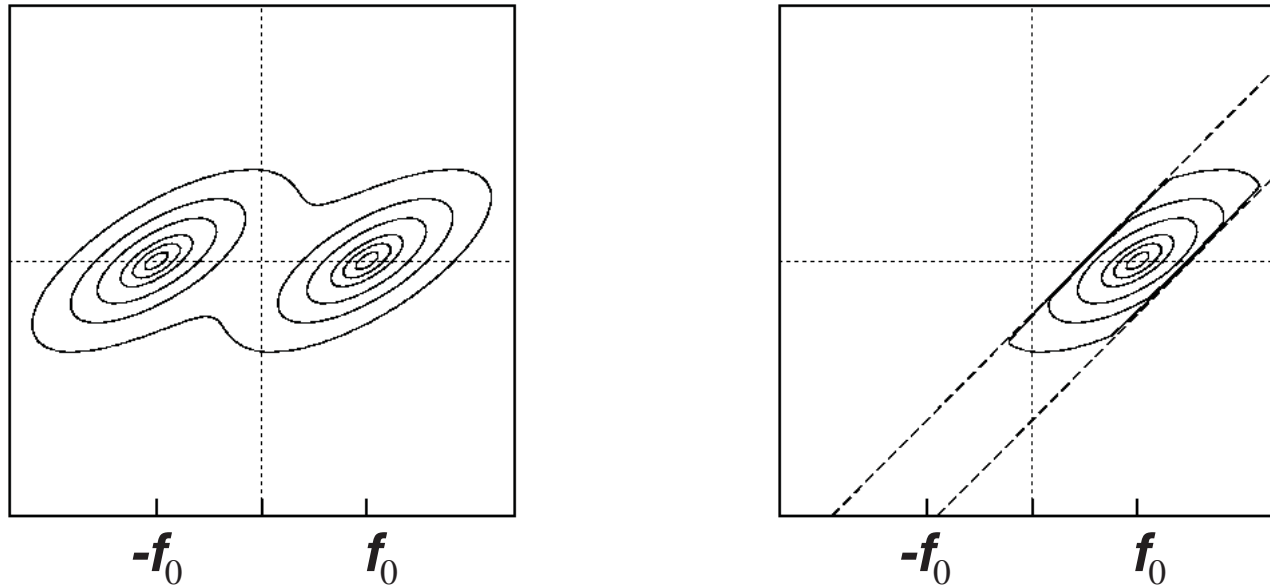
with $C(\mathbf{r}) = \frac{A\gamma}{2} \exp[i \phi(\mathbf{r})]$

- Fourier transform:

$$\begin{aligned} \widehat{I}(\mathbf{f}) &= A\delta(\mathbf{f}) + \widehat{C}(\mathbf{f} - \mathbf{f}_0) + \widehat{C}^*(\mathbf{f} + \mathbf{f}_0) \\ &= A\delta(\mathbf{f}) + \widehat{C}(\mathbf{f} - \mathbf{f}_0) + \widehat{C}^*(-\mathbf{f} - \mathbf{f}_0) \end{aligned}$$



Filtering in the Fourier plane

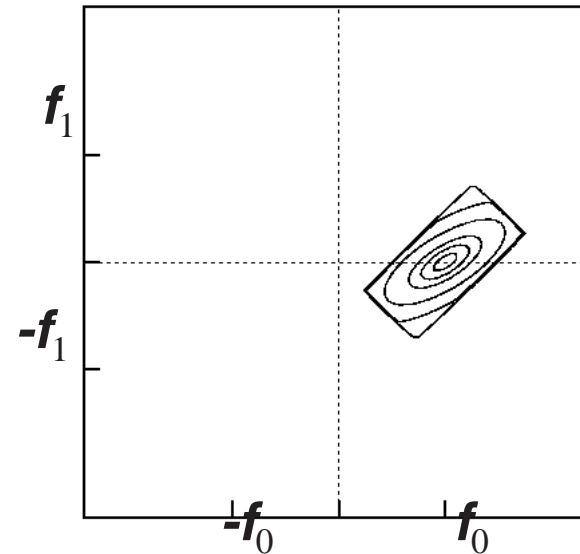
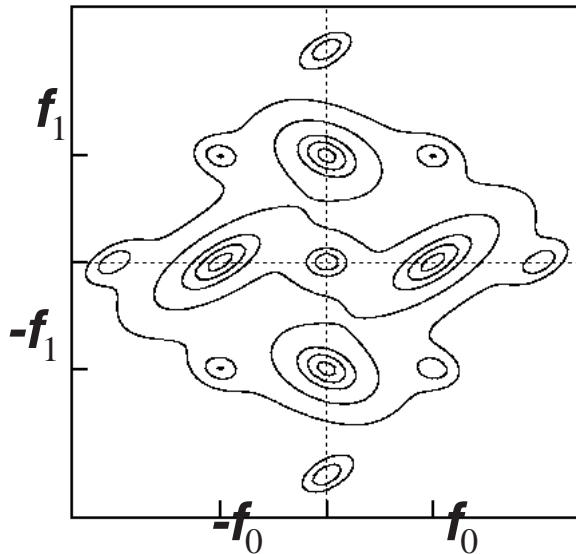


- ❑ The negative frequencies in the Fourier plane are cancelled
- ❑ The argument of $C(\mathbf{r})$ can then be extracted

$$C(\mathbf{r}) \exp(i 2\pi \mathbf{f}_0 \cdot \mathbf{r})$$

Two-dimensional information

- When two orthogonal carriers are used and when the signal contains harmonics:

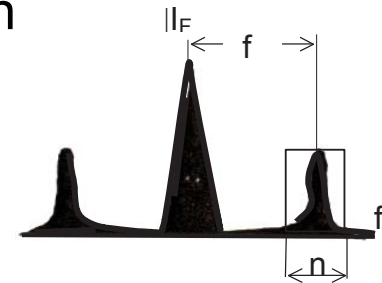
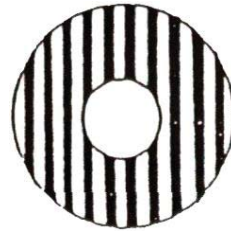
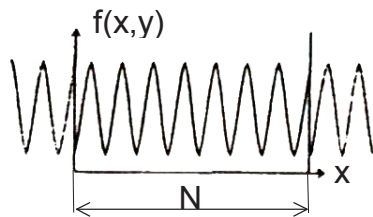


- => Difficult to fully automate

$$\phi(x, y) = \arctan \frac{\text{Im}[c(x, y)]}{\text{Re}[c(x, y)]}$$

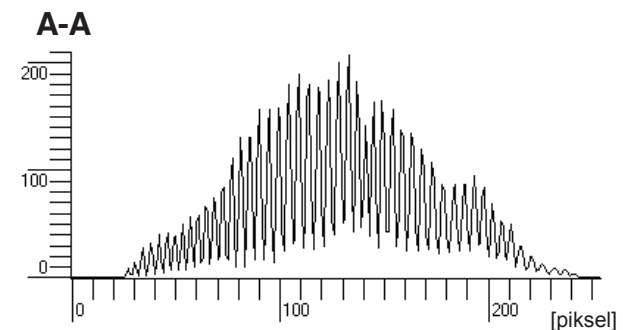
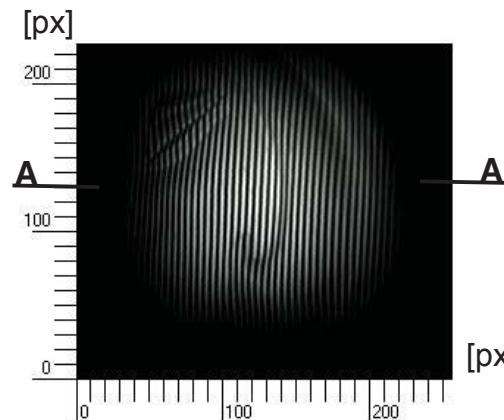
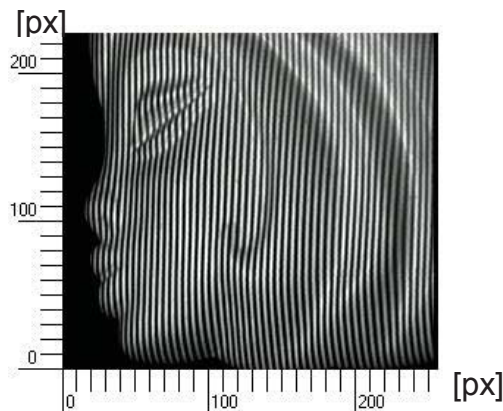
Sources of errors in FTM

1. Wrong filtration (size of the filter) in Fourier space
2. FFT errors
 - i. aliasing
 - ii. domain truncation and discontinuities
3. Influence of background and high order terms if they overlapp with information bandwidth



Significant errors at domain edges

Solutions: fringes extrapolation or multiplication by Hamming or Han window



FTM – (+/-)

❑ Advantages

- analysis based on a single image – dynamic events analysis,
- filtration of background and high frequency errors

❑ Disadvantages

- difficult to automate (selection of location of filtering window,
- possibility to introduce significant local phase errors due to truncation of information frequencies,
- significant errors at edges.

FTM is not widely used in commercial FPA systems

Comparison FTM and SCPS



□ Spatial phase-stepping

- The phase error can be evaluated everywhere with analytic formulae
- The tuning requirement is more stringent : more than 15 % mismatch between the real and ideal sampling frequencies will result in phase oscillations

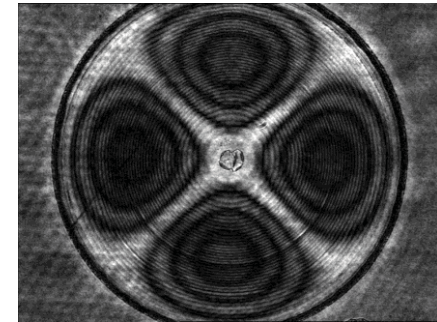
□ Analytic (Fourier transform) signal method

- Edge effects
- The phase error cannot be evaluated
- The tuning requirement is less stringent. If the cutoff frequencies are $f_0/2$ and $2f_0$, the fringe frequency can vary in a large range (400 % of the minimal value). This conclusion may be amended if there are a large amount of harmonics.

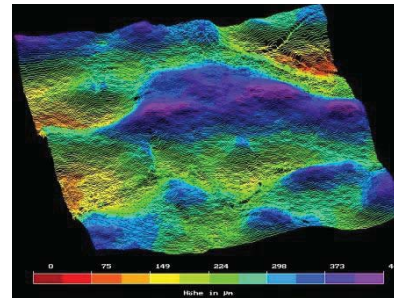
Decoding of fringe pattern modulation

Examples of information encoding in the intensity modulation distribution:

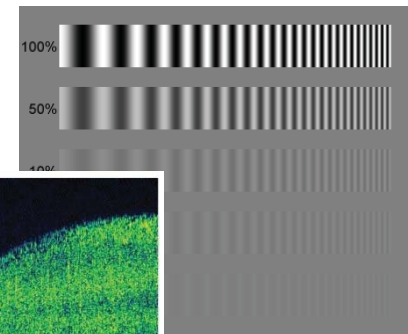
- time average interferometry for vibration studies



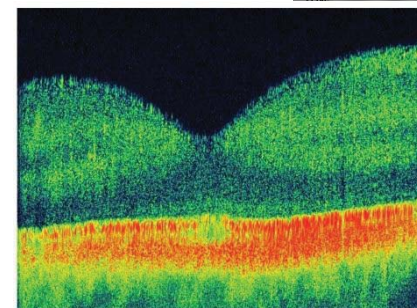
- white light interferometry



- modulation transfer function measurement



- optical coherence tomography



- additive type moire

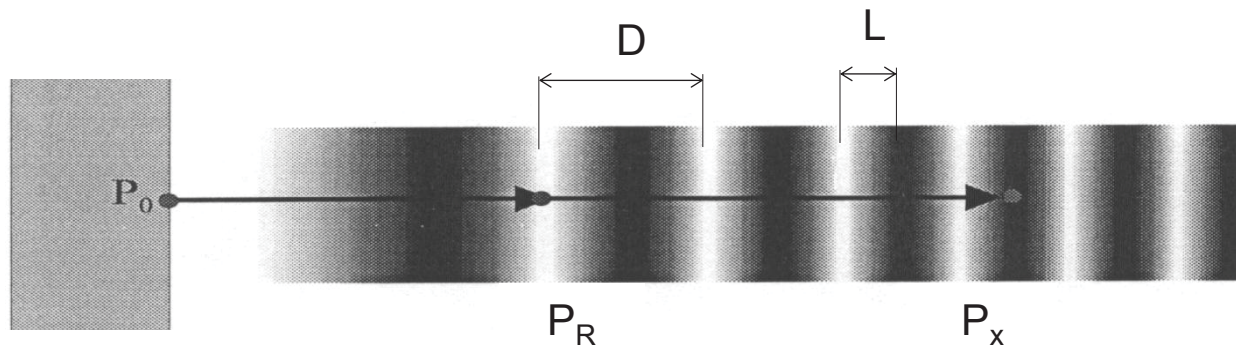


Absolute phase determination

Phase ambiguity problem

$$\cos \phi(x, y) = \cos[s\phi(x, y) + \tilde{N}(x, y)2\pi]$$

$s \in [-1, 1]$, \tilde{N} additive integer



$$\phi(P_x) = N2\pi = [N_R + \tilde{N} + \hat{N}]2\pi$$

$$N_R \text{ - known} \quad \tilde{N} = \frac{1}{2\pi} \phi \text{ mod}(2\pi)$$

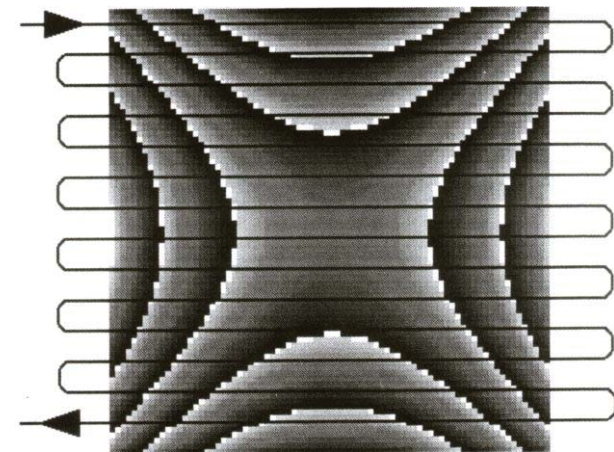
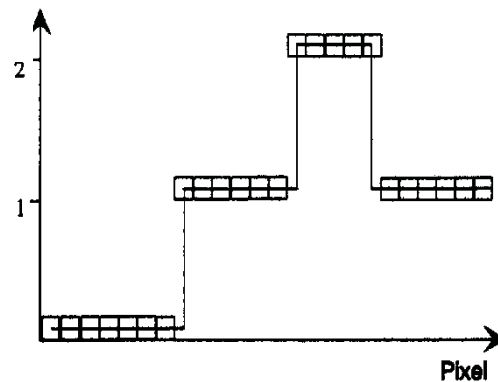
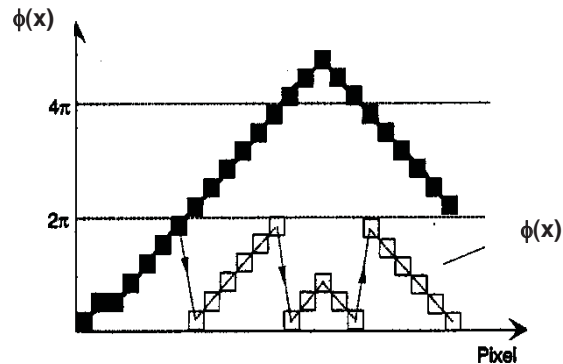
\hat{N} – integer obtained by unwrapping procedure

Numerical unwrapping procedures

**Goal of phase unwrapping procedure:
convert phase fringes into continuous phase function**

Sequential unwrapping by searching for discontinuities $d\phi/dx \leq \pi$ [rad/pixel]

Determination of a step-like function

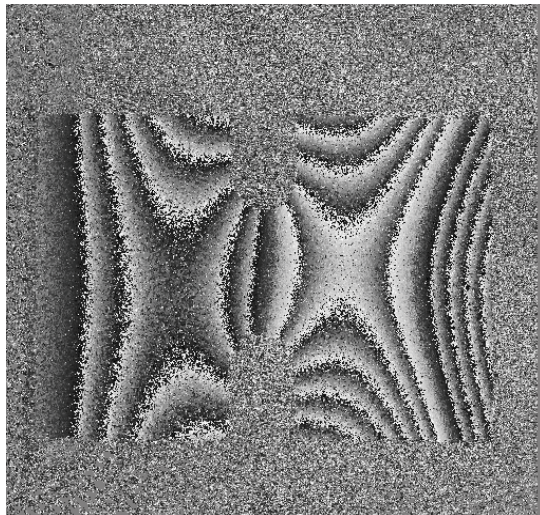


Line-by-line unwrapping

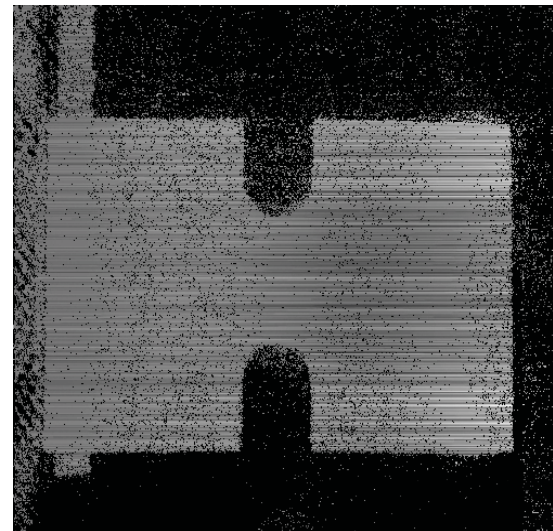
Problems in unwrapping procedures

- Problems due to:
 - noise, domain and physical discontinuities,
 - low phase fringe contrast....

Example:
phase fringes obtained from ESPI



Result of line-by-line unwrapping



Two basic unwrapping approaches



Path-following

$$\Phi_u(i) = \sum_{i'=1}^i \Delta\Phi_w(i')$$

Global optimisation: minimise

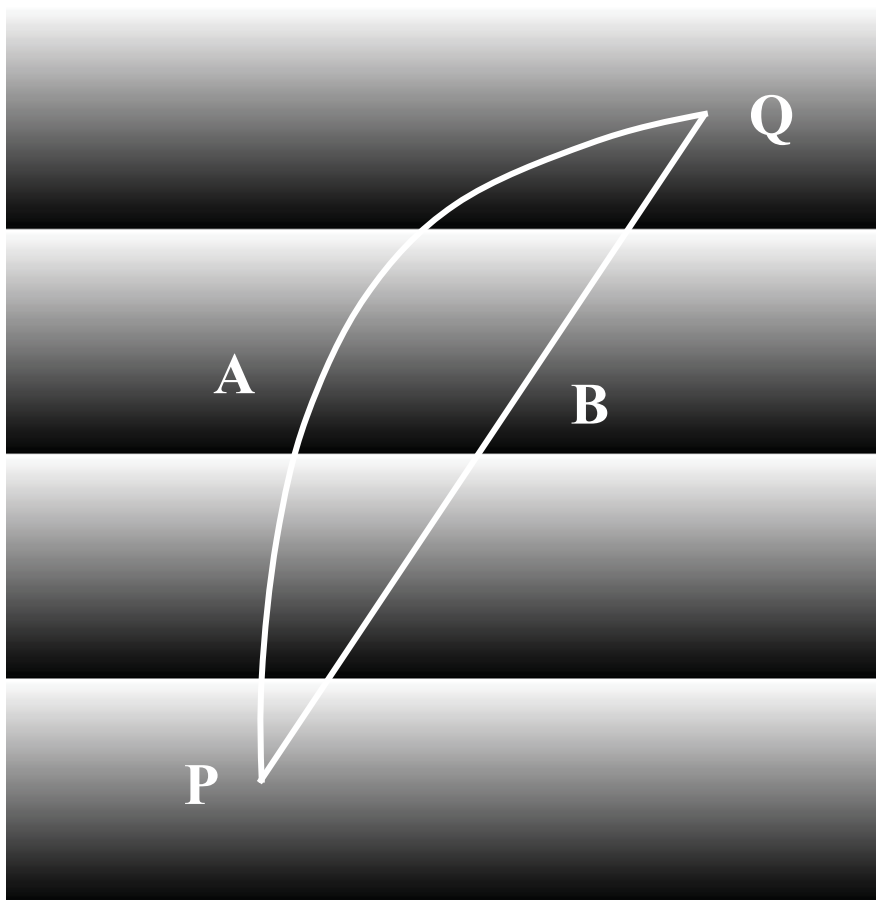
$$S = \sum_{i=1}^{N-1} \{ [\Phi_u(i) - \Phi_u(i-1)] - \Delta\Phi_w(i) \}^p$$

$p = 2 \Rightarrow$ Least squares unwrapping

In 1-D, both approaches give the same result

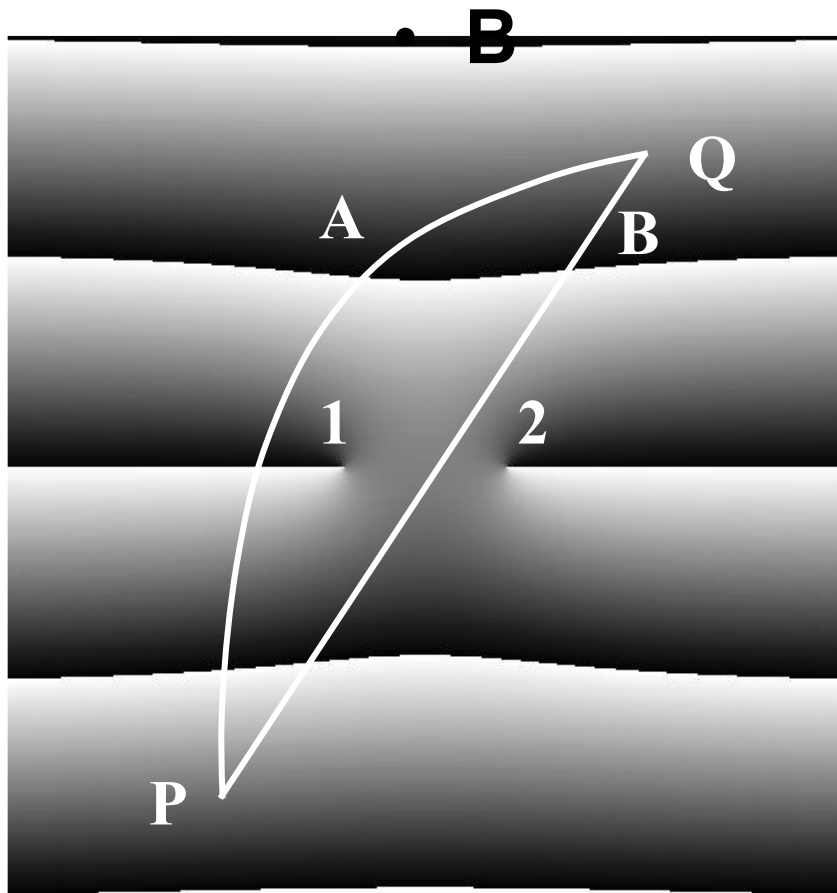
+ temporal unwrapping

2-D unwrapping (path following): Example



- ▶ Noise-free phase map
- ▶ Unwrap from P to Q
- ▶ Path A: 6π to be added to phase at Q
- ▶ Path B: 6π to be added to phase at Q

2-D unwrapping: example 2

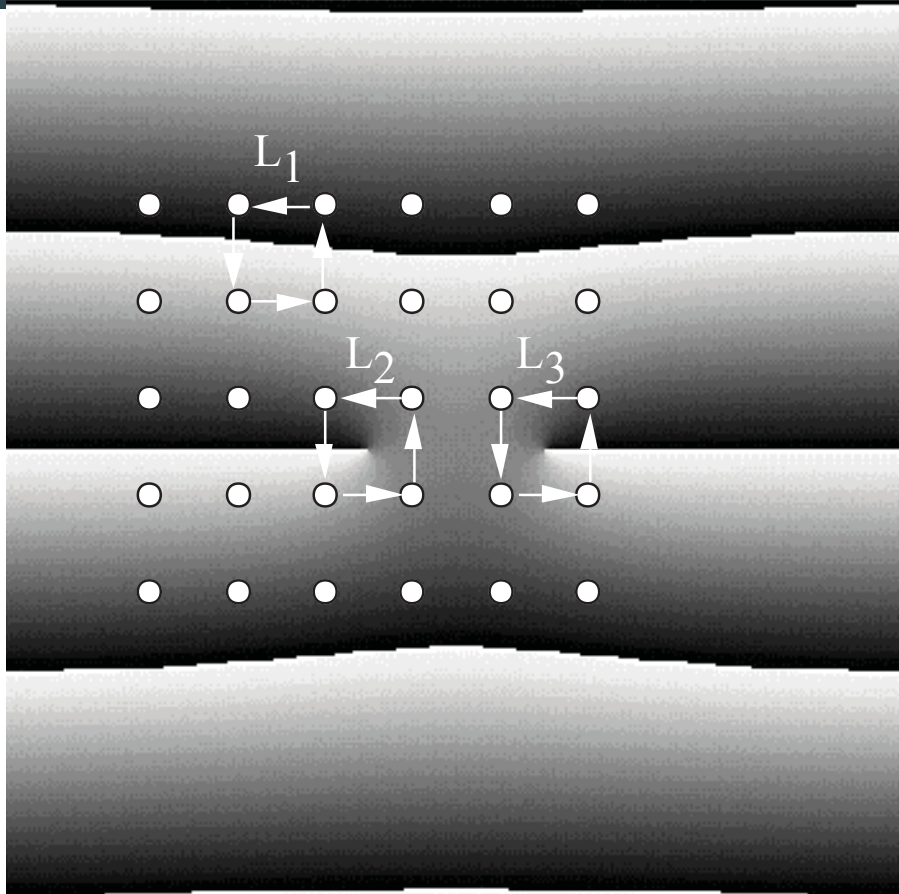


- ▶ Noisy phase map
 - ▶ Unwrap from P to Q
 - ▶ Path A: 6π to be added to phase at Q
- BUT:

- ▶ Path B: 4π to be added to phase at Q
- Path dependence is at the root of all 2-D phase unwrapping problems

“Discontinuity sources” 1 and 2 can be detected by unwrapping around closed loops of 2 x 2 pixels

Detection of discontinuity sources



- ❑ Unwrap round 2×2 pixel squares starting at each pixel in the image
- ❑ Total number of 2π phase jumps, d , round the closed loop is zero except for a loop enclosing a discontinuity source

E.g.:

- ▶ Loop L_1 : $d = 0$
- ▶ Loop L_2 : $d = 1$
- ▶ Loop L_3 : $d = -1$

Path-following unwrapping in 2-D



- Approach 1: try to avoid the regions of the phase map containing the discontinuity sources, e.g. using modulation information

Robinson and Williams; Opt. Commun. **57** 26-30(1986)

Kwon; Proc. SPIE **816** 196-211 (1987)

Vrooman and Maas; Appl. Opt. **30** 1636-41 (1989)

Takeda and Abe, Opt. Eng. **35** 2345-2351 (1996)

- Approach 2: place branch cuts between the +1 and -1 discontinuity sources to act as barriers to unwrapping. All possible unwrapping routes then give the same phase map

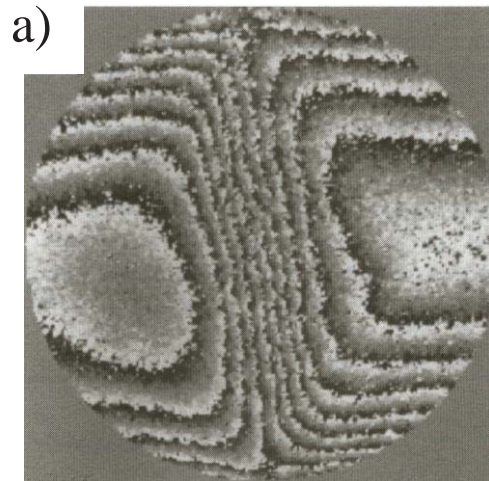
Goldstein et al.; Radio Science **23** 713-720 (1988)

Huntley; Appl. Opt. **28** 3268-70 (1989)

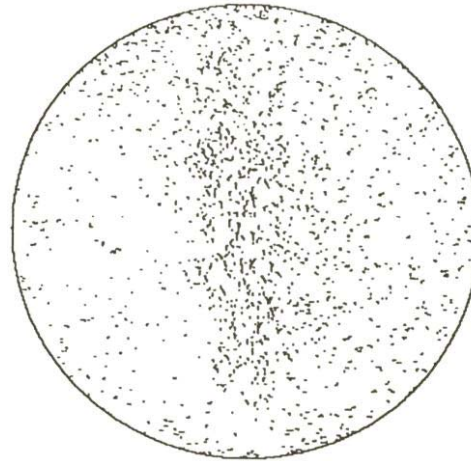
Barr et al.; Opt. Eng. **30** 1405-14 (1991)

Cut-line method

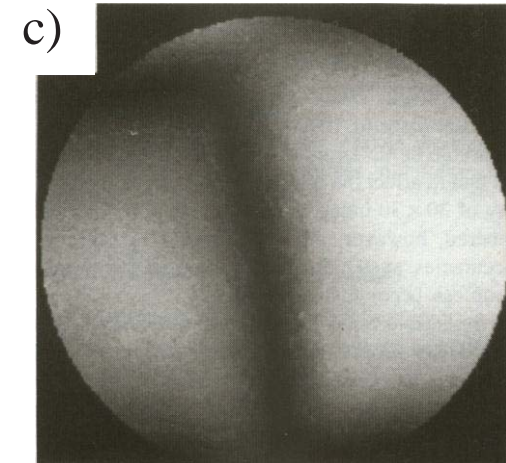
Commercially used



Phase fringes (from ESPI)



Cut-lines



Unwrapped phase

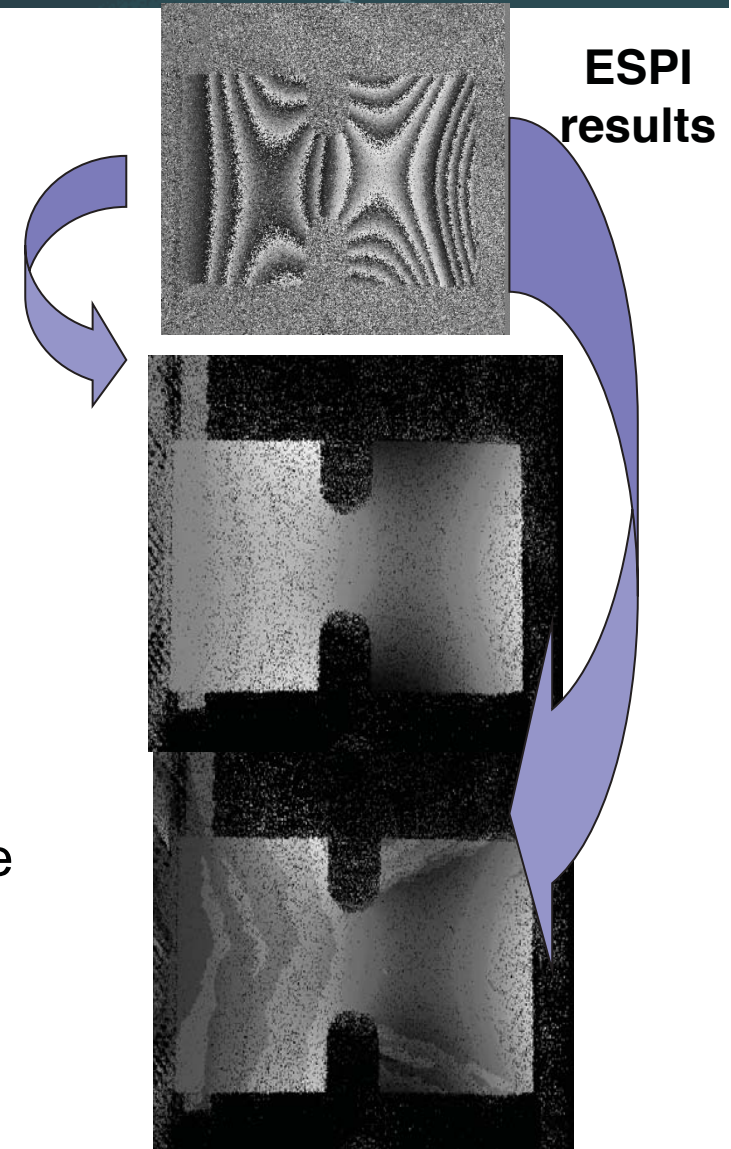
Numerical unwrapping – path dependent

Pixel queueing method (incl. Cut-lines method), which forms the queue of the nonprocessed pixels based on checking the quality of their neighbourhood

Minimum/maximum spanning tree method based on building up a reliable unwrapping path by checking the local gradient of phase or local modulation of signal

Commercially widely used

Sectioning by regions - unwrapping with simple algorithms (e.g. line-by-line) in the predefined regions and latter combining these regions)



Path independent phase unwrapping

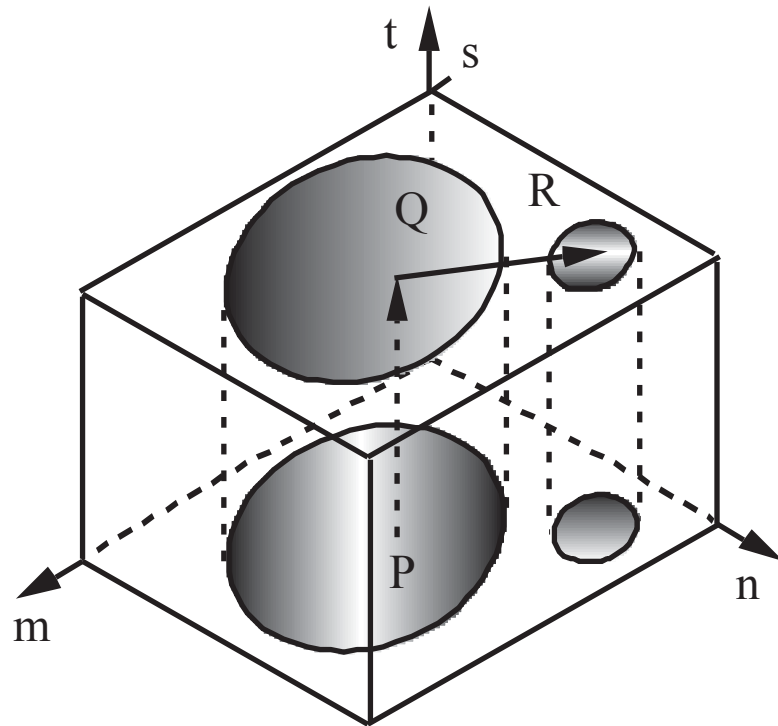
Unweighted least-squares phase unwrapping

- In two dimensions, choose the $\Phi_u(i,j)$ values that minimise S:

$$S = \sum_{i=1}^{N-1} \sum_{j=1}^{N-1} \{[\Phi_u(i,j) - \Phi_u(i-1,j)] - \Delta\Phi_W^{(x)}(i,j)\}^2 P$$
$$+ \sum_{i=1}^{N-1} \sum_{j=1}^{N-1} \{[\Phi_u(i,j) - \Phi_u(i,j-1)] - \Delta\Phi_W^{(y)}(i,j)\}^2 P$$

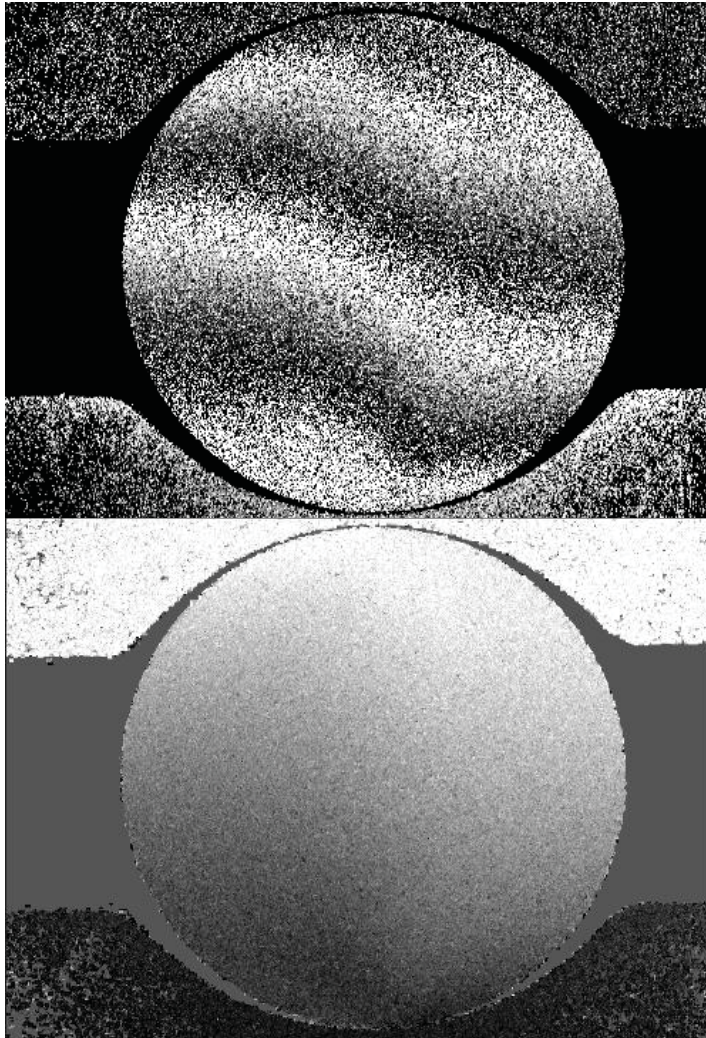
- Problem reduces to finding a solution to discretized Poisson equation
- Cosine transform provides one efficient solution method
 - Ghiglia and Romero, JOSA **11** 107-117 (1994)
- Problems:
 - Smooths the data
 - Systematic under-estimation of phase gradients

Path independent phase unwrapping: Temporal phase unwrapping



- ❑ Measure wrapped phase as a function of time, t
 - ❑ Form a three-dimensional phase distribution, $\Phi(m,n,t)$
 - ❑ Unwrap along the time axis (e.g. PQ) rather than spatially (e.g. QR)
 - ❑ Avoids spatial propagation of unwrapping errors
- BUT
- ❑ Large quantity of data to process

Temporal phase unwrapping



- ❑ Wrapped phase map from an in-plane speckle interferometer
- ❑ Total phase change between first and last in a sequence of 22 phase measurements
- ❑ Boundaries and noise prevent successful unwrapping
- ❑ Result of temporal unwrapping through the 22 intermediate phase maps
- ❑ Fully automated analysis: no data smoothing or specification of boundary positions required

Further solutions for absolute phase problems

In the case of physical discontinuity between regions or several objects in the field of view the obtained phase values will be nonconsistent.

Solution :
Modification of system parameters

$$\left[\tilde{N}_m^n + \hat{N} \right] 2\pi = \frac{2\pi}{\lambda} S^n(P_m) + N_R^n(P_R) 2\pi$$
$$n = 1, \dots, N \quad m = 1, \dots, M$$



Solving system for $NM > 3M + N$ equation

- concept of synthetic wavelength
- concept of hierarchical measurement

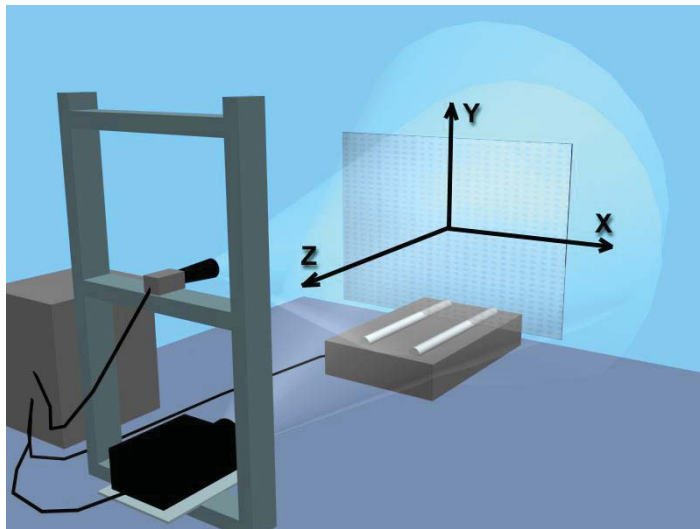
Methods for the generation of synthetic wavelength



Method	Parameter	Synthetic wavelength
Symmetrical rotation of two grids	Rotation angle γ	$\Lambda^{(k)} = \frac{D}{2\sin\gamma^{(k)}}$
Variation of spatial frequencies of two grids	Difference of spatial frequencies (periods) $\Delta = D_1 - D_2$	$\Lambda = \frac{D^2}{\Delta D}$
Variation of the wavelength of the illumination beam	Difference of wavelengths $\Delta\lambda = \lambda_1 - \lambda_2$	$\Lambda = \frac{\lambda^2}{\Delta\lambda}$
Variation of the angle separation of the illumination sources where	Angle separation α	$\Lambda^{(k)} = \frac{\lambda}{2\sin(\alpha^{(k)}/2)}$

Wavelength scanning interferometry \rightarrow white light interferometry
 White light interferometry + PSM \Rightarrow wide range and high precision

Structured light method: Fringe and Gray code projection method for 3D shape measurement



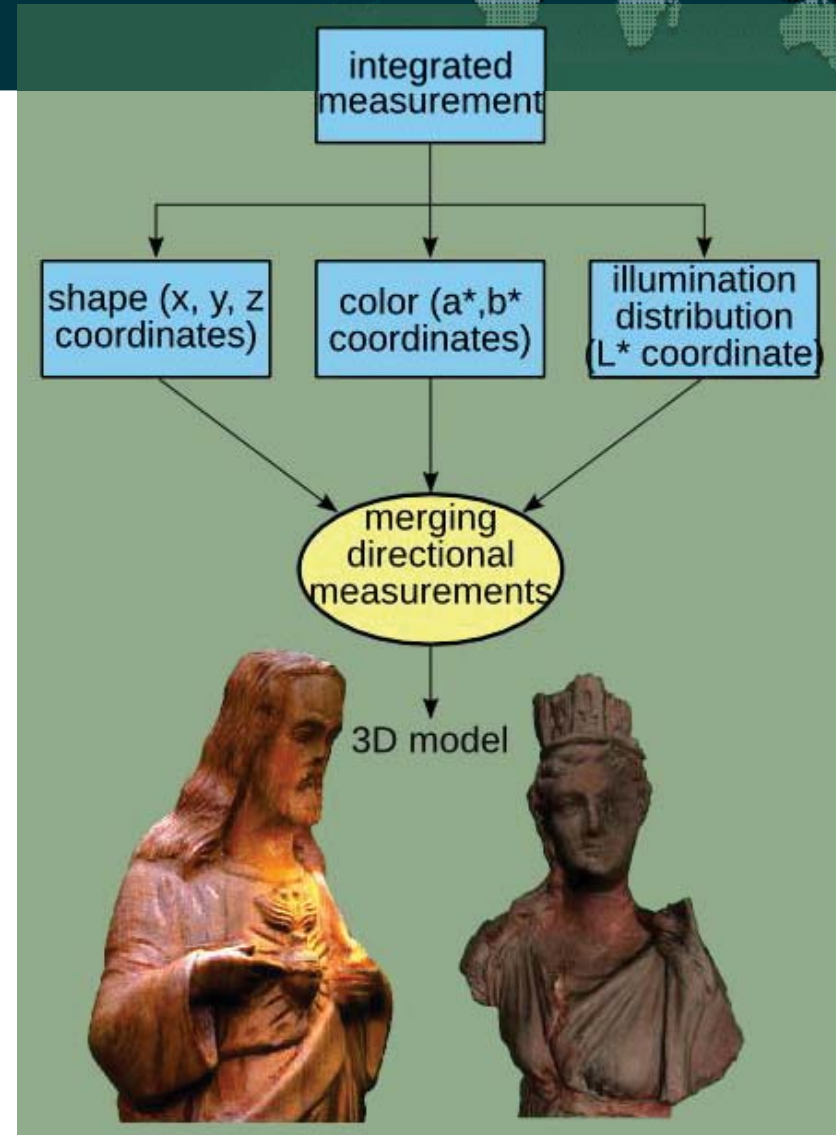
$$\Phi(i,j)\text{mod}(2\pi) + N(i,j)*2\pi = \Phi(i,j)$$

↓ Calibration

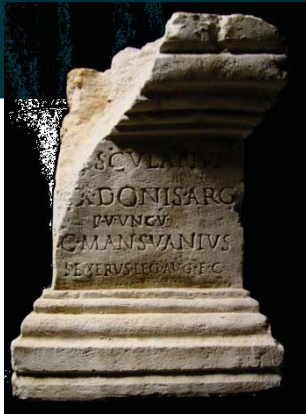
$$(i,j,\Phi) \rightarrow (x,y,z)$$

New challenges in 3D content capture

- Adding attributes to measured objects
- Automatization of measurement and processing

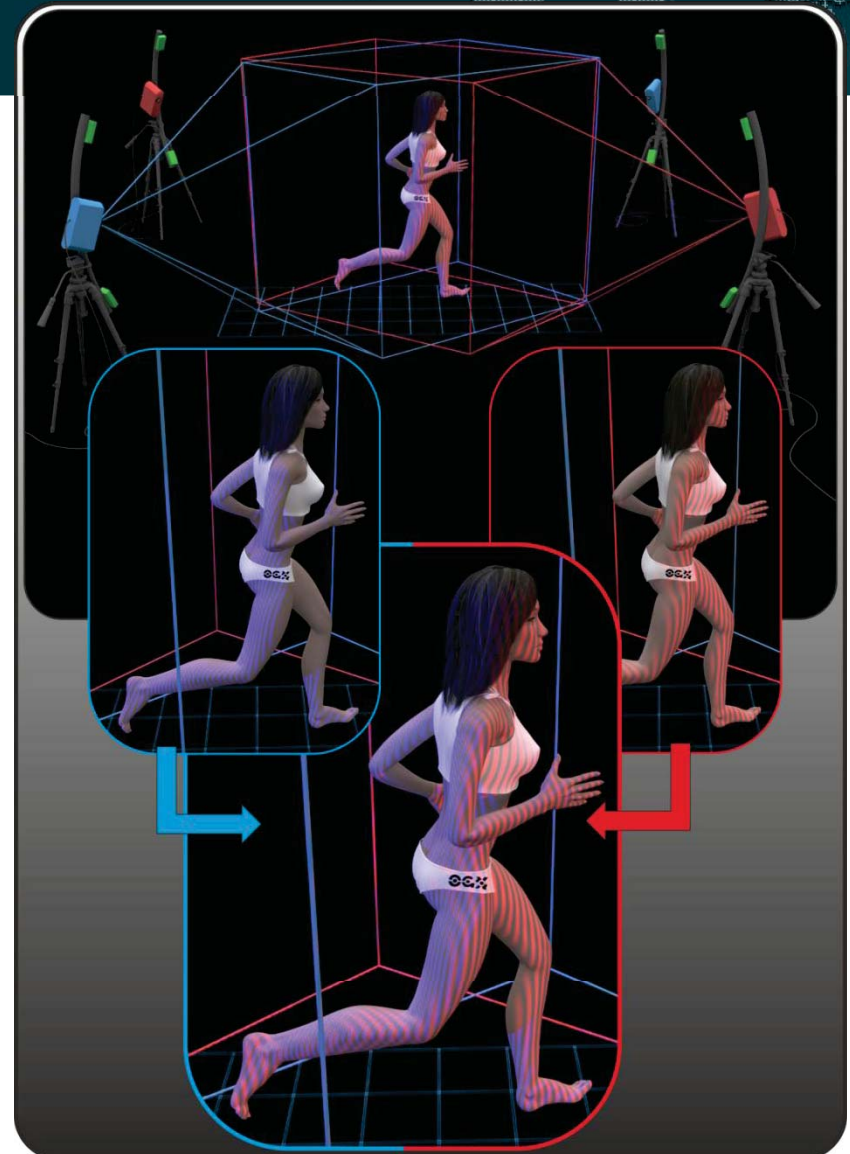


OGX | Automated

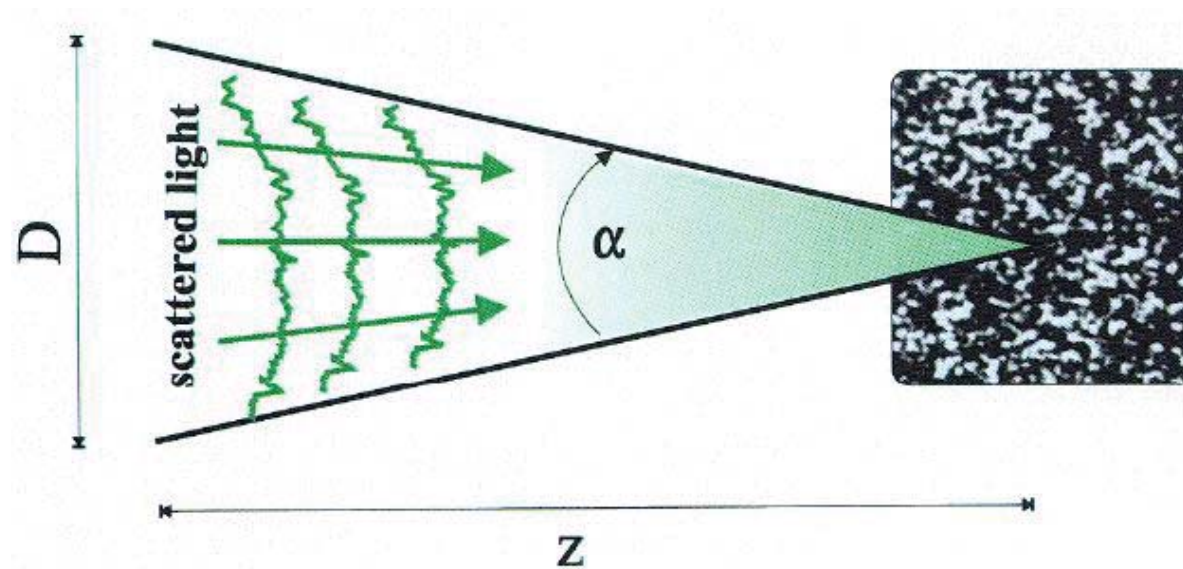


4D data capture - medical applications

- **Multidirectional measurement** of static shape as well as dynamic surface coordinates
- **Parameters:**
 - **measurement volume:** 2m x 2m x 1,5m
 - **measurement uncertainty:** 0,4mm (static), 1mm (dynamic)
 - **measurement frequency:** 60Hz.
- **Resulting data:**
 - **4D coordinates** (x, y, z, t),
 - **movement parameters** (angles, corssctions, etc).



Phase retrieval from speckle photography



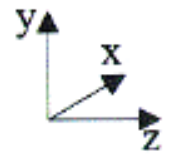
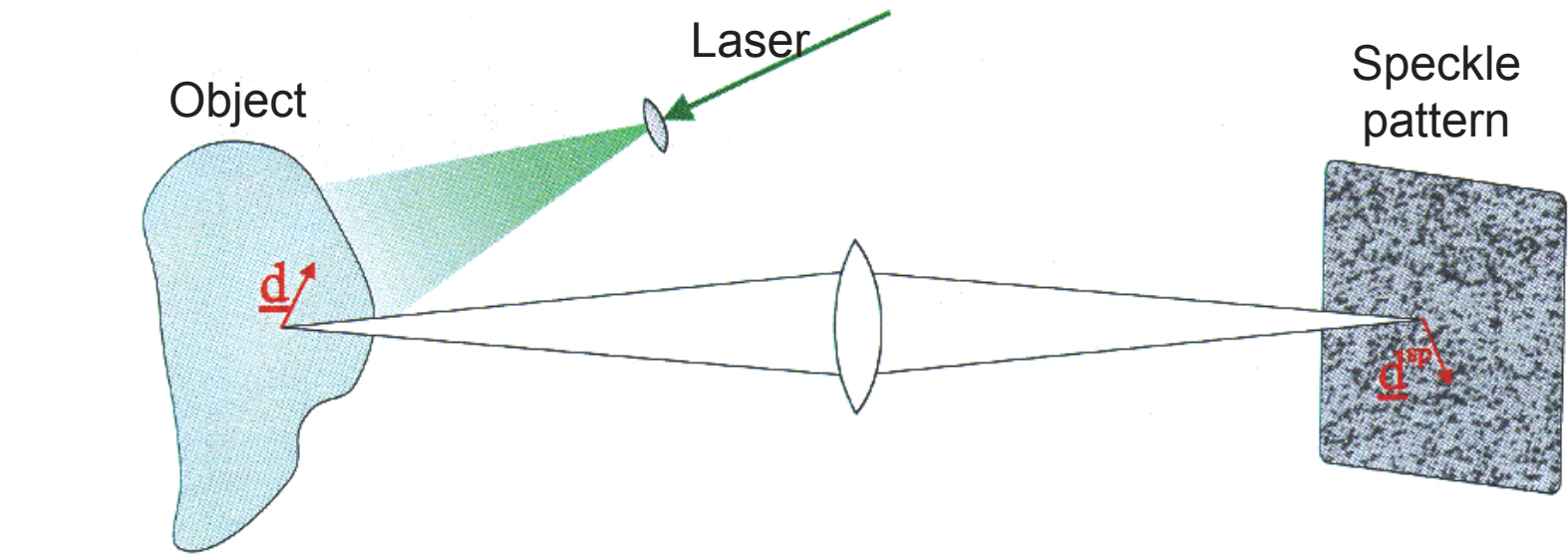
Mean speckle diameter: $d_{\text{speckle}} = 2.44 \lambda z/D$

D : diameter of illuminated area ... objective speckle
D : diameter of imaging lens ... subjective speckle

So we can control the speckle size !!!!!

Speckle photography

Two speckle fields (representing the object states before and after deformation) are **incoherently** superimposed to give information about in plane displacement



$$\begin{aligned} d_x &= -1/m d_x^{sp} \\ d_y &= -1/m d_y^{sp} \end{aligned}$$

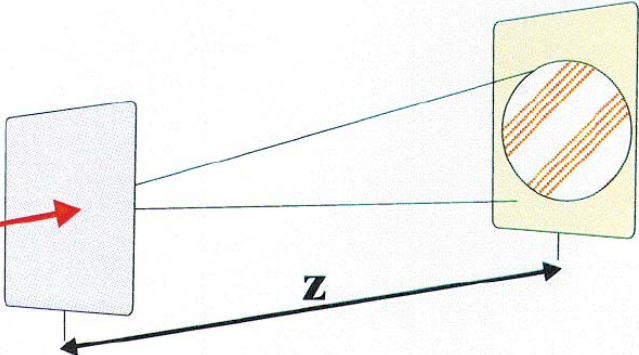
m- magnification

Measuring:
speckle displacement d^{sp}

- a) Young's fringes
- b) digital correlation

Optical methods of speckle pattern analysis

The specklegram + double exposure negative
 The specklegram acts like the two apertures in Young's double Aperture interferogram

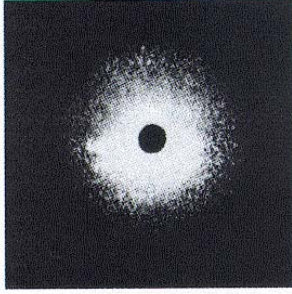
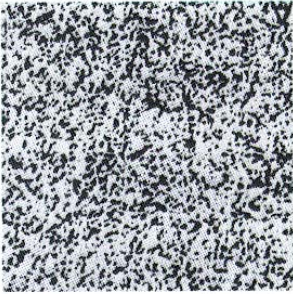


Laser
 (λ)

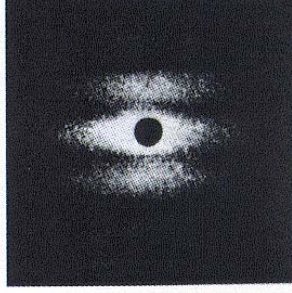
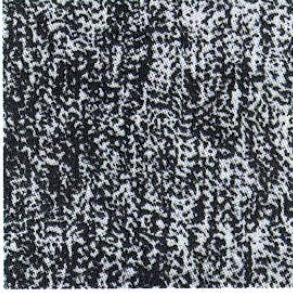
speckle
 pattern

Young's
 fringes

$\underline{d}^{sp} = 0$



$\underline{d}^{sp} \uparrow$



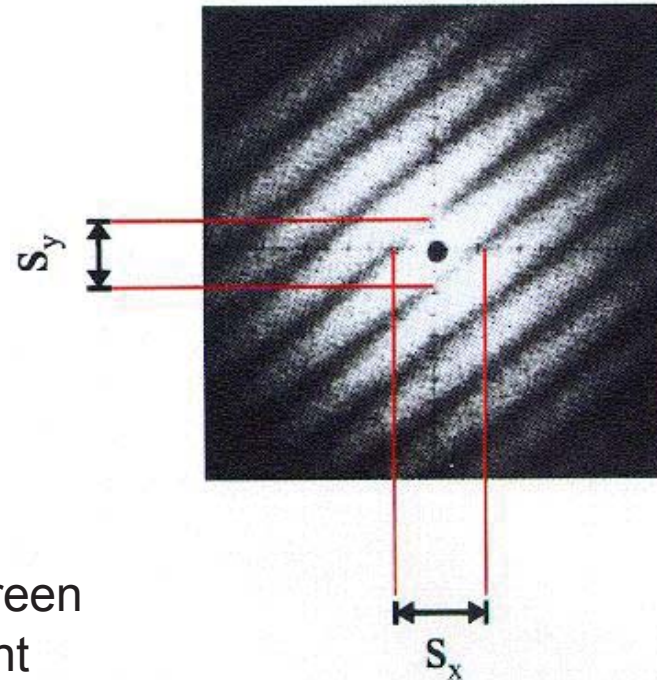
Point by point analysis

$$|d_x| = \frac{\lambda z}{m s_x}$$
$$|d_y| = \frac{\lambda z}{m s_y}$$

m ... magnification

z ... distance specklegram-screen

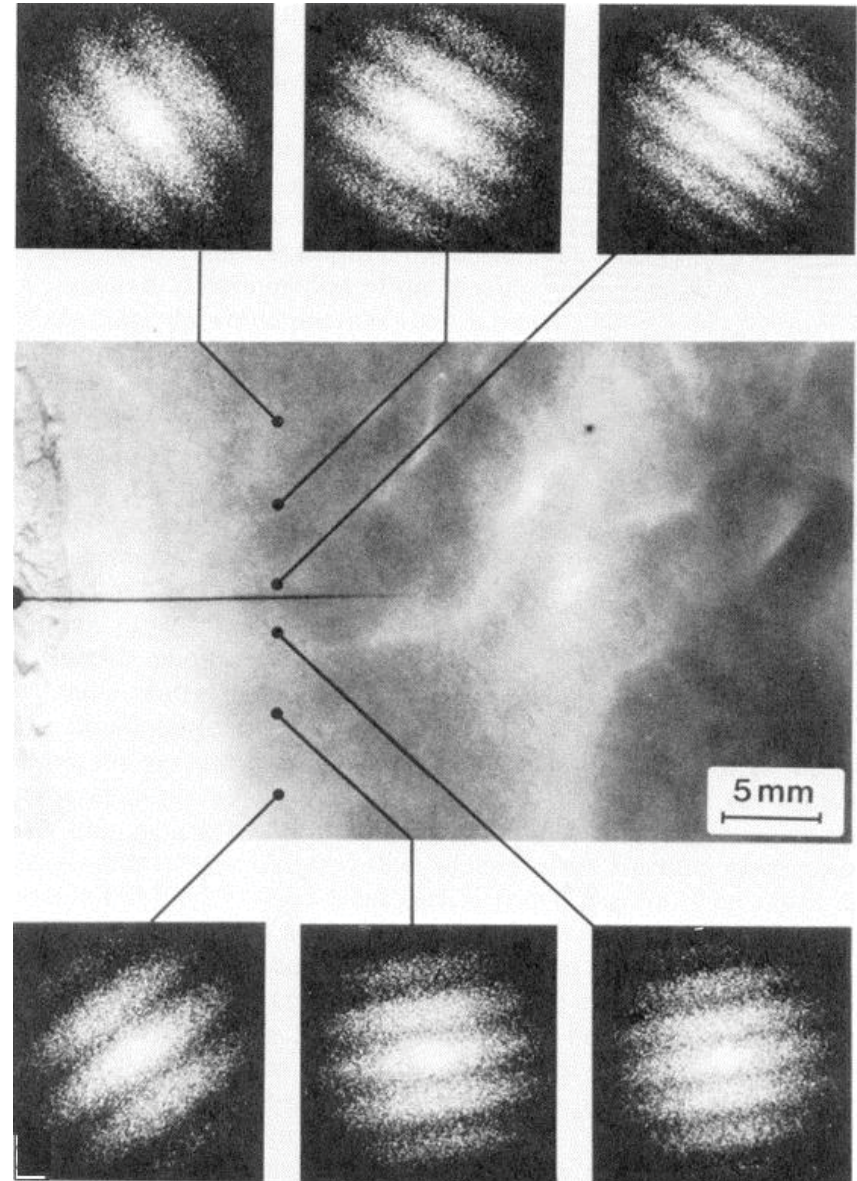
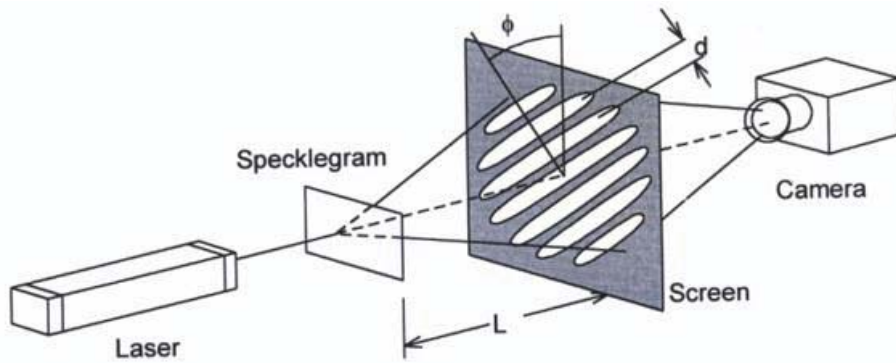
λ ... wavelength of laser light



The orientation of the fringes is perpendicular to the direction of in-plane displacement

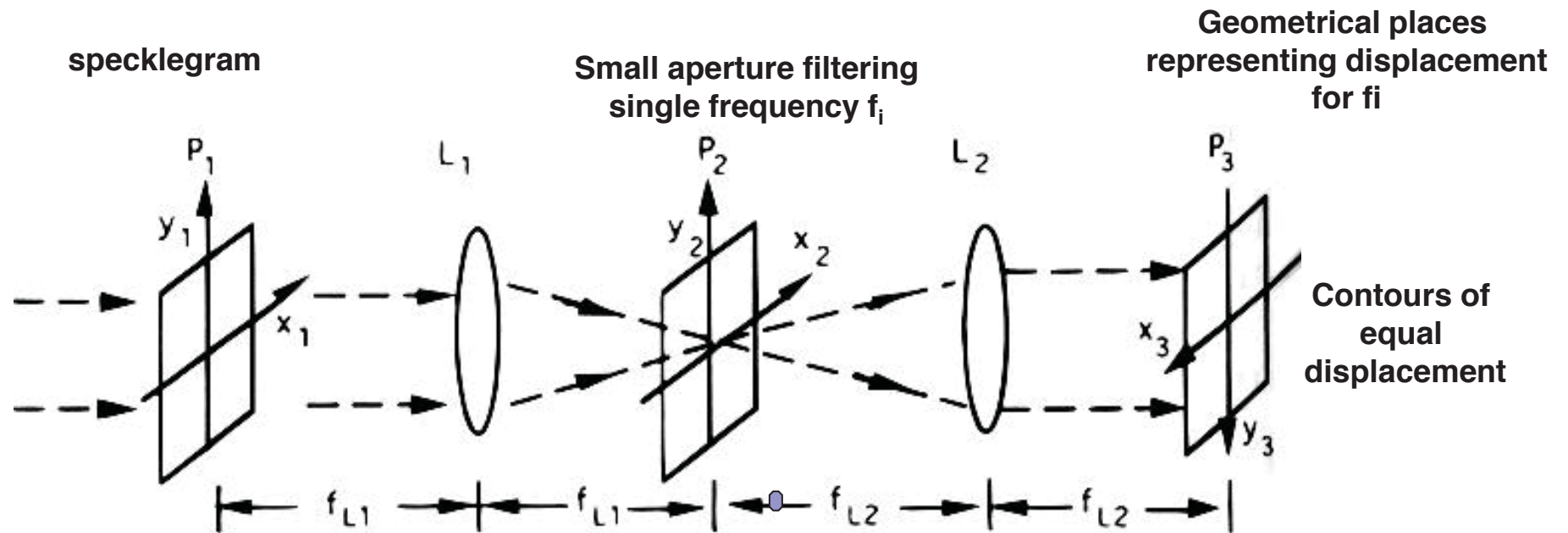
Speckle photography – optical analysis

1. Point-wise analysis system

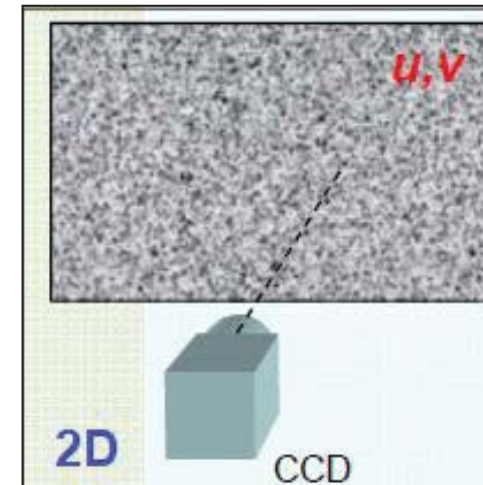
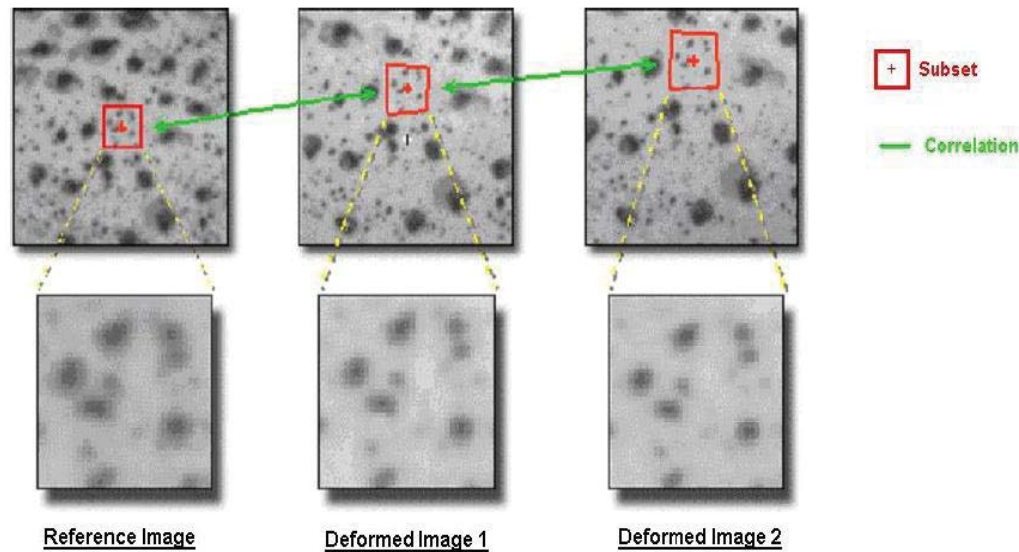


Fourier analysis of specklegram

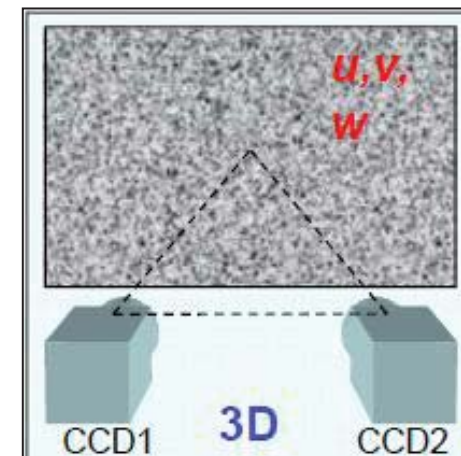
Placing specklegram in an optical Fourier processor



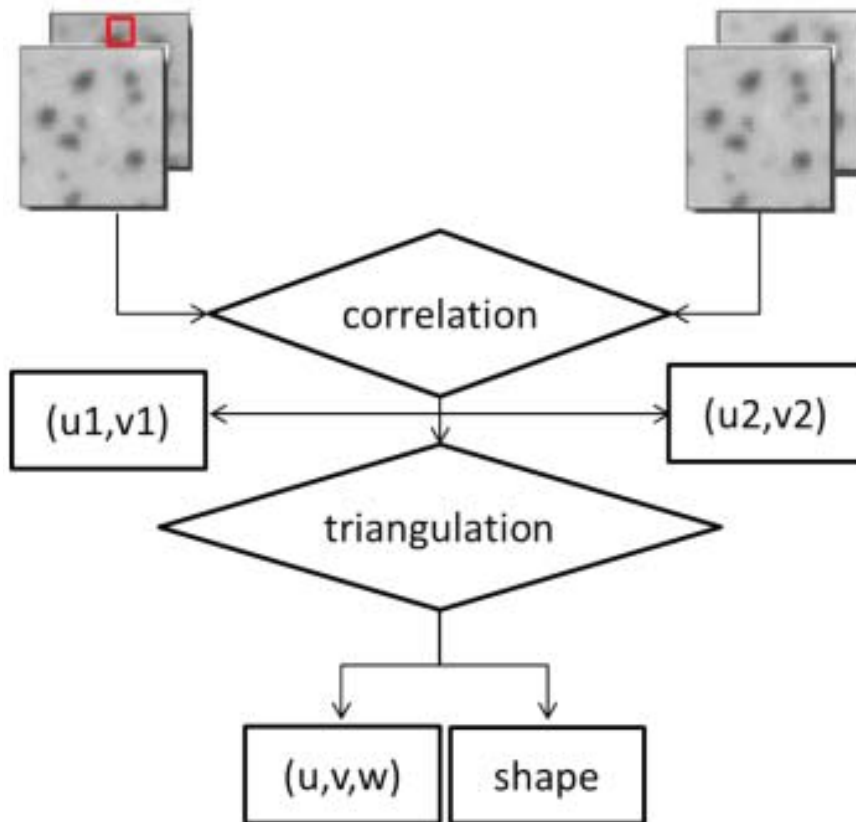
Digital Image Correlation technique



$$C_{normfg} = \frac{\sum_{i=1, j=1}^{n, m} f(i, j)g(i, j)}{\sqrt{\sum_{i=1, j=1}^{n, m} f(i, j)^2} \sqrt{\sum_{i=1, j=1}^{n, m} g(i, j)^2}}$$



Digital Image Correlation technique

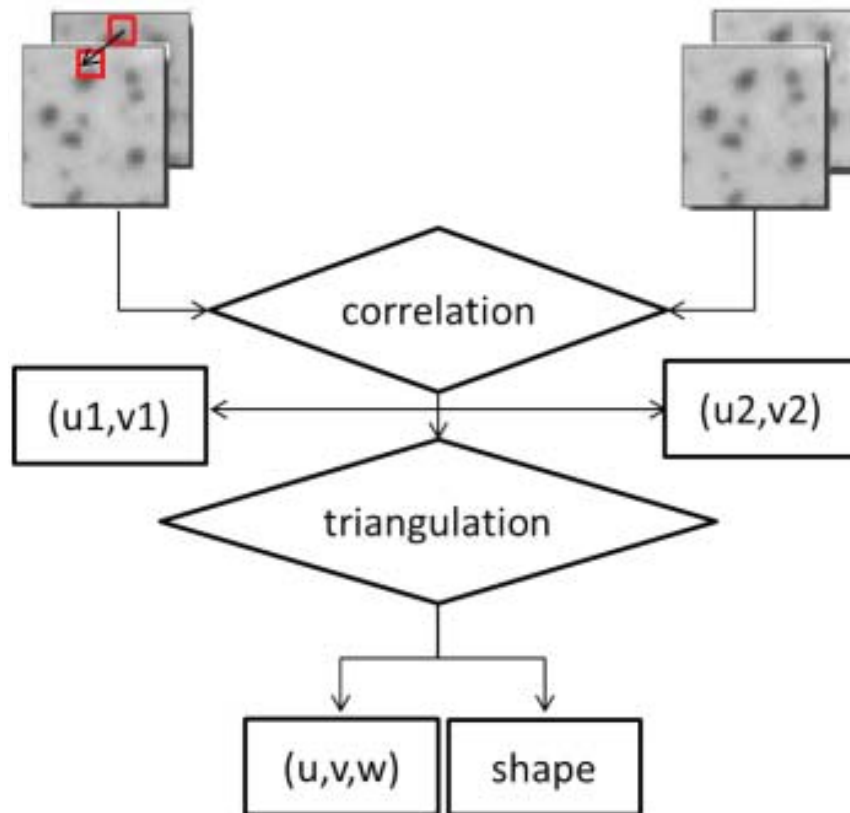


DIC data processing flow-chart

features of the DIC:

- full-field non-contact measurements
- scalable field of view
- scalable and high accuracy
- relatively cheap hardware configuration
- flexibility with data acquisition frequency

Digital Image Correlation technique

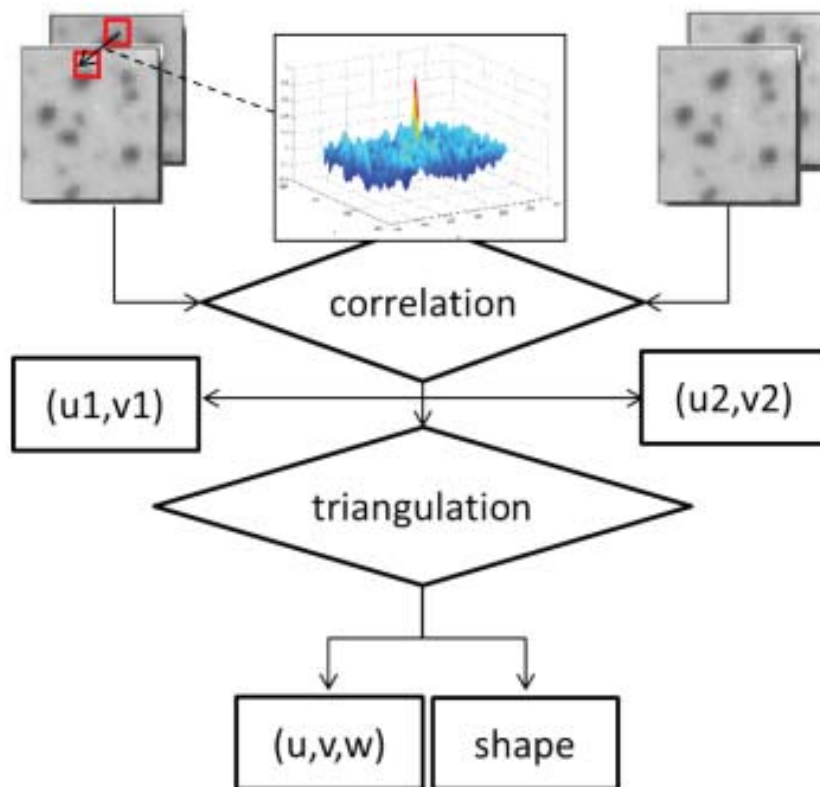


DIC data processing flow-chart

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- full-field non-contact measurements
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- relatively cheap hardware configuration
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Digital Image Correlation technique

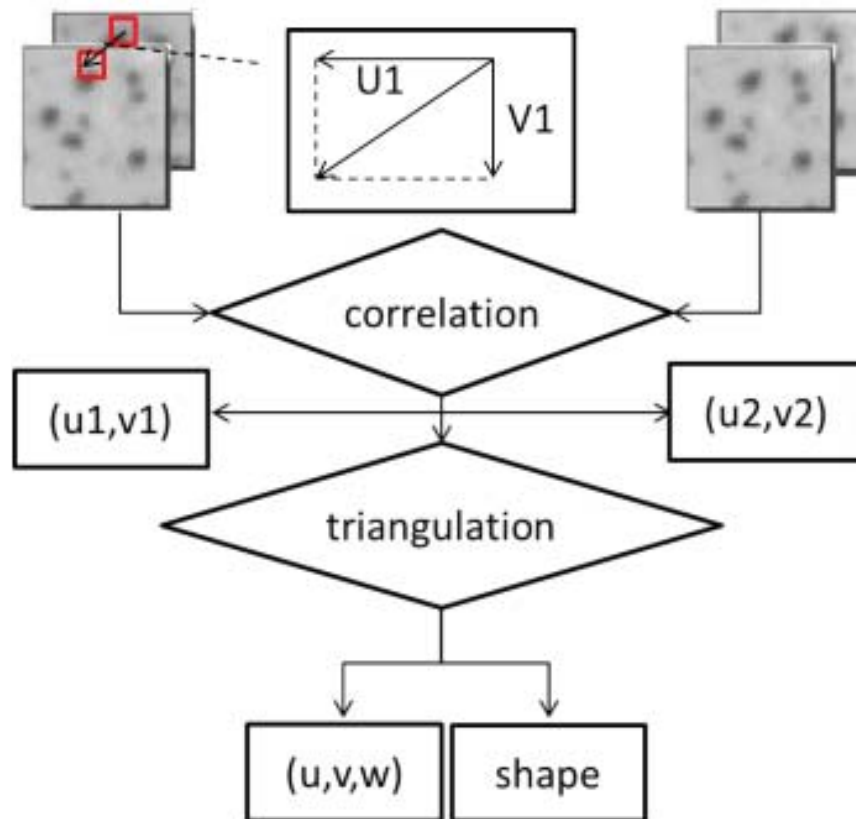


DIC data processing flow-chart

features of the DIC:

- full-field non-contact measurements
- scalable field of view
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- relatively cheap hardware configuration
- flexibility with data acquisition frequency

Digital Image Correlation technique



DIC data processing flow-chart

features of the DIC:

- full-field non-contact measurements
- scalable field of view
- scalable and high accuracy
- relatively cheap hardware configuration
- flexibility with data acquisition frequency

Low-cost civil engineering structures

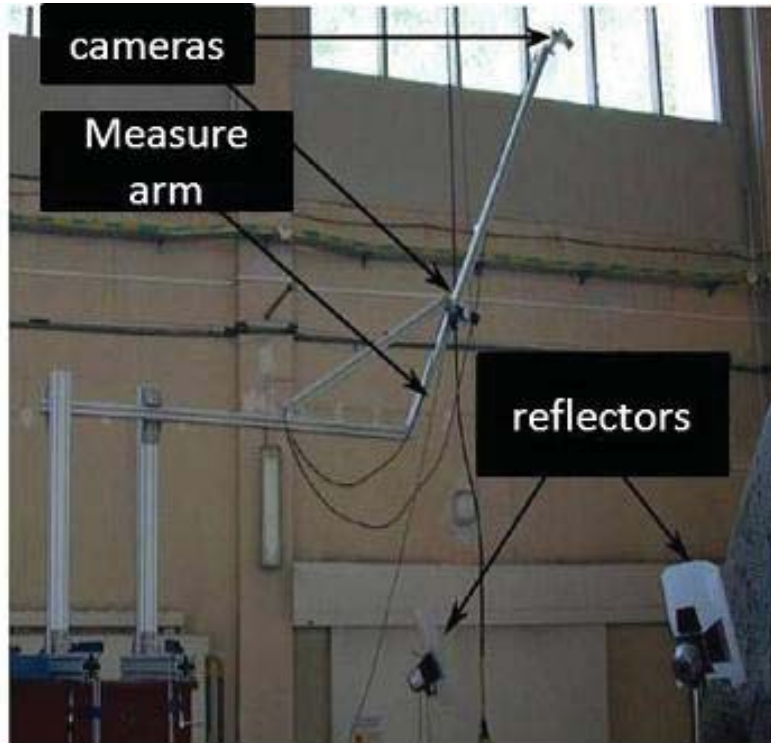


real object - part of a warehouse complex, 12m span and 45m length



physical representation - fragment of the metal-plate arch, 4 individual modules, 12m span

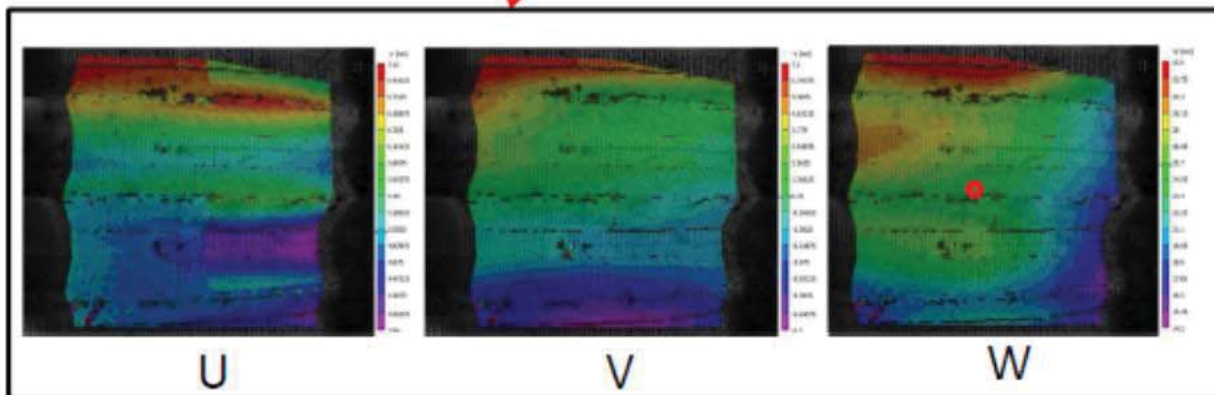
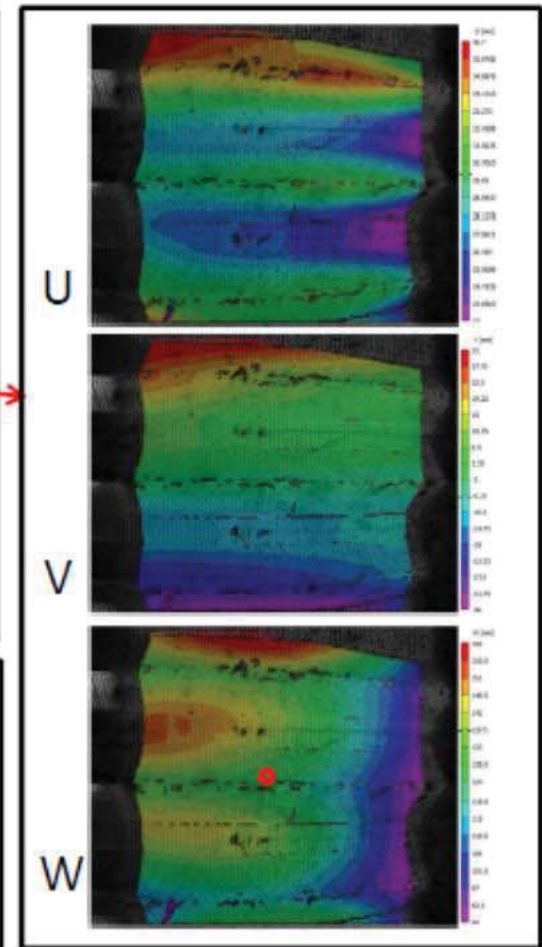
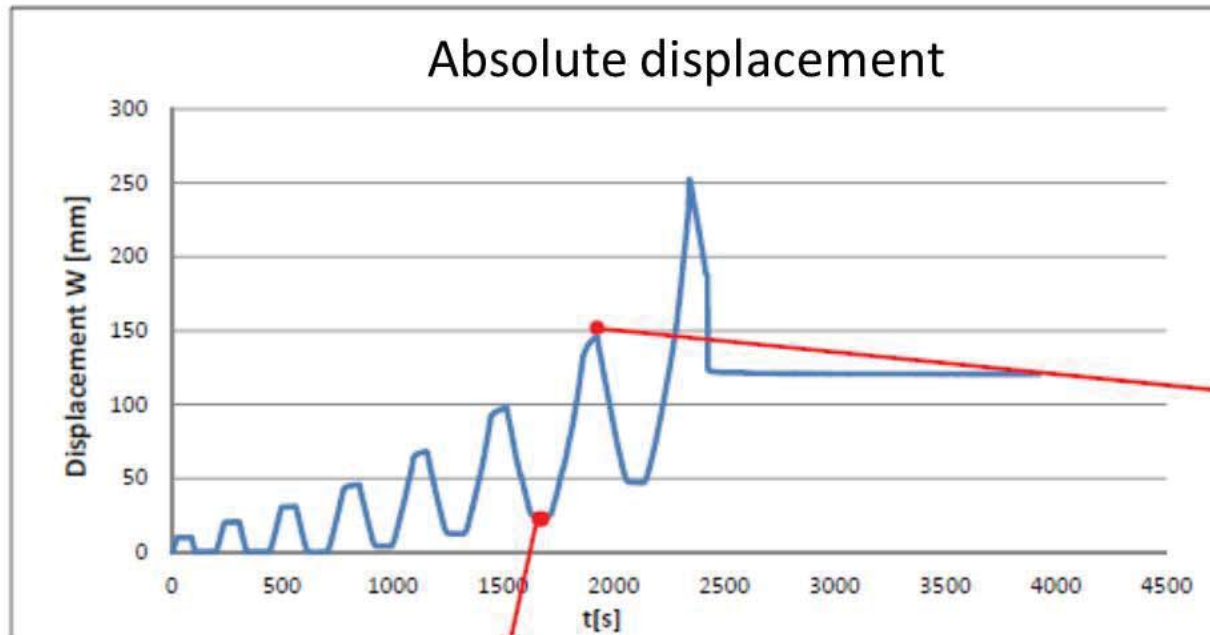
Measurements



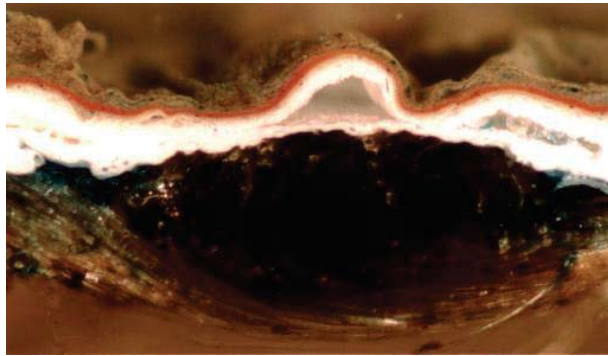
3D DIC setup on aluminium scaffold

- 2x 2Mpx AVT cameras equipped with 8mm focal length lenses
- 2x 650W halogen reflectors
- 2m x 2m FOV
- 1Hz acquisition frequency
- 0.05mm in-plane accuracy
- 0.07mm out-of-plane accuracy

3D DIC results



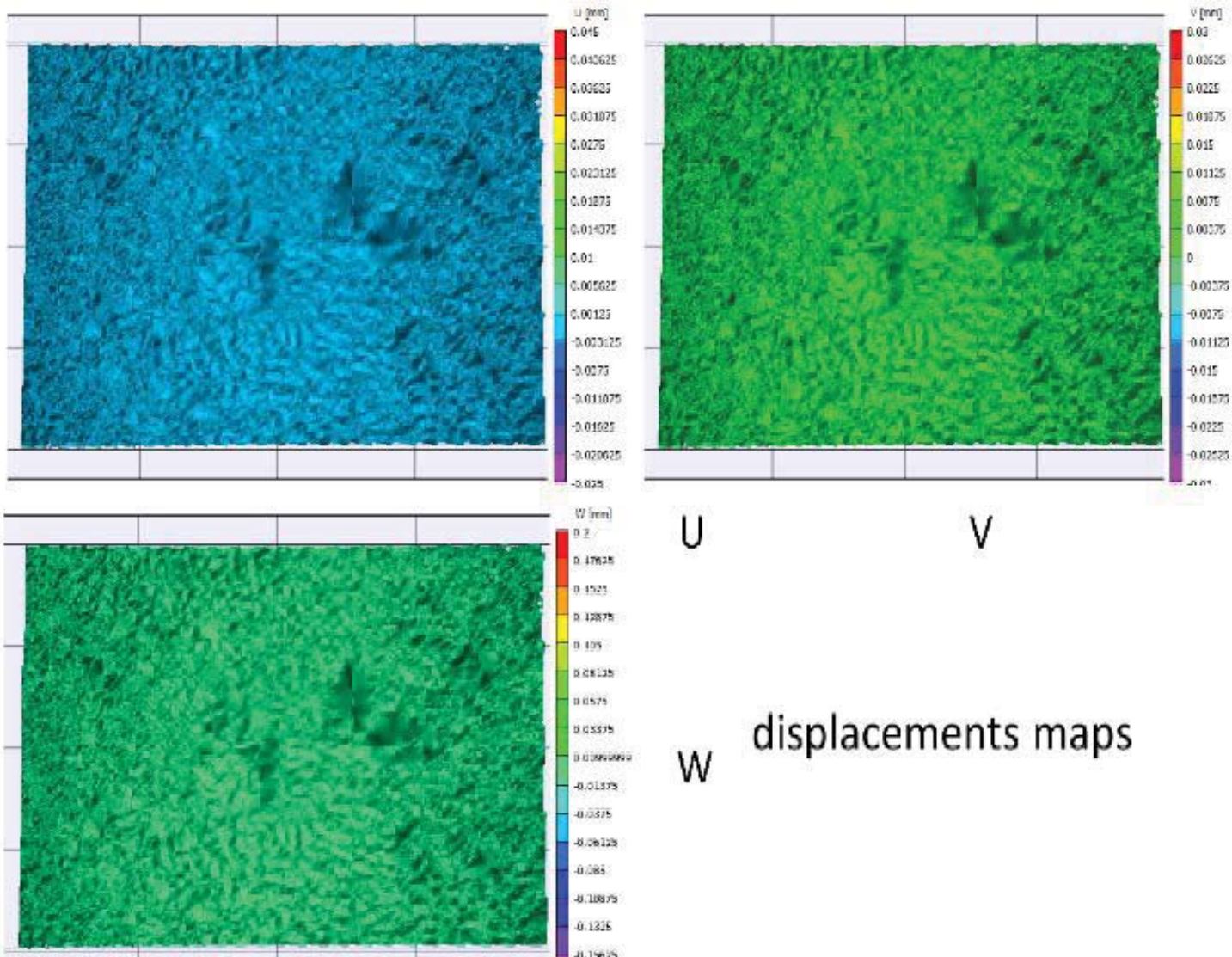
Canvas paintings measurements in changing environmental conditions



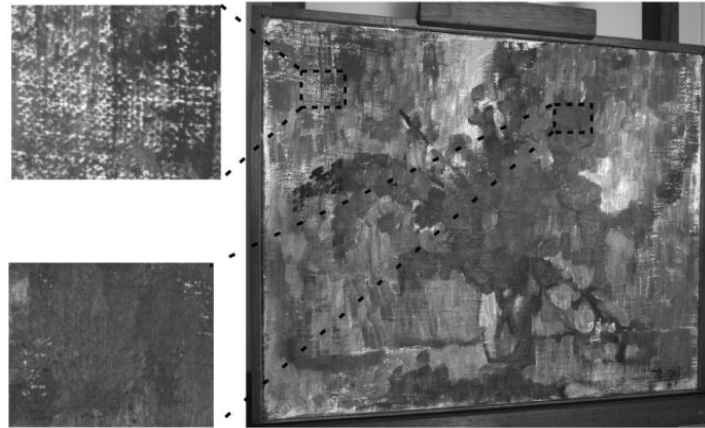
parametry:

- Cameras: 2x AVT Stingray 2Mpx
- Objectives : 2x Schneider Kreuznach 8mm
- Illumination: halogen lamp 650W
- fps: 1/20
- FOV: 0.4m x 0.3m
- accuracy: 0.02mm

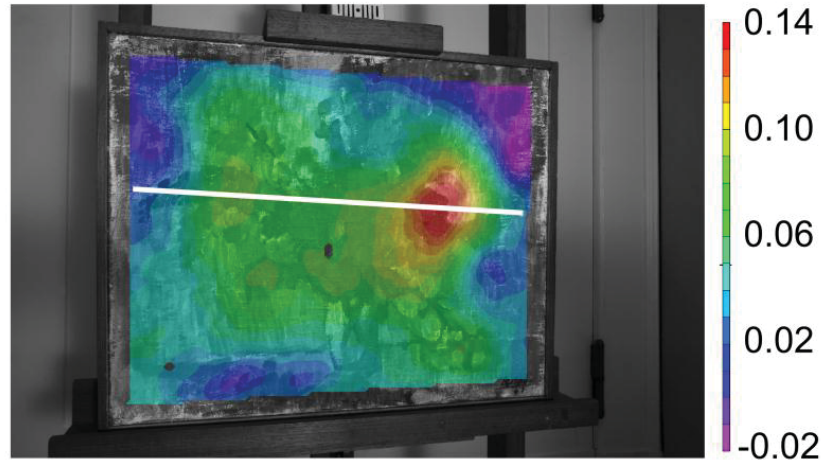
Influence of a local repair of painting



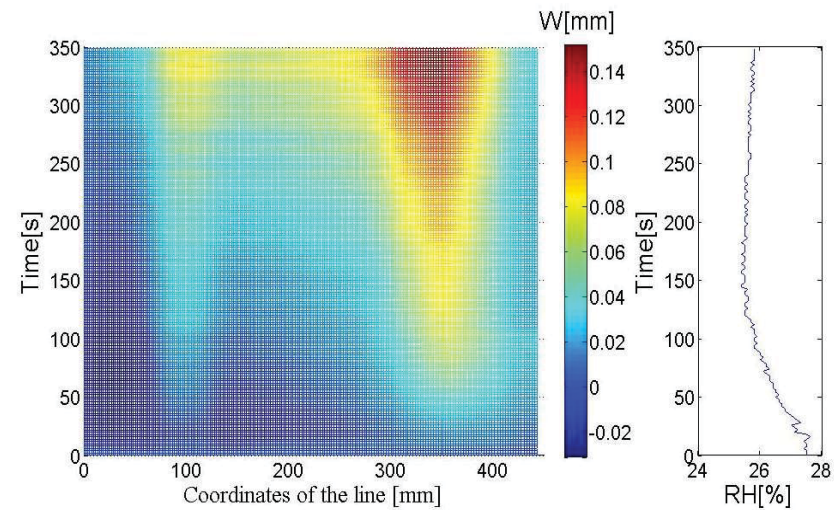
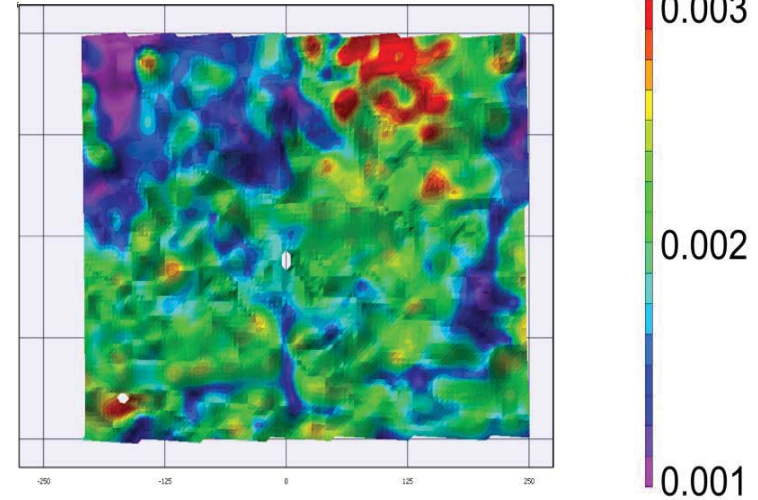
3D DIC Using natural texture



W [mm]

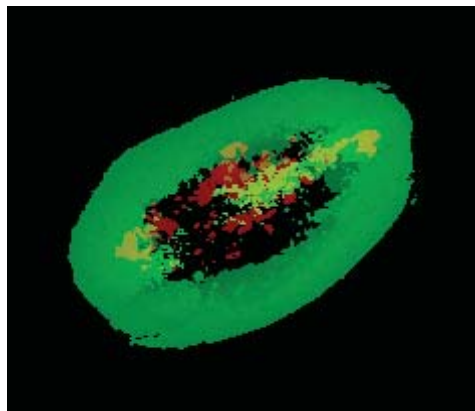


Sigma [pixel]



3D metrology of microobjects

Gathering data for tomographic reconstruction:



phase contrast microscopy – qualitative

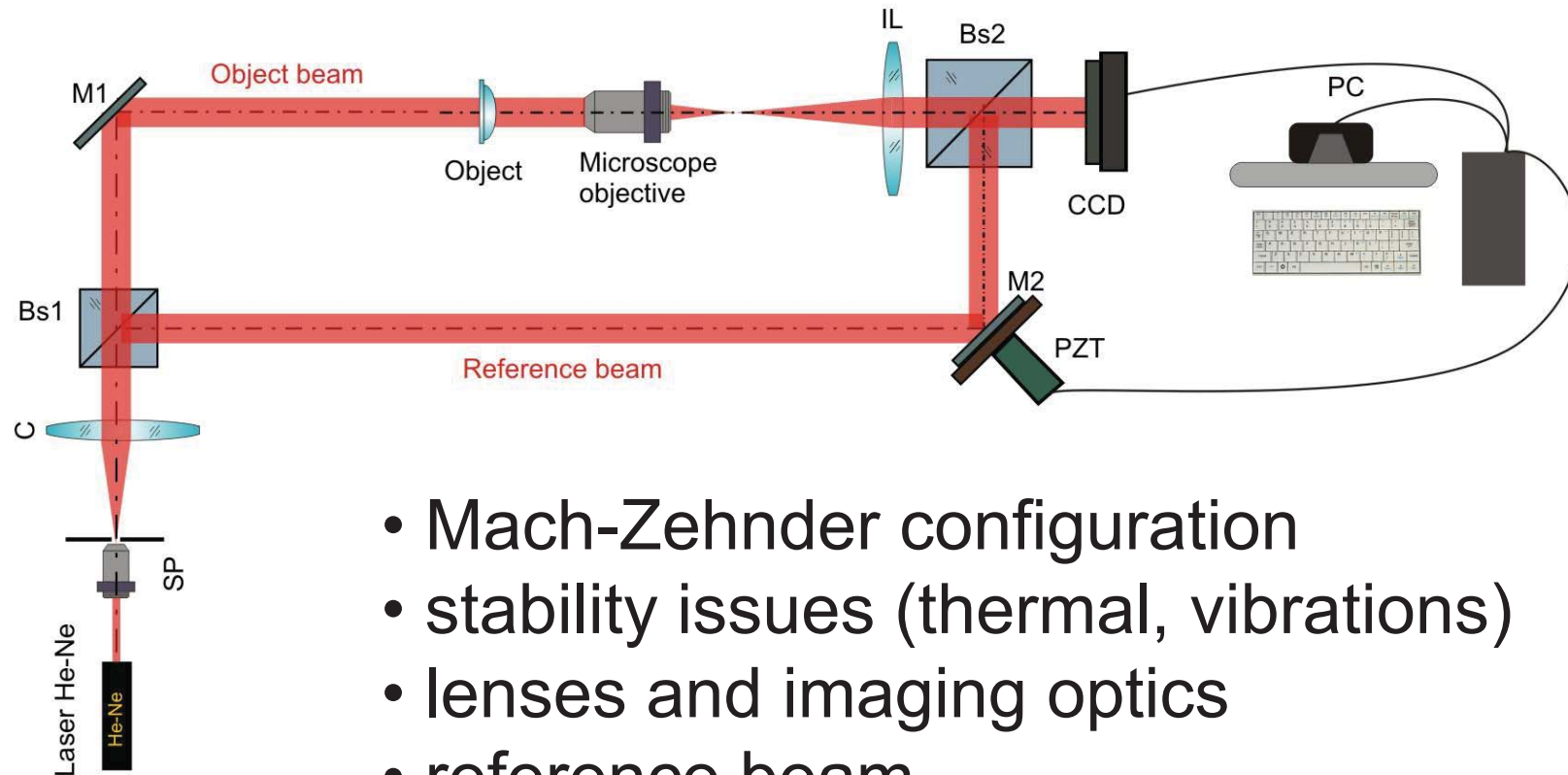
interferometry – quantitative

digital holography – quantitative

Can we convert optical microscope into quantitative phase measuring device???

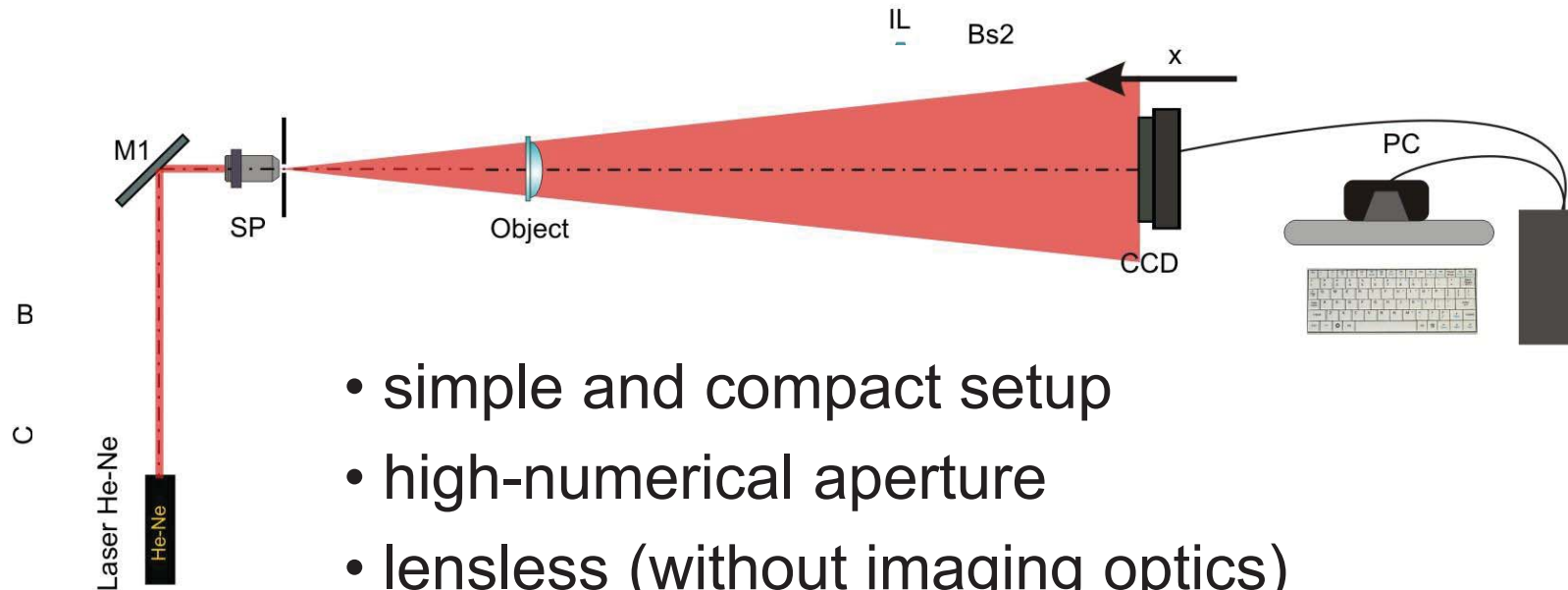
Can we get 3D information about phase (refractive index distribution??

How We Usually Measure Phase



- Mach-Zehnder configuration
- stability issues (thermal, vibrations)
- lenses and imaging optics
- reference beam
- interference pattern

What we need



- simple and compact setup
- high-numerical aperture
- lensless (without imaging optics)
- no reference beam
- intensity distribution

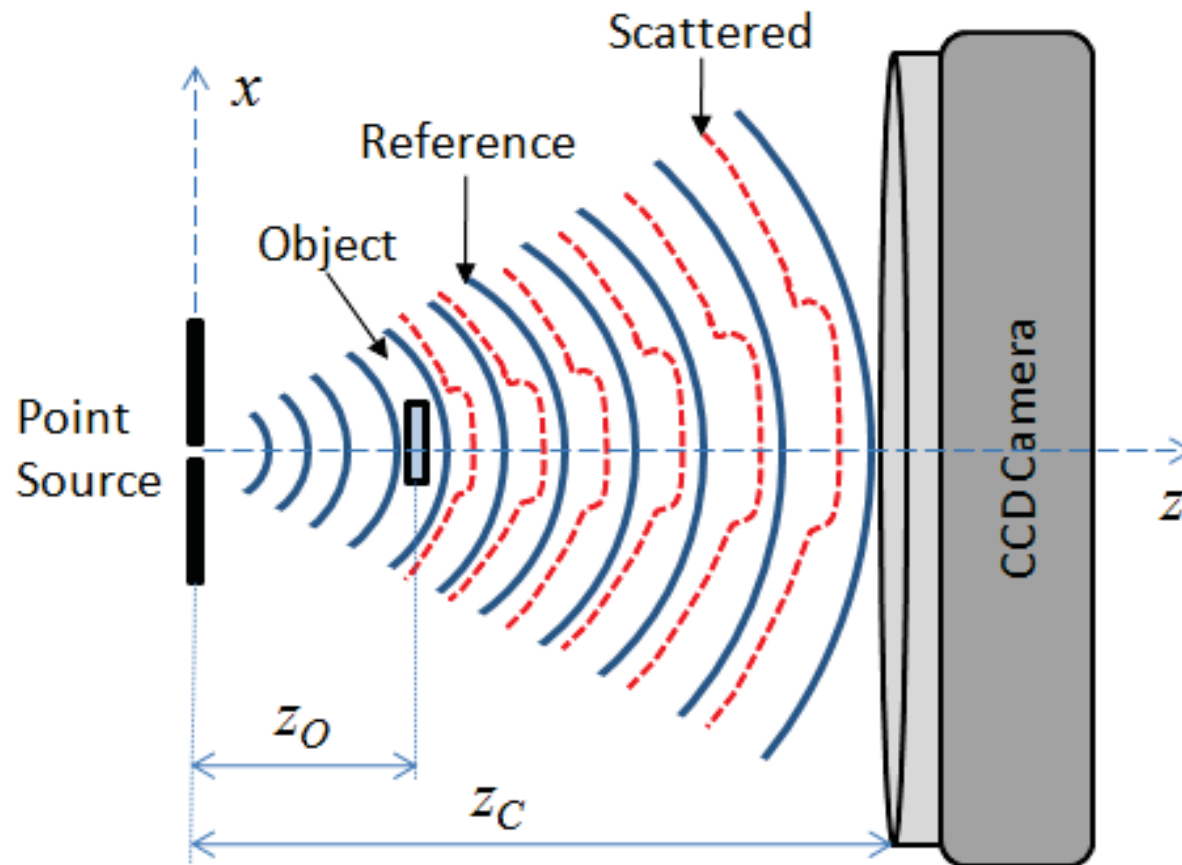
Are there methods of object phase recovery that would enable design and development of quantitative phase object imaging and thereby **bridge a conventional microscope with a digital holographic microscope**

Single beam phase retrieval algorithms

A dark blue world map is visible in the background of the slide, with a small yellow dot marking a location in Europe.

- In-line digital hologram
 - Transport of Intensity Equation
 - Iterative algorithms based on wave propagation

Digital In-Line Holographic Microscope



final idea:

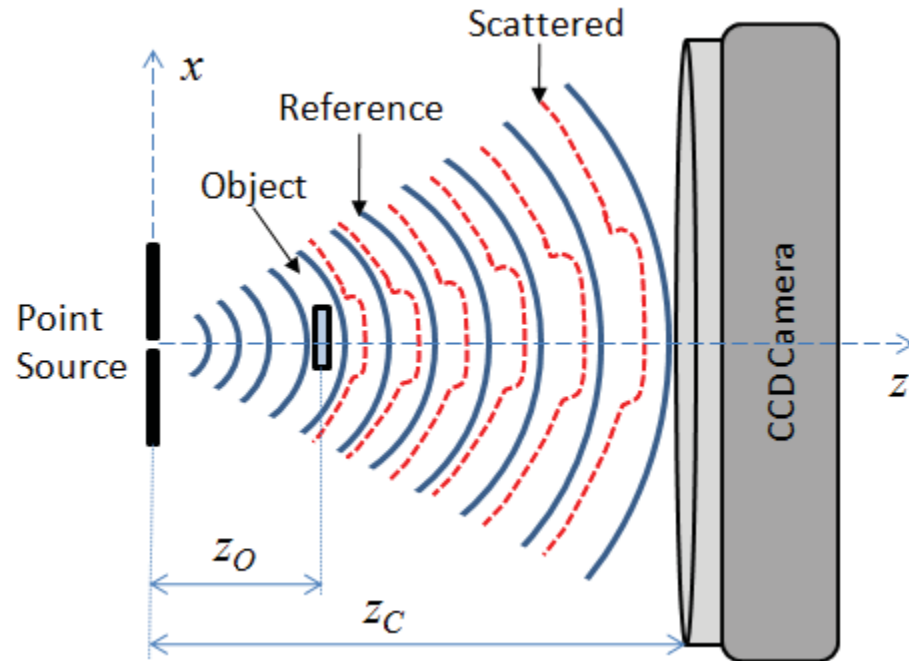
- single beam
- high-NA
- nonlin. magnification
- lensless
- no reference arm
- partial coherent light

1.) Phase Retrieval Algorithms

2.) Wave Propagation Techniques

3.) Image Formation System & Sampling Issues

State of the Art (1)



$$\begin{aligned}
 I &= |A_{ref} + A_{obj}|^2 \\
 &= A_{obj}A_{obj}^* + A_{ref}A_{ref}^* + A_{obj}A_{ref}^* \\
 &\quad + A_{ref}A_{obj}^*
 \end{aligned}$$

$$\begin{aligned}
 \tilde{I} &= |A_{ref} + A_{obj}|^2 - A_{ref}A_{ref}^* \\
 &= A_{obj}A_{obj}^* + A_{obj}A_{ref}^* + A_{ref}A_{obj}^*
 \end{aligned}$$

$$H = A_{ref} \tilde{I} = A_{ref} (A_{obj}A_{obj}^* + A_{obj}A_{ref}^* + A_{ref}A_{obj}^*)$$

$$= A_{ref} I_{obj} + A_{obj} I_{ref} + (A_{ref})^2 A_{obj}^*$$

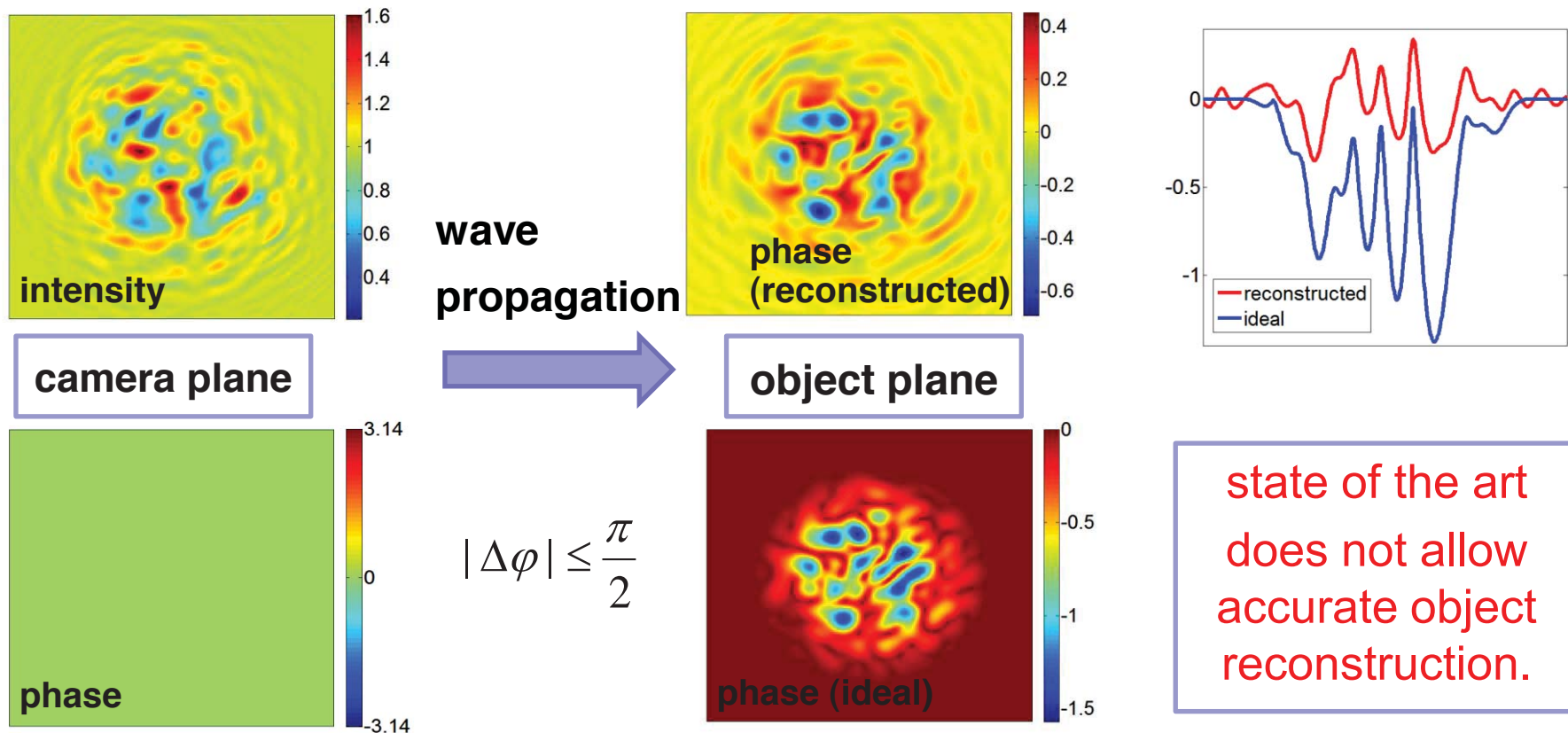
$$\sim A_{ref} I_{obj} + A_{obj} I_{ref} + I_{ref} A_{obj}^* \quad (A_{ref} = A_0 e^{-jkz}, z \rightarrow 0)$$

wave propagation techniques needs to be applied

State of the Art (2)

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Phase Retrieval



1 Plane, $z=6.33\text{mm}$, $N = 512$, $\lambda = 0.633\mu\text{m}$,
 $\Delta x = 3.45\mu\text{m}$, $\text{SNR} = 25\text{dB}$ (=256:15)

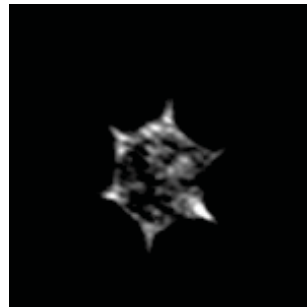
Commercial Microscope

Phase Retrieval

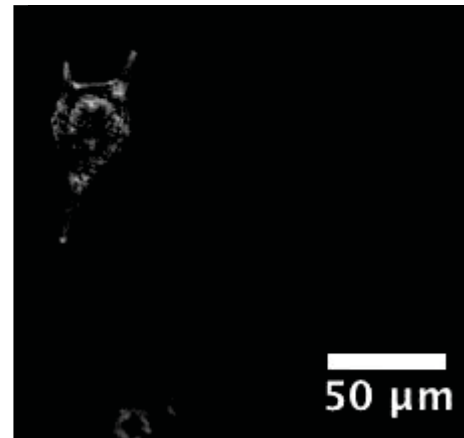
Centre for International Cooperation

Resolution Optics Microscope with
HoloSuite 3D Software:

- produces high contrast images
- good qualitative results
- very poor quantitative results



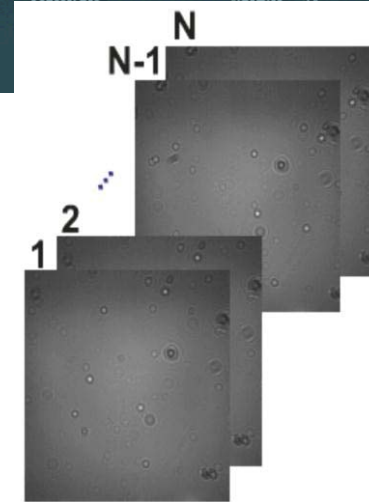
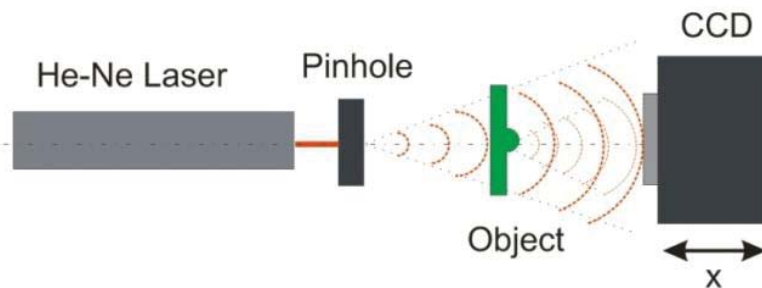
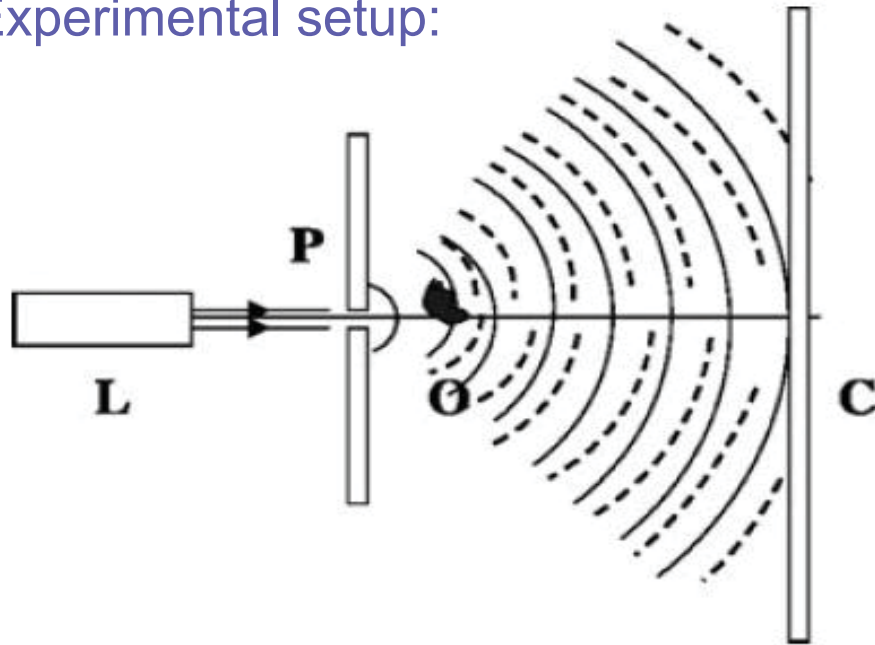
HoloSuite3D Software ®



- Jericho, M. H., Kreuzer, H. J., et. al., (2010). Planetary and Space Science, 58(4), 701–705.
- Xu, W., Kreuzer, H. J., et. al., (2001). Proc. of the National Academy of Sciences of USA98(20), 11301–5.
- Xu, W., Kreuzer, H. J., et. al., (2002). Appl. Opt. 41(25), 5367–75.

3D phase – Phase Retrieval from multiple planes

Experimental setup:



- high-numerical aperture
- high-phase difference
- high-phase gradient
- 2D / 3D technique
- lensless
- no reference
- partial coherent light

Transport of Intensity Equation (1)

Phase Retrieval

$$(\Delta + k^2)U(r) = 0$$

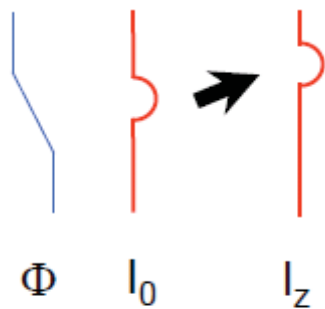
$$(\partial_x^2 + \partial_y^2 + \partial_z^2 + k^2)U(r) = 0$$

$$U(r) = U(r_\perp, z) = u(r_\perp, z)e^{jkz}$$

$$\partial_z^2 u(r_\perp, z) \approx 0$$

$$\nabla_\perp [I(r_\perp, z) \nabla_\perp \phi(r_\perp, z)] = \partial_z I(r_\perp, z)$$

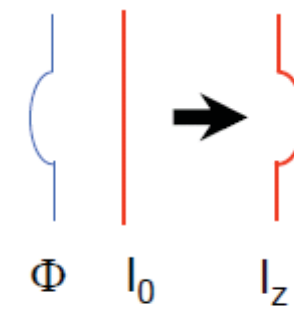
$$\nabla_\perp I(r_\perp, z) \nabla_\perp \phi(r_\perp, z) + \Delta_\perp \phi(r_\perp, z) = \partial_z I(r_\perp, z)$$



- Prism term -

- Hartman & variations
- lateral shearing
- deflectometry

- ombroscopie
- curvature sensor



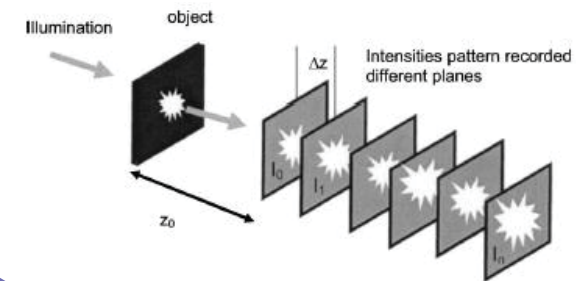
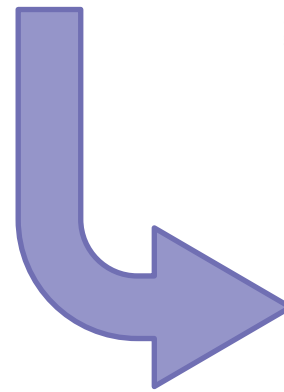
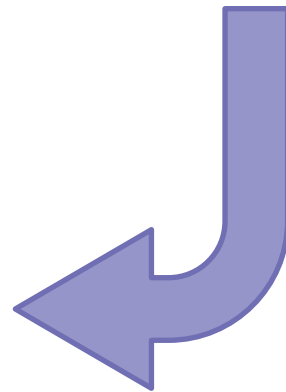
- Lens term -

bridge between
geometric and interferometric sensing

Transport of Intensity Equation (2)

Quantities that Affect the Accuracy of the TIE Solver

$$\nabla_{\perp} I(r_{\perp}, z)$$



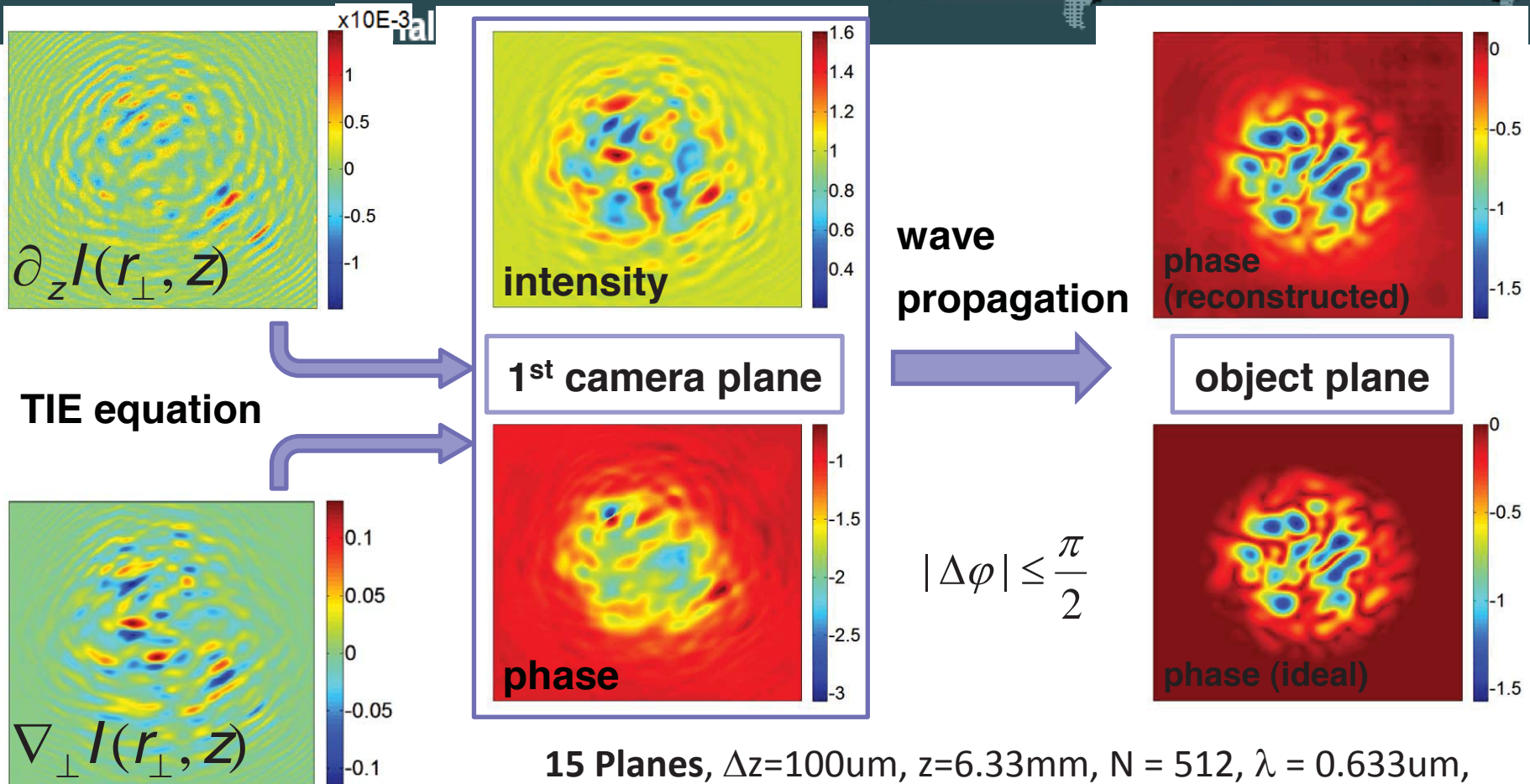
$$\partial_z I(r_{\perp}, z)$$

(from multiple planes)

- Nugent, K. A., et al. (2001). A Phase Odyssey. *Physics Today*, 54(8), 27.
- Huang, et.al. (2012). *Journal of Modern Optics*, 59(1), 35-41.
- Waller, L., Tian, L., & Barbastathis, G. (2010). *Optics express*, 18(12), 12552–61.

Transport of Intensity Equation (3)

Phase Retrieval



15 Planes, $\Delta z = 100\mu\text{m}$, $z = 6.33\text{mm}$, $N = 512$, $\lambda = 0.633\mu\text{m}$,
 $\Delta x = 3.45\mu\text{m}$, $\text{SNR} = 25\text{dB}$ (=256:15), **Dirichlet BC**

Transport of Intensity Equation

Phase Retrieval

1.) Numerical methods (PDA solvers)

(Multi-grid, Poisson Solver, etc)

→ Dirichlet, Neumann, or Periodic BC.

$$\nabla_{\perp} (I(r_{\perp}, z) \nabla_{\perp} \phi(r_{\perp}, z)) = \partial_z I(r_{\perp}, z)$$

$$\nabla_{\perp} I(r_{\perp}, z) \nabla_{\perp} \phi(r_{\perp}, z) + \Delta_{\perp} \phi(r_{\perp}, z) = \partial_z I(r_{\perp}, z)$$

2.) Fourier Transform methods

$$\nabla_{\perp}^2 \psi(r_{\perp}, z) = F^{-1} \{ (q_x^2 + q_y^2) F \{ k \partial_z I(r_{\perp}, z) \} \}$$

approximate solution → (Green Function Approach or FT)

$$I(r_{\perp}, z) \nabla_{\perp} \phi(r_{\perp}, z) = \nabla_{\perp} \psi(r_{\perp}, z) + [\nabla \times A(r_{\perp}, z)]$$

$$\phi(r_{\perp}, z) = -F^{-1} \{ (q_x^2 + q_y^2) F \{ \nabla_{\perp} [\frac{\nabla_{\perp} \psi(r_{\perp}, z)}{I(r_{\perp}, z)}] \} \}$$

→ division by small intensity values, ill-conditioned Regularization techniques may be needed

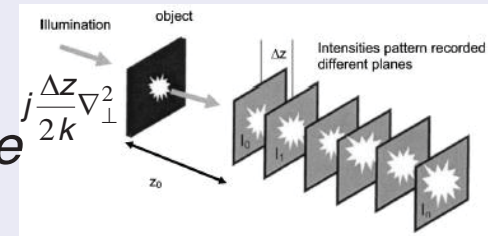
→ implicit Periodic boundary conditions, inaccurate at the edges

3.) Wave propagation (iterative)

(robust against noise, higher sampling, computational expensive)

→ No boundary conditions assumed

$$u(r_{\perp}, \Delta z) = u(r_{\perp}, z=0) e^{j \frac{\Delta z}{2k} \nabla_{\perp}^2}$$



Transport of Intensity Equation

Phase Retrieval

Properties of TIE solver:

- TIE Solver **very sensitive to errors in the axial derivative**
 - Higher order derivatives TIE
 - Robustness against noise
 - Non-equally spaced planes
- TIE applicable only for rather low spatial frequencies
 - Slowly varying object, small phase difference
 - **Small intensity values problematic**
 - **not applicable: stronger phase objects, high spatial frequencies, non-paraxial case**

other
phase
retrieval
technique

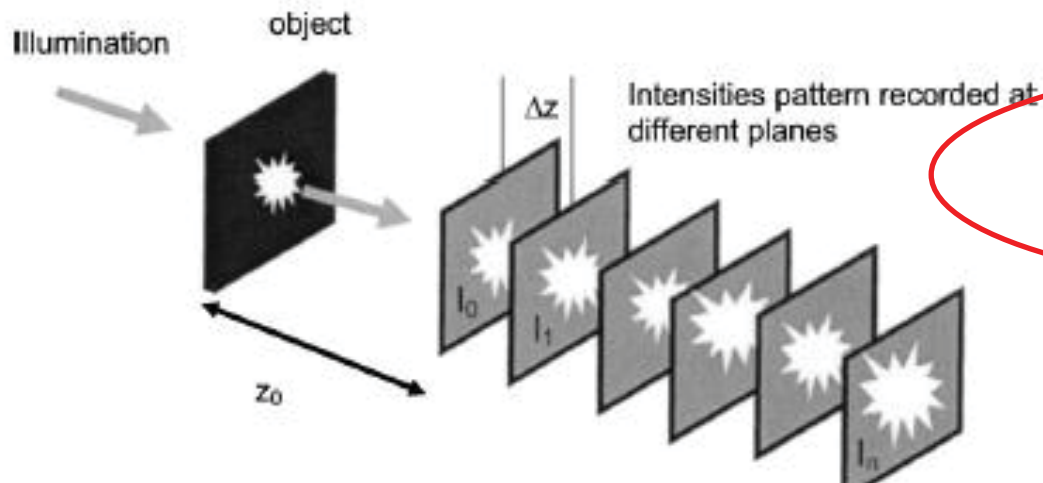
Single-Beam Multiple-Intensity Reconstruction (SBMIR)

Phase Retrieval

Wave propagation Methods (iterative)

$$(\Delta + k^2)U(r) = 0$$

$$(\partial_x^2 + \partial_y^2 + \partial_z^2 + k^2)U(r) = 0$$



Angular Spectrum Method
→ exact, high NA, iterative

Eliminates effectively high frequency error components

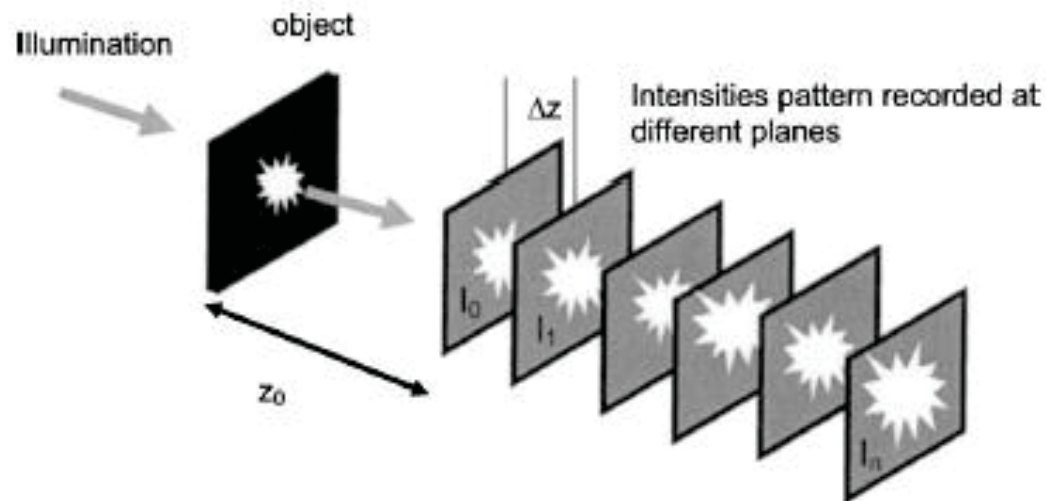
- Giancarlo **Pedrini**, Wolfgang **Osten**, and Yan Zhang, "Wavefront reconstruction from a sequence of interferograms recorded at different planes," Opt. Lett. 30, 833-835 (2005)

- high-numerical aperture
- high-phase difference
- high-phase gradient
- lensless
- no reference
- partial coherent light

Single-Beam Multiple-Intensity Reconstruction (SBMIR)

Phase Retrieval

Wave propagation Methods (iterative)



- high-numerical aperture
- high-phase difference
- high-phase gradient
- lensless
- no reference
- partial coherent light

Relaxation Parameter, feed information slowly

$$U_{n+1} = (1 - \beta) L^+ \{U_n\} + \beta A_{n+1} \exp[i\angle L^+ (U_n)]$$

$$U_n = (1 - \beta) L^- \{U_{n+1}\} + \beta A_n \exp[i\angle L^- (U_{n+1})]$$

$\beta = 1$, conventional approach

overestimate solution present at a given iteration step

Summary



- Main phase retrieval techniques based on fringe pattern analysis: spatial and temporal (interferometric/grid based methods)
- Recent development of single beam phase retrieval algorithms (retrieval from diffraction field)
- Image processing algorithms (DIC) support noncoherent methods of phase difference retrieval

Overview of Available Techniques

Centre for International Cooperation

	Digital Holography Interferometry	DIHM using a single Hologram	Iterative Phase Reconstruction Using Wave Propagation Methods	Transport of Intensity Equation	Inversion of the OTF (standard)	Inversion of the OTF (advanced)	Optical Diffraction Tomography	Inverse scattering problems
High NA, high $\Delta\phi$	<input type="checkbox"/>		<input type="checkbox"/> /?			<input type="checkbox"/>		
High grad(ϕ)	<input type="checkbox"/>		<input type="checkbox"/>			<input type="checkbox"/>		
2D / 3D	2D	2D	2D	2D	2.X D	2.X D	3D	2.X D
No lenses/mirrors		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n.a.	<input type="checkbox"/>
No reference arm		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n.a.	<input type="checkbox"/>
Partial coherent light		<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n.a.	<input type="checkbox"/>
Theoretical Complexity	low	low	med.	low	Low	high	med.	high



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