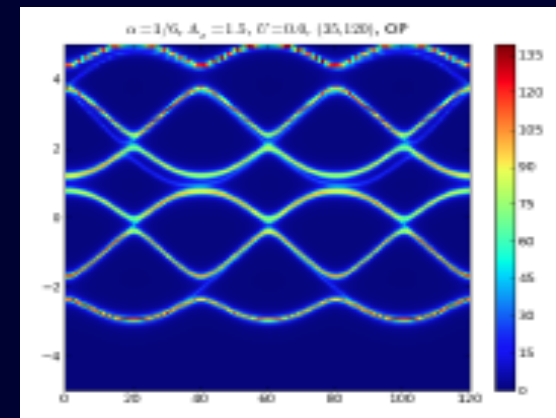
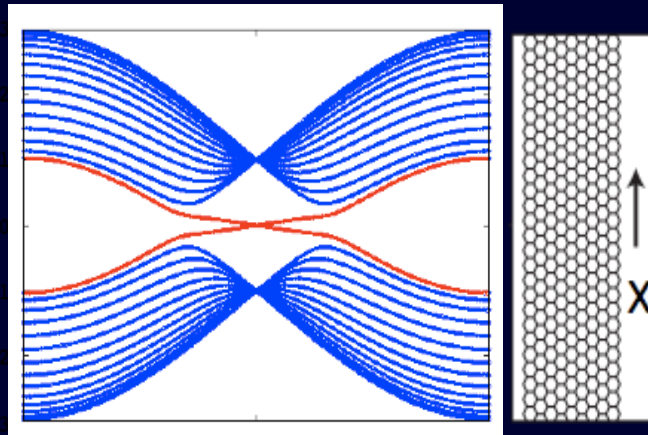
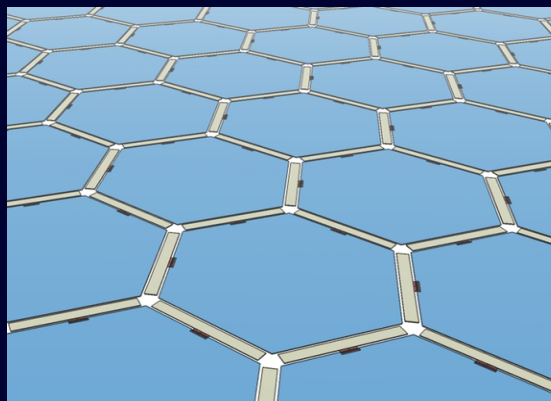


# Spin-Orbit Coupling and Artificial Gauge Fields

## Topological Phases and Mott Physics

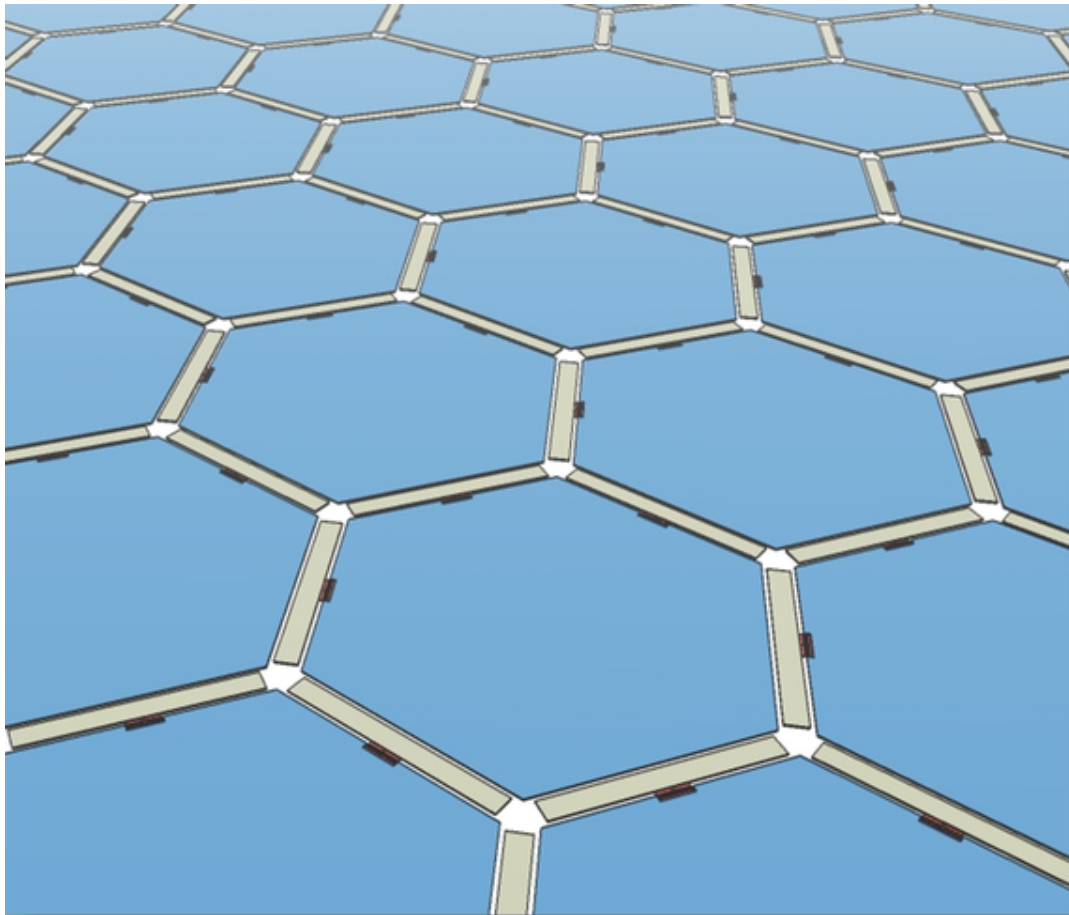
Karyn Le Hur

CPHT Ecole Polytechnique, France & CNRS  
TRIESTE MAY 17<sup>th</sup> 2013



# New phases of matter on the Honeycomb lattice

**Example:** Kitaev spin model



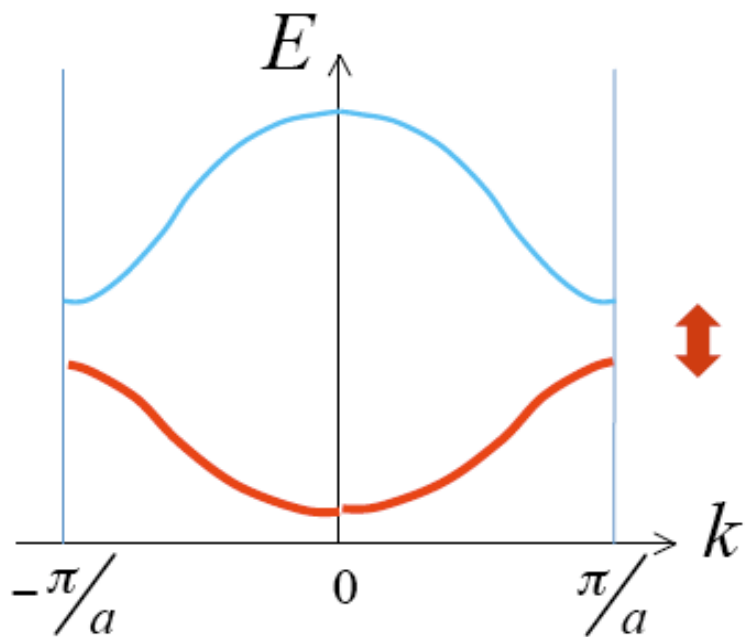
*Topological Insulators*  
*Interaction Effects*  
(Mott physics)  
**PART I**

Artificial gauge fields:  
*Relation to cold atoms*  
**PART II**

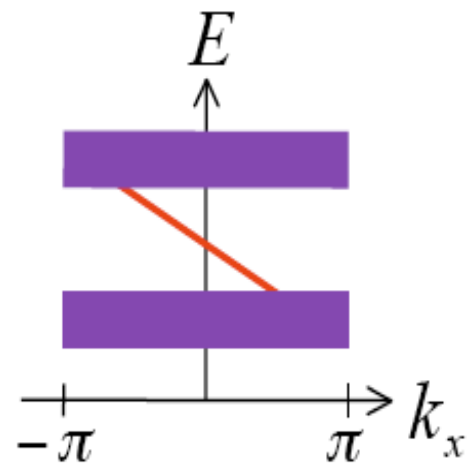
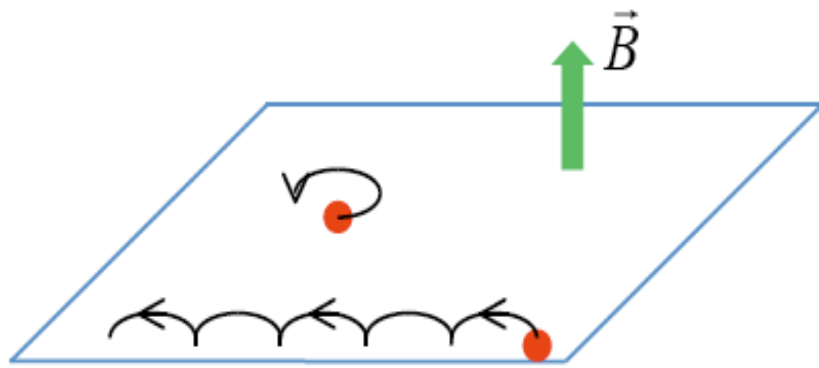
*Photons & CQED:*  
Quantum Hall phases  
Analogy to Mott physics  
**PART III**

Starting point: “Honeycomb Lattice” but things will be more general

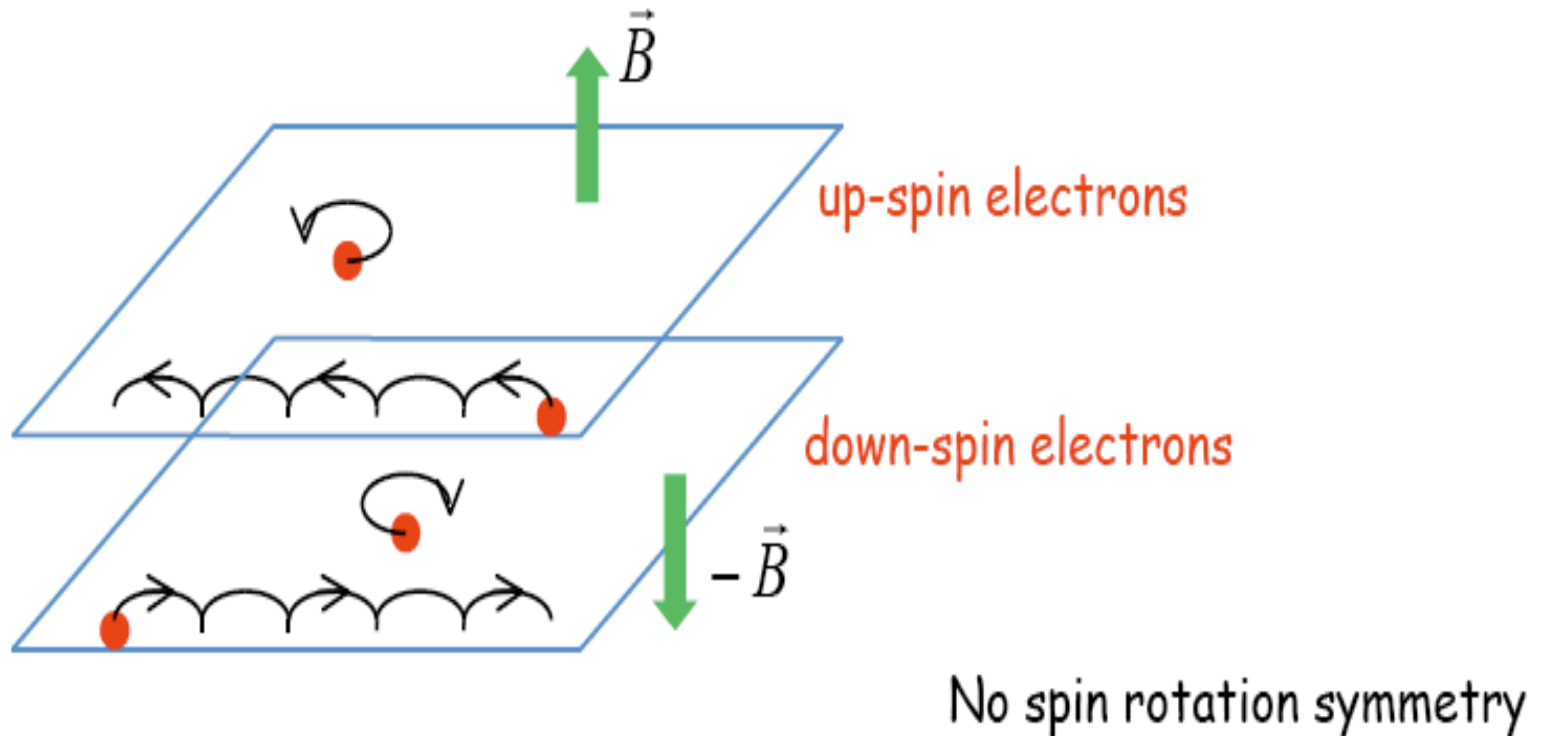
# Topological Insulators: PART I



That's all? No



- Time-reversal invariant band insulator
- Strong spin-orbit interaction  $\lambda \vec{L} \cdot \vec{\sigma}$
- Gapless helical edge mode (Kramers pair)



**Microscopic Description: Simple Standard Model, Kane-Mele**



# Time reversal invariant of Haldane model (1988): Kane-Mele model

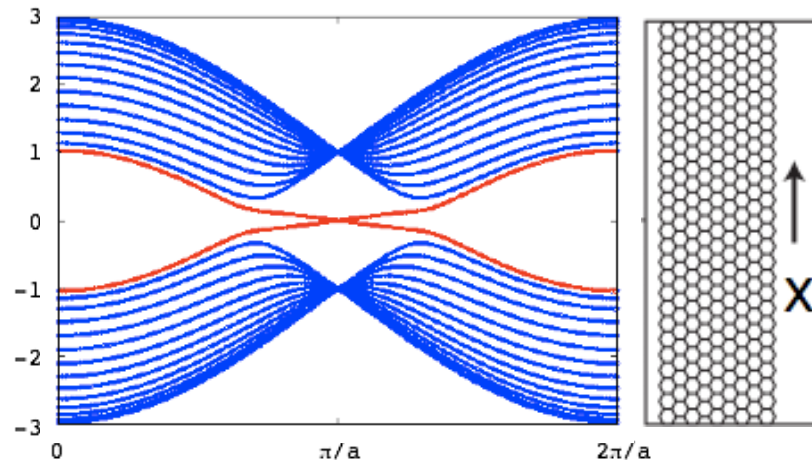
**Kane & Mele, PRL 95, 226801 (2005)**

**see also: Bernevig, Hughes, and Zhang, Science 314, 1757 (2006) + Molenkamp-experiments in three dimensions, experiments by M. Z. Hasan et al. (Bismuth materials)**

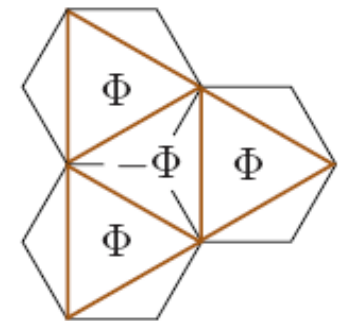
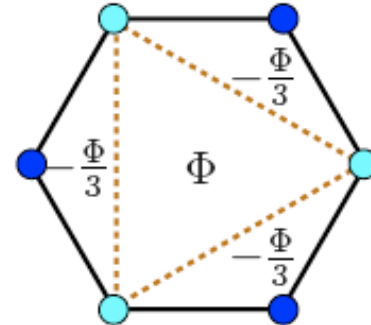
$$\mathcal{H} = -t \sum_{\langle ij \rangle \sigma} c_{i\sigma}^\dagger c_{j\sigma} + i\lambda \sum_{\langle\langle ij \rangle\rangle} \sum_{\sigma\sigma'} \nu_{ij} \sigma_{\sigma\sigma}^z c_{i\sigma}^\dagger c_{j\sigma'}$$

$$\nu_{ij} = \pm 1$$

**strip geometry:**

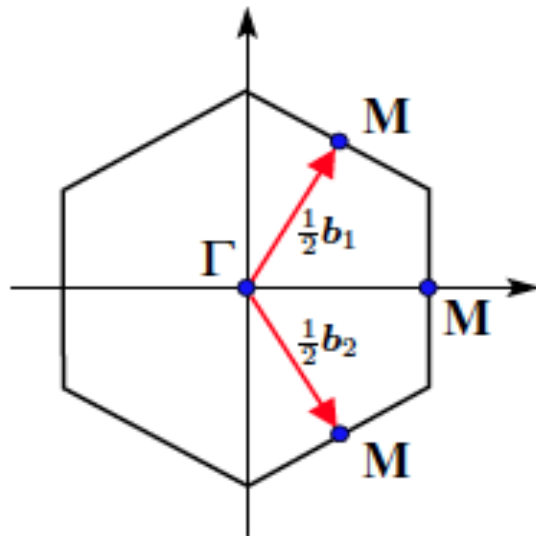


$$\mathcal{H} \propto \Psi_k^\dagger \sigma^z \tau^z \Psi_k$$



**edge states: Kramer's pair**

# $Z_2$ Invariant: Spin Chern number



Time-reversal invariant  
Points of the Brillouin zone

Following L. Fu and C. Kane:

$$\mathcal{H}_{\mathbf{k}} = \sum_{a=1}^5 d_a(\mathbf{k}) \Gamma^a$$

$$\mathcal{P} = \tau^x \otimes I = \Gamma^1$$

$$\mathcal{T} = i(I \otimes \sigma^y) K$$

Time-Reversal & Inversion Symmetry

$Z_2$  invariant given by (here,  $\nu=0$  or  $1$ ):

$$(-1)^\nu = \prod_{i=1}^4 -\text{sign}[d_1(\Gamma_i)]$$

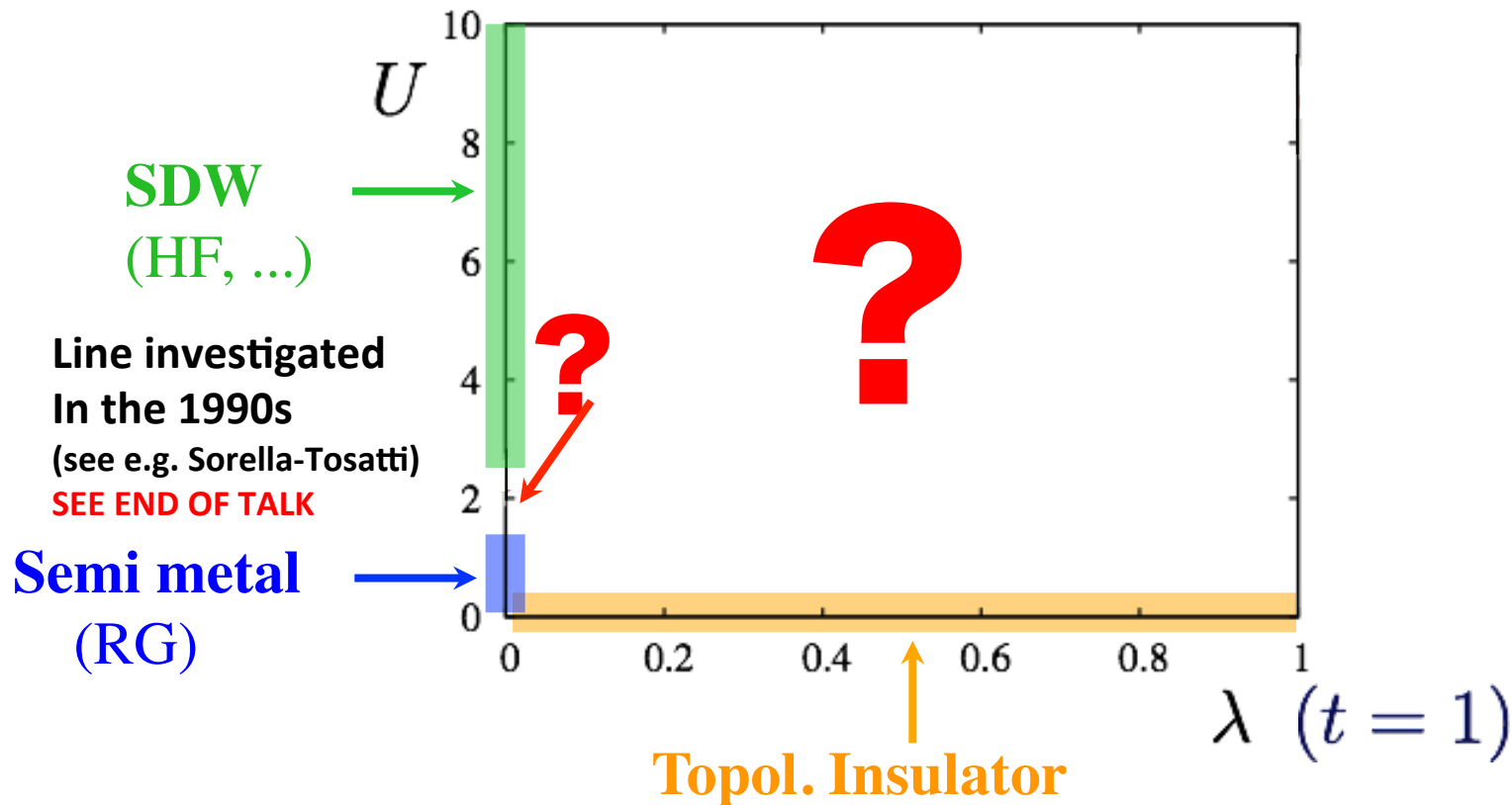
Single-particle band structure: see also Balents & Moore

Efforts to define top. Invariants for interacting systems:

Qi-S.C. Zhang; V. Gurarie; Y. B. Kim (CDMFT); A. Kitaev; Savrasov (LDA+U)

# Effect of electron-electron interaction?

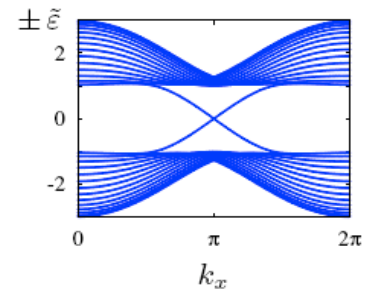
$$\mathcal{H} = -t \sum_{\langle ij \rangle \sigma} c_{i\sigma}^\dagger c_{j\sigma} + i\lambda \sum_{\langle\langle ij \rangle\rangle} \sum_{\sigma\sigma'} \nu_{ij} \sigma_{\sigma\sigma'}^z c_{i\sigma}^\dagger c_{j\sigma'} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$



S. Rachel & KLH, PRB **82** 075106 2010

# Presence of spin orbit coupling

- ▶ Apply (Slave)-Rotor theory of Florens & Georges, PRB 70, 035114 (2004)
- ▶ See review E. Zhao & A. Paramakanti
- ▶ Rewrite fermions as rotors (charge degrees of freedom) and spinons



$$c_{i\sigma} = e^{i\theta_i} f_{i\sigma}$$

- ▶ Introduce constraint

$$\sum_{\sigma} f_{i\sigma}^{\dagger} f_{i\sigma} + L_i = 1$$

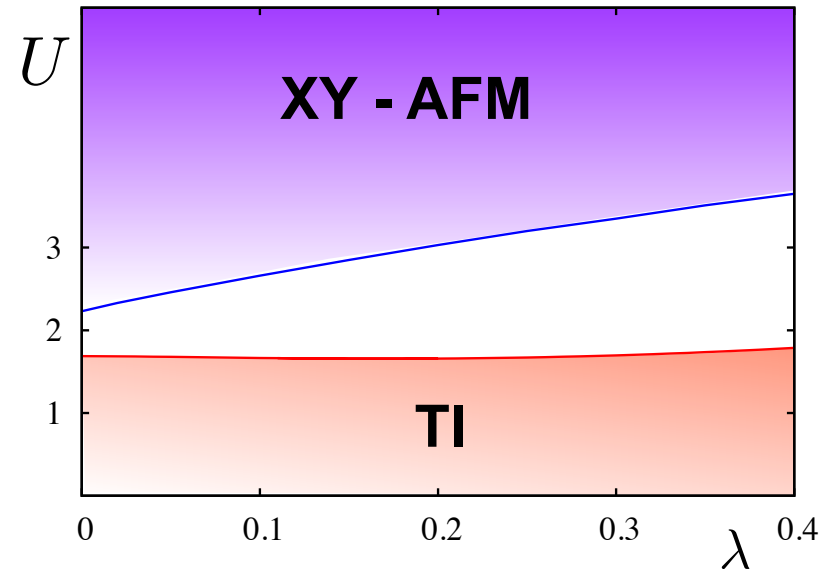
$$\frac{U}{2} \sum_i \left( \sum_{\sigma} n_{i\sigma} - 1 \right)^2 \rightarrow \frac{U}{2} \sum_i L_i^2$$

- ▶ Hubbard interaction simplifies

$$L = (i/U) \partial_{\tau} \theta.$$

- ▶ Interaction affects rotor only

- ▶ weak  $U$ : rotor condense,  $f_{\sigma} \propto c_{\sigma}$



S. Rachel & KLH, PRB 2010

[+ other theory arguments]

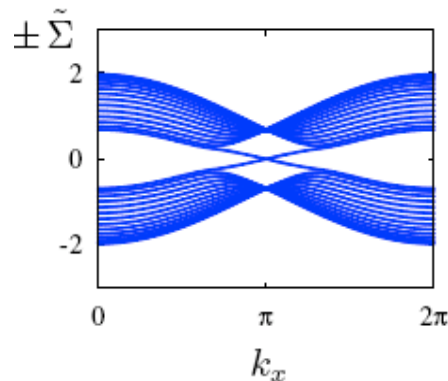
# Presence of spin orbit coupling

- ▶ **More Slave-Rotor: use sigma-model representation**
- ▶ **At the Blue transition, "spin-charge" separation**

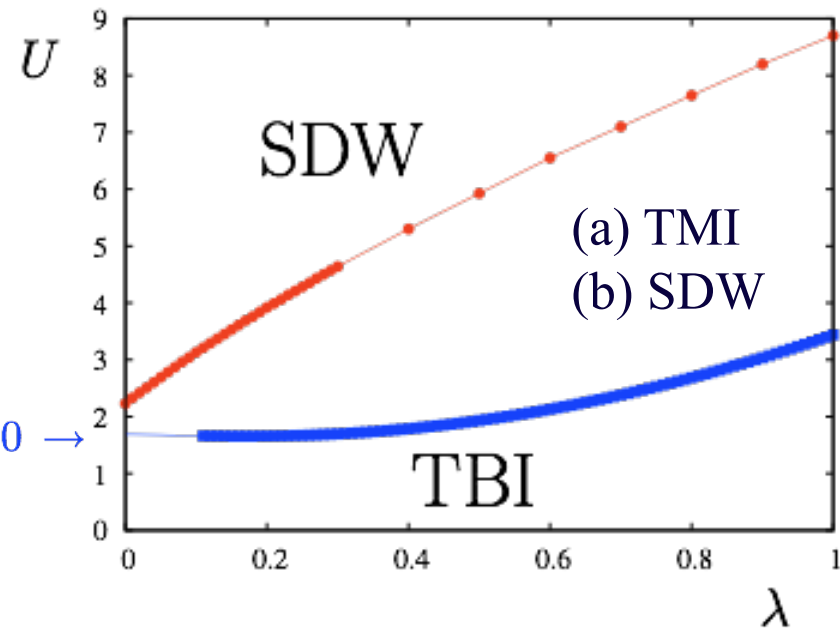
$$X = e^{i\theta} \quad |X|^2 = 1$$

- ▶ **mean-field decoupling or Hubbard Stratonovich**
- ▶ **Gap of the rotor-field (zero at the transition)  $\Delta_g = 0 \rightarrow$**

$$\Delta_g = 2\sqrt{U(\rho + \min \xi_k)}$$



See also *S.S. Lee & P. Lee PRL 2005*  
*Young, S. S. Lee, C. Kallin, PRB 2008*  
*Pesin & Balents, Nat. Phys. 2010*  
*Y.-B. Kim & et al. 2010 + many recent works*



**S. Rachel & KLH, PRB 82, 075106 (2010);  
 arXiv:1003.2238, 20 pages**

**Mean-Field Solution allows TMI phase:**

- Mott gap
- Spin degrees of freedom form a topological Kane-Mele phase

**Analogue of S=1 spin Haldane chain  
 (probed through thermal transport?)**

# 2D: Direct Transition from TBI to XY

$$\mathcal{L}_{MF} = m \sum_{a=\pm} \left( f_{\uparrow a}^\dagger \tau^z f_{\uparrow a} - f_{\downarrow a}^\dagger \tau^z f_{\downarrow a} \right)$$

Monopole insertion = “spin flip” operator

Localized  $+2\pi$  flux of the gauge field implies that a single extra spin-up spinon will be induced along with the gauge flux, while one spin-down spinon will be depleted

Fermions are gapped:

$$\mathcal{L}_{Maxwell} = (1/2e^2) \sum_{\mu} (\epsilon_{\mu\nu\lambda} \partial_\nu a_\lambda)^2$$

Monopoles only cost a finite action: monopole propagator is long-ranged

Here, this implies magnetic order in the XY plane:  $\langle S^+ \rangle$  is finite

Polyakov's gauge field argument: see also S. S. Lee & P. Lee; Y. Ran et al; M. Hermele...

# Edge Theory & Mott Transition

C. Xu & J. Moore; C. Wu, A. Bernevig & S.-C. Zhang;...

$$H_0 = v_F \int dx \left( \psi_{R\uparrow}^\dagger i\partial_x \psi_{R\uparrow} - \psi_{L\downarrow}^\dagger i\partial_x \psi_{L\downarrow} \right)$$

$\psi_{R\uparrow}^\dagger \psi_{L\downarrow} + \text{h.c.}$  (**elastic**) Backscattering forbidden

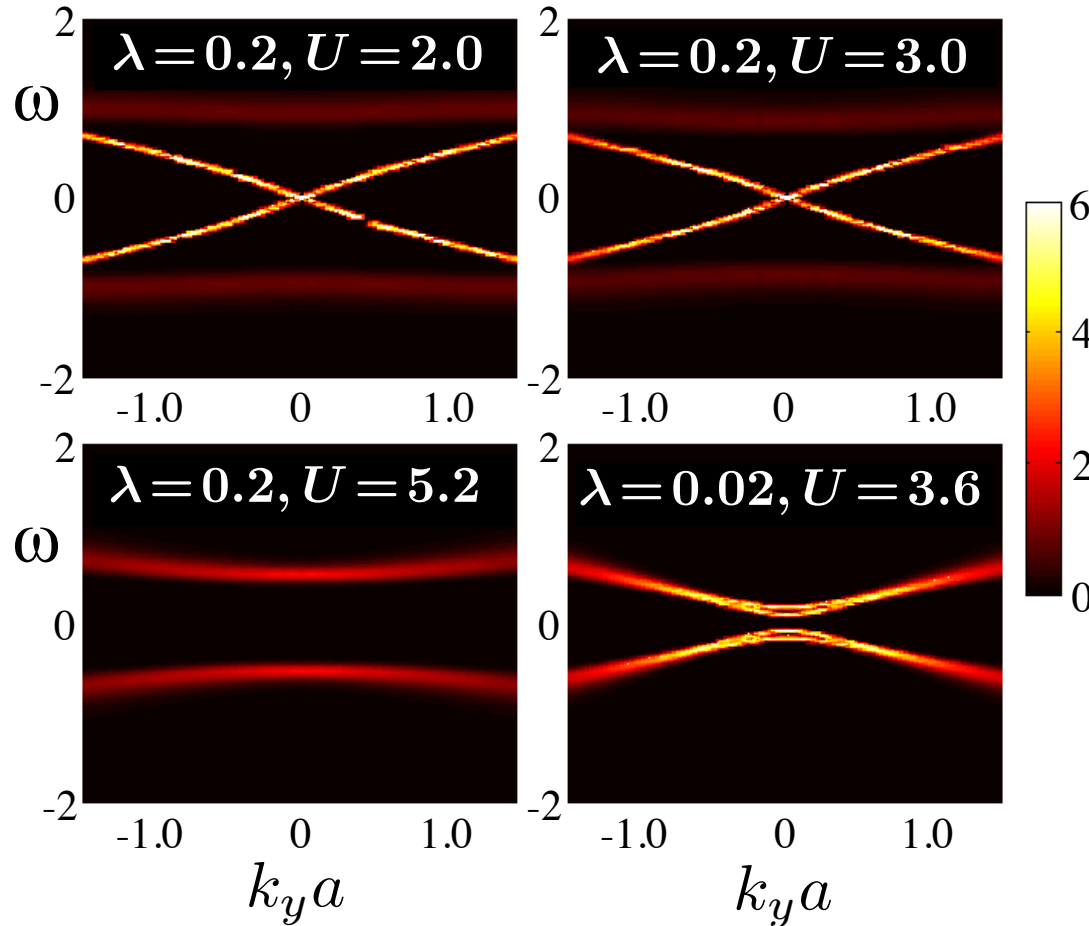
$$H_I = U \int dx \left( \psi_{R\uparrow}^\dagger \psi_{R\uparrow} \psi_{L\downarrow}^\dagger \psi_{L\downarrow} \right)$$

$$H = \int dx \frac{v}{2} \left[ \frac{1}{K} (\partial_x \phi)^2 + K (\partial_x \theta)^2 \right] - \frac{Um \sin \sqrt{4\pi} \phi}{(\pi a)^2}$$

**Minimal Model**  
for TBI/QSH phase

with  $m = \langle \psi_{R\uparrow}^\dagger \psi_{L\downarrow} \rangle$

# (No) Edge States in $A_s(k, \omega)$



CDMFT

Real-space version  
QMC continuous-time  
Impurity solver

## Some Reviews (not full list):

G. Kotliar et al, RMP 2006  
T. Maier et al, RMP 2005  
A.-M. Tremblay, B.-S. Kyung,  
D. Senechal, 2006

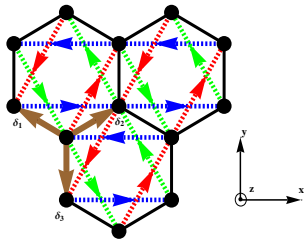
DMFT:

Review A. Georges et al. RMP

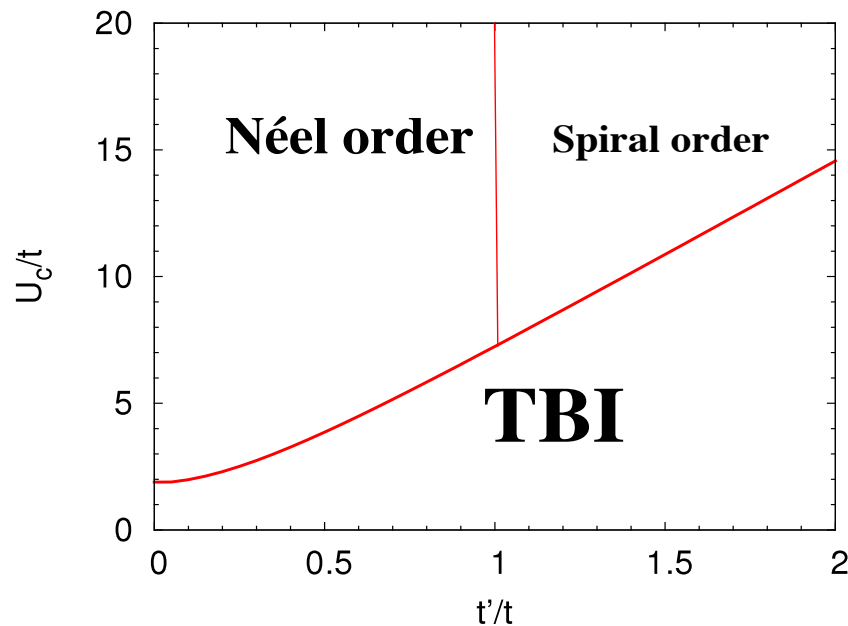


# Connection to reality?

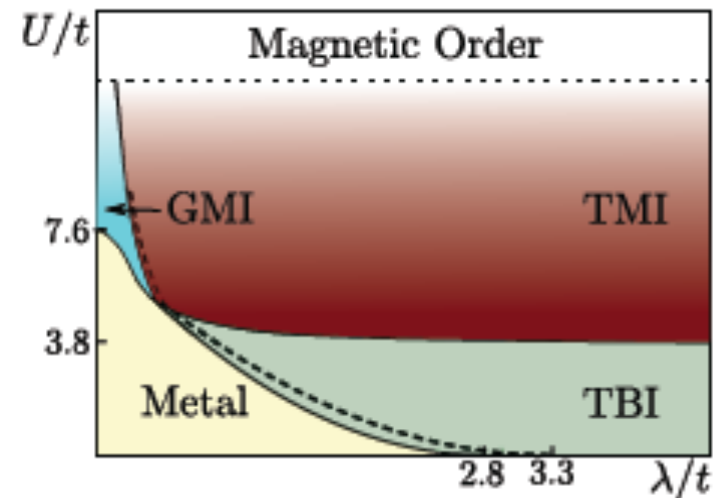
- $\text{Na}_2\text{IrO}_3$ : honeycomb layers (thin films: arXiv:1303:5245)



Shitade et al. PRL **102** 256402 (2009); G. Jackeli & G. Khaliullin, PRL 102, 017205 (2009)  
H.-C. Jiang, Z.-C. Gu, X.-L. Qi and S. Trebst, Phys. Rev. B 83, 245104 (2011);  
S. Bhattacharjee, Sung-Sik Lee and Yong-Baek Kim, New J. Phys. 14, 073015 (2012)



Tianhan Liu, Benoit Doucot, Karyn Le Hur, in preparation  
A. Ruegg and G. Fiete, PRL 2012  
J. Reuther, R. Thomale & S. Rachel, PRB 2012



D. Pesin & L. Balents, Nature Phys. 2010

Relevance for 3D pyrochlore Iridates (?)

Y. B. Kim and co-authors

# Cold Atoms: Part II

A. L. Fetter RMP 2009; J. Dalibard, F. Gerbier, G. Juzeliunas, P. Ohberg RMP 2011;  
Bloch et al. Nature (2012); Juzeliunas & Spielman NJP (2012);...

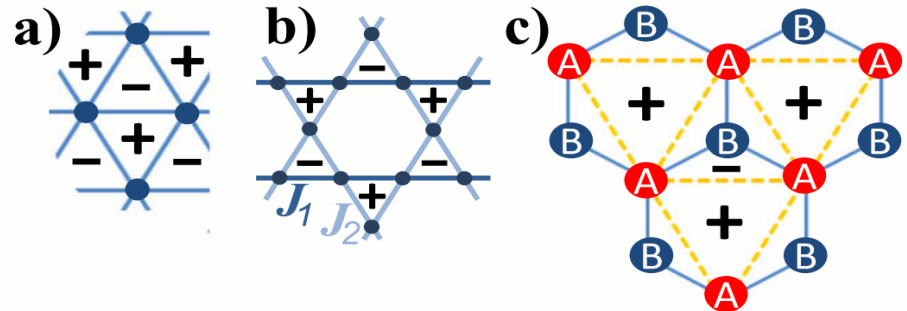
- **Ways to implement magnetic fields & gauge fields**

I. Spielman (NIST/Maryland) and collaborators: several papers

M. Aidelsburger et al. arXiv:1110.5314 (Muenich's group, PRL)

J. Struck et al. arXiv:1203.0049 (Hamburg's group)

One model by N. Goldman et al.  
**arXiv:1011.3909 (PRL 2010)**



$$H_0 = - \sum_j \left\{ t_x c_{j+\hat{x}}^\dagger e^{-i2\pi\gamma\sigma^x} c_j + t_y c_{j+\hat{y}}^\dagger e^{i2\pi\alpha x\sigma^z} c_j + \text{h.c.} \right\} + \lambda_x \sum_j (-1)^x c_j^\dagger c_j,$$

## Interaction Effects

### Interacting spinful Hofstadter Problem

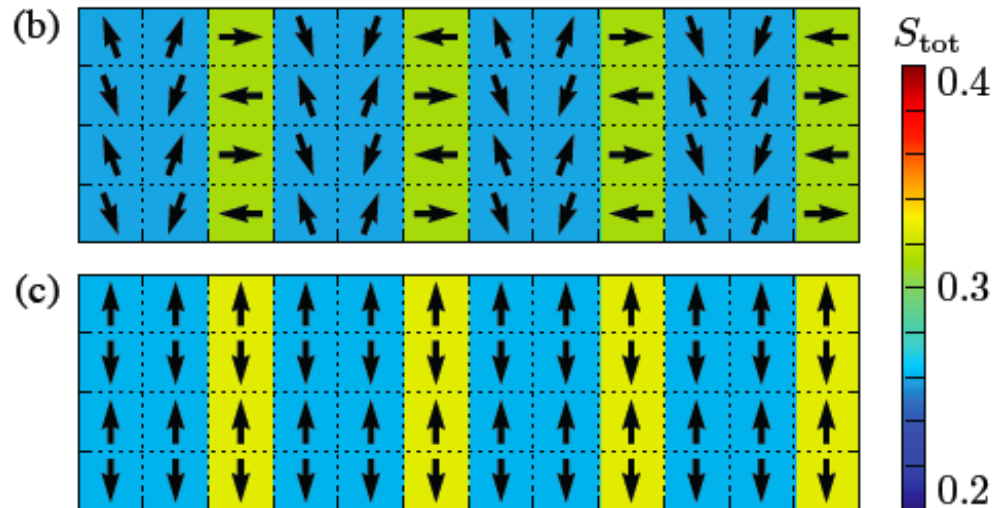
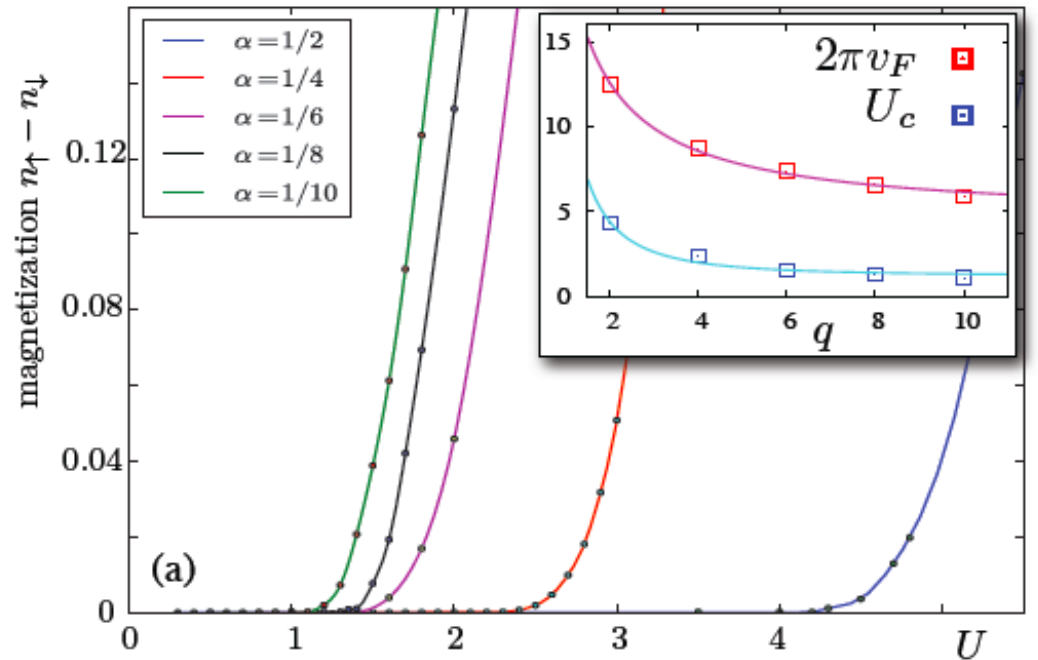
$$\Upsilon = \lambda_x = 0$$

At weak  $U$  and half-filling,  
semi-metal (SM), graphene  
Number of Dirac points  
vary with  $\alpha = 1/q$  ( $q$  even)

Application of I. Herbut's theory  
For transition SM to ordered state

The transition occurs for  $U_c = 1/q^2$

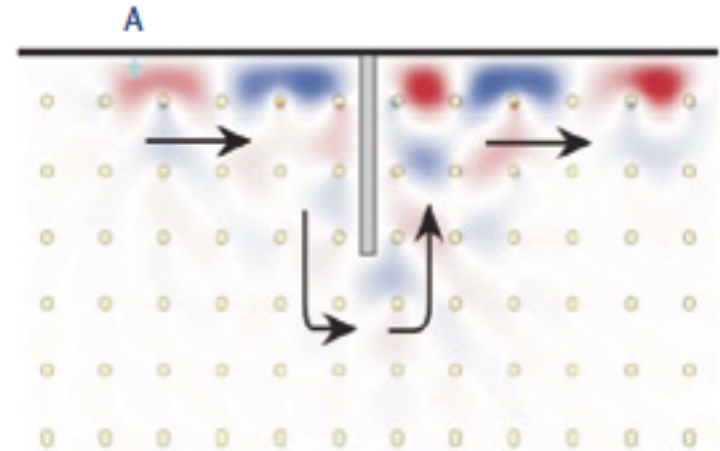
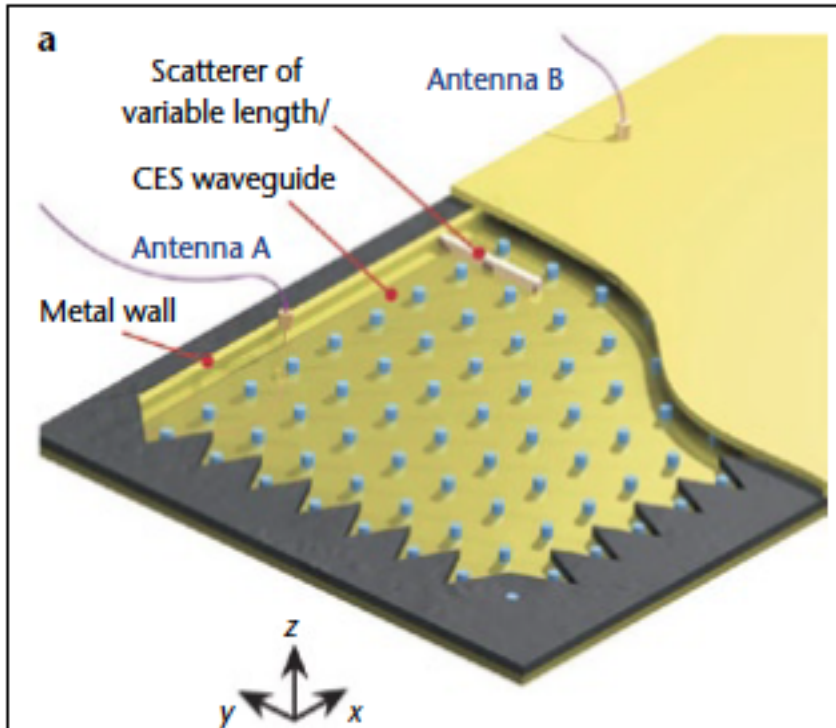
Magnetism depends on the value of  $\gamma$



DMFT in Real space

# One-Way Road in a Photonic Crystal

Chiral edge states channel light waves in one direction, like electrons in the quantum Hall effect



(a) A model of the photonic crystal. The distance between the ferrite rods is 4 cm.

Realizations of AQH effect in Photonic crystals: following Haldane & Raghu (lattice of rods and Faraday effect opens a gap breaking time-reversal symmetry)

**Experiment:** M. Soljacic et al. Nature **461**, 772 (2009);

Review by C. Ciuti and I. Carusotto, RMP

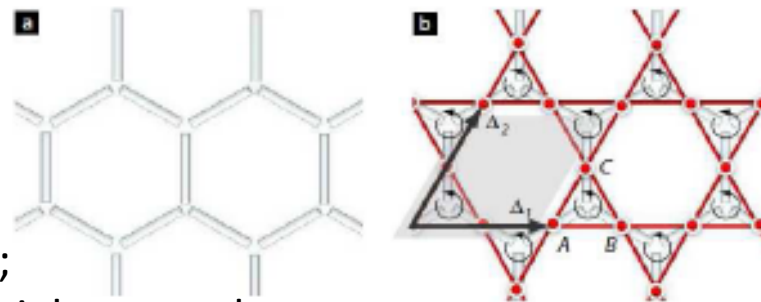
**QSH phase experiments**, M. Hafezi et al arXiv:1302.2153; M. C. Rechtsman et al. Nature 496, 196-200 (2013)

# Breaking T-reversal symmetry

Photonic  
Waveguides  
with circulators

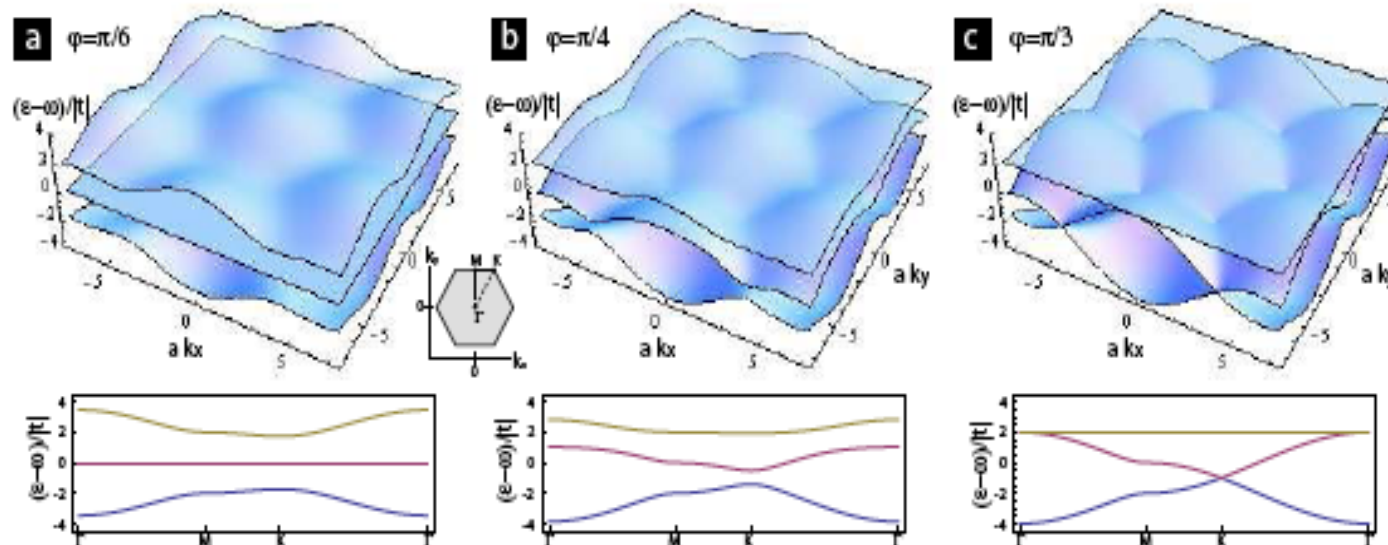
Analogy to cold  
Atoms:

J. Dalibard & F. Gerbier;  
K. Sengstock et al. ; I. Spielman et al.



J. Koch, A. Houck, KLH  
and S. Girvin  
PRA **82**, 043811 (2010)

A. Greentree & A. Martin,  
Physics 3, **85** (2010)



# Kagome lattice: why interesting...

**Flat band** (search for ferromagnetism)

A. Mielke; H. Tasaki; E. Lieb

## **Exotic Topological Phases:**

H. M. Guo & M. Franz, PRB 2009

E. Tang, J.-W. Mei, X.-G. Wen, PRL 2011

N. Regnault and A. Bernevig, PRB 2012,...

## **Spin liquid search, classical degeneracies**

Experimentally relevant: 2D Materials (Orsay; Princeton;...)

Cold atoms: Berkeley; see D. Stamper-Kurn group, 2011

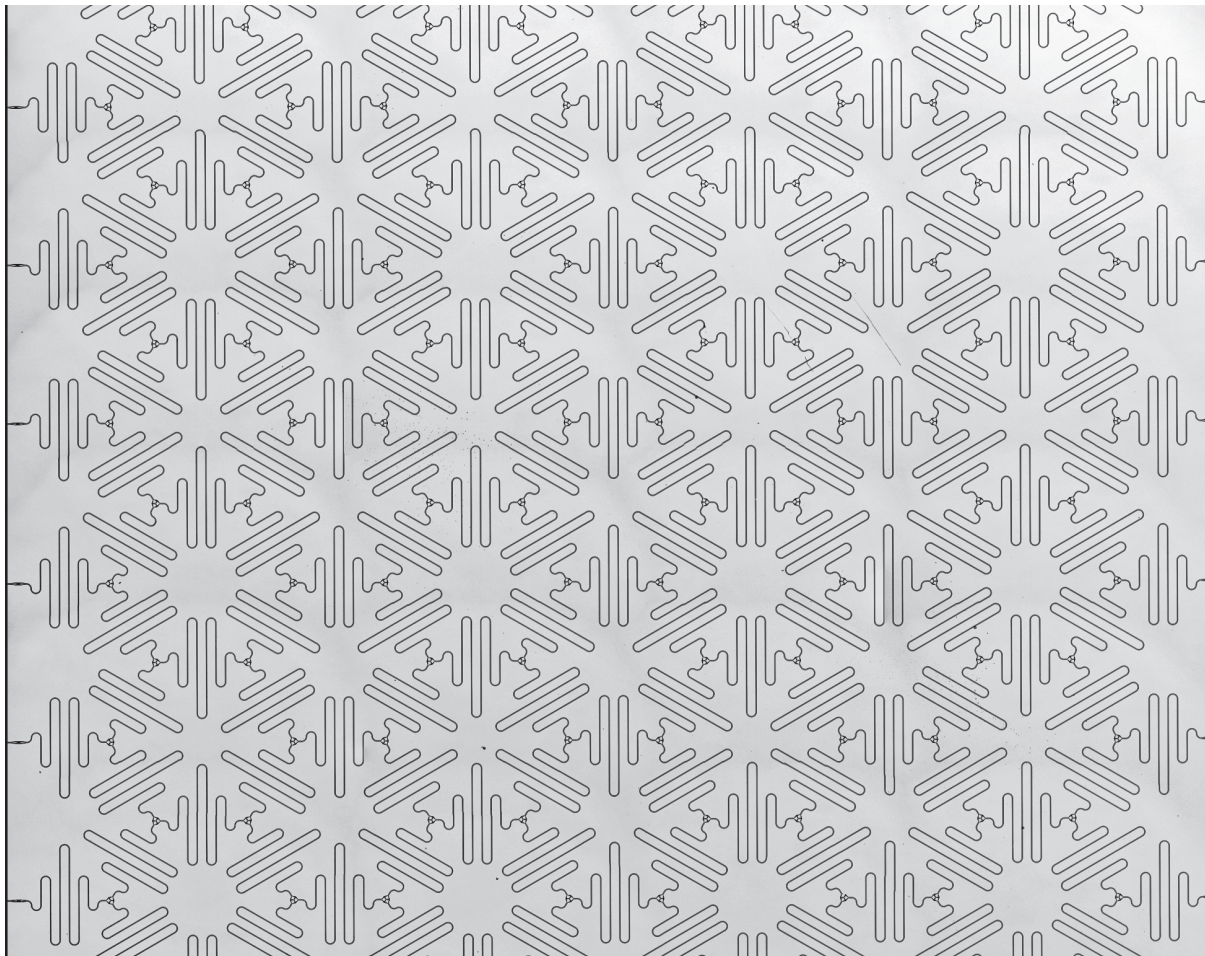
L. Balents, Nature 464, 199 (2010)

S. Yang, D. Huse and S. White, Science (2011)

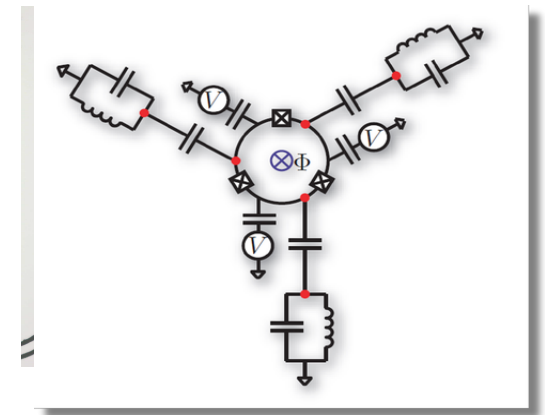
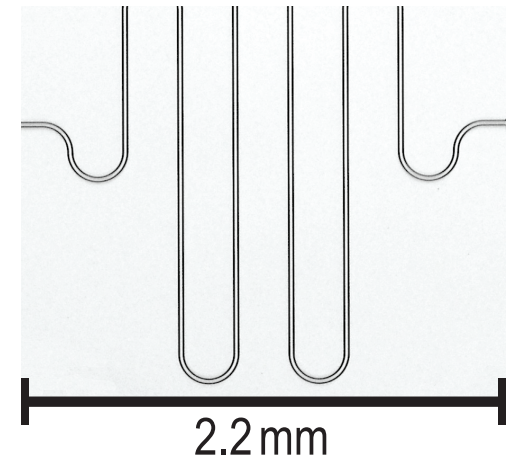
Work by Laura Messio, Claire Lhuillier, Bernard Bernu, G. Misguich...



D. Underwood, W. E. Shanks, J. Koch and A. Houck, PRA **86**, 023837 (2012)  
A. Houck, H. Tureci, J. Koch, Nature Physics 2012



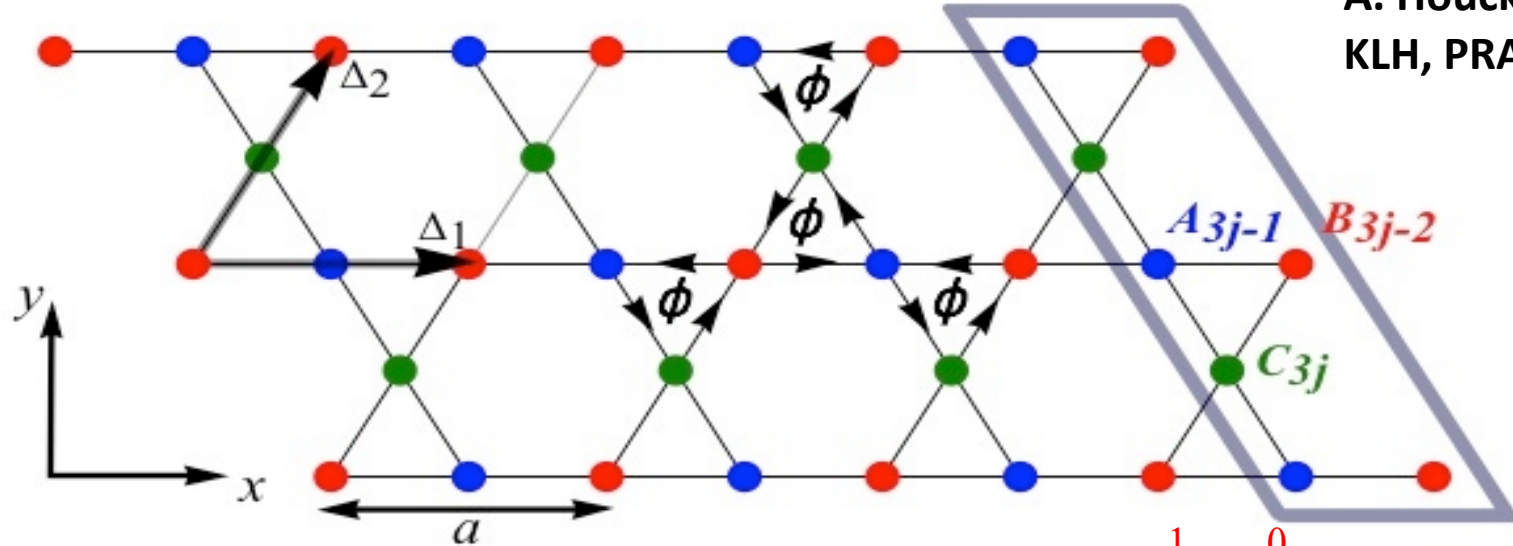
Realization of cQED networks



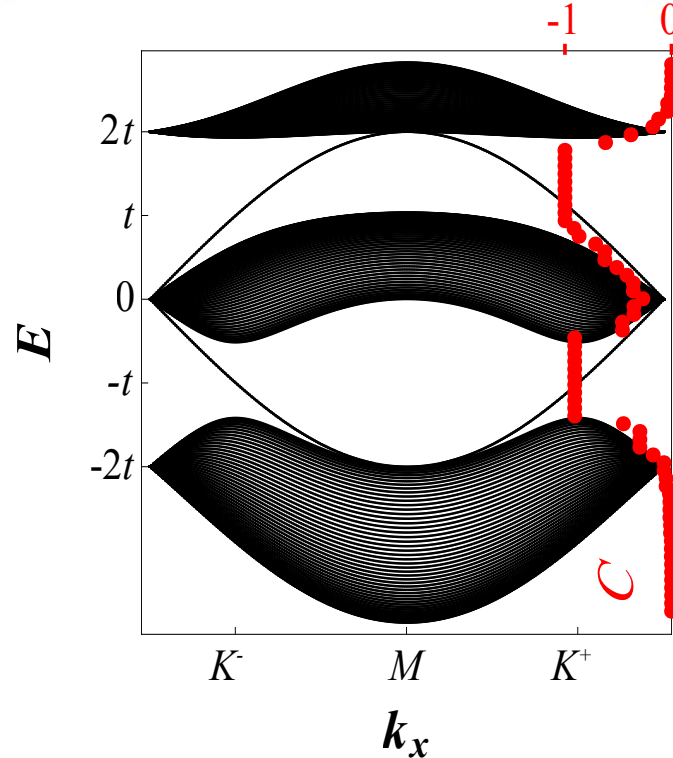
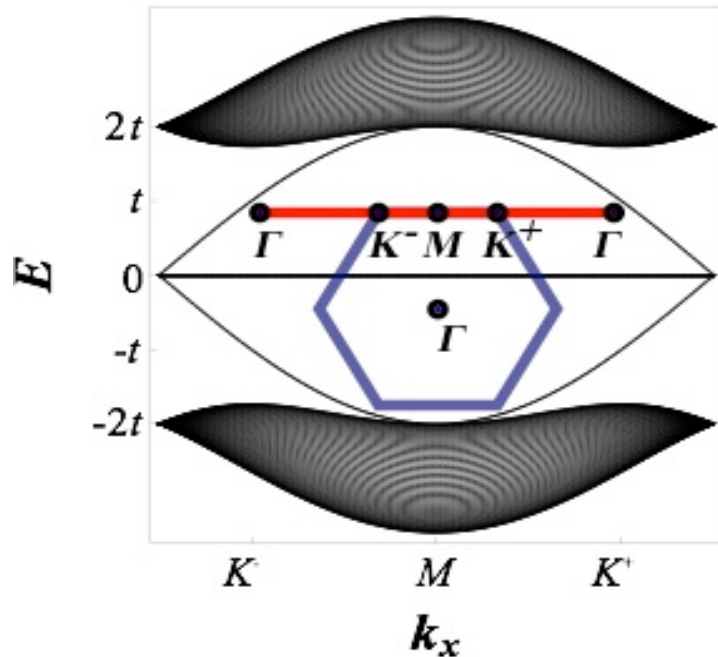
Idea to engineer gauge fields

# Topological Phases?

A. Petrescu,  
A. Houck &  
KLH, PRA 2012



$$\Phi = \pi/6$$



Karplus-Luttinger,  
1954

D. Haldane, 2004

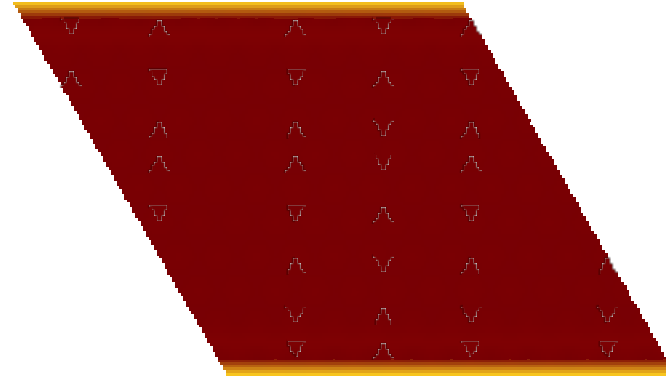
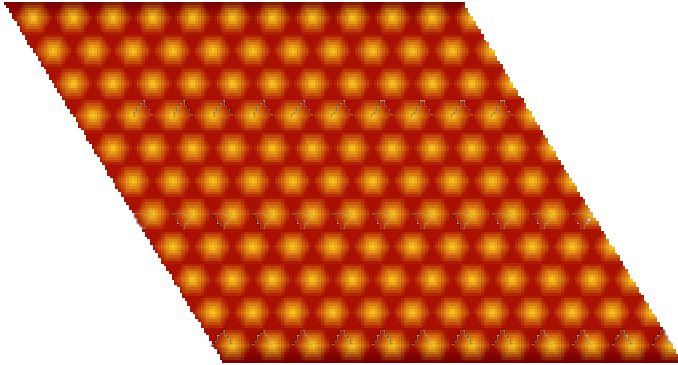
D. Bergman  
& G. Refael, 2010  
J. Meyer &  
G. Refael, 2013

$$\Phi = \pi/4$$

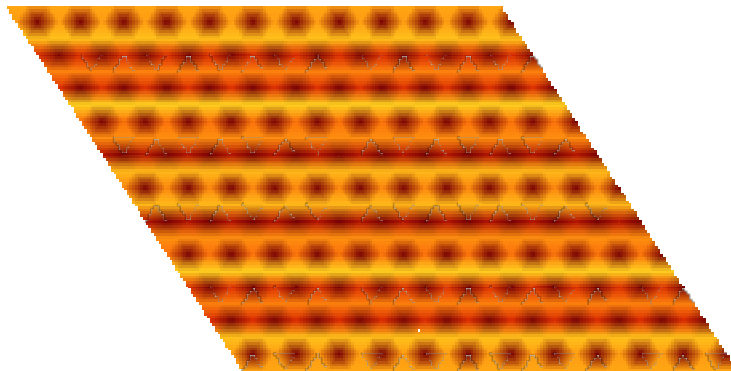


# LDOS

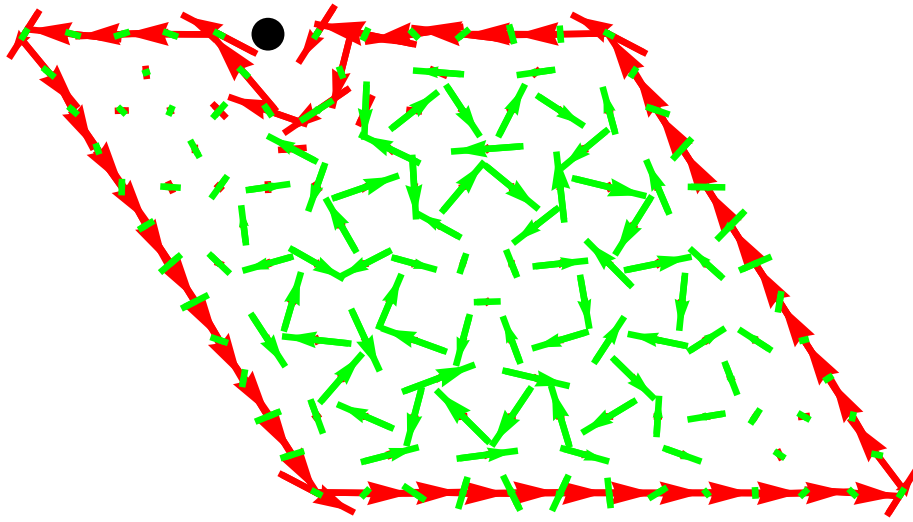
$$\Phi = \pi/6$$



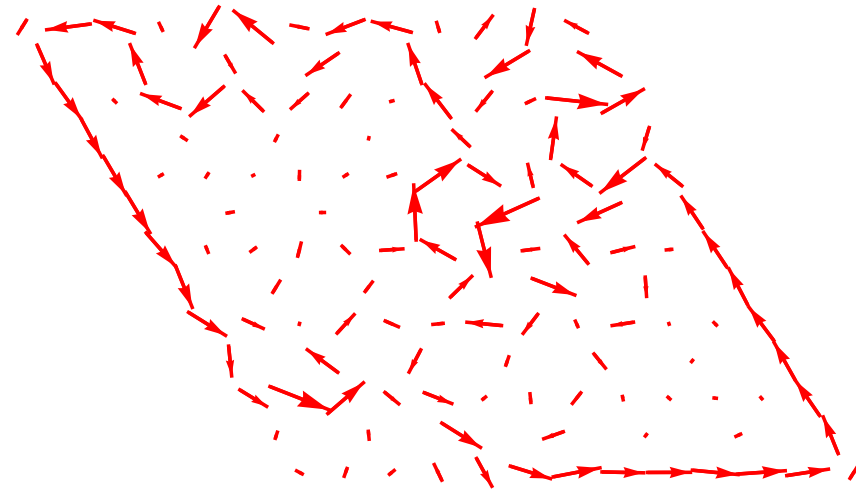
$$\Phi = \pi/4$$



# Quantum versus Anomalous Hall Effect of Light...



Red: situation at  $\Phi = \pi/6$   
Green: situation at  $\Phi = \pi/4$



situation at  $\Phi = \pi/6$   
disordered case

Chern number **non-quantized** for AHE and measurable...  
Synthetic B-field: Loops in k space and interference experiment  
See also related idea by D. Price and N. Cooper, PRA 2012

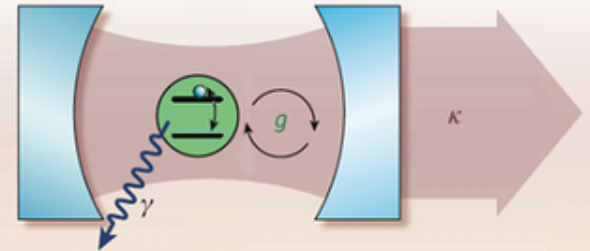
# Cavity & Circuit QED: 1 cavity a lot of activity...

## Coupling atoms to the EM field

- atoms can couple to the EM field via dipole moment
- coupling strength can be enhanced by confining field to a cavity

$2g$  = vacuum Rabi frequency  
 $\gamma$  = atomic relaxation rate  
 $\kappa$  = photon escape rate

cavity QED: LKB ENS,  
S. Haroche, J. M. Raimond, M. Brune



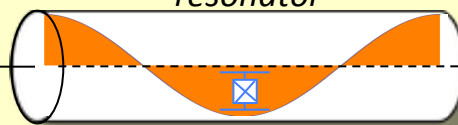
Jaynes-Cummings Hamiltonian

$$H = \frac{1}{2}\omega_a\sigma_z + \omega_r a^\dagger a + g(\sigma_- a^\dagger + \sigma_+ a) + (H_{\text{drive}} + H_{\text{baths}})$$

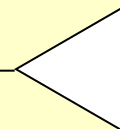
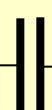
- same concept works for superconducting qubits!

circuit QED

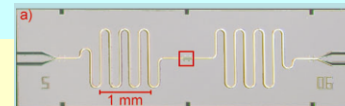
ac drive



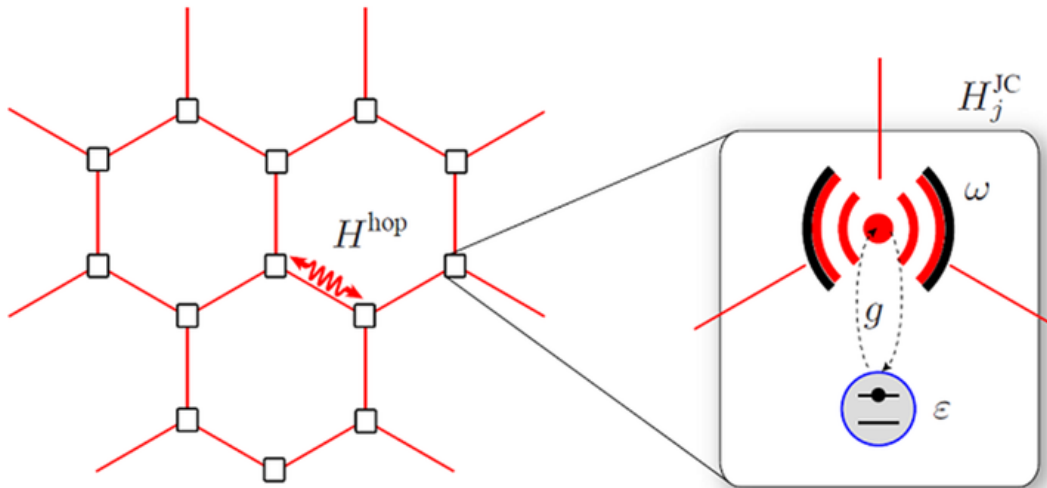
resonator



det.



# The Jaynes-Cummings "Lattice" Model



Jaynes-Cummings model: 1963  
(famous model in quantum optics)

Greentree et al., Nat. Phys. **2**, 856 (2006)

Angelakis et al., PRA **76**, 031805 (2007)

Jens Koch and KLH, PRA **80**, 023811 (2009)

Other groups: H. Tureci, R. Fazio, G. Blatter,  
S. Bose, Y. Yamamoto, P. Littlewood,  
M. Plenio, B. Simons, A. Sandvik,...

Jaynes-Cummings lattice model  $H = \sum_j H_j^{\text{JC}} + H^{\text{hop}} - \mu N$  "chemical potential"

► *Jaynes-Cummings*:  $H_j^{\text{JC}} = \omega a_j^\dagger a_j + \epsilon \sigma_j^+ \sigma_j^- + g(a_j^\dagger \sigma_j^- + \sigma_j^+ a_j)$

► *nearest-neighbor photon hopping*:  $H^{\text{hop}} = -\kappa \sum_{\langle i,j \rangle} (a_i^\dagger a_j + a_j^\dagger a_i)$

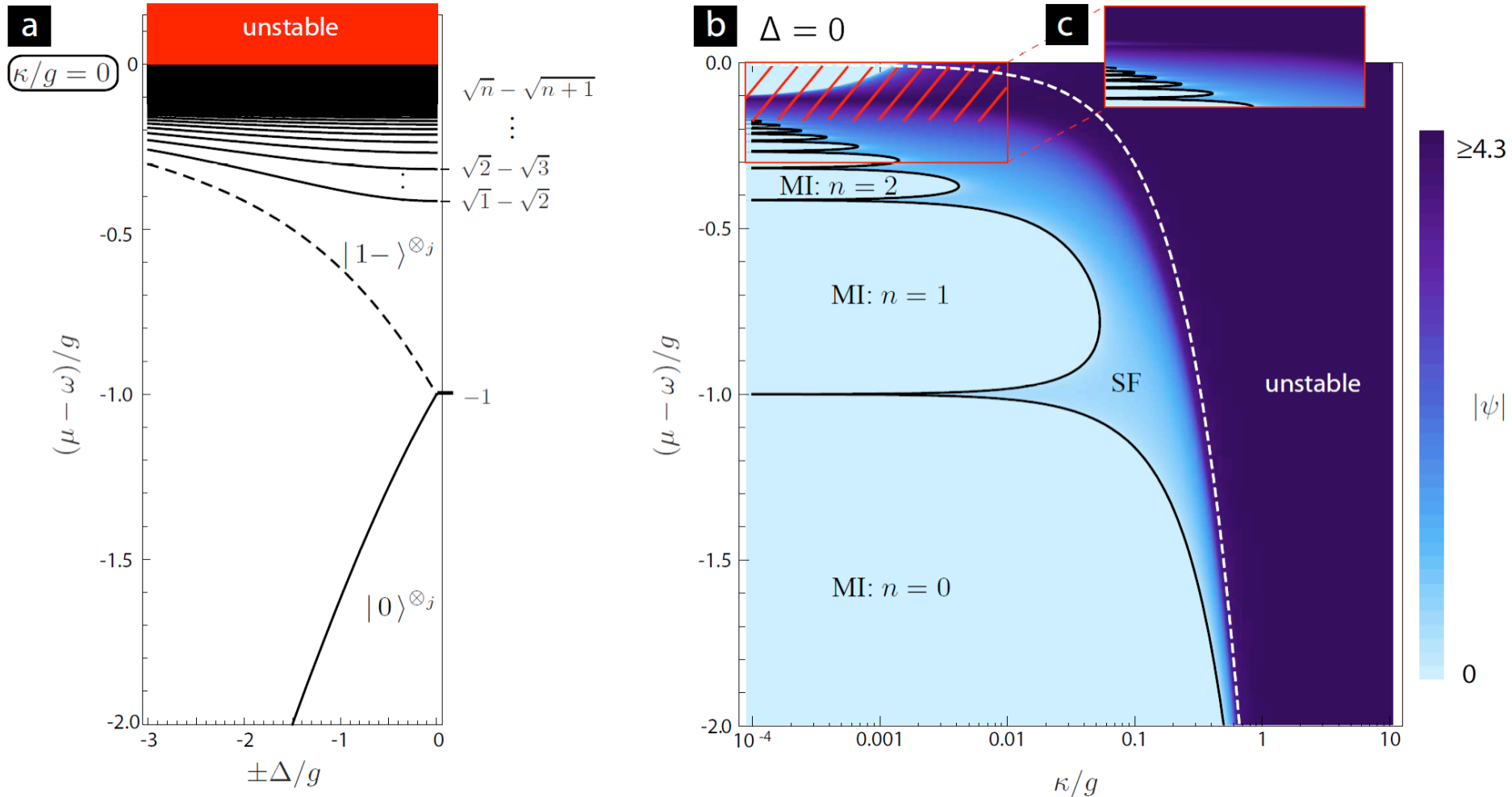
► *polariton number*:  $N = \sum_j (a_j^\dagger a_j + \sigma_j^+ \sigma_j^-)$

Other models: Spins coupled to light, experiments at Institut d'Optique, Palaiseau  
Y. Sortais, A. Fuhrmanek, R. Bourgain and A. Browaeys, PRA **85**, 035403 (2012)

# Analogy to Mott Physics with photons

Greentree et al., Nat. Phys. **2**, 856 (2006)

Angelakis et al., PRA **76**, 031805 (2007)



Jens Koch and KLH, PRA **80**, 023811 (2009): need to engineer  $\mu$

# Little Summary

**Bloch Bands with non-trivial Chern numbers  
and  $Z_2$  topological invariants**

**Applications:** Materials, Cold Atoms, Photons

**Gauge Theories:** progress in theory and numerics

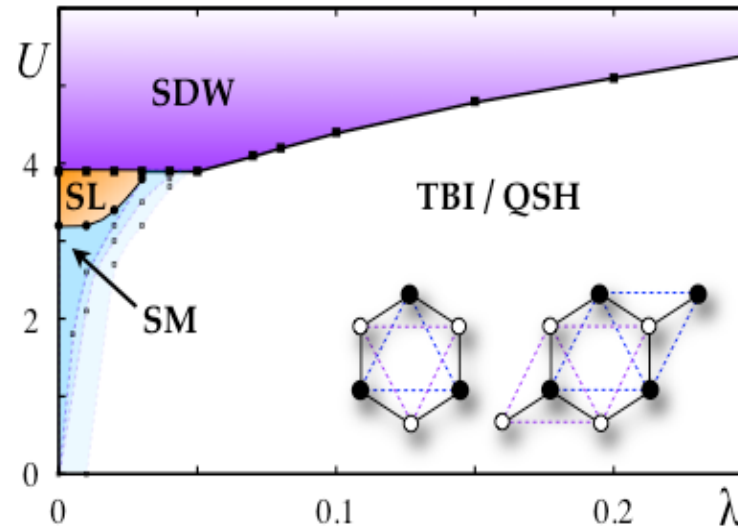
Finally, let's come back to the honeycomb lattice...

# Phase Diagram: debate

Wei Wu,  
Stephan Rachel,  
Wu-Ming Liu  
and KLH, PRB 2012

## CDMFT

Real-space version  
QMC continuous-time  
Impurity solver

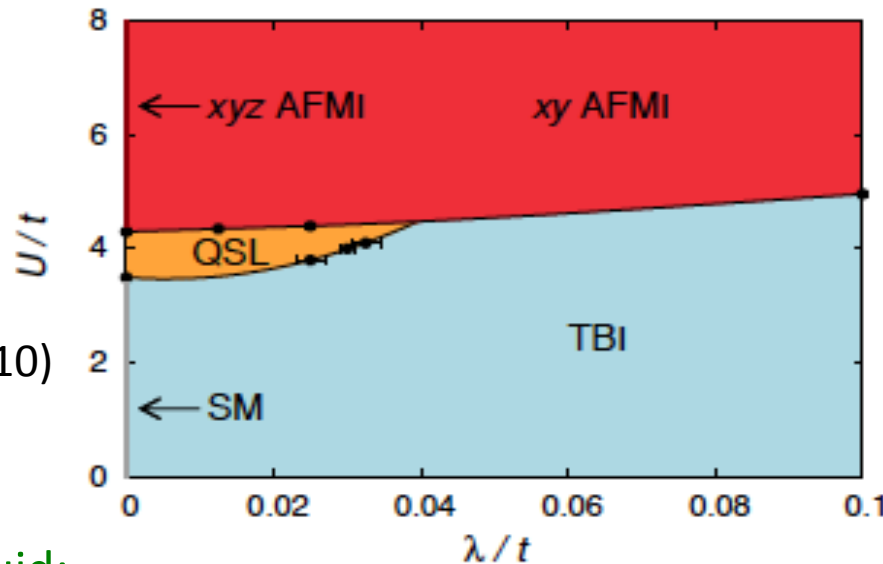


## 3D XY

S. Rachel & KLH, 2010  
Griset & C. Xu, 2011  
D.-H. Lee, 2011

## QMC

Z.Y. Meng et al.  
Nature **464**, 847 (2010)



M. Hohenadler et al.  
arXiv:1111.3949

Phys. Rev. Lett. **106**,  
100403 (2011)

Absence of spin liquid:

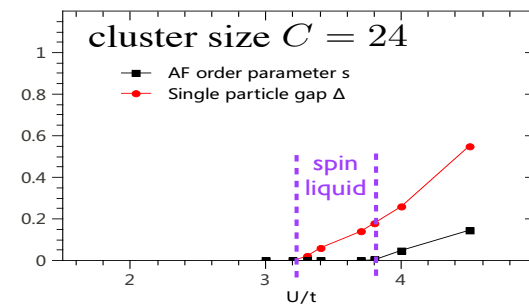
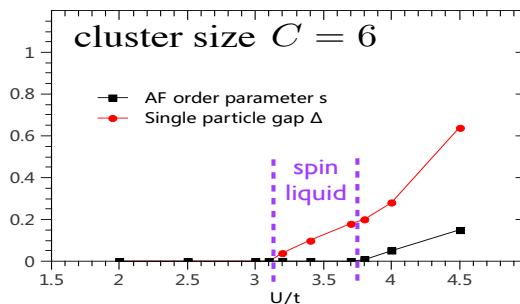
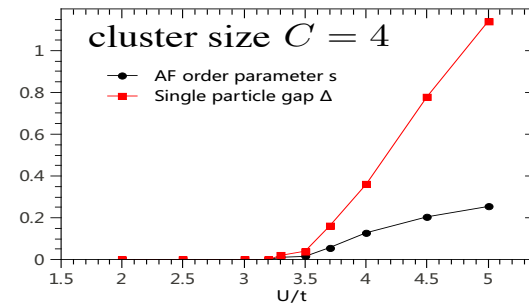
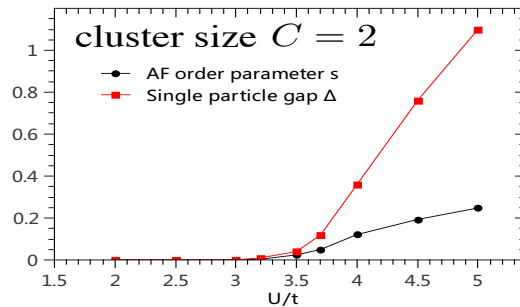
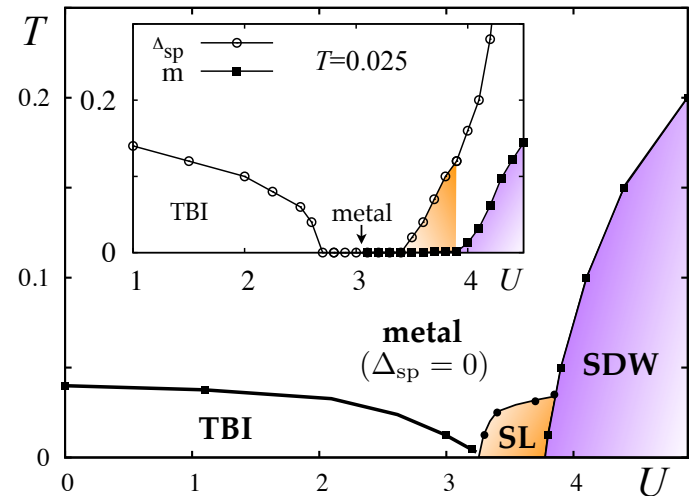
S. Sorella et al. Scientific Reports 2012; S. R. Hassan & D. Senechal PRL 2013

# “Spin Liquid”: PI

**Numerical Facts: CDMFT**

Single-particle gap

No long-range order



**Wei Wu**  
**CDMFT**



# Plaquette Model and PI: Possible explanation

