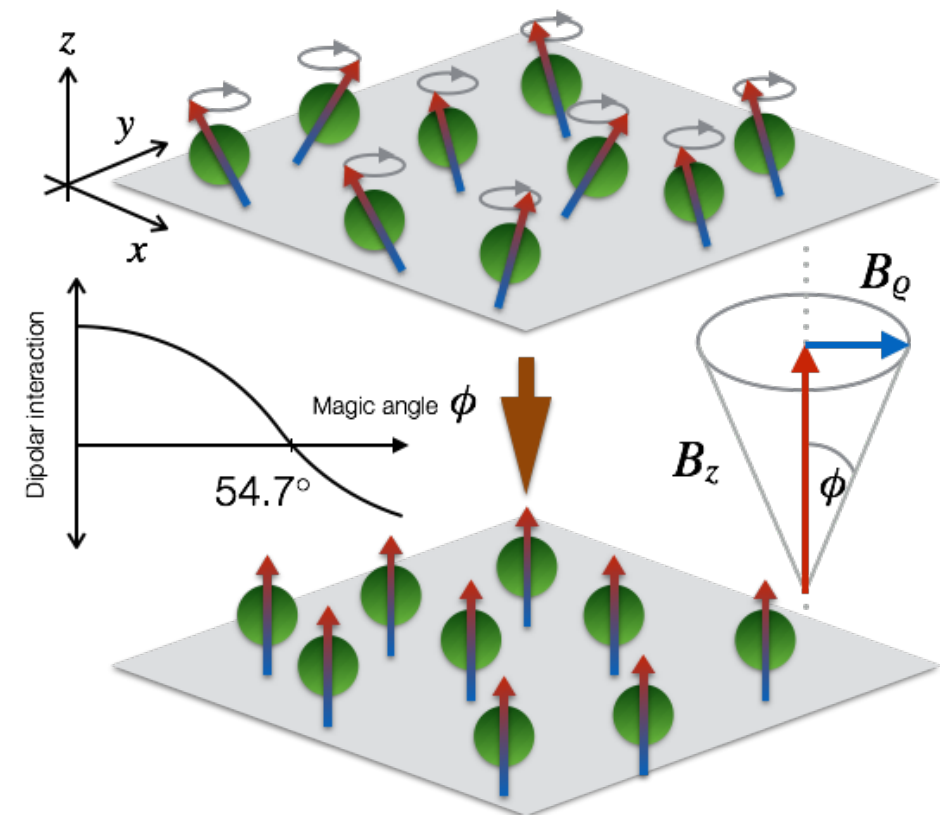


# Quantum Quenches in Fermion Liquids

*From the Generalized Gibbs Ensemble to Pre-thermalization*

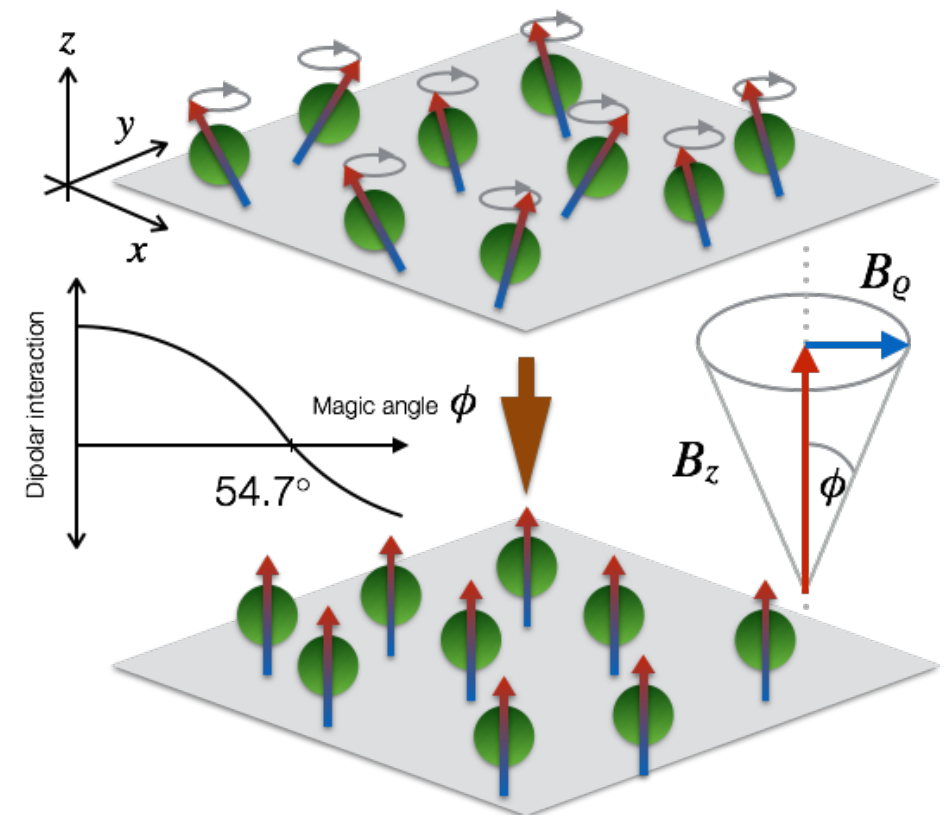
*Miguel A. Cazalilla NTHU, Taiwan.*



*ICTP (Trieste)*

*July 2, 2014*

# What you can do with **Quadratic** Hamiltonians ...



*Miguel A. Cazalilla NTHU, Taiwan.*



*ICTP (Trieste)*

*July 2, 2014*

# Collaborators



*Nicolas Nessi, La Plata,  
Argentina*



*Anibal Iucci, La Plata,  
Argentina*



**張明強**

*NCHU, Taiwan*

*MAC, Phys. Rev. Lett. (2006)*

*A Iucci & MAC, Phys. Rev. A (2009)*

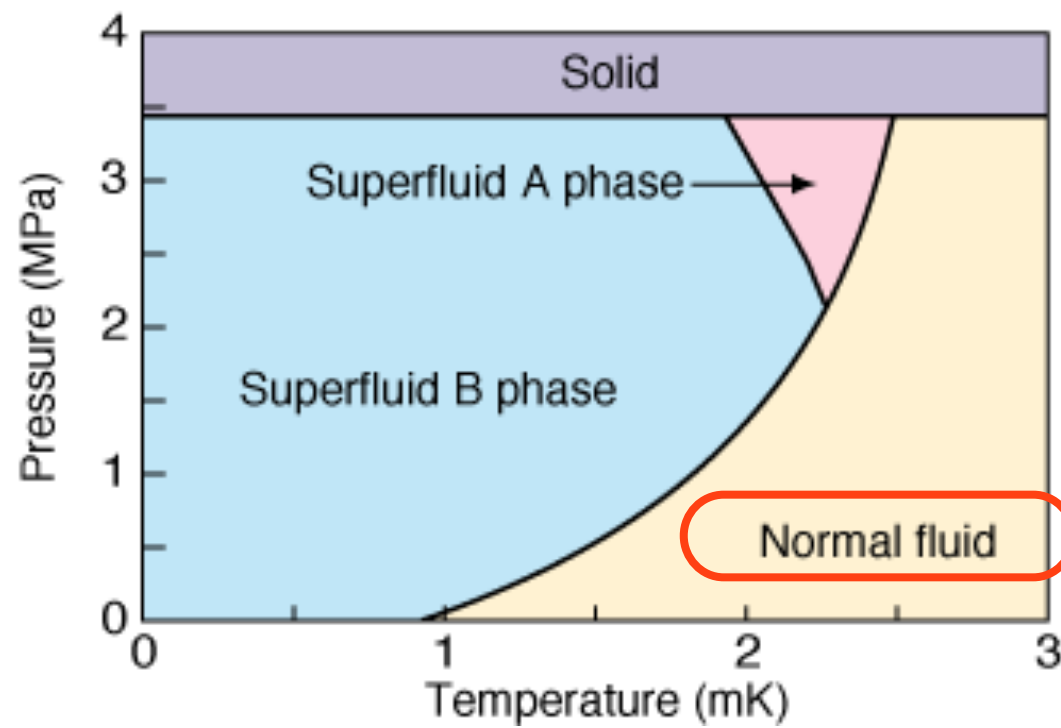
*MAC, A Iucci & MC Chung Physical Review E (2012)*

*N Nessi, A Iucci & MAC, arXiv:1401.986*

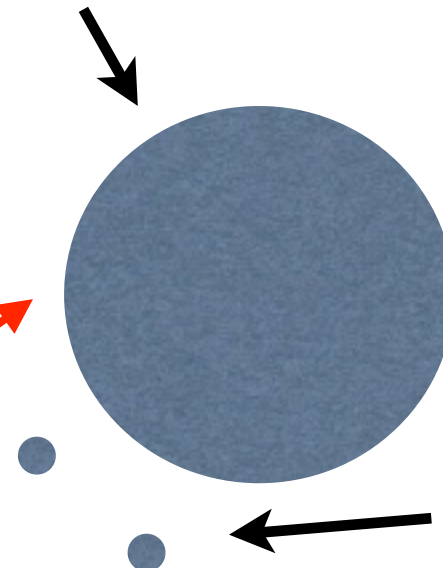
# Fermion liquids **in Equilibrium** (a crash course)

# Fermi Liquid Theory

*Helium 3 Phase diagram*



*Fermi Surface (FS)*



*What the Heck!?*

*Landau quasi-particles:  
form a dilute gas*

*Quasi-particle occupation*

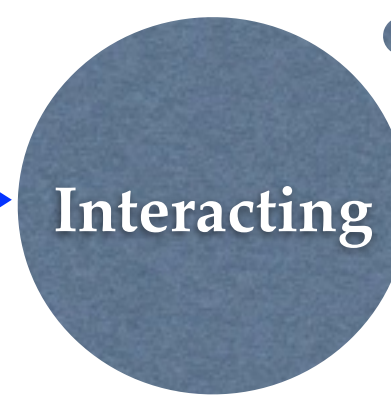
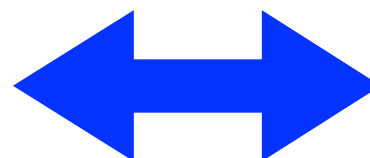
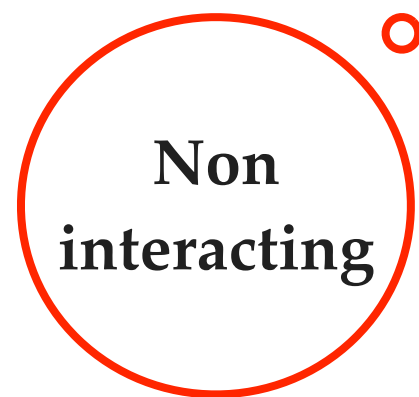
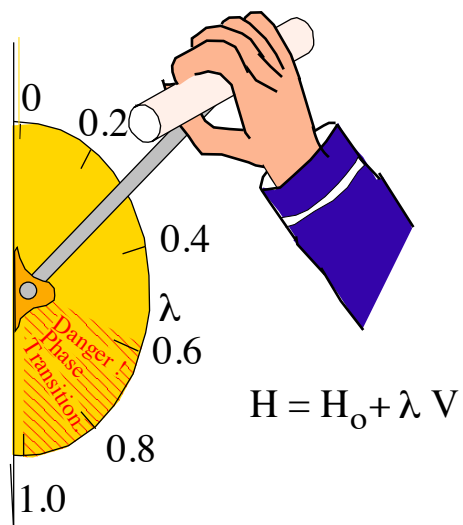
$$n_{\sigma}(\mathbf{p})$$

*is a good quantum number*

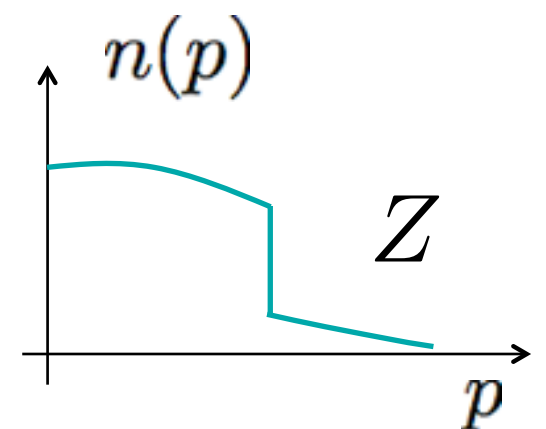
*Quasi-particle scattering rate:*

$$\Gamma_{QP} \sim \epsilon^2 + \pi^2 T^2$$

*Adiabatic Continuity*



● *Momentum distrib.*

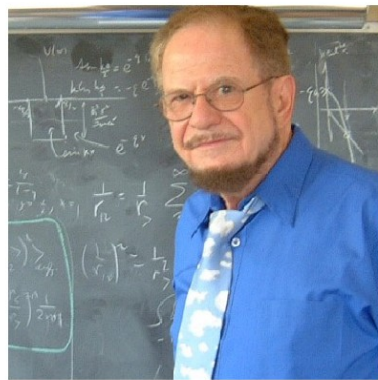


# One Dimension: The Tomonaga-Luttinger Liquid

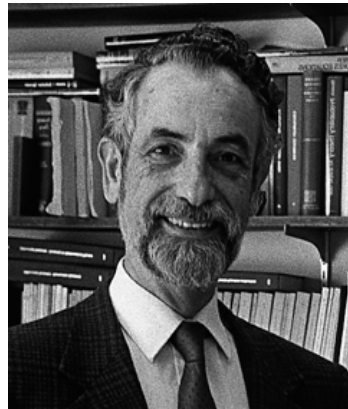
Luttinger



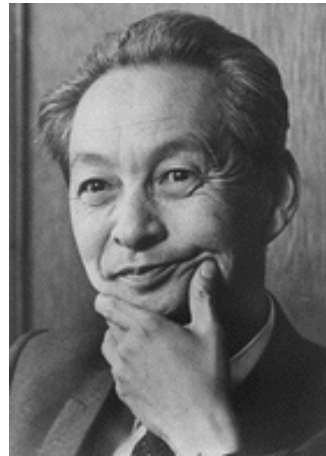
Mattis



Lieb



朝永



Luther



Emery



Peschel

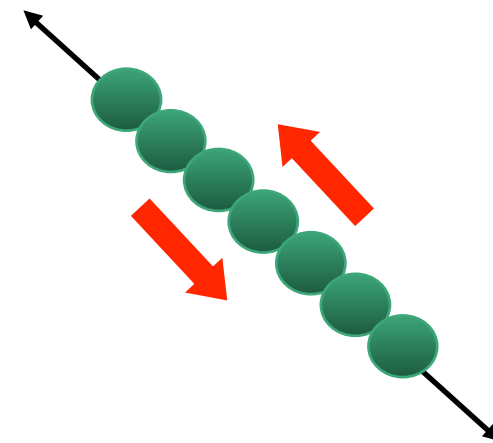
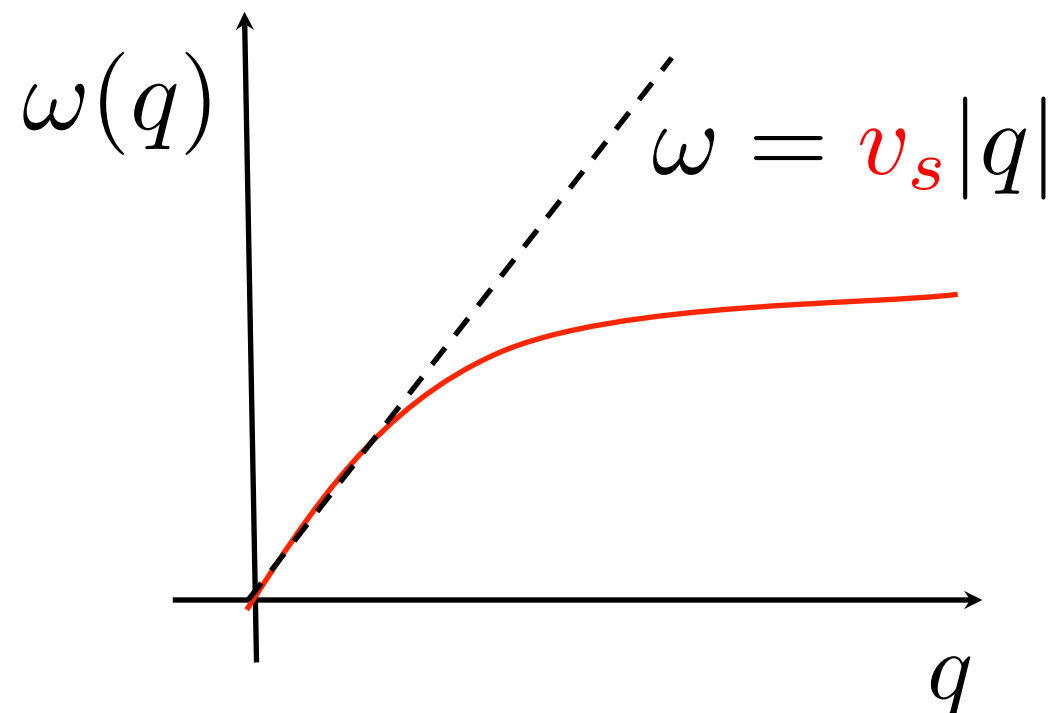


Haldane

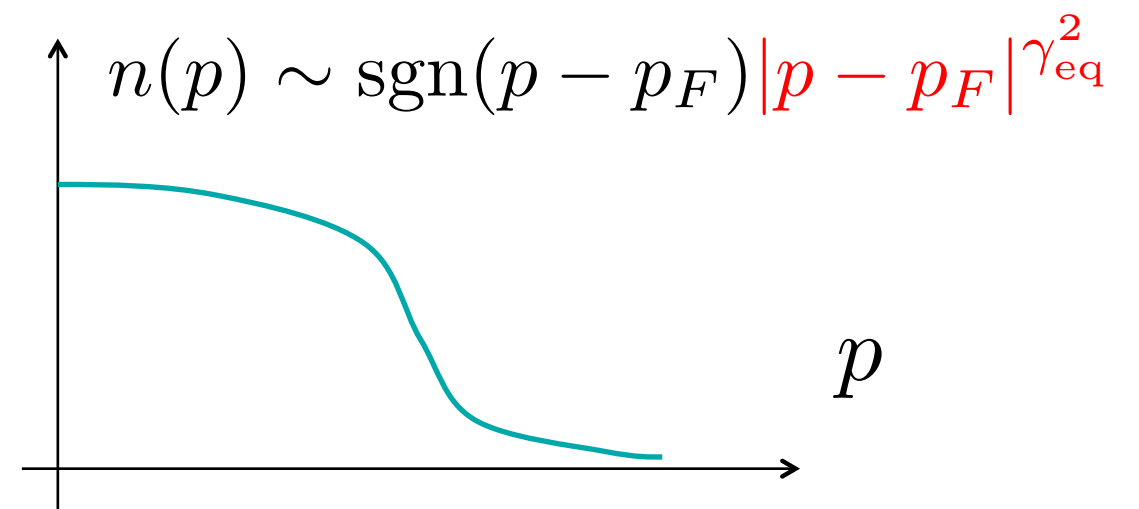


*(There are more, but I simply couldn't fit in every one...)*

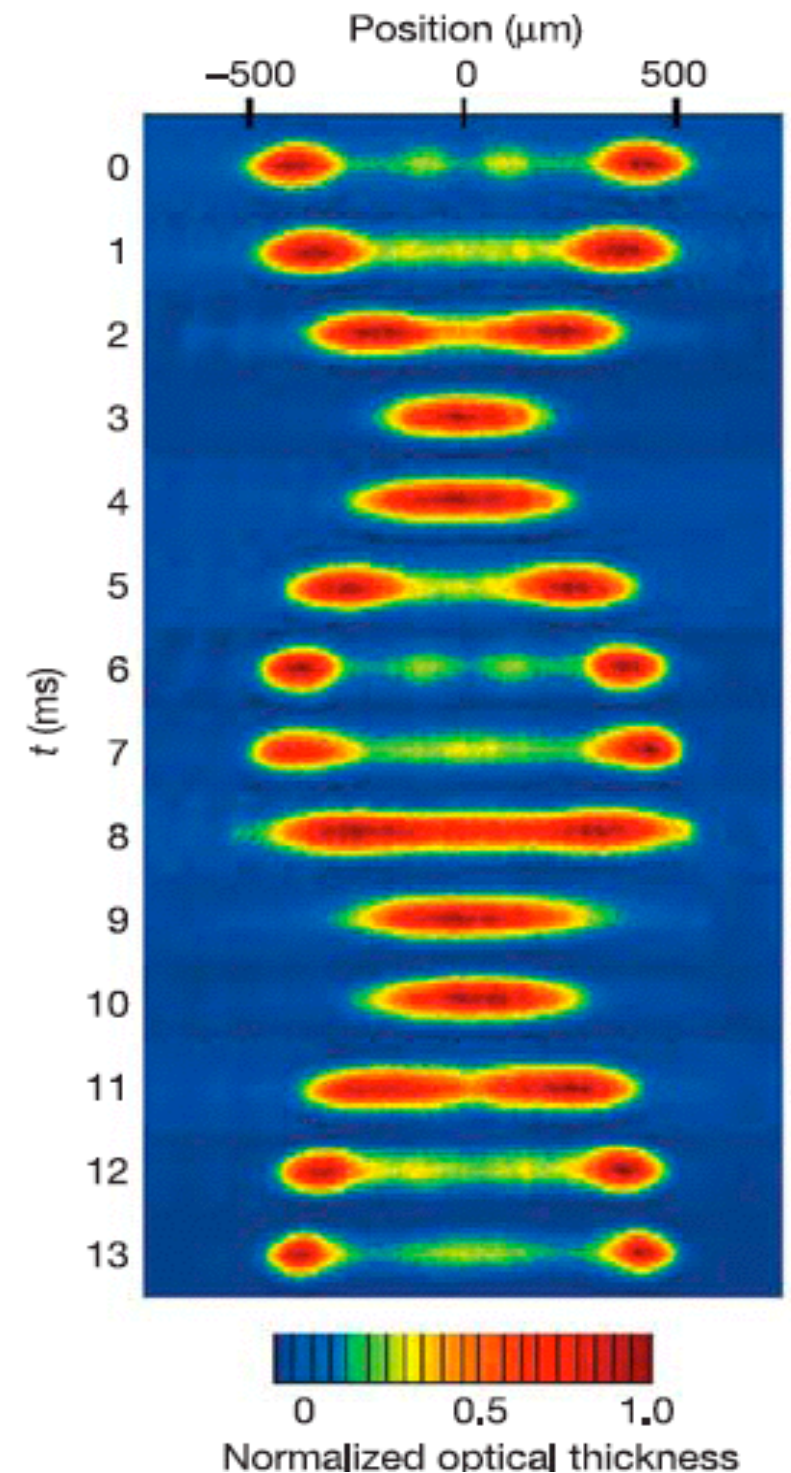
*Collective modes exhaust  
the low-energy spectrum*



*Power-law Momentum distribution*



# Out of Equilibrium Quantum (Fermi) Gases



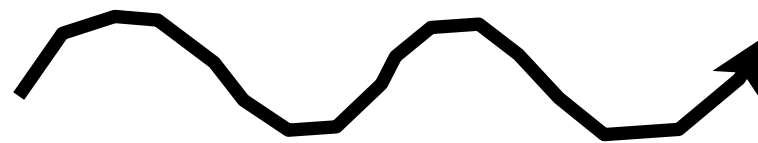
# Sudden Quantum Quenches

*State prep*

$$\rho_0$$

$$t = 0$$

*Unitary evolution*



$$e^{-iHt/\hbar}$$

*Measurement*

$$\rho(t)$$

$$t > 0$$

# Some Important Questions

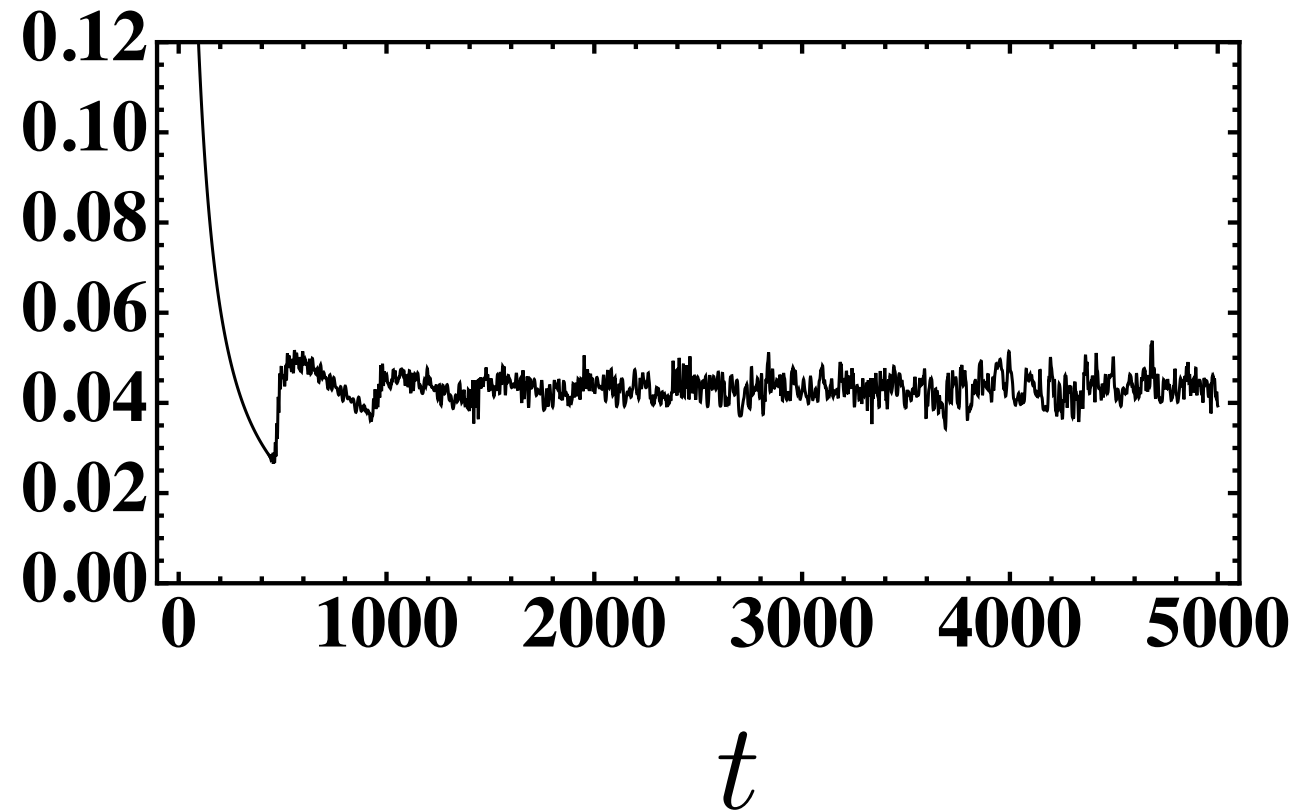
*Does the system reach a steady state?*

$$\bar{O} = \lim_{T \rightarrow +\infty} \frac{1}{T} \int_{t_0}^{t_0+T} dt O(t)$$

$$O(t) = \text{Tr } \rho(t) \hat{O}$$

$$\hat{O} = n(\mathbf{r}), \Psi^\dagger(\mathbf{r})\Psi(\mathbf{0}), \dots$$

$$O(t) = \text{Tr } \rho(t) \hat{O}$$



*If so, what are its properties? Does it thermalize?*

$$\bar{O} = \text{Tr } \rho_{\text{steady}} \hat{O},$$

$$\rho_{\text{steady}} \propto e^{-H/T_{\text{eff}}} ?$$

# Fermions in 1D

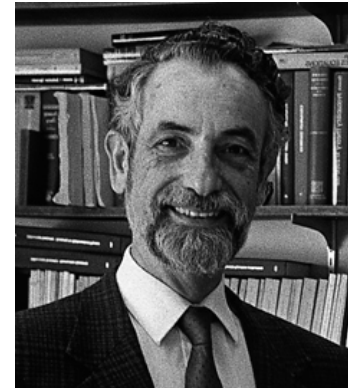
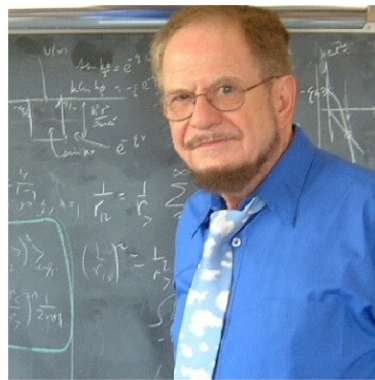
## Out of Equilibrium

# The Luttinger (Thirring) Model

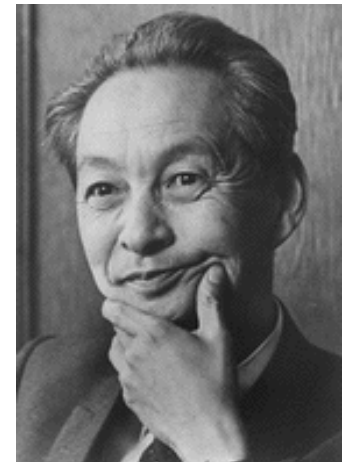
*Luttinger*



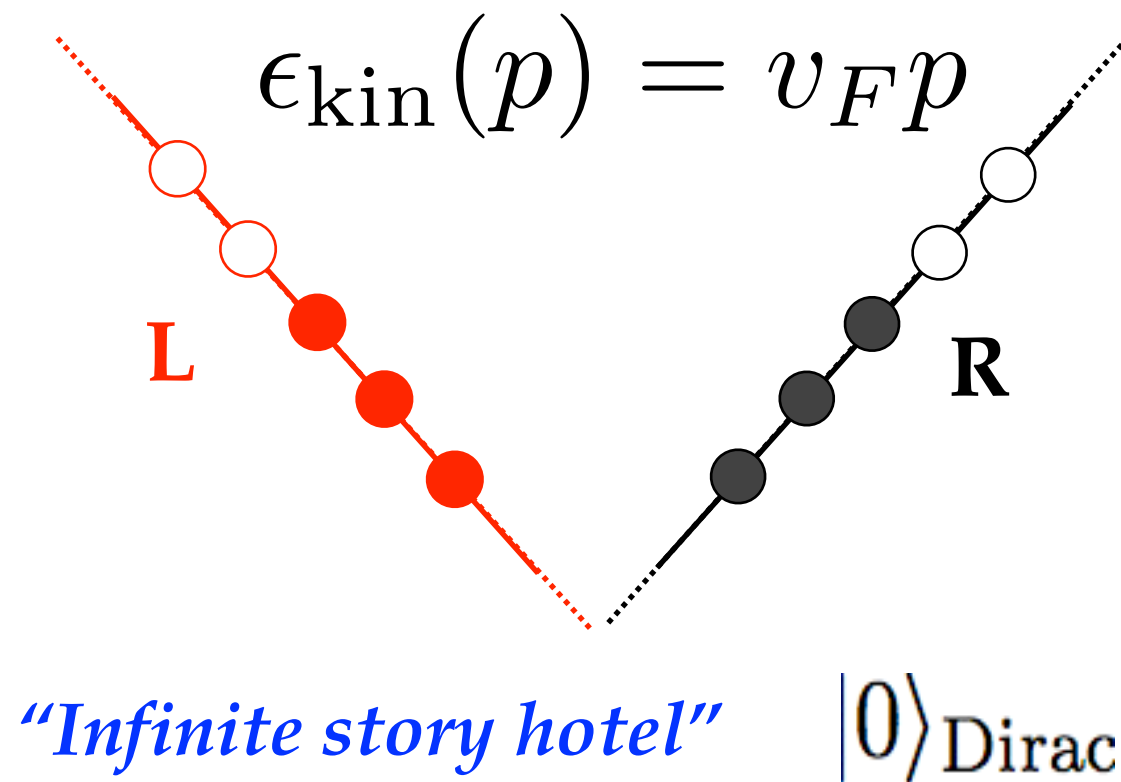
*Mattis & Lieb*



朝永



*[J. Math. Phys. (1965)]*



*‘Anomalous’ commutation relations*

$$[\rho_R(q), \rho_R(-q')] = \frac{qL}{2\pi} \delta_{q,q'}$$

# Quantum Quench in the LM

$$H_{\text{kin}} = \sum_{q \neq 0} \hbar v_F |q| a^\dagger(q) a(q) \quad H_{\text{LM}} = \sum_{q \neq 0} \hbar v |q| b^\dagger(q) b(q)$$

*Non-interacting fermions ( $t \leq 0$ )*

*Interacting fermions ( $t > 0$ )*

*Equilibrium solution*  $b(q) = \cosh \varphi(q) a(q) + \sinh \varphi(q) a^\dagger(-q)$

*Non-equilibrium (quench) solution:*

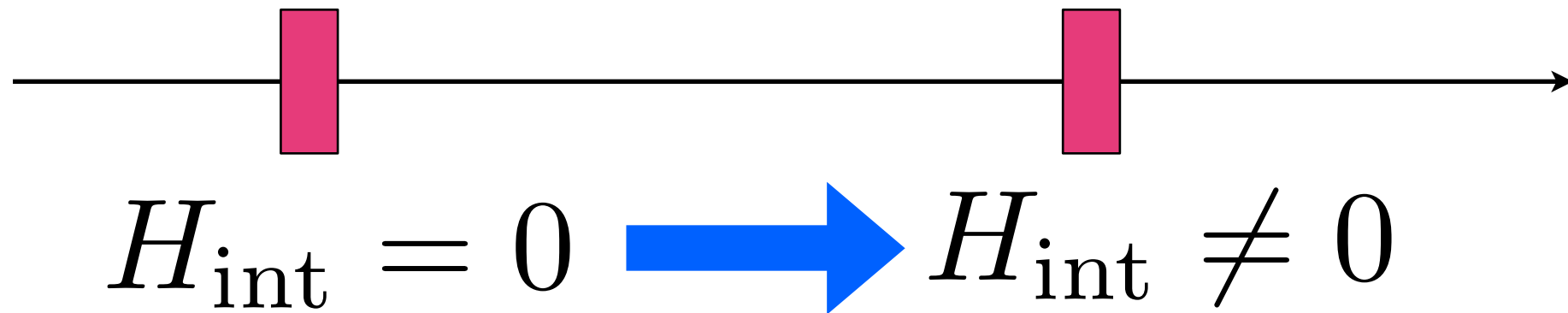
$$\begin{aligned} a(q, t) &= e^{iH_{\text{LM}}t/\hbar} a(q) e^{-iH_{\text{LM}}t/\hbar} = f(q, t) a(q) + g^*(q, t) a^\dagger(-q), \\ f(q, t) &= \cos v|q|t - i \sin v|q|t \cosh 2\varphi(q), \\ g(q, t) &= i \sin v|q|t \sinh 2\varphi(q) \end{aligned}$$

*MAC, PRL 97 (2006)*

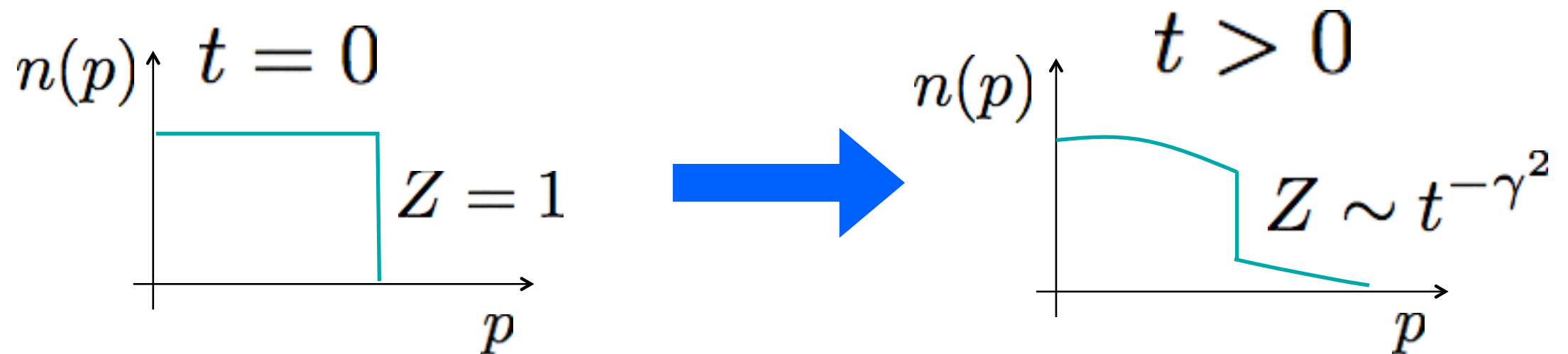
*One-particle density matrix*

$$C_{\psi_r}(x, t > 0) = \langle 0 | e^{iH_{\text{LM}}t/\hbar} \psi_r^\dagger(x) \psi_r(0) e^{-iH_{\text{LM}}t/\hbar} | 0 \rangle_{\text{Dirac}}$$

# Interaction Quenches: Fermions in 1D



*Momentum distribution at time  $t$  :*

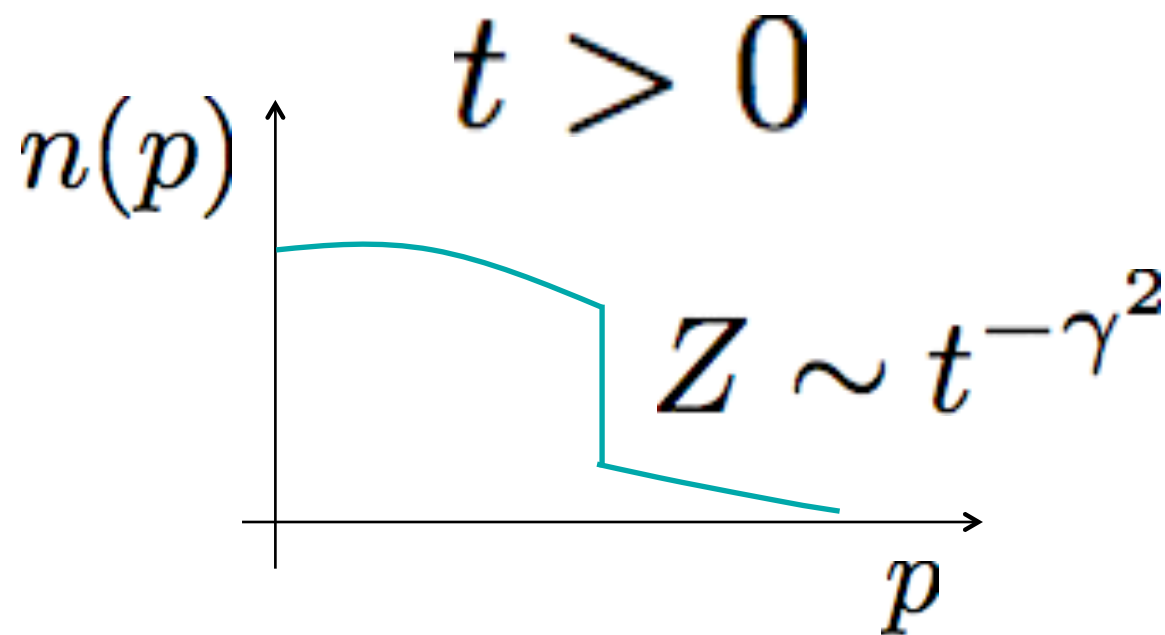


*MAC Phys Rev Lett (2006)*  
*A Iucci & MAC Phys Rev A (2009)*

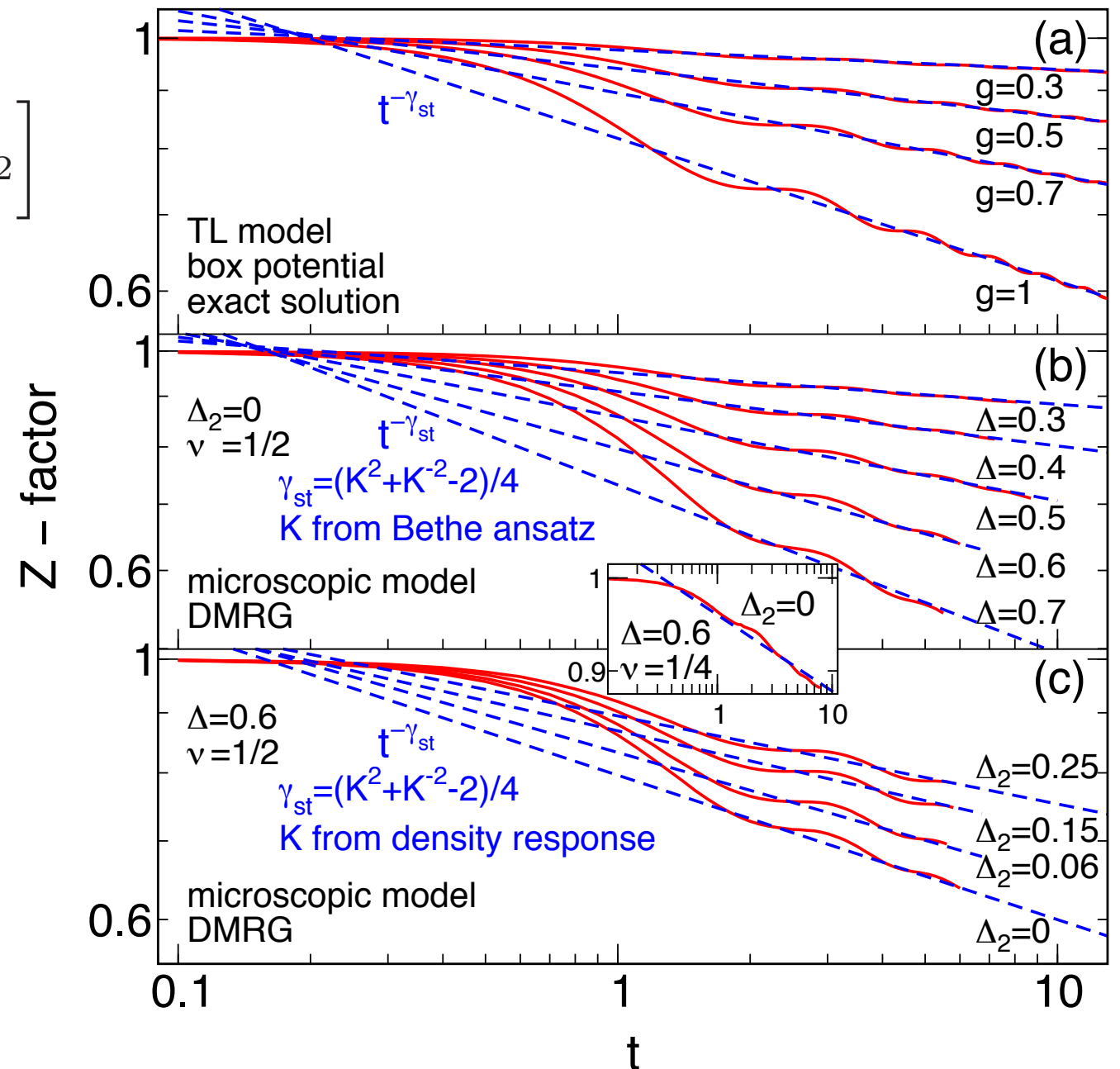
# Does this work for Tomonaga-Luttinger liquids?

*Model: XXZ + NN int*

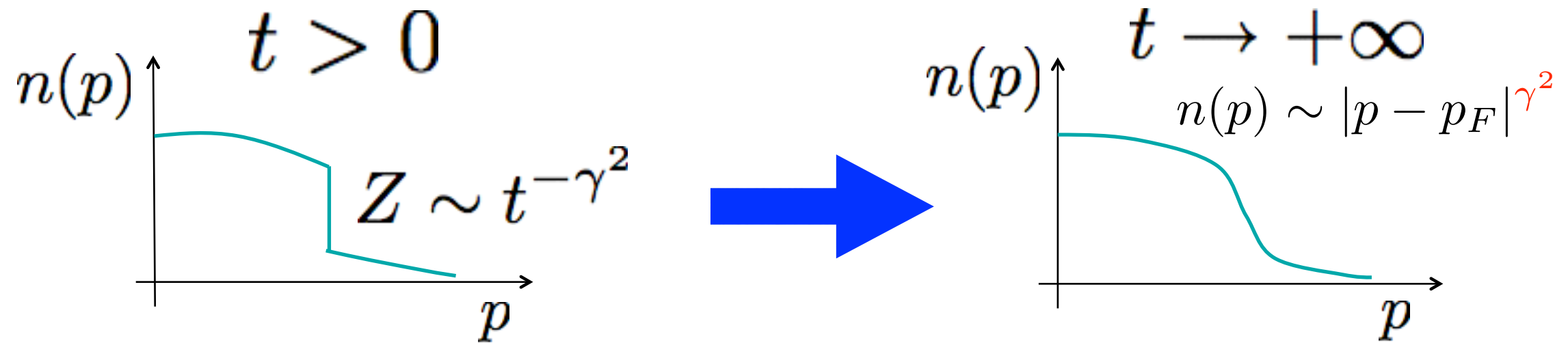
$$H = \sum_j \left[ \frac{1}{2} c_j^\dagger c_{j+1} + \text{H.c.} + \Delta n_j n_{j+1} + \Delta_2 n_j n_{j+2} \right]$$



*C Karrasch et al PRL (2012)*



# Where does the system go?



*Non-equilibrium exponent :*  $\gamma > \gamma_{eq}$

*The system does not thermalize! Why?*

# The GGE Conjecture

*M Rigol, B Dunjko, V Yurovsky, and M Olshanii PRL (2007)*

*Apply the Maximum Entropy Principle*

*[E.T. Jaynes, PR (1957)]*

$$\bar{O} = \lim_{t \rightarrow +\infty} \langle \Psi(t) | \hat{O} | \Psi(t) \rangle = \text{Tr} \rho_{GGE} \hat{O},$$

$$\rho_{GGE} = \frac{e^{\sum_k \lambda_k I(k)}}{Z_{GGE}}, \quad \langle I(k) \rangle_{GGE} = \langle \Psi(t=0) | I(k) | \Psi(t=0) \rangle$$

*Need Integrals of Motion*  $[H, I(k)] = 0$

*Luttinger Model Integrals of Motion*  $I(k) = b^\dagger(k)b(k)$

*But only  $O(N)$  integrals are needed!*

*Why?*

# Other Evidence for the GGE

*Falikov-Kimball Model M Eckstein and M Kollar PRL 2008*

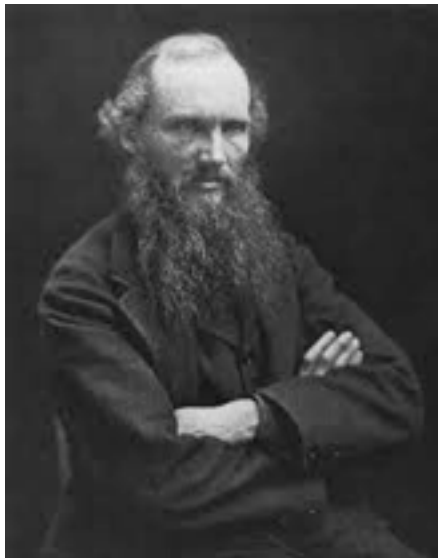
*1/r Hubbard Model in 1D M Eckstein and M Kollar PRA 2008*

*Sine-Gordon model A Iucci & MAC PRA 2009, NJP 2010*

*Sine-Gordon model D Fioretto and G Mussardo, NJP 2010*

*Quantum Ising Model P Calabrese, Fagotti, FHM Essler, PRL 2011*

*+ Add your favorite paper here if not listed above ...*



*“All Science is either Physics or stamp collecting”*

**Lord Kelvin**

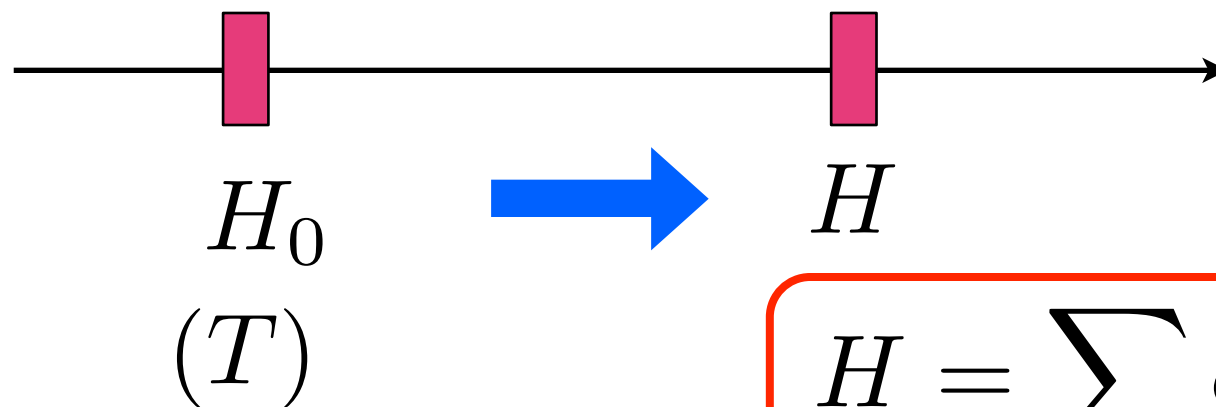
# What principles behind the GGE?

*Initial state*

$$\rho_0 = \frac{e^{-H_0/T}}{Z_0}$$

$$H_0 = \sum_{k,k'} [\epsilon_0(k) \delta_{k,k'} + V_0(k, k')] f^\dagger(k) f(k') \\ + \sum_{k,k'} [\Delta_0^*(k, k) f(k) f(k') + \Delta_0(k, k') f^\dagger(k') f^\dagger(k)]$$

*Quantum Quench as a sudden change of Hamiltonian*



$$H = \sum_k \epsilon(k) f^\dagger(k) f(k)$$

*System eigenmodes*  
[O(L) bosons or fermions]

$$[H, f(k)] = \epsilon(k) f(k)$$

# Uncorrelated Initial states

## *(Clustering) Wick's theorem*

$$\begin{aligned}\langle f^\dagger(k_1)f^\dagger(k_2)f(k_3)f(k_4)\rangle &= \langle f^\dagger(k_1)f^\dagger(k_2)\rangle\langle f(k_3)f(k_4)\rangle \\ &\quad \pm \langle f^\dagger(k_1)f(k_3)\rangle\langle f^\dagger(k_2)f(k_4)\rangle + \dots\end{aligned}$$

## *Gaussian reduced density matrices*

*[M.C. Chung and I. Peschel PRB (2001)]*

*[S.-A. Cheong and C.-L. Henley, PRB (2004)]*

$$\rho(k) = \text{Tr}_{k' \neq k} \rho_0 = \frac{1}{Z(k)} e^{-\lambda(k) f^\dagger(k) f(k)}$$

$$\rho_{\text{GGE}} = \bigotimes_k \rho(k)$$

*Physically, the other modes act as a bath*

*Eigenmode dependent temperature*

$$T(k) = \lambda(k)/\epsilon(k)$$

*For correlated (i.e. Chaotic) initial states thermalization occurs*

*K He and M Rigol, PRA (2013)*

# Correlations of Local Operators

*Local Operator*  $O(x) = \sum_k \varphi_k(x) f(k)$

$$C_O^{(2)}(x_i, x_j, t) = \langle O^\dagger(x_i, t) O(x_j, t) \rangle$$

$$= \sum_{k, k'} \varphi_k^*(x_i) \varphi_{k'}(x_j) G_0(k, k') e^{-i[\epsilon(k) - \epsilon(k')]t/\hbar}$$

*Normalized orbitals*  
 $\varphi_k \sim O(L^{-1/2})$

$$G_0(k, k') = \langle f^\dagger(k) f(k') \rangle \quad O(L^2)$$

$$\lim_{\substack{t \rightarrow +\infty \\ L \rightarrow +\infty}} C_0(x_i, x_j, t) = \sum_k \varphi_k^*(x_i) \varphi_k(x_j) N_0(k)$$

*Dephasing Only L numbers*

$$N_0(k) = \langle f^\dagger(k) f(k) \rangle = \text{Tr } \rho(k) f^\dagger(k) f(k) = \text{Tr } \rho_{\text{GGE}} f^\dagger(k) f(k)$$

*MAC, A Iucci, MC Chung Phys. Rev. E (2012)*

$$\rho_{\text{GGE}} = \bigotimes_k \rho(k)$$

# How about Nonlocal Operators?

*Momentum distribution*  $S(k, t) = \frac{1}{L} \sum_{i,j} \langle \sigma_i^-(t) \sigma_j^+(t) \rangle e^{ik(x_i - x_j)}$

*Non-local operator*  $\sigma_i^+ = \prod_{j < i} (1 - 2f_j^\dagger f_j) f_i,$

*Using Wick's theorem*

$$\lim_{t \rightarrow +\infty} \langle \sigma_i^-(t) \sigma_j^+(t) \rangle = \frac{1}{2}$$

$$\begin{vmatrix} a_0 & a_1 & \cdots & a_{-n+1} \\ a_1 & a_0 & \cdots & a_{-n+2} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n-1} & a_{n-2} & \cdots & a_0 \end{vmatrix}$$

*Toeplitz determinant*

*Tricky points with Wick's th.*

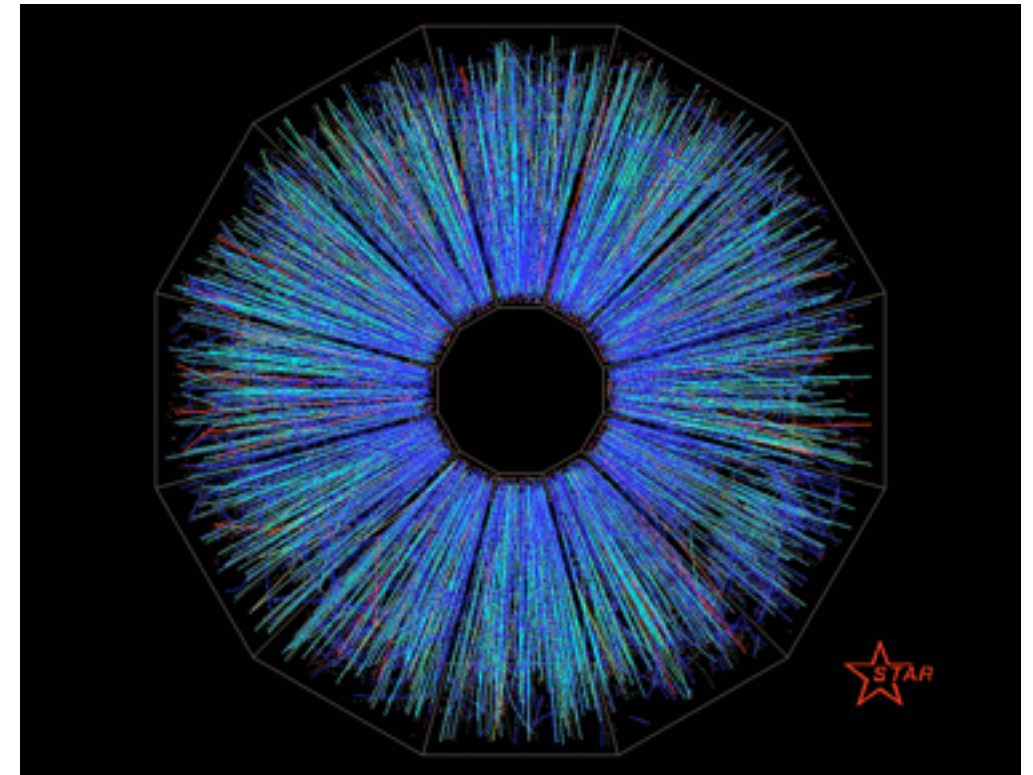
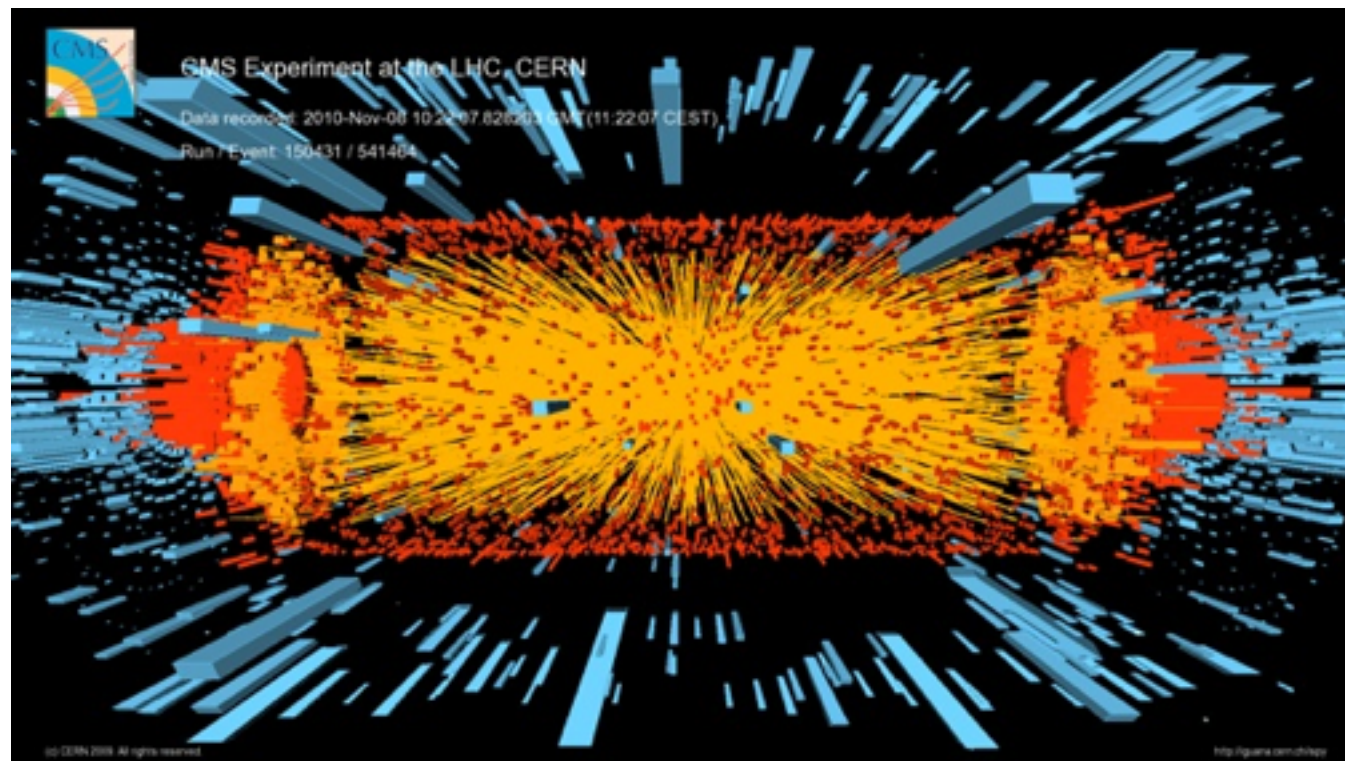
*MAC, A Iucci, MC Chung PRE (2012)*

*S Ziraldo and GE Santoro PRB 2013*

$$a_{i-j+1} = \delta_{ij} - 2 \sum_k \varphi_k^*(x_i) \varphi_k(x_j) N_0(k)$$

*Depends only on diagonal correlations*  $N_0(k) = \langle f^\dagger(k) f(k) \rangle$

# Pre-thermalization of Fermion fluids



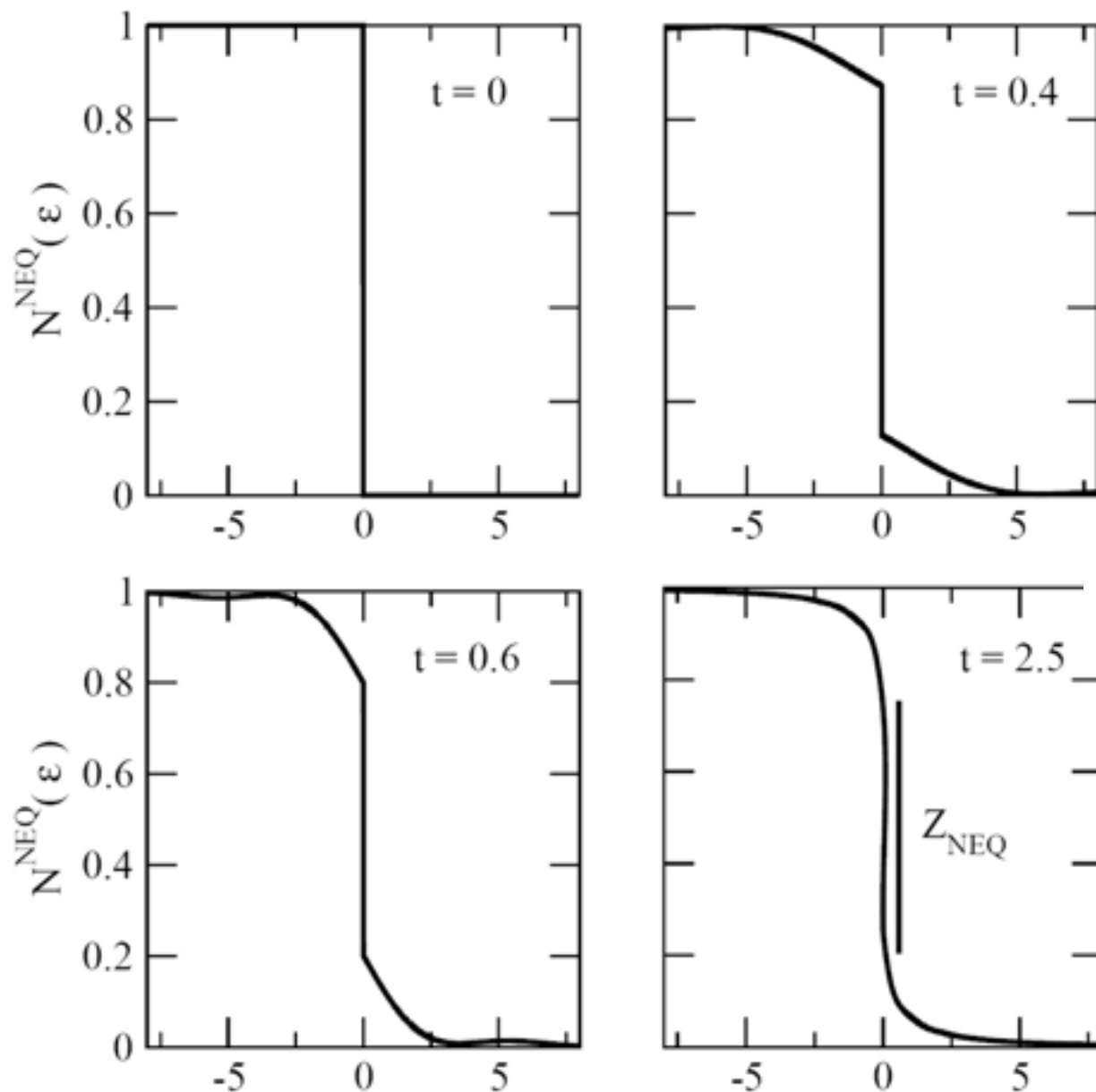
*Prethermalization [...] describes the very rapid establishment of [...] a kinetic temperature based on average kinetic energy [...] the occupation numbers of individual momentum modes still show strong deviations from the late-time Bose-Einstein or Fermi-Dirac distribution.*

*J Berges et al Phys Rev Lett 2004*

# Prethermalization in the Hubbard Model

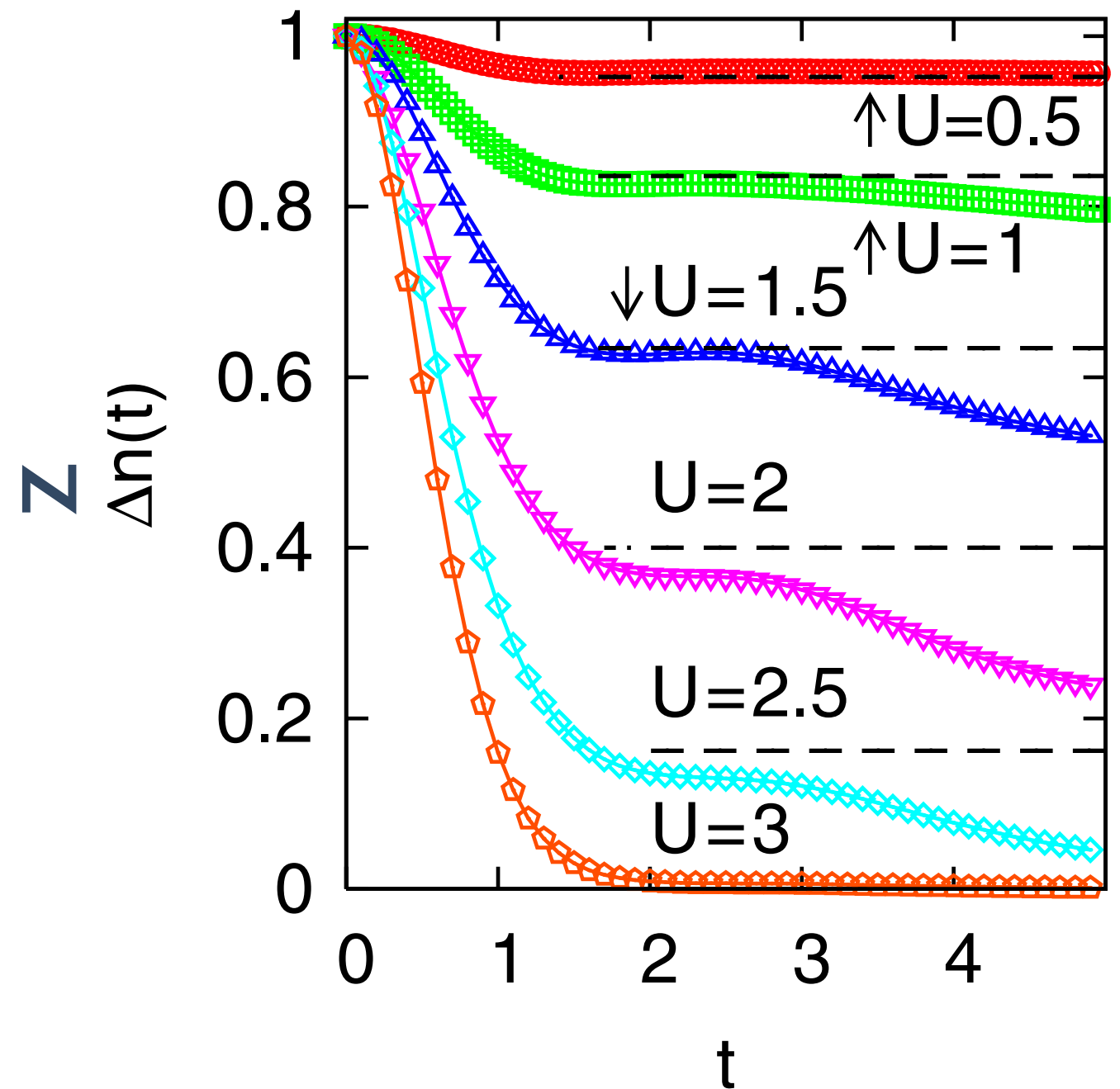
$$H = \sum_{k, \sigma} \epsilon(k) c_k^\dagger c_k + \frac{U}{V} \theta(t) \sum_{k, p, q} c_{k+q\uparrow}^\dagger c_{p-q\downarrow}^\dagger c_{p\downarrow} c_{k\uparrow}$$

*M Eckstein, M Kollar, & P Werner PRL (2009)*



$$1 - Z_{\text{neq}} = 2(1 - Z_{\text{eq}})$$

*M Moeckel & S Kehrein PRL (2006)*

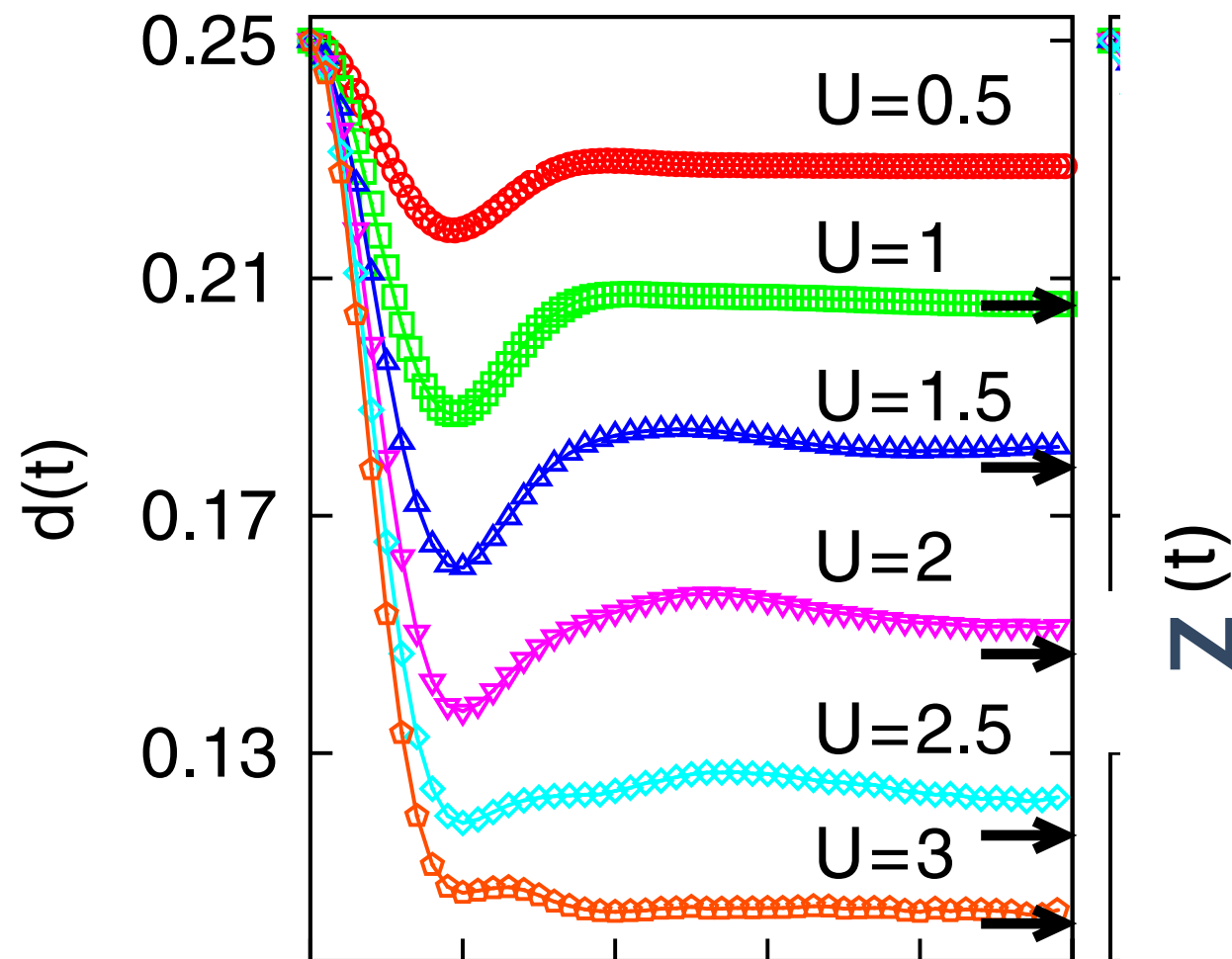


# Prethermalization in the Hubbard Model

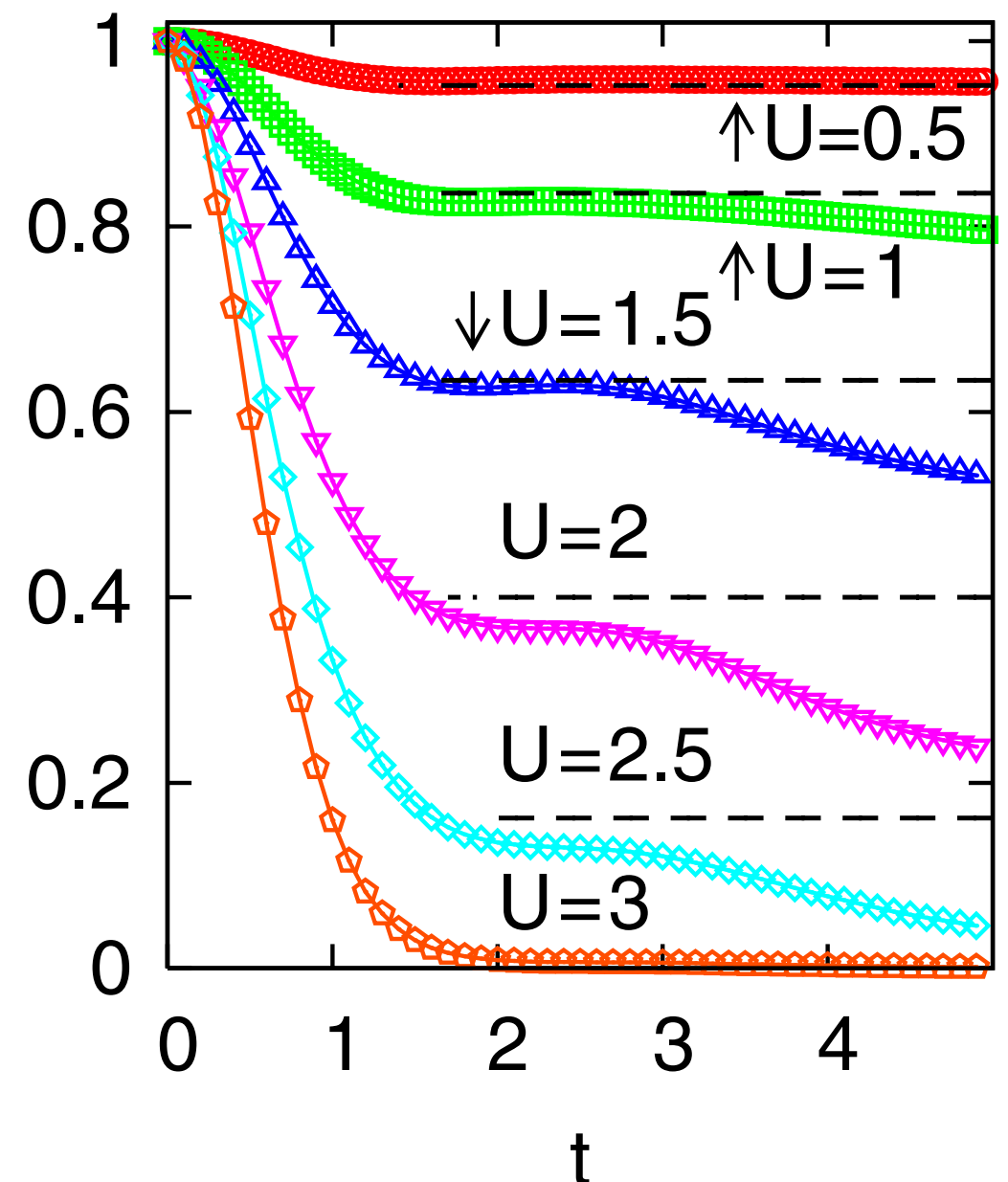
(in infinite dimensions)

## Double Occupancy

*M Eckstein, M Kollar, & P Werner PRL (2009)*



## Discontinuity at $k_F$



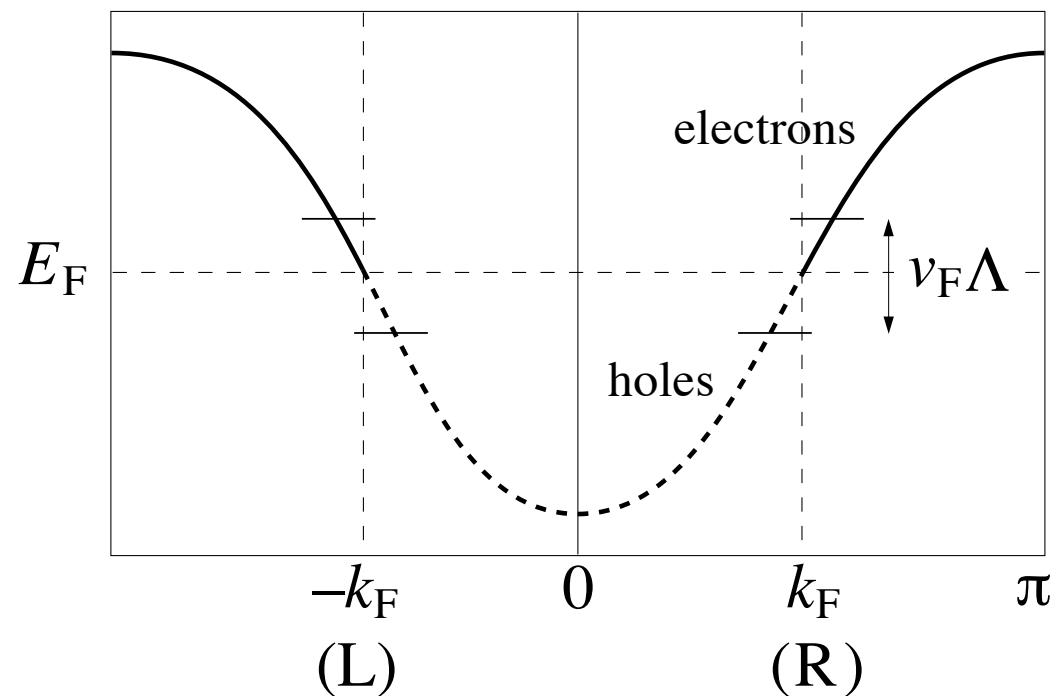
*Kinetic energy rapidly equilibrates*

$$d(t) = U n_{i\uparrow}(t) n_{i\downarrow}(t)$$

$$E = \langle K(t) \rangle + U N d(t) = \text{const.}$$

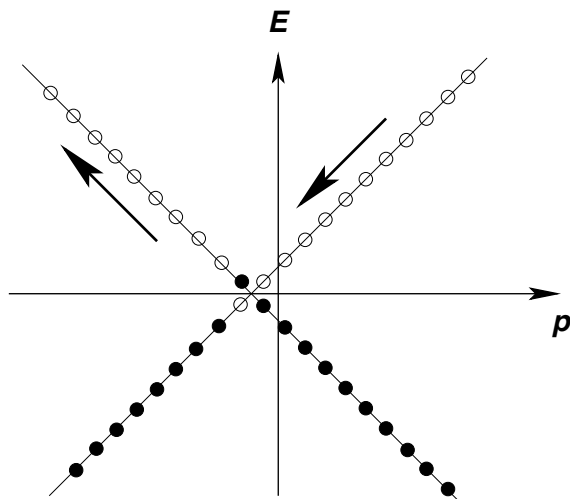
# Two stage evolution to the Steady State

## 1D conductor



## Chiral Anomaly

$$[\rho_R(q), \rho_R(-q')] = \frac{qL}{2\pi} \delta_{q,q'}$$



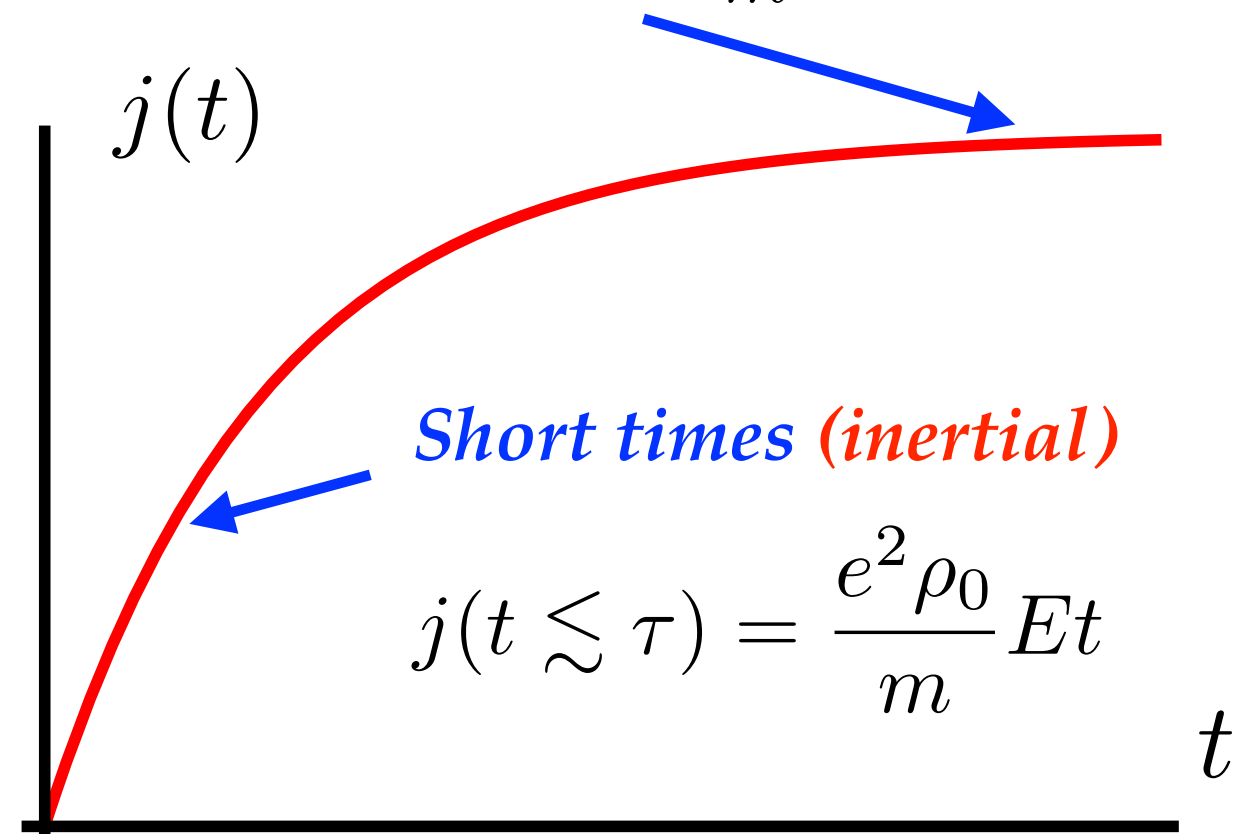
## Quench of the electric field

$$\frac{dj(t)}{dt} + \frac{j(t)}{\tau} = \frac{e^2 \rho_0}{m} E_0 \theta(t)$$

$$j(t \geq 0) = \frac{e^2 \rho_0 \tau}{m} E (1 - e^{-t/\tau})$$

## Long times (collision dominated)

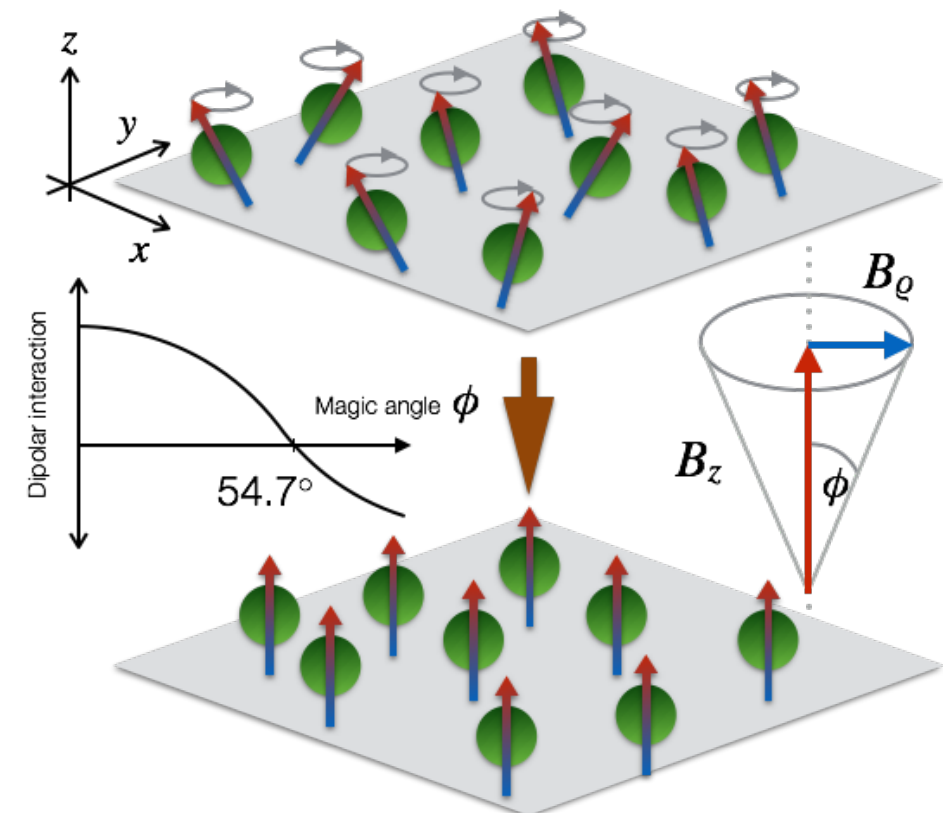
$$j(t \gtrsim \tau) = \frac{e^2 \rho_0}{m} E \tau = \text{const.}$$



## Short times (inertial)

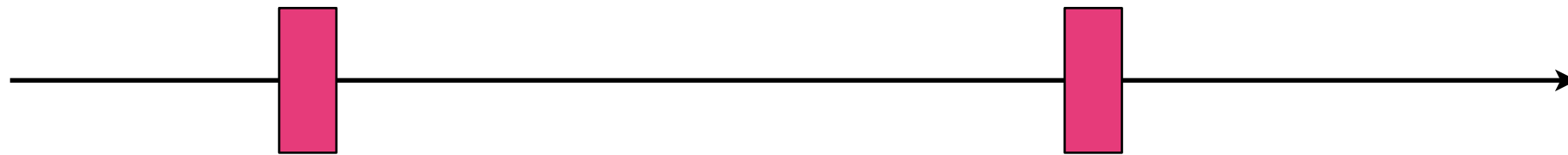
$$j(t \lesssim \tau) = \frac{e^2 \rho_0}{m} E t$$

# Pre-thermalization in a 2D Fermi gas with long range interactions



# Quench in a 2D interacting Fermi Gas

*N Nessi, A Iucci & MAC, arXiv:1401.1986*



$$H_{\text{int}} = 0 \quad \longrightarrow \quad H_{\text{int}} \neq 0$$

*Hamiltonian for  $t \leq 0$*   $H_0 = \sum_{\mathbf{k}} \epsilon(\mathbf{k}) c_{\mathbf{k}}^{\dagger} c_{\mathbf{k}}$

*Hamiltonian for  $t > 0$*

$$H = H_0 + H_{\text{int}} = \sum_{\mathbf{k}} \epsilon(\mathbf{k}) c_{\mathbf{k}}^{\dagger} c_{\mathbf{k}} + \frac{1}{V} \sum_{\mathbf{k} \mathbf{p} \mathbf{q}} f(q) c_{\mathbf{k}+\mathbf{q}}^{\dagger} c_{\mathbf{p}-\mathbf{q}}^{\dagger} c_{\mathbf{p}} c_{\mathbf{k}}$$

*Long-range (non-singular) interaction*  $q_c^{-1} \gg k_F^{-1}$

$$f(q) = f_0 F(q) \quad F(q \gg q_c) \sim e^{-q/q_c} \quad F(q = 0) = \text{const.}$$

# Pre-thermalization, perturbative? **YES!**

*Perturbation theory valid for*

$$t \ll \tau_{\text{coll}} \sim [f_0^3 (N(0))^{-2}]^{-1}$$

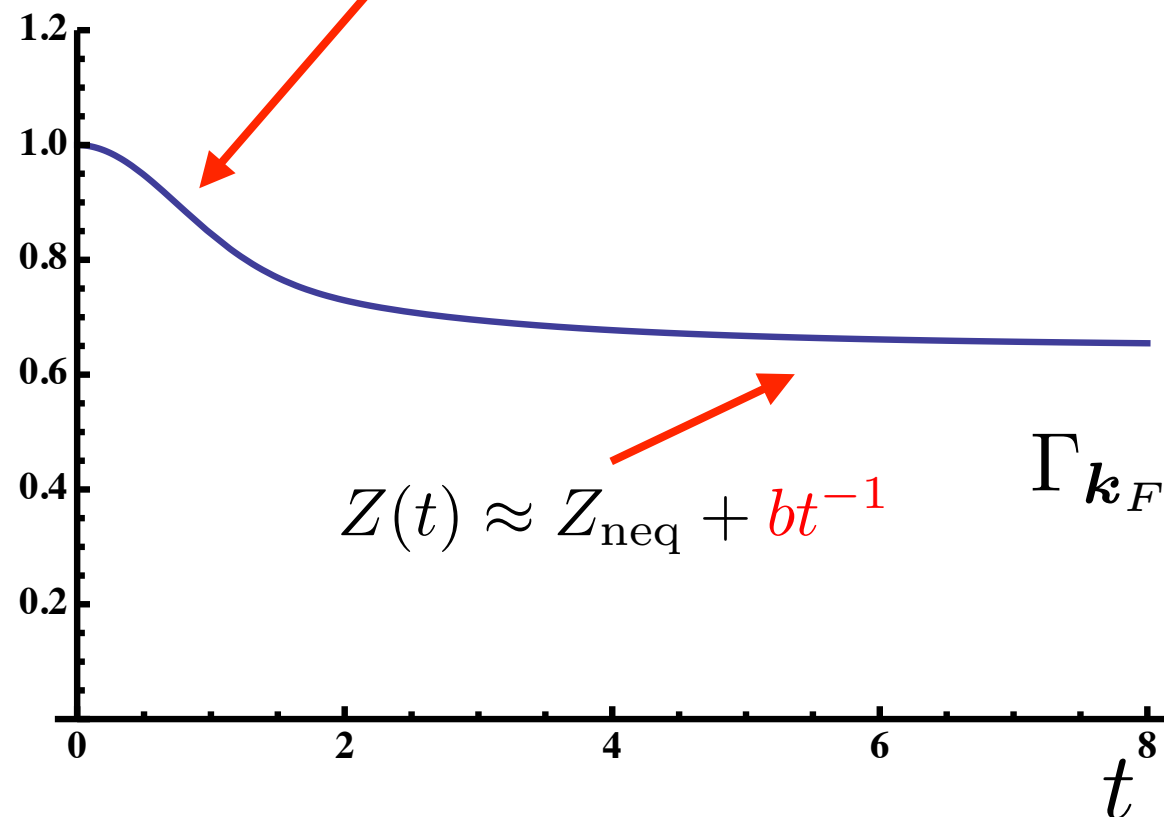
*M Moeckel & S Kehrein PRL (2006)*

*M Eckstein, M Kollar & P Werner PRL (2009)*

*M Stark & M Kollar, arxiv:1308161*

$Z(t)$

$$Z(t) \approx 1 - at^2$$



$$Z(t) \approx Z_{\text{neq}} + bt^{-1}$$

$$\Gamma_{\mathbf{k}_F}(E) = \text{[Feynman diagram: a horizontal line with a self-energy loop]} + \text{[Feynman diagram: a horizontal line with a vertex correction loop]} = O(f_0^2)$$

*(Off-shell) scattering rate*

*Fermi Liquid theory (Luttinger, PR 1963)*

$$\Gamma_{\mathbf{k}}(E) \sim E^2$$

$$1 - Z_{\text{neq}} = 2(1 - Z_{\text{eq}})$$

*PT tell us there is a pre-thermalization plateau, but **WHY?***

# Making an Interacting Gas Exactly Solvable

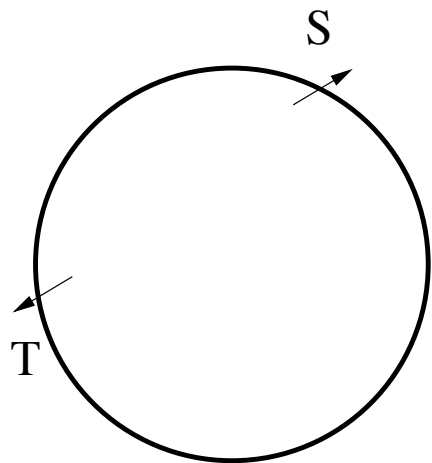
*Hamiltonian for  $t > 0$*

*Contains inelastic processes*

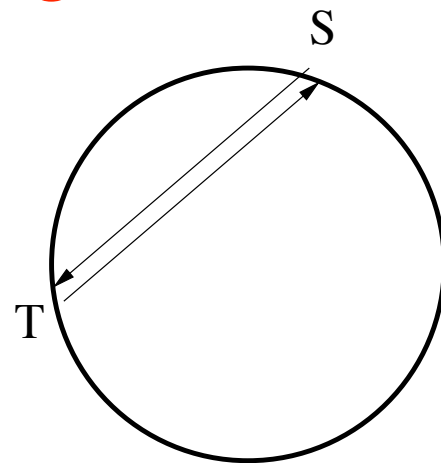
$$H = H_0 + H_{\text{int}} = \sum_{\mathbf{k}} \epsilon(\mathbf{k}) c_{\mathbf{k}}^{\dagger} c_{\mathbf{k}} + \frac{1}{V} \sum_{\mathbf{k} \mathbf{p} \mathbf{q}} f(\mathbf{q}) c_{\mathbf{k}+\mathbf{q}}^{\dagger} c_{\mathbf{p}-\mathbf{q}}^{\dagger} c_{\mathbf{p}} c_{\mathbf{k}}$$

*Fermi-liquid-like truncation of the bare Hamiltonian*

*(= Neglect inelastic processes at short times)*



Forward



Exchange

*FS Bosonization*  $J_S(\mathbf{q}) \sim \sum_{\mathbf{k} \in S} c_{\mathbf{k}+\mathbf{q}}^{\dagger} c_{\mathbf{k}}$

$$H = \frac{1}{2} \sum_{S, T, \mathbf{q}} J_S(\mathbf{q}) \left( \frac{v_F}{\Omega} \delta_{S, T} + \frac{f(\mathbf{q})}{V} \right) J_T(-\mathbf{q})$$

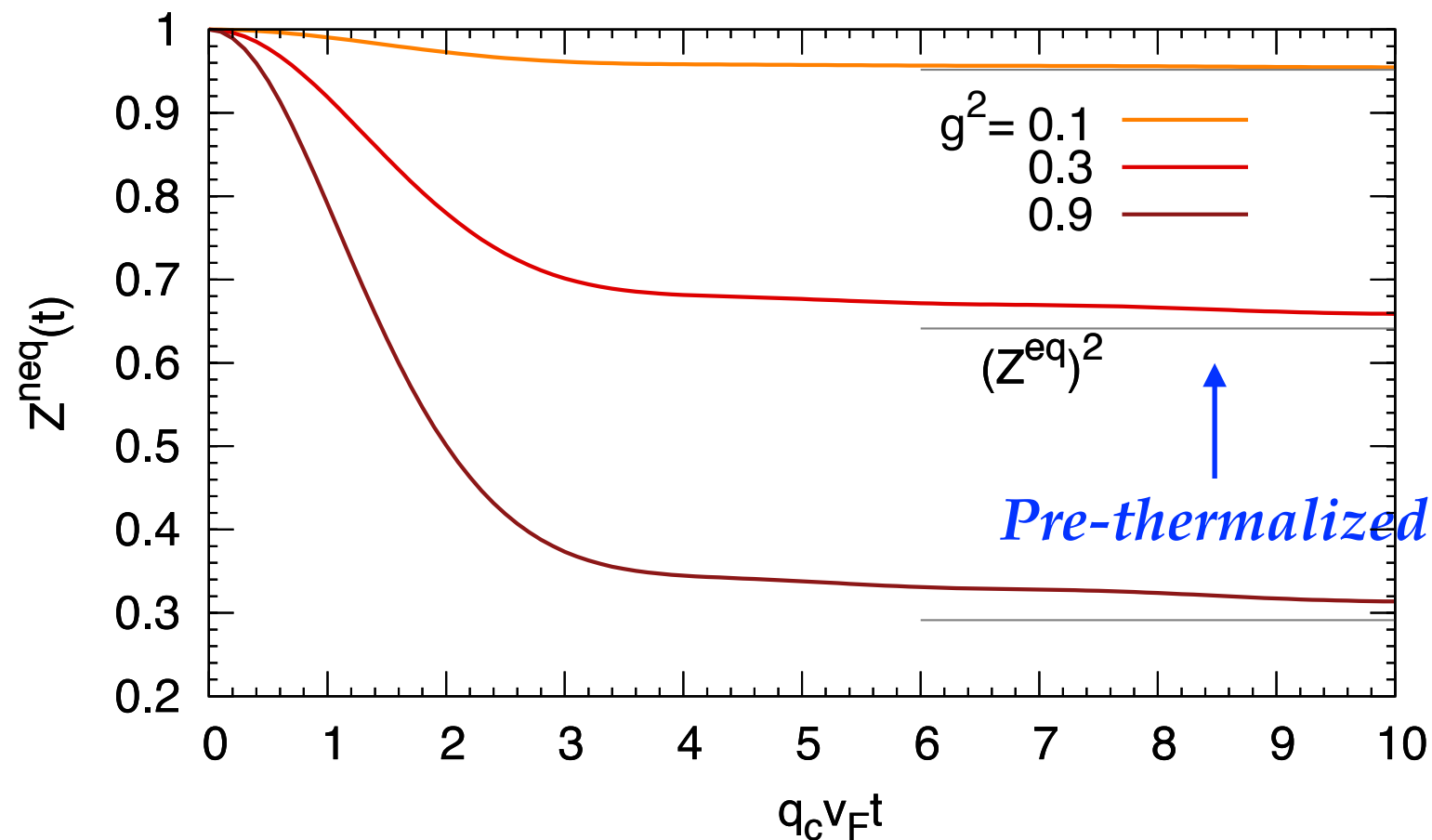
$$[J_S(\mathbf{q}), J_T(\mathbf{p})] = \delta_{S, T} \delta_{\mathbf{q}+\mathbf{p}} \Omega \hat{n}_S \cdot \mathbf{q} \cdot$$

*Eigenmodes*  $H = \sum_{l, \mathbf{q}} \omega(\mathbf{q}) \alpha_l^{\dagger}(\mathbf{q}) \alpha_l(\mathbf{q})$

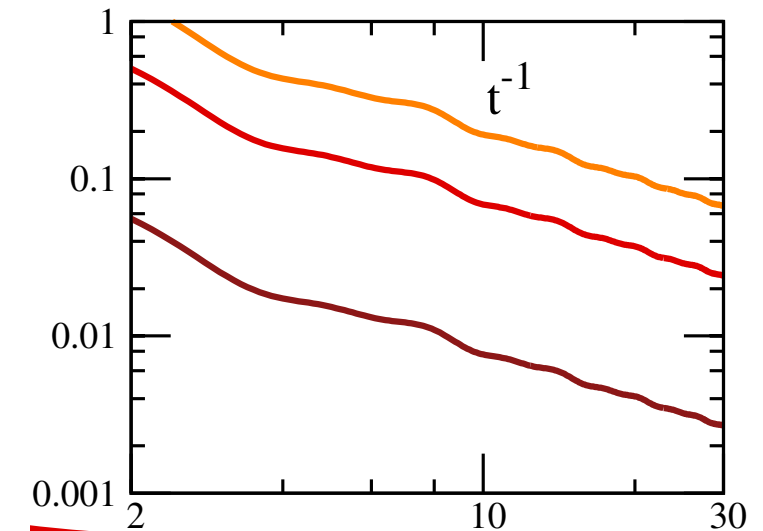
*Houghton, Kwon & Marston Adv. in Phys. (2000)  
+Haldane, Castro Neto & Fradkin,  
Kim & Wen & Lee, ...*

# Interaction quench in a 2D Fermi Gas

*N Nessi, A Iucci, and MAC, arXiv:1401.1986*



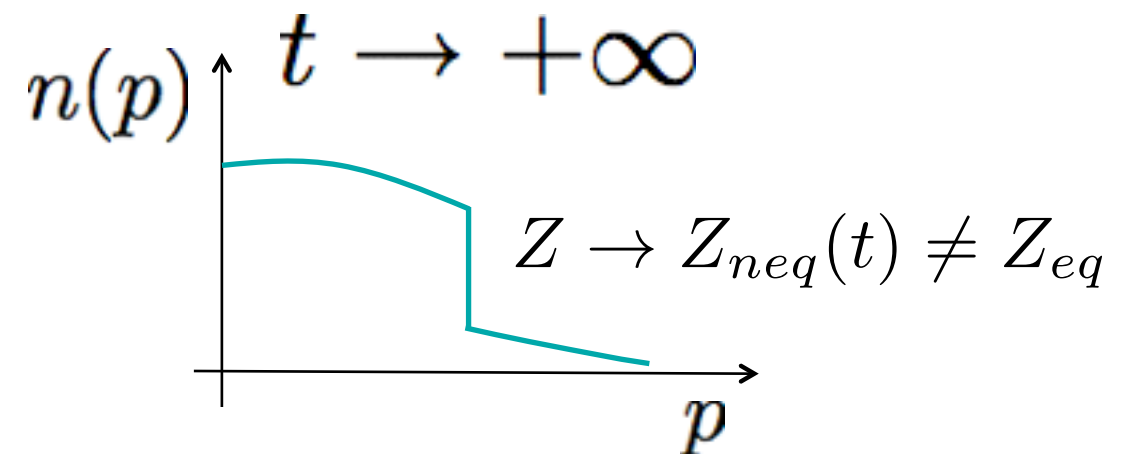
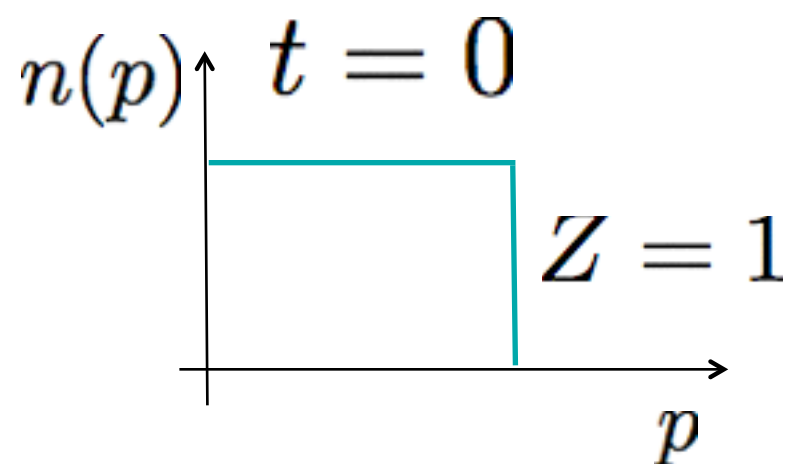
$$Z^{\text{eq}} = 1 + O(g^2)$$



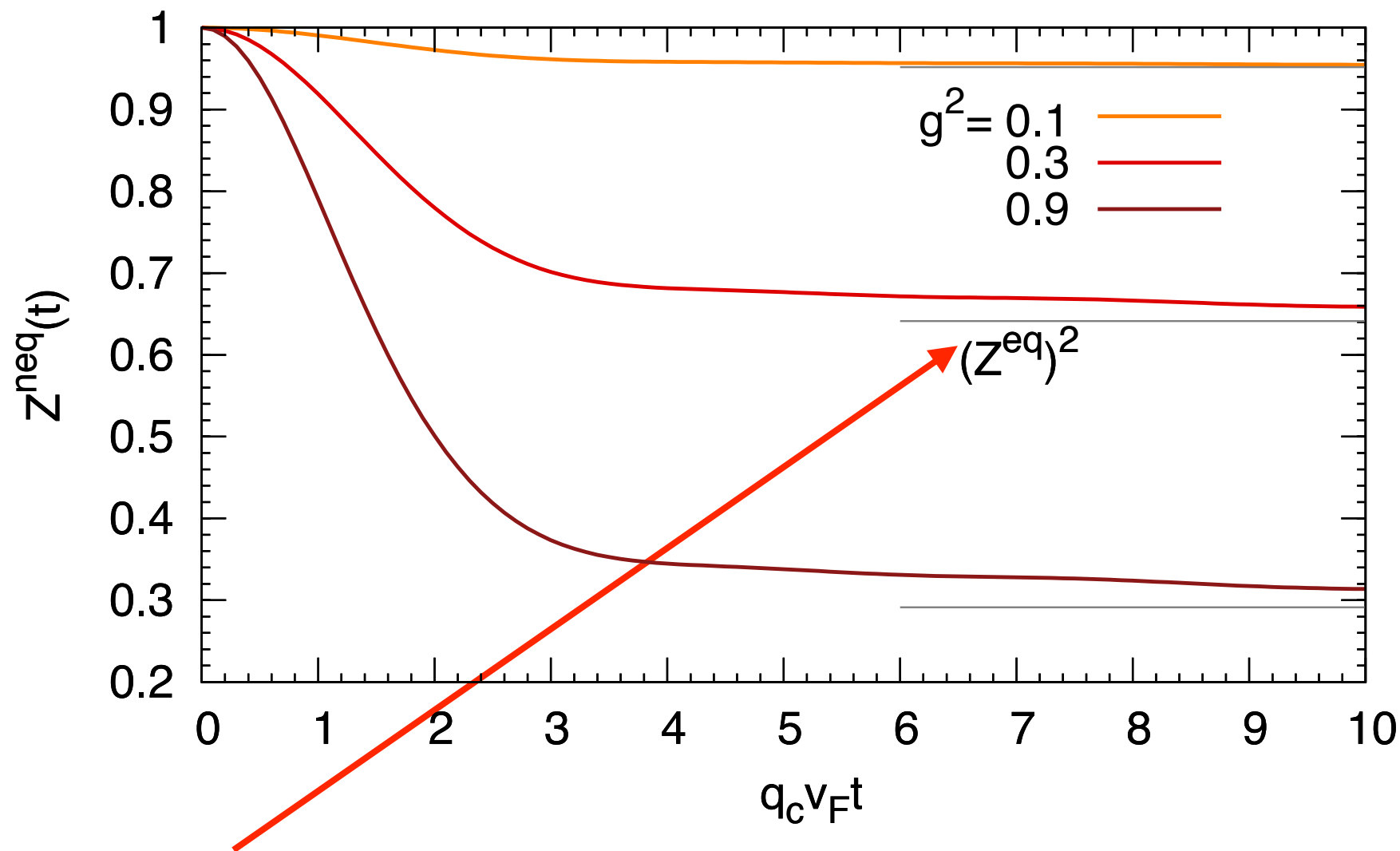
*Kinetic Energy rapidly equilibrates*

$$\langle K(t) \rangle \rightarrow \langle \Psi_0 | (H - E_{\text{gs}}) | \Psi_0 \rangle + O(g^3)$$

*Momentum distribution*



# Prethermalized State = GGE



*How do we describe the pre-thermalized state?*

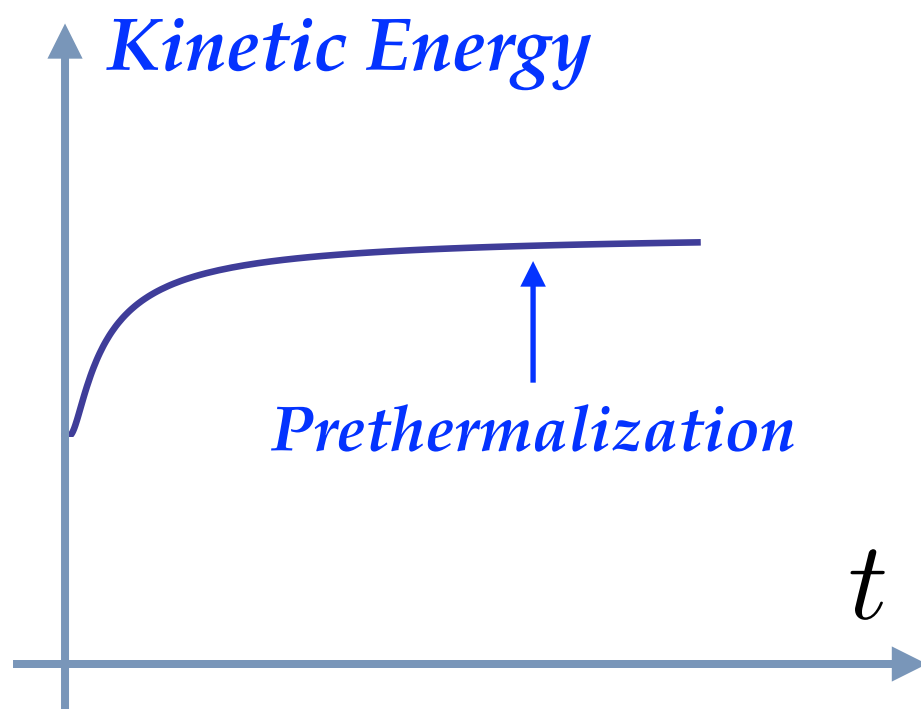
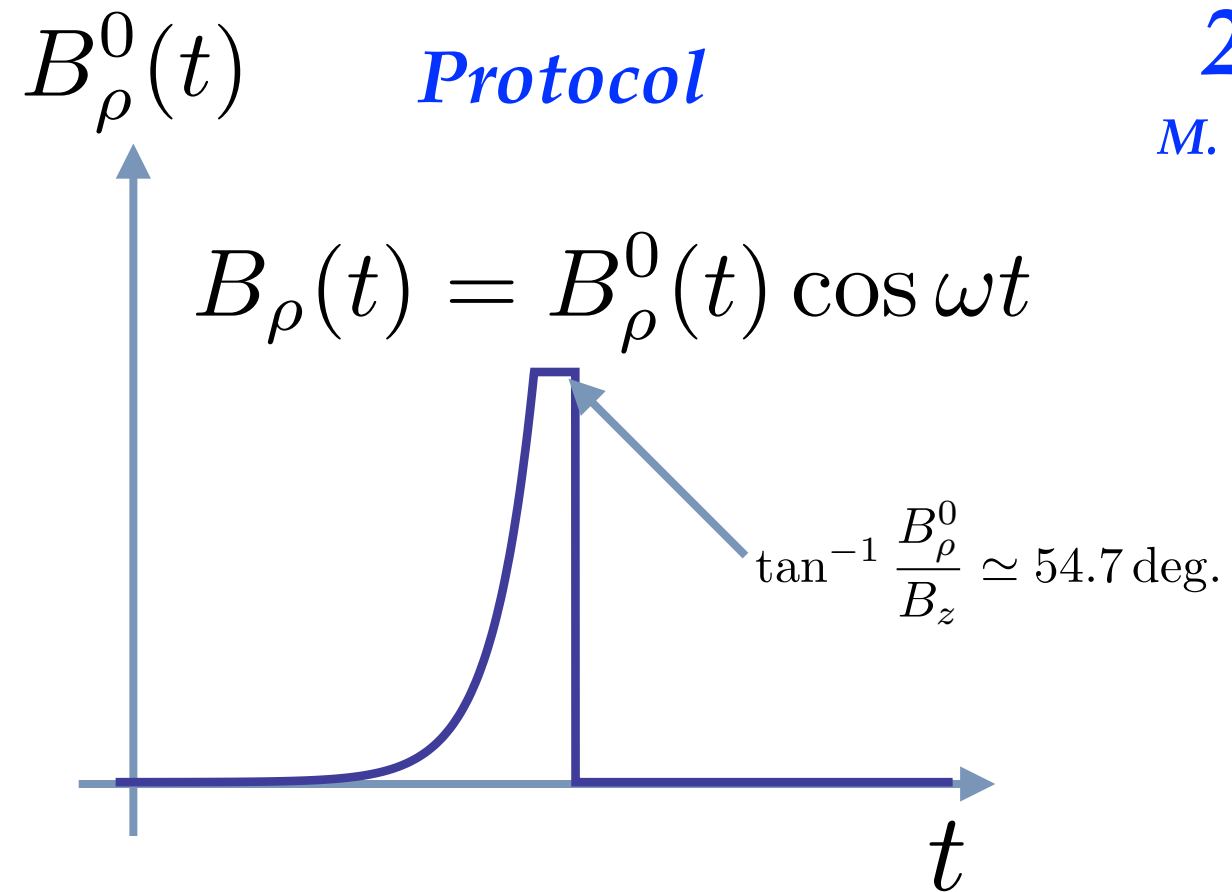
*Eigenmodes*  $H = \sum_{l, \mathbf{q}} \omega(\mathbf{q}) \alpha_l^\dagger(\mathbf{q}) \alpha_l(\mathbf{q})$

*Generalized Gibbs Ensemble*

$$\rho_{\text{GGE}} = \frac{1}{Z_{\text{GGE}}} \exp \left[ \sum_{l, \mathbf{q}} \lambda_l(\mathbf{q}) I_l(\mathbf{q}) \right]$$

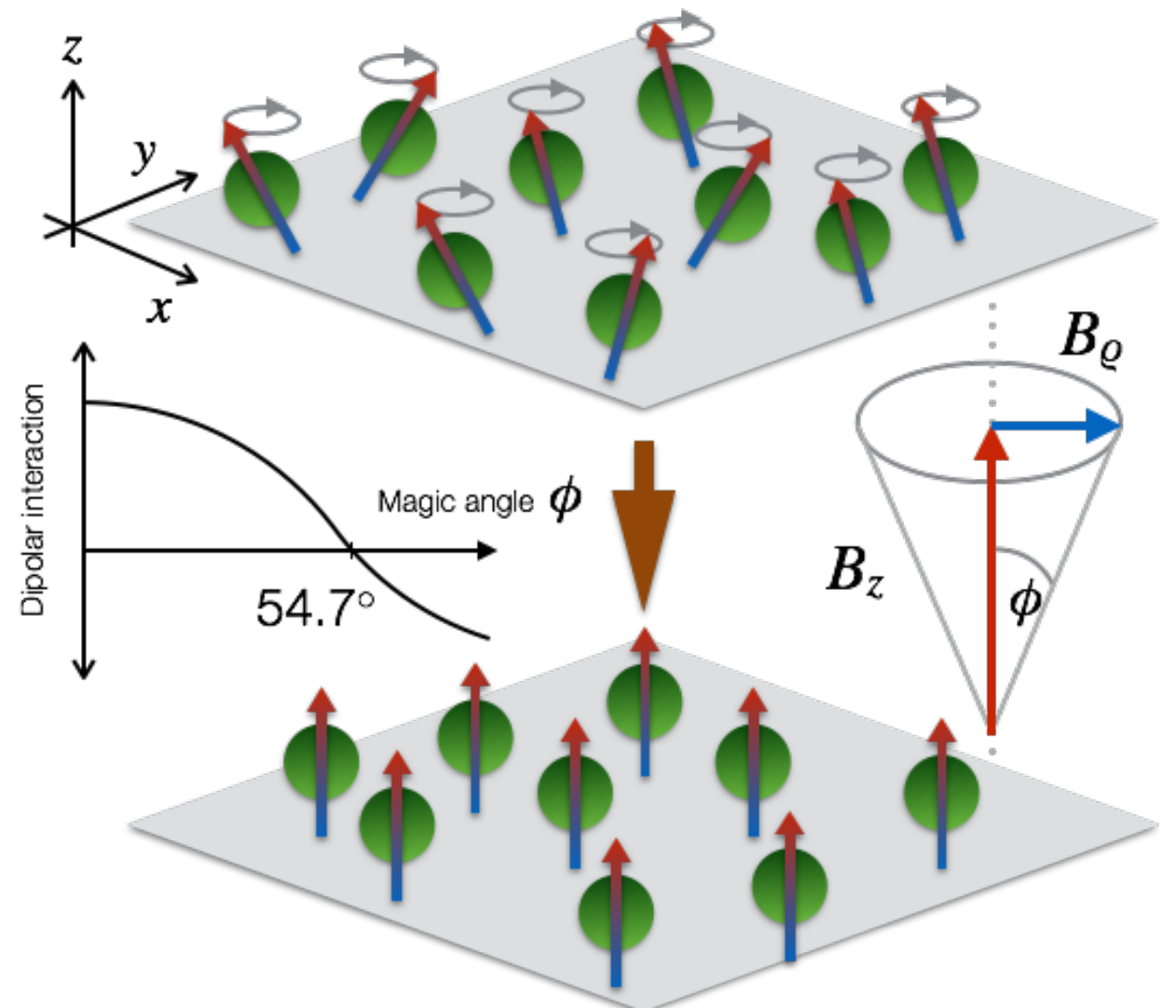
$I_l(\mathbf{q}) = \alpha_l^\dagger(\mathbf{q}) \alpha_l(\mathbf{q})$  *Fermi Surface eigenmodes*

# Interaction quench in a 2D Dipolar Gas



## 2D Dipolar Fermi Gas ( $^{167}\text{Er}$ ?)

*M. Ueda: Beware of inhomogeneity (Einstein-de Haas effect)*



*N Nessi, A Iucci, and MAC, arXiv:1401.1986*

# Conclusions

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- *Fermions in 1D exhibit very slow relaxation dynamics following a quantum quench. At  $T = 0$ , the discontinuity at the Fermi energy vanishes as a power law.*
- *Generally speaking, systems that can be described in terms of quadratic Hamiltonians of Bosonic or Fermionic elementary excitations thermalize to a Generalized Gibbs Ensemble (GGE).*
- *Dephasing is the key mechanism and erases information about off diagonal normal mode correlations and leads to an asymptotic state described by the GGE.*
- *Even systems that eventually do thermalize can exhibit an intermediate regime known as pre-thermalization. The system dynamics may be describable for short times by a quadratic Hamiltonian, and therefore the pre-thermal state will be described by the GGE*
- *A dipolar Fermi liquid subject to a weak to moderate interaction quench should exhibit a pre-thermalized regime characterized by the kinetic energy rapidly reaching a constant value whilst the momentum distribution has not.*