

ICTP 50

Jogesh Pati

a

Preliminary Remarks

On this occasion of the 50th Anniversary of ICTP, first let me say that we are indeed very fortunate to have an institution like the ICTP in the world, because it serves some UNIQUE PURPOSES of not only creating good science, but most importantly spreading good science in the developing part of the world, thanks to the visionary efforts and dedication of Abdus Salam, who is the founder of this institution.

We are also fortunate that the Salam spirit is continuing to thrive in the hands of the new directors, thanks to the generous support of governments & Int'l organizations.

b

I won't go into Salam's many contributions, and won't describe how my collaboration with Salam started spontaneously from a tea-conversation, here at the Centre in the summer of 1972, & grew to take a shape in about 2 weeks, leading to a creative idea → Lepton Number as the 4th color → & how the collaboration grew to encompass other ideas → including Unification of forces & Proton-Decay.

The starting phases of our collaboration, and Salam's many contributions including his dreams of creating a World University & 20 institutions like the ICTP in different parts of the world are described in the two articles I wrote;

1. J. Pati, "Twenty Years Later, Why I still Believe in $SU(4)$ -color", SALAMFESTSCHRIFT, Ed. by A. Ali, J. Ellis, S. Randjbar-Daemi, Publ. by World Scientific, pages 368-391 (1993)

2. J. Pati, "Recollections of Abdus Salam, Scientist and Humanitarian" (1997), Extracts has appeared in Physics Today (1997) and Elsewhere.



In ICTP Library.

GRAND UNIFICATION & PROTON DECAY:
PROSPECTS FOR MAJOR DISCOVERIES AT
NEXT-GENERATION DETECTORS

JOGESH PATI
SLAC, STANFORD UNIVERSITY
ICTP 50, Oct. 2014

REFERENCES

- Babu, Pati, Tavartkiladze / hep-ph/1003-2625
JHEP 2010
- Babu, Badziak, Pati, Tavartkiladze -
- 2014 (TO APPEAR)
- Babu, Pati, Wilczek - hep-ph/9812538 -
Nucl. Phys. (2000)

Talk Based on Recent Works in
Grand Unification



ROOTS IN THE TWO IDEAS (1972-73)

SU(4)-COLOR

&

PROTON DECAY

BOTH IDEAS VERY MUCH ALIVE TODAY

(1) ROUTE TO HIGHER UNIF BASED ON SU(4)^C
Supported by Several Experiments



(i) DISCOVERY OF ATM. ν OSCILLATION (1998)

(a) SU(4)^C ⇒ ν_R // $m(\nu_{Dirac}^c) \approx m_{top} (M_{GUT}) \approx 20 \text{ GeV}$

(b) Seesaw ⇒ superheavy Majorana ν_R

(c) Coupling Unif. Scale ⇒ Scale of M_{ν_R}

$\Delta m_{23}^2 (\text{Atm}) \sim (1/10 \text{ eV})^2$

(ii) ~~Majorana~~ $\nu_R \rightarrow$ Leptogenesis \rightarrow Baryogenesis

(iii) $m_b (\text{GUT}) \approx m_\tau$

(2) PROTON DECAY YET TO BE SEEN

Will present recent studies by

① Babu, Pati, Tavartkiladze - JHEP 2010

② Babu, Badziak, Pati, Tavartkiladze -
- (2014, To Appear)

We incorporate GUT-scale Threshold Corr



A BONUS : AN INVERSE RELATIONSHIP BETWEEN
 $d = 6 (p \rightarrow e^+ \pi^0)$ & $d = 5 (p \rightarrow \bar{\nu} K^+)$ Amplitudes

⇒ UPPER LIMITS ON LIFETIMES OF BOTH MODES

IN CONTRAST TO COMMONLY OBTAINED ORDERS
OF MAGNITUDE ESTIMATES FOR p -lifetimes,
UNCERTAIN BY SEVERAL POWERS OF TEN.

INCLUDING LHC RESULTS ON SUSY SEARCHS,
FIND BOTH MODES FULLY ACCESSIBLE
TO NEXT-GENERATION DETECTORS!



STRONG MOTIVATION TO BUILD SUCH
DETECTORS: p -decay, ν -OSC, Supermax ν 's

I. INTRODUCTION

A) Improved Studies of

① Proton Decay

$$9999/M^2$$

② ν Oscillations

$$LL \langle \Phi_H \rangle \langle \Phi_H \rangle / M$$

2 indispensable tools to probe nature at truly high energies ($\sim 10^{16}$ GeV) \rightarrow short dist. ($\sim 10^{-30}$ cm) \rightarrow Not possible any other way.

- Both are predictions of a Well-Motivated class of GRAND UNIFICATION MODELS
- With coupling unification & ν -oscillations discovered, one can argue, within such models, p-decay should be discovered in next Gen. detectors, exceeding SuperK sensitivity by a factor ~ 10 .

\rightarrow Would serve multiple purpose:

- Improve sensitivity to ν -physics ($\theta_{13}, \delta, \delta m_{23}^2$, Hier.)
- p-decay search \rightarrow Hallmark of Grand Unif.
- Supernova physics
- $0\nu 2\beta$ - decay $\rightarrow |\Delta L| = 2$
- $\eta - \bar{\eta}$ oscillation $\rightarrow |\Delta B| = 2$
- Dark Matter

B) Alt. Routes To Grand Unification

$$G(213) = SU(2)_L \times U(1)_Y \times SU(3)_C$$

$$\left(\begin{array}{ccc} u_r & u_y & u_b \\ d_r & d_y & d_b \end{array} \right)_L^{1/3}, \left(u_{r,y,b} \right)_R^{4/3}, \left(d_{r,y,b} \right)_R^{-2/3}, \left(\begin{array}{c} \nu_e \\ e^- \end{array} \right)_L^{-1}, \left(e^- \right)_R^{-2}$$

5 disconn. multiplets // Arb. $Y_W, SU(2), SU(3) \leftarrow Q.NOS.$

$$1972 \quad G(224) = SU(2)_L \times SU(2)_R \times SU(4)_{L+R}^C \times (L+R)$$

$$F_{L,R}^e = \left[\begin{array}{cccc} u_r & u_y & u_b & \nu_e \\ d_r & d_y & d_b & e^- \end{array} \right]_{L,R} \quad \begin{array}{l} F_L^e = (2, 1, 4) \\ F_R^e = (1, 2, 4) \end{array}$$

$$Q_{em} = I_{3L} + I_{3R} + \frac{B-L}{2}$$

Advantages of G(224)

- All 16 in one L-R Conj. multiplet // L-R Symm
- Explain Y_W & All Q.NOS. // Quantize Q_{em} //
- $Q_{e^-} = -Q_p$ // ν_R // B-L \leftarrow Need For Seesaw & Leptogenesis

All Advantages of G(224) retained by SO(10), not SU(5)

$$\downarrow$$

$$SO(10): 16 \quad (\text{one coupling})$$

$$SU(5): \bar{5} + 10$$

NO ν_R , NO B-L

} \rightarrow Problem with ν Masses & Leptogenesis.

D) EVIDENCE FOR GRAND UNIFICATION

- 1) Family structure - Quantum Nos. Predicted as observed
- 2) Charge Quantization
- 3) $Q_{e^-} = -Q_p$

4) Meeting of The 3 gauge Couplings
 SUSY Grand Unification

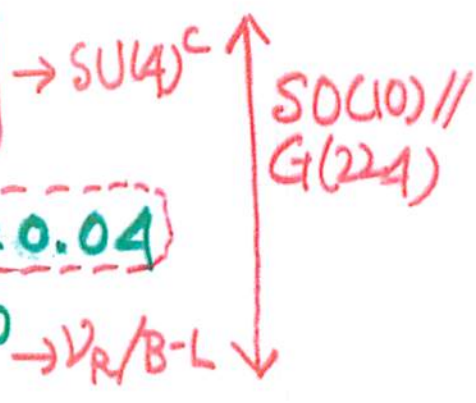


$M_{GUT} \approx 2 \times 10^{16} \text{ GeV}$

$\sqrt{\Delta m^2(\nu)_{23}} \approx 1/20 \text{ eV}$

$SU(4) \rightarrow \nu_R // B-L$
 $m(\nu^c)_{\text{Dirac}} //$
 $M_{GUT} \rightarrow M_{\nu} \nu_R$
 Seesaw

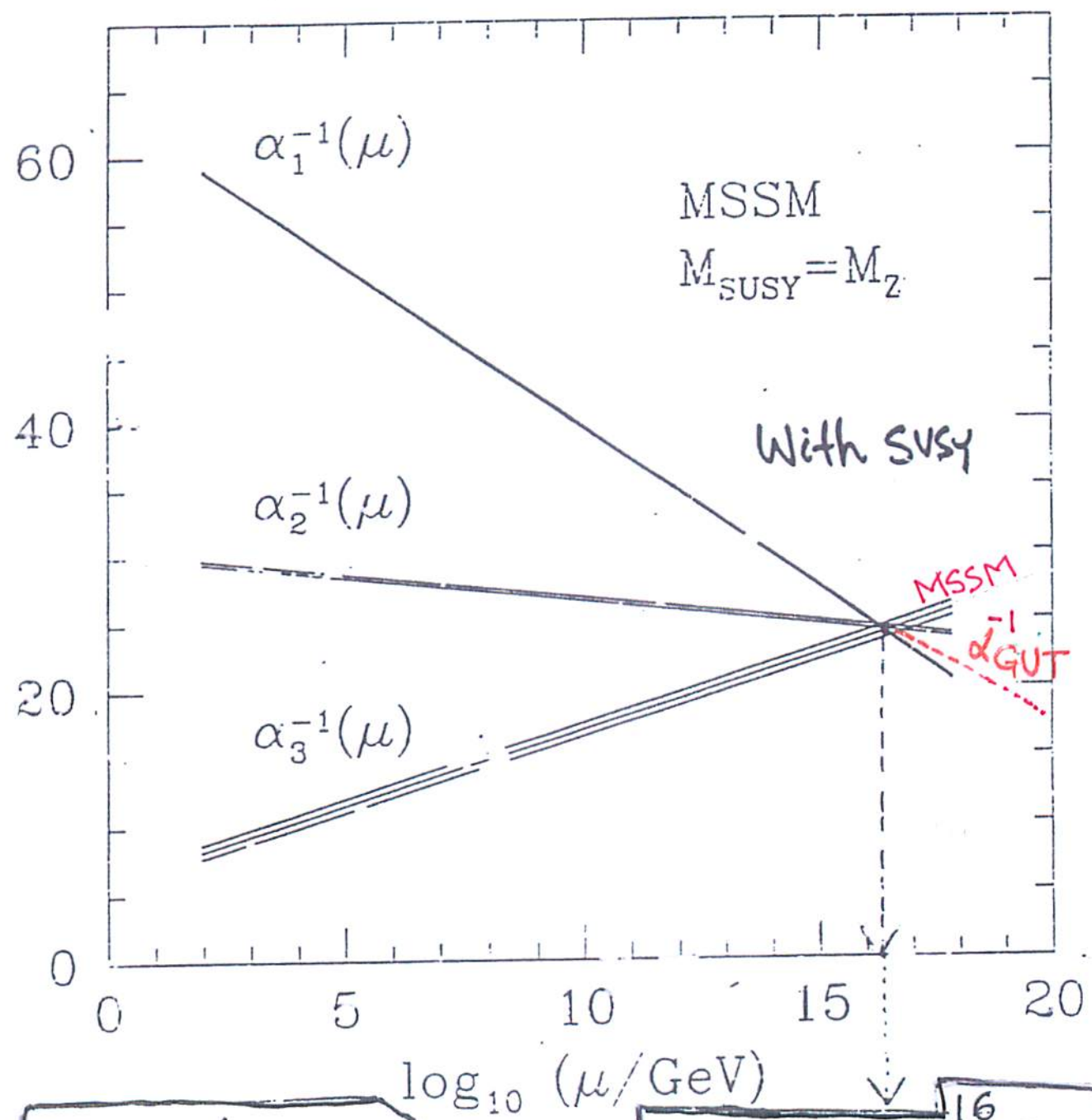
- 6) $m_b(GUT) = m_\tau$
- 7) $m(\nu^c)_{\text{Dirac}} = m_{\text{top}}(GUT)$
- 8) $\theta(\nu_\mu - \nu_\tau) \approx \pi/4 \leftrightarrow V_{cb} = 0.04$
- 9) **LEPTOGENESIS** $\rightarrow Y_B \sim 10^{10} \rightarrow \nu_R / B-L$



SUCCESS OF ALL 9 FEATURES NON-TRIVIAL!

TOGETHER MAKE A STRONG CASE FOR SUSY GRAND UNIF // SIMULT. FOR AN EFF. SYMMETRY LIKE SO(10) OR MINIMALLY A STRING-DERIVED G(224) SYMMETRY

Gauge Coupling Unification With SUSY



Supports SUSY Unification

$M_{GUT} \approx 2 \times 10^{16} \text{ GeV}$

$\sin^2 \theta_W(m_Z)_{th} = 0.2315 \pm 0.003$

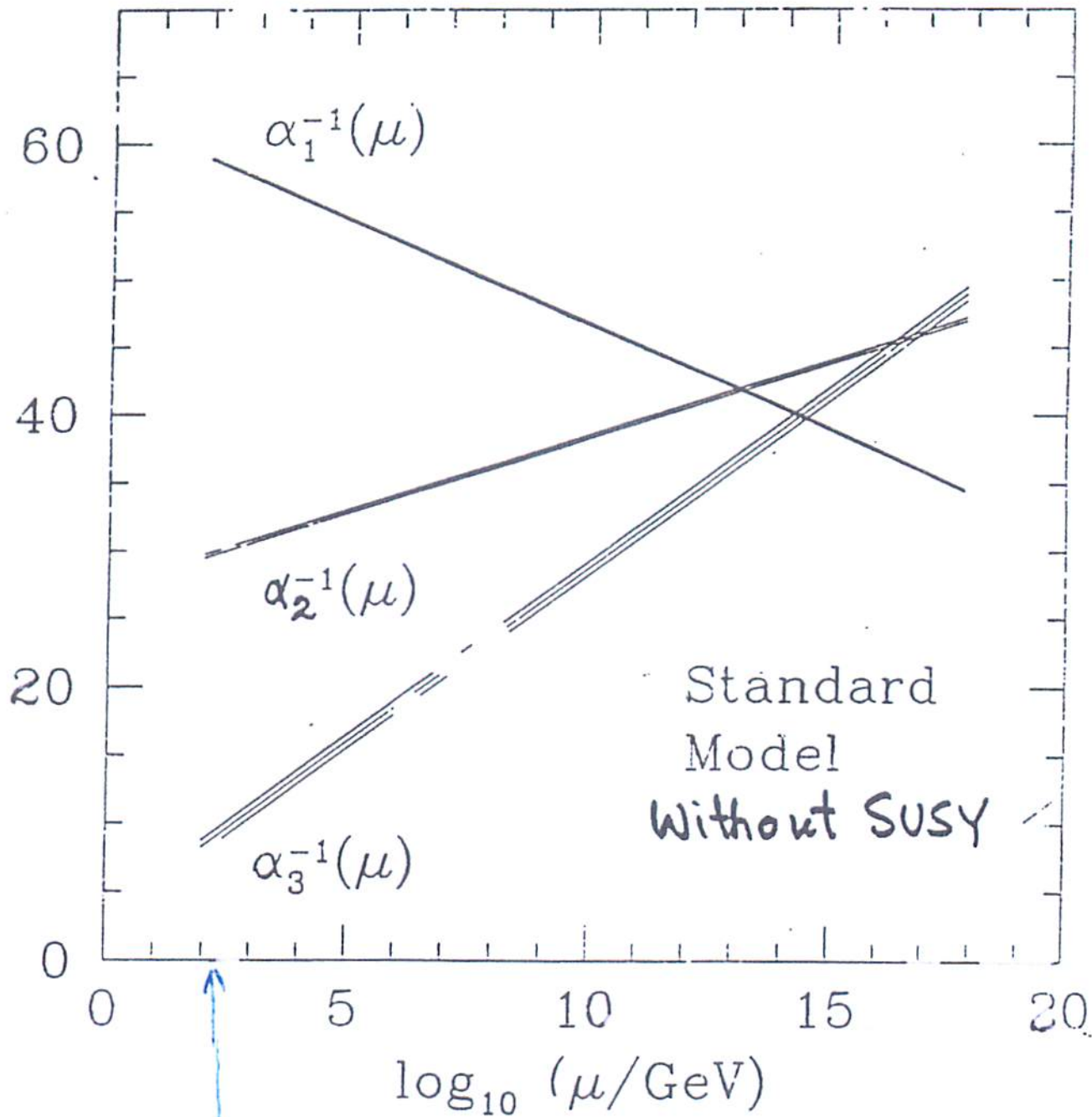
$\alpha_3(m_Z)_{theory}^0 \approx 0.125 - 0.13$

$\sin^2 \theta_W(m_Z)_{EXPT} = 0.23124 \pm 0.00017$

$\alpha_3(m_Z)_{obs} = 0.118 \pm 0.0007$
 THREE-LOOP CORRECTIONS

Coupling Unification Without SUSY

8.2 (4.2)

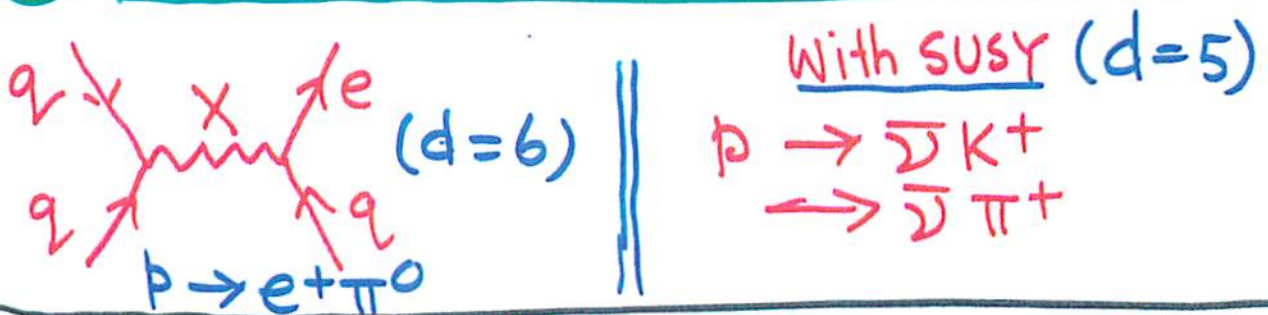


α_i 's Measured at LEP
at ~ 100 GeV

E) FOUR STRIKING / OBSERVABLE CONSEQUENCES 15

- ① Meeting of the 3 gauge couplings ✓✓
- ② $m_\nu \neq 0$ & small as observed $\rightarrow \Delta m_{23}^2$ ✓✓
- ③ With ν_R , B-L, Seesaw \rightarrow Baryogenesis via Leptogenesis: $Y_B \sim 10^{-10}$ (Quite Natural) ✓

④ PROTON DECAY: A GENERIC FEATURE



$\Gamma(p \rightarrow e^+ \pi^0)_{th} \sim 10^{35 \pm 2} \text{ yrs}$ In a class $\rightarrow < 10^{35} \text{ yrs}$
Estimate

$\Gamma(p \rightarrow \bar{\nu} K^+)_{th} \parallel \text{SUSY SO(10) or SUSY G(224)} \approx 10^{30} \leftrightarrow \text{Few} \times 10^{34} \text{ yrs}$

PROTON DECAY } \rightarrow THE MISSING PIECE

NEED LARGE UNDERGROUND DETECTOR EXCEEDING SUPERK SENSITIVITY BY A FACTOR 10 TO PROBE INTO THESE PREDICTIONS.

II SO(10) - BREAKING

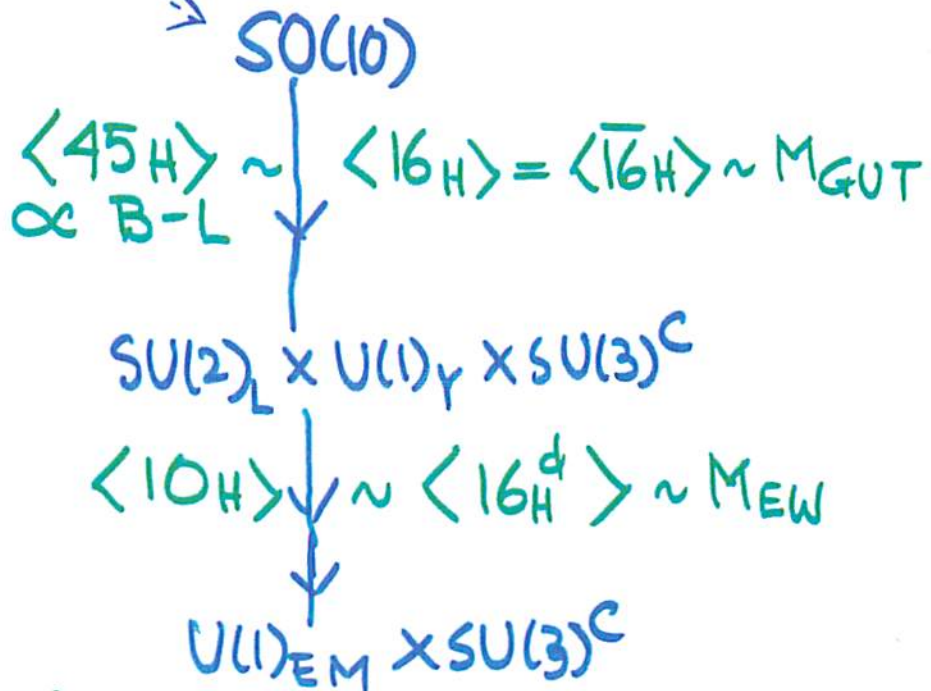
LOW DIM. HIGGSSES

45H, 16H, $\bar{16}H$, 10H

HIGH DIM. HIGGSSES

126, $\bar{126}$, 120, 54, 210

Generically Large GUT SCALE THRESHOLD CORR.



Babu, Pati, Wilczek

$16_i 16_j 10H$

$16_i 16_j 10H \cdot 45H/M$

$16_i 16_j \cdot 16_H \cdot 16_H^d/M$

$16_i 16_j \bar{16}_H \bar{16}_H/M$

ASSUME HIER.
YUKAWA \rightarrow U(1)F

\rightarrow MAJORANA MASS $\nu_R^i \nu_R^j$

\rightarrow A PREDICTIVE SUCCESSFUL FRAMEWORK FOR FERMION MASSES / MIXINGS / NEUTRINO OSC. / CP // WITH PREDICTIONS. FOR $\mu \rightarrow e\gamma, (edm)_n, \dots$

III PROTON DECAY: HALLMARK OF GRAND UNIFICATION

① d = 6 Gauge Med. SUSY SU(5)/SO(10)

$M_X \approx M_Y$
 $\sim 10^{16}$ GeV



Amp ($p \rightarrow e^+ \pi^0$)
 $\propto g^2 / M_X^2$

Chiral Lag Param (D+F) $\simeq 1.25$ // AR $\simeq 3.4$

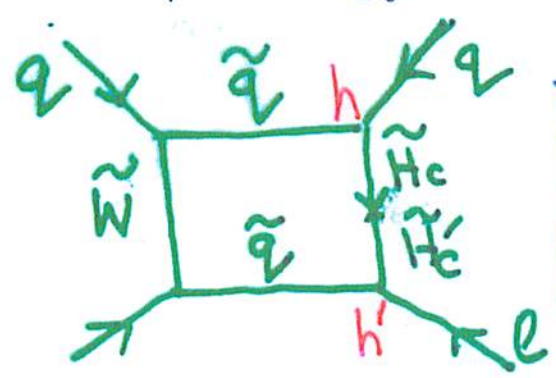
$\alpha_H = 0.012 \text{ GeV}^3$ $\Gamma^{-1}(p \rightarrow e^+ \pi^0) \simeq 10^{35} (M_X / 10^{16} \text{ GeV})^4$

LARGE UNCERTAINTY $\rightarrow \sim 10^{33} - 10^{37}$ yrs ? ESTIMATE

② SUSY SU(5) / SO(10) standard d=5

Color Triplet Higgsino Med Sakai, Yanagida, Weinberg

Need
D-T
splitting



$\tilde{H}_c \subset (10_H)_{SO(10)}$
 $= (2, 2, 1) + (1, 1, 6)$

Amp $\propto (h h' / M) (m_{\tilde{W}} / m_{\tilde{Q}}^2) \alpha_2$

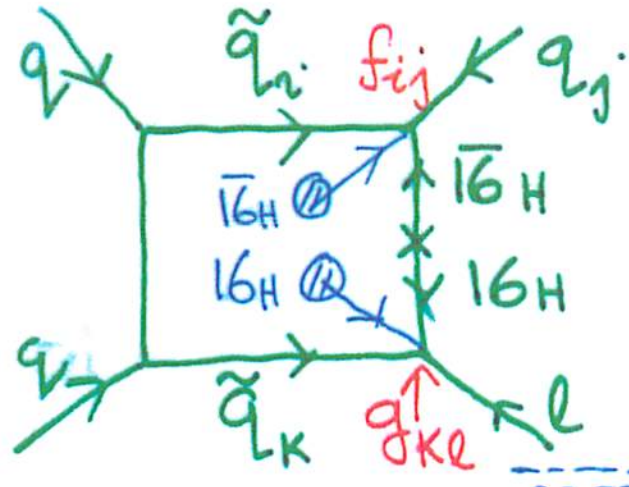
$M = M_{H_c} \text{ (SU(5))}$
 $M = M_{eff} \text{ (SO(10))}$

$p \rightarrow \bar{\nu} K^+$ (dominant)
 $\rightarrow \mu^+ K^0$ (suppressed) BR $\sim 10^{-5}$

$\Gamma^{-1}(p \rightarrow \bar{\nu} K^+) \sim 10^{30} - 10^{34}$ yrs.

③ New d=5 ν -Mass Related operators

Babu, Pati, Wilczek



$f_{ij} 16_i 16_j 16_H 16_{\bar{H}}/M$

Major masses of ν_R 's

Generically $16_i 16_{\bar{H}}$ in 45 & 1 of SO(10)

$g_{kl} 16_k 16_l 16_H 16_{\bar{H}}/M$

Indep of $\tan\beta$

$\hookrightarrow V_{CKM} \neq 1$

$\Gamma^i(p \rightarrow \bar{\nu} K^+)$ New d=5 $\approx 10^{-33} - 10^{-34}$ yrs

SUSY SO(10) or G(224)

$BR(p \rightarrow \mu^+ K^0)$ New d=5 $\approx (10 - 30)\%$

Note these contributions from new d=5 would generically be present, even if "standard" d=5 (with color triplets $C10_H$) absent, as in SUSY G(224)!

The $\mu^+ K^0$ mode a signature of this mechanism.

$d=5 \ p \rightarrow \bar{\nu} K^+$ in Non-GUT string solutions

For example String/M Theory ($d=10/11$)

3 Families
Hierarchical
Yukawas

$G(2,2,4) \times U(1)'s \times (\text{Hidden})$

D-T splitting done naturally by string \otimes
Compactification

But color-triplets \downarrow \sim Mstring Exist with
coupling to fermions.

$d=5 \ p \rightarrow \bar{\nu} K^+$ will occur

They have not calculated eff. couplings $\neq 0$
THUS p -decay a Generic Feature in
GUT & String th with rates \sim observable
range

\otimes Recently Christodoulides, Faraggi, Rizos
 \rightarrow Exophobic (224) string solutions
 \rightarrow hep-ph/1104.2264

Assel, Christodoulides, Faraggi, Kounnas, Rizos
- 2010 // 2011

Earlier, S. Raby & Collaborators -
& others

10

IV SUSY SO(10) WITH STABILIZED D-T SPLITTING, INCLUDING GUT-THRESHOLD EFF.

Babu, Pati, Tavartkiladze (JHEP 2010)

Stabilized DW D-T splitting with
no Fine Tuning

Low Dim. Higgses $\supset 45_H, 16_H, \bar{16}_H, 10_H, 10'_H, \dots$

(no Higher Dim. Higgses: $126, \bar{126}, 120, 210, \dots$)

$$\alpha_U^{-1}(\Lambda) = \alpha_U^{-1}(M_Z) - \frac{b_i}{2\pi} \ln \frac{\Lambda}{M_Z} + \Delta_{i,W}^{(2)} + \Delta_i^{\text{GUT}} \quad (1)$$

$b_i \rightarrow$ 1-loop MSSM β -FUNCTION COEFFICIENTS

$\Delta_{i,W}^{(2)} \rightarrow$ 2-loop running (incl. gauge & Yukawa Int.) from
 $m_Z \rightarrow \Lambda$, and Weak scale SUSY Threshold EFF.

$$\Delta_i^{\text{GUT}} = \text{1-loop GUT Scale Th. Eff} = -\frac{1}{2\pi} \sum_a b_i^{(a)} \ln \frac{\Lambda}{M_a}$$

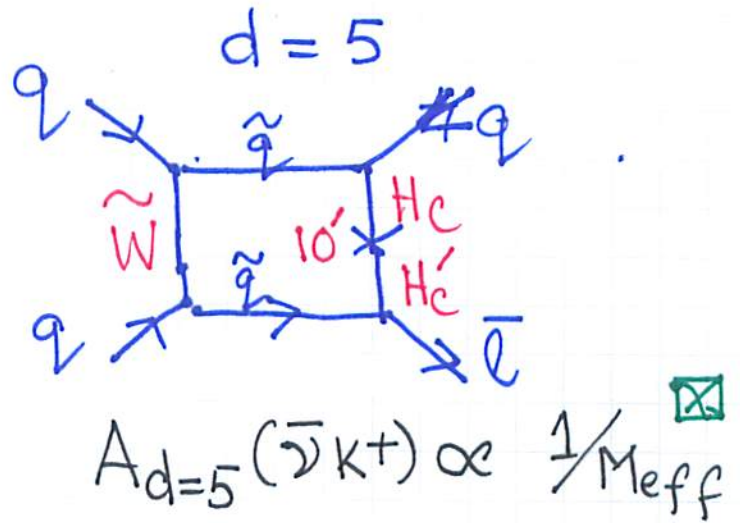
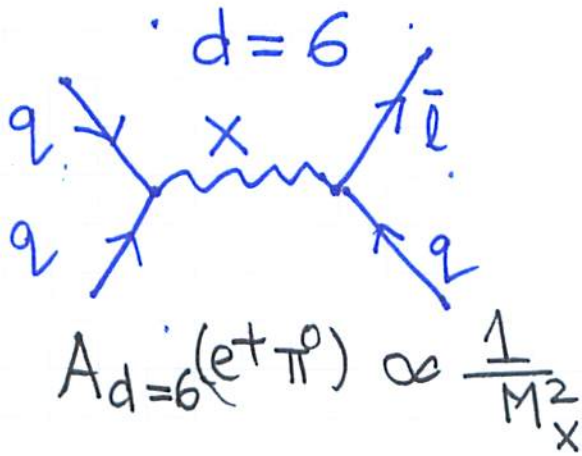


Fewer Parameters due to Low Dim. Higgses



Can Eliminate & Get a ^{INVERSE} NOVEL CORRELATION
BETWEEN $d=6$ & $d=5$ AMPLITUDES

Recall



UNIFICATION OF 3 COUPLINGS WITH GUT-THRESHOLD

\Rightarrow $M_{eff} \propto \frac{K_{SUSY}}{M_x^3} (A)$ INVERSE CORRELATION

$\bar{\Gamma}^{-1}(e^+ \pi^0) \propto M_x^4$; $\bar{\Gamma}^{-1}(\bar{\nu} k^+) \propto M_{eff}^2$

$\therefore \bar{\Gamma}_{expt}^{-1}(\bar{\nu} k^+) > 6 \times 10^{33} \text{ yrs} \Rightarrow M_{eff} > M_{eff}^{min.}$ (depending on SUSY SPECT)

BY (A) \Rightarrow $M_x < M_x^{max}$ \Rightarrow UPPER LIMIT ON $\bar{\Gamma}^{-1}(e^+ \pi^0)$!

Similarly $\bar{\Gamma}_{expt}^{-1}(e^+ \pi^0) > 1.3 \times 10^{34} \text{ yrs} \Rightarrow M_x > M_x^{min}$

BY (A) \Rightarrow $M_{eff} < M_{eff}^{max}$ \Rightarrow UPPER LIMIT ON $\bar{\Gamma}^{-1}(\bar{\nu} k^+)$!

$M_{eff} \sim M_{color\ triplet}^2 / M_{10} \sim M_{GUT}^2 / M_{10}$
 $\gg M_{GUT}$

Recent Update

12

Babu, Badziak, Pati, Tavartkiladze (To appear, 2014)

Using LHC results on SUSY searches & $m_h \approx 125$ GeV, Vary SUSY spectrum & parameters with GUT-Scale Boundary Conds & Reasonable Naturalness

($\Delta_a \equiv \left| \frac{\partial \ln m_h}{\partial \ln a} \right| \sim (150-300)$), in accord
With phenomenology of DM, $b \rightarrow s\gamma$, etc.

- primarily with light stops ($\tilde{t}_1 \approx 500-700$ GeV, $\tilde{t}_2 \approx 2$ TeV), Heavy 1st Two Families ($\sim 15-20$ TeV), gluino ~ 3 TeV, Wino $\sim 550-800$ GeV
 $A_0 = 0 \rightarrow -2$ TeV, $\mu \sim 800$ GeV - 1 TeV.

To be specific - e.g. Badziak, Dudas, Olechowski, Pokorski (Arxiv: 1205.1675),

& other cases with Intermediate 1st Two Families $\sim 3-4$ TeV.

$\rightarrow m_0(1,2) \gg m_0(3) \gg m_{1/2}, |A_0| = 0 \rightarrow 2$ TeV

INVERTED HIERARCHY SUSY SPECTRUM OF BDOP TYPE * MODIFIED BY GUT THRESHOLD CORRECTIONS

GUT SCALE INPUTS:

$$m_{1/2} = 2031 \text{ GeV}, \quad m_0(3) = 3.4 \text{ TeV},$$

$$m_0(1,2) = 21.1 \text{ TeV}$$

$$\tan \beta = 10, \quad A_0 = 0$$

EW SCALE SPECTRUM:

$$m_h \simeq 125 \text{ GeV}$$

$$M_{\tilde{B}} = 652.7 \text{ GeV} \quad M_{\tilde{W}} = 1295.3 \text{ GeV} \quad M_{\tilde{g}} = 3561 \text{ GeV}$$

$$m_{\tilde{q}_{1,2}} = 21122 \text{ GeV} \quad m_{\tilde{l}_3} = 3115 \text{ GeV}$$

$$m_{\tilde{t}_1} = 735.02 \text{ GeV}, \quad m_{\tilde{t}_2} = 1503.2 \text{ GeV}$$

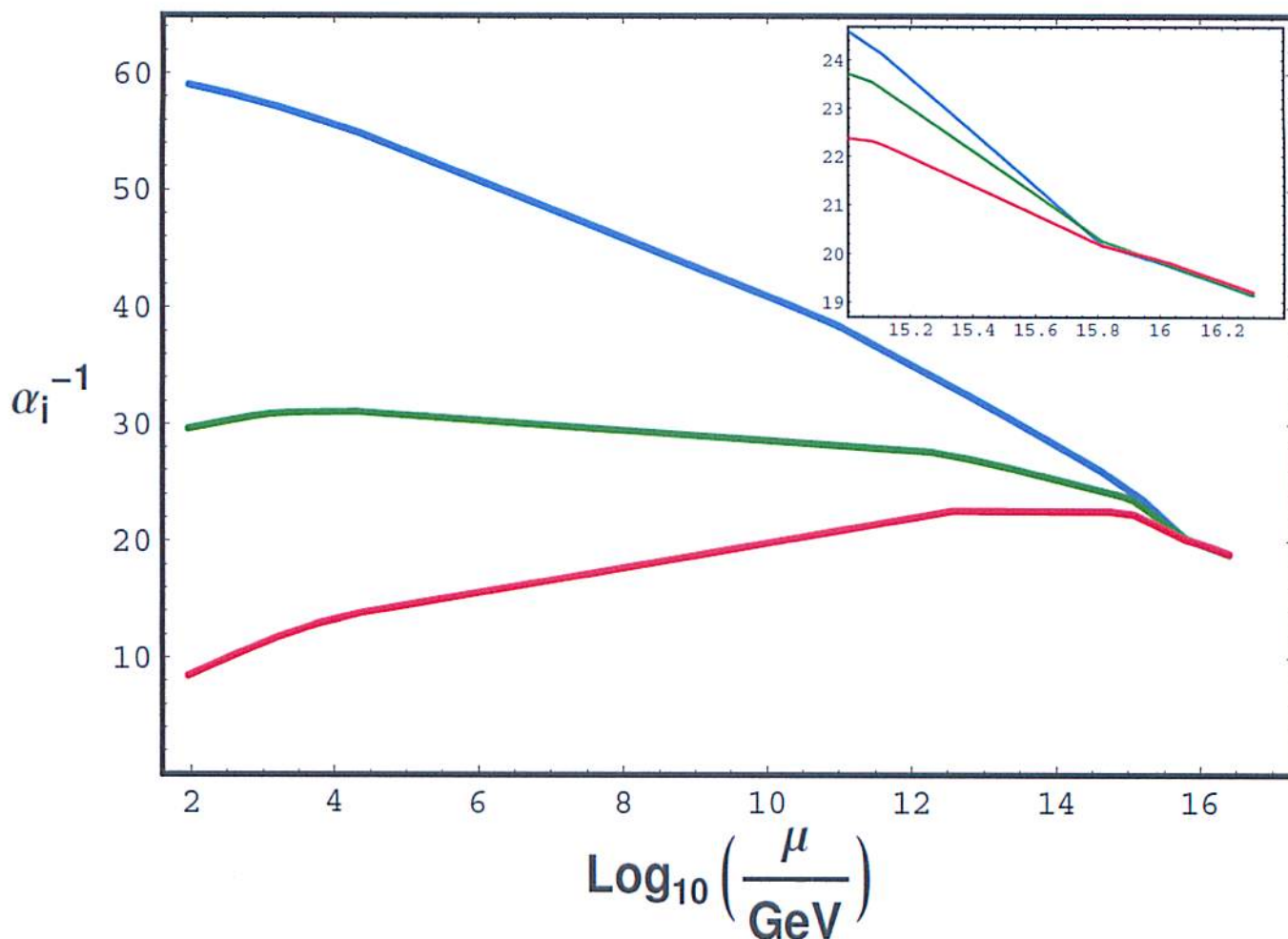
$$m_{\tilde{\tau}_c} = 3227.6 \text{ GeV} \quad \mu = 759.6 \text{ GeV}$$

Neutralinos : $m(\tilde{\chi}_i^0) \simeq (653 - 1295) \text{ GeV}$

Charginos : $m(\tilde{\chi}_i^\pm) \simeq (759 - 1295) \text{ GeV}$

* Badziak, Dudas, Olechowski, Pokorski, Arxiv: 1205.1675

UNIFICATION FOR SUSY SO(10) MODEL INCLUDING GUT THRESHOLD EFFECTS



$$\alpha_3(M_Z) = 0.1184, (M_{\text{eff}}, M_X) = (7 \cdot 10^{19}, 6.52 \cdot 10^{15}) \text{ GeV}$$

$$(r, p, \hat{p}/p) = \left(\frac{1}{1752}, 4, 6.431 \cdot 10^{-5}\right), \tan \beta = 10$$

Varying SUSY spectrum With Reasonable naturalness & Using the Inverse Correlation
 (A) \rightarrow Get Theoretical Upper Limits :

$$\bar{\Gamma}'(p \rightarrow e^+ \pi^0)^{Th} \lesssim (2-10) \times 10^{34} \text{ yrs}$$

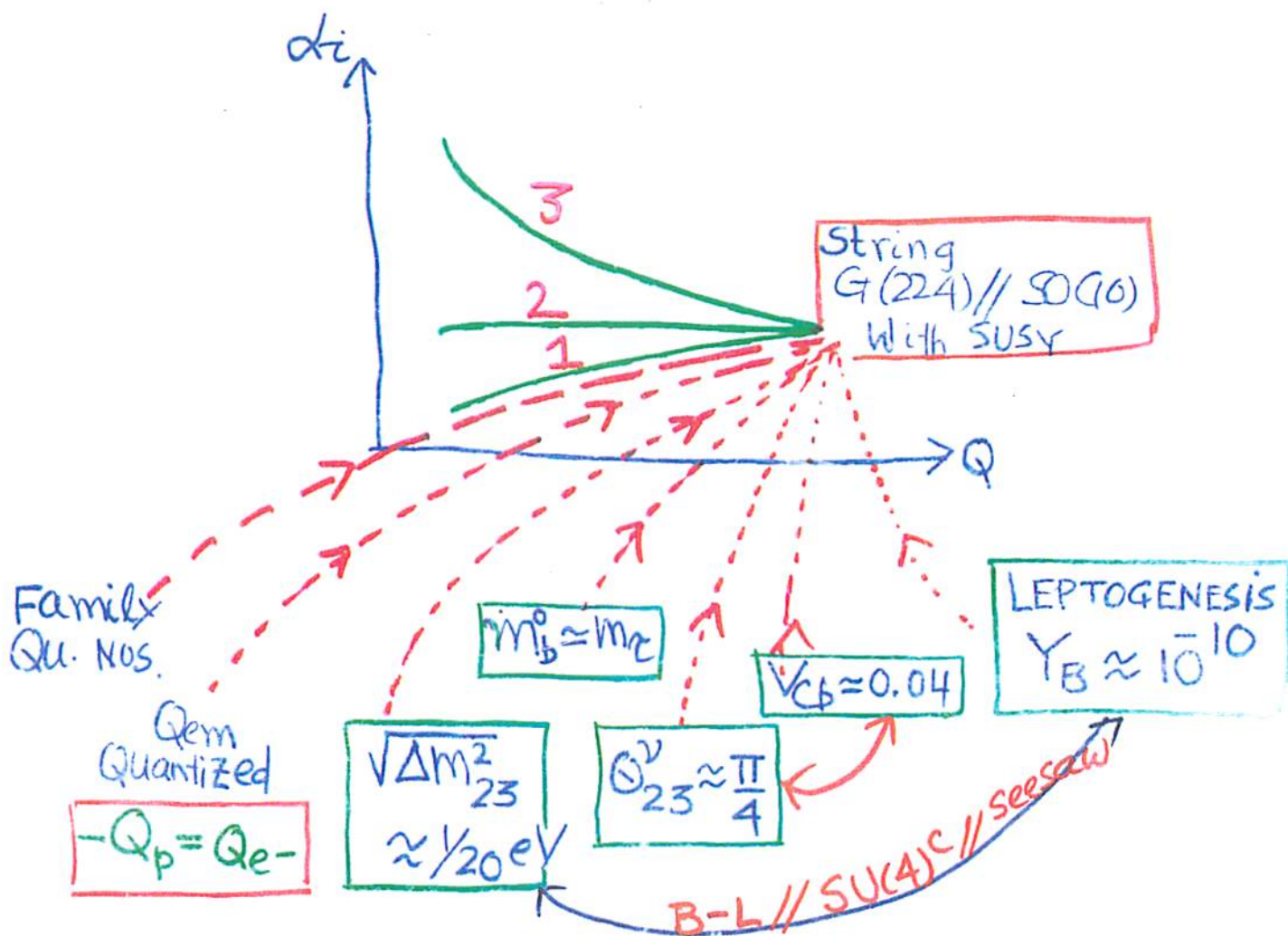
$$\bar{\Gamma}'(p \rightarrow \bar{\nu} K^+)^{Th} \lesssim (1-8) \times 10^{34} \text{ yrs}$$

Within Factors of 5 to 10 above SuperK limits \rightarrow Within striking distance.

Thus Prospects of Discovery of p-decay, within these well-studied & well-motivated class of SUSY SO(10) Models, in the next-generation Detectors (Both Water Cherenkov $\sim 500 \text{ kt}$ & Liquid Argon $\sim 30-50 \text{ kt}$) High.

Will Nature oblige w.r.t Both

SUSY &
 PROTON Decay?



ALL THESE FEATURES & MORE HANG TOGETHER NEATLY IN A SINGLE UNIFIED FRAMEWORK → HARD TO IMAGINE THIS CAN BE MERE COINCIDENCE

⇓
TWO MISSING PIECES

- PROTON DECAY → Need NEXT GEN. DETECTOR
- SUPERSYMMETRY → LHC

29 23
PROTON DECAY THE HALLMARK OF GRAND UNIF.

Provides a Unique Window To View
Physics at truly short dist $\lesssim 10^{-30}$ cm

$p \rightarrow \bar{\nu} K^+$ If seen \Rightarrow $\left\{ \begin{array}{l} q-l, q-\bar{l}, q-\bar{l} \text{ unif} // \\ \text{SUSY UNIF} // \\ M_x \sim 10^{16} \text{ GeV} \end{array} \right.$

$p \rightarrow \mu^+ K^0$ If seen \Rightarrow $\left\{ \begin{array}{l} \nu \text{ MASS Rel of} \\ \text{IMPORTANT} \end{array} \right.$

If $e^+ \pi^0$ not seen
Say upto 10^{36} yrs, but $\left. \begin{array}{l} \bar{\nu} K^+ \text{ seen} \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} \text{EFF. G(224) \& } \\ \nu \text{ MASS Rel} \\ d=5 \text{ IMPORTANT} \end{array} \right.$

THUS PROTON DECAY IF SEEN
WILL BRING A WEALTH OF KNOWLEDGE
THAT CAN NOT BE GAINED BY ANY
OTHER MEANS

DISCOVERY POTENTIAL HIGH

MEGATON SIZE DETECTOR (OR EQUIVALENT)
SENSITIVE TO • PROTON DECAY,
• ν oscillations (LONG BASELINE)
AND • SUPERNOVA ν 'S.

Backup Slides

$$\textcircled{3} \cdot \sqrt{\Delta m^2(\nu_\mu \nu_\tau)_{\text{SuperK}}} \approx \frac{1}{20} \text{ eV}$$

See Saw (IGNORE MIXING For a Moment)

$$\begin{array}{c} \nu_L^c \\ \bar{\nu}_R^c \end{array} \begin{bmatrix} 0 & m(\nu_D^c) \\ m(\nu_D^c) & M_R \end{bmatrix} \rightarrow \begin{bmatrix} -\frac{m(\nu_D^c)^2}{M_R} & 0 \\ 0 & M_R \end{bmatrix}$$

Dirac Mass
Majorana Mass Superheavy

$$\Rightarrow m(\nu_L^c) \approx \frac{m(\nu_D^c)^2}{M_R}$$

(i) Get M_R From SUSY unif scale $M_x \sim 2 \times 10^{16} \text{ GeV}$

$$f_{33} 16_3 16_3 \langle \bar{16}_H \rangle \langle \bar{16}_H \rangle \Rightarrow M_R \approx \frac{(2 \times 10^{16} \text{ GeV})^2}{2 \times 10^{18} \text{ GeV}} \approx 2 \times 10^{14} \text{ GeV}$$

(≈ 1) $(2 \times 10^{18} \text{ GeV}) \leftarrow M_{\text{Pl}}$

(ii) Get $m(\nu_D^c)$ Using $SU(4)$ -Color

$$\boxed{m_b^c = m_{\tau^c}}$$

$$m(\nu_D^c) = m_t(m_x) \approx \boxed{100 \text{ GeV}}$$

$$\Rightarrow m(\nu_L^c) \approx \frac{(100 \text{ GeV})^2}{2 \times 10^{14} \text{ GeV}} \sim (\frac{1}{20} \text{ eV}) (\frac{1}{3} - 3)$$

$$\text{Also get } m(\nu_L^c) \sim m(\nu_L^c)/10 \Rightarrow \sqrt{\Delta m^2(\nu_\mu \nu_\tau)_{\text{Th}}} \sim (\frac{1}{20}) \text{ eV} (\frac{1}{3} - 3)$$

Thus the SuperK result brings to light the existence of ν_R // Reinforces the ideas of
a) SeeSaw, b) $SU(4)$ -Color & c) SUSY-unification...

12/4

(Babu, Pati Wilczek)

Summary on Fermion Masses & Mixings

Predictions

$$m_b(m_b) \approx (4.7 - 4.9) \text{ GeV}$$

$$\sqrt{\Delta m_{23}^2(\nu)} \sim (1/24 \text{ eV}) (\frac{1}{2} - 2)$$

$$V_{cb} \approx 0.043$$

$$\sin^2 2\theta_{\nu\mu\nu\tau}^{\text{osc}} \approx \boxed{0.92} \leftrightarrow \boxed{0.995}$$

$m_{\nu_2}/m_{\nu_3} \approx 1/10 - 1/5$

$$V_{us} \approx 0.23$$

$$|V_{ub}| \approx 0.0032$$

$$m_d(1 \text{ GeV}) \approx 8 \text{ MeV}$$

$$m(\nu_2) \approx (2 - 10) \times 10^{-3} \text{ eV} \leftrightarrow \left\{ \begin{array}{l} \text{SMA} \sim 3 \times 10^{-3} \text{ eV} \\ \text{LMA} \approx 7 \times 10^{-3} \text{ eV} \end{array} \right.$$

$$m(\nu_1) \sim (1 \text{ to few}) \times 10^{-3} \text{ eV}$$

Consistent with the framework

$$M(\nu_R^3, \nu_R^2, \nu_R^1) \approx (10^{15}, 2 \times 10^{12}, (1/3 - 3) \times 10^{10} \text{ GeV})$$

Just right for Lepto/Baryogenesis

Observations

$$\approx 4.2 \text{ GeV}$$

$$\approx (1/15 - 1/25) \text{ eV} \otimes$$

$$\approx 0.04$$

$$\approx 0.92 \leftrightarrow 1$$

$$\approx 0.22$$

$$\approx 0.003 - 0.004$$

$$\approx 6 - 8 \text{ MeV}$$

NOTE ν_3 Masses Necessarily Hierarchical.

SMA or LMA?

A.5

$$f_{ij} 16_i 16_j \bar{16}_H \bar{16}_H / M$$

Just with Standard See-Saw ν_L -masses,
SMA rather generic

$$m(\nu_L^1) \sim 2 \times 10^{-5} - 2 \times 10^{-6} \text{ eV} \quad // \quad m(\nu_L^2) \sim 3 \times 10^{-3} \text{ eV}$$

$$\Theta_{\nu_e \nu_\mu}^{\text{osc}} = \Theta_{e\mu}^L - \Theta_{e\mu}^\nu \approx 0.05$$

situation alters once allow for direct Maj masses of ν_i 's — Most likely to arise through Higher Dim. op. involving GUT & EW VEV's — Through tiny $\sim 10^{-3} \text{ eV}$ entries \rightarrow IMPORTANT For $(\nu_e - \nu_\mu)$

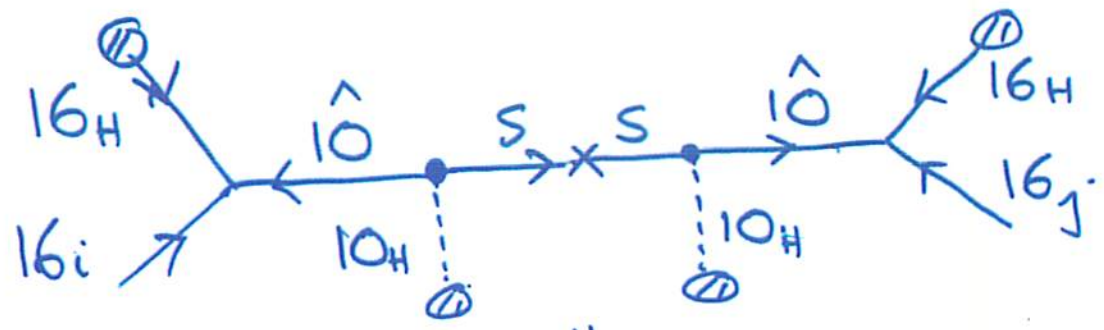
$$W \supset g_{12} \underbrace{16_1 16_2}_{\nu_L^e \nu_L^\mu} \underbrace{16_H 16_H}_{\langle \nu_{RH} \rangle / M_{\text{GUT}}} \underbrace{10_H 10_H}_{\nu_u^2} / M_{\text{GUT}}^3$$

$$\sim g_{12} (\nu_L^e \nu_L^\mu) (1.5 - 6) \times 10^{-3} \text{ eV} \quad (\langle 16_H \rangle \approx (1-2) M_{\text{GUT}})$$

$$\begin{bmatrix} \nu_L^e & \nu_L^\mu \\ \approx 0 & (3-4) \end{bmatrix} \times 10^{-3} \text{ eV} \Rightarrow \left[\begin{array}{l} \Theta_{\nu_e \nu_\mu}^\nu \approx 1/2 \\ \sin^2 2\Theta_{\nu_e \nu_\mu}^{\text{osc}} \approx 0.7 \end{array} \right] \text{ Quite Plausib.}$$

Thus LMA not strictly a prediction, but perfectly plausible within the framework.

OUT-SCALE EFFECTIVE OPERATORS



$$g_{ij} \ 16_i \ 16_j \ 16_H \ 16_H \ 10_H \ 10_H \ / (M_{10}^2 M_S)$$

← .

Θ_{13}

$$m(\nu_L^e \nu_L^e)_{\text{Non-Seesaw}} \sim (2-6) \times 10^{-3} \text{ eV}$$

$$\Theta_{13} \sim \frac{(2-6) \times 10^{-3} \text{ eV}}{5 \times 10^{-2} \text{ eV}}$$

$$\sim 0.03 - 0.1$$

ν -less 2β decay: $\Delta L = \pm 2$

$$m_{ee} = \left| \sum_i m_i U_{ei}^2 \right|$$

$$m_1 \sim \text{few} \times 10^{-3} \text{ eV}, \quad m_2 \approx (6-8) \times 10^{-3} \text{ eV}$$

$$m_3 \approx 5 \times 10^{-2} \text{ eV}$$

$$\Theta_{12} \approx 1/2, \quad \Theta_{13} \sim 0.03 - 0.1$$

⇓

$$m_{ee} \sim (1 \text{ to } 6) \times 10^{-3} \text{ eV}$$

CORRELATION EQN

$$M_{\text{eff}} \simeq (10^{19} \text{ GeV}) \left(\frac{10^{16} \text{ GeV}}{M_X} \right)^3 \left(\frac{3}{\tan \beta} \right) \left(\frac{1/100}{r} \right)$$

$$\times \left\{ \frac{\exp [2\pi (\Delta_W^{(2)} - \Delta_W^{(3)} - \delta \alpha_3^{-1})]}{2.07 \times 10^{-2}} \right\} \rightarrow \text{FAIRLY INSENSITIVE TO SUSY SPECTRUM}$$

$$r \equiv m_\Sigma / M_X \quad (\Sigma = \tilde{g}^c \subset 45_H)$$

$$\delta \alpha_3^{-1} = \text{Dev. of } \alpha_3^{-1} \text{ FROM CENTRAL VALUE}$$

$$= (0.147, 0, -0.1422) \rightarrow (\alpha_3(m_Z) = 0.1156, 0.1176, 0.1196)$$

\Rightarrow

$$M_{\text{eff}} \propto 1/M_X^3$$

$$A(p \rightarrow e^+ \pi^0) \propto g^2 / M_X^2$$

$$A(p \rightarrow \bar{\nu} K^+) \propto \frac{1}{M_{\text{eff}}}$$

\Rightarrow If RAISE M_X TOO MUCH TO SUPPRESS $d=6$ ($p \rightarrow e^+ \pi^0$), M_{eff} WILL BE TOO LOW \rightarrow EXCLUDED EMPIRICAL BY \wedge LOWER LIMIT ON $\Gamma^{-1}(p \rightarrow \bar{\nu} K^+)$.