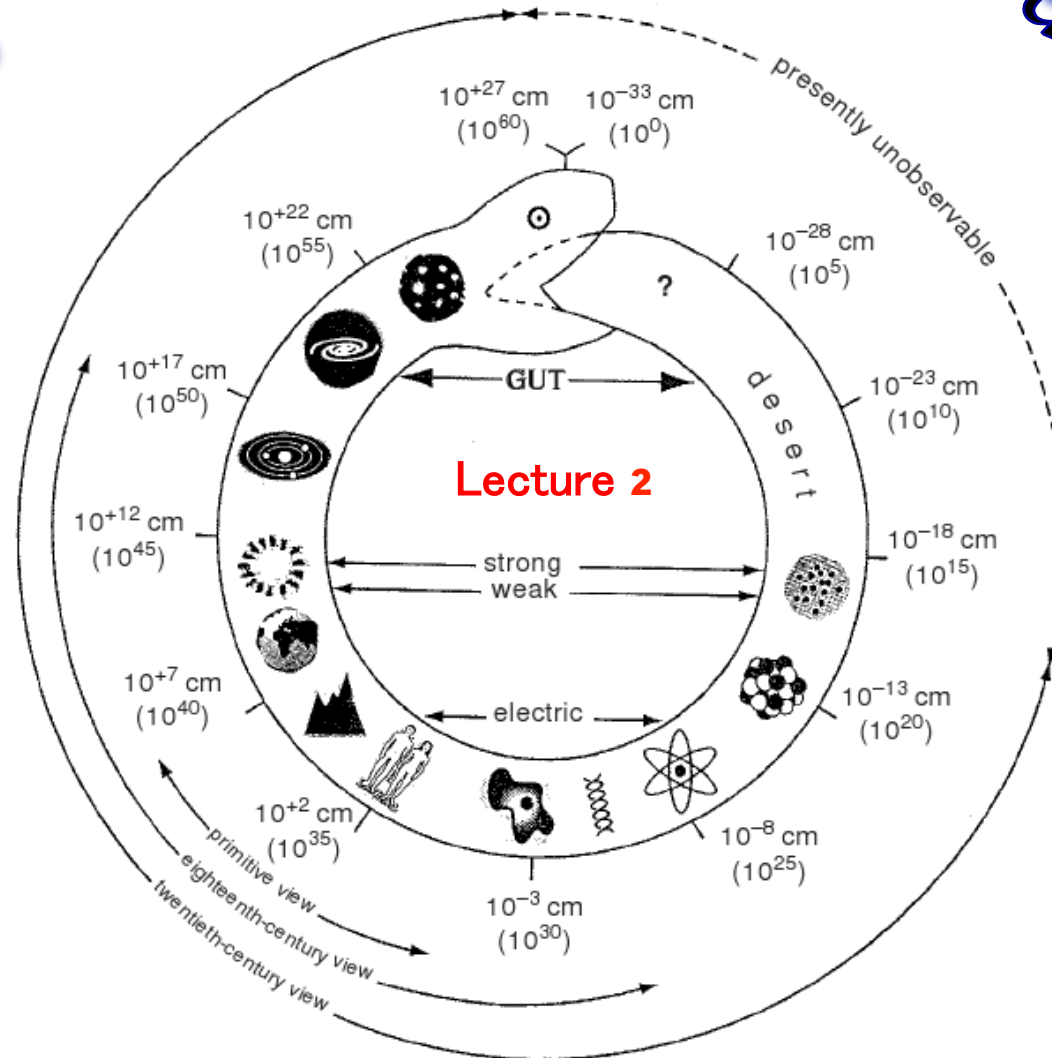


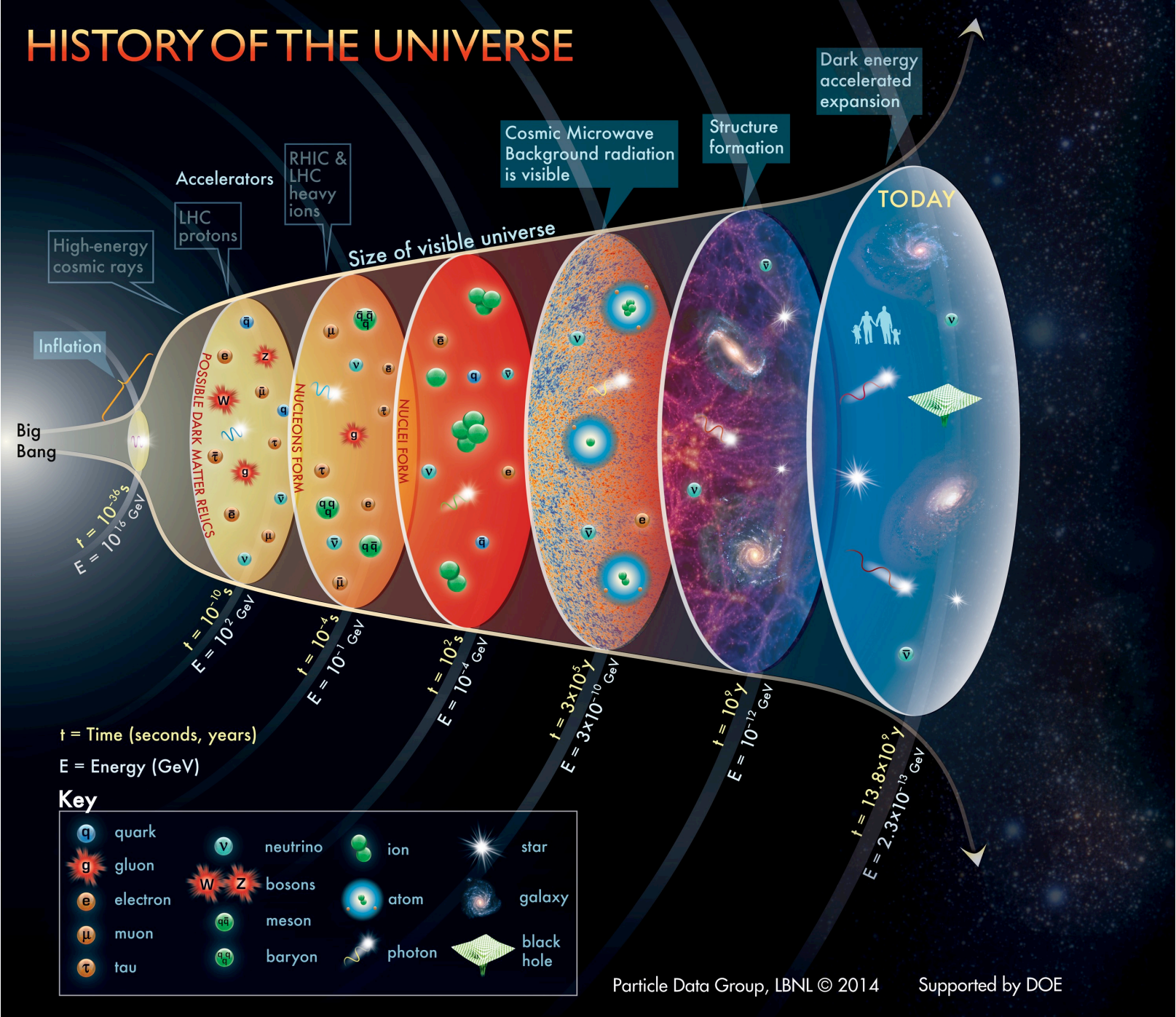
# Physics of the early universe

Subir Sarkar



ICTP Summer School on Particle Physics, 15-26 June 2015, Trieste

# How are pretty pictures such as this one actually constructed?



# Does the universe have any net quantum numbers?

The chemical potential is additively conserved in all reactions hence zero for photons and  $Z^0$  bosons which can be emitted or absorbed in any number (at high enough temperatures) – and consequently *equal and opposite* for a particle and its antiparticle, which can annihilate into such gauge bosons

A finite chemical potential corresponds to a *particle-antiparticle asymmetry*, i.e. a non-zero value for any associated conserved quantum number

The net electric charge of the universe is consistent with being zero  
e.g.  $q_{e-p} < 10^{-26}e$  from the isotropy of the CMB (Caprini & Ferreira, JCAP **02**:006,2005)

The net baryon number is very small relative to the number of photons:

$$\frac{n_B - n_{\bar{B}}}{n_B + n_{\bar{B}}} \sim \frac{n_B}{n_\gamma} \simeq 5 \times 10^{-10}$$

... and presumably so is any net lepton number

There can be a large lepton asymmetry in *neutrinos* (if  $B - L$  is non-zero) but this is constrained to be small due to  $\nu$  oscillations (Dolgov *et al*, Nucl.Phys. **B632**:363,2002)

(NB: The dark matter may be a particle with a relic asymmetry similar to that of baryons)

## Thermodynamics of ultra-relativistic plasma in equilibrium:

$$f_i^{\text{eq}}(q, T) = \left[ \exp \left( \frac{E_i - \mu_i}{T} \right) \mp 1 \right]^{-1}$$

For negligible chemical potential, this integrates to:

$$\text{Number density: } n_i^{\text{eq}}(T) = g_i \int f_i^{\text{eq}}(q, T) \frac{d^3q}{(2\pi)^3} = \frac{g_i}{2\pi^2} T^3 I_i^{11}(\mp),$$

$$\text{Energy density: } \rho_i^{\text{eq}}(T) = g_i \int E_i(q) f_i^{\text{eq}}(q, T) \frac{d^3q}{(2\pi)^3} = \frac{g_i}{2\pi^2} T^4 I_i^{21}(\mp),$$

$$\text{Pressure density: } p_i^{\text{eq}}(T) = g_i \int \frac{q^2}{3E_i(q)} f_i^{\text{eq}}(q, T) \frac{d^3q}{(2\pi)^3} = \frac{g_i}{6\pi^2} T^4 I_i^{03}(\mp)$$

where:  $I_i^{mn}(\mp) \equiv \int_{x_i}^{\infty} y^m (y^2 - x_i^2)^{n/2} (e^y \mp 1)^{-1} dy, \quad x_i \equiv \frac{m_i}{T}$

bosons :	$I_{\text{R}}^{11}(-) = 2\zeta(3), \quad I_{\text{R}}^{21}(-) = I_{\text{R}}^{03}(-) = \frac{\pi^4}{15}$
fermions :	$I_{\text{R}}^{11}(+) = \frac{3\zeta(3)}{2}, \quad I_{\text{R}}^{21}(+) = I_{\text{R}}^{03}(+) = \frac{7\pi^4}{120}$

Non-relativistic particles ( $x \gg 1$ ) have the Boltzmann distribution:

$$n_{\text{NR}}^{\text{eq}}(T) = \frac{\rho_{\text{NR}}^{\text{eq}}(T)}{m} = \frac{g}{(2\pi)^{3/2}} T^3 x^{3/2} e^{-x}, \quad p_{\text{NR}} \simeq 0$$



The particle  $i$  will stay in *kinetic* equilibrium with the plasma (i.e.  $T_i = T$ ) as long as the **scattering rate**  $\Gamma_s = n\langle\sigma v\rangle$  **exceeds the Hubble rate**  $H = (8\pi G\rho/3)^{1/2} \sim 1.66\sqrt{g} T^2/M_p$

It will decouple at  $T_i = T_D$  when  $\Gamma_s(T_D) = H(T_D)$

If it is *relativistic* at this time (i.e.  $m_i \ll T_d$ ) then it would also have been in *chemical* equilibrium ( $\mu_i + \mu_{\bar{i}} = \mu_{l+} + \mu_{l-} = \mu_\gamma = 0$ ) and its abundance will just be:

$$n_i^{\text{eq}}(T_D) = \frac{g_i}{2} n_\gamma(T_D) f_{B, F} \quad (f_B = 1, f_F = 3/4)$$

Subsequently, the decoupled  $i$  particles will expand freely without interactions so that their **number in a comoving volume is conserved** and their pressure and energy density are functions of the scale-factor  $a$  alone. Although non-interacting, their phase space distribution will retain the equilibrium form, with  $T$  substituted by  $T_i$ , as long as the particles remain *relativistic*, which ensures that both  $E_i$  and  $T_i$  will scale as  $a^{-1}$

Subsequently  $T_i$  will continue to track the photon temperature  $T$  but as the universe cools below various mass thresholds, the corresponding particles will become non-relativistic and annihilate – this will heat the photons (and any other interacting particles), but *not* the decoupled  $i$  particles, so that  $T_i$  will now *drop below*  $T$  and therefore  $n_i/n_\gamma$  will *decrease* below its value at decoupling

To calculate this write:  $p = p_I(T) + p_D(a)$ ,  $\rho = \rho_I(T) + \rho_D(a)$   
(Alpher, Follin & Herman, Phys.Rev.92:1347,1953)

The energy conservation equation:  $a^3 \frac{dp}{dT} = \frac{d}{dT} [a^3(\rho + p)]$

then reduces to:  $\frac{d \ln a}{d \ln T} = -\frac{1}{3} \frac{(d\rho_I/d \ln T)}{(\rho_I + p_I)}$  (using  $n_D a^3 = \text{const}$ )

Combining with the 2<sup>nd</sup> law of thermodynamics, this yields:

$$\frac{d \ln a}{d \ln T} = -1 - \frac{1}{3} \frac{d \ln \left( \frac{\rho_I + p_I}{T^4} \right)}{d \ln T}$$

which integrates to:  $\ln a = -\ln T - \frac{1}{3} \ln \left( \frac{\rho_I + p_I}{T^4} \right) + \text{constant}$

Hence if  $(\rho_I + p_I)/T^4$  is constant (as for a gas of blackbody photons), this yields the *adiabatic invariant*:  **$aT = \text{constant}$**

Epochs where the number of interacting species is *different* can now be related through the conservation of specific **entropy** in a comoving volume, i.e.  $d(s_I a^3)/dT = 0$ , where:

$$s_I \equiv \frac{\rho_I + p_I}{T} = \sum_{\text{int}} s_i, \quad s_i(T) = g_i \int \frac{3m_i^2 + 4q^2}{3E_i(q) T} f_i^{\text{eq}}(q, T) \frac{d^3q}{(2\pi)^3}$$

Here  $s_i$  can be parameterised in terms of the value for photons:

$$s_i(T) \equiv \left( \frac{g_{s_i}}{2} \right) \left( \frac{4}{3} \frac{\rho_\gamma}{T} \right), \quad g_{s_i} = \frac{45}{4\pi^4} g_i \left[ I_i^{21}(\mp) + \frac{1}{3} I_i^{03}(\mp) \right]$$

So the number of *interacting* degrees of freedom is:

$$g_{s_I} \equiv \frac{45}{2\pi^2} \frac{s_I}{T^3} = \sum_{\text{int}} g_{s_i}$$

... analogous to the *total number* of degrees of freedom:

$$\rho_i^{\text{eq}}(T) \equiv \left( \frac{g_{\rho_i}}{2} \right) \rho_\gamma, \quad g_{\rho_i} = \frac{15}{\pi^4} g_i I_i^{21}(\mp) = \sum_{\text{B}} g_i + \frac{7}{8} \sum_{\text{F}} g_i$$

We can now calculate how the temperature of a particle  $i$  which decoupled at  $T_D$  relates to the photon temperature  $T$  at a later epoch

For  $T < T_D$ , the entropy in the decoupled  $i$  particles and the entropy in the still interacting  $j$  particles are *separately conserved*:

$$S - S_I = s_i a^3 = \frac{2\pi^2}{45} g_{s_i}(T) (a T)_i^3,$$

$$S_I = \sum_{j \neq i} s_j(T) a^3 = \frac{2\pi^2}{45} g_{s_I}(T) (a T)^3$$

Since  $T_i = T$  at decoupling, this yields for the subsequent ratio of temperatures (Srednicki *et al*, Nucl.Phys.B310:693,1988, Gondolo & Gelmini, *ibid* B360:145,1991):

$$\frac{T_i}{T} = \left[ \frac{g_{s_i}(T_D)}{g_{s_i}(T)} \frac{g_{s_I}(T)}{g_{s_I}(T_D)} \right]^{1/3}$$

Following decoupling, the degrees of freedom specifying the conserved total entropy is:

$$g_s(T) \equiv \frac{45}{2\pi^2} \frac{S}{T^3 a^3} = g_{s_I}(T) \left[ 1 + \frac{g_{s_i}(T_D)}{g_{s_I}(T_D)} \right]$$



We now have an useful fiducial in the total entropy density, which *always* scales as  $a^{-3}$ :

$$s(T) \equiv \frac{2\pi^2}{45} g_s(T) T^3$$

Therefore the ratio of the decoupled particle density to the blackbody photon density is subsequently related to its value at decoupling as:

$$\frac{(n_i/n_\gamma)_T}{(n_i^{\text{eq}}/n_\gamma)_{T_D}} = \frac{g_s(T)}{g_s(T_D)} = \frac{N_\gamma(T_D)}{N_\gamma(T)}$$

where  $N_\gamma = a^3 n_\gamma$  is the total number of blackbody photons in a comoving volume

The total energy density may similarly be parameterised as:

$$\rho(T) = \sum \rho_i^{\text{eq}} \equiv \left(\frac{g_\rho}{2}\right) \rho_\gamma = \frac{\pi^2}{30} g_\rho T^4, \quad g_\rho \simeq \sum_{\text{B}} g_i \left(\frac{T_i}{T}\right)^4 + \frac{7}{8} \sum_{\text{F}} g_i \left(\frac{T_i}{T}\right)^4$$

So the relationship between  $a$  and  $T$  writes:  $\frac{da}{a} = -\frac{dT}{T} - \frac{1}{3} \frac{dg_{s\text{I}}}{g_{s\text{I}}}$

During the radiation-dominated era, the expansion rate is:

$$H \equiv \frac{\dot{a}}{a} \simeq \sqrt{\frac{8\pi G_{\text{N}}\rho}{3}}$$

Integrating this yields the time-temperature relationship:

$$t = - \int \left( \frac{45 M_{\text{P}}^2}{4\pi^3} \right)^{1/2} g_{\rho}^{-1/2} \left( 1 + \frac{1}{3} \frac{d \ln g_{\text{SI}}}{d \ln T} \right) \frac{dT}{T^3}$$

During the periods when  $dg_{\text{SI}}/dT \approx 0$ , i.e. away from mass thresholds and phase transitions, this yields the useful commonly used approximation:

$$(t/\text{s}) = 2.42 g_{\rho}^{-1/2} (T/\text{MeV})^{-2}$$

So we can work out when events of physical significance occurred (according to the Standard  $SU(3)_c \times SU(2)_L \times U(1)_Y$  Model ... and beyond)

The above discussion is usually illustrated by the example of the decoupling of massless neutrinos in the Standard Model

The thermally-averaged #-section is:  $\langle\sigma v\rangle \sim G_F^2 E^2 \sim G_F^2 T^2$  ( $m_\nu \ll T$ )  
 so the interaction rate is:  $\Gamma = n\langle\sigma v\rangle \sim G_F^2 T^5$  (since  $n \approx T^3$ )

This equals the expansion rate  $H \sim T^2/M_P$  at the decoupling temperature

$$T_D(\nu) \sim (G_F^2 M_P)^{-1/3} \sim 1 \text{ MeV}$$

At this time  $n_\nu^{\text{eq}} = (3/4)n_\gamma$  since  $T_\nu = T$  and  $g_\nu = 2$ . Subsequently as  $T$  drops below  $m_e$ , the electrons and positrons annihilate (almost) totally, heating the photons but *not* the decoupled neutrinos. While  $g_\nu$  does not change, the number of other interacting degrees of freedom decreases from 11/2 ( $\gamma, e^\pm$ ) to 2 ( $\gamma$  only), hence the comoving number of blackbody photons *increases* by the factor:

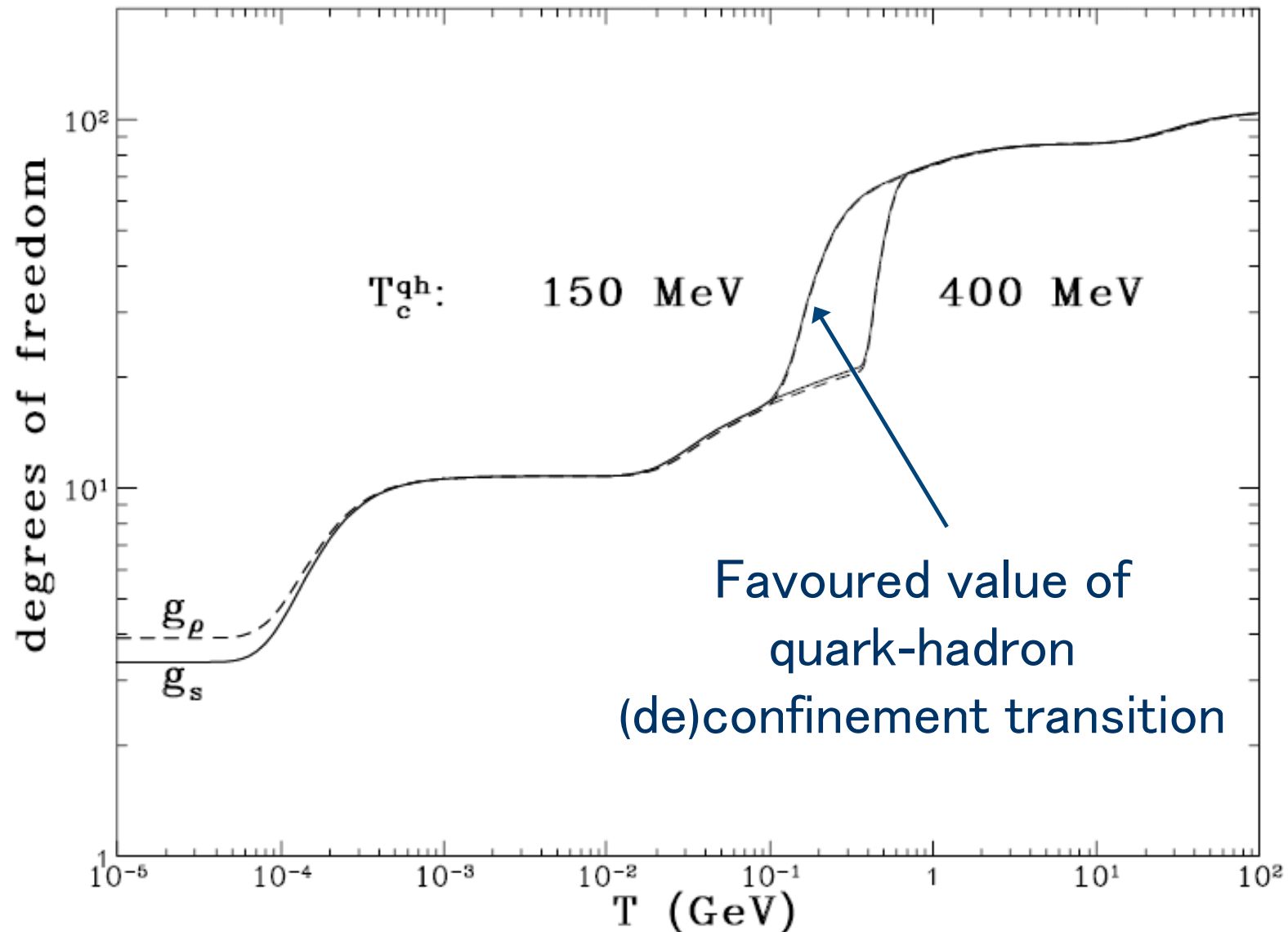
$$\frac{N_\gamma(T \ll m_e)}{N_\gamma(T = T_D(\nu))} = \left[ \frac{(aT)_{T \ll m_e}}{(aT)_{T = T_D(\nu)}} \right]^3 = \frac{11}{4} \text{ so } \left( \frac{n_\nu}{n_\gamma} \right)_{T \ll m_e} = \frac{4}{11} \left( \frac{n_\nu^{\text{eq}}}{n_\gamma} \right)_{T = T_D(\nu)} = \frac{3}{11}$$

Hence the degrees of freedom characterising the entropy and energy densities today are:

$$g_s(T \ll m_e) = g_\gamma + \frac{7}{8} N_\nu g_\nu \left( \frac{T_\nu}{T} \right)^3 = \frac{43}{11} ,$$

$$g_\rho(T \ll m_e) = g_\gamma + \frac{7}{8} N_\nu g_\nu \left( \frac{T_\nu}{T} \right)^4 = 3.36$$

To construct our thermal history we must then count all boson and fermion species contributing to the number of relativistic degrees of freedom ... and take into account (our uncertain knowledge of) possible phase transitions



## The Standard Model of the Early Universe

$T \sim 200$ GeV	all present	106.75
$T \sim 100$ GeV	EW transition	(no effect)
$T < 170$ GeV	top-annihilation	96.25
$T < 80$ GeV	$W^\pm, Z^0, H^0$	86.25
$T < 4$ GeV	bottom	75.75
$T < 1$ GeV	charm, $\tau^-$	61.75
$T \sim 150$ MeV	QCD transition	17.25
$T < 100$ MeV	$\pi^\pm, \pi^0, \mu^-$	10.75
$T < 500$ keV	$e^-$ annihilation	(7.25)

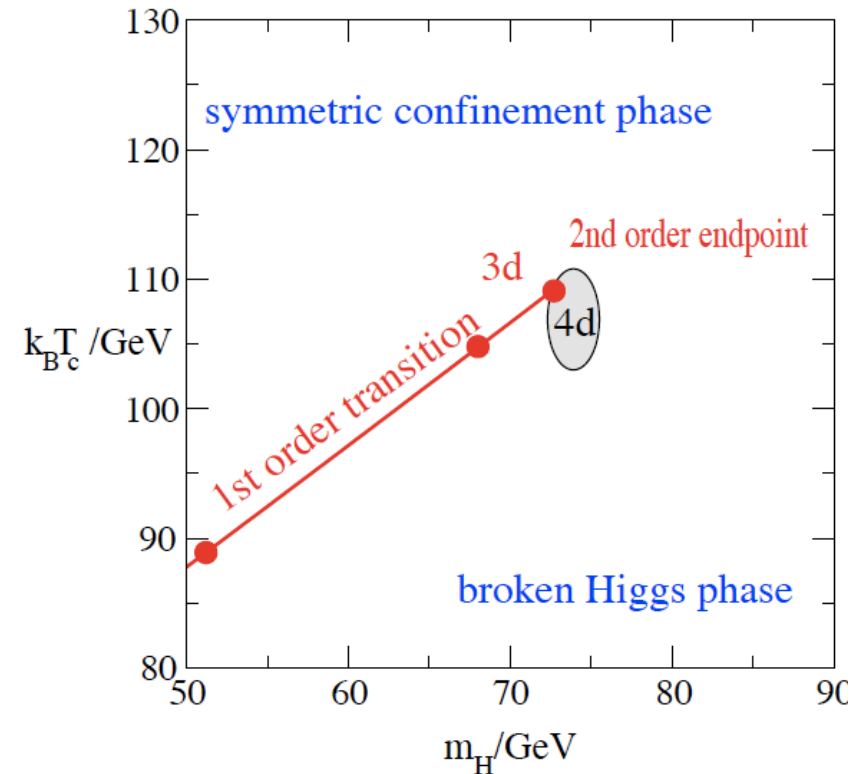
### History of $g(T)$

$(u, d, g \rightarrow \pi^\pm, 0, \quad 37 \rightarrow 3)$   
 $e^\pm, \nu, \bar{\nu}, \gamma$  left  
 $2 + 5.25(4/11)^{4/3} = 3.36$

The phase diagram of the Standard Model (based on a dimensionally reduced  $SU(2)_L$  theory with quarks and leptons, with the Abelian hypercharge symmetry  $U(1)_Y$  neglected). The 1<sup>st</sup>-order transition line ends at the 2<sup>nd</sup>-order endpoint:

$m_H \approx 72 \pm 2 \text{ GeV}/c^2, k_B T_E \approx 110 \text{ GeV};$   
 for higher Higgs mass it is a ‘crossover’

Rummukainen *et al*, Nucl.Phys.B532:283,1998





## What is the highest temperature the Universe could have reached?

On dimensional grounds, the  $2 \rightarrow 2$  scattering/annihilation cross-section (at temperatures higher than the masses of particles) must go as  $\sim \alpha^2/T^2$ , i.e. the rate will go as:  $G \sim n \langle \sigma v \rangle \sim \alpha^2 T$

Comparing this to the Hubble expansion rate,  $H \sim (g_* T^4/10 M_p^4)^{1/2}$ , we see that the thermalisation temperature *cannot* exceed:

$$T_{\text{therm}} \sim \alpha^2 M_p / 3 \sqrt{g_*} \sim 10^{-4} M_p \text{ (taking: } \alpha = 1/24, g_* \sim 200 \text{)}$$

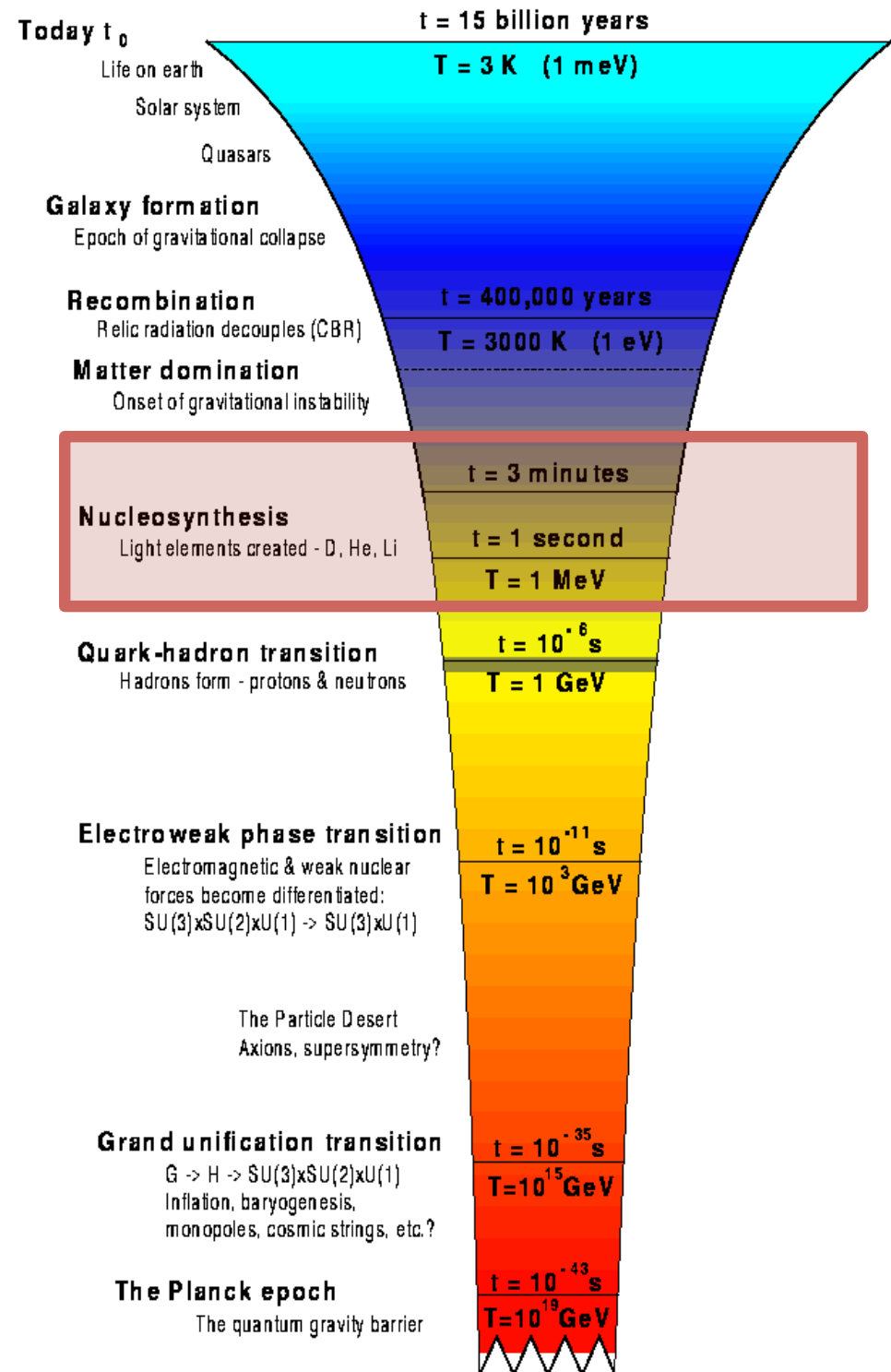
So the universe could never have been as hot as even the GUT scale!

A careful calculation (incl. the temperature dependence of  $\alpha_{\text{QCD}}$ ) gives:

$$T_{\text{therm}} \sim 3 \times 10^{14} \text{ GeV} \quad \text{(Enqvist \& Sirkaa, Phys. Lett. B314:298,1993)}$$

Ought to revisit earlier discussions of GUT-scale baryogenesis, monopole problem ...

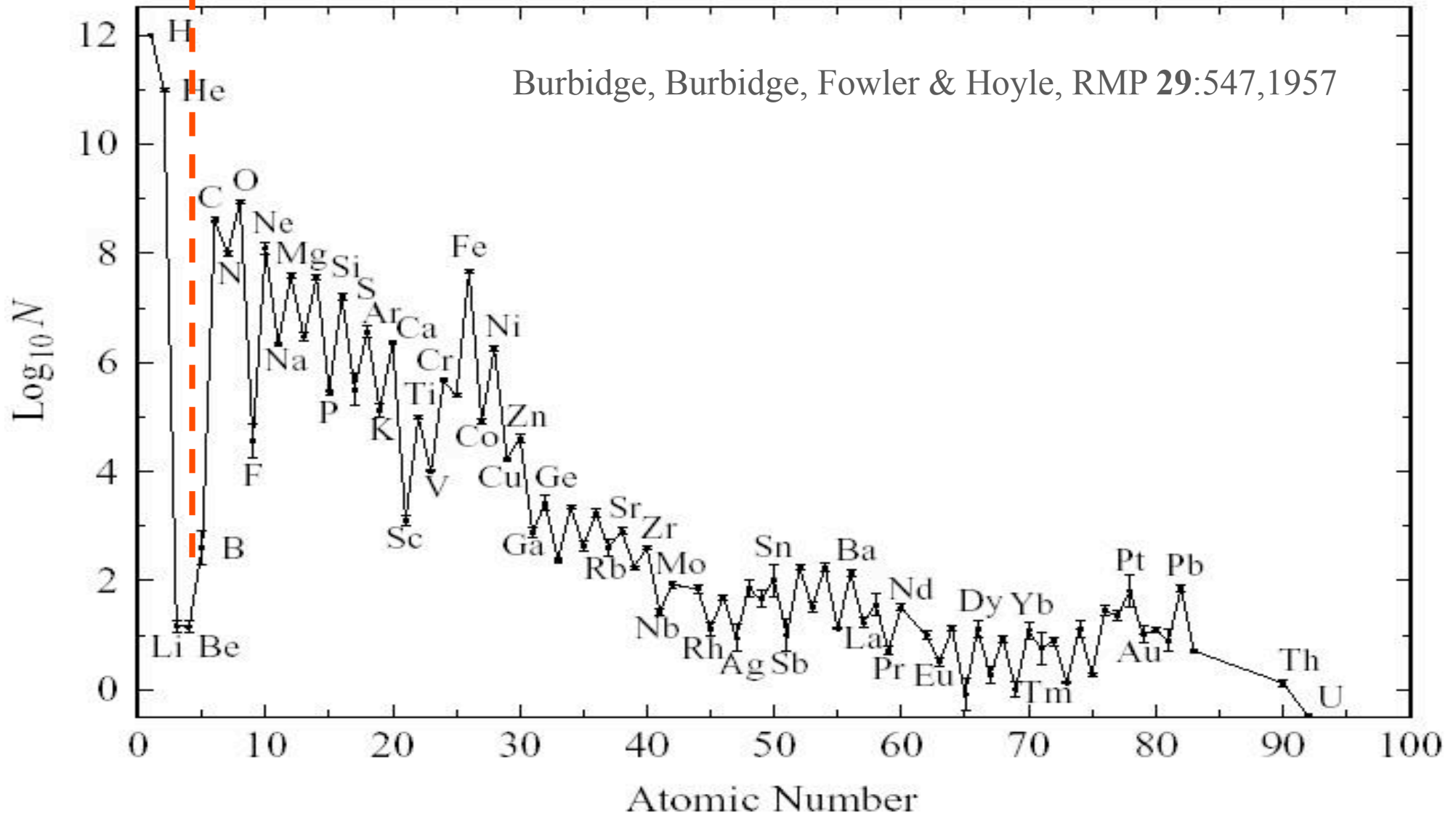
# Big Bang Nucleosynthesis



# Where did all the elements come from?

Big Bang

Stars/Supernovae



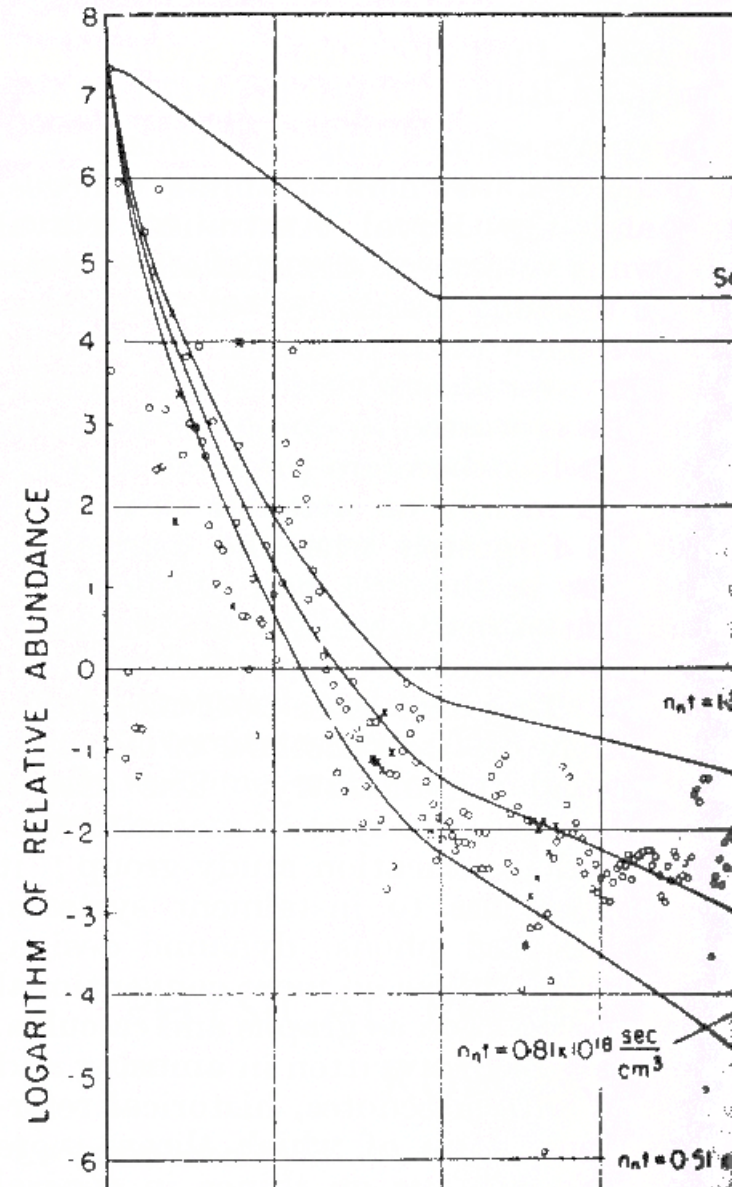
George Gamow is often credited with having founded the theory of primordial nucleosynthesis and, as a corollary, predicted the temperature of the relic radiation

## THE EVOLUTION OF THE UNIVERSE

By DR. G. GAMOW

George Washington University, Washington, D.C.

THE discovery of the red shift in the spectra of distant stellar galaxies revealed the important fact that our universe is in the state of uniform expansion, and raised an interesting question as to whether the present features of the universe could be understood as the result of its evolutionary development, which must have started a few thousand million years ago from a homogeneous state of extremely high density and temperature. We conclude first of all that the relative abundances of various atomic species (which were found to be essentially the same all over the observed region of the universe) must represent the most ancient archaeological document pertaining to the history of the universe. These abundances must have been established during the earliest stages of expansion when the temperature of the primordial matter was still sufficiently high to permit nuclear transformations to run through the entire range of chemical elements. It is also interesting to notice that the observed relative amounts of natural radioactive elements suggest that their nuclei must have been formed (presumably along with all other stable





The real story is that while Gamow had brilliant ideas, he could not calculate very well, so enlisted the help of a graduate student Ralph Alpher (who worked with Robert Herman)

## Thermonuclear Reactions in the Expanding Universe

R. A. ALPHER AND R. HERMAN

*Applied Physics Laboratory,\* The Johns Hopkins University,  
Silver Spring, Maryland*

AND

G. A. GAMOW

*The George Washington University, Washington, D. C.*

September 15, 1948



IT has been shown in previous work<sup>1-3</sup> that the observed relative abundances of the elements can be explained satisfactorily by consideration of the building up of nuclei by successive neutron captures during the early stages of the expanding universe. Because of the radioactivity of

<sup>1</sup> R. A. Alpher, H. A. Bethe, and G. A. Gamow, *Phys. Rev.* **73**, 803 (1948).

<sup>2</sup> R. A. Alpher, *Phys. Rev.* (in press).

<sup>3</sup> R. A. Alpher and R. C. Herman, *Phys. Rev.* (in press).

1) was published on 1 April 1948 ... including Bethe (who had nothing to do with it) but leaving out Herman because he "... stubbornly refused to change his name to Delter"!



## Physical Conditions in the Initial Stages of the Expanding Universe\*†

RALPH A. ALPHER, JAMES W. FOLLIN, JR., AND ROBERT C. HERMAN  
*Applied Physics Laboratory, The Johns Hopkins University, Silver Spring, Maryland*

(Received September 10, 1953)

The detailed nature of the general nonstatic homogeneous isotropic cosmological model as derived from general relativity is discussed for early epochs in the case of a medium consisting of elementary particles and radiation which can undergo interconversion. The question of the validity of the description afforded by this model for the very early super-hot state is discussed. The present model with matter-radiation interconversion exhibits behavior different from non-interconverting models, principally because of the successive freezing-in or annihilation of various constituent particles as the temperature in the expanding universe decreased with time. The numerical results are unique in that they involve no disposable parameters which would affect the time dependence of pressure, temperature, and density.

The study of the elementary particle reactions leads to the time dependence of the proton-neutron concentration ratio, a quantity required in problems of nucleogenesis. This ratio is found to lie in the range  $\sim 4.5:1 - \sim 6.0:1$  at the onset of nucleogenesis. These results differ from those of Hayashi mainly as a consequence of the use of a cosmological model with matter-radiation interconversion and of relativistic quantum statistics, as well as a different value of the neutron half-life.

The modern theory of primordial nucleosynthesis is based essentially on this paper ... which followed the crucial observation by Hayashi (Prog.Theoret.Phys.5:224,1950) that neutrons and protons were in chemical equilibrium in the hot early universe

Alpher was awarded the US National Medal of Science in 2005:

*"For his unprecedented work in the areas of nucleosynthesis, for the prediction that universe expansion leaves behind background radiation, and for providing the model for the Big Bang theory."*

Weak interactions and nuclear reactions in expanding, cooling universe  
 (Hayashi 1950, Alpher, Follin & Herman 1953, Peebles 1966, Wagoner, Fowler & Hoyle 1967)

***Dramatis personae:***

Radiation (dominates)

Matter

baryon-to-photon ratio (only free parameter)

$$\gamma, e^\pm, 3\nu\bar{\nu}$$

$$n, p$$

$$n_B/n_\gamma \equiv \eta \simeq 2.74 \times 10^{-8} \Omega_B h^2$$

**Initial conditions:**  $T \gg 1 \text{ MeV}$ ,  $t \ll 1 \text{ s}$

$n$ - $p$  weak equilibrium:

neutron-to-proton ratio:

$$n + \nu_e \leftrightarrow p + e^-$$

$$p + \nu_e \leftrightarrow n + e^+$$

**Weak freeze-out:**  $T_f \sim 1 \text{ MeV}$ ,  $t_f \sim 1 \text{ s}$

which fixes:

$$\tau_{\text{weak}}(n \leftrightarrow p) \geq t_{\text{universe}} \Rightarrow T_{\text{freeze-out}} \sim (G_N/G_F^2)^{1/3}$$

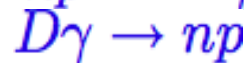
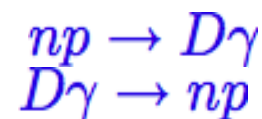
$$n/p = e^{-(m_n - m_p)/T_f} \approx 1/6$$

**Deuterium bottleneck:**  $T \sim 1 \rightarrow 0.07 \text{ MeV}$

D created by

but destroyed by high-E photon tail:

so nucleosynthesis halted until:



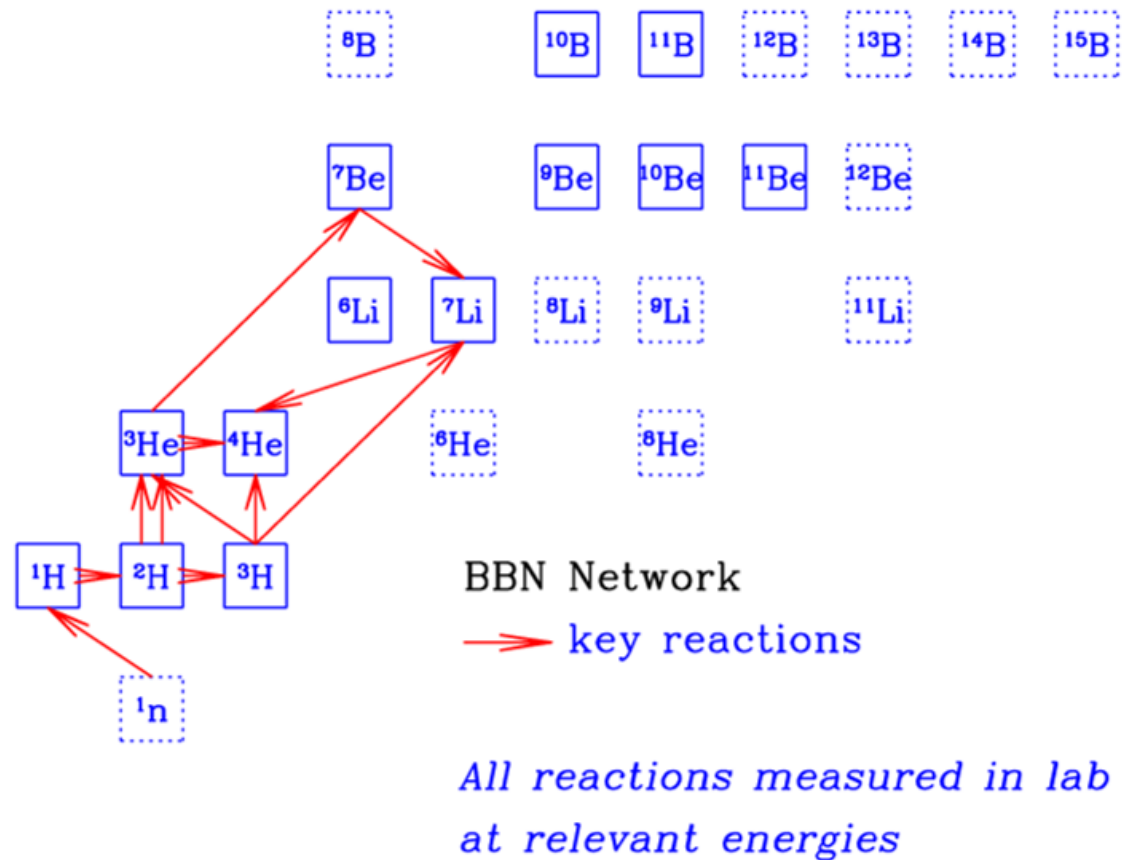
$$T_{\text{nuc}} \sim \Delta_D / -\ln(\eta)$$

**Element synthesis:**  $T_{\text{nuc}} \sim 0.07 \text{ MeV}$ ,  $t_{\text{nuc}} \sim 3 \text{ min}$

(meanwhile  $n/p \rightarrow 1/7$  through neutron  $\beta$ -decay)

nearly all  $n \rightarrow {}^4\text{He}$  ( $Y_p \sim 25\%$  by mass) + left-over traces of D,  ${}^3\text{He}$ ,  ${}^7\text{Li}$  (with  ${}^6\text{Li}/{}^7\text{Li} \sim 10^{-5}$ )

*No heavier nuclei formed in standard, homogeneous hot Big Bang ... must wait for stars to form after a ~billion years and synthesise all the other nuclei in the universe (s-process, r-process, ...)*



- ❖ Computer code by Wagoner (1969, 1973) .. updated by Kawano (1992)
- ❖ Coulomb & radiative corrections,  $\nu$  heating et cetera (Dicus *et al* 1982)
  - ❖ Nucleon recoil corrections (Seckel 1993)
- ❖ Covariance matrix of correlated uncertainties (Fiorentini *et al* 1998)
  - ❖ Updated nuclear cross-sections (NACRE 2003)

· **Time < 15 s, Temperature >  $3 \times 10^9$  K**

- universe is soup of protons, electrons and other particles ... so hot that nuclei are blasted apart by high energy photons as soon as they form

· **Time = 15 s, Temperature =  $3 \times 10^9$  K**

- Still too hot for Deuterium to survive
- Cool enough for Helium to survive, but too few building blocks

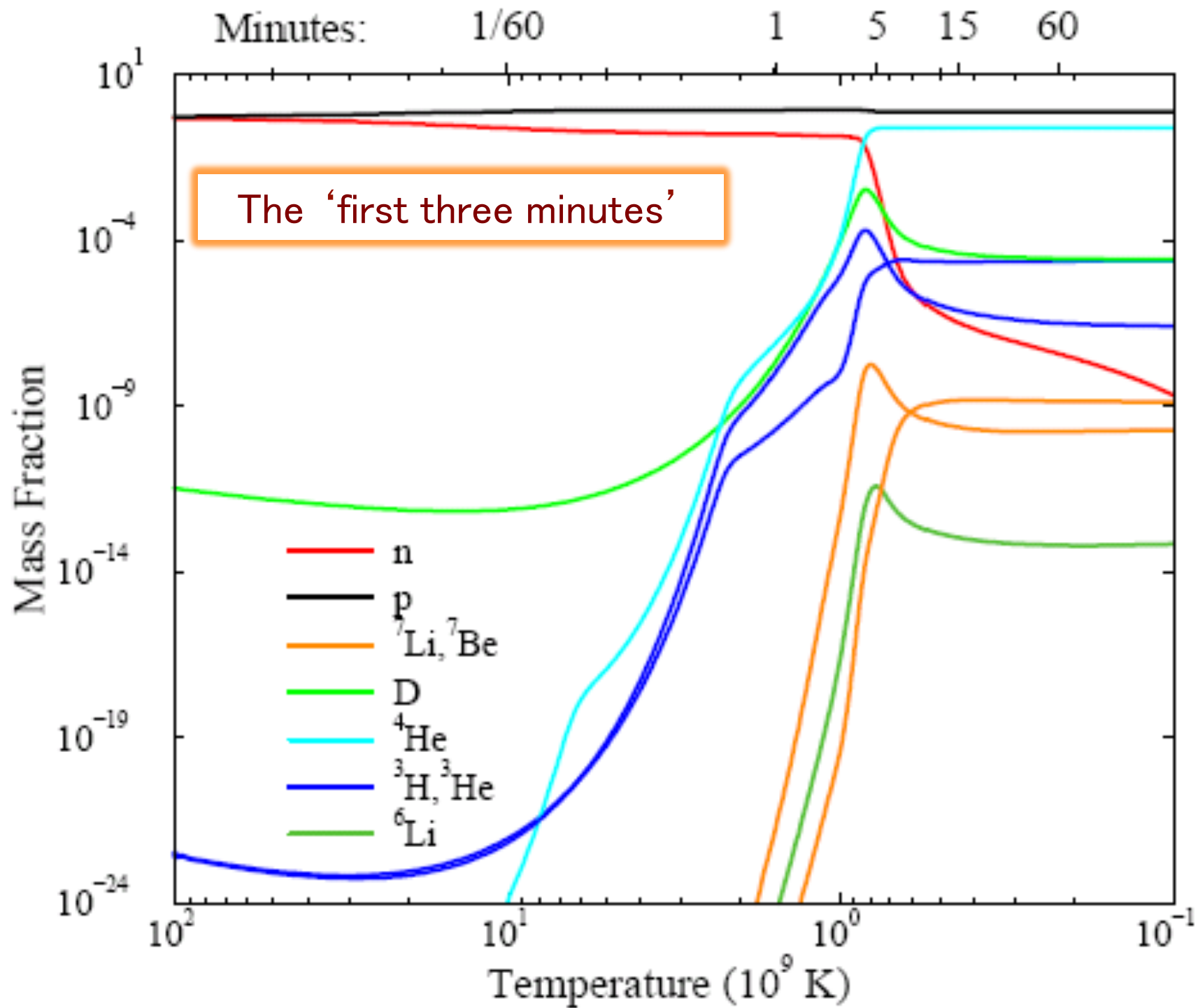
· **Time = 3 min, Temperature =  $10^9$  K**

- Deuterium survives and is quickly fused into He
- no stable nuclei with 5 or 8 nucleons, and this restricts formation of elements heavier than Helium
- trace amounts of Lithium are formed

· **Time = 35 min, Temperature =  $3 \times 10^7$  K**

- nucleosynthesis essentially complete
- Still hot enough to fuse He, but density too low for appreciable fusion

Model makes predictions about the relative abundances of the light elements  $^2\text{H}$ ,  $^3\text{He}$ ,  $^4\text{He}$  and  $^7\text{Li}$ , as a function of the nucleon density



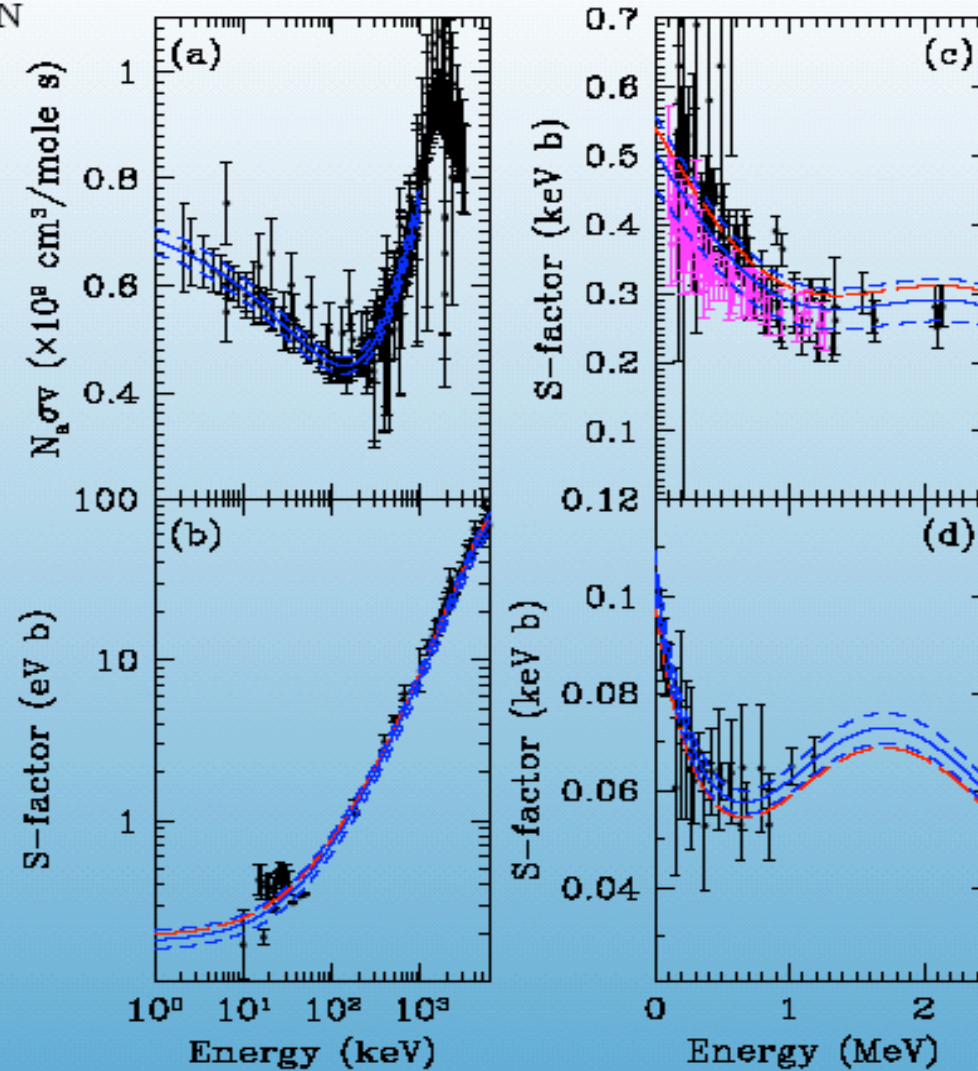


The neutron lifetime normalises the “weak” interaction rate:  $\tau_n = 880.0 \pm 0.9$  s  
 (... has recently dropped in value by  $6\sigma$  because of *one* new measurement!)

Table 1: Key Nuclear Reactions for BBN

Source	Reactions
NACRE	$d(p, \gamma)^3\text{He}$ (b)
	$d(d, n)^3\text{He}$
	$d(d, p)t$
	$t(d, n)^4\text{He}$
	$t(\alpha, \gamma)^7\text{Li}$ (d)
SKM	$^3\text{He}(\alpha, \gamma)^7\text{Be}$ (c)
	$^7\text{Li}(p, \alpha)^4\text{He}$
This work	$p(n, \gamma)d$
	$^3\text{He}(d, p)^4\text{He}$
	$^7\text{Be}(n, p)^7\text{Li}$
PDG	$^3\text{He}(n, p)t$ (a)
	$\tau_n$

NACRE  
 Cyburt, Fields, KAO  
 Nollett & Burles  
 Coc et al.



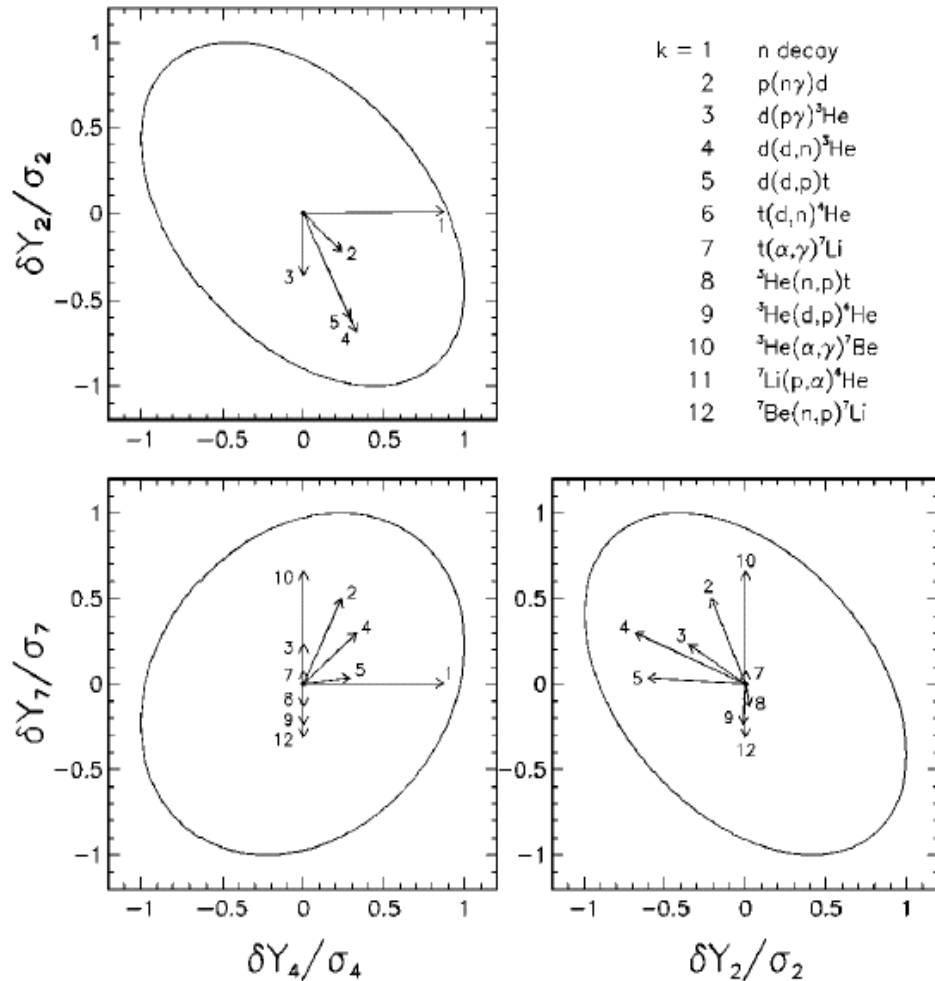
Uncertainties in synthesized abundances are *correlated* ... estimate using Monte Carlo methods  
 (Smith, Kawano, Malaney 1993; Krauss, Kernan 1994; Cyburt, Fields, Olive 2004)

Linear propagation of errors  $\rightarrow$  covariance matrix (agrees with Monte Carlo results)

$$Y_i = Y_i(\eta) \pm \sigma_i(\eta) \quad \rightarrow \quad \delta Y_i(\eta) = Y_i(\eta) \sum_k \lambda_{ik}(\eta) \frac{\delta R_k}{R_k}, \quad \lambda_{ik}(\eta) = \frac{\partial \ln Y_i(\eta)}{\partial \ln R_k(\eta)}$$

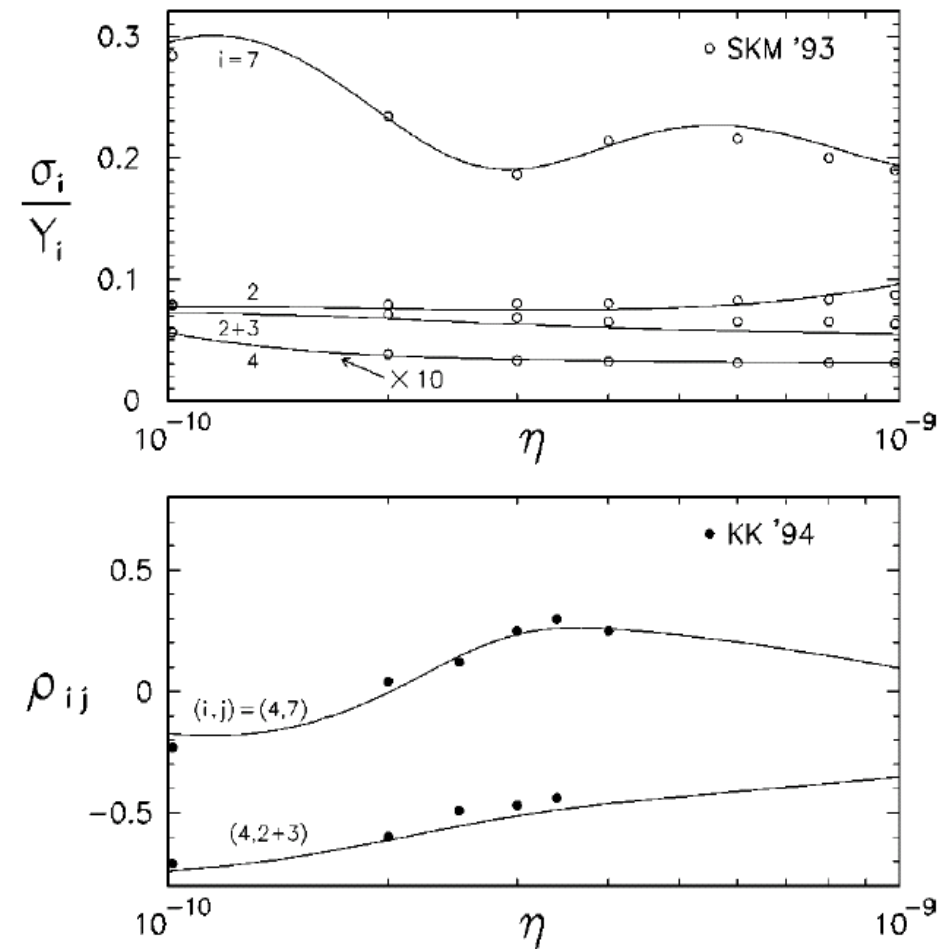
$$\sigma_{ij}^2(\eta) = Y_i(\eta) Y_j(\eta) \sum_k \lambda_{ik}(\eta) \lambda_{jk}(\eta) \left( \frac{\Delta R_k}{R_k} \right)^2 \quad \rightarrow \quad \sigma_i(\eta) = \sqrt{\sigma_{ii}^2(\eta)}, \quad \rho_{ij}(\eta) = \frac{\sigma_{ij}^2(\eta)}{\sigma_i(\eta) \sigma_j(\eta)}$$

Big Bang Nucleosynthesis – Error Components at  $\eta = 5.13 \times 10^{-10}$



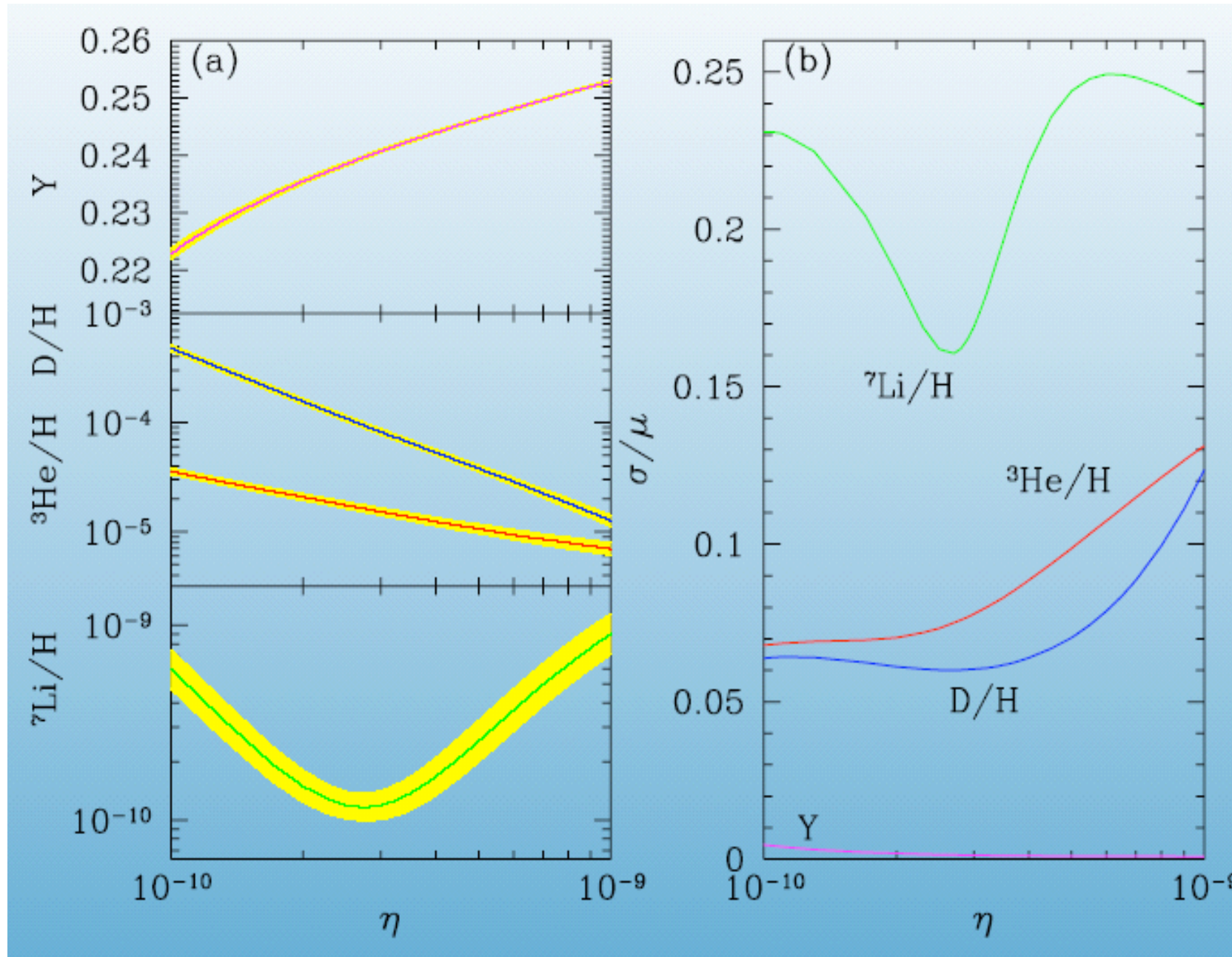
MonteCarlo vs Analytic estimate

(2, 3, 2+3, 4, 7 = D, <sup>3</sup>He, D+<sup>3</sup>He, <sup>4</sup>He, <sup>7</sup>Li)



# BBN Predictions

line widths  $\Rightarrow$  theoretical uncertainties (neutron lifetime, nuclear cross sections)



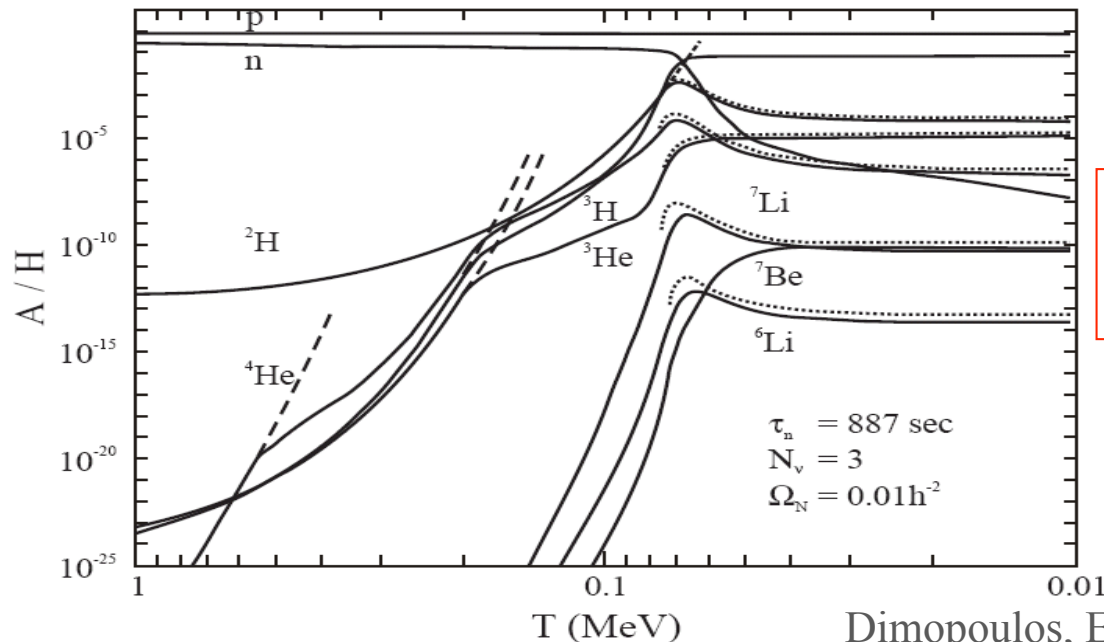
# Nucleosynthesis *without* a computer

$$\frac{dX}{dt} = \underbrace{J(t)}_{\text{source}} - \underbrace{\Gamma(t)X}_{\text{sink}} \quad \Rightarrow \quad X^{\text{eq}} = \frac{J(t)}{\Gamma(t)} \quad \dots \text{ but general solution is:}$$

$$X(t) = \exp\left(-\int_{t_1}^t dt' \Gamma(t')\right) \left[ X(t_1) + \int_{t_1}^t dt' J(t') \exp\left(-\int_{t_1}^{t'} dt'' \Gamma(t'')\right) \right]$$

If  $\left| \frac{\dot{J}}{J} - \frac{\dot{\Gamma}}{\Gamma} \right| \ll \Gamma$  ... then abundances approach equilibrium values

Freeze-out occurs when:  $\Gamma \simeq H \Rightarrow X(t \rightarrow \infty) \simeq X^{\text{eq}}(t_{\text{fr}}) = \frac{J(t_{\text{fr}})}{\Gamma(t_{\text{fr}})}$



.....  
analytic  
solution

Examine reaction network to identify the largest 'source' and 'sink' terms  
obtain D,  $^3\text{He}$  and  $^7\text{Li}$  to within a factor of 2 of exact numerical solution, and  $^4\text{He}$  to within a few %

... can use this formalism to determine *joint* dependence of abundances on expansion rate as well as baryon-to-photon ratio

$$\frac{dY_i}{dt} \propto \eta \sum_{+,-} Y \times Y \times \langle \sigma v \rangle_T \quad \text{and} \quad dT/dt \propto -T^3 \sqrt{g_\star} \quad \text{so:}$$

$$\frac{dY_i}{dT} \propto -\frac{\eta}{g_\star^{1/2}} T^{-3} \sum_{+,-} Y \times Y \times \langle \sigma v \rangle_T \Rightarrow \log \eta - \frac{1}{2} \log g_\star = \text{const}$$

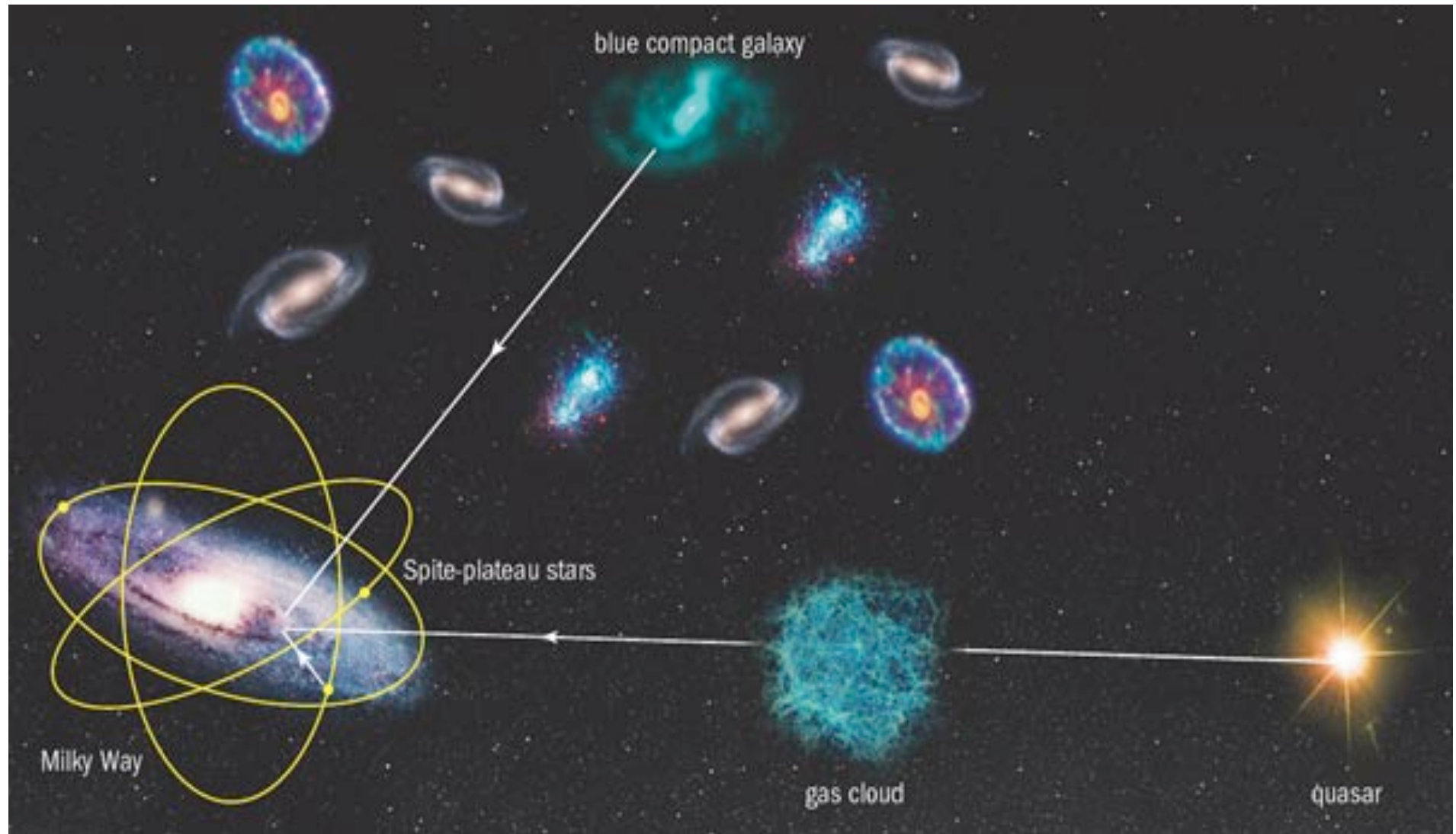
... can therefore employ simple  $\chi^2$  statistics to determine best-fit values and uncertainties (*faster* than Monte Carlo + Maximum Likelihood)

$$S_{ij}^2(\eta) = \sigma_{ij}^2(\eta) + \bar{\sigma}_{ij}^2 \quad \bar{\sigma}_{ij}^2 = \delta_{ij} \bar{\sigma}_i \bar{\sigma}_j \quad W_{ij}(\eta) = [S_{ij}^2(\eta)]^{-1}$$

$$\chi^2(\eta) = \sum_{ij} [Y_i(\eta) - \bar{Y}_i] W_{ij}(\eta) [Y_j(\eta) - \bar{Y}_j]$$



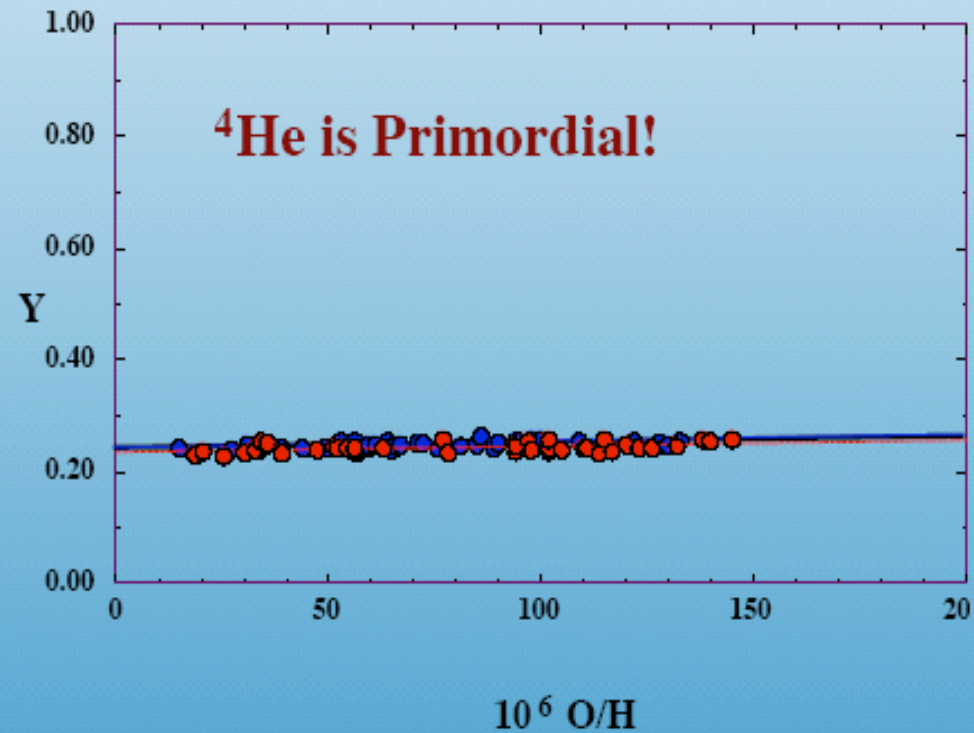
# Inferring primordial abundances



# ${}^4\text{He}$

Measured in low metallicity extragalactic HII regions ( $\sim 100$ ) together with O/H and N/H

$$Y_{\text{P}} = Y(\text{O/H} \rightarrow 0)$$

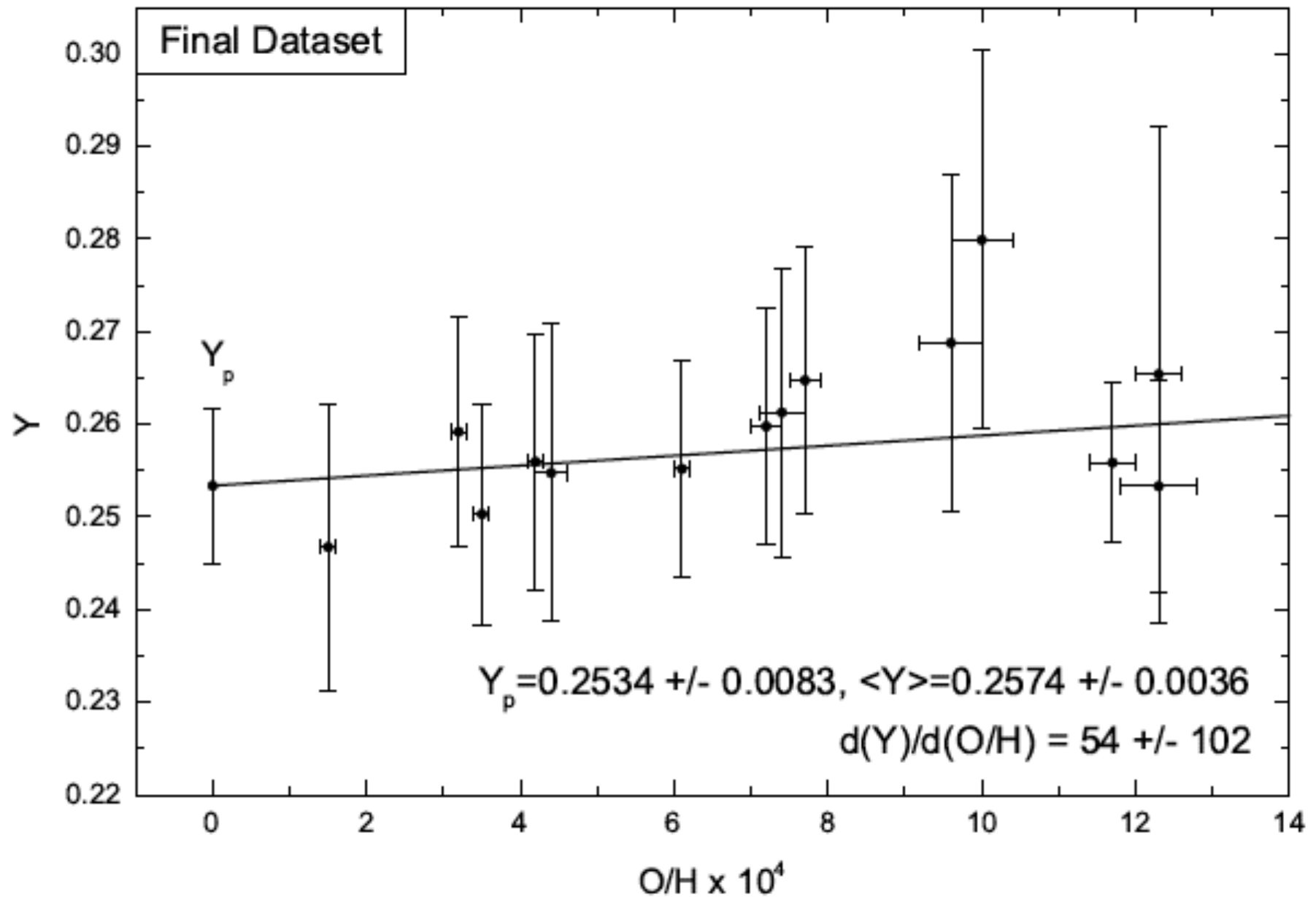


For a quantity of such fundamental cosmological importance, relatively *little* effort has been spent on measuring the primordial helium abundance

- $0.228 \pm 0.005$  Pagel et al  
S II densities
- $0.244 \pm 0.002$  Izotov et al  
“self consistent”
- $0.238 \pm 0.002$  Fields & KAO  
S II densities
- $0.234 \pm 0.003$  Peimbert et al  
“self consistent”  
(the latter is based on a single careful measurement of  
 $Y = 0.240 \pm 0.002$  for the SMC at  $[O/H] = -.8$ )
- $0.2384 \pm 0.0025$  Peimbert et al  
“self consistent”
- $0.2421 \pm 0.0021$  Izotov et al  
“self consistent”
- $0.2491 \pm 0.0091$  KAO & Skillman  
“self consistent”

There is clearly some underlying systematics which must be sorted out!

Recent reevaluations are consistent with  
 $Y_p = 0.2465 \pm 0.0097$  (PDG recommendation)



Look in **Quasar Absorption Systems** - low density clouds of gas seen in absorption along the lines of sight to distant quasars (when universe was only  $\sim 10\%$  of its present age)

The difference between H and D nuclei causes a *small* change in the energies of electron transitions, shifting their absorption lines apart and enabling D/H to be measured

$$E_{\text{Ly-}\alpha} \sim \alpha^2 \mu_{\text{reduced}}$$

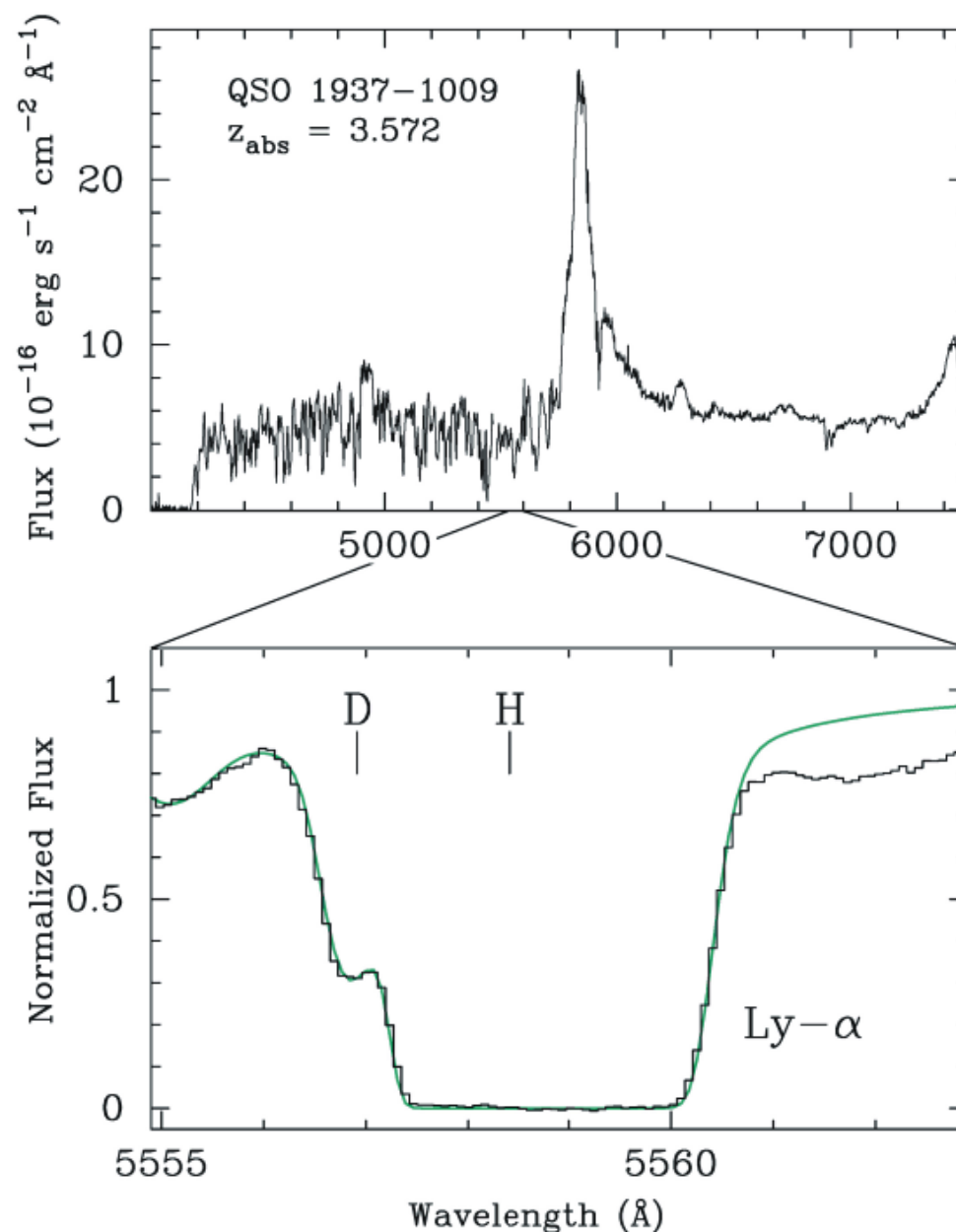
$$\frac{\delta\lambda_{\text{D}}}{\lambda_{\text{H}}} = -\frac{\delta\mu_{\text{D}}}{\mu_{\text{H}}} = -\frac{m_e}{2m_p}$$

$$c\delta z = 82 \text{ km/s}$$

*But:*

- Hard to find clean systems
- Do not resolve clouds
- Dispersion/systematics?

## Primordial deuterium?

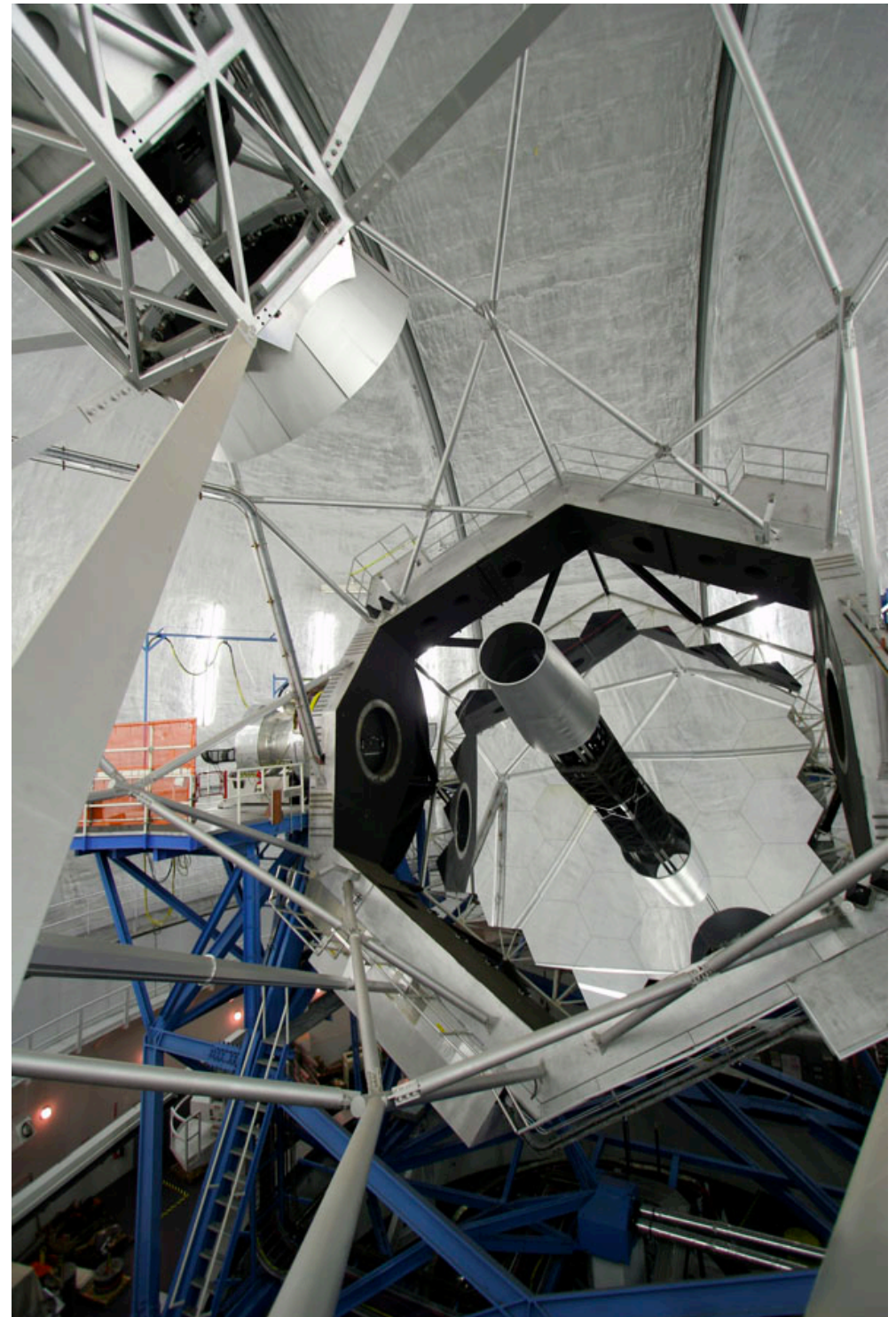


Kirkman, Tytler, Suzuki, O'Meara, Lubin, ApJS 149:1, 2003



# W. M. Keck Observatory

Spectra with the necessary resolution for such distant objects *can* be obtained with 10m class telescopes ... this has revolutionised the determination of the primordial D abundance





The observed scatter is *not* consistent with fluctuations about an average value!

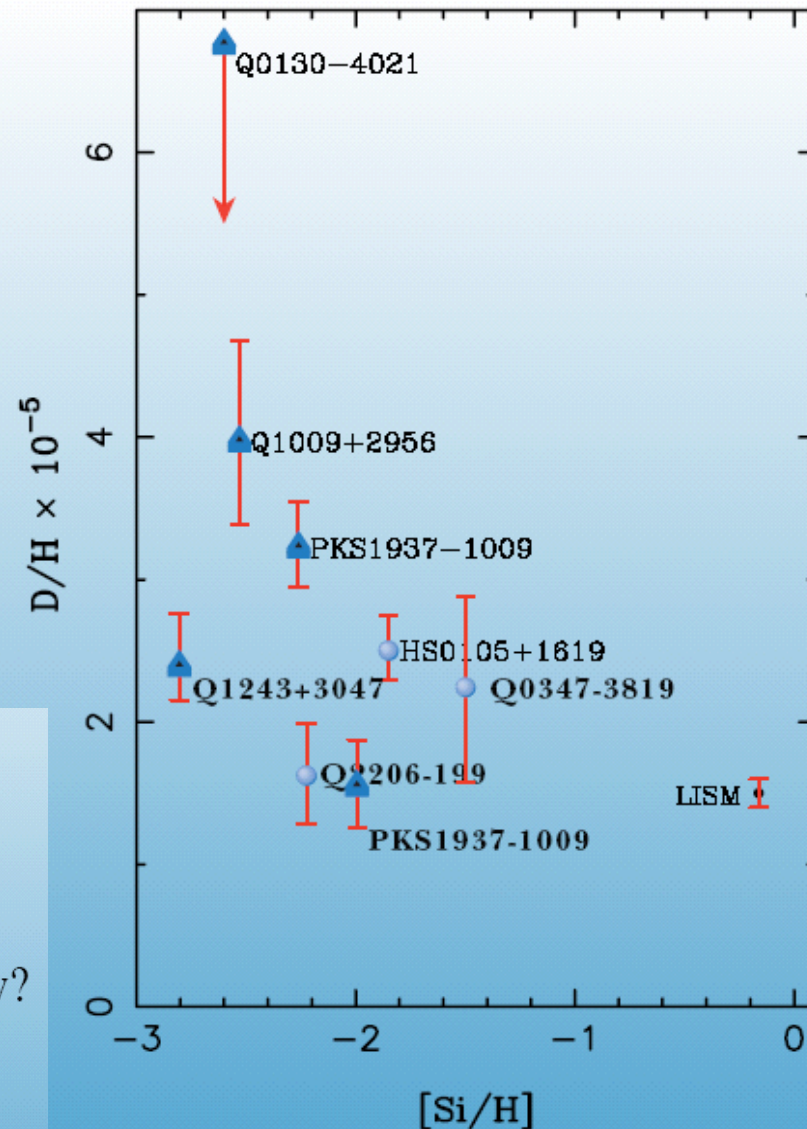
## D/H abundances in Quasar absorption systems

Is the dispersion real?

Is there a correlation with  $\alpha/H$ ?

Is there a correlation with density?

Evidence for evolution?

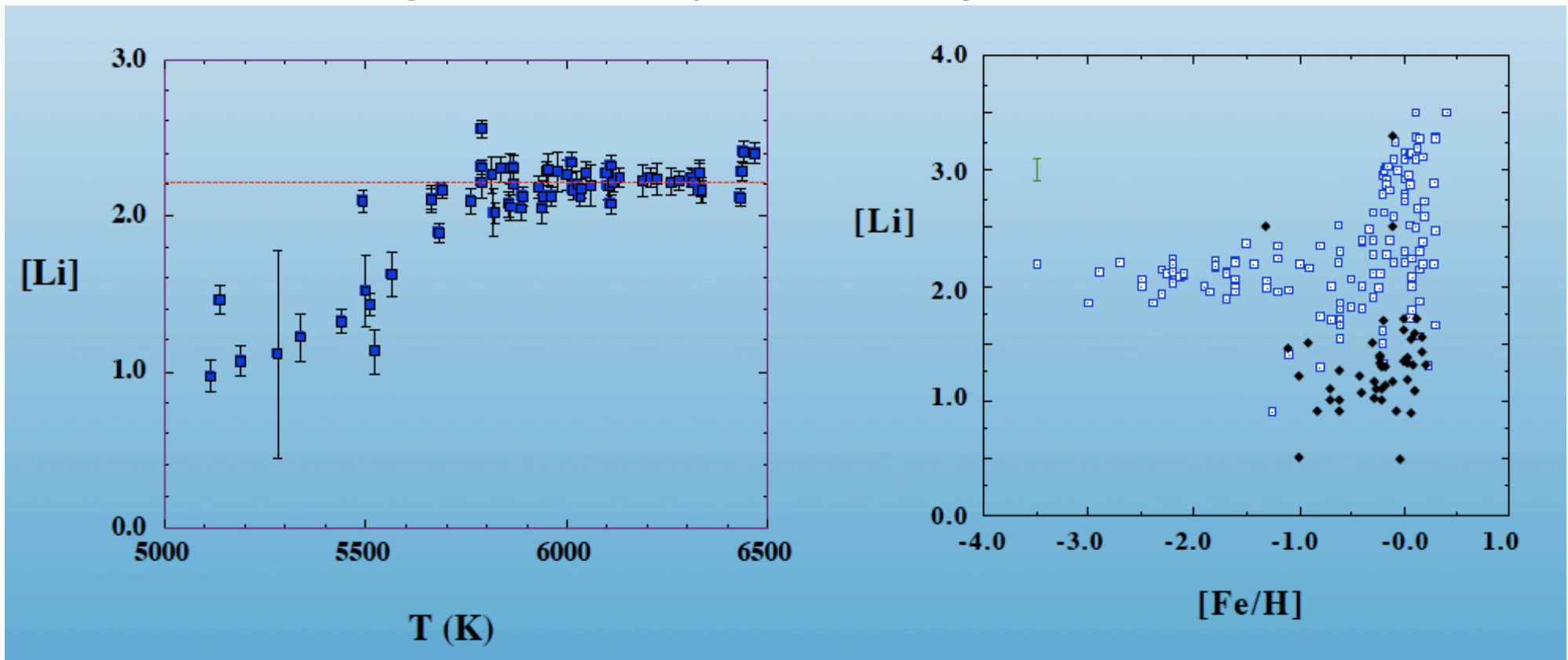


Progress made by looking at ‘damped Ly- $\alpha$ ’ systems in which the H column density can be precisely measured and *many* resolved D I absorption lines are seen – leading to a precise determination of  $\log(D/H) = -4.597 \pm 0.006$  (Cooke & Pettini, MNRAS **425**:1244,2012)

# Primordial Lithium

Observe in primitive (Pop II) stars: (most abundant isotope is  ${}^7\text{Li}$ )

- Li-Fe correlation  $\Rightarrow$  mild evolution
- Transition from low mass/surface temp stars (core well mixed by convection) to higher mass/temp stars (mixing of core is not efficient)



‘Plateau’ at low Fe (high T)  $\Rightarrow$  constant abundance at early epochs  
... so *infer* observed ‘ ${}^7\text{Li}$  plateau’ is primordial (Spite & Spite 1982)

## Inferred primordial abundances

$^4\text{He}$  observed in extragalactic HII regions:

$$Y_p = 0.2465 \pm 0.0097$$

$^2\text{H}$  observed in quasar absorption systems (and ISM):

$$\text{D}/\text{H}|_p = (2.53 \pm 0.04) \times 10^{-5}$$

$^7\text{Li}$  observed in atmospheres of dwarf halo stars:

$$\text{Li}/\text{H}|_p = (1.6 \pm 0.3) \times 10^{-10}$$

( $^3\text{He}$  can be both created & destroyed in stars ... so primordial abundance *cannot* be reliably estimated)

Systematic errors have been re-evaluated based on scatter in data  
(see Particle Data Group, Chinese.Phys.C38:09001,2014)

# BBN versus CMB

$\eta_{\text{BBN}}$  is in agreement with  $\eta_{\text{CMB}}$  allowing for large uncertainties in the *inferred* elemental abundances

$$5.7 < \eta_{10} < 6.7 \text{ (95\% CL)}$$

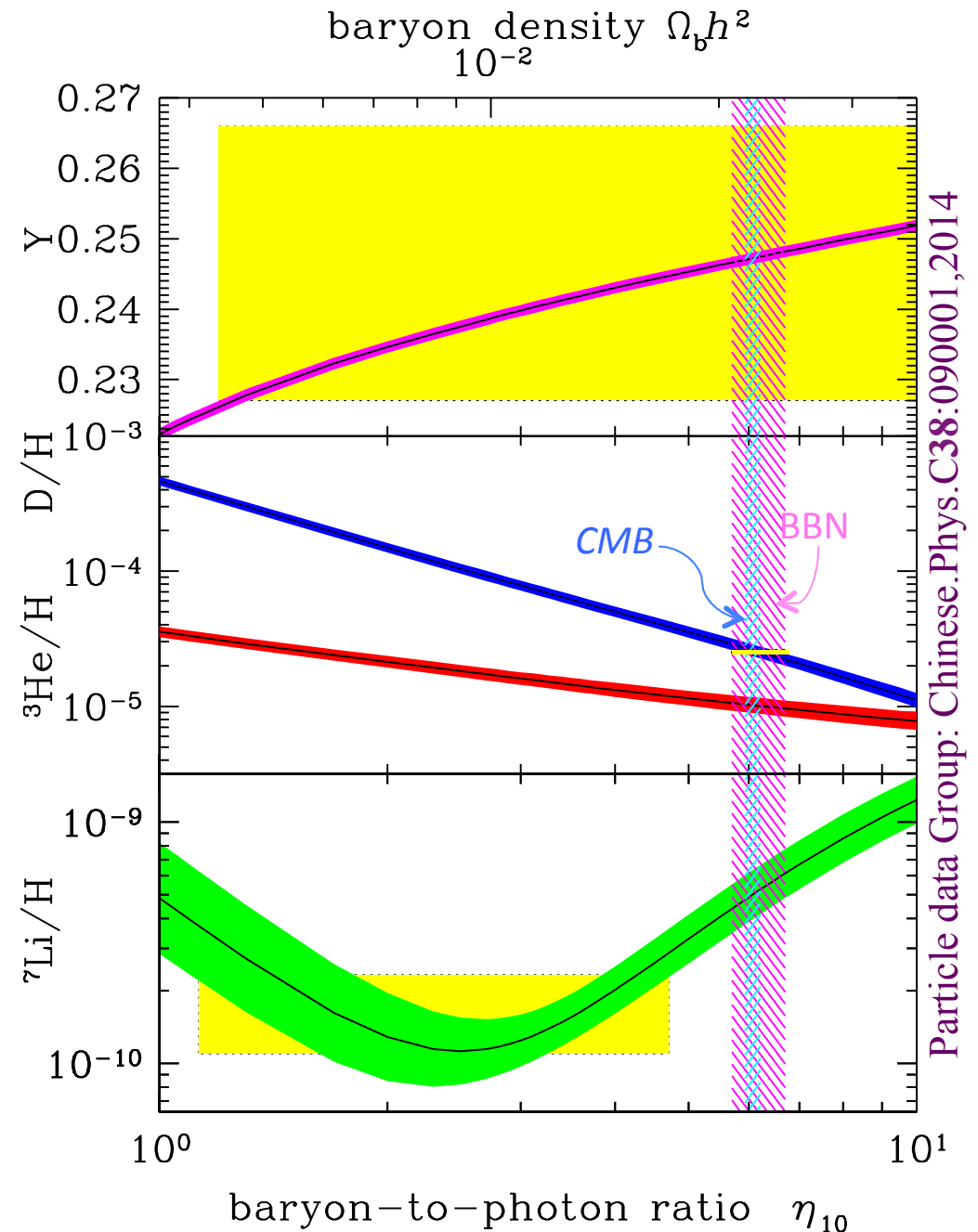
Confirms and sharpens the case for **(two kinds of) dark matter**

Baryonic Dark Matter:  
warm-hot IGM, Ly- $\alpha$ , X-ray gas ...  
+

Non-baryonic dark matter: ?

Constrains the Hubble expansion rate at  $t \sim 1 \text{ s} \Rightarrow$  bounds on new particles

There is a “lithium problem” *possibly* indicative of non-standard physics



# The Cosmic Microwave Background

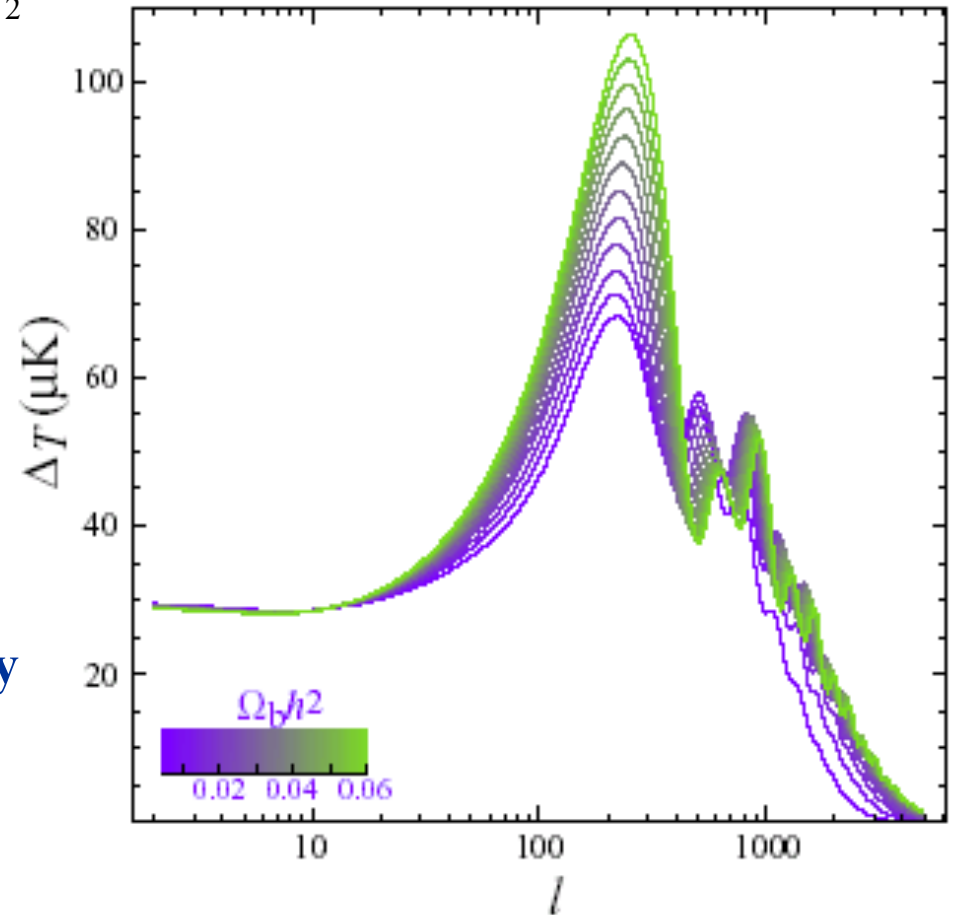
$\Delta T_\ell$  provide *independent* measure of  $\Omega_B h^2$

Acoustic oscillations in (coupled) photon-baryon fluids imprint features at small angles ( $< 1^\circ$ ) in angular power spectrum

Detailed peak positions, heights, ... sensitive to cosmological parameters  
e.g. **2nd/1st peak ratio**  $\Rightarrow$  **baryon density**

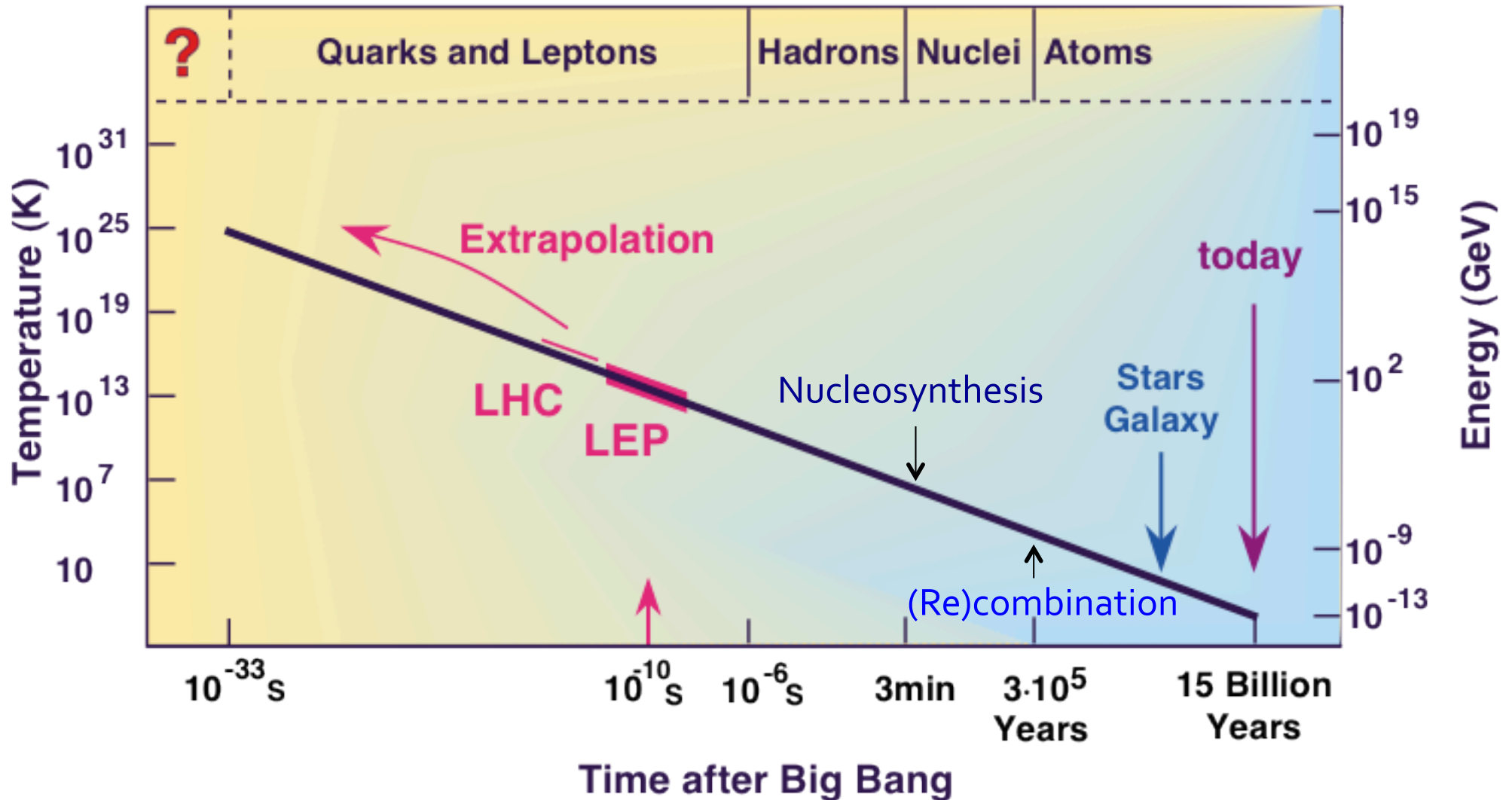
e.g. WMAP-5 best-fit:

$$\Omega_B h^2 = 0.02273 \pm 0.00062$$



Bond & Efstathiou, ApJ **285**:L45,1984  
Dodelson & Hu, ARAA **40**:171,2002

The blackbody temperature can be used as a clock (assuming adiabatic expansion:  $aT = \text{constant}$ ), so our thermal history can be reconstructed



The furthest we 'see' directly is back to  $t \sim 1$  s when light elements were synthesised (but the baryon asymmetry, dark matter and fluctuations were generated *much* earlier)