

# Lecture 2: Ward Identities



Single-field inflation constrained by infinite number of symmetries, corresponding to an infinite number of consistency relations:

$$\lim_{\vec{q} \rightarrow 0} \frac{\partial^n}{\partial q^n} \left( \frac{\langle \zeta_{\vec{q}} \mathcal{O}_{\vec{k}_1, \dots, \vec{k}_N} \rangle}{P_\zeta(q)} + \frac{\langle \gamma_{\vec{q}} \mathcal{O}_{\vec{k}_1, \dots, \vec{k}_N} \rangle}{P_\gamma(q)} \right) \sim \frac{\partial^n}{\partial k^n} \langle \mathcal{O}_{\vec{k}_1, \dots, \vec{k}_N} \rangle$$

- $q^0$  and  $q$  behavior completely fixed (KNOWN)
- $q^n$ ,  $n \geq 2$ , behavior partially fixed (NEW)
- These are physical statements (i.e., can be violated)
- Hold on any spatially-flat FRW background (no slow-roll)



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$\implies$  Complete checklist for testing single-field mechanisms



$$\begin{aligned}
& \lim_{\vec{q} \rightarrow 0} M_{il_0 \dots l_n}(\hat{q}) \frac{\partial^n}{\partial q_{l_1} \dots \partial q_{l_n}} \left( \frac{1}{P_\gamma(q)} \langle \gamma^{il_0}(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c + \frac{\delta^{il_0}}{3P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) \\
&= -M_{il_0 \dots l_n}(\hat{q}) \left\{ \sum_{a=1}^N \left( \delta^{il_0} \frac{\partial^n}{\partial k_{l_1}^a \dots \partial k_{l_n}^a} - \frac{\delta_{n0}}{N} \delta^{il_0} + \frac{k_a^i}{n+1} \frac{\partial^{n+1}}{\partial k_{l_0}^a \dots \partial k_{l_n}^a} \right) \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\
&\quad - \sum_{a=1}^M \Upsilon^{il_0 i_a j_a}(\hat{k}_a) \frac{\partial^n}{\partial k_{l_1}^a \dots \partial k_{l_n}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a}(\vec{k}_a) \mathcal{O}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \\
&\quad \left. - \sum_{b=M+1}^N \Gamma^{il_0}_{i_b j_b}{}^{k_b l_b}(\hat{k}_b) \frac{\partial^n}{\partial k_{l_1}^b \dots \partial k_{l_n}^b} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b l_b, \dots, i_N j_N}(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \right\} + \dots
\end{aligned}$$

where

$$\begin{aligned}
\Upsilon_{abcd}(\hat{k}) &\equiv \frac{1}{4} \delta_{ab} \hat{k}_c \hat{k}_d - \frac{1}{8} \delta_{ac} \hat{k}_b \hat{k}_d - \frac{1}{8} \delta_{ad} \hat{k}_b \hat{k}_c; \\
\Gamma_{abijkl}(\hat{k}) &\equiv -\frac{1}{2} \left( \delta_{ij} + \hat{k}_i \hat{k}_j \right) \left( \delta_{ab} \hat{k}_k \hat{k}_l - \frac{1}{2} \delta_{ak} \hat{k}_l \hat{k}_b - \frac{1}{2} \delta_{al} \hat{k}_k \hat{k}_b \right) + \delta_{b(i} \delta_{j)(k} \delta_{l)a} - \delta_{a(i} \delta_{j)(k} \delta_{l)b} \\
&\quad - \delta_{b(i} \hat{k}_j) \delta_{a(k} \hat{k}_l) + \delta_{a(i} \hat{k}_j) \delta_{b(k} \hat{k}_l) - \delta_{a(k} \delta_{l)(i} \hat{k}_j) \hat{k}_b - \delta_{b(k} \delta_{l)(i} \hat{k}_j) \hat{k}_a + 2\delta_{ab} \hat{k}_{(i} \delta_{j)(k} \hat{k}_l)
\end{aligned}$$



# Known Consistency Relations:

•  $n = 0$  relations:

Maldacena (2002); Creminelli & Zaldarriaga (2004);  
Cheung, Fitzpatrick, Kaplan & Senatore (2007);  
Assassi, Baumann & Green (2012);  
Goldberger, Hui & Nicolis (2013)

Dilation consistency relation  $M_{il_0}^{\text{dilation}} = \lambda \delta_{il_0}$

$$\lim_{\vec{q} \rightarrow 0} \frac{1}{P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c = - \left( 3(N-1) + \sum_{a=1}^N \vec{k}_a \cdot \frac{\partial}{\partial \vec{k}_a} \right) \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c$$

Anisotropic scaling consistency relation  $M_{il_0}^{\text{anisotropic}} = \epsilon_{il_0}^s$

$$\lim_{\vec{q} \rightarrow 0} \frac{1}{P_\gamma(q)} \langle \gamma^s(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c = - \frac{1}{2} \epsilon_{il_0}^s(\hat{q}) \sum_{a=1}^N \left\{ k_a^i \frac{\partial}{\partial k_a^{l_0}} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\ \left. - \frac{1}{2} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_N) \gamma_{il_0}(\vec{k}_a) \rangle'_c \right\} + \dots$$



•  $n = 1$  (linear-gradient) relations:

Creminelli, Norena & Simonovic, 1203.4595;

Goldberger, Hui & Nicolis, 1303.1193;

Creminelli, D'Amico, Musso & Norena, 1104.1462

SCT consistency relation

$$M_{il_0 l_1}^{\text{SCT}} = b_{l_1} \delta_{il_0} + b_{l_0} \delta_{il_1} - b_i \delta_{l_0 l_1}$$

$$\lim_{\vec{q} \rightarrow 0} \frac{\partial}{\partial q^i} \left( \frac{1}{P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) = -\frac{1}{2} \sum_{a=1}^N \left( 6 \frac{\partial}{\partial k_a^i} - k_a^i \frac{\partial^2}{\partial k_a^j \partial k_a^j} + 2k_a^j \frac{\partial^2}{\partial k_a^j \partial k_a^i} \right) \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c + \dots$$

Linear-gradient tensor relation

$$M_{il_0 l_1}^{\text{tensor}} = q_{l_1} \epsilon_{il_0}^s + q_{l_0} \epsilon_{il_1}^s - q_i \epsilon_{l_0 l_1}^s$$

$$\begin{aligned} \lim_{\vec{q} \rightarrow 0} q^{\ell_1} \frac{\partial}{\partial q^{\ell_1}} \left( \frac{1}{P_\gamma(q)} \langle \gamma^s(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) = & -\frac{1}{2} q^{\ell_1} \epsilon_{il_0}^s(\vec{q}) \sum_{a=1}^N \left\{ \left( k_a^i \frac{\partial}{\partial k_a^{\ell_1}} - \frac{k_a^{\ell_1}}{2} \frac{\partial}{\partial k_a^i} \right) \frac{\partial}{\partial k_{l_0}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle \right. \\ & - \left( 2\Upsilon^{il_0 i_a j_a}(\hat{k}_a) \frac{\partial}{\partial k_{l_1}^a} - \Upsilon^{\ell_1 i i_a j_a}(\hat{k}_a) \frac{\partial}{\partial k_{l_0}^a} \right) \\ & \left. \times \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_N) \gamma_{il_0}(\vec{k}_a) \rangle'_c \right\} + \dots \end{aligned}$$



# Example of New Consistency Relation

•  $n = 2$  tensor relation:

$$\lim_{\vec{q} \rightarrow 0} M_{il_0 l_1 l_2}^T(\hat{q}) \frac{\partial^2}{\partial q_{l_1} \partial q_{l_2}} \left( \frac{1}{P_\gamma(q)} \langle \gamma^{il_0}(\vec{q}) \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' \right) = -M_{il_0 l_1 l_2}^T(\hat{q}) \sum_{a=1}^2 \frac{k_a^i}{3} \frac{\partial^3 \langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle'}{\partial k_{l_0}^a \partial k_{l_1}^a \partial k_{l_2}^a}$$



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Check using Maldacena's 3-pt function:

$$\frac{1}{P_\gamma(q)} \langle \gamma^{il_0}(\vec{q}) \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' = P_{il_0 j m_0}^T(\hat{q}) \frac{H^2}{4\epsilon k_1^3 k_2^3} k_1^j k_2^{m_0} \left( -K + \frac{(k_1 + k_2)q + k_1 k_2}{K} + \frac{q k_1 k_2}{K^2} \right).$$

where  $K = q + k_1 + k_2$

2-pt function:  $\langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' = \frac{H^2}{4\epsilon k_1^3}$



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2-pt function:  $\langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' = \frac{H^2}{4\epsilon k_1^3}$

LHS:  $\lim_{\vec{q} \rightarrow 0} M_{il_0 l_1 l_2}^T(\hat{q}) \frac{\partial^2}{\partial q_{l_1} \partial q_{l_2}} \left( \frac{1}{P_\gamma(q)} \langle \gamma^{il_0}(\vec{q}) \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle'_c \right) = \frac{H^2}{4\epsilon k_1^3} \frac{35}{k_1^2} M_{il_0 l_1 l_2}^T(\hat{q}) \hat{k}_1^i \hat{k}_1^{l_0} \hat{k}_1^{l_1} \hat{k}_1^{l_2}$

RHS:  $-M_{il_0 l_1 l_2}^T(\hat{q}) \sum_{a=1}^2 \frac{k_a^i}{3} \frac{\partial^3 \langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle'_c}{\partial k_a^{l_0} \partial k_a^{l_1} \partial k_a^{l_2}} = \frac{H^2}{4\epsilon k_1^3} \frac{35}{k_1^2} M_{il_0 l_1 l_2}^T(\hat{q}) \hat{k}_1^i \hat{k}_1^{l_0} \hat{k}_1^{l_1} \hat{k}_1^{l_2}$



Explicit 3pt  $\rightarrow$  2pt checks

Berezhiani, Khoury & Wang, 1401.7991

E.g.,

$$\lim_{\vec{q} \rightarrow 0} \frac{\partial^n}{\partial q^n} \left( \frac{\langle \zeta_{\vec{q}} \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle}{P_\zeta(q)} + \frac{\langle \gamma_{\vec{q}} \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle}{P_\gamma(q)} \right) \sim \frac{\partial^n}{\partial k^n} P_\zeta(k)$$



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Schematically, 
$$\frac{\langle \zeta \zeta \zeta \rangle}{P_\zeta} \sim \mathcal{O}(q^2) + \dots$$

$$\frac{\langle \gamma \zeta \zeta \rangle}{P_\gamma} \sim \frac{1}{\epsilon C_s} \left( 1 + Aq + \dots \right).$$



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$1/c_s^3$  cancels, and the identity checks out!



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Did all 3  $\rightarrow$  2 checks with  $\zeta\zeta$ ,  $\zeta\gamma$ ,  $\gamma\gamma$  insertions up to (and including)  $q^3$  order (new correlators!)



# Multiple Soft Limits

Another probe of higher- $q$  dependence.

Senatore & Zaldarriaga, 1203.6884

Chen, Huang & Shiu, hep-th/0610235

Joyce, JK & Simonovic, 1409.6318

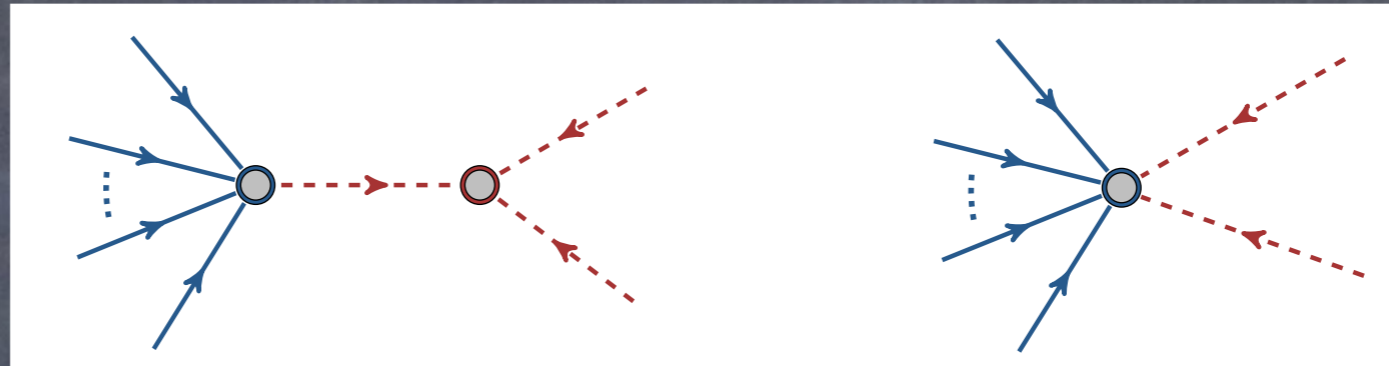


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Double-soft result:



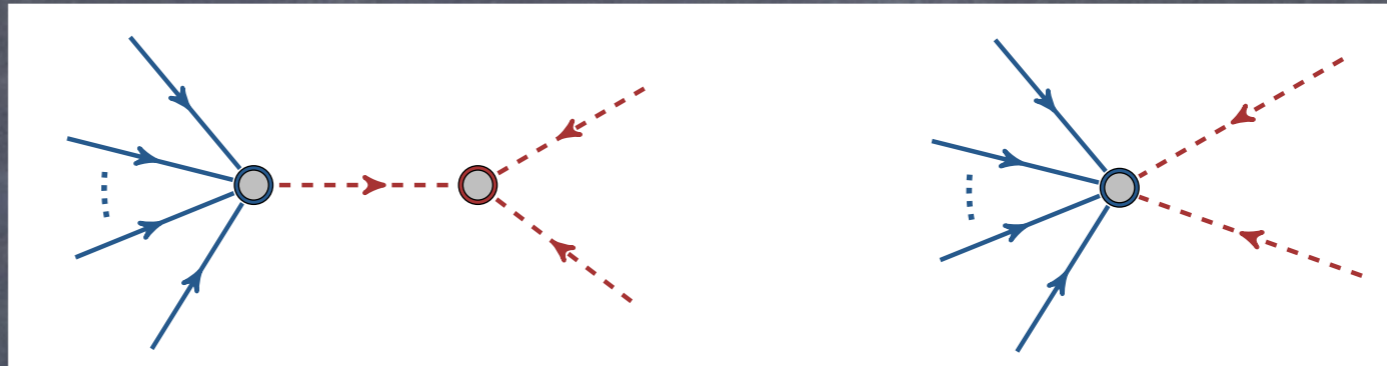


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Double-soft result:



$$\begin{aligned}
 \lim_{\vec{q}_1, \vec{q}_2 \rightarrow 0} \frac{\langle \zeta_{\vec{q}_1} \zeta_{\vec{q}_2} \zeta_{\vec{k}_1} \cdots \zeta_{\vec{k}_N} \rangle'}{P_\zeta(q_1) P_\zeta(q_2)} &= \frac{\langle \zeta_{\vec{q}_1} \zeta_{\vec{q}_2} \zeta_{-\vec{q}} \rangle'}{P_\zeta(q_1) P_\zeta(q_2)} \left( \delta_{\mathcal{D}} + \frac{1}{2} \vec{q}_1 \cdot \delta_{\mathcal{K}} \right) \langle \zeta_{\vec{k}_1} \cdots \zeta_{\vec{k}_N} \rangle' \\
 &+ \left( \delta_{\mathcal{D}}^2 + \frac{1}{2} \vec{q}_1 \cdot \delta_{\mathcal{K}} \delta_{\mathcal{D}} + \frac{1}{4} q_1^i q_2^j \delta_{\mathcal{K}^i} \delta_{\mathcal{K}^j} \right) \langle \zeta_{\vec{k}_1} \cdots \zeta_{\vec{k}_N} \rangle' \\
 &+ \lim_{\vec{q} \rightarrow 0} \left[ \frac{1}{2} (\vec{q}^2 \nabla_q^2 - 2q_i q_j \nabla_q^i \nabla_q^j) \langle \zeta_{\vec{q}} \zeta_{\vec{k}_1} \cdots \zeta_{\vec{k}_N} \rangle' + \frac{\langle \zeta_{\vec{q}_1} \zeta_{\vec{q}_2} \zeta_{-\vec{q}} \rangle'}{P_\zeta(q_1) P_\zeta(q_2)} q_i q_j \nabla_q^i \nabla_q^j \frac{\langle \zeta_{\vec{q}} \zeta_{\vec{k}_1} \cdots \zeta_{\vec{k}_N} \rangle'}{P_\zeta(q)} \right]
 \end{aligned}$$

$\delta_{\mathcal{D}} \equiv$  dilation       $\delta_{\mathcal{K}} \equiv$  SCT

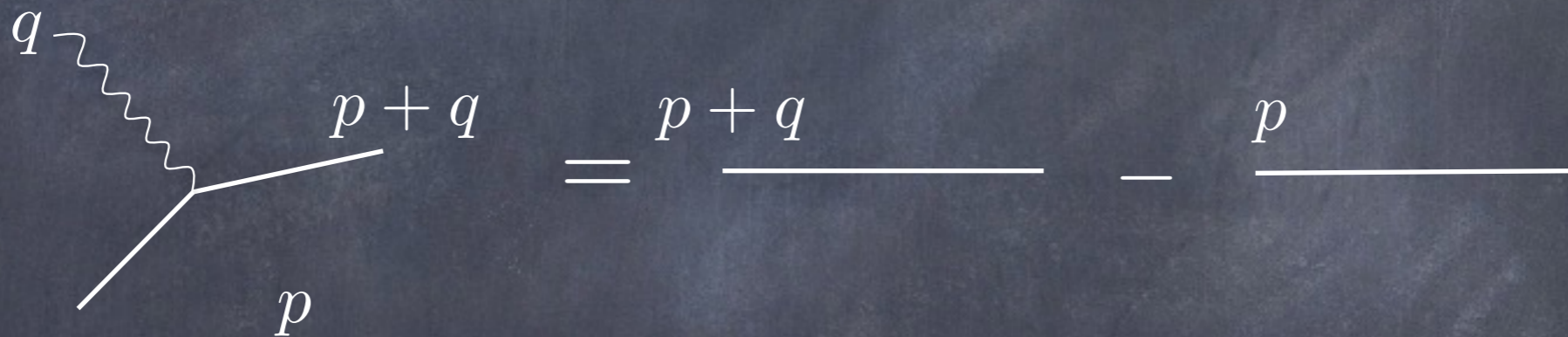


# Master consistency relation

Bereziani and Khoury, 1309.4461  
(See also: Pimentel, 1309.1793)

Gauge invariance in EM implies Ward-Takahashi identity:

$$q^\mu \Gamma_\mu^{A\psi\psi}(q, p, p+q) = e (\Gamma^\psi(p+q) - \Gamma^\psi(p)) .$$



Similarly, spatial diffeomorphisms should give rise to a Slavnov-Taylor identity.



Following similar steps,

$$2\partial_j \left( \frac{1}{6} \delta_{ij} \frac{\delta\Gamma}{\delta\zeta} + \frac{\delta\Gamma}{\delta\gamma_{ij}} \right) = \partial_i \zeta \frac{\delta\Gamma}{\delta\zeta} + \text{G.F.}$$



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Can vary this a number of times wrt the fields,  
e.g. vary twice wrt  $\zeta$ ,

$$q^j \left( \frac{1}{3} \delta_{ij} \Gamma^{\zeta\zeta\zeta} + 2\Gamma_{ij}^{\gamma\zeta\zeta} \right) = q_i \Gamma_\zeta(p) - p_i \left( \Gamma_\zeta(|\vec{q} + \vec{p}|) - \Gamma_\zeta(p) \right) \quad (\text{Exact in } q)$$

Analogue of W-T identity in E&M



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Analogue of W-T identity in E&M

General schematic solution:

$$\frac{1}{3} \delta_{ij} \Gamma^{\zeta\zeta\zeta} + 2\Gamma_{ij}^{\gamma\zeta\zeta} = \sum_{n=0}^{\infty} q^n \frac{\partial^n}{\partial p^n} P_\zeta(p) + A_{ij}(\vec{p}, \vec{q})$$

physical piece  $q^j A_{ij}(\vec{p}, \vec{q}) = 0$



Whether or not consistency relation holds hinges on model-dependent piece  $A_{ij}$ . Most general form:

$$A_{ij}(\vec{p}, \vec{q}) = \epsilon_{ikm} \epsilon_{jln} q^k q^l \left( a(\vec{p}, \vec{q}) \delta^{mn} + b(\vec{p}, \vec{q}) p^m p^n \right)$$



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arbitrary scalar functions



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arbitrary scalar functions

Key assumption: Suppose  $a$  and  $b$  are analytic in  $q$ , such that

$$A_{ij} = \mathcal{O}(q^2) \quad (\text{Locality condition})$$



Whether or not consistency relation holds hinges on model-dependent piece  $A_{ij}$ . Most general form:

$$A_{ij}(\vec{p}, \vec{q}) = \epsilon_{ikm} \epsilon_{jln} q^k q^l \left( a(\vec{p}, \vec{q}) \delta^{mn} + b(\vec{p}, \vec{q}) p^m p^n \right)$$

arbitrary scalar functions

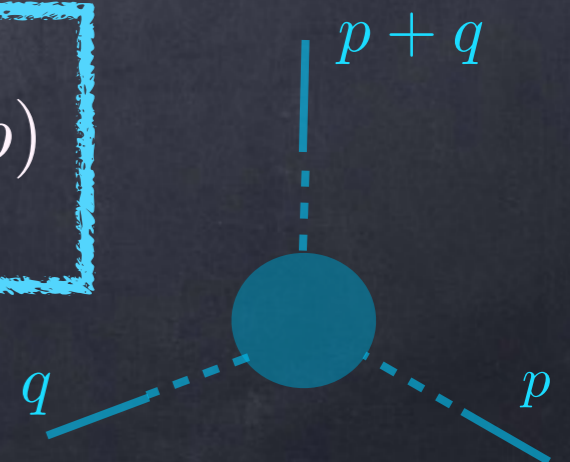
Key assumption: Suppose  $a$  and  $b$  are analytic in  $q$ , such that

$$A_{ij} = \mathcal{O}(q^2) \quad (\text{Locality condition})$$

Then Maldacena's relation holds. Moreover, at each order in  $q$  can project out  $A_{ij}$ :

$$\lim_{\vec{q} \rightarrow 0} \frac{\partial^n}{\partial q^n} \left( \frac{\langle \zeta_{\vec{q}} \zeta_{\vec{p}} \zeta_{-\vec{q}-\vec{p}} \rangle}{P_\zeta(q)} + \frac{\langle \gamma_{\vec{q}} \zeta_{\vec{p}} \zeta_{-\vec{q}-\vec{p}} \rangle}{P_\gamma(q)} \right) \sim - \frac{\partial^n}{\partial p^n} P_\zeta(p)$$

General consistency relations





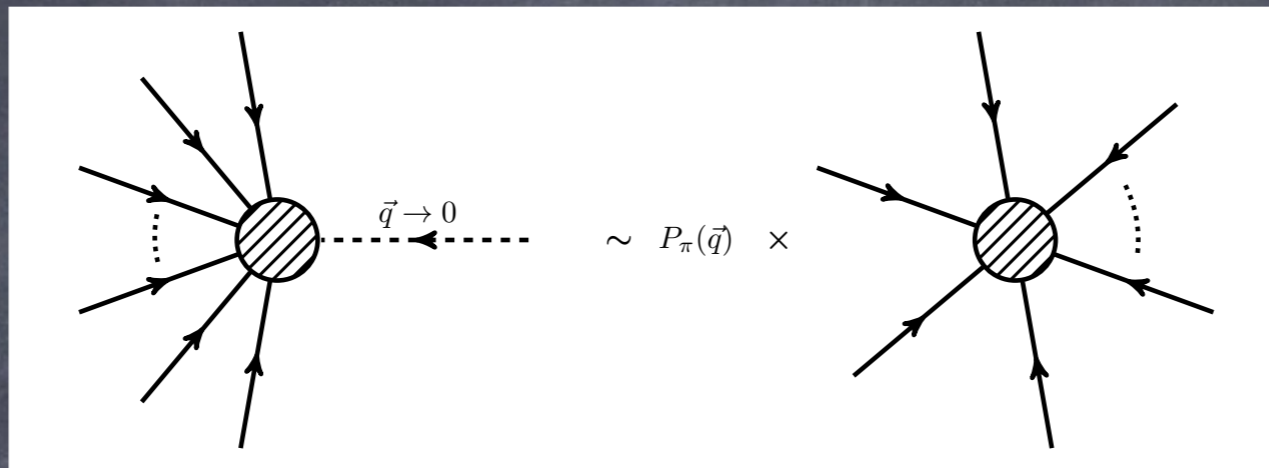
# Lecture 3: Conformal mechanism



# Model-independent predictions

Creminelli, Joyce, Khoury & Simonovic, 1212.3329

- Have additional **consistency relations** (Ward identities) from the **5 broken symmetries**  $so(4, 2) \rightarrow so(4, 1)$



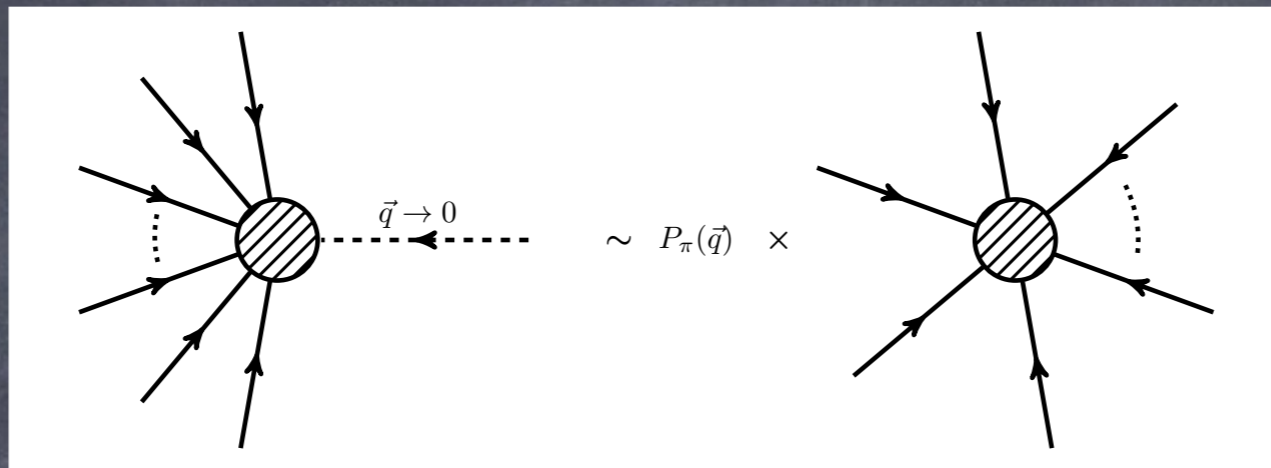
$$\lim_{\vec{q} \rightarrow 0} \frac{1}{P_\pi(q)} \langle \pi(\vec{q}) \mathcal{O}(\vec{k}_a) \rangle = - \left( 1 + \frac{1}{N} \sum_a \vec{q} \cdot \frac{\partial}{\partial \vec{k}_a} + \frac{q^2}{6N} \sum_a \frac{\partial^2}{\partial k_a^2} \right) t \frac{\partial}{\partial t} \langle \mathcal{O}(\vec{k}_a) \rangle$$



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- Goldstone spectrum is **very red**:

$$q^3 P_\pi(q) = \frac{A_\pi^2}{q^2 t^2}$$

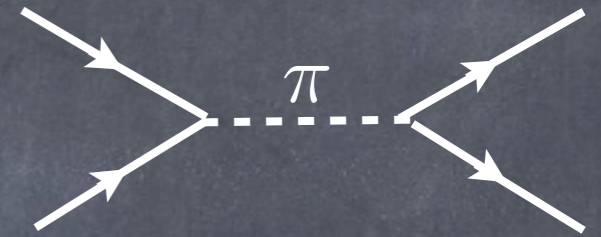


# Observational Signatures

Creminelli, Joyce, Khoury & Simonovic, 1212.3329

- Soft internal lines: Libanov, Mironov & Rubakov (2011)

$$\begin{aligned} \langle \chi_{\vec{k}_1} \chi_{\vec{k}_2} \chi_{\vec{k}_3} \chi_{\vec{k}_4} \rangle_{q \rightarrow 0} &= \frac{1}{P_\pi(q)} \langle \pi_{-\vec{q}} \chi_{\vec{k}_1} \chi_{\vec{k}_2} \rangle_{q \rightarrow 0} \langle \pi_{\vec{q}} \chi_{\vec{k}_3} \chi_{\vec{k}_4} \rangle_{q \rightarrow 0} \\ &\sim \frac{1}{q} \left( 3(\hat{k}_1 \cdot \hat{q})^2 - 1 \right) \left( 3(\hat{k}_3 \cdot \hat{q})^2 - 1 \right) . \end{aligned}$$





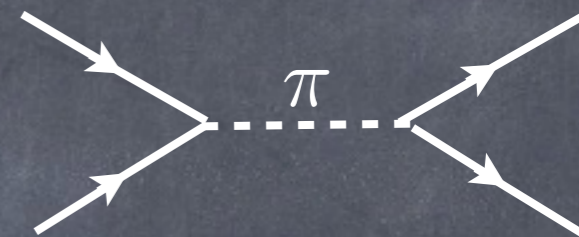
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Diverges as  $q \rightarrow 0$

(Vanishes as  $q^2$  in inflation)

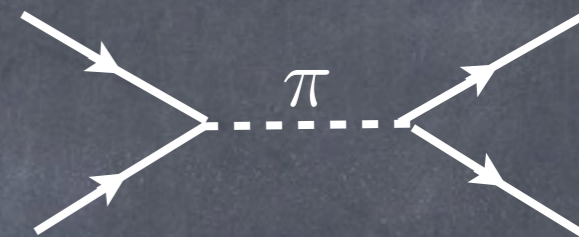


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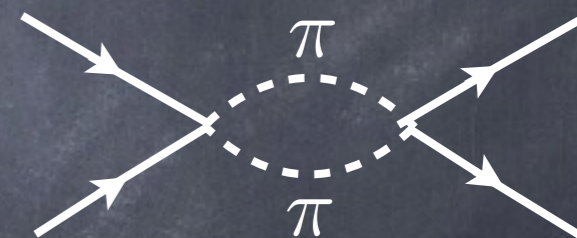
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- Loop contribution:

$$\tau_{\text{NL}} \sim \log \frac{q}{\Lambda}$$





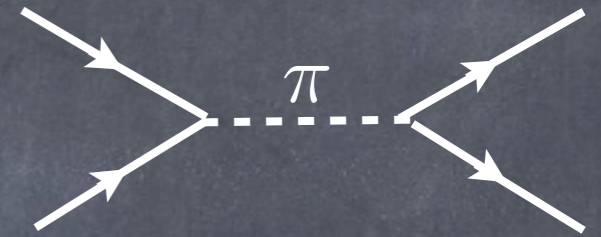
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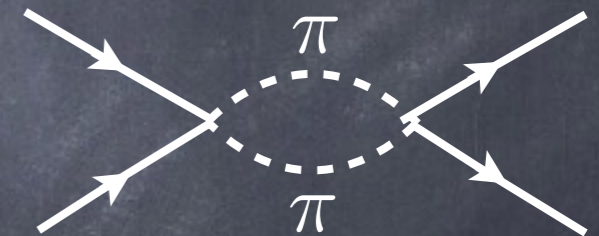
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- Anisotropy: Realization-dependent from super-Hubble  $\pi$  mode  
Libanov & Rubakov (2010)

$$\langle \chi_{\vec{k}} \chi_{-\vec{k}} \rangle_{\pi_{\vec{q}}} = \langle \chi_{\vec{k}} \chi_{-\vec{k}} \rangle \left( 1 + c_1 \frac{A_\pi H_0}{2\pi k} (3 \cos^2 \theta - 1) + c_2 \frac{3A_\pi^2}{4\pi^2} \cos^2 \theta \log \frac{H_0}{\Lambda} \right)$$



# Ultimate Smoking Gun

- Inflation: – Rapid background expansion  
– All light fields are excited, including gravitational waves

⇒ scale invariant primordial gravity waves

- Conformal Scenario (and Ekpyrotic):
  - Very slow contraction/expansion
  - Graviton modes not appreciably excited

Brustein, Gasperini, Giovannini & Veneziano (1995)

Khoury, Ovrut, Steinhardt and Turok (2001)

Detection of primordial gravity waves, e.g. through CMB polarization, would rule out pre-big bang scenarios.

