Invariant pseudo-foliations for minimal homeomorphisms in dimension 2

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Problem: C^r -closing lemma (Very very weak version)

Does

$$\{f \in \mathrm{Diff}^r(\mathbb{T}^2) : f \text{ minimal}\}$$

have empty interior in $\mathrm{Diff}^r(\mathbb{T}^2)$, $r \geqslant 2$?

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$$R_{\alpha,\beta}: \mathbb{T}^2 \ni (x,y) \mapsto (x+\alpha,y+\beta),$$

s.t.
$$m\alpha + n\beta \in \mathbb{Z}$$
 with $m, n \in \mathbb{Z} \implies m = n = 0$

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② Time-t of reparametrizations of linear flows: $\alpha \in \mathbb{R} \setminus \mathbb{Q}$, $\psi \in C^{\infty}(\mathbb{T}^2, \mathbb{R}_+)$, $\Phi_X : \mathbb{T}^2 \times \mathbb{R} \to \mathbb{T}^2$ induced flow by $X := \psi \cdot (1, \alpha)$.

 Φ_X^t is minimal for generic t and is conjugate to a translation iff $\exists c \in \mathbb{R}, \ \exists u \colon \mathbb{T}^2 \to \mathbb{R}$ such that $\partial_x u + \alpha \partial_y u = \phi - c$

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- **③** [Furstenberg, 1961]: $\alpha \in \mathbb{R} \setminus \mathbb{Q}$, $\phi \colon \mathbb{T}^1 \to \mathbb{R}$ t.q. $\nexists u \in C^0(\mathbb{T}^1, \mathbb{R})$, $u \circ R_{\alpha} u = \phi$:

$$f:(x,y)\mapsto (x+\alpha,y+\phi(x))$$

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1 Irrational Dehn twists: $\alpha \in \mathbb{R} \setminus \mathbb{Q}$, $m \in \mathbb{Z} \setminus \{0\}$

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Invariant foliations

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Theorem [K.-Koropecki, 2009]

Generic diffeomorphisms in

$$\overline{\mathcal{O}(\mathbb{T}^2)} := \overline{\{h^{-1} \circ R_\alpha \circ h : h \in \mathrm{Diff}^\infty(\mathbb{T}^2)\}}^{C^\infty}$$

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Question 2

What about minimal diffeomorphisms isotopic to Dehn twists?

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• [Poincaré, ~ 1900]: If d = 1, $\exists ! \rho \in \mathbb{R}$ s.t.

$$\frac{\tilde{f}^n - id}{n} = \frac{1}{n} \sum_{j=0}^{n-1} \phi \circ f^j \to \rho = \int_{\mathbb{T}} \phi \, \mathrm{d}\mu, \ \forall \mu \in \mathfrak{M}(f)$$

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• For $d \ge 2$, the **rotation set** is convex and compact

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ullet Definition: f pseudo-rotation if $\sharp \rho(\tilde{f})=1$

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So, if $f \in \operatorname{Homeo}_0(\mathbb{T}^2)$ is minimal, then $\rho(\tilde{f}) \cap \mathbb{Q}^2 = \emptyset$ and is either

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Counterexample to Franks-Misiurewicz conj. [Avila, 2013]

 $\exists f \in \mathrm{Diff}_0^\infty(\mathbb{T}^2)$ minimal s.t. $\rho(\tilde{f})$ segment irrational slope

Main results

Theorem A [K. 2015]

 $f \in \operatorname{Homeo}_0(\mathbb{T}^2)$ is minimal and **not a pseudo-rotation**, then there exists f-invariant (pseudo)-foliation.

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 $f \in \operatorname{Homeo}(\mathbb{T}^2)$ minimal and isotopic to Dehn twist $\begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix}$, then f is topological extension of a minimal circle rotation.

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Theorem B [K.-Le Calvez, w.i.p.]

 $f \in \operatorname{Homeo}(\mathbb{T}^2)$ minimal and **isotopic to Dehn twist** $\begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix}$, then f is topological extension of a minimal circle rotation. In particular, there exists f-invariant (pseudo-)foliation.

① Circle homeomorphisms: If $f \in \operatorname{Homeo}_0(\mathbb{T}^1)$ and $\tilde{f} \colon \mathbb{R} \circlearrowleft \operatorname{lift}$, then

$$\left| \tilde{f}^n(x) - x - n\rho \right| \le 1, \quad \forall n \in \mathbb{Z}, \ \forall x \in \mathbb{R}$$

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$$\langle \tilde{f}^n(z) - z - n\rho(\tilde{f}), (1,0) \rangle = 0, \ \forall z \in \mathbb{R}^2, \ \forall n \in \mathbb{Z}$$

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Theorem [Folklore?]

If f is minimal and $\rho(\tilde{f}) \subset \ell^v_{\alpha} := \alpha v + \mathbb{R}v^{\perp}$, then

 \exists invariant pseudo-foliation \iff uniformly bounded v-deviations i.e.

$$\sup_{z,n} \left| \langle \tilde{f}^n(z) - z - n\rho, v \rangle \right| < \infty$$

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Birkhoff stable sets at infinity

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- [Guelman-Koropecki-Tal, 2014]: If f is area-preserving and $\rho(\tilde{f}) = \{0\} \times [a,b]$, then $\sup_{n,z} \left| \langle \tilde{f}^n(z) z, (1,0) \rangle \right| < \infty$.

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but in such a case Λ_r^+ is not dynamically defined



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Then, $\widetilde{\operatorname{Symp}}_0(\mathbb{T}^2) = \mathbb{R}^2 \ltimes \widetilde{\operatorname{Ham}}(\mathbb{T}^2)$



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Fibered stable sets at infinity

Suppose $\rho(\tilde{f}) = \{\alpha\} \times [a, b]$, with $\alpha \notin \mathbb{Q}$.

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and,

$$\Lambda_r^{\pm} := \bigcup_{t \in \mathbb{T}^2} \Lambda_r^{\pm}(t) \subset \mathbb{T}^2 \times \mathbb{R}^2$$

is F-invariant!

Some properties of Λ_r^{\pm}

Suppose f is minimal, $\rho(\tilde{f})=\{\alpha\}\times [a,b]$ and there exists $z\in\mathbb{R}^2$ such that

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③ By (1), $\Lambda_r^{\pm}(t) \cap \Omega_{r'}^{\pm}(t) = \emptyset$ and this produces a contradiction

Minimal homeos isotopic to Dehn tiwsts

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• [Addas-Zanata-Garcia-Tal, 2014]: If f is minimal, then $\rho(\tilde{f})=\{\alpha\}$ with $\alpha\in\mathbb{R}\backslash\mathbb{Q}$

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- For twist maps we know there is no such invariant set
- A homeomorphism isotopic to Dehn twist may be a non-twist map, but it is at large scale!

Thanks!