Evolutionary Dimension Reduction: Why low-dim macroscopic picture a la thermodynamics works?

- Basic Setup (Exp/Theory/Model)
- Phenotype=Abundances of each component (e.g., protein/mRNA) (~5000 dimensions)

**Genotype**- DNA seq, or rule for dynamics:



\* **Theory**: Low-dim constraint in high-dim states

#### Trivial(?) Law in Adaptation: Focus on steady-growth cells $\rightarrow$ universal constraint

all the components have to be roughly doubled (for cell division) : steady-growth condition

Xi - log(concentration of component i) (i=1,,,M)

 $\rightarrow$ (M-1) conditions  $\rightarrow$  1-dimensional line

M large: e.g., # of protein species  $\sim (10^3 \sim 10^4)$ 

E: Environment; δE; added Stress  $dX_{i}/dt = F_{i}(\{X_{i}\}) - \mu$  $F_i(\{X_i^*(E)\}, E\} = \mu(E).$ 

Linearization, "small" δΕ, δΧ, δμ

$$\frac{\delta X_j(E)}{\delta X_j(E')} = \frac{\delta \mu(E)}{\delta \mu(E')} \quad = \text{indep't of j}$$

KK, Furusawa, Yomo, PhysRevX(2015)

Stress E<sup>b</sup>

Stress E\*

for given type of stress E (changing strength)



Across Different types of stresses:  $\gamma_i \equiv \frac{\partial F_i}{\partial E}$  $\gamma(a)$  depends on stress type a so correlation not  $\delta X_j(E) = \delta \mu(E) \times \sum L_{ji} (1 - \gamma_i / \alpha)$ derived, but... (b) (c)2 δX<sub>i</sub>(E<sub>high</sub>) δX<sub>i</sub>(E<sub>high</sub>) δX<sub>i</sub>(E<sup>heat</sup> -1 -2 -2 2 SY (Eheat  $\delta X_{i}(E_{high}^{osmo})$  $\delta X_{i}(E_{high}^{osmo})$ osmotic / heat starve/osmotic starve/heat Still highly correlated Confirmed also in protein expression changes across different environmental conditions

Fig.2b

Better(?) confirmed in protein expression changes across different environmental conditions (based on the data by Heinemann) 20 different conditions on E Coli



Originally lo-dim Originally high-dim and dimensional reduction → essential to deep linearity + adaptation to diverse environments

#### \* emergence of 'collective' slow variable homeostatic core (major parts) mutually stabilize keep robustness; growth-rate as 'mean-field'; peripheral-- absorb environmental changes (plasticity) Relevant for robustness of a high-dimensional state

Core part  $d\mu = Cd\chi + 2$ (no direct a  $\alpha : Environme$ 

KK unpub 2014 **e № 3** Cf Kamei,Wakamoto; Furusawa

Intuitively, macro-micro consistent states must be rare  $\rightarrow$ once it is achieved, its conservation is favored  $\rightarrow$  other parts than core buffer external changes (biological adaptation) Theory/ Simple Model for it? Law for Robustness-Plasticity Reciprocity?

(Hatakeyama, KK PRL2015;arXiv2020)

#### **Non-trivial point: Emergent "Deep Linearity"**

- (1) Large Linear Regime?
- (2) Validity across different environmental condition?

--beyond just steady-growth system

achieved in an evolved system ?

Check by simulations of toy models with high-dim dynamical systems

#### Examine by Toy Cell Model with Catalytic Reaction Network

#### (Cf. Furusawak,KK, PRL 2003, 2012)

#### **k** species of chemicals $X_0 \cdots X_{k-1}$

number ---n<sub>0</sub> n<sub>1</sub> ... n<sub>k-1</sub> random catalytic reaction network

with the path rate p

for the reaction  $X_i + X_j - > X_k + X_j$ 

Resource chemicals (<environment) are transported with the aid of a given catalyst, transporter

resource chemicals are thus
Facilitate transformed into impenetrable chemicals, transport leading to the growth.

**N**=**Σ** $n_i$  exceeds N<sub>max</sub> (model 1)

Genotype: Network;

Fitness: e.g., abundances of given component

Evolution: Mutate reaction paths, and select those with higher fitness



dX1/dt ∝ X0X4; rate equation; Stochastic model here



## Evolve Network to increase the growth rate under given resource condition



evolution under the resource environment with concentrations i=1,2,..,10 (e0,e0,,,e0)

Then put an environment Env =  $\lambda$  (e1,e2,e3,..e10) + (1- $\lambda$ ) (e0,e0,..., e0) -1< e1,e2,...<1 (randomly chosen)

Check the change in concentrations and growth rates against  $\lambda$ 

#### Linear Regime is Expanded after evolution



# Evolution shapes Global Proportionality across different environmental conditions





# After evolution, correlation across different env cond. is increased, and slope-growth-rate linearity is enhanced Between same



#### Phenotypic constraint on a low-dimensional space



After evolution, the environmental response is constrained on a low-dimensional phenotype space.

## Phenotypic change due to environmental variation, mutation, noise are constrained along a major axis



Emergent Deep Linearity beyond trivial linearity for tiny change

- After evolution, linearity region is extended to macro level
- Correlation across different environment is increased
- Changes in high-dim phenotype space occur along a low-dim manifold





Theory for steady growth: a constraintConcentration xi=Ni/V:  $(dV/dt)/V = \mu$ (volume V)Temporal change of concentration xfi includes all reactions, $dx_i/dt = f_i(\{x_j\}) - \mu x_i$  dilutionfi includes all reactions,

Now, the stationary state is given by a fixed point condition  $x_i^* = f_i(\{x_j^*\})/\mu$ 

for all i.

As a convenience, denote X = logx, and  $f_i = x_i F_i$ . Then,

 $dX_i/dt = F_i(\{X_j\}) - \mu$ Response under different stress strength E

 $F_i(\{X_i^*(E)\}, E) = \mu(E).$ 

Formulation and Consequence of Hypthesis

Recall 
$$\sum_{j} J_{ij} \delta X_j(E) + \gamma_i \delta E = \delta \mu(E)$$

with  $\gamma_i \equiv \frac{\partial F_i}{\partial E}$ .  $\delta \mathbf{X} = \mathbf{L}(\delta \mu \mathbf{I} - \gamma \delta E)$ 

• γ(E): susceptibility to environment change

Only the smallest eigenvalue in J (or largest in L=1/J) contributes  $|\lambda^{i}| >> |\lambda^{0}| \sim 0$ 

Most changes occur along such slow manifold

$$\delta \mathbf{X} = \lambda^0 \mathbf{w}_0 (\delta \mu (\mathbf{v}_0 \cdot \mathbf{I}) - (\mathbf{v}_0 \cdot \gamma) \delta E).$$

Projection to this manifold wo w<sup>0</sup> (v<sup>0</sup>) right(left) eigenvector for the smallest eigenvalue, i.e., Projection to this slow manifold  $\frac{\delta \mathbf{X}(\mathbf{E})}{\delta \mathbf{X}(\mathbf{E}')} = \frac{\delta \mu(E) - (\mathbf{v_0} \cdot \gamma(\mathbf{E})) \delta E / (\mathbf{v_0} \cdot \mathbf{I})}{\delta \mu(E') - (\mathbf{v_0} \cdot \gamma(\mathbf{E}') \delta E' / (\mathbf{v_0} \cdot \mathbf{I})} \operatorname{small}^{\mathsf{V} \cdot \mathsf{V_0}}$  Consequence of Slow-Manifold Hypothesis (cont'd)

### →Slow manifold is roughly orthogonal to $\gamma$ $\gamma$ · $\nu_0$ ~<sup>0</sup>

$$\hat{\mathbf{X}} = \lambda^{0} \delta \mu \mathbf{w}^{0}$$
Or, from the linear approximation
$$\delta E = \delta \mu / \alpha(E)$$

$$\frac{\delta \mathbf{X}(E)}{\delta \mathbf{X}(E')} = \frac{\delta \mu(E)}{\delta \mu(E')} \underbrace{(1 - (\mathbf{v}_{0} \cdot \mathbf{E}) / (\alpha \mathbf{v}_{0} \cdot \mathbf{I}))}_{(1 - (\mathbf{v}_{0} \cdot \gamma(E') / (\alpha \mathbf{v}_{0} \cdot \mathbf{I}))} \underbrace{(1 - (\mathbf{v}_{0} \cdot \gamma(E') / (\alpha \mathbf{v}_{0} \cdot \mathbf{I}))}_{-\Delta \mu}$$
Correction in proportion coefficient

Separation of slowest mode in catalytic reaction net model Eigenvalues of  $J_{ij} = (\partial \dot{X}_i / \partial X_j)_{X_i = X_i^*}$ 



1<sup>st</sup> PCA

1<sup>st</sup> PCA

Now apply this idea to evolution G:

General Relationship between

- change in (log) expression by environment
- change in (log) expression by evolution

#### → Evolution -- Recall: Phenotypic change due to environmental variation, mutation, noise are constrained along the same major axis



Phenotypic changes by evolution and environmental changes are along a common dominant mode Consequence of Hypothesis → Correlation between Environment vs Evolutionary Changes

Evolution :  $J\delta X + \gamma(E)\delta E + \gamma(G)\delta G = \delta \mu(E)$ . Again, assume that most changes occur along such slow manifold Project to this slow manifold  $\rightarrow$ 

$$\frac{\delta \hat{\mathbf{X}}(\mathbf{E})}{\delta \hat{\mathbf{X}}(\mathbf{G})} = \frac{\delta \mu(E)}{\delta \mu(G)} \qquad \text{using} \quad \mathbf{y} \cdot \mathbf{v}_0 \quad \mathbf{\sim} \mathbf{0}$$

(Genetic) evolution under the environmental condition
→recover growth-- | δμ (E) | < | δμ (G) |
δXi(G)/δXi(E)=δμ(G)/δμ(E)<1</pre>

→ All the expression levels tend to return the original level by evolution
Le Chatelier Principle?



### Deterministic phenotypic evolution constrained in



Mutation sites are different by strains. But.. Common trends in phenotypic space (low-dim structure) PC1 is highly correlated with the growth rate



Let's check evolution law in this catalytic reaction net model

Switch environment(composition of nutrient) and check response (--env) Mutate network and select those with higher growth –evo



Recovery of growth rate by adaptive evolution to new environment  $\delta Xi(G)/\delta Xi(E) = \delta \mu(G)/\delta \mu(E) < 1$  (Ac

#### (Across all components)



#### Evolution to novel environment -- the already evolved dominant mode is adopted to adapt to new environment -> Same phenotypic path when the tape is replayed.



Different color : different strains with different genetic change

#### Sato, KK, PhysRevRes2020

PC1



#### Recall...



Furusawa, kk Interface 2015 Vip-Vg relationship across traits (phenotypes)

- Vg(i): Vatiance of X(i) due to genetic mutation
- Vip(i): Variance of X(i) due to noise in dynamics



#### Vg-Vip proportionality is explained by the slow manifold Hypothesis

Evolution occurs along this dominamt manifold  $\mathbf{w}$ 

$$V_{ip}(i) = (\mathbf{w}_i^0)^2 < \delta X^2 >_{noise}$$
$$V_g(i) = (\mathbf{w}_i^0)^2 < \delta X^2 >_{mutation}$$

 $\rightarrow$  Vg(i)/Vip(i) = independent of i

(here we do not need the growth-rate constraint, only slow-manifold constraint is needed)

Vg-Vip relationship ← Changes both by (environmental) noise and (genetic) mutations are constrained along the direction

#### Theory for Fluctuation $\sum_{i} J_{ij} \delta X_j(E,G) + \gamma_i^E \delta E + \gamma_i^G \delta_G = \delta \mu(E,G)$ Linearization $\gamma_i^{(E,G)} \equiv \frac{\partial F_i}{\partial E(G)}.$ Genetic Assimilation(?) $\gamma_i^E = \gamma_i^G$ Assumption $\langle (\delta X_j (\delta \Upsilon)^2 \rangle = \langle \delta \mu (\delta \Upsilon)^2 \rangle (\sum L_{ji} (1 - \gamma_i / \alpha))^2$ where $\Upsilon$ is either E or G, $\frac{V_{ip}(j)}{V_{-}(j)} = \frac{\langle \delta \mu (\delta E)^2 \rangle}{\langle \delta \mu (\delta G)^2 \rangle} = \frac{V_{ip}(\mu)}{V_{a}(\mu)}$ ( η)<sup>qi</sup>ν/( η)<sup>g</sup> $10^{-2}$ $10^{-2}$ $10^{-1}$ 10<sup>0</sup> $v_{q}(j)/v_{ip}(j)$

#### Vg(i),Vip(i) across different protein expression levels also show proportionality Measure variance of gene expression for each gene i → genetic Vg(i) & epigenetic Vip(i) Vip(i)-Vg(i) proportionally across genes





Fig. 2. The genetic (variation among lines) and environmental (variation within lines) coefficients of variation were very similar for each trait but not precisely the same. The line shown is where CV<sub>with</sub> in From Sophila Selection

#### experiment Vip vs Vg across different phenotypes

### Genetic Properties Influencing the Evolvability of Gene Expression

Christian R. Landry,<sup>1</sup>\*† Bernardo Lemos,<sup>1</sup>\* Scott A. Rifkin,<sup>1</sup>‡ W. J. Dickinson,<sup>2</sup> Daniel L. Hartl<sup>T</sup>

Identifying the properties of gene networks that influence their evolution is a fundamental research goal. However, modes of evolution cannot be inferred solely from the distribution of natural variation, because selection interacts with demography and mutation rates to shape polymorphism and divergence. We estimated the effects of naturally occurring mutations on gene expression while minimizing the effect of natural selection. We demonstrate that sensitivity of gene expression to mutations increases with both increasing trans-mutational target size and the presence of a TATA box. Genes with greater sensitivity to mutations are also more sensitive to systematic environmental perturbations and stochastic noise. These results provide a mechanistic basis for gene expression evolvability that can serve as a foundation for realistic models of regulatory evolution.



A) MA experimental design. (B) Number of genes differentially 1 among the four MA lines as a function of the Bayesian posobability of differential expression. Black bars indicate the estimated fraction of genes expected by chance. (C) Relative-fold in expression level for genes with significant differences among MA lines.

(A) Schematic of trans- and ional target sizes. On the left image are cases of smaller target sizes, and on the right r mutational target sizes. The tational target size does not lude transcription factors but acting upstream of the focal ) Positive relationship beans-mutational target size The averages of 10 bins are vith error bars denoting two errors. (C) Mean Vm of genes without a TATA box in their



#### Genetic Properties Influencing the Evolvability of Gene Expression Science 08

Christian R. Landry,1\*† Bernardo Lemos,1\* Scott A. Rifkin,1‡ W. J. Dickinson,2 Daniel L. Hartl<sup>II</sup>





Fig. 3. Mutational variance of gene expression correlates with plasticity of transcriptional response (A) and stochastic noise in protein abundance (B). In each case, the averages of 10 bins of equal sizes are plotted, with error bars denoting two standard errors. Averaged over bins

#### Experimental evidences yeast gene expression fruitfly phenotypic trait

#### **Genetic Properties Influencing the Evolvability of Gene Expression**

1.80

Christian R. Landry,<sup>1</sup>\*† Bernardo Lemos,<sup>1</sup>\* Scott A. Rifkin,<sup>1</sup>‡ W. J. Dickinson,<sup>2</sup> Daniel L. Hartl<sup>II</sup>

Science 08



Stephen C. Stearns,<sup>1</sup> Marcel Kaiser<sup>1</sup> and Tadeusz J. Kawecki<sup>2</sup>



Why control by slow modes?

How to tame complex systems?

- Few, Separated slow modes
  - $\rightarrow$  control others
- $\rightarrow$  change of the modes collectively/effectively cellular states
- → Separation of control/controlled is possible which allows for evolvability

(If many degrees of the similar time scale interfere, they mutually cancel out, to hinder directional change) Result of evolution but fosters evolvability

#### too many cocks spoil the broth

**\*** slow modes – result of evolution and fascilitate evolution  $\rightarrow$  dimension reduction

Theory for such slow-mode separation? Some support 3(space-dim) slow control modes separated??

Macro Theory of Phenotype Evolution a la thermodynamic potential: derivation of LeChatelier relation

Macro Quantity= growth rate  $\mu$  (E,G): E=environment, G=Genetic (evolutionary) change but  $\mu$  is determined by gene expression (phenotype)  $\rightarrow \mu$  (X(E,G))

Original state: maximum in E,G

→ Formulation a la thermodynamics
 δX<sub>G</sub> /δX<sub>E</sub> < 1</li>
 → LeChatelier Principle



Macro: Potential Theory for phenotypic evolution a la thermodynamics ?  $\rightarrow$  Le Chatelier Principle Macro Quantity = growth rate  $\mu$  (E,G): E=environment, G=Genetic (evolutionary) change  $\mu$  determined by the low-dim mode X $\rightarrow \mu$  (X(E,G)) Original state: maximum in E,G  $\rightarrow$  Formulation a la thermodynamics  $\frac{\partial \mu}{\partial X} = 0$  $\frac{\Delta X_G}{\Delta X_E} = \frac{\frac{\partial X}{\partial G}\Delta G}{\frac{\partial X}{\partial E}\Delta E} = \frac{z}{z_{max}} = c \quad < \mathbf{1}$  $\Delta \mu_{EG} / \Delta \mu_E = (1 - c)^2$  $\delta X_{G} / \delta X_{E} < 1$  (b) hange after evolution  $\rightarrow$  LeChatelier Principle  $\frac{2}{5}$ out the environmentally induced changes  $mental stress \delta XE$ Component Change (Model), Gene Expression Change(Exp by Furusawa) Need further studies to establish the present theory

(i) Further Confirmation by Experiments

 (ii) Confirmation by Models/Data : Universality? Catalytic Reaction Net-Cell Model ☑ Gene regulation Net Model (Sato, KK, submitted) ☑ OProtein Model/Data (Tang KK., PRL2021) ☑ +Tang,Hatakeyama,KK 2020 Tang,KK 2021 correlation in structure dynamics & evolutionary dim reduction
 OSpin-glass Models (Sakata KK., PRL 2020) ☑

evolve spin Hamiltonian JijSiSj to achieve certain configuration dimensional reduction at replica symmetric phase

#### Protein; Change in Native structure by noise & by evolution — highly correlated in common low-(~10) dimensional structure



fluctuation according to structural data+ elastic net model vs

Difference within family (mutational change)

Changes are lodim, and correlated

![](_page_47_Figure_5.jpeg)

#### Correlation function Dynamics, Evolution Similar correlation functiorn, correlation length ξΕνο ξDyn correlated

![](_page_48_Figure_1.jpeg)

$$E(\vec{x};\vec{\theta}) = \frac{\gamma}{2} \left[ \vec{r}(\vec{x}) - \vec{r^0}(\vec{\theta}) \right]^{\mathsf{T}} \cdot H \cdot \left[ \vec{r}(\vec{x}) - \vec{r^0}(\vec{\theta}) \right],$$

![](_page_49_Figure_1.jpeg)

$$F_{ij} = \frac{\partial^2 E}{\partial r_i^0 \partial r_j^0}$$

![](_page_49_Figure_3.jpeg)

tries 
$$J_{ij} = \partial r_i^0 / \partial \theta_j$$
, according to the chain  
 $F_{ij}^{\vec{\theta}} = \frac{\partial^2 E}{\partial \theta_i \partial \theta_j} = \frac{\partial r_j^0}{\partial \theta_i} \frac{\partial^2 E}{\partial r_i^0 \partial r_j^0} \frac{\partial r_i^0}{\partial \theta_j} = (J^{\mathsf{T}} F J)_{ij}.$   
 $F^{\vec{\theta}} = J^{\mathsf{T}} F J = J^{\mathsf{T}} H J.$ 

Spin-Statistical ModelSakata,KK,PRL 2020Phenotype=Spin config.SiGenotype—Interaction JijHamiltonianH=-ΣJijSiSjFitness align target spins; environment– external field

$$\psi(\mathbf{J}) = \overline{|m_{\mathcal{T}}|}, \qquad m_{\mathcal{T}} = \frac{1}{N_T} \sum_{i \in \mathcal{T}} S_i,$$

1) Robust fitted state at Replica Symmetric phase
 2) RSB → loss of robustness
 (cf Sakata Hukushima KK PRL 2000)

![](_page_50_Figure_3.jpeg)

![](_page_50_Figure_4.jpeg)

Correlation in Responses to ext field and to mutation to Jij

![](_page_50_Figure_6.jpeg)

#### Correlation in Responses to different external fields (environment)

![](_page_51_Figure_1.jpeg)

Correlation in Responses to ext field and to mutation to Jij

![](_page_51_Figure_3.jpeg)

dimensional reduction: rank reduction

![](_page_52_Figure_1.jpeg)

FIG. 3. *T* dependence of (a) averaged first and second eigenvalues of J, (b) correlation coefficient between  $\arctan(\mu_i)$  and  $\xi_i^1$ , and (c) averaged *d*. Vertical dashed line denotes transition temperatures. (d)  $\epsilon$  dependence of *d* for T = 1 (RS) and T = 0.5 (RSB).

(iii) Theory for dimensional reduction? –1 or few dim? (a)Eigenvalue Spectra; few outliers (close to zero) from others  $\leftarrow$  evolution Sato,KK 2020 Slow collective modes as 'Epi-gene' random matrix+ outliers( low-rank)? Cf Terrence Tao 'Control by Slow Variables' (Kohsokabe,KK, JEZB2022 Reasonable to tame complex systems (robustness+plasticity) But so far hand-waiving argument (b) RS vs RSB transition (Sakata, Hukushima, KK 2009, 2020) dual replica theory, Pham, KK 2022

Need theory to explain universality?? Renormalization Group? Deep Learning (Neural Tangent Kernel)? Standard Stat Mech (Projection)? .... ??? Gene expression dynamics model::

Simple Model:Gene-net(dynamics of stochastic gene expression ) → on/off state

 $\begin{aligned} \mathbf{X}_{i} - \text{expression of gene } i: \text{on off} \\ dx_{i}/dt &= F[\sum_{j}^{M} J_{ij}x_{j} - \theta_{i}] - x_{i} + I_{i}(n) + (\sigma\eta_{i}(t)) \\ (\text{on) } x > \theta_{i} \quad (\text{off)} \quad x < \theta_{i} \quad \underbrace{\text{off}}_{F(X)} = \frac{1}{(exp(-\beta X) + 1)} \\ \end{aligned}$ 

Gaussian white Noise  $\sigma$   $\delta ij < \eta(t)\eta(t') > = \delta(t-t')$ .

I: Input (environment) Jij  $\leftarrow$  gene M;total number of genes, **k** : output genes  $\rightarrow$  fitness

1)Evolutionary Dimensional Reduction ☑
2)Under different conditions → prediction of cross fitness

![](_page_54_Figure_6.jpeg)

#### Extension: (i)two types of stresses (antibiotics)

- → Prediction of Cross Fitness : Sato, Furusawa KK, submitted
- $\rightarrow$  fitness change under the stress E2,
- for those evolved under stress E1 are correlation in responses against E1 and E2 in prior to evolution

←With dimensional reduction, environmental response evolution across different environmental stresses are predicted only by the environmental respon 🤝

![](_page_55_Figure_5.jpeg)

(ii) Diploid, sexual reproduction Okubo, KK; 2022PNAS Nexus **2-Gene-regulation network model**<sub>N</sub>  $x_i(t+1) = f[\sum_{j=1} J_{ij}^1(x_j(t) - \theta)/2] + f[\sum_{j=1} J_{ij}^2(x_j(t) - \theta)/2] - x$ 

1)Collective Mendelian Dominance for gene set
 2) Heterosis – hybrid vigor + lower phenotypic variance for hybrid

Due to Evolution of Robustness + Dimensional Reduction?

![](_page_56_Figure_3.jpeg)

Variance(homo)/Variance(hetero

Summary; Deep Linearity is a result of evolutionary robustness, description by few marcoscopic variables a la thermodynamics

# Surprising Description of Universal Biology in the Novel by Sakyo Komatsu (1968)

.....In an organism, extracted basic elements (such as metabolism or genetin information) shape a system that balance each other in an organism. Each element can vary to some degree, but due to this balance, the variation is restricted. Then with rough approximation, the variation of these elements (such as cell-density, mass, cell-differentiation) are given depending on the environment. By further analysis, the basic model of evolved life is approximately given by a linear model.