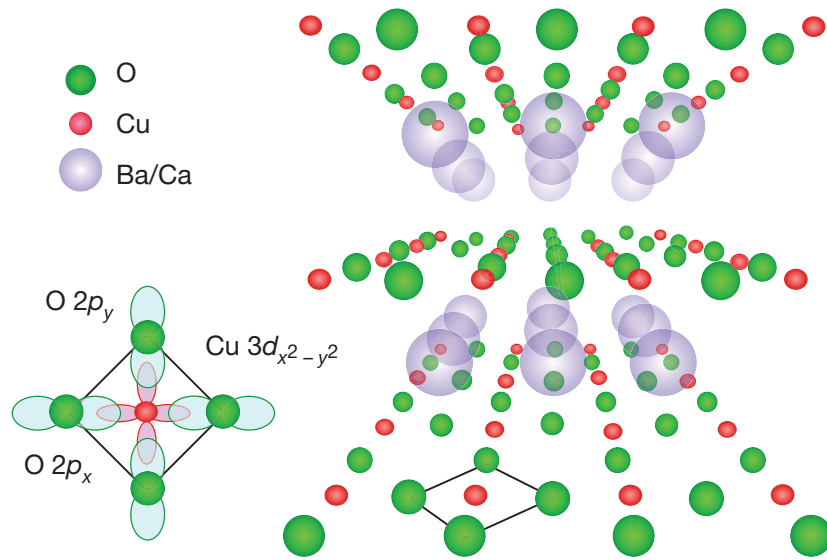


High T_c superconductivity in the Hubbard model revisited

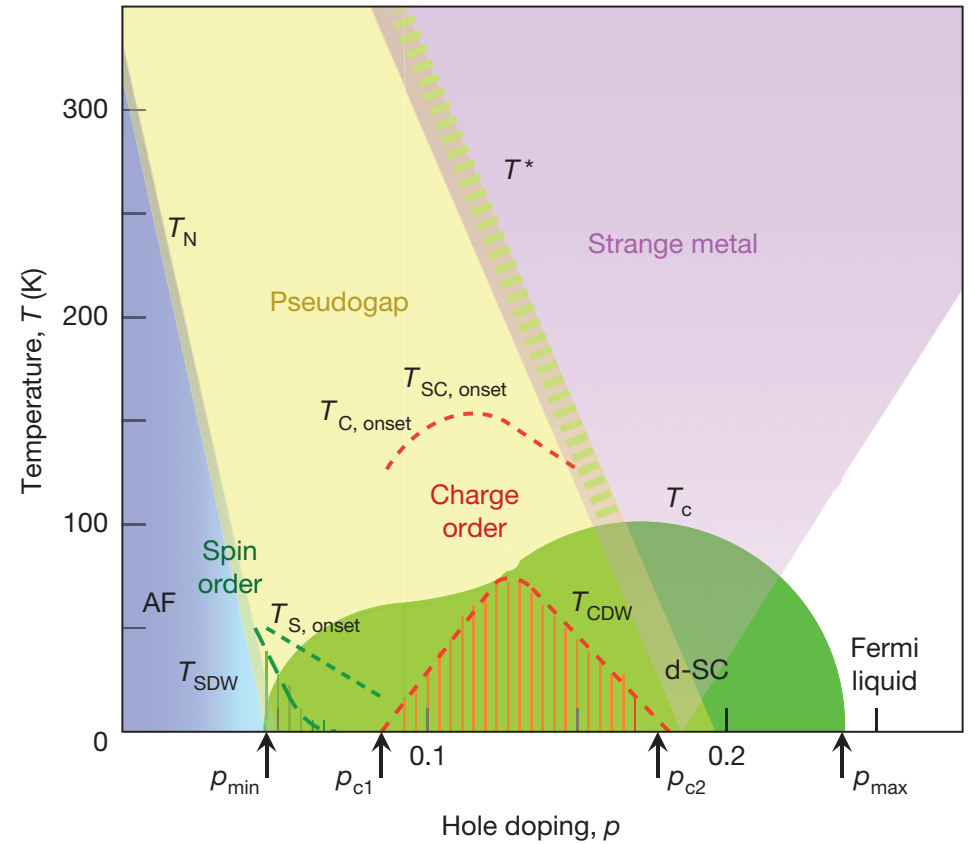
Ulrich Schollwöck
LMU (University of Munich)



high-temperature superconductors



■ typical structure

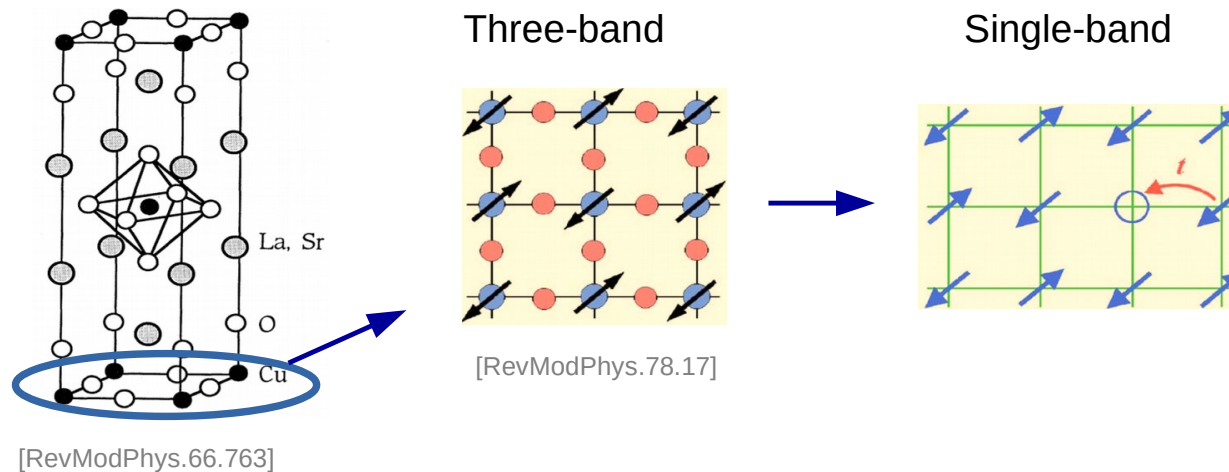


■ generic phase diagram

(Keimer *et al.*, Nature 15)

modeling high-T_c SCs

- „complete“ description unfeasible and probably uninformative
- modeling to capture essential concepts and mechanism
- „a theory should be as simple as possible, but not simpler“



single-band Hubbard model:

square lattice, hole doping, nearest neighbor hopping, on-site repulsion

$$\hat{H} = -t \sum_{\langle ij \rangle \sigma} \left(\hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + \hat{c}_{j\sigma}^\dagger \hat{c}_{i\sigma} \right) + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$

too simple?

$$\hat{H} = -t \sum_{\langle ij \rangle \sigma} \left(\hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + \hat{c}_{j\sigma}^\dagger \hat{c}_{i\sigma} \right) + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$

- (too) many features!
- in this talk: **at $T=0$**
 - presence of stripes?
 - presence of power-law d -wave superconducting correlations? („superconducting order“)
- two step „complexification“ of Hubbard model
 - $t'=0$: no next-nearest neighbor hopping
 - t' non-zero
 - **ultracold atom quantum simulation challenge: $t' \neq 0$**

enormous amount of studies ...

What is the ground state under doping?

uniform d-wave superconductor

[Gros, et al., PRB 38, 931 (1988)]

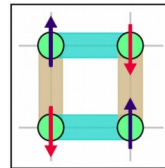
[Halboth, et al., PRL 85, 5162 (2000)]

[Maier, et al., PRL 95, 237001 (2005)]

[Sénéchal, et al., PRL 94, 156404 (2005)]

[Gull, et al., PRL 110, 216405 (2013)]

.....and a lot of more!

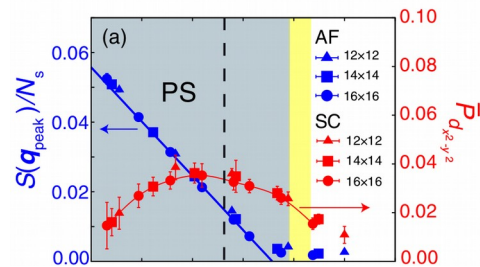


phase separation

[Misawa, et al., PRB 90, 115137 (2014)]

[Otsuki, et al., PRB 90, 235132 (2014)]

.....and a lot of more!



new orders: CDW+SDW

[Zaanen, et al., PRB 40, 7391 (1989)]

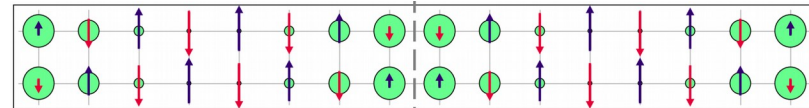
[Poilblanc, et al., PRB 39, 9749 (1989)]

[White, et al., PRL 91, 136403 (2003)]

[Hager, et al., PRB 71, 075108 (2005)]

[Chang et al., PRL 104, 116402 (2010)]

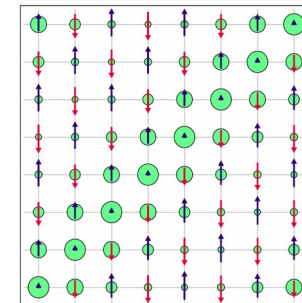
.....and a lot of more!



[Raczkowski, et al., Phys. Stat. Sol. 376 (2003)]

[Miyazaki, et al., J. Phys. Soc. Jpn. 73, 1643 (2004)]

.....and a lot of more!



„pure“ ($t'=0$) Hubbard model

- many-electron collaboration: focus on stripe order
- d -wave pairing order?
- superconducting correlations exist (power laws...)?

- Yes:

[Andrew S. Darmawan, et al., Phys.

Rev. B 98, 205132 (2018)]

[Vanhala, et al., PRB 97, 075112 (2018)]

[Zhao, et al., PRB 96, 085103 (2017)]

...many more

- No:

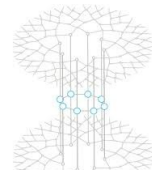
[S. Zhang, et al., Phys. Rev. Lett. 78, 4486 (1997)]

[M. Guerrero, et al., Phys. Rev. B 59, 1706 (1999)]

[C. T. Shih, et al., Phys. Rev. Lett. 81, 1294 (1998)]

- stripes and SC: cooperation or competition?

Simons Collaboration on the
Many Electron Problem



AFQMC

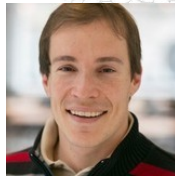
DMRG



Mingpu Qin



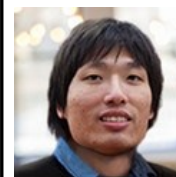
H. Shi



E. Vitali



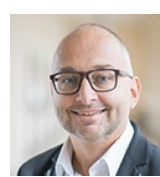
S. Zhang



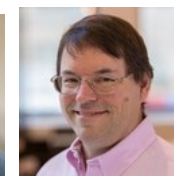
C.-M. Chung



C. Hubig



U. Schollwöck



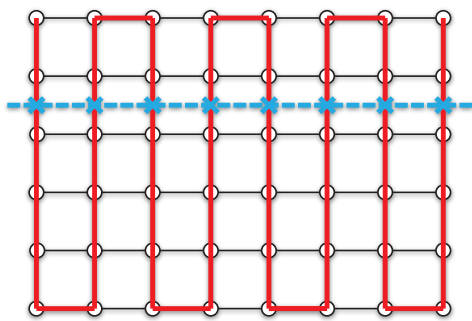
S.R. White

- AFQMC meets DMRG

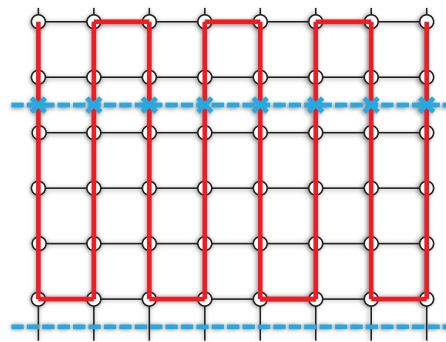
Qin, Chung, ..., US, White, Zhang, PRX 10, 031016 (2020)

DMRG/MPS in two dimensions

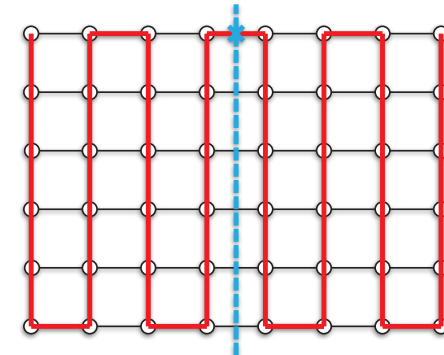
- map 2D lattice to 1D (vertical) „snake“ with long-ranged interactions



vertically OBC



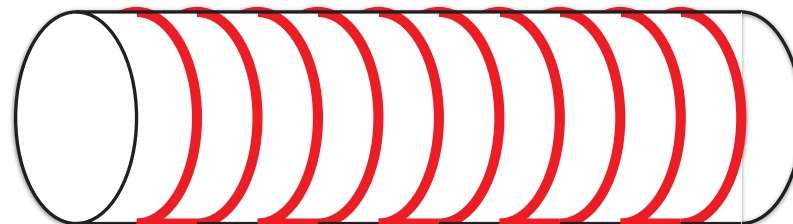
vertically PBC: extra cost!



$$S \sim \log_2 D \\ \rightarrow D \sim 2^L$$

- horizontally: ansatz obeys area law: easy axis, long at linear cost
- vertically: ansatz violates area law: hard axis, long at exponential cost
- consider long cylinders of small circumference c : mixed BC

circumference c



length L

AFQMC

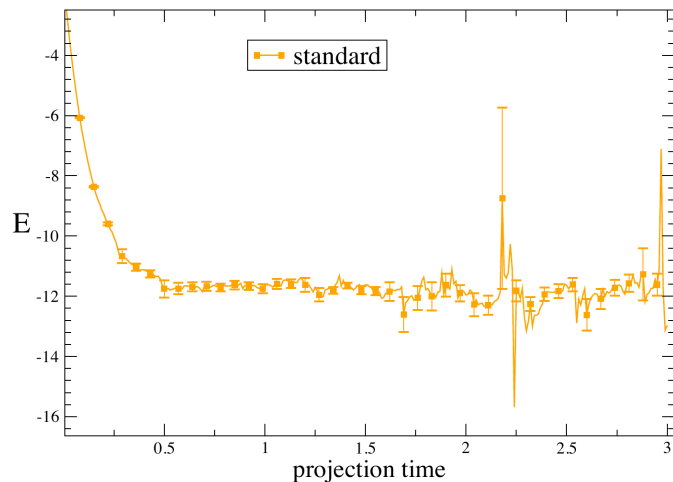
- ground state by imaginary time evolution of trial state

$$\frac{\langle 0 \ O \ 0 \rangle}{\langle 0 \ 0 \rangle} = \lim_{\beta \rightarrow \infty} \frac{\langle \psi_T \ e^{-\beta H} O e^{-\beta H} \ \psi_T \rangle}{\langle \psi_T \ e^{-\beta 2H} \ \psi_T \rangle} \quad \text{using Slater determinants}$$

- evolution requires quadratic Hamiltonian: Hubbard-Stratonovich!

$$e^{-\Delta_\tau U n_\uparrow n_\downarrow} = e^{-\Delta_\tau U (n_\uparrow + n_\downarrow - 1)} \sum_{x=\pm 1} \frac{1}{2} e^{\gamma x (n_\uparrow + n_\downarrow - 1)}$$

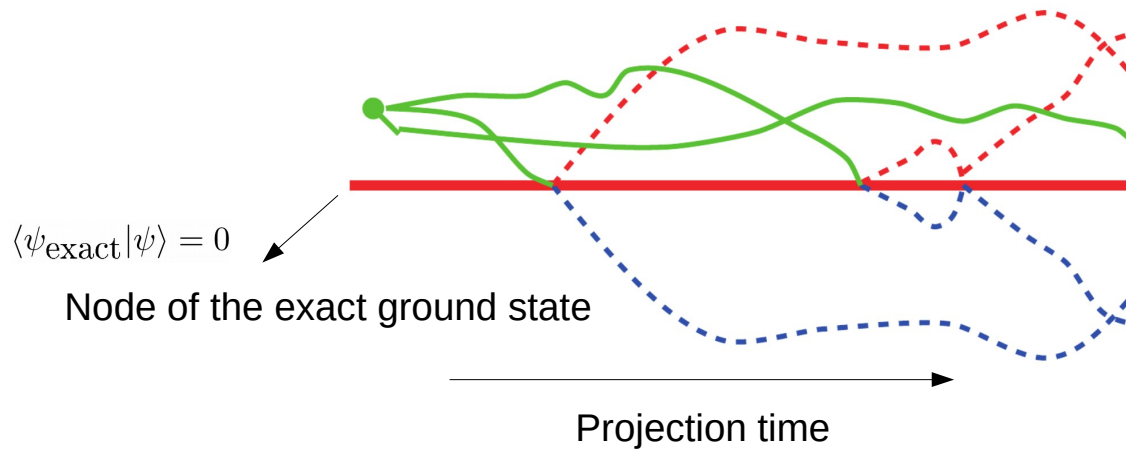
- auxiliary fields** are sampled stochastically: quantum Monte Carlo!



4 x 4, n = 0.875, U = 8

- sign problem!!!**

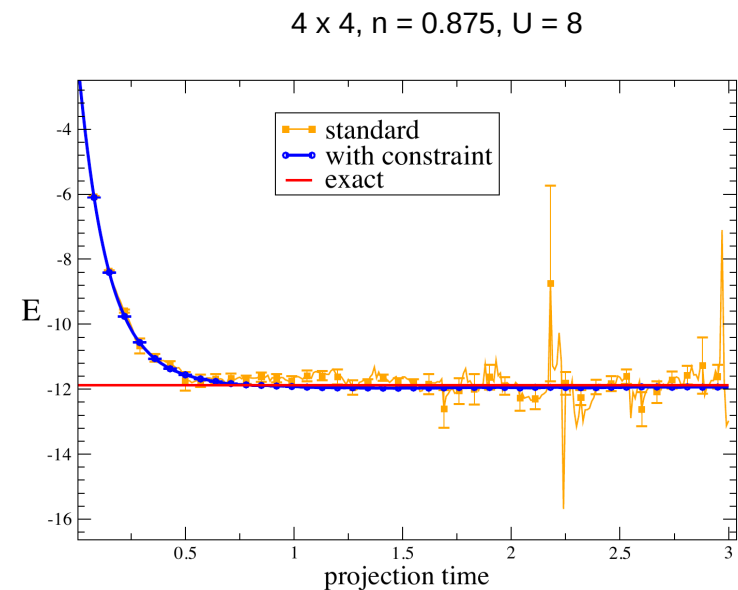
constrained path-AFQMC



- sign problem: exact analytical cancellation not captured by sampling

- keep only the positive-weight paths (constrained path)
- **approximate** nodal structure by trial wave function

Zhang, Carlson, Gubernatis, PRL 1995



what we measure

- apply bulk (global) d -wave pairing field and observe pairing response

$$\hat{\Delta}_{ij} \equiv (\hat{c}_{i\uparrow}\hat{c}_{j\downarrow} - \hat{c}_{i\downarrow}\hat{c}_{j\uparrow})/\sqrt{2} \quad \text{nearest-neighbor pairing}$$

$$\Delta_{ij} = \langle \hat{\Delta}_{ij} + \hat{\Delta}_{ij}^\dagger \rangle / 2 \quad \text{pairing OP}$$
$$H_p = - \sum_{\langle ij \rangle} h_p^{ij} \frac{1}{2} \left(\hat{\Delta}_{ij} + \hat{\Delta}_{ij}^\dagger \right) \quad \text{bulk pairing field taken to zero}$$

- apply boundary (edge) pairing field and observe decay of pairing in bulk

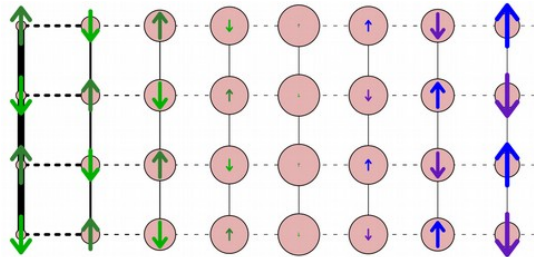
$$H_p = - \sum_{\langle ij \rangle} h_p^{ij} \frac{1}{2} \left(\hat{\Delta}_{ij} + \hat{\Delta}_{ij}^\dagger \right) \quad \text{only on edge}$$

- calculate decay of pair-pair correlations

$$P_{i'j',ij} = \langle \hat{\Delta}_{i'j'}^\dagger \hat{\Delta}_{ij} \rangle$$

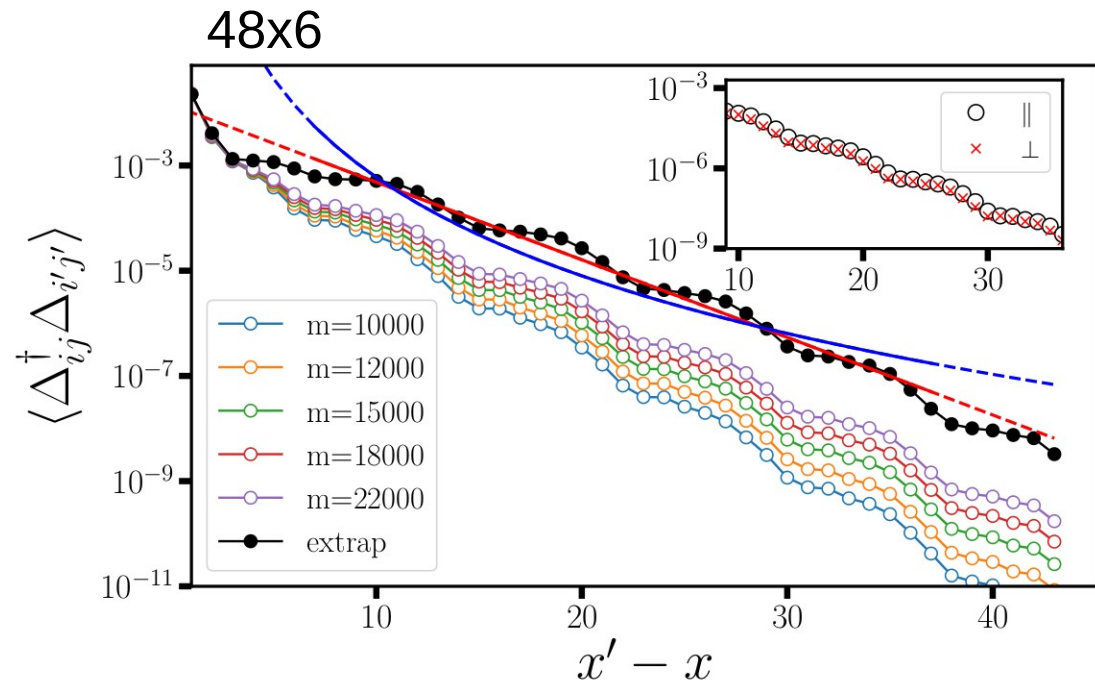
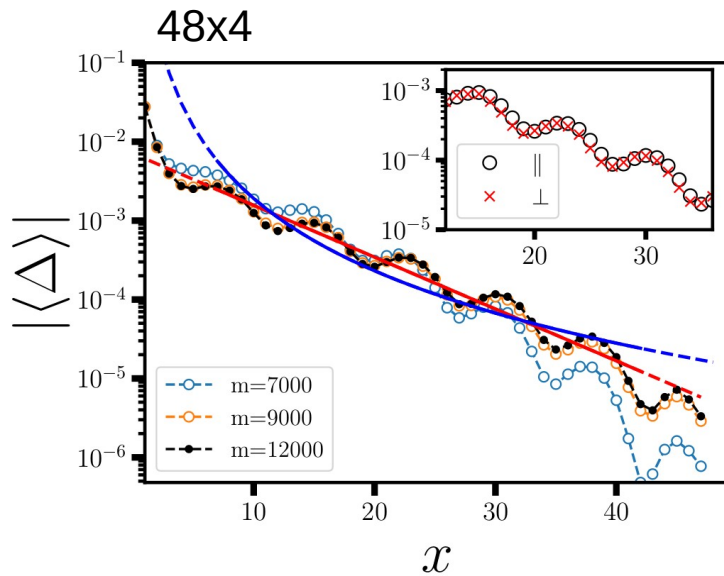
bulk decay and correlation decay

■ edge pairing field



■ pair-pair correlations (no field)

■ $U=8$, doping $1/8$



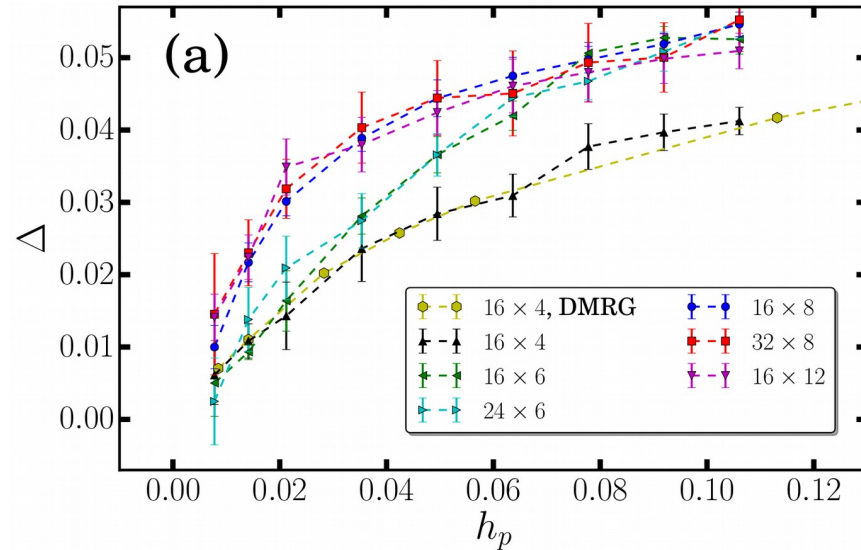
$$\hat{\Delta}_{ij} \equiv (\hat{c}_{i\uparrow}\hat{c}_{j\downarrow} - \hat{c}_{i\downarrow}\hat{c}_{j\uparrow})/\sqrt{2}$$

$$\langle \hat{\Delta}_{i'j'}^\dagger \hat{\Delta}_{ij} \rangle$$

up to 70,000 DMRG states
(SU(2) reps vs. U(1))

bulk pairing fields

finite sizes

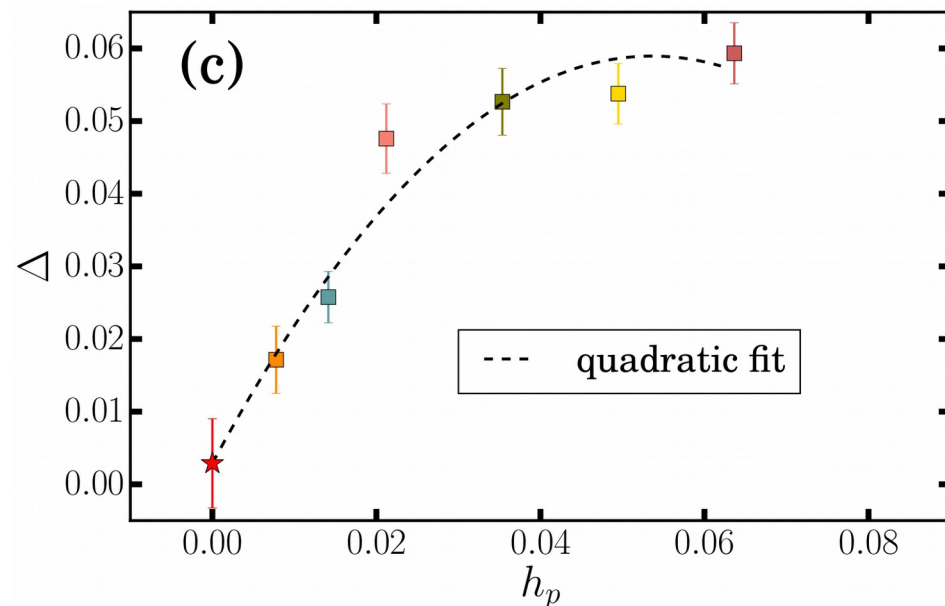


■ $U=8$, doping $1/8$

■ no pairing order survives

- order of extrapolations matters
- for each pairing field, take TD limit
- then take field to zero

thermodynamic limit



$t'=0$ summary

- $U=8$, doping $1/8$:
 - period 8 stripes
 - d -wave pairing
 - **no** long-ranged superconductivity
- $U=4$, doping $1/6$:
 - no stripes
 - **possibly very weak** superconductivity

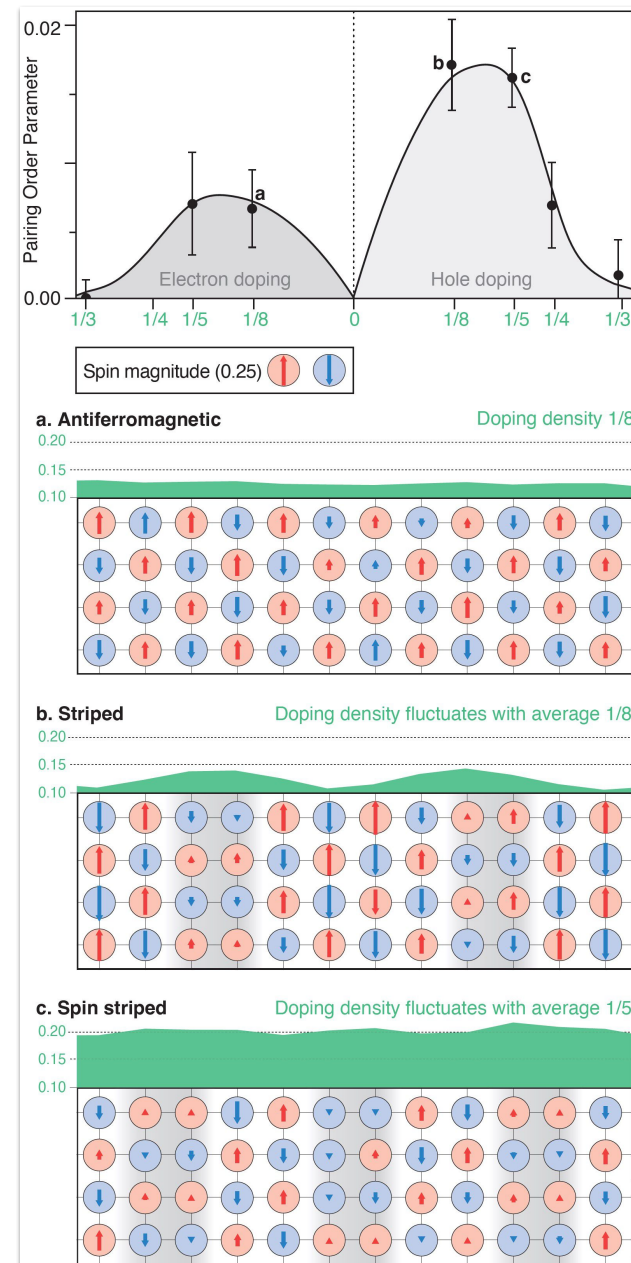
switching on t'

- electronic structure suggests weak negative t' (roughly -0.2)
- do we find superconductivity now?

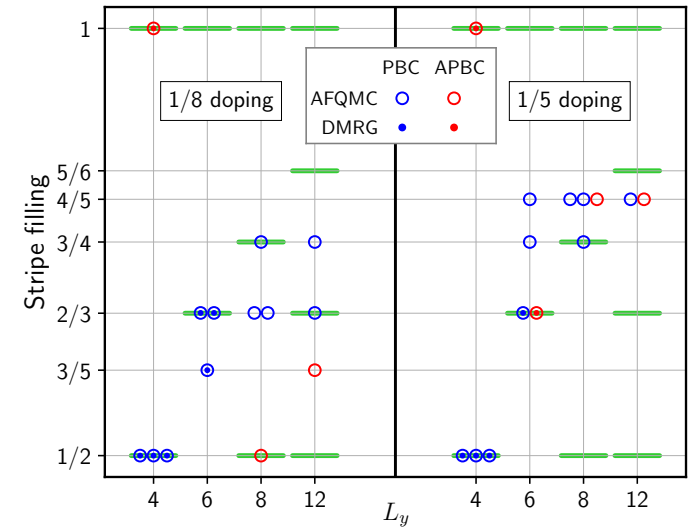
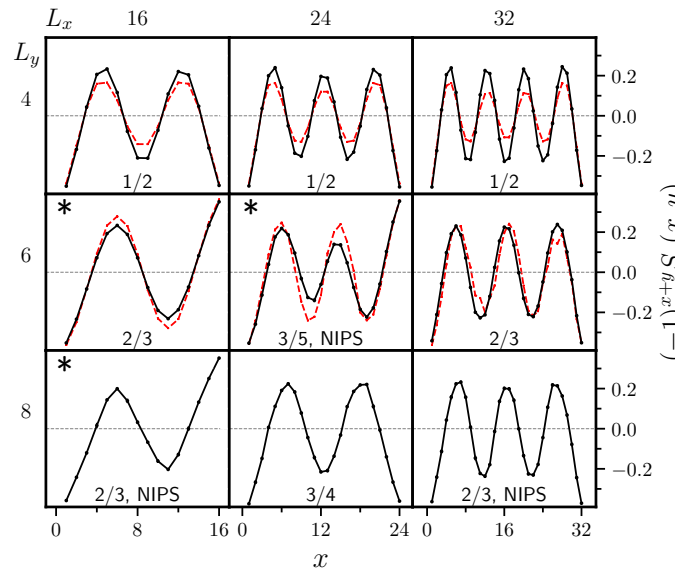
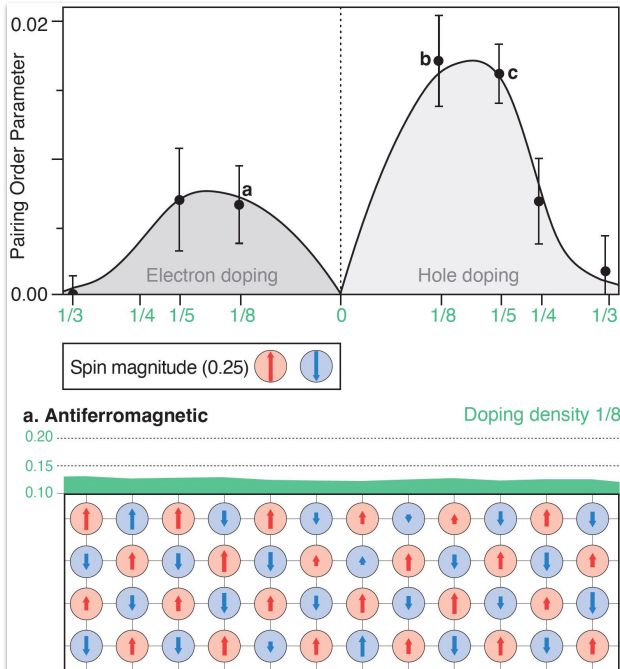
Hao Xu, Chia-Min Chung, Mingpu Qin,
Uli Schollwöck, Steve White, Shiwei Zhang,
2303.08376

overview: „phase diagram“

- $U=8, t'=-0.2$ physically
- variation of hole doping
 - $t'=-0.2$: hole doping
 - $t'=0.2$: electron doping (p-h!)
- mutual benchmarking
DMRG - AFQMC
- pairing order parameter:
response to pairing field
extrapolated to
 - TD limit
 - zero pairing field



holes: underdoped and overdoped

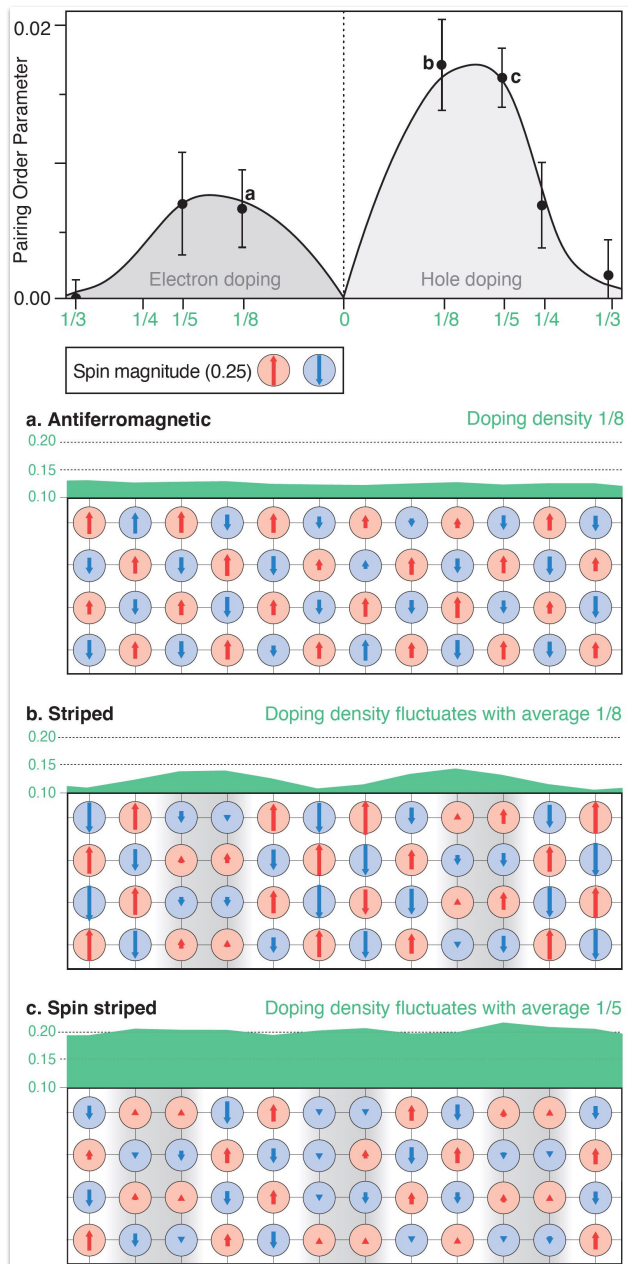


green: IPS



- stripe filling: $f = \delta\lambda_{\text{SDW}}/2$
hole (line) density
- hole pairs: $n_p = fL_y/2$
- many systems have **non-integer pair stripes (NIPS)**
- stripes fluctuating:
mechanism for pair coherence?

what about the t - t' - J model?



- t - t' - J model: large- U limit of Hubbard model

Jiang, Scalapino, White PNAS (2021)

Gong, Zhu, Sheng PRL (2021)

Jiang, Kivelson, PRL (2021)

Sheng group, 2304.03963 (2023)

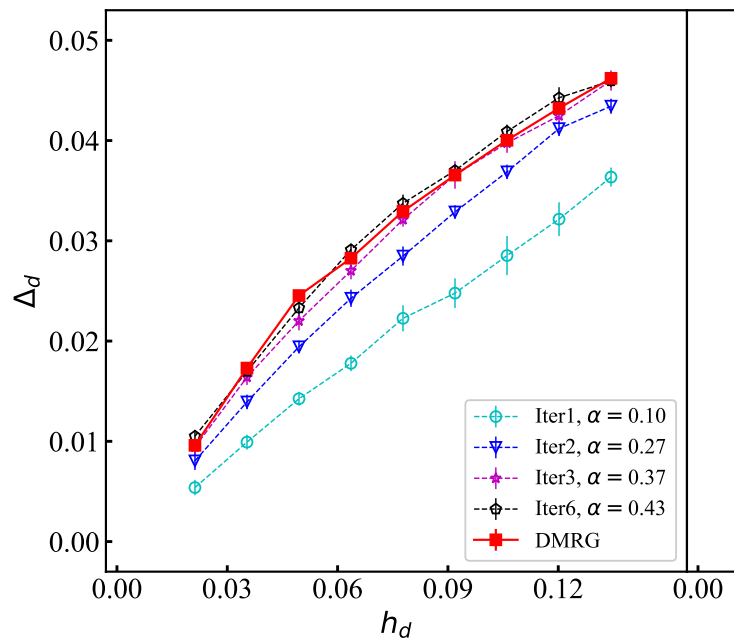
- electron-doped:
 d -wave SC, AFM background as in Hubbard

- hole-doped:
stripes
no superconductivity (perhaps weak??)

- stripe fluctuations too weak for SC
because large U -limit??

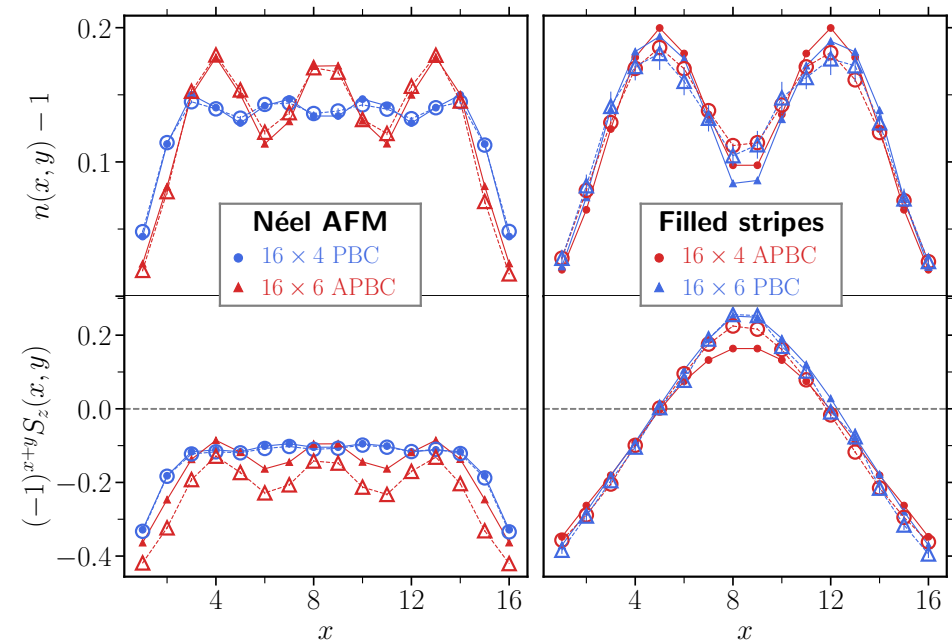
benchmarking: DMRG / AFQMC

hole-doping 1/4, 16 x 4 cylinders



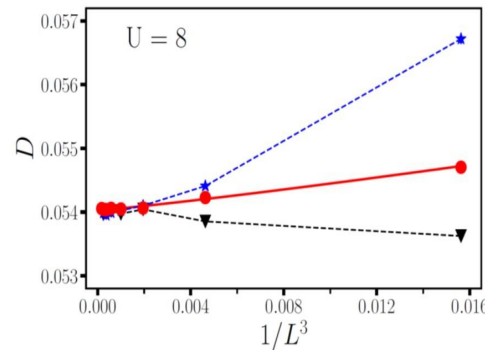
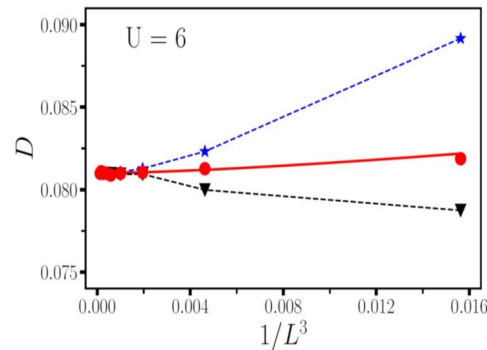
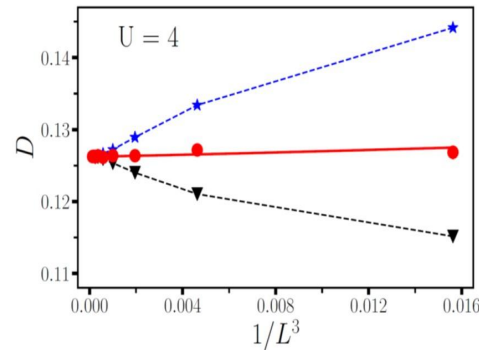
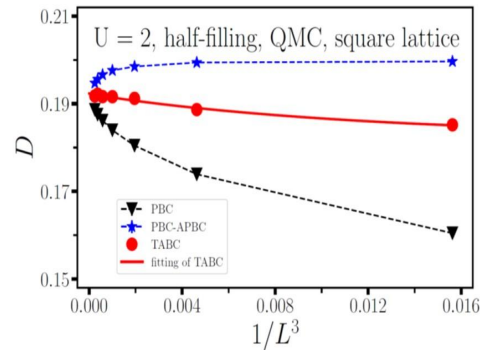
- start with bad trial wave function
- AFQMC optimizes it self-consistently;
no fit parameter!
- then agreement with DMRG

electron-doping 1/8, 16 x 4 and x 6 cylinders



- mostly excellent agreement DMRG / AFQMC
- very strong and changing dependency on BCs:
averaging over twisted BCs
- t' : more low-lying excited states,
more sensitivity to BCs / size
- is this explanation of variety of results?

twist averaging: why?



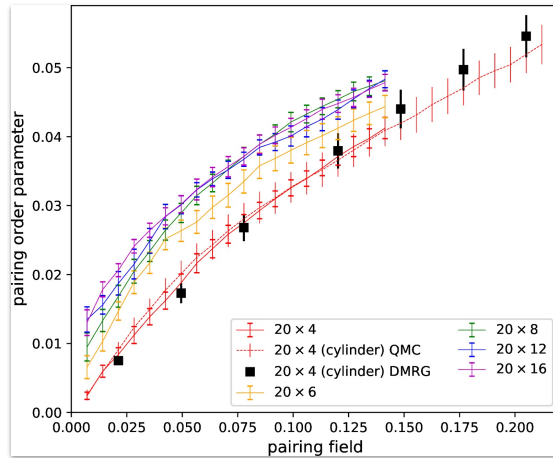
D : double occupancy

PBC, PBC/APBC, TABC

systems up to 20×20

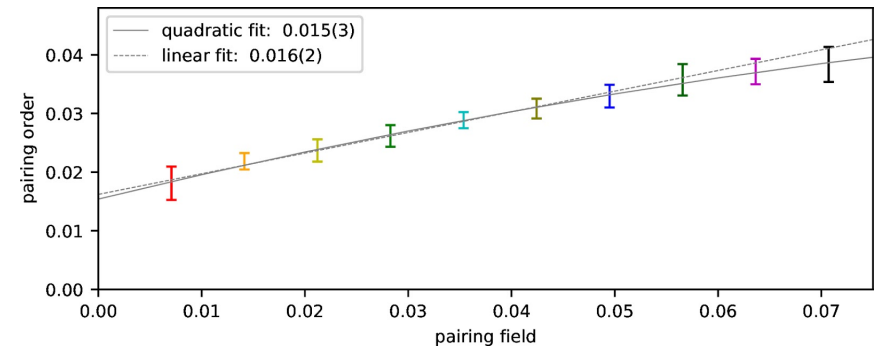
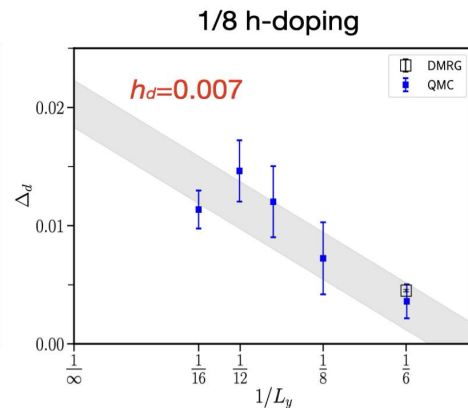
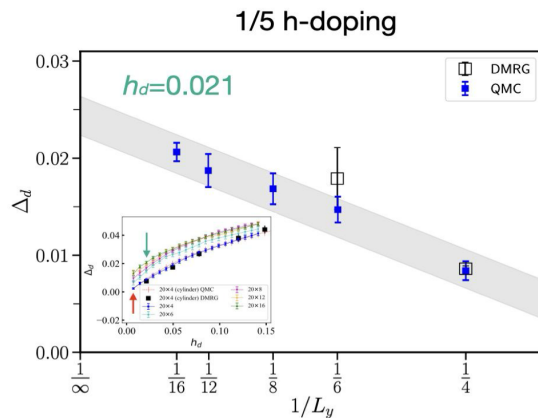
- half-filling: AFQMC without sign problem
- before twist: average: difficult and widely differing extrapolation
- after twist average: straightforward extrapolation in system size

extrapolation of pairing order



hole-doped ($t'=-0.2$), doping $1/5$,
twist-averaged (error bars!)

- good agreement DMRG / AFQMC
- **DMRG cylinders too small for definite statement**
- clear extrapolation in the AFQMC data
- non-zero pairing order!



conclusion

- adopt philosophy:
only believe consistent results from **several methods**
- pure ($t'=0$) Hubbard ($U=8$, doping $1/8$) shows period 8 [5...8] filled stripes
- pure ($t'=0$) Hubbard model does **not** show d -wave SC for **experimentally relevant** parameters
- seems insufficient model for high- T_c : cold atom experiments!
- switch on $t'<0$:
 - iPEPS finds period 4 stripes, pairing order (for larger doping)
 - DMRG results for width 4 cylinders probably often irrelevant
 - DMRG/AFQMC in excellent agreement
 - **find d -wave SC, arguably related to strongly fluctuating stripes**
 - very strong role of BCs, finite-size effects