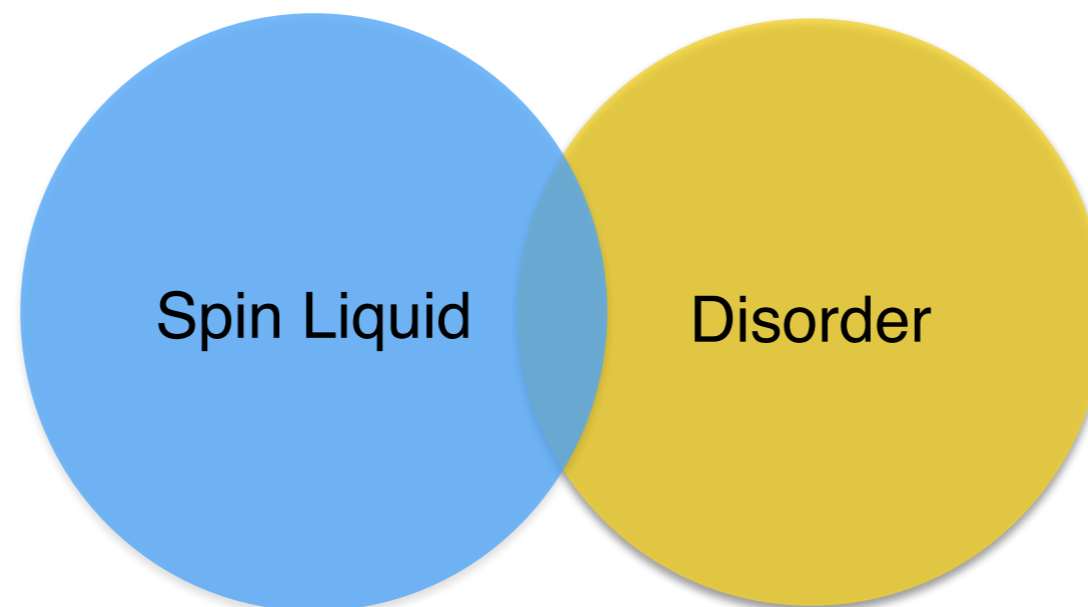
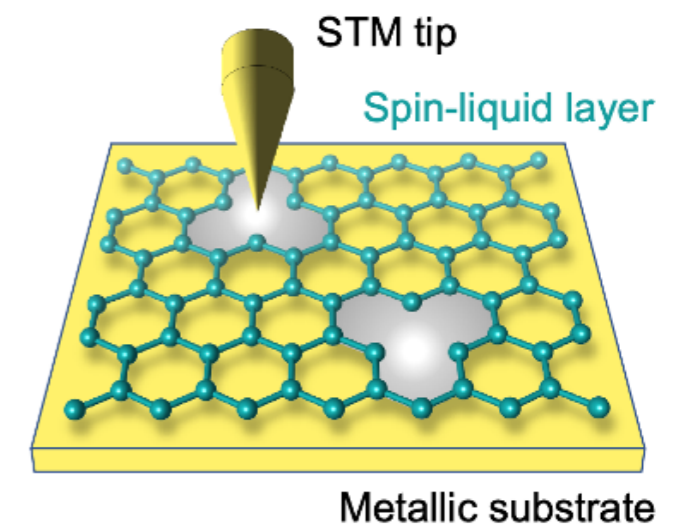
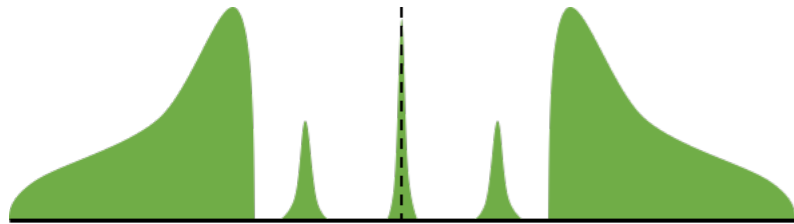


Dynamics of vacancy-induced modes in the non-Abelian Kitaev spin liquid

Natalia Perkins
University of Minnesota





Wen-Han Kao
University of Minnesota



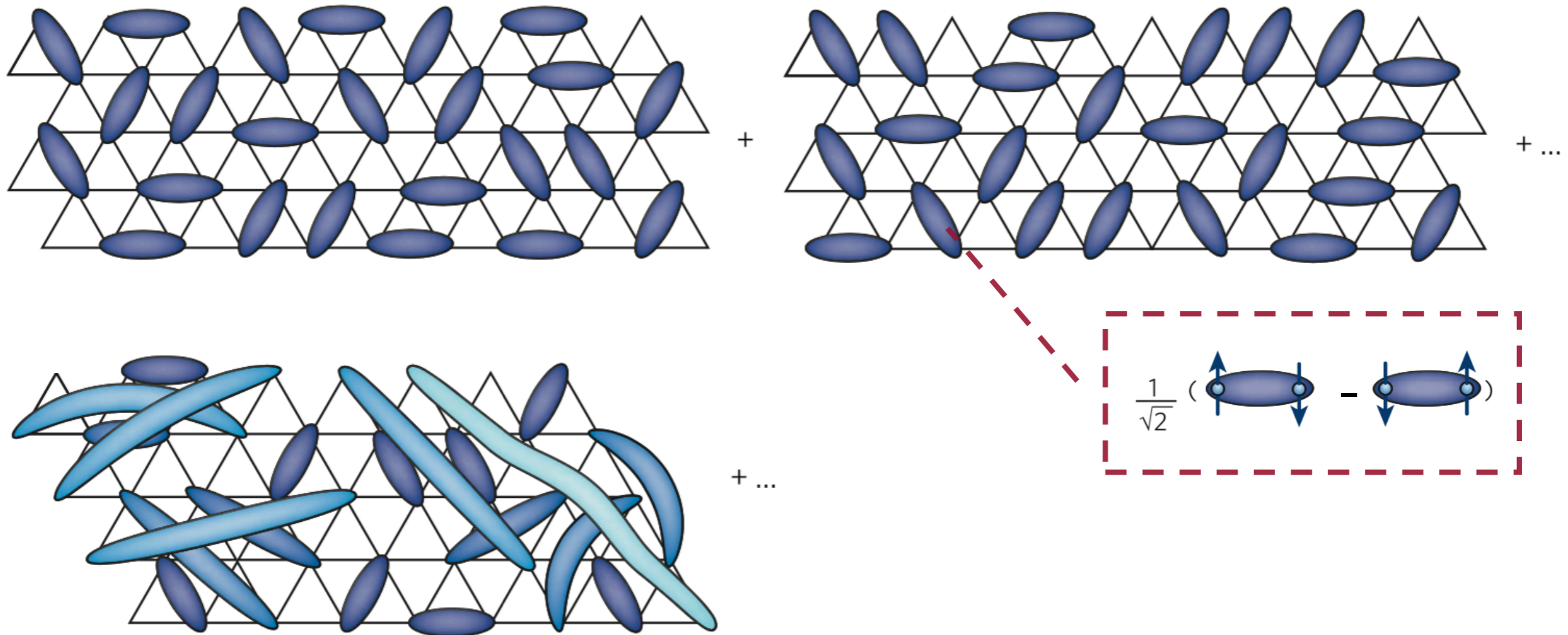
Gabor Halasz
ORNL, Oak Ridge

Wen-Han Kao, Gábor B. Halász, Natalia B. Perkins,
Dynamics of vacancy-induced modes in the non-Abelian Kitaev spin liquid
arXiv:2310.06891

Wen-Han Kao, Natalia B. Perkins, Gábor B. Halász,
Vacancy spectroscopy of non-Abelian Kitaev spin liquids
arXiv:2307.10376

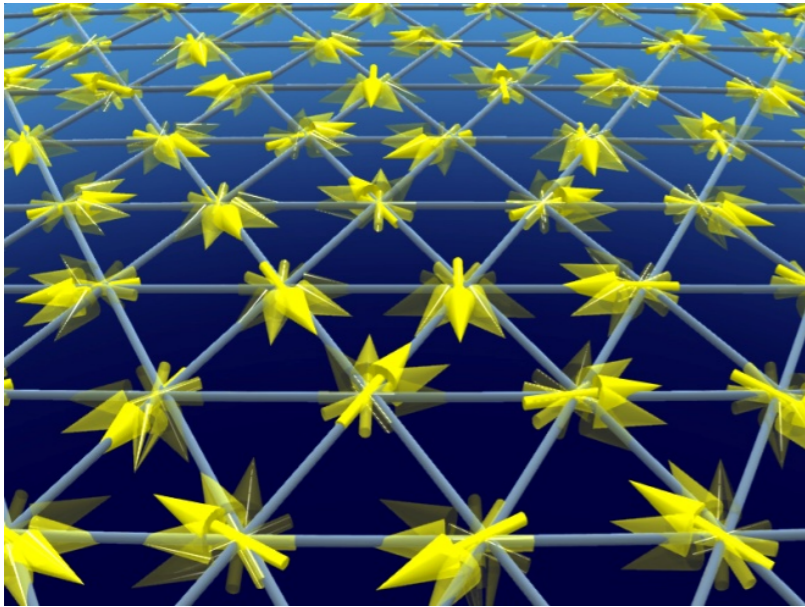
Quantum spin liquid

Resonating Valence Bond (RVB)



P. Anderson, Mater. Res. Bull., 8, 153 (1973).
L. Balents, Nature 464, 199–208 (2010)

Quantum spin liquid



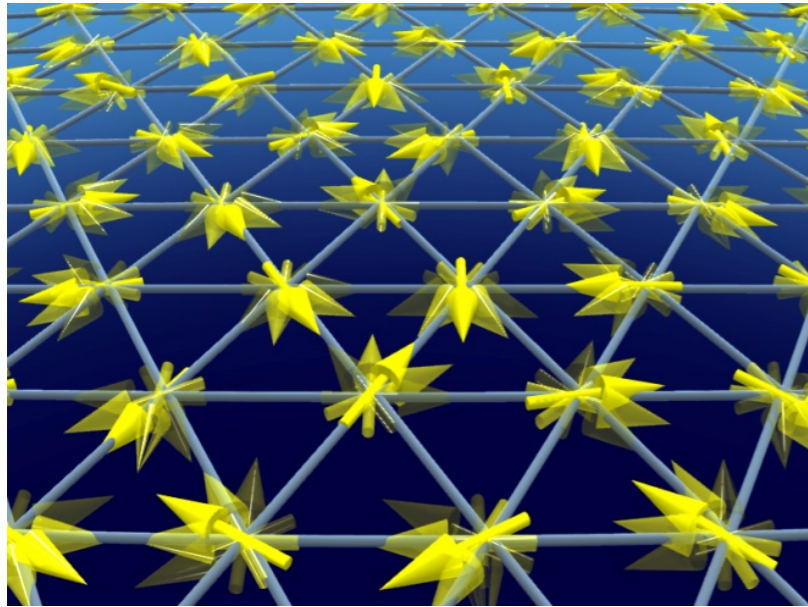
QSL is a state of interacting spins that breaks no rotational or translational symmetry.

QSLs are characterized by **topological order**, **long range entanglement**, and **fractionalized non-local excitations**.

Signatures of **fractionalization** in dynamical probes:

- Inelastic neutron scattering (INS)
- Raman scattering with visible light
- Resonant inelastic X-ray scattering (RIXS)
- Ultrafast spectroscopy
- Phonon dynamics
- ...
-

Quantum spin liquids

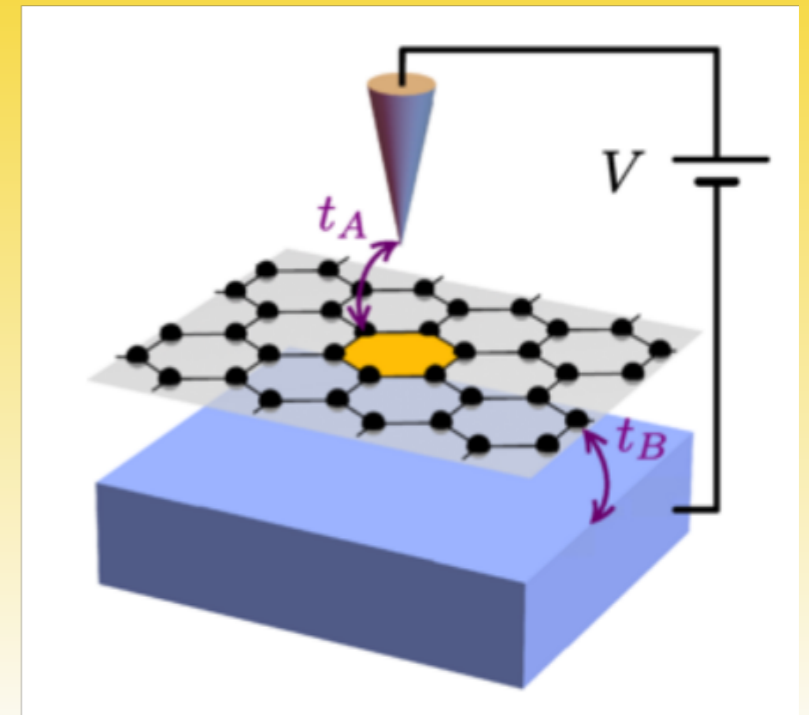


QSL is a state of interacting spins that breaks no rotational or translational symmetry.

QSLs are characterized by **topological order**, **long range entanglement**, and **fractionalized non-local excitations**.

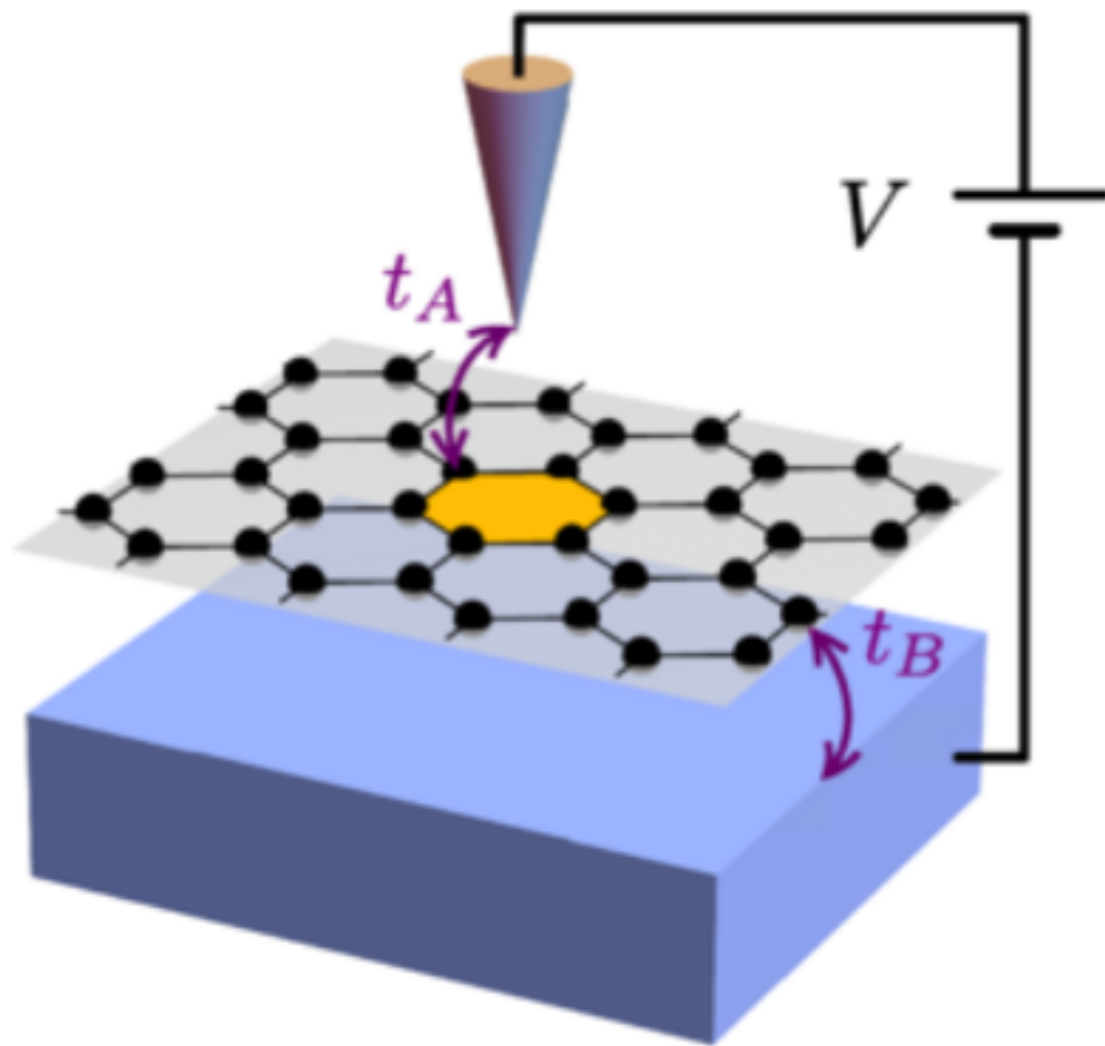
Signatures of **fractionalization** in dynamical probes:

- Inelastic neutron scattering (INS)
- Raman scattering with visible light
- Resonant inelastic X-ray scattering (RIXS)
- Ultrafast spectroscopy
- Phonon dynamics
- ...
- **Scanning tunneling spectroscopy**



Tunnel conductance is determined by dynamical spin correlations!

Local probe of 2D quantum magnets



Electron tunnels through (not into) material.

Bauer *et al.*, PRB2023

Feldmeier *et al.*, PRB2020

König *et al.*, PRL2020

General idea: Derivative of tunneling conductance in voltage

$$\frac{d^2 I}{dV^2} \propto \sum_{\mathbf{r}, \mathbf{r}'} \sum_{\alpha, \beta} C_{\mathbf{r}, \mathbf{r}'}^{\alpha\beta} S_{\mathbf{r}, \mathbf{r}'}^{\alpha\beta}(eV)$$

is proportional to dynamical spin correlation function

$$S_{\mathbf{r}, \mathbf{r}'}^{\alpha\beta}(\omega) = \int dt e^{i\omega t} \langle \sigma_{\mathbf{r}}^{\alpha}(t) \sigma_{\mathbf{r}'}^{\beta}(0) \rangle$$

If tip is very sharp, and points directly on the site \mathbf{R}

$$C_{\mathbf{r}, \mathbf{r}'}^{\alpha\beta} \approx \delta_{\mathbf{r}, \mathbf{R}} \delta_{\mathbf{r}', \mathbf{R}} \delta_{\alpha, \beta}$$

$$\frac{d^2 I}{dV^2} \propto \sum_{\alpha} S_{\mathbf{R}, \mathbf{R}}^{\alpha\alpha}(eV)$$

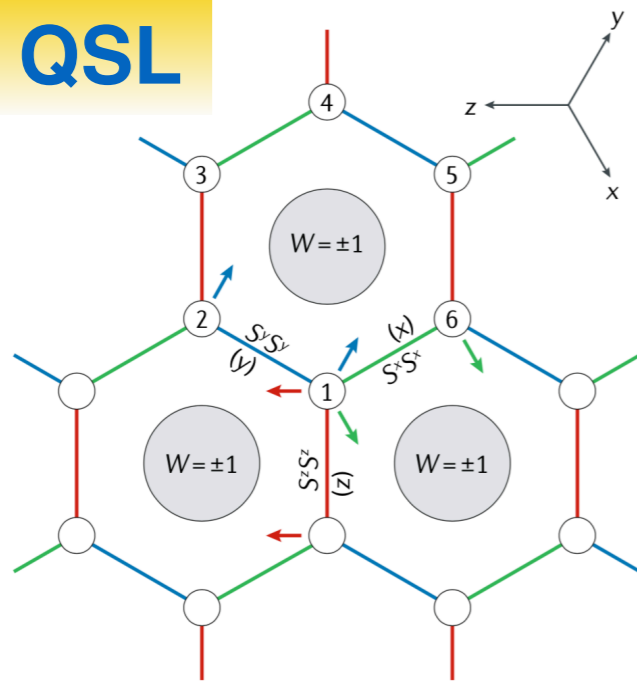
Single-site structure factor

Kitaev spin liquid

$$H = - \sum_{x\text{-bonds}} J_x \sigma_j^x \sigma_k^x - \sum_{y\text{-bonds}} J_y \sigma_j^y \sigma_k^y - \sum_{z\text{-bonds}} J_z \sigma_j^z \sigma_k^z$$

A. Kitaev, Annals of Physics **321**, 2 (2006)

exact QSL

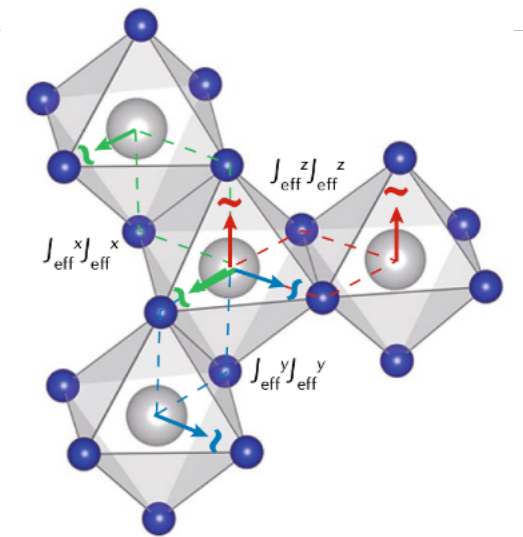
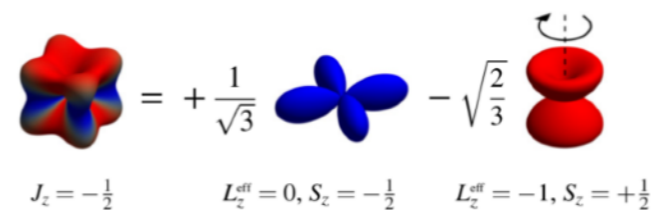
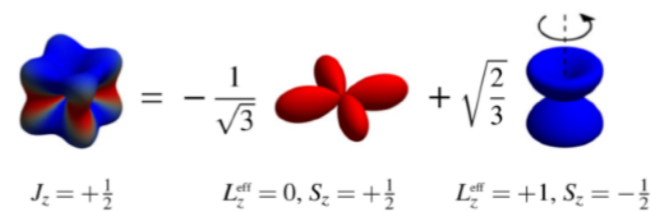
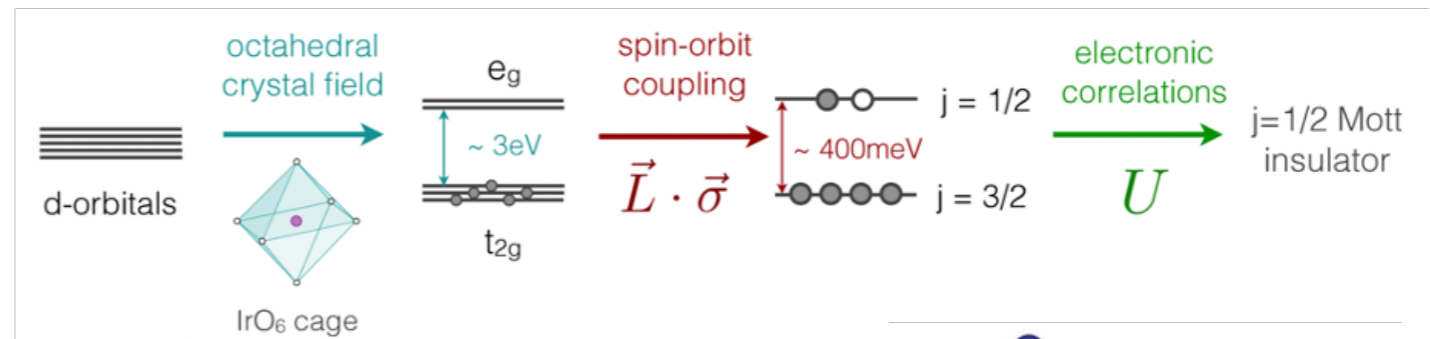


$$\tilde{W}_p = \hat{\sigma}_1^x \hat{\sigma}_2^y \hat{\sigma}_3^z \hat{\sigma}_4^x \hat{\sigma}_5^y \hat{\sigma}_6^z$$

$$[\tilde{W}_p, \hat{H}] = 0$$

$$W_p = \pm 1$$

Kitaev Materials



G. Jackeli and G. Khaliullin, PRL (2009)

S. Trebst and C. Hickey, Phys. Rep. (2022)

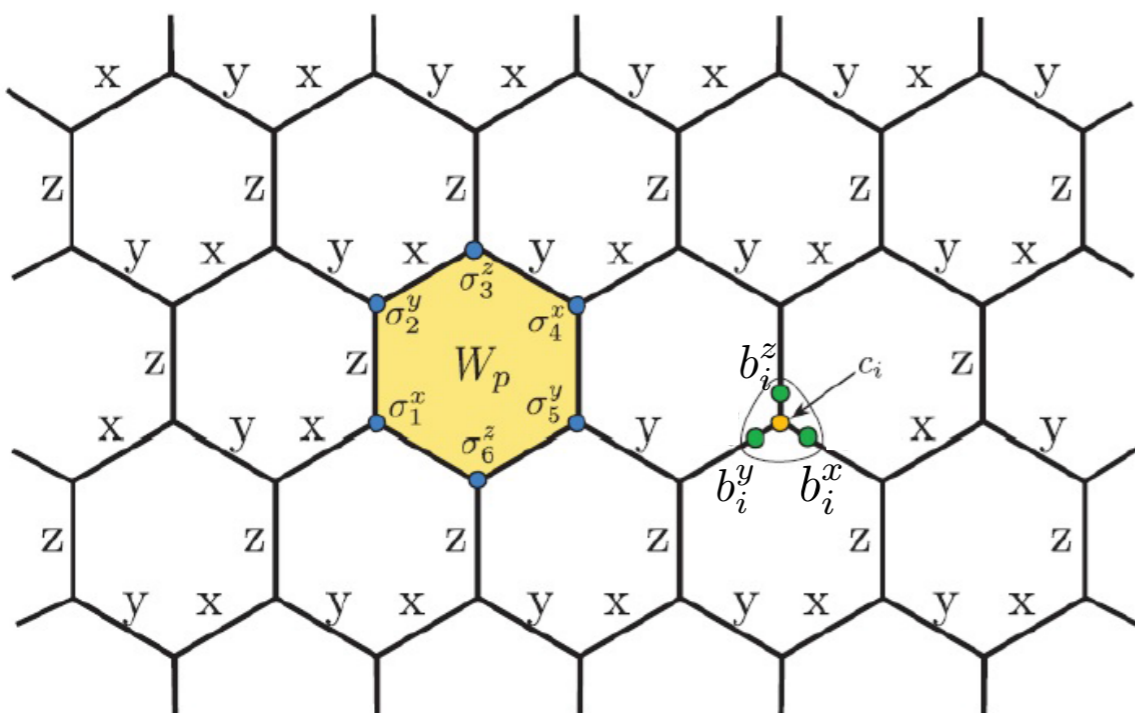
Fractionalization in the Kitaev spin liquid

Physical spins: $\sigma_i^\alpha = ic_i b_i^\alpha$, $\alpha = x, y, z$

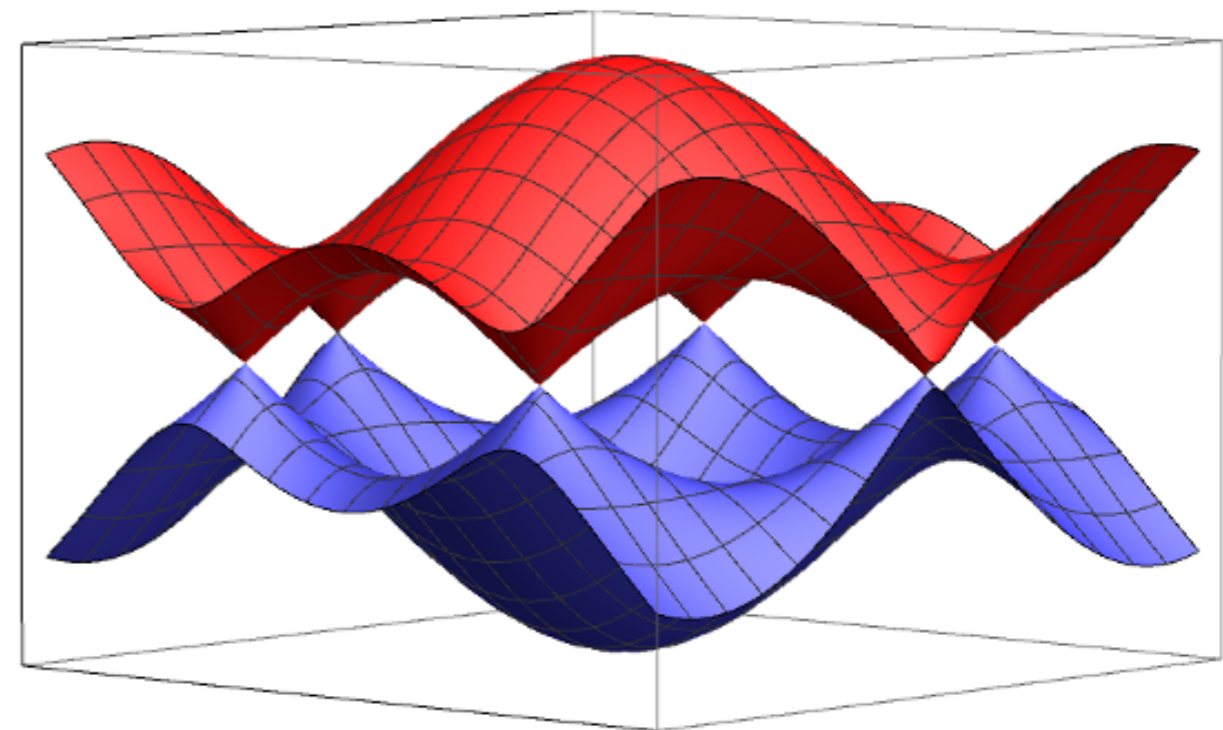
$$\mathcal{H} = \sum_{\alpha=x,y,z} J_\alpha \sum_{\langle ij \rangle_\alpha} ic_i \hat{u}_{\langle ij \rangle_\alpha} c_j$$

Bond fermions (Flux pairs)

$$\hat{u}_{\langle ij \rangle_\alpha} \equiv ib_i^\alpha b_j^\alpha$$



Matter fermions
(Majorana fermions)

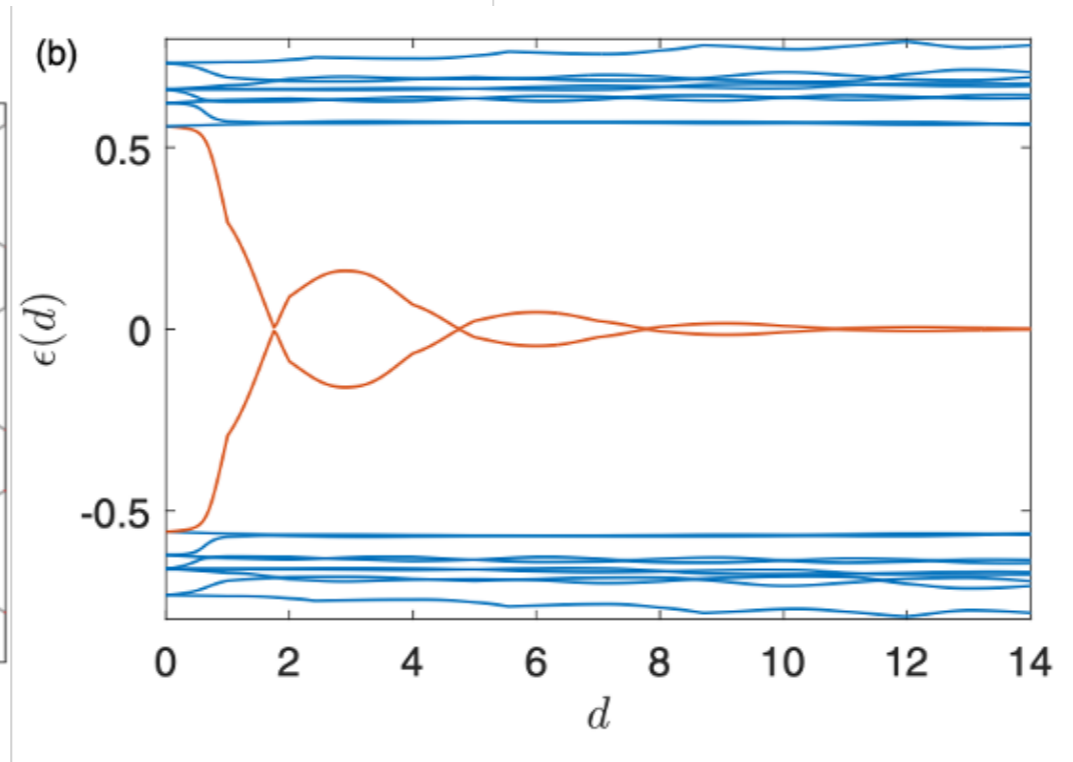
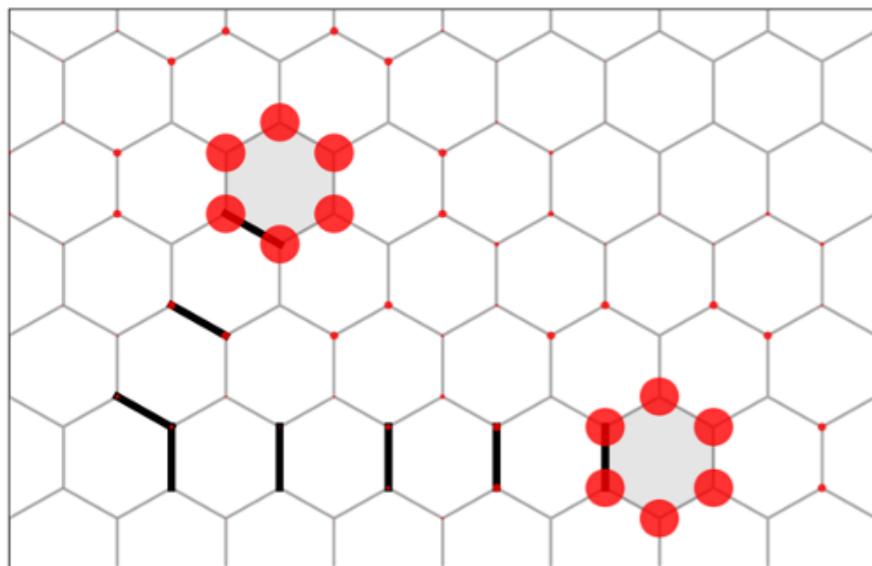
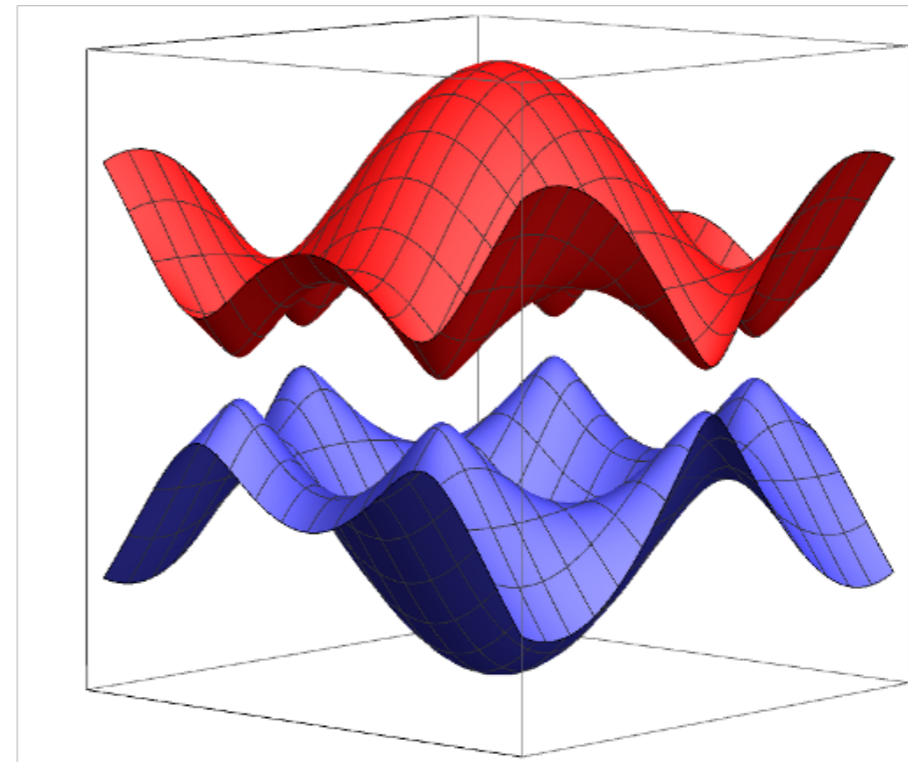


Fractionalization in the Kitaev spin liquid

Majorana fermions are gapped

$$\kappa \sim \frac{h_x h_y h_z}{\Delta_f^2}, \quad \Delta_\kappa = 6\sqrt{3} \kappa$$

Gapped gauge fluxes becomes non-Abelian Ising anyone



Fusion rule

$$\sigma \times \sigma = 1 + \psi$$

Two fluxes with f-modes

Fluxes annihilate with one QP

Separated fluxes induce in-gap modes (**f-mode**)

Two f-modes **hybridize** when close in proximity

Scanning tunneling spectroscopy of Kitaev QSL

- Total Hamiltonian: $H = H_t + H_s + H_K + H_T$

$$H_t + H_s = \sum_{\mathbf{p}\sigma} \varepsilon_{\mathbf{p}} p_{\mathbf{p}\sigma}^\dagger p_{\mathbf{p}\sigma} + \sum_{\mathbf{k}\sigma} \varepsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma}$$

$$H_K = \sum_{\langle ij \rangle_\alpha} J^\alpha S_i^\alpha S_j^\alpha + \kappa \sum_{\langle ij \rangle_\alpha, \langle jk \rangle_\gamma} S_i^\alpha S_j^\beta S_k^\gamma$$

$$H_T = \sum_{\mathbf{p}\mathbf{k}\sigma\sigma'} \hat{T}_{\mathbf{r}}^{\sigma\sigma'} p_{\mathbf{p}\sigma}^\dagger c_{\mathbf{k}\sigma'} e^{i(\mathbf{k}\cdot\mathbf{r} + eVt)} + \text{h. c.}$$

- Co-tunneling matrix element:

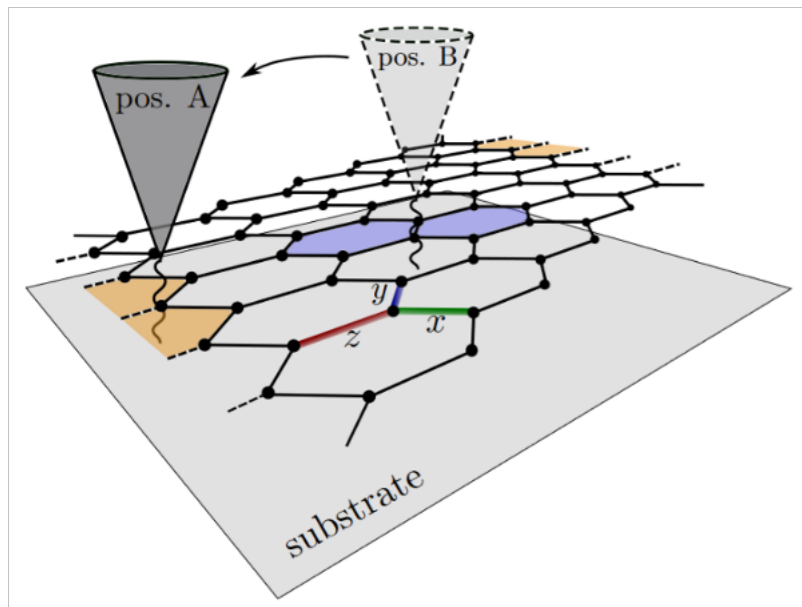
$$\hat{T}_{\mathbf{r}}^{\sigma\sigma'} = t_0 \delta_{\sigma\sigma'} + \sum_i t_1(\mathbf{r} - \mathbf{r}_i) \vec{\sigma}_{\sigma\sigma'} \cdot \vec{S}_i$$

$$t_1(\mathbf{r} - \mathbf{r}_i) \sim e^{-d/d_0} e^{-|\mathbf{r} - \mathbf{r}_i|/\lambda}$$

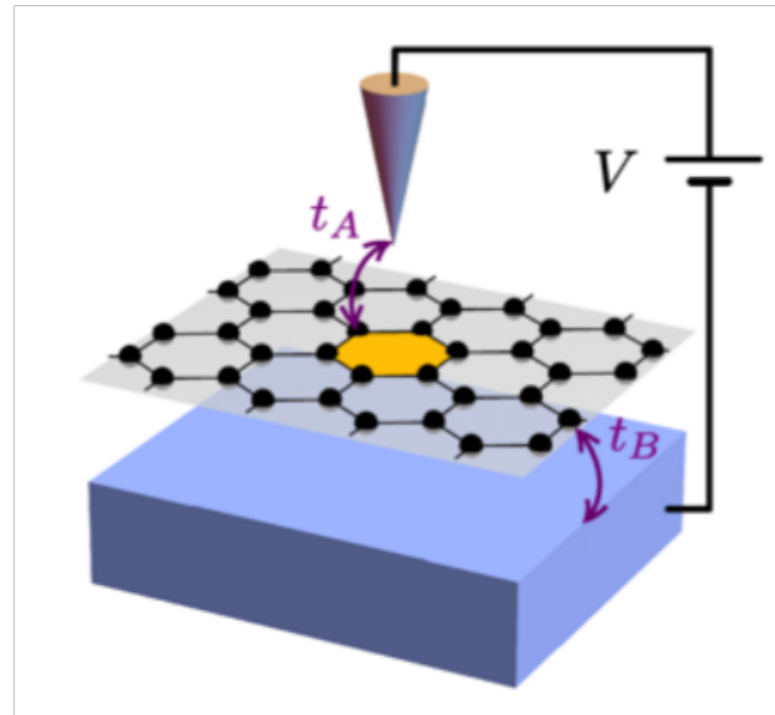
- Tunneling conductance:

$$\frac{\partial I}{\partial V} = \frac{2\pi e^2}{\hbar} \sum_{\alpha\beta} \sum_{ij} t_1(\mathbf{r} - \mathbf{r}_i) t_1(\mathbf{r} - \mathbf{r}_j) C_{\alpha\beta} \int_0^{eV} d\omega S_{ij}^{\alpha\beta}(\omega)$$

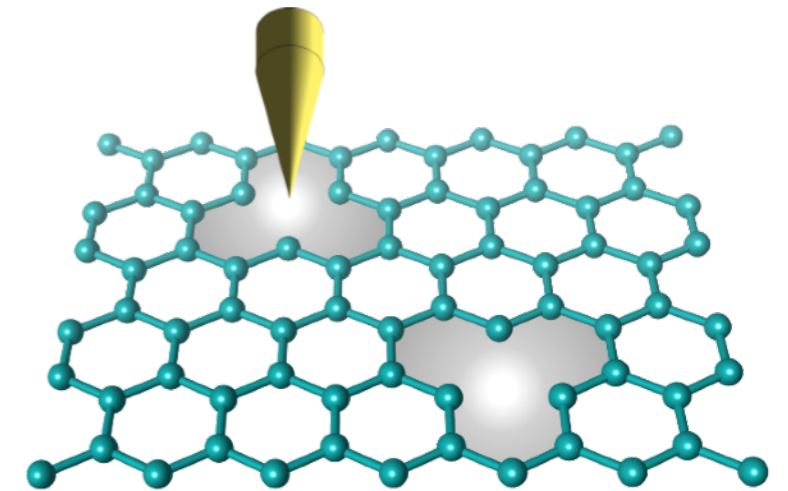
Scanning tunneling spectroscopy of Kitaev QSL



Feldmeier *et al.*, PRB2020
König *et al.*, PRL2020

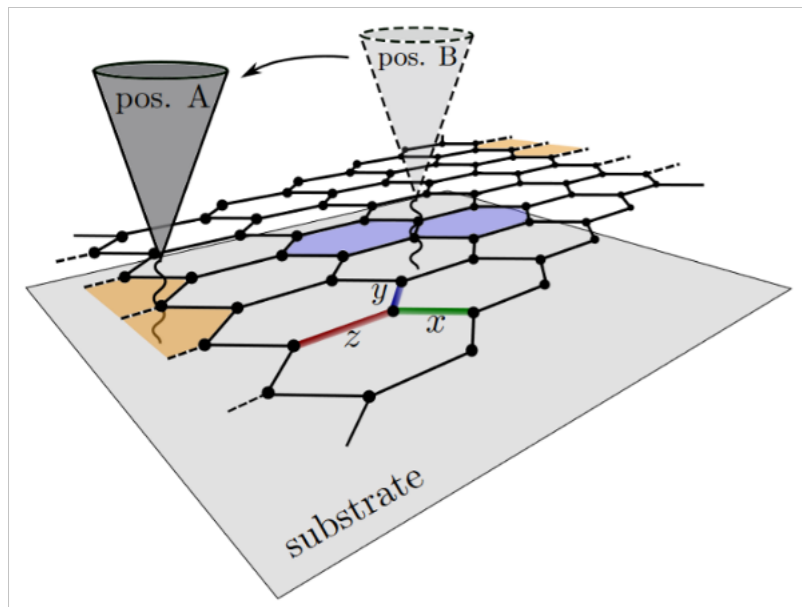


Bauer *et al.*, PRB2023
Udagawa *et al.*, PRL2021

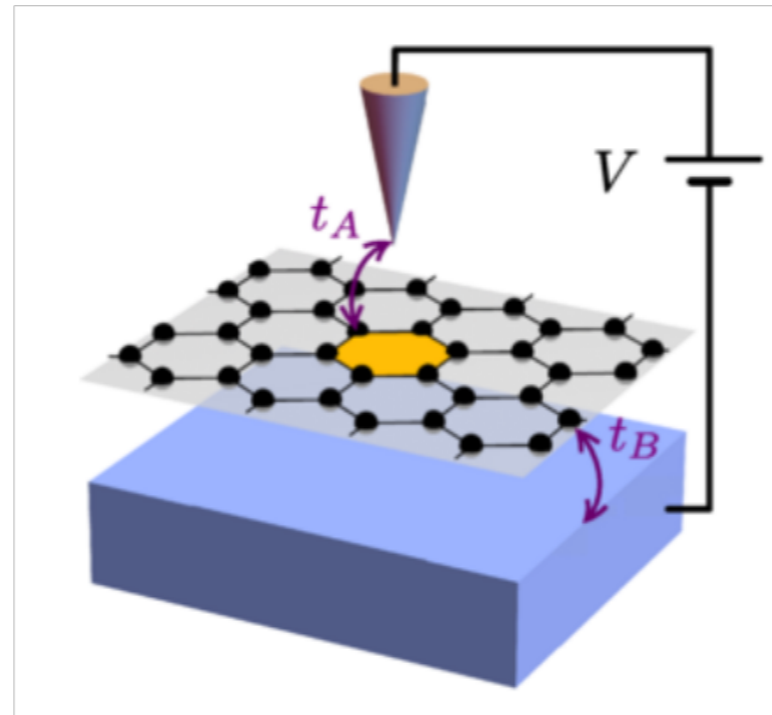


Kao *et al.*, arxiv:2307.10376
Kao *et al.*, arxiv:2310.06891
Takahashi *et al.*, arxiv:2211.13884

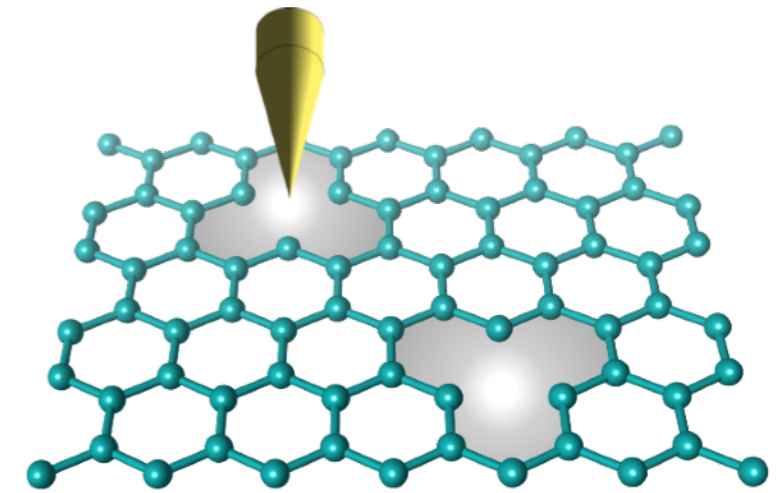
Scanning tunneling spectroscopy of Kitaev QSL



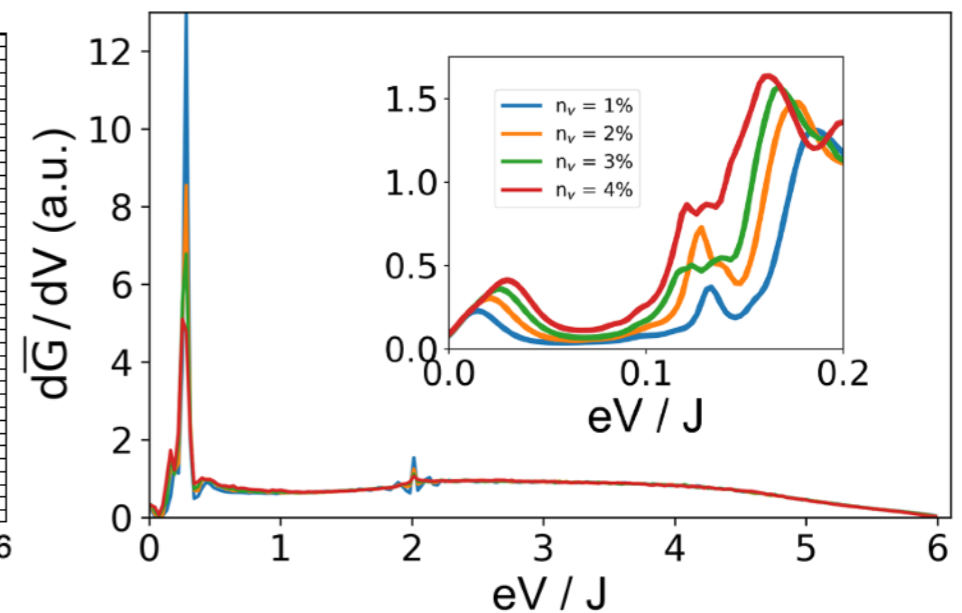
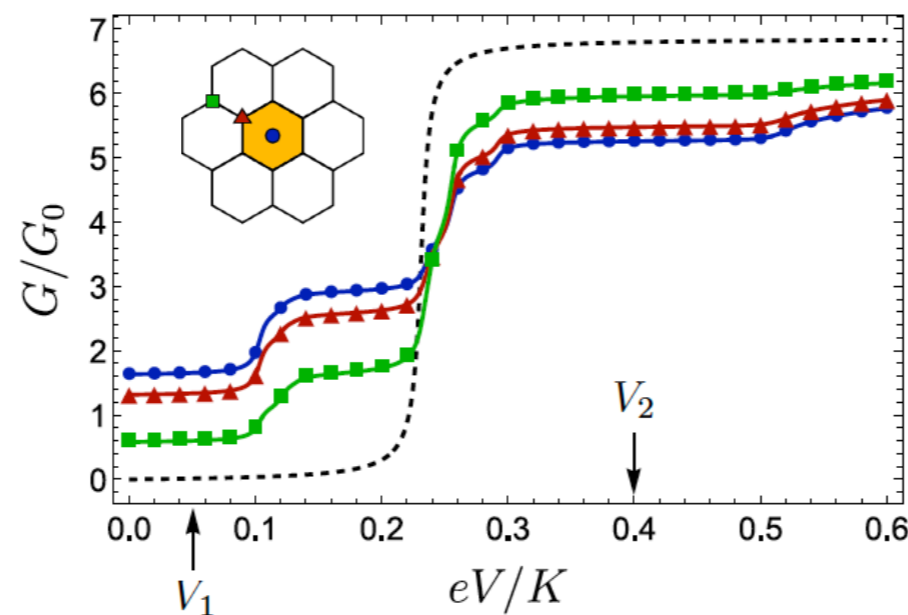
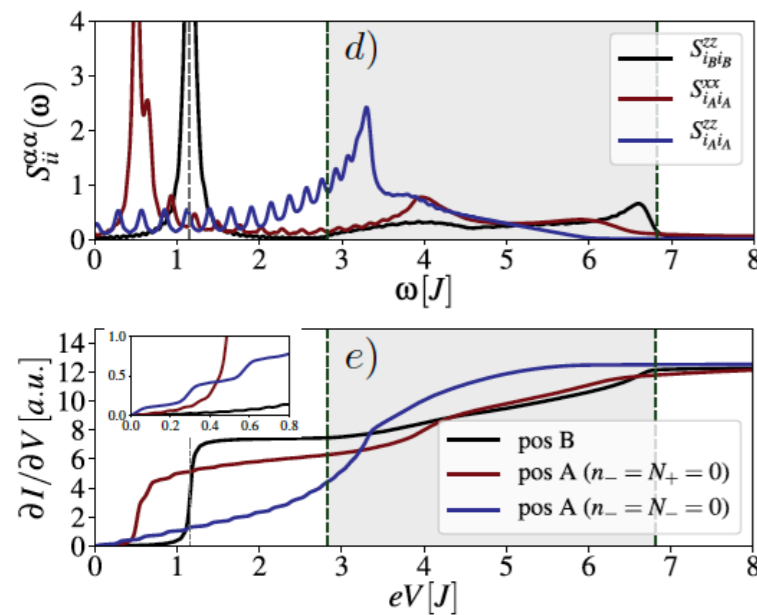
Feldmeier *et al.*, PRB2020
König *et al.*, PRL2020



Bauer *et al.*, PRB2023
Udagawa *et al.*, PRL2021

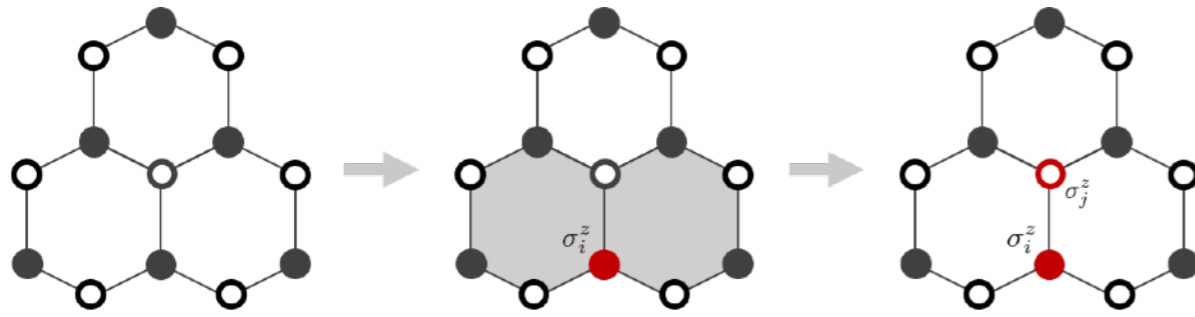


Kao *et al.*, arxiv:2307.10376
Kao *et al.*, arxiv:2310.06891
Takahashi *et al.*, arxiv:2211.13884



Different tip position => different correlation functions

Dynamical Correlation Function (bulk)



G. Baskaran, S. Mandal, R. Shankar, PRL **98**, 247201 (2007)
 J. Knolle *et al.*, Phys. Rev. Lett. **112**, 207203 (2014)
 J. Knolle *et al.*, Phys. Rev. B **92**, 115127 (2015)

$$S_{ij}^{\alpha\beta}(\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dt e^{i\omega t} \langle 0 | \sigma_i^\alpha(t) \sigma_j^\beta(0) | 0 \rangle$$

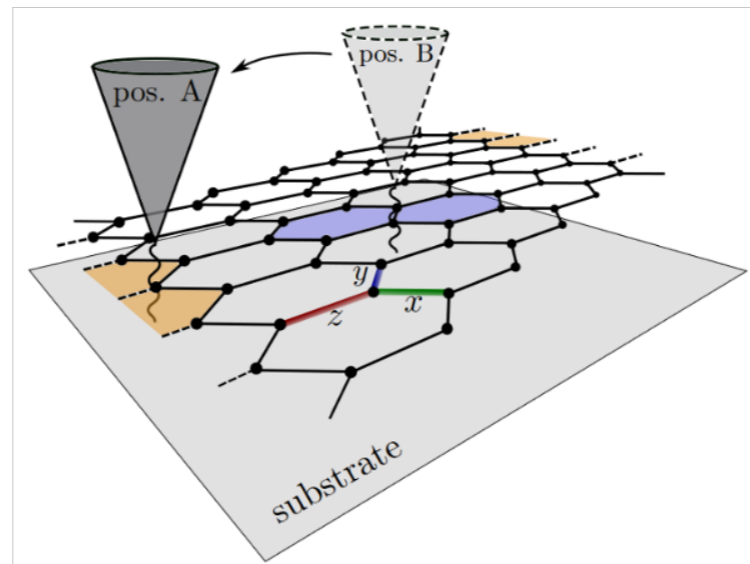
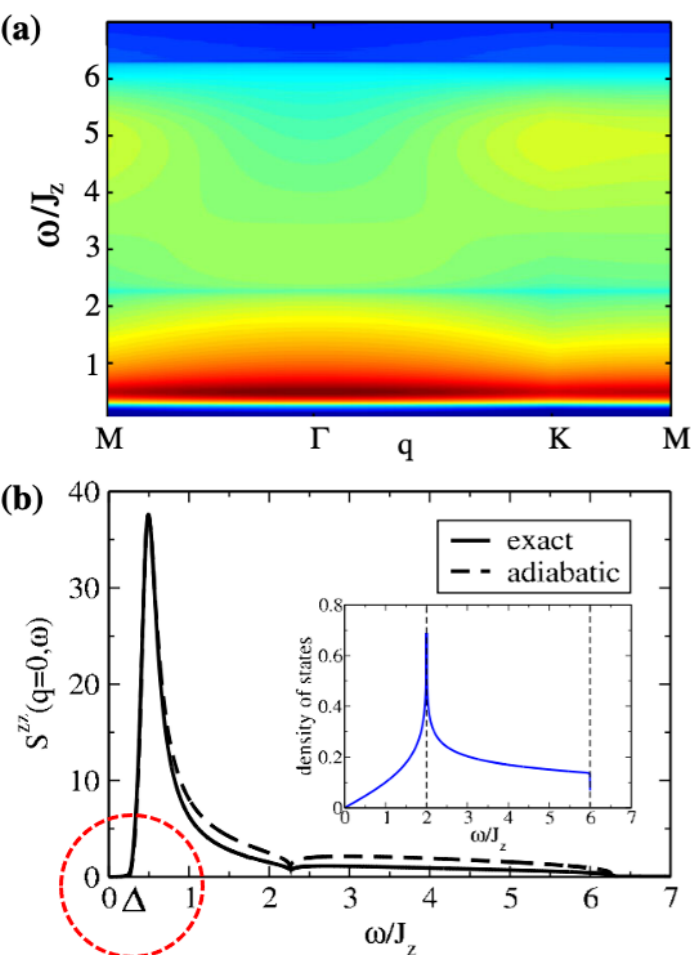
$$= \sum_{\lambda_F} \langle M_0 | c_i | \lambda_F \rangle \langle \lambda_F | c_j | M_0 \rangle \delta[\omega - (E_\lambda^F - E_0)].$$

Bulk spin correlation function

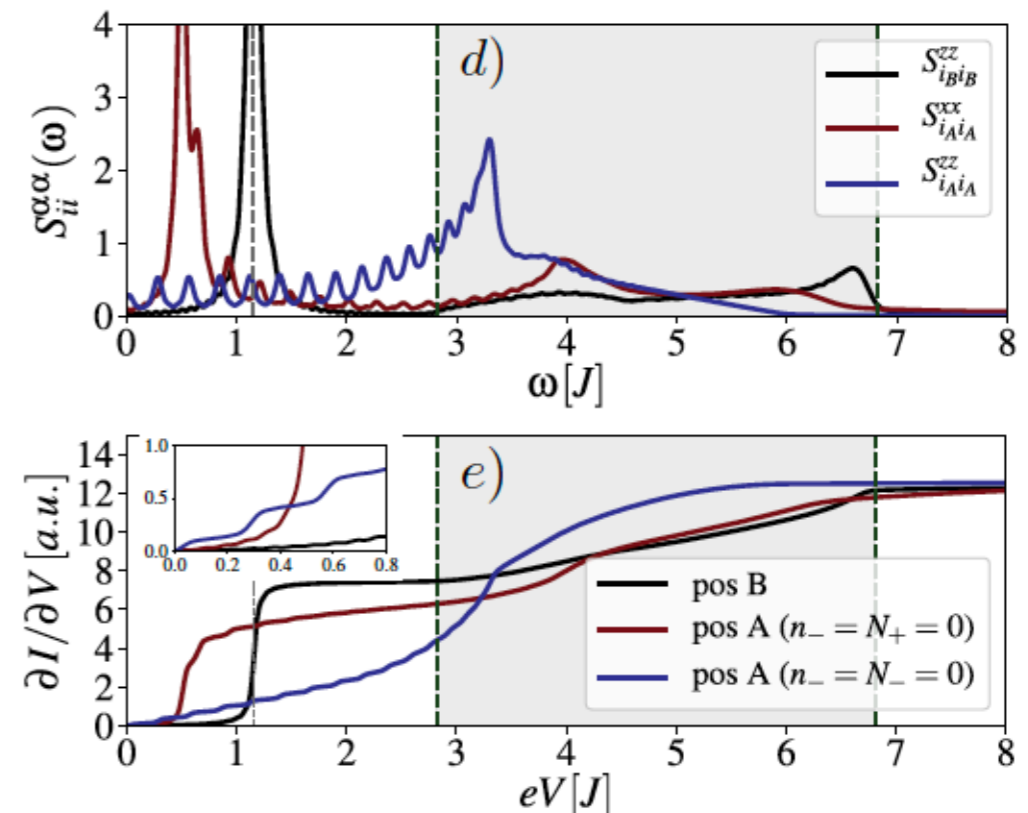
- On-site correlation
- Nearest-neighbor correlation

one-particle contribution

$$\langle M_0 | c_i | \lambda_F \rangle \sim \langle M_0 | c_i (a_\lambda^F)^\dagger | M_F \rangle \sim \langle M_F | c_i (a_\lambda^F)^\dagger | M_F \rangle$$

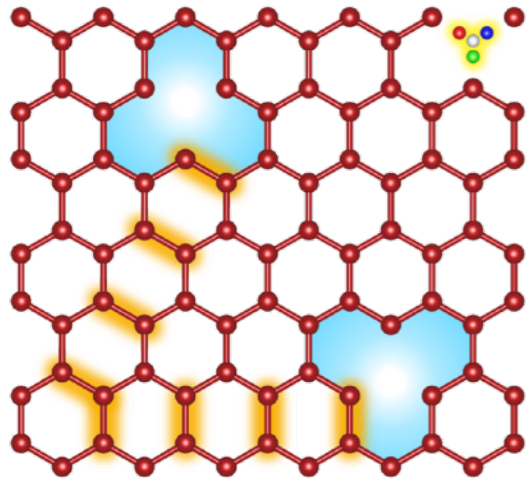


Feldmeier *et al.*, PRB2020

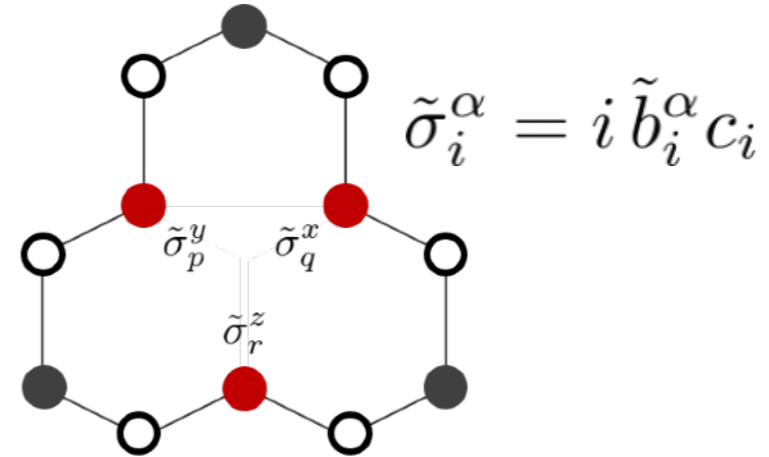


No intensity below 2-flux gap

Kitaev spin liquid with vacancies

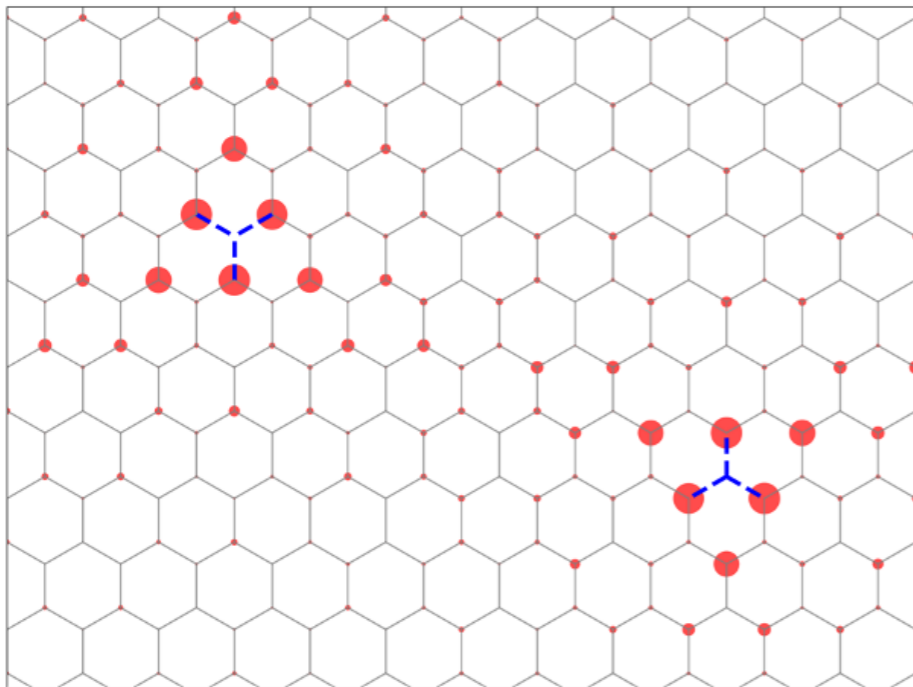


$$\mathcal{H} = -J \sum_{\langle ij \rangle_\alpha} \sigma_i^\alpha \sigma_j^\alpha$$



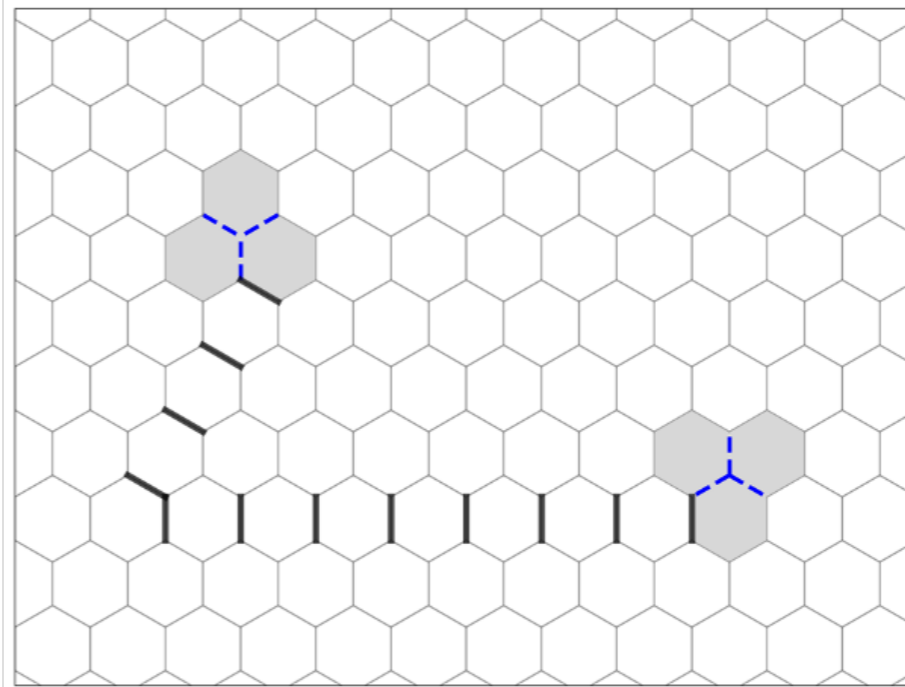
- A. Willans *et al.*, Phys. Rev. Lett. 104, 237203 (2010)
 A. Willans *et al.*, Phys. Rev. B 84, 115146 (2011)
 F. Zschocke *et al.*, Phys. Rev. B 92, 014403 (2015)
 W.-H. Kao *et al.*, Phys. Rev. X 11, 011034 (2021)

Peripheral Modes (p-mode)



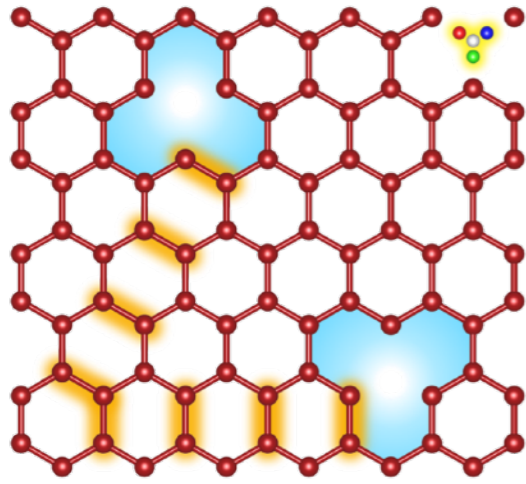
- Quasi-localized and $E \sim 0$
- The same as in graphene

Flux Binding (f-mode)

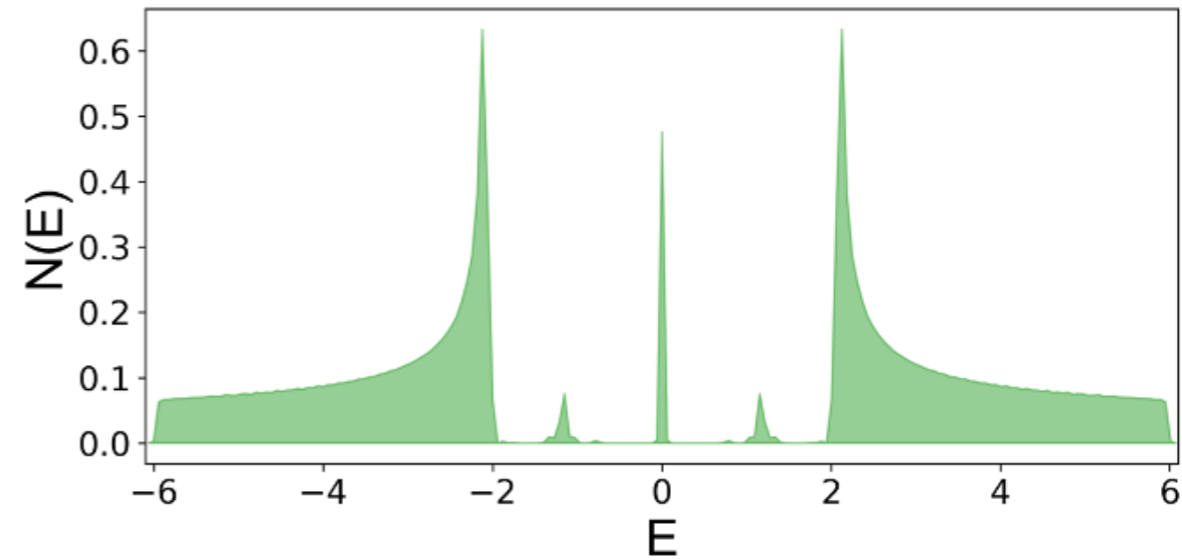
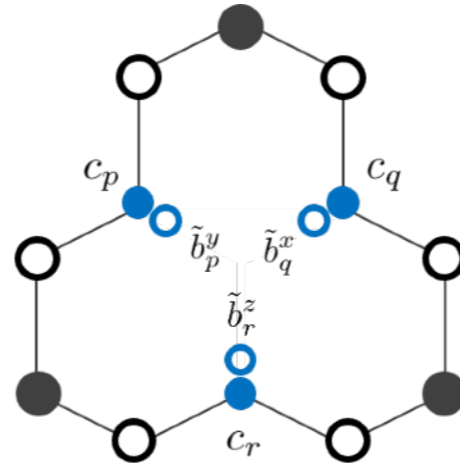


- Flux introduced in pairs
- Ground-state flux sector

Vacancy-induced in-gap Majorana modes



$$\mathcal{H} = -J \sum_{\langle ij \rangle_\alpha} \sigma_i^\alpha \sigma_j^\alpha - \kappa \sum_{\langle ijk \rangle} \sigma_i^\alpha \sigma_j^\gamma \sigma_k^\beta - h \sum_{i \in \mathbb{D}_\alpha} \tilde{\sigma}_i^\alpha$$



- A. Willans *et al.*, Phys. Rev. Lett. 104, 237203 (2010)
- A. Willans *et al.*, Phys. Rev. B 84, 115146 (2011)
- F. Zschocke *et al.*, Phys. Rev. B 92, 014403 (2015)
- W.-H. Kao *et al.*, Phys. Rev. X 11, 011034 (2021)

$$\mathcal{H}_i^{\text{Zeeman}} = -ih \tilde{b}_i^\alpha c_i$$

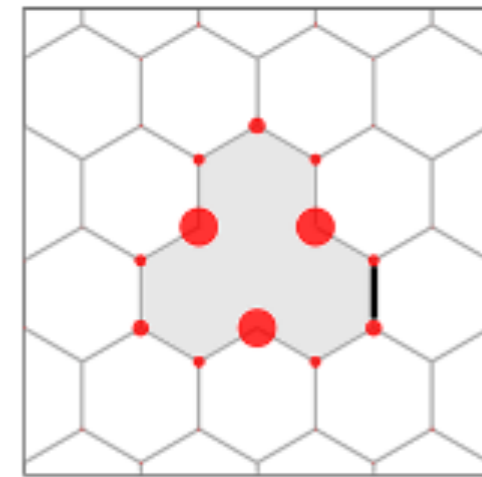
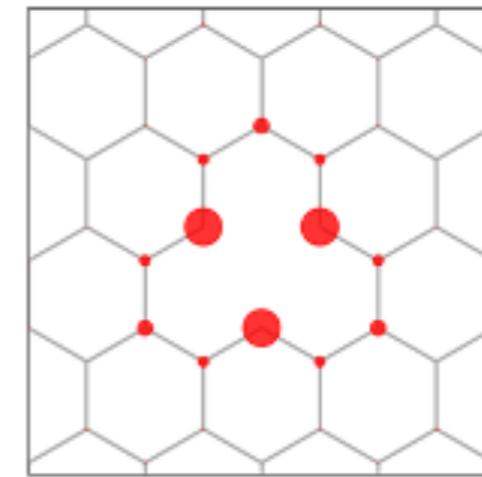
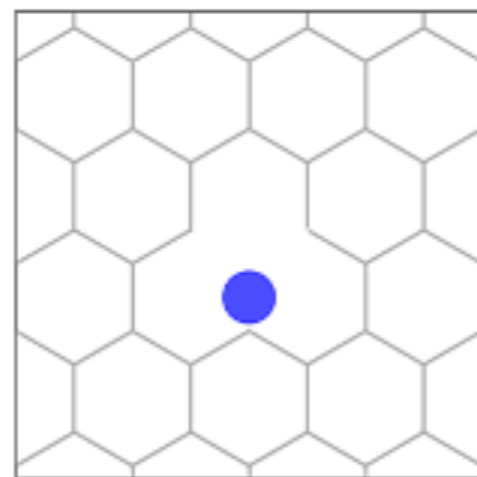
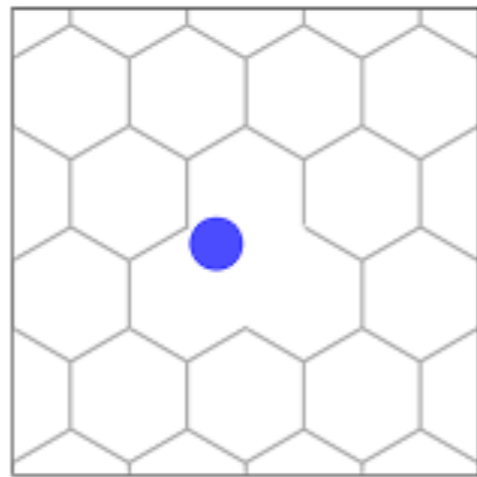
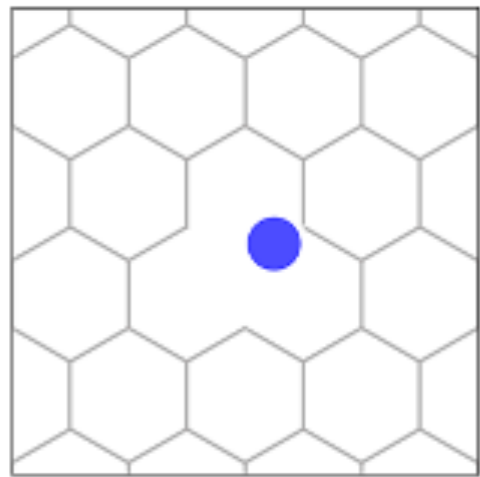
\tilde{b}_x -mode

\tilde{b}_y -mode

\tilde{b}_z -mode

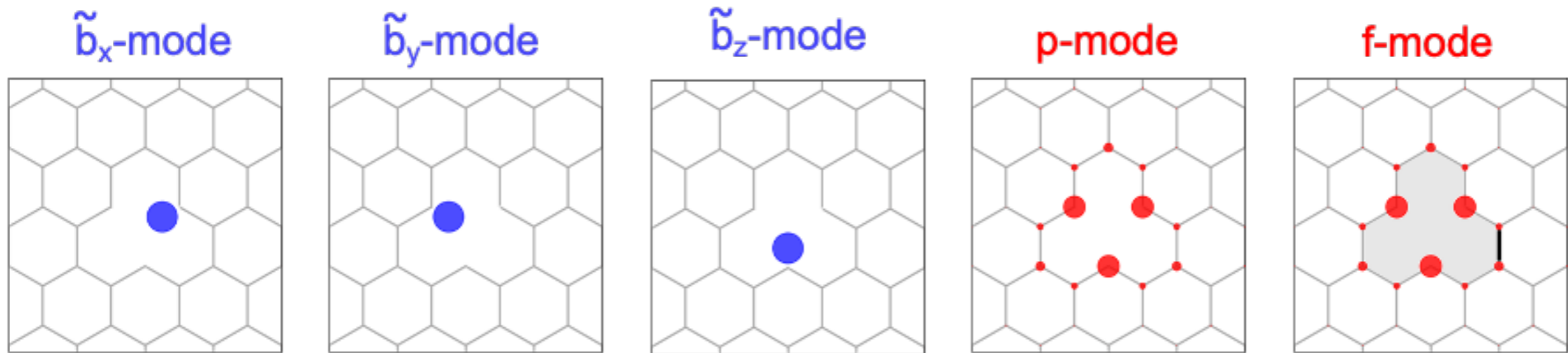
p-mode

f-mode



Dangling Majorana fermions (b-modes)

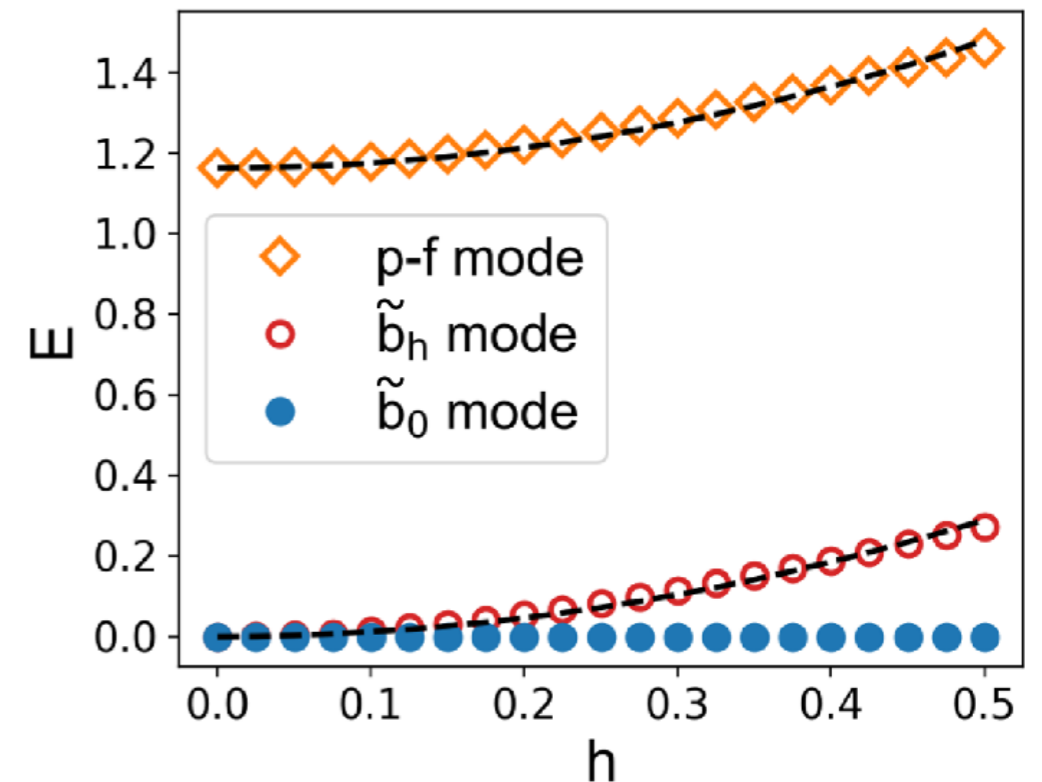
Majorana modes hybridization



Toy model:

$$i \begin{pmatrix} 0 & \gamma_b h^2 & -\gamma_b h^2 & \gamma_p h & \gamma_f h \\ -\gamma_b h^2 & 0 & \gamma_b h^2 & \gamma_p h & \gamma_f h \\ \gamma_b h^2 & -\gamma_b h^2 & 0 & \gamma_p h & \gamma_f h \\ -\gamma_p h & -\gamma_p h & -\gamma_p h & 0 & f(J, \kappa) \\ -\gamma_f h & -\gamma_f h & -\gamma_f h & -f(J, \kappa) & 0 \end{pmatrix}$$

Full calculation from the site-diluted KSL model:



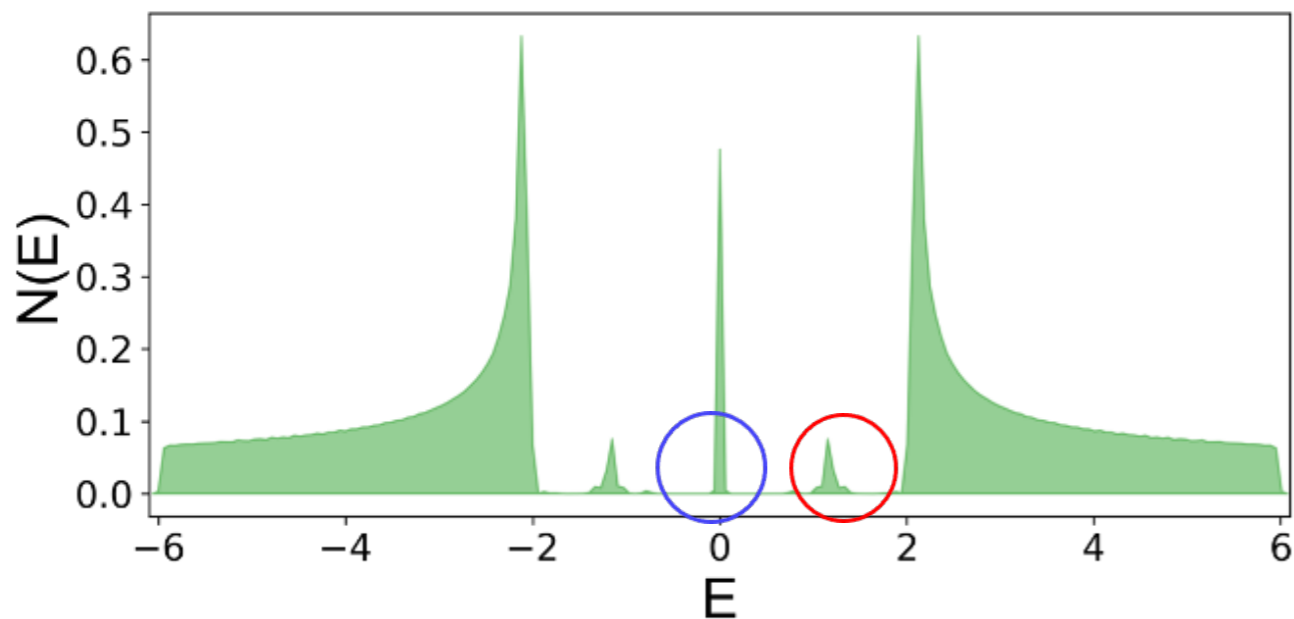
Energy of the Majorana eigenmodes:

$$\epsilon \approx 0, \pm \sqrt{3} \gamma_b h^2, \pm \left[f(J, \kappa) + \frac{3(\gamma_p^2 + \gamma_f^2)}{2f(J, \kappa)} h^2 \right]$$

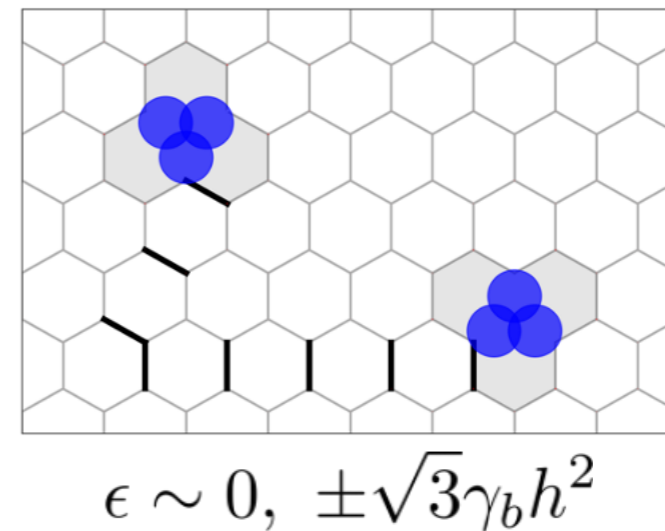
Protected Majorana zero mode!
(with pure b-Majorana character)

Density of States and in-gap modes

$h = 0.1$

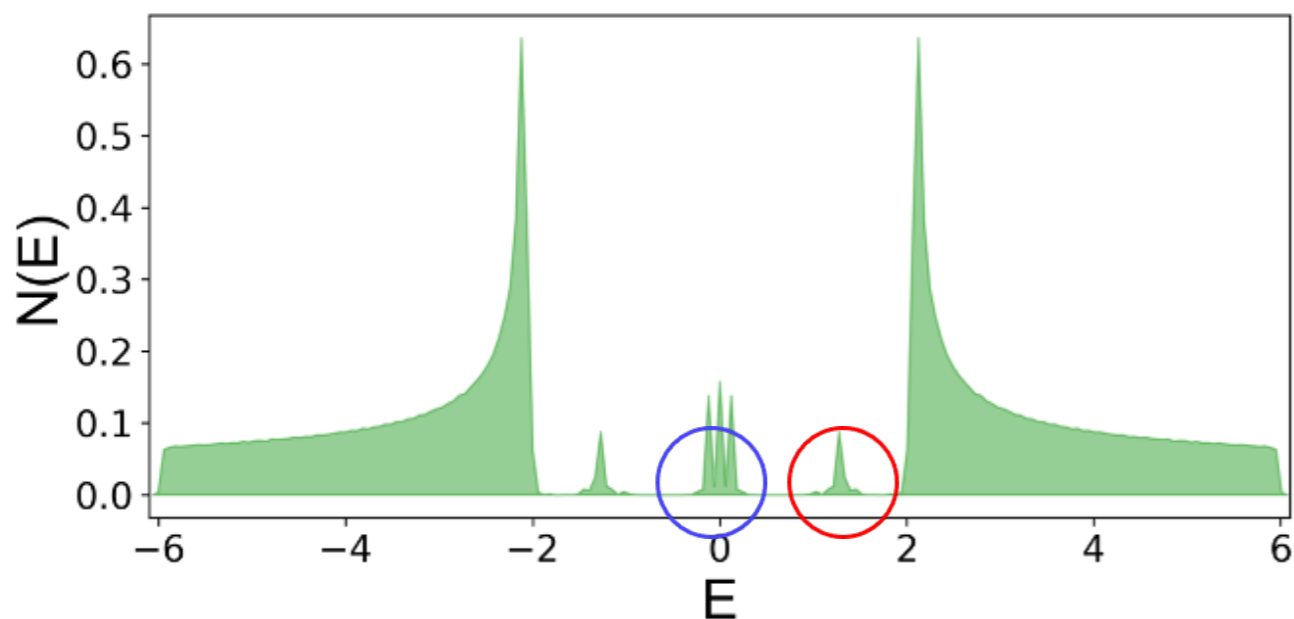


Dangling b-modes

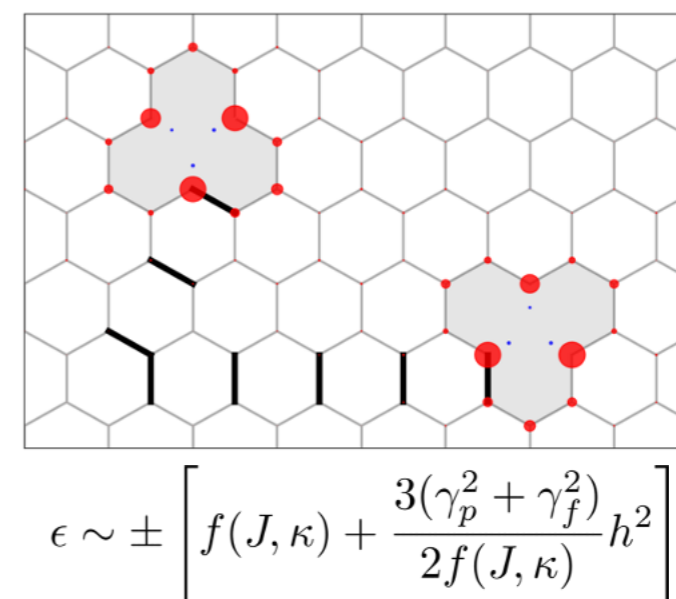


Open the bulk gap first ($\kappa = 0.2$), then Zeeman field h can split peak 1 but not peak 2

$h = 0.3$

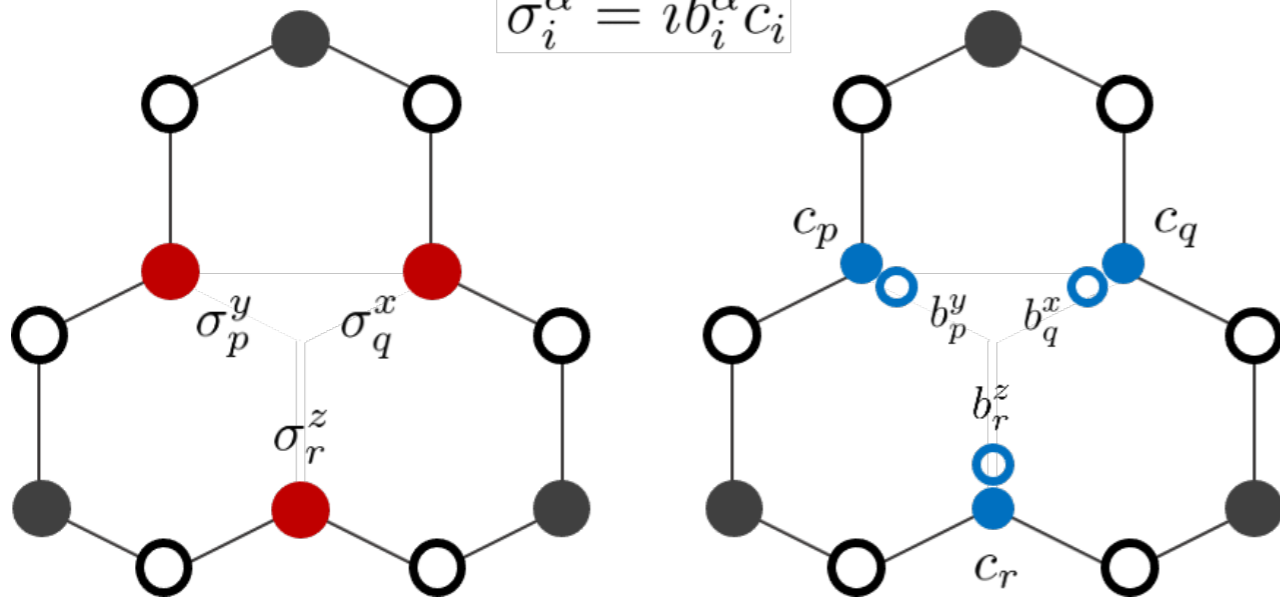


Hybridized p-f modes



Dynamical Correlation Function (dangling MF)

$$\hat{\sigma}_i^\alpha = i\hat{b}_i^\alpha \hat{c}_i$$



Dangling spins preserve the flux sector:

- On-site dangling correlation
- Off-diagonal dangling correlation
- Non-local dangling correlation

$$\tilde{S}_{pq}^{\alpha\beta}(\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dt e^{i\omega t} \langle 0 | \tilde{\sigma}_i^\alpha(t) \tilde{\sigma}_j^\beta(0) | 0 \rangle = - \sum_{\lambda_0} \langle M_0 | \tilde{b}_p^\alpha c_p | \lambda_0 \rangle \langle \lambda_0 | \tilde{b}_q^\beta c_q | M_0 \rangle \delta[\omega - (E_\lambda - E_0)].$$

No flux gap involved

No restriction on range or components!

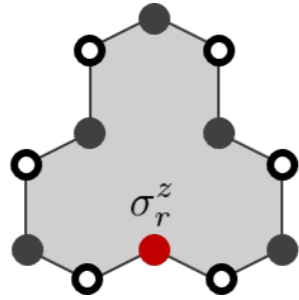
$$\langle M_0 | \tilde{b}_p^\alpha c_p | \lambda_0 \rangle \sim \langle M_0 | \tilde{b}_p^\alpha c_p a_{\lambda_1}^\dagger a_{\lambda_2}^\dagger | M_0 \rangle, \quad E_\lambda = E_0 + \epsilon_{\lambda_1} + \epsilon_{\lambda_2}$$

two-particle contribution

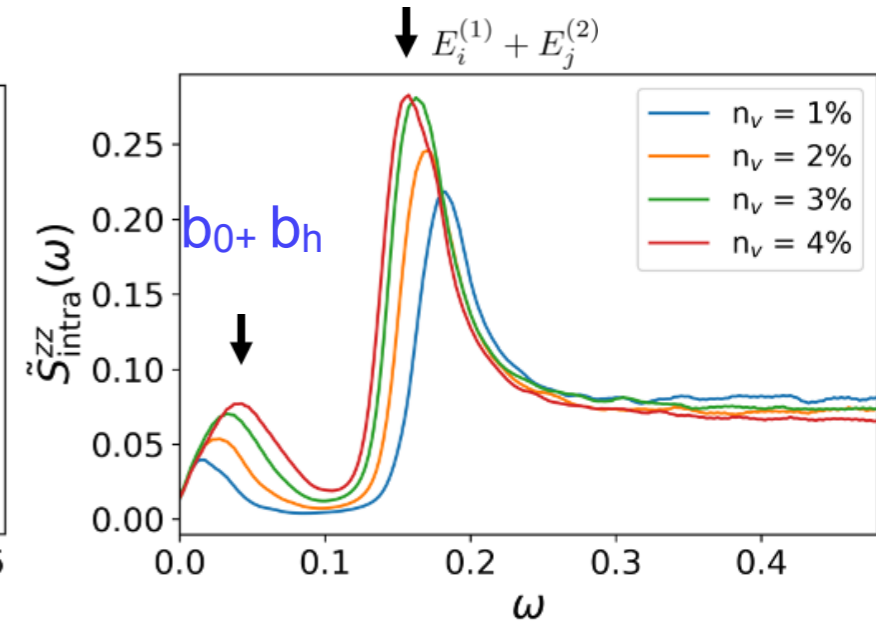
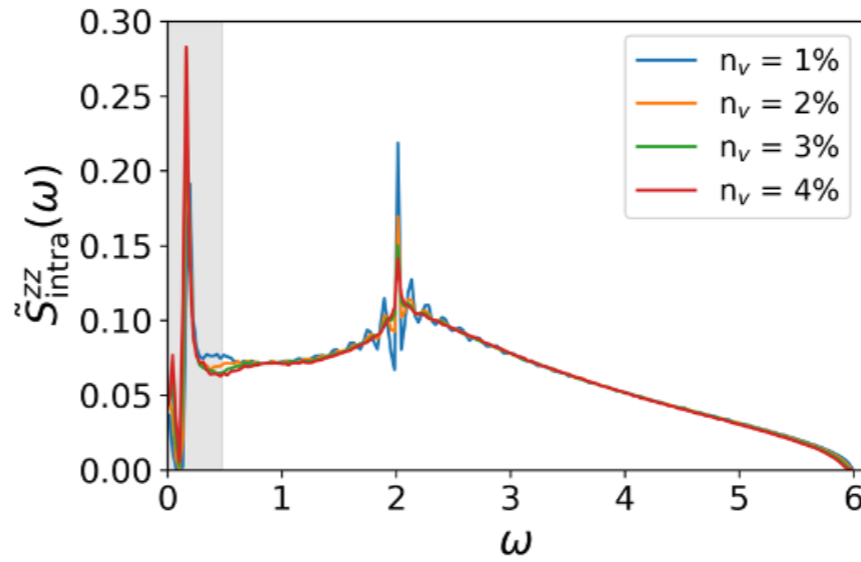
Spin-polarized tunneling conductance

$$G_{\text{polarized}} \sim \int_0^{eV} d\omega \rho_{\uparrow}^t \rho_{\uparrow}^s (S_{pp}^{zz} + S_{qq}^{zz} + S_{rr}^{zz})$$

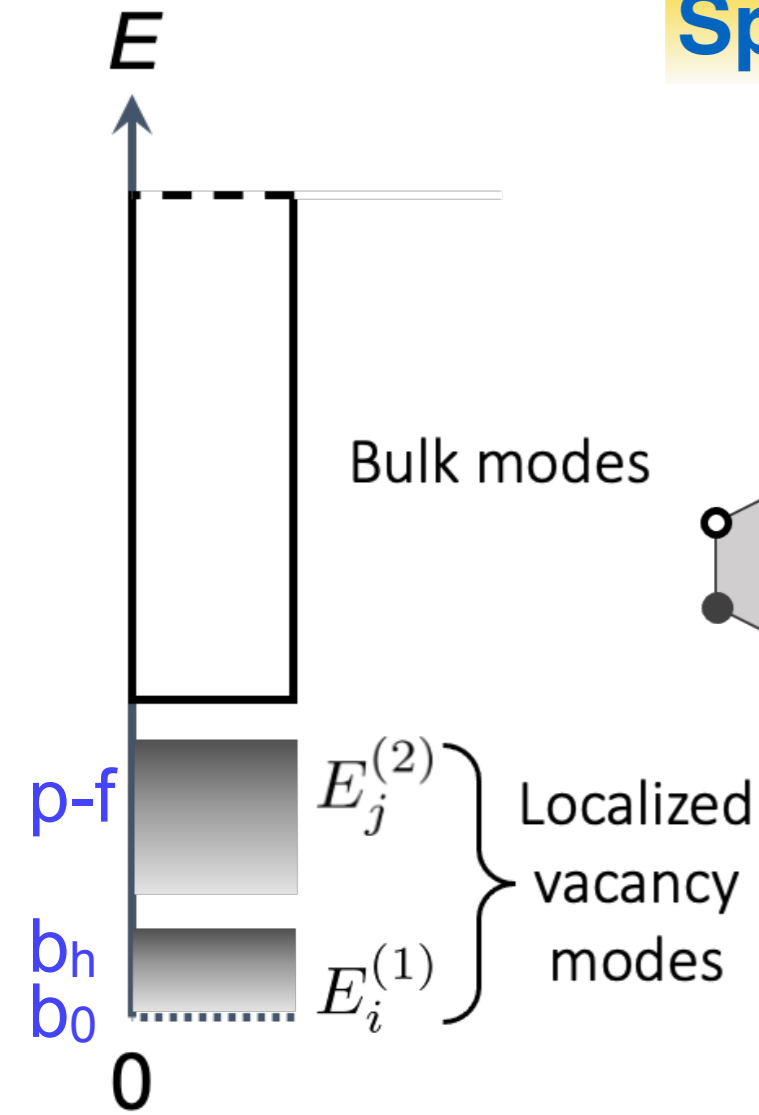
Bulk modes



Bound-flux



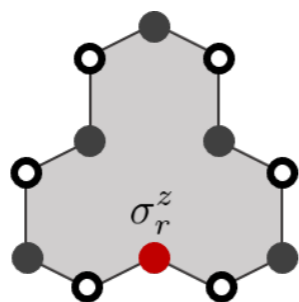
Quasi-zero-frequency response



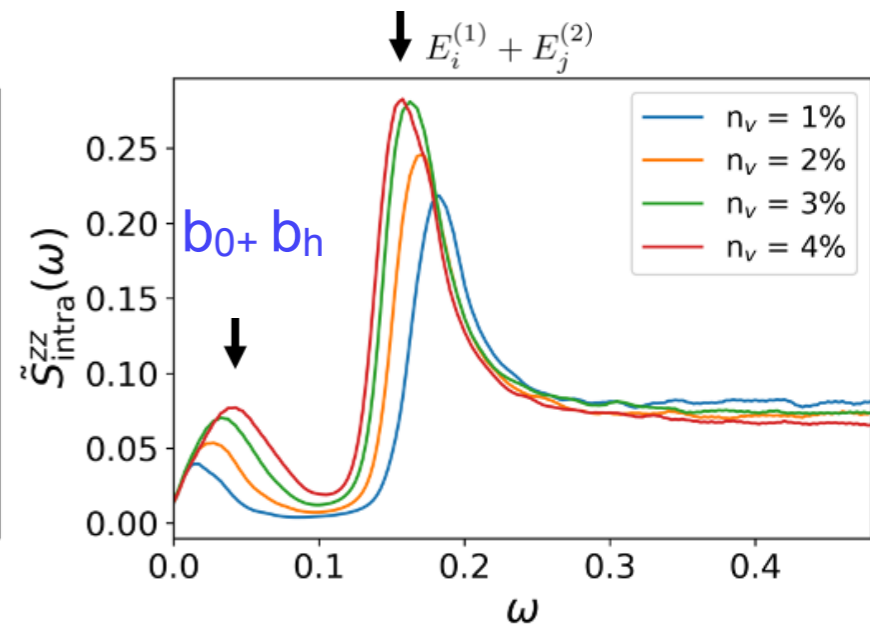
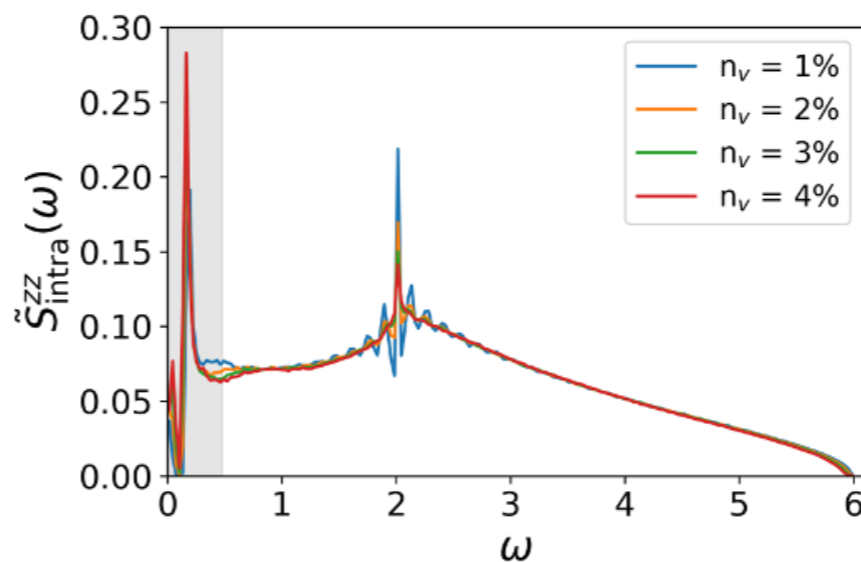
Spin-polarized tunneling conductance:

$$G_{\text{polarized}} \sim \int_0^{eV} d\omega \rho_{\uparrow}^t \rho_{\uparrow}^s (S_{pp}^{zz} + S_{qq}^{zz} + S_{rr}^{zz})$$

Bulk modes



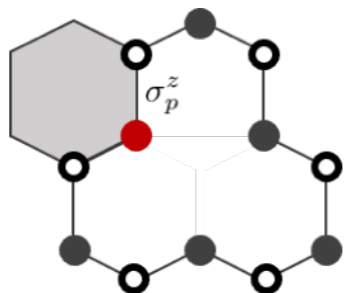
Bound-flux



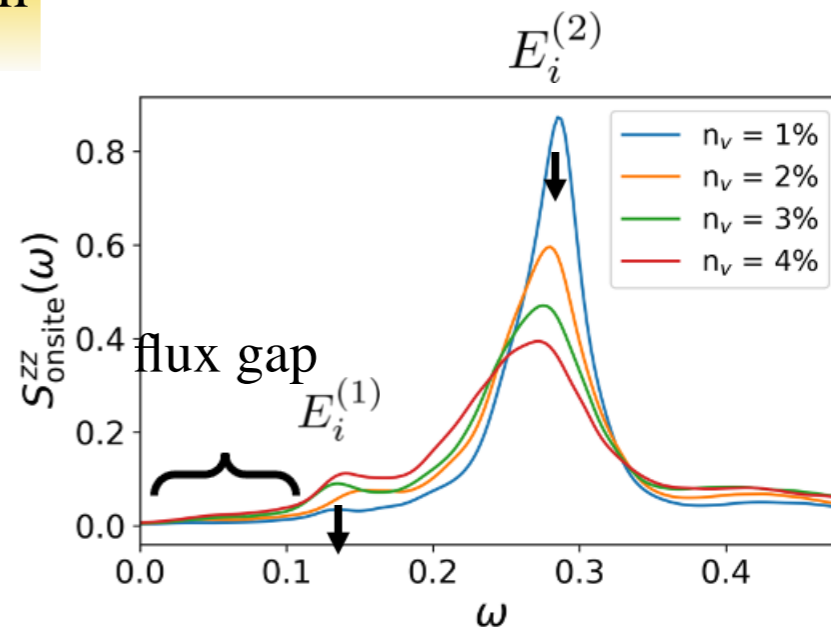
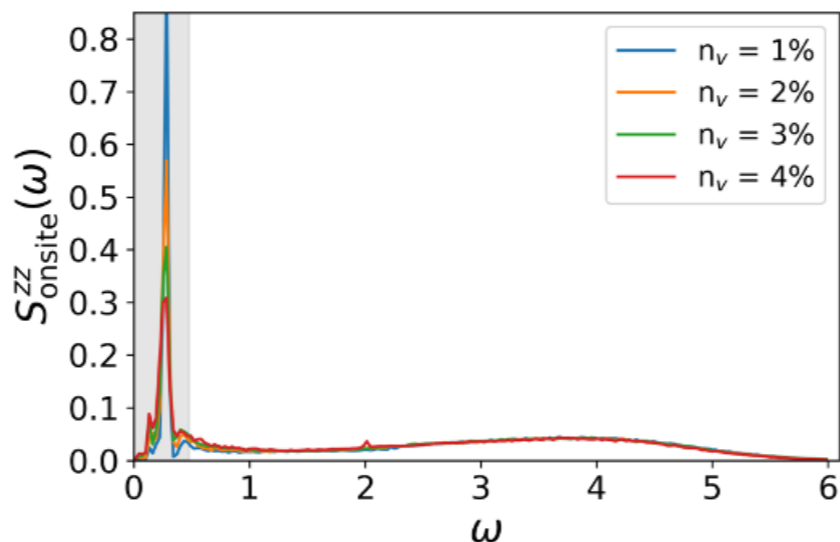
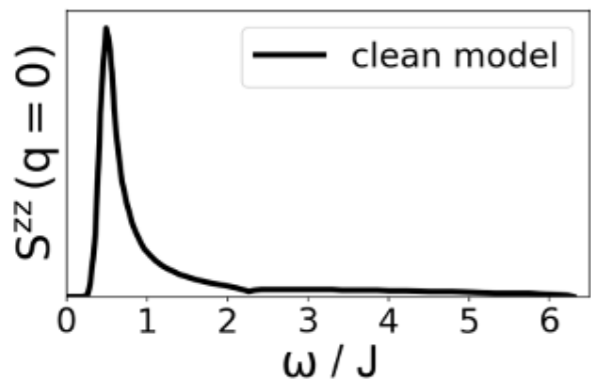
Localized vacancy modes

$E_j^{(2)}$

$E_i^{(1)}$



Two-flux Excitation



E

p - f

b_h

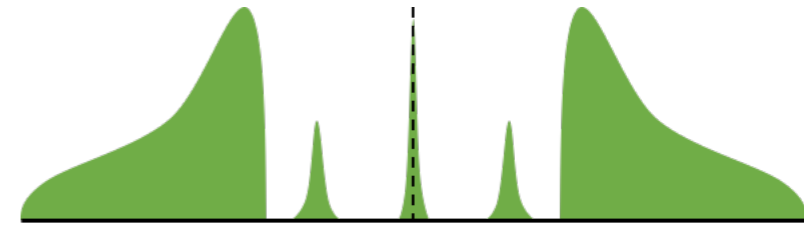
b_0

0

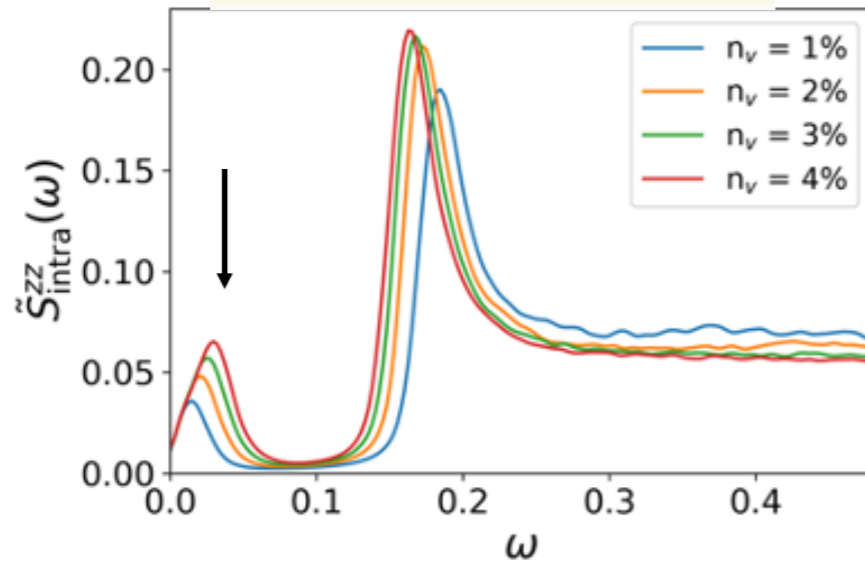
STM summary

Derivative of tunneling conductance

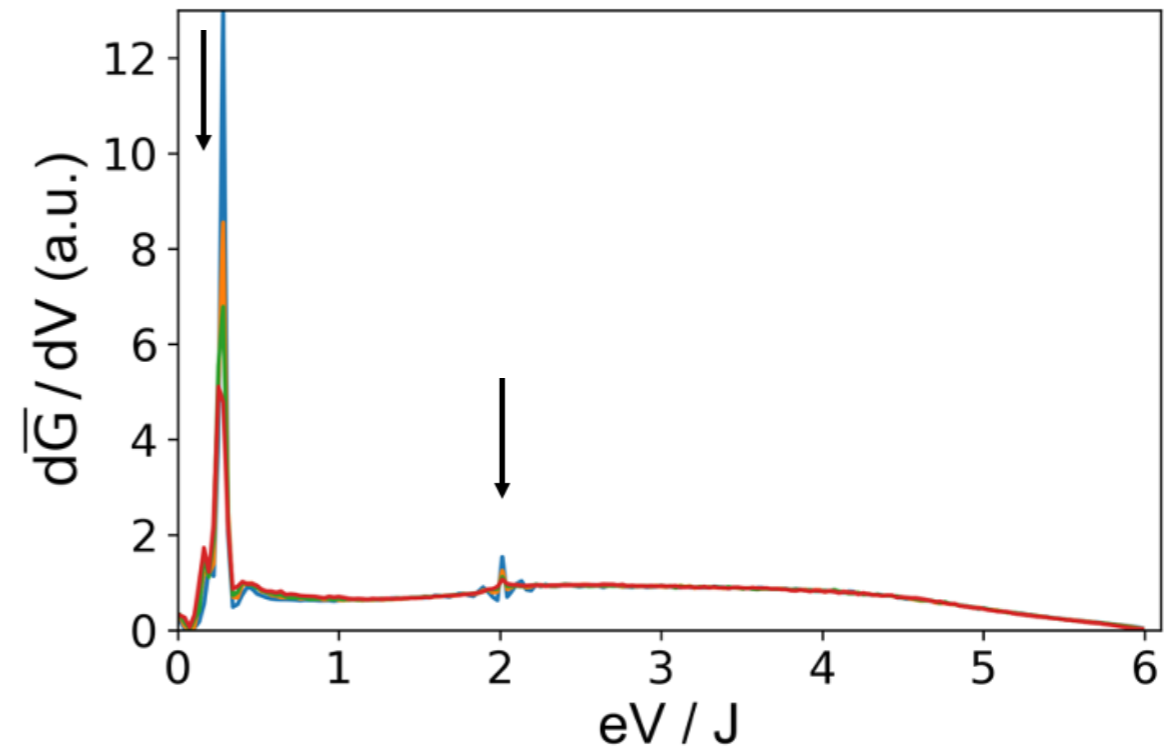
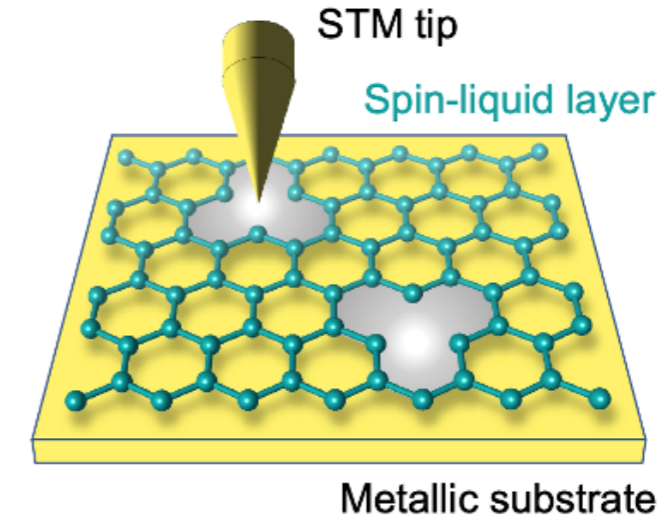
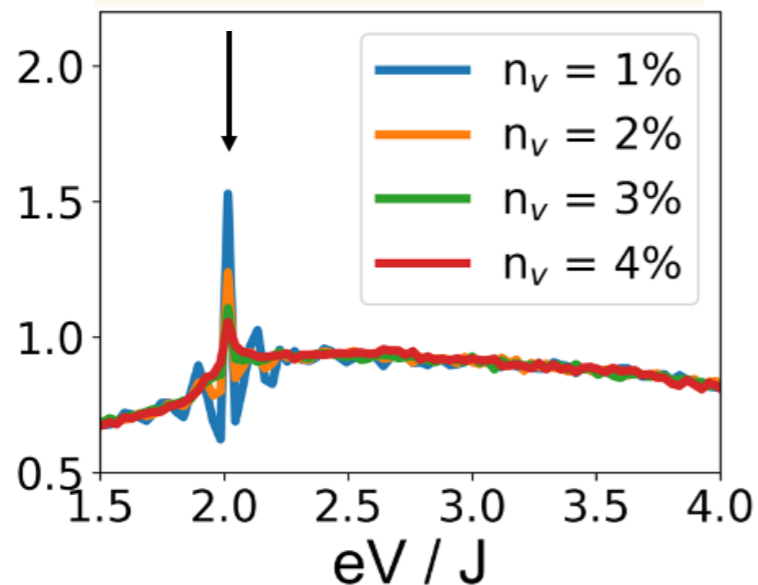
$$\frac{d\bar{G}(V)}{dV} \propto \sum_{\alpha} \sum_{j=1}^3 \bar{S}_{jj}^{\alpha\alpha}(eV) = 6\bar{S}_{\text{bulk}}(eV) + 3\bar{S}_{\text{dangling}}(eV)$$



Quasi-zero-bias peak



van Hove singularity



Conclusions

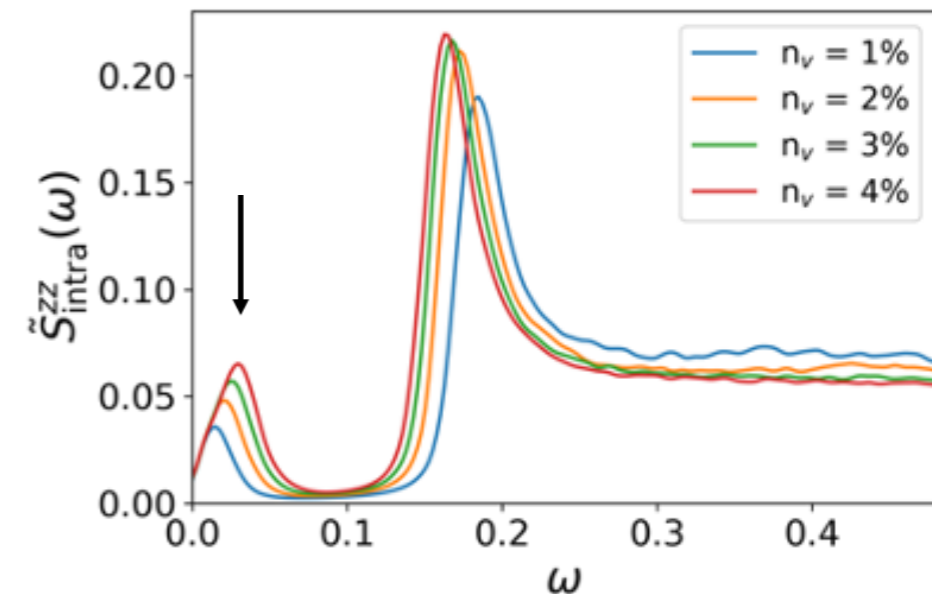
Site-diluted Kitaev Spin Liquid

- Bound-flux sector as the ground state
- Dangling b modes and hybridized p-f modes



Inelastic STM response on KSL

- Flux gap for bulk correlations
- Quasi-zero-bias peak for dangling correlations
- Dependence on vacancy concentration



A vibrant sunset over a body of water, with a city skyline visible in the foreground. The sky is filled with dramatic, colorful clouds in shades of red, orange, and purple. The water reflects the intense colors of the sky. In the foreground, the dark silhouette of a city skyline is visible, with some lights starting to glow. The overall scene is a beautiful and dramatic landscape.

Thank you!

Happy Birthday to Baskaran!