

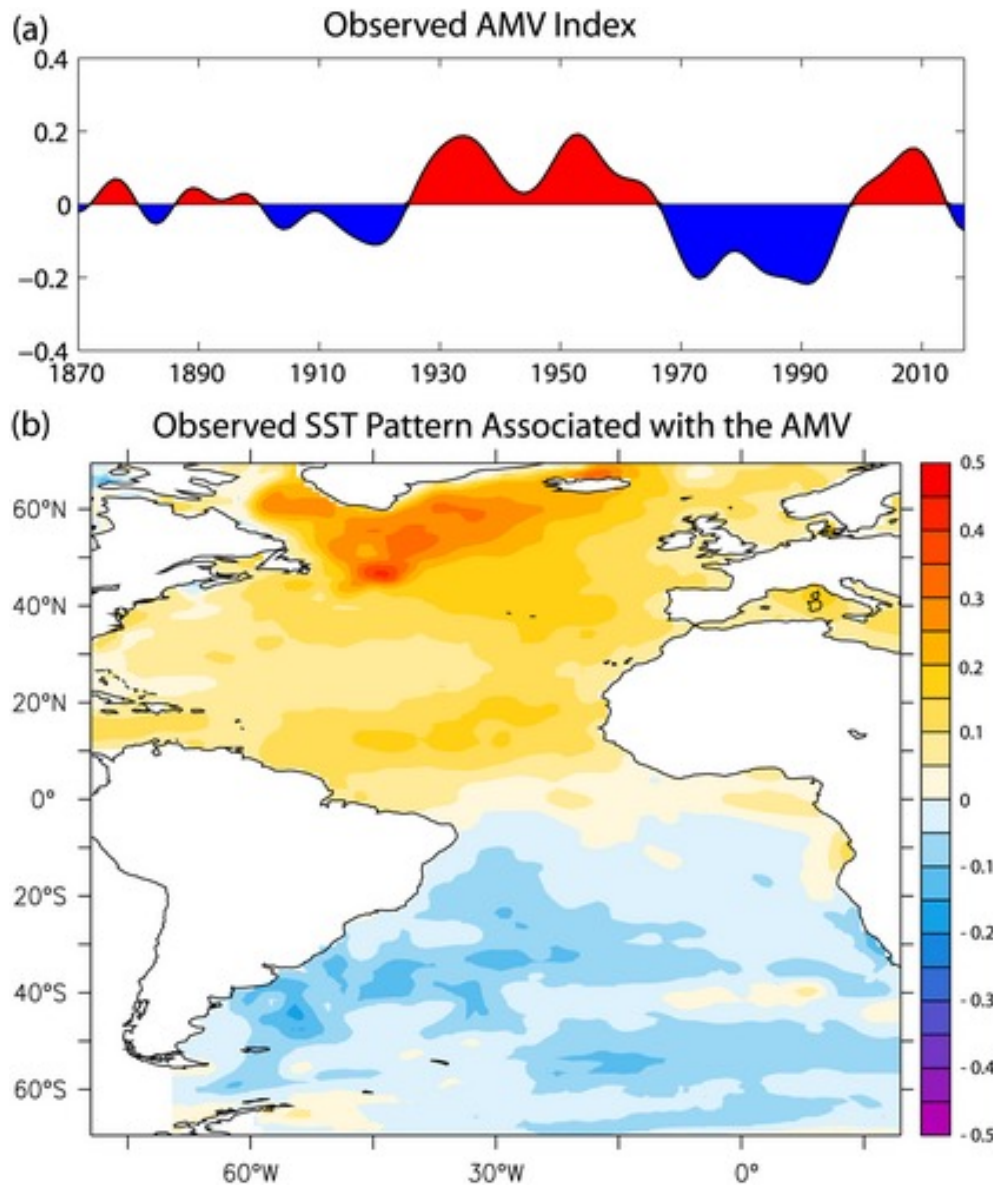


**Tropical vs. Extra-Tropical Air-Sea**  
**Interaction (Part I)**

**Extra-Tropical Weather and Climate (Part II)**

**Rhys Parfitt**

**ICTP AMV/TBI Summer School**  
**2023**



Sea-surface temperature anomalies  
(here associated with Atlantic  
Multi-Decadal Variability) –

How might they influence the  
atmospheric circulation ?

Zhang et al. (2019)

Well accepted that *tropical ocean / SSTs* play a first order role in atmospheric circulation *in the tropics*

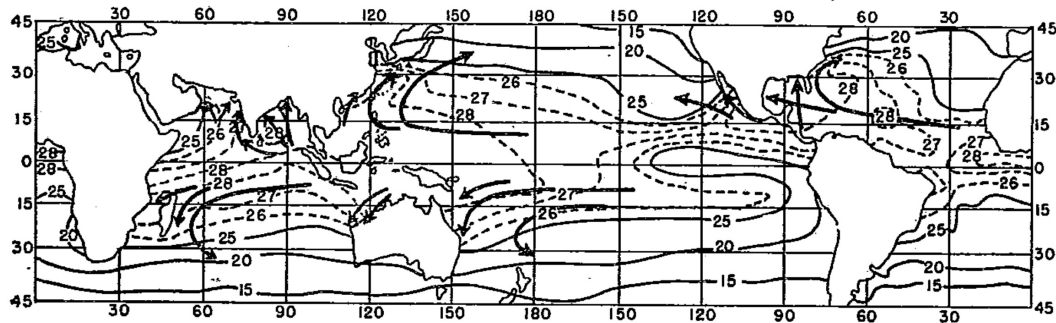


Fig. 4. Principal hurricane paths and surface water temperature during the warmest season. Palmén (1948)

(above) Impact on tropical cyclones

(right) Coupled ocean-atmosphere processes associated with ENSO

Bjerknes (1966)

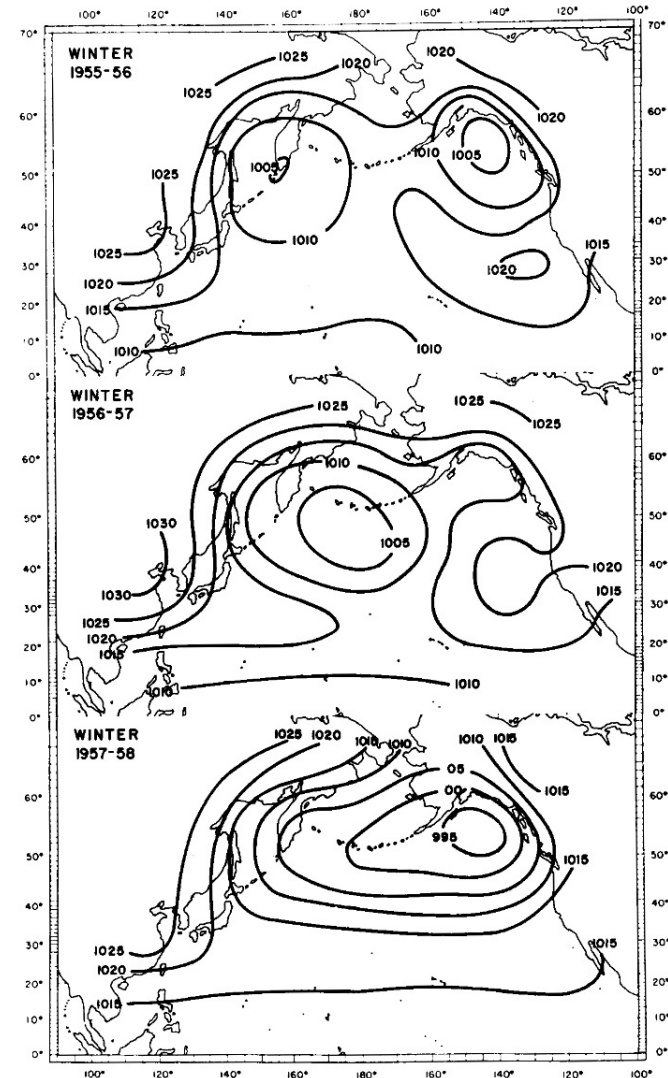
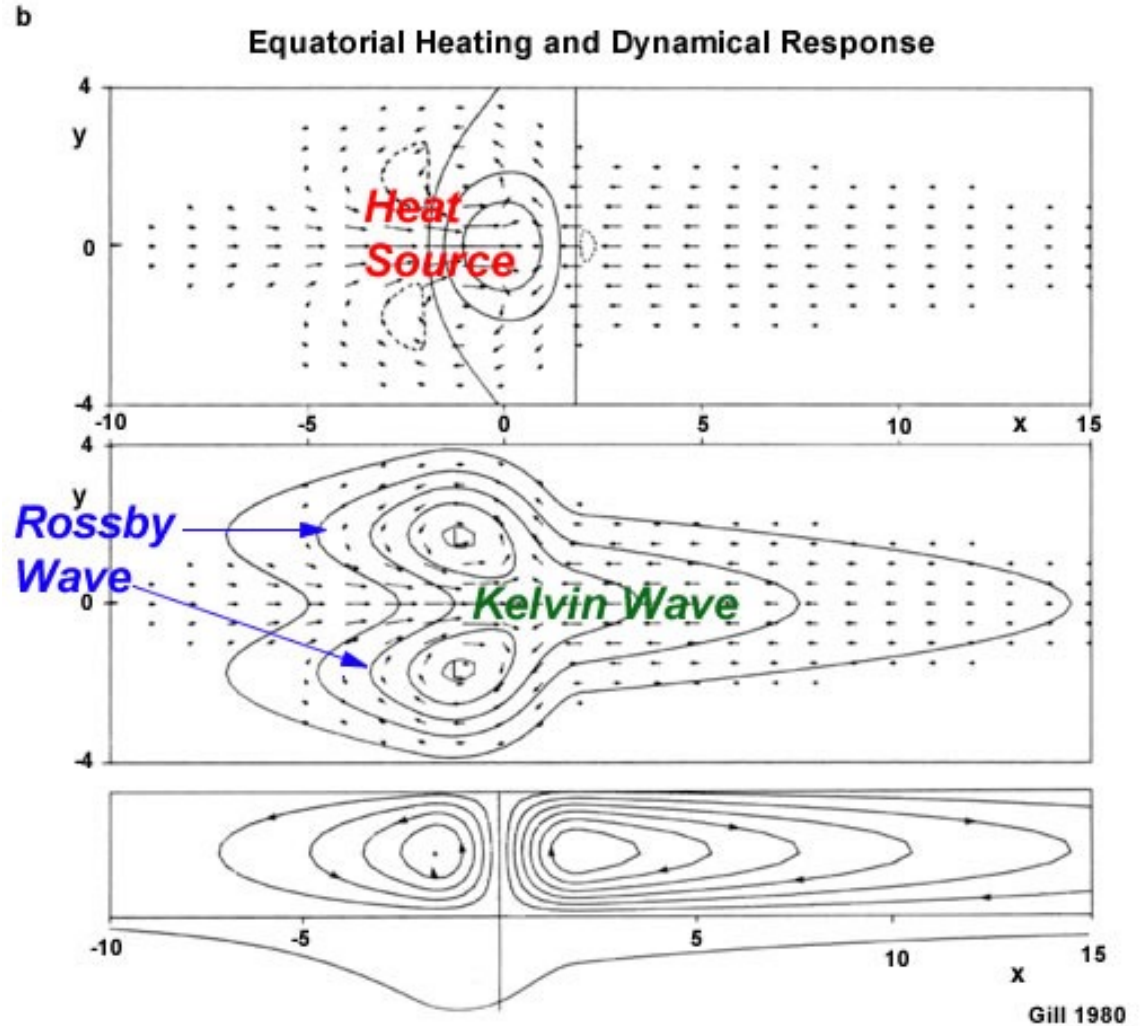
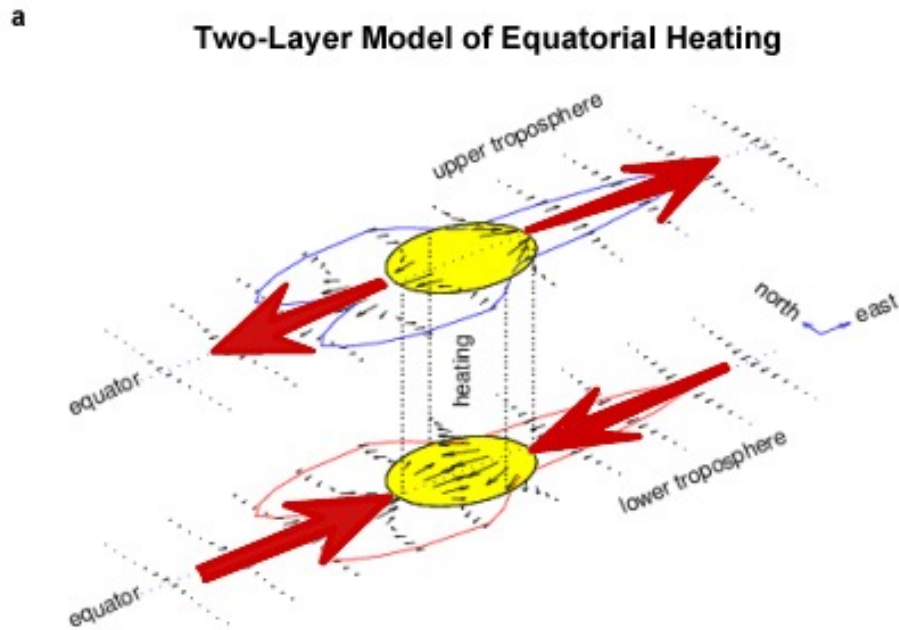


FIG. 6. Average distribution of atmospheric pressure at sea level during the winter seasons, December to February inclusive, of 1955-56 and 1956-57, before the East Pacific equatorial warming, and 1957-58 at the peak of that warming.



Basic character of the local atmospheric response to tropical SST anomaly well explained by simple theoretical models of Matsuno (1966) and Gill (1980)

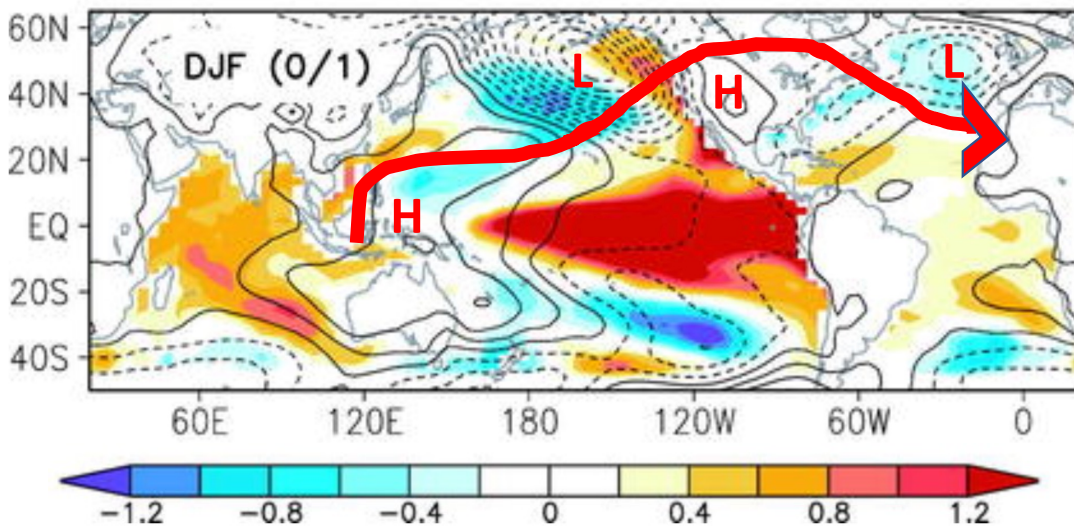


Gill, 1980



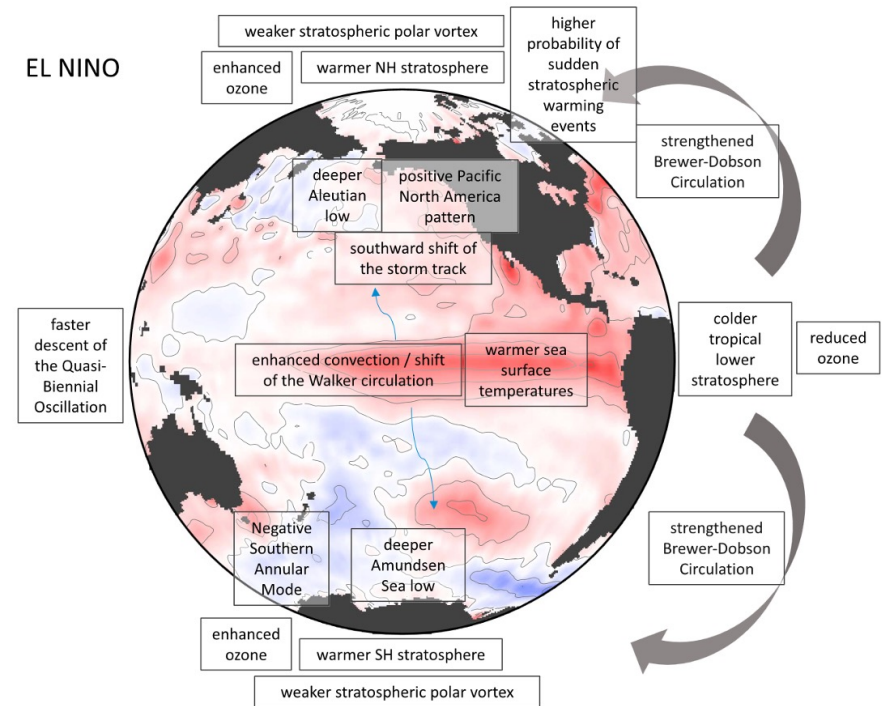
Well accepted that *tropical* ocean / SSTs play a first order role in atmospheric circulation *in the extra-tropics*

### Observed SLP (El Nino – La Nina)



### “Atmospheric Bridge”

Alexander et al. (2002)



Domeisen et al. (2019)

Via stratosphere – sudden stratospheric warmings

What about the role of the *extra-tropical* ocean / SSTs in the *extra-tropical* atmospheric circulation ?

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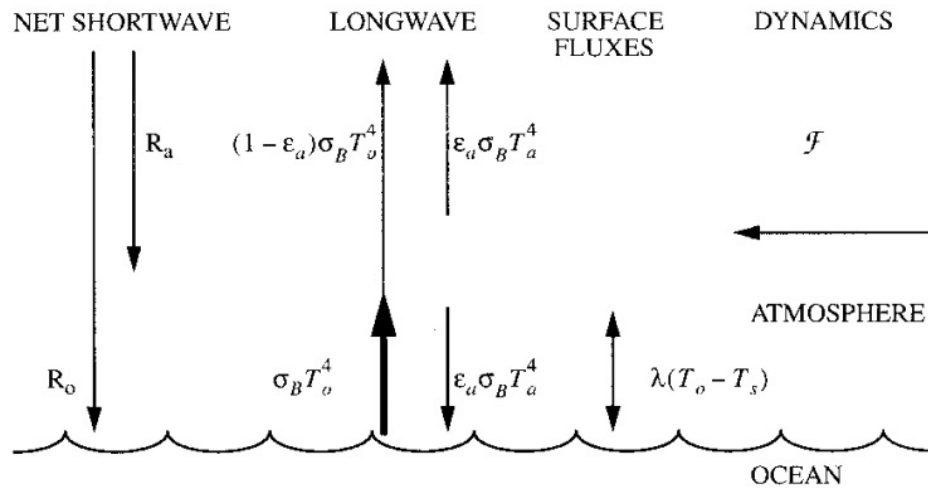
Basic character – low-level convergence (cyclonic) and upper-level divergence (anticyclonic). Cyclone shifted downstream due to cold-air advection - “linear baroclinic response” (Hoskins and Karoly, 1981). Linear response can cause remote impacts (e.g. Sato et al., 2014).

Involvement of eddies induces a more barotropic response.

Forcing estimate of  $20 \text{ m K}^{-1}$  at 500 hPa, vs. observed monthly - interannual std. 50 – 100 m, lead to assertion that “*direct linear forcing [from extra-tropical SST] will rarely be relevant in the extra-tropics*” (Kushnir et al., 2002).

Traditional view is that extra-tropical ocean is passive to atmospheric variability

Traditional view reinforced by consistency of a linear stochastic framework with general circulation models at the time -



Barsugli and Battisti (1998)

Slab ocean coupled to grey atmosphere

$$\gamma_a \frac{d\tilde{T}_a}{dt} = -\lambda_{sa}(\tilde{T}_s - \tilde{T}_o) - \lambda_a \tilde{T}_a + \tilde{\mathcal{F}}$$

$$\gamma_o \frac{d\tilde{T}_o}{dt} = -\lambda_{so}(\tilde{T}_s - \tilde{T}_o) - \lambda_o \tilde{T}_o.$$



Some manipulation + assume a few things... (e.g. forcing has deterministic SST-forcing and random component that is inherent to atmosphere)

$$i\sigma T_a = -aT_a + bT_o + N$$

$$i\beta\sigma T_o = cT_a - dT_o.$$

Solve numerically for:

- Coupled
- Uncoupled ( $T_o = 0$  in equation for  $T_a$ )
- MOGA ( $T_o = T_o$ (solved from coupled equations) in in equation for  $T_a$ )

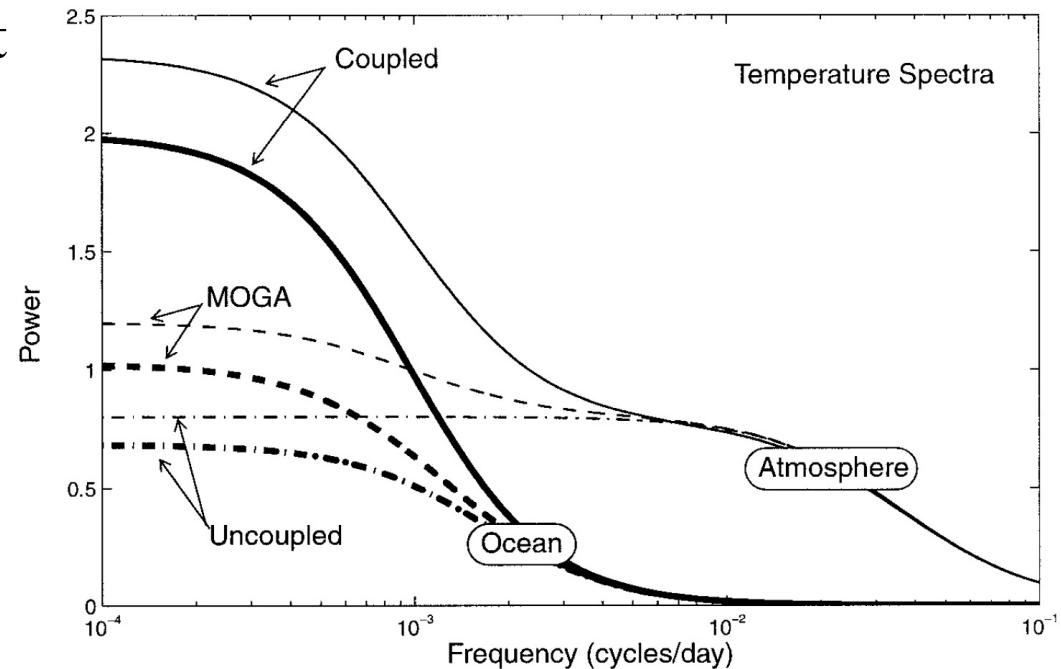


FIG. 4. Power spectra of atmosphere and ocean temperature for the coupled, MOGA, and uncoupled cases. The standard parameters (see Table 1) are used.

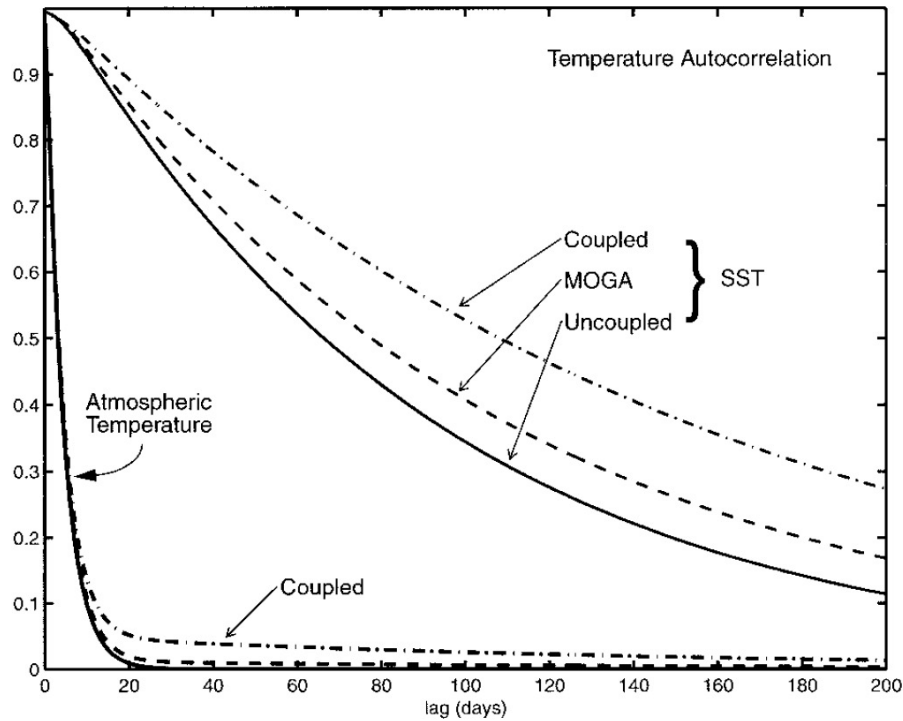


FIG. 8. Autocorrelation function for atmospheric temperature (thick lines) and SST (thin lines) for the coupled (dash-dot), MOGA (dashed), and uncoupled (solid) cases.

Autocorrelation falls less sharply to zero for both SST and atmospheric temperature, with coupling

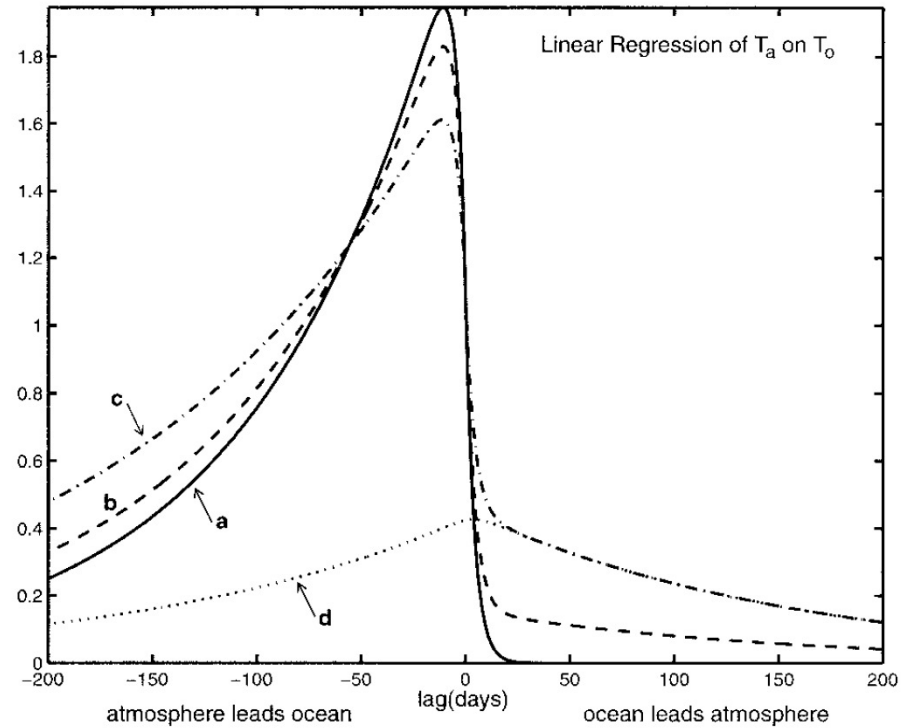
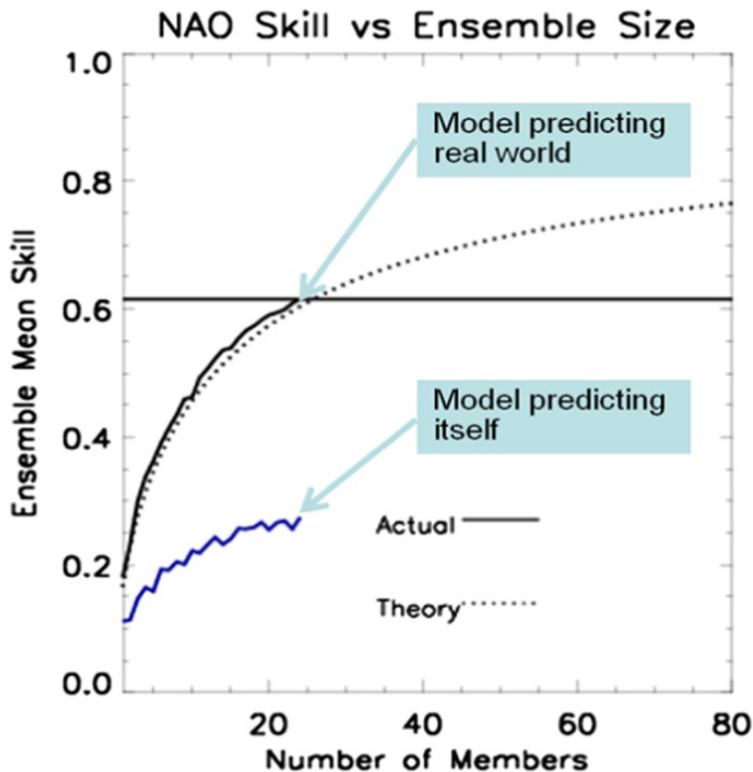


FIG. 9. Lagged linear regression between  $T_a$  and  $T_o$ . Curve **a**:  $T_a^U, T_o^U$ ; curve **b**:  $T_a^M, T_o^M$ ; curve **c**:  $T_a^C, T_o^C$ ; curve **d**:  $T_a^M, T_o^C$ .

Coupled system shows a larger component that is symmetric in lag

Traditional view is that extra-tropical ocean is passive to atmospheric variability

*“It is important to emphasize that the prevailing paradigm is that storm-track response is well captured by dry quasi-geostrophic (QG) dynamics ... since even very low-resolution QG models have been able to reproduce features of the eddy mean flow feedback generated by low-resolution AGCMs” (Czaja et al., 2019).*

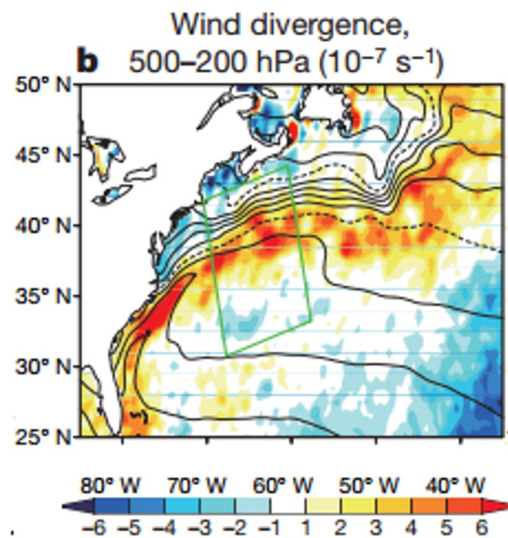
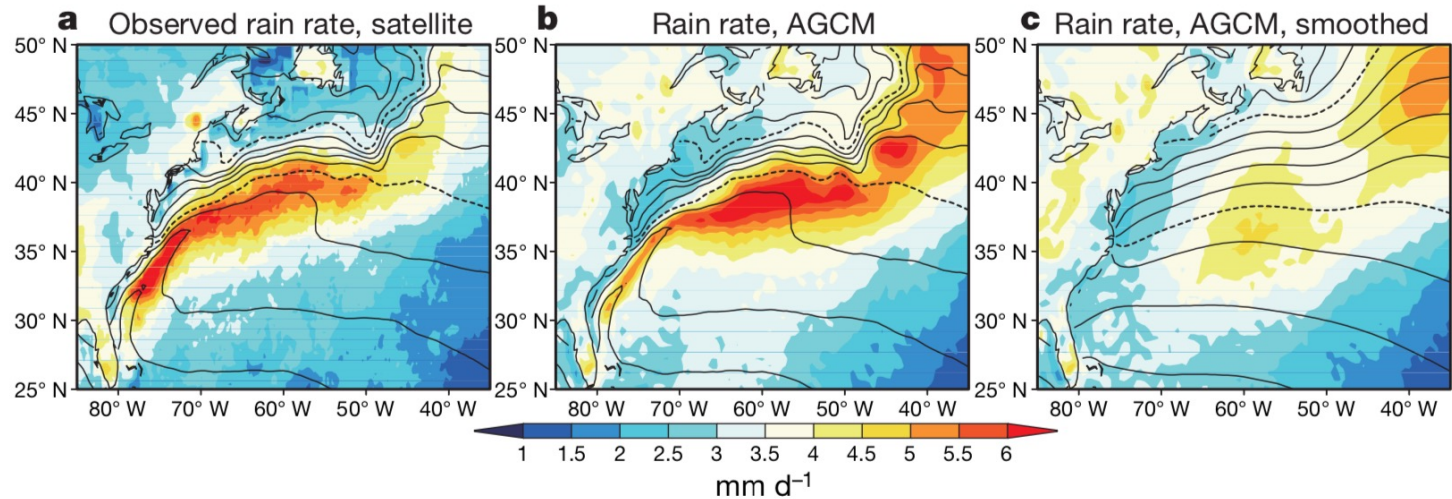
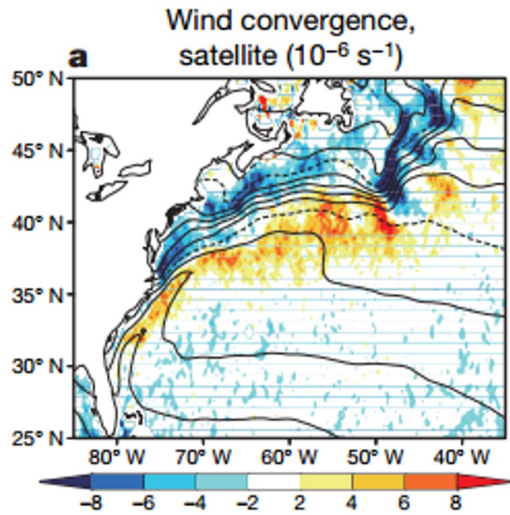


However, AGCMs (and coupled seasonal forecasting systems based on them) show less predictability than nature in midlatitudes (*left*, Scaife et al., 2018).

Also, AGCMs cannot simulate (even when forced with observed SST anomalies), 20<sup>th</sup> century multidecadal variability in the North Atlantic Jet Stream (Simpson et al., 2018).



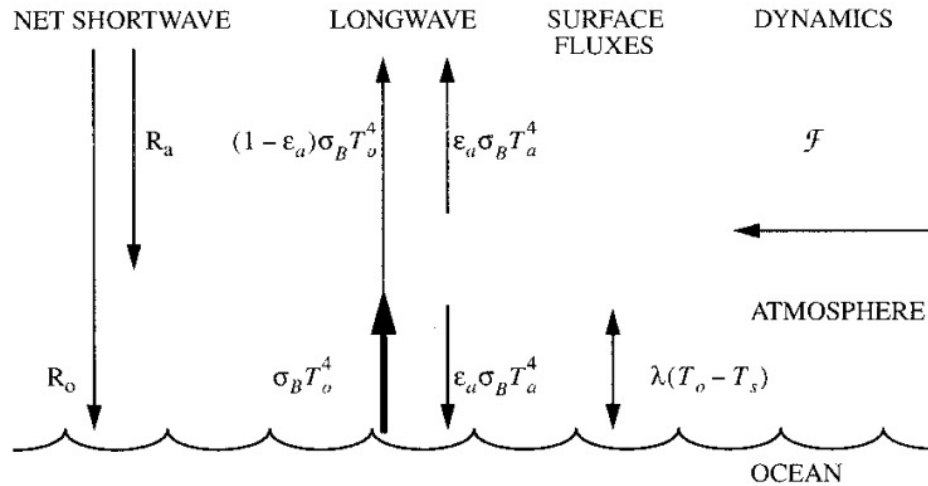
A different picture has emerged with higher-resolution models and data



Meandering Gulf Stream imprint throughout troposphere – imprint removal with smoothing of sea-surface temperature gradient (*left and above*, Minobe et al., 2008).

Estimates with newer reanalyses place oceanic forcing at  $> 50 \text{ m K}^{-1}$  at 500hPa (e.g. Frankignoul et al., 2011).

# Extend framework to include stochastic forcing arising in the ocean



$$\gamma_a \frac{d\tilde{T}_a}{dt} = -\lambda_{sa}(\tilde{T}_s - \tilde{T}_o) - \lambda_a \tilde{T}_a + \tilde{\mathcal{F}}$$

$$\gamma_o \frac{d\tilde{T}_o}{dt} = -\lambda_{so}(\tilde{T}_s - \tilde{T}_o) - \lambda_o \tilde{T}_o.$$

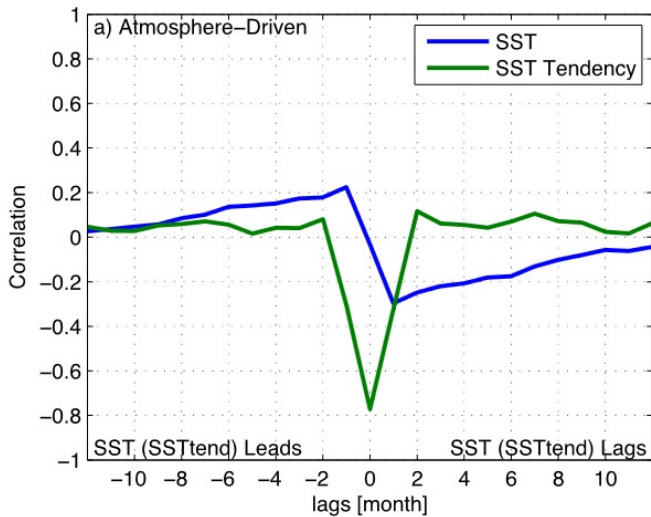
Barsugli and Battisti (1998)

$$\frac{dT_a}{dt} = \alpha(T_o - T_a) - \gamma_a T_a + N_a,$$

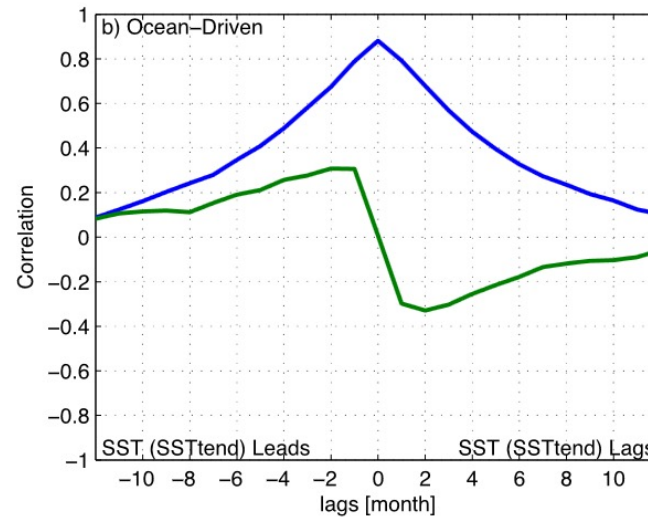
$$\frac{dT_o}{dt} = \beta(T_a - T_o) - \gamma_o T_o + N_o,$$

Wu et al. (2006)

Lagged correlation between SST and SHF, and SST tendency and SHF, **with variability driven by atmospheric noise.**



Lagged correlation between SST and SHF, and SST tendency and SHF, **with variability driven by oceanic noise.**



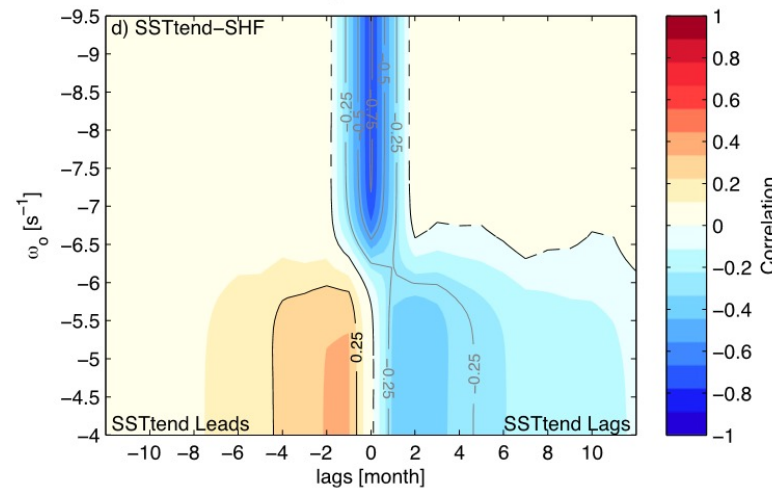
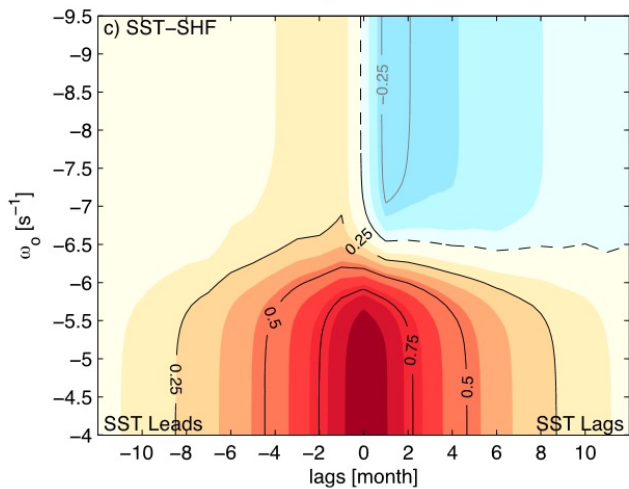
$$\frac{dT_a}{dt} = \alpha(T_o - T_a) - \gamma_a T_a + N_a,$$

$$\frac{dT_o}{dt} = \beta(T_a - T_o) - \gamma_o T_o + N_o,$$

Stochastic forcing:

Forcing frequency times Gaussian rng for temperature anomalies  $\pm 1^\circ\text{C}$

Atmospheric forcing frequency:  $1 \text{ day}^{-1}$   
 Ocean forcing frequency:  $1/500 \text{ day}^{-1}$  for atmosphere-driven,  $1/5 \text{ day}^{-1}$  for ocean-driven.



Vary ocean forcing frequency

Figures from Bishop et al. (2017)



### Atmosphere-driven

Near-zero simultaneous correlation SST-SHF, asymmetrical lead-lag

Strongly negative simultaneous correlation SST tendency-SHF, symmetrical lead-lag

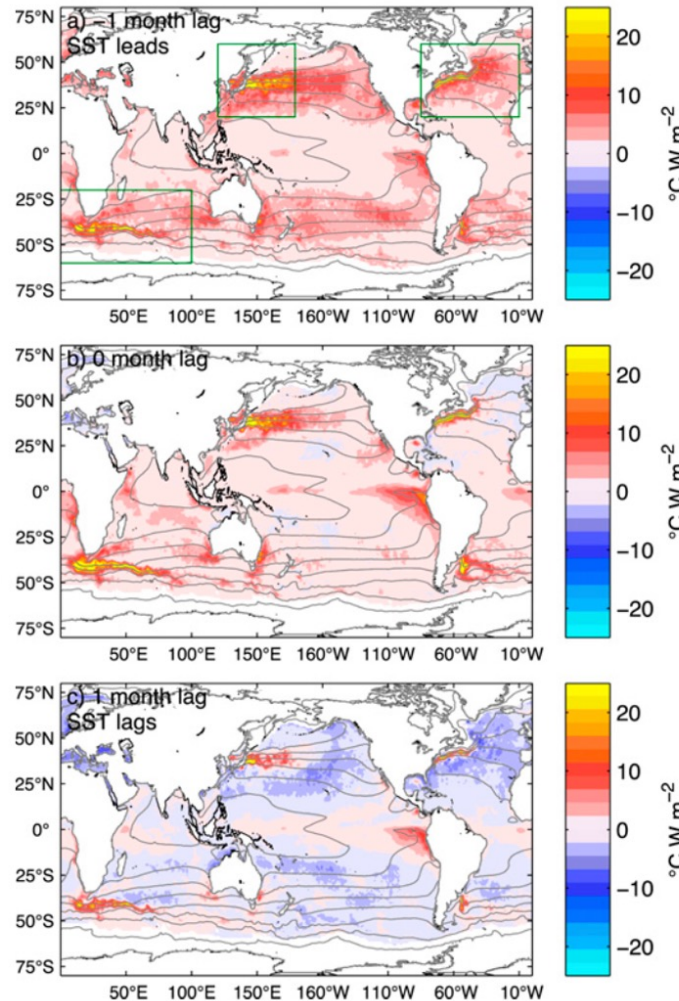
### Ocean-driven

Positive simultaneous correlation SST-SHF, symmetrical lead-lag

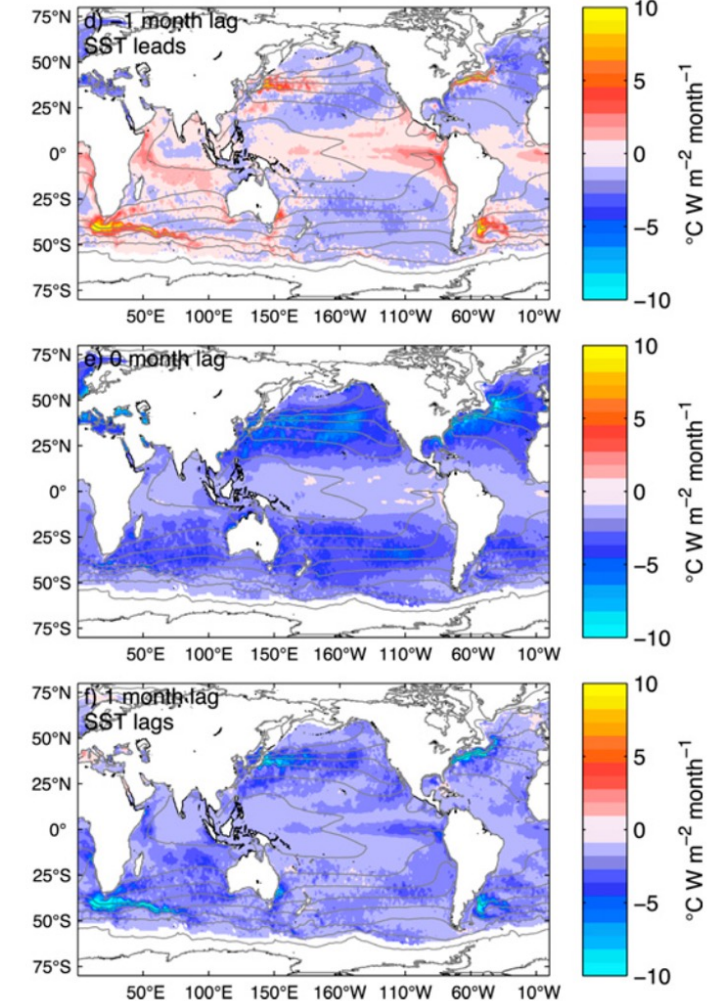
Zero simultaneous correlation SST tendency-SHF, asymmetrical lead-lag structure

Where do we see each of these?

### SST-SHF covariance



### SST tendency -SHF covariance



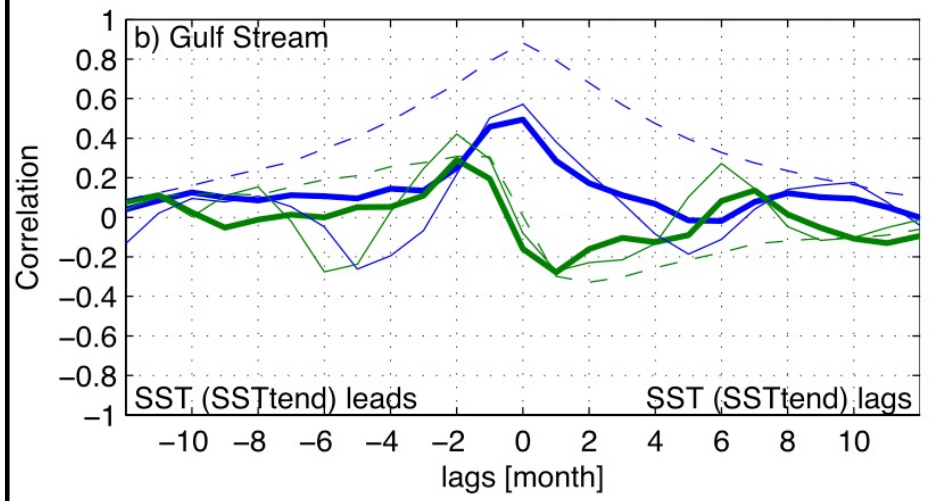
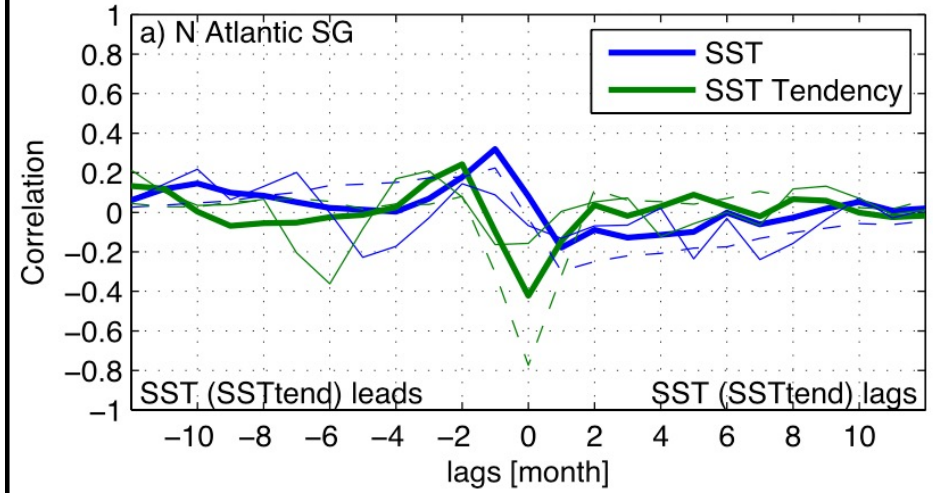
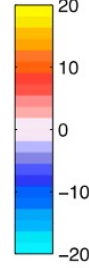
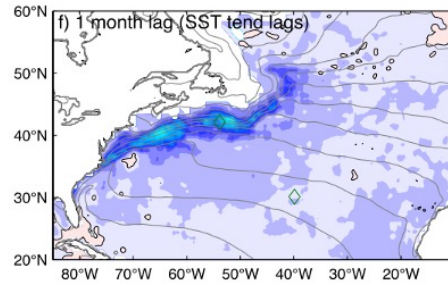
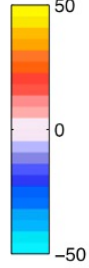
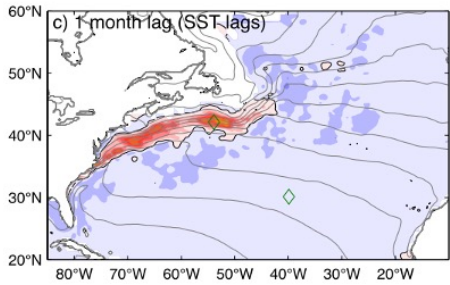
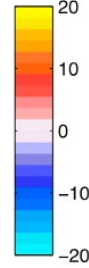
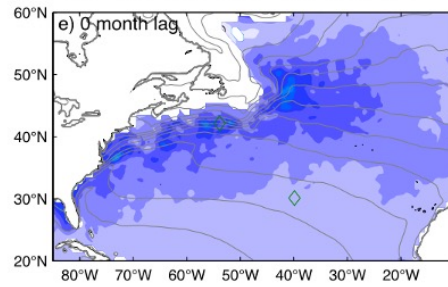
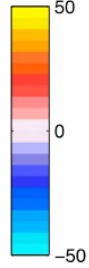
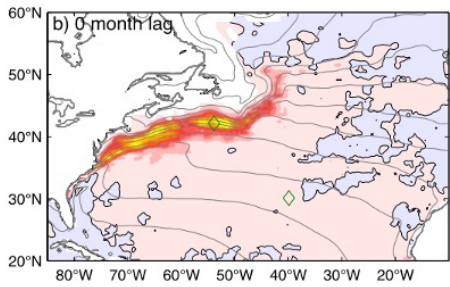
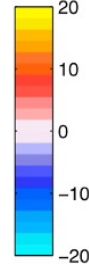
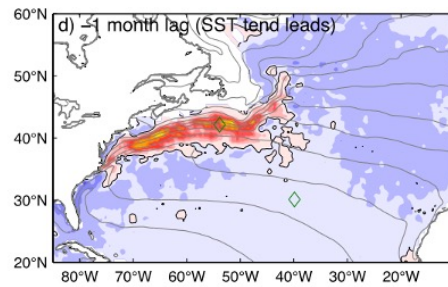
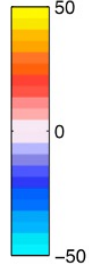
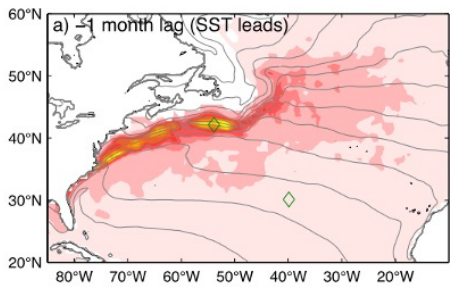
NOAA OISST ( $1/4^{\circ}$ ), OAFLUX( $1^{\circ}$ ) products

Figures from Bishop et al. (2017)

# North Atlantic

## SST-SHF covariance

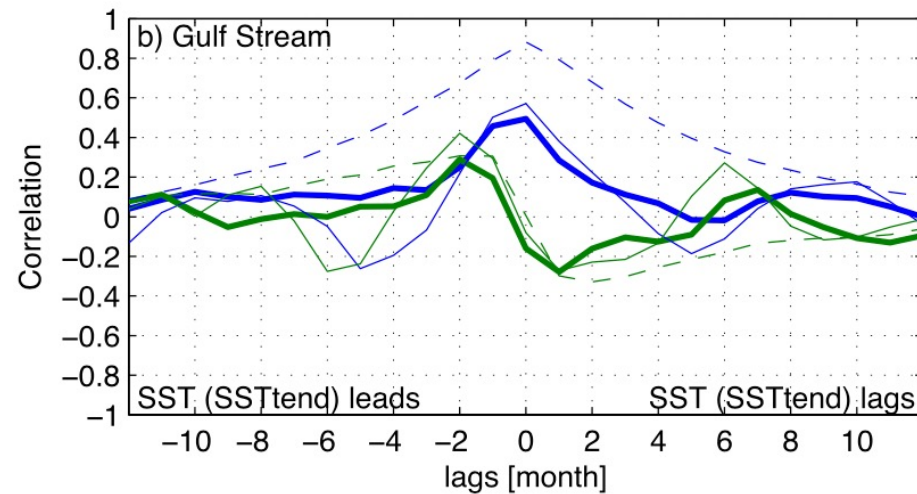
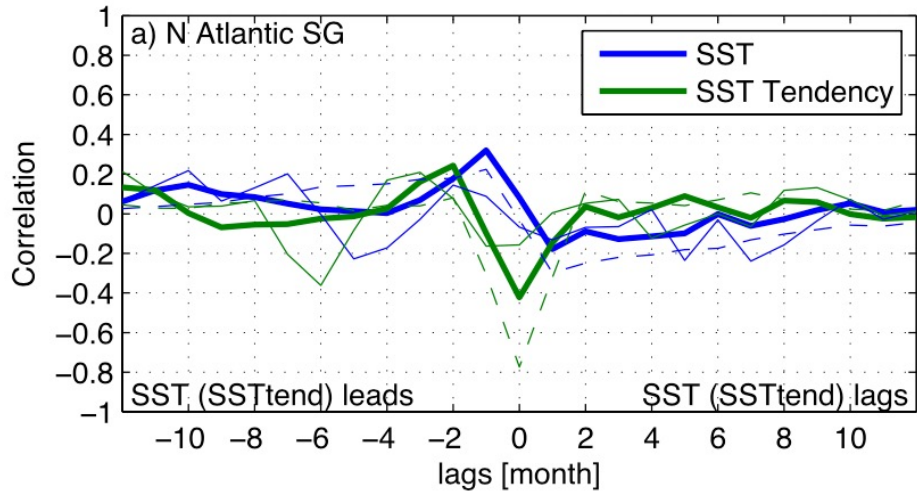
## SST tendency -SHF covariance



Figures from Bishop et al. (2017)



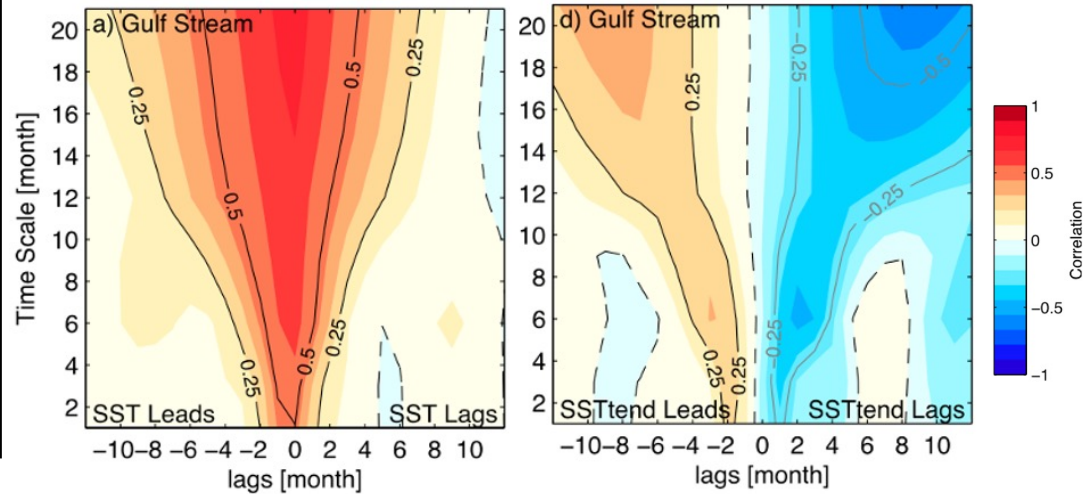
These relationships are dependent on both the spatial and temporal scale



Increasing  
*temporal* scale

SST-SHF

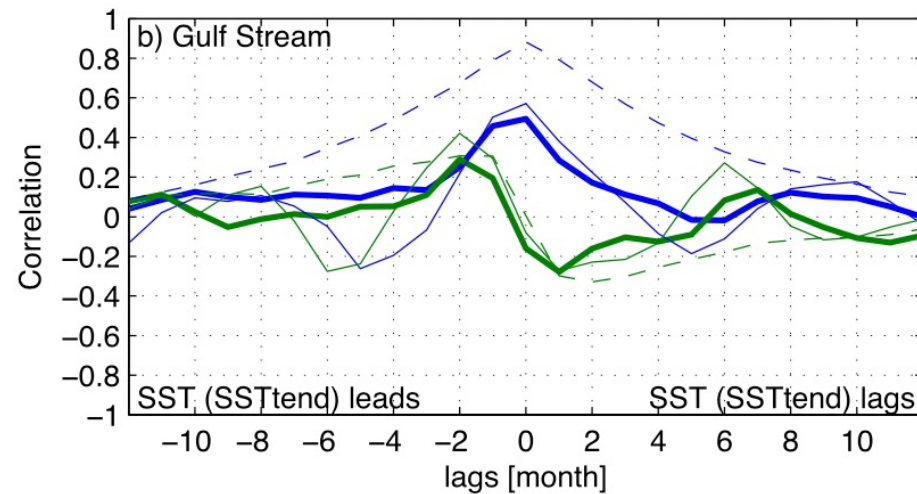
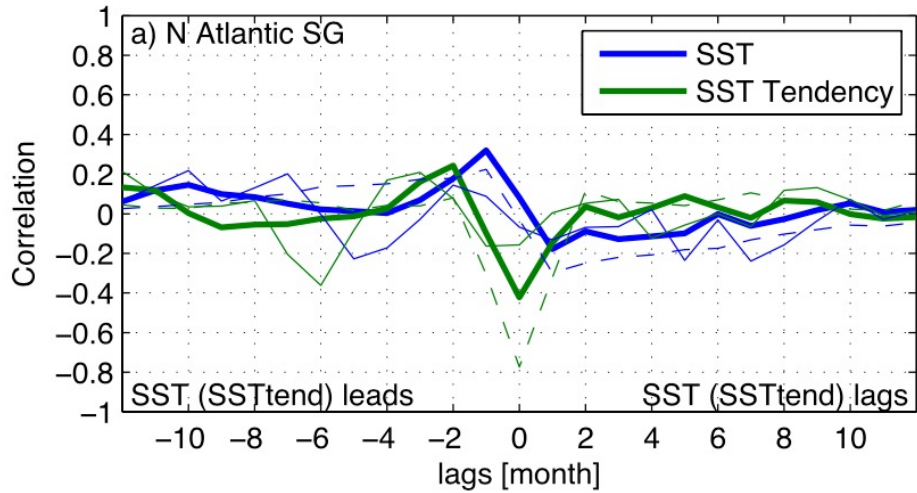
SST tendency-SHF



Longer time-scale transitions into  
ocean-driven regime

Figures from Bishop et al. (2017)

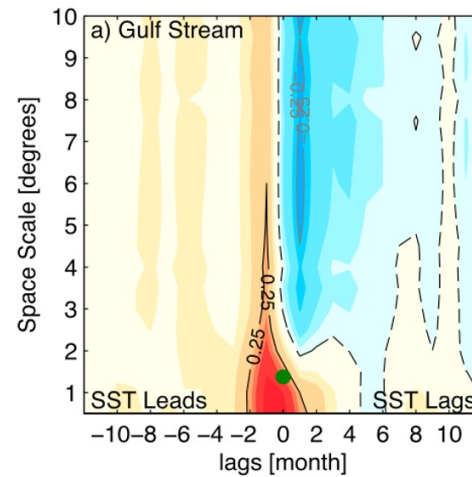
These relationships are dependent on both the spatial and temporal scale



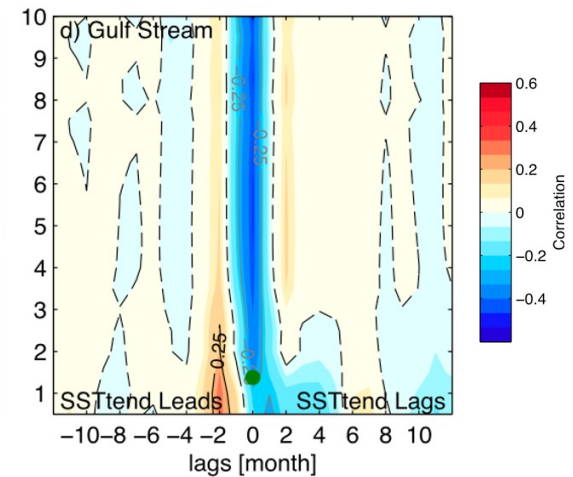
Increasing *spatial* scale



**SST-SHF**



**SST tendency-SHF**



**Shorter spatial-scale** transitions into ocean-driven regime

Figures from Bishop et al. (2017)

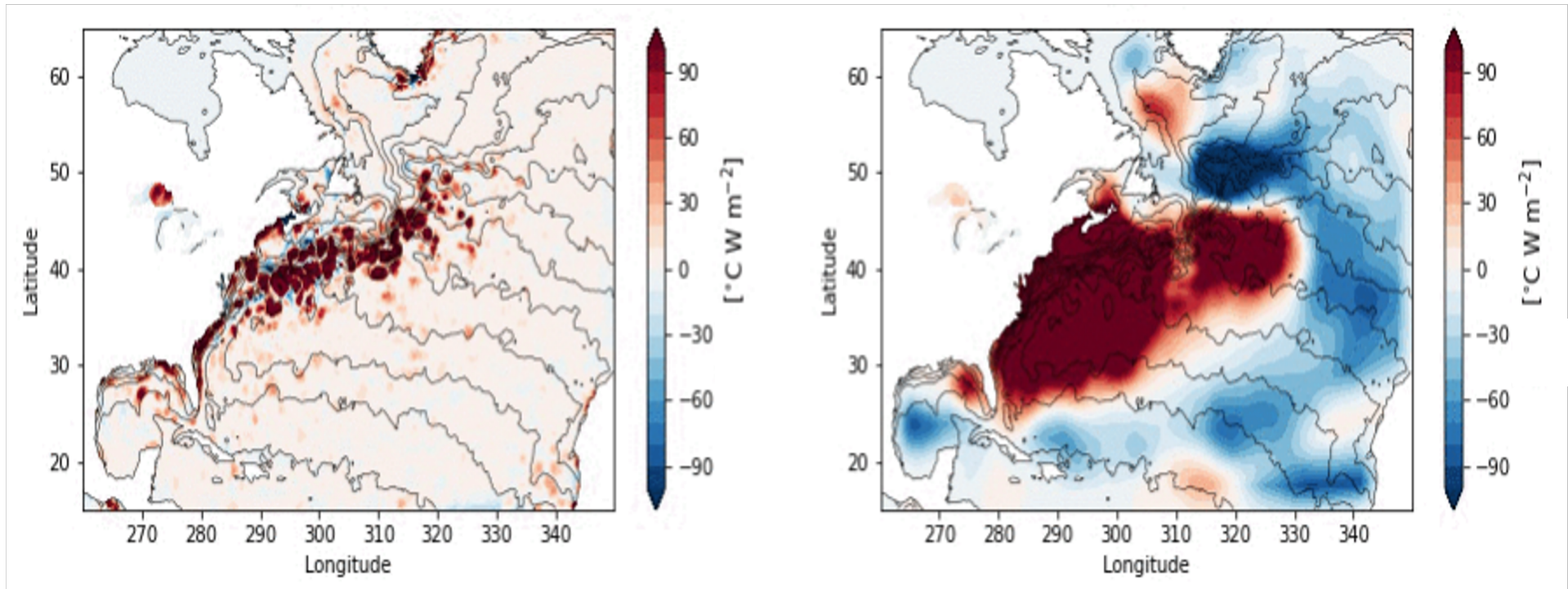


# Q'T' – Latent heat flux, SST co-variability

## Daily Snapshots, January – March 2009, J-OFURO3 product

Ocean mesoscale (< 500km)

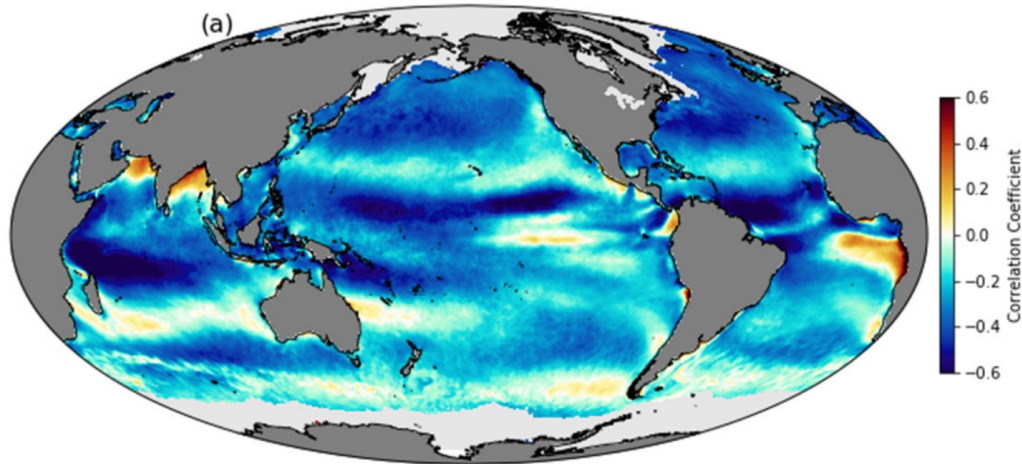
Synoptic scale



Animation courtesy of Stuart Bishop

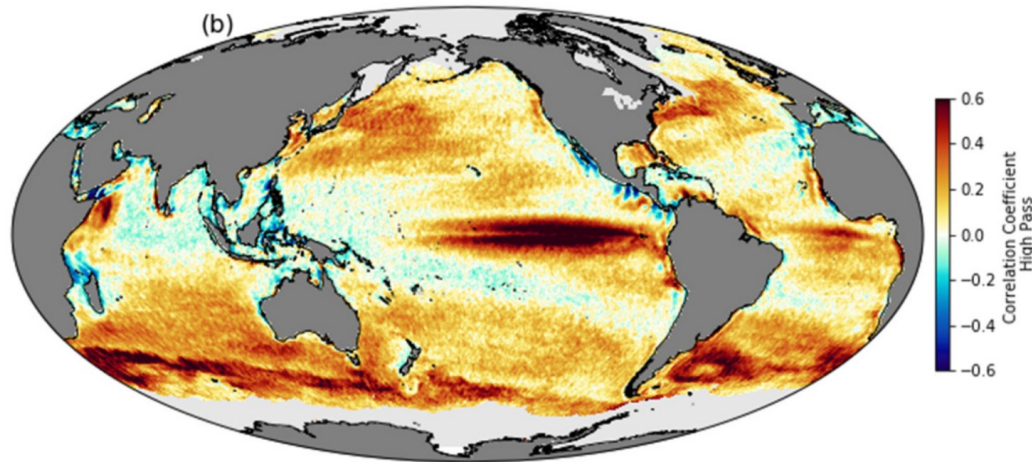
## Daily correlation between wind speed and SST

*QuikScat, NOAA OISST (25km gridded).*  
Gentemann et al., 2020



***Negative correlation*** – increase in wind speed reduces SST by enhancing ocean-atmosphere heat flux / mixing warm surface water with cooler waters below

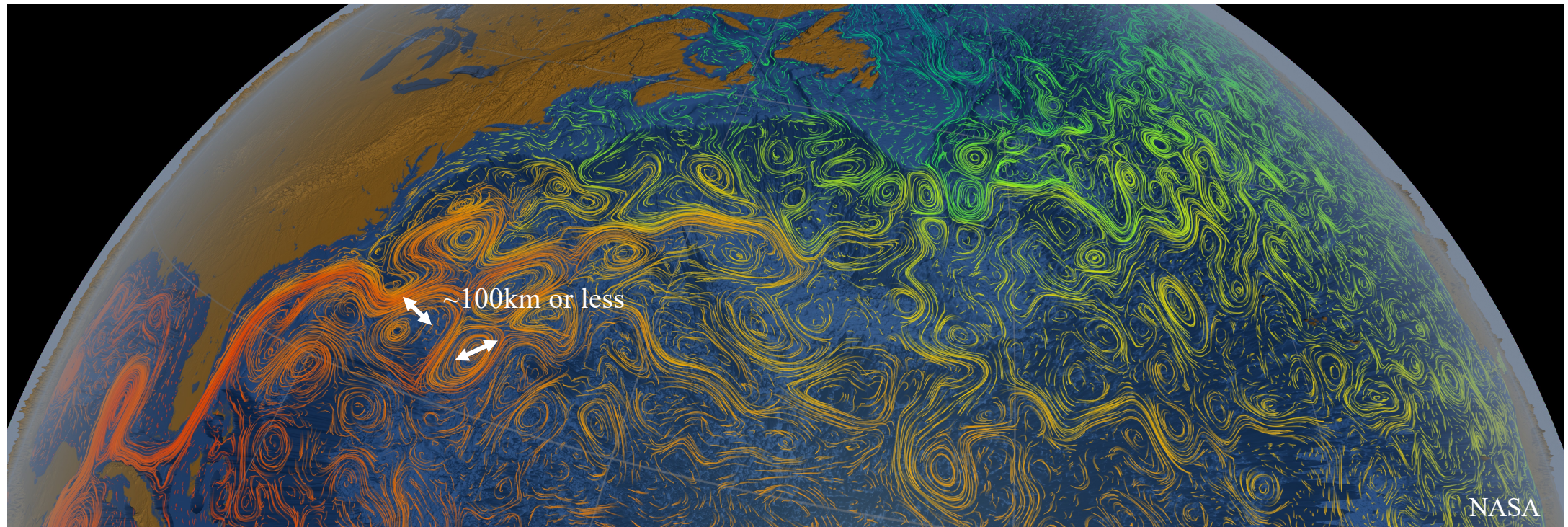
With 1000km high-pass filter applied



***Positive correlation*** – increase in SST increases vertical mixing of momentum in atmosphere, drawing stronger winds from aloft

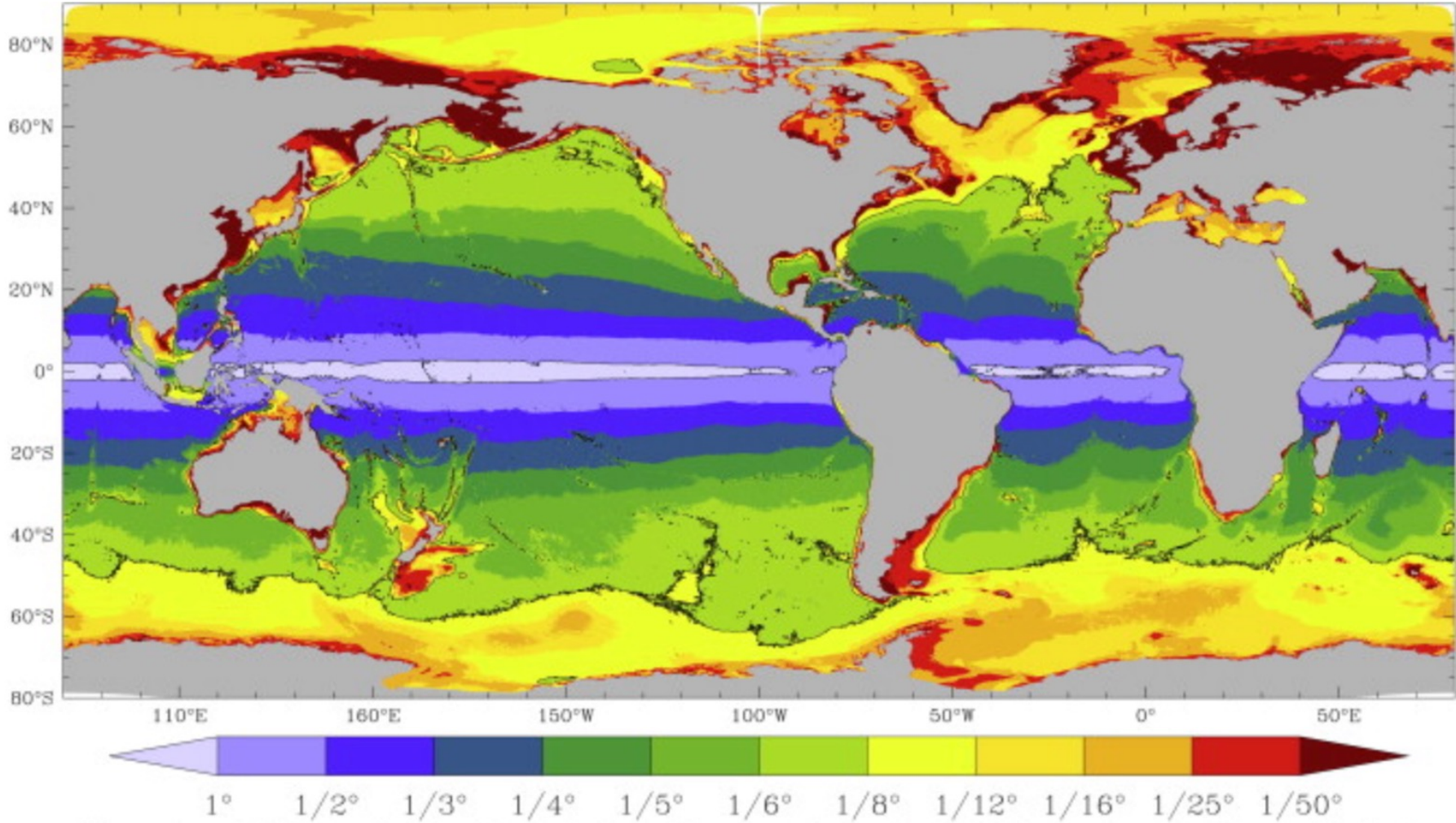


# Western boundary currents - energetic fronts and mesoscale eddies





# Resolution required to resolve first baroclinic deformation radius with $2\Delta x$



Hewitt et al. (2017)



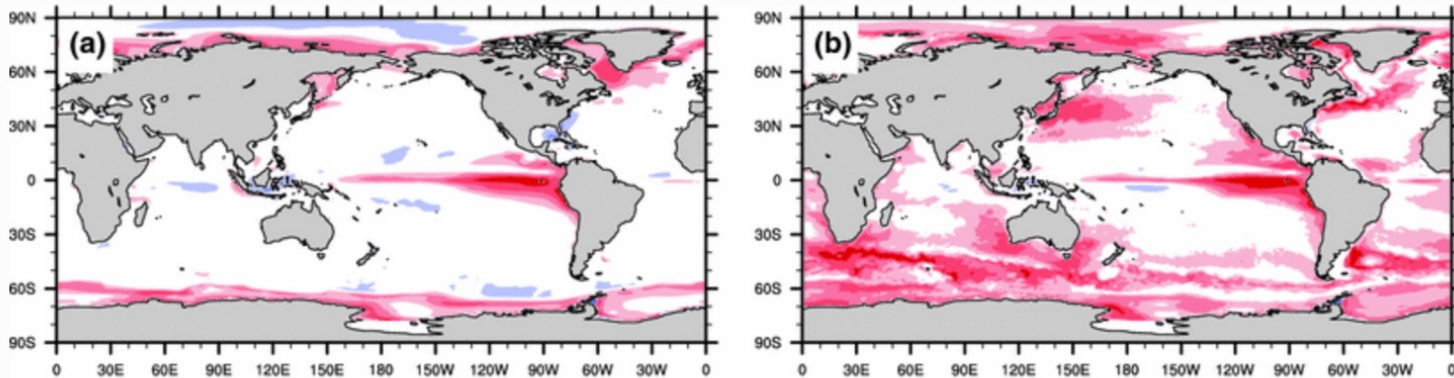
Climate model CCSM 4.0, eddy-resolving ( $\sim 10\text{km}$ ) ocean vs. parameterized ( $\sim 100\text{km}$ )

### Simultaneous Correlations

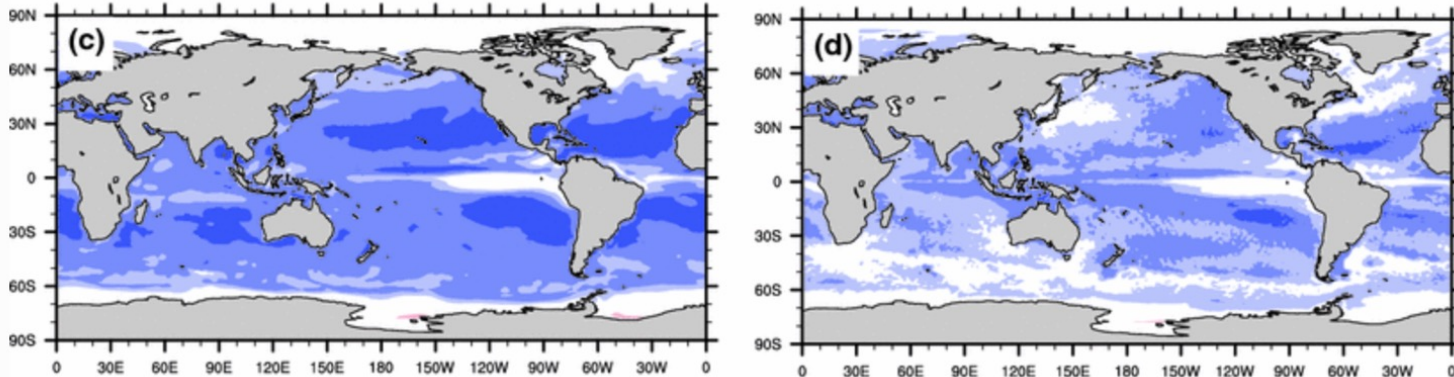
#### Low-resolution

#### High-resolution

SST-SHF

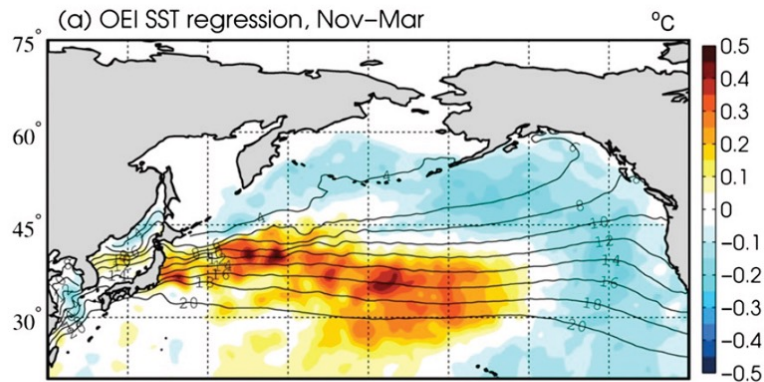


SST tendency-  
SHF

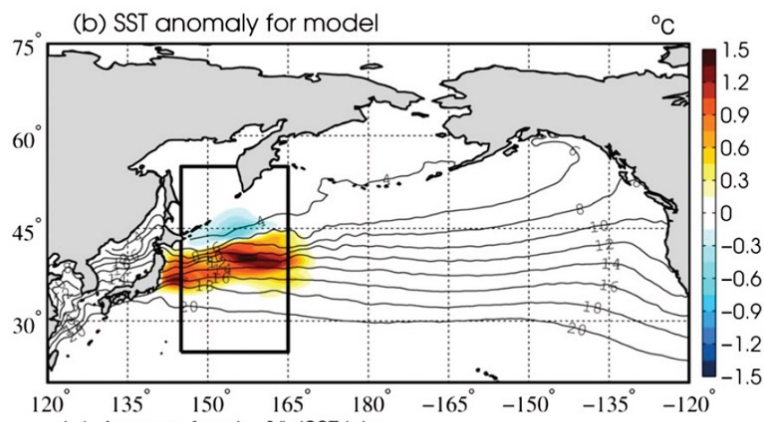


Kirtman et  
al. (2012)

Resolving frontal and mesoscale variability in the extra-tropical ocean significantly changes the nature of air-sea interaction in the extra-tropics – why?

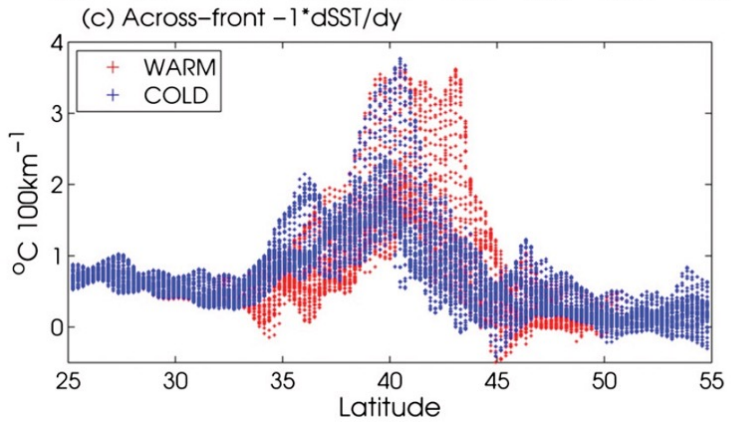


**(top)** Regression of monthly SST anomalies onto the Oyashio Extension Index of Frankignoul et al. (2011), Nov-Mar 1982-2008



**(middle)** Regression after filter application to remove basin-wide signal that may reflect SST response to atmosphere

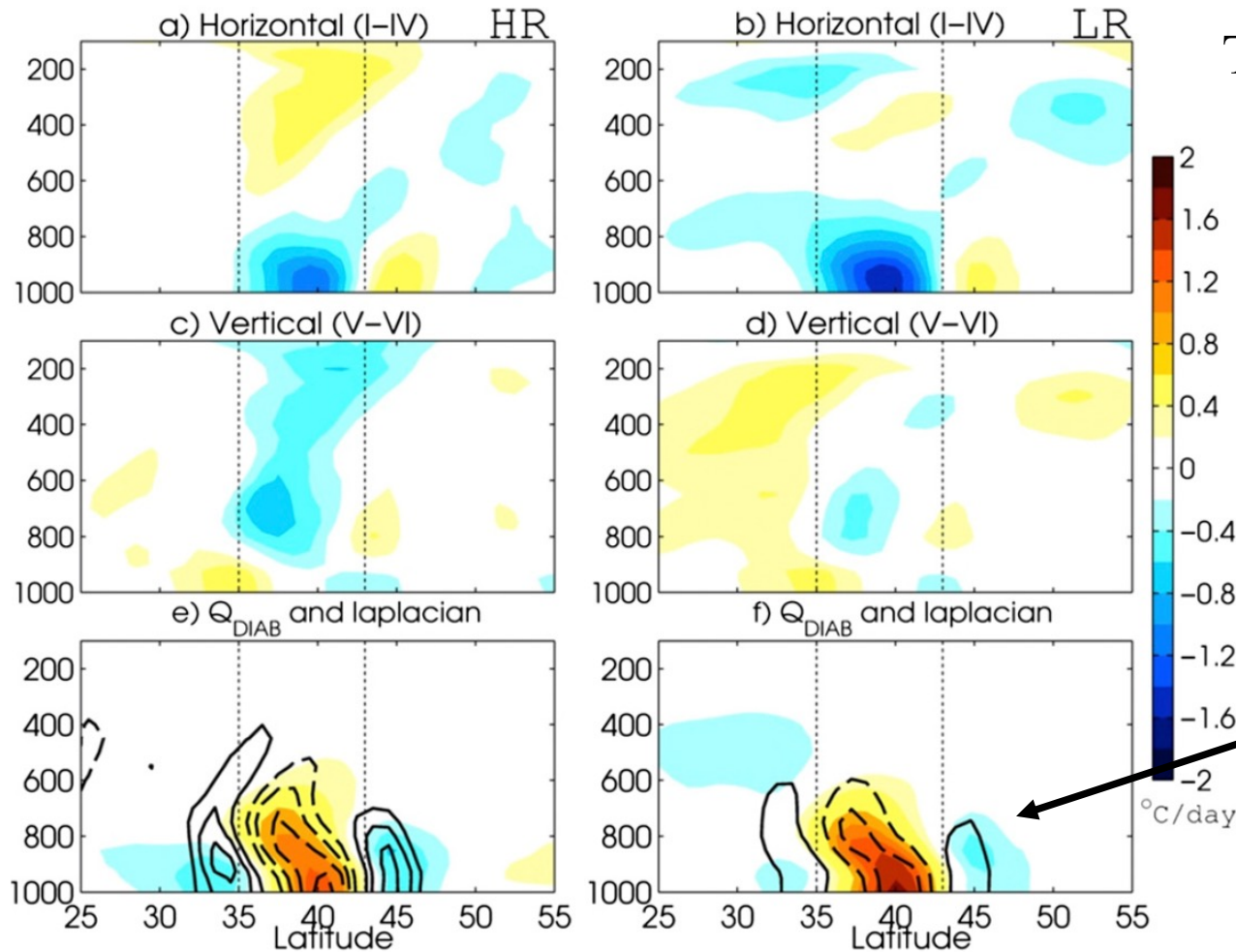
- Prescribed SST experiments, 25-member ensemble, CAM5;  
 0.25° and 1°, 1<sup>st</sup> November – 31<sup>st</sup> March
- 1982-2011 monthly average SST (NOAA OISST)
  - Addition of anomaly (*warm phase*)
  - Subtraction of anomaly (*cold phase*)



**(bottom)** Scatter of point-by-point  $-d(SST)/dy$  from 145° to 165°E as a function of latitude (dots) for the “warm” (red) and “cold” (blue) experiments.

From Smirnov et al. (2015)

# Difference between warm and cold experiments in HR and LR



Time-mean thermodynamic equation

$$\begin{aligned} & \underbrace{\bar{u} \frac{\partial \bar{T}}{\partial x}}_I + \underbrace{\frac{\partial \overline{u'T'}}{\partial x}}_II + \underbrace{\bar{v} \frac{\partial \bar{T}}{\partial y}}_III + \underbrace{\frac{\partial \overline{v'T'}}{\partial y}}_IV \\ & + \underbrace{\left( \bar{\omega} \frac{\partial \bar{T}}{\partial p} - \frac{\kappa}{p} \bar{\omega} \bar{T} \right)}_V + \underbrace{\left( \overline{\omega' \frac{\partial T'}{\partial p}} - \frac{\kappa}{p} \overline{\omega' T'} \right)}_VI = \bar{Q}, \end{aligned} \quad VII$$

Notice how profile of diabatic heating is almost the same between HR and LR in response to the SST anomaly

From Smirnov et al. (2015)



Averaged over region 35°-43°N, 145°-165°E

Compare:

Eddy heat flux divergence (*dashed*) and mean thermal advection (*solid*); HR (*thick*), LR (*thin*)

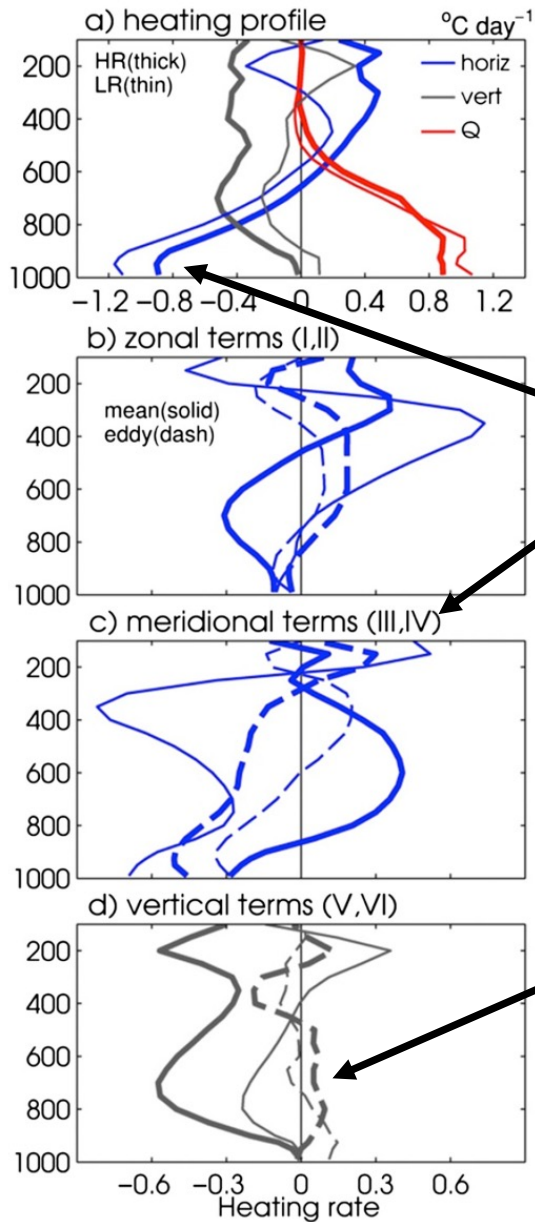
Near the surface the meridional heat transport terms largely balance  $\bar{Q}$ , however...

For LR, the mean transport dominates the eddy transport

For HR, the eddy transport is about 60% larger than the mean transport and has much greater vertical extent

Also note large difference in vertical transport at mid-levels due to mean  $\omega$  circulation

→ Horizontal eddy transports (lower troposphere) and strong vertical motion (middle troposphere) much more important for balancing  $\dot{Q}$  in HR than in LR



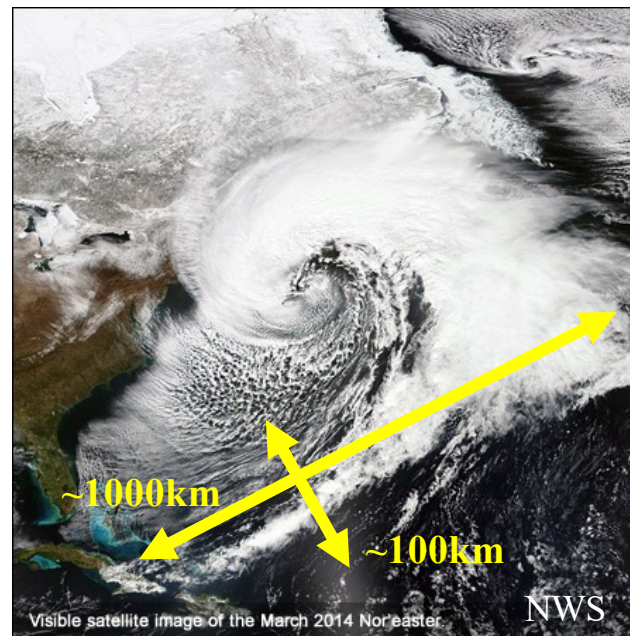
*In other words:*

**At 1°** —> Anomalous heating is balanced by **horizontal cold air advection**. This is what one expects from linear dynamics (traditional paradigm - Hoskins and Karoly, 1981).

**At 0.25°** —> The forcing is instead balanced by transient eddy fluxes.

This is a new paradigm where the atmospheric eddies and ocean can “see” each other...

What atmospheric and ocean features scale match at  $\sim 0.25^\circ$ ?



*Tropical vs. extra-tropical:*

- Tropical SST anomalies reach free troposphere more easily than extra-tropical anomalies due to more significant role of vertical advection
- Response to tropical SST anomalies much less sensitive to background climatology
- Mid-latitude SST anomalies exist in an environment with high internal variability
- Tropical SST anomalies persist much longer than extra-tropical SST anomalies
  - Tropical SSTs more important for seasonal predictability

*However:*

- “Passive paradigm” of extra-tropical ocean is likely a gross underestimation
- Direct extra-tropical oceanic forcing only truly revealed at resolutions high enough to resolve the oceanic mesoscale
- These resolutions allow the ocean to interact with atmospheric transients

How many studies looking at AMV have this resolution ?