

# Gröbner deformations and $F$ -singularities

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*Let  $R = \mathbb{F}_p[X_1, \dots, X_n]$ . Then  $R$  is a free module over  $F(R)$  with basis  $\{X_1^{i_1} \dots X_n^{i_n} : 0 \leq i_j \leq p-1, j = 1, \dots, n\}$ .*

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More generally,

## Theorem (Kunz)

*A ring  $R$  is regular if and only if  $F$  is a flat map.*

# F-singularities

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- ▶ Using the action of the Frobenius many kinds of singularities have been defined, all together they are called **F-singularities**.
- ▶ Let  $I$  be an ideal of  $R$ . For any  $q = p^e$ , define  $I^{[q]} := (x^q \mid x \in I)$ . **Tight closure of  $I$**  is defined by

$$I^* = \{r \in R : \exists c \in R \setminus \bigcup_{\mathfrak{p} \in \text{Min } R} \mathfrak{p}, cr^q \in I^{[q]} \quad \forall q = p^e \gg 0\}.$$

An ideal  $I$  is called **tightly closed** if  $I^* = I$ .

- ▶ A ring is **weakly F-regular** if every ideal is tightly closed. A ring is called **F-regular** if all its localizations are weakly F-regular.
- ▶ A ring  $R$  is **F-rational** if every parameter ideal is tightly closed.
- ▶ A ring  $R$  is **F-pure** if the Frobenius homomorphism  $F : R \rightarrow R$  is a pure map.
- ▶ A ring  $R$  is **F-injective** if the map  $F : H_m^i(R) \rightarrow H_m^i(R)$  is injective for any maximal ideal  $\mathfrak{m} \subset R$  and  $i \in \mathbb{N}$ .



# F-singularities and examples

$$\begin{array}{ccc} F\text{-regular} & \implies & F\text{-rational} \\ \Downarrow & & \Downarrow \\ F\text{-pure} & \implies & F\text{-injective} \end{array}$$

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- ▶ Summands of a polynomial ring over a field of prime characteristic are  $F$ -regular.
- ▶ Normal affine semigroup rings are  $F$ -regular.
- ▶ Let  $R = K[X, Y, Z]/(X^3 + Y^3 + Z^3)$  with  $K$  a field of char  $p > 3$ . Then  $R$  is  $F$ -pure if and only if  $p \equiv 1 \pmod{3}$ , but never  $F$ -regular.

# Initial ideal

- ▶ Let  $S = K[X_1, \dots, X_n]$  be a polynomial ring over a field  $K$ . A **monomial order**  $<$  on  $S$  is a total order on monomials of  $S$  satisfying
  - $1 < u$  for all monomial  $u \neq 1$ ;
  - if  $u, v$  monomials with  $u < v$ , then  $uw < vw$  for every monomial  $w$ .

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  - if  $u, v$  monomials with  $u < v$ , then  $uw < vw$  for every monomial  $w$ .
- ▶ Let  $I \subset S$  be an ideal and  $<$  be a monomial order on  $S$ . Define the **initial ideal** of  $I$  in  $S$  as  $in_{<}(I) := (in_{<}(f) : f \in I)$ , where  $in_{<}(f)$  stands for the biggest term of  $f$  with respect  $<$ .
- ▶ Given a monomial order  $<$ , it turns out that it is possible to choose a suitable weight vector  $w \in (\mathbb{N}_{>0})^n$  (depending on  $<$  and  $I$ ) such that  $in_{<}(I) = in_w(I)$ . Here  $in_w(I) = (in_w(f) : f \in I)$ , where  $in_w(f)$  stands for the sum of the terms of  $f$  with maximal  $w$ -degree.

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- ▶ Let  $f = \sum f_j \in S$  be a nonzero polynomial with homogeneous components  $f_j$  (with respect to  $w$ ). Let  $t$  be an extra homogenizing variable, define the  $w$ -**homogenization** of  $f$ , as  $f^h = \sum f_j t^{d-j} \in S[t]$ , where  $d = deg_w f$  and define  $hom_w(I) := (f^h : f \in I) \subseteq S[t]$ , called the  $w$ -**homogenization** of  $I$ .
- ▶ One note that  $hom_w(I)$  is a homogeneous ideal in  $S[t]$  with respect to the extended weight  $w' = (w_1, \dots, w_n, 1) \in \mathbb{N}^{n+1}$ .



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- ▶ One note that  $hom_w(I)$  is a homogeneous ideal in  $S[t]$  with respect to the extended weight  $w' = (w_1, \dots, w_n, 1) \in \mathbb{N}^{n+1}$ .
- ▶ Then we say that  $R = S[t]/hom_w(I)$  is a **Gröbner deformation**, and we have that:
  - $R$  is a  $\mathbb{N}$ -graded ring such that  $R_0 = K$  and  $t \in R$  has degree 1 (the grading is given by  $\deg(X_i) = w_i$  and  $\deg(t) = 1$ ).
  - $t$  is a nonzero-divisor on  $R$ .
  - $R/tR \cong S/in_w(I)$ .
  - $R/(t-1)R \cong S/I$ .

# Relations between properties of $S/I$ and of $S/in_{<}(I)$

## Theorem

Let  $I$  be a homogeneous ideal of  $S$  and  $<$  be a monomial order on  $S$ .

Then

- (1)  $HF(S/I) = HF(S/in_{<}(I))$ , in particular  $\dim(S/I) = \dim(S/in_{<}(I))$ .
- (2)  $\text{depth } S/I \geq \text{depth } S/in_{<}(I)$ ; hence if  $S/in_{<}(I)$  is CM,  $S/I$  is so.
- (3) If  $S/in_{<}(I)$  is Gorenstein,  $S/I$  is so.
- (4)  $\text{reg } S/I \leq \text{reg } S/in_{<}(I)$ .

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- (3) If  $S/in_{<}(I)$  is Gorenstein,  $S/I$  is so.
- (4)  $\text{reg } S/I \leq \text{reg } S/in_{<}(I)$ .

A recent work of A. Conca and M. Varbaro states that  $I$  and  $in_{<}(I)$  are much more related than usual provided the latter is a squarefree monomial ideal. More precisely,

## Theorem (Conca, Varbaro)

Let  $I$  be a homogeneous ideal of  $S$  such that  $in_{<}(I)$  is a square-free monomial ideal for some monomial order  $<$ . Then

- (1)  $\text{depth } S/I = \text{depth } S/in_{<}(I)$ ; hence  $S/in_{<}(I)$  is CM, if and only if  $S/I$  is so.
- (2)  $\text{reg } S/I = \text{reg } S/in_{<}(I)$ .

# Questions

**Q1.** Let  $I$  be an ideal of a polynomial ring  $S$  over a field  $K$ . When is there a monomial order  $<$  on  $S$  such that  $in_{<}(I)$  is squarefree?

**Q2.** For which kind of  $F$ -singularities do we have that  $S/I$  has those  $F$ -singularities provided that, for some weight vector  $w \in \mathbb{N}^n$ ,  $S/in_w(I)$  has those  $F$ -singularities?

## Theorem (-, Varbaro)

*Let  $S = K[X_1, \dots, X_n]$  be the polynomial ring in  $n$  variables over a field  $K$  (not necessarily of positive characteristic). Let  $I \subset S$  be a radical ideal,  $<$  a monomial order of  $S$ , and call  $h = \max\{ht(\mathfrak{p}) : \mathfrak{p} \in \text{Min}(I)\}$ . If  $in_{<}(I^{(h)})$  contains a squarefree monomial, then  $in_{<}(I)$  is a squarefree monomial ideal.*

**Idea of the Proof:** We first prove for prime characteristic, and then derive over fields of characteristic 0. The proof in prime characteristic uses a suitable version of Fedder criterion.

# Negative answers of Q2. for $F$ -regularity

## Example

Let  $S = K[X_1, \dots, X_5]$  where  $K$  has characteristic  $p > 2$ , and  $I$  the ideal generated by the 2-minors of the matrix:

$$\begin{pmatrix} X_4^2 + X_5^3 & X_3 & X_2 \\ X_1 & X_4^2 & X_3^4 - X_2 \end{pmatrix}.$$

- ▶ Considering the weight vector  $w = (6, 24, 6, 3, 1)$  of  $(X_1, X_2, X_3, X_4, X_5)$ , one can see that  $in_w(I)$  is the ideal generated by the 2-minors of the matrix:

$$\begin{pmatrix} X_4^2 & X_3 & X_2 \\ X_1 & X_4^2 & X_3^4 - X_2 \end{pmatrix}.$$

- ▶ By a work of Anurag Singh,  $S/in_w(I)$  is  $F$ -regular.
- ▶ By a work of Anurag Singh it is known that  $S/I$  is not  $F$ -regular.

## Negative answer of Q2. for $F$ -purity

### Example

Let  $S = K[X_1, \dots, X_5]$  where  $K$  has characteristic  $p > 3$ , and  $I$  the ideal generated by the 2-minors of the matrix of previous Example, namely:

$$\begin{pmatrix} X_4^2 + X_5^3 & X_3 & X_2 \\ X_1 & X_4^2 & X_3^4 - X_2 \end{pmatrix}.$$

- ▶ If  $<$  is the lexicographic monomial order with  $X_1 > X_2 > X_3 > X_4 > X_5$ , then  $in_{<}(I) = (X_1X_3, X_1X_2, X_2X_3)$ .
- ▶  $S/in_{<}(I)$  is  $F$ -pure.
- ▶ Again by a work of A. Singh,  $S/I$  is not  $F$ -pure.

# Positive answers for F-rationality and strong F-injectivity

F-pure  $\implies$  **strongly  $F$ -injective**  $\implies$   $F$ -injective.



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## Theorem (-, Varbaro)

Let  $S = K[X_1, \dots, X_n]$  be a polynomial ring over a field  $K$  of prime characteristic and  $w \in (\mathbb{N}_{>0})^n$ . If  $I \subset S$  is an ideal such that  $S/\text{in}_w(I)$  is F-rational (resp. strongly F-injective), then  $S/I$  is F-rational (resp. strongly F-injective).

## Corollary

Let  $S = K[X_1, \dots, X_n]$  be a polynomial ring over a field  $K$  of prime characteristic and  $\prec$  be a monomial order on  $S$ . Let  $I \subset S$  an ideal of  $S$  such that  $\text{in}_{\prec}(I)$  is radical, then  $S/I$  is strongly F-injective, and so F-injective.

# F-singularities of binomial edge algebras

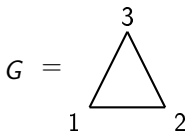
## Definition

Let  $G = (V, E)$  be a simple graph on the vertex set  $V = \{1, \dots, n\}$ . Let  $S = K[X_1, \dots, X_n, Y_1, \dots, Y_n]$ , where  $K$  is a field. Define the **binomial edge ideal** corresponding to  $G$  as

$$I_G := (X_i Y_j - X_j Y_i : \{i, j\} \in E).$$

And the  $K$ -algebra  $S/I_G$  defined by  $I_G$  is called the **binomial edge algebra**.

## Example



$$I_G = (X_1 Y_2 - X_2 Y_1, X_2 Y_3 - X_3 Y_2, X_1 Y_3 - X_3 Y_1).$$

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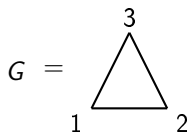
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## Corollary (-, Varbaro)

Let  $G$  be a simple graph. Then the corresponding binomial edge algebra  $S/I_G$  defined over a field of prime characteristic  $p > 0$  is strongly  $F$ -injective, and so  $F$ -injective.

# F-singularities of ASL

## Definition

Let  $A = \bigoplus_{i \in \mathbb{N}} A_i$  be a  $\mathbb{N}$ -graded algebra and let  $(H, \prec)$  be a finite poset. Let  $H \rightarrow \bigcup_{i > 0} A_i$  be an injective function. The elements of  $H$  will be identified with their images. Given a chain  $h_1 \preceq h_2 \preceq \cdots \preceq h_s$  of elements of  $H$  the corresponding product  $h_1 \cdots h_s \in A$  is called *standard monomial*. One says that  $A$  is an **Algebra with straightening laws or ASL** on  $H$  (with respect to the given embedding  $H$  into  $\bigcup_{i > 0} A_i$ ) if three conditions are satisfied:

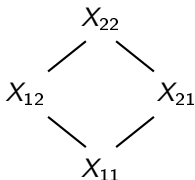
- ▶ The elements of  $H$  generate  $A$  as a  $A_0$ -algebra.
- ▶ The standard monomials are  $A_0$ -linearly independent.
- ▶ For every pair  $h_1, h_2$  of incomparable elements of  $H$  there is a relation

$$h_1 h_2 = \sum_{j=1}^u \lambda_j h_{j_1} \cdots h_{j_{v_j}}$$

where  $\lambda_j \in A_0 \setminus \{0\}$ , the  $h_{j_1} \cdots h_{j_{v_j}}$  are distinct standard monomials and, assuming that  $h_{j_1} \preceq \cdots \preceq h_{j_{v_j}}$ , one has  $h_{j_1} \prec h_1$  and  $h_{j_1} \prec h_2$  for all  $j$ .

## Example

Let  $R = K[X_{11}, X_{12}, X_{21}, X_{22}]/(X_{11}X_{22} - X_{12}X_{21})$ . Then  $R$  is an ASL with the following poset  $H$ :



## Corollary (-, Varbaro)

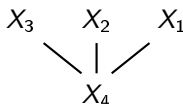
*Let  $R$  be an ASL over a field of prime characteristic  $p > 0$ . Then  $R$  is strongly  $F$ -injective, and so  $F$ -injective.*

## An example

- ▶ Let  $S = K[X_1, X_2, X_3, X_4]$ , where  $K$  is algebraically closed field of characteristic  $p > 0$ , and  $I$  the ideal generated by the 2-minors of the matrix:







$$\begin{pmatrix} X_4^4 & X_1 & X_3 \\ X_2 & X_4^4 & X_2 - X_3 \end{pmatrix}.$$

- ▶ By a work of Hochster and Huneke one can see that  $S/I$  is not  $F$ -pure.
- ▶ The ring  $S/I$  can be given an ASL structure on the poset  $H$  below:



that is, in the poset  $H$  we have  $X_4 < X_3, X_2, X_1$  ( $X_1, X_2$  and  $X_3$  are incomparable).

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