

Polynomial invariant rings in modular invariant theory

Mandira Mondal
(joint with Prof. Manoj Kummini)

Indian Institute of Technology Jodhpur

School on Commutative Algebra and Algebraic Geometry in
Prime Characteristics, ICTP, Italy
May, 2023

Linear representation of finite group

Let \mathbb{k} be a field and let V be a finite dimensional \mathbb{k} -vector space. Let $G \subseteq GL_{\mathbb{k}}(V)$ be a finite group, i.e., V is a finite dimensional linear representation of G over \mathbb{k} .

The action of G on V induces an action on V^* . This action extends to a graded \mathbb{k} -algebra automorphism on the symmetric algebra of V^* . We denote this algebra by $S = \mathbb{k}[V]$.

Linear representation of finite group

Let \mathbb{k} be a field and let V be a finite dimensional \mathbb{k} -vector space. Let $G \subseteq \mathrm{GL}_{\mathbb{k}}(V)$ be a finite group, i.e., V is a finite dimensional linear representation of G over \mathbb{k} .

The action of G on V induces an action on V^* . This action extends to a graded \mathbb{k} -algebra automorphism on the symmetric algebra of V^* . We denote this algebra by $S = \mathbb{k}[V]$.

The ring of invariants of G is the subring of S given by

$$S^G = \{f \in S \mid g.f = f \text{ for all } g \in G\}.$$

When \mathbb{k} is algebraically closed, the invariant ring S^G can be thought of as the coordinate ring of the quotient variety V/G .

Linear representation of finite group

Let \mathbb{k} be a field and let V be a finite dimensional \mathbb{k} -vector space. Let $G \subseteq \mathrm{GL}_{\mathbb{k}}(V)$ be a finite group, i.e., V is a finite dimensional linear representation of G over \mathbb{k} .

The action of G on V induces an action on V^* . This action extends to a graded \mathbb{k} -algebra automorphism on the symmetric algebra of V^* . We denote this algebra by $S = \mathbb{k}[V]$.

The ring of invariants of G is the subring of S given by

$$S^G = \{f \in S \mid g.f = f \text{ for all } g \in G\}.$$

When \mathbb{k} is algebraically closed, the invariant ring S^G can be thought of as the coordinate ring of the quotient variety V/G .

Questions

Question

Can we characterise all representations $G \rightarrow \mathrm{GL}(V)$ for which the invariant ring S^G is a polynomial ring?

Pseudo-reflections

An element $g \in G$ is called a pseudo-reflection if $V^g = \{v \in V \mid gv = v\}$ is a codimension 1 subspace of V .

The action of g is not diagonalizable if and only if the only eigenvalue of for the action is 1, which can happen only when $|G| = 0$ in \mathbb{k} . In this case, g is called a transvection.

Theorem (Shephard-Todd, Chevaly, Serre)

Suppose $|G|$ is invertible in \mathbb{k} . Then S^G is a polynomial ring if and only if G is generated by pseudo-reflections.

Pseudo-reflections

An element $g \in G$ is called a pseudo-reflection if $V^g = \{v \in V \mid gv = v\}$ is a codimension 1 subspace of V .

The action of g is not diagonalizable if and only if the only eigenvalue of for the action is 1, which can happen only when $|G| = 0$ in \mathbb{k} . In this case, g is called a transvection.

Theorem (Shephard-Todd, Chevaly, Serre)

Suppose $|G|$ is invertible in \mathbb{k} . Then S^G is a polynomial ring if and only if G is generated by pseudo-reflections.

Such a characterisation is not known for the modular case, i.e., when $|G|$ is divisible by characteristic of \mathbb{k} . However, a partial converse is true for any representation.

Theorem (Serre 1967)

If S^G is a polynomial ring then the action of G on V is generated by pseudo-reflections.

Pseudo-reflections

An element $g \in G$ is called a pseudo-reflection if $V^g = \{v \in V \mid gv = v\}$ is a codimension 1 subspace of V .

The action of g is not diagonalizable if and only if the only eigenvalue of for the action is 1, which can happen only when $|G| = 0$ in \mathbb{k} . In this case, g is called a transvection.

Theorem (Shephard-Todd, Chevaly, Serre)

Suppose $|G|$ is invertible in \mathbb{k} . Then S^G is a polynomial ring if and only if G is generated by pseudo-reflections.

Such a characterisation is not known for the modular case, i.e., when $|G|$ is divisible by characteristic of \mathbb{k} . However, a partial converse is true for any representation.

Theorem (Serre 1967)

If S^G is a polynomial ring then the action of G on V is generated by pseudo-reflections.

The Trace map

- ▶ The transfer homomorphism $\text{Tr}^G : S \rightarrow S^G$ given by $f \mapsto \sum_{g \in G} g.f$ is a map of S^G -modules.
- ▶ If $|G|$ is invertible in \mathbb{k} , then the Reynold's operator $\frac{1}{|G|} \text{Tr}^G$ is a projection of S onto S^G . Hence S^G is a direct summand of S as an S^G -module.

Theorem (Shank-Wehlau 1999)

If $\text{char}(\mathbb{k})$ divides $|G|$, then the image of the trace map Tr^G is a non-zero proper ideal of S^G , contained in the ideal generated by the homogeneous invariants of positive degree.

The Trace map

- ▶ The transfer homomorphism $\text{Tr}^G : S \rightarrow S^G$ given by $f \mapsto \sum_{g \in G} g.f$ is a map of S^G -modules.
- ▶ If $|G|$ is invertible in \mathbb{k} , then the Reynold's operator $\frac{1}{|G|} \text{Tr}^G$ is a projection of S onto S^G . Hence S^G is a direct summand of S as an S^G -module.

Theorem (Shank-Wehlau 1999)

If $\text{char}(\mathbb{k})$ divides $|G|$, then the image of the trace map Tr^G is a non-zero proper ideal of S^G , contained in the ideal generated by the homogeneous invariants of positive degree.

Conjecture (Shank-Wehlau 1999)

Suppose $\text{char}(\mathbb{k}) = p > 0$ and $G \subseteq \text{GL}(V)$ a p -group. Then S^G is a polynomial ring \Leftrightarrow image of the Transfer homomorphism Tr^G is a principal ideal of S^G .

The Trace map

- ▶ The transfer homomorphism $\text{Tr}^G : S \rightarrow S^G$ given by $f \mapsto \sum_{g \in G} g.f$ is a map of S^G -modules.
- ▶ If $|G|$ is invertible in \mathbb{k} , then the Reynold's operator $\frac{1}{|G|} \text{Tr}^G$ is a projection of S onto S^G . Hence S^G is a direct summand of S as an S^G -module.

Theorem (Shank-Wehlau 1999)

If $\text{char}(\mathbb{k})$ divides $|G|$, then the image of the trace map Tr^G is a non-zero proper ideal of S^G , contained in the ideal generated by the homogeneous invariants of positive degree.

Conjecture (Shank-Wehlau 1999)

Suppose $\text{char}(\mathbb{k}) = p > 0$ and $G \subseteq \text{GL}(V)$ a p -group. Then S^G is a polynomial ring \Leftrightarrow image of the Transfer homomorphism Tr^G is a principal ideal of S^G .

Broer's reformulation

$R \hookrightarrow S$ a integral extension of integral domains. K, L are fraction fields of R and S , respectively. $K \hookrightarrow L$ is finite separable. For $y \in L$, multiplication by y , $L \xrightarrow{\cdot y} L$ is a K -linear map. We denote its trace by $\text{Tr}_{L/K}(y)$.

Then R is a direct summand of $S \Leftrightarrow$ there exists a non-zero principal ideal of S which is mapped by trace map $\text{Tr}_{L/K}$ onto a principal ideal of R .

Suppose $\text{char}(\mathbb{k}) = p > 0$ and $G \subseteq \text{GL}(V)$ a p -group. Then S^G is a direct summand of $S \Leftrightarrow$ image of the Transfer homomorphism Tr^G is a principal ideal of S^G . In that case, G is generated by pseudo-reflections.

Broer's reformulation

$R \hookrightarrow S$ a integral extension of integral domains. K, L are fraction fields of R and S , respectively. $K \hookrightarrow L$ is finite separable. For $y \in L$, multiplication by y , $L \xrightarrow{\cdot y} L$ is a K -linear map. We denote its trace by $\text{Tr}_{L/K}(y)$.

Then R is a direct summand of $S \Leftrightarrow$ there exists a non-zero principal ideal of S which is mapped by trace map $\text{Tr}_{L/K}$ onto a principal ideal of R .

Suppose $\text{char}(\mathbb{k}) = p > 0$ and $G \subseteq \text{GL}(V)$ a p -group. Then S^G is a direct summand of $S \Leftrightarrow$ image of the Transfer homomorphism Tr^G is a principal ideal of S^G . In that case, G is generated by pseudo-reflections.

Conjecture (Shank-Wehlau-Broer)

Suppose $\text{char}(\mathbb{k}) = p > 0$ and $G \subseteq \text{GL}(V)$ a p -group. If S^G is a direct summand of S , then S^G is a polynomial ring.

Broer's reformulation

$R \hookrightarrow S$ a integral extension of integral domains. K, L are fraction fields of R and S , respectively. $K \hookrightarrow L$ is finite separable. For $y \in L$, multiplication by y , $L \xrightarrow{\cdot y} L$ is a K -linear map. We denote its trace by $\text{Tr}_{L/K}(y)$.

Then R is a direct summand of $S \Leftrightarrow$ there exists a non-zero principal ideal of S which is mapped by trace map $\text{Tr}_{L/K}$ onto a principal ideal of R .

Suppose $\text{char}(\mathbb{k}) = p > 0$ and $G \subseteq \text{GL}(V)$ a p -group. Then S^G is a direct summand of $S \Leftrightarrow$ image of the Transfer homomorphism Tr^G is a principal ideal of S^G . In that case, G is generated by pseudo-reflections.

Conjecture (Shank-Wehlau-Broer)

Suppose $\text{char}(\mathbb{k}) = p > 0$ and $G \subseteq \text{GL}(V)$ a p -group. If S^G is a direct summand of S , then S^G is a polynomial ring.

Polynomial invariant rings

Nakajima group: class of p -groups generated by pseudoreflections.

Theorem (Nakajima 1980)

If G is a Nakajima group then S^G is a polynomial ring. Suppose $\mathbb{k} = \mathbb{F}_p$. Then S^G is a polynomial ring $\Rightarrow G$ is a Nakajima group.

However, Nakajima's proof cannot be naively extended to bigger fields.

Shank-Wehlau-Broer conjecture holds if

1. (Shank-Wehlau 1999) $G \subseteq \mathrm{GL}_{\mathbb{k}}(V)$ is a Nakajima group.
2. (Broer 2010) G is an abelian p -group.
3. (Braun 2022) $\dim_{\mathbb{k}}(V) = 3$.

Polynomial invariant rings

Nakajima group: class of p -groups generated by pseudoreflections.

Theorem (Nakajima 1980)

If G is a Nakajima group then S^G is a polynomial ring. Suppose $\mathbb{k} = \mathbb{F}_p$. Then S^G is a polynomial ring $\Rightarrow G$ is a Nakajima group.

However, Nakajima's proof cannot be naively extended to bigger fields.

Shank-Wehlau-Broer conjecture holds if

1. (Shank-Wehlau 1999) $G \subseteq \mathrm{GL}_{\mathbb{k}}(V)$ is a Nakajima group.
2. (Broer 2010) G is an abelian p -group.
3. (Braun 2022) $\dim_{\mathbb{k}}(V) = 3$.

Generalised Nakajima group: a class of p -groups which contains the Nakajima groups as a proper subset and representations $G \subseteq \mathrm{GL}_{\mathbb{k}}(V)$ not generated by pseudoreflections.

Polynomial invariant rings

Nakajima group: class of p -groups generated by pseudoreflections.

Theorem (Nakajima 1980)

If G is a Nakajima group then S^G is a polynomial ring. Suppose $\mathbb{k} = \mathbb{F}_p$. Then S^G is a polynomial ring $\Rightarrow G$ is a Nakajima group.

However, Nakajima's proof cannot be naively extended to bigger fields.

Shank-Wehlau-Broer conjecture holds if

1. (Shank-Wehlau 1999) $G \subseteq \mathrm{GL}_{\mathbb{k}}(V)$ is a Nakajima group.
2. (Broer 2010) G is an abelian p -group.
3. (Braun 2022) $\dim_{\mathbb{k}}(V) = 3$.

Generalised Nakajima group: a class of p -groups which contains the Nakajima groups as a proper subset and representations $G \subseteq \mathrm{GL}_{\mathbb{k}}(V)$ not generated by pseudoreflections.

Polynomial invariant rings

Theorem (Kummini-M)

Shank-Wehlau-Broer conjecture holds in the following cases:

1. G is a Generalised Nakajima group.
2. $\mathbb{k} = \mathbb{F}_p$ and $\dim_{\mathbb{k}}(V) = 4$.
3. $|G| = p^3$.

The **Hilbert ideal** $\mathfrak{h}_{G,S} = (S^G)_+ S$ is the ideal of S generated by positive degree invariants.

Theorem (Kummini-M, 2022)

Let $G \subseteq \mathrm{GL}_{\mathbb{k}}(V)$ be a Generalised Nakajima group. Suppose $\dim_{\mathbb{k}}(V) = n$. Then the Hilbert ideal $\mathfrak{h}_{G,S} = (f_1, \dots, f_n)$ where $f_i \in (S^G)_+$ and $\deg(f_i) \leq |G|$ for each $i = 1, \dots, n$.

This proves a conjecture of Derksen and Kemper for Generalised Nakajima groups.

Polynomial invariant rings

Theorem (Kummini-M)

Shank-Wehlau-Broer conjecture holds in the following cases:

1. G is a Generalised Nakajima group.
2. $\mathbb{k} = \mathbb{F}_p$ and $\dim_{\mathbb{k}}(V) = 4$.
3. $|G| = p^3$.

The **Hilbert ideal** $\mathfrak{h}_{G,S} = (S^G)_+ S$ is the ideal of S generated by positive degree invariants.

Theorem (Kummini-M, 2022)

Let $G \subseteq \mathrm{GL}_{\mathbb{k}}(V)$ be a Generalised Nakajima group. Suppose $\dim_{\mathbb{k}}(V) = n$. Then the Hilbert ideal $\mathfrak{h}_{G,S} = (f_1, \dots, f_n)$ where $f_i \in (S^G)_+$ and $\deg(f_i) \leq |G|$ for each $i = 1, \dots, n$.

This proves a conjecture of Derksen and Kemper for Generalised Nakajima groups.

Hilbert ideal

Let $W \subseteq V^G$ be a subspace; $W^\perp = \ker(V^* \rightarrow W^*)$, i.e., the subspace of linear forms on V that vanish on W . *The Hilbert ideal of G in S relative to W :* $\mathfrak{h}_{G,S,W} := (W^\perp S \cap S^G)S$.

We show that $\mathfrak{h}_{G,S,W} = (\mathfrak{h}_{G,S,W} \cap \text{Sym}(W^\perp))S$.

Theorem (Kummini-M, -)

Suppose $\dim_{\mathbb{k}}(V^G) \geq \dim_{\mathbb{k}}(V) - 2$. Then the Hilbert ideal $\mathfrak{h}_{G,S}$ is a complete intersection.

Theorem (Hilbert)

Suppose S^G is a direct summand of S and suppose $f_1, \dots, f_n \in S^G$ homogeneous invariants of positive degree such that $\mathfrak{h}_{G,S} = (f_1, \dots, f_n)$. Then $S^G = \mathbb{k}[f_1, \dots, f_n]$.

Hilbert ideal

Let $W \subseteq V^G$ be a subspace; $W^\perp = \ker(V^* \rightarrow W^*)$, i.e., the subspace of linear forms on V that vanish on W . *The Hilbert ideal of G in S relative to $W := \mathfrak{h}_{G,S,W} := (W^\perp S \cap S^G)S$.*

We show that $\mathfrak{h}_{G,S,W} = (\mathfrak{h}_{G,S,W} \cap \text{Sym}(W^\perp))S$.

Theorem (Kummini-M, -)

Suppose $\dim_{\mathbb{k}}(V^G) \geq \dim_{\mathbb{k}}(V) - 2$. Then the Hilbert ideal $\mathfrak{h}_{G,S}$ is a complete intersection.

Theorem (Hilbert)

Suppose S^G is a direct summand of S and suppose $f_1, \dots, f_n \in S^G$ homogeneous invariants of positive degree such that $\mathfrak{h}_{G,S} = (f_1, \dots, f_n)$. Then $S^G = \mathbb{k}[f_1, \dots, f_n]$.

Representation of p -groups in characteristic $p > 0$

Suppose $\text{char}(\mathbb{k}) = p > 0$, $G \subseteq \text{GL}(V)$ a p -group generated by transvections.

G has a composition series of $0 = G_0 \subsetneq G_1 \subsetneq \cdots \subsetneq G_k = G$ such that G_i is a transvection group and G_i/G_{i-1} is isomorphic to $\mathbb{Z}/p\mathbb{Z}$ and is generated by the residue class of a transvection.

Compare $\mathfrak{h}_{G',S,W}$ and $\mathfrak{h}_{G,S,W}$ when $G' \leq G$ are transvection p -groups and $G/G' \simeq \mathbb{Z}/p\mathbb{Z}$.

If $\mathbb{k} = \mathbb{F}_p$ and $\text{rank}(V) = 4$, then for any $G' \leq G$ as above we have $\text{rank}(V^{G'}) \geq \text{rank}(V) - 2 \implies \mathfrak{h}_{G',S,W}$ is a complete intersection $\implies \mathfrak{h}_{G,S,W}$ is a complete intersection $\implies \mathfrak{h}_{G,S}$ is a complete intersection.

Representation of p -groups in characteristic $p > 0$







Suppose $\text{char}(\mathbb{k}) = p > 0$, $G \subseteq \text{GL}(V)$ a p -group generated by transvections.

G has a composition series of $0 = G_0 \subsetneq G_1 \subsetneq \cdots \subsetneq G_k = G$ such that G_i is a transvection group and G_i/G_{i-1} is isomorphic to $\mathbb{Z}/p\mathbb{Z}$ and is generated by the residue class of a transvection.

Compare $\mathfrak{h}_{G',S,W}$ and $\mathfrak{h}_{G,S,W}$ when $G' \leq G$ are transvection p -groups and $G/G' \simeq \mathbb{Z}/p\mathbb{Z}$.

If $\mathbb{k} = \mathbb{F}_p$ and $\text{rank}(V) = 4$, then for any $G' \leq G$ as above we have $\text{rank}(V^{G'}) \geq \text{rank}(V) - 2 \implies \mathfrak{h}_{G',S,W}$ is a complete intersection $\implies \mathfrak{h}_{G,S,W}$ is a complete intersection $\implies \mathfrak{h}_{G,S}$ is a complete intersection.

References

-  A. Broer. *The direct summand property in modular invariant theory*. *Transform. Groups*, 10(1):5–27, 2005.
-  A. Broer. *Hypersurfaces in modular invariant theory*. *J. Algebra*, 306(2):576–590, 2006.
-  J. Elmer and M. Sezer. *Locally finite derivations and modular coinvariants* *Q. J. Math.*, 69(3):1053–106, 2016.
-  M. Kummini and M. Mondal. *On Hilbert ideals of p -groups in characteristic p* . *Proc. Amer. Math. Soc.* 150 (1): 145-151, 2022.
-  M. Kummini and M. Mondal. *Polynomial invariant rings in modular invariant theory*. <https://arxiv.org/abs/2210.05945>.
-  R. J. Shank and D. L. Wehlau. *The transfer in modular invariant theory*. *J. Pure Appl. Algebra*, 142(1):63–77, 1999.

Thank you for your attention.