

## Examples of perfectoids:

①  $\mathbb{Z}_p[\![p^{1/p^\infty}]\!]^{\wedge p}$ ,  $\mathbb{Z}_p[\![p^{1/p^\infty}, x_2, \dots, x_d^{1/p^\infty}]\!]^{\wedge p}$

②  $k$  perfect,

$W(k)[\![x_2, \dots, x_d]\!]^{\wedge p}$

③  $(R, m, k)$  complete local domain  
 $\text{char } k = p$   
 $R$  perfect

$R^+ = \text{perfectoid}$

(check kernel of Frobenius  
 by  $p^{1/p}$ )

④  $A$  perfectoid,  $f_1^{1/p^\infty}, \dots, f_n^{1/p^\infty} \in A$

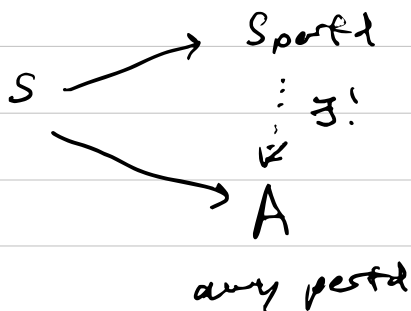
then  $A / (f_1^{1/p^\infty}, \dots, f_n^{1/p^\infty})^{\wedge p}$  perfectoid

# Thm [Bhatt - Scholze]

$R \xrightarrow{\quad} S$   
 perfectoid  $\qquad$   $p$ -complete

either  
 ① finitely presented  
 or  
 ② integral  
 up to  $p$ -completion

$\exists!$   $S_{\text{perf}} :=$  "smallest" perfectoid  
 $/ S$



Rules:

•  $R \twoheadrightarrow S \Rightarrow$

$R = R_{\text{perf}} \twoheadrightarrow S_{\text{perf}}$

• indep of  $R$

•  $S_{\text{perf}}$   $p$ -tf if  $S$  is [MSTWW]

• more generally, without ① or ②,  
 [BS] formulate  $S_{\text{perf}} \in D^{z_0}(S)$   
 in the derived setting

[BIM]

Proof sketch in mixed char: ( $\Rightarrow$ )

Assume  $(R, \underset{P}{M}, k)$  reg local,  $k = \bar{k}$

$$R \longrightarrow \hat{R} = \begin{cases} k \llbracket x_1, \dots, x_d \rrbracket & \textcircled{1} \\ W(k) \llbracket x_2, \dots, x_d \rrbracket & \textcircled{2} \\ \frac{W(k) \llbracket x_1, \dots, x_d \rrbracket}{(p-f)} & \textcircled{3} \\ f \in (x_1, \dots, x_d)^2 \end{cases}$$

all 3 cases, construct

$$\hat{R} \longrightarrow A \quad \text{flat}$$

perfectoid

e.g. for  $\textcircled{2}$

$$A = \frac{W(k) \llbracket x_1, \dots, x_d \rrbracket \llbracket x_1^{1/p^\infty}, \dots, x_d^{1/p^\infty} \rrbracket^{\wedge p}}{(p-f)}$$

For  $(\Leftarrow)$   $R \longrightarrow A$   $\underset{\text{perfectoid}}{\text{flat}}$

to mimic earlier argument, only  
need  $\text{fd}_A A/\sqrt{mA} < \infty$ .

Apply Lemma below w/

$p, f_2, \dots, f_n$  a sop for  $R$ . ✓

Lemma:  $A$  perfectoid

$$I = \sqrt{(p, f_2, \dots, f_n)}$$

$$\Rightarrow \text{fd}_A A/I \leq n$$

Pf:  $\sqrt{(p)} = (R/p^\infty)$  flat

$$\bar{A} = A/\sqrt{(p)} \Rightarrow \text{fd}_A \bar{A} \leq 1$$

$$\bar{A} \text{ perfect} \Rightarrow A/I = \bar{A}/I = \bar{A}/(f_2^{1/p}, \dots, f_n^{1/p})$$

$$\Rightarrow \text{fd}_{\bar{A}} A/I \leq n-1$$

$$\Rightarrow \text{fd}_A A/I \leq n \quad \text{by Spectral sequence}$$

$$E_{p,q}^2 =$$

$$\text{Tor}_p^{\bar{A}}(\text{Tor}_q^A(-, \bar{A}), \bar{A}/I) \Rightarrow \text{Tor}_{p+q}^A(-, A/I) \quad \checkmark$$

Thm: [André] Every Noether complete local domain  $(R, \mathfrak{m}, k)$  admits a (perfectoid)

big CM ("BCM") alg  $B$

(i.e.  $\forall$  s.o.p.s are a reg seq)

Thms: [HH, Blath]  $p \in \mathfrak{m}$

$\Rightarrow R^+ \wedge_p$  perfectoid BCM

Theorem [HH, André, Gabber, Bhatt]

$$(R, \mathfrak{m}, k) \longrightarrow (S, \mathfrak{n}, \ell)$$

$\mathfrak{P}$  local map of complete local domains

$\implies \exists$

$$\begin{array}{ccc} R & \longrightarrow & S \\ \downarrow & \mathcal{D} & \downarrow \\ R^+ & \longrightarrow & S^+ \\ \downarrow & \mathcal{D} & \downarrow \\ B & \longrightarrow & C \end{array}$$

“BCM  $R^+$ -alg”

w/  $B$  perfectoid  $R^+$ -alg  
BCM over  $R$

$C$  perfect BCM  $S^+$ -alg

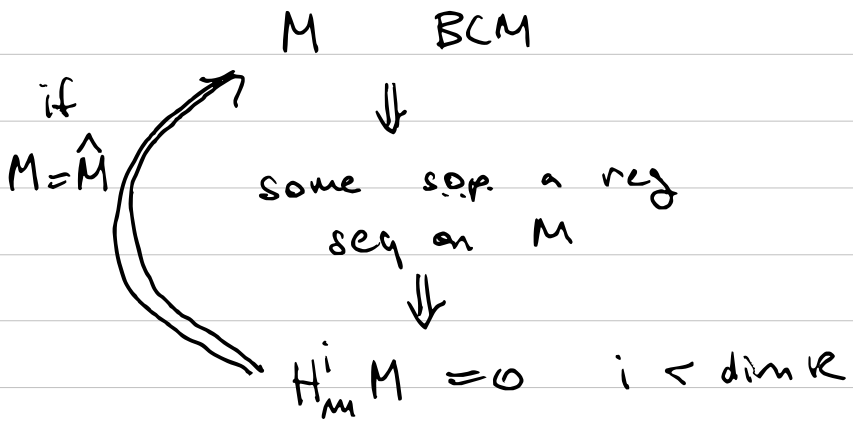
and can even take

$$B = \widehat{R^+}$$

$$C = \widehat{S^+}$$

,

Note:  $M$  (big)  $R$ -mod,  $M \neq mM$



Gabber, Bhatt,  
 Solodge - certainisms  
 $\downarrow$

(Refined) André's Flatness Lemma:

$A$  perfectoid,  $g \in A$ . Then  $\exists$

$A \longrightarrow A'$  perfectoid flat mod  $p^n$   
 $\forall n \geq 1$

that  $g$  has a compat  
 system  $g^{1/p^n}$  of pth roots in  $A'$ .

## Sketch of André's Thm:

For simplicity,  $k = \bar{k}$  and  
 $\text{char } R = 0 \neq p = \text{char } k$ .

Write  $R = S/\mathcal{Q}$  w/  $S = W(k)[[z_1, \dots, z_n]]$   
 $\mathcal{P} \not\subseteq \mathcal{Q}$   
 $\mathcal{C} = \text{ht } \mathcal{Q}$

$\implies \exists f_1, \dots, f_c \in \mathcal{Q}$   
prime  
avoid  
 $\mathcal{P}, f_1, \dots, f_c$  reg seq  
in  $S$

extend to  $\mathcal{P}, f_1, \dots, f_c, x_2, \dots, x_d$

full s.o.p. on  $S$  ( $c+d = \dim S$ )

$\implies \mathcal{P}, x_2, \dots, x_d$  s.o.p. for

$S/(f_1, \dots, f_c) \subseteq S/\mathcal{Q}$

Pick  $g \notin \mathcal{Q}$  w/  $g\mathcal{Q} \subseteq (f_1, \dots, f_c)$



$$S_{\infty} := S [ p^{1/p^{\infty}}, z_1^{1/p^{\infty}}, \dots, z_n^{1/p^{\infty}} ]^{1/p}$$

By the flatness lemma, ↑ perfectoid, fflat / S

$$S_{\infty} \longrightarrow S'_{\infty} \quad \text{fflat mod } p^n \quad \forall n > 0$$

pofd

so that  $g, f_1, \dots, f_c$  have  $p$ -power roots e.s.

check  $S_{\infty}$   $p$ -tors free  $\implies S'_{\infty}$  also  $p$ -tors free  
 +  $S_{\infty}$   $p$ -sep

$p, f_1, \dots, f_c, x_2, \dots, x_d$  reg seq in  $S, S_{\infty}$

$p, f_1^{1/p^{\infty}}, \dots, f_c^{1/p^{\infty}}, x_2, \dots, x_d$  so also  $S'_{\infty}$   
 reg seq on  $S'_{\infty} \quad \forall e$

$\implies p, x_2, \dots, x_d$  reg seq on

$$\uparrow \quad T := S'_{\infty} / (f_1^{1/p^{\infty}}, \dots, f_c^{1/p^{\infty}})^{1/p}$$

$a, b$   $M$ -reg

implies  $a$   $M/bM$ -reg

$g^{1/p^\infty}$  - almost isom  
 $"g^{-1/p^\infty} T"$   
 $T \longrightarrow T' := \text{Hom}_T((g^{1/p^\infty}), T)$   
 check this is a commutative ring

$\Rightarrow p, x_2, \dots, x_d$   $g^{1/p^\infty}$ -almost regular sequence

and  $T' / (p, x_2, \dots, x_d) T'$   
 not  $g^{1/p^\infty}$ -almost zero.

and  $g^{\mathbb{Q}} \subseteq (f_1, \dots, f_c)$

$\Rightarrow (g^{1/p^\infty})^{\mathbb{Q}} \subseteq \sqrt{(f_1, \dots, f_c)}$   
 $= (f_1^{1/p^\infty}, \dots, f_c^{1/p^\infty})$

$\Rightarrow \begin{array}{ccc} S & \rightarrow & T \rightarrow T' \\ \mathbb{Q} & \longrightarrow & 0 \end{array}$ 
 so  $T'$  is an  $K$ -algebra.

← Gabber's Trick

Final step:  $B = W^{-1} \prod_{N} T'$

w/  $W$  mult seq gen by

$$(g, g^{1/p}, g^{1/p^2}, \dots)$$

Have ①  $p, x_2, \dots, x_d$   
reg sequence on  $B$

②  $B/mB \neq 0$  (check)

replace  $B$  by  $B^{1/m}$  to

get (almost) perfectoid) BCM. ✓

Remarks:  $(R, m, k)$  complete local or  $R^{\text{t-als}}$

$\{B_\lambda\}$  set of BCM  $R$ -als ←

⇒ can find BCM  $R$ -alg  $B$   
[MS] dominating all  $B_\lambda$

+ compat w/  $\varinjlim B_\lambda$  if a  
(⇒ existence of BCM  $R^{\text{t-als}}$ ) directed system

Corollary: (Direct Summand Thm)

$(R, \mathfrak{m}, k)$  regular local  $\implies R$  is a splinter ring,  
ie  $\forall R \subseteq S$  mod fin domain extn  
splits:  $\exists \varphi \in \text{Hom}(S, R)$   
w/  $\varphi|_R = \text{id}_R$

$(\iff R \rightarrow R^+$   
is pure)

Notes: • splinter  $\implies$  normal,  
 ~~$\iff$~~  in general but holds  
if  $\mathbb{Q} \subseteq R$

• For  $(R, \mathfrak{m}, k)$  local

$\hat{R}$  splinter  $\implies R$  splinter  $(\iff R^h$  splinter)

~~$\iff$~~  in general but holds if  
 $R \rightarrow \hat{R}$  regular

If  $p \in \mathfrak{m}$ ,

splinter  $\implies$  CM

$\uparrow$  use  $R^+ \wedge_p$  BCM

## Proof of corollary:

WLOG  $R$  complete, say  $R \subseteq S$   
mod finite domain extn also  
complete local  $x_1, \dots, x_d \in R$  regular  
 $\Rightarrow$  also sop for  $S$ . sop

$S \rightarrow B$  BCM  $S$ -alg

$\Rightarrow R \rightarrow B$  fflat

$\Rightarrow R \xrightarrow{\varphi} B$  splits  $\text{Tor}_i^R(k, B) = H_i(k, B) = 0 \quad i > 0$   
 $\uparrow$  uses  $R$  complete  $\Rightarrow R \xleftarrow{\text{pls}} S$  splits ✓