

# On the thermodynamics of 4d Kerr-Newman black holes

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Based on..

- ▶ BPS thermodynamics and Euclidean saddles - 2207.12437
- ▶ Left- and right-moving sectors - 2304.07320, 2310.13437

# Motivation

- ▶ The laws of black holes thermodynamics formulated in the 70's, [*Bekenstein, Bardeen, Carter, Hawking, Gibbons, ...*] - in *analogy* to the laws of statistical physics
- ▶ Major string theory advances in the 90's - succesful match with microscopic entropy via BPS state counting, SCFT<sub>2</sub> *index* (Cardy formula) [*Strominger, Vafa, Maldacena, Witten, ...*]
- ▶ Quantum entropy via string corrections: OSV formula and conjecture, [*Ooguri, Strominger, Vafa'04, ...*]

$$I^{\text{OSV}}(\varphi^i) = -S_{\text{BH}} - \varphi^i Q_i, \quad S_{\text{BH}} = \frac{A(\text{hor})}{4G_N} + \dots$$

- ▶ Last 2 decades: advances driven by holography, asymptotically AdS black holes and (n)AdS horizons. Not much progress in the general thermal 4d asymptotically flat case

## 4d Kerr-Newman black holes

- ▶ 4d Einstein-Maxwell theory, ( $G_N = 1$ ):

$$I_{\text{EM}} = \frac{1}{16\pi} \int_{\mathcal{M}} d^4x \sqrt{-g} \left( R - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \right). \quad (1)$$

- ▶ General black hole solution (no magnetic charge for brevity):

$$ds^2 = - \frac{\Delta(r)}{\rho^2} (dt - a \sin^2 \theta d\phi)^2 + \frac{\rho^2}{\Delta(r)} dr^2 \\ + \rho^2 d\theta^2 + \frac{\sin^2 \theta}{\rho^2} (a dt - (r^2 + a^2) d\phi)^2, \quad (2)$$

$$\Delta(r) = r^2 - 2Mr + a^2 + Q^2, \quad \rho^2 = r^2 + a^2 \cos^2 \theta. \quad (3)$$

- ▶ Conserved asymptotic charges: mass  $M$ , electric charge  $Q$ , angular momentum  $J = aM$ .

# Extremality

- ▶ Event horizons, roots of  $\Delta(r)$ :

$$r_{\pm} = M \pm \sqrt{M^2 - a^2 - Q^2} . \quad (4)$$

- ▶ Hawking temperature of the two horizons:

$$T_{\pm}^{\text{H}} = \frac{1}{2\pi} \frac{r_{\pm} - M}{r_{\pm}^2 + a^2} . \quad (5)$$

- ▶ Extremality: vanishing temperature,  $T_{\pm}^{\text{H}} = 0$ , such that  $r_+ = r_-$ ,

$$M_{\text{extr}} = \sqrt{a^2 + Q^2} . \quad (6)$$

- ▶ Regular thermal black holes: real positive temperature of the outer horizon,  $T_+^{\text{H}} > 0$ ,

$$M > M_{\text{extr}} . \quad (7)$$

- ▶  $M < M_{\text{extr}}$ : complex  $r_{\pm}$ , a *naked* singularity in Lorentzian signature

# Supersymmetry

- ▶ Einstein-Maxwell theory = bosonic part of minimal  $\mathcal{N} = 2$  ungauged supergravity. Poincaré superalgebra:

$$\{Q_\alpha^A, Q_\beta^B\} = M \delta_{\alpha\beta} \delta^{AB} + Q (i\gamma^0)_{\alpha\beta} \epsilon^{AB} . \quad (8)$$

- ▶ Full supersymmetry:  $M = Q = 0$ , Minkowski<sub>4</sub>
- ▶ Half supersymmetry (1/2 BPS):

$$M_{\text{BPS}} = |Q| . \quad (9)$$

- ▶ The Lorentz group is not on the r.h.s. of (8):  $J \sim a$  completely arbitrary, and

$$M_{\text{BPS}} \leq M_{\text{extr}} . \quad (10)$$

- ▶  $M_{\text{BPS}} = M_{\text{extr}} = |Q|$  if and only if  $J = 0$ : static extremal BPS black holes

# Smooth Euclidean saddles and NH geometry

- ▶ The BPS limit,  $M = |Q|$  and  $J \neq 0$ , still produces *regular* spacetimes, but only in Euclidean signature!

$$t \rightarrow i\tau, \quad a \rightarrow i\alpha. \quad (11)$$

- ▶ Need to periodically identify  $\tau$ :

$$\tau \sim \tau + \beta_+, \quad \beta_+ = \frac{1}{T_+} = 2\pi Q \frac{(M + 2\alpha)}{\alpha}. \quad (12)$$

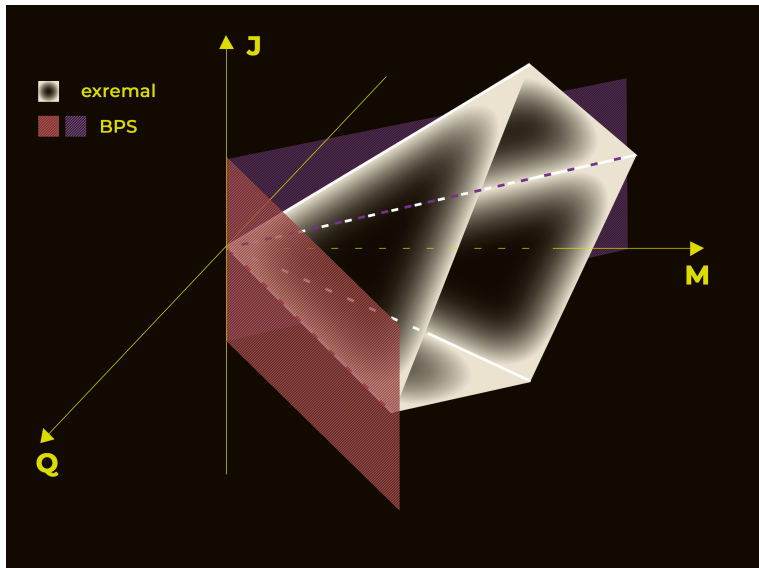
- ▶ Cigar shaped geometry: near the horizon the space smoothly caps off,

$$ds_{\text{NH}}^2 = \mathbb{R}^2 \times_w S_{\text{sq}}^2. \quad (13)$$

- ▶ For extremal BPS black holes,  $\alpha \rightarrow 0$ : infinite throat of (Euclidean)  $\text{AdS}_2$  and  $\beta_+ \rightarrow \infty$ ,

$$ds_{\text{extr. NH}}^2 = \mathbb{H}^2 \times S^2. \quad (14)$$

# The phase space





# Inner and outer thermodynamics

- ▶ Formally, the laws of thermodynamics hold at each horizon, *separately!* [Curir'79, Cvetic-Larsen'97, Wu'04, ...]
- ▶ Corresponding chemical potentials and entropy:

$$\beta_{\pm} = 2\pi \frac{r_{\pm}^2 + a^2}{r_{\pm} - M}, \quad \Omega_{\pm} = \frac{a}{r_{\pm}^2 + a^2}, \quad \Phi_{\pm} = \frac{Q r_{\pm}}{r_{\pm}^2 + a^2}, \quad (15)$$

$$S_{\pm} = \pi(r_{\pm}^2 + a^2). \quad (16)$$

- ▶ First law of thermodynamics and quantum statistical relation:

$$\beta_{\pm} \delta M = \delta S_{\pm} + \beta_{\pm} \Omega_{\pm} \delta J + \beta_{\pm} \Phi_{\pm} \delta Q, \quad (17)$$

$$I_{\pm}(\beta_{\pm}, \Omega_{\pm}, \Phi_{\pm}) = \beta_{\pm} M - S_{\pm} - \beta_{\pm} \Omega_{\pm} J - \beta_{\pm} \Phi_{\pm} Q. \quad (18)$$

# Technical/conceptual problems

- ▶ Extremality requires  $T_{\pm} = 0$ :  $\beta_{\pm} \rightarrow \infty$  and  $I_{\pm}$  ill-defined?  
First go to the BPS surface, understand the BPS thermodynamics and only then go to extremality. Works for asymptotically AdS black holes, [\[Cassani, Papini'19\]](#)
- ▶ Why care about  $r_-$ , it is behind  $r_+$ ?  
For regular thermal Lorentzian black holes  $r_- < r_+$ , in the BPS limit  $r_{\pm} = M \pm i a$ , no ordering available
- ▶ Go beyond supersymmetry: (log of) microscopic thermal partition function at leading order should agree with  $I_{\pm}$ ?  
Easy to express  $\beta_{\pm}, \Omega_{\pm}, \Phi_{\pm}$  in terms of  $M, Q, J$  via  $r_{\pm}$ , but other way around involves quartic and higher roots  $\rightarrow$  no analytic expression for  $I_{\pm}(\beta_{\pm}, \Omega_{\pm}, \Phi_{\pm})$ , only numeric evaluation possible

## Solution: introduce new variables

- ▶ Left- and right-moving chemical potentials and entropy:

$$\begin{aligned}\beta_{l,r} &:= \frac{1}{2} (\beta_+ \pm \beta_-), & \omega_{l,r} &:= \frac{1}{2} (\beta_+ \Omega_+ \pm \beta_- \Omega_-), \\ \varphi_{l,r} &:= \frac{1}{2} (\beta_+ \Phi_+ \pm \beta_- \Phi_-), & S_{l,r} &:= \frac{1}{2} (S_+ \pm S_-),\end{aligned}\tag{19}$$

- ▶ Left- and right-moving on-shell action

$$I_{l,r} := \frac{1}{2} (I_+ \pm I_-), \quad \Rightarrow \quad I_{\pm} = I_l \pm I_r.\tag{20}$$

- ▶ Equivalent first law and quantum statistical relation:

$$\beta_{l,r} \delta M = \delta S_{l,r} + \omega_{l,r}^{\alpha} \delta J_{\alpha} + \varphi_{l,r}^i \delta Q_i,\tag{21}$$

$$I_{l,r}(\beta_{l,r}, \omega_{l,r}, \varphi_{l,r}) = \beta_{l,r} M - S_{l,r} - \omega_{l,r}^{\alpha} J_{\alpha} - \varphi_{l,r}^i Q_i.\tag{22}$$

## Simple expressions

- ▶ Remarkably,  $\omega_l = 0$  identically  $\rightarrow$  the left-moving sector is *static*.
- ▶ Easy to invert  $M, Q, J$  as a function of the new variables.  
Left-moving sector:

$$\beta_l = 4\pi M, \quad \varphi_l = 2\pi Q, \quad \Rightarrow \quad M = \frac{\beta_l}{4\pi}, \quad Q = \frac{\varphi_l}{2\pi}, \quad (23)$$

Right-moving sector:

$$\beta_r = 2\pi \frac{2M^2 - Q^2}{\sqrt{M^2 - a^2 - Q^2}} = \frac{2M^2 - Q^2}{a} \omega_r = \frac{2M^2 - Q^2}{MQ} \varphi_r, \quad (24)$$

$$\Rightarrow \quad M = \sqrt{\frac{\beta_r^2 - 4\varphi_r^2 + \beta_r \sqrt{\beta_r^2 + 8\varphi_r^2}}{8\pi^2 + 2\omega_r^2}}, \quad (25)$$
$$Q = \frac{-\beta_r + \sqrt{\beta_r^2 + 8\varphi_r^2}}{4\varphi_r} M, \quad a = \frac{3\beta_r - \sqrt{\beta_r^2 + 8\varphi_r^2}}{4(4\pi^2 + \omega_r^2)} \omega_r,$$

# Explicitness problem solved ✓

- We find

$$I_l(\beta_l, \varphi_l) = \frac{1}{8\pi} (\beta_l^2 - 2\varphi_l^2),$$

$$I_r(\beta_r, \omega_r, \varphi_r) = \frac{1}{16} \left( 3\beta_r - \sqrt{\beta_r^2 + 8\varphi_r^2} \right)^{3/2} \sqrt{\frac{\beta_r + \sqrt{\beta_r^2 + 8\varphi_r^2}}{4\pi^2 + \omega_r^2}}. \quad (26)$$

- Conjugate variables:

$$\frac{\partial I_{l,r}}{\partial \beta_{l,r}} = M, \quad \frac{\partial I_r}{\partial \omega_r} = -J, \quad \frac{\partial I_{l,r}}{\partial \varphi_{l,r}} = -Q, \quad (27)$$

## Good BPS limit ✓

- ▶ Take the limit  $M = Q$ , leading to:

$$\beta_l = 2\varphi_l, \quad \omega_r = \pm 2\pi i, \quad \beta_r = \varphi_r, \quad (28)$$

and vanishing right-moving on-shell action

$$I_l^{\text{BPS}} = \frac{\varphi_l^2}{4\pi}, \quad I_r^{\text{BPS}} = 0. \quad (29)$$

- ▶  $I_l^{\text{BPS}}$  independent of  $\beta_{l,r} \rightarrow$  well-defined *extremal* limit.
- ▶ Agreement with OSV formula for extremal BPS black holes:

$$I^{\text{OSV}} = \frac{\varphi_{\text{BPS}}^2}{4\pi}. \quad (30)$$

# Wider applicability of the new variables

- ▶ Left- and right-moving variables similar to holomorphic and anti-holomorphic variables for thermal BTZ/CFT<sub>2</sub>
- ▶ The construction of  $I_{l,r}$  works for any black hole solution with 2 horizons → similar structure in matter-coupled 4d/5d supergravity
- ▶ The BPS limit sets  $I_r = 0$ , in agreement with susy fixed point formula (OSV and generalizations) entirely expressed by holomorphic supergravity data. (Works also for *almost* BPS limits in matter-coupled 4d/5d supergravity)
- ▶ Simplest higher derivative corrections preserve the analytic structure: 4der. F-terms only correct  $I_l$ , not  $I_r$

## (A)dS asymptotics

- ▶ How to properly generalize the construction in the presence of more than 2 horizons  $\rightarrow$  (A)dS black holes
- ▶ Focus on  $\text{AdS}_4$  black holes with four different horizons:  $r_{(i)}$ ,  $i = 1, \dots, 4$  with corresponding on-shell actions  $I_{(i)}$ .  
Left- and right-moving variables exist for each pair of horizons, but calculations harder
- ▶ Evidence that all horizons play a role and need to be included to find simplifications. Purely topological sum over all horizons:

$$I_{(1)} + I_{(2)} + I_{(3)} + I_{(4)} = 2\pi \kappa L^2 |1 - g| , \quad (31)$$

with  $L$  is the  $\text{AdS}_4$  scale,  $g$  the genus of the horizon topology,  $\kappa = \pm 1$  for spherical and hyperbolic curvature.

Holographic meaning?



# Many open questions

- ▶ Is there a clear rule to find  $I_{l,r}$  for any black hole?  
Thermal on-shell action in terms of NUTs and bolts?
- ▶ Technical simplification useful for dealing with higher derivative corrections from string theory?
- ▶ Fundamental meaning of the new variables?
- ▶ What is the quantum phase space of black holes?
- ▶ Having explicit expressions allows us to begin addressing some of these questions!

*Thank you!*