

# Calabi-Yau threefold flops as quiver varieties from monopole deformations

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# Non-toric flop geometries

**Type IIA String Theory on trivially fibered ADE surfaces:**

Background geometry:  $\mathbf{R}^{1,3} \times X_2^g \times \mathbb{C}_w$ ,  $X_2^g = \mathbb{C}^2/\Gamma^g$ ,  $g \in \{A, D, E\}$ ,

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$X_2^g$ 's complex deformations encoded in the background profile of :  $\Phi \in Adj(g)$

$\Phi \leftarrow$  complex scalar in the 6d EFT at the singularity

$$\Phi \neq const : \quad X_2^g \times \mathbb{C}_{\{w\}} \quad \xrightarrow{\Phi(w)} \quad \begin{array}{ccc} X_2^{g,def} & \longrightarrow & X_3^g(\Phi) \\ & & \downarrow \\ & & \mathbb{C}_{\{w\}} \end{array}$$

**Note:** The resolution pattern of  $X_3^g(\Phi)$  is also specified by  $\Phi(w)$  [Collinucci, De Marco, Sangiovanni, Valandro]

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## Towards a Systematic Construction

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The worldvolume EFT of a  $D2$  on  $X_2^g$  is known [Douglas, Moore]

The theory on  $X_3^g(\Phi)$  is obtained by adding a  $N = 2$  preserving deformation:

$$W = W_{N=4} + \text{Tr}(\Phi(\{\phi_i\})\mu), \quad \mu \rightarrow \text{g-moment map}, \quad \phi_i \rightarrow \text{CB chirals}$$

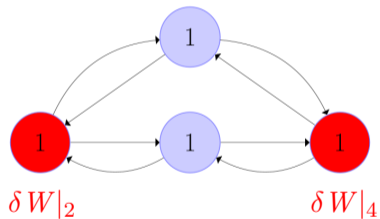
$$W \xrightarrow{IR} W_{eff} \Rightarrow X_3^g(\Phi)\text{'s defining equation in } \mathbb{C}^4$$

Analogous results have been obtained through different approaches [Witten, Klebanov; Cachazo, Vafa, Katz; Gubser, Nekrasov, Shatashvili]

# Quiver Varieties from Monopole Deformations: Examples

1)  $A_3 \times \mathbb{C}_w \Rightarrow$  Reid Pagoda

$$x^2 + y^2 = z^4, \quad \forall w \Rightarrow x^2 + y^2 = z^4 - w^2 \quad (x, y, z, w) \subset \mathbb{C}^4$$



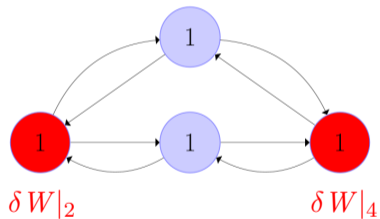
$$W = W_{N=4} + \delta W(W_2^\pm, W_4^\pm, \phi_2, \phi_4)$$

Monopole deformations have been studied [Giacomelli, Collinucci, Valandro, Savelli; Benini, Benvenuti, Pasquetti]

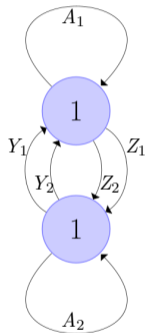
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$IR$



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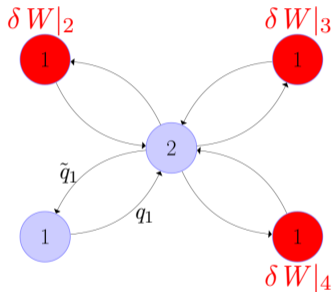
$$W_{eff} = (A_1 - A_2)(Y_1 Z_1 + Y_2 Z_2 + 2A_1 A_2)$$

Monopole deformations have been studied [Giacomelli, Collinucci, Valandro, Savelli; Benini, Benvenuti, Pasquetti]



2)  $D_4 \times \mathbb{C}_w \Rightarrow$  Brown-Wemyss Threefold

$$x^2 + y^2 z = z^3, \quad \forall w \Rightarrow x^2 + y^2 z = (z - w)(zw^2 + (z - w)^2) \quad (x, y, z, w) \subset \mathbb{C}^4$$

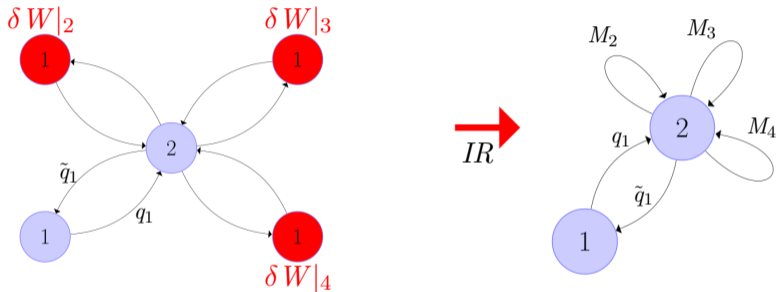


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Integrating out massive degrees of freedom...

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$$W = W_{N=4} + \delta W(W_2^\pm, W_3^\pm, W_4^\pm, \phi_2, \phi_3, \phi_4)$$

$$W_{eff} = F(M_2, M_3, M_4, q_1, \tilde{q}_1)$$

Integrating out massive degrees of freedom...

## Conclusions and Outlook

- We provide a simple recipe to extract the  $N = 2$  superpotential of a large class of non toric CY threefolds.
- We propose a physical explanation for a non-commutative geometry algorithm that derives the quiver and the relations of the threefold from the non-affine [Cachazo, Katz, Vafa] and the affine [Karmazin] Dynkin diagram of the starting ADE algebra.
- We aim at applying our technique to simple flops of any length.

*Thanks!*

# Abelianization of Virasoro conformal blocks at $c = 1$

Qianyu Hao, University of Genève  
(joint work in progress with Andrew Neitzke)

June 2024

## Introduction

The (chiral) **Virasoro conformal blocks** are solutions to the 2d CFT Ward identities.

In this talk, by a Virasoro block  $\Psi$  we mean a **system** of correlation functions

$$\langle T(p_1) \cdots T(p_n) \rangle_{\Psi}$$

,  $\forall n \geq 0$ , which only have poles at the diagonal  $p_i = p_j$ , governed by the OPE

$$T(p_i)T(p_j) = \frac{c/2}{(p_i - p_j)^4} + \frac{2T(p_j)}{(p_i - p_j)^2} + \frac{\partial_{p_j} T(p_j)}{p_i - p_j} + \text{reg.}$$

Under change of coordinates,  $T$  transforms as

$$T(p')^w = \left( \frac{dp}{dp'} \right)^2 \left( T(p) - \frac{c}{12} \{p', p\} \right).$$

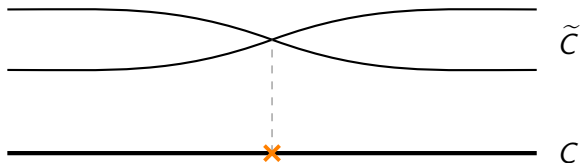
My talk is to describe a **new** way to construct the  **$c = 1$**  Virasoro conformal blocks.

## A new way to compute Virasoro blocks at $c = 1$

We construct a **nonabelianization map** which **simplifies** the computation to the one of the abelian objects, Heisenberg blocks:

$$\mathcal{F}_{\mathcal{W}} : \text{Conf}(\tilde{C}, \text{Heis}) \rightarrow \text{Conf}(C, \text{Vir}_{c=1})$$

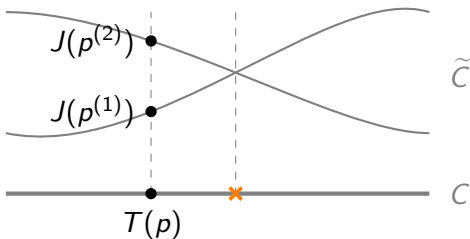
- ▶  $\text{Conf}(C, \text{Vir}_{c=1})$  is the space of conformal blocks for the Vertex algebra  $\text{Vir}_{c=1}$  over a Riemann surface  $C$
- ▶  $\mathcal{W}$  is a spectral network. [\[Gaiotto-Moore-Neitzke\]](#)  
The **main new idea** of our work is to use the spectral network.
- ▶ Heis stands for the Heisenberg vertex algebra ( $\hat{u}(1)$  vertex algebra).
- ▶  $\tilde{C}$  is a branched double cover of  $C$ .



Introduce the dictionary

$$T(p) \rightsquigarrow \frac{1}{4} : (J(p^{(1)}) - J(p^{(2)}))^2 :,$$

where  $J$  is the **generator** of the Heisenberg algebra. This gives correct OPE away from the branch point.



Simply using the dictionary, we get

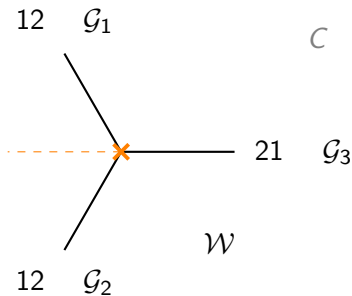
$$\mathcal{F}_{\emptyset} : \text{Conf}(\tilde{C}, \text{Heis}) \rightarrow \text{Conf}\left(C, \text{Vir}_{c=1}; W_{\frac{1}{16}}(b_1) \cdots W_{\frac{1}{16}}(b_n)\right),$$

where  $W_{\frac{1}{16}}(b_i)$  is a primary field at branch point  $b_i$ . These image blocks with **extra** insertions are **not** what we are after.

[Zamolodchikov, Dixon-Friedan-Martinec-Shenker, Gavrylenko-Marshakov, ...]



The **main new idea** in our work is to use the **spectral network**  $\mathcal{W}$  to **cancel** the unwanted insertions at branch points.



We introduce operator  $E(\mathcal{W})$ , and the desired nonabelianization map  $\mathcal{F}_{\mathcal{W}}$  is

$$\langle 1 \rangle_{\mathcal{F}_{\mathcal{W}}(\Psi)} = \langle E(\mathcal{W}) \rangle_{\Psi},$$

$$\langle T(p) \rangle_{\mathcal{F}_{\mathcal{W}}(\Psi)} = \left\langle \frac{1}{4} : \left( J(p^{(1)}) - J(p^{(2)}) \right)^2 : E(\mathcal{W}) \right\rangle_{\Psi},$$

$$\vdots$$



## Future directions

- ▶ Generalization to  $W_N$ -algebras at  $c = N - 1$ .
- ▶ Generalization to other central charges  $c$ .
- ▶ ...

Thank you!

# Symmetry topological field theories from self-dual fields in string theory

Saghar S. Hosseini



String-Math 2024 - ICTP

based on

[2404.16028 with I. García Etxebarria]

[Work in progress with Y. Tachikawa and H. Zhang]

# Symmetry TFT

Symmetries may be defined in terms of **topological operators**, as **categories**.

[Gaiotto, Kapustin, Seiberg, Willett '14]

- Consider a QFT  $\mathcal{T}_{\text{relative}}$  with a fixed local structure.
- $\mathcal{T}_{\text{relative}}$  may admit **different choices of symmetries**.

[Aharony, Seiberg, Tachikawa '13]

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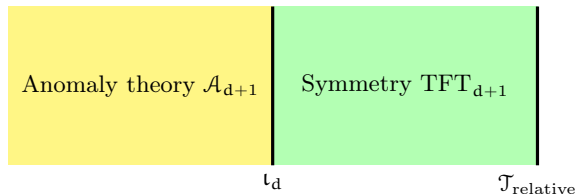
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- $\mathcal{T}_{\text{relative}}$  may admit **different choices of symmetries**.

[Aharony, Seiberg, Tachikawa '13]

The topological data and choices of symmetries may be characterized by a topological field theory in one higher dimension, known as the **Symmetry TFT**.

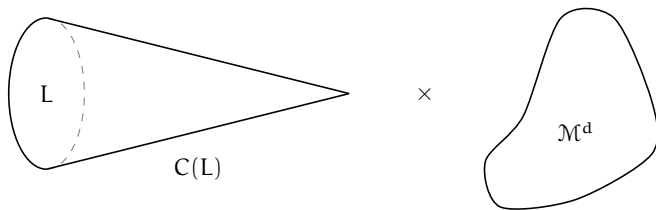
[Witten '98], [Kapustin and Seiberg '14]... [Freed, Moore, Teleman '22]



Fixing the interface  $\mathfrak{t}_d$  results in an absolute theory  $\mathcal{T}_{\text{absolute}}$  and the corresponding anomaly theory.

## Symmetry TFT from string theory

**Geometric engineering:** String theory on  $C(L) \times \mathcal{M}^d$



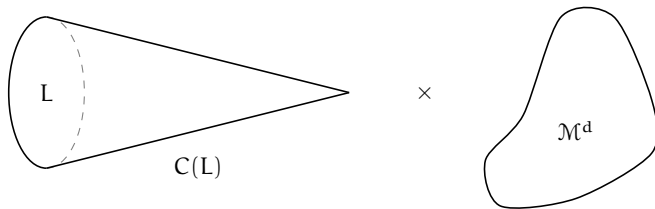
results in a SQFT  $\mathcal{T}$  on  $\mathcal{M}^d$ .

[Katz, Klemm '96], [Katz, Vafa '97], [Katz, Klemm, Vafa '97]



# Symmetry TFT from string theory

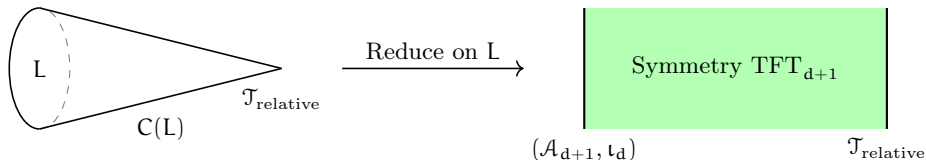
**Geometric engineering:** String theory on  $C(L) \times \mathcal{M}^d$



results in a SQFT  $\mathcal{T}$  on  $\mathcal{M}^d$ .

[Katz, Klemm '96], [Katz, Vafa '97], [Katz, Klemm, Vafa '97]

**The SymTFT is determined by the topology of  $L$ .**



[Del Zotto, Heckman, Park, Rudelius, '15], [García Etxebarria, Heidenreich, Regalado '19] ...

[Apruzzi, Bonetti, García Etxebarria, SH, Schäfer-Nameki '21]

## Symmetry descent

In type II string theory, the self-dual RR field is a H-twisted differential K-theory class.  
Can we write an action for it?

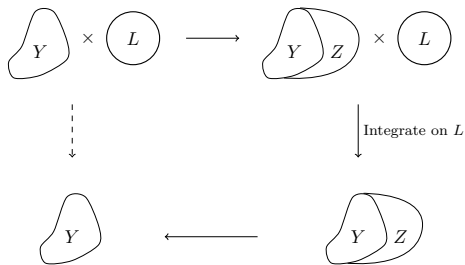
## Symmetry descent

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Can we write an action for it?

We can construct an 11d Chern-Simons action, given by quadratic refinement in twisted differential K-theory, that describes a self-dual field as a boundary mode.

[Witten '97] [Freed '00], [Hopkins and Singer '02], [Belov, Moore '06] ...  
[Hsieh, Tachikawa, Yonekura '20]

The dimensional reduction of this Chern-Simons theory results in the symmetry TFT.



**In equation:**

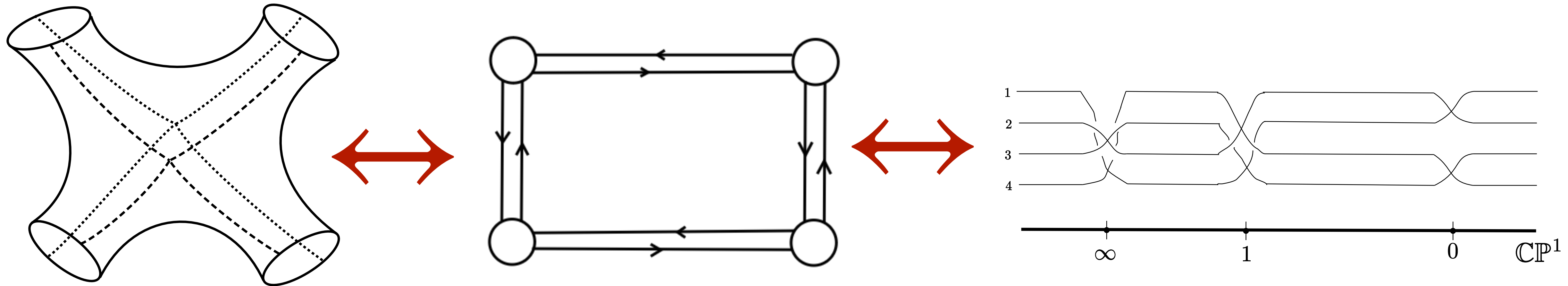
$$\Delta \int_L^{\hat{K}_H} \mathcal{L}_{CS} = \delta \mathcal{L}_{\text{SymTFT}}$$

## Some open questions

- For configurations that require a formulation where the NSNS field is also dynamical, H-twisted K-theory seems to be no longer a good approximation. How do we extend to this case?
- How do we extend to M-theory?
- How can we adopt a more categorical perspective in our analysis? How does the symmetry theory obtained from string theory describe categorical symmetries and their anomalies? Do we need a generalised cohomology theory that works with T-duality?  
[Apruzzi, Bah, Bonetti and Schäfer-Nameki '22], [García Etxebarria '22], ...
- The topological operators are constructed from branes. Can we find a map from the category of branes (such as the derived category of coherent sheaves in B-model) in topological string theory to categorical symmetries?

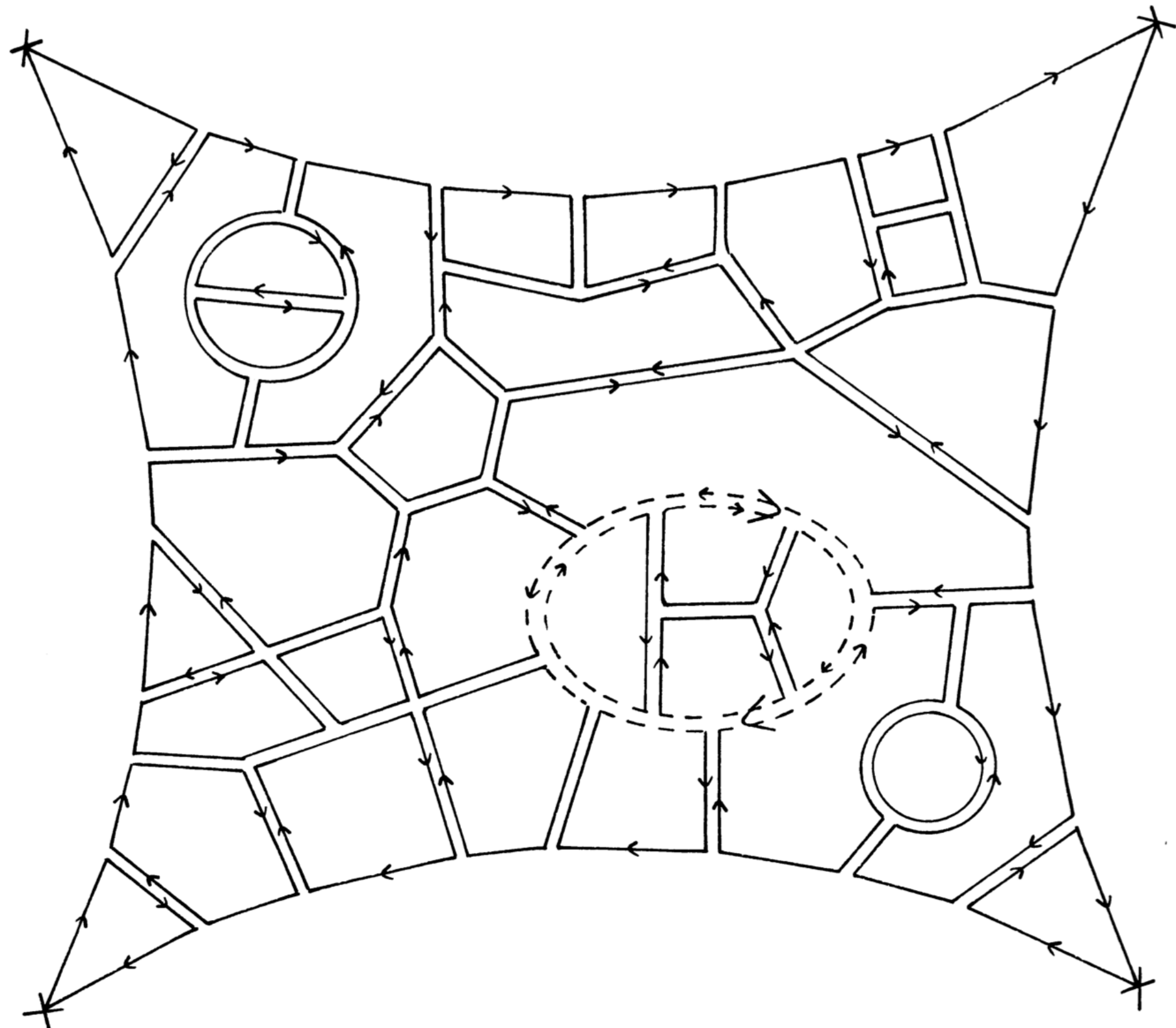
# Deriving the Simplest Gauge/String Duality

## Strings from Feynman Diagrams



With M. Gaberdiel  
& R. Gopakumar

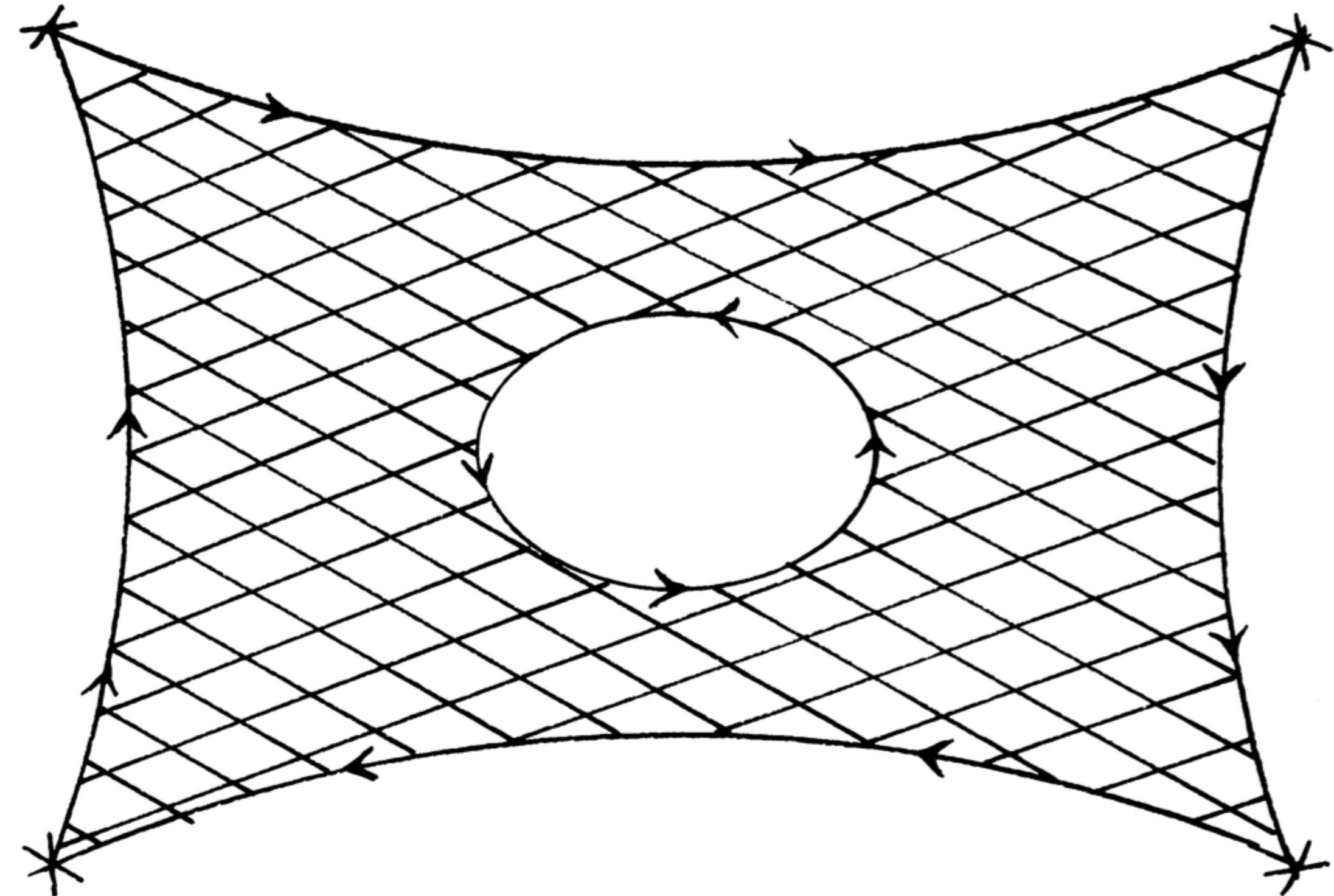
**Today's Focus:**  
Moments in the Gaussian Matrix Model  
as Stringy Correlators



G. 't Hooft  
CERN - Geneva

?

=



(a)

- Figure 3 -

**TODAY'S SIMPLIFICATION:**

**„SET PROPAGATORS TO 1“ → MATRIX INTEGRAL**

# The Simplest Gauge Theory

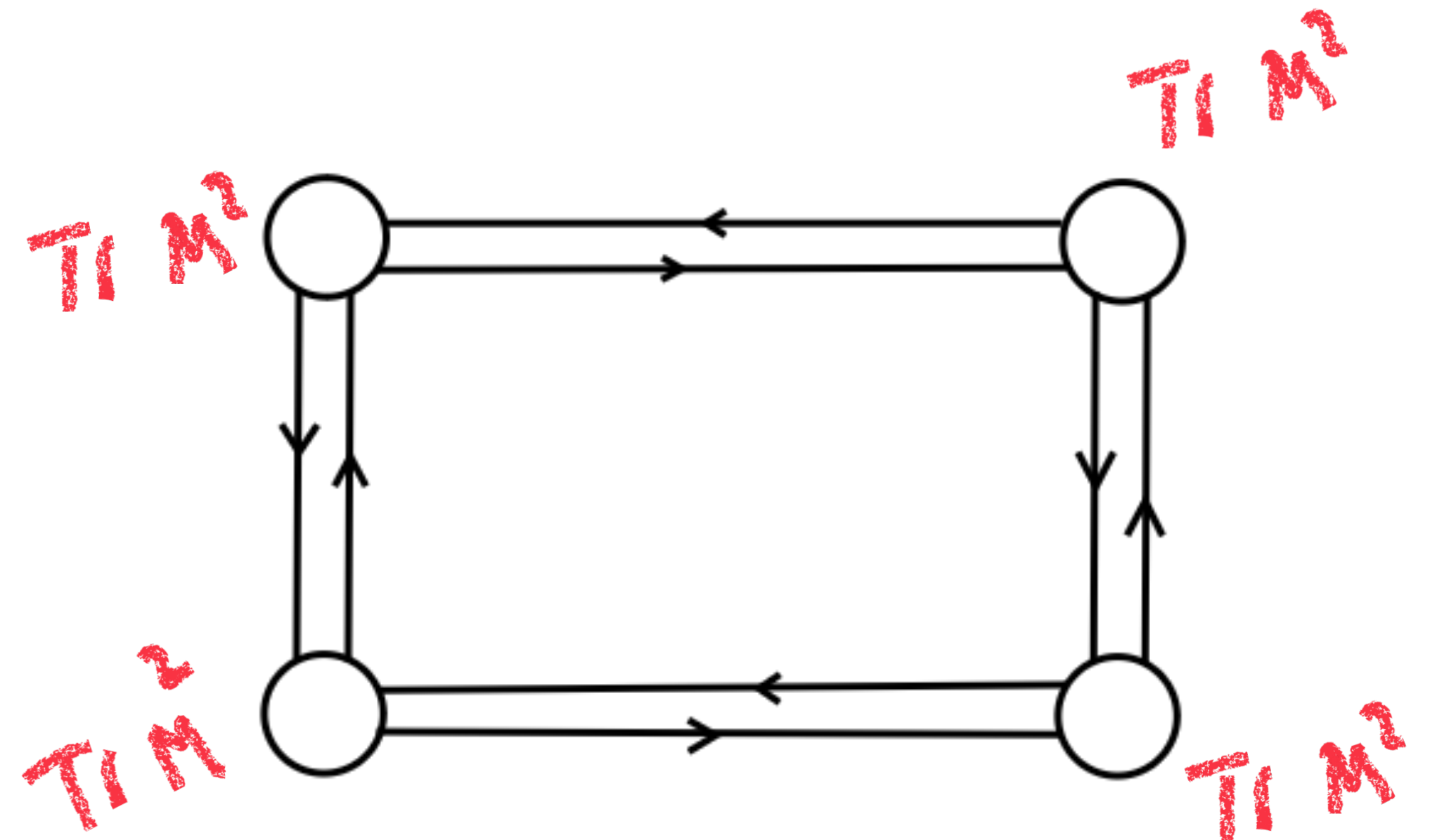
## & The simplest Feynman diagram

$$Z_N = \frac{1}{\text{Vol } U(N)} \int dM_{N \times N} e^{-\frac{N}{2t_2} \text{Tr} M^2}$$

Gauge-invariant observables built from  $\text{Tr}(M^n)$

Example (free theory):

$$\langle \text{Tr}(MM) \text{Tr}(MM) \text{Tr}(MM) \text{Tr}(MM) \rangle_{\text{con.}}$$



# The Main Result

An explicit all-genus mapping of GUE correlators

$$\sum_h \left(\frac{1}{N}\right)^{2h-2} \left\langle \prod_{i=1}^n \frac{1}{Nk_i} \text{Tr}(M^{k_i}) \right\rangle_h^{conn.} = \sum_h g_{st}^{2h-2} \left\langle \prod_{i=1}^n V_{k_i} \right\rangle_h^{conn.}$$

Where  $g_{string} = \frac{1}{N}$



# Matrix Models and Strings

## What is new here?

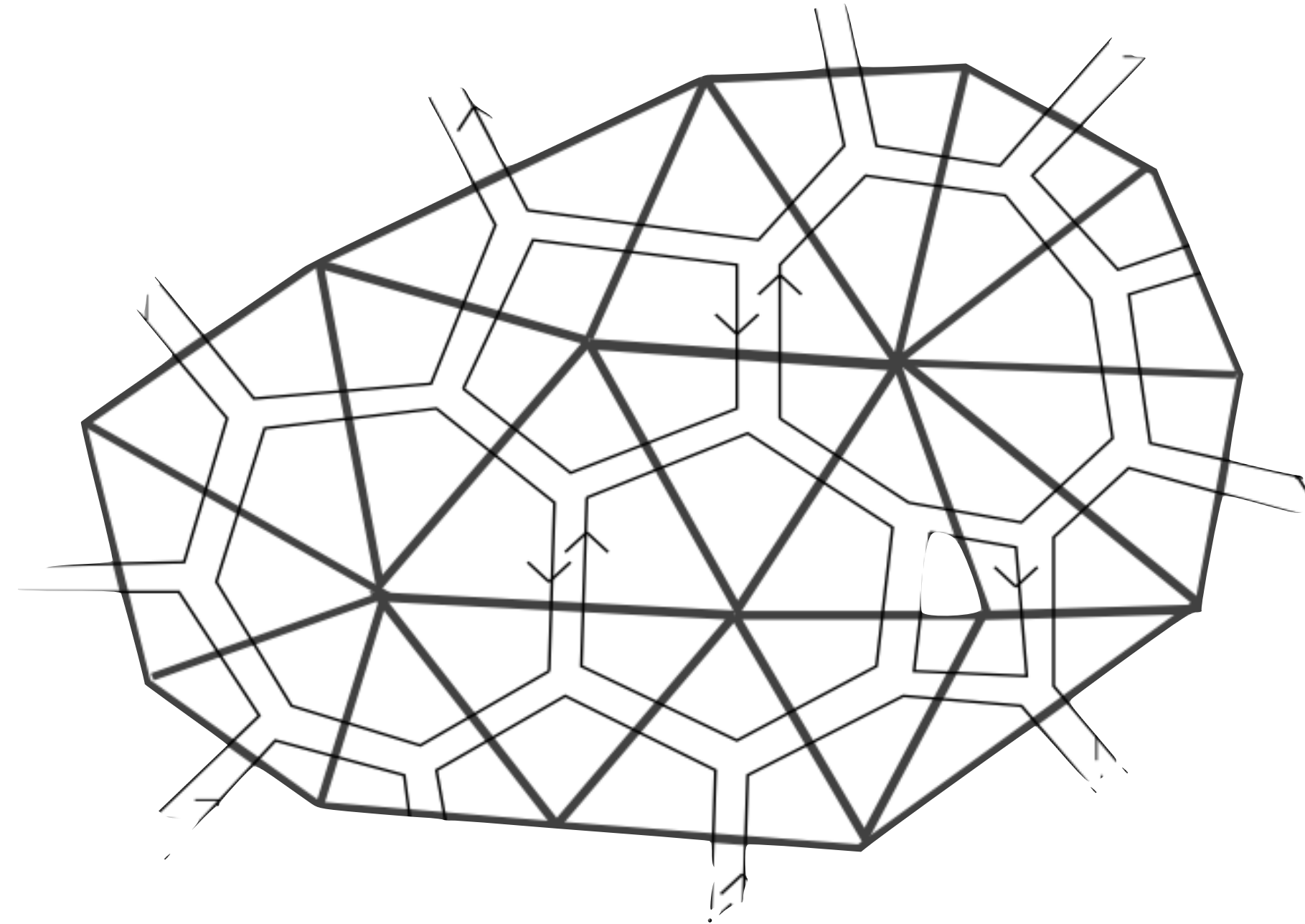
- **Previously focused on double-scaling limit:** Feynman diagrams as “latticization” of the worldsheet

[Cf. Gross-Migdal; Douglas-Shenker; Brezin-Kazakov]



***Not 't Hooft limit as in AdS/CFT!***

- **Dijkgraaf-Vafa:** matrix integrals from localized holomorphic Chern-Simons Theory (i.e. open string field theory on branes in non-compact CYs)



***Focus here on worldsheet theory  
Find operator dictionary/correlators***

[Cf. Aganagic-Dijkgraaf-Klemm-Marino-Vafa]

# What Replaces the Minimal String?

## 3 equivalent closed string descriptions for the GUE

### A-Model :

Kazama-Suzuki  $\frac{SL(2, \mathbb{R})_1}{U(1)}$

(With mom. +2 deformation)

\* Derived via Feynman  
Diagrams

$$\frac{1}{Nk} \text{Tr}(M^k) \leftrightarrow V_{j=\frac{1}{2}}^k(X = \infty, z)$$

[Cf. Witten, Mukhi-Vafa,  
Ashok-Murthy-Troost, Nakamura-Niarchos  
Eberhardt-Gaberdiel-Gopakumar]

### B-Model :

Topological Landau-  
Ginzburg with superpotential

$$W_{LG}(Y) = \frac{1}{Y} + t_2 Y = X_{sc}(Y)$$

\* Derived via Top. Recursion

$$\lim_{k \rightarrow \infty} \frac{1}{Nk} : \text{Tr}(M^k) : \leftrightarrow e^{-\frac{k^2}{2}\psi}$$

[Cf. Vafa, Ghoshal-Vafa,  
Ghoshal-Imbimbo-Mukhi, Hanany-Oz-Plesser,  
Aganagic-Dijkgraaf-Klemm-Marino-Vafa,  
Eynard, DOSS]

### $c = 1$ string

at self-dual radius:

Liouville + compact boson  
(with mom. +2 Tachyon  
background)

\* Derived via Duality with  
Imbimbo-Mukhi MM

$$\frac{1}{Nk} \text{Tr}(M^k) \leftrightarrow T_{-k}$$

[Cf. Aganagic-Dijkgraaf-Klemm-Marino-Vafa,  
Moore-Plesser-Rangoolam, Imbimbo-Mukhi]

# The Derivation

***Why do these closed string theories appear?***

# The A-Model

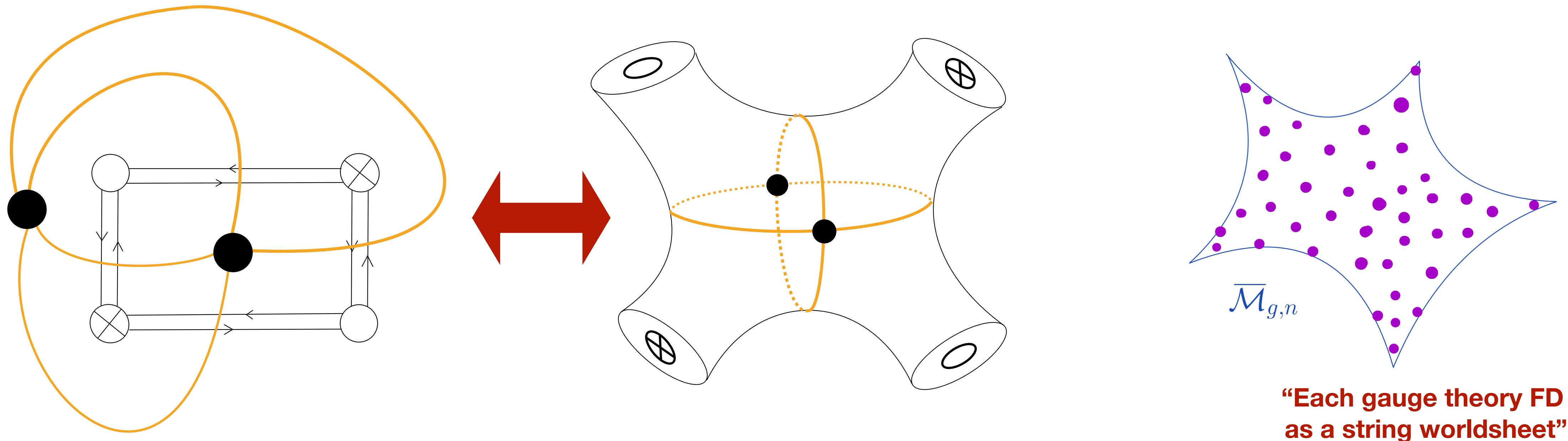
**How do we see the holomorphic maps from the WS to the TS from the matrix?**

# I. Reconstructing the Worldsheet

[Cf. Strebel, Kontsevich, Gopakumar]

Use the **Strebel Parametrization** of  $\mathcal{M}_{g,n} \times \mathbb{R}_+^n$

→ An exact equivalence between genus  $g$  metrized ribbon graph with  $n$  faces &  $p \in \mathcal{M}_{g,n} \times \mathbb{R}_+^n$



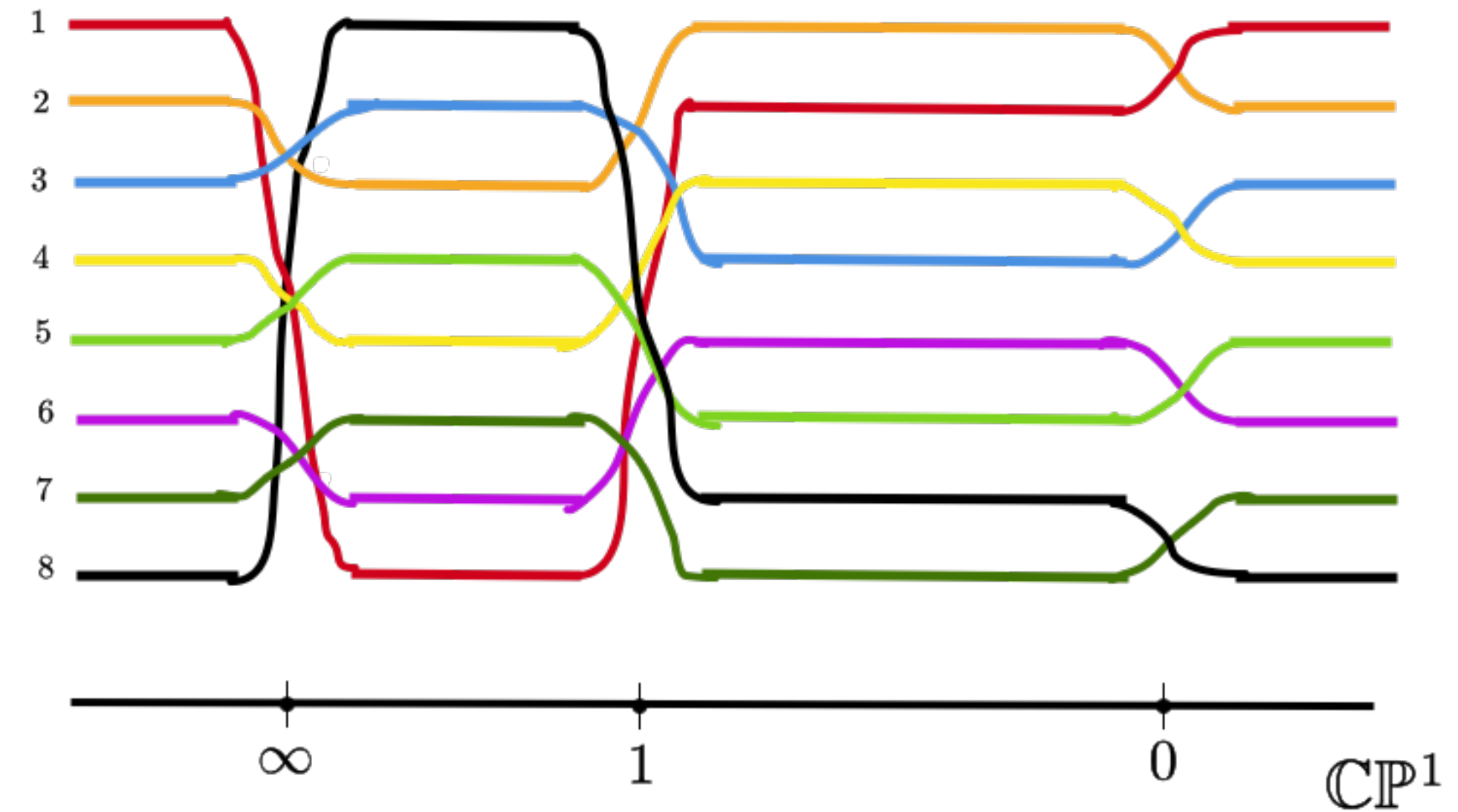
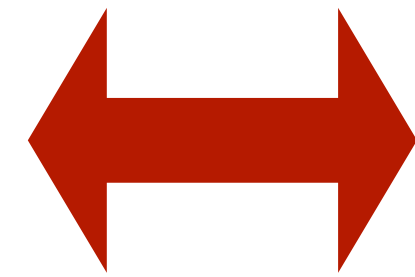
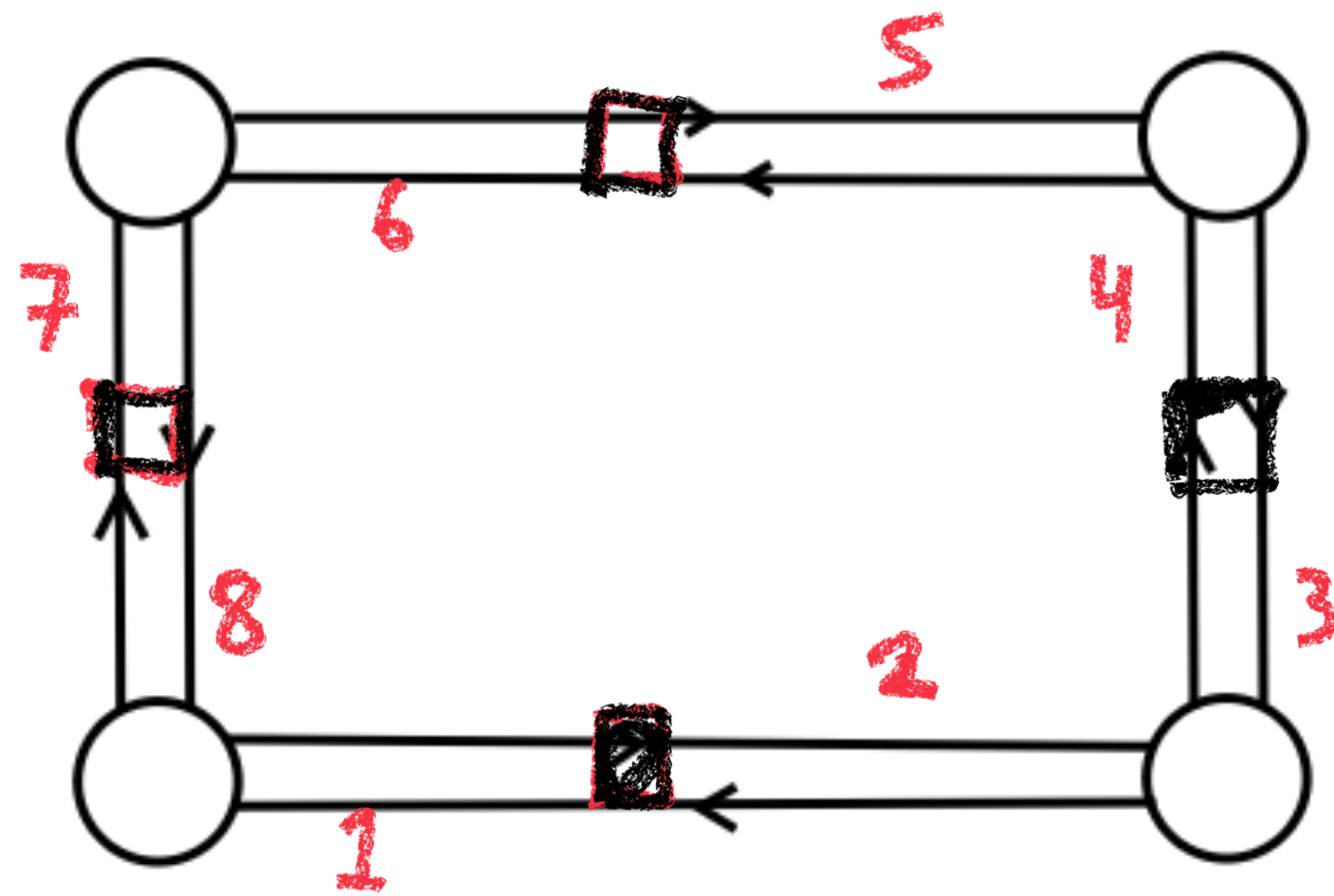
1. → “Strebel Graph” is dual to Feynman diagram
2. → Lengths assigned to edges = number of (homotopic) Wick contractions  $\in \mathbb{Z}$
3. → Localization to discrete “arithmetic” surfaces (only worldsheets admitting Belyi maps)
4. → **GUE correlators count lattice points on moduli space**

[Cf. Norbury, Chekhov et al.]

# II. Reconstructing the Embedding Map

## Our Simplest Diagram as a Belyi Map

Cf. e.g. Belyi, Grothendieck, Zagier, Lando-Zvonkin, Pakman-Rastelli, Gopakumar, Rangoolam



**Vertices :**

$$\sigma_{\infty} = (18)(23)(45)(67)$$

**Edges:**

$$\sigma_0 = (12)(34)(56)(78)$$

**Faces:**

$$\sigma_1 = (1753)(2468)$$



Such localization to holomorphic covering maps of  $\mathbb{CP}^1$  indeed already seen in  $\frac{SL(2, \mathbb{R})_1}{U(1)}$  string!

[Cf. Eberhardt, Dei, Gaberdiel, Gopakumar, Knighton, Maity]

**- See Poster for 2-Matrix Model Story -**

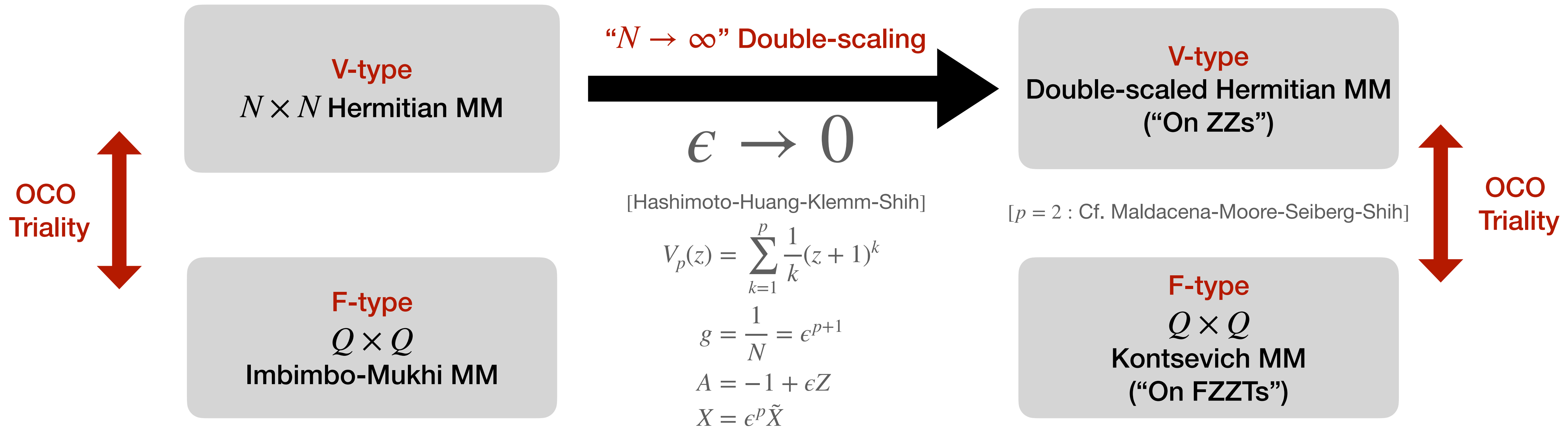
**Thank You!**



See Longer Talk at KITP  
“What is String Theory?” Program

# Role of double-scaling?

## Imbimbo-Mukhi vs. Kontsevich



$$Z_{IM} \propto \int dA_{Q \times Q} e^{-\frac{1}{g} \text{Tr} \left( V_p(A) - AX \right) - (N+Q) \text{Tr} \log(A)}$$

"N" Double-scaling  
Q fixed!

$$Z_{Kontsevich} \propto \int dZ_{Q \times Q} e^{\text{Tr} \left( \frac{Z^{p+1}}{p+1} + Z\tilde{X} \right)}$$

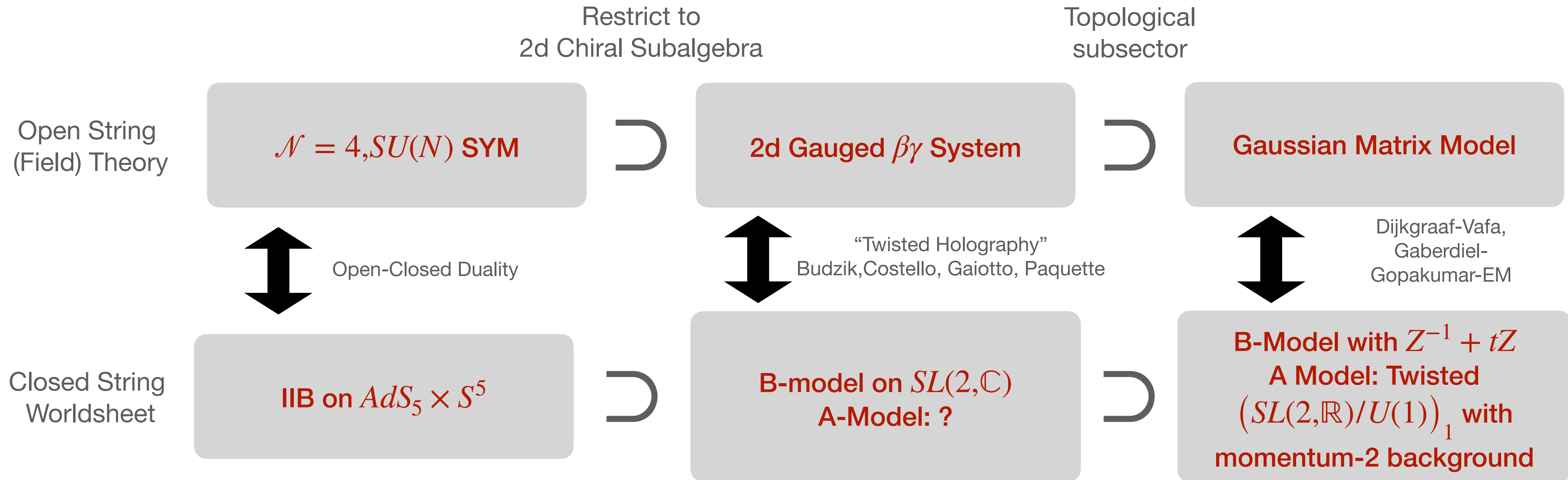
[Gaiotto-Rastelli]

$p=2$ : OSFT  $c=28$  Liouville  $+c=-2$  on  $Q$  FZZT



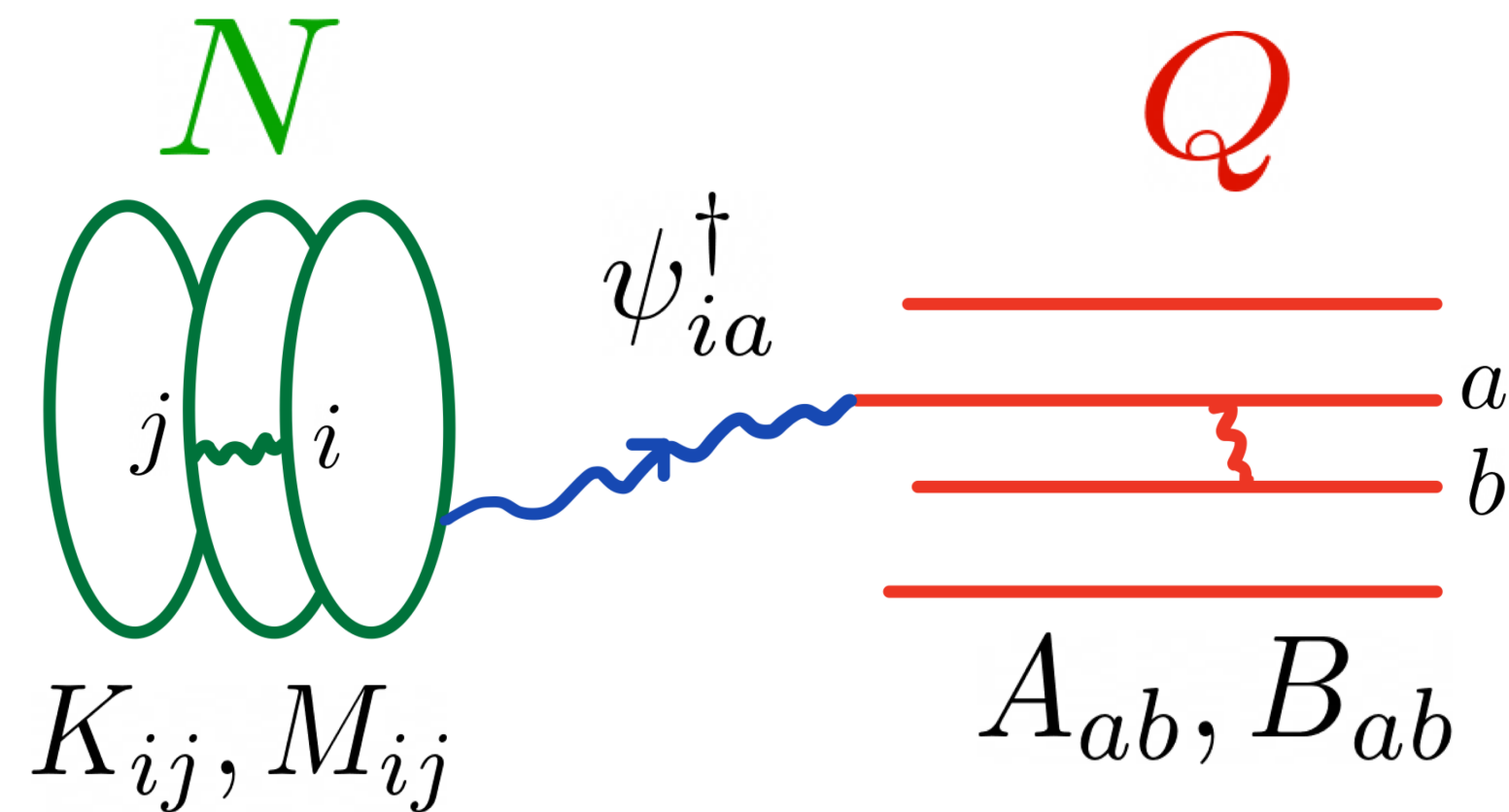
# The Even Bigger Picture

As a topological subsector of “standard” *AdS/CFT*



# A New Equality of 2 Matrix Integrals

## Open-Closed-Open Triality as Verification



$$\begin{aligned}
 Z(X, Y) &= \frac{1}{Z_N} \int dK dM_{N \times N} e^{+\frac{1}{g} \text{Tr}(V(K) - K(M - Y))} \prod_{a=1}^Q \det(x_a - M) \\
 &= \frac{(-1)^{NQ}}{Z_Q} \int dA dB_{Q \times Q} e^{-\frac{1}{g} \text{Tr}(V(A) + A(B - X))} \prod_{i=1}^N \det(y_i - B).
 \end{aligned}$$

Key Steps

$$\begin{aligned}
 Z(X, Y) &= \frac{1}{Z_N} \int dK d\psi d\psi^\dagger e^{\frac{1}{g} \text{Tr}_N(V(K) + KY) + \psi^\dagger_{ia} X_{ab} \psi_{ib}} \int dM e^{-\frac{1}{g} M_{ij} (K_{ji} - g \psi^\dagger_{ia} \psi_{ja})} \\
 &= \int dK d\psi d\psi^\dagger e^{\frac{1}{g} \text{Tr}_N(V(K) + KY) + \psi^\dagger_{ia} X_{ab} \psi_{ib}} \delta \left( K_{ji} - g \psi^\dagger_{ia} \psi_{ja} \right) \\
 &= \int d\psi d\psi^\dagger e^{\frac{1}{g} \text{Tr}_N V[(-g \psi \psi^\dagger)] + \psi^\dagger_{ia} (X_{ab} \delta_{ij} - \delta_{ab} Y_{ij}) \psi_{jb}}
 \end{aligned}$$

[Cf. Maldacena-Moore-Seiberg-Shih,  
 Aganagic-Dijkgraaf-Klemm-Marino-Vafa,  
 Goel - H. Verlinde,  
 Altland-Sonner]

“Color-Flavor Transformation”

$$\text{Tr}_N [(\psi \psi^\dagger)^k] = (-1)^{2k-1} \text{Tr}_Q [(\psi^\dagger \psi)^k]$$

→ Reverse Steps

$$A_{ba} = -g \psi^\dagger_{ia} \psi_{ib}$$

# The Imbimbo-Mukhi Matrix Model

## Traces as tachyon modes in c=1 at self-dual radius

[Cf. "Kontsevich-Penner-Model", Chekhov et al.,  
Bonora-Xiong, Moore-Plesser-Rangoolam]

$$\frac{1}{Z_N} \int dK dM_{N \times N} e^{-\frac{1}{g} \text{Tr}(V_p(K) - K(M - X))} \prod_{a=1}^Q \det(x_a - M)$$

$$= \frac{1}{Z_Q} \int dA dB_{Q \times Q} e^{+\frac{1}{g} \text{Tr}(V_p(A) + A(B - X))} \prod_{i=1}^N \det(y_i - B)$$

$$\frac{1}{Z_N} \int dK dM_{N \times N} e^{+N \text{Tr}(-\sum_n t_n K^n - KM + \sum_{k=1}^{\infty} \bar{t}_n M^n)}$$

$$= \det(X)^{-N} \int dA_{Q \times Q} e^{N \text{Tr}(\sum_n t_n A^n + AX) - (N+Q) \text{Tr} \log(A)} \times (\text{Penner Model})$$

$$Z_{IM}(t_n, \bar{t}_n) = \det(X)^{-i\mu} \int dA_{Q \times Q} e^{+i\mu \sum_{n=1}^{\infty} t_n \text{Tr}(A^n) + i\mu \text{Tr}(AX) - (i\mu + Q) \text{Tr} \log(A)}$$

$N = i\mu$     **Genus-expansion = large N expansion**     $\bar{t}_n = \frac{1}{n} \text{Tr}_Q (X^{-n})$

$$\frac{1}{Nn} \text{Tr} M^n \leftrightarrow \frac{\partial}{\partial \bar{t}_n} \leftrightarrow T_{-n}$$

$$\frac{1}{Nn} \text{Tr} K^n \leftrightarrow \frac{\partial}{\partial t_n} \leftrightarrow T_{+n}$$

**Generating Function of "Tachyon" correlators  
in "c=1 2d-string theory"**

# The « BMN-Limit »

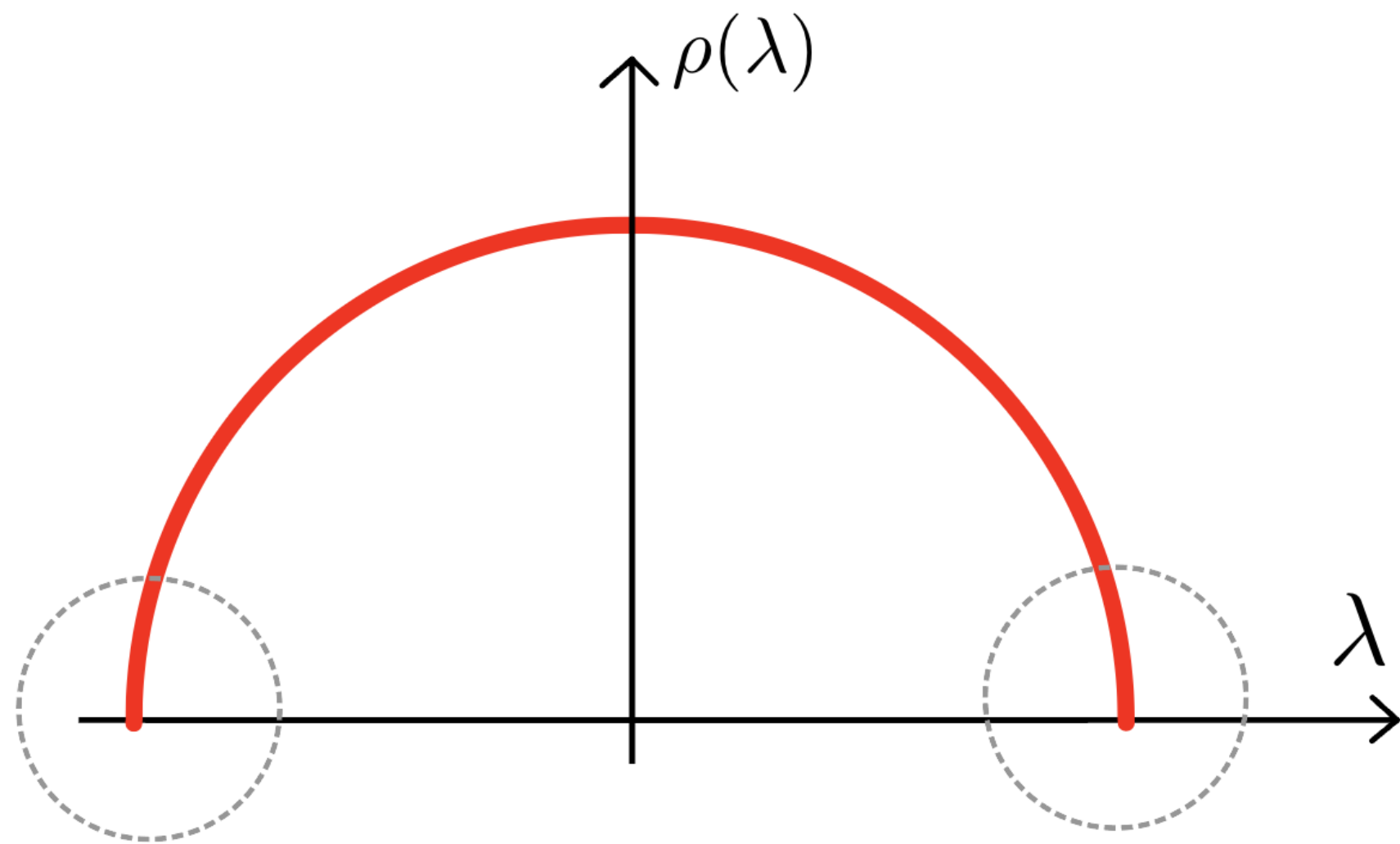
## A New Perspective on Double-Scaling

$$\omega_{Kontsevich} = \sum_{i=1}^n k_i^2 \psi_i$$

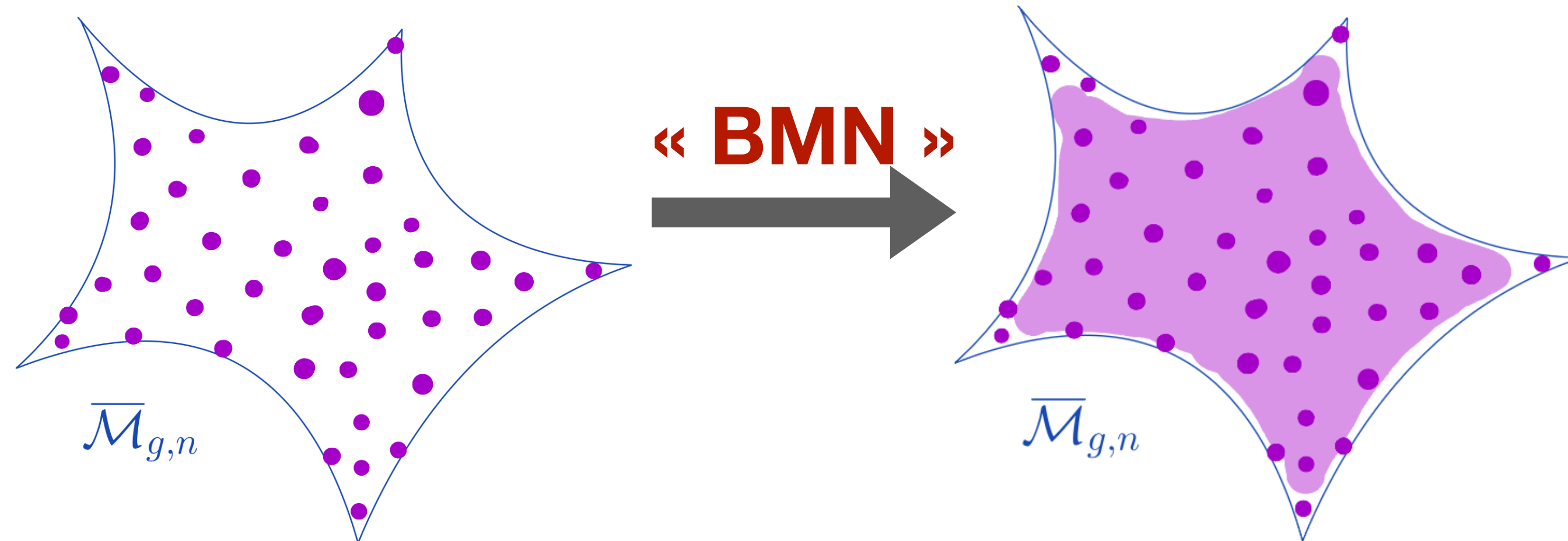
[Cf. Ehrhart, Norbury]

$$\lim_{k_i \rightarrow \infty} \left\langle \prod_{i=1}^n \frac{1}{Nk_i} : Tr M^{2k_i} : \right\rangle_c = \lim_{k_i \rightarrow \infty} N_{g,n}(2k_1, \dots, 2k_n) \rightarrow Vol_{Kontsevich}(2k_1, \dots, 2k_n)$$

Pure 2d top gravity in BMN limit



Wigner Semicircle ↔ full AdS  
Edge Region (Airy) ↔ pp-Wave geometry



Cover all of moduli space!

# The B-Model

**How do we see the constant maps from the WS to the critical points of the super potential?**

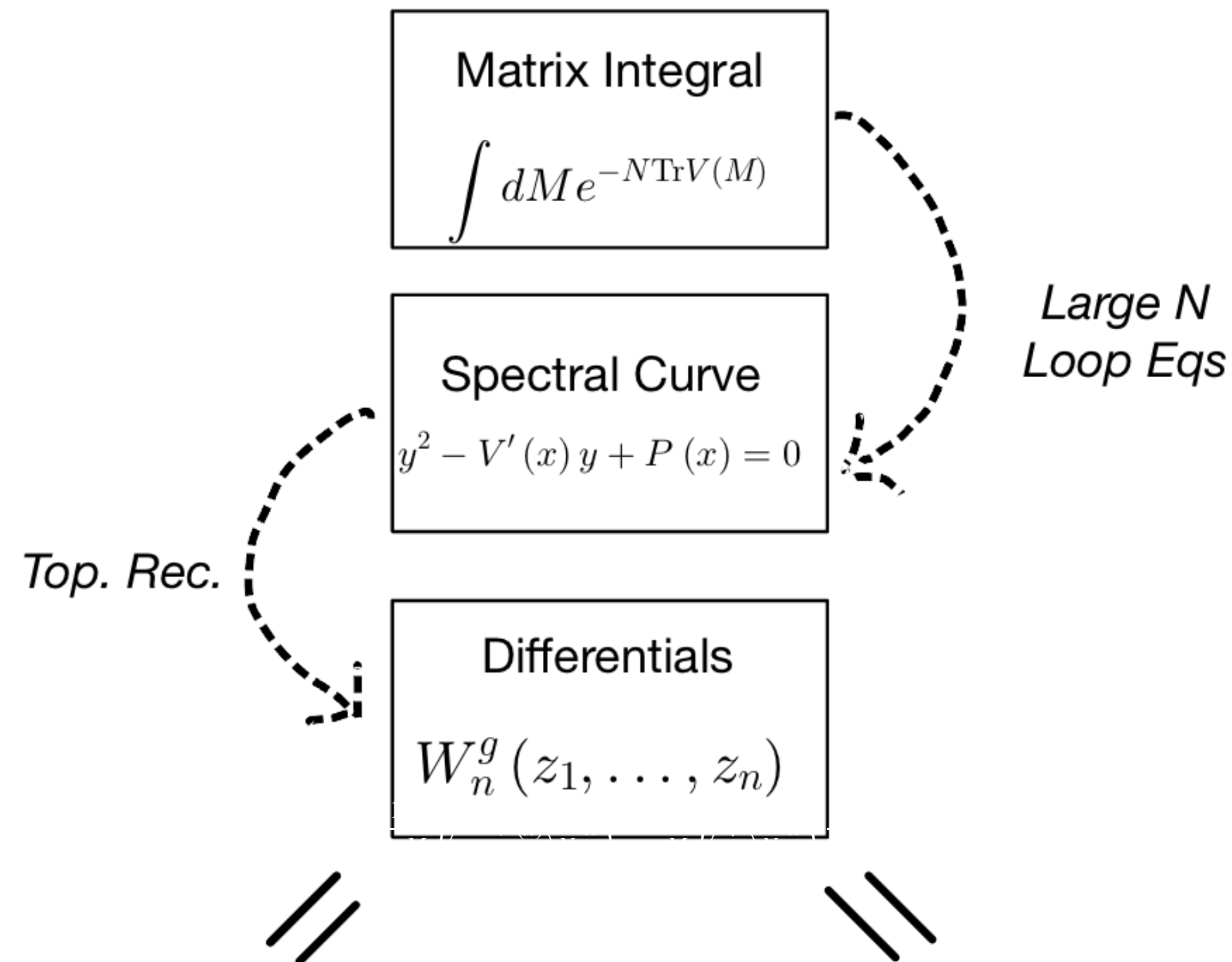
# Finding a B-Model in Disguise

## The Many Faces of Topological Recursion

[Cf. Eynard-Orantin; Eynard; DOSS

KS : Dijkgraaf-Vafa; Post, v.d. Heijden, E Verlinde

LG : Dunin-Barkowski, Norbury, Orantin, Popolitov, Shadrin]



Gaussian Model Spectral Curve

$$x = \frac{1}{y} + ty$$

Landau-Ginsburg Superpotential

$$W(Z) = \frac{1}{Z} + tZ$$

(Cf. Dijkgraaf-Vafa)

Branchpoints of Spectral Curve

$$dx = 0$$

Critical Points of Superpotential

$$dW = 0$$

*Topological Recursion*: Residues at branchpoints of spec curve  
*B-model string*: localization to constant maps into critical points of W

Integrate out matter first: moduli space integral & intersection numbers



Integrate out « gravity » first (cf. Losev): Top. Recursion as matter residue calculus with new contact terms

# CohFT Correlators

## Traces as Matter Primaries + Gravitational Descendants

$$\int dM_{N \times N} e^{-N \text{Tr} V(M)} \prod_{i=1}^N \text{Tr} M^{k_i}$$

Potential  
determines  
matter theory



large N expansion  
= genus expansion

$$\sum_g N^{2-2g-n} \sum_{\alpha_i, d_i} c_{\alpha_i, d_i}^{k_i} \int_{\overline{\mathcal{M}}_{g,n}} \langle O_{\alpha_1} \cdots O_{\alpha_n} \rangle \prod_{i=1}^n \psi_i^{d_i}$$



Extra psi-class  
Insertions



Main tool: TR as CohFT  
(Eynard 2011 + DOSS 2014 + Giachetto Thesis+...)

# Matter Primaries =  
# Edges of Eigenvalue Distribution

Sanity Checks:  
g=0 3pt & 4pt, and g=1 1pt correlators from  
explicit moduli-space integrals

Very Explicit Universal Operator Dictionary!

$$\text{Tr} M^{2k} \leftrightarrow \mathbf{O}_+ \sum_{d=0}^{k-1} \frac{(2k)!}{(k-d)!(k-1-d)!} \psi^{2d} + \mathbf{O}_- \sum_{d=0}^{k-1} \frac{(2k)!}{(k-1-d)!(k-1-d)!} \psi^{2d+1}$$

# Two B-Model Perspectives on 4-pt Fn.

**“Pure Matter” vs. Intersection Theory Computations of  $N_{0,4}(2k_1, \dots, 2k_4)$**

Matrix Model Answer:  $\langle \prod_{i=1}^{n=4} \frac{1}{N^{2k_i}} : Tr M^{2k_i} : \rangle_c^{g=0} = N_{g=0, n=4}(2k_1, \dots, 2k_4) = k_1^2 + k_2^2 + k_3^2 + k_4^2 - 1$

**“Pure Matter” LG w/ contact terms (cf. Losev)**

$$W(Z) = \frac{1}{Z} + Z$$

$$\frac{1}{Nk} : Tr M^k : \leftrightarrow \mathcal{O}_k \equiv \frac{1}{Z^{k+1}}$$

$$N_{g=0, n=3}(k_1, k_2, k_3) = \langle \mathcal{O}_{k_1} \mathcal{O}_{k_2} \mathcal{O}_{k_3} \rangle$$

$$= \oint \frac{1}{W'(z)} \frac{1}{z^{k_1+1}} \frac{1}{z^{k_2+1}} \frac{1}{z^{k_3+1}}$$

$$= \text{Res}_{z \rightarrow 1} \frac{z^2}{(z^2 - 1)} \frac{1}{z^{k_1+1}} \frac{1}{z^{k_2+1}} \frac{1}{z^{k_3+1}} + \text{Res}_{z \rightarrow -1} \frac{z^2}{(z^2 - 1)} \frac{1}{z^{k_1+1}} \frac{1}{z^{k_2+1}} \frac{1}{z^{k_3+1}}$$

$$= \left(\frac{1}{2}\right) + (-1)^{k_1+k_2+k_3} \left(\frac{1}{2}\right)$$

Start with 3-pt Fn.  
(Cf. Vafa)



# Matter Theory as Iterated Residue Calculus

## B-Model “after integrating out gravity”

“Pure Matter” LG w/ contact terms (cf. Losev)

$$C_W(\mathcal{O}_{k_i}, \mathcal{O}_{k_j}) = \frac{d}{dz} \left( \frac{\mathcal{O}_{k_i} \mathcal{O}_{k_j}}{W'(z)} \right)_{-} = \sum_{l=1}^{k_i+k_j} 2l \mathcal{O}_{2l}$$

$$\langle \mathcal{O}_{2k_1} \mathcal{O}_{2k_2} \mathcal{O}_{2k_3} \mathcal{O}_{2k_4} \rangle = \frac{d}{dt} \langle \mathcal{O}_{2k_1} \mathcal{O}_{2k_2} \mathcal{O}_{2k_3} \rangle_{W+t\mathcal{O}_{2k_4}} \Big|_{t=0} + \sum_{i=1}^3 \langle C_W(\mathcal{O}_{2k_4}, \mathcal{O}_{2k_i}) \prod_{j \neq i}^3 \mathcal{O}_{2k_j} \rangle$$

$$= - (2k_4 + 1)(k_1 + k_2 + k_3 + k_4 + 1) + k_1(1 + k_1) + k_2(1 + k_2) + k_3(1 + k_3) + 2k_4(k_1 + k_2 + k_3) + 3k_4(1 + k_4)$$

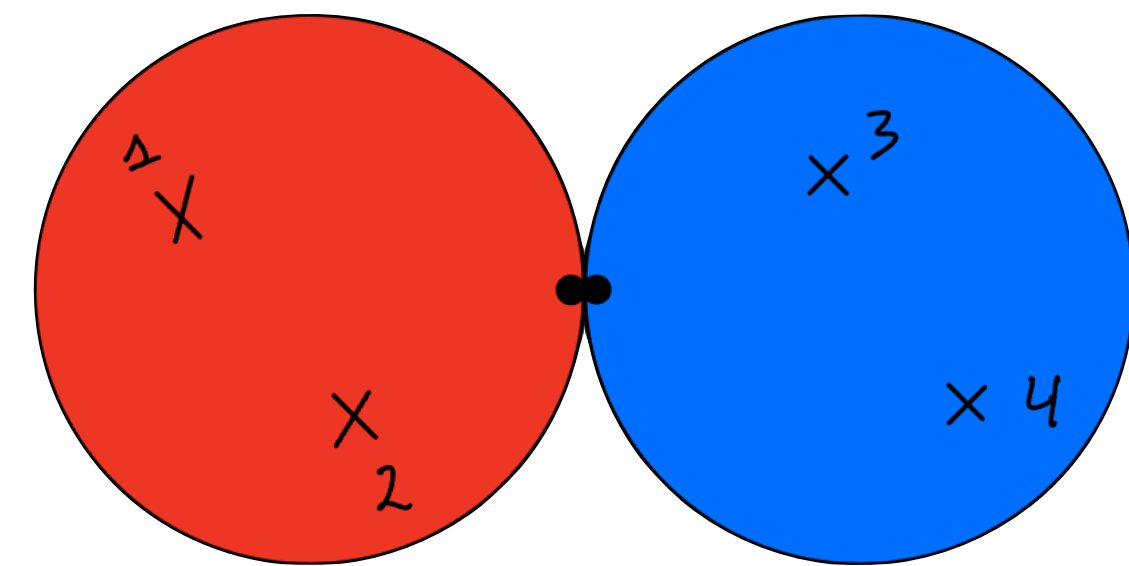
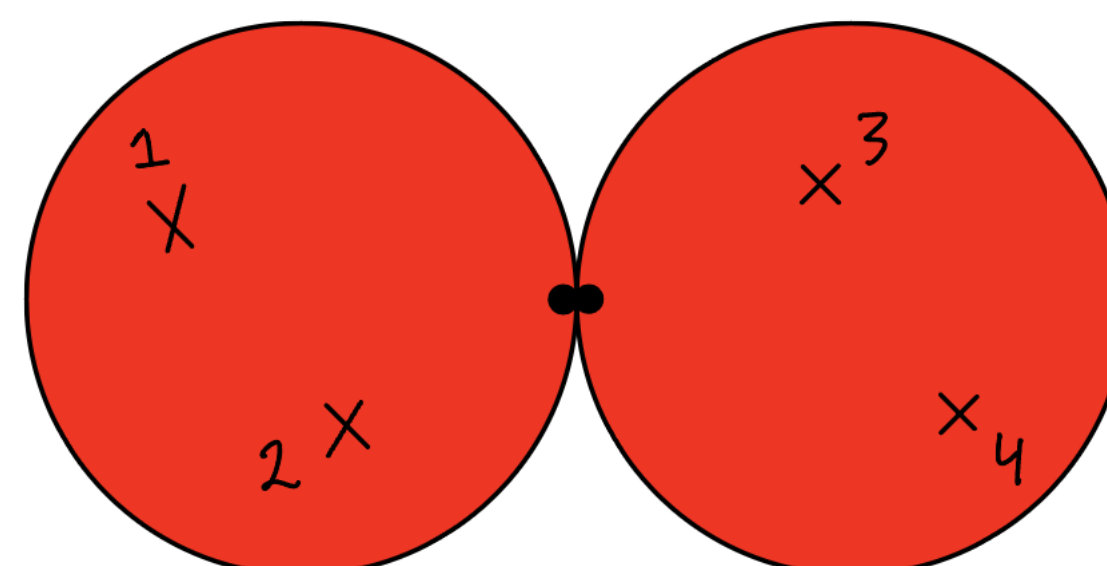
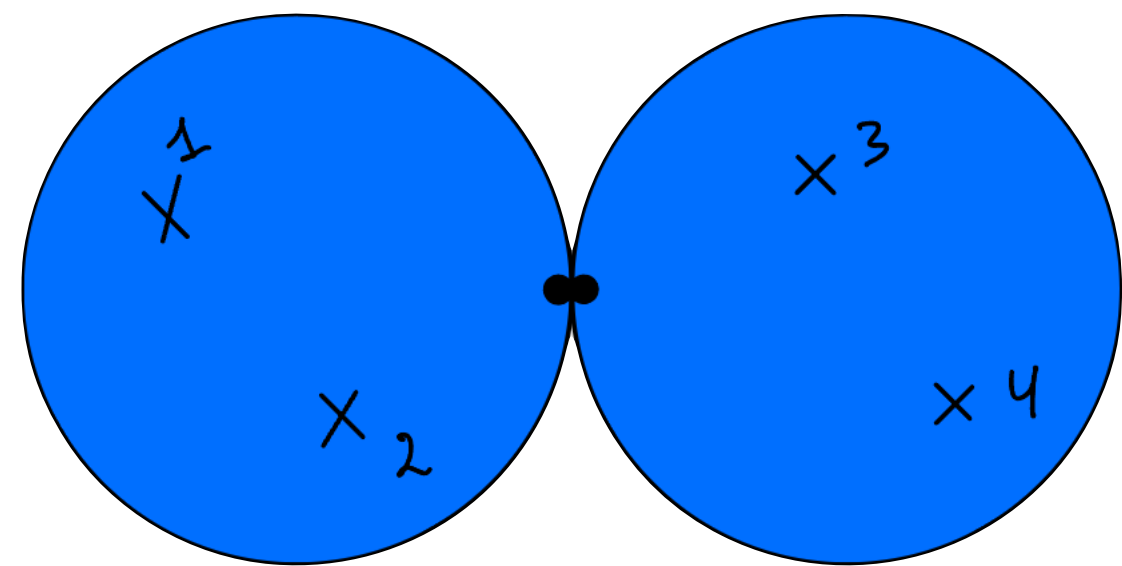
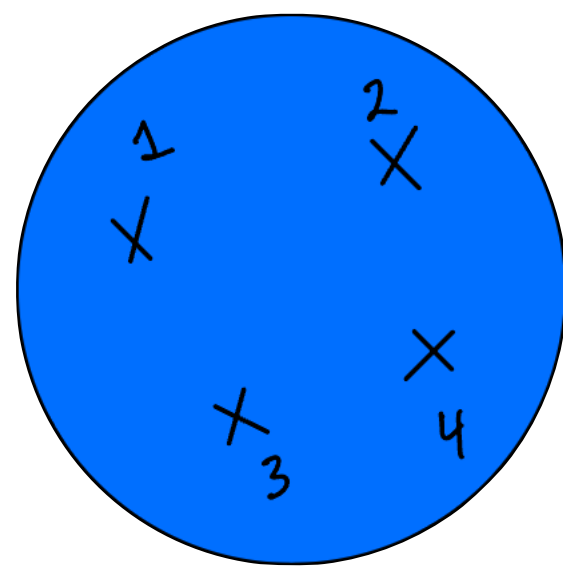
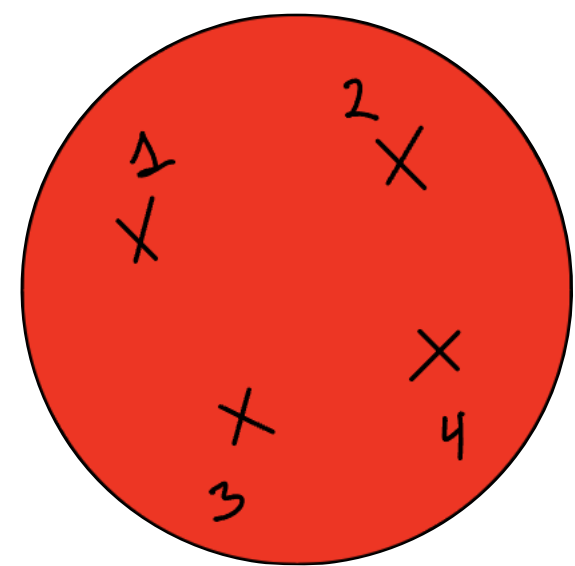
Contributions from deformed 3-pt fn

Contributions from contact terms

$$= k_1^2 + k_2^2 + k_3^2 + k_4^2 - 1 = N_{g=0, n=4}(2k_1, \dots, 2k_4)$$

# 4-pt Function from Moduli Space Integral

**B-Model “after integrating out matter”**



$$\frac{1}{2} \left( k_1^2 - \frac{1}{16} \right) \langle \psi_1 \rangle_{\mathcal{M}_{0,4}} + \text{Perm}(1,2,3,4)$$

$$-\frac{3}{64} \langle \psi_1^0 \psi_2^0 \psi^0 \rangle_{\mathcal{M}_{0,3}} \langle \psi_3^0 \psi_4^0 \psi^0 \rangle_{\mathcal{M}_{0,3}}$$

$$-\frac{3}{64} \langle \psi_1^0 \psi_2^0 \psi^0 \rangle_{\mathcal{M}_{0,3}} \langle \psi_3^0 \psi_4^0 \psi^0 \rangle_{\mathcal{M}_{0,3}}$$

$$-\frac{3}{32} \langle \psi_1^0 \psi_2^0 \psi^0 \rangle_{\mathcal{M}_{0,3}} \langle \psi_3^0 \psi_4^0 \psi^0 \rangle_{\mathcal{M}_{0,3}}$$

From operator insertions

Contributions from boundary of moduli space (cf. contact terms)

$$-\frac{3}{64} \langle \kappa_1 \rangle_{\mathcal{M}_{0,4}} \quad -\frac{3}{64} \langle \kappa_1 \rangle_{\mathcal{M}_{0,4}}$$

From “background” dual to matrix potential

Coefficients fixed both by local behavior of spectral curve & Bergmann kernel near branchpoints) and our new operator dictionary

$$= k_1^2 + k_2^2 + k_3^2 + k_4^2 - 1 = N_{g=0, n=4}(2k_1, \dots, 2k_4)$$

# Fermionic Chern–Simons theory on $S^2 \times S^1$ at large- $N$ in the 'temporal' gauge

String-Math Conference 2024, ICTP, Trieste, Italy

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[arXiv:2307.11020](https://arxiv.org/abs/2307.11020)

[arXiv:24XX.XXXXX](https://arxiv.org/abs/24XX.XXXXX)

# Objective

We look at a fully non-perturbative, finite-temperature solution to a non-supersymmetric, non-abelian gauge theory with vector fermions in curved space – fermionic Chern–Simons theory on  $S^2 \times S^1$ .

# Background

- ✧ Light-cone gauge calculations demonstrate Bose–Fermi duality [AGAY12] at the level of the thermal free energy;
- ✧ Reproduced the free energy calculations for the fermionic theory on  $\mathbb{R}^2 \times S^1$  using the *‘temporal’ gauge* [MNTV23];
- ✧ Set up the calculation amenable to general genus- $g$  Riemann manifolds.

# Motivation

To establish Bose–Fermi duality on genus- $g$  Riemann manifolds (possibly at finite  $N$ )!

## Path integral of our theory

The finite temperature partition function of  $U(N)$  Chern–Simons theory coupled to fundamental fermionic matter is defined by the Euclidean path integral,

$$Z = \int \mathcal{D}[A_\mu] \mathcal{D}[\psi] \mathcal{D}[\bar{\psi}] e^{-S_E}, \quad (1)$$

where the Euclidean action  $S_E$  is given by,

$$S_E = \frac{i\kappa}{4\pi} \int_{\Sigma \times S^1} \text{Tr} \left\{ A \wedge dA - \frac{2i}{3} A \wedge A \wedge A \right\} + \int_{\Sigma \times S^1} \bar{\psi} (\not{D} + M) \psi. \quad (2)$$

We study the path integral of this theory on  $\Sigma \times S^1$ , where  $\Sigma$  is an arbitrary Riemann surface, and set up the problem at finite  $N$  and temperature  $T = \beta^{-1}$ , where  $\beta$  is the circumference of the  $S^1$ .

## Gauge conditions adopted

- ✧ The *'temporal' gauge* is defined by  $\partial_3 A_3(x) = 0$ , which imposes on the holonomy field  $U(x)$ ,

$$\partial_3 U(x) = \partial_3 e^{i\beta A_3(x)} = 0; \quad (3)$$

- ✧ The remaining two-dimensional  $U(N)$  is reduced to a two-dimensional  $U(1)^N$  by simultaneously diagonalizing the holonomy field  $U(\vec{x})$  for every point  $\vec{x}$  on  $\Sigma$  [BT93, JMS<sup>+</sup>13];
- ✧ Complete gauge is fixed by imposing the Coulomb gauge on the time-independent (zero mode) diagonal elements of  $A_1, A_2$ , or equivalently,

$$(A_{\dot{\mu}})_{\sigma}^{\sigma} = \sum_{j=1}^{N(g)=2g} \alpha_j^{\sigma} a_{\dot{\mu},j} + \epsilon_{\dot{\mu}\dot{\nu}} \partial_{\dot{\nu}} \chi^{\sigma}, \quad \dot{\mu}, \dot{\nu} = 1, 2, \quad (4)$$

where  $\{a_j\}$  is a basis for the nontrivial flat one-forms on the genus  $g$  Riemann surface  $\Sigma$  (the number of such one-forms is  $N(g)$ ).



## Exact path integral on a general manifold $\Sigma$ at finite $N$ and volume

In summary, the exact (in  $N$  and volume) partition function down to an unfixed two-dimensional  $U(1)^N$  is given by,

$$Z = \left( \prod_{\alpha=1}^N \sum_{n_\alpha=1}^{\infty} \right) (-1)^{(N-1)\sum_{\alpha} n_\alpha} \int \prod_{\alpha=1}^N \left( \mathcal{D}[A_3^\alpha(\vec{x})] \mathcal{D}[(A_{\dot{\mu}})^\alpha(\vec{x})] \prod_{m \in \mathbb{Z} + \frac{1}{2}} \mathcal{D}[\bar{\psi}_m^\alpha(\vec{x})] \mathcal{D}[\psi_{\alpha,m}(\vec{x})] \right) e^{-S_{U(1)^N}}, \quad (5)$$

where  $\dot{\mu} = 1, 2$ , and the complicated yet completely local (in two dimensions) action  $S_{U(1)^N}$  is:

$$S_{U(1)^N} = \frac{i\kappa\beta}{2\pi} \sum_{\alpha} \int_{\Sigma} A_3^\alpha(F_{12})_\alpha - \frac{1}{8\pi} \int_{\Sigma} R \ln V(\vec{x}) + \frac{1}{\beta} \int_{\Sigma} \sum_{m \in \mathbb{Z} + \frac{1}{2}} \bar{\psi}_{-m}^\alpha(\vec{x}) \left\{ \tilde{\not{D}} + M + i\gamma^3 \left( \frac{2\pi m}{\beta} - A_3^\alpha(\vec{x}) \right) \right\} \psi_{\alpha,m}(\vec{x})$$

$$- \frac{2\pi}{\kappa\beta^3} \int_{\Sigma} \sum_{\substack{l \in \mathbb{Z} \\ m, n \in \mathbb{Z} + \frac{1}{2} \\ \alpha, \sigma \\ \alpha \neq \sigma \text{ at } l=0}} \frac{1}{\frac{2\pi l}{\beta} - A_3^\alpha(\vec{x}) + A_3^\sigma(\vec{x})} \bar{\psi}_{-m}^\alpha(\vec{x}) \gamma^1 \psi_{\sigma, m-l}(\vec{x}) \bar{\psi}_{-n}^\sigma(\vec{x}) \gamma^2 \psi_{\alpha, n+l}(\vec{x}). \quad (6)$$

Effectively, (5) describes two-dimensional  $U(1)^N$  gauge fields interacting with  $N$  neutral scalar fields  $A_3^\alpha$  and an infinite number of fermionic fields  $\psi_{\alpha,n}(\vec{x})$  via the action (6).

## Specialization to the case $\Sigma = S^2$

Specializing to a sphere (genus  $g = 0$ ), fixing the residual two-dimensional  $U(1)^N$  gauge freedom on a two-sphere, and integrating out the (remaining) Abelian  $A_1, A_2$  fields from (5),

$$Z = \left( \prod_{\alpha=1}^N \sum_{n_\alpha=1}^{\infty} \right) (-1)^{(N-1)\sum_{\alpha} n_\alpha} \int \prod_{\alpha=1}^N \left( \mathcal{D}[A_3^\alpha(\vec{x})] \prod_{m \in \mathbb{Z} + \frac{1}{2}} \mathcal{D}[\bar{\psi}_m^\alpha(\vec{x})] \mathcal{D}[\psi_{\alpha,m}(\vec{x})] \right) e^{-S_{\text{exact}}}, \quad (7)$$

reducing the action  $S_{U(1)^N}$  in (5) to the exact (in  $N$  and volume) action  $S_{\text{exact}}$ , given by,

$$\begin{aligned} S_{\text{exact}} = & i\kappa \sum_{\alpha} n_{\alpha} \lambda_{\alpha} - \frac{1}{8\pi} \int_{S^2} R \ln V(\vec{x}) + \frac{1}{\beta} \int_{S^2} \sum_{\alpha} \sum_{m \in \mathbb{Z} + \frac{1}{2}} \bar{\psi}_{-m}^{\alpha}(\vec{x}) \left\{ \tilde{\mathcal{D}} + M + i\gamma^3 \left( \frac{2\pi m}{\beta} - A_3^{\alpha}(\vec{x}) \right) \right\} \psi_{\alpha,m}(\vec{x}) \\ & - \frac{2\pi}{\kappa\beta^3} \int_{S^2} \sum_{\substack{l \in \mathbb{Z} \\ m,n \in \mathbb{Z} + \frac{1}{2} \\ \alpha,\sigma \\ \alpha \neq \sigma \text{ at } l=0}} \frac{1}{\frac{2\pi l}{\beta} - A_3^{\alpha}(\vec{x}) + A_3^{\sigma}(\vec{x})} \bar{\psi}_{-m}^{\alpha}(\vec{x}) \gamma^1 \psi_{\sigma,m-l}(\vec{x}) \bar{\psi}_{-n}^{\sigma}(\vec{x}) \gamma^2 \psi_{\alpha,n+l}(\vec{x}). \end{aligned} \quad (8)$$

$V(\vec{x})$  is solely given in terms of  $A_3^{\alpha}(\vec{x})$ .

## Simplifications at large $N$

In the 't Hooft large- $N$  limit, the local Vandermonde factor  $V(\vec{x})$  becomes global, and the  $A_3$  fields become space-independent (expressed in terms of eigenvalues  $\{\lambda_\alpha\}$ ), leading to,

$$Z = \left( \prod_{\alpha=1}^N \sum_{n_\alpha=1}^{\infty} \right) (-1)^{(N-1)\sum_{\alpha} n_\alpha} \int \left( \prod_{\alpha=1}^N d\lambda_\alpha \right) V \exp \left( -i\kappa \sum_{\alpha} n_\alpha \lambda_\alpha - S_{\text{eff}}(\{\lambda_\alpha\}, \{n_\alpha\}) \right), \quad (9)$$

where,

$$e^{-S_{\text{eff}}(\{\lambda_\alpha\}, \{n_\alpha\})} = \prod_{\alpha=1}^n \int \prod_{m \in \mathbb{Z} + \frac{1}{2}} \mathcal{D} [\bar{\psi}_m^\alpha(\vec{x})] \mathcal{D} [\psi_{\alpha,m}(\vec{x})] e^{-S_{\text{f}}}, \quad (10)$$

$S_{\text{eff}}(\{\lambda_\alpha\}, \{n_\alpha\})$  is the renormalized effective action after integrating out the fermionic fields,

$$S_{\text{f}} = \frac{1}{\beta} \int_{S^2} \sum_{m \in \mathbb{Z} + \frac{1}{2}} \bar{\psi}_{-m}^\alpha(\vec{x}) \left\{ \tilde{D} + M + i\gamma^3 \left( \frac{2\pi m}{\beta} - \frac{\lambda_\alpha}{\beta} \right) \right\} \psi_{\alpha,m}(\vec{x}) - \frac{2\pi}{\kappa\beta^3} \int_{S^2} \sum_{\substack{l \in \mathbb{Z} \\ m, n \in \mathbb{Z} + \frac{1}{2} \\ \alpha, \sigma \\ \alpha \neq \sigma \text{ at } l=0}} \frac{1}{\frac{2\pi l}{\beta} - \frac{\lambda_\alpha}{\beta} + \frac{\lambda_\sigma}{\beta}} \bar{\psi}_{-m}^\alpha(\vec{x}) \gamma^1 \psi_{\sigma, m-l}(\vec{x}) \bar{\psi}_{-n}^\sigma(\vec{x}) \gamma^2 \psi_{\alpha, n+l}(\vec{x}). \quad (11)$$

The spatial covariant derivative  $\tilde{D}$  in (11) is taken in the background of the gauge fields corresponding to the constant fluxes in the  $U(1)^N$ .

## Finite temperature gap equation

Following the Schwinger–Dyson procedure, the finite temperature gap equation is obtained as,

$$\Sigma_T(P_{m\alpha,3}) = -\frac{1}{2\kappa\beta R^2} \sum_{n,\sigma} \frac{1}{P_{m\alpha,3} - Q_{n\sigma,3}} H \left( \sum_{j=|q_\sigma|-\frac{1}{2}}^{\infty} \frac{2j+1}{iQ_{n\sigma j} + M + \Sigma_T(Q_{n\sigma,3})} \right), \quad (12)$$

where  $H(C) \equiv \gamma^1 C \gamma^2 - \gamma^2 C \gamma^1$ ,  $P_{m\alpha} = \left( \vec{p}, \frac{2\pi m - \lambda_\alpha}{\beta} \right)$ ,  $q_\alpha = \frac{n_\alpha}{2}$ , and,

$$Q_{m\alpha j} = \begin{pmatrix} Q_{m\alpha,3} & \frac{1}{R} \sqrt{j(j+1) - (q_\alpha + \frac{1}{2})(q_\alpha - \frac{1}{2})} \\ \frac{1}{R} \sqrt{j(j+1) - (q_\alpha + \frac{1}{2})(q_\alpha - \frac{1}{2})} & -Q_{m\alpha,3} \end{pmatrix}. \quad (13)$$

In close analogy, for the flat space case, we obtain,

$$\Sigma_T(P_{n\sigma,3}) = -\frac{2\pi}{\kappa\beta} \sum_{\substack{m \in \mathbb{Z} + \frac{1}{2} \\ \alpha \\ \alpha \neq \sigma \text{ at } m=n}} \int \frac{d^2 q}{(2\pi)^2} \frac{1}{P_{n\sigma,3} - Q_{m\alpha,3}} H \left( \frac{1}{iQ_{m\alpha} + M + \Sigma_T(Q_{m\alpha,3})} \right). \quad (14)$$

## Solution of the finite temperature gap equation

(14) is solved component-wise,

$$\Sigma_{T,I}(P_{m\alpha,3}) = P_{m\alpha,3} \sin\left(\frac{4\pi}{\kappa}\Omega_T(M_T, P_{m\alpha,3})\right) + M_T \cos\left(\frac{4\pi}{\kappa}\Omega_T(M_T, P_{m\alpha,3})\right), \quad (15)$$

$$\Sigma_{T,3}(P_{m\alpha,3}) = P_{m\alpha,3} \left(\cos\left(\frac{4\pi}{\kappa}\Omega_T(M_T, P_{m\alpha,3})\right) - 1\right) - M_T \sin\left(\frac{4\pi}{\kappa}\Omega_T(M_T, P_{m\alpha,3})\right). \quad (16)$$

where,

$$\Omega_T(M_T, P_{m\alpha,3}) = \frac{1}{4\pi\beta R^2} \sum_{\substack{n \in \mathbb{Z} + \frac{1}{2} \\ \sigma \neq \alpha \text{ at } n=m}} \sum_{j=|q_\sigma| - \frac{1}{2}}^{\infty} \frac{2j+1}{(Q_{n\sigma,3})^2 + \frac{j(j+1) - (q_\sigma - \frac{1}{2})(q_\sigma + \frac{1}{2})}{R^2} + M_T^2} \frac{1}{P_{m\alpha,3} - Q_{n\sigma,3}}, \quad (17)$$

$$\Phi_T(M_T) = \frac{1}{4\pi\beta R^2} \sum_{\sigma} \sum_{n \in \mathbb{Z} + \frac{1}{2}} \sum_{j=|q_\sigma| - \frac{1}{2}}^{\infty} \frac{2j+1}{(Q_{n\sigma,3})^2 + \frac{j(j+1) - (q_\sigma - \frac{1}{2})(q_\sigma + \frac{1}{2})}{R^2} + M_T^2}, \quad (18)$$

and the thermal mass  $M_T$  obeys the mass gap equation,

$$M_T = M - \frac{4\pi}{\kappa} \Phi_T(M_T). \quad (19)$$

## Evaluation of thermal free energy

For the flat space case, subtracting the zero point energy to remove the UV-divergences, we get the holonomy-dependent thermal free energy functional  $S_{\text{eff}}$  as,

$$\begin{aligned} S_T - S_0 = & -\frac{4\pi\beta V_2}{\kappa} (\Phi_T(M_T))^2 \left( \frac{4\pi}{3\kappa} \Phi_T(M_T) + M_T \right) + \frac{4\pi\beta V_2}{\kappa} (\Phi_0(M_0))^2 \left( \frac{4\pi}{3\kappa} \Phi_0(M_0) + M_0 \right) \\ & - V_2 \sum_{\substack{\alpha \\ n \in \mathbb{Z} + \frac{1}{2}}} \int \frac{d^2 q}{(2\pi)^2} \ln((Q_{n\alpha})^2 + M_T^2) + V_2 \beta N \int \frac{d^3 q}{(2\pi)^3} \ln(q^2 + M_T^2) - \frac{V_2 N}{6\pi\beta^2} ((\beta M_0)^3 - (\beta M_T)^3). \end{aligned} \quad (20)$$

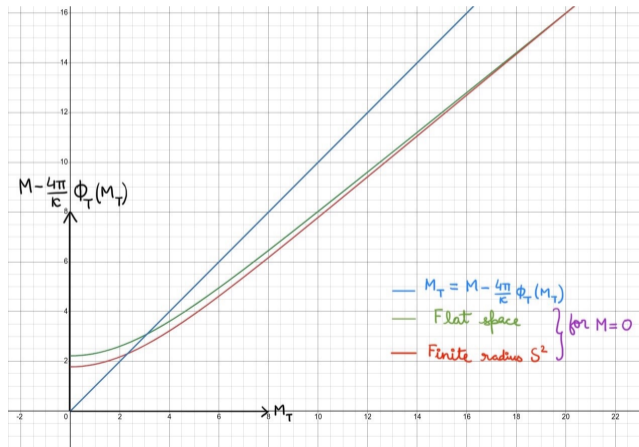
$\Phi_T(M_T)$  is a simple explicit function for the flat space case,

$$\Phi_T(M_T) = -\frac{1}{4\pi\beta} \sum_{\sigma} \ln |2(\cosh(\beta M_T) + \cos(\lambda_{\sigma}))|. \quad (21)$$

Using the finite temperature and finite volume analogues of the **'symmetrization' identities** of [MZJ15], it is possible to evaluate all the integrals/summations in (20) in the large- $N$  limit.

## $\Phi_T(M_T)$ for the finite volume case

$$\Phi_T(M_T) = \frac{1}{4\pi\beta R^2} \sum_{\sigma} \sum_{n \in \mathbb{Z} + \frac{1}{2}} \sum_{j=|q_\sigma| - \frac{1}{2}}^{\infty} \frac{2j+1}{(Q_{n\sigma,3})^2 + \frac{j(j+1) - (q_\sigma - \frac{1}{2})(q_\sigma + \frac{1}{2})}{R^2} + M_T^2}. \quad (22)$$










## Discussion and future directions

- ✧ The thermal effective action is not independent of fluxes at finite volume - holonomy eigenvalues are not quantized - Bose–Fermi duality has to work in a mathematically different way (compared to flat space);
- ✧ At finite volume, the fermion determinant receives flux contributions from different sectors, and the free energy will be presented in terms of a sum over these fluxes (in addition to the summation over Kaluza–Klein momenta and the spin-weighted spherical harmonic labels), which is seemingly similar to the calculations of the superconformal index [MMPS22];
- ✧ First-principles computation of S-matrices [MMP<sup>+</sup>22] may be possible with our choice of gauge that could shed new light on their unusual crossing symmetry properties;
- ✧ Computation of the free energy for bosons has some subtleties related to the  $\phi^2 A^2$  coupling.



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