

Towards a complexification of Donaldson-Witten TQFT

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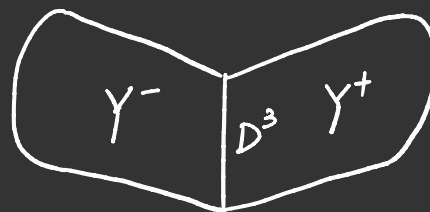
String math 2024, ICTP

\mathbb{R} -ori 4-mfds

Calabi-Yau 4-folds

Donaldson invs
 $\# \{ \text{instantons} \}$

Donaldson-Thomas invs
 $\# \left\{ \begin{array}{l} SU(4) \text{ instantons} \\ \text{or coherent sheaves} \end{array} \right\}$



$$D^3 \in |K_{Y^\pm}^{-1}| \quad CY_3$$

Gluing formula
(Donaldson-Witten TQFT)

?

Vect space on 3d:

Closed 3 mfd $D_{\mathbb{R}}^3$

$$CS: \mathcal{A}(D_{\mathbb{R}}^3) \rightarrow \mathbb{R}$$

(Chern-Simons)

Instanton Floer homology

$$\mathcal{H}_{D_{\mathbb{R}}^3} = "H(\mathcal{A}/\mathfrak{g}, CS)"$$

∞ -dim

"Morse homology"

CY 3-fold D

$$CS_{\mathbb{C}}: \mathcal{A}(D) \rightarrow \mathbb{C}$$

(holomorphic Chern-Simons)

holo cousin of instanton Floer homology

$$\mathcal{H}_D = "H(\mathcal{A}/\mathfrak{g}_{\mathbb{C}}, \Psi_{CS_{\mathbb{C}}} \mathbb{Q})"$$

∞ -dim

"Vanishing cycle homology"

Brav-Bussi-Dupont-Joyce-Szendrői

Kiem-Li

(ori data: Kontsevich-Soibelman)

$$\mathcal{H}_{\mathcal{M}_D} := H(\mathcal{M}_D, \bigcup_i \varphi_{\phi_i} \mathbb{Q})$$

Today: say $\mathcal{M}_D = \text{crit}(\phi: W \rightarrow \mathbb{C})$

global critical locus

Then: $\mathcal{H}_{\mathcal{M}_D} = H_{\mathbb{F}_0} = H(W, \varphi_{\phi} \mathbb{Q})$ vanishing cycle homology
flavor sym "critical homology"

Examples of $M_D = \text{crit}(W \xrightarrow{\phi} \mathbb{C})$:

1) (GLSM)

$$W = \mathcal{O}_{\mathbb{P}^4}(-5) = \frac{(\mathbb{C}^5 \setminus \{0\}) \times \mathbb{C}}{\mathbb{C}^*} \xrightarrow{\phi} \mathbb{C}$$

$w.t = (1, \dots, 1, -5)$

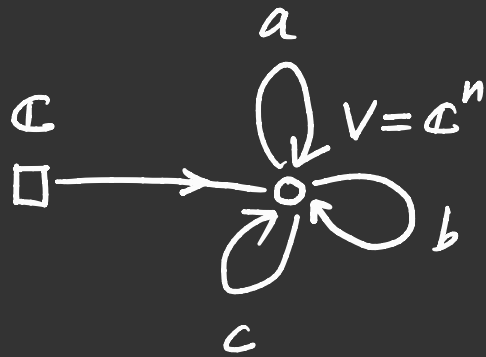
$$\phi(x_1, \dots, x_5, p) = p \cdot (x_1^5 + \dots + x_5^5)$$

$$\text{crit}(\phi) = \text{Quintic 3-fold } Q, \quad H(W, \varphi_f) \cong H^{BM}(Q)$$

$$\left\{ \sum_{i=1}^5 x_i^5 = 0 \right\} \hookrightarrow \mathbb{C}\mathbb{P}^4$$

dim reduction
[Davison]

2) (quiver with potential)



$$W = \text{Hom}(V, V)^{\times 3} \times V //_{GL(V)} \xrightarrow{\phi} \mathbb{C}$$

$$(a, b, c, v) \mapsto \text{tr}[b, c]$$

$$F_0 \leq F = (\mathbb{C}^*)^3 \quad \begin{matrix} \uparrow \\ (t_1, t_2, t_3) \cdot (a, b, c) \\ = (t_1 \cdot a, t_2 \cdot b, t_3 \cdot c) \end{matrix}$$

$$\parallel$$

$$\{t_1 \cdot t_2 \cdot t_3 = 1\}$$

$$\text{crit } \phi = \text{Hilb}^n(\mathbb{C}^3), \quad (\text{crit } \phi)^{F_0} = \text{3D Young diagrams w/ } n\text{-boxes}$$

$$H_{F_0}(W, \varphi_\phi) \text{ coho DT theory}$$

(relevant to geo rep theory)

Relative 4d theory:

(Y, D) sm log CY4 pair $(D \xrightarrow{i} Y \text{ anti-canonical divisor})$

WANT to restrict sheaves F on Y to D

$$i^*F \quad \& \quad \mathbb{L}i^*F$$

classical restriction

derived restriction

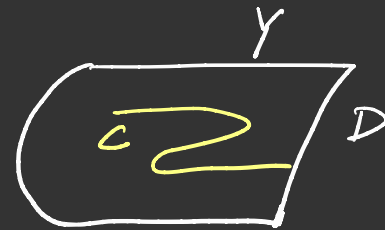
(geo better)

(homological alge better)

Consider those F such that $i^*F = \mathbb{L}i^*F \quad (*)$

(relative condition)

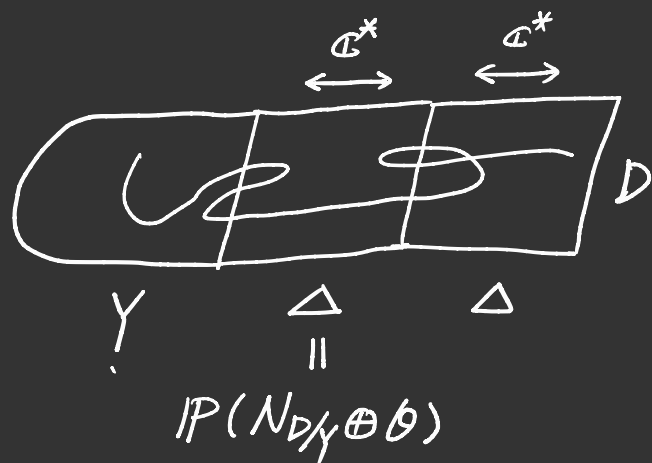
Say $F = I_C$ ideal sheaf of $C \subseteq X$



then \otimes requires C intersect D in a nice way.

\Rightarrow Moduli of such F has no compactness.

solution: consider such F on expanded pairs:



Fix topo data (curve classes etc)



only need finitely many bubbles

Li-Wu: \exists a moduli of sheaves on expanded pairs

w/ relative conditions on D 's. And a restriction map

$$\mathcal{M}_{Y,D} \xrightarrow{r} \mathcal{M}_D$$

The diagram shows a rectangle representing a divisor D . Inside it, a curve Y is drawn, which is a thickened line with a central line and a surrounding region. A vertical line segment is drawn within Y , representing an ideal sheaf I_C . An arrow points from this diagram to the expression $I_C|_D$.

- $\mathcal{M}_{Y,D}$ is proper if Y is so.

Given $M_{Y,D} \xrightarrow{r} M_D = \text{Crit}(W \xrightarrow{\phi} \mathbb{Q})$

Q: $\mathcal{H}_{M_D} = H(W, \phi; \mathbb{Q}) \xrightarrow{?} H^{BM}(M_{Y,D})$ (related to Joyce conj)

K-theory version: $K_0(W, \phi) \xrightarrow{?} K_0(M_{Y,D})$

$K_0(MF(W, \phi))$
 Matrix factorization category $d^2 = \phi$

$\Sigma_0 \rightleftharpoons \Sigma_1$

By pushforward to pt, obtain $\mathcal{H}_{M_D} \rightarrow \mathbb{Q}$



- Approximation by alge cycles:

$Z(\phi)$: zero locus of $\phi: W \rightarrow \mathbb{C}$ w/ $i: Z(\phi) \hookrightarrow W$

Milnor triangle $\psi_\phi \rightarrow \varphi_\phi \rightarrow i^*$

\Downarrow

canonical map: $H^{BM}(Z(\phi)) \rightarrow H(W, \varphi_\phi)$

(Borel-Moore homology)

cycle map: $A(Z(\phi)) \rightarrow H^{BM}(Z(\phi))$

(Chow gp of alge cycles)

composition:

$A(Z(\phi)) \rightarrow H(W, \varphi_\phi)$

"alge cycles on $H(W, \varphi_\phi)$."

K-theory version:

$$\frac{D^b(Z(\phi))}{\text{Perf}(Z(\phi))} \simeq \text{MF}(W, \phi)$$

Orlov

Ballard-Favero-Katzarkov

$$\downarrow K_0(-)$$

$$K_0(Z(\phi)) \twoheadrightarrow K_0(W, \phi)$$

Thm: (C.-Zhao-Zhou 24)

$$r^!: A_* (Z(\phi)) \longrightarrow A_* (M_{Y,D})$$

\exists

$$r^!: K_0(Z(\phi)) \longrightarrow K_0(M_{Y,D})$$

satisfying natural functorial property

Some key features:

1. Need framework of shifted symplectic geometry

$$\begin{array}{ccc} \mathcal{M}_{Y,D} & \xrightarrow{\text{Lag}} & \mathcal{M}_D = \text{crit}(W \xrightarrow{\phi} \mathbb{C}) \\ & & \downarrow \text{Lag fib} \\ & & W \end{array} \begin{array}{l} \rightarrow (-1)\text{-symp} \\ \\ \end{array}$$

$(-2)\text{-symp}$ \rightarrow W

2. (-2) -shifted symp \Rightarrow special obstruction theory
(obs bdl has quadratic form)

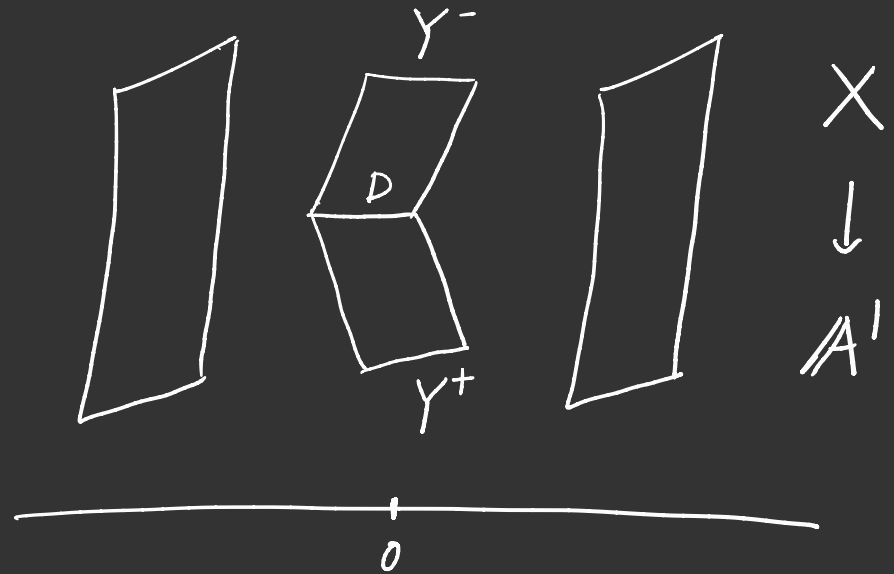
$SO(n, \mathbb{C})$ -characteristic class (Edidin-Graham)

Localized to isotropic section (Oh-Thomas)

family version (H. Park)

Gluing formula:

Given a degeneration of CY_4



Thm (CZZ): There is a gluing formula relating invs of generic fibers X_t ($t \neq 0$) and (Y_{\pm}, D) .

• Applications:

$$\text{Eg 1: } \mathbb{C}^4 \text{ \& } \text{Hilb}^n(\mathbb{C}^4) \leftarrow T = \{(t_1, \dots, t_4) \in (\mathbb{C}^*)^4 \mid t_1 \cdot t_2 \cdot t_3 \cdot t_4 = 1\}$$

Torus fixed pts $\text{Hilb}^n(\mathbb{C}^4)^T =$ solid partitions
(4D Young diagrams) of size n



No known closed formula of their counts

MacMahon gave a wrong guess at 1915

$$[\text{Hilb}^n(\mathbb{C}^4)]_T^{\text{vir}} \in A_n^T(\text{Hilb}^n(\mathbb{C}^4))$$

L : T -equiv line bdl. $L^{[n]}$: tautological bdl on $\text{Hilb}^n(\mathbb{C}^4)$

$$L^{[n]}|_Z = H^0(\mathbb{C}^4, L|_Z) \cong \mathbb{C}^n$$

Thm: $(\mathbb{C}Z\mathbb{Z})$. We have

$$1 + \sum_{n=1}^{\infty} q^n \cdot \int_{[\text{Hilb}^n(\mathbb{C}^4)]_T^{\text{vir}}} e_T(L^{\{n\}}) = M(-q) \int_{\mathbb{C}^4} c_3^T(\mathbb{C}^4) \cdot c_1^T(L),$$

where $M(q) = \prod_{n \geq 1} \frac{1}{(1-q^n)^n}$ is MacMahon function.

Pf: By using gluing formula & similar pole analysis

of Maulik-Nekrasov-Okounkov-Pandharipande on 3-folds

Rk: 1. Nekrasov (2017) conj a K-theoretic version of above formula

Kool-Rennemo (in progress) prove it by factorization seq of Okounkov

2. We also compute zero dim relative inus for all log CY local curves

i.e. $\text{Tot}_C(L_1 \otimes L_2 \otimes L_3), \mathbb{C}^3 \times \{P_1, \dots, P_r\}$

$$L_1 \otimes L_2 \otimes L_3 \cong \omega_C(P_1 + \dots + P_r)$$

genus of C arbitrary

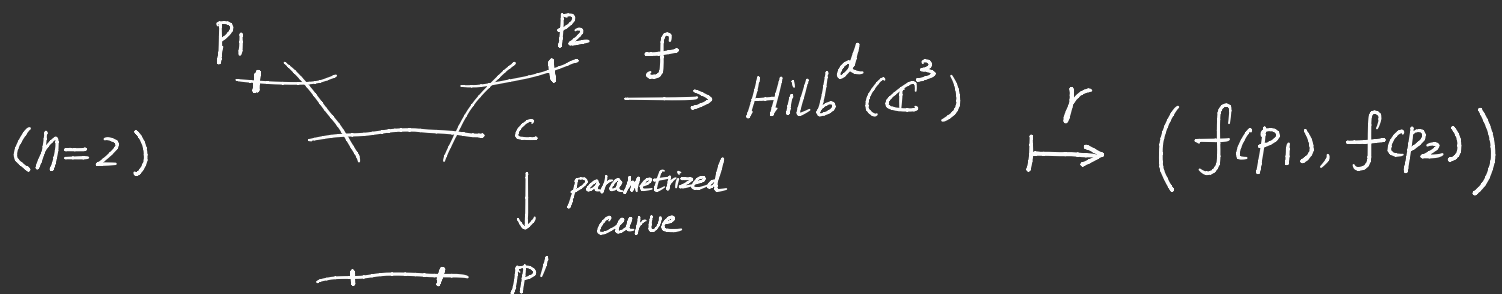
$$\text{Ex 2: } Y = \mathcal{O}_{\mathbb{P}^1}(l_1) \oplus \mathcal{O}_{\mathbb{P}^1}(l_2) \oplus \mathcal{O}_{\mathbb{P}^1}(l_3) \xrightarrow{\pi} \mathbb{P}^1$$

$$D = \coprod_{i=1}^n \pi^{-1}(P_i) \cong \coprod_{i=1}^n \mathbb{C}^3$$

$$\log CY: \sum_{i=1}^3 l_i = n-2$$

$r: \mathcal{M}_{Y,D} \rightarrow \mathcal{M}_D$ has components

$$\text{QM}_{0,n}(\text{Hilb}^d(\mathbb{C}^3), d)_{\mathbb{P}^1} \xrightarrow{r} \text{Hilb}^d(D) = \underbrace{\text{Hilb}^d(\mathbb{C}^3) \times \dots \times \text{Hilb}^d(\mathbb{C}^3)}_{n\text{-copy}}$$



Above relative 4d theory defines *Gromov-Witten* type invs of

$$\begin{aligned} & \text{Hilb}^d(\mathbb{C}^3) \\ & = \\ & \text{Singular space if } d \geq 4 \end{aligned}$$

Thm (C.-Zhao 23): We can replace $\text{Hilb}^d(\mathbb{C}^3)$ by any quiver with potential
(or crit locus in GIT)

& define their GW type invs.

Rk: 1. This gives mathematical approach
to "partition functions" of

- $\Sigma \times S^1$ 3d $\mathcal{N}=2$ SUSY gauge theory
 - gauged linear sigma model (GLSM)
- (after Witten, FJR, CFGKS, KL, TX, FK, ...)

2. can be used to extract information of quantum gp actions on \mathcal{H}_{MD}
(along w/ ideas & works of Okounkov school on Nakajima quiver vars)

Related question/direction:

stable envelopes as Maulik-Okounkov, Aganagic-Okounkov
for quivers with potentials
& connections to above invs.