# The Categorical Landau Paradigm

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2310.03786: Categorical Landau Paradigm and SymTFT
2310.03784: Gapped Phases with Non-Invertible Symmetries
2312.17322: The Club Sandwich: Gapless Phases and Phase Transitions with Non-Invertible Symmetries
2403.00905: Hasse Diagrams for Gapless SPT and SSB Phases with Non-Invertible Symmetries
2405.05964: Phases of Lattice Models with Non-Invertible Symmetries

Related works – many in cond-mat:

Gapped phases:

[Thorngren, Wang][Inamura][Huang, Lin, Seifnashri][Cordova, Zhang][S. Huang, Meng Cheng]

Gapless phases:

[Chatterjee,  $\pm$  Aksoy, Wen][Wen, Potter]

With fermions:

[S. Huang][Bhardwaj, Inamura, Tiwari]

Non-Invertible SPT phases from lattice models:

[Fechisin, Tantivasadakarn, Albert][Seifnashri, Shao][Jia]

Non-Invertible symmetries and phase transitions in lattice models ( $\operatorname{Rep}S_3$ ): [Eck, Fendley][Bhardwaj, Bottini, SSN, Tiwari][Chatterjee, Aksoy, Wen]

# Symmetric Phases

## Landau paradigm:

A continuous (2nd order) phase transition is a symmetry breaking transition.

*G* is a symmetry group, which is spontaneously broken to a subgroup *H*, resulting in |G/H| vacua, which are acted upon by the broken symmetry (+SPT phases).

**Example:**  $G = \mathbb{Z}_2$ .

There are two gapped  $\mathbb{Z}_2$ -symmetric phases:

- Trivial phase ( $H = \mathbb{Z}_2$ ):  $\mathbb{Z}_2$  symmetric single vacuum.
- Spontaneously Symmetry Broken (SSB) Phase (*H* = 1): charged operator *O*<sub>−</sub> gets a vacuum expectation value, two vacua, and the broken Z<sub>2</sub> exchanges them.

Between these there is a 2nd order phase transition: in (1+1)d, the critical Ising CFT

$$\mathbb{Z}_2$$
 SSB Phase  $\leftarrow$  Ising CFT  $\rightarrow$  Trivial Phase

#### Lattice Model Realization: Ising model

Transverse field **Ising model**:  $\mathcal{H} = (\mathbb{C}^2)^L$  with nearest neighbor Hamiltonian

$$H = -\sum_{j} Z_j Z_{j+1} - g \sum_{j} X_j \,.$$

There is a  $\mathbb{Z}_2$  spin flip symmetry  $\eta = \prod_j X_j$ .

- g = 0: two ground states,  $|\uparrow^L\rangle$  and  $|\downarrow^L\rangle$ : "ordered phase"
- $g \gg 1$ : ground state preserves the  $\mathbb{Z}_2$ : "disordered phase"
- g = 1: critical Ising CFT at c = 1/2.



#### **Kramers-Wannier duality:**

 $X_i \rightarrow Z_j Z_{j+1}$  and  $Z_j Z_{j+1} \rightarrow X_{j+1}$ , corresponds to  $g \rightarrow g^{-1}$ . At g = 1: symmetry of the critical Ising CFT, which realizes the non-invertible defect:

$$N^2 = 1 + \eta$$

## Non-Invertible Symmetries

Identifying global symmetries with topological defects [Gaiotto, Kapustin, Seiberg, Willett, 2014] has led in the last 10 years to a vast generalization of the notion of symmetry. The most recent one is to so-called **Non-invertible** or **Categorical Symmetries**:

An example are fusion category symmetries S in 2d: for  $a, b \in S$ 

$$a \otimes b = N_1 c_1 \oplus \cdots \oplus N_k c_k, \quad c_i \in \mathcal{S}, \ N_i \in \mathbb{N}$$

# Long-history in 2d QFTs: [Fuchs, Runkel, Schweigert][Bhardwaj, Tachikawa][ $\cdots$ ] # Many constructions in d > 2 QFTs [Starting in '21], which form **higher fusion category symmetries** 

## **Fusion Category Symmetries**

In 2d theories:

- Objects: topological lines  $D_1^{(g)}$ ,
- Morphisms: topological point operators  $D_0 \in \text{Hom}(D_1^{(g)}, D_1^{(h)})$ .
- Fusion:



• Associativity:



and subsequent compatibility conditions (pentagon identity)

Two simple examples of Non-Invertible Symmetries in 2d:

• Ising fusion category:

Generators are 1,  $\eta$ , N, where  $\eta \otimes \eta = 1$  is a  $\mathbb{Z}_2$  group, and  $N \otimes \eta = \eta \otimes N = N$ , but N is non-invertible

 $N \otimes N = 1 \oplus \eta$ .

*N* is the Kramers-Wannier self-duality of the critical Ising model.

• **Representations of a finite non-abelian group** *G*: e.g. permutation group on 3 elements *S*<sub>3</sub>:

 $\operatorname{Rep}(S_3)$  = representations of  $S_3$  with the tensor product form a fusion category.

The generators are the irreducible representations:

the trivial (1), sign (U) and 2d representation E, respectively, with tensor product (fusion):

$$U \otimes U = 1$$
,  $E \otimes U = U \otimes E = E$ ,  $E \otimes E = 1 \oplus U \oplus E$ .

Non-Invertible (Higher-Fusion Category) Symmetries in d = 4

• 4d Kramers-Wannier duality defects: [Kaidi, Ohmori, Zheng][Choi, Cordova, Hsin, Lam, Shao]

 $QFT \cong QFT/D \implies$  non-invertible 0-form symmetry

• Condensation defects from higher-gauging : [Roumpedakis, Seifnashri, Shao]

$$\mathcal{C}_d \sim \sum_{\Sigma \in H_q(M_d, \mathbb{Z}_N)} e^{i \int_{\Sigma} b}$$

Gauging outer automorphisms [Bhardwaj, Bottini, SSN, Tiwari]:
 E.g. 1-form symmetry Z<sub>2</sub><sup>(S)</sup> × Z<sub>2</sub><sup>(C)</sup> of Spin(4n) exchanged by outer automorphism



# Higher Fusion Category Symmetries

In higher dimensions, higher-form symmetries (and generalizations thereof) need to be included. E.g. (d - p - 1)-dimensional defect links in d dimensions with a p-dimensional charged operator. "p-form symmetry".

In *d*-spacetime dims non-invertible symmetries form a (d - 1)-fusion category:

- Topological defects of dimension (*d* − 1), up to 0: (*d* − 1) objects, (*d* − 2) morphisms, (*d* − 3) 2-morphisms, etc.
- Fusion of defects in each dimension
- Compatibility/associativity conditions

d = 3:

Classification of fusion 2-categories (up to Morita equivalence) [Decoppet].

#### Generalized Charges for Non-Invertible Symmetries

#### **Generalized** *q***-charge** = *q***-dim defect in a "Representations of a Non-Invertible Symmetry".**

In 2d: tube algebra and lasso-action [Fröhlich, Fuchs, Runkel, Schweigert][Lin, Okada, Seifnashri, Tachikawa][Bhardwaj, SSN][Bartsch, Bullimore, Ferrari, Pearson]

**Example:** Ising fusion symmetry of the critical Ising model

$$\eta^2 = 1$$
,  $N\eta = \eta N = N$ ,  $N^2 = 1 \oplus \eta$ .

We can act on the spin operator  $\sigma$  (1/16 primary):



This is a hallmark of non-invertible symmetries: **they map genuine operators to non-genuine ones** (i.e. attached to topological defects).

# Categorical Landau Paradigm

Conjecture/Hope: Generalized (Categorical) Landau Paradigm: Explain (beyond Landau) phase transitions using a suitably generalized notion of symmetry.

Let S be a non-invertible symmetry. We develop a framework that determines:

- All *S*-symmetric **gapped phases** including the order parameters, i.e. generalized charges acquiring vevs
- **Gapless phase transitions** between *S*-symmetric gapped phases:

$$\mathcal{S}$$
 Gapped Phase  $\leftarrow$  CFT  $\rightarrow$   $\mathcal{S}$  Gapped Phase'

Generalizes the Landau paradigm to S a categorical symmetry

⇒ Categorical Landau Paradigm [Bhardwaj, Bottini, Pajer, SSN]

These can be classified using the so-called **Symmetry TFT**.

# SymTFT ("Sandwich = Quiche<sup>2</sup>"\*)

[Gaiotto, Kulp][Apruzzi, Bonetti, Garcia-Extebarria, Hosseini, SSN] [\*Freed, Moore, Teleman] Given a physical QFT  $\mathfrak{T}$  with (finite) symmetry S in d dimensions. The SymTFT is a d + 1 dimensional TQFT  $\mathfrak{Z}(S)$  by gauging S in (d + 1) dims:



Examples:

- Z<sub>N</sub> *p*-form symmetries (with anomalies): Dijkgraaf-Witten theory (with twist) N ∫ b<sub>p+1</sub> ∧ c<sub>d-p</sub>.
- Fusion category symmetries: Turaev-Viro TQFT.

The topological defects  $\mathbf{Q}_p$  of the SymTFT form the Drinfeld center  $\mathcal{Z}(\mathcal{S})$ .

# SymTFT ("Sandwich")



- \$\mathcal{B}\_S^{sym}\$ = Symmetry boundary (gapped boundary condition): condense a maximal number of mutually local topological defects. The remaining defects generate \$\mathcal{S}\$. These are classified by Lagrangian algebras of \$\mathcal{Z}(\mathcal{S})\$.
- \$\mathcal{B}\_{\mathcal{I}}^{phys}\$ = Physical boundary:
   condense a subset of mutually local defects (braiding trivially with each other, but not necessarily maximal)

The interval compactification gives  $\mathfrak{T}$  with symmetry S.

# SymTFT: Recovering S



 $\mathcal{B}^{\text{sym}}_{\mathcal{S}}$ : gapped (topological) boundary conditions of the SymTFT:

 $\Rightarrow$  Determined by a maximal set of mutually local topological defects, which form a Lagrangian algebra

 $\mathbf{Q}_p$  with Neumann b.c.s give rise to symmetry generators S.

# Linking of Topological Defects is Action of Symmetry



# [Bhardwaj, SSN '23]:

The generalized charges are the topological defects **Q** of the SymTFT, which condense on both boundaries.

- # **Q**' that have Neumann b.c. on the  $\mathcal{B}_{S}^{sym}$  boundary are the generators of the symmetry S.
- # Linking of Q and Q' determines the charge under the symmetry.

# SymTFT: Non-genuine Operators



 $\mathcal{O}$  is attached to a topological line, i.e. a non-genuine operator.

## SymTFT for finite groups G

#### Drinfeld Center $\mathcal{Z}(\operatorname{Vec}_G)$ :

For (non-abelian)  $G^{(0)}$  the symmetry category is Vec<sub>*G*</sub>, and elements of the center are

$$\mathbf{Q}^{[g],\mathbf{R}},$$

- conjugacy classes [g]
- representations of the stabilizer group  $H_g$  of  $g \in [g]$ .

#### Lagrangian algebras:

Gapped boundary conditions are given in terms of Lagrangian algebras:

$$\mathcal{L} = \oplus n_a \mathbf{Q}_1^a$$

such that

$$\dim(\mathcal{L}) \equiv \sum n_a \dim(\mathbf{Q}_1^a) = \dim(\mathcal{S}), \quad \text{where } \dim(\mathcal{S})^2 = \sum \dim(\mathbf{Q}_1)^2$$
$$n_a n_b \leq \sum_{c \in \mathcal{L}} N_{ab}^c n_c$$
$$\frac{\sum_{b \in \mathcal{Z}} S^{ab} n^b}{\sum_{b \in \mathcal{Z}} S^{1b} n^b} = \text{ cyclotomic integer for all } a \in \mathcal{Z}$$

#### **Example:** $S_3$ in 2d

 $S_3 = \mathbb{Z}_3 \rtimes \mathbb{Z}_2 = \{ \text{id}, a, a^2, b, ab, a^2b \}$ **Irreps:** + (trivial), - (sign), E (2d representations). **Conjugacy classes:** 

[id], 
$$H_{id} = S_3$$
  
[a],  $H_a = \{id, a, a^2\} = \mathbb{Z}_3$   
[b],  $H_b = \{id, b\} = \mathbb{Z}_2$ .

 $H_a = \mathbb{Z}_3$  irreps: labeled by  $1, \omega = e^{2\pi i/3}, \omega^2$ .  $H_b = \mathbb{Z}_2$  irreps: labelled by  $\pm$ .

The lines in  $\mathcal{Z}(\operatorname{Vec}_{S_3})$ 

$$\mathbf{Q}_{1}^{([id],\mathbf{R})} : \mathbf{R} = 1, 1_{-}, E 
 \mathbf{Q}_{1}^{([a],\mathbf{R})} : \mathbf{R} = 1, \omega, \omega^{2} 
 \mathbf{Q}_{1}^{([b],\mathbf{R})} : \mathbf{R} = \pm.$$

The topological b.c.s (Lagrangian algebras) are

 $\mathcal{L}_{S_3} = ([\mathrm{id}], 1) \oplus ([\mathrm{id}], 1_-) \oplus 2([\mathrm{id}], E) \qquad \mathcal{L}_{\operatorname{Rep}(S_3)'} = ([\mathrm{id}], 1) \oplus ([\mathrm{id}], 1_-) \oplus 2([a], 1) \\ \mathcal{L}_{S'_3} = ([\mathrm{id}], 1) \oplus ([\mathrm{id}], E) \oplus ([b], 1) \qquad \mathcal{L}_{\operatorname{Rep}(S_3)} = ([\mathrm{id}], 1) \oplus ([a], 1) \oplus ([b], 1)$ 

Multiplet structure:

- $S_3: \mathbf{Q}_1^{([id],\mathbf{R})}$  are untwisted;  $\mathbf{Q}_1^{([a])}$  and  $\mathbf{Q}_1^{([b])}$  are twisted sector reps
- Rep(S<sub>3</sub>): Q<sub>1</sub><sup>([id],R)</sup> are twisted (attached to R lines).
   Q<sub>1</sub><sup>([a],1)</sup> contains two operators:



This can be derived from the action of the symmetry on defects in the SymTFT:

# SymTFT

- Topological defects are the generalized charges
- Gauging *S* corresponds in the SymTFT to changing the symmetry b.c..
- If S and S' that are related by gauging, they have the same SymTFT.
- SymTFT exists for any higher-fusion category: the topological defects are the so-called Drinfeld Center. For 2-fusion categories see [Kong et al][Bhardwaj, SSN]

$$\mathfrak{Z}(\operatorname{2Vec}_{G}^{\omega}) = \bigoplus_{[g]} \operatorname{2Rep}^{\omega_{g}}(H_{g})$$

 Recently: SymTFT or SymT for continuous abelian and non-abelian symmetries [Antinucci, Benini][Apruzzi, Bedogna, Dondi][Bonetti, del Zotto, Minasian][Brennan, Sun]. This can be important for higher-group symmetries which mix continuous and finite symmetries.

Gapped S-Symmetric Phases

Classification of gapped *S*-symmetric phases

Gapped phases are obtained by choosing  $\mathcal{B}^{phys}$  to be also a topological (gapped) boundary condition.



In the SymTFT:

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gapped b.c.s \leftrightarrow Lagrangian algebras \mathcal{L} of the Drinfeld Center \mathcal{Z}(\mathcal{S}).
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Fix symmetry boundary to be  $\mathcal{L}_{\mathcal{S}}$ :

A gapped *S*-symmetric phase is given by a Lagrangian algebra  $\mathcal{L}$ :

- SPT (symmetry protected topological phase):  $\mathcal{L} \cap \mathcal{L}_{\mathcal{S}} = 1$ *Cannot deform to the trivial theory without breaking symmetry*
- SSB (spontaneous symmetry breaking):  $\mathcal{L} \cap \mathcal{L}_{\mathcal{S}} \supseteq 1$

# of vacua = # of topological defects that condense on both boundaries, which are also the order parameters.

# Gapped Phases with Group-Symmetry in 2d

Landau type classification:  $S = \text{Vec}_G$  then

- *H* < *G* the unbroken symmetry
- $\omega \in H^2(H, U(1))$  cocycle/SPT phase.

#### **Example:** $G = \mathbb{Z}_4$

The SymTFT is a 3d topological order ( $\mathbb{Z}_4$  Dijkgraaf-Witten-theory)  $\int b_1 \cup \delta c_1$ , with anyons  $e = e^{i \int b_1}$  and  $m = e^{i \int c_1}$ :

Topological defects (anyons):  $(e^i, m^j), e^4 = 1, m^4 = 1.$ 

e and m braid non-trivially. The Lagrangian, i.e. maximal, trivially braiding subsets of anyons are:

- 1.  $\mathcal{L}_{\text{Dir}} = 1 \oplus e \oplus e^2 \oplus e^3$
- 2.  $\mathcal{L}_{\text{Neu}} = 1 \oplus m \oplus m^2 \oplus m^3$
- 3.  $\mathcal{L}_{\operatorname{Neu}(\mathbb{Z}_2)} = 1 \oplus e^2 \oplus m^2 \oplus e^2 m^2$

The symmetry boundary is  $\mathcal{B}_{\mathcal{S}=\mathbb{Z}_4}^{sym} = \mathcal{L}_{Dir}$ .

## Gapped Phases with $\mathbb{Z}_4$ Symmetry via the SymTFT



## Gapped Phases with Non-Invertible Symmetry: Ising Category

The SymTFT is Ising  $\boxtimes \overline{\text{Ising}}$  and there is a unique subset of mutually local anyons (gapped b.c./Lagrangian algebra):

Resulting in 3=2+1 vacua, with the symmetry acting as



 $(\sigma, \mu)$ 

Unique Ising symmetric gapped phase: SSB phase with 3 vacua.

# Gapped Phases with Non-Invertible Symmetry: $Rep(S_3)$

Repeating a similar SymTFT analysis now for the non-invertible symmetry  $\text{Rep}(S_3)$  (1, 1<sub>-</sub>, *E* irreps) we find four gapped phases:

Rep $(S_3)$ trivial phase	$\mathbb{Z}_2$ SSB	$\operatorname{Rep}(S_3)/\mathbb{Z}_2\operatorname{SSB}$	$Rep(S_3) SSB$	
$v_0$ $\sum \operatorname{Rep}(S_3)$	$v_1$ $v_2$ 1_	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	

Gapless S-Symmetric Phases and Phase Transitions

## Phase Transitions

Consider two gapped *S*-symmetric phases, how do we determine the *S*-symmetric phase transitions?

- Gapped phase: determined by Lagrangians  $\mathcal{L}_i$
- Gapless phase transition between  $\mathcal{L}_1$  and  $\mathcal{L}_2$  is characterized by

$$\mathcal{A}_{12} = \mathcal{L}_1 \cap \mathcal{L}_2$$

i.e., a non-maximal set of mutually local topological defects.

One can also tune and consider ∩<sub>i</sub>L<sub>i</sub> for any subset of Lagrangian algebras.

## Gapless Phases and Phase Transitions

Now that we have all gapped phases, we expect to also be able to study transitions between gapped phases.

Requires promoting the SymTFT sandwich to a SymTFT **club-sandwich**.

The first step is to consider interfaces between topological orders:  $\mathfrak{Z}(S)$  and  $\mathfrak{Z}'$ : **"club quiche"** 

This constructs S-symmetric boundary conditions of the topological oder 3'.

# Condensable Algebras

Such interfaces between topological orders are determined by condensable algebras  $\mathcal{A}$  in  $\mathcal{Z}(\mathcal{S})$ :

- Example:  $\mathcal{A}$  is Lagrangian:  $\mathcal{Z}'$  is trivial
- A is not maximal, then Z(S)/A is a non-trivial topological order Z(S') for a reduced symmetry S'.
- Equivalently, condensable algebras can be determined as Lagrangian algebras of the folded topological order  $\mathcal{Z}(S) \boxtimes \overline{\mathcal{Z}(S')}$ .

## Club Sandwich and Phase Transitions

Consider the club quiche S, S' with condensable algebra A.

We can close it off with a physical boundary condition on the RHS, resulting in a "club sandwich". The club quiche is a device to map S'-symmetric b.c. of a topological order to S-symmetric theories:



Concretely this can be used to make new phase transitions out of old:

 $\Rightarrow$  Kennedy-Tasaki-transformations: S'-symmetric to S-symmetric theories

Start with an S'-symmetric theory and its SymTFT:



Attaching the S to S' club quiche results in



Here the physical *S*-symmetric boundary  $\mathfrak{T}^{S}$  is obtained by collapsing the second interval:

#### New Phase Transitions from Old

Consider an input phase transition between S'-symmetric gapped phases

$$\mathfrak{T}_1^{\mathcal{S}'} \longleftarrow \mathcal{C}_{12}^{\mathcal{S}'} \longrightarrow \mathfrak{T}_2^{\mathcal{S}'}$$

The club sandwich produces a phase transition for the symmetry S, which is the KT transformation of the initial input phase transition:

$$\mathfrak{T}_1^{\mathcal{S}} \longleftarrow \mathcal{C}_{12}^{\mathcal{S}} \longrightarrow \mathfrak{T}_2^{\mathcal{S}}$$

#### Club Quiches: $\mathbb{Z}_4$

The condensable, not Lagrangian, algebras for  $\mathcal{Z}(\mathbb{Z}_4)$  are

$$\mathcal{A}_1 = 1, \ \mathcal{A}_{e^2} = 1 \oplus e^2 \ \mathcal{A}_{m^2} = 1 \oplus m^2, \ \mathcal{A}_{e^2 m^2} = 1 \oplus e^2 m^2.$$

The reduced topological orders are determined from the club quiches:



Note:  $\mathbb{Z}_4$  acts by line operators on the boundary of  $\mathcal{Z}(\mathcal{S}')$ . E.g. in the first example it acts by permuting the two boundary conditions:  $\mathcal{L}_e \oplus \mathcal{L}_e$ .

#### $\mathbb{Z}_4$ Phase transitions from $\mathbb{Z}_2$

For the condensable algebra  $A_{e^2}$  the club quiche is:



This implies is the *S*-symmetric gapless phase



#### $\mathbb{Z}_4$ Phase transitions from $\mathbb{Z}_2$

E.g. for  $S' = \mathbb{Z}_2$  the Ising transition, this constructs a  $\mathbb{Z}_4$ -symmetric transition



which models the transition between  $\mathbb{Z}_4$  and  $\mathbb{Z}_2$  SSB phases for  $\mathbb{Z}_4$  symmetry. Similarly we find for the  $\mathbb{Z}_4$  trivial and  $\mathbb{Z}_2$  SSB transition of  $\mathbb{Z}_4$ :

$$\mathfrak{T}^{\mathcal{S}} = \operatorname{Ising} \bigcirc \mathbb{Z}_4$$

# Club Quiches: $\operatorname{Rep}(S_3)$

The non-Lagrangian, condensable algebras are

$$\mathcal{A}_{1_{-}} = 1 \oplus 1_{-}, \quad \mathcal{A}_{E} = 1 \oplus E, \quad \mathcal{A}_{a_{1}} = 1 \oplus a_{1}.$$

And the reduced topological orders are





## $\operatorname{Rep}(S_3)$ Phase transitions from $\mathbb{Z}_3$

For  $\text{Rep}(S_3)$  we have input transitions that are  $\mathbb{Z}_3$ -symmetric, which is the 3-state Potts model.

The  $\operatorname{Rep}(S_3)/\mathbb{Z}_2$  SSB –  $\operatorname{Rep}(S_3)$  SSB transition is obtained to be:

$$\mathfrak{T}^{\mathcal{S}} = E \bigcap \operatorname{Ising}_{e} \oplus (\operatorname{Ising}_{m})_{\sqrt{2}} \stackrel{\bullet}{\bigcirc} 1_{-}$$

where the  $\operatorname{Rep}(S_3)$  acts as

$$1_{-} = 1_{ee} \oplus \eta_{mm}, \quad E = S_{em} \oplus S_{me} \oplus \eta_{ee}$$

For the full list of such transitions see [Bhardwaj, Bottini, Pajer, SSN]

## Phase diagram for $\text{Rep}(S_3)$ in 2d

**Rep**( $S_3$ )= {1,  $\sigma$ , E}. Both from continuum and from spin-chain models [Bhardwaj, Pajer, SSN, Warman][Bhardwaj, Bottini, SSN, Tiwari][Chatterjee, Aksoy, Wen]



A Roadmap of Phases with Symmetry  $\mathcal{S}$ 

- Construct the SymTFT and Drinfeld center  $\mathcal{Z}(S)$
- Determine all condensable algebras and the associated reduced topological orders Z(S')
- In particular: L<sub>1</sub> and L<sub>2</sub> are Lagrangians, that give rise to gapped phases, then the gapless phase between these is given by A<sub>12</sub> = L<sub>1</sub> ∩ L<sub>2</sub>.

More generally, there is a partial order on condensable algebras of  $\mathcal{Z}(S)$ : and thus... a Hasse diagram.

Hasse diagram for Phases of  $\mathbb{Z}_4$ 



• gSPT (gapless SPT):  $\mathcal{A} \cap \mathcal{L}_{\mathcal{S}} = 1$ 

- igSPT (intrinsically gapless SPT): gSPT that cannot be deformed to an SPT
- gSSB (gapless SSB):  $\mathcal{A} \cap \mathcal{L}_{\mathcal{S}} \supseteq 1$
- igSSB (intrinsically gapless SSB): gSSB with *n* universes, that cannot be deformed to an SSB with *n* vacua

For  $\mathbb{Z}_4$ : igSPT was found in [Wen, Potter]. First non-invertible igSPT: Rep $(D_{8n})$  [Bhardwaj, Pajer, SSN, Warman].

# Hasse Diagram for $\mathcal{Z}(\operatorname{Rep}(S_3))$



# Hasse Diagram for $\mathcal{Z}(\operatorname{Rep}(D_8))$

Dim	Condensable Algebra of $\mathcal{Z}(Rep(D_8))$ (with label)		Reduced TO $\mathcal{S}'$	Phase for $\mathcal{S} = Rep(D_8)$	n
1	1	(V.0)	S	$Rep(D_8)$ -gapless	1
2	$1 \oplus e_{RG}$	(V.1)	$\mathbb{Z}_4$	gSPT	1
2	$1 \oplus e_{GB}$	(V.2)	$\mathbb{Z}_4$	gSPT	1
2	$1 \oplus e_{RB}$	(V.3)	$\mathbb{Z}_4$	gSPT	1
2	$1 \oplus e_R$	(V.4)	$\mathbb{Z}_2  imes \mathbb{Z}_2$	gSPT	1
2	$1 \oplus e_G$	(V.5)	$\mathbb{Z}_2  imes \mathbb{Z}_2$	gSPT	1
2	$1 \oplus e_B$	(V.6)	$\mathbb{Z}_2  imes \mathbb{Z}_2$	$_{\rm gSPT}$	1
2	$1 \oplus e_{RGB}$	(V.7)	$\mathbb{Z}_2 \times \mathbb{Z}_2$	gSSB	2
4	$1 \oplus e_{GB} \oplus e_{RB} \oplus e_{RG}$	(V.8)	$\mathbb{Z}_2^\omega$	igSPT	1
4	$1 \oplus e_R \oplus m_{GB}$	(V.9)	$\mathbb{Z}_2$	gSSB	2
4	$1 \oplus e_R \oplus m_G$	(V.10)	$\mathbb{Z}_2$	$_{\rm gSPT}$	1
4	$1\oplus e_R\oplus m_B$	(V.11)	$\mathbb{Z}_2$	gSPT	1
4	$1\oplus e_G\oplus m_{RB}$	(V.12)	$\mathbb{Z}_2$	gSSB	2
4	$1\oplus e_G\oplus m_R$	(V.13)	$\mathbb{Z}_2$	gSPT	1
4	$1\oplus e_G\oplus m_B$	(V.14)	$\mathbb{Z}_2$	gSPT	1
4	$1\oplus e_B\oplus m_{RG}$	(V.15)	$\mathbb{Z}_2$	gSSB	2
4	$1\oplus e_B\oplus m_R$	(V.16)	$\mathbb{Z}_2$	gSPT	1
4	$1\oplus e_B\oplus m_G$	(V.17)	$\mathbb{Z}_2$	gSPT	1
4	$1 \oplus e_{RGB} \oplus m_{RG}$	(V.18)	$\mathbb{Z}_2$	igSSB	3
4	$1 \oplus e_{RGB} \oplus m_{GB}$	(V.19)	$\mathbb{Z}_2$	igSSB	3
4	$1 \oplus e_{RGB} \oplus m_{RB}$	(V.20)	$\mathbb{Z}_2$	igSSB	3
4	$1\oplus e_G\oplus e_R\oplus e_{RG}$	(V.21)	$\mathbb{Z}_2$	gSPT	1
4	$1 \oplus e_B \oplus e_G \oplus e_{GB}$	(V.22)	$\mathbb{Z}_2$	gSPT	1
4	$1\oplus e_B\oplus e_R\oplus e_{RB}$	(V.23)	$\mathbb{Z}_2$	gSPT	1
4	$1 \oplus e_{GB} \oplus e_R \oplus e_{RGB}$	(V.24)	$\mathbb{Z}_2$	gSSB	2
4	$1 \oplus e_G \oplus e_{RB} \oplus e_{RGB}$	(V.25)	$\mathbb{Z}_2$	gSSB	2
4	$1\oplus e_B\oplus e_{RG}\oplus e_{RGB}$	(V.26)	$\mathbb{Z}_2$	gSSB	2
8	$1 \oplus e_G \oplus e_R \oplus e_{RG} \oplus 2m_B$	(V.27)	trivial	SPT	1
8	$1 \oplus e_B \oplus e_{RG} \oplus e_{RGB} \oplus 2m_{RG}$	(V.28)	trivial	SSB	4
8	$1 \oplus e_{GB} \oplus e_R \oplus e_{RGB} \oplus 2m_{GB}$	(V.29)	trivial	SSB	4
8	$1 \oplus e_B \oplus e_R \oplus e_{RB} \oplus 2m_G$	(V.30)	trivial	SPT	1
8	$1 \oplus e_G \oplus e_{RB} \oplus e_{RGB} \oplus 2m_{RB}$	(V.31)	trivial	SSB	4
8	$1 \oplus e_B \oplus e_G \oplus e_{GB} \oplus 2m_R$	(V.32)	trivial	SPT	1
8	$1 \oplus e_{RGB} \oplus m_{GB} \oplus m_{RB} \oplus m_{RG}$	(V.33)	trivial	$\mathcal{L}_{\mathcal{S}}$ and SSB	5
8	$1 \oplus e_B \oplus m_G \oplus m_R \oplus m_{RG}$	(V.34)	trivial	SSB	2
8	$1\oplus e_R\oplus m_B\oplus m_G\oplus m_{GB}$	(V.35)	trivial	SSB	2
8	$1\oplus e_G\oplus m_B\oplus m_R\oplus m_{RB}$	(V.36)	trivial	SSB	2
8	$\Big  1 \oplus e_B \oplus e_G \oplus e_{GB} \oplus e_R \oplus e_{RB} \oplus e_{RG} \oplus e_{RGB}$	(V.37)	trivial	SSB	2



# **Classification of Phases**

Two key distinctions:

- Gapped versus gapless: energy gap  $\Delta > 0$  or  $\Delta = 0$
- SPT-ness (gapless or gapped): symmetry gap Δ<sub>S</sub> > 0 or Δ<sub>S</sub> = 0. The symmetry gap Δ<sub>S</sub> > 0 means, that not all charges of S are realized in the IR phase, i.e. some S-charges are confined. They are realized as excited states, that enter the spectrum at Δ<sub>S</sub>.

Note:  $\Delta_{\mathcal{S}} \geq \Delta$ .

Number of universes/vacua: n, which is 1 for SPTs (gapless or gapped) and n > 1 for SSB.

Finally: whether or not an *S*-symmetric phase can be deformed to another *S*-symmetric phase may imply the symmetry is protected ("symmetry protected criticality"). This is the distinction between gSPT and igSPT (intrinsic) and gSSB and igSSB.

## **Classification of Phases**

Phase	Physical characterization	Energy gap $\Delta$ Symmetry gap $\Delta_S$	Condition on A in (1+1)d	n
SPT	Gapped system with energy gap $\Delta > 0$ . IR: trivial TQFT. <i>S</i> -charges confined in IR appear at an energy scale (symmetry gap) $\Delta_S \ge \Delta > 0$ . Order parameters (OPs) are all of string type (i.e. in twisted-sectors for <i>S</i> ).	$\begin{array}{c} \Delta > 0\\ \Delta_{\mathcal{S}} > 0 \end{array}$	$\mathcal{A} = \mathcal{L}$ $\mathcal{A} \cap \mathcal{L}_{\mathcal{S}} = 1$	1
gSPT	Gapless system with $\Delta = 0$ and a unique ground state on circle. Not all charges of $S$ appear in IR. The confined charges appear at a symmetry gap $\Delta_S > 0$ . OPs are all of string type.	$\begin{aligned} \Delta &= 0\\ \Delta_{\mathcal{S}} > 0 \end{aligned}$	$\mathcal{A}  eq \mathcal{L}$ $\mathcal{A} \cap \mathcal{L}_{\mathcal{S}} = 1$	1
igSPT	A gSPT phase that cannot be deformed to a gapped SPT phase, because it has confined charges not exhibited by any of the gapped SPTs.	$\begin{aligned} \Delta &= 0\\ \Delta_{\mathcal{S}} > 0 \end{aligned}$	$\mathcal{A} \neq \mathcal{L}$ $\mathcal{A} \cap \mathcal{L}_{\mathcal{S}} = 1$	1
SSB	Gapped system with <i>n</i> degenerate vacua (labeled by <i>i</i> ) permuted by <i>S</i> action. Each vacuum <i>i</i> has energy gap $\Delta^{(i)} > 0$ . Going from <i>i</i> to <i>j</i> costs $\Delta^{(ij)} > 0$ . Not all charges realized in IR $\implies$ symmetry gap $\Delta_S > 0$ . OPs are multiplets with string and non-string type.	$\Delta^{(i)} > 0$ $\Delta^{(ij)} > 0$ $\Delta_{\mathcal{S}} > 0$	$\mathcal{A} = \mathcal{L}$ $\mathcal{A} \cap \mathcal{L}_{\mathcal{S}} \supsetneq 1$	> 1
gSSB	Gapless system with <i>n</i> degenerate gapless universes labeled by <i>i</i> . Each universe has a unique ground state on a circle. Going from <i>i</i> and <i>j</i> costs $\Delta^{(ij)} > 0$ . Not all charges realized in IR $\implies$ symmetry gap $\Delta_S > 0$ . OPs string and non-string type	$\Delta^{(i)} = 0$ $\Delta^{(ij)} > 0$ $\Delta_{\mathcal{S}} > 0$	$\mathcal{A} \neq \mathcal{L}$ $\mathcal{A} \cap \mathcal{L}_{\mathcal{S}} \supsetneq 1$	> 1
igSSB	A gSSB phase with $n$ universes that cannot be deformed to a gapped SSB phase with $n$ vacua.	$\Delta^{(i)} = 0$ $\Delta^{(ij)} > 0$ $\Delta_{\mathcal{S}} > 0$	$\mathcal{A} \neq \mathcal{L}$ $\mathcal{A} \cap \mathcal{L}_{\mathcal{S}} \supsetneq 1$	>1

 $\Delta$  is the energy gap.  $\Delta_S$  the symmetry gap: not all *S*-charges are realized in the IR. The missing/confined charges are realized by excited states. The symmetry gap  $\Delta_S$ , is the energy of the first excited state carrying one of the confined charges. The symmetry becomes less faithful going downwards.

A Roadmap of Phases with Symmetry  $\mathcal{S}$ 

- Construct the SymTFT and its topological defects.
- Determine all condensable algebras of topological defects.
- In particular: L<sub>1</sub> and L<sub>2</sub> are Lagrangians, that give rise to gapped phases, then the gapless phase between these is given by A<sub>12</sub> = L<sub>1</sub> ∩ L<sub>2</sub>.
- SymTFT encodes the order parameters and symmetry implementation.

Results in new phases with non-invertible symmetries, e.g. found non-invertible SPTs and igSPTs for  $\text{Rep}(D_{8n})$ .

Crucially, this is applicable to any fusion category symmetry.

# Conclusions and Open Questions

The field of categorical symmetries has seen enormous progress in the last years, in string/high-energy theory, condensed matter and math, with lots of synergies.

In view of the applications to phases of matter, there are many open questions, e.g.:

- 1. Classification of symmetric phases: 3d and 4d where the full structure of higher fusion categories will need to be tapped in [wip Oxford]
- 2. gSPT, igSPT, gSSB, igSSB phases in higher dimensions: QFT examples?
  [Antinucci, Copetti, SSN, wip]
  gSPTs in 4d [Dumitrescu, Hsin]
- 3. Extension of this framework of SymTFT, gapped, gapless phases to non-semisimple categories, and continuous symmetries.

#### https://sites.google.com/view/symmetries2024/home



#### https://www.kitp.ucsb.edu/activities/gensym25

