

# Homogeneous Universe + Thermal History

R-W metric (flat):  $ds^2 = -dt^2 + a^2(t) d\vec{x}^2$

Conformal time:  $d\eta \equiv dt/a$

$$\Rightarrow ds^2 = a^2(\eta) (-d\eta^2 + d\vec{x}^2)$$

Perfect fluid:  $P = w\rho$

$w = 0 \Leftrightarrow$  matter (dust)

$w = 1/3 \Leftrightarrow$  radiation

$w = -1 \Leftrightarrow$  vacuum energy

Christoffel symbol for flat R-W metric: ( $\dot{a} \equiv \frac{da}{dt}$  here)

$$\Gamma_{\mu\nu}^{\alpha} = \frac{g^{\alpha\beta}}{2} (\partial_{\mu} g_{\beta\nu} + \partial_{\nu} g_{\beta\mu} - \partial_{\beta} g_{\mu\nu})$$

$$\Rightarrow \Gamma_{00}^0 = 0 \quad \text{and} \quad \Gamma_{0i}^0 = 0 = \Gamma_{i0}^0$$

$$\Gamma_{ij}^0 = \delta_{ij} \dot{a} a$$

$$\Gamma_{00}^i = 0$$

$$\Gamma_{0j}^i = \left(\frac{\dot{a}}{a}\right) \delta_{ij}$$

$$\Gamma_{jk}^i = 0$$

Recall covariant stress-energy conservation:  $\nabla_{\mu} T^{\mu\nu} = 0$

$$T^{\mu}_{\nu} = g_{\nu\alpha} T^{\mu\alpha} = (p + \rho) U^{\mu} U_{\nu} + p \delta^{\mu}_{\nu}$$

$\rho = \text{rest-frame } \uparrow \text{ energy density}$   
 $P = \text{pressure}$

Evaluate  $v=0$  component of  $\nabla_\mu T^\mu_\nu = 0$  for flat R-W:

$$\Rightarrow \partial_\mu T^\mu_0 + \Gamma^\mu_{\alpha\mu} T^\alpha_0 - \Gamma^\alpha_{0\mu} T^\mu_\alpha = 0$$

$$\Rightarrow -\frac{d\rho}{dt} - 3\frac{\dot{a}}{a}\rho - \Gamma^0_{00} T^0_0 - \Gamma^j_{0i} T^i_j = 0$$

$\Gamma^0_{00} = 0$        $\Gamma^j_{0i} = \frac{\dot{a}}{a}\delta^j_i$        $P\delta^i_j$

$$\Rightarrow \boxed{\frac{d\rho}{dt} + 3\frac{\dot{a}}{a}(\rho + P) = 0} \quad \text{Continuity Eq.}$$

$$\Rightarrow \frac{d\rho}{dt} + 3H(\rho + P) = 0$$

$\Rightarrow$  Matter:  $\rho_M \propto a^{-3}$   
 Rad.:  $\rho_R \propto a^{-4}$   
 Vacuum:  $\rho_\Lambda \propto a^0$

We also have Einstein's eq.:  $G_{\mu\nu} = 8\pi G T_{\mu\nu}$

Ricci tensor:

$$R_{00} = -3\left(\frac{\ddot{a}}{a}\right)$$

$$R_{0i} = 0$$

$$R_{ij} = \delta_{ij}(2\dot{a}^2 + \ddot{a}a)$$

$$\Rightarrow R_{ii} \text{ scalar: } R = R^{\mu}_{\mu} = 3\left(\frac{\ddot{a}}{a}\right) + \frac{1}{a^2} \delta^{ij} (2\dot{a}^2 + \ddot{a}a) \delta_{ij}$$

$$\Rightarrow R = 6\left(\frac{\ddot{a}}{a} + \left(\frac{\dot{a}}{a}\right)^2\right)$$

$\Rightarrow$  Einstein tensor: 00 component

$$G_{00} = R_{00} - \frac{R}{2} g_{00} = -\frac{3\ddot{a}}{a} + \frac{3\ddot{a}}{a} + 3\left(\frac{\dot{a}}{a}\right)^2 = 3\left(\frac{\dot{a}}{a}\right)^2$$

$\Rightarrow$  Einstein eq.:  $G_{00} = 8\pi G T_{00}$

$$\Rightarrow 3\left(\frac{\dot{a}}{a}\right)^2 = 8\pi G \rho$$

Define the Hubble parameter:  $H(t) \equiv \frac{\dot{a}}{a}$

$$\Rightarrow H^2(t) = \frac{8\pi G}{3} \rho(t)$$

Friedmann Equation (1922)

Also have  $ij$  components of Einstein:

$$G_{ij} = 8\pi G T_{ij}$$

$$\Rightarrow -\delta_{ij} (2\dot{a}a + \ddot{a}a^2) = 8\pi G T_{ij}$$

$$= 8\pi G g_{ik} T^k_j$$

$$= 8\pi G a^2 \delta_{ik} \rho \delta^k_j$$

$$= 8\pi G a^2 \rho \delta_{ij}$$

$$\Rightarrow \frac{\ddot{a}}{a} + \frac{1}{2} \left(\frac{\dot{a}}{a}\right)^2 = -4\pi G \rho$$

Now use first Friedmann eq.:  $\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3} \rho$

$$\Rightarrow \boxed{\frac{\ddot{a}}{a} = -\frac{4\pi G}{3} (\rho + 3p)}$$

Second Friedmann Eq. (sometimes called Raychaudhuri Eq.)

Alt. derivation:

differentiate first Friedmann Eq. w.r.t. time and combine w/ continuity eq.

Def.: critical density  $\rho_c = \frac{3H^2}{8\pi G}$  ( $\rho_{c,0} \equiv \frac{3H_0^2}{8\pi G}$ )

$\Rightarrow \rho = \rho_c \rightarrow$  flat universe ( $k=0$ ), as we will generally assume

Then  $\Omega_m \equiv \frac{\rho_m}{\rho_c}$  (usually understood to be defined at  $z=0$ , today)

$$\left( \Uparrow \Omega_{m,0} \equiv \frac{\rho_{m,0}}{\rho_{c,0}} = \Omega_m \right)$$

Similarly for  $\Omega_r, \Omega_\Lambda$ , etc.

The first Friedmann eq. can then be written

$$H^2(a) = H_0^2 \left( \Omega_r a^{-4} + \Omega_m a^{-3} + \Omega_\Lambda (+ \Omega_k a^{-2} + \Omega_v(a) + \dots) \right)$$

Obs. indicate:  $\Omega_m \approx 0.3$ ,  $\Omega_\Lambda \approx 0.7$ ,  $\Omega_r \approx 6 \times 10^{-5}$

$\rightarrow \rho_m = \rho_r$  at  $z_{eq} \approx 3400$

and  $\rho_\Lambda = \rho_m$  at  $z_{\Lambda-m} \approx 0.3$

# Evolution of Photon Bath

Recall geodesic eq. :  $\frac{d^2 x^\alpha}{d\lambda^2} + \Gamma_{\mu\nu}^\alpha \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda} = 0$

Consider massless particle (photon) in flat, expanding R-W metric.

How does this particle's energy change as the universe expands?

$$p^\alpha = (E, \vec{p}) \quad 4\text{-momentum}$$

Use this to implicitly define parameter  $\lambda$ :

$$p^\alpha = \frac{dx^\alpha}{d\lambda}$$

Eliminate  $\lambda$  via noting:  $\frac{d}{d\lambda} = \frac{dx^0}{d\lambda} \frac{d}{dx^0} = E \frac{d}{dt}$

Evaluate 0-component of geodesic eq.:

$$E \frac{dE}{dt} = -\Gamma_{ij}^0 p^i p^j$$

(I used  $\Gamma_{00}^0 = 0$  and  $\Gamma_{0i}^0 = 0 = \Gamma_{i0}^0$ )

Now use  $\Gamma_{ij}^0 = \delta_{ij} \dot{a}$

$$\Rightarrow E \frac{dE}{dt} = -\delta_{ij} \dot{a} p^i p^j$$

Massless particle:  $g_{\mu\nu} p^\mu p^\nu = 0$   
( $ds^2 = 0$ )

$$\Rightarrow -E^2 + \delta_{ij} a^2 p^i p^j = 0$$

$\Rightarrow$  Plug in above:

$$\frac{dE}{dt} + \frac{\dot{a}}{a} E = 0$$

$$\Rightarrow \frac{\dot{E}}{E} = -\frac{\dot{a}}{a} \Rightarrow \ln E = -\ln a + \text{const.}$$

$$\Rightarrow \boxed{E(a) \propto \frac{1}{a}}$$

$\Rightarrow$  Massless particles lose energy as the universe expands

Why? Handwaving:  $E \propto \lambda^{-1}$

Physical wavelength:  $\lambda \propto a \Rightarrow$  light wave is "stretched" as the universe expands

$$\Rightarrow E \propto a^{-1}$$

Implization: Consider photon emitted at frequency  $\nu_{em}$  ( $E = h\nu$ )

⇒ observed at lower frequency  $\nu_{obs}$

$$\boxed{\frac{\nu_{obs}}{\nu_{em}} = \frac{a_{em}}{a_{obs}}}$$

Cosmological  
Redshift ( $z_{em}$ )

$$z_{em} \equiv \frac{\lambda_{obs} - \lambda_{em}}{\lambda_{em}} = \frac{\lambda_{obs}}{\lambda_{em}} - 1 = \frac{\nu_{em}}{\nu_{obs}} - 1$$

$\Rightarrow 1 + z_{em} = \frac{\nu_{em}}{\nu_{obs}}$

So if  $a_{obs} = 1$  (photon observed today)

$$\Rightarrow \boxed{a_{em} = \frac{1}{1 + z_{em}}}$$

⇒ Link between redshift of photon obs. today and scale factor at time of its emission

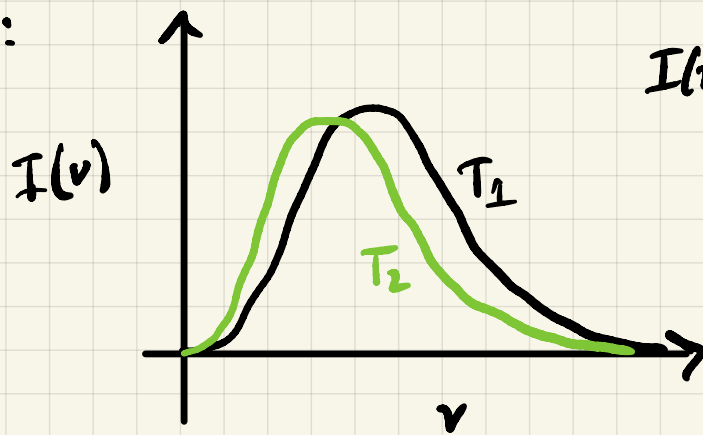
Every time we measure a redshift, we measure the curvature of spacetime!

Implication for photon bath:

if we have a Planck (blackbody) photon distribution at some (early) time at temperature  $T = T_1$ , i.e., :

$$f(p) = \frac{1}{e^{p/(kT_1)} - 1} = \frac{1}{e^{hw/(kT_1)} - 1} \quad (\mu = 0 \text{ for photons})$$

then at a later time we will still have a blackbody distribution, but at  $T_2 = \frac{T_1}{a}$ , because the energy of each of the photons decreases by exactly the same factor:



$$I(\nu) = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu/kT} - 1}$$

(Note: processes that inject energy or entropy into the bath can change the shape of the photon distribution - these are "CMB spectral distortions" - see final lecture.)

$$\Rightarrow T \propto \frac{1}{a} \propto (1+z)$$

Here  $T$  is the temperature of the photons, conventionally taken to define the "thermal bath" in the universe.

COBE-FIRAS (1990s):  $T_{\text{CMB}}(z=0) = 2.726 \pm 0.001 \text{ K}$

$\Rightarrow$  foundational measurement of physical cosmology!

$\Rightarrow$  tells us how much cosmic expansion has occurred since recombination

$\Rightarrow$  determines  $\Omega_r$  directly via  $\rho_r = \sigma T^4$

FIRAS data also show that  $I(\nu)$  indeed is perfectly consistent with a blackbody (most perfect blackbody known in nature). Upper limits on spectral distortions



# Recombination

$kT_{\text{CMB}}(z=0) \approx 2.3 \times 10^{-4} \text{ eV}$  and  $T_{\text{CMB}} \propto (1+z)$

Recall ionization energy of hydrogen atom:  $B_H = 13.6 \text{ eV}$

$\Rightarrow$  at high  $z$ ,  $kT_{\text{CMB}} > B_H$ !

$\Rightarrow$  photons in the thermal bath were sufficiently energetic to keep H atoms ionized

At  $10 \text{ keV} \approx T \approx 1 \text{ eV}$ : plasma consisting of  $\gamma$ ,  $e^-$ , H nuclei,  ${}^4\text{He}$  nuclei (and the decoupled  $\nu$  and dark matter).

$\gamma$  and  $e^-$  tightly coupled by Compton scattering.

$e^-$  and H (i.e.,  $p^+$ ) tightly coupled by Coulomb scattering.

Very little neutral H around — plasma  $T \gg 13.6 \text{ eV}$ .

As  $T$  decreased, eventually  $e^- + p^+ \rightarrow \text{H} + \gamma$ , i.e.,  $e^-$  and  $p^+$  combine to form neutral H ("recombination").

$\Rightarrow n_e$  decreased sharply no longer in thermal eq.

$\Rightarrow \gamma$  decoupled from the baryonic matter (photon decoupling)

$\Rightarrow$  mean free path of photons became larger than the horizon

$\Rightarrow$  photons "free-stream": universe became transparent

$\Rightarrow$  these photons comprise the cosmic microwave background today.

Three-stage process:

1) Recombination (sharp decrease in  $n_e$ )

2) Photon-matter decoupling

3) Freeze-out of residual free electron fraction

(1) Recombination:  $p^+ + e^- \leftrightarrow H + \gamma$

In chemical equil.:  $\mu_H = \mu_p + \mu_e$  (recall  $\mu_\gamma = 0$ )  
(at  $T \gg 1$  eV)

We want to describe evolution of number densities of these species.

Key tool: Boltzmann Equation

We want to follow evolution of d.f. for species  $i$   
 $f_i(x^\mu, p^\mu)$  in presence of interactions:

$$\hat{L} f_i = \hat{C}_i [f_j]$$

Liouville operator

Collision operator

can depend on d.f. of all other species  $j$

↳ Non-rel. limit: total time derivative

$$\hat{L}_{NR} = \frac{d}{dt} = \frac{\partial}{\partial t} + \frac{d\vec{x}}{dt} \frac{\partial}{\partial \vec{x}} + \frac{d\vec{p}}{dt} \frac{\partial}{\partial \vec{p}}$$

Particle species of mass  $m$  subject to force  $\vec{F}$ :

$$\hat{L}_{NR} = \frac{\partial}{\partial t} + \vec{v} \cdot \vec{\nabla} + \frac{\vec{F}}{m} \cdot \frac{\partial}{\partial \vec{v}}$$

Relativistic generalization: total derivative w.r.t. affine parameter along worldline (recall similar derivatives appearing in geodesic eq.):

$$\hat{L}_{GR} = \frac{d}{d\lambda} = \frac{dx^\mu}{d\lambda} \frac{\partial}{\partial x^\mu} + \frac{dp^\mu}{d\lambda} \frac{\partial}{\partial p^\mu}$$

change in d.f. due to changes in mom. as particle traverses worldline

Normalize  $\lambda$  via  $p^\mu = \frac{dx^\mu}{d\lambda}$  (effectively  $\lambda \equiv$  proper time)

$$\Rightarrow \text{Geodesic eq.: } \frac{dp^\mu}{d\lambda} = -\Gamma_{\alpha\beta}^{\mu} p^\alpha p^\beta$$

$$\Rightarrow \hat{L}_{GR} = p^\mu \frac{\partial}{\partial x^\mu} - \Gamma_{\alpha\beta}^{\mu} p^\alpha p^\beta \frac{\partial}{\partial p^\mu} \quad (\text{assuming no external non-grav. forces})$$

Compute in FRW metric:

Homogeneity + isotropy  $\Rightarrow f_i(\vec{x}, \vec{p}, t) \rightarrow f_i(E, t)$  (or  $f_i(p, t)$ )

Using  $\Gamma_{\alpha\beta}^{\mu}$  for FRW, we find

$$\begin{aligned} \hat{L}f_i &= E \frac{\partial f_i}{\partial t} - \dot{a} p^2 \frac{\partial f_i}{\partial E} = \hat{C}_i[\{f_i\}] \quad \left\{ \begin{array}{l} E^2 = p^2 + m^2 \\ \Rightarrow \frac{\partial E}{\partial p} = \frac{p}{\sqrt{p^2 + m^2}} = \frac{p}{E} \end{array} \right. \\ &= E \frac{\partial f_i}{\partial t} - \frac{\dot{a}}{a} p^2 \frac{\partial f_i(p, t)}{\partial p} \frac{\partial p}{\partial E} \quad \hat{C}_i = \frac{\hat{C}_i}{E} \\ &= E \left( \frac{\partial f_i}{\partial t} - \frac{\dot{a}}{a} p \frac{\partial f_i(p, t)}{\partial p} \right) \Leftrightarrow \frac{\partial f_i}{\partial t} - H p \frac{\partial f_i}{\partial p} = \hat{C}_i[\{f_i\}] \end{aligned}$$

(Dodelson 3.38)

If we drop assumption of homogeneity,

this becomes 
$$\frac{\partial f_i}{\partial t} - H p \frac{\partial f_i}{\partial p} + \frac{p}{E} \frac{\hat{p}^i}{a} \frac{\partial f_i}{\partial x^i} = \hat{C}_i[\{f_i\}]$$

Using  $\hat{L}f_i = E \frac{\partial f_i}{\partial t} - \frac{\dot{a}}{a} p^2 \frac{\partial f_i}{\partial E}$ , we can show that

$$\int \frac{d^3 p_i}{(2\pi)^3} \frac{\hat{L}f_i}{E_i} = \frac{dn_i}{dt} + 3 \left( \frac{\dot{a}}{a} \right) n_i = \frac{1}{a^3} \frac{d}{dt} (n_i a^3)$$

(integrate<sup>↑</sup> by parts and we  $n_i = \frac{g}{(2\pi)^3} \int d^3 p f(p)$ )

Thus, the integrated (over mom.) Boltzmann eq. is

$$\frac{1}{a^3} \frac{d}{dt} (n_i a^3) = \int \frac{d^3 p_i}{(2\pi)^3} \frac{\hat{C}_i[\{f_j\}]}{E_i} = \int \frac{d^3 p_i}{(2\pi)^3} \hat{C}_i[\{f_j\}]$$

Define  $\int_{p_i} \equiv \int \frac{d^3 p_i}{(2\pi)^3} \frac{1}{2E_i} \Rightarrow \frac{1}{a^3} \frac{d}{dt} (n_i a^3) = 2 \int_{p_i} \hat{C}_i[\{f_j\}]$

If no collisions  $\Leftrightarrow \hat{C}_i = 0 \Rightarrow \frac{d}{dt} (n_i a^3) \Rightarrow n_i a^{-3} \checkmark$   
 $\Rightarrow$  Particle number conservation

Collision Operator: consider process  $1+2 \leftrightarrow 3+4$

$\frac{d}{dt} (n_{\perp}) \propto \Delta(\text{production} - \text{annihilation})$

$$\frac{1}{a^3} \frac{d}{dt} (a^3 n_{\perp}) = \iiint \int_{p_1, p_2, p_3, p_4} (2\pi)^4 \delta^{(4)}(p^1 + p^2 - p^3 - p^4) |M|^2 (f_3 f_4 - f_1 f_2)$$

$\underbrace{\int_{p_1, p_2, p_3, p_4}}_{\text{Sum over all momenta}}$   
 recall: one unit of phase space has volume  $= \frac{(2\pi)^3}{(2\pi\hbar)^3}$   
 $\underbrace{\delta^{(4)}(p^1 + p^2 - p^3 - p^4)}_{\text{energy and mom. conservation}}$   
 $\underbrace{|M|^2}_{\text{amplitude (matrix element) for process } 1+2 \leftrightarrow 3+4 \text{ (e.g., from QFT)}}$   
 $\underbrace{(f_3 f_4 - f_1 f_2)}_{\text{rate of production of } f_3 f_4 \text{ rate of annihilation of } f_1 f_2 \text{ [neglecting Bose enhancement and Pauli blocking]}}$

Why the factor of  $\frac{1}{2E}$ ? This arises because relativistically the phase-space integrals should be over 4-mom., subject to the mass-shell constraint:  $E^2 = p^2 + m^2$

$$\int d^3 p \int_0^{\infty} dE \delta(E^2 - p^2 - m^2) = \int d^3 p \int_0^{\infty} dE \frac{\delta(E - \sqrt{p^2 + m^2})}{2E} = \int d^3 p \left( \frac{1}{2E} \right)$$

(Recall that  $\delta[g(x)] = \sum_i \frac{\delta(x - x_i)}{|g'(x_i)|}$  where  $x_i$  are roots of  $g$ , i.e.,  $g(x_i) = 0$ )

How to simplify further? In cosmology we generally have:

- System not in chemical equil., but still approx. in kinetic equil. (i.e., scattering is rapid enough that the d.f. of all species still take on B-E or F-D forms).

Thus, all we need to determine is  $\mu(t)$ . Since we are out of chemical equil.,  $\mu_1 + \mu_2 \neq \mu_3 + \mu_4$ . Instead have to solve diff. eq. for each  $\mu_i(t)$ . But this will reduce to a single ODE - much easier than full Boltzmann eq.

- In all our applications,  $T \ll E - \mu$ . So the d.f. reduces to M-B form:  $f(E) \approx e^{-(E-\mu)/T} = e^{\mu/T} e^{-E/T}$

Plug in above:

$$f_3 f_4 - f_1 f_2 \approx e^{-(E_1+E_2)/T} \left( e^{(\mu_3+\mu_4)/T} - e^{(\mu_1+\mu_2)/T} \right) \quad (*)$$

using  $E_1 + E_2 = E_3 + E_4$  (energy cons.).

Let's describe  $\mu_i(t)$  using number density  $n_i(t)$ :

$$n_i = e^{\mu_i/T} n_i^{(0)} \quad \text{where } n_i^{(0)} \equiv \text{equilibrium number density}$$

$$n_i^{(0)} \equiv \frac{g_i}{(2\pi)^3} \int d^3p e^{-E_i(p)/T} \rightarrow \mu_i = T \ln \left( \frac{n_i}{n_i^{(0)}} \right) \leftrightarrow \frac{n_i}{n_i^{(0)}} = e^{\mu_i/T}$$

Plug in to (\*) above to obtain:

$$f_3 f_4 - f_1 f_2 \approx e^{-(E_1+E_2)/T} \left( \frac{n_3 n_4}{n_3^{(0)} n_4^{(0)}} - \frac{n_1 n_2}{n_1^{(0)} n_2^{(0)}} \right)$$

To obtain final simplification of Boltzmann eq., define the thermally averaged cross-section:

$$\langle \sigma v \rangle \equiv \frac{1}{n_1^{(0)} n_2^{(0)}} \int \int \int \int_{p_1, p_2, p_3, p_4} (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) e^{-(E_1+E_2)/T} |M|^2$$

The integrated Boltzmann eq. then becomes:

$$\frac{1}{a^3} \frac{d}{dt} (a^3 n_2) = \langle \sigma v \rangle n_1^{(0)} n_2^{(0)} \left( \frac{n_3 n_4}{n_3^{(0)} n_4^{(0)}} - \frac{n_1 n_2}{n_1^{(0)} n_2^{(0)}} \right) \quad \star$$

$\Rightarrow$  simple ODE for number density!

Note: when reaction rates are large ( $\Gamma \gg H$ ), we expect to be in chemical equil.  $\Leftrightarrow n_i da^{-3} \Leftrightarrow$

(Concretely: LHS of Boltzmann is  $\sim n_2 H$  since  $dt^{-1} \sim H$ , and RHS is  $\sim n_1 n_2 \langle \sigma v \rangle \equiv n_2 \Gamma$ . So if  $n_2 \langle \sigma v \rangle \equiv \Gamma \gg H$  then RHS  $\gg$  LHS, and only way for eq. to hold is if the terms in parentheses on the RHS cancel.)

$$\frac{n_3 n_4}{n_3^{(0)} n_4^{(0)}} = \frac{n_1 n_2}{n_1^{(0)} n_2^{(0)}} \quad (\Gamma \gg H)$$

Saha's Eq. ("nuclear statistical equil.")

Now apply Saha to  $e^- + p^+ \leftrightarrow H + \gamma$ :

In chemical equil.:  $\mu_H = \mu_p + \mu_e$  (recall  $\mu_\gamma = 0$ )  
(at  $T > 1$  eV)

Apply Saha eq.:  $\frac{n_e n_p}{n_H} = \frac{n_e^{(0)} n_p^{(0)}}{n_H^{(0)}}$

For non-rel. particles, recall  $n_i = g_i \left( \frac{m_i T}{2\pi} \right)^{3/2} e^{-(m_i - \mu_i)/T}$   
(note here that  $T \ll m_e, m_p, m_H$ )

Thus,  $\frac{n_e n_p}{n_H} = \frac{g_e g_p}{g_H} \left( \frac{m_e T}{2\pi} \right)^{3/2} e^{-(m_p + m_e - m_H)/T}$  (note  $m_p \approx m_H$ )

Note that  $B_H \equiv m_p + m_e - m_H =$  binding energy of atomic hydrogen  $= 13.6$  eV.

Recall  $g_p = 2 = g_e$  (spin-1/2 fermions)

and  $g_H = 4$  ( $e^-$  and  $p^+$  spin anti-aligned: singlet state  $\rightarrow 1+3=4$   
 $e^-$  and  $p^+$  spin aligned: triplet of states  $\rightarrow 1+3=4$ )

No net electric charge in universe  $\Rightarrow n_e = n_p$

Further simplification: ignore all nuclei other than  $p^+$  (over 90% of nuclei, by number, are protons).

Then applying conservation of baryon number

$$\text{yields } n_b \approx n_p + n_H = n_e + n_H.$$

$\uparrow$   $\uparrow$   
 $p^+$  neutral atomic H

$$\Rightarrow \frac{n_e n_p}{n_H} = \left(\frac{m_e T}{2\pi}\right)^{3/2} e^{-B_H/T}$$

Def.: free electron fraction  $X_e \equiv \frac{n_e}{n_b} = \frac{n_p}{n_b}$

Note that  $n_b = n_p + n_n = \eta n_\gamma = \eta \cdot \frac{2S(3)}{\pi^2} T^3$  where  $\eta \equiv \frac{n_b}{n_\gamma} \approx 10^{-9}$

Exercise

$$\Rightarrow \frac{1 - X_e}{X_e^2} = \eta \cdot \frac{2S(3)}{\pi^2} \left(\frac{2\pi T}{m_e}\right)^{3/2} e^{B_H/T} \quad \text{"Saha's } E_2 \text{"}$$

Recombination is "complete" when 90% of  $e^-$  are in neutral H atoms, i.e.,  $X_e = 0.1$ .

Can solve numerically to determine  $T$  correspondingly to a given  $X_e$ .

Define "recombination time" as that when  $X_e = 0.5$ .

$\Rightarrow$  Numerical solution of Saha  $e_2 \Rightarrow T_{rec} \approx 0.3 \text{ eV}$

Recall  $T(z) = T_0 (1+z) = (2.726 \text{ K})(1+z) \Rightarrow z_{rec} \approx 1300$

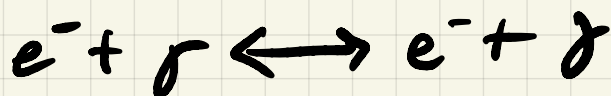
Recall  $1+z_{eq} \approx \Omega_r^{-1} = 3400 \Rightarrow$  Recombination occurs in matter-dominated era.

Important: since  $\eta \approx 6 \times 10^{-10}$  is extremely small, recombination does not start until  $T \ll B_H = 13.6$  eV. Even when  $T$  is a bit below  $B_H$ , the Wien tail of the photon d.f. contains sufficiently many high-energy photons to keep  $H$  ionized. Same phenomenon as in delay in production of  $D$  ( $D$  "bottleneck") in Big Bang Nucleosynthesis.

## Recombination era, cont.

(2) Photon-matter decoupling:

$\gamma$  coupled tightly to plasma via Compton scattering:



The photon interaction rate for this process is:

$$\Gamma_\gamma \approx n_e \sigma_T = n_b X_e \sigma_T \quad \text{where } \sigma_T = 2 \times 10^{-3} \text{ MeV}^{-2}$$

$$(\sigma_T \equiv \text{Thomson cross-section} = \frac{8\pi}{3} \frac{\alpha^2}{m_e^2} \rightarrow \text{fine-structure constant} = 1/137, \rightarrow e^- \text{ mass})$$

Note that  $\gamma$ -nuclei interaction

rate  $\sim 1/m_{\text{nuc}}^2 \ll 1/m_e^2$ , hence they are negligible.

$\Gamma_\gamma \propto n_e \Rightarrow \Gamma_\gamma$  drops as  $n_e$  decreases.

$\gamma$  and  $e^-$  decouple when  $\Gamma_\gamma \lesssim H$ .

Recall that we are now in matter-dominated era,

$$\text{so } H(z) = H_0 \sqrt{\underbrace{\Omega_m}_{\Omega_{m,0}} (1+z)^3} \Rightarrow H(T) = H_0 \sqrt{\Omega_m} \left(\frac{T}{T_0}\right)^{3/2}$$



We have:

$$\Gamma_j^2(T) = n_b \sigma_T X_e(T) = \frac{2}{\pi^2} S(3) \eta \sigma_T X_e(T) T^3$$

$$\text{Setting } \Gamma_j^2 = H \Leftrightarrow \frac{2}{\pi^2} S(3) \eta \sigma_T X_e(T_0) T_0^3 = H_0 \sqrt{a_H} \left( \frac{T_0}{T_0} \right)^{3/2}$$

$$\Rightarrow X_e(T_0) T_0^{3/2} = \frac{\pi^2}{2 S(3)} \frac{H_0 \sqrt{a_H}}{\eta \sigma_T T_0^{3/2}}$$

Using Saha eq. to compute  $X_e(T_0)$  and solving numerically, we obtain

$$T_0 = 0.27 \text{ eV} = 3000 \text{ K}$$

More precise treatment yields  $T_0 = 0.25 \text{ eV} = 2970 \text{ K}$

$$\Rightarrow \underline{z_0 = 1090}$$

$$\underline{t_0 \approx 370,000 \text{ years}}$$

Note that  $X_e(T_{rec}) \approx 0.5 \rightarrow X_e(T_0) \approx 10^{-3}$  even though  $T_{rec} \approx T_0$ !

(3) Electron freeze-out: Apply integrated

Boltzmann eq. to reaction  $e^- + p^+ \leftrightarrow H + \gamma$ :

$$\frac{1}{a^3} \frac{d}{dt} (a^3 n_2) = \langle \sigma v \rangle n_1^{(0)} n_2^{(0)} \left( \frac{n_3 n_4}{n_3^{(0)} n_4^{(0)}} - \frac{n_1 n_2}{n_1^{(0)} n_2^{(0)}} \right)$$

1 =  $e^-$   
2 =  $p^+$   
3 = H  
4 =  $\gamma$

Recall  $n_j = n_j^{(0)}$  since  $\mu_j = 0$ .

Further simplification:  $n_H \approx n_H^{(0)}$  during recombination (can improve using Saha approx. for  $n_H$ )

Recall  $n_e = n_p$  (charge neutrality); neglect He here)

$$\Rightarrow \frac{1}{a^3} \frac{d}{dt} (a^3 n_e) \approx \langle \sigma v \rangle \left( (n_e^{(0)})^2 - n_e^2 \right)$$

Calculating  $\langle \sigma v \rangle$  is not simple; reasonable approx. is

$$\langle \sigma v \rangle \approx \sigma_T \sqrt{\frac{B_H}{T}}$$

As usual, def. new time variable:  $x \equiv \frac{1 \text{ eV}}{T}$

Use usual steps to rewrite  $\frac{d}{dt} \rightarrow \frac{d}{dx}$

Recall  $n_e = n_b X_e$  and note that  $a^3 n_b = \text{const.}$  (during this epoch)

Also use  $H(T) \propto T^{3/2}$  (matter-dom.)

$$\Rightarrow \frac{dX_e}{dx} = \frac{\lambda}{x^2} \left( (X_e^{(0)})^2 - X_e^2 \right) \quad \text{where} \quad \lambda \equiv \left[ \frac{n_b \langle \sigma v \rangle}{x H} \right]_{x=1}$$

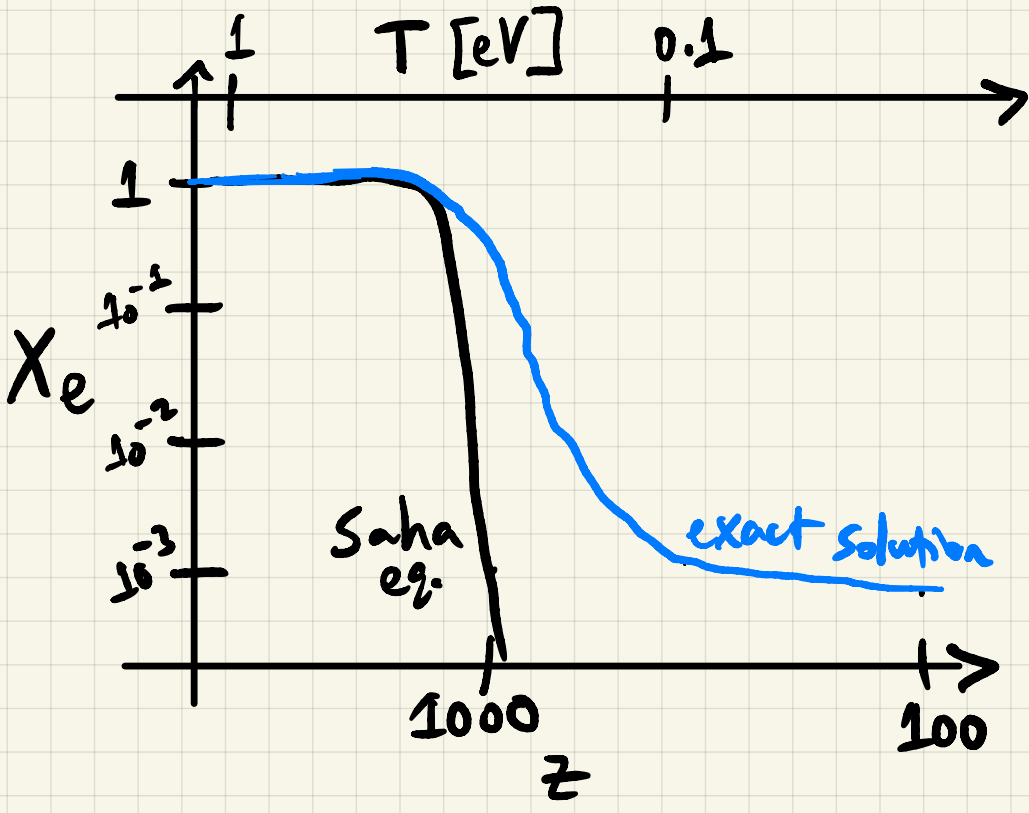
Putting in numbers,  $\lambda = 3900 \cdot \left( \frac{\Omega_b h}{0.03} \right)$

$\Rightarrow$  This is a "Riccati equation"

$\Rightarrow$  Result for electron freeze-out abundance:

$$\underline{X_e^\infty \approx \frac{x_f}{\lambda} \approx 0.9 \times 10^{-3} \left( \frac{x_f}{x_{\text{rec}}} \right) \left( \frac{0.03}{\Omega_b h} \right)} \quad (x_{\text{rec}} = \frac{1 \text{ eV}}{T_{\text{rec}}} \approx 3.3)$$

Numerical result: (next page)



Timeline:

	<u>Temp.</u>	<u>Redshift</u>	<u>Time</u>
[M-R Equality]	0.75 eV	3400	60 kyr
Recombination	0.3 - 0.25 eV	1300 - 1090	260 - 380 kyr
$\gamma$ -Matter Decoupling	0.25 eV	1090	380 kyr
Last scattering	0.25 eV	1090	380 kyr

Important point: recombination / decoupling are determined by the local plasma temperature  $T$  at each point in spacetime. The  $T$  at which these processes occur is set by the physics described above, which is universal. So why do we observe fluctuations in the CMB temperature across the sky?

$\Rightarrow$  different points reach this  $T$  at (slightly) different times

$\Rightarrow$  hence different amounts of expansion between us and each point at last-scattering surface

### Precise Treatment: Beyond Equilibrium

The Saha eq. assumes thermal equilibrium holds throughout recombination / decoupling, but this is not actually true. When the  $e^- + p^+ \leftrightarrow H + \gamma$  interaction rate drops below Hubble, we must use the full Boltzmann eq. to describe the evolution.

In addition, recombination dynamics are subtle: direct recombination to H ground state ( $1s$ ) is very inefficient because  $\gamma$  is emitted with  $E \approx 13.6$  eV, which then ionizes nearby H atom, hence no net recombination.

Process instead proceeds mainly via 2 channels:

- Recombination from continuum  $\rightarrow 2s \rightarrow 1s$

"two-photon decay"

$\downarrow$   
 $2\gamma$  emission, thus each  $\gamma$  not energetic enough to ionize nearby atom

- " " " " continuum  $\rightarrow 2p \rightarrow 1s$

"resonance escape"

$\downarrow$   
 $Ly\alpha$   $\gamma$  emitted, but if it is not absorbed too quickly by another H, it can redshift out of  $Ly\alpha$  line entirely

$\Rightarrow$  Overall impact: recombination is delayed relative to Saha expectation ( $z_{rec} \approx 1270$  rather than 1380)

Visibility function:

Recall optical depth

$$\tau(z) = \int_{t(z)}^{t_0} dt n_e(t) \sigma_T$$

$$= \int_0^z dz \sigma_T \frac{n_e(z)}{H(z) \cdot (1+z)}$$

Prob. that a photon did not scatter off an  $e^-$  between today and redshift  $z$  is

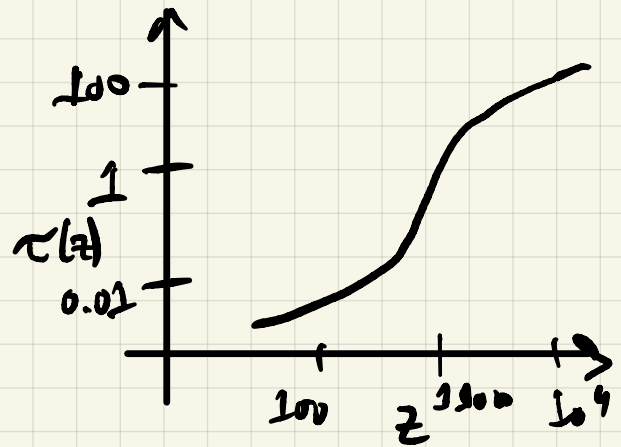
$$P(z) = e^{-\tau(z)}$$

Prob. that photon last scattered in redshift interval  $[z, z+dz]$  is

$$g(z)dz \equiv P(z) - P(z+dz)$$

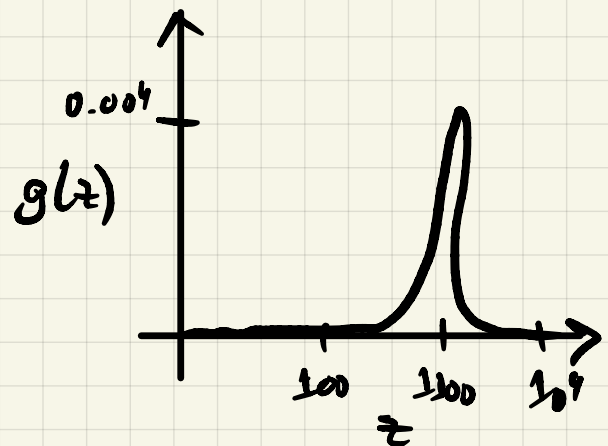
↑  
"visibility function"

$$\begin{aligned} \Rightarrow g(z) &= -\frac{d}{dz} (e^{-\tau(z)}) \\ &= \frac{d\tau}{dz} e^{-\tau(z)} \end{aligned}$$



⇒ sharp peak at  
 $z \approx 1080$

(in conformal time,  
peak is at  $z \approx 1090$ )



(width  $\Delta z \approx 80$ )

⇒ we see the intersection of this snapshot in time with our past light cone, thus defining a 2D "surface of last scattering" (nearly spherical, up to the anisotropies to be discussed below).