

# Anisotropy Basics: Random Fields on the Sphere

Basis for random fields on the sphere: spherical harmonics

$$\Rightarrow f(\hat{n}) = \sum_{l=0}^{\infty} \sum_{m=-l}^l f_{lm} Y_{lm}(\hat{n}) = \sum_{lm} f_{lm} Y_{lm}(\hat{n})$$

Recall  $Y_{lm}$  from Q.M.: eigenstates (in position space) of  $\hat{L}^2 = -\nabla^2$  and  $\hat{L}_z = -i\partial_\phi$

$$\nabla^2 Y_{lm} = -l(l+1) Y_{lm} \quad l \in \mathbb{Z} \geq 0$$

$$\partial_\phi Y_{lm} = im Y_{lm} \quad |m| \leq l$$

Orthornormality:  $\int d^2\hat{n} Y_{lm}(\hat{n}) Y_{l'm'}^*(\hat{n}) = \delta_{ll'} \delta_{mm'}$

Phase convention:  $Y_{lm}^* = (-1)^m Y_{l,-m}$

$\Rightarrow$  If field is real ( $f(\hat{n}) \in \mathbb{R}$ )  $\Rightarrow f_{lm}^* = (-1)^m f_{l,-m}$

Statistical isotropy: implication for 2-pt. correlator of  $f_{lm}$ ?

$\Rightarrow$  Turns out that  $\langle f_{lm} f_{l'm'}^* \rangle = \delta_{ll'} \delta_{mm'} C_l$

$\Rightarrow C_l \equiv$  "angular power spectrum" of  $f(\hat{n})$

Implization for 2-pt. correlator in position space?

$$\langle f(\hat{n}) f(\hat{n}') \rangle = \sum_{lm} \sum_{l'm'} \underbrace{\langle f_{lm} f_{l'm'}^* \rangle}_{= C_l \delta_{ll'} \delta_{mm'}} Y_{lm}(\hat{n}) Y_{l'm'}^*(\hat{n}')$$

$$= \sum_l C_l \sum_m \gamma_{lm}(\hat{n}) \gamma_{lm}^*(\hat{n}')$$

$$= \frac{2l+1}{4\pi} P_l(\hat{n} \cdot \hat{n}') \stackrel{\equiv \cos\theta}{\sim}$$

$$C(\theta) \equiv \langle f(\hat{n}) f(\hat{n}') \rangle$$

$$= \sum_l \frac{2l+1}{4\pi} C_l P_l(\cos\theta)$$

↳ Legendre polynomial

→ addition theorem for spherical harmonics

⇒ 2-pt. correlator in real space only depends on angle between  $\hat{n}$  and  $\hat{n}'$ , as required by statistical isotropy.

$$\Rightarrow \text{total variance in field} = C(\theta=0) = \sum_l \frac{2l+1}{4\pi} C_l$$

Using orthogonality of  $P_l(\cos\theta)$ , can show that

$$C_l = 2\pi \int_{-1}^1 d\cos\theta C(\theta) P_l(\cos\theta)$$

N.B. if we consider  $l \gg 1 \Rightarrow \frac{2l+1}{4\pi} \approx \frac{l+1}{2\pi}$

$$\text{and } \sum_l \rightarrow \int \frac{dl}{l} \cdot l = \int l dl$$

$$\Rightarrow \sum_l \frac{2l+1}{4\pi} C_l \rightarrow \int dl l C_l \frac{l(l+1)}{2\pi}$$

⇒ Def.  $P_l \equiv \frac{l(l+1)}{2\pi} C_l \Rightarrow$  contribution per decade in  $l$  to total variance of the field.

# Power spectrum intuition (slides)

Why the power spectrum? CMB is extremely well-approximated as a Gaussian random field (GRF)

$f(\vec{x}) \equiv$  random field with zero mean  $\langle f(\vec{x}) \rangle = 0$

Probability of some field configuration is a functional of  $f(\vec{x})$ :  $P[f(\vec{x})]$

GRF  $\Rightarrow Pr[f(\vec{x})]$  is a Gaussian functional of  $f(\vec{x})$

Consider discretizing field  $f(\vec{x})$  in  $N$  pixels (voxels)

$\Rightarrow$  represent as  $N$ -dim. vector  $\vec{f} = [f(\vec{x}_1), f(\vec{x}_2), \dots, f(\vec{x}_N)]^T$

$\Rightarrow$  PDF for  $\vec{f}$  is a multi-variate Gaussian which is fully specified by the 2-pt. correlation function:

$$\langle f_i f_j \rangle = \xi(|\vec{x}_i - \vec{x}_j|) \equiv \xi_{ij}$$

$$\begin{array}{c} \uparrow \\ f_i \equiv f(\vec{x}_i) \end{array}$$

$$\Rightarrow Pr[\vec{f}] \propto \frac{e^{-\frac{1}{2} f_i \xi_{ij}^{-1} f_j}}{\sqrt{\det(\xi_{ij})}}$$

Since  $f(\vec{k})$  is linear in  $f(\vec{x})$ ,  $Pr[f(\vec{k})]$  is also a multi-variate Gaussian:  $Pr[f(\vec{k})] \propto e^{-f^2(\vec{k})/2P_f(\vec{k})} / \sqrt{\det(P_f(\vec{k}))}$

Since different Fourier modes are uncorrelated, they are statistically independent for GRFs.

Relevance to cosmology / CMB:

- Inflation predicts initial perturbations are very close to Gaussian (as required by actual data)
- Linear evolution preserves Gaussianity
- Non-linear evolution generates non-Gaussianity (NG)
- Searching for primordial NG is a very active research area

Goals for next ~2 lectures: develop understanding of the physics underlying the CMB angular power spectrum

## The Inhomogeneous Universe

Conformal Newtonian gauge metric:  $\Phi = \Phi(\eta, \vec{x}) = \text{Newt. potential}$

$$ds^2 = a^2(\eta) \left( -(1+2\Phi) d\eta^2 + (1-2\Phi) d\vec{x}^2 \right)$$

Consider photon propagation along geodesic as above: define  $\lambda$  via  $p^\mu = \frac{dx^\mu}{d\lambda}$

Important difference w.r.t. homog. case: the <sup>photon</sup> energy  $E$  measured by an observer in their local inertial frame now differs from  $p^0$ ! In general,  $p^\mu$  components are def. in coord. frame while physically we care about  $p^{\mu'}$  measured in local inertial frame of observer (us!):  $p^{\mu'} = (E, p^{i'})$  and  $p^\mu = (p^0, p^i)$ .

These are related via:

$$ds'^2 = ds^2 \quad (\text{invariant interval})$$

$$\Rightarrow \overset{\text{Minkowski}}{\eta_{\mu'\nu'}} p^{\mu'} p^{\nu'} = g_{\mu\nu} p^\mu p^\nu$$

Take obs. to be at rest and orientation of coord. systems to align

$$\Rightarrow -E^2 + \delta_{ij} p^i p^j = g_{00} (p^0)^2 + g_{ij} p^i p^j$$

$$\Rightarrow E = \sqrt{-g_{00}} p^0 = \sqrt{a^2 (1+2\Phi)} p^0 \simeq a(1+\Phi) p^0$$

$$\Rightarrow p^0 \simeq \frac{E}{a(1+\Phi)} \rightarrow p^0 \simeq \frac{E}{a} (1-\Phi)$$

and  $p^i = \frac{E}{a} (1+\Phi) \hat{p}^i$  → unit vector in propagation direction

Note: we could also intuitively "guess" the  $p^0$  result by noting that  $\Phi$  looks like a local perturbation of the scale factor:  $\tilde{a}(\eta, \vec{x}) \simeq a(\eta) (1+\Phi(\eta, \vec{x}))$

Now use these results in the geodesic eq. to determine evolution of  $E$ :

Note (like before) that  $\frac{dp^0}{d\lambda} = \frac{dp^0}{d\eta} \frac{d\eta}{d\lambda} = \frac{dp^0}{d\eta} \frac{dx^0}{d\lambda} = \frac{dp^0}{d\eta} p^0$

Geodesic eq.:  $\frac{dp^\mu}{d\lambda} + \Gamma^\mu_{\nu\sigma} p^\nu p^\sigma = 0$

$$\Rightarrow \frac{dp^0}{d\eta} + \frac{1}{p^0} \Gamma^\mu_{\nu\sigma} p^\nu p^\sigma = 0$$

$$\Rightarrow \frac{dp^0}{d\eta} + \Gamma^0_{00} p^0 + 2\Gamma^0_{0i} p^i + \frac{1}{p^0} \Gamma^0_{ij} p^i p^j = 0$$

Exercise: compute  $\Gamma_{\mu\nu}^{\lambda}$  for this metric, to obtain the following: Here  $\mathcal{H} \equiv \frac{da/d\eta}{a} = \frac{a'}{a}$

$$\Rightarrow \frac{dp^0}{d\eta} + (\mathcal{H} + \partial_\eta \Phi) p^0 + (2\partial_i \Phi) p^i + (-3\mathcal{H} - \partial_\eta \Phi) \delta_{ij} \frac{p^i p^j}{p^0}$$

Using  $p^0 = \frac{E}{a}(1 - \Phi)$  and  $p^i = \frac{E}{a}(1 + \Phi)\hat{p}^i$  here and keeping terms to first order (Ex.: do this), we

$$\text{obtain } \frac{dE/d\eta}{E} = \underbrace{-\mathcal{H} + \partial_\eta \Phi}_{\text{redshifting due to (perturbed) expansion}} - \underbrace{\hat{p}^i \partial_i \Phi}_{\text{gravitational redshifting}}$$

$$\Rightarrow \frac{d \ln(aE)}{d\eta} = \partial_\eta \Phi - \hat{p}^i \partial_i \Phi$$

(To obtain this, also used  $\frac{d\Phi}{d\eta} = \partial_\eta \Phi + (\partial_i \Phi) \frac{dx^i}{d\eta} = \partial_\eta \Phi + (\partial_i \Phi) \hat{p}^i$ )

The grav. redshifting term can be rewritten as:

$$\hat{p}^i \partial_i \Phi = \frac{d\Phi}{d\eta} - \partial_\eta \Phi$$

$$\Rightarrow \frac{d \ln(aE)}{d\eta} = 2\partial_\eta \Phi - \frac{d\Phi}{d\eta} \quad \text{Generalization of } E \propto \frac{1}{a} \text{ to perturbed universe}$$

Integrate: (from  $\eta_e$  to  $\eta_0$ )

$$\Rightarrow \ln(a_0 E_0) - \ln(a_e E_e) = \Phi_e - \Phi_0 + 2 \int_{\eta_e}^{\eta_0} d\eta (\partial_\eta \Phi) \quad \text{Recall } a_0 \equiv 1$$

Note that  $\Phi_0 = \text{local gravitational potential}$ , which can only affect the monopole ( $l=0$  mode) and is hence unobservable and can be set to zero wlog.

Note: perturbation quantities here can be evaluated at the unperturbed last-scattering time ( $\eta_*$ ), since corrections would be second order.

$$\Rightarrow \ln(a_0 E_0) = \ln(a_* E_*) + \Phi_* + 2 \int_{\eta_*}^{\eta_0} d\eta (\partial_\eta \Phi)$$

For photons, note that  $E \propto T$  and that the distribution function (Bose-Einstein) is only a function of  $\frac{E}{T}$ , thus:

$$aE \propto aT \propto a(\bar{T} + \Delta T) \propto a\bar{T} \left(1 + \frac{\Delta T}{\bar{T}}\right)$$

$$\Rightarrow \ln(aE) = \ln\left(a\bar{T} \left(1 + \frac{\Delta T}{\bar{T}}\right)\right) + \text{const.}$$

$$= \ln(a\bar{T}) + \ln\left(1 + \frac{\Delta T}{\bar{T}}\right) + \text{const.}$$

$$= \ln(a\bar{T}) + \frac{\Delta T}{\bar{T}} + \text{const.}$$

Taylor expand

Recall that  $\bar{T} \propto 1/a$  so  $\bar{T}_0 = a_* \bar{T}_*$   $\Rightarrow \ln(a_0 \bar{T}_0) = \ln(a_* \bar{T}_*)$

$$\Rightarrow \frac{\Delta T_0}{\bar{T}_0} = \frac{\Delta T_*}{\bar{T}_*} + \Phi_* + 2 \int_{\eta_*}^{\eta_0} d\eta (\partial_\eta \Phi)$$

Alternate derivation that clarifies connection to local perturbation in scale factor:

$$\text{Start from } \frac{a_0 E_0}{a_e E_e} = e^{-\Phi_0 + \Phi_e + 2 \int_{\eta_e}^{\eta_0} d\eta (\partial_\eta \Phi)}$$

Taylor expand RHS (first order in perturbations):

$$\Rightarrow \frac{E_0}{a_e E_e} \approx 1 - \Phi_0 + \Phi_e + 2 \int_{\eta_e}^{\eta_0} d\eta (\partial_\eta \Phi)$$

Note that  $\Phi_0 =$  local gravitational potential, which can only affect the monopole ( $l=0$  mode) and is hence unobservable and can be set to zero WLOG.

$$\Rightarrow E_0 = a_e E_e \left( 1 + \Phi_e + 2 \int_{\eta_e}^{\eta_0} d\eta (\partial_\eta \Phi) \right)$$

Note: perturbation quantities on RHS can be evaluated at the unperturbed last-scattering time ( $\eta_*$ ), since corrections would be second order. Not true for  $a_e$ !

$$\Rightarrow E_0 \approx a_e E_* \left( 1 + \Phi_* + 2 \int_{\eta_*}^{\eta_0} d\eta (\partial_\eta \Phi) \right)$$

For photons, note that  $E \propto T$ , so we have

$$\Rightarrow T_0 = a_e T_* \left( 1 + \Phi_* + 2 \int_{\eta_*}^{\eta_0} d\eta (\partial_\eta \Phi) \right)$$

$$\Rightarrow \bar{T}_0 + \Delta T_0 \approx (a_* + \Delta a) \bar{T}_* \left( 1 + \Phi_* + 2 \int_{\eta_*}^{\eta_0} d\eta (\partial_\eta \Phi) \right)$$

$$\approx a_* \bar{T}_* \left( 1 + \Phi_* + 2 \int_{\eta_*}^{\eta_0} d\eta (\partial_\eta \Phi) \right)$$

$$+ \bar{T}_* \Delta a_e \quad \text{to first order}$$

Recall that  $\bar{T} \propto 1/a$  so  $\bar{T}_0 = a_* \bar{T}_*$



$$\Rightarrow \Delta T_0 \approx \underbrace{a_* \bar{T}_*}_{=\bar{T}_0} \left( \Phi_* + 2 \int_{\eta_*}^{\eta_0} d\eta (\partial_\eta \Phi) \right) + \bar{T}_* \Delta a_e$$

$$\Rightarrow \frac{\Delta T_0}{\bar{T}_0} = \Phi_* + 2 \int_{\eta_*}^{\eta_0} d\eta (\partial_\eta \Phi) + \frac{\Delta a_e}{a_*}$$

What is  $\Delta a_e$ ? Must be determined by the local temp. being  $\sim 0.3$  eV ( $-\bar{T}_*$ ).

$$\Rightarrow (\bar{J}_r + \delta J_r)(\eta_* + \Delta\eta) = \bar{J}_r(\eta_*) = \sigma T_*^4 \quad ) \equiv \frac{d}{d\eta}$$

$$\Rightarrow \bar{J}_r(\eta_*) + \delta J_r(\eta_*) + \bar{J}_r' / \eta_* \Delta\eta = \bar{J}_r(\eta_*)$$

$$\Rightarrow \delta J_r(\eta_*) = -\bar{J}_r' / \eta_* \Delta\eta \quad \Rightarrow \quad \Delta\eta = -\frac{\delta J_r(\eta_*)}{\bar{J}_r' / \eta_*}$$

$$\Rightarrow \Delta\eta = \frac{-\delta J_r}{\frac{d\bar{J}_r}{da} a'} = \frac{-\delta J_r}{\bar{J}_{r,0} (-4a^{-5}) a'} = \frac{\delta J_r}{\bar{J}_r \cdot 4 \left(\frac{a'}{a}\right)} = \frac{\delta_r}{4H}$$

where  $\delta_r \equiv \frac{\delta J_r}{\bar{J}_r}$  as usual.

Thus:  $a_* + \Delta a_e = a_* + a_* \Delta\eta$

$$\Rightarrow \frac{a_e}{a_*} \approx \left( 1 + \frac{a'_*}{a_*} \left( \frac{\delta_r^*}{4H_*} \right) \right)$$

$$\Rightarrow \frac{a_e}{a_*} \approx 1 + \frac{\delta_r^*}{4} \quad \Rightarrow \quad \frac{a_* + \Delta a_e}{a_*} = 1 + \frac{\delta_r^*}{4}$$

$$\Rightarrow \frac{\Delta a_e}{a_*} = \frac{\delta_r^*}{4}$$

$$\Rightarrow \frac{\Delta T_0}{T_0} = \Phi_* + 2 \int_{a_*}^{a_0} dr (\partial_r \Phi) + \frac{\delta r^*}{4} \quad \checkmark$$

$\Rightarrow$  shows immediately that  $\frac{\Delta T_*}{T_*} = \frac{\delta r^*}{4}$  (by comparison to our result above)

In fact, in full generality there are two contributions to  $\frac{\Delta T_*}{T_*}$ :

1) Radiation density perturbations:  $\leftrightarrow$  local scale factor perturbations

$$\bar{\rho}_r \propto T^4 \quad \Rightarrow \quad \delta \rho_r \propto 4 \bar{T}^3 \delta T \propto 4 \bar{T}^4 \frac{\delta T}{T} \propto 4 \bar{\rho}_r \frac{\delta T}{T}$$

$$\Rightarrow \frac{\delta T}{T} \propto \frac{\delta \rho_r}{4 \bar{\rho}_r} \Rightarrow \frac{\delta T}{T} \propto \frac{\delta r}{4}$$

But physically I think more useful to think in terms of local perturbation to scale factor, since the local photon temperature at decoupling is  $\sim 0.25$  eV always:  $\frac{\Delta a_*}{a_*} = \frac{\delta r}{4} = \frac{\delta T}{T}$

$\Rightarrow$  points w/ higher  $\rho_r$  (positive  $\delta r$ ) reach 0.25 eV later, hence CMB last-scatters later (thus with larger  $a$ ), thus redshifting less to today, and thus yielding positive observed temp. fluctuation (and vice versa for negative  $\delta r$ ).

$\Rightarrow$  surface of last scattering is "wrinkled" (in terms of  $a$ ) [in Newtonian gauge! this is a gauge-def. statement]

2) Doppler contribution: perturbations in  $T$  sourced by bulk velocities of  $e^-$  at last scattering

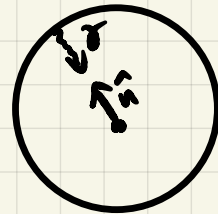
$\Rightarrow e^-$  moving toward us: positive  $\frac{\delta T}{\bar{T}}$

$e^-$  " away from us: negative  $\frac{\delta T}{\bar{T}}$

Let  $\hat{n} \equiv$  unit vector pointing from us to point on last-scattering surface

(note that  $\gamma$  is moving in  $-\hat{n}$  direction)

$$\Rightarrow \frac{\delta T}{\bar{T}} = \vec{v}_e \cdot (-\hat{n})$$



Note that  $\vec{v}_e \approx \vec{v}_b$  since plasma is tightly coupled

$$\Rightarrow \frac{\delta T}{\bar{T}} = -\hat{n} \cdot \vec{v}_b$$

(This can also be derived from first principles via Boltzmann approach.)

Put it all together:

$$\frac{\Delta T_0}{\bar{T}_0} = \Phi_* + 2 \int_{\eta_*}^{\eta_0} d\eta (\partial_\eta \Phi) + \frac{\delta_r^*}{4} - (\hat{n} \cdot \vec{v}_b)_*$$

Interpretation:

1)  $\Phi_*$ : gravitational redshift of CMB  $\gamma$  as they climb out of potentials at last scattering

$(\Phi_* < 0 \Rightarrow$  matter overdensity  $\Rightarrow -\delta T/\bar{T})$   
 $\geq 0 \Rightarrow$  " underdensity  $\Rightarrow +\delta T/\bar{T})$

2)  $\frac{\delta r^*}{4}$ : intrinsic temp. parts. ( $\leftrightarrow$  scale factor parts.)

$\Rightarrow$  the combination  $S_* \equiv \Phi_* + \frac{\delta r^*}{4}$  is called the Sachs-Wolfe term [this combination is gauge-independent]

3)  $2 \int_{\eta_*}^{\eta_0} d\eta (\partial_\eta \Phi)$ : "integrated Sachs-Wolfe" term

$\Rightarrow$  if  $\partial_\eta \Phi \neq 0$ , e.g., due to dark energy or radiation domination, then this term is non-zero

- rad. generates this at  $z \gtrsim 1100 \Rightarrow$  early ISW

- DE generates this at  $z \lesssim 1 \Rightarrow$  late ISW

Potentials decaying due to DE  $\Rightarrow \Phi' > 0 \Rightarrow +\delta T/\bar{T}$

4)  $-(\hat{n} \cdot \vec{v}_b)_*$ : Doppler term  $(\Phi' < 0$  in voids  $\Rightarrow -\delta T/\bar{T})$   
in catalogical regions

$e^-$  velocity toward us  $\Rightarrow +\delta T/\bar{T}$

" " away from us  $\Rightarrow -\delta T/\bar{T}$

Notes:

- The S-W and Doppler terms are 3D fields evaluated at last scattering (at time  $\eta_*$  and position  $(\eta_0 - \eta_*) \hat{n}$ , where  $\eta_0 - \eta_* = \chi_* \approx 14$  Gpc is the distance to last scattering (in flat universe))

- Which contributions dominate?

Let's focus on large scales ( $l \lesssim 100$ ):

- ISW generally small since universe has been matter-dominated for most of CMB-relevant history

• Doppler small since  $\vec{v}_b$  vanishes on superhorizon scales

$\Rightarrow$  S-W will dominate

What term wins in S-W?

Superhorizon limit:  $-2\Phi = \delta_n = \frac{3}{4}\delta_r \Rightarrow \delta_r = -\frac{2}{3}\Phi$

$$\Rightarrow \left(\frac{\delta_r}{4} + \Phi\right)_* = \left(-\frac{2}{3}\Phi + \Phi\right)_* = \frac{\Phi_*}{3}$$

$\Rightarrow$  grav. redshift term

wins over  $\frac{\delta_r}{4}$  term!

$= \frac{1}{5}R$  (recall  $\Phi = \frac{3}{5}R$  during matter dom.)

$\Rightarrow$  overdensity at last scattering ( $\delta_r^* > 0, \delta_n^* > 0, \Phi_* < 0$ ) yields  $-\frac{\delta T}{T}$  (cold spot) in CMB,

and vice versa for underdensity

$\Rightarrow$  these are the hot and cold spots you see by eye in full-sky CMB maps (Planck, WMAP)

$\Rightarrow$  image of grav. field at last scattering!

## Initial Conditions

Inflationary theories predict statistics of the comoving curvature perturbation  $R$ .

$R$  is constant on superhorizon scales, thus allowing us to connect inflationary physics (when Fourier modes go outside the horizon) to late-time observables (after modes re-enter).