



The Abdus Salam  
International Centre  
for Theoretical Physics



Institute for Advanced Studies  
in Basic Sciences  
Gava Zang, Zanjan, Iran

# Effects of impurity on the electronic properties of Luttinger semimetals

**Nematullah Royish**

**Supervisor: Dr. Saeed Abedinpour**

Physics department, IASBS

*Many-body theory group*

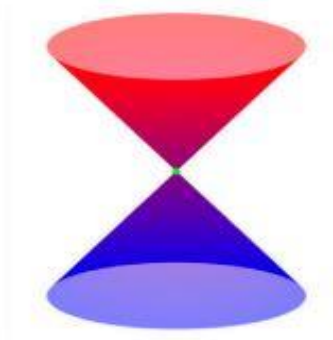
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# Outline

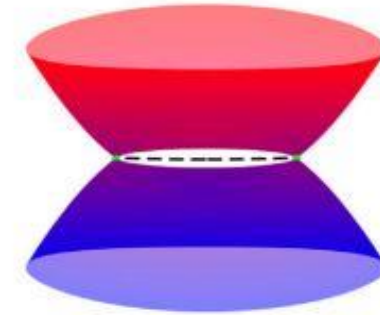
- Introduction
- Luttinger semimetals
- Green's function
- Results

# Topological Semimetals (TS)

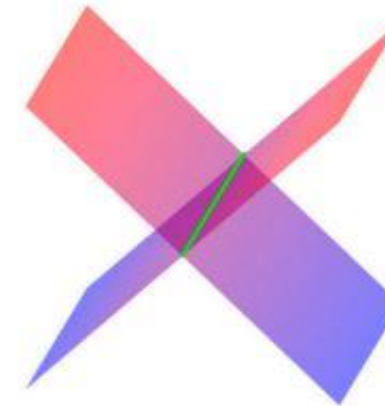
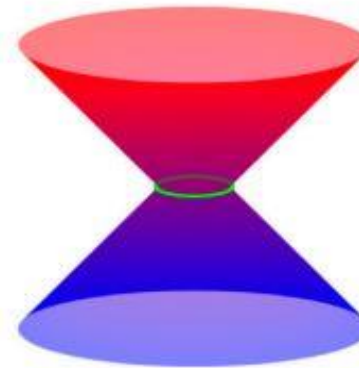
- Gapless topological material classification.
  - Dirac (DS)
  - Weyls (WS)
  - Nodal-line (NLS)
  - Luttinger semimetal (LS)



(a) Dirac semimetal



(b) Weyl semimetal



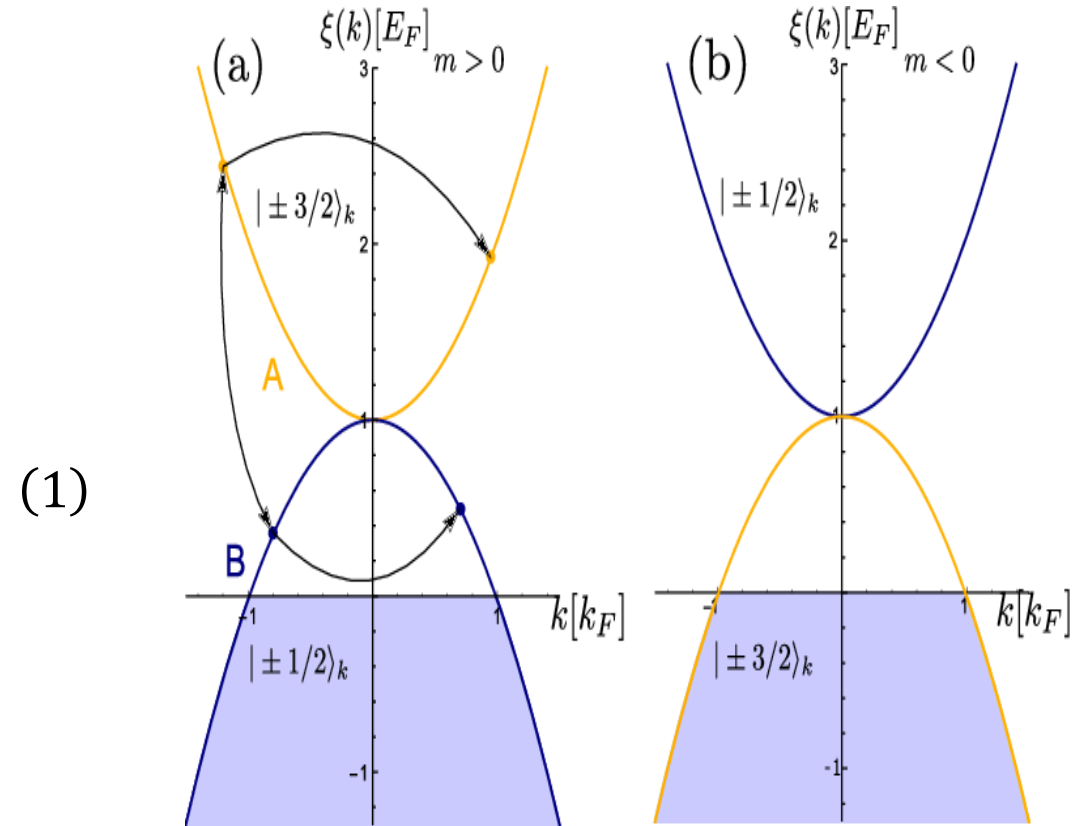
(c) Nodal line semimetals

# Luttinger Semimetals (LS)

- LS hosts the excitation with effective spin- 3/2 .
- The *HgTe*,  $\alpha - Sn$  , *Pr<sub>2</sub>Ir<sub>2</sub>O<sub>7</sub>* and *YPtBi*.

$$H(\mathbf{k}) = \frac{\hbar^2}{2m} \left[ \left( \gamma_1 + \frac{5}{2} \gamma_2 \right) \mathbf{k}^2 - 2\gamma_2 (\mathbf{k} \cdot \mathbf{J})^2 \right]$$

- In the case  $|\gamma_1| < 2|\gamma_2|$



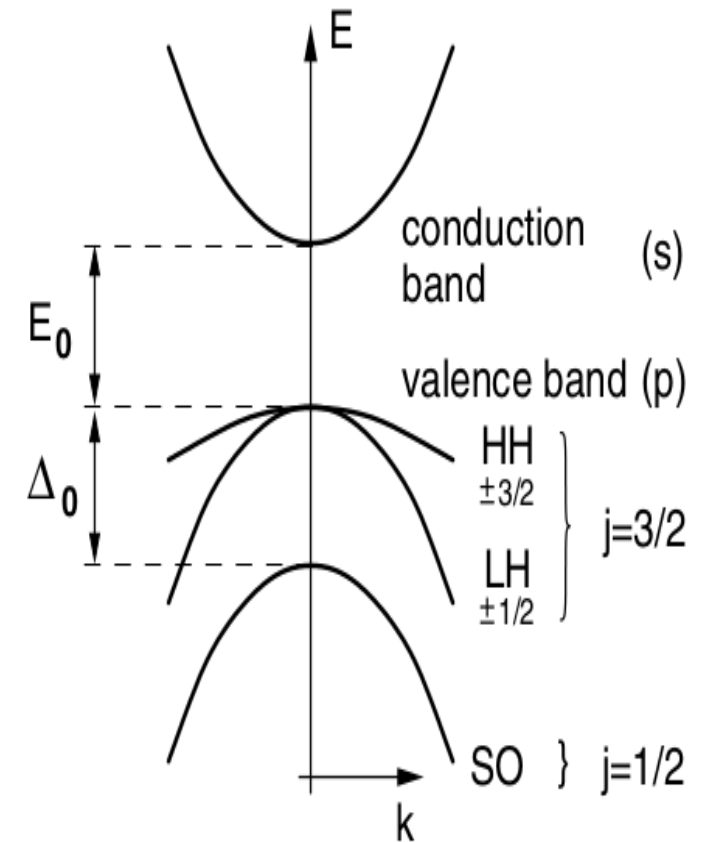
[2]. Mauri, Achille and Polini, Marco. Dielectric function and plasmons of doped three-dimensional luttinger semimetals. *Physical Review B*, 100(16):165115, 2019

# Luttinger-Kohn $k \cdot p$ model (LK)

Considering the SOC at  $k = 0$  every state is twofold degenerate  $(X \uparrow, Y \uparrow, Z \uparrow)$  and  $(X \downarrow, Y \downarrow, Z \downarrow)$  so we have a  $6 \times 6$  Hamiltonian.

$$\mathcal{H}_{so} = \frac{\hbar}{4m^2c^2} (\boldsymbol{\sigma} \times \nabla V) \cdot \mathbf{p} + \frac{\hbar}{4m^2c^2} (\boldsymbol{\sigma} \times \nabla V) \cdot \mathbf{k} \quad (2)$$

$$\mathcal{H}_{so} = \begin{pmatrix} P - Q & R & S & 0 & \dots & \langle SO | \mathbf{k} \cdot \mathbf{p} | HH, LH \rangle \\ R^* & P - Q & 0 & S & \dots & \\ S^* & 0 & P + Q & -R & \dots & \\ 0 & S^* & -R^* & P + Q & \dots & \\ & & \vdots & & \ddots & \\ & & & \langle HH, LH | \mathbf{k} \cdot \mathbf{p} | SO \rangle & \dots & \\ & & & & -\Delta + P & 0 \\ & & & & 0 & -\Delta + P \end{pmatrix} \quad (3)$$



# Luttinger Hamiltonian (LH) in Spherical approximation

- **General form of LH:** products of  $j_x, j_y$  and  $j_z$  the component of  $\mathbf{j} = \frac{3}{2}$  produces 16 linearly independent matrix.

$$H(\mathbf{k}) = \frac{\hbar^2}{2m} \left[ (\gamma_1 + \frac{5}{4}\gamma_2)\mathbf{k}^2 + 2\gamma_2(\mathbf{k} \cdot \mathbf{J})^2 + 4(\gamma_2 - \gamma_3) \sum_{ij} \{k_i k_j\} \{J_i J_j\} \right]. \quad (4)$$

- **Spherical approximation :** In the case  $\gamma_2 = \gamma_3$ .

$$H(\mathbf{k}) = \frac{\hbar^2}{2m} \left[ (\gamma_1 + \frac{5}{4}\gamma_2)\mathbf{k}^2 + 2\gamma_2(\mathbf{k} \cdot \mathbf{J})^2 \right]. \quad (5)$$

# Green's function and Born approximation

Green function used to solve DE in mathematic. While in QM it is a powerful tool for solving

$$[i\partial_t - H]\psi(\mathbf{r}, t) = 0 \quad (6)$$

$$[i\partial_t - H]G(\mathbf{r}t, \mathbf{r}'t') = \delta(\mathbf{r} - \mathbf{r}') \delta(t - t') \quad (7)$$

The answer is

$$\psi(\mathbf{r}, t) = \int dr' G(\mathbf{r}t, \mathbf{r}'t') \psi(\mathbf{r}', t'). \quad (8)$$

# Luttinger Hamiltonian Green's function

- Green's function for a given **Hamiltonian**:

$$[i\partial_t - H]G(\mathbf{r}t, \mathbf{r}'t') = \delta(\mathbf{r} - \mathbf{r}') \delta(t - t') \quad (7)$$

So the **Furrier** transform is:

$$G(\mathbf{k}, \omega) = [\omega + i\eta - H(\mathbf{k})]^{-1} \quad (8)$$

- **Luttinger Hamiltonian** Green's function:

$$H_o(k) = \frac{\hbar^2}{2m} \left[ \frac{5}{4} \mathbf{k}^2 - (\mathbf{k} \cdot \mathbf{J})^2 \right] - \xi_F \quad (9)$$

$$\Rightarrow G_o(\mathbf{k}, \omega) = \frac{(\omega^+ + \xi_F)\gamma_o - \sum_i^5 h(k)\gamma_i}{(\omega^+ + \xi_F)^2 - \xi_{\mathbf{k}}^2} \quad (10)$$



# Self-energy

Interaction in the system :

$$G_0(\mathbf{k}, \omega) = [\omega + i\eta - H_0(\mathbf{k})]^{-1} \quad (11)$$

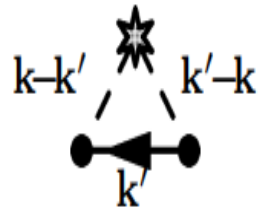
$$G_{in}(\mathbf{k}, \omega) = [\omega + i\eta - H_0(\mathbf{k}) - V_{ex}]^{-1} \quad (12)$$

$$G_{in}(\mathbf{k}, \omega)^{-1} = G_0(\mathbf{k}, \omega)^{-1} - \Sigma(i\omega) \quad (13)$$

$$\Sigma_{\mathbf{k}} \equiv \begin{array}{c} \star \\ | \\ \bullet \end{array} + \begin{array}{c} \star \\ / \quad \backslash \\ \bullet \longleftarrow \bullet \end{array} + \left( \begin{array}{c} \star \\ / \quad \backslash \\ \bullet \longleftarrow \bullet \end{array} + \begin{array}{c} \star \\ | \\ \bullet \longleftarrow \bullet \end{array} \right) + \left( \begin{array}{c} \star \\ / \quad \backslash \\ \bullet \longleftarrow \bullet \end{array} + \dots \right) + \dots = \text{shaded circle}$$

# Born approximation

- **First Born approximation:** this approximation contains the single scattering process:



$$\Sigma^{1BA}(i\omega) = \frac{\gamma_{imp}}{V} \sum_{\mathbf{k}'} u_{\mathbf{k}-\mathbf{k}'} G_0(\mathbf{k}', \omega) u_{\mathbf{k}'-\mathbf{k}} \quad (14)$$

- **Self-consistent approximation:** we replace the interaction Green's function with the clean system.

$$\left\{ \begin{array}{l} \Sigma^{SCBA}(i\omega) = \frac{\gamma_{imp}}{V} \sum_{\mathbf{k}'} u_{\mathbf{k}-\mathbf{k}'} G_{in}(\mathbf{k}, \omega) u_{\mathbf{k}'-\mathbf{k}} \quad (15) \\ G_{in}(\mathbf{k}, \omega)^{-1} = G_0(\mathbf{k}, \omega)^{-1} - \Sigma^{SCBA}(i\omega) \quad (16) \end{array} \right.$$

# First Born approximation

- Impurity:

$$U = u_0 \sum_i^{N_{imp}} \delta(\mathbf{r} - R_i) \quad (17)$$

$$\Sigma^{1BA}(i\omega) = \frac{\gamma_{imp}}{V} \sum_{\mathbf{k}'} u_{\mathbf{k}-\mathbf{k}'} G_0(\mathbf{k}', \omega) u_{\mathbf{k}'-\mathbf{k}} \quad (18)$$

$$\Sigma^{1BA}(i\omega) = \frac{\gamma_{imp} u_0^2}{2\pi^2} \times \frac{1}{2} \int_0^\alpha k^2 dk \left[ \frac{1}{\hbar\omega + i\eta + \xi_F - \xi_{\mathbf{k}}} + \frac{1}{\hbar\omega + i\eta + \xi_F + \xi_{\mathbf{k}}} \right] \quad (19)$$

$$\frac{1}{x \pm iy} = P \left( \frac{1}{x} \right) \mp i\pi\delta(x) \quad (20)$$

○ **Real part :**

$$\mathbf{Re}\Sigma^{1BA}(\hbar\omega) = \frac{\gamma_{imp}u_0^2}{2\pi^2} \times \frac{1}{2} \int_0^\alpha k^2 dk \left[ \frac{1}{\hbar\omega + \xi_F - \xi_k} + \frac{1}{\hbar\omega + \xi_F + \xi_k} \right]$$

$$\mathbf{Re}\Sigma^{1BA}(\hbar\omega) = -U\sqrt{|\hbar\omega + \xi_F|} \quad (21)$$

○ **Imaginary part:**

$$\mathbf{Im}\Sigma^{1BA}(\hbar\omega) = -\frac{\gamma_{imp}u_0^2}{4\pi} \int_0^\alpha k^2 dk [\delta(\hbar\omega + \xi_F - \xi_k) + \delta(\hbar\omega + \xi_F + \xi_k)]$$

$$\mathbf{Im}\Sigma^{1BA}(\hbar\omega) = -U\sqrt{|\hbar\omega + \xi_F|} \quad (22)$$

Where  $U = \frac{\gamma_{imp}u_0^2}{8\pi} \times \left( \left| \frac{2m}{\hbar^2} \right| \right)^{3/2}$

# Self-consistent approximation

$$\left\{ \begin{array}{l} \Sigma^{SCBA}(i\omega) = \frac{\gamma_{imp}}{V} \sum_{\mathbf{k}'} u_{\mathbf{k}-\mathbf{k}'} G_{in}(\mathbf{k}', \omega) u_{\mathbf{k}'-\mathbf{k}} \\ G_{in}(\mathbf{k}, \omega)^{-1} = G_0(\mathbf{k}, \omega)^{-1} - \Sigma^{SCBA}(i\omega) \end{array} \right.$$

- So we have :

$$\Sigma^{SCBA}(i\omega) = \frac{\gamma_{imp} u_0^2}{2\pi^2} \int_0^\alpha k^2 dk \left[ \frac{\hbar\omega + i\eta + \xi_F - \Sigma^{SCBA}(i\omega)}{(\hbar\omega + i\eta + \xi_F - \Sigma^{SCBA}(i\omega))^2 - \xi_{\mathbf{k}}^2} \right] \quad (23)$$

$$\Sigma^{SCBA}(i\omega) = -U(i+1) \sqrt{|\hbar\omega + \xi_F| - \Sigma^{SCBA}(i\omega)} \quad (24)$$

After solving this equation we found the real and imaginary part of the self-energy in the self-consistent approximation.

$$\Sigma^{SCBA}(i\omega) = -U(i+1)\sqrt{|\hbar\omega + \xi_F| - \Sigma^{SCBA}(i\omega)}$$

○ **Real part :**

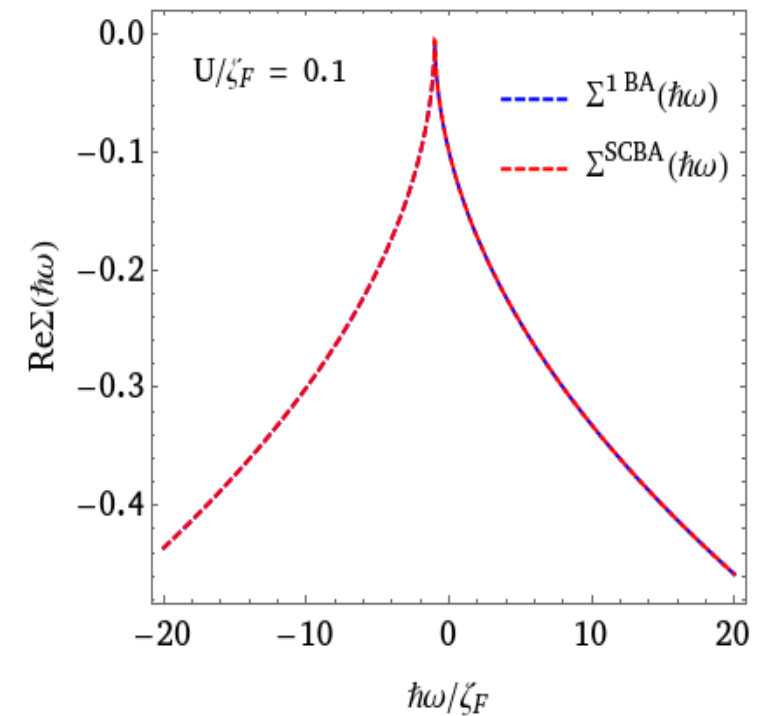
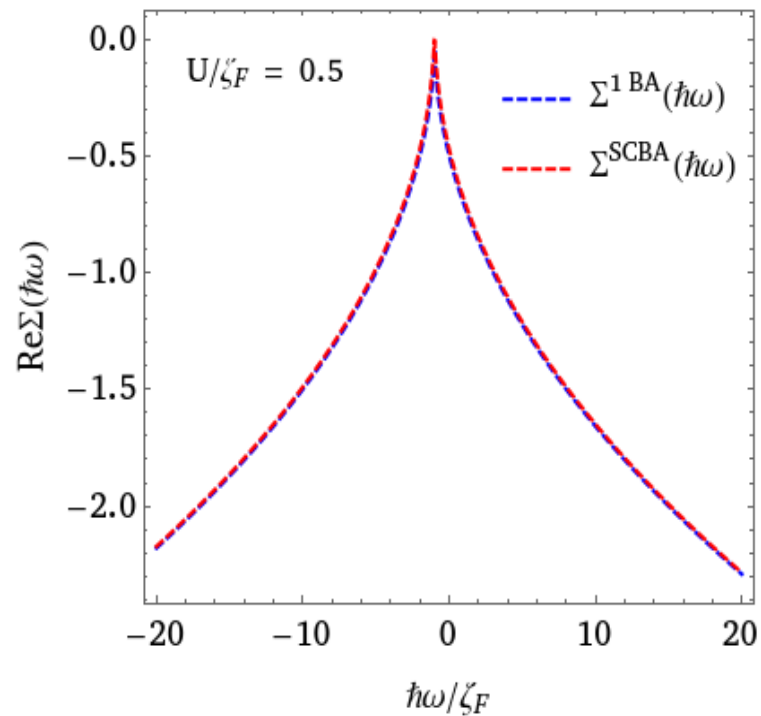
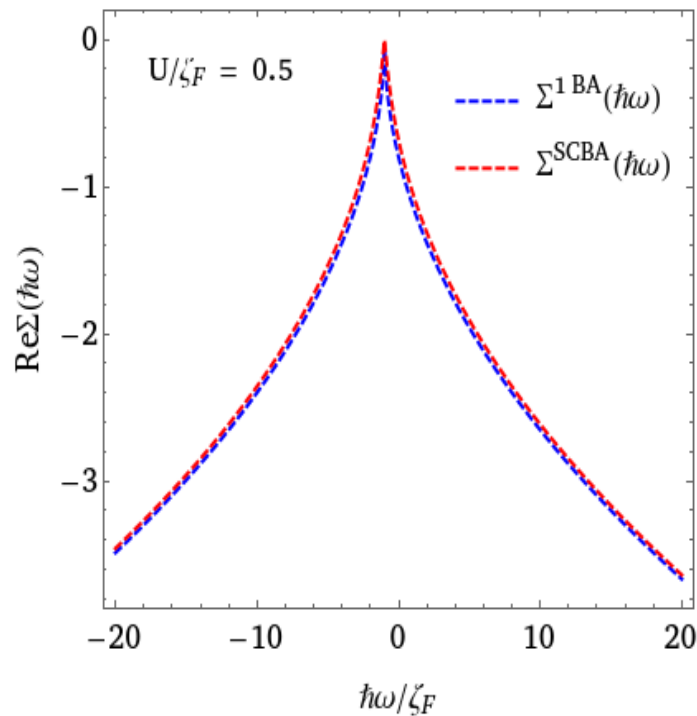
$$\text{Re}\Sigma^{SCBA}(i\omega) = -\frac{U|\hbar\omega + \xi_F|\sqrt{2}}{\sqrt{U^2 + \sqrt{U^4 + 4(|\hbar\omega + \xi_F|)^2}}} \quad (25)$$

○ **Imaginary part:**

$$\text{Im}\Sigma^{SCBA}(i\omega) = -\frac{\sqrt{3U^4 + U^2\sqrt{U^4 + 4(|\hbar\omega + \xi_F|)^2} + 2U^2\sqrt{2U^4 + 2U^2\sqrt{U^4 + 4(|\hbar\omega + \xi_F|)^2}}}}{\sqrt{2}} \quad (26)$$

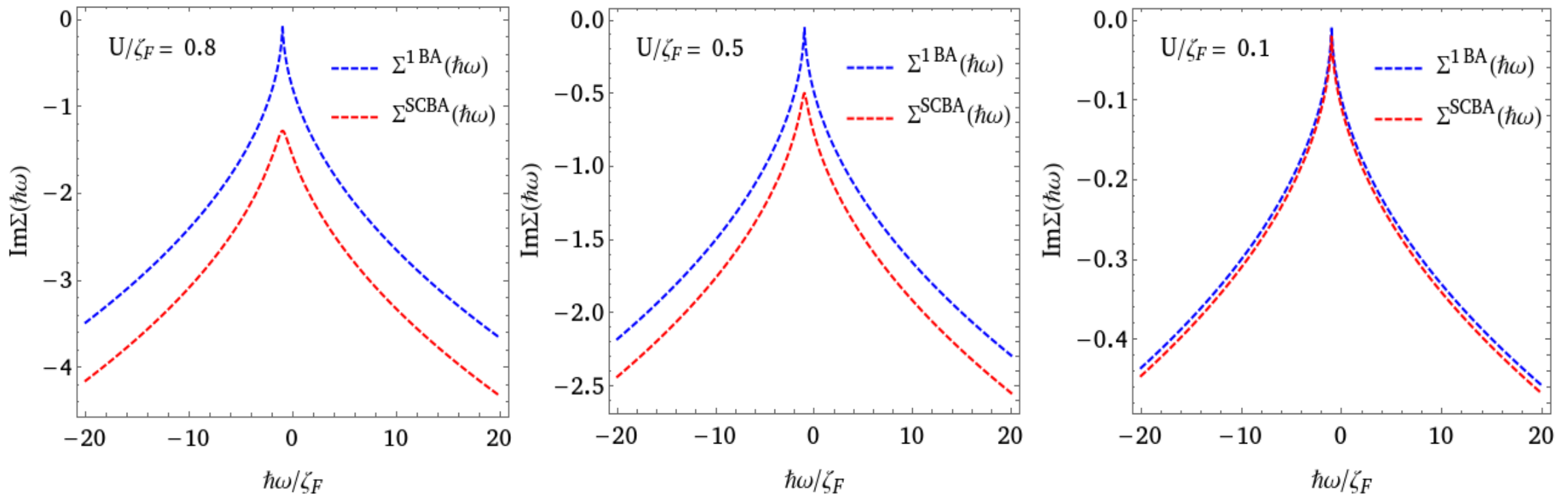
# Self-energy Real part in 1BA and SCBA

Real part of Self-energy plot as function of frequency in unit of Fermi energy.



# Self-energy Imaginary part in 1BA and SCBA

Imaginary part of Self-energy plot as function of frequency in unit of Fermi energy.





# Spectral function

According to definition:

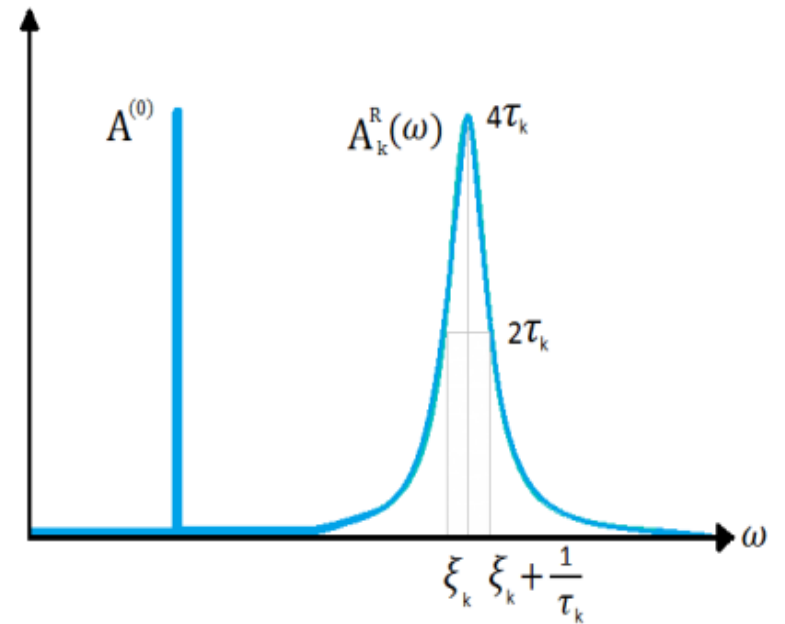
$$A(\mathbf{k}, \omega) = -\frac{1}{\pi} \mathbf{Im} \text{Tr} G(\mathbf{k}, \omega)$$

For Free particles the Green's function is as follow :

$$G^R(\mathbf{k}, \omega) = \frac{1}{\omega + i\eta - \xi_{\mathbf{k}}} \quad \Rightarrow \quad A(\mathbf{k}, \hbar\omega) = \delta(\omega + \xi_{\mathbf{k}})$$

$$G^R(\mathbf{k}, \omega) \approx -i\theta(t)e^{-i\xi_{\mathbf{k}}t}e^{-t/\tau}$$

$$A(\mathbf{k}, \omega) = -\frac{1}{\pi} \mathbf{Im} \int dt e^{i\omega t} G^R(\mathbf{k}, \omega) \approx \frac{1/\tau}{(\omega - \xi_{\mathbf{k}})^2 - (t/\tau)^2}$$



# (LS)'s Spectral function in the presence of Impurity

According to definition the clean system Spectral function is

$$A(\mathbf{k}, \omega) = -\frac{1}{\pi} \mathbf{Im} \text{Tr} G(\mathbf{k}, \omega)$$

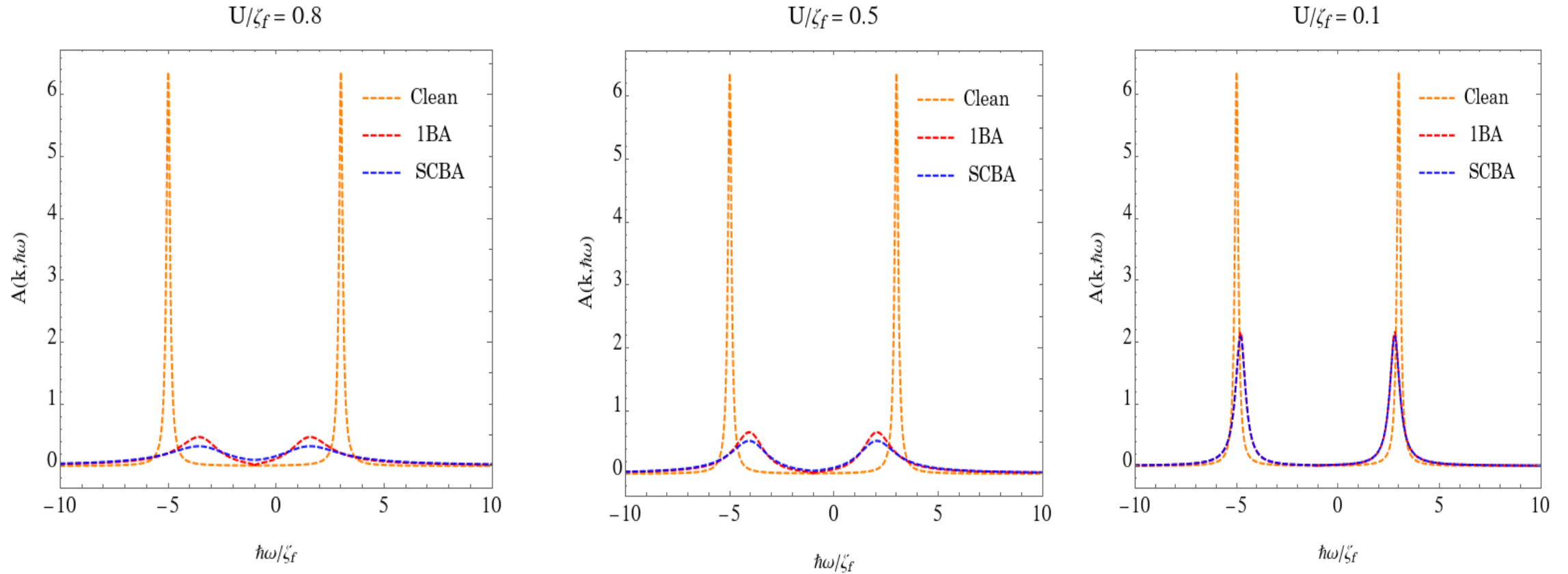
$$A(\mathbf{k}, \hbar\omega) = 2[\delta(\hbar\omega + \xi_{\mathbf{F}} - \xi_{\mathbf{k}}) + \delta(\hbar\omega + \xi_{\mathbf{F}} + \xi_{\mathbf{k}})] \quad (27)$$

In the interaction case (note that here  $\xi'_{\mathbf{k}} = \xi_{\mathbf{k}} - \mathbf{Re}\Sigma(\hbar\omega)$ ):

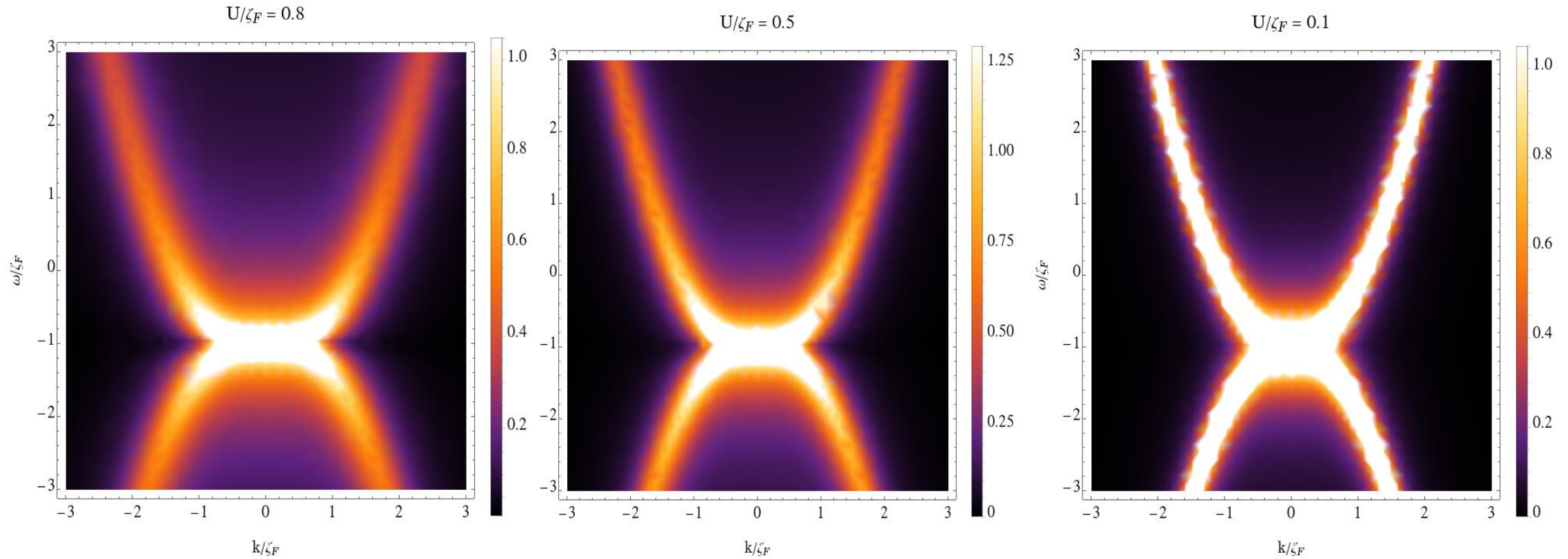
$$A(\mathbf{k}, \omega) = -\frac{2}{\pi} \left[ \frac{\mathbf{Im}\Sigma(\hbar\omega)}{(\hbar\omega + \xi_{\mathbf{F}} - \xi'_{\mathbf{k}})^2 + \mathbf{Im}\Sigma(\hbar\omega)^2} + \frac{\mathbf{Im}\Sigma(\hbar\omega)}{(\hbar\omega + \xi_{\mathbf{F}} + \xi'_{\mathbf{k}})^2 + \mathbf{Im}\Sigma(\hbar\omega)^2} \right] \quad (28)$$

# (LS)'s Spectral function in the presence of Impurity

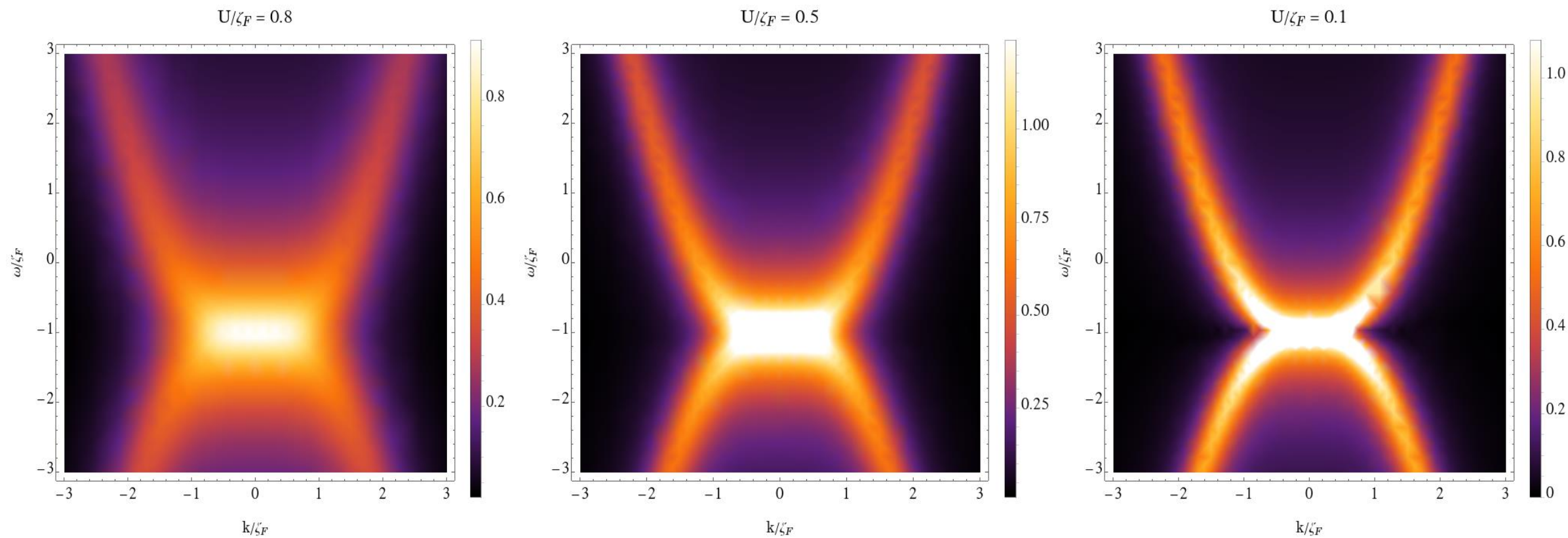
Spectral function for a constant wave vector:



# LS's Spectral function intensity in the 1BA



# (LS)'s Spectral function intensity in the SCBA



# Density of States (DOS)

- **Definition:**

According to definition we can calculate the DOS from the Green's function for the following relation:

$$D(\xi) = -\frac{g_s}{V} \sum_i^n \delta(\xi + \xi_i(\mathbf{k}))$$

Using the Green's function :

$$D(\xi) = -\frac{g_s}{\pi} \frac{1}{V} \sum_{\mathbf{k}} \mathbf{ImTr}G(\mathbf{k}, \omega)$$

# LS's DOS in the presence of impurity

- So the DOS of Luttinger Semimetals (LS) in the absence of the impurity is:

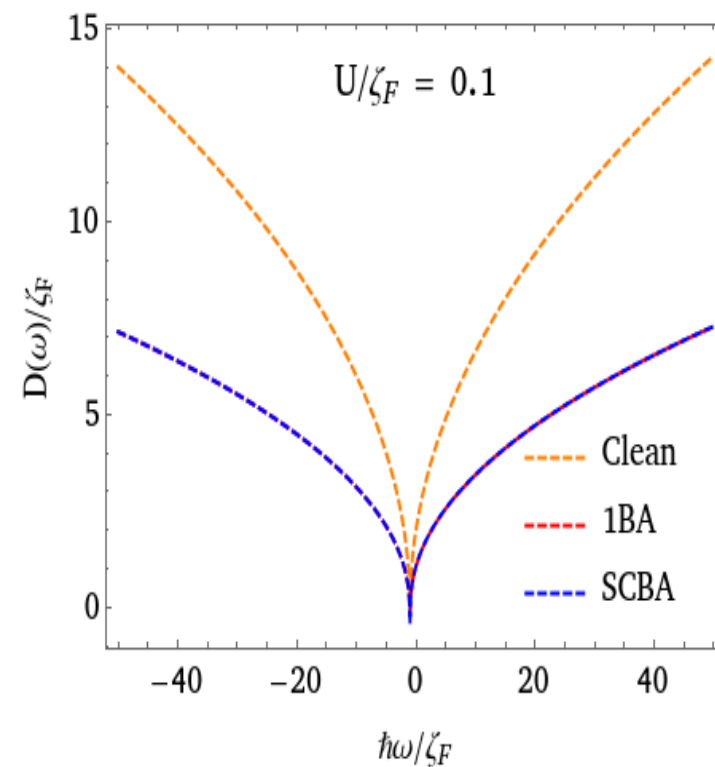
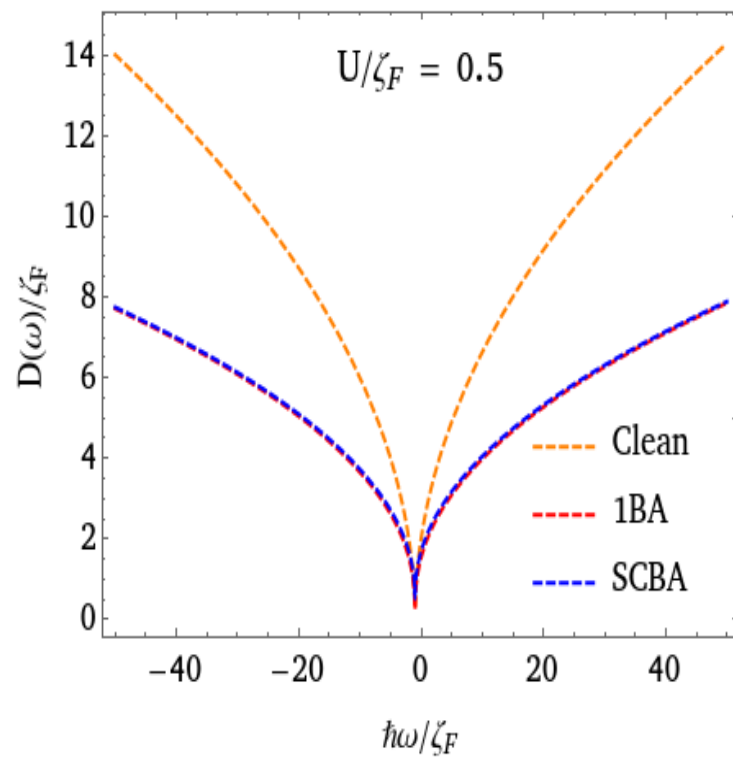
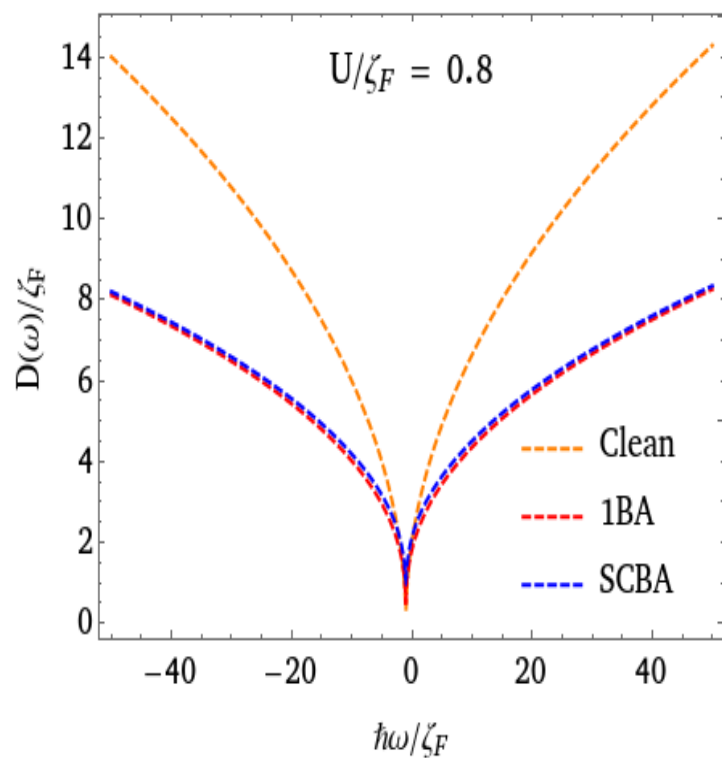
$$D(\xi) = -\frac{g_s}{V} \sum_{\mathbf{k}} A(\mathbf{k}, \omega) = D_o \sqrt{|\hbar\omega + \xi_F|}$$

- In the presence of impurity:

$$D(\xi) = -\frac{D_o}{2} \frac{|\hbar\omega + \xi_F| - \mathbf{Re}\Sigma(\hbar\omega) + \mathbf{Im}\Sigma(\hbar\omega)}{\left[ \left( |\hbar\omega + \xi_F| - \mathbf{Re}\Sigma(\hbar\omega) \right)^2 + \mathbf{Im}\Sigma(\hbar\omega)^2 \right]^{\frac{1}{4}}}$$

Where  $D_o = \frac{g_s}{\pi^2} \times \left( \left| \frac{2m}{\hbar^2} \right| \right)^{3/2}$

# LS's DOS in presence of Impurity





**Thank you!**