

e Abdus Salam

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**ICT** 

International Centre for Theoretical Physics

ational Atomic Energy Agency

Atoms for Peace and Development

### **Neutral Beam Injectors**

22<sup>nd</sup> May 2025 – Joint ICTP-IAEA Fusion Energy School International Centre for Theoretical Physics – Trieste

#### prof. Emanuele Sartori

*emanuele.sartori@igi.cnr.it* - Università degli Studi di Padova and Consorzio RFX Scientific leader of the ITER Neutral Beam Test Facility in Padova



Università degli Studi di Padova



### **Heating & Current Drive**



#### Efficiency

Auxiliary heating systems have their own efficiency:



#### Role of Additional heating systems

- Ohmic heating is not sufficient to reach fusionrelevant temperatures
- Access to H-mode or target confinement regimes
- Sustain non-inductive currents (current drive)
- Energy loss channels must be balanced, e.g.: thermal conduction, radiation, neutron power...

#### Power balance





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# **Neutral beams in fusion plasmas**

- current fast ions  $(H^{+}/D^{+})$ neutral beam (H<sup>0</sup>/D<sup>0</sup>) shinethrough Neutral beam intensitv Absorption legth
  - Ionization depth in the fusion plasma: characteristic length scales as

$$\lambda pprox rac{E}{18 \cdot n \cdot A}$$
 n in 10<sup>19</sup> m<sup>-3</sup>,  
E in keV,  
A in amu

- High densities and large plasma volume require high energy for depositing power at the core region of the fusion plasma
- Often, high-confinement regimes are achieved by neutral beam heating -> low plasma target thickness when neutral beam is activated, then, it evolves with time.



### What are Neutral Beams used for?





P. Vincenz, RFX

Fast neutrals  $\rightarrow$  fast ions  $\rightarrow$  non-inductive current

#### **Toroidal Torque**

Injected neutral flux imparts momentum, increasing plasma rotation

#### **Plasma Diagnostics**

CXRS, MSE – spectral analysis of light emission generated by collisions of fast neutrals with plasma



#### **Plasma Fuelling**

Useful for core fuelling, negligible for larger devices

### Neutral beam injectors integration



#### **NBI** physics

- Heating (temperature)
- Current drive (plasma current)
- Momentum injection (*plasma fluid rotation*)

#### **NBI** parameters

- Energy:
  - low -> small plasma/low density
  - high -> big plasma/high density, current drive
- Direction:
  - Tangential: longer path into dense plasma, current drive and torque input
  - Perpendicular: easier to integrate, short path into plasma, larger losses
  - On-axis vs off-axis: central heating, longer path into plasma vs larger current drive



### **Neutral beam injectors integration**



Joint European Torus JET (CCFE, UK)





### Present and future Neutral beam injectors (NBI)



NBI heating is dominant in most past, present and planned tokamaks

	R <sub>o</sub>	а	۱ <sub>p</sub>	B <sub>t</sub>	Installed heating power (MW)				
	(m)	(m)	(MA)	(T)	P-NBI	N-NBI	ECRH	ICRH	LH
TFTR	2.4	0.8	2.2	5	40	-	-	11	-
JET	2.96	1.25	4.8	3.45	34	-	-	10	7
JT-60U	3.4	1.1	5	4.2	40	3	4	7	8
AUG	1.65	0.65	1.2	3.1	20	-	6	8	-
EAST	1.7	0.4	1.0	3.5	8	-	4	12	10
DIII-D	1.67	0.67		2.1	20	-	5	4	-
JT-60SA	2.97	1.17	5	2.25	24	10	7	-	-
DTT	2.19	0.7	5.5	6	-	10	32	8	-
ITER	6.2	2.0	15	5.3	-	33-50	20-67	10-20	-



### **Positive and Negative-ion based NBIs**

Precursor ion beam:

Most tokamaks until now have used positive ions.



#### **Basic principle:**

The ion beam is accelerated electrostatically, through multi-aperture electrodes (beamlets), then neutralised interacting with a thick gas target.



### **Positive and Negative-ion based NBIs**

#### Precursor ion beam:

Most tokamaks until now have used positive ions.

However, neutralisation in gas cells of high-energy hydrogen ions is only possible from negative ions.

#### **Positive ions**

- Easy to produce (2400 A/m<sup>2</sup>)
- Multiple ion species (H<sup>+</sup>, H<sub>2</sub><sup>+</sup>, H<sub>3</sub><sup>+</sup>)
- Neutralisation efficiency decreases with energy

#### **Negative ions**

- Hard to produce (240-370 A/ m<sup>2</sup>)
- One species (H<sup>-</sup>)
- Co-extracted and stripped electrons constitute additional power load
- Neutralisation efficiency minimum 58%

Experimental Measurement at JT-60U [M. Kuriyama, Fus. Eng. Design, 39-40:115, 1998]





### **Negative-ion based injectors for ITER**



#### 2 (+1) HNB (H, D)

- V = 870 keV (H), 1 MeV (D)
- I = 46 A (H), 40 A (D)
- 1/e divergence < 7 mrad
- t<sub>pulse</sub> = 3600 s
- P<sub>beam</sub> = 16.5 MW
- 1 DNB (H)
- V = 100 keV
- I = 60 A
- t<sub>pulse</sub> = 3 s every 20 s
- ection  $F_{mod} = 5 Hz$





### **Negative-ion based injectors for ITER**



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### **Negative-ion based injectors for ITER**





### **ITER Neutral Beam Test Facility**



#### Padova



### Outline

- Introduction to neutral beam injectors
  - What are used for
  - Beam energy and precursor negative ion beams
  - Present international R&D in view of ITER

### NBI Systems

- Ion source
- Accelerator
- Neutraliser
- Residual ion dump and calorimeter
- Beam diagnostics
- Vacuum and power supplies
- Summary





Large Kamaboko source of JT60-U



### lon source

• Plasma near a wall forms a sheath  $\rightarrow$  losses to the walls

- Filament or RF driven discharge
- In the RF source, the resonant circuit is on board the source;
- Magnetic confinement is mandatory in filament sources to confine the primary electrons (magnets in multi-cusp configuration - strong field on the walls, field-free region in the centre of the source).



P Jain, et al., Plasma Phys. Control. Fusion 65 (2023) 095010



A sketch of an RF circuit of the SPIDER ion source.





### **Negative ion sources**



Y. OKUMURA et al., *Rev. Sci. Instrum.*, **67**, 1018 ~1996!. G. Serianni et al. Rev. Sci. Instrum. 93, 081101 (2022)



## **Negative ion sources**

- RF-driven source for ITER to minimize maintenance
- Extracted negative ion current density is comparable
- Considering the effective power coupled to the plasma, the negative ion current scales similarly, despite the different source type
- Uniformity of the extracted beam pattern is rather good





Examples of beam uniformity in SPIDER, various source parameters (pressure and RF power)



# Negative ion sources: caesium and filter field

#### Use of caesium to produce neative ions:

- Coats extraction grid and lowers work function (binding energy for electrons in a solid)
- H and H<sup>+</sup> can capture electrons to become H<sup>-</sup>
- Extractable current density increases by factor of 10



[D. Faircloth, CERN Accelerator School]



given the quite short  $\lambda_{-}$  of negative ions before extraction, **uniform H<sup>-</sup> production yield** at the extraction region is needed

$$n_{-} = \frac{(dn_{-}/dt)_{production}}{\nu_{removal}}$$



B field used in negative ion sources to separate in two regions the plasma: tandem concept implies vertical drifts (magnetised electrons)



At  $T_e$ =2 eV, electron detachment is 3 times faster

#### than at $T_e=1 \text{ eV}$ needs filter field of uniform effectiveness

Difficult design, given large dimensions.

#### LHD H<sup>-</sup> source



# JT60SA H<sup>-</sup> source

-400 -200 0 200 400 x [mm]

ITER H<sup>--</sup> source



$$v_{\nabla B}^{drift} = (mv_{\perp}^2/2qB) \mathbf{B} \times \nabla B/B^2$$

$$v_{E \times B}^{drift} = \mathbf{E} \times \mathbf{B}/B^2$$

$$v_{\nabla p \times B}^{drift} = -\nabla p_e \times \mathbf{B}/B^2$$



### Ion beam acceleration





SPIDER acceleration grid with 1280 apertures



Extractor and accelerator of MITICA duing alignment procedures



### Ion beam extraction from the source plasma





### Ion beam extraction from the source plasma

#### Beam composed by multiple beamlets

Extractable current limited by space-charge (Child-Langmuir law, planar diodes):

$$j_{s} = \frac{4\varepsilon_{0}}{9} \sqrt{\frac{2ez}{m}} \cdot \frac{1}{d^{2}} V^{3/2}$$

electrons,  $\chi = 2.334 \cdot 10^{-6} \, A/V^{3/2}$  protons,  $\chi = 5.45 \cdot 10^{-8} \, A/V^{3/2}$ 

(e electron charge, z ion charge state,  $\varepsilon_0$ vacuum permittivity)

The extractable ion beam current is proportional to  $V^{3/2}$ ; the proportionality constant is called **perveance** P of the extraction system:

$$P = \frac{I}{V^{3/2}} \, [\text{AV}^{-3/2}]$$



In negative ion sources, due to the low negative ion production rate, a limit given by the available current is reached.

By increasing the discharge power, normally, the available negative ion current density increases.

At the same perveance, the beam shape is identical! *i.e.* ion space-charge effects have the same significance compared to externally applied electric fields.

▶ 7



### Ion beam extraction from the source plasma

355A/m<sup>2</sup>

15

, θ

-10

Example in SPIDER triode accelerator, increasing perveance (i.e. increasing discharge power in the source plasma).

The beamlet focusing at the exit of the accelerator can be calculated from the RMS angle of ion trajectories with respect to the beamlet axis: "divergence"

177A/m<sup>2</sup>

20

15

10

5

-5

-10

r (mm)







### Ion beam acceleration



Larger accelerating voltage applied between EG and GG to reach 100s keV.

Each electrode act as an electrostatic lens, in particular EG:

$$f = \frac{4V}{E_2 - E_1}$$

V beam energy at electrode,  $E_1$  and  $E_2$  electric fields upstream and downstream the electrode f focal distance (f<0 diverging beamlet) An optimum ratio of potentials  $V_{acc}/V_{ex}$ shall be applied for minimizing the beam divergence:





### Ion beam optics



Experimentally, what are the knobs?

- Power into plasma discharge (arc power, RF power...)
- Extraction grid voltage Vext
- → plasma density → extracted current → perveance  $I \cdot V^{1.5}$

PG EG

- → perveance  $I \cdot V^{1.5}$ → electrostatic lenses f=4U/(E2-E1)
- Acceleration grid voltage Vacc → electrostatic lenses f=4U/(E2-E1)





### Ion beam optics: complex interactions

- Permanent magnets in extraction grid deflect electrons... but also ions!
- Compensation methods required for ions: Electrostatic compensation at EG (steering grid), or compensating magnets inside EG or GG...



- Complex interactions between beamlets: coulomb repulsion (acting especially in the low energy part of the accelerator)
- Compensation must be applied to correct the steering angle

Multibeamlet repulsion inside the accelerator







### Losses and power loads on electrodes



In Negative ion beams, electron detachment from  $\underline{H}^{-}$  is highly

 $H^-, H_2 \rightarrow H, H_2, e$ 

If it occurs at incomplete acceleration, neutral particles are useless for plasma heating! With o total stripping crosssection, the stripping loss reads:

 $L_s = \int_{-\infty}^{x_{GG}} -\sigma(V(x))n_g(x)dx$ 

(up to 30% of negative ion current is lost in MITICA/ITER HNB!!)

beam halo

e- (stripped)

e- (co-extracted)

the stripped electron is accelerated by the electric field onto the electrodes: losses of efficiency and large heat loads



# **High Voltage conditioning**



- proceeds by applying an increase voltage to the electrodes, causing the emission of charges, up to a breakdown;
- When the voltage is applied again, a slightly higher voltage



https://www.iter.org/node/20687/successful-round-tests-mitica

1000

about 100 h of HV applied



*What are these points?* 

Movie...

# Neutraliser cell





MITICA neutraliser



Neutraliser integrated with each ion source at JET



~10m long neutraliser cell in JT60-SA



### Ion beam drift: beam plasma formation



- Ion beam cannot propagate in a vacuum: beam ions are "repelled" by Coulomb interaction
- Space Charge Compensation (SCC): potential well produced by beam charge density traps secondary charges of opposite sign

$$\underline{H}^{\pm} + H_2 \rightarrow \underline{H}^{\pm} + e + H_2^{+} \qquad \text{Ionization of background gas by beam particle}$$

$$\underline{e} + H_2 \rightarrow 2e + H_2^{+} \qquad \text{Ionization of background gas by secondary electrons}$$

$$\underline{H}^{-} + H_2 \rightarrow \underline{H}^{0} + \underline{e} + H_2 \qquad \text{Stripping of beam particle (Negative Ion Beam only)}$$

- electrons compensate H<sup>+</sup> beams, H<sub>2</sub><sup>+</sup> ions compensate H<sup>-</sup> beams; positive ion beams are usually slightly undercompensated, negative ion beams are slightly overcompensated.
- Beams can travel long distances in low density gases! Studied in the 70s for space-war applications
- If complete compensation is assumed, the beamlet optics is fixed after the accelerator (fixed divergence angle  $\omega$ ).



Background charge of opposite sign

### Ion beam drift: beam plasma formation



- Ion beam cannot propagate in a vacuum: beam ions are "repelled" by Coulomb interaction
- Space Charge Compensation (SCC): potential well produced by beam charge density traps secondary charges of opposite sign

 $\underline{H}^{\pm} + H_2 \rightarrow \underline{H}^{\pm} + e + H_2^+ \qquad \text{Ionization of background gas by beam particle}$   $\underline{e} + H_2 \rightarrow 2e + H_2^+ \qquad \text{Ionization of background gas by secondary electrons}$   $\underline{H}^- + H_2 \rightarrow \underline{H}^0 + \underline{e} + H_2 \qquad \text{Stripping of beam particle (Negative Ion Beam only)}$ 

- electrons compensate H<sup>+</sup> beams, H<sub>2</sub><sup>+</sup> ions compensate H<sup>-</sup> beams; positive ion beams are usually slightly undercompensated, negative ion beams are slightly overcompensated.
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### Ion beam neutralization



 Beam neutralization: normalized fluxes of beam species evolve as a function of x:

$$\frac{d\Gamma}{\Gamma} = \pm \sigma_{ij} n_g(x) dx$$

- Cross-sections  $\sigma_{ij}$  (e.g. Barnett's ORNL "Red book", IAEA "Aladdin")
- Competing charge-changing channels are always present, for instance:

 $\begin{array}{ll} \underline{H}^- + H_2 \rightarrow \underline{H} + H_2 + e & \text{neutralization} \\ & & \\ & & \\ \underline{H}^- + H_2 \rightarrow \underline{H}^+ + H_2 + 2e & \text{double electron detachment} \\ & \\ \underline{H} + H_2 \rightarrow \underline{H}^+ + H_2 + e & \text{reionization} \end{array}$ 



• neutralization yield as a function of the integrated gas density (or "target thickness") has a maximum, then decreases due to reionization losses.

Example: deuterium D<sup>-</sup> beam accelerated at 1 MV



# **Residual ion dump and calorimeter**





Electrostatic Residual Ion Dump and swirl-tube calorimeter in MITICA



# **Residual ion dump**

Remaining ions (positive and/or negative) need to be removed from the beam before reaching the tokamak field.

- Deflected either magnetically or electrostatically
- Cooled plates intercept ions at off-normal incidence

#### **MAST-U RID**

- 12 MW/m<sup>2</sup>
- hypervapotrons



#### **ITER E-RID**

- 20kV ± 10 kV
- From 3 MW/m<sup>2</sup> (7 mrad divergence) to 8.5 MW/m<sup>2</sup> (3 mrad divergence)
- CuCrZr panels with swirt tapes



**Figure 7.** Spatial density distribution of the different component of the beam at  $t = 15 \,\mu s$  at the middle plane (x = 0).





### Calorimeter

#### Use:

- Needed for beam conditioning outside of tokamak pulses (HV, Cs...)
- Measure beam power, beam footprint, neutralisation fraction

#### Thermomechanical design:

- High Heat Flux component design (~15–20 MW/m<sup>2</sup>): use of swirl tapes or hypervapotron to enhance local heat transfer coefficient.
- Number of beam cycles: fatigue life Beam on/off cycles Breakdowns
- Material activation: subject to direct neutron flux from the torus → minimize volume, low-activation materials

#### **MAST-U** calorimeter

 12 MW/m<sup>2</sup> (hypervapotrons)



#### **ITER calorimeter**

- From 7.3 MW/m<sup>2</sup> (7 mrad divergence) to 14.3 MW/m<sup>2</sup> (3 mrad divergence)
- 13 MW/m<sup>2</sup> (swirl tubes)
- Steady-state: 100 kg/s cooling water
- Boiling & turbulence to improve heat transfer coefficient
- ✓ Stress from internal pressure of coolant
- ✓ Material considerations limit temperature of element e.g. CuCrZr<450C to avoid precipitation of Cr leading to hardening







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### **CFC** inertial calorimeter

Carbon fibre composite blocks used as calorimeter.

- When exposed to a short beam pulse, CFC tile temperature distribution reaches equilibrium in the fibre direction (beam direction) within few second.
- Footprint of the beam is practically "frozen" and infrared imaging can be used to derive power density distribution.

Properties of the diagnostic:

- Short pulses
- High spatial resolution: 1-2 mm
- Accuracy: <10%





Example with isolated beamlets



### **Doppler shift spectroscopy**



Light emission from interaction between beam particles and residual gas molecules:

- Target emission
- Beam emission

 $\frac{\lambda}{\lambda_0} = 1 + \varepsilon - \sqrt{\frac{\varepsilon(\varepsilon + 2)}{\varepsilon + 1}} \cos \theta, \quad \varepsilon = T / m_o c^2 \quad T, \text{ beam energy } (J)$ Line intensity ratio  $\Rightarrow$  species composition
Line width  $\Rightarrow$  beam divergence, optimum perveance
Line shape  $\Rightarrow$  accelerator losses
Spatial resolution  $\Rightarrow$  beam profiles, non-uniformity
A. Shepherd





R Agnello, measurements in SPIDER

N-NBI



# **Pumping system**



[2] M. Dremel, et al, Nucl Fus **49** 075035 (2009)



# **Cryopump design and requirements**



#### Requirements given by neutraliser gas:

• Required gas throughput at the neutraliser reads:



- Due to cryopump limited capacity, the operation time is inversely proportional to *Q*
- Because of the beam divergence  $\omega$ , the transmitted power *F* decreases with the total beamline lenght:





### **Power supplies**





# **Summary and ITER perspective**

#### Neutral beams offer:

- Flexible and powerful heating method (high total power, long pulses, power waveforms, beam position)
- Ease of coupling to plasma. No upper limitations regarding plasma density
- High reliability
- Complex, robust and well-established technology

#### **Disadvantages:**

- Low efficiency at present (but negative ion precursor is better)
- Expensive

#### ITER Heating neutral beam developments:

- RF-based sources confirmed linear dependence of ion current against discharge power.
- Rather uniform beam pattern on calorimeter.
- Voltage holding up to 870 kV verified (without beam)
- Achieving low divergence and proper beamlet aiming to achieve target power

#### Acknowledgement

My thanks to the teams in the Neutral beam test facility, IO, laboratories and DAs helping us and working on the systems mentioned in this presentation.











#### **Students in Thesis and Interniship**

6 courses in Bachelors and Master programmes dedicated to Fusion Science and Engineering

PhD in "Fusion Science and Engineering" since 2006 ~10 PhD students/year

Post doc and young researcher- grant

# Thank you!

### **Neutral Beam Injectors**

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*emanuele.sartori@igi.cnr.it* - Università degli Studi di Padova and Consorzio RFX Scientific leader of the ITER Neutral Beam Test Facility in Padova



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