

# Approaches to and Preparation for the Operation of SMRs

Based upon IAEA TECDOC-2110

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Joint IAEA-ICTP Workshop on Reactor Physics, Thermal Hydraulics and  
Plant Design Engineering of Small Modular Reactors (SMR4212)

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# Self Introduction

## | Jaehun JUNG

- Consultant(Cost Free Expert) at Nuclear Power Engineering Section



- **KHNP, Republic of Korea for 22 years**
  - ✓ 12 years at NPPs, 10 years at Headquarters
  - ✓ Plant Operation, Investigation of NPP Accident, Safety Culture Management
  - ✓ My last position: General Manager on Nuclear Policy Management
- **Educational Background and Others**
  - ✓ Senior Reactor Operator of PWR900MW(WH): 2007
  - ✓ Master of Business Administration Degree: 2018
  - ✓ Bachelor of Mechanical Engineering: 2002
  - ✓ World Nuclear Summer Institute: 2012

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IAEA TECDOC SERIES

No. 2110

Approaches to and Preparation  
for the Operation  
of Small Modular Reactors

# Background and Objective of TECDOC

## | Background

- A growing interest in SMR technology in Member States.
- 2 NPPs with SMR are in commercial operation (China & Russia).
- 3 units under construction for operation by 2030, several units to start construction for operation 2030 – 2035.

## | Objective


- Technical Guidance on Operation Readiness for SMRs
  - ✓ Technical information for operation of near term deployable SMRs.
  - ✓ Operational readiness and Strategy based on current operating SMRs and conventional NPPs.

# Timeline of the TECDOC Development

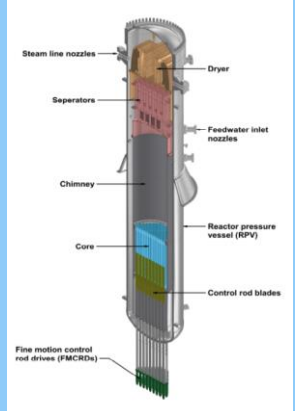


# Participants of MSs (SMR)

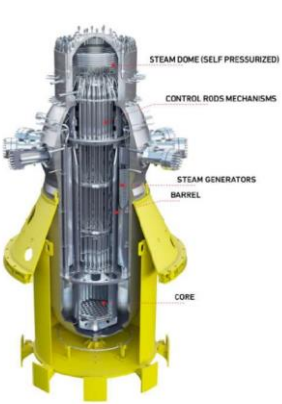
## ACP100 (CNNC, China)

	Value
	Integral PWR
	Light water / Light water
	385MW(t) / 125MW(e)
	Forced circulation
	UO <sub>2</sub> / 17 × 17 square (57)
	Passive
Under construction	

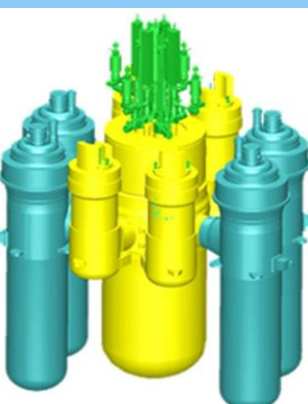
## BRWX-300 (GE-Hitachi, USA&Japan)

	Value
	Boiling water reactor
	Light water / Light water
	870MW(t) / 300MW(e)
	Natural circulation
	UO <sub>2</sub> / Square Lattice (240)
	Passive
Under construction	

## CAREM (CNEA, Argentina)

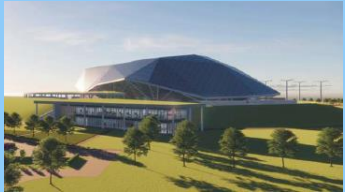
	Value
	Integral PWR
	Light water / Light water
	100MW(t) / ~30MW(e)
	Natural circulation
	UO <sub>2</sub> / Hexagonal (61)
	Passive
Under construction	

## KLT-40S (Rosatom, Russia)

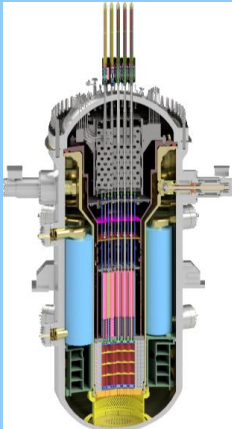
	Value
	PWR
	Light water / Light water
	150MW(t) / 35MW(e)
	Forced circulation
	UO <sub>2</sub> / Silumin Matrix (121)
	Active (partially passive)
<b>In Operation</b>	

# Participants of MSs (SMR)

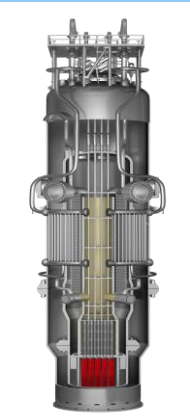
## Rolls-Royce (Rolls-Royce, UK)

	Value
	3-loop PWR
	Light water / Light water
	1385MW(t) / 470MW(e)
	Forced circulation (Natural Backup)
	UO <sub>2</sub> / 11 × 11 square (121)
	Passive and Active
	Mature Conceptual Design

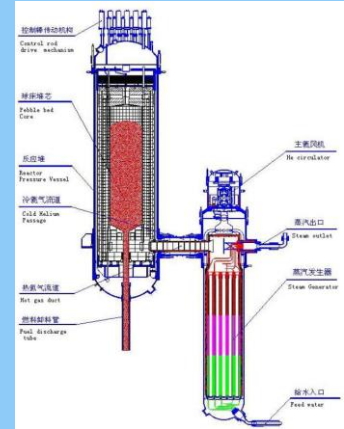
## SMART (KAERI, Rep. of Korea)

	Value
	Integral PWR
	Light water / Light water
	365MW(t) / 107MW(e)
	Forced circulation
	UO <sub>2</sub> / 17 × 17 square (57)
	Passive
	Detailed Design


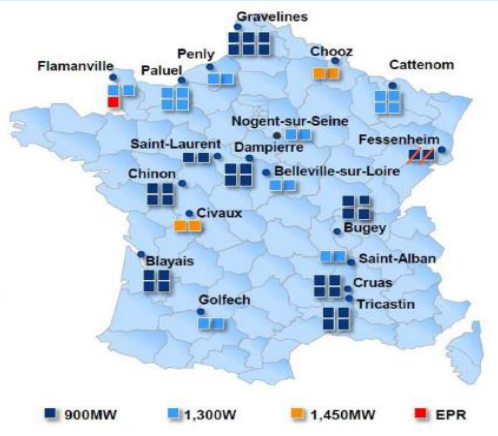
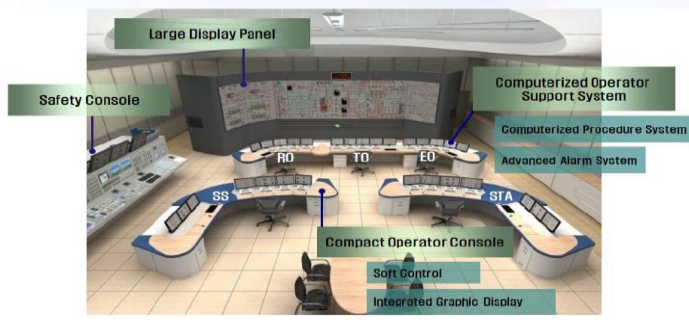
## VOYGR™ (Nuscale, USA)

	Value
	Integral PWR
	Light water / Light water
	250MW(t) / 77MW(e)
	Natural circulation
	UO <sub>2</sub> / 17 × 17 square (37)
	Passive
	Received Standard Design Approval

## HTR-PM (Huaneng, China)

	Value
	High Temp. gas cooled Reactor
	Helium / Graphite
	2 × 250MW(t) / 210MW(e)
	Forced circulation
	Spherical elements with coated particle fuel (420,000 per module)
	Combined Active and Passive
	<b>In Operation</b>

# Participants of MSs (Large NPP)

Darlington NPPs (Ontario Pow. )	NPPs in France (EDF)	Saeul NPPs (KHNP)
		
<ul style="list-style-type: none"> <li>• CANDU PHWR 4 Reactor Unit <ul style="list-style-type: none"> <li>- Designed in 1970s</li> <li>- Operation in 1990s</li> </ul> </li> <li>• Each 2776 MW(t) /878 MW(e)</li> <li>• 1 Integrated Main Control Room covering 4 units</li> </ul>	<ul style="list-style-type: none"> <li>• NPP units in France</li> <li>• Flexible design capabilities <ul style="list-style-type: none"> <li>- Daily / Cycle / Monthly shape / Per reactor / Fleet overview</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• APR1400</li> <li>• 2 Reactor units / 2 two identical MCR</li> <li>• Fully digitalized control system and Human Machine Interface</li> </ul>
<p><b>1 MCR for 4 Units</b></p>	<p><b>Flexible Operation</b></p>	<p><b>Digitalized Control System and HMI</b></p>

# Outline of Publication

## Section 1: Introduction

- Background, Objective, Scope, and Structure

## Section 2: Perspective of near-term deployable SMRs

- Key features of near-term deployable SMRs; Water-cooled SMRs, modular HTGRs

## Section 3: Key aspects of SMR Plant Operations

- LCOs in Tech Spec, safety limit, diff. between large NPPs and SMRs, Operator Consoles and Interface, Startup and Operational Approach (operating proc. & guidelines)

## Section 4: Control and Maneuver Approach of SMRs

- Control of NSSS [diff: large NPP vs SMR]; Control of BOP; Power-flow-map for startup (BWR and natural circulation PWR); Flexible operation

## Section 5: Impact of Operation to Fuel Integrity

- General fuel integrity, fuel integrity due to flexible operation, specific fuel design and characteristics

## Section 6: Operating Management and HMI

- Arrangement of MCR crews in SMRs, Roles and Responsibility of MCR crews, Human Machine Interface

## Section 7: Summary and conclusions

- Summary and main conclusions regarding the status of conduct of operation of SMRs; Recommendations, etc.

# Scope

## 1. Key aspects of SMR Plant Operations

- Modes of plant operation
- Limiting Conditions for Operation (LCOs) in T.S
- Start-up and operation approach
- Normal operating procedures and guidelines

## 2. Control and Maneuver Approach

- Control of Nuclear Steam Supply System (NSSS)
- Control of Balance of Plant (BOP) system
- Power-flow map for normal and transient operation
- Flexible and load following operation

## 3. Impact of Operation to Fuel Integrity

- General fuel integrity of SMRs
- Fuel integrity of SMRs due to flexible operation,
- Specific fuel design and characteristics

## 4. Operating Management and Human Machine Interface

- Arrangement of MCR crews
- Roles and Responsibility of MCR crews
- Human Machine Interface

# What makes SMR Different?

## □ Why SMR Now?

- Energy transition & renewables variability
- Need for flexible, smaller, deployable reactors
- Multi-purpose: electricity + hydrogen + desalination + etc.

## □ Differences

Feature	Large NPP	SMR
Size	GW-scale	10–300 MW
Safety	Active systems	Passive systems
Operation	Base-load	Flexible/load-following
Construction	Site-built	Factory-built modules
Staffing	Large crews	Small, automated crews

# SMR Operation Philosophy

## □ **Simpler Systems**

- ✓ Integrated and simplified system design
- ✓ Fewer components and interfaces

## □ **More Automation**

- ✓ Advanced digital control systems manage routine operations
- ✓ Consistent and optimized plant performance

## □ **Inherent & Passive Safety**

- ✓ Safety functions based on natural forces (gravity, natural circulation)
- ✓ Extended grace period for operator response

## □ **Multi-Module Coordination**

- ✓ Multiple reactor modules operated from a single control room
- ✓ Flexible power adjustment through module dispatch

## ➤ **Operator Role Shift**

- **From Manual Control → To Supervisory Control**

# How SMRs Operate?

## 1. Modes of Operation

- Plant operating statuses classified under one of several modes corresponding to combination of reactivity, coolant temp., etc.

### < APR1400 >

Mode	Title	Reactivity condition ( $K_{eff}$ )	Rated thermal power (%)	Reactor coolant average temperature ( $T_{avg}/^{\circ}C$ )
1	Power operation	$\geq 0.99$	$> 20$	n.a.
2	Startup operation	$\geq 0.99$	$\leq 20$	n.a.
3	Hot standby	$< 0.99$	n.a.	$\geq 215$
4	Safe shutdown	$< 0.99$	n.a.	$93 < T_{avg} \leq 215$
5	Cold shutdown	$< 0.99$	n.a.	$\leq 93$
6	Refuelling operation	n.a.	n.a.	n.a.

### < KLT-40S >

Mode	Title	Reactivity condition ( $K_{eff}$ )	Reactor cold/hot leg coolant temperature ( $^{\circ}C$ )
1	Power operation	$\geq 0.99$	280/316
2	First criticality	$\geq 0.99$	280/316
3	Hot shutdown	$< 0.99$	$> 99$
4	Cold shutdown	$< 0.99$	$\leq 99$
5	Shutdown for repair/refuelling	n.a.	$\leq 60$

### < HTR-PM >

Mode	Title	Reactivity ( $k_{eff}$ )	Rated thermal power (%)	Average core temperature ( $T_{avg}/^{\circ}C$ )
1	Power operation	$\geq 0.99$	$\geq 30$	N/A
2	Startup	$\geq 0.99$	$< 30$	N/A
3	Normal shutdown	$< 0.99$	N/A	$\geq 150$
4	Cold shutdown	$< 0.99$	N/A	$150 > T_{avg} \geq 50$
5	Maintenance Shutdown	$< 0.99$	N/A	$< 50$

➤ Fewer Modes, Load-following flexibility, Extended fuel cycle, Modular operation., etc

# How SMRs Operate?

## 2. Operating Limit and Conditions

- A set of parameters with limiting values that are necessary for the safe operation of the plant in Tech. Specification.

Parameter	APR1400	KLT-40S	SMART	VOYGR
<b>Safety Limits</b>	<ul style="list-style-type: none"> <li>• DNBR<sup>1)</sup> <math>\geq 1.29</math></li> <li>• Peak fuel Temp. <math>\leq 2805^{\circ}\text{C}</math></li> <li>• RCS Pr. <math>\leq 19.0</math> Mpa</li> </ul>	<ul style="list-style-type: none"> <li>• Rx Power (6Cond.)</li> <li>• Power doubling period (15sec.)</li> <li>• RCS Pr. (5Cond.)</li> <li>• Primary coolant flow rate</li> <li>• Feed water Rate</li> </ul>	<ul style="list-style-type: none"> <li>• DNBR<sup>1)</sup> <math>\geq 1.50</math></li> <li>• LHR<sup>2)</sup> <math>\leq 622</math> W/cm</li> <li>• RCS Pr. <math>\leq 18.7</math> Mpa</li> </ul>	<ul style="list-style-type: none"> <li>• CHF<sup>3)</sup> correlation</li> <li>- NSP4 <math>\leq 1.21</math></li> <li>- NSPN-1 <math>\leq 1.15</math></li> <li>• Fuel Temp. : <math>1.37 \times 10^{-3} \times \text{burnup, MWD/MTU}^{\circ}\text{F}</math></li> <li>• RCS Pr. <math>\leq 16.7</math> Mpa</li> </ul>

\* 1) DNBR: Dep. Of Nuclear Boiling Ratio, 2) LHR: Linear Heat Ratio, 3) CHF: Critical Heat Flux

➤ **Passive safety functions, Long operating intervals, Modular safety interactions., etc**

# How SMRs Operate?

## 3. Flexible Power Operation (Large NPPs)

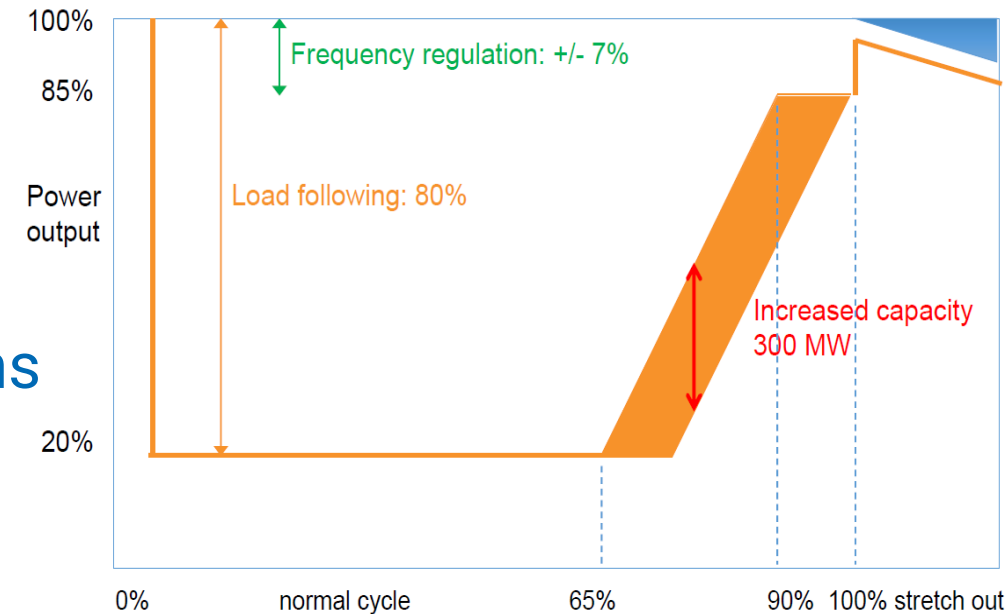
### | NPPs in France

- Load following (Agreed with the grid operator)
  - ✓ 2 Large variations/day
  - ✓ Ramp up / down in 30min
  - ✓ Down to 20% nominal power
- Frequency Control : Automatic load variations
  - ✓ Frequency Inertia
  - ✓ Voltage control

### ➤ Effects of Flexible Operation

- ✓ Environment : No noticeable radioactive release
- ✓ Chemistry & Worker : No noticeable impact and nor radiological condition
- ✓ Operations & Maintenance : **No impact on the plant lifetime and corrective maintenance**

### <Flexible design capabilities of French PWR>



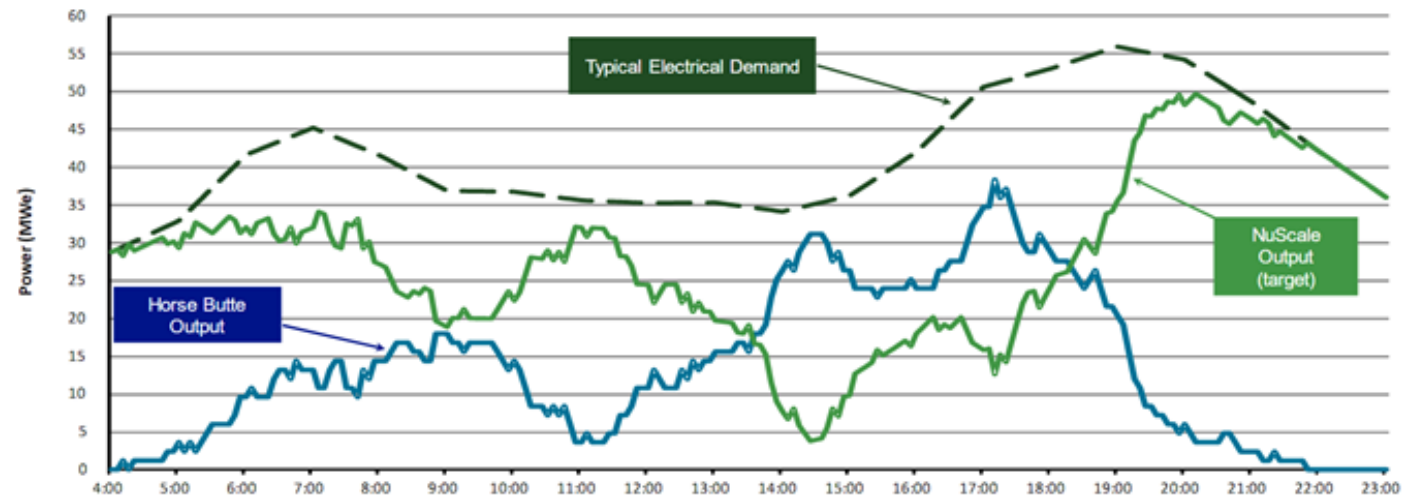
# How SMRs Operate?

## 4. Flexible Power Operation (SMR)

### | VOYGR™

- Module dispatch
  - ✓ Up : HSD → 100% (13 hr)
  - ✓ Down : 100% → HSD (30 min)
- Reactor power change
  - ✓ Up : 50 → 100% (60 min)
  - ✓ Down : 100 → 50% (15 min)
- Turbine bypass
  - ✓ Up : 20 → 100% (27 min)
  - ✓ Down : 100 → 20% (8 min)

Flexible Operations Enable Further Growth in Renewables



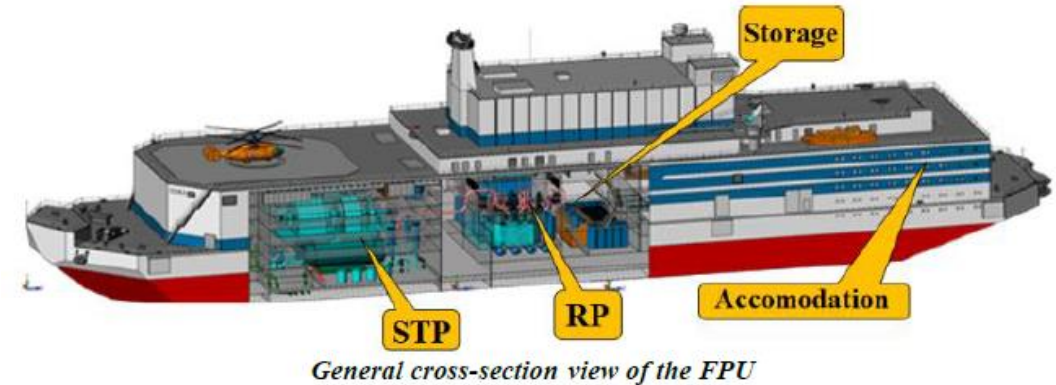
NuScale design meets or exceeds EPRI Utility Requirements Document (URD), Rev. 13, load following and other ancillary service requirements.

# How SMRs Operate?

## 4. Flexible Power Operation (SMR)

### | KLT-40S

- Both Automation and Manual Operation
  - ✓ Regulate reactivity
  - ✓ Feedwater flow rate
  - ✓ Turbine bypass flow rate
- Reactor control and protection system that ensure the NSSS safety of in all operation modes
  - ✓ Small load changes: Control rods Movement
  - ✓ Significant load change: Water exchange with dissolved absorber concentration in RCS



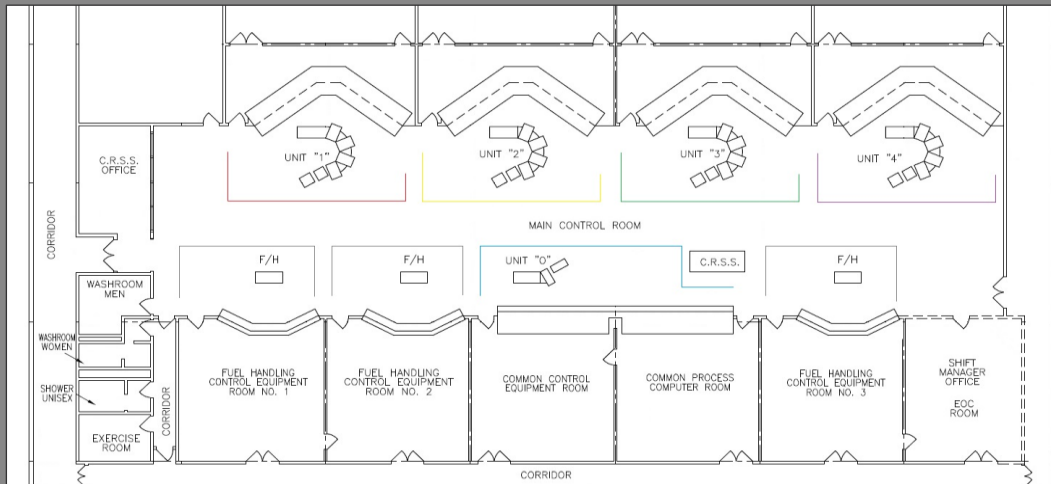
### | SMART

- Reactivity change: Single bank movement

# How SMRs Operate?

## 5. MCR Arrangement & Human Man Interface (Large NPPs)

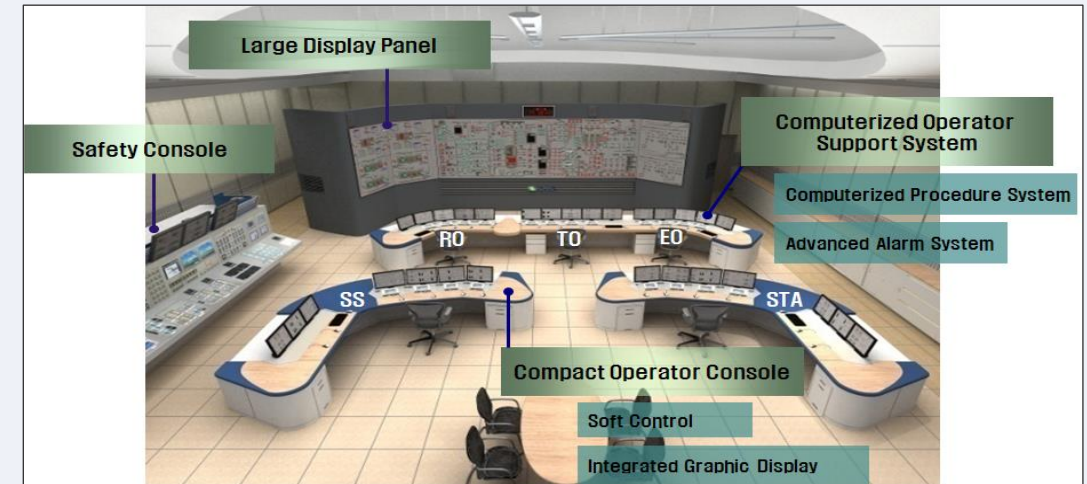
### Darlington Unit #1~4 (CANDU)



### MCR Crews (12)

- 6 Authorized Nuclear Operators (ANO)
- 2 Unit #0 Control Room Operators (CRO)
- 1 Control Room Shift Supervisor (CRSS)
- 1 Shift Manager (SM)
- 1 FH Control Room SNO
- 1 FH Panel Qualified Operator (PQO)

### Saeul Unit #1 (APR1400)



### MCR Crews (5)

- 1 Reactor Operator (RO)
- 1 Turbine Operator (TO)
- 1 Electrical Operator (EO)
- 1 Shift Technical Advisor (STA)
- 1 Shift Supervisor (SS)

# How SMRs Operate?

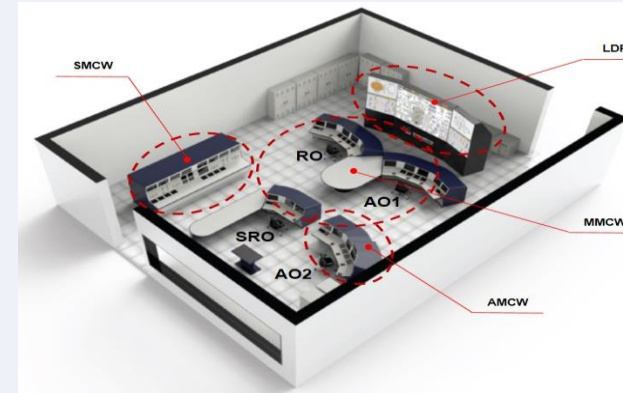
## 5. MCR Arrangement & Human Man Interface (SMR)

### KLT-40S



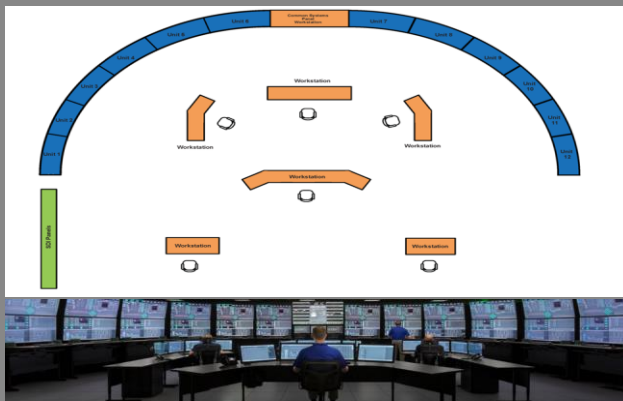
- 2 Set of Units/12 Crews
- 1 Plant Supervisor
- 2 lead unit Control Eng.
- 1 Rx. Dep. Operator
- 1 Sen. Tur. Operator
- 2 Aux. Tur. Operators
- Elec. Eng. / Mech. Eng. / I&C Eng. etc

### SMART



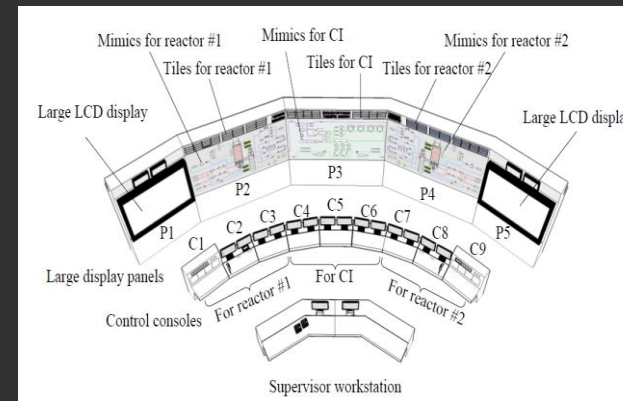
- MCR Crews (4)
- 1 SRO
- 1 RO
- 2 Assistant operator : TO & Whole system and support RO/TO

### VOYGR™



- 1 MCR for 12 Modules
- 3 Minimum Licensed operators
- 1 RO : Unit 1 NSSS Module
- 2 SRO : Shift Manager / Control Rm Supervisor

### HTR-PM



- 1 MCR for 2 Modules
- MCR Crews (4)
- #1 RO : Unit1
- #2 RO : Unit2
- 1 CO : Turbine module
- 1 SO : General coordination and management

# Challenges and Future Outlook

## ❑ SMR Potential

- ✓ 70+ designs under development
- ✓ Flexible, modular, multi-application (power, hydrogen, desalination)

## ❑ Knowledge Gaps

- ✓ Limited operational data and experience
- ✓ Need for global sharing of lessons learned

## ❑ Operations & Safety

- ✓ Conventional NPP modes + stricter OLCs
- ✓ Testing phases validate safety & readiness

## ❑ Technology & Control

- ✓ Advanced automation, inherent safety, flexible operation
- ✓ Challenges: fuel performance & integrity under variable loads

## ❑ MCR & Staffing

- ✓ Smaller, automated crews with digital HMIs
- ✓ Efficient yet capable of robust emergency response

# Conclusion & Takeaways

- ❑ SMR is Not Just a "Small NPP"
- ❑ Radical Shift in Operational Paradigm
- ❑ Evolution of the Operator's Role
- ❑ Automation and Digitalization as Core Enablers
- ❑ Multi-Module Operation: A New Frontier
- ❑ An Evolving Technology (Work in Progress)

***"SMRs are not just reactors—they are integrated energy systems."***



IAEA

# Thank you

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