

## Atomic clocks and frequency transfer

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Winter College on Optics ICTP, Trieste, Italy (16th February 2016)

#### **Outline (Part 1)**

#### Timekeeping today and tomorrow

- Introduction of atomic time
- Cs microwave atomic clocks
- Coordinated Universal Time (UTC)
- Rationale for moving to the optical domain

#### **Optical atomic clocks**

- Components of an optical atomic clock
- Trapped ion optical clocks
  - Basic principles
  - Systems studied and state of the art performance
  - Systematic frequency shifts
- Current status of optical clocks and prerequisites for a redefinition of the SI second







## Timekeeping today and tomorrow

#### **Greenwich Mean Time (GMT)**





Established as the global standard in 1884

Referred to mean solar time at the prime meridian in Greenwich

1 second = 1 / 86 400 of the mean solar day

#### The problem with this definition

NPL QM 5/10
National Physical Laboratory Quantum Metrology Institute

"Yet, after all, the dimensions of our earth and its time of rotation, though, relatively to our present means of comparison, very permanent, are not so by physical necessity.

The Earth might contract by cooling, or it might be enlarged by a layer of meteorites falling on it, or its rate of revolution might slowly slacken, and yet it would continue to be as much a planet as before."

James Clerk Maxwell, 1870 meeting of the British Association for the Advancement of Science

#### A better solution

NPL QMI

"But a molecule, say of hydrogen, if either its mass or its time of vibration were to be altered in the least, would no longer be a molecule of hydrogen.

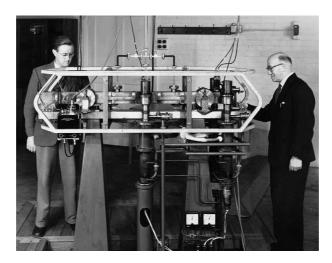
If, then we wish to obtain standards of length, time, and mass which shall be absolutely permanent, we must seek them

not in the dimensions, or the motion, or the mass of our planet, but in the wavelength, the period of vibration, and the absolute mass of these imperishable and unalterable and perfectly similar molecules."

> James Clerk Maxwell, 1870 meeting of the British Association for the Advancement of Science

#### First caesium atomic clock





- Developed at NPL in 1955 by Louis Essen and Jack Parry
- Accurate to 1 part in 10<sup>10</sup> (approximately 10 µs per day)

#### Introduction of atomic time



1958: International Atomic Time (TAI) began,

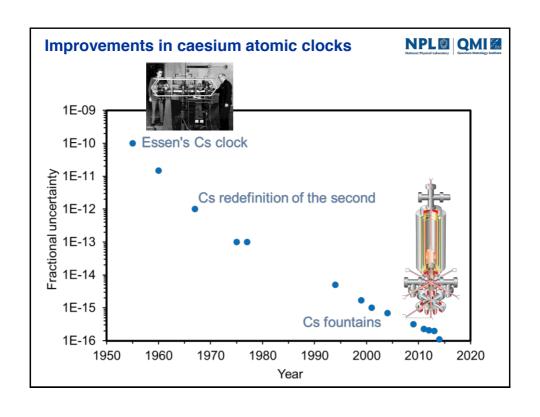
following the development of further caesium clocks

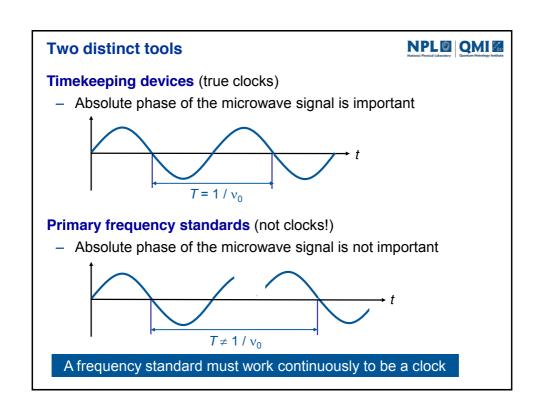
at NBS (USA) and ON (Switzerland)

1967: Caesium clock adopted as the basis for the international

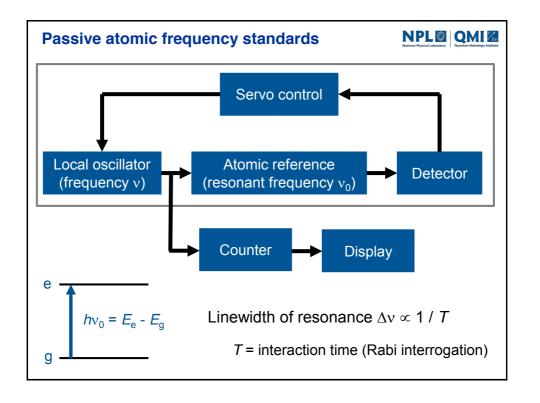
definition of time

The second is the duration of 9 192 631 770 periods of the radiation corresponding to the transition between the two hyperfine levels of the ground state of the caesium-133 atom





# Active & passive atomic frequency standards All atomic frequency standards are based on the assumption that atomic transition frequencies are determined by fundamental constants They are the same for all atoms of a particular species Two broad categories: Output signal derived directly from radiation emitted by an ensemble of atoms, e.g. active hydrogen maser Atomic reference probed by radiation from an external oscillator



#### Ramsey spectroscopy

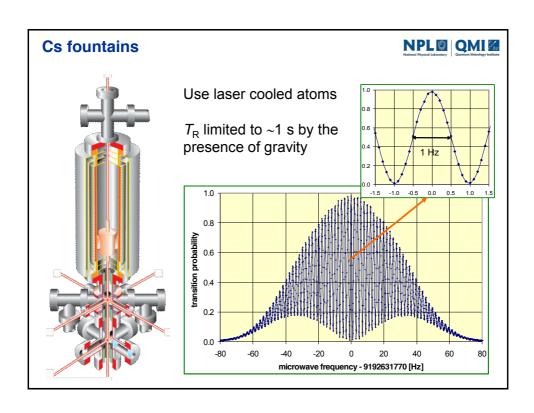


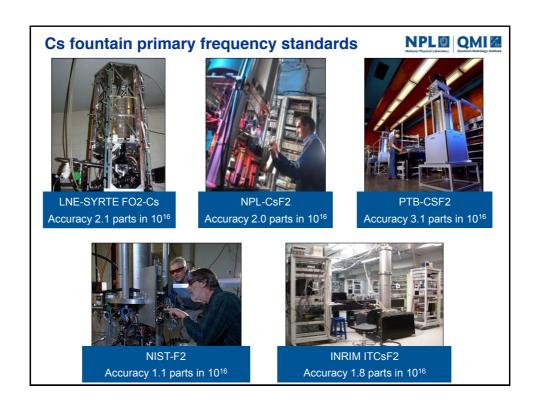
- To get a narrower line the interaction time must be increased
- Difficult to achieve high field uniformity over extended regions
- Use Ramsey's separated oscillatory field method

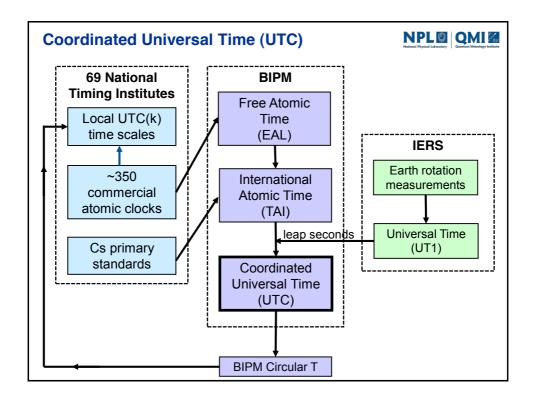
Two short in phase interactions separated by a long field-free flight time

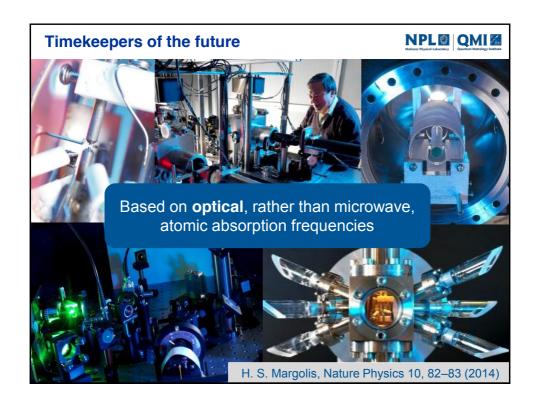
The separated by a long field-free flight time to the separated by a long flight time to the separated by a long flight time to the separated by a long flight time to the

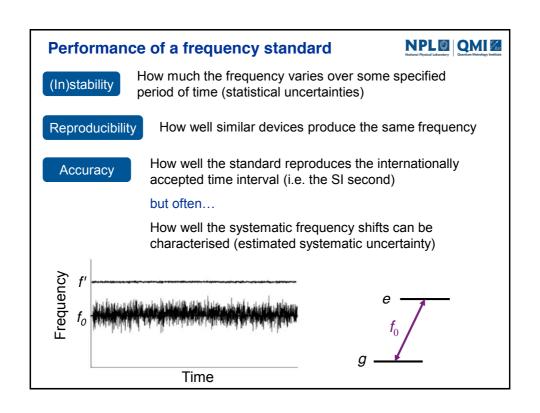
- Interference between atomic and electromagnetic phases











#### Allan variance and Allan deviation

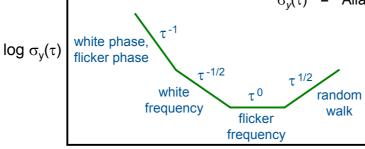


Fractional frequency instability as a function of averaging time  $\boldsymbol{\tau}$ 

$$\sigma_y^2(\tau) = \left\langle \frac{1}{2} (\overline{y}_{k-1} - \overline{y}_k)^2 \right\rangle \quad \text{where} \quad \overline{y}_k = \frac{1}{\tau} \int_{t_k}^{t_k + \tau} \frac{f(t) - f_0}{f_0} \, dt$$

 $\sigma_v^2(\tau)$  = Allan variance

 $\sigma_{\nu}(\tau)$  = Allan deviation



log τ

#### **Advantage of optical clocks**



Theoretically achievable fractional frequency instability:

$$\sigma_{y}(\tau) = \frac{\eta}{Q(S/N)} \tau^{-1/2}$$

 $Q = \frac{f_0}{\Delta f}$  = line quality factor

(S/N) = signal-to-noise ratio for 1 Hz detection bandwidth

 $\eta \sim 1$  (depends on shape of resonance and method used to determine  $f_0$ )

 $\boldsymbol{\tau}$  = averaging time in seconds

#### **Advantage of optical atomic clocks**



Optical clocks:

- Based on "forbidden" optical transitions in ions or atoms
- Natural linewidth  $\Delta f \sim 1$  Hz (or less)
- Frequencies  $f_0 \sim 10^{15} \text{ Hz}$
- Q-factor ~ 10<sup>15</sup> (or even higher)

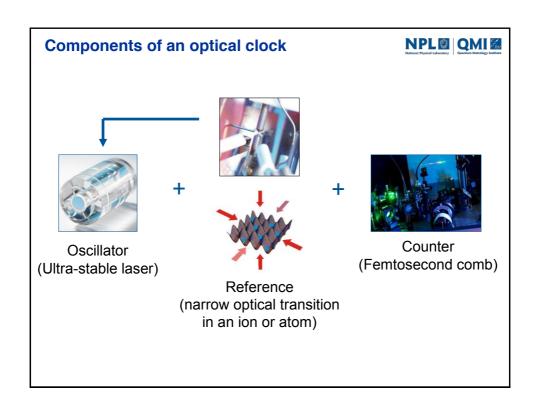
	Microwave	Optical
<b>f</b> <sub>0</sub>	~ 10 <sup>10</sup> Hz	~ 10 <sup>15</sup> Hz
$\Delta f$	~ 1 Hz	~ 1 Hz

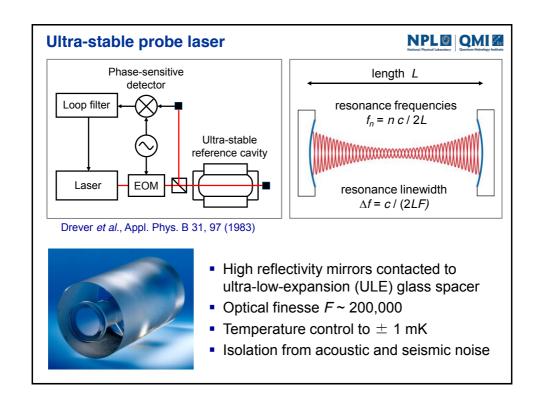


5 orders of magnitude improvement in stability (in principle)

NPL QMI

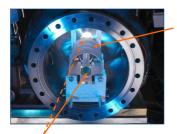
**Optical atomic clocks** 





#### Ultra-stable probe laser





ULE glass spacer

- Length 10 cm
- Operated at temperature where coefficient of thermal expansion is zero
- Vibration-insensitive design

Optically contacted mirrors reflectivity > 99.998%



Acoustic isolation

Vibration-isolation platform

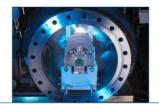
Laser linewidths ~ 1 Hz achieved







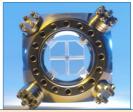
JILA vertical cavity mounted at midplane Ludlow *et al.* Opt. Lett. 32, 641 (2007)



NPL cut-out cavity with 4-point support Webster et al. PRA 75, 011801 (R) (2007)



NIST spherical cavity with 2-point support Leibrandt *et al.* Opt. Express 19, 3471 (2011)



NPL cubic cavity with tetrahedral support Webster and Gill, Opt. Lett. 36, 3572 (2011)

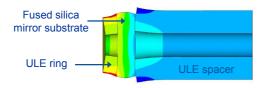
#### Thermal noise

NPL QM Physical Laboratory Quantum Metrology Institute

$$\sigma_{\rm therm} \propto \sqrt{\frac{T}{w_0 L^2} \left( \varphi_{\rm sub} + k \frac{\varphi_{\rm coat}}{w_0} \right)}$$

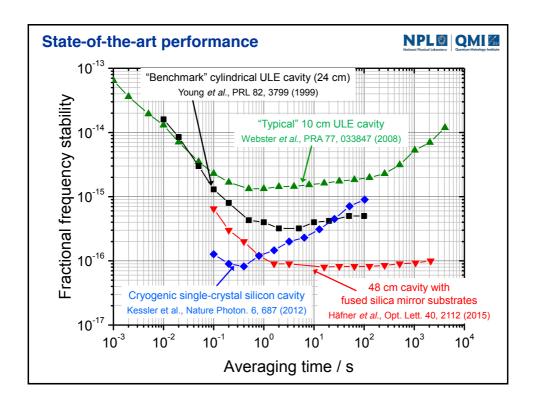
Theory: Numata *et al.* PRL 93, 250602 (2004)

• Reduce mechanical loss  $\phi_{\text{sub}}$  (e.g. by using fused silica substrates) Must compensate for mismatch of thermal expansion coefficient

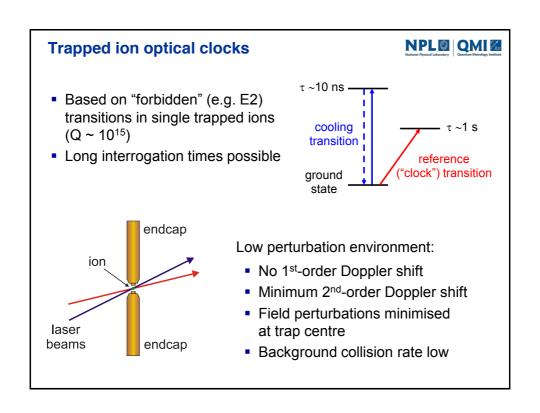


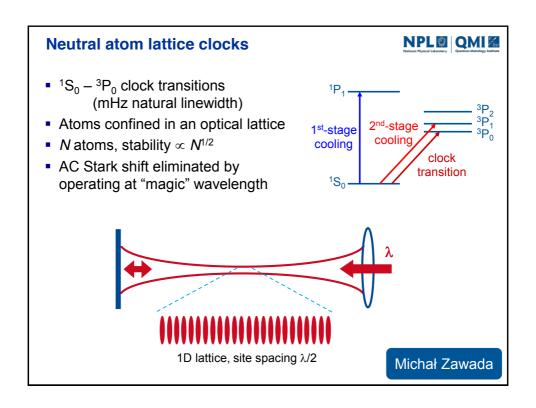
Legero *et al.* J. Opt. Soc. Am. B 27, 914 (2010)

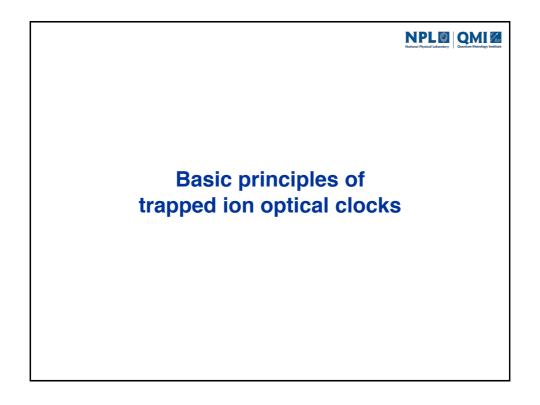
- Increase length L of spacer
- Increase 1/e beam radius w<sub>0</sub> on cavity mirrors
- Reduce temperature T of cavity



Can	candidates for the atomic reference																	
H				Nei	ıtral a	atom	and	sinal	e ion								He	
Li	Be 4		Neutral atom and single ion  Neutral atom  B C N								N 7	0 8	F 9	Ne				
Na Na	Mg	Single ion									Ar							
K 19	Ca 20	Sc 21	Ti 22	V 23	Cr 24	Mn 25	Fe 26	Co 27	Ni Ni	Cu 29	Zn 30	Ga	Ge <sup>32</sup>	As	Se 34	Br 35	Kr 36	
Rb	Sr	39 Y	Zr 40	Nb	Mo <sup>42</sup>	TC 43	Ru	Rh	Pd 46	Ag 47	Cd	In	Sn 50	Sb 51	Te <sup>52</sup>	53 	Xe 54	
Cs 55	Ba	La	Hf	73 <b>Ta</b>	74 W	Re	Os	Ir	Pt 78	Au	Hg	TI	Pb 82	Bi	Po 84	At 85	Rn 86	
Fr	Ra	Ac 89	Unq	Unp	Unh	Uns	Uno		Unn									
			Ce <sup>58</sup>	Pr	Nd	Pm	Sm 62	Eu	Gd 64	Tb	Dy 66	67 Ho	Er 68	Tm	Yb	Lu 71		
			Th 90	Pa	U 92	Np	Pu	Am	Cm	Bk	Cf 98	Es 99	Fm	Md	<sup>102</sup> No	103 Lr		
	<ul> <li>Narrow optical transitions</li> <li>Insensitive to external perturbations</li> <li>Accessible clock transition wavelengths</li> </ul>																	





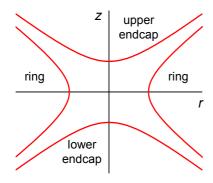


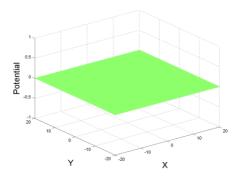
#### **Principles of ion trapping**

NPL QMI

Quadrupole potential:

 $\phi(r, z, t) = A(t) (r^2 - 2z^2)$ 





Radiofrequency voltage applied to ring electrode

→ ion trapped in time-averaged pseudopotential minimum

#### Motion of the trapped ion

NPL QMI

Quadrupole potential:

$$\phi(r,z,t) = (Q_{dc} + Q_{ac}\cos\Omega t)(r^2 - 2z^2)$$

Matthieu equation for motion of ion (writing  $\tau = \Omega t / 2$ ):

$$\frac{d^2}{d\tau^2} \begin{bmatrix} x \\ y \\ z \end{bmatrix} + (a + 2q\cos 2\tau) \begin{bmatrix} x \\ y \\ -2z \end{bmatrix} = 0$$

where

$$a = \frac{8eQ_{\rm dc}}{m\Omega^2}$$

and

$$q = \frac{4eQ_{\rm ac}}{m\Omega^2}$$

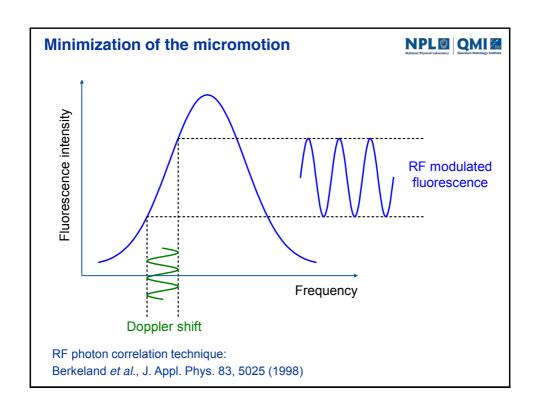
For stable solutions, ion motion can be separated into two parts:

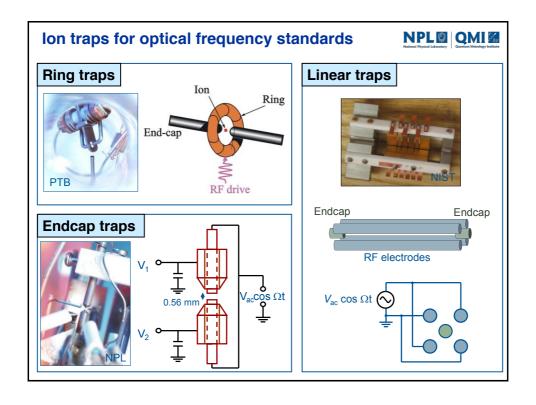
Micromotion

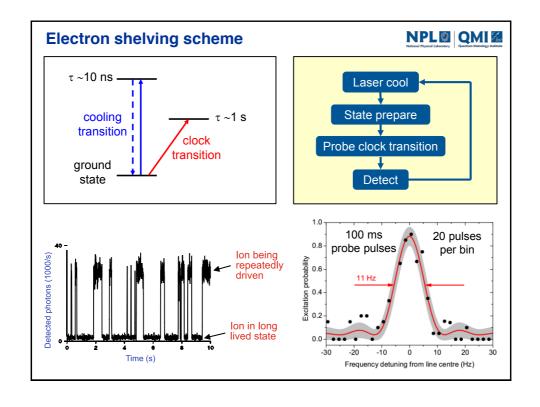
Driven oscillatory motion at frequency  $\Omega$  (vanishes at trap centre)

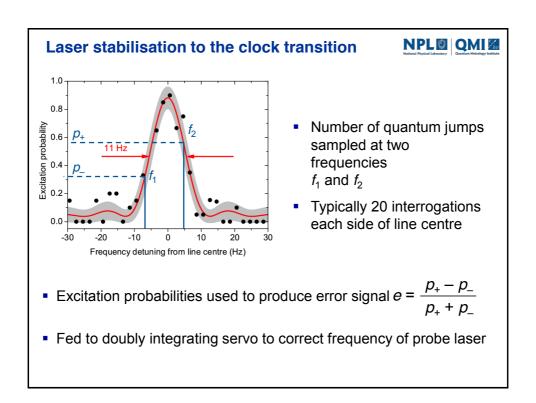
**Secular motion** 

Slower motion associated with time-averaged confining potential (characteristic frequencies  $\omega_r$  and  $\omega_z$ )



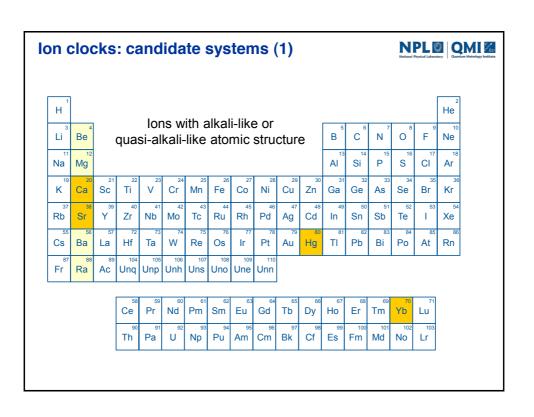


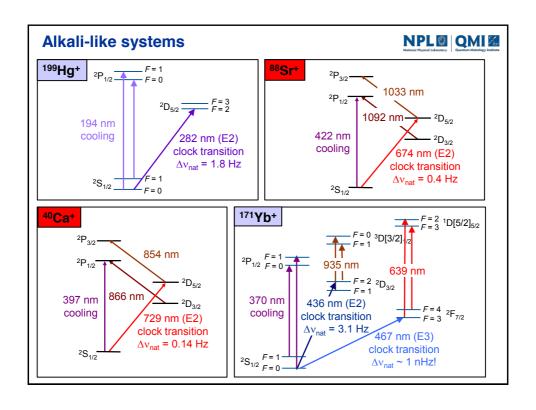


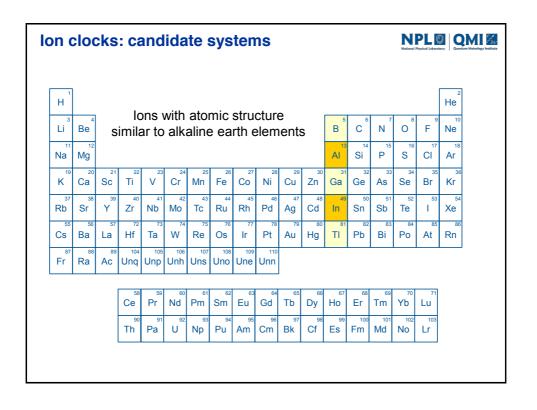


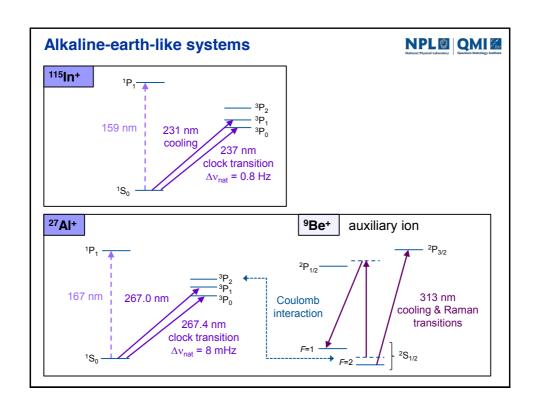


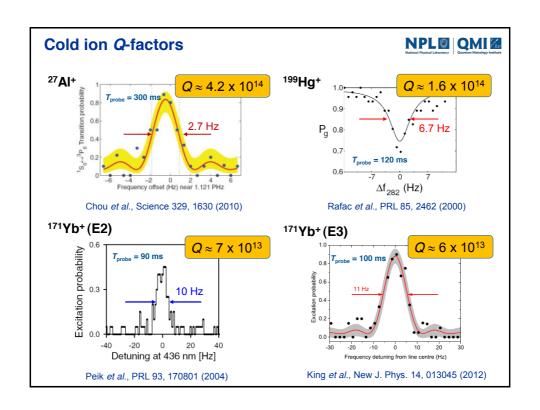
## **Systems studied and state-of-the-art performance**











Measuring stability and reproducibility

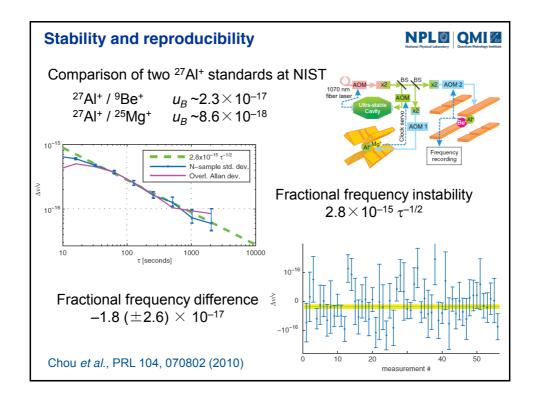
Fractional instability 
$$\sigma(\tau) = \frac{\Delta v}{v} \frac{\eta}{(S/N)} \tau^{-1/2}$$

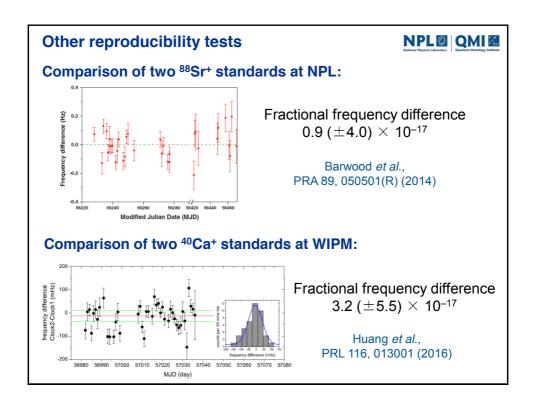
• Compare two independent optical frequency standards
• Measure  $(v_1 - v_2)$  for a period of time, repeatedly.

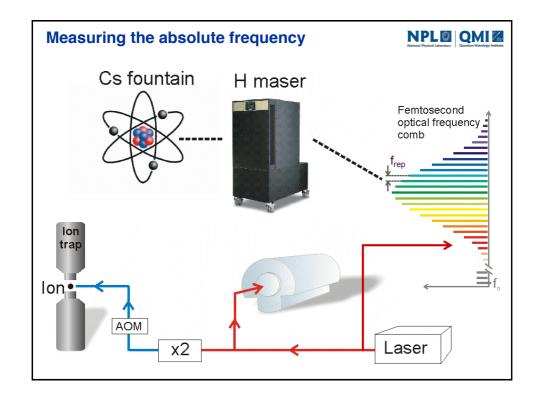
Trap 1

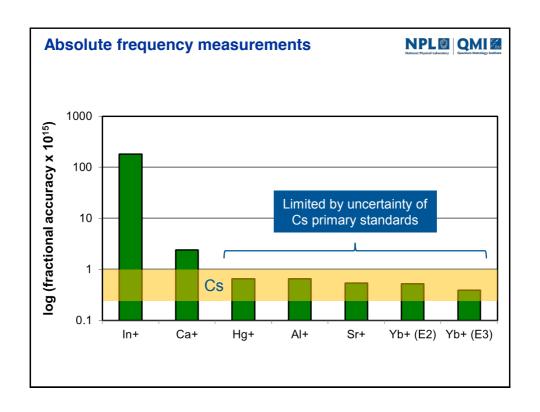
Trap 2

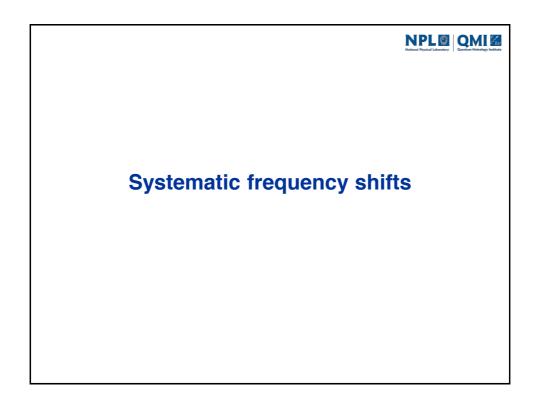
Laser











#### **Assessing systematic frequency shifts**



#### Measure absolute frequency

Slow and limited in accuracy

#### Measure shifts relative to a high stability optical local oscillator

By interleaving two independent servos to clock transition

Compare two independent optical frequency standards

#### **Systematic frequency shifts**



#### Zeeman shifts

- Due to external magnetic field
- Due to blackbody radiation

#### Electric quadrupole shift

Due to electric field gradients

#### Second-order Doppler shifts

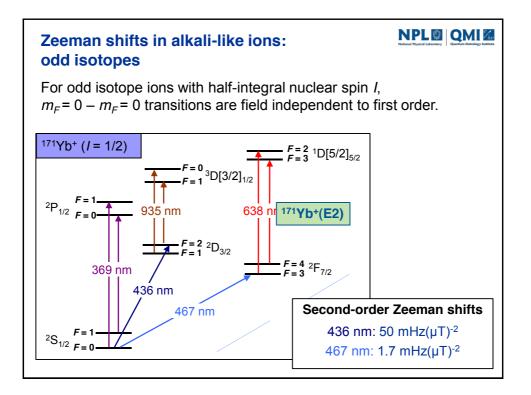
Due to motion of ion in trap

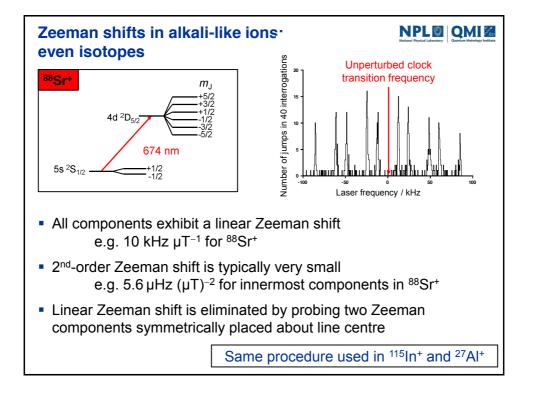
#### Stark shifts

- Due to rf trapping field
- Due to applied light fields
- Due to blackbody radiation

#### Gravitational redshift

Due to location in Earth's gravitational field





#### **Electric quadrupole shift**



Due to interaction between electric quadrupole moment of atomic states and any residual electric field gradient at position of ion.

E.g. for  $^{88}$ Sr<sup>+</sup>, frequency shift of 4d  $^{2}$ D<sub>5/2</sub> state with magnetic quantum number  $m_{i}$  is:

$$\Delta v = \left(\frac{3}{10h}\right) Q_{dc} \Theta(D, 5/2) \left(\frac{35}{12} - m_j^2\right) \left(3\cos^2\beta - 1\right)$$
guadrupole guadrupole moment angle bet

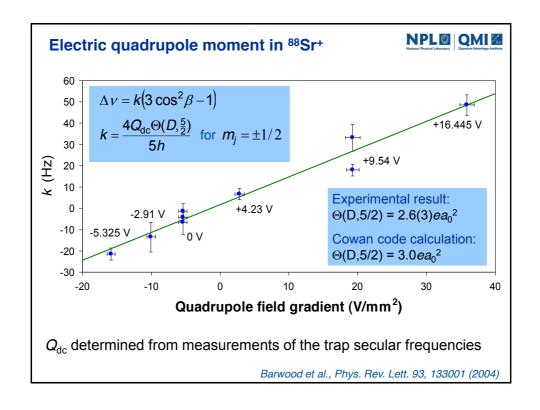
quadrupole field gradient

quadrupole moment of 4d  $^2D_{5/2}$  state

angle between quadrupole field axis & magnetic field

Quadrupole trapping potential

$$\phi = (Q_{\rm dc} + Q_{\rm ac} \cos \Omega t)(r^2 - 2z^2)$$



#### Quadrupole moments for other systems NPL QMI Ion & Quadrupole moment state Experiment Reference Theory 88Sr+2D<sub>5/2</sub> G. P. Barwood et al., 3.048 ea<sub>0</sub><sup>2</sup> $2.6(3) ea_0^2$ Phys. Rev. Lett. 93, 133001 (2004) [1] 199Hg+2D<sub>5/2</sub> -0.56374 ea<sub>0</sub><sup>2</sup> $-0.510(18) ea_0^2$ W. H. Oskay et al., Phys. Rev. Lett. 94, 163001 (2005) [1] 40Ca+2D<sub>5/2</sub> $1.83(1) ea_0^2$ C. F. Roos et al., $1.917 ea_0^2$ Nature 443, 316 (2006) [1] 171Yb+2D<sub>3/2</sub> C. Tamm et al., 2.174 ea<sub>0</sub><sup>2</sup> $2.08(11) ea_0^2$ IEEE Trans. Instrum. Meas. 56, 601 (2007) [1] <sup>171</sup>Yb<sup>+ 2</sup>F<sub>7/2</sub> N. Huntemann et al., $-0.22 ea_0^2$ $-0.041(5) ea_0^2$ Phys. Rev. Lett. 108, 090801 (2012)

Theory: [1] Itano, Phys. Rev. A 73, 022510 (2006) [2] Blythe et al., J. Phys. B 36, 981 (2003)

No shift in  $^{115}In^+$  or  $^{27}Al^+$  (J=0 states).

For other systems, shift may be several Hz or more, but can be nulled.

#### Nulling the quadrupole shift



[2]

$$\Delta v = A \left( \frac{35}{12} - m_j^2 \right) \left( 3\cos^2 \beta - 1 \right)$$

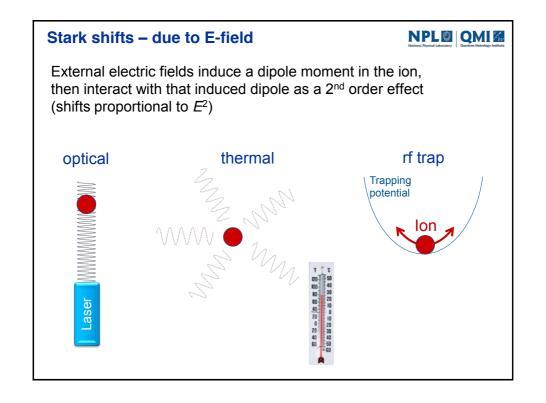
#### Method 1: [Itano, J. Res. Natl. Inst. Stand. Technol. 105, 829 (2000)]

- · Carry out frequency measurements for 3 orthogonal magnetic field directions
- · Average quadrupole shift is zero

#### Method 2: [Dubé et al., Phys. Rev. Lett. 95, 033001 (2005)]

- Carry out frequency measurements for Zeeman components corresponding to all different possible  $|m_i|$  values
- · Average quadrupole shift is zero independent of magnetic field direction

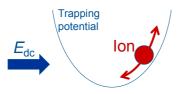
#### **Doppler shifts** NPL Q QMI First-order Doppler broadening eliminated by laser cooling the ion to the Lamb-Dicke regime Second-order Doppler shifts arise from two sources: **Trapping** Thermal (secular) motion potential Shift ~10<sup>-18</sup> if close to the Doppler cooling limit (typically ~1 mK) Micromotion ~10-17 Careful minimization in 3D vital for reduction below 10-17 Fluorescence intensity RF modulated fluorescence Frequency Doppler



#### Stark shifts – due to rf trapping E-field



Motion leads to ion experiencing a time-averaged non-zero E field



Uncompensated  $E_{\rm dc}$  can push ion away from trap centre into higher  $E_{\rm rf}$ 



applied with additional electrodes placed near trap

With careful micromotion compensation, shift can be reduced to a few parts in  $10^{18}$ 

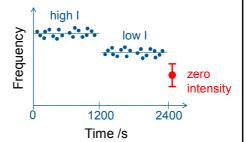
#### Stark shifts from applied laser fields



- High extinction of cooling (and repumper) beams is vital
- Negligible shift due to probe laser at typical intensities used
- Current exception is 467 nm electric octupole transition in <sup>171</sup>Yb<sup>+</sup>

#### Probe beam:

- $\sim$  6 mW,  $\sim$  25  $\mu$ m radius
  - → ac Stark shift ~ 200 Hz



- Fractional uncertainty of 4.2×10<sup>-17</sup> reached using this method
- Could be further reduced with narrower probe laser linewidths

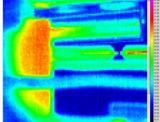
#### **Blackbody Stark shifts**

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Typically 100 – 500 mHz at room temperature

Two contributions to uncertainty:

- Uncertainty in Stark shift coefficients
  - Main contribution is from static differential polarizability of reference transition (resonant contributions are small)
- Uncertainty in temperature and isotropy of radiation field experienced by ion
  - Significant temperature rises of electrode structure have been observed for some trap designs
  - Effect of non-isotropic temperature distribution can be suppressed by designing electrodes to have low emissivity



Thermal image of trapping region (18-22°C)

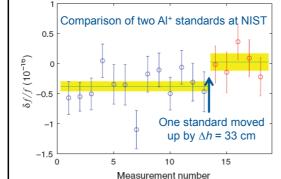
#### **Gravitational redshift**

NPL® QMI

Frequency shift

$$\frac{\Delta f}{f} = \frac{g\Delta h}{c^2}$$

g = local acceleration due to gravity  $\Delta h$  = height above reference level c = speed of light



Measured

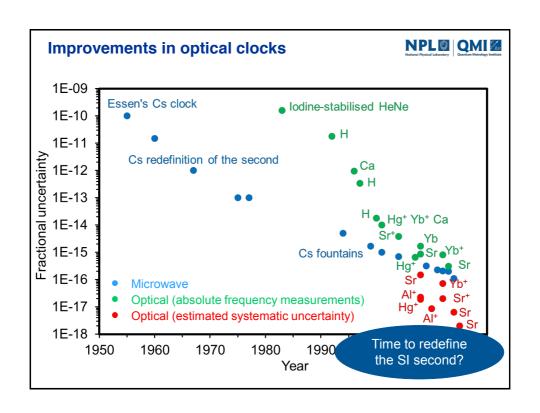
$$\frac{\Delta f}{f} = (4.1 \pm 1.6) \times 10^{-17}$$
corresponding to
$$\Delta h = 37 \pm 15 \text{ cm}$$

Chou et al., Science 329, 1630 (2010)

Effect in the laboratory is very small but must be taken into account when comparing frequency standards in different laboratories



# Current status of optical clocks and prerequisites for a redefinition of the SI second

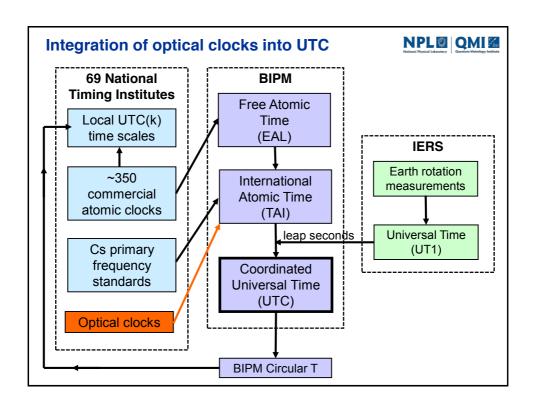


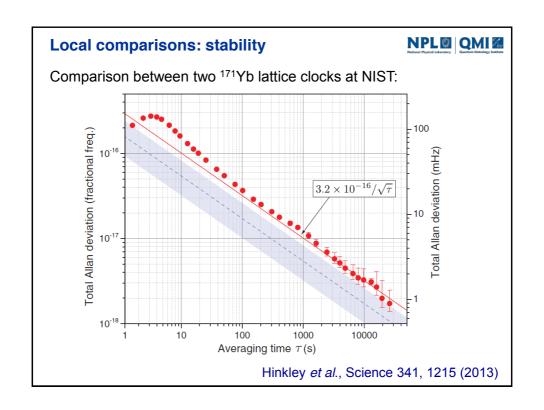
#### Secondary representations of the second

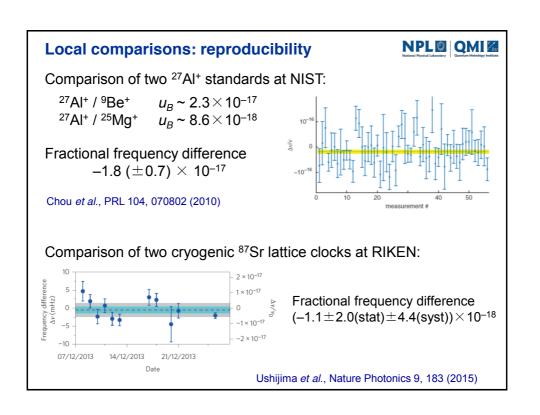


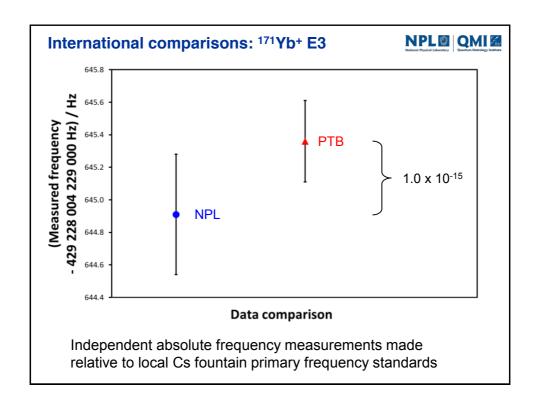
- Optical frequency standards can be used to realise the SI second (although uncertainty cannot be better than Cs primary standard)
- List of secondary representations of the second now includes seven optical frequency standards

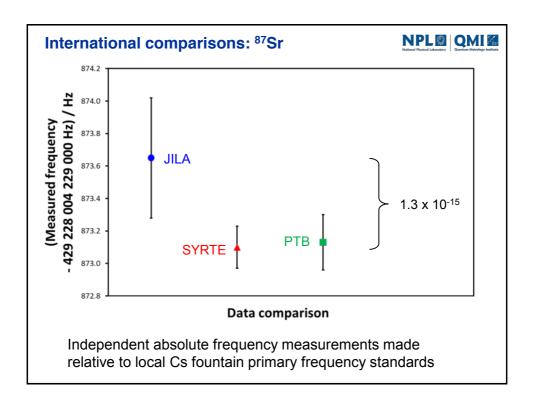
Atom or ion	Transition	Wavelength	Recommended fractional uncertainty
<sup>87</sup> Sr	${}^{1}S_{0} - {}^{3}P_{0}$	698 nm	1.0 x 10 <sup>-15</sup>
<sup>171</sup> Yb <sup>+</sup>	${}^{2}S_{1/2} - {}^{2}F_{7/2}$	467 nm	1.3 x 10 <sup>-15</sup>
<sup>27</sup> AI <sup>+</sup>	${}^{1}S_{0} - {}^{3}P_{0}$	267 nm	1.9 x 10 <sup>-15</sup>
<sup>199</sup> Hg <sup>+</sup>	${}^{2}S_{1/2} - {}^{2}D_{5/2}$	282 nm	1.9 x 10 <sup>−15</sup>
<sup>171</sup> Yb	${}^{1}S_{0} - {}^{3}P_{0}$	578 nm	2.7 x 10 <sup>−15</sup>
<sup>171</sup> Yb <sup>+</sup>	${}^{2}S_{1/2} - {}^{2}D_{3/2}$	436 nm	3.0 x 10 <sup>-15</sup>
<sup>88</sup> Sr <sup>+</sup>	${}^{2}S_{1/2} - {}^{2}D_{5/2}$	674 nm	4.0 x 10 <sup>-15</sup>











### Prerequisites for a redefinition



- Ultimate limits to the stability and accuracy of optical clocks fully investigated
- Improved methods for comparing optical clocks developed in different laboratories
- A coordinated programme of clock comparisons, to
  - Build confidence in the optical clocks
  - Anchor their frequencies to the current definition of the second
  - Establish the leading contenders for a redefinition
- Evaluation of relativistic effects at an improved level of accuracy
  - Includes the gravitational redshift of the clock frequency
- A framework and procedures for the optical clocks to be integrated into international timescales

### **Outline (Part 2)**

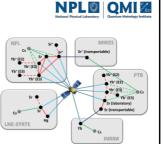
### **Clock comparison techniques**

- Local frequency comparisons
  - Optical frequency ratio measurements
  - Absolute frequency measurements
- Remote optical frequency comparisons
  - Transportable optical clocks
  - Satellite-based techniques
  - Comparisons over optical fibre links
- Handling over-determined sets of clock comparison data

### Optical atomic clocks, relativity and geodesy

- Gravity potential for optical clock comparisons
- Clock-based geodesy

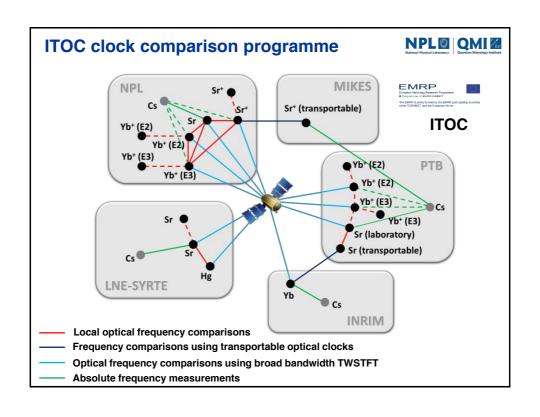
### Fundamental physics with optical clocks



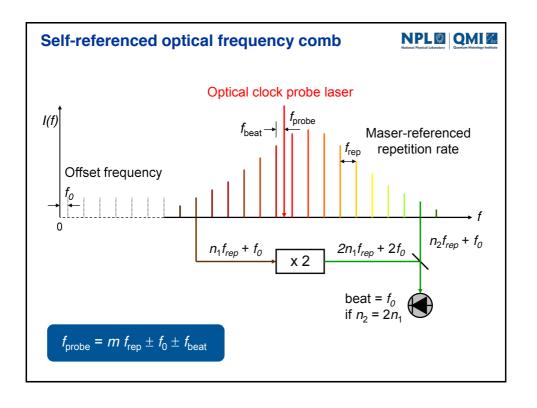
### Prerequisites for a redefinition

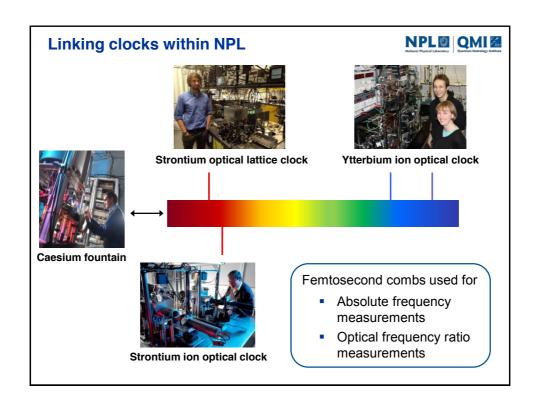


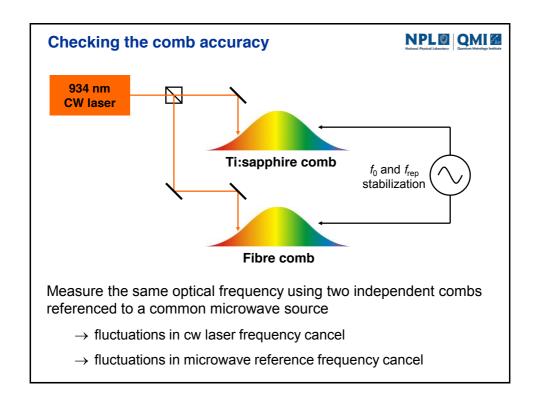
- Ultimate limits to the stability and accuracy of optical clocks fully investigated
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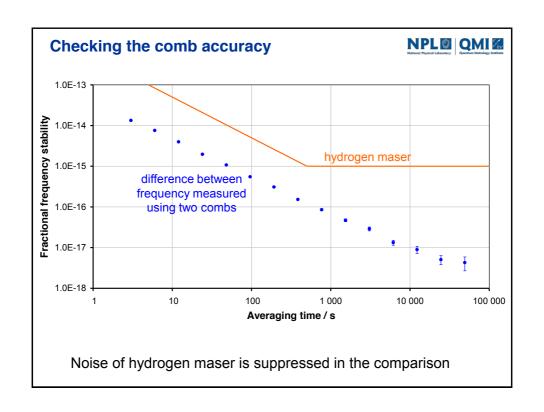


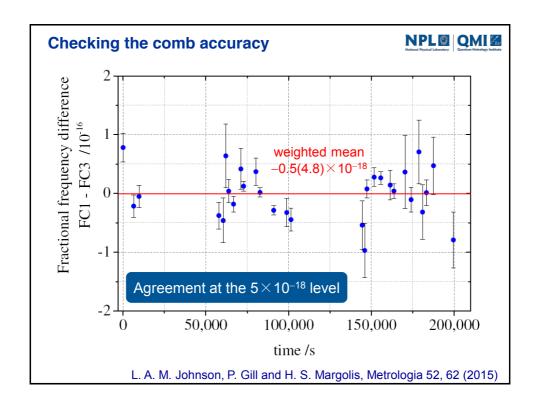
# Local frequency comparisons









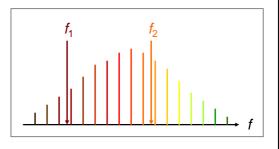


### Measuring optical frequency ratios

NPL O QMI The National Physical Laboratory Quantum Metrology Institute

Frequency ratio

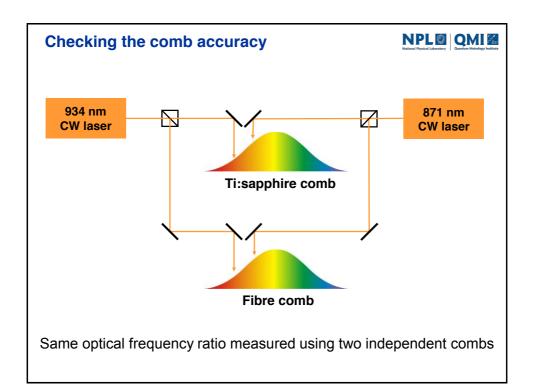
$$\frac{f_2}{f_1} = \frac{m_2 f_{\text{rep}} + f_0 + f_{\text{b2}}}{m_1 f_{\text{rep}} + f_0 + f_{\text{b1}}}$$
$$= \frac{m_2}{m_1} \left( \frac{1 + \Delta_2}{1 + \Delta_1} \right)$$

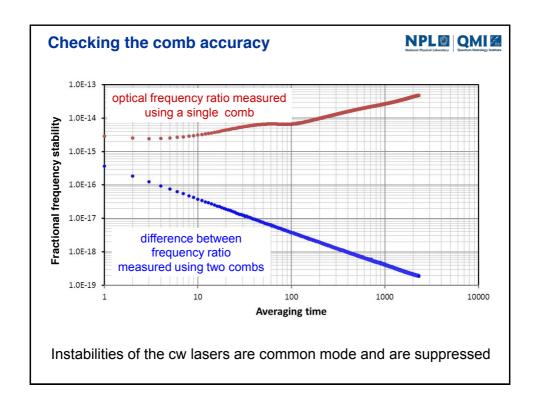


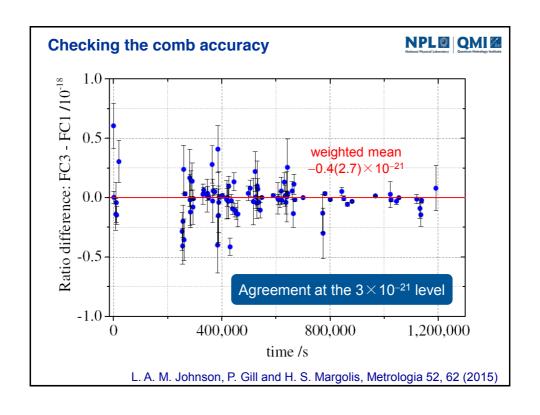
i.e.  $f_{\text{rep}}$  cancels to first order, so optical frequency ratios can be measured more accurately than absolute frequencies.

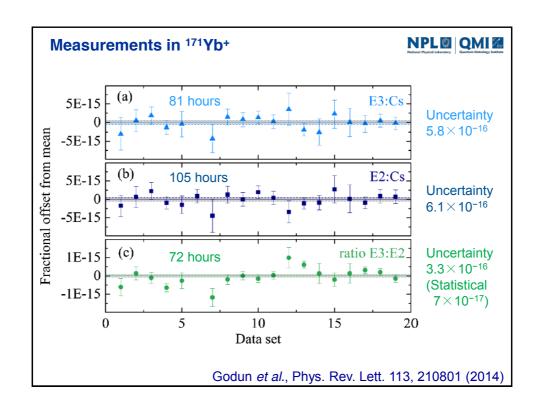
$$\Delta_i = \left( \frac{f_0 + f_{\text{b}i}}{m_i f_{\text{rep}}} \right) \sim 10^{-6} - 10^{-7}$$

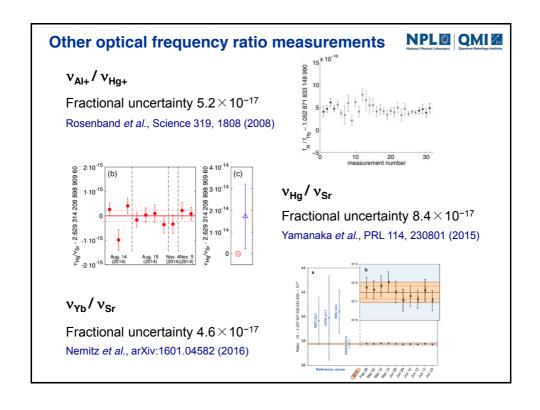
so to determine  $f_2$  /  $f_1$  with a fractional uncertainty of  $10^{-18}$ ,  $\Delta_i$  only has to be measured to a fractional accuracy of  $10^{-11} - 10^{-12}$ .

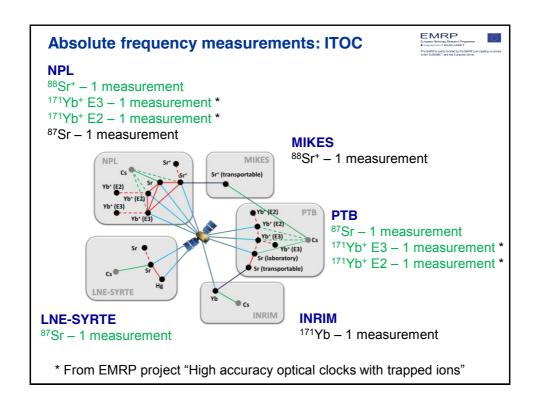


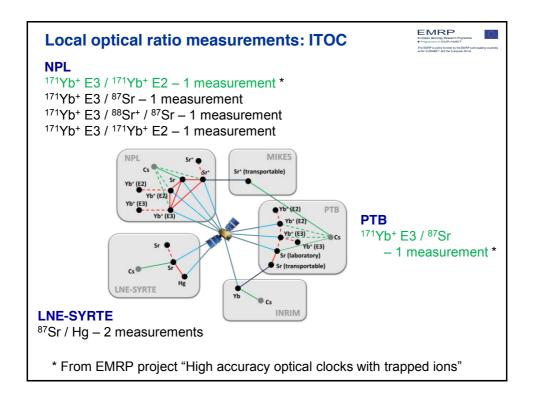














### Remote optical frequency comparisons

## Transportable optical clocks Two transportable optical clocks are being developed within the ITOC project, at PTB and MIKES Transportable optical clocks Stationary optical clocks Strontium lattice, PTB Ytterbium lattice, INRIM

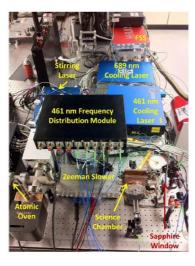
Strontium ion, NPL

Strontium ion, MIKES

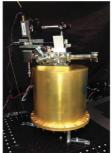
# PTB transportable strontium lattice clock PTB transportable strontium lattice strontium lattice clock PTB transportable strontium lattice strontium lattice clock PTB transportable strontium lattice stro







EU project coordinated by Prof. Stephan Schiller, University of Düsseldorf

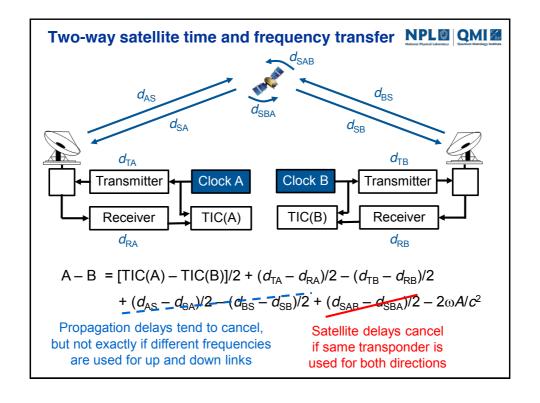


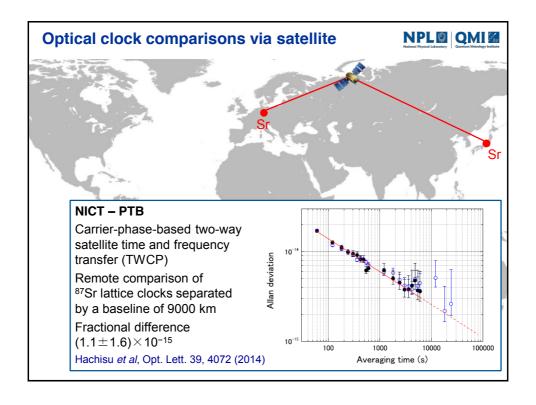
- 87Sr optical lattice clock
- Modular design consisting of compact subunits

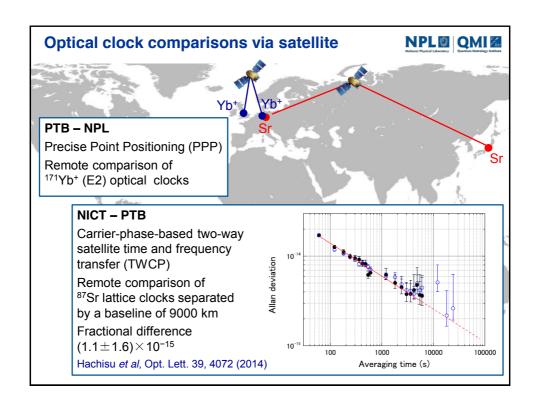
### **Target performance:**

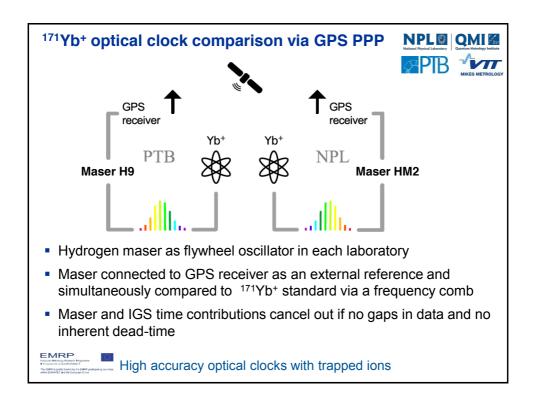
Fractional instability  $\sigma$  < 1×10<sup>-15</sup>  $\tau$ <sup>-1/2</sup> Fractional inaccuracy < 5×10<sup>-17</sup>

Bongs et al, C. R. Physique 16, 553 (2015)







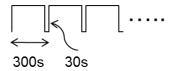


### <sup>171</sup>Yb<sup>+</sup> optical clock comparison via GPS PPP



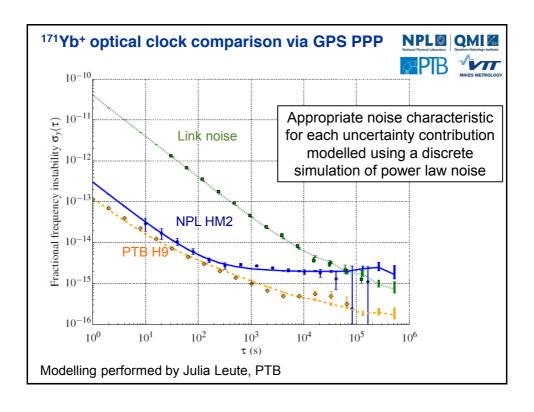
However

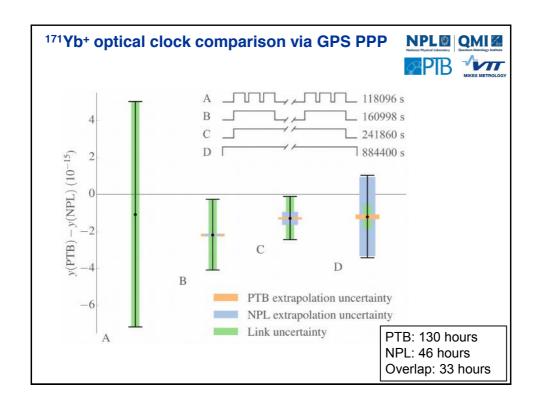
- Both optical clock vs maser data sets contain gaps
- NPL data-taking method led to an inherent dead-time of 10%

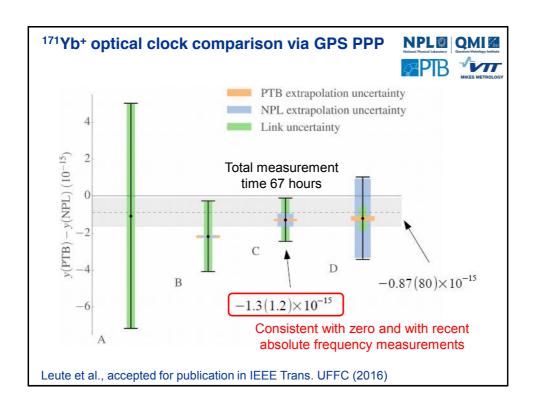


Two possible solutions:

- 1) Only consider time intervals where data is available from both clocks
  - Fragments the GPS link data, destroying the phase coherence of the measurement
- 2) Extrapolate the optical clock data to intervals where GPS link data is available but data from one or both clocks is missing
  - Introduces maser noise to the frequency comparison







### Optical clock comparisons via broadband TWSTFT

 Investigation of improved TWSTFT technique based on an increased chip rate

1 Mchip /  $s \rightarrow 20$  Mchip / s

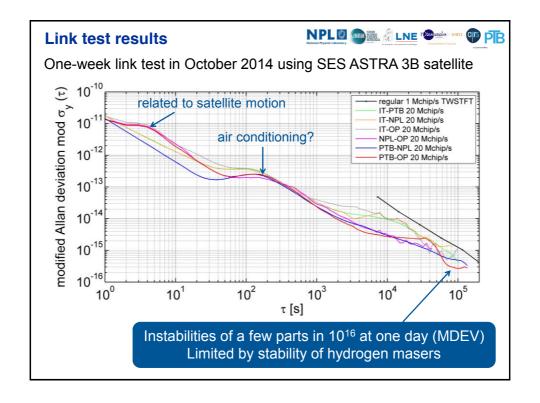
 Goal is a gain in stability of one order of magnitude compared to state-of-the-art satellite-based methods

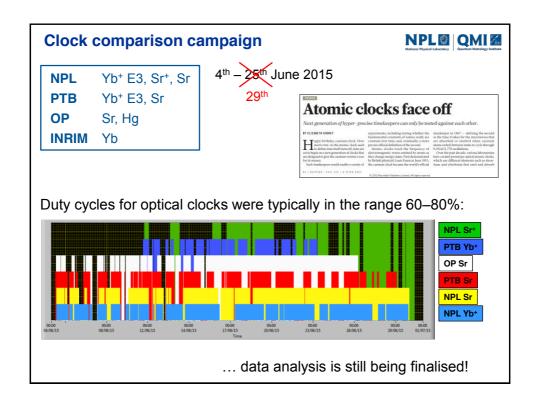
$$10^{-15}$$
 @ 1 day  $\rightarrow 10^{-16}$  @ 1 day

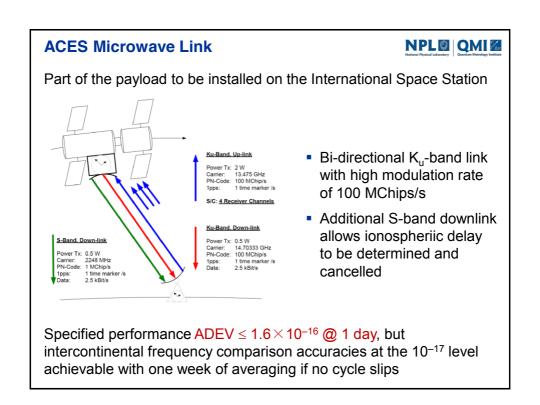
- Link test (7 days, October 2014) followed by optical clock comparisons (21 days, June 2015)
- Comparisons of clocks in all four laboratories with TWSTF capability (INRIM, LNE-SYRTE, NPL, PTB)

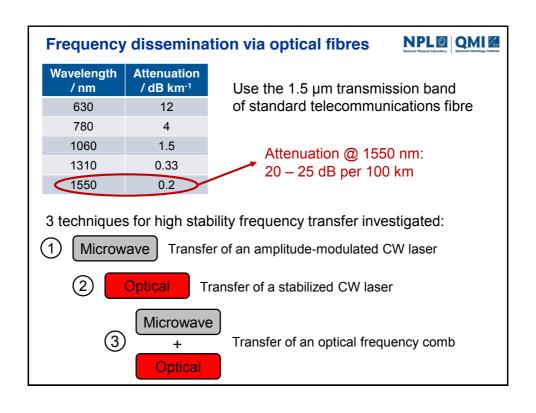
NPL O MRA LNE "Observatoire - SYRTE

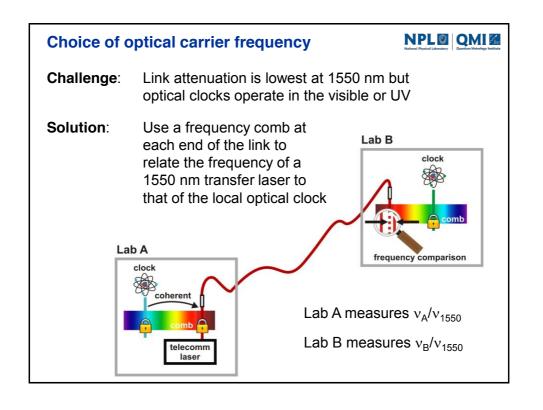
Cs fountains as well as optical clocks











### **Environmental effects**



**Challenge**: Fibres are affected by noise from the environment

degrades the phase and amplitude stability of the transmitted signal

Sources of noise







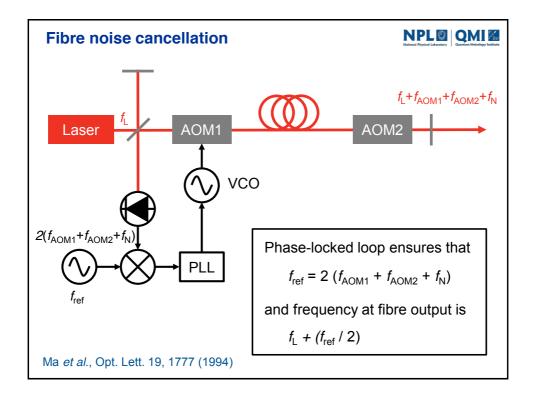
Temperature changes

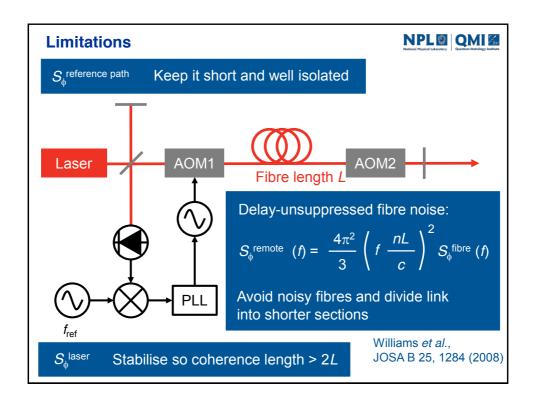


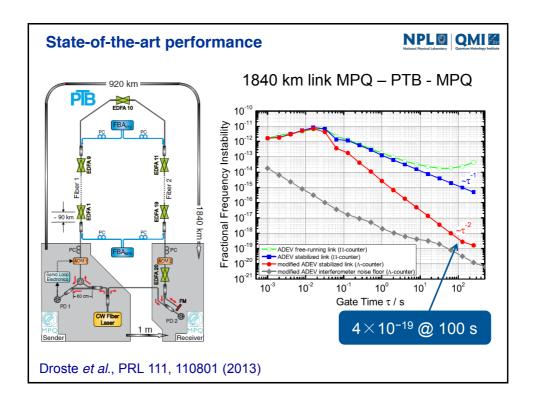


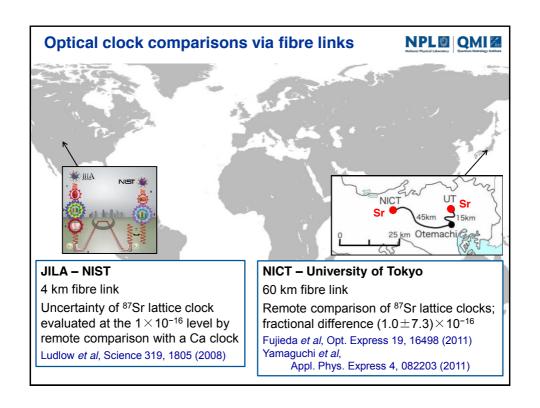
Solution:

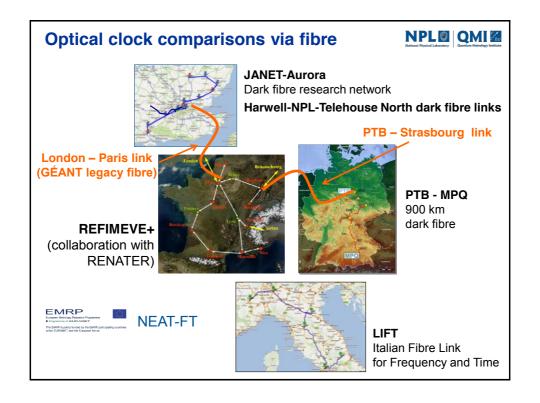
- (1) For good thermal, acoustic and seismic isolation use underground fibre
- (2) Use active noise cancellation techniques

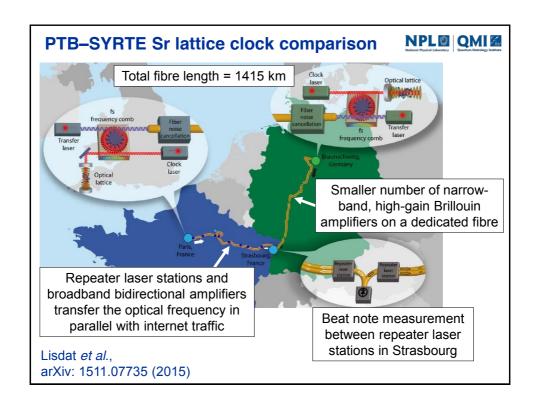


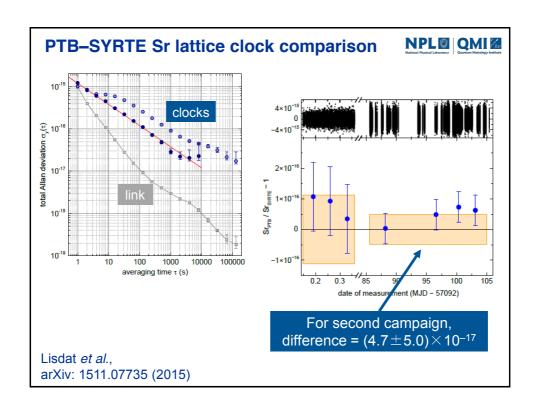


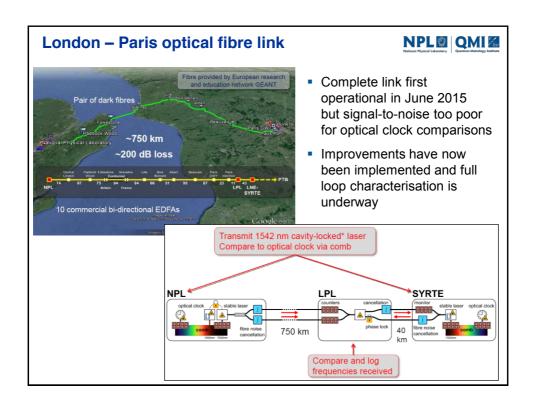


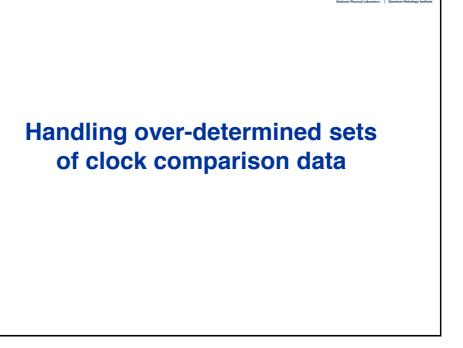












NPL QMI

### Secondary representations of the second



- Recommended frequencies and uncertainties are assigned by the Frequency Standards Working Group (WGFS) of the CCTF and CCL
- Values are periodically updated and published at www.bipm.org/en/publications/mises-en-pratique/standard-frequencies.html
- Almost all data considered so far comes from absolute frequency measurements relative to Cs primary standards
- Future information about reproducibility of optical standards will come mainly from direct optical frequency ratio measurements

## Over-determined sets of clock comparison data



- Within the ITOC project, we will end up with
  - A set of frequency ratio measurements between optical clocks
  - A set of Cs-limited absolute frequency measurements
- It will be possible to deduce some frequency ratios from several different measurements
- For example, v<sub>Yb+</sub> / v<sub>Sr</sub> could be measured either directly, or indirectly by combining two or more other frequency ratio measurements,

e.g. 
$$v_{Yb+}/v_{Sr} = (v_{Yb+}/v_{Yb})(v_{Yb}/v_{Sr})$$
 or  $v_{Yb+}/v_{Sr} = (v_{Yb+}/v_{Cs})(v_{Cs}/v_{Sr})$ 

 Multiple routes to deriving each frequency ratio value mean that it will no longer be possible to treat each optical clock in isolation when considering the available data

### **Analysis of the frequency ratio matrix**



- Aim is to develop methods for analysing all available data from clock comparison experiments
  - a) To check the level of internal self-consistency
  - b) To derive optimal values for the ratios between their operating frequencies
- Use a least-squares adjustment procedure, based on the approach used by CODATA to provide a self-consistent set of recommended values of the fundamental physical constants

[Mohr & Taylor, Rev. Mod. Phys. 72, 351 – 495 (2000)]

 All data stored as frequency ratios (optical frequency ratios, microwave frequency ratios or optical-microwave frequency ratios)

H. S. Margolis and P. Gill, Metrologia 52, 628 (2015)

### Input data to the least-squares adjustment



• Suppose that the frequency standards involved in the comparison experiments are based on  $N_S$  different reference transitions with frequencies  $v_k$  ( $k = 1, 2, ..., N_S$ )

```
e.g. v_1 could be the 5s<sup>2</sup> <sup>1</sup>S<sub>0</sub> – 5s5p <sup>3</sup>P<sub>0</sub> transition in <sup>87</sup>Sr at 698 nm v_2 the 6s <sup>2</sup>S<sub>1/2</sub> – 4f<sup>13</sup>6s<sup>2</sup> <sup>2</sup>F<sub>7/2</sub> transition in <sup>171</sup>Yb<sup>+</sup> at 467 nm v_3 the 6s <sup>2</sup>S<sub>1/2</sub> (F=3) – 6s <sup>2</sup>S<sub>1/2</sub> (F=4) transition in <sup>133</sup>Cs at 9.2 GHz and so on
```

- Set of comparison experiments yields a set of N measured quantities q<sub>i</sub> of various quantities (frequency ratios)
- Measured values q<sub>i</sub>, together with their variances and covariances form the input to the least-squares adjustment

Correlations are included

### Least-squares analysis procedure



Set of N measured frequency ratios, variances and covariances

Choose set of  $M = N_S - 1$  adjusted frequency ratios

Must satisfy the condition that no adjusted frequency ratio  $z_j$  may be expressed as a function of the others,

e.g. 
$$z_1 = v_1 / v_2$$
,  $z_2 = v_2 / v_3$ , ...

These are equivalent to the adjusted constants in the CODATA analysis of the fundamental physical constants.

### Least-squares analysis procedure

NPL QMI

Set of N measured frequency ratios, variances and covariances

Choose set of  $M = N_S - 1$  adjusted frequency ratios

Express measured frequency ratios in terms of adjusted frequency ratios, yielding a set of N equations

$$q_i = f_i(z_1, z_2, ..., z_M)$$
 where  $i = 1, 2, ..., N$ 

e.g.  $q_1$  might be  $v_2 / v_5$ , which can be expressed as  $z_2 z_3 z_4$   $q_2$  might be either another measurement of  $v_2 / v_5$  or a measurement of a different ratio such as  $v_2 / v_6$ 

Least-squares analysis procedure

Set of N measured frequency ratios, variances and covariances

Choose set of 
$$M = N_S$$
 - 1 adjusted frequency ratios

Express measured frequency ratios in terms of adjusted frequency ratios, yielding a set of N equations

Initial estimates of adjusted frequency ratios using Taylor expansion around initial estimates of adjusted requency ratios

$$q_i \doteq f_i \left( s_1, \, s_2, \, \ldots, \, s_M \right) + \sum_{j=1}^M \frac{\partial f_i \left( s_1, \, s_2, \, \ldots, \, s_M \right)}{\partial s_j} \left( z_j - s_j \right) + \ldots$$

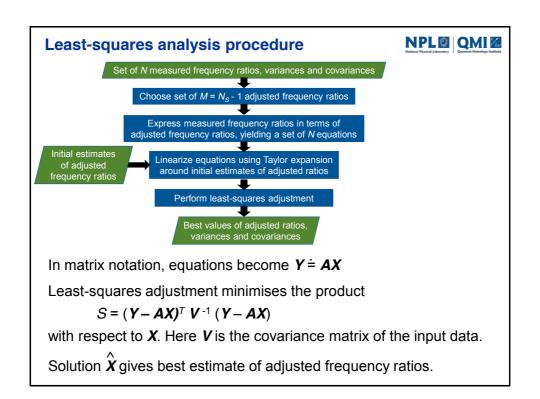
or

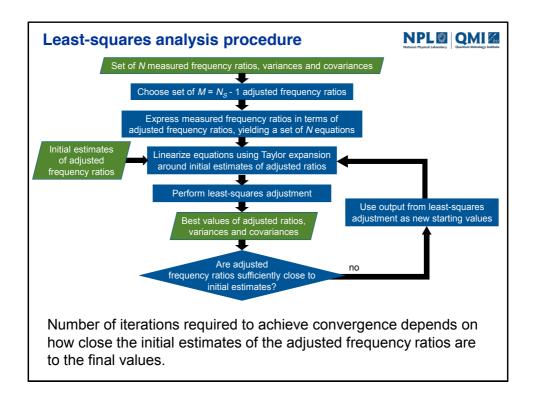
$$y_i \doteq \sum_{j=1}^M a_{ij} \, x_j \qquad \text{where} \qquad y_i = q_i - f_i(s_1, \, s_2, \, \ldots, \, s_M)$$

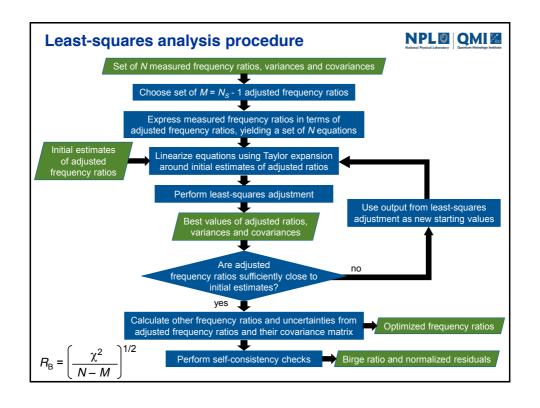
$$x_j = z_j - s_j$$

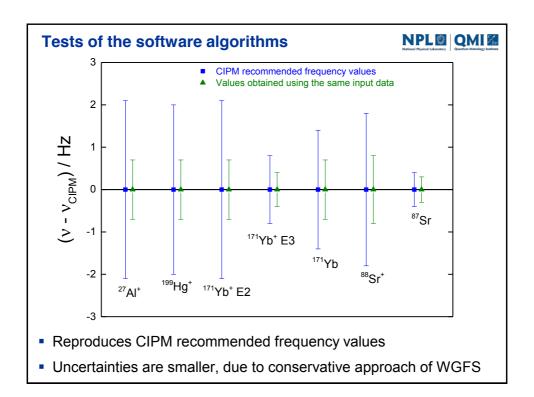
Enables linear matrix methods to be applied

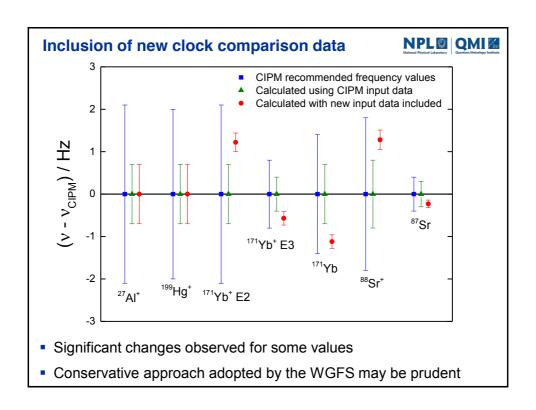
$$a_{ij} = \frac{\partial f_i \left( s_1, \, s_2, \, \ldots, \, s_M \right)}{\partial s_j}$$







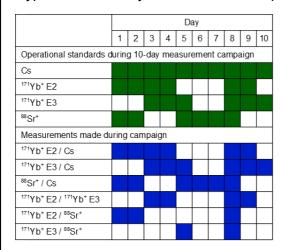




### Importance of correlations



Hypothetical 10-day measurement campaign:



Cs fountain operates 100% of the time

3 optical clocks each operate for 60% of the time, with some periods of overlap

6 different frequency ratios can be determined

12 non-zero correlation coefficients

Correlations arise from both statistical and systematic uncertainties.

### **Example**



- Absolute frequency measurements of <sup>171</sup>Yb<sup>+</sup> E2 transition and <sup>88</sup>Sr<sup>+</sup> transition are correlated because part of the Cs fountain data is common to the two
- Assuming all other sources of uncertainty negligible compared to statistical uncertainty associated with the Cs standard:

 $^{171}$ Yb<sup>+</sup> E2 standard runs for a total period  $T_A$  = 6 days  $^{88}$ Sr<sup>+</sup> standard runs for a total period  $T_B$  = 6 days

Period of overlap 
$$T_{\text{overlap}} = 3 \text{ days}$$

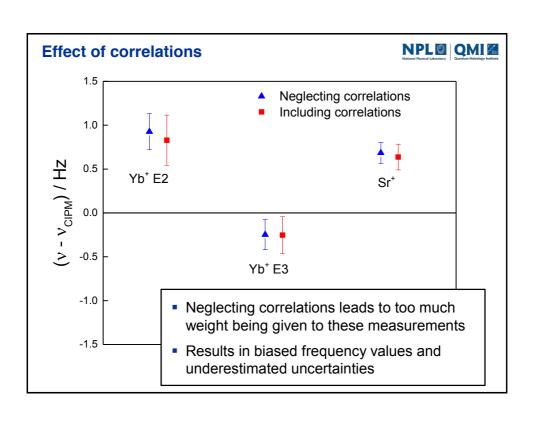
Correlation coefficient is  $\left(\frac{T_{\text{overlap}}^2}{T_A T_B}\right)^{1/2} = 0.5$ 

 In practice, other contributions to uncertainty must also be considered, e.g. systematic uncertainty of Cs fountain is also common to the measurements

### **Effect of correlations**



- Present stabilities and systematic uncertainties of NPL's optical clocks can be used to estimate correlation coefficients for hypothetical measurement campaign
- Values of 12 correlation coefficients range from –0.10 to 0.95
- Largest correlation coefficient is for the <sup>171</sup>Yb<sup>+</sup> E2 / <sup>171</sup>Yb<sup>+</sup> E3 and <sup>171</sup>Yb<sup>+</sup> E2 / <sup>88</sup>Sr<sup>+</sup> frequency ratios (dominated by the systematic uncertainty of the <sup>171</sup>Yb<sup>+</sup> E2 standard)
- For arbitrarily-selected values of the measured frequency ratios resulting from this hypothetical measurement campaign, effect of correlations can be determined



### **Application of the analysis methods**



- Can be used to determine a self-consistent set of frequency ratios between high accuracy standards, based on all available experimental data and including correlations among the data
- As number of direct optical frequency ratio measurements increases, could be used
  - To provide valuable information about relative performance of different candidates for an optical redefinition of the SI second
  - To determine optimized values and uncertainties for absolute frequencies of each optical standard relative to the current definition of the SI second (special cases of frequency ratios)
- Optimized values and uncertainties are required to maximise the potential contribution of optical clocks to international timescales prior to any redefinition

### **Key issues**



- All possible input data must be identified and critically reviewed, especially the standard uncertainty of each measurement
- Correlations between the input data must be considered
  - Information reported in the literature is in many cases insufficient to calculate the correlation coefficients
  - Additional information will be required
- Must investigate
  - Effect to which each input datum contributes to the determination of the adjusted frequency values
  - Effects of omitting inconsistent or inconsequential data
- Issues are common to those faced by the CODATA Task Group on Fundamental Constants
- Likely to be highly relevant to future discussions within the FSWG

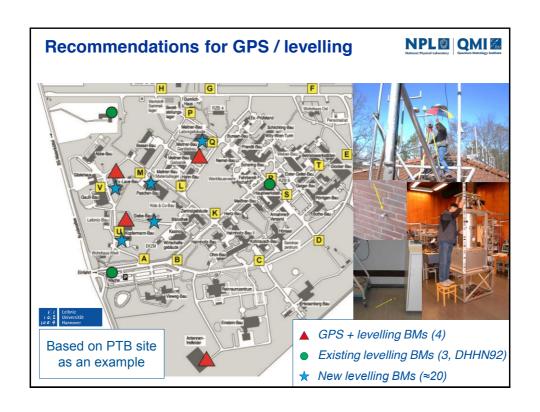


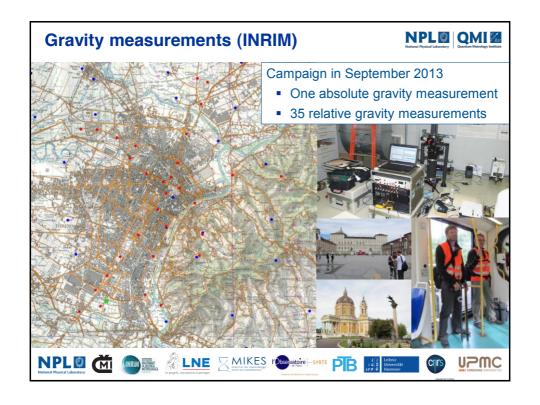
## Optical clocks, relativity and geodesy

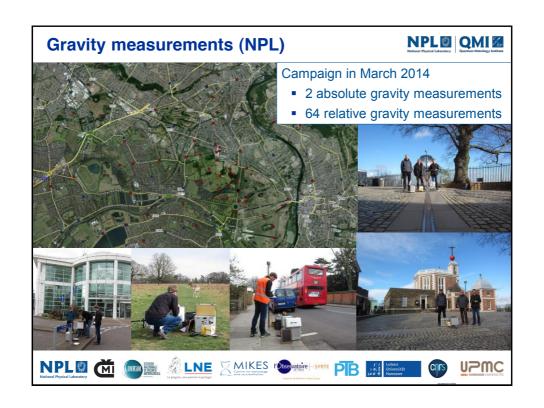
### Gravity potential for optical clock comparisons NPL QMI

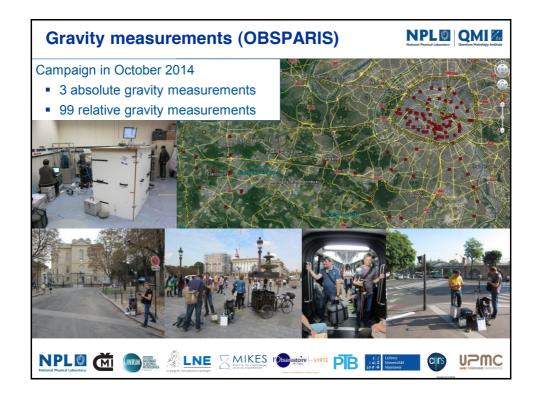
Geodesy expertise provided by Leibniz Universität Hannover (Heiner Denker, Ludger Timmen, Christian Voigt)

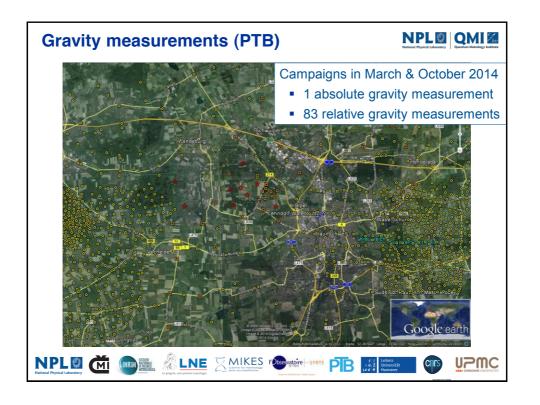
- Design of setups to determine the static gravity potential at all clock locations
  - Potential differences for clock comparisons
  - Absolute potential values for timescales
- Development of a refined European geoid model including gravity observations around all relevant clock sites
  - Measurement campaigns completed at INRIM, NPL, OBSPARIS, PTB and LSM
- Investigation of time-variable components of the gravity potential, e.g. due to tides

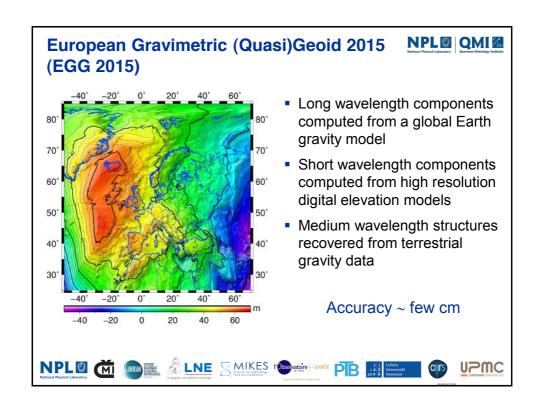












### **Clock-based geodesy**

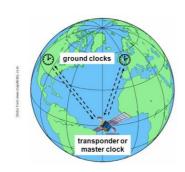
NPL QM 5/10
National Physical Laboratory
Quantum Metrology Institute

Direct measurement of the earth's gravity potential with high resolution by using the gravitational redshift.

Frequency shift

$$Z \equiv \frac{\Delta f}{f} = \frac{\Delta U}{c^2}$$

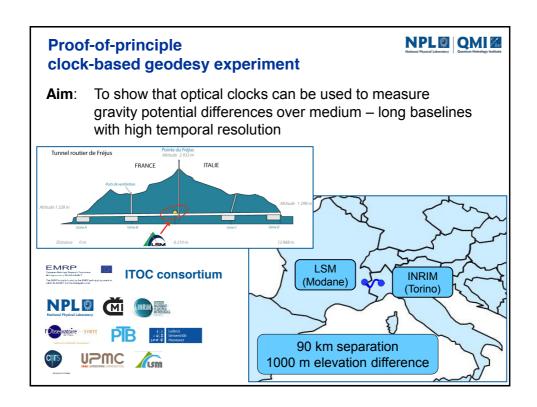
 $\Delta U$  = gravity potential difference between clocks

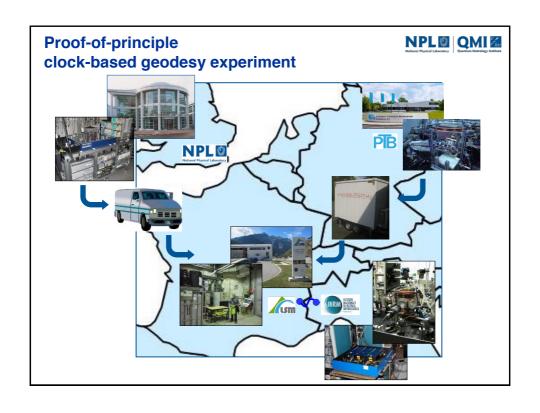


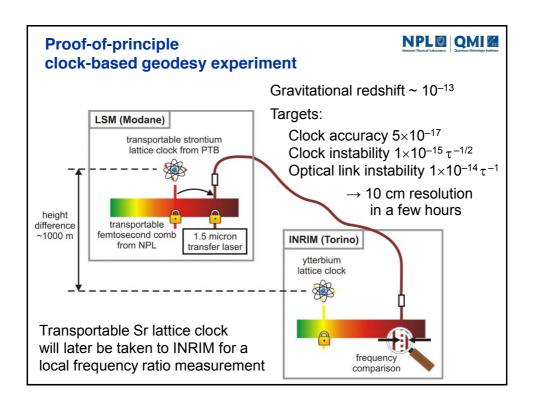
Comparison of terrestrial clocks with 10<sup>-18</sup> accuracy

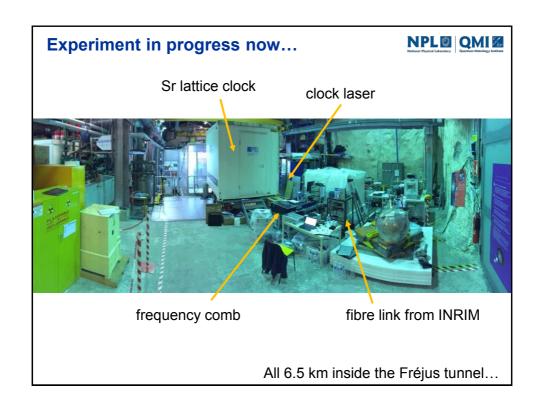


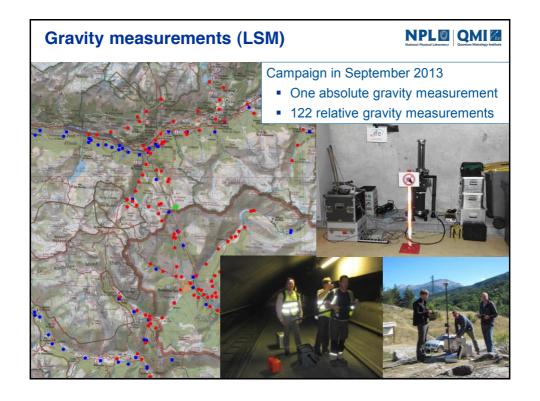
Measurement of gravity potential differences with equivalent height resolution of 1 cm





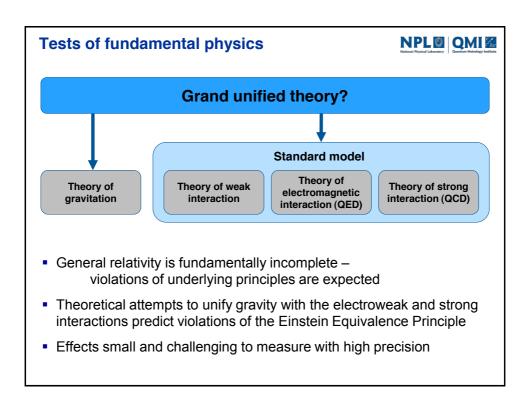








## Fundamental physics with optical clocks



### Do fundamental constants vary with time?

NPL QMI

If local position invariance holds, then fundamental physical constants should be constant in time.

Any optical transition frequency can be written as

 $F = C F(\alpha) R_{\infty} c$ 

Rate of change with time is

$$\frac{\partial}{\partial t} \ln f = A \frac{\partial}{\partial t} \ln \alpha + \frac{\partial}{\partial t} \ln (R_{\infty} c)$$

lon	Clock transition	Α
Sr <sup>+</sup>	${}^{2}S_{1/2} - {}^{2}D_{5/2}$	0.43
Yb <sup>+</sup>	${}^{2}S_{1/2} - {}^{2}D_{3/2}$	0.88
Yb <sup>+</sup>	${}^{2}S_{1/2} - {}^{2}F_{7/2}$	-5.95
Hg⁺	${}^{2}S_{1/2} - {}^{2}D_{5/2}$	-2.94
In+	${}^{1}S_{0} - {}^{3}P_{0}$	0.18
AI <sup>+</sup>	${}^{1}S_{0} - {}^{3}P_{0}$	0.008

where

$$A = \frac{\partial \ln F(\alpha)}{\partial \ln \alpha}$$

Frequency ratios between dissimilar optical clocks depend on the fine structure constant  $\alpha$ .

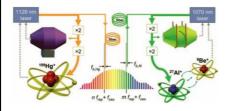
Dzuba et al., Phys. Rev. A 59, 230 (1999) Angstmann et al., Phys. Rev. A 70, 014102 (2004) Dzuba et al., Phys. Rev. A 68, 022506 (2003) Dzuba et al, Phys. Rev. A 77, 012515 (2008)



x 10-16

5.5





Comparison between 199Hg+ and <sup>27</sup>Al<sup>+</sup> optical clocks at NIST:

= 1.052 871 833 148 990 438 (55)

Relative uncertainty 5.2 x 10<sup>-17</sup>

4.3 x 10<sup>-17</sup> statistics 1.9 x 10<sup>-17</sup> Hg<sup>+</sup> systematics 2.3 x 10<sup>-17</sup> Al<sup>+</sup> systematics

Repeated measurements over 1 year:

 $= (-1.6 \pm 2.3) \times 10^{-17}$  / year

Rosenband et al., Science 319, 1808 (2008)

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