

temperature inversion in long-range interacting systems from astrophysical to atomic scales

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Long-range-interacting many-body systems: from atomic to astrophysical scales

ICTP Trieste, July 25, 2016

joint work with Pierfrancesco Di Cintio, Shamik Gupta, and Tarcísio N. Teles

LC & Gupta *European Physical Journal B* **87**, 91 (2014)

Teles, Gupta, Di Cintio & LC *Physical Review E* **92**, 020101(R) (2015)

Teles, Gupta, Di Cintio & LC *Physical Review E* **93**, 066102 (2016)

Di Cintio, Gupta & LC (in preparation); Gupta & LC (in preparation)

introduction & motivation

- **temperature inversion**

- **anticorrelation** between **density** & **temperature**

the **sparser** the **hotter**, the **denser** the **colder**

- **nonequilibrium stationary states**

spontaneously appear with **long-range** interactions: **Quasi-Stationary States (QSSs)**

- from **astrophysical** scales...

solar **corona**, interstellar **molecular clouds**, (some) **cD galaxies**, hot **gas** in **galaxy clusters**...

- ...to **atomic** scales

cold atoms in a **cavity**

- **minimal ingredients** & **basic physical mechanism**

- **long-range** interactions & **inhomogeneous** states

"universality" → **Julien Barré's talk**

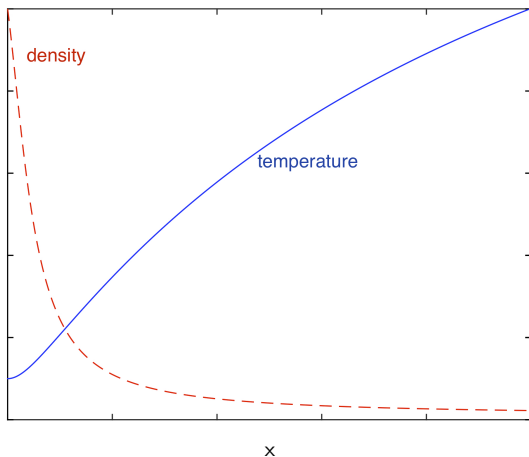
- **spontaneous** temperature inversion

after **perturbing thermal equilibrium** or **quenching** a field

- interplay between **spatial inhomogeneity** & **wave-particle** interaction

⇒ **velocity filtration**

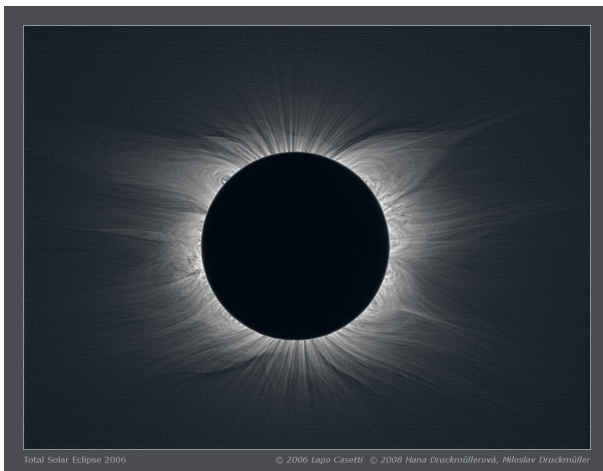
temperature inversion



temperature \propto locally averaged kinetic energy \propto squared velocity dispersion

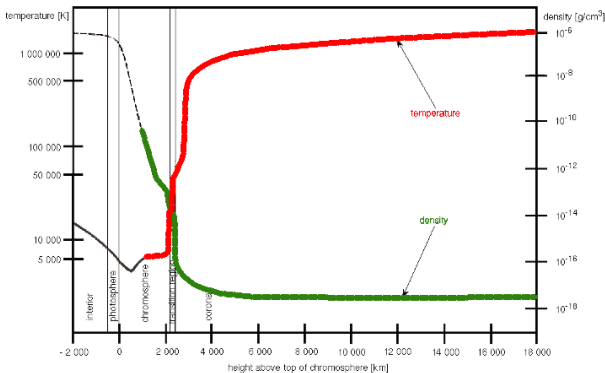
temperature inversion

solar corona



temperature inversion

solar corona



temperature inversion

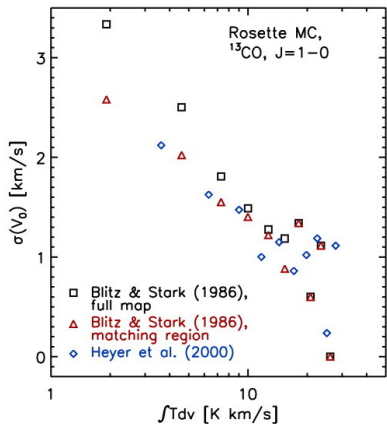
molecular clouds



[John Corban & the ESA/ESO/NASA Photoshop FITS Liberator]

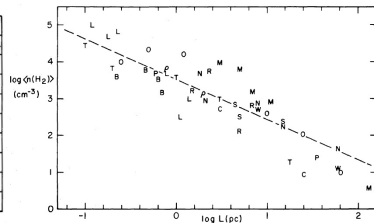
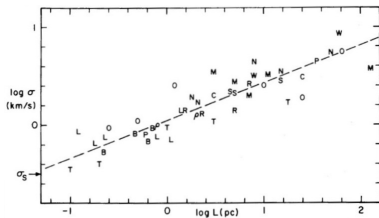
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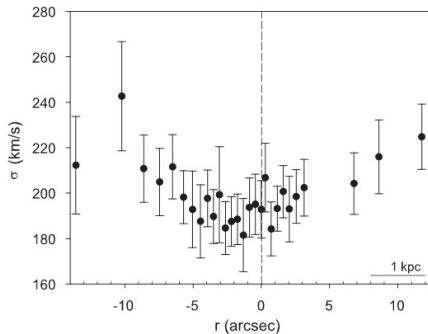


$$\sigma^2 \propto \varrho^{-0.8}$$

[R. P. Larson, MNRAS 1981]

temperature inversion

cD galaxies

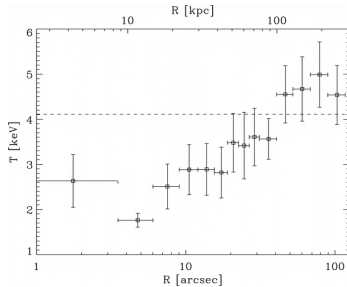
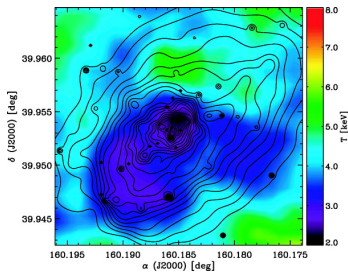


NGC 3311 in Hydra

[S. I. Loubser *et al.*, MNRAS 2008]

temperature inversion

hot gas in galaxy clusters



[M. W. Wise *et al.*, ApJ 2004]



temperature inversion models & theories

no general explanation

a specific mechanism is invoked for each case



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a specific mechanism is invoked for each case

solar corona

- **energy injection** in the **low-density** regions
dissipation of **Alfvén waves**, **magnetic** field lines **reconnection**,...
- **velocity filtration**
more soon...



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cD galaxies

- **dynamical** effects
resonances, **anisotropy**, **dark matter**, **varying M/L** ...

gas in galaxy clusters

- **dissipative** effects

velocity filtration

1990s: [J. D. Scudder](#) to explain **coronal heating** [J. D. Scudder, ApJ 1992 & 1994]

...without great success in the solar physics community...

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Scudder model

- noninteracting particles in an external field, e.g. gravity
one dimension: x height above ground
- stationary boundary condition at $x = 0$

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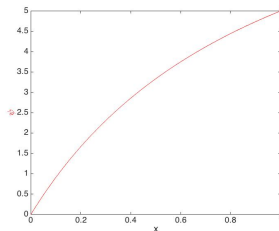
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collisionless Boltzmann equation for $f(x, p, t)$

$$\frac{\partial f}{\partial t} + p \frac{\partial f}{\partial x} - \frac{d\psi}{dx} \frac{\partial f}{\partial p} = 0$$

...just **single-particle energy conservation** in this case...



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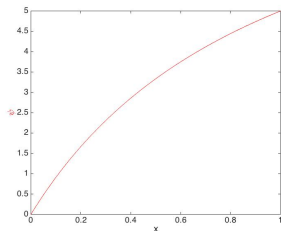
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velocity filtration

only particles with **kinetic energy** $k(0) \geq \psi(x)$ reach x where $k(x) = k(0) - \psi(x)$

velocity filtration thermal boundary condition

density profile

$$n(x) = \int_{-\infty}^{\infty} dp f(x, p)$$

decreasing function of x

velocity filtration thermal boundary condition

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stationary thermal boundary condition (Maxwellian) from now on $k_B = 1$

$$f_0^M(p) = \frac{n_0}{(2\pi T_0)^{1/2}} \exp\left(-\frac{p^2}{2T_0}\right)$$

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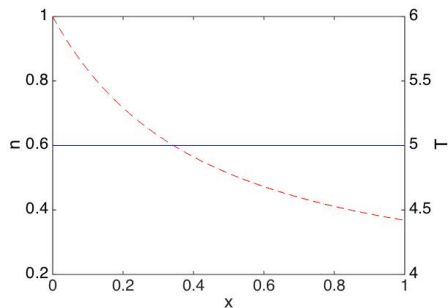
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constant temperature profile

$$T(x) = \frac{1}{n(x)} \int_{-\infty}^{\infty} dp p^2 f(x, p) \equiv T_0$$

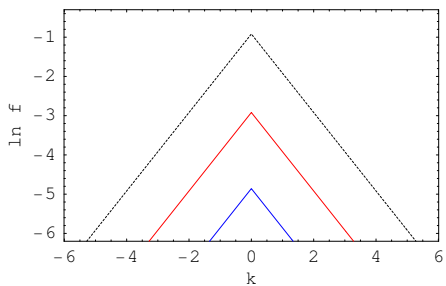
velocity filtration thermal boundary condition



only with thermal boundary condition f_0^M

velocity filtration how does it work?

plot $\ln f$ as a function of (signed) kinetic energy k



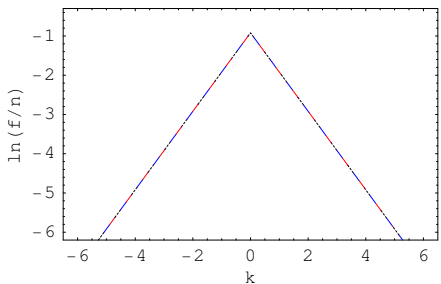
... $x = 0$

— $x = 0.25$

— $x = 0.65$

velocity filtration how does it work?

rescale f with n



... $x = 0$

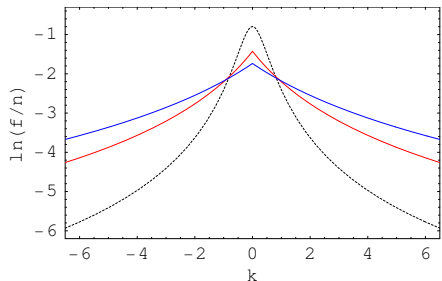
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velocity filtration suprathermal boundary condition

suprathermal f_0 , i.e., with tails **fatter** than a **Maxwellian**

$$f_0(p) = \frac{\sqrt{2}}{\pi(1+p^4)}$$

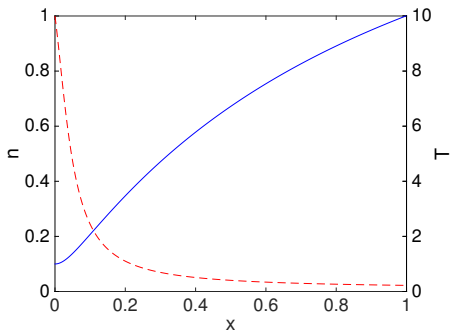


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velocity filtration suprathermal boundary condition



temperature inversion

velocity filtration summary

pros

- simple and general mechanism for temperature inversion
- needs no active energy injection in sparser regions of the system
- makes no use of specific ingredients (magnetic fields, turbulence,...)

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cons

- what about interactions?
- needs a non-thermal boundary condition for all times
a very strong assumption, seems to rule out isolated systems
- who keeps the system in a non-thermal state at the boundary?
still an *ad hoc* "active" ingredient

a toy model

N unit mass particles, generic **long-range** interaction V

$$\mathcal{H} = \sum_{i=1}^N \frac{p_i^2}{2} + \frac{1}{N} \sum_{i=1}^N \sum_{j<i}^N V(|\mathbf{r}_i - \mathbf{r}_j|)$$

restrict to $d = 1$ and expand V in a Fourier series to the lowest order

$$\mathcal{H} = \sum_{i=1}^N \frac{p_i^2}{2} + \frac{J}{N} \sum_{i=1}^N \sum_{j<i}^N [1 - \cos(\vartheta_i - \vartheta_j)]$$

Hamiltonian Mean Field (HMF) model

particles on a **ring** with **all-to-all** interactions

XY spins on a **complete graph** (**mean-field** interactions)

$J > 0$ **attractive/ferromagnetic** interactions; $J < 0$ **repulsive/antiferro**

$J > 0$ **equilibrium** phase transition breaking the $O(2)$ symmetry at small energy (temperature)

broken symmetry phase: **clustered/magnetized**

[M. Antoni & S. Ruffo PRE 1995]

HMF dynamics

$t < \tau_{coll} \implies$ Vlasov equation for $f(\vartheta, p, t)$

$$\frac{\partial f}{\partial t} + p \frac{\partial f}{\partial \vartheta} - \frac{\partial (\langle u \rangle + \psi)}{\partial \vartheta} \frac{\partial f}{\partial p} = 0$$

self-consistent interaction

$$\langle u \rangle(\vartheta, t) = \int d\vartheta' \int dp' u(\vartheta - \vartheta') f(\vartheta', p', t)$$

+ (possibly) external field ψ

for the HMF model

$$\begin{aligned} u(\vartheta - \vartheta') &= J [1 - \cos(\vartheta - \vartheta')] \\ \psi(\vartheta) &= -h \cos \vartheta \end{aligned}$$

initial conditions \longrightarrow "violent relaxation" \longrightarrow QSS (stable stationary Vlasov solution) \longrightarrow thermal equilibrium
 $t = 0$ $t = \mathcal{O}(1)$ $t < \tau_{coll}$ $t > \tau_{coll}$

HMF velocity filtration and temperature inversion

- if f is stationary (QSS)
- if the net effect of $\langle u \rangle + \psi$ is attractive (clustered QSS)

Vlasov equation for HMF \approx collisionless Boltzmann equation of the Scudder model

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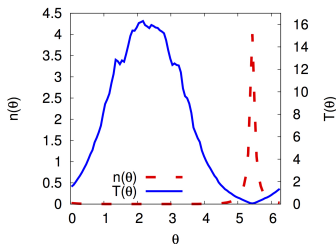
velocity filtration may induce temperature inversion also in the HMF
with a suprathermal velocity distribution as initial condition ($t = 0$)
provided it survives violent relaxation...

HMF velocity filtration and temperature inversion

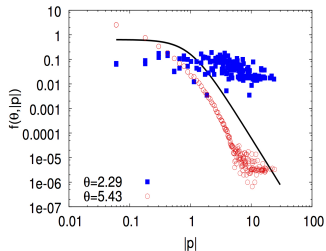
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velocity filtration may induce temperature inversion also in the HMF with a suprathermal velocity distribution as initial condition ($t = 0$) provided it survives violent relaxation...



...and it does!



temperature inversion in long-range systems

what we learned so far

- **long-range systems** are naturally found in **nonequilibrium “steady” states (QSSs)**
due to lack of **collisions** for $t < \tau_{coll}$
- **velocity filtration** works also with **long-range** interactions of arbitrary strength, yielding **temperature inversion**
provided the system is in a **clustered QSS**
- **no need** of a stationary **nonthermal** boundary condition
a **suprathermal** velocity distribution can be given just as **initial condition** ($t = 0$)
temperature inversion via **velocity filtration** also in **isolated long-range-interacting systems**

far beyond Scudder's model, towards a **basic mechanism** for **temperature inversion**

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far beyond Scudder's model, towards a **basic mechanism** for **temperature inversion**

...still...

- who **prepares** the system with a **suprathermal** velocity distribution?
much weaker assumption than Scudder's **boundary condition**, yet not very **natural**...

disturbing the equilibrium

a simple question

- what happens if an isolated macroscopic system in **thermal equilibrium** is **suddenly disturbed**?
by an **impulsive force** or a **"kick"** (or by **quenching** a field)

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short-range systems

- a system with **short-range** interactions will relax to (another) **equilibrium**
collisions efficiently redistribute the **kick-injected** energy among particles leading to **fast** relaxation

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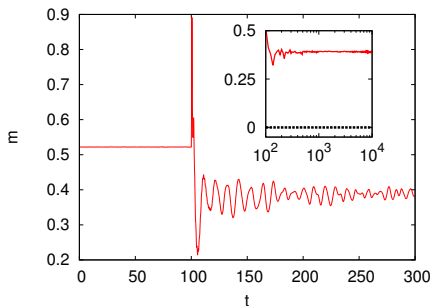
- a system with **long-range** interactions will settle in a **QSS**
which one of the **infinitely many** possible **stationary states**? nobody knows, in general...
→ **Fernanda P. da C. Benetti's** and **Yan Levin's** forthcoming talks will show that **sometimes** we do know
- a **simpler** question: how **different from equilibrium** is this state?
can we **characterize** it by some **general features**?
- surprisingly**, the answer is **yes**
the **QSS** **typically** exhibits **nonuniform temperature** and **temperature inversion**
even **more surprisingly**, we can also **understand** why...

kicking a long-range system away from equilibrium

- prepare a **HMF** model in a **clustered** (magnetized) **thermal equilibrium** at $t = 0$
- evolve until $t = t_0$ then switch on an **external field** for a **short** time τ
- look what happens next...

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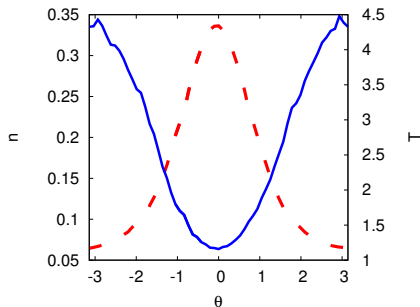
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[T. N. Teles, S. Gupta, P. Di Cintio & LC PRE(R) 2015]

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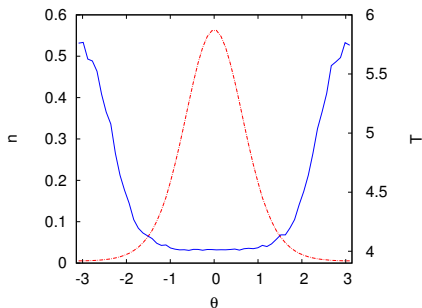
[T. N. Teles, S. Gupta, P. Di Cintio & LC PRE(R) 2015]

quenching a long-range system from equilibrium to nonequilibrium

- prepare a **HMF** model in a **clustered** (magnetized) **thermal equilibrium** at $t = 0$ with **external field h**
- evolve until $t = t_0$ then quench the **external field** to **another value h'**
- look what happens next...

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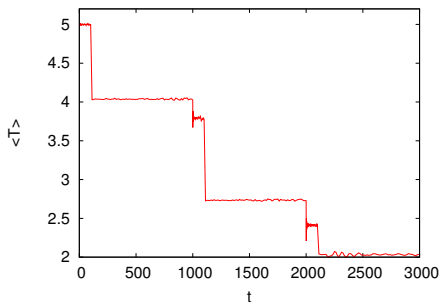


- the same also by quenching J

bonus: you can cool the system

...with many thanks to Andrea Trombettoni who suggested we could make it...

- quench as before
- remove hot particles
- quench again...



[S. Gupta & LC in preparation]

beyond toy models: from astrophysical scales...

filaments in molecular clouds



[esa/Herschel]

model: cylindrical symmetry \implies two-dimensional self-gravitating system (2DSGS)

$$\mathcal{H} = \sum_{i=1}^N \frac{|\mathbf{p}_i|^2}{2m} + Gm^2 \sum_{i=1}^N \sum_{j<i}^N \ln |\mathbf{r}_i - \mathbf{r}_j|$$

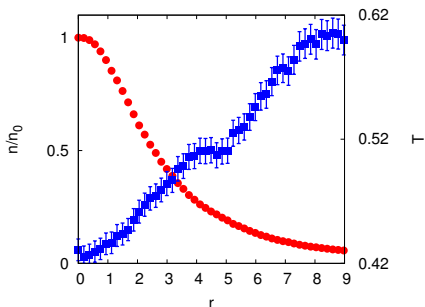
[Toci & Galli MNRAS 2014]

beyond toy models: from astrophysical scales...

- prepare a **2DSGS** model in a **thermal equilibrium** state at $t = 0$
- evolve until $t = t_0$ then apply a **radial** perturbation
- look what happens next...

beyond toy models: from astrophysical scales...

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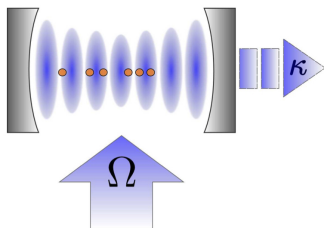
[T. N. Teles, S. Gupta, P. Di Cintio & LC PRE(R) 2015]

- the same also in a **cold collapse** with or without **magnetic fields**

[P. Di Cintio, S. Gupta & LC in preparation]

beyond toy models: ...to atomic scales

cold atoms trapped in a 1-d single-mode optical cavity



model: semiclassical + dissipationless limit \implies mean-field Hamiltonian dynamics

$$\mathcal{H} = \sum_{i=1}^N \frac{p_i^2}{2} + \frac{J}{N} \left[\sum_{j=1}^N \cos(kx_j) \right]^2 \quad \text{with } J \propto \text{laser intensity}$$

[Schütz & Morigi PRL 2014]

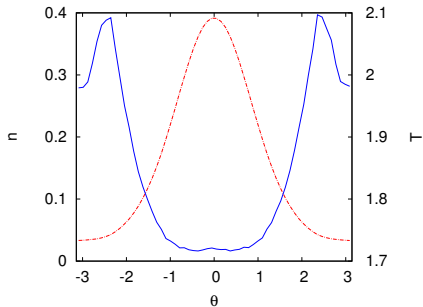
→ Igor Lesanovsky's and Romain Bachelard's forthcoming talks

beyond toy models: ...to atomic scales

- take $k = 1$ and prepare the system in an **inhomogeneous thermal equilibrium** state at $t = 0$
- evolve until $t = t_0$ then quench J by suddenly changing the **laser intensity**
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- and also **cooling** works as in the **HMF** case

the physical picture

long-range-interacting system in thermal equilibrium

for 2DSGS also cold nonequilibrium initial state



perturbation/quench

or whatever brings the system far from equilibrium with oscillating mean field



inhomogeneous QSS



temperature inversion in the QSS

- generic feature of long-range-interacting systems
both mean-field & slowly decaying forces, attractive/repulsive with confining external field, 1-d & 2-d (hopefully 3-d too)
- robust w.r.t. changes in the parameters & in the protocol
temperature inversion is always there!
- what is going on?
a general mechanism at work...

wave-particle interaction

- the **perturbation** induces a **wave** in the system
after the **kick** the system **gains** energy and m oscillates
- the **wave** is **damped** and the system settles in a **QSS**
how can it be? **no** collisions!

wave-particle interaction

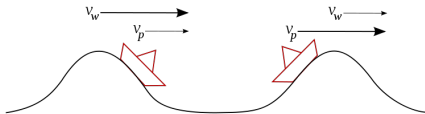
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- **wave-particle** interactions! (**Landau damping**)
particles interact with the **oscillating mean field**
rigorous theory for **small** perturbations of **homogeneous** states [Mohout & Villani Acta Math. 2011]
occurs also in **clustered** states [Barré *et al.* JSTAT 2010 & J. Phys. A 2011]

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occurs also in **clustered** states [Barré *et al.* JSTAT 2010 & J. Phys. A 2011]
- **wave-particle** interactions **selective** in **velocity**
only particles with $v \simeq v_w$ exchange energy with the wave
if $v \lesssim v_w$ the particle **gains** energy
if $v \gtrsim v_w$ the particle **loses** energy

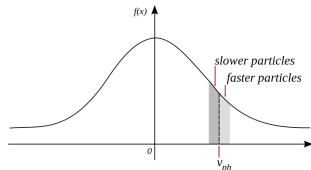
wave-particle interaction

- the **perturbation** induces a **wave** in the system
after the **kick** the system **gains** energy and m oscillates
- the **wave** is **damped** and the system settles in a **QSS**
how can it be? **no** collisions!
- **wave-particle** interactions! (**Landau damping**)
particles interact with the **oscillating mean field**
rigorous theory for **small** perturbations of **homogeneous** states [Mohout & Villani Acta Math. 2011]
occurs also in **clustered** states [Barré *et al.* JSTAT 2010 & J. Phys. A 2011]
- **wave-particle** interactions **selective in velocity**
only particles with $v \simeq v_w$ exchange energy with the wave
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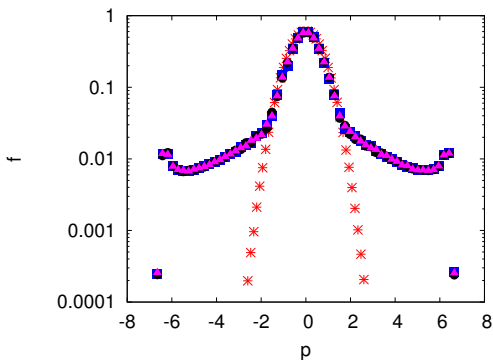
net effect: **damping** of the wave

wave-particle interaction

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let's check it...

wave-particle interaction

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cumulative momentum distribution $f(p)$ as a function of time (* $t = 0$)

[T. N. Teles, S. Gupta, P. Di Cintio & LC PRE(R) 2015]

wave-particle interaction and velocity filtration

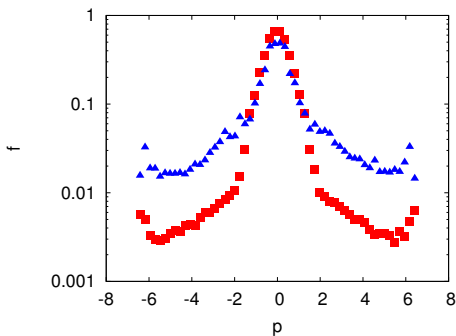
velocity filtration is back!

- $f(v)$ has **suprathermal tails** in the **QSS**
- **velocity filtration** produces **temperature inversion**

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distribution function $f(\vartheta, p)$ ■ $\vartheta = 0$ ▲ $\vartheta = \pi$

summary & outlook

summary

- **temperature inversion** from **astrophysical** to **atomic** scales
astrophysics: **examples** of a **general** phenomenon rather than a **collection** of **unrelated** phenomena
may occur in **any** system with **long-range interactions**, also at **atomic** scales: "universality"
- **minimal ingredients** of **temperature inversion**
long-range interactions, **clustered steady nonequilibrium** state, **fat-tailed velocity distributions**
- **basic and general physical mechanism**
temperature inversion **spontaneously** appears after **disturbing thermal equilibrium**
interplay between **wave-particle** interaction and **spatial inhomogeneity** leading to **velocity filtration**
- **long-living quasi-stationary states** obtained **disturbing equilibrium**
typically show **nonuniform temperature** profiles and **temperature inversion**

summary & outlook

what next?

- **3-d self-gravitating systems**

cD galaxies, Larson's power laws for molecular clouds... (work in progress)

- **trapped ions and particle beams**

close to (antiferro) HMF and 2-d Coulomb systems, respectively (work in progress)

- **experiments** (hopefully)

atoms in a cavity, trapped ions, particle beams...

→ Igor Lesanovsky's talk (this afternoon)

→ Romain Bachelard's talk on Friday

- **physical picture** \implies **theory?**

hints from Julien Barré's group theory of Landau damping in inhomogeneous states?

hints from Yan Levin's group theory for self-gravitating systems?

→ Fernanda P. da C. Benetti's talk (next)

→ Yan Levin's talk on Friday