

# Atmospheric aerosols, cloud microphysics, and climate

*Wojciech W. Grabowski*

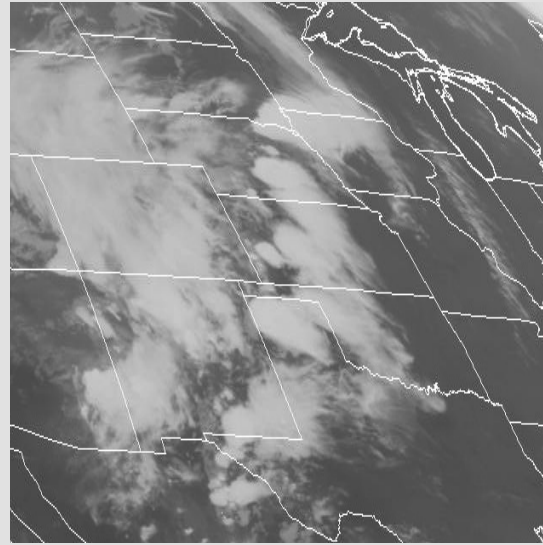
National Center for Atmospheric Research,  
Boulder, Colorado, USA



## Mesoscale convective systems over US



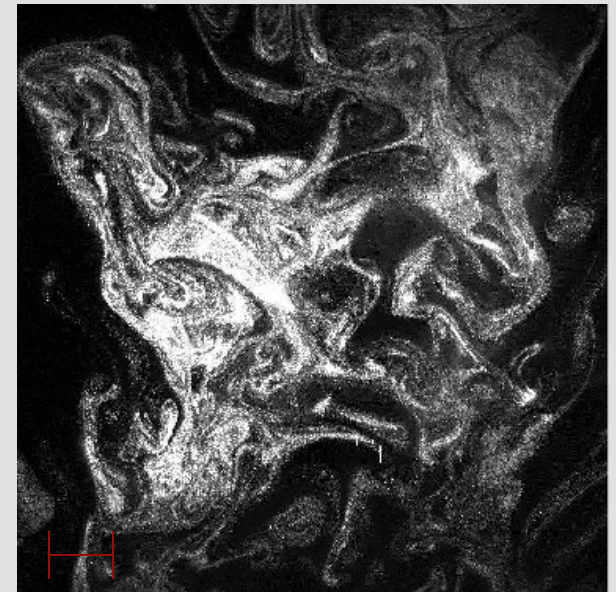
1,000 km



Mixing in laboratory  
cloud chamber



Small cumulus  
clouds



10 cm

*Clouds and climate:  
the range of scales...*

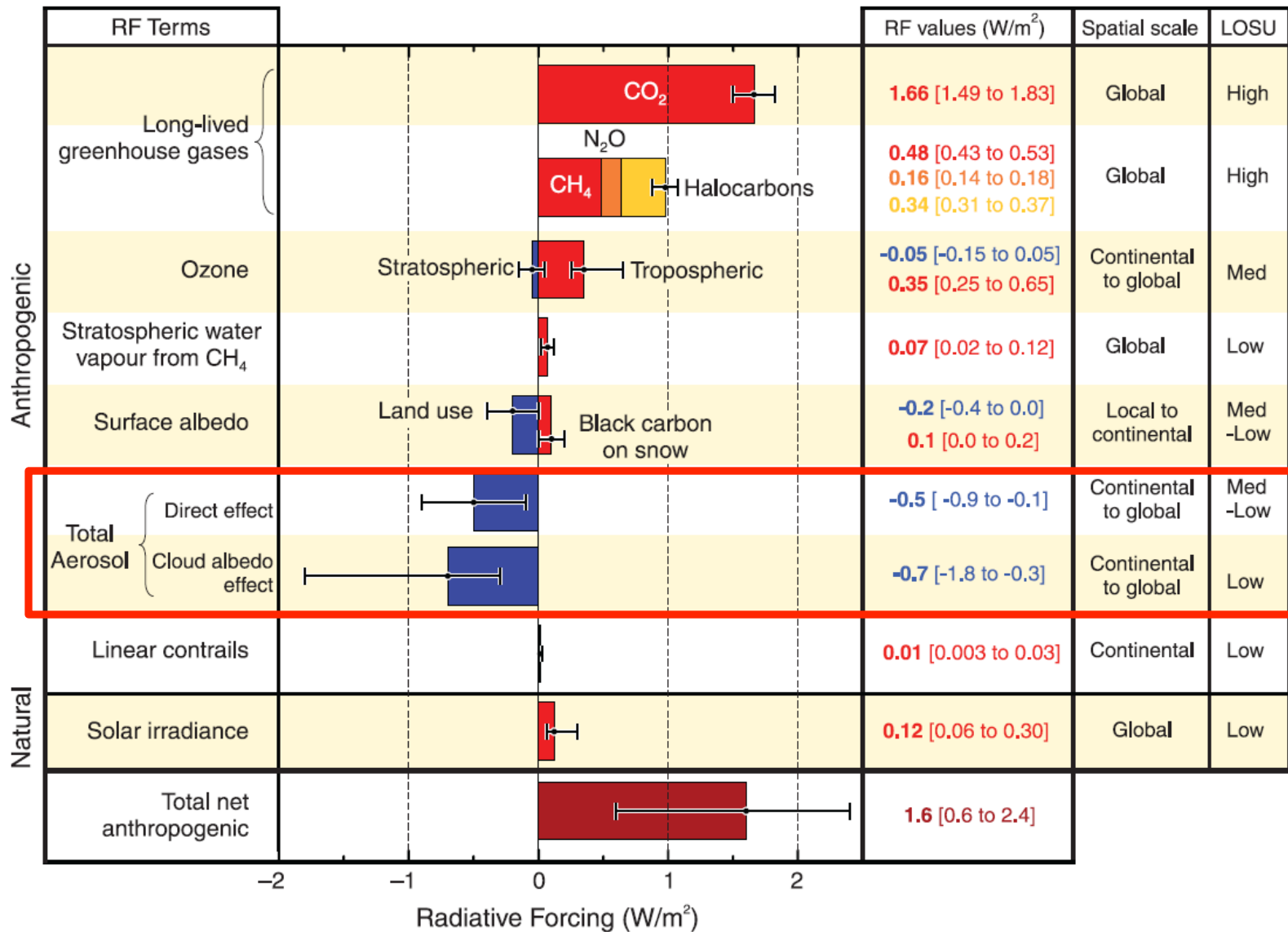
**Why do we care about aerosols in the climate system?**

**Direct impact** on the transfer of solar and Earth thermal radiation of suspended aerosols;

**Indirect effects:** impact on cloud processes (and thus on radiation and hydrological cycle);

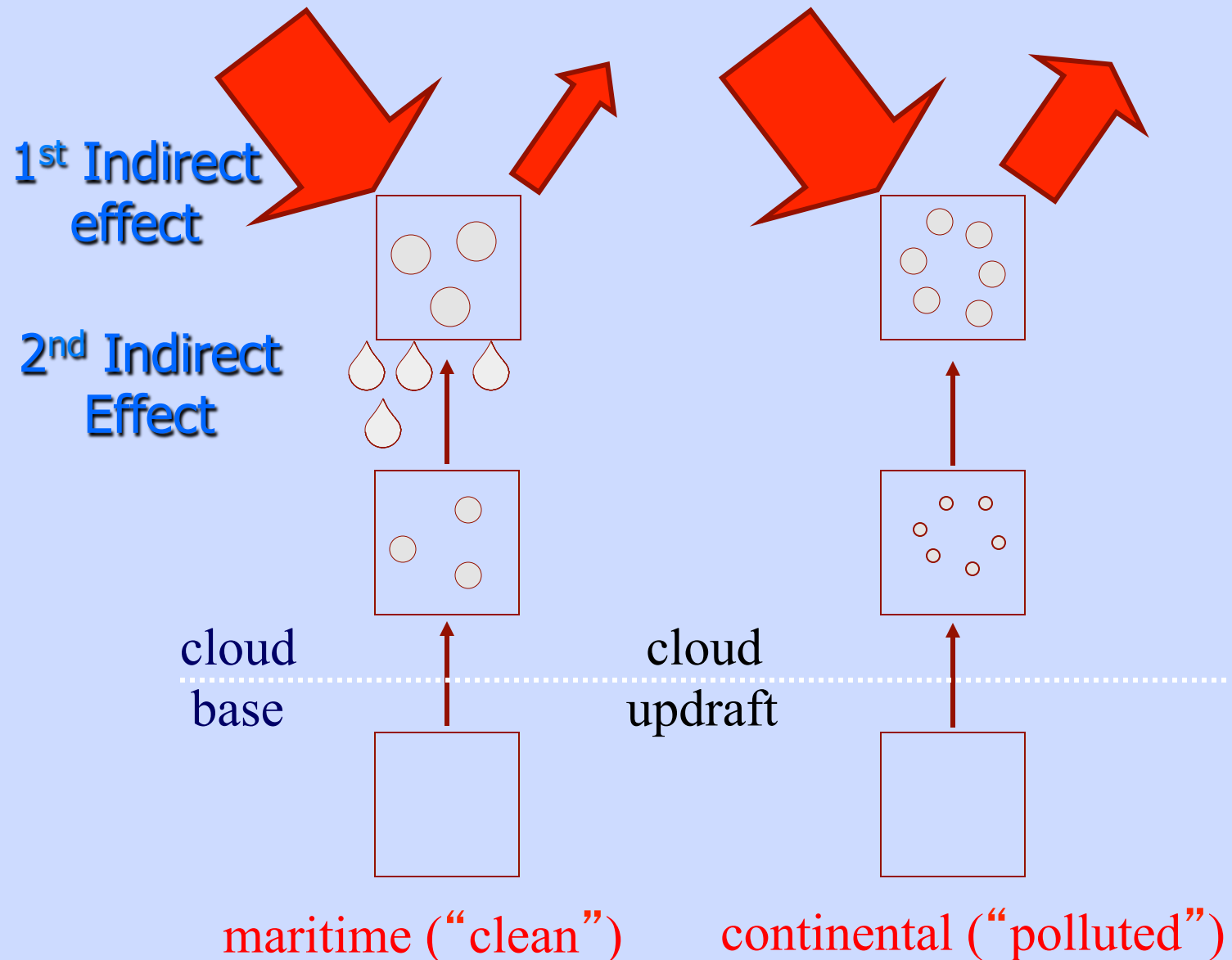
**Sink of many important chemical species.**

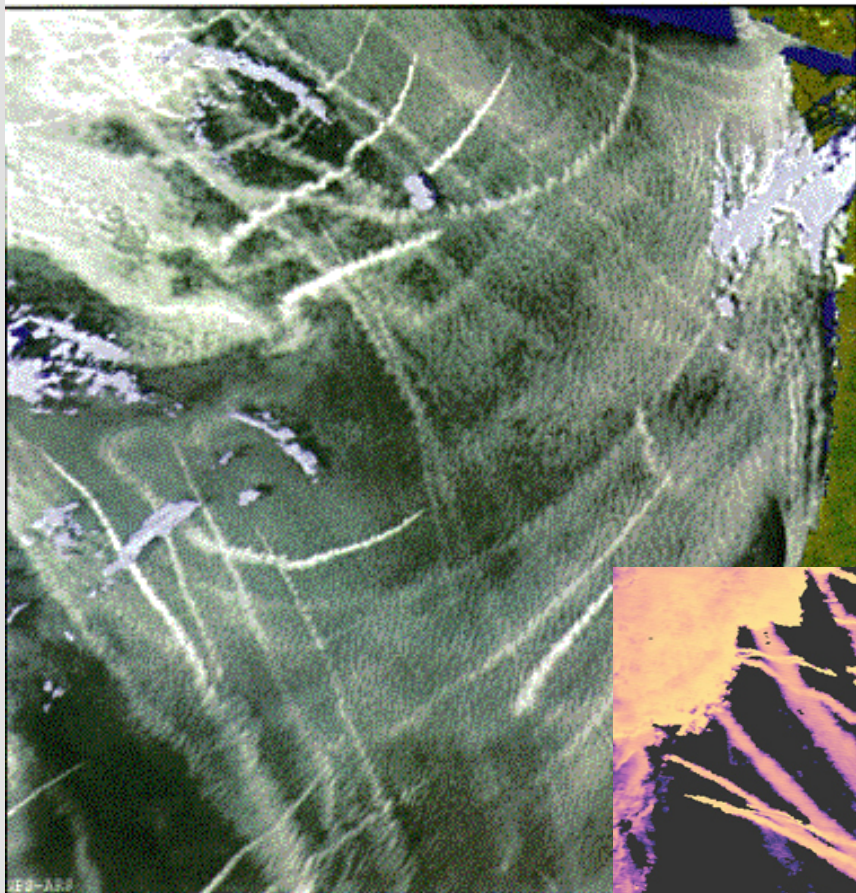
# Radiative forcing components



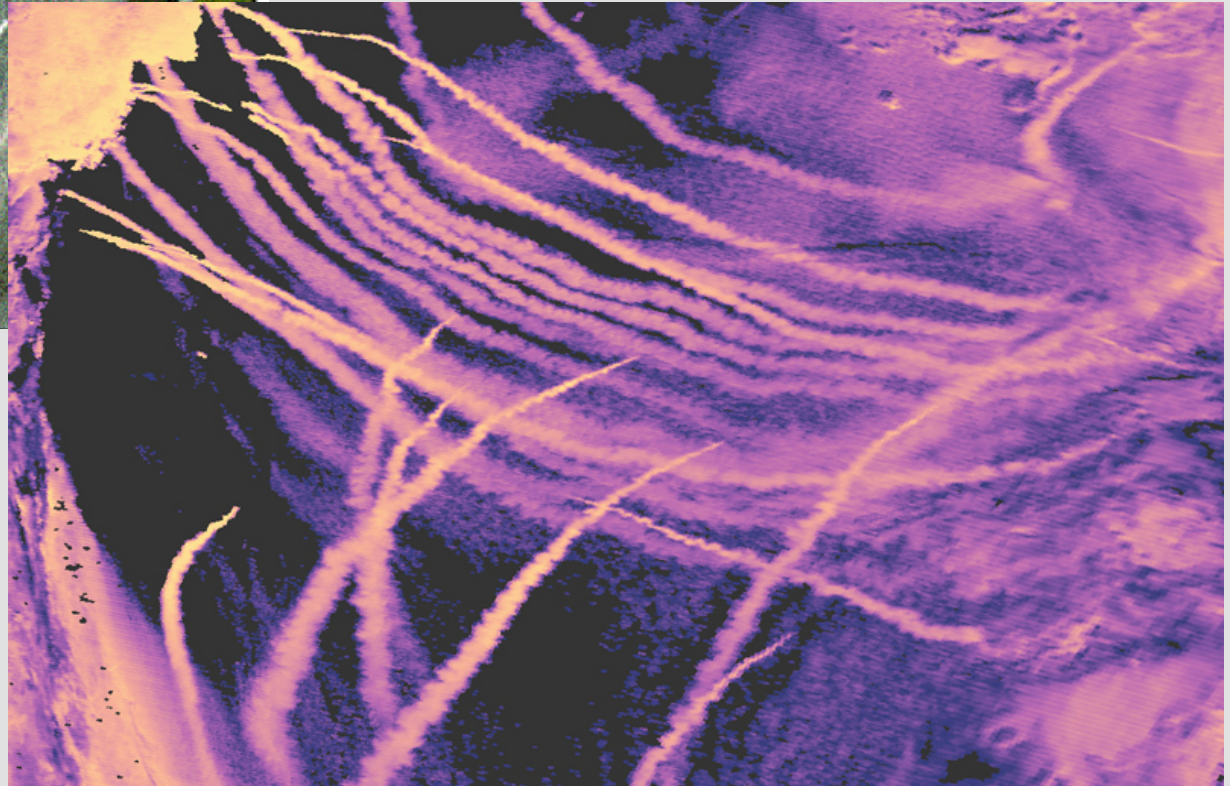


# Indirect aerosol effects (warm rain only)

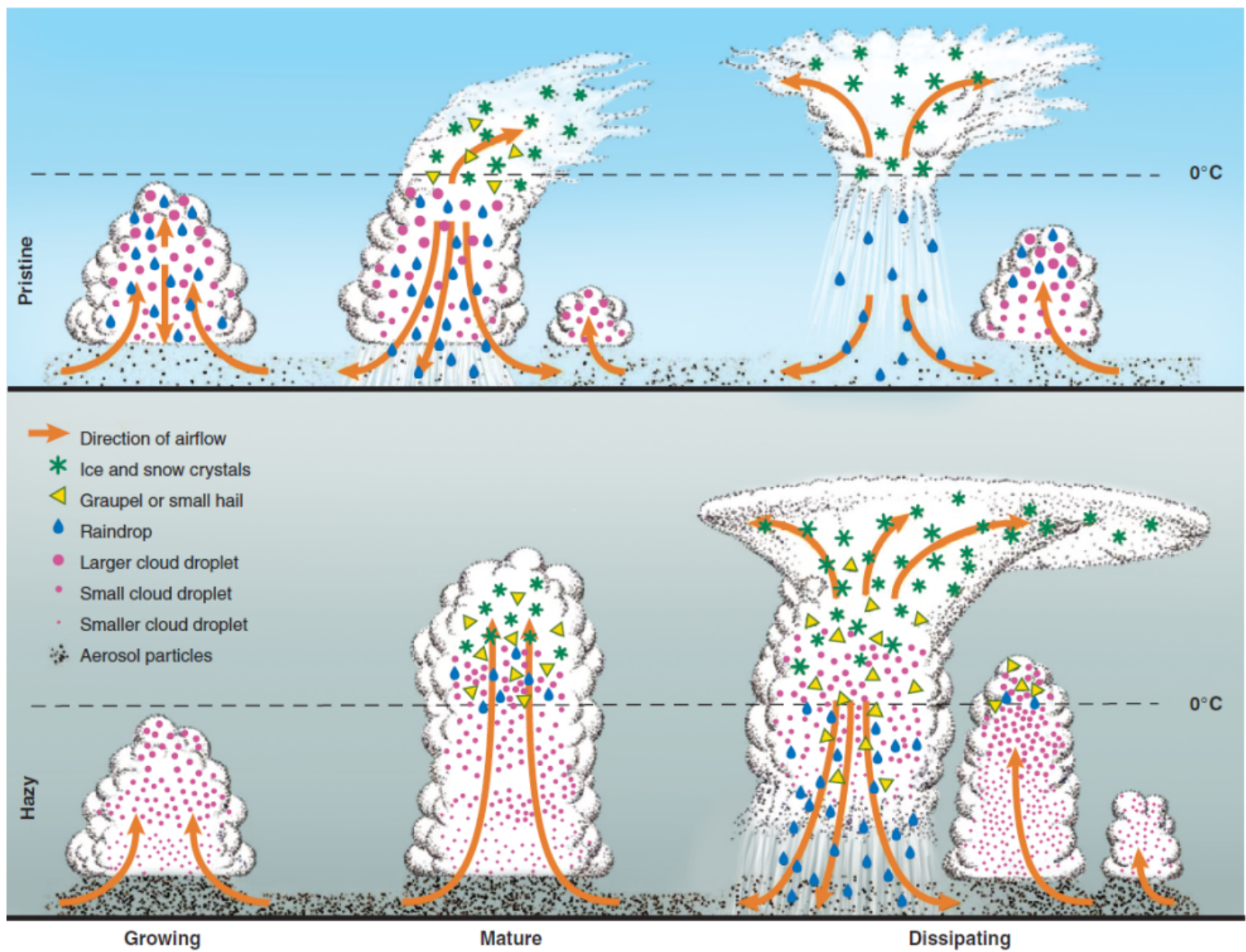




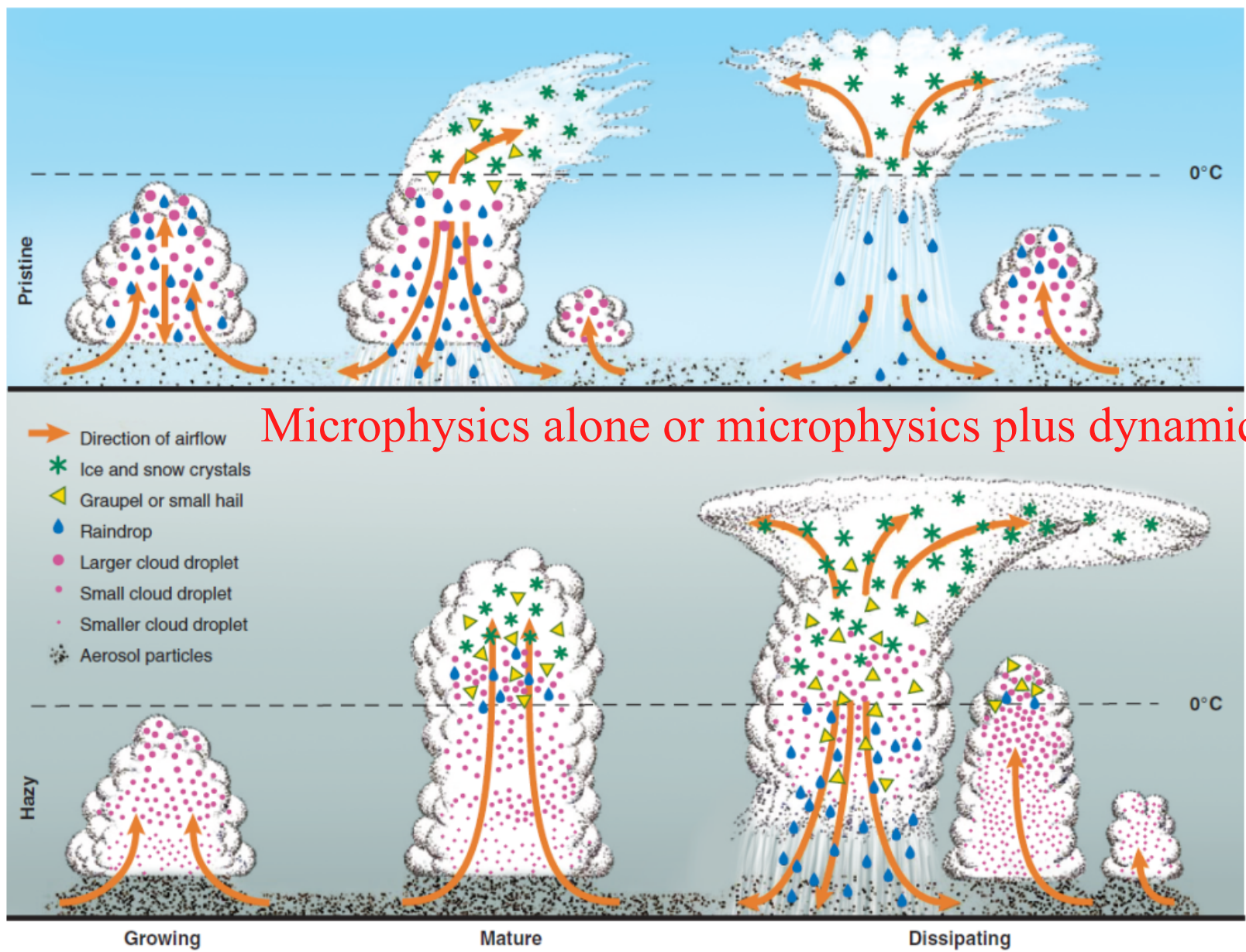
Ship tracks: spectacular example of indirect effects caused by ship exhausts acting as CCN (long-lasting, feedback on cloud dynamics?)







Rosenfeld et al. *Science*, 2008  
 “Flood or Drought: How Do Aerosols Affect Precipitation?”



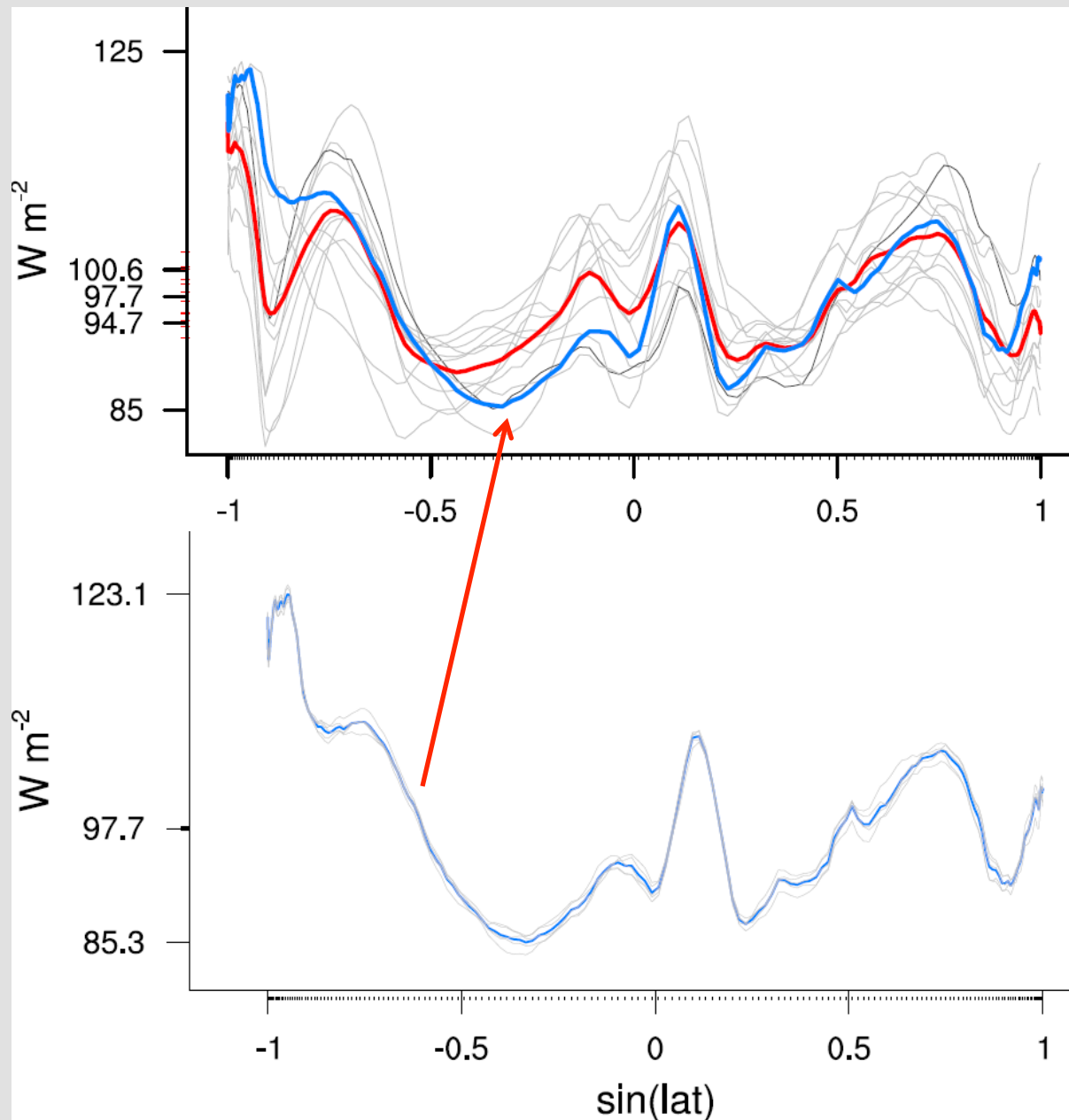
Microphysics alone or microphysics plus dynamics?

Rosenfeld et al. *Science*, 2008

“Flood or Drought: How Do Aerosols Affect Precipitation?”

Why indirect aerosol effects are so uncertain and difficult to quantify?

Because they are a (parameterization)<sup>2</sup> problem for current global climate models: parameterized microphysics in parameterized clouds!



Simulated year-to-year variability of meridional distribution of reflected solar radiation from IPCC model ensemble.

Annual variability of observed reflected solar radiation from satellite (CERES): 4 years of data.

...parameterized clouds...

(courtesy of Bjorn Stevens)



## Issues:

-Current observational techniques do not allow untangling relationships between aerosols and clouds on spatial and temporal scales relevant to climate:

**correlation versus causality**

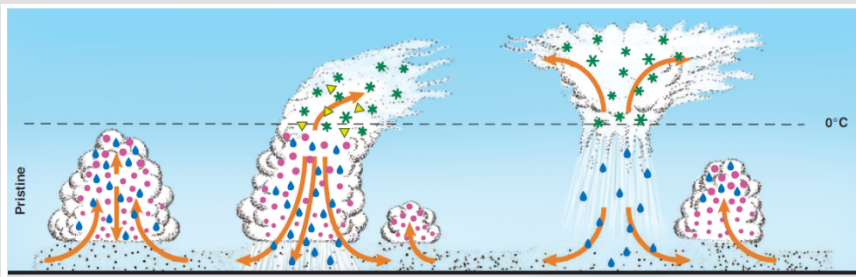
-Traditional general circulation models misrepresent the impact of aerosols on climate

**dynamic response at wrong scales**

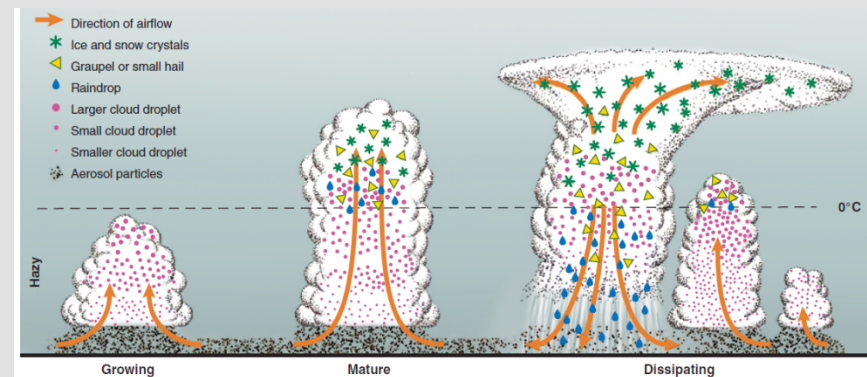
# correlation versus causality:



satellites observing aerosols  
and clouds...



clean



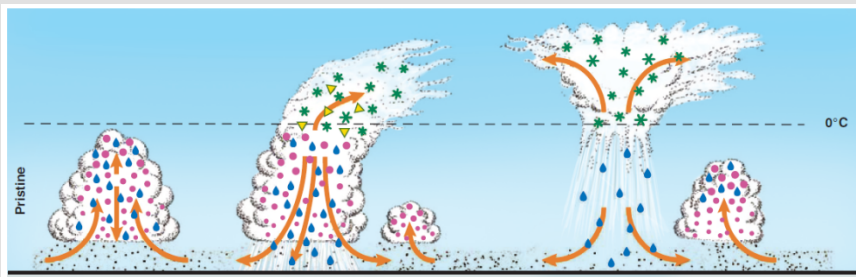
polluted

## correlation versus causality:

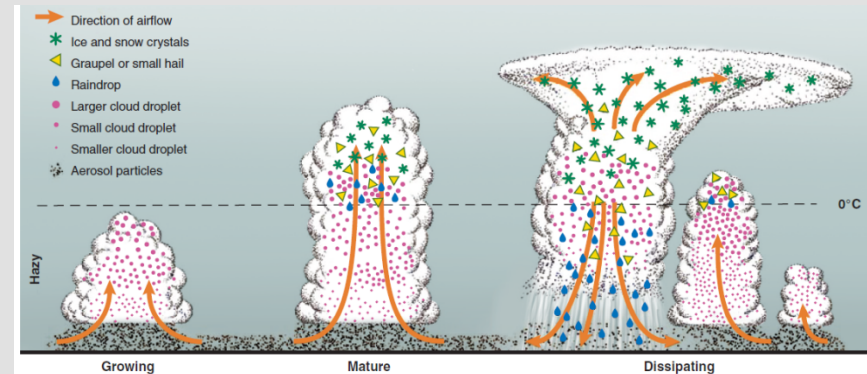
If clouds correlate with aerosol, this does not imply that aerosols are solely responsible for changing clouds...



satellites



clean



polluted

**If clouds correlate with aerosol, this does not imply that aerosols are solely responsible for changing clouds...**

**Clouds and aerosol can simply vary together (for instance, because of the large-scale advection patterns...).**

**If I drive to the office in the morning and there are more accidents at that time, am I responsible for the increase?**

**If clouds correlate with aerosol, this does not imply that aerosols are solely responsible for changing clouds...**

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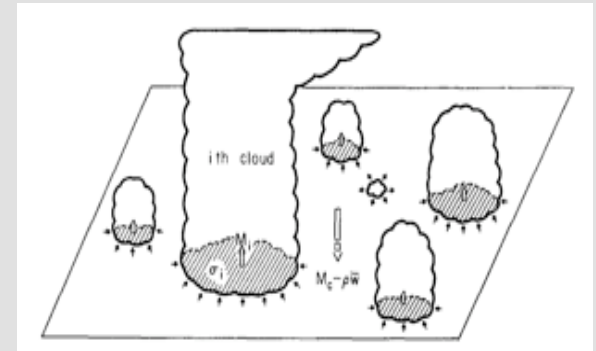
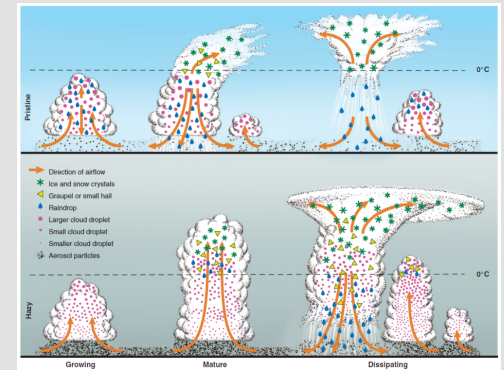
**If I drive to the office in the morning and there is more accidents at that time, am I responsible for the increase?**

**And - perhaps more importantly - these large-scale advection patterns (“meteorology”) have by far more significant impact on clouds...**

single-cloud reasoning

versus

cloud-ensemble reasoning

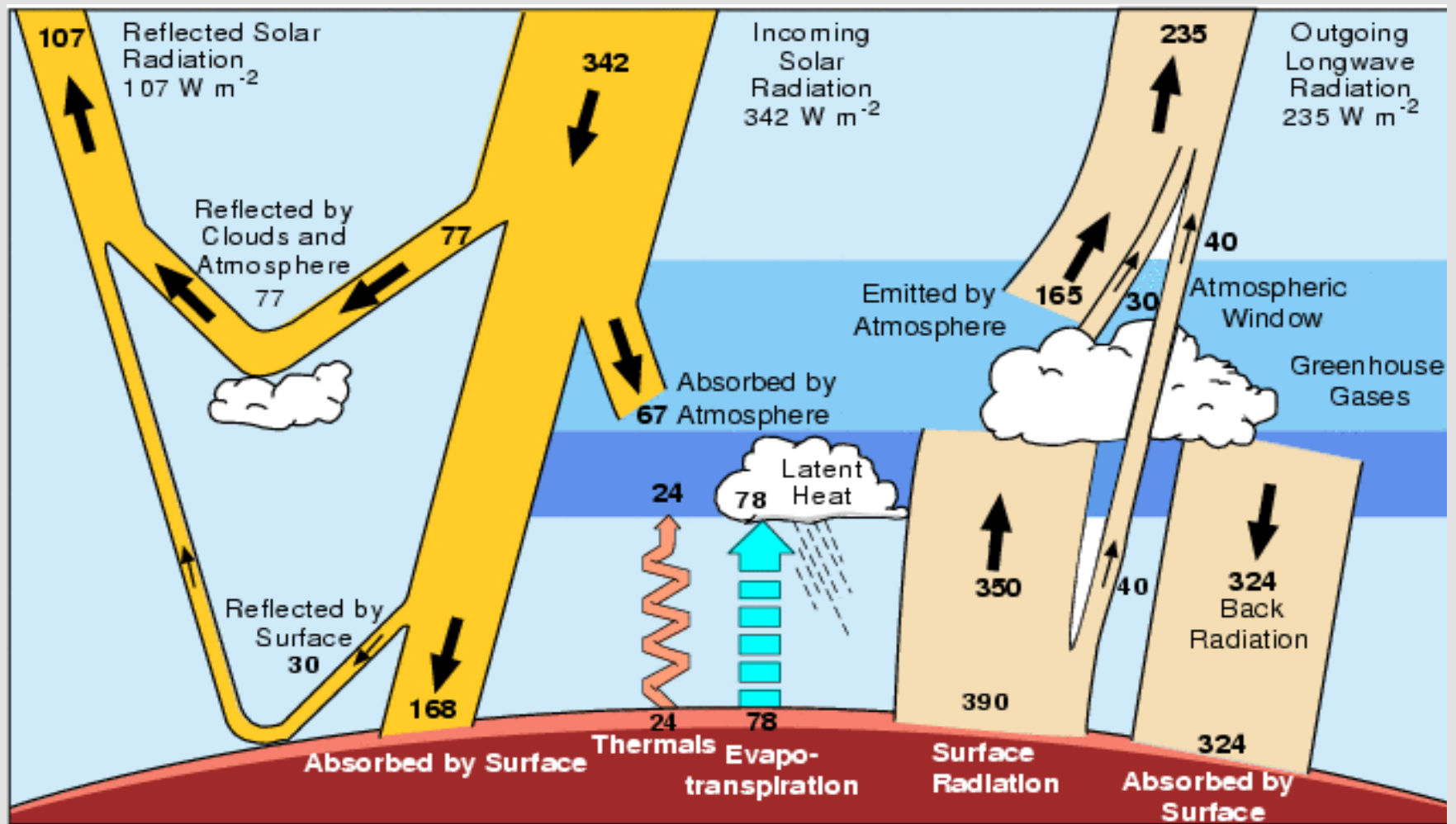


Arguably, only the **cloud-ensemble reasoning** is appropriate once climate implications are considered.

Another way to think about the problem: **single-process reasoning** (e.g., microphysics) versus the **system-dynamics approach**. Only the latter includes all the feedbacks and forcings in the system.



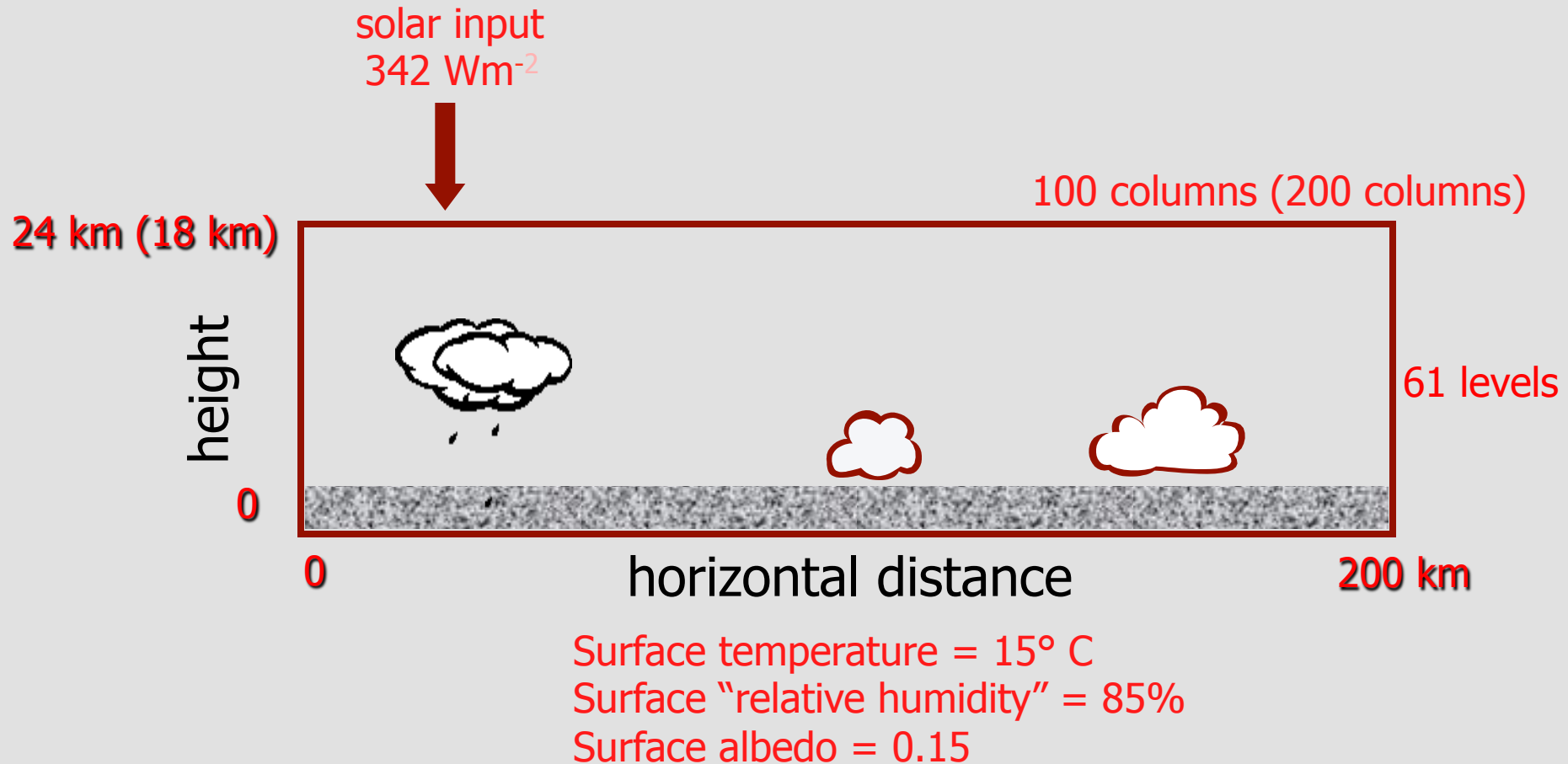
Convective-radiative quasi-equilibrium is the simplest system that includes interactions between clouds and their environment (“system-dynamics approach”).



*Kiehl and Trenberth 1997*

**The Earth annual and global mean energy budget**

# Radiative-convective quasi-equilibrium mimicking planetary energy budget using a 2D cloud-resolving model



## Numerical model:

- Dynamics: 2D babyEULAG model (used as the super-parameterization model; Grabowski 2001) with simple bulk microphysics (warm-rain plus ice; Grabowski 1998)
- Radiation: NCAR's Community Climate System Model (CCSM) (Kiehl et al 1994) in the Independent Column Approximation (ICA) mode
- 100 columns ( $\Delta x=2\text{km}$ ) and 61 levels (stretched; 12 levels below 2 km; top at 24 km)

Simulations with the double-moment bulk microphysics:

**Warm-rain scheme** of Morrison and Grabowski (JAS 2007, 2008a) predicts concentrations and mixing ratios of cloud water and rain water; relatively sophisticated CCN activation scheme, contrasting pristine and polluted CCN spectra, and better representation of the homogeneity of subgrid-scale mixing.

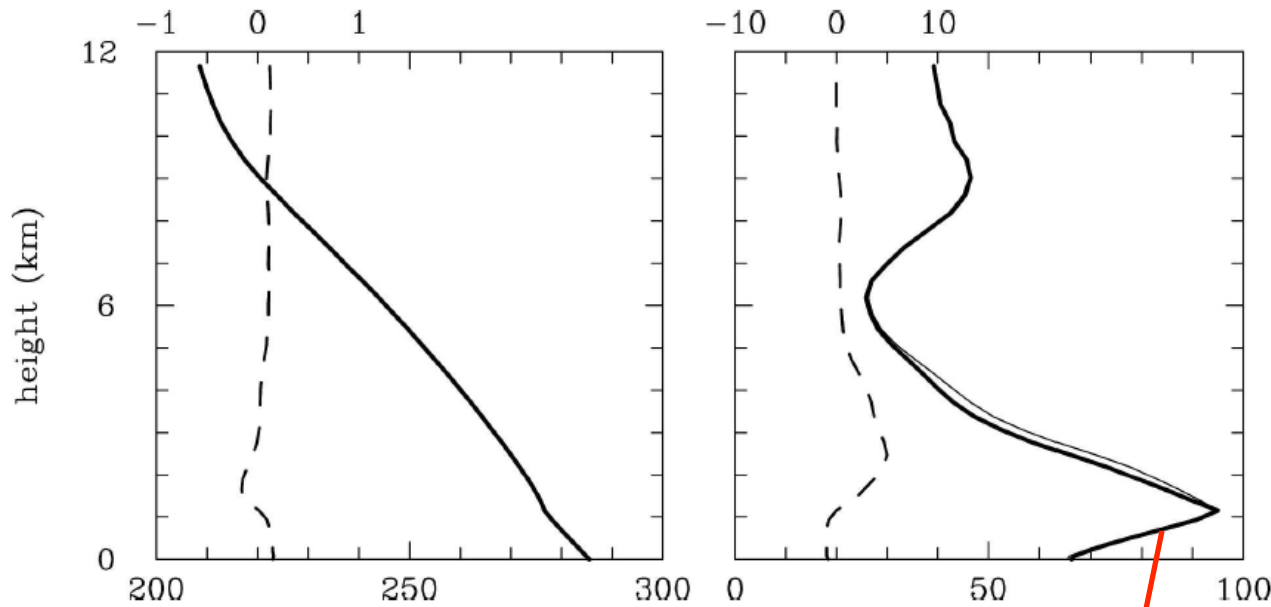
**Ice scheme** of Morrison and Grabowski (JAS 2008b) predicts concentrations and two mixing ratios of ice particles to keep track of mass grown by diffusion and by riming; heterogeneous and homogeneous ice nucleation with the same IN characteristics for pristine and polluted conditions.

No direct aerosol effects, as in Grabowski (2006).

**Grabowski**  
*J. Climate* 2006

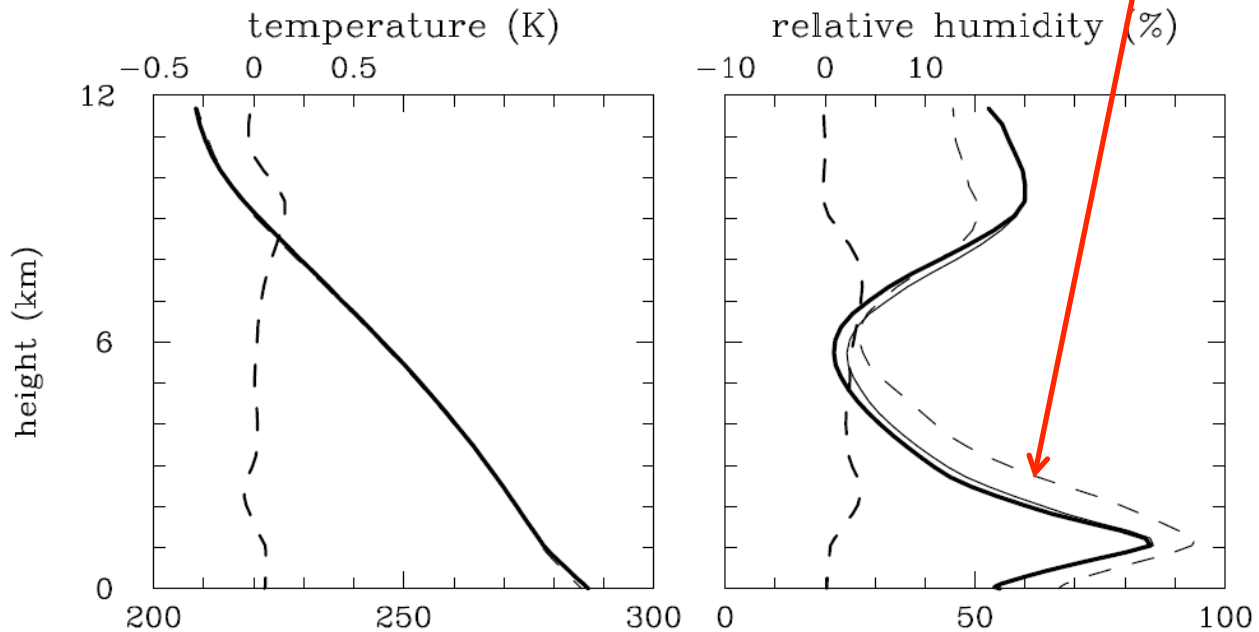
pristine:  $N_c=100$  per cc  
polluted:  $N_c=1,000$  per cc

Thin: polluted  
Thick: pristine  
Dashed: polluted-pristine



**Grabowski and Morrison**  
*J. Climate* 2011

pristine:  $N_{CCN}=200$  per cc  
polluted:  $N_{CCN}=2,000$  per cc

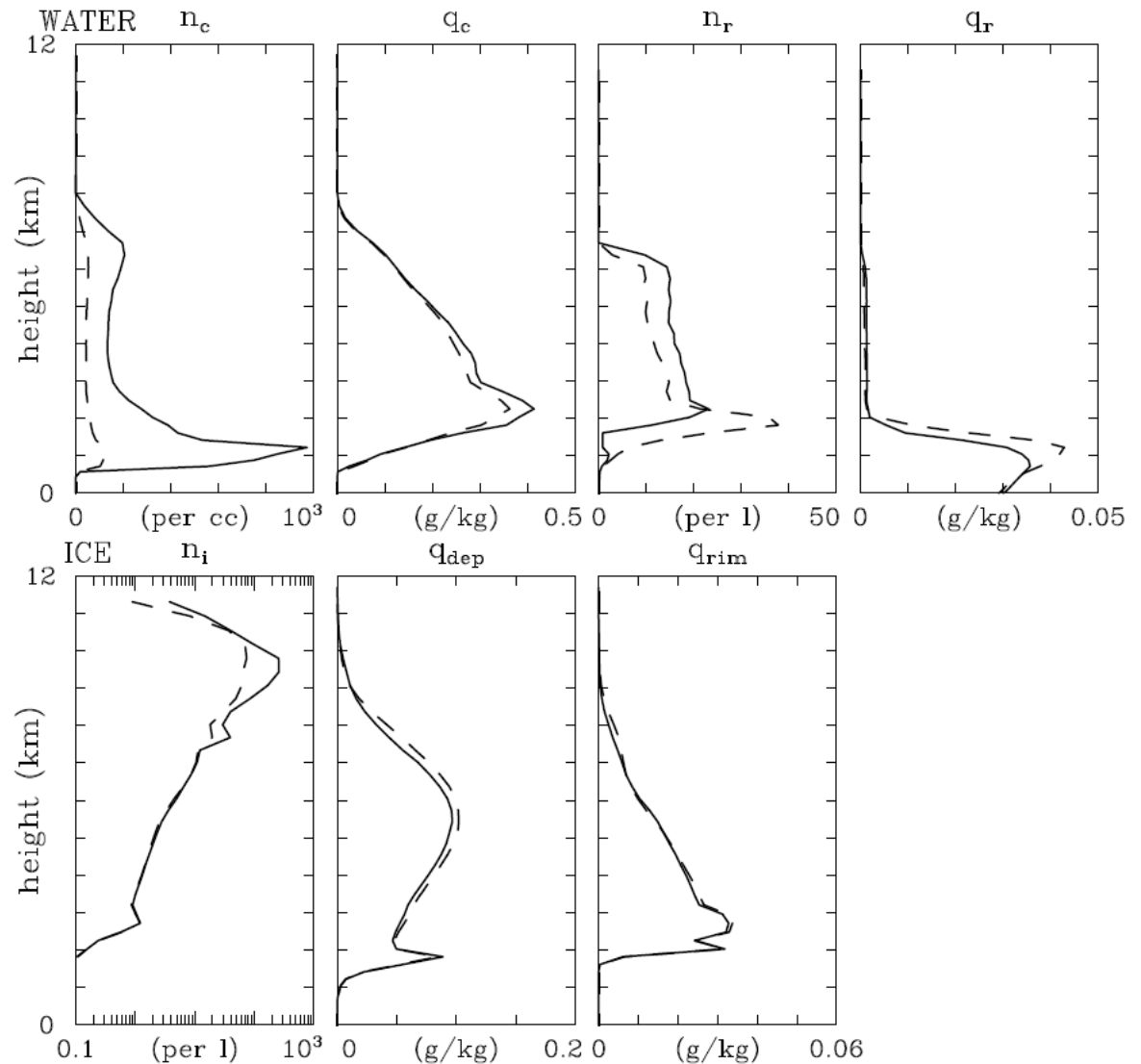




## Cloud water and drizzle/rain fields

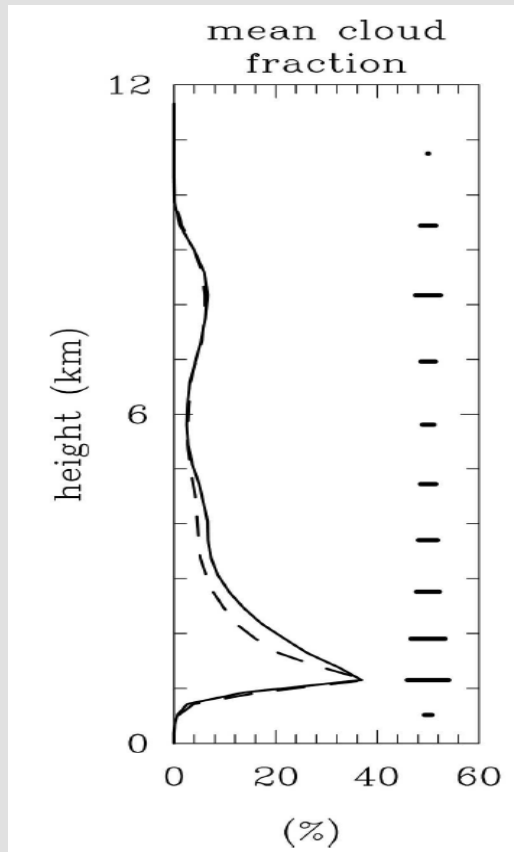
Solid: polluted  
Dashed: pristine

## Ice field

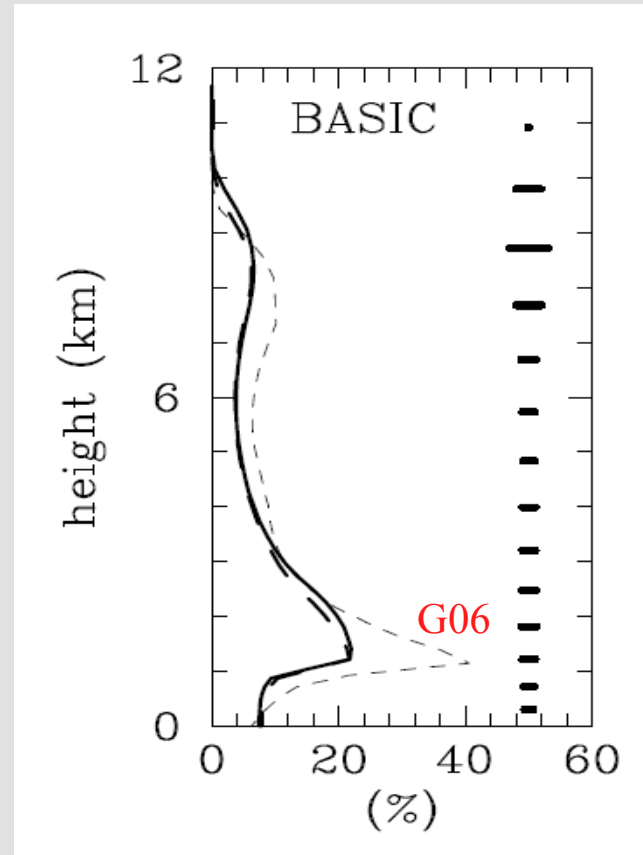


**Grabowski and Morrison**  
*J. Climate* 2011

**Grabowski**  
*J. Climate* 2006



**Grabowski and Morrison**  
*J. Climate* 2011

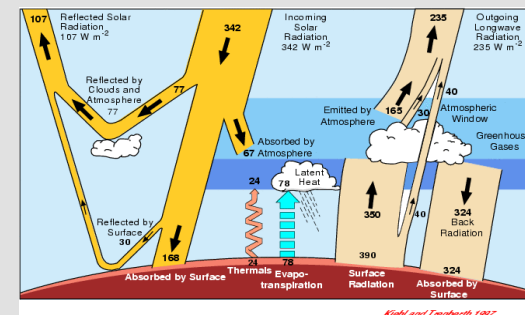


Solid: polluted

Dashed: pristine

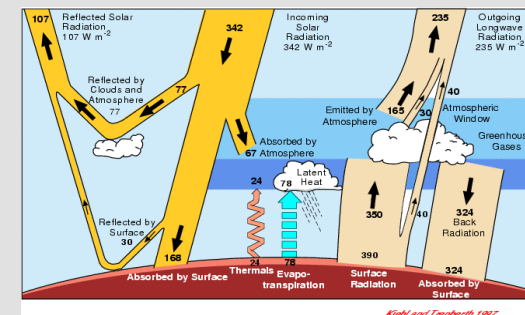
Horizontal bars: standard deviation of temporal evolution  
(measure of statistical significance of the difference)

	PRISTINE	PRISTINE	POLLUTED	POLLUTED	KT97
	h	ei	h	ei	
Net TOA shortwave flux ( $\text{W m}^{-2}$ )	256 (3)	257 (3)	247 (4)	248 (5)	235
<i>G06 results</i>	<i>225 (12)</i>	<i>245 (6)</i>	<i>201 (10)</i>	<i>225 (9)</i>	
TOA albedo	0.25 (0.01)	0.25 (0.01)	0.28 (0.01)	0.27 (0.01)	0.31
<i>G06 results</i>	<i>0.34 (0.03)</i>	<i>0.28 (0.03)</i>	<i>0.41 (0.03)</i>	<i>0.34 (0.03)</i>	
OLR ( $\text{W m}^{-2}$ )	251 (4)	252 (4)	247 (8)	246 (12)	235
<i>G06 results</i>	<i>242 (3)</i>	<i>243 (3)</i>	<i>240 (3)</i>	<i>242 (3)</i>	
Radiative cooling of troposphere ( $\text{W m}^{-2}$ )	-94 (4)	-94 (4)	-93 (8)	-91 (12)	-102
<i>G06 results</i>	<i>-101 (4)</i>	<i>-100 (5)</i>	<i>-101 (4)</i>	<i>-99 (4)</i>	
Solar flux absorbed at surface ( $\text{W m}^{-2}$ )	202 (4)	204 (3)	193 (5)	194 (6)	168
<i>G06 results</i>	<i>163 (11)</i>	<i>184 (8)</i>	<i>141 (12)</i>	<i>164 (10)</i>	
Surface net longwave ( $\text{W m}^{-2}$ )	96 (2)	96 (2)	93 (3)	93 (3)	66
<i>G06 results</i>	<i>73 (5)</i>	<i>73 (6)</i>	<i>70 (5)</i>	<i>73 (5)</i>	
Surface sensible heat flux ( $\text{W m}^{-2}$ )	10 (1)	10 (1)	9 (1)	9 (1)	24
<i>G06 results</i>	<i>20 (2)</i>	<i>20 (1)</i>	<i>19 (1)</i>	<i>18 (2)</i>	
Surface latent heat flux ( $\text{W m}^{-2}$ )	84 (1)	84 (1)	82 (1)	81 (1)	78
<i>G06 results</i>	<i>73 (2)</i>	<i>73 (2)</i>	<i>75 (2)</i>	<i>74 (2)</i>	
Surface precipitation ( $\text{W m}^{-2}$ )	83 (19)	83 (21)	82 (20)	81 (20)	78
<i>G06 results</i>	<i>69 (33)</i>	<i>70 (29)</i>	<i>72 (28)</i>	<i>70 (32)</i>	
Surface energy budget ( $\text{W m}^{-2}$ )	13 (3)	15 (3)	9 (4)	11 (5)	0
<i>G06 results</i>	<i>-2 (7)</i>	<i>17 (5)</i>	<i>-23 (9)</i>	<i>-2 (7)</i>	



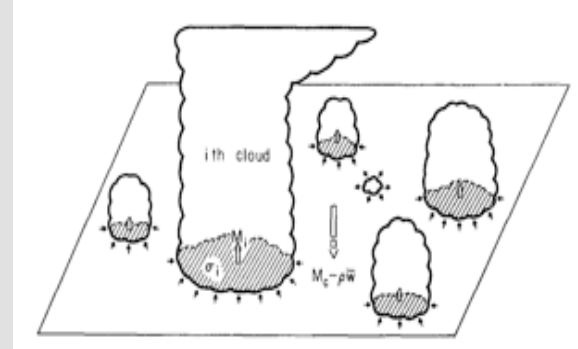
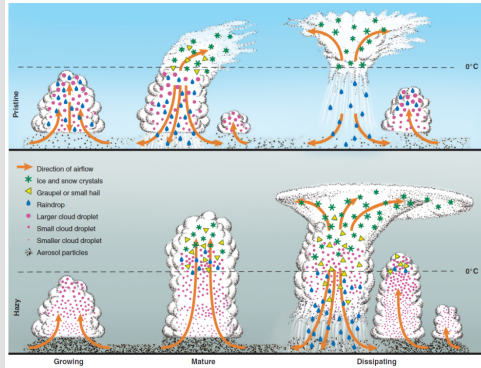
Interpretation (without going into details that make the difference between G06 and GM11 interesting):

1. Radiative cooling virtually the same in PRISTINE and POLLUTED simulations;
2. Thus, the surface heat flux (latent plus sensible) has to be the same. Bowen ratio practically does not change....
3. Thus, the surface precipitation has to stay the same. Apparently its mean (ensemble-averaged) vertical distribution does not change either because of feedbacks in the system...



How are these results relevant to the indirect effects in the climate system?

# single-cloud reasoning versus cloud-ensemble reasoning



# local (or regional) effects versus global effects





If such a picture is correct, then the biggest challenge for understanding the effects of aerosol on climate is to quantify possibly significant local effects versus relatively insignificant global effects.

The key point is that for the local effects, the “meteorology” (i.e., large-scale processes) are most likely by far more important. This is why separating aerosol effects from “meteorology” is very difficult...

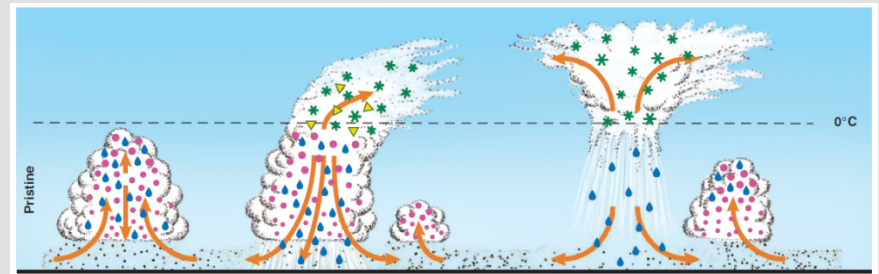
This points to the multiscale aspect of the problem...

## dynamic response at wrong scales:

Climate models are good at large-scale circulations...



...and they have to parameterize cloud-scale processes.



A simple heuristic argument:

Small-scale atmospheric dynamics is about hydrodynamic instabilities. Such instabilities typically are most active at the smallest scales (e.g., KH and RT instabilities without viscosity).

So any impact of aerosols is first be felt and processed at small-scales. Only what is left is available to affect large-scale circulations.

This is not how traditional GCMs are working...

...unless we can design parameterizations that can respond in the right way, which is difficult: (parameterization)<sup>2</sup> problem.

**Current GCMs project small-scale effects into large-scale dynamics...**

A simple heuristic argument:

Small-scale atmospheric dynamics is about hydrodynamic instabilities. Such instabilities typically are most active at the smallest scales (e.g., KH and RT instabilities without viscosity).

So any impact of aerosols is first be felt and processed at small-scales. Only what is left is available to affect large-scale circulations.

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...unless we can design parameterizations that can respond in the right way, which is difficult: (parameterization)<sup>2</sup> problem.

**Superparameterization (SP) and Multiscale Modeling Framework (MMF) to the rescue!**

# Cloud-Resolving Convection Parameterization (CRCP) (super-parameterization, SP)

Grabowski and Smolarkiewicz, *Physica D* 1999

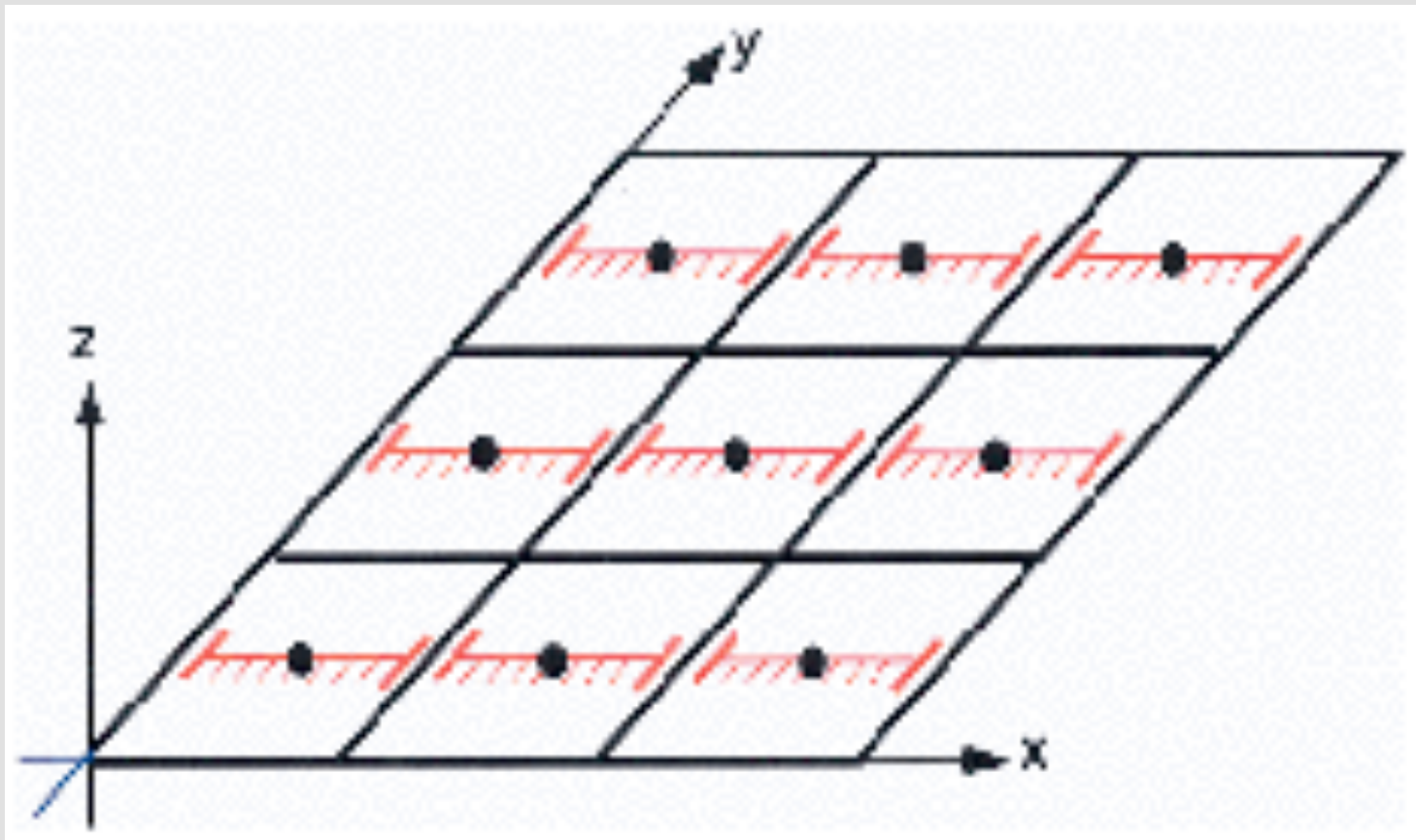
Grabowski, *JAS* 2001; Khairoutdinov and Randall *GRL* 2001;

Randall et al., *BAMS* 2003

The idea is to represent subgrid scales of the 3D large-scale model (horizontal resolution of 100s km) by embedding periodic-domain 2D CRM (horizontal resolution around 1 km) in each column of the large-scale model

*Another (better?) way to think about CRCP: CRCP involves hundreds or thousands of 2D CRMs interacting in a manner consistent with the large-scale dynamics*

# Original CRCP proposal





- CRCP is a “parameterization” because scale separation between large-scale dynamics and cloud-scale processes is assumed; cloud models have periodic horizontal domains and they communicate only through large scales.
- CRCP is “embarrassingly parallel”: a climate model with CRCP can run efficiently on 1000s of processors.
- CRCP is a physics coupler: most (if not all) of physical (and chemical, biological, etc.) processes that are parameterized in the climate model can be included into CRCP framework.

# NSF Science and Technology Center was created in 2006...

# CMMAP

Center for Multi-Scale Modeling of Atmospheric Processes

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# **The effects of anthropogenic aerosols as simulated by the SP-CAM with two-moment microphysics**

**Marat Khairoutdinov**

**State University of New York @ Stony Brook  
Long Island, New York**

**Wojciech Grabowski  
Hugh Morrison**

**National Center for Atmospheric Research  
Boulder, Colorado**



**Center for Multiscale Modeling of Atmospheric Processes**  
**CMMAP**

*Reach for the sky.*

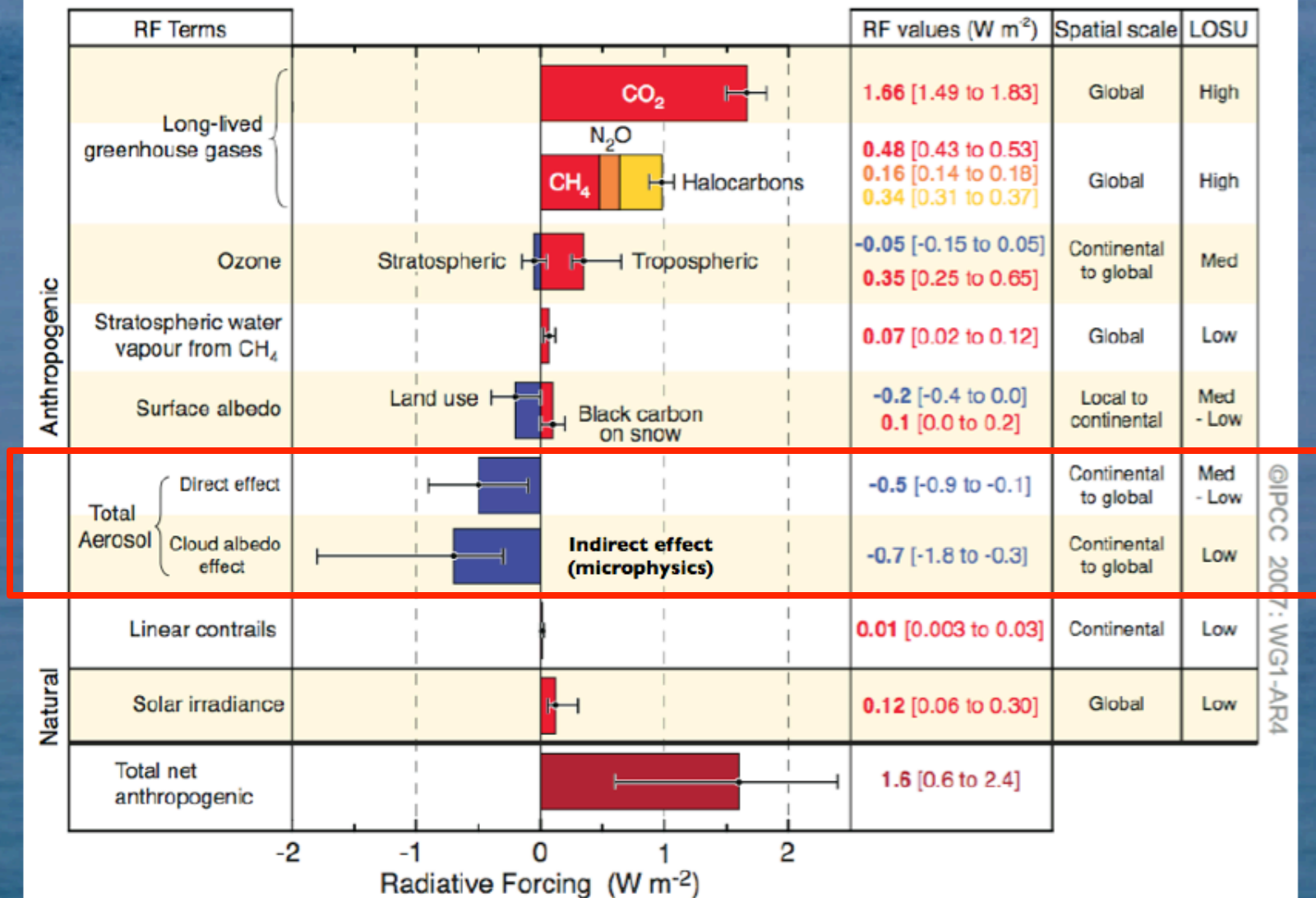




# Industrial Era Climate Change

Source: IPCC 4th Assessment Report (AR4)

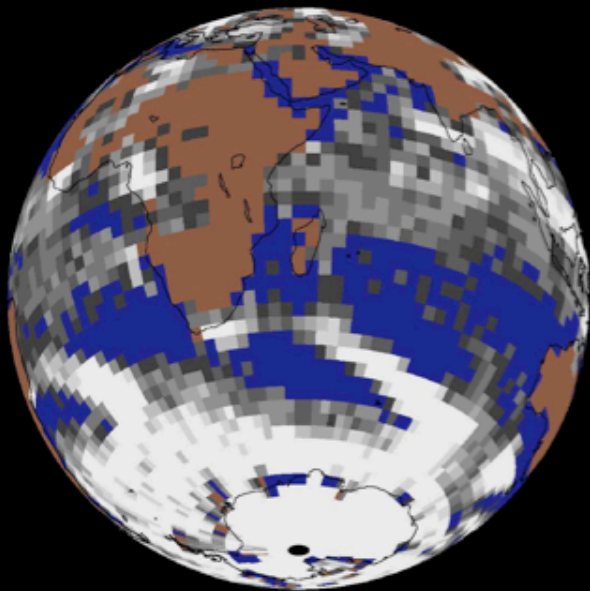
## Radiative Forcing Components



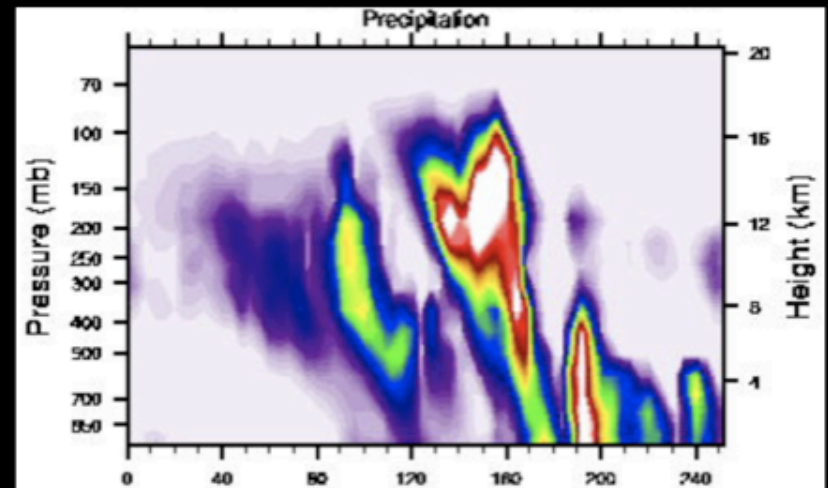
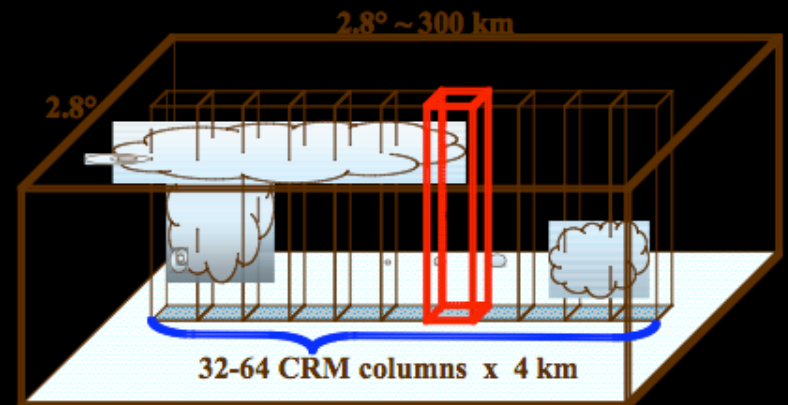
# Super-parameterized CAM: SP-CAM

## Multiscale Modeling Framework (MMF)

A copy of a CRM (a.k.a. “super-parameterization”) is run in each column of CAM GCM.



Each column of this has this



# Bulk Microphysics Schemes in System for Atmospheric Modeling - SAM CRM used as super-parameterization in SP-CAM

## **Original One-Moment (Khairoutdinov and Randall 2003)**

- **2 prognostic microphysics variables: total non-precipitating and precipitating water mixing ratios;**
- **Cloud liquid and ice water, rain, graupel and snow are diagnosed as  $f(T)$ ;**
- **Autoconversion to rain by simple Kessler formula;**
- **Cloud drop effective radius is prescribed**
- **Indirect aerosol effect is included.**

## **Two-Moment (Morrison et al. 2005) Thanks to Peter Blossey for implementing it in SAM**

- **10 prognostic microphysics variables;**
- **Prognostic mixing ratio and concentration for 5 categories of water;**
- **Autoconversion depends on water content and concentration (KK 2000);**
- **Cloud Condensation nuclei (CCN) spectrum is prescribed;**
- **Cloud droplet effective radius is computed;**
- **Indirect aerosol effects are included.**

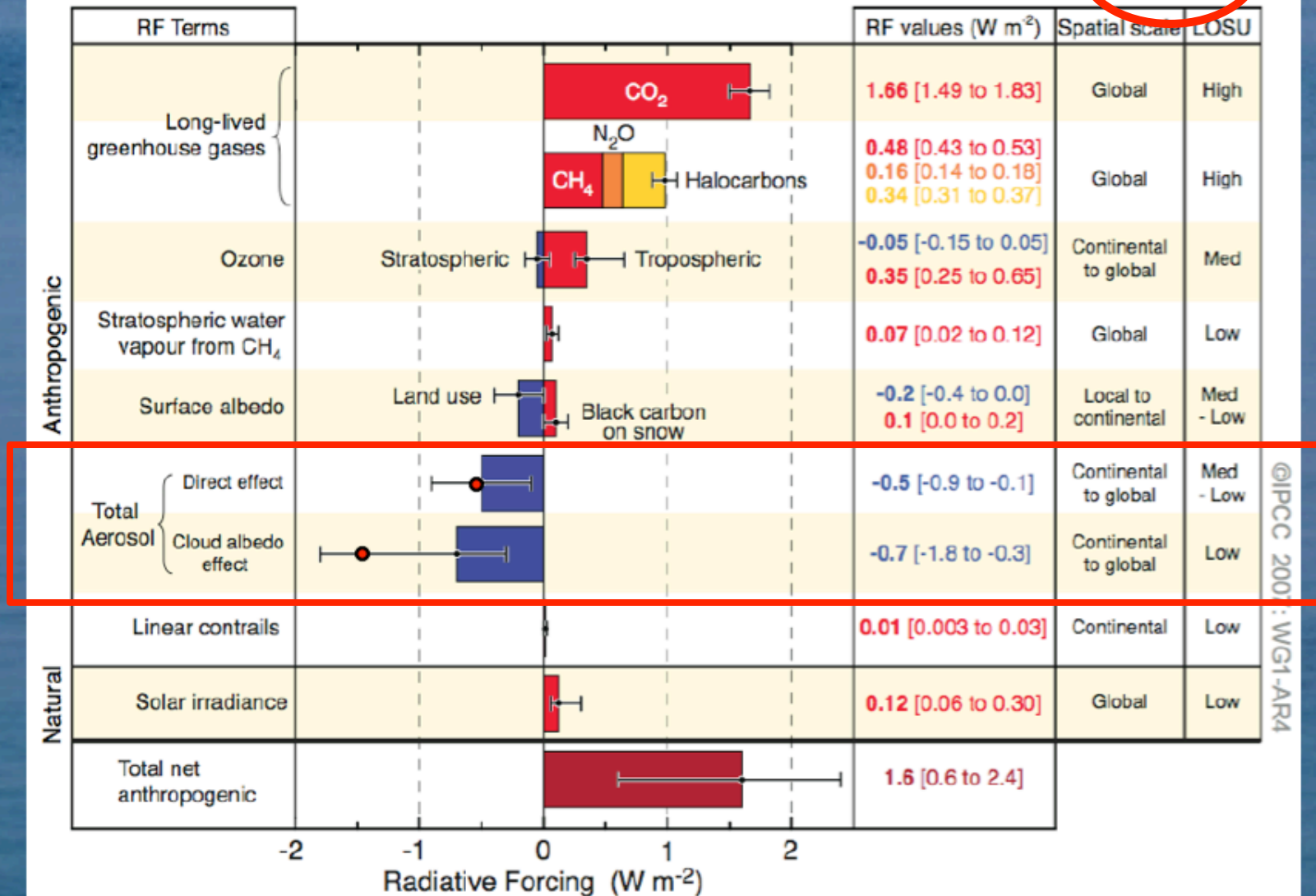


# IPCC 4th Assessment Report (AR4)

## Industrial Era Climate Change

### Radiative Forcing Components

● SPCAM

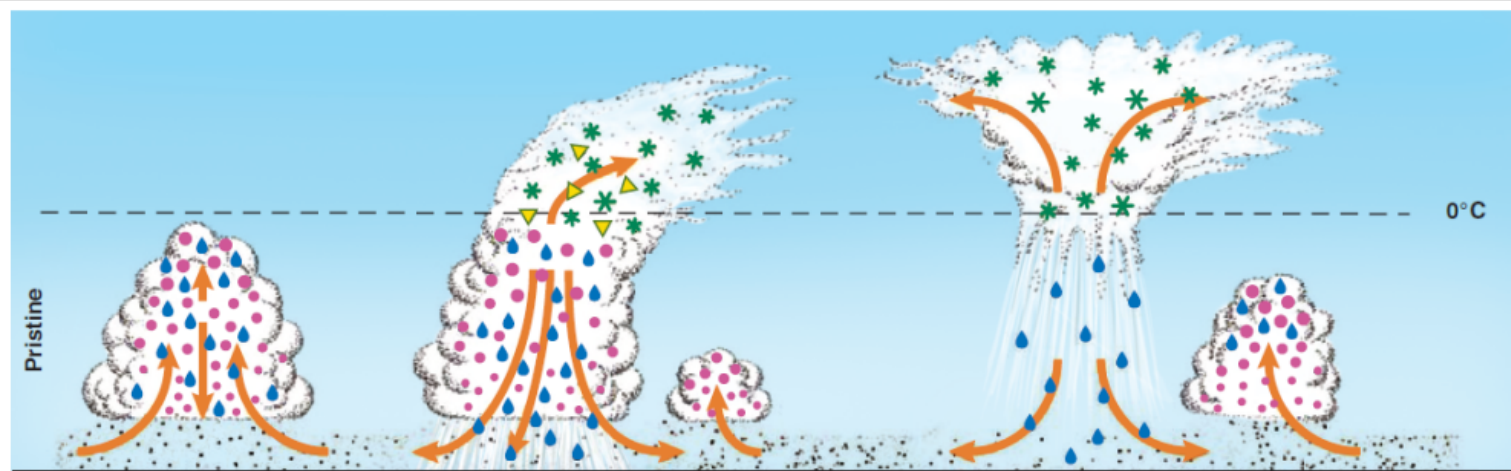


## Summary

- **Two-moment microphysics capable of representing indirect aerosol effects is implemented in SP-CAM;**
- **The presence of anthropogenic sulfate aerosol tends to strengthen the Hadley cell and increase mid-latitude cyclone activity, redistributing precipitation without changing the net;**
- **Anthropogenic sulfate aerosol effect (feedback) is estimated to be**
  - **Direct:  $-0.6 \text{ W/m}^2$ ;**
  - **Indirect:  $-1.5 \text{ W/m}^2$**

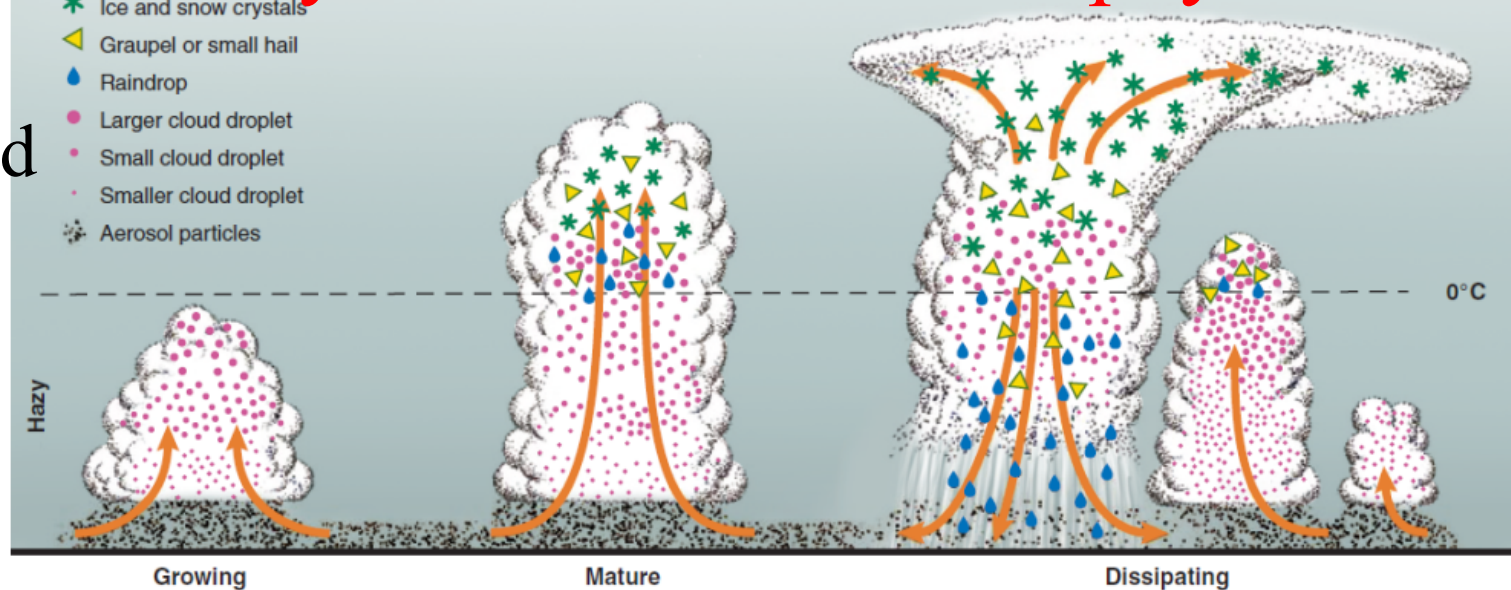


clean



dynamics versus microphysics?

polluted

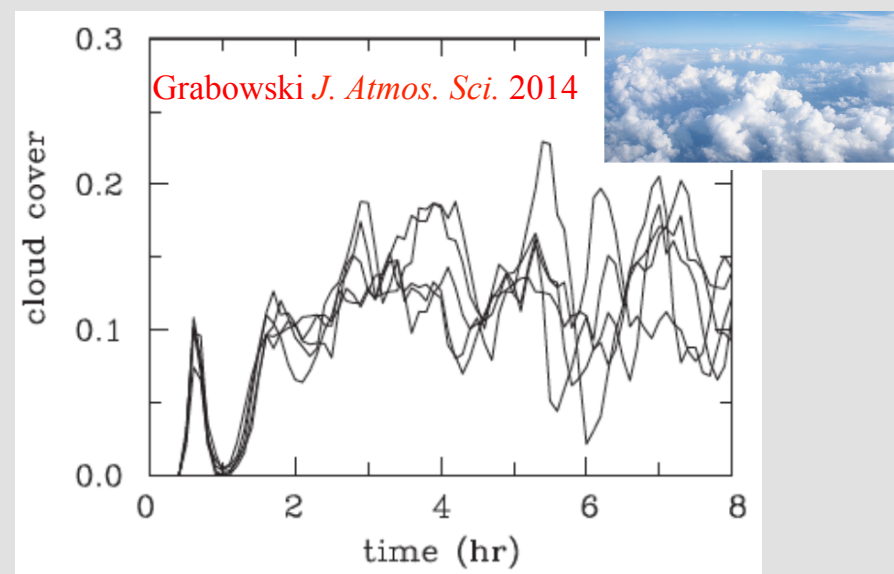


Rosenfeld et al. *Science*, 2008

“Flood or Drought: How Do Aerosols Affect Precipitation?”

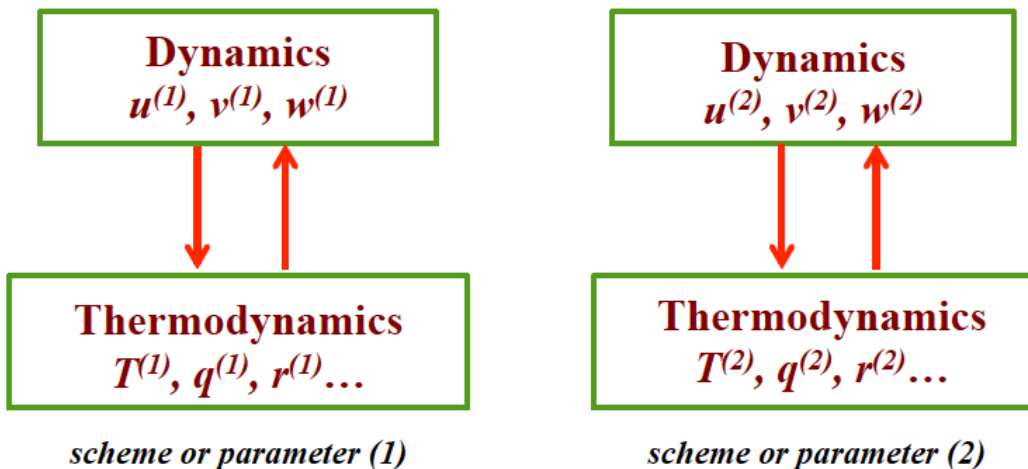
## Methodology:

Because of the nonlinear fluid dynamics, separating physical impacts from the effects of different flow realizations (“the butterfly effect”; Ed Lorenz) is nontrivial.



Evolution of cloud cover in 5 simulations of shallow cumulus cloud field. The only difference is in random small temperature and moisture perturbations at  $t=0$ .

**Traditional approach:** parallel simulations with different microphysical schemes or scheme parameters

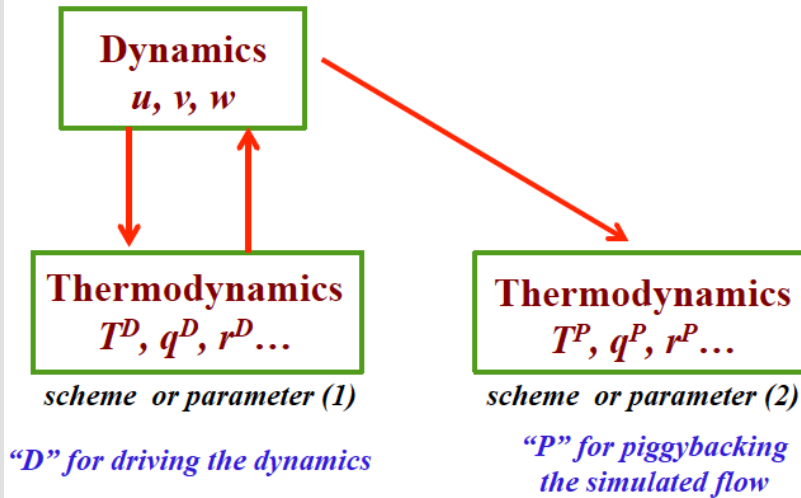


The separation is traditionally done by performing parallel simulations where each simulation applies modified model physics.

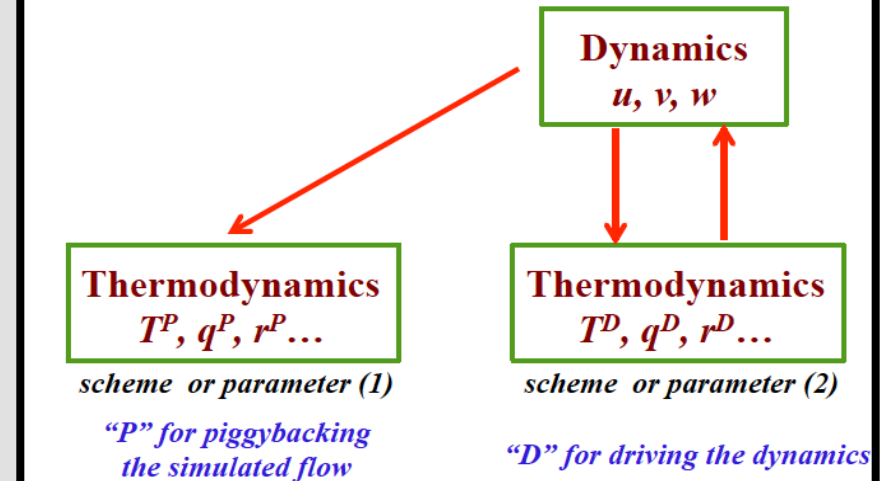


# Novel modeling methodology: *the piggybacking*

## Microphysical piggybacking; 1<sup>st</sup> step:



## Microphysical piggybacking; 2<sup>nd</sup> step:

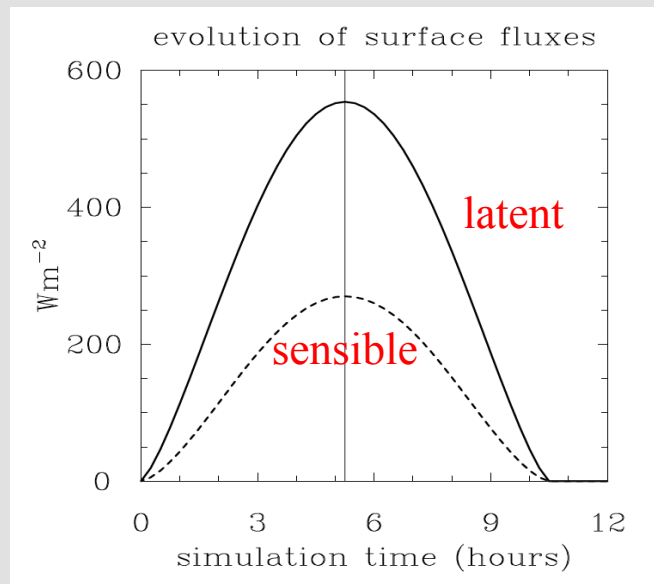


The novel piggybacking methodology is being applied in modeling studies that investigate the impact of cloud microphysics on cloud dynamics, see references below.

- Grabowski, W. W., 2014: Extracting microphysical impacts in large-eddy simulations of shallow convection. *J. Atmos. Sci.* **71**, 4493-4499.
- Grabowski, W. W., 2015: Untangling microphysical impacts on deep convection applying a novel modeling methodology. *J. Atmos. Sci.*, **72**, 2446-2464.
- Grabowski, W. W., and D. Jarecka, 2015: Modeling condensation in shallow nonprecipitating convection. *J. Atmos. Sci.*, **72**, 4661-4679.
- Grabowski, W. W., and H. Morrison, 2016: Untangling microphysical impacts on deep convection applying a novel modeling methodology. Part II: Double-moment microphysics. *J. Atmos. Sci.* (in press).

## Daytime convective development over land: A model intercomparison based on LBA observations

By W. W. GRABOWSKI<sup>1\*</sup>, P. BECHTOLD<sup>2</sup>, A. CHENG<sup>3</sup>, R. FORBES<sup>4</sup>, C. HALLIWELL<sup>4</sup>,  
M. KHAIROUTDINOV<sup>5</sup>, S. LANG<sup>6</sup>, T. NASUNO<sup>7</sup>, J. PETCH<sup>8</sup>, W.-K. TAO<sup>6</sup>, R. WONG<sup>8</sup>,  
X. WU<sup>9</sup> and K.-M. XU<sup>3</sup>





Simulations with double-moment bulk microphysics of Morrison and Grabowski (*JAS* 2007, 2008a,b):

$N_c, q_c$  - cloud water

$N_r, q_r$  - drizzle/rain water

$N_i, q_{id}, q_{ir}$  - ice

Important differences from single-moment bulk schemes:

1. Supersaturation is allowed.
2. Ice concentration linked to droplet and drizzle/rain concentrations.

Simulations with double-moment bulk microphysics of Morrison and Grabowski (*JAS* 2007, 2008a,b):

PRI: pristine case, CCN of 100 per cc

POL: polluted case, CCN of 1,000 per cc

The same IN for POL and PRI

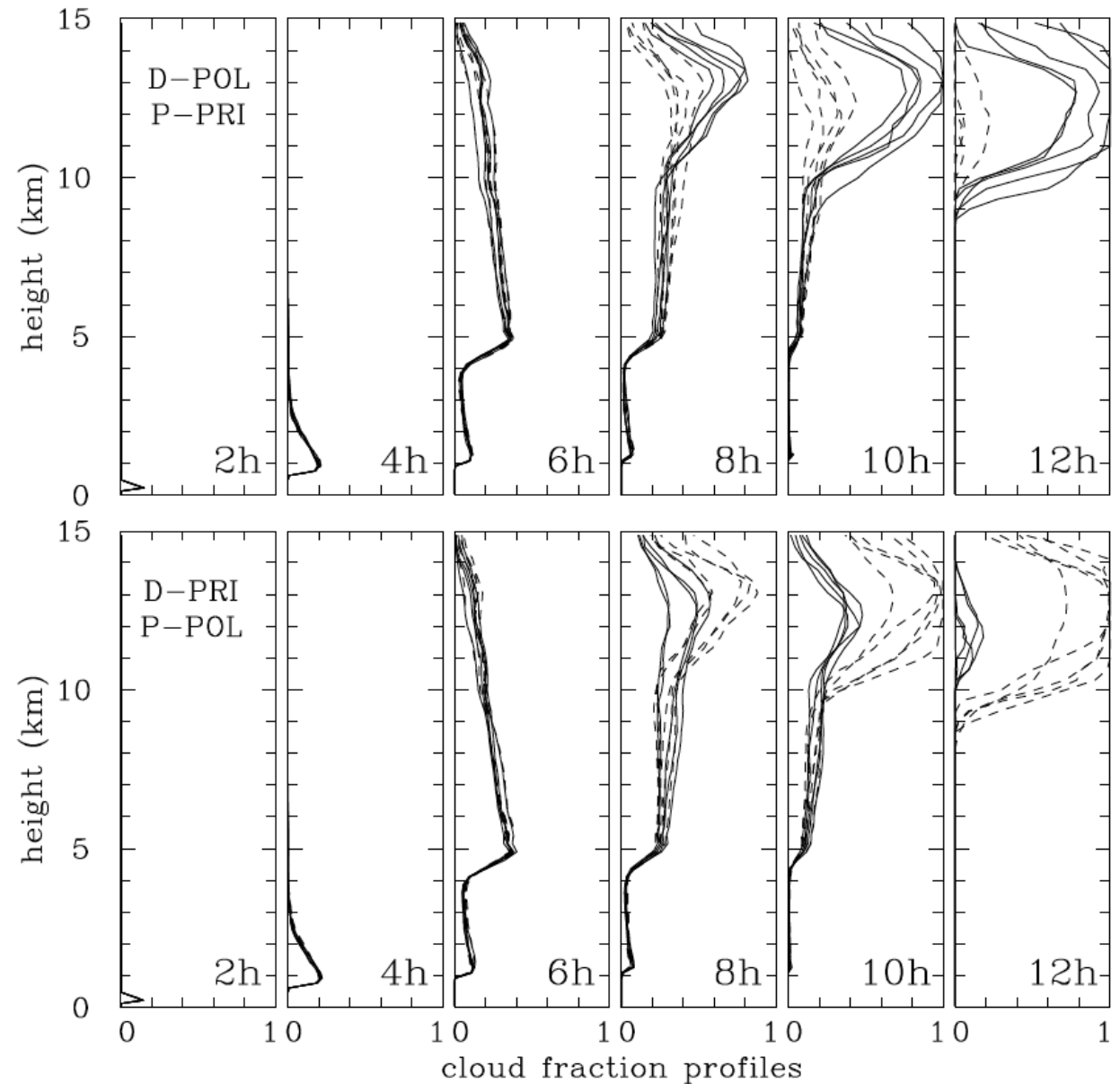
Piggybacking: D-PRI/P-POL: PRI drives, POL piggybacks

D-POL/P-PRI: POL drives, PRI piggybacks

Five-member ensemble for each

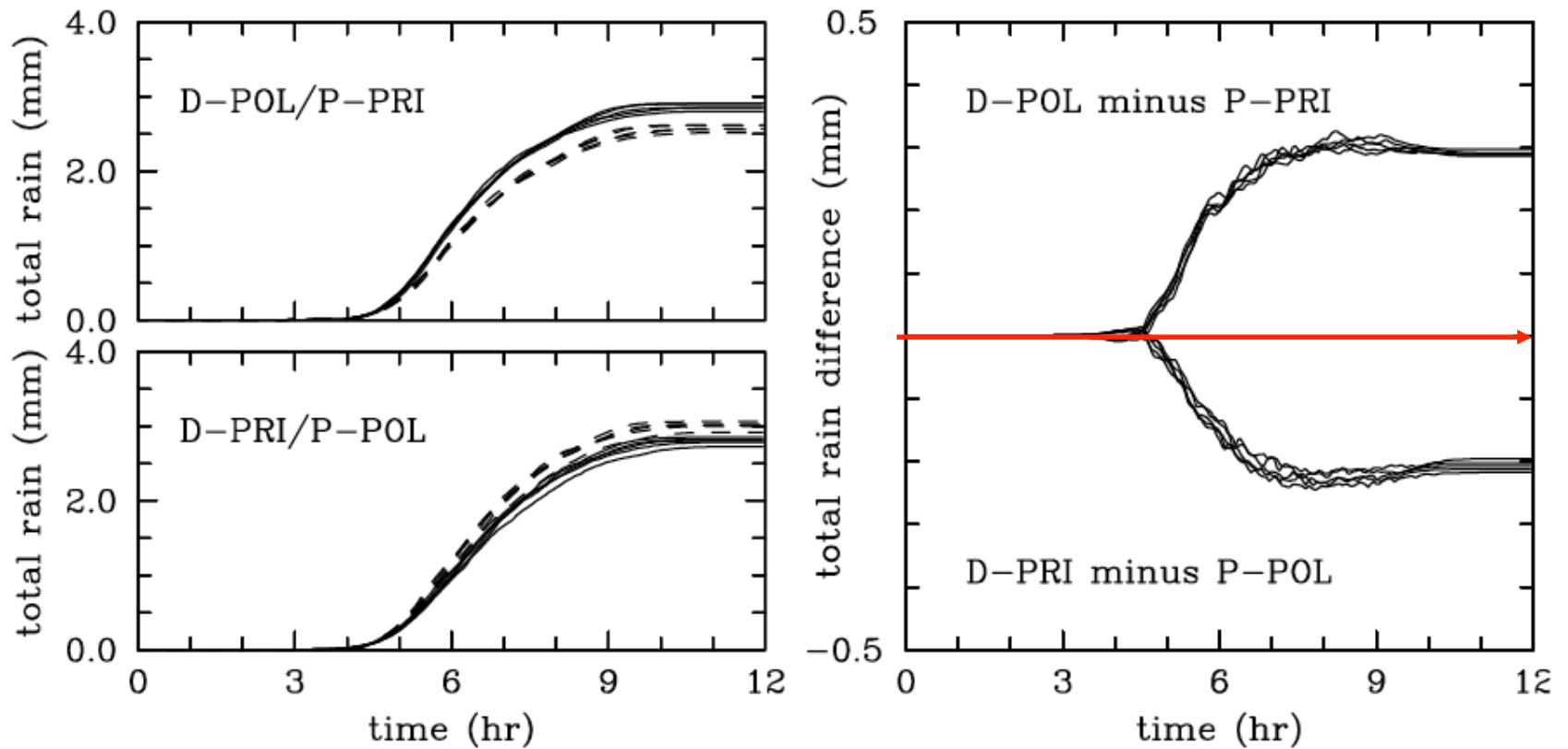
solid lines: driving set  
dashed lines: piggybacking set

POL drives,  
PRI piggybacks

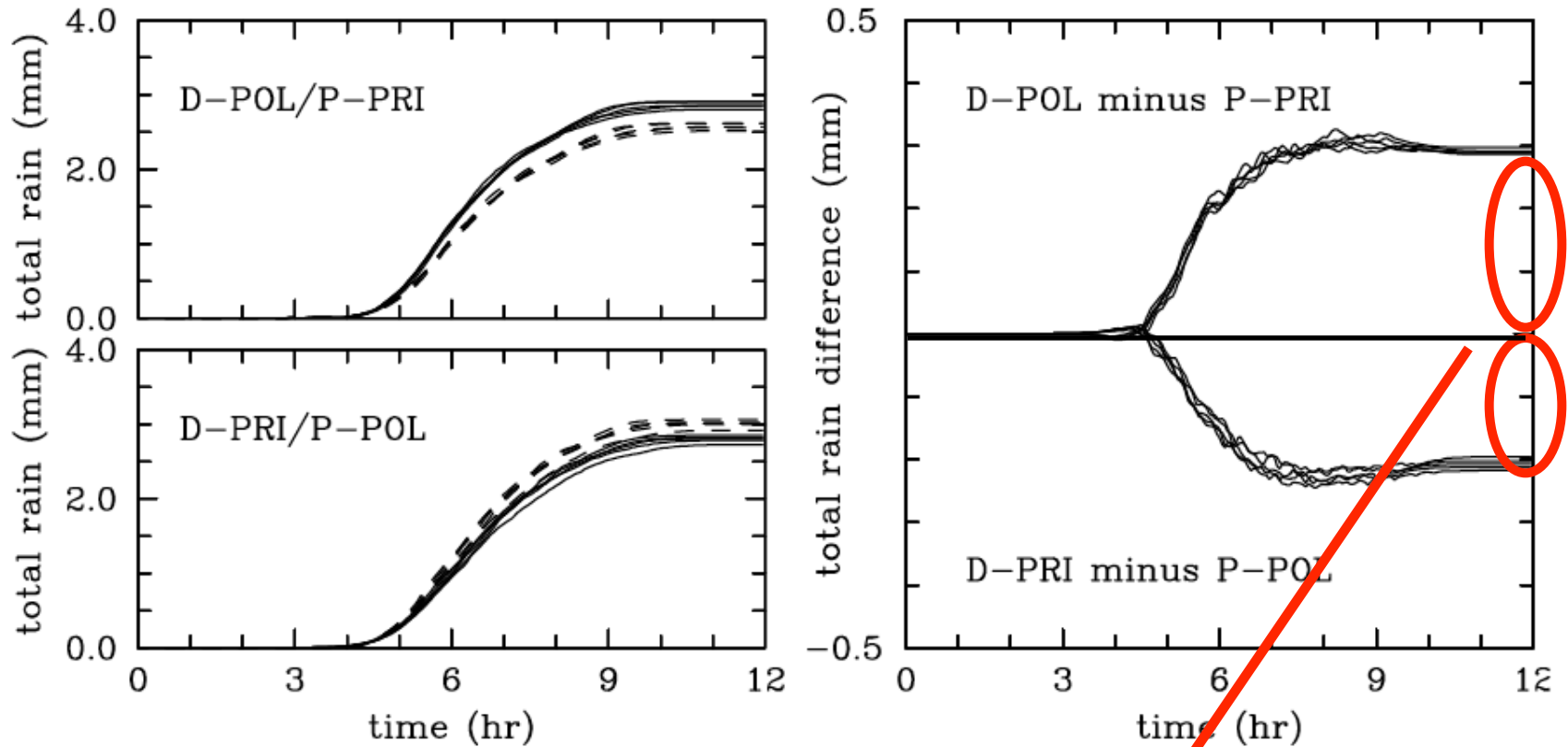


PRI drives,  
POL piggybacks

solid lines: driving set  
dashed lines: piggybacking set



solid lines: driving set  
dashed lines: piggybacking set

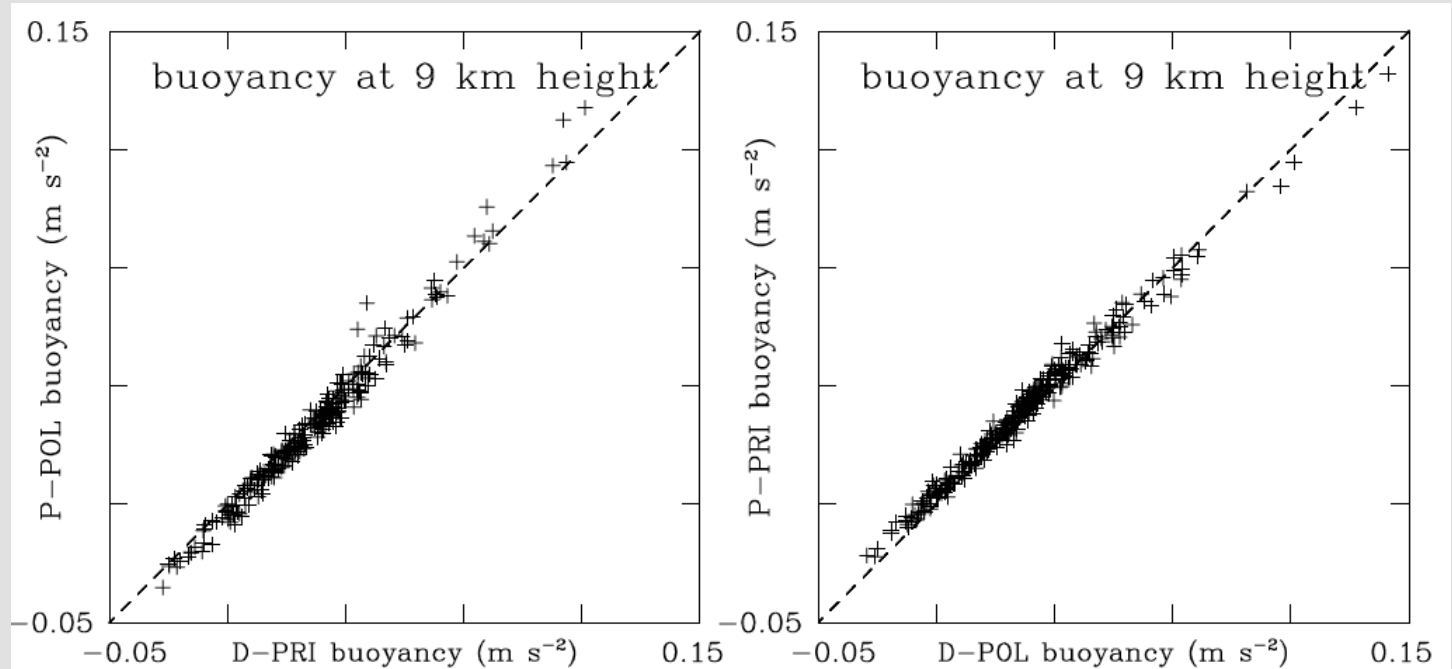


impact on the cloud dynamics?

# Comparing buoyancy between driving and piggybacking sets (hour 6):

## D-PRI/P-POL

## D-POL/P-PRI



at 9 km (-27 degC)

(Rosenfeld et al. mechanism...)

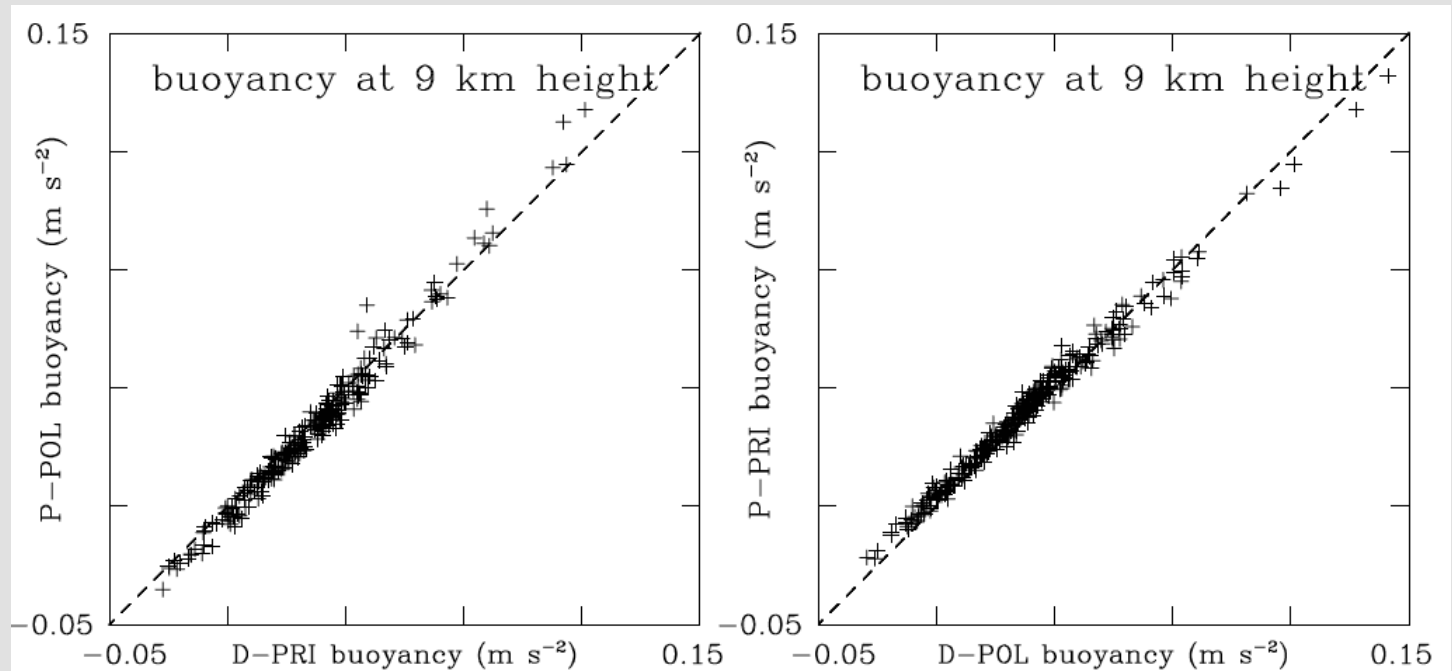
$$1 \text{ K} \approx 0.03 \text{ m s}^{-2}$$



# Comparing buoyancy between driving and piggybacking sets (hour 6):

## D-PRI/P-POL

## D-POL/P-PRI



at 9 km (-27 degC)

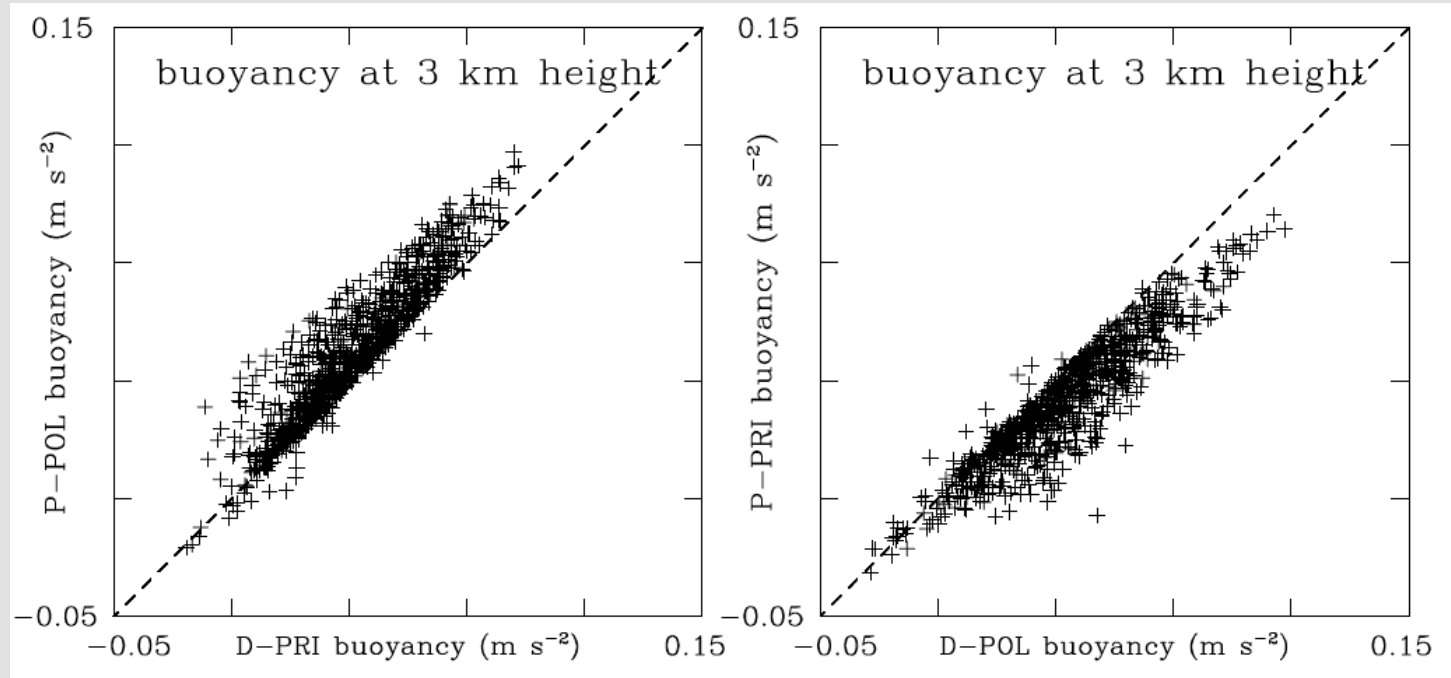
*POL has slightly less buoyancy than PRI...*

$$1 \text{ K} \approx 0.03 \text{ m s}^{-2}$$

# Comparing buoyancy between driving and piggybacking sets (hour 6):

D-PRI/P-POL

D-POL/P-PRI



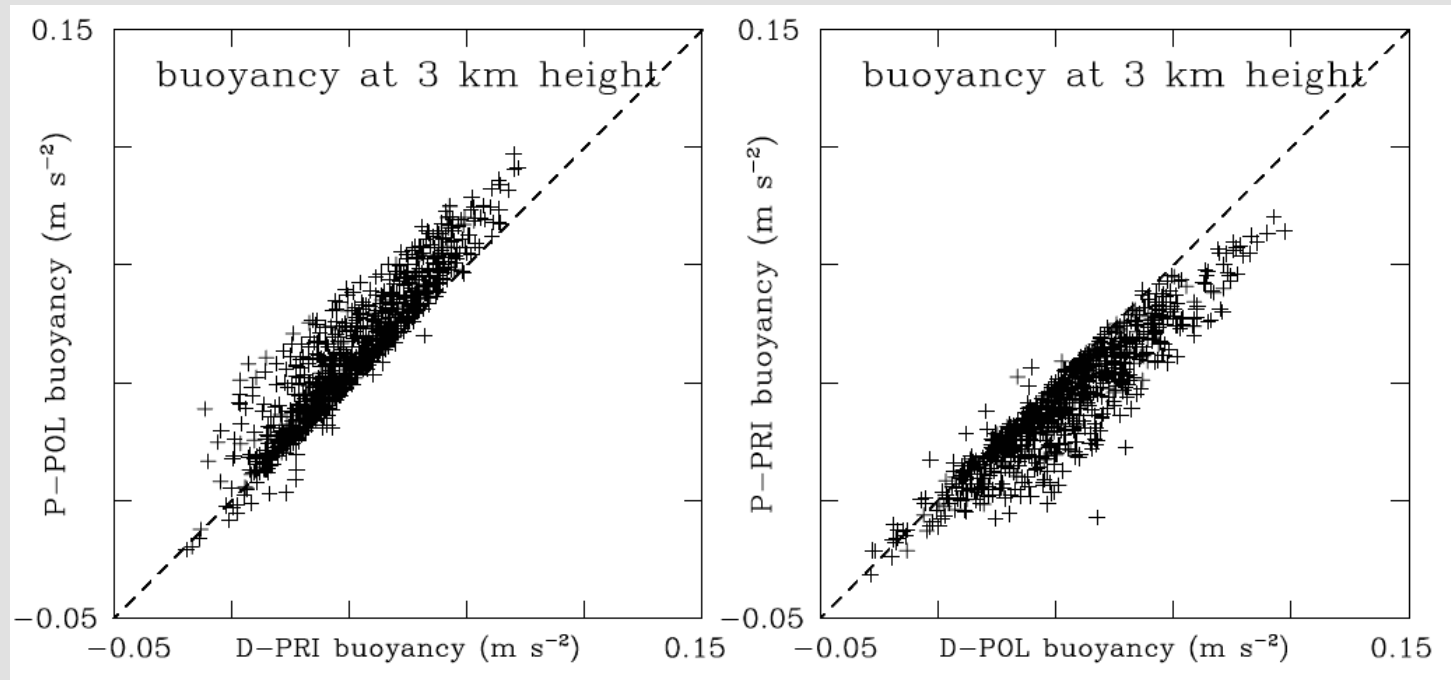
at 3 km (9 degC)

$$1 \text{ K} \approx 0.03 \text{ m s}^{-2}$$

## Comparing buoyancy between driving and piggybacking sets (hour6):

D-PRI/P-POL

D-POL/P-PRI



at 3 km (9 degC)

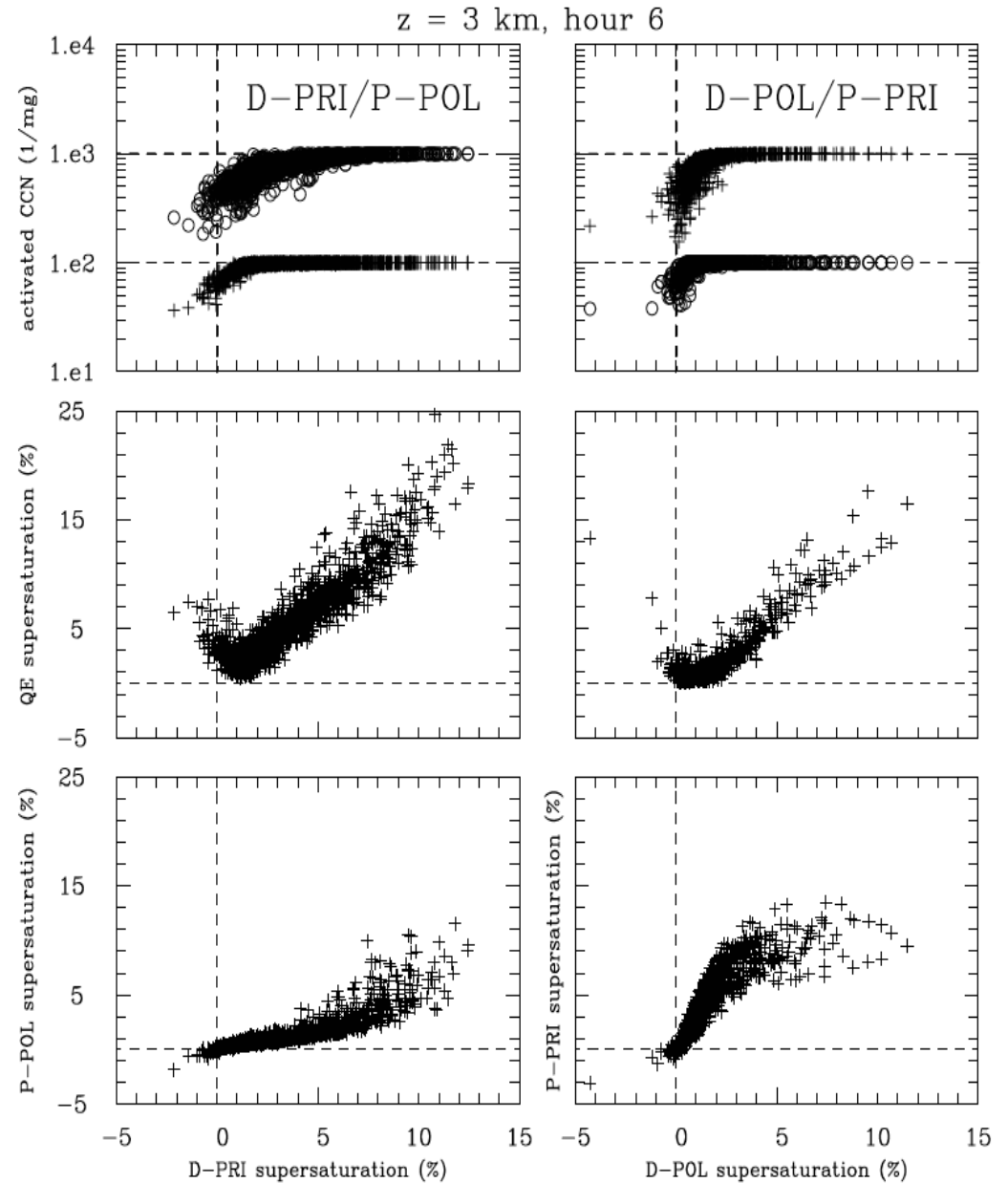
*POL can have significantly more buoyancy than PRI...*

$$1 \text{ K} \approx 0.03 \text{ m s}^{-2}$$

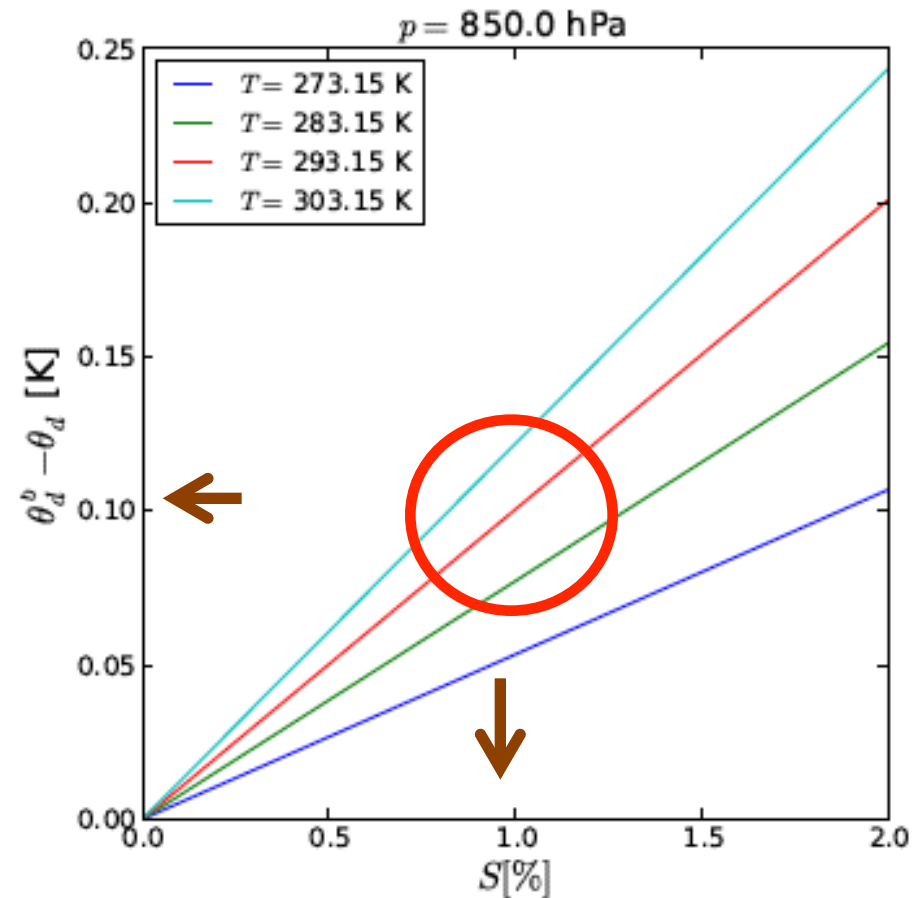
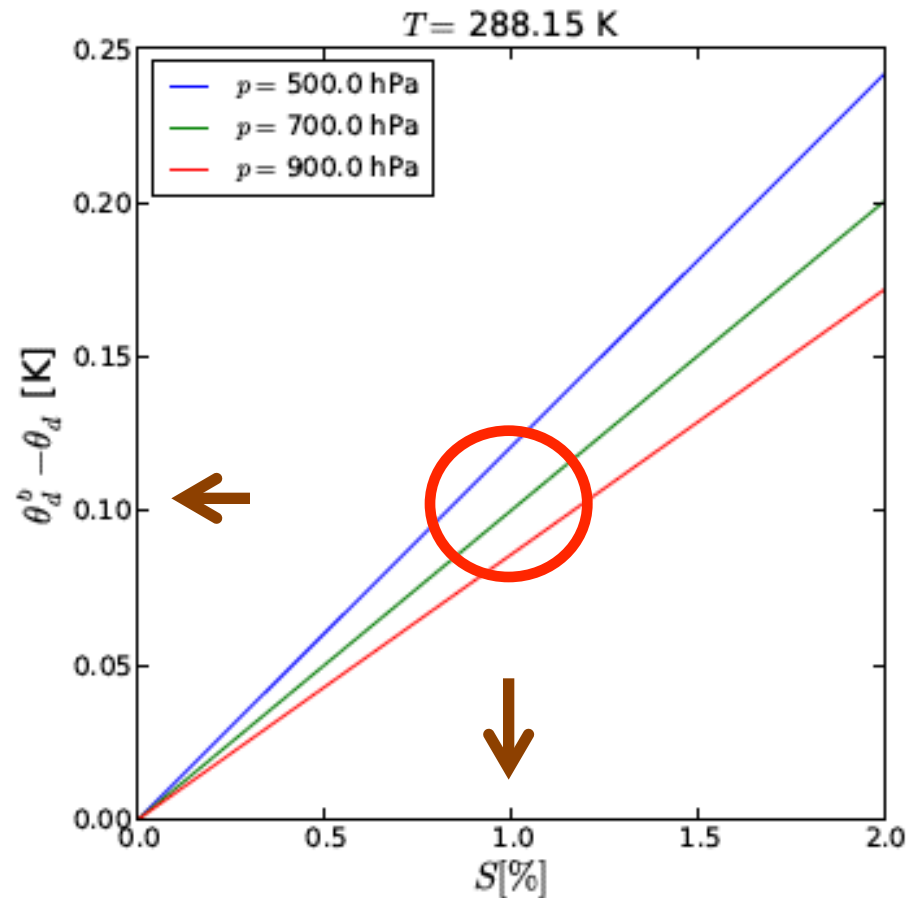
# Local supersaturation, QE supersaturation, and activated CCN

$$S_{qe} \sim w \tau$$

$$\tau \sim (N_c r_c + N_r r_r)^{-1}$$

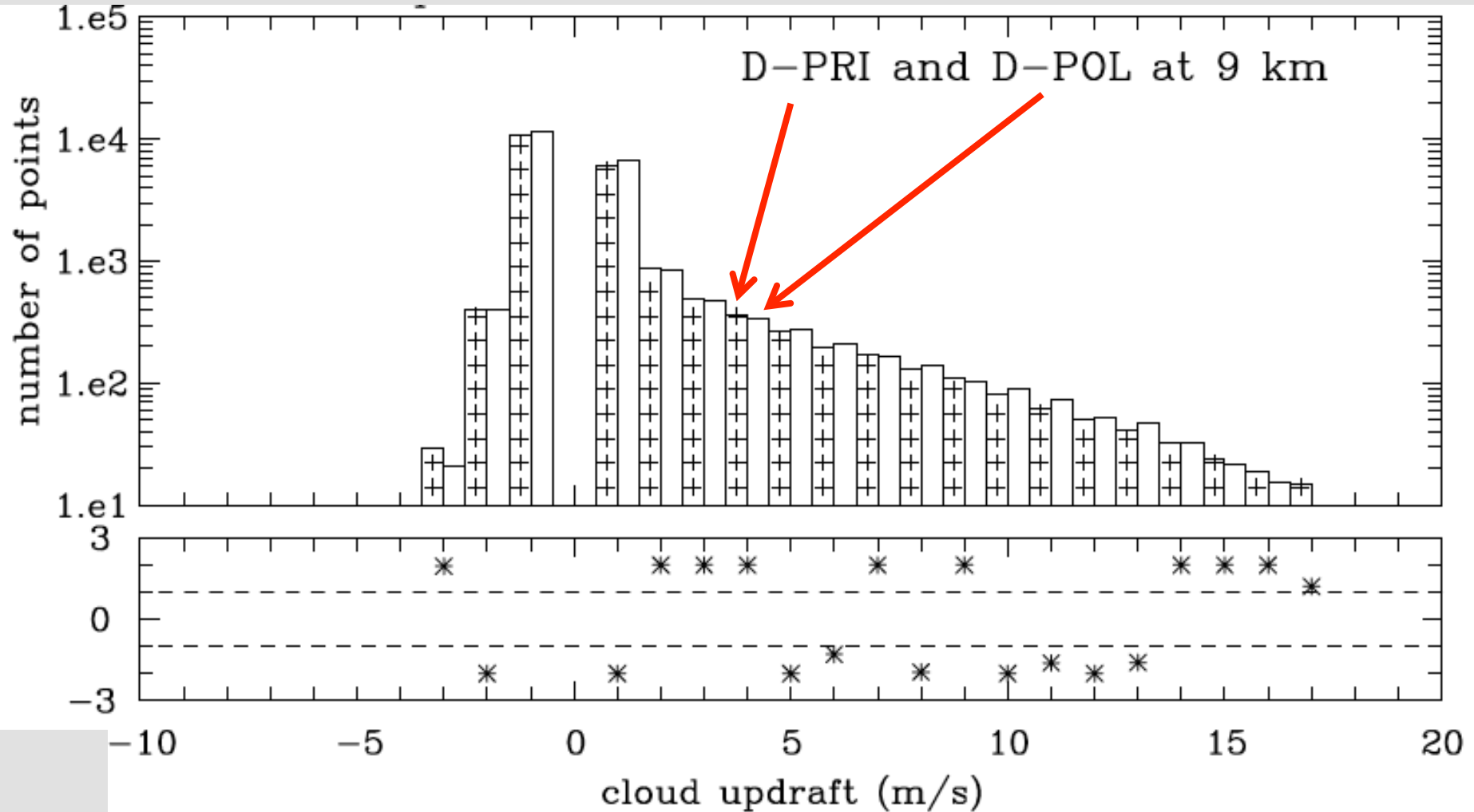


Comparing  $\Theta_d$  with finite supersaturation with  $\Theta_d$  at  $S=0$ ,  $\Theta_d^b$

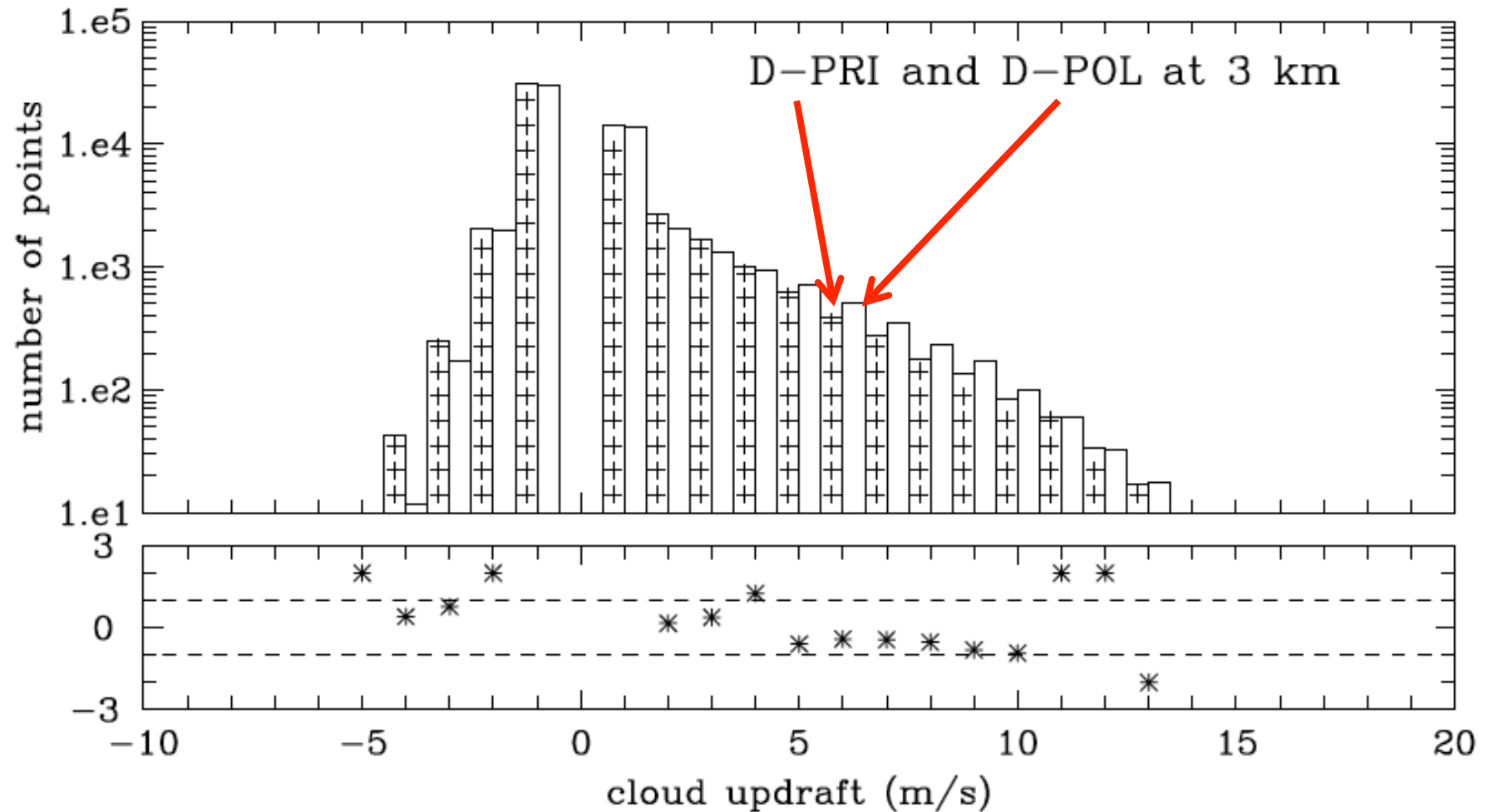


**10% supersaturation  $\approx$  1 K density temperature reduction**

Vertical velocity statistics for D-PRI and D-POL at 9 km,  
measure of statistical significance of the D-PRI and D-POL difference



Vertical velocity statistics for D-PRI and D-POL at 3 km,  
measure of statistical significance of the D-PRI and D-POL difference





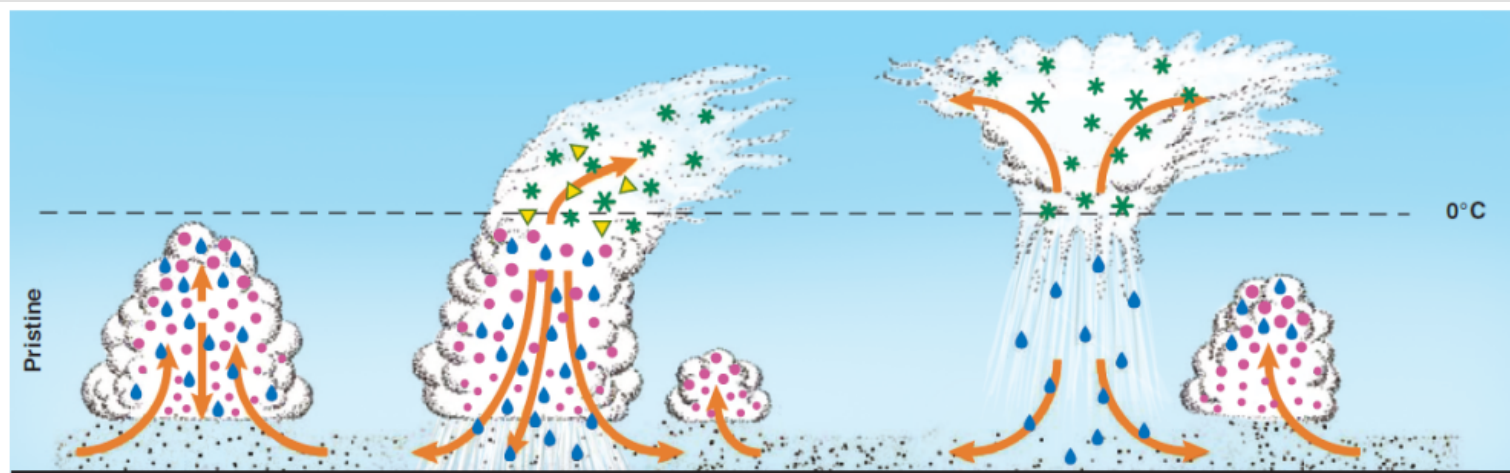
## Conclusions:

The piggybacking methodology clarifies the dynamic basis of convective invigoration in polluted environments.

- single-moment bulk schemes: no dynamical effect, 5-15% more surface rain in pristine cases;
- double-moment bulk scheme: small modification of the cloud dynamics in the warm-rain zone due to differences in the supersaturation field, ~10% more rain in polluted cases; significant *microphysical* impact on convective anvils.

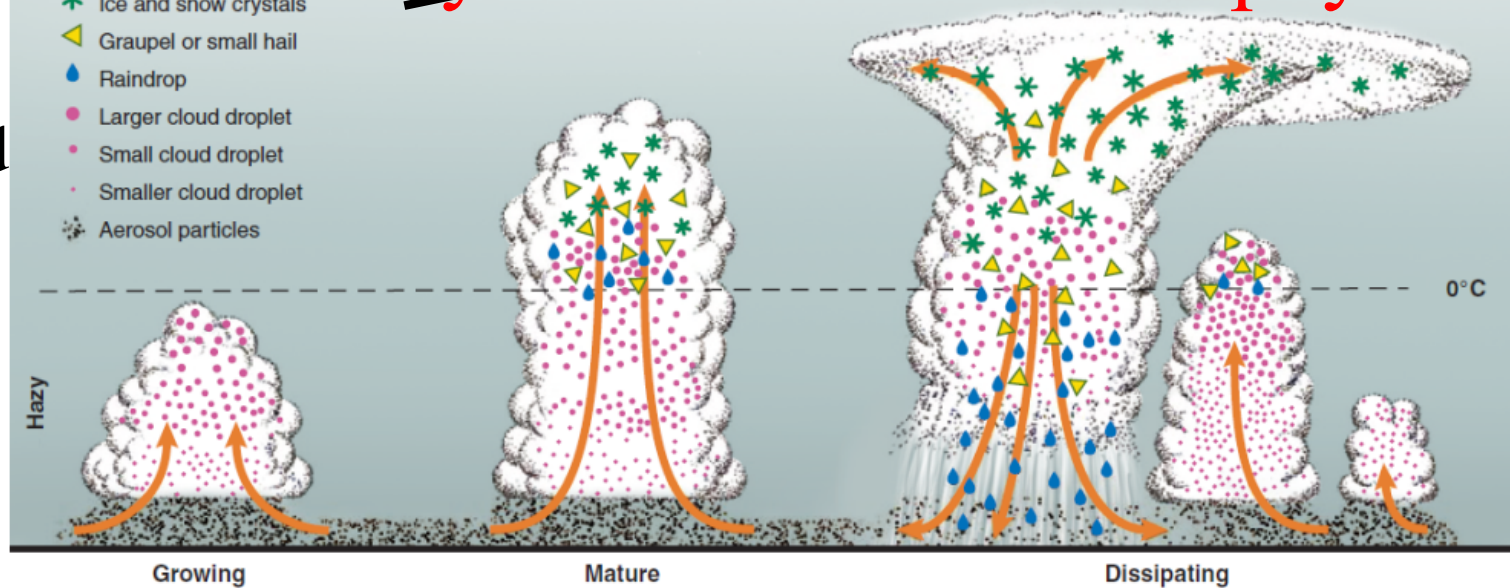
Bulk schemes with saturation adjustment are likely inappropriate for deep convection.

clean



~~dynamics~~ versus microphysics?

polluted



Rosenfeld et al. *Science*, 2008

“Flood or Drought: How Do Aerosols Affect Precipitation?”

## Concluding comments:

The effect of clouds on the climate system is one of the most difficult aspects of the climate research. It involves multiscale interactions between dynamics (from global to small-scale turbulence), cloud microphysics, radiative transfer, and surface processes.

Indirect impact of atmospheric aerosols (i.e., through modifications of cloud and precipitation processes) is one of the least understood aspects of the climate change. Estimates from traditional climate models are uncertain because of the “(parameterization)<sup>2</sup>” problem (parameterized microphysics in parameterized clouds).

## Concluding comments:

Superparameterization approach as well as cloud-resolving general circulation models (the latter still way too expensive for climate simulations) provide valuable alternatives to advance the climate science in general, and effects of aerosols in particular.

Microphysical piggybacking allows confident separation microphysical effects of aerosols from the impact on cloud dynamics (i.e., “convective invigoration”). For deep convection, piggybacking shows strong microphysical effect and a rather small dynamical impact.