

Spectrum of the 2+1 black hole

Workshop of 3D Gravity

ICTP - Trieste

March 21, 2016

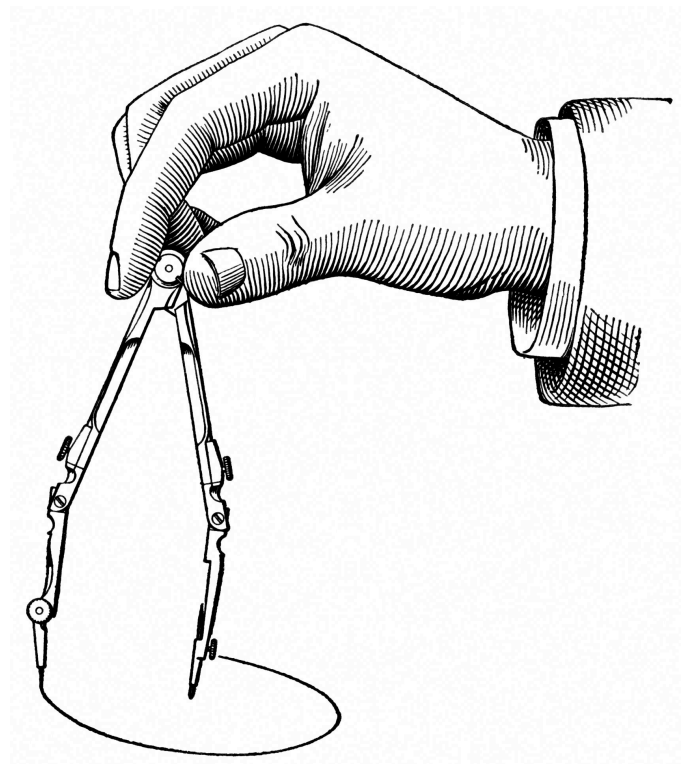
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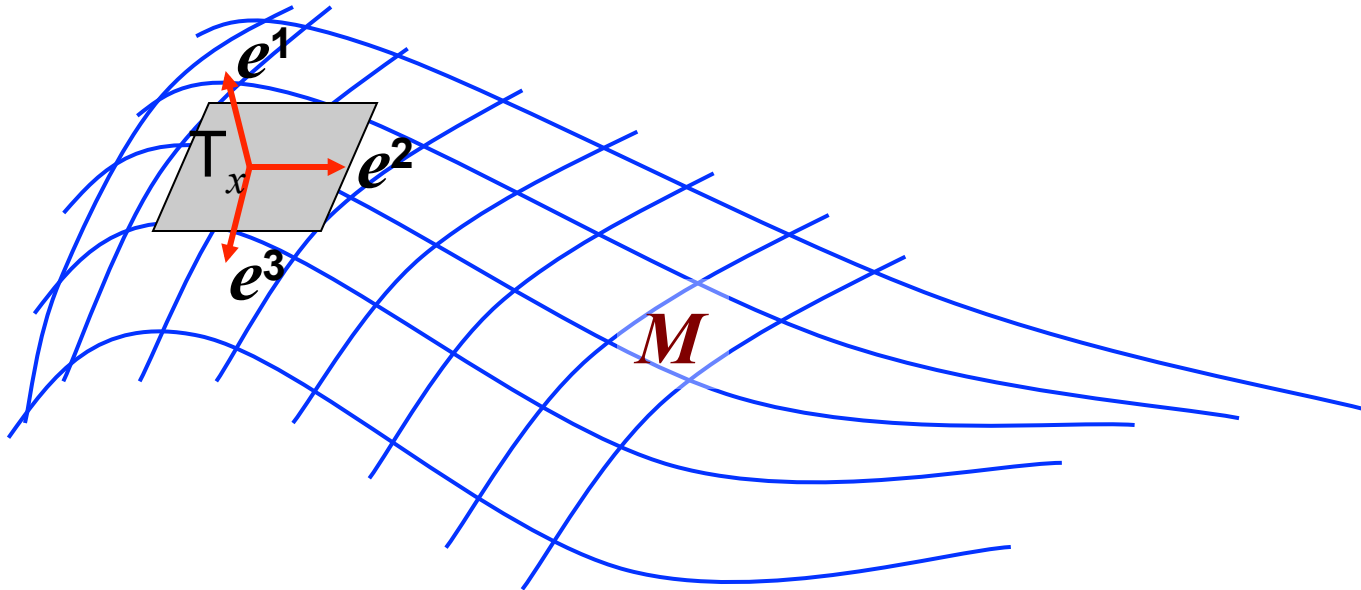
I. What is Gravity?

Geometry has two ingredients:

- Metric structure (length/area/volume, scale) $\longrightarrow e^a$
- Affine structure (parallel transport, congruence) $\longrightarrow \omega^a_b$



- Spacetime M is a differentiable manifold (up to isolated singularities in sets of zero-measure).
- M admits a tangent space T_x at each point.



- An open set around any point x is *diffeomorphic* to an open set in the tangent.

Metric Structure

- Each tangent space T_x is a copy of Minkowski space.
- Since Minkowski space is endowed with the Lorentzian metric, the diffeomorphism induces a metric structure on M :

$$dz^a = e^a_\mu(x) dx^\mu \equiv e^a$$

(e^a : vielbein, soldering form, local orthonormal frame).

$$\begin{aligned} ds^2 &= \eta_{ab} dz^a dz^b \\ &= \eta_{ab} e^a_\mu e^b_\nu dx^\mu dx^\nu \equiv g_{\mu\nu} dx^\mu dx^\nu \end{aligned}$$

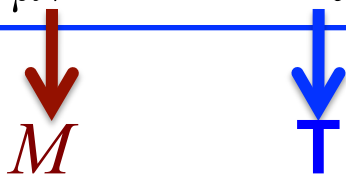
Metric on M :

$$g_{\mu\nu}(x) = \eta_{ab} e^a_\mu(x) e^b_\nu(x)$$

- The vielbein can be viewed as the Jacobian matrix that relates differential forms in T_x and M .

$$dz^a = e_\mu^a(x) dx^\mu$$

- This is a mapping between differentials in two distinct spaces.
- It can also be viewed as the operator that relate tensors in each space, for example, the metric:

$$g_{\mu\nu}(x) = \eta_{ab} e_\mu^a(x) e_\nu^b(x)$$


- The metric is a *composite*
- e_μ^a is the square root of the metric, $e_\mu^a \sim \sqrt{g_{\mu\nu}}$

Equivalence Principle

A sufficiently small vicinity of any point of M can be accurately approximated by T_x .

- In a sufficiently small region of spacetime a reference frame can always be found in which the laws of physics are those of *special relativity (free fall)*.
- The laws of physics are invariant under *local Lorentz transformations*.
- General Relativity is a nonabelian gauge theory for the group $SO(3,1)$ in $4D$. (*40 years before Yang & Mills!*)

Affine Structure

- The differential operations appropriate for a dynamical theory compatible with GR should be *Lorentz covariant*,

$$D_{\mu} u^a = \partial_{\mu} u^a + \omega^a_{b\mu} u^b$$

where u^a is a Lorentz vector and $\omega^a_{b\mu}$ is the connection for the Lorentz group.

- This means that under Lorentz transformations, u^a and $D_{\mu} u^a$ transform in the same manner,

$$u^a \rightarrow u'^a = \Lambda^a_b u^b, \Lambda^a_b \in SO(D-1,1)$$

$$Du^a \rightarrow (Du^a)' = \Lambda^a_b (Du^b)$$

- The covariant derivative and the curvature 2-form are defined uniquely by the Lorentz connection 1-form

$$\omega^a = \omega^a_{b\mu} dx^\mu$$

- *Covariant derivative:*

$$Du^a = du^a + \omega^a_b u^b$$

- *Curvature:*

$$DDu^a = R^a_b u^b, \quad R^a_b = d\omega^a_b + \omega^a_c \omega^c_b$$

- *Bianchi identity:*

$$D(R^a_b u^b) = R^a_b Du^b$$

or
$$DR^a_b = dR^a_b + \omega^a_c R^c_b - \omega^c_b R^a_c \equiv 0$$

- Thus, the fundamental ingredients for a dynamical theory of the spacetime geometry are:

e^a *vielbein* (1-form)

$\omega^a{}_b$ *connection* (1-form)

$R^a{}_b = d\omega^a{}_b + \omega^a{}_c \omega^c{}_b$ *curvature* (2-form)

$T^a = de^a + \omega^a{}_c e^c$ *torsion* (2-form)

$\varepsilon_{a_1 a_2 \dots a_D}, \eta_{ab}$ *invariant tensors* (0-forms)

- By taking successive derivatives no new functionally independent building blocks are produced:

$$DR^a{}_b \equiv 0, \quad DT^a = R^a{}_b e^b, \quad (dd = 0).$$

- The most general D-dimensional Lorentz invariant Lagrangian built with these ingredients is a polynomial in e^a , ω^a_b , and their exterior derivatives.
- We assume nothing about the invertibility of the vielbein, or the metric, and *do not include the Hodge **.
- The use of exterior derivatives guarantees that all field equations will be first order in e^a ω^a_b .
- If torsion is set to zero, the equations will be at most second-order for the metric.

If no further ingredients or assumptions are added, there is a finite family of Lagrangians that can be constructed with these elements in each dimension.

II. What is 3D Gravity?

The most general Lagrangian for 3D gravity is a 3-form made out of e^a , ω^a_b and their exterior derivatives, and *quasi*-invariant under local Lorentz transformations:

$$I[e, \omega] = \int (\varepsilon_{abc} [R^{ab} + \frac{\alpha}{3} e^a e^b] e^c + \lambda e^a T_a + \gamma [\omega^a_b d\omega^b_a + \frac{2}{3} \omega^a_b \omega^b_c \omega^c_a])$$

The field equations read

$$R^{ab} + \Lambda e^a e^b = 0, \quad T^a + \tau \varepsilon^{abc} e_b e_c = 0$$

Spacetimes of *constant* (Lorentz) *curvature* and *constant torsion*.

Splitting the connection:

$$\omega^a_b = \bar{\omega}^a_b + K^a_b$$

Torsion-free
part

Contorsion

where $D_{\bar{\omega}} e^a = de^a + \bar{\omega}^a_b e^b \equiv 0.$

Then:

$$T^a = K^a_b e^b$$

$$R^a_b = \bar{R}^a_b + D_{\bar{\omega}} K^a_b + K^a_c K^c_b$$

Lorentz curvature

Riemann curvature

This is true for any dimension.

What does it mean for (2+1)-dimensional geometries?

In 2+1 dimensions,

$$R^{ab} + \Lambda_0 e^a e^b = 0, \quad T^a + \tau \varepsilon^{abc} e_b e_c = 0$$

$$T^a = \kappa^a_b e^b \longrightarrow \kappa^a_b = \tau \varepsilon^a_{bc} e^c$$

$$\longrightarrow R^a_b = \bar{R}^a_b + \cancel{D_{\bar{\omega}} \kappa^a_b} + \kappa^a_c \kappa^c_b = \bar{R}^a_b + \tau^2 e^a e_b$$

It turns out that in a (2+1)-dimensional space of constant negative curvature *it is always possible to choose* $\omega^a_b = 0$ *in an open region.* (Lorentzian version of Adams theorem.)

$$\longrightarrow \Lambda_0 = 0, \quad R^a_b = 0, \quad \bar{R}^a_b = -\tau^2 e^a e_b$$

Locally AdS_3 spacetimes are Lorentz flat.

III. What is a 3D BH?

The 2+1 black holes are spherically symmetric, static (or stationary) spacetimes whose metrics satisfy

$$\bar{R}^{ab} - l^{-2} e^a e^b = 0$$

- They are labeled by two constants of integration: *mass* (M) and *angular momentum* (J).
- These spaces have the *same constant negative curvature* ($-l^{-2}$) for all values of M and J .

(N.B.: From now on we take $l=1$)

The 2+1 black hole metric

$$(l=1)$$

Static case
 $J=0$

$$ds^2 = -(r^2 - M)dt^2 + \frac{dr^2}{(r^2 - M)} + r^2 d\phi^2$$

$$r_+^2 = M$$

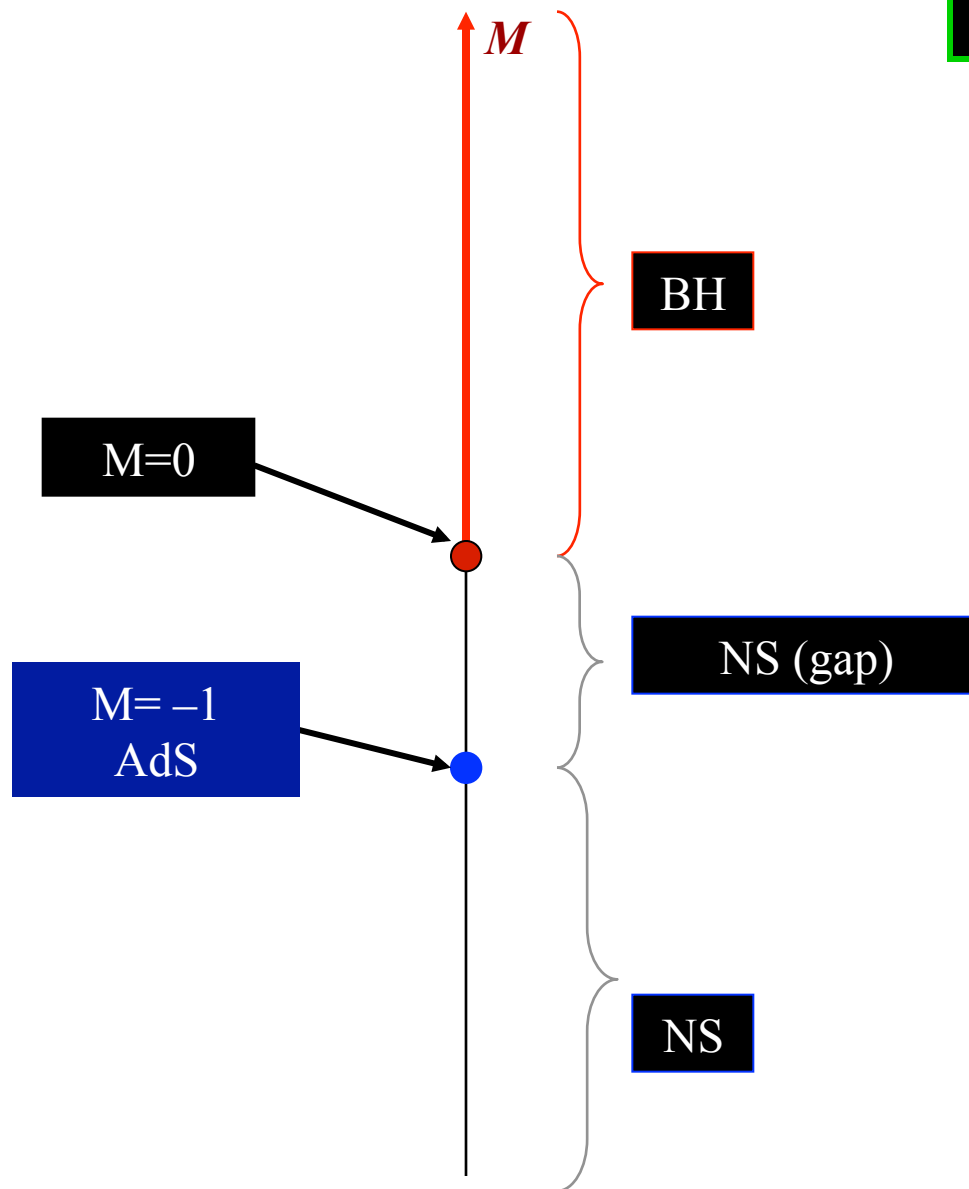
$M \geq 0$  Black hole; horizon $r_+ = M^{1/2}$

$M = -1$  AdS spacetime ($\Lambda = -1$)

$M < 0$  No horizon: *Naked Singularities*

2+1 BH spectrum

$(J=0)$



Spinning 2+1 black hole

$J \neq 0$ case

$$ds^2 = -f^2(r)dt^2 + \frac{dr^2}{f^2(r)} + r^2(Ndt + d\phi)^2$$

$$f^2 = -M + r^2 + \frac{J^2}{4r^2}$$

$$N = -\frac{J}{2r^2}$$

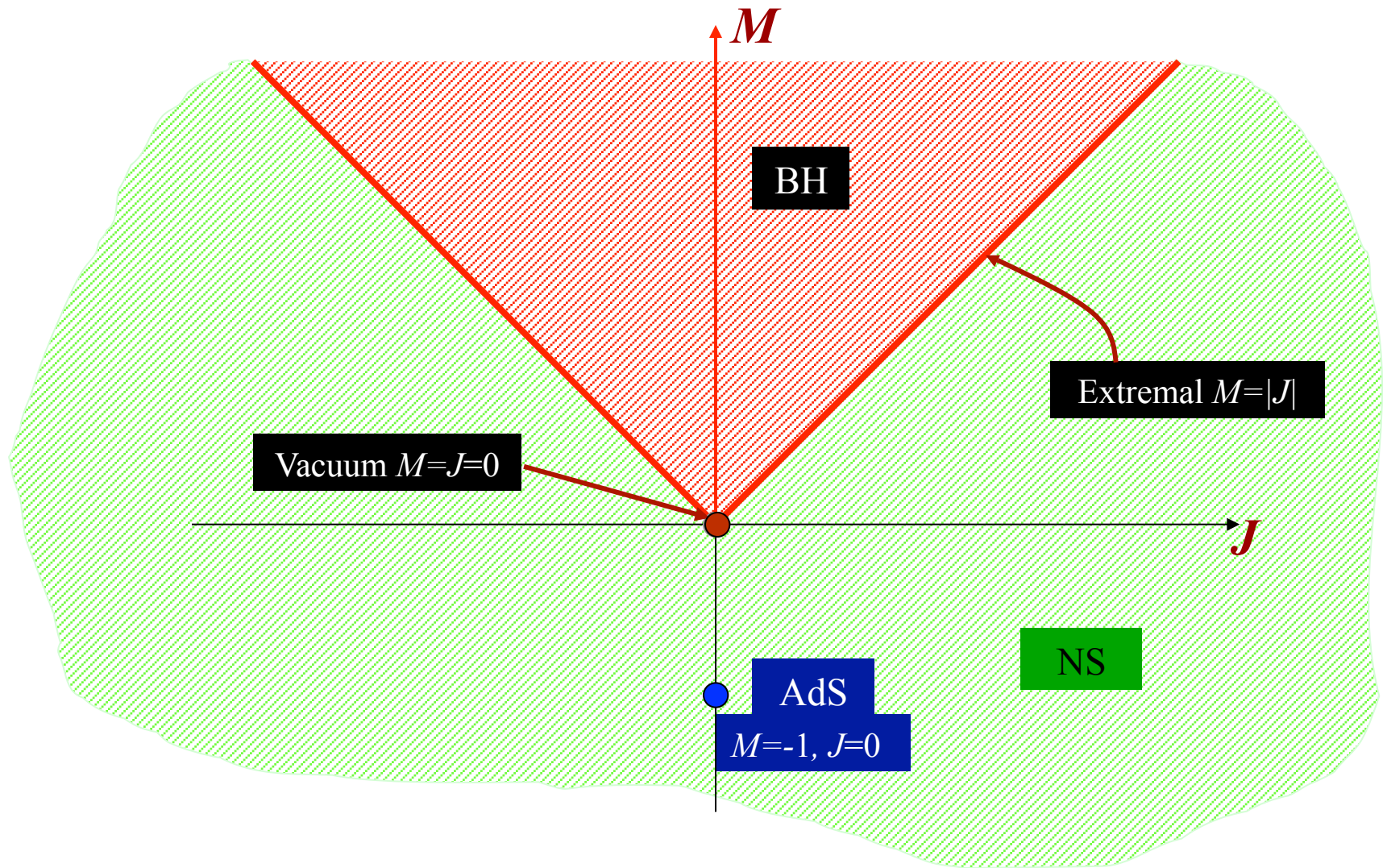
$$r_{\pm}^2 = \frac{M}{2} \left(1 \pm \sqrt{1 - \frac{J^2}{M^2}} \right) \in \mathbb{R}^+ \Leftrightarrow M \geq |J|$$

$M \geq |J| > 0$  BH; horizons $r_{\pm} \geq 0$

$M = -1, J = 0$  AdS

$M < |J|, \neq -1$  Naked singularities

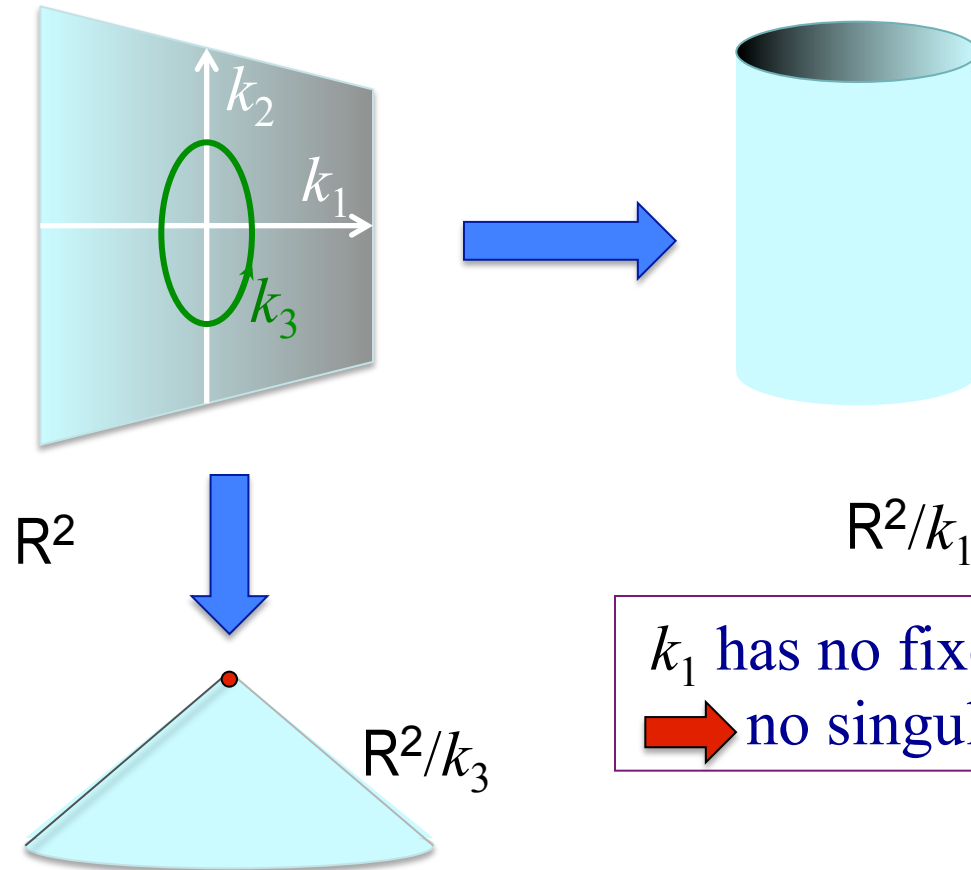
Spinning 2+1 black hole states



IV. How is the 3D BH possible?

Identifications by Killing vectors respect *local geometry*:

k_1, k_2, k_3 : isometries



k_1 has no fixed points
→ no singularities

$r=0$ fixed point of k_3
→ conical singularity

- The BH geometries can be obtained by identifications in the covering AdS_{2+1} , defined by the pseudosphere

$$-(x^0)^2 - (x^1)^2 + (x^2)^2 + (x^3)^2 = -1$$

which has 6 Killing vectors:

$$k = \frac{1}{2} k^{ab} (x_a \partial_b - x_b \partial_a) = \frac{1}{2} k^{ab} J_{ab} \in so(2,2)$$

Therefore, the BH geometry is *locally* AdS but not globally so.

- The isometries of the resulting manifold (spatial rotations & time translations) correspond to the symmetry group of the Killing vector used in the quotient.
- What Killing vectors are used in the identification?

Black hole identifications

$$AdS_{2+1}: \quad \boxed{-(x^0)^2 - (x^1)^2 + (x^2)^2 + (x^3)^2 = -1}$$

- $k_{+-} = r_+ J_{12} - r_- J_{03}$ Generic (spinning) bh, $r_+ > r_- \geq 0$.
- $k_{Ext} = r_+ (J_{01} - J_{23}) + \frac{1}{2} (J_{12} + J_{03} + J_{02} - J_{13})$
Extremal bh, $r_+ = r_- > 0$, $M = J \neq 0$.
- $k_0 = \frac{1}{2} (J_{12} + J_{03} + J_{02} - J_{13})$
Zero mass bh, $r_+ = r_- = 0$, or $M = J = 0$.

All of these are non-compact elements of $SO(2,2)$

- $k \cdot k > 0 \quad \longrightarrow \quad \text{No closed timelike curves}$
- No fixed points $\longrightarrow \quad \text{No conical singularities}$

Boosting black holes

The freedom to make Lorentz transformations in AdS_{2+1} can be exploited to change the identifying Killing vectors. The resulting black holes have different M and J .

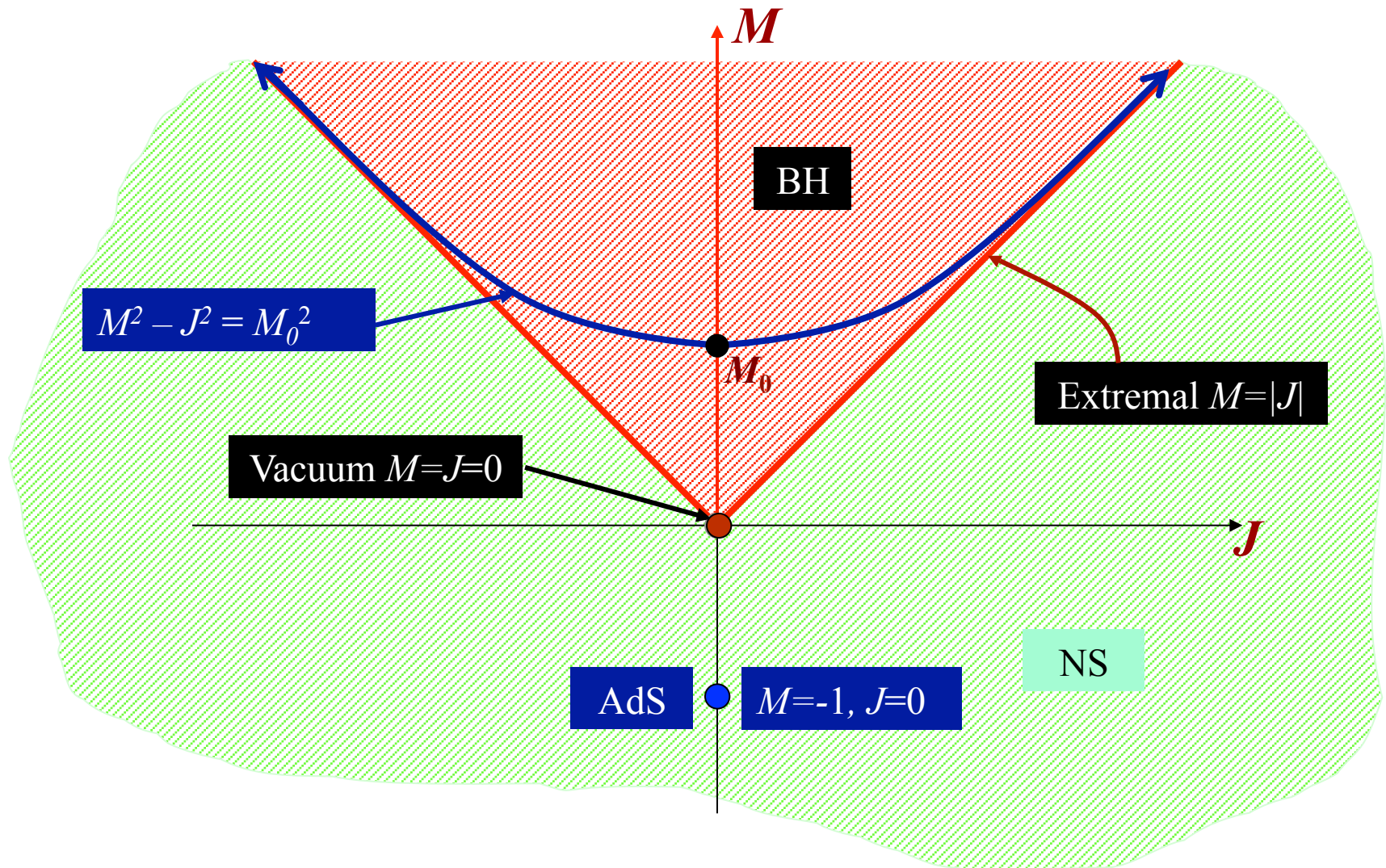
$$\frac{AdS_{2+1}}{k} \longrightarrow \frac{AdS_{2+1}}{k'}$$

In particular, a static BH ($M_0 \neq 0$, $J_0 = 0$) can turn into a spinning one ($M \neq 0$, $J \neq 0$) by a global Lorentz boost:

$$t = \frac{t_0 + \Omega \phi_0}{\sqrt{1 - \Omega^2}}, \quad \phi = \frac{\phi_0 + \Omega t_0}{\sqrt{1 - \Omega^2}}, \quad r^2 = r_0^2 + \frac{\Omega^2}{1 - \Omega^2} M_0; \quad \Omega < 1$$

$$M = \frac{1 + \Omega^2}{1 - \Omega^2} M_0, \quad J = \frac{2\Omega}{1 - \Omega^2} M_0, \quad \boxed{M^2 - J^2 = M_0^2}$$

Spinning 2+1 black hole states



V. Extended spectrum of the 3D BH

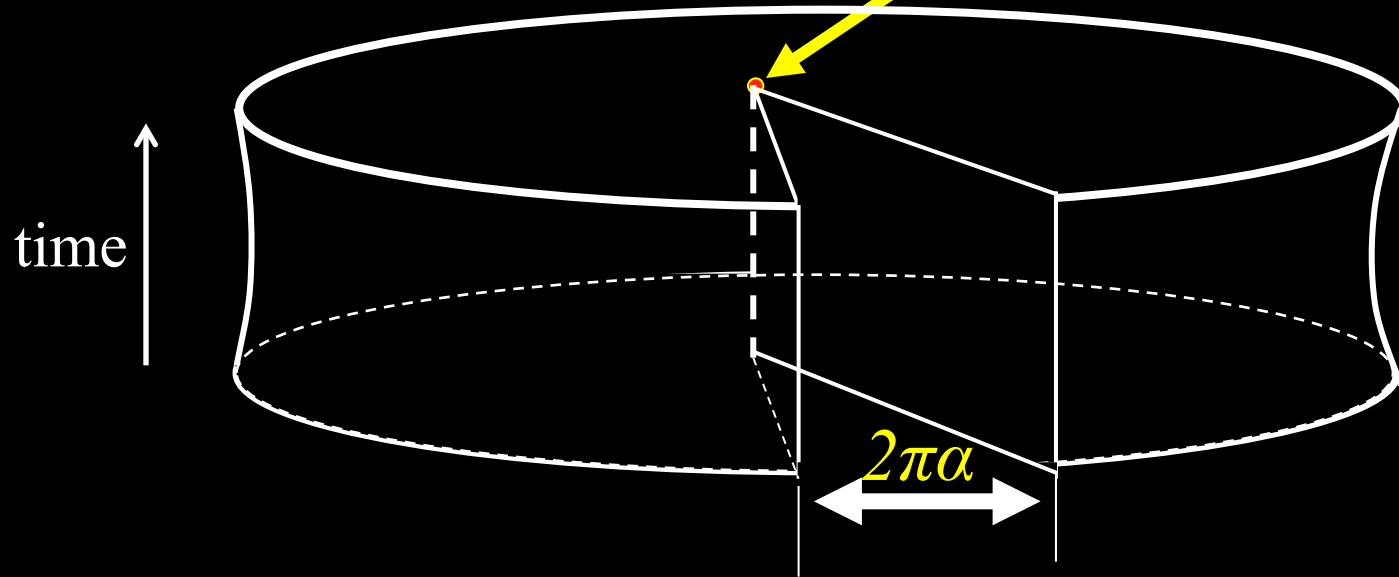
Topological defects are also spherically symmetric, static, localized, asymptotically AdS geometries.

They can also be produced by identifications with a spacelike Killing vector k is AdS_3 .

If k belongs to the *compact* part of $SO(2,2)$ (rotations) leaving *fixed points*  **Naked conical singularity** (conical defect)

The resulting quotient space (orbifold) AdS_3/k has less symmetry than AdS_3 due to the presence of the fixed points.

Angular defect in 2+1 D



Identification in the x^1 - x^2 plane generates a conical singularity at the set of fixed points

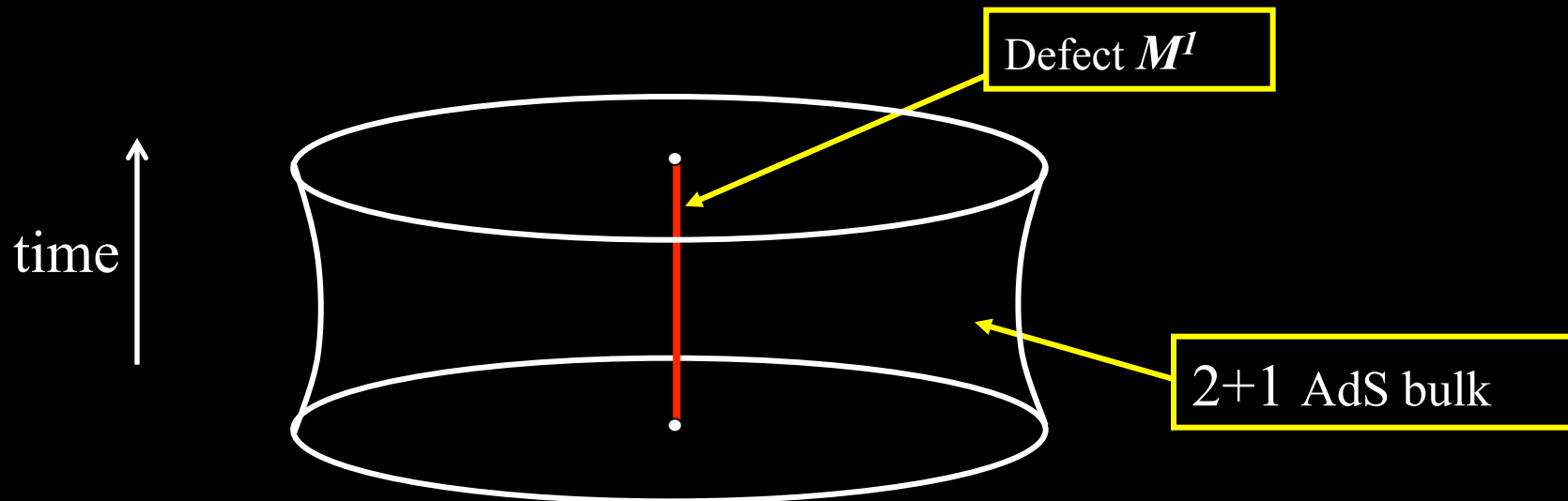
$$\begin{aligned}\text{Killing vector: } k &= -2\pi\alpha (x^1 \partial^2 - x^2 \partial^1) \\ &= -2\pi\alpha \partial_\varphi\end{aligned}$$

Angular deficit



Curvature has
 δ -singularity

Conical singularity in 2+1 D



The defect is a conical singularity in AdS:

$$ds^2 = -(\rho^2 + 1)d\tau^2 + (\rho^2 + 1)^{-1}d\rho^2 + \rho^2(1 - \alpha)^2 d\varphi^2$$

and the curvature is constant *almost* everywhere

$$\bar{R}^{ab} + e^a e^b = 2\pi\alpha\delta_{[12]}^{[ab]}\delta^{(2)}(x^1 x^2)dx^1 \wedge dx^2, \quad 0 \leq \alpha \leq 1$$

“Source”

In appropriate coordinates, this looks like a black hole:

$$ds^2 = -(r^2 - M)d\tau^2 + (r^2 - M)^{-1}dr^2 + r^2d\phi^2$$

But, with negative mass $M = -(1 - \alpha)^2$, instead of an angular deficit $\Delta\phi = 2\pi\alpha$.

The exceptional cases are:

$\alpha = 0, M = -1$  no deficit (AdS spacetime)

$\alpha = 1, M = 0$  maximum deficit (*Vacuum* bh)

For $-1 < M < 0$, these are *naked* but otherwise harmless conical singularities
... like any brane

- The identification produces a *0-brane* located at the fixed point of $k = -2\pi\alpha \partial_\varphi$

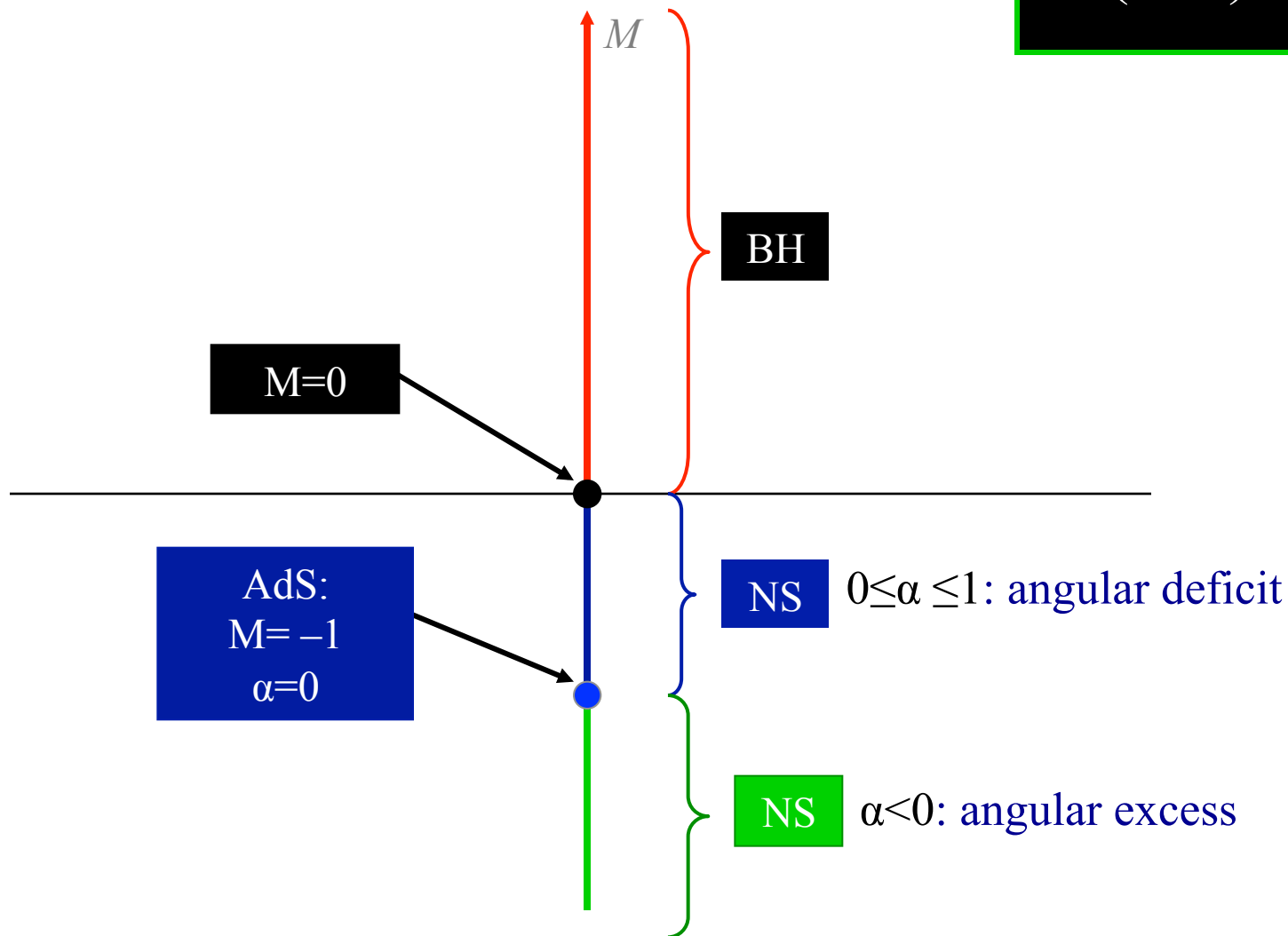
- There is a curvature singularity, proportional to the angular deficit $2\pi\alpha$,

$$\bar{R}^{ab} + e^a e^b = 2\pi\alpha \delta_{[12]}^{[ab]} \delta(\Sigma_{12}) d\Omega_\Sigma^2$$

- This curvature singularity can be interpreted as a *point particle* of mass $m = (1-\alpha)^2$ (0-brane), or as the result of working in a spacetime of *nontrivial topology* from which some points have been removed.
- In both cases m measures the angular rotation of a parallel-transported vector along a loop around $r = 0$.

2+1 *BH-NS spectrum*

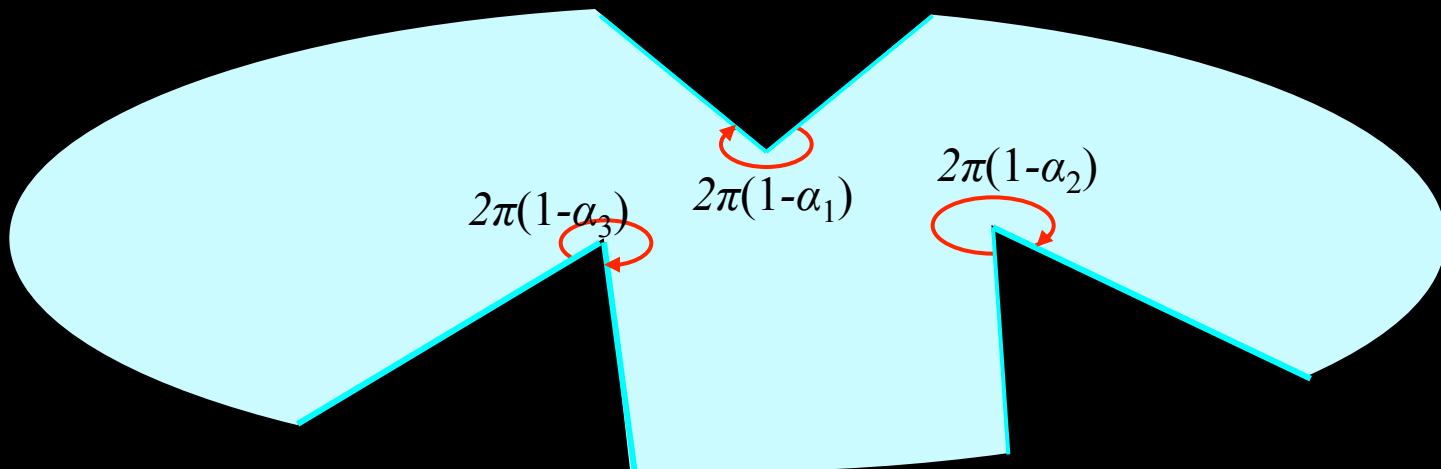
$(J=0)$



The angular deficits and excesses are additive: two conical singularities with deficits α_1 and α_2 can coalesce to form a new CS with deficit $\alpha_1 + \alpha_2$.

$\alpha > 0$	particles
$\alpha = 0$	vacuum
$\alpha < 0$	antiparticles

- Several singularities can be put together provided $\sum \alpha_i \leq 1$



Spinning case

These static particle states can also be boosted:

$$ds^2 = -f^2(r)dt^2 + \frac{dr^2}{f^2(r)} + r^2(Ndt + d\phi)^2$$

$$f^2 = -M + r^2 + \frac{J^2}{4r^2}, \quad N = -\frac{J^2}{2r^2}; \quad \boxed{M \leq -|J|}$$

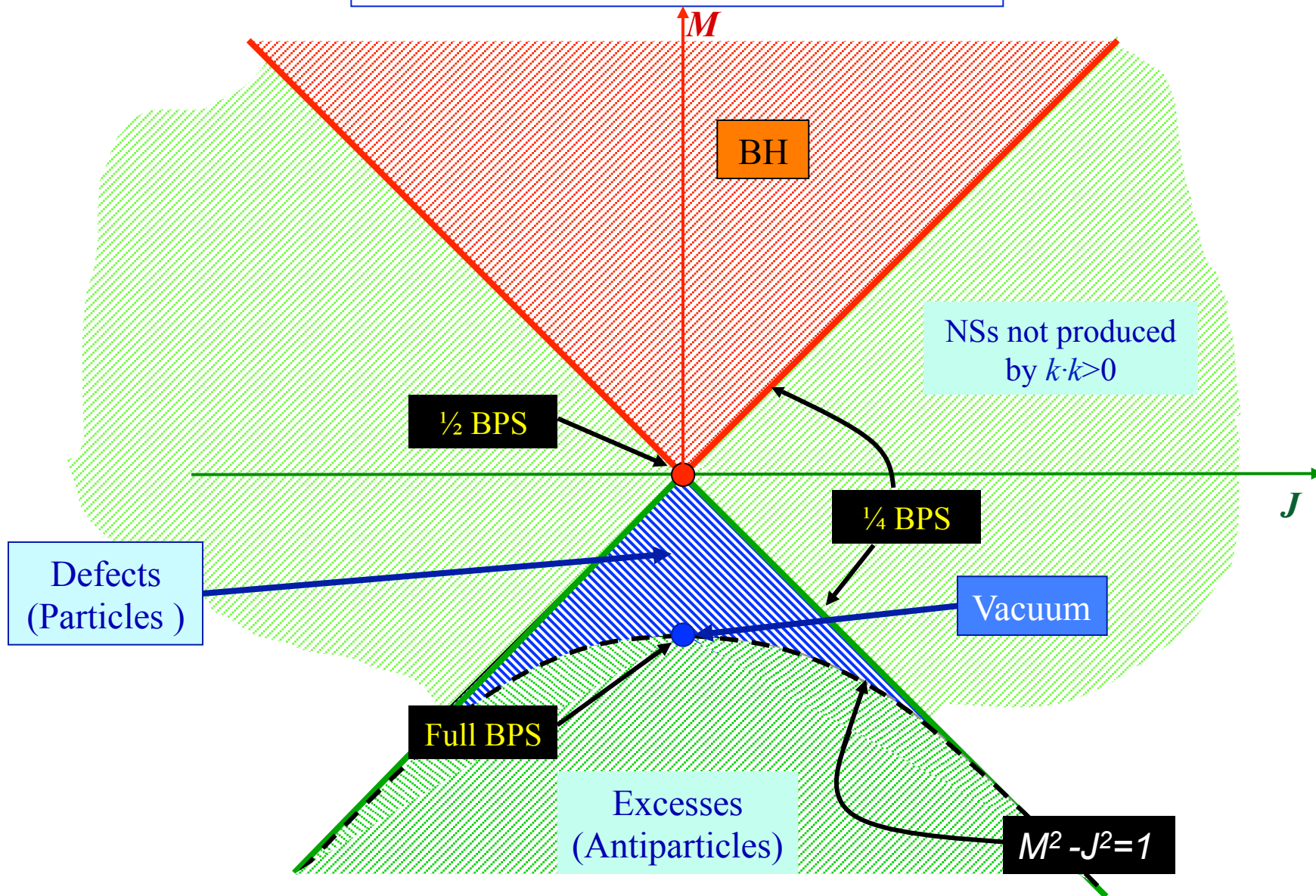
- Spinning massive BHs and NSs admit globally defined Killing spinors for $M=J$.
- Those configurations correspond to bosonic supersymmetric, perturbatively stable, lowest energy states (BPS).

AdS vacuum: $M = -1 \quad \rightarrow \text{full susy}$

0-mass BH/NS: $M = J = 0 \quad \rightarrow 1/2 \text{ susy}$

Extremal BH/NS: $\pm J = M < 0 \quad \rightarrow 1/4 \text{ susy}$

2+1 *BH-NS spectrum*



VI. Summary

- 3D black holes ($M \geq 0$) and conical singularities ($M < 0$) are quotients of AdS_3 by Killing vectors, AdS_3 / k where $k = \frac{1}{2} \lambda^{ab} J_{ab}$, $J_{ab} \in so(2,2)$, $k \cdot k > 0$
- The values of M and J are determined by the KV used in the identification.
- BHs & CSs are locally AdS_3 solutions of Einstein's equations.
- Any simply connected patch of their geometries is *Lorentz flat*, admitting global boosts. In particular, spinning BHs & CSs can be obtained boosting static states, with $M^2 - J^2 = M_0^2$.

- For $|M|=|J|$ BH & CS states are BPS [supersymmetric]
- Topological defects can also be endowed with abelian charges. If the electric charge and the angular deficit are balanced, these 0-branes are also BPS states.
- Similar $2p$ -branes can also be constructed for $D \geq 2p+3$; They can also be charged and minimally coupled to nonabelian C-S forms.
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Thanks!