6d strings and exceptional instantons

Seok Kim

(Seoul National University)

Geometric correspondence of gauge theories, ICTP Sep 15, 2016 Talk based on:

Hee-Cheol Kim, <u>SK</u>, Jaemo Park, "6d strings from new chiral gauge theories" 1608.03919.

Hee-Cheol Kim, Joonho Kim, <u>SK</u>, Jaemo Park, work in progress.

See also the following recent papers, which partly overlap with ours:

Shimizu, Tachikawa, "Anomaly of strings of 6d N=(1,0) theories" 1608.05894.

Del Zotto, Lockhart, "On exceptional instanton strings" 1609.00310.

6d SCFTs

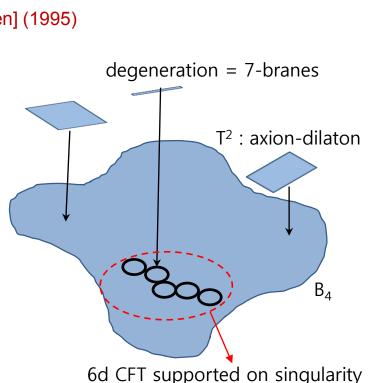
 From branes (e.g. IIA): NS5 (tensor), D6 (vectors), + D6, D8 (hypers). For instance, [Hanany, Zaffaroni] [Brunner, Karch] (1997)
 N D6's: SU(N)

- Type IIB on ADE singularities: N=(2,0) SCFTs [Witten] (1995)
- N=(1,0) SCFTs: F-theory on R^{5,1} x (elliptic CY₃)
 [Morrison, Vafa] [Witten] (1996)

• A "classification" by going to tensor branch

 $B_{\mu\nu}$ with $H = dB = \star dB$, Ψ^A , $\Phi \longrightarrow VEV$

[Morrison, Taylor] (2012) [Heckman, Morrison, Vafa] (2013) [Heckman, Morrison, Rudelius, Vafa] (2015)



of collapsed 2-cycles

2N D6's: matters

NS5

NS5

The "atoms"

- Building blocks of 6d SCFTs [Morrison,Vafa] [Witten] (1996) [Morrison,Taylor] (2012)
- SCFTs with lower dimensional tensor branch:

n	1	2	3	4	5	6	7	8	12
gauge symmetry	-	-	SU(3)	SO(8)	F_4	E_6	E_7	E_7	E_8
global symmetry	E_8	-	-	-	-	-	-	-	-
matters	-	-	-	-	-	-	$\frac{1}{2}$ 56	-	-

base	base 3,2		2, 3, 2		
gauge symmetry	$G_2 \times SU(2)$	$G_2 \times Sp(1) \times \{0\}$	$SU(2) \times SO(7) \times SU(2)$		
matters	$rac{1}{2}(7+1,2)$	$rac{1}{2}(7+1,2)$	$rac{1}{2}(2,8,1)+rac{1}{2}(1,8,2)$		

- To construct more complicated 6d SCFTs, [Heckman,Morrison,Vafa] [H,M, Rudelius,V]
- make quivers of these atoms: glue two CFTs using n=1 SCFT, gauging subgroups of E_8 .

SO(8) x SO(8)
$$E_6 x SU(3)$$
 $F_4 x G_2$ $E_7 x SU(2)$ 4 1 46 1 35 1 3 2 28 1 2 3 2

- "unHiggs" to bigger gauge groups w/ more hypermultiplet matters

Self-dual strings

- Tensor branch: "self-dual strings" charged under 2-form
- D3-branes wrapping 2-cycles
- Like W-bosons/monopoles/dyons in 4d gauge theories in Coulomb branch
- Half-BPS in 6d (1,0) theories: 2d N=(0,4) CFTs on the strings
- To each 6d "atoms" correspond a family of 2d "atoms" for N=(0,4) SCFTs

- Effective description in tensor branch (when there is a gauge symmetry):
- 6d SYM + hypermultiplet matters, coupled to Abelian tensor multiplets

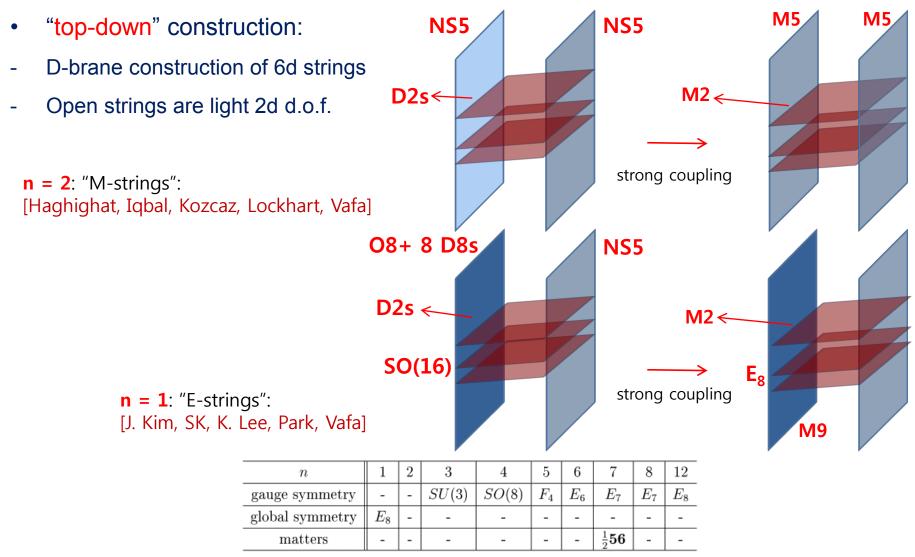
$$S_{\rm v+t}^{\rm bos} = \int \left[\frac{1}{2}d\Phi \wedge \star d\Phi + \frac{1}{2}H \wedge \star H\right] + \sqrt{c}\int \left[-\Phi {\rm tr}(F \wedge \star F) + B \wedge {\rm tr}(F \wedge F)\right]$$

• Self-dual strings = instanton string solitons in 6d SYM

 $H \equiv dB + \sqrt{c} \operatorname{tr} \left(A dA - \frac{2i}{3} A^3 \right)$

Self-dual strings & gauge theories

• Some 2d CFTs come from UV gauge theories. (GLSM) [Witten] (1993)



• (p,q) 7-branes in generic F-theory setting (not just D7s): not just fundamental strings

Bottom-up: soliton strings in 6d SYM

• For other SCFTs, we have Yang-Mills intuitions: self-dual strings = instanton strings

$$F_{\mu\nu} = \star_4 F_{\mu\nu} \qquad k \equiv \frac{1}{8\pi^2} \int \operatorname{tr} \left(F \wedge F \right) \in \mathbb{Z} \qquad \qquad S \leftarrow \int B \wedge \operatorname{tr}(F \wedge F)$$

- Classical gauge group (ABCD): ADHM construction suggests 2d gauge theories.
- Most gauge groups are exceptional.

n	1	2	3	4	5	6	7	8	12
gauge symmetry	-	-	SU(3)	SO(8)	F_4	E_6	E_7	E_7	E_8
global symmetry	E_8	-	-	-	-	-	-	-	-
matters	-	-	-	-	-	-	$\frac{1}{2}$ 56	-	-

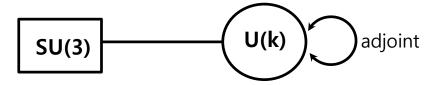
7

D2's

- Apparently simple cases: n=3,4 w/ SU(3), SO(8)
- n=4: [Haghighat, Klemm, Lockhart, Vafa]
 SO(8) ADHM construction
 Good QFT: e.g. Sp(k) anomaly-free
 Also constructed from top-down: D-brane realization
 4 D6's + O6⁻
 NS5 NS5

Strings of SU(3) SCFT

- Naively, one may also try a guess w/ SU(3) ADHM ("bottom-up" approach)
- SU(3) ADHM for k instantons:



- U(k) anomaly doesn't cancel:

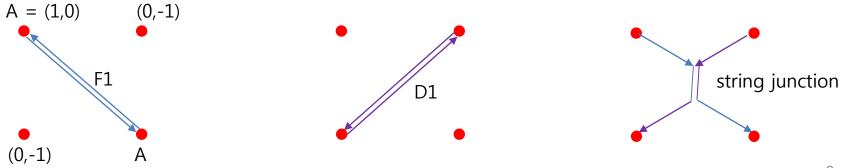
$$D_{\mathbf{k}} = 1$$

$$D_{\mathbf{adj}} = 2k$$

$$\sim 2 \cdot 3 \cdot 1 + 2 \cdot 2k - 2 \cdot 2k = 6 \neq 0$$

fields	U(k)	SU(3)	$SU(2)_F$	$SU(2)_1$	$SU(2)_2$
$\lambda_{\dot{\alpha}A-}$	adj	1	1	2	2
$q_{\dot{\alpha}}(\rightarrow \psi_{A+})$	k	3	1	2	1
$a_{\alpha\dot{\beta}}(\rightarrow\chi_{\alpha A+})$	adj	1	2	2	1

- This failure is natural. (Here, SU(3) doesn't come from open strings ending on 3 D-branes.)
- SU(3) is "nonperturbative" or "exceptional" [Grassi, Halverson, Shaneson]



Exceptional instanton strings

• So including SU(3), the followings are realized "non-perturbatively."

n	1	2	3	4	5	6	7	8	12
gauge symmetry	-	-	SU(3)	SO(8)	F_4	E_6	E_7	E_7	E_8
global symmetry	E_8	-	-	-	-	-	-	-	-
matters	-	-	-	-	-	-	$\frac{1}{2}$ 56	-	-

- SU(3) SCFT is a building block of all the "exotic atoms"

base	3,2	3, 2, 2	2, 3, 2
gauge symmetry	$G_2 \times SU(2)$	$G_2 \times Sp(1) \times \{0\}$	$SU(2) \times SO(7) \times SU(2)$
matters	$rac{1}{2}(7+1,2)$	$rac{1}{2}(7+1,2)$	$rac{1}{2}(2,8,1)+rac{1}{2}(1,8,2)$

- Related to G_2 instantons, & instantons in "SO(7) + spinors" by Higgsings.
- Builds new SCFTs, "conformal matters" (later)



- Strategy for the SU(3) strings:
- Employ bottom-up approach.
- Cure the pathology of naïve SU(3) quiver.

The cure for SU(3)

- Result: can't make N=(0,4) gauge theory. Can have one by sacrificing some SUSY in UV.
- Add the following N=(0,2) superfields to the anomalous SU(3) ADHM :

fields	U(k)	SU(3)	$SU(2)_F$	$SU(2)_1$	$SU(2)_2$
$\lambda_{\dot{\alpha}A-}$	adj	1	1	2	2
$q_{\dot{\alpha}}(\rightarrow \psi_{A+})$	k	3	1	2	1
$a_{\alpha\dot{\beta}}(\rightarrow\chi_{\alpha A+})$	adj	1	2	2	1

 (ϕ, χ) : chiral multiplet in $(\overline{\mathbf{k}}, \overline{\mathbf{3}})$

- $(b,\xi) + (\tilde{b},\tilde{\xi})$: two chiral multiplet in (**anti**, 1)
 - $(\hat{\lambda}, \hat{G})$: complex Fermi multiplet in $(\mathbf{sym}, \mathbf{1})$
 - $(\check{\lambda},\check{G})$: complex Fermi multiplet in $(\mathbf{sym},\mathbf{1})$
 - (ζ, G_{ζ}) : complex Fermi multiplet in $(\overline{\mathbf{k}}, \mathbf{1})$.
 - $(\phi, \tilde{\chi})$: chiral multiplet in $(\mathbf{k}, \mathbf{1})$
 - (η, G_{η}) : complex Fermi multiplet in $(\bar{\mathbf{k}}, \mathbf{1})$

- anomaly: SU(k) from ADHM ~
$$2 \cdot 3 \cdot 1 + 2 \cdot 2k - 2 \cdot 2k = 6 \neq 0$$

from others ~ $+3 \cdot 1 + 2(k-2) - (k+2) - (k+2) - 1 = -6$
 $D_{sym} = k+2$
 $D_{anti} = k-2$

$$U(1) + 3 \cdot 2 \cdot 1^2 \cdot k + 3 \cdot 1^2 \cdot k + 2 \cdot 2^2 \cdot \frac{k^2 - k}{2} - 2^2 \cdot \frac{k^2 + k}{2} - 2^2 \cdot \frac{k^2 + k}{2} - 1^2 \cdot k = 0$$

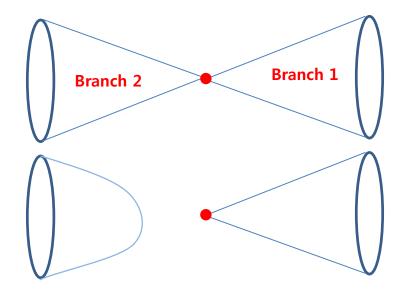
 Can turn on superpotentials to get the correct SU(3) instanton moduli space: but preserving only N=(0,1) SUSY [H.-C.Kim, SK, J. Park]

The moduli space & UV completion

- Classical moduli space: vanishing $V(\phi_{ADHM}, \phi_{others}) = V_1(\phi_{ADHM}) + V_2(\phi_{others}, \phi_{ADHM})$
- 1) branch 1: extra fields = 0. ADHM fields satisfy

$$D^{I} \equiv q_{\dot{\alpha}}(\tau^{I})^{\dot{\alpha}}_{\ \dot{\beta}}\bar{q}^{\dot{\beta}} + (\tau^{I})^{\dot{\alpha}}_{\ \dot{\beta}}[a_{\alpha\dot{\alpha}}, a^{\alpha\dot{\beta}}] = 0$$

- SU(3) instanton moduli space: hyper-Kahler quotient, N=(0,4) SUSY enhancement
- 1-loop correction doesn't spoil this zero potential condition
- 2) branch 2: We find another branch. (k=1)
- Classical: meets 1st branch at small instanton singularity
- Quantum: 1-loop correction only at 2nd branch conjecture: detached from the 1st branch (IR decoupling) [Melnikov, Quigley, Sethi, Stern] (2012)



• Non-linear sigma model in IR: small instanton singularity. Extra light d.o.f. at small instanton singularity. UV completion. ϕ_{extra} are massless only at the tip

Other observables

- elliptic genus: $H_{\pm} \equiv \frac{H \pm P}{2} \quad H_{-} \sim \{Q, \overline{Q}\}$ $Z_{k}(\tau, \epsilon_{1,2}, m_{a}) = \operatorname{Tr} \left[(-1)^{F} e^{2\pi i \tau H_{+}} e^{2\pi i \bar{\tau} H_{-}} e^{2\pi i \epsilon_{1}(J_{1}+J_{R})} e^{2\pi i \epsilon_{2}(J_{2}+J_{R})} \cdot \prod_{a \in \text{flavor}} e^{2\pi i m_{a} F_{a}} \right]$
- Easy to compute w/ a UV gauge theory: contour integral [Benini,Eager,Hori,Tachikawa] (2013)
- Our U(k) gauge theory: [Flume, Poghossian] [Bruzzo, Fucito, Morales, Tanzini] (2002)

$$Z_{k}^{SU(3)} = (-1)^{\frac{k^{2}-k}{2}} \eta^{6k} \sum_{\vec{Y}; |\vec{Y}|=k} \prod_{i=1}^{3} \prod_{s \in Y_{i}} \frac{\theta_{1}(2u(s))\theta_{1}(2\epsilon_{+} - 2u(s))\theta_{1}(\epsilon_{+} + u(s))}{\prod_{j=1}^{3} \theta_{1}(E_{ij})\theta_{1}(E_{ij} - 2\epsilon_{+})\theta_{1}(\epsilon_{+} - u(s) - v_{j})} \times \prod_{i \leq j}^{3} \prod_{s_{i,j} \in Y_{i,j}; s_{i} < s_{j}} \frac{\theta_{1}(u(s_{i}) + u(s_{j}))\theta_{1}(2\epsilon_{+} - u(s_{i}) - u(s_{j}))}{\theta_{1}(\epsilon_{1,2} - u(s_{i}) - u(s_{j}))} \qquad E_{ij} = v_{i} - v_{j} - \epsilon_{1}h_{i}(s) + \epsilon_{2}(v_{j}(s) + 1) \times (s) = v_{i} - \epsilon_{+} - (m - 1)\epsilon_{1} - (m - 1)\epsilon_{2}$$

- 1d limit, replacing all θ_1 functions to sine functions, agrees with Nekrasov's SU(3) instanton partition function: We found an alternative "ADHM-like" formalism
- Novel results in 2d: For simplicity, let us consider single string k=1

$$Z_1^{SU(3)}(v,\epsilon_{1,2}) = -\frac{\eta^2}{\theta_1(\epsilon_{1,2})} \sum_{i=1}^3 \frac{\eta^4 \theta_1(4\epsilon_+ - 2v_i)\theta_1(v_i)}{\prod_{j(\neq i)} \theta_1(v_{ij})\theta_1(2\epsilon_+ - v_{ij})\theta_1(2\epsilon_+ + v_j)}$$

Tests

• k=1 (tests also done at k=2,3): computation from topological strings [Haghighat, Klemm, Lockart, Vafa]

$$\log Z(\tau, \epsilon_+, \epsilon_+, \mu) = \sum_{g \ge 0, n \ge 0} (\epsilon_1 \epsilon_2)^{g-1} (\epsilon_1 + \epsilon_2)^n F_{g,n}(\tau, \mu)$$

$$F_{0,0} = -\left[\frac{\theta_1(2v_1)\theta_1(v_1)}{\theta_1(v_{12})^2\theta_1(v_{13})^2\theta_1(v_2)\theta_1(v_3)} + (1,2,3\to2,3,1) + (1,2,3\to3,1,2)\right]$$
$$= e^{-\pi i \tau + 2\pi i v_{12} + 2\pi i v_{23}} \sum_{d_0,d_1,d_2=0}^{\infty} N_{d_0,d_1,d_2} \left(\frac{e^{2\pi i \tau}}{e^{2\pi i v_{12}}e^{2\pi i v_{23}}}\right)^{d_0} e^{2\pi d_1 v_{12}} e^{2\pi d_2 v_{23}}$$

0

$d_1 \setminus d_2$	0	1	2	3	4	5
0	1	3	5	7	9	11
1	3	4	8	12	16	20
2	5	8	9	15	21	27
3	7	12	15	16	24	32
4	9	16	21	24	25	35
5	11	20	27	32	35	36

$d_1 \setminus d_2$	0	1	2	3	4	5
0	3	4	8	12	16	20
1	4	16	36	60	84	108
2	8	36	56	96	144	192
3	12	60	96	120	180	252
4	16	84	144	180	208	288
5	20	108	192	252	288	320

complete agreement

Table 1	1:	a^0
---------	----	-------

$d_1 \setminus d_2$	0	1	2	3	4	5
0	5	8	9	15	21	27
1	8	36	56	96	144	192
2	9	56	149	288	465	651
3	15	96	288	456	735	1080
4	21	144	465	735	954	1371
5	27	192	651	1080	1371	1632

Table 2: q^1

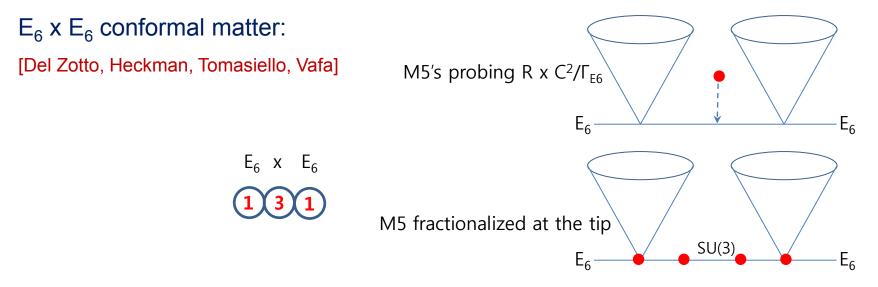
$d_1 \setminus d_2$	0	1	2	3	4	5
0	7	12	15	16	24	32
1	12	60	96	120	180	252
2	15	96	288	456	735	1080
3	16	120	456	1012	1788	2796
4	24	180	735	1788	2823	4356
5	32	252	1080	2796	4356	5760

black numbers: computed from top. strings

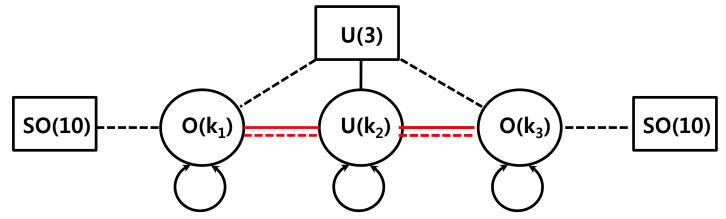
red: our prediction

E₆ x E₆ conformal matter

• 2d quivers for strings w/ higher dim'l 6d tensor branches



• 3 types of string charges: connect 3 theories w/ bifundamental matters



- SO(10) x U(1) x SO(10) enhances to $E_6 \times E_6$: partly checked from elliptic genus

More tests: anomaly inflows from 6d

- 2d anomalies of global symmetries
- Computable from 6d gauge anomaly cancelation w/ 2d defects (anomaly inflow)
- Results from the inflow mechanism [H.-C. Kim, SK, J. Park] (see also [Shimizu, Tachikawa])

$$I_4^{\text{inflow}} = I_4^{(1)} + I_4^{(2)} = \Omega^{ij} k_i \left[I_j + \frac{1}{2} k_j \chi(T_4) \right] \qquad \qquad I_4^{2d} = -I_4^{\text{inflow}}$$

- Agree with the anomalies calculated from our 2d gauge theories
- k instanton strings for G=SU(3): both calculations yield

$$I_4^{2d} = -\frac{3k}{4} \operatorname{Tr} F_G^2 - 3kc_2(R) - \frac{k}{4}p_1(T_6) - \frac{3k^2}{2}\chi_4(T_4)$$

- $E_6 \times E_6$ conformal matter: both calculations yield

$$I_4^{2d} = k_1(k_2 + k_3)\chi_4(T_4) - k_1 \left(\frac{3}{4} \text{Tr}F_{SU(3)}^2 + 3c_2(R) + \frac{p_1(T_6)}{4} + \frac{3}{2}k_1\chi_4(T_4)\right) + k_2 \left(\frac{1}{4} \text{Tr}F_{E_6}^2 + \frac{1}{4} \text{Tr}F_{SU(3)}^2 - c_2(R) + \frac{p_1(T_6)}{4} - \frac{k_2}{2}\chi_4(T_4)\right) + k_3 \left(\frac{1}{4} \text{Tr}F_{E_6}^2 + \frac{1}{4} \text{Tr}F_{SU(3)}^2 - c_2(R) + \frac{p_1(T_6)}{4} - \frac{k_2}{2}\chi_4(T_4)\right) .$$

UnHiggsing to exceptional instantons

- 6d Higgsings are reflected in 2d QFT as massive deformations
- Allowed unHiggsing sequences: all exceptional ~ "terminates after finite sequence" -

$$(SU(3)) \leftarrow (G_2, n_7 = 1) \leftarrow (SO(7), n_7 = 0, n_8 = 2) \leftarrow (SO(8), n_{8_v} = n_{8_s} = n_{8_c} = 1) \leftarrow \\ \leftarrow \begin{cases} (SO(N), n_N = N - 7, n_8 = \cdots)_{N=9, \cdots, 12} \\ (F_4, n_{26} = 2) \leftarrow (E_6, n_{27} = 3) \leftarrow (E_7, n_{\frac{1}{2}56} = 5) \leftarrow (E_8, n_{\text{inst}} = 9) \end{cases}$$

- G₂ & SO(7) instantons w/ 6d matters [Hee-Cheol Kim, Joonho Kim, SK, Jaemo Park]
- An (anomaly-free) quiver for SO(7) instantons: only SU(4) \subset SO(7) is manifest in UV

Standard SU(4) ADHMExtra chiral multiplet to make
it a novel "SO(7) ADHM"
$$\phi_i$$
 : $(\bar{\mathbf{k}}, \bar{4})$ $A_{\mu}, \lambda_0, \lambda$: $\mathcal{N} = (0, 4) U(k)$ vector multipletExtra chiral multiplet to make
it a novel "SO(7) ADHM" ϕ_i : $(\bar{\mathbf{k}}, \bar{4})$ q_i, \tilde{q}^i : $(\mathbf{k}, \bar{4}) + (\bar{\mathbf{k}}, 4)$ λ : $(\mathrm{anti}, 1)$ $\hat{\lambda}$: $(\mathrm{sym}, 1)$ a, \tilde{a} : $(\mathrm{adj}, 1)$ $\hat{\lambda}$: $(\mathrm{sym}, 1)$

Extra 2d field induced Ψ_i : $(\mathbf{k}, \mathbf{1})$ by 6d hypers in 8 $\tilde{\Psi}_i$: $(\bar{\mathbf{k}}, \mathbf{1})$ (i = 1, 2)

 $8
ightarrow 4 + ar{4}$

Can Higgs SO(7) to G_2 with one 7. Further Higgsing to our alternative SU(3) ADHM.

Applications [H.-C. Kim, J. Kim, SK, J. Park]

- **Application 1**: Reduce to 1d. ADHM-like QM for exceptional instantons
- G_2 instantons, & SO(7) w/ matters in spinor rep.
- 1d Witten indices: e.g. one G₂ instanton

$$\oint \frac{d\phi}{2\pi i} \frac{2\sinh\epsilon_{+} \cdot 2\sinh\phi \cdot 2\sinh(\epsilon_{+} - \phi) \cdot 2\sinh\frac{\epsilon_{+} + \phi}{2}}{2\sinh\frac{\epsilon_{+} \pm (u - v_{1,2,3})}{2} \cdot 2\sinh\frac{\epsilon_{+} - \phi - v_{1,2,3}}{2}} \cdot \frac{1}{2\sinh\frac{\epsilon_{+} \pm \phi}{2}}$$

$$\sum_{i=1}^{3} \frac{2\sinh(2\epsilon_{+} - v_{i}) \cdot 2\sinh\frac{v_{i}}{2}}{\prod_{j(\neq i)} 2\sinh\frac{v_{ij}}{2} \cdot 2\sinh\frac{2\epsilon_{+} - v_{ij}}{2} \cdot 2\sinh\frac{2\epsilon_{+} + v_{j}}{2}} \cdot \frac{1}{2\sinh\frac{v_{i}}{2} \cdot 2\sinh\frac{2\epsilon_{+} - v_{i}}{2}}$$

$$t^{\frac{3}{2}}(1 + t)(1 + t\gamma_{-}^{G_{2}}(v) + t^{2}) = 3\sum_{i=1}^{\infty} - c$$

One (k=1) G_2 instanton partition function from 1d gauge theory

 $=\frac{t^{\frac{3}{2}}(1+t)(1+t\chi_{7}^{G_{2}}(v)+t^{2})}{\prod_{i< j}(1-te^{-v_{ij}})(1-te^{v_{ij}})} = t^{\frac{3}{2}}\sum_{n=0}^{\infty}\chi_{(0,n)}^{G_{2}}(v)t^{n}$ [Cremonesi, Ferlito, Hanany, Mekareeya] (2014)

- A strength of our approach: can add the effects of hypermultiplet matters in 7
- Application 2: 6d self-dual strings of "exotic atoms"

base	3,2	3, 2, 2	2, 3, 2
gauge symmetry	$G_2 \times SU(2)$	$G_2 \times Sp(1) \times \{0\}$	$SU(2) \times SO(7) \times SU(2)$
matters	$rac{1}{2}(7+1,2)$	$rac{1}{2}(7+1,2)$	$rac{1}{2}(2,8,1)+rac{1}{2}(1,8,2)$

- They all contain the base '3' : either G_2 with one 7 or SO(7) with two 8's
- With these atoms, one can study the strings of $E_7 \times E_7$ conformal matter

Х

 E_7

 E_7

Concluding remarks

- 6d CFTs are hard. Even the 2d QFTs on solitons are hard for many 6d theories.
- We are getting solid clues on 2d gauge theories on self-dual strings:
- related to exceptional instantons' ADHM-like descriptions
- Using tensor branch observables for CFT physics at symmetric phase? (e.g. S⁵ x S¹ index)
- Extension to other exceptional instantons...? (reduced UV symmetry, ...)
- For an exceptional group G_r of rank r, we are seeking for ADHM-like UV gauge theories, which exhibit only SU(r+1) subgroup as its UV symmetry.
- $SU(3) \subset G_2$ (already discovered), $SU(8) \subset E_7$, $SU(9) \subset E_8$ (trying similar constructions).
- Cancelation of 2d gauge anomalies, 2d global anomalies, Witten index/elliptic genus...

- Our 2d CFTs = 4d Argyres-Douglas theories on S^2 : see also [Del Zotto, Lockhart] (2016)
- More insight on the self-dual strings from AD theories? [Maruyoshi, Song] (2016)