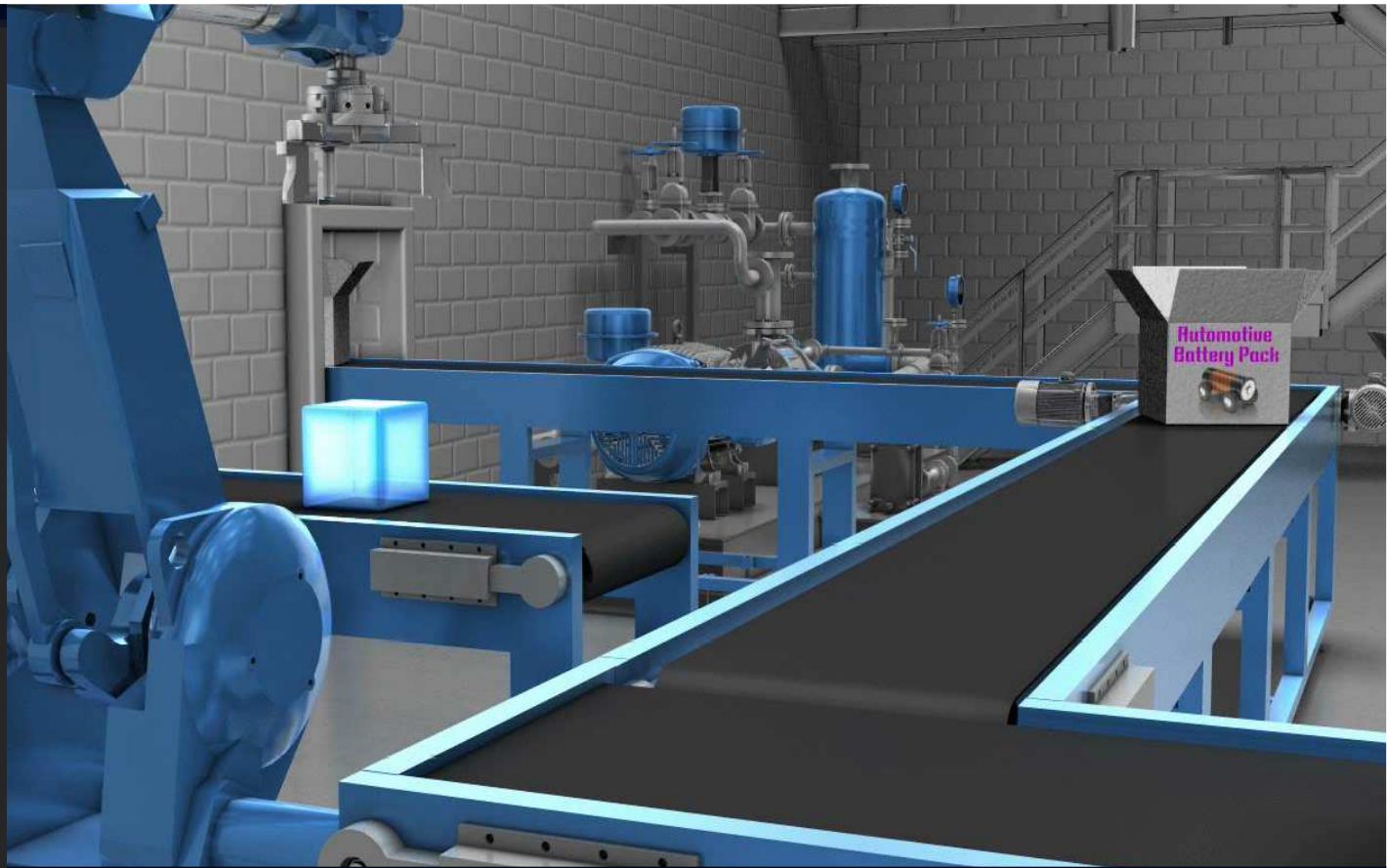




College on Multiscale
Computational Modeling of
Materials for
Energy Applications

ICTP, Trieste, Italy
July 11, 2016



Engineering the Next Generation Rechargeable Batteries: A Multiscale Modeling Perspective I

Prof. Alejandro A. Franco

LRCS, Université de Picardie Jules Verne & CNRS – Amiens, France

Réseau sur le Stockage Electrochimique de l'Energie (RS2E)

ALISTORE European Research Institute



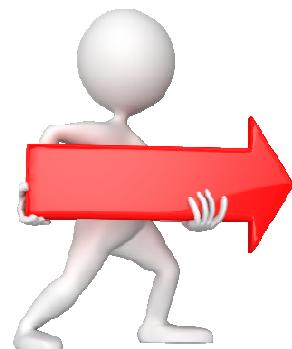
LABORATOIRE DE REACTIVITE ET CHIMIE DE SOLIDES



LRCS (UMR UPJV/CNRS 7314) \cong 80 people

(Director: M. Morcrette)

- ***Electrochemical conversion and storage***
- Solid state materials chemistry
- Nanostructured materials
- Advanced characterization techniques
- Theory



→ LRCS (Prof. J.M. Tarascon): lead the foundation of the national **RS2E** network and of the **ALISTORE** European Research Institute.



Prof. Alejandro A. Franco

Engineering the Next Generation Rechargeable Batteries: a Multiscale Modeling Perspective I

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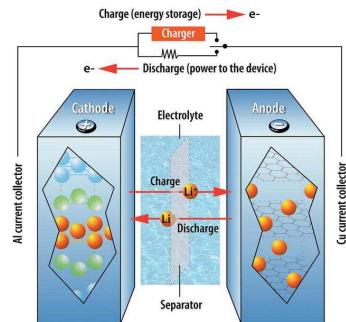




COMPUTATIONAL MULTISCALE MODELING @ LRCS

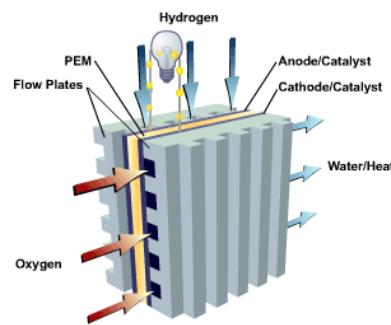
GENERAL GOAL
multiscale modeling for
understanding, predicting and improving

www.modeling-electrochemistry.com

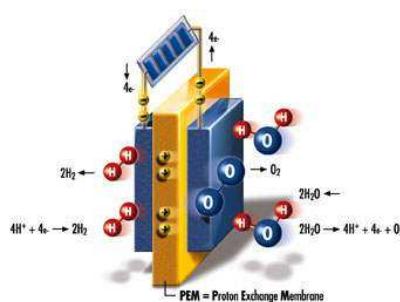


Rechargeable batteries

- ↳ Li-Ion
- ↳ Li-Air
- ↳ Li-Sulfur
- ↳ Redox Flow



PEM Fuel Cells



PEM Electrolyzers



From left to right: R. Zhao, Dr. M. Quiroga, A. Torayev, G. Shukla, Y. Yin, A. Geng, Prof. A..A Franco, M. Maiza, V. Thangavel, R. Andersson, C. Gaya, A. Shodiyev.



OUTLINE

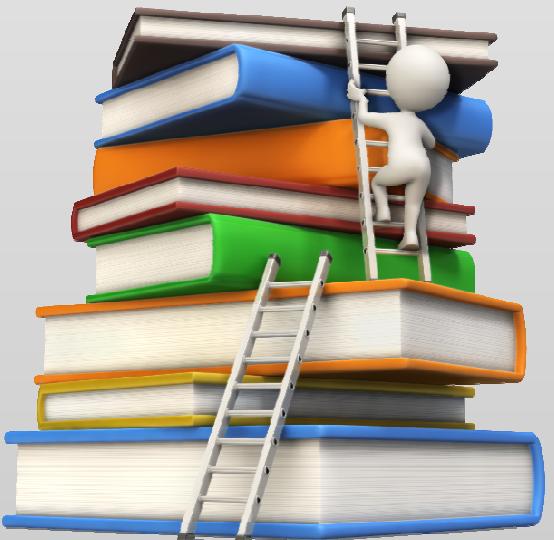
MULTISCALE MODELING:
DEFINITIONS & CONCEPTS

LITHIUM-O₂ BATTERIES:
TECHNICAL CHALLENGES

KINETIC MONTE CARLO
MODELING APPROACH

CONTINUUM
MODELING APPROACH

THOUGHTS ON
THEORY VS. EXPERIMENT



MULTISCALE MODELING: DEFINITIONS & CONCEPTS

LITHIUM-O₂ BATTERIES: TECHNICAL CHALLENGES

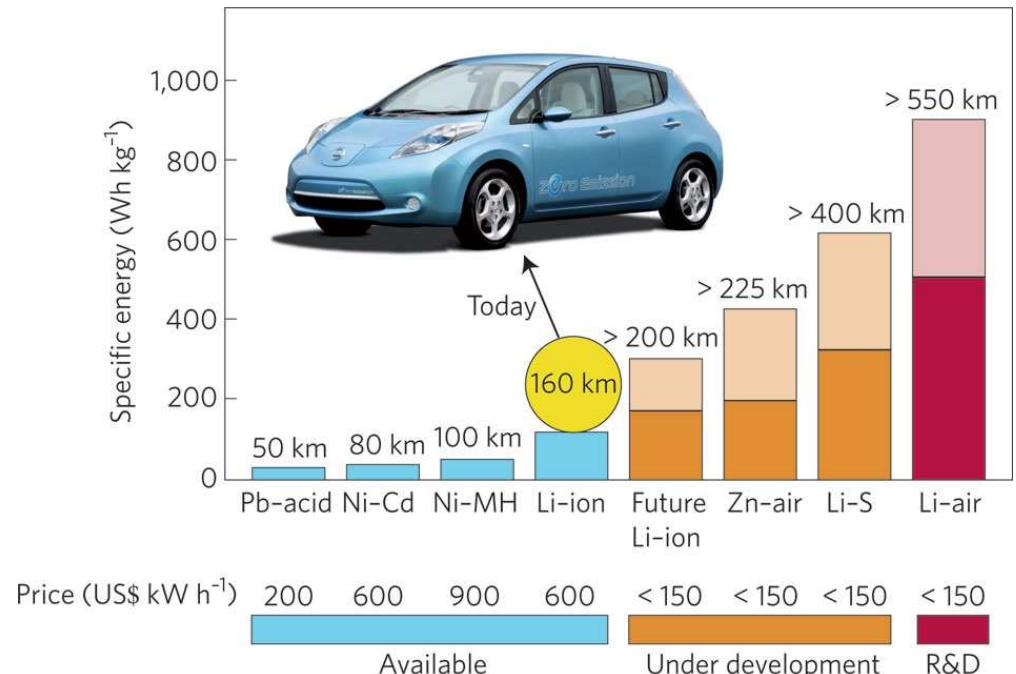
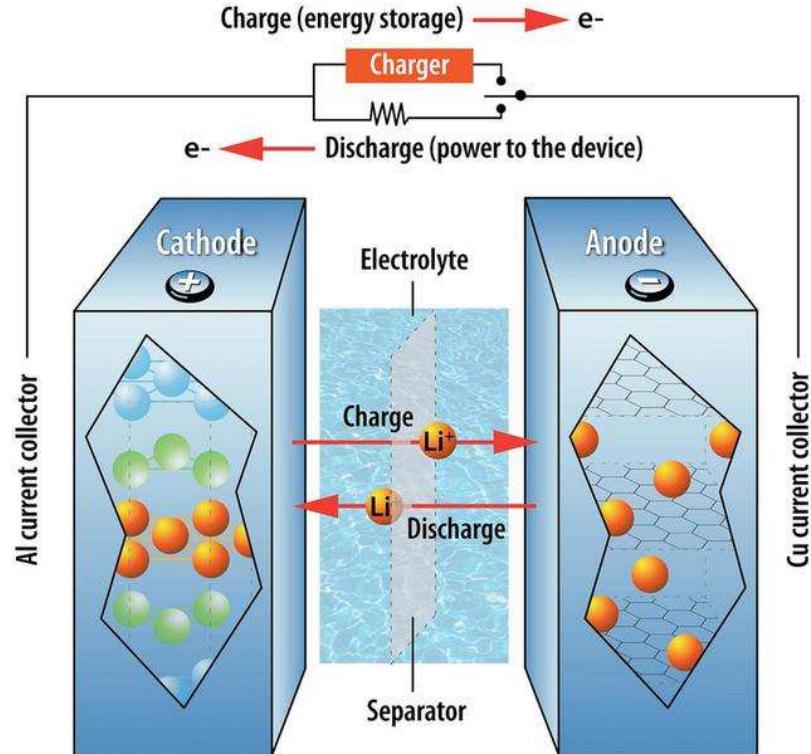
KINETIC MONTE CARLO MODELING APPROACH

CONTINUUM MODELING APPROACH

THOUGHTS ON THEORY VS. EXPERIMENT



BATTERIES FOR AUTOMOTIVE APPLICATIONS



P.G. Bruce, S.A. Freunberger, L.G. Hardwick, J.M. Tarascon,
Nature Materials 11 (2012) 19 .



Prof. Alejandro A. Franco

Engineering the Next Generation Rechargeable Batteries: a Multiscale
 Modeling Perspective I

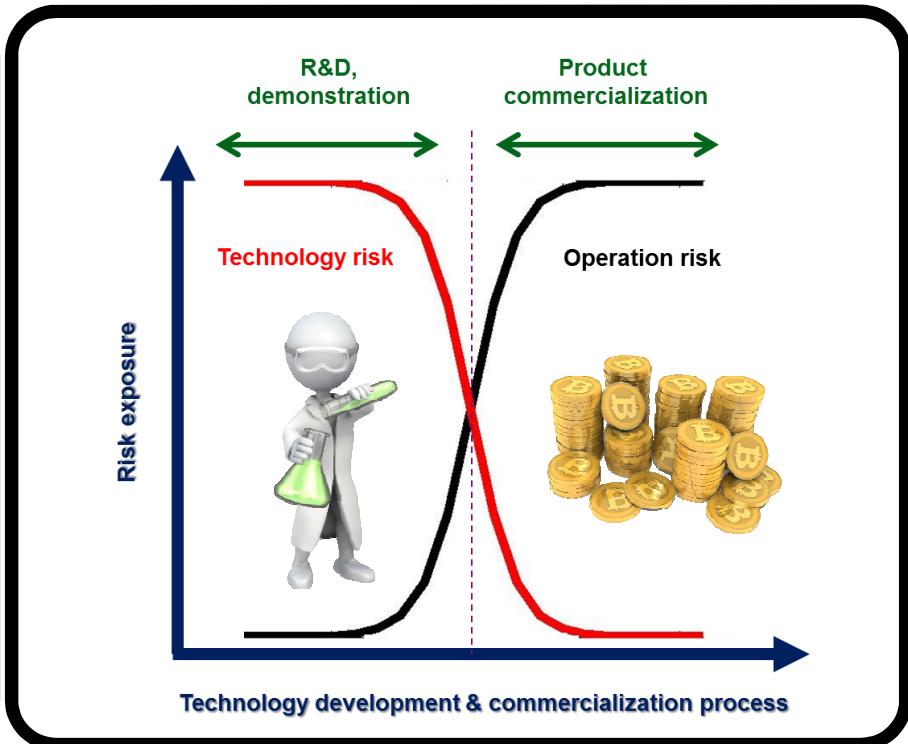
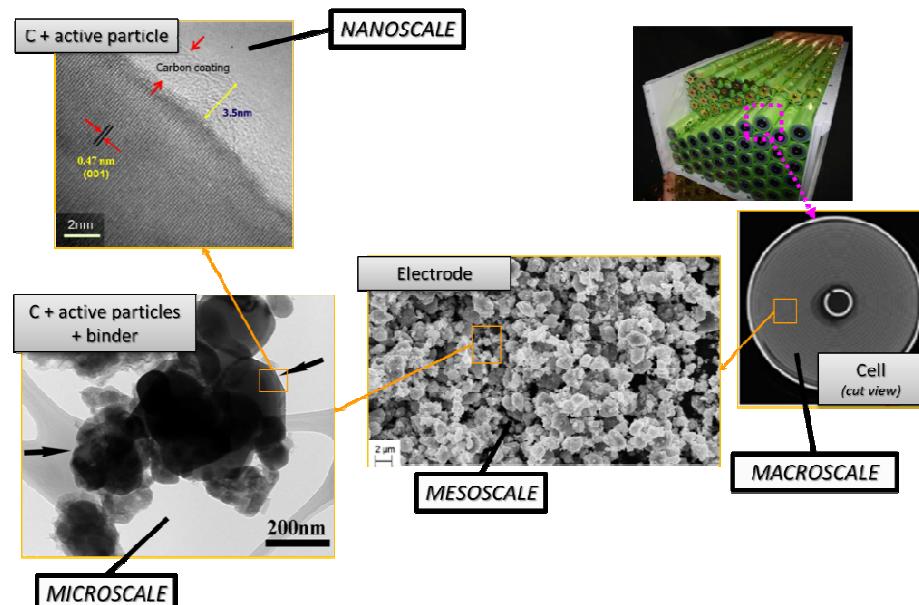
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BATTERY FUNCTIONAL COMPLEXITY

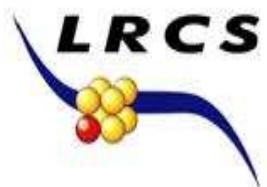
Example: lithium ion battery



→ ELECTROCHEMISTRY, TRANSPORT, THERMO-MECHANICS

A.A. Franco, RSC Adv. **3** (2013) 13027.

A.A. Franco in: Encyclopedia of Applied Electrochemistry, G. Kreysa, K. Ota, R. F. Savinell (Eds.), Springer, New York (2014).

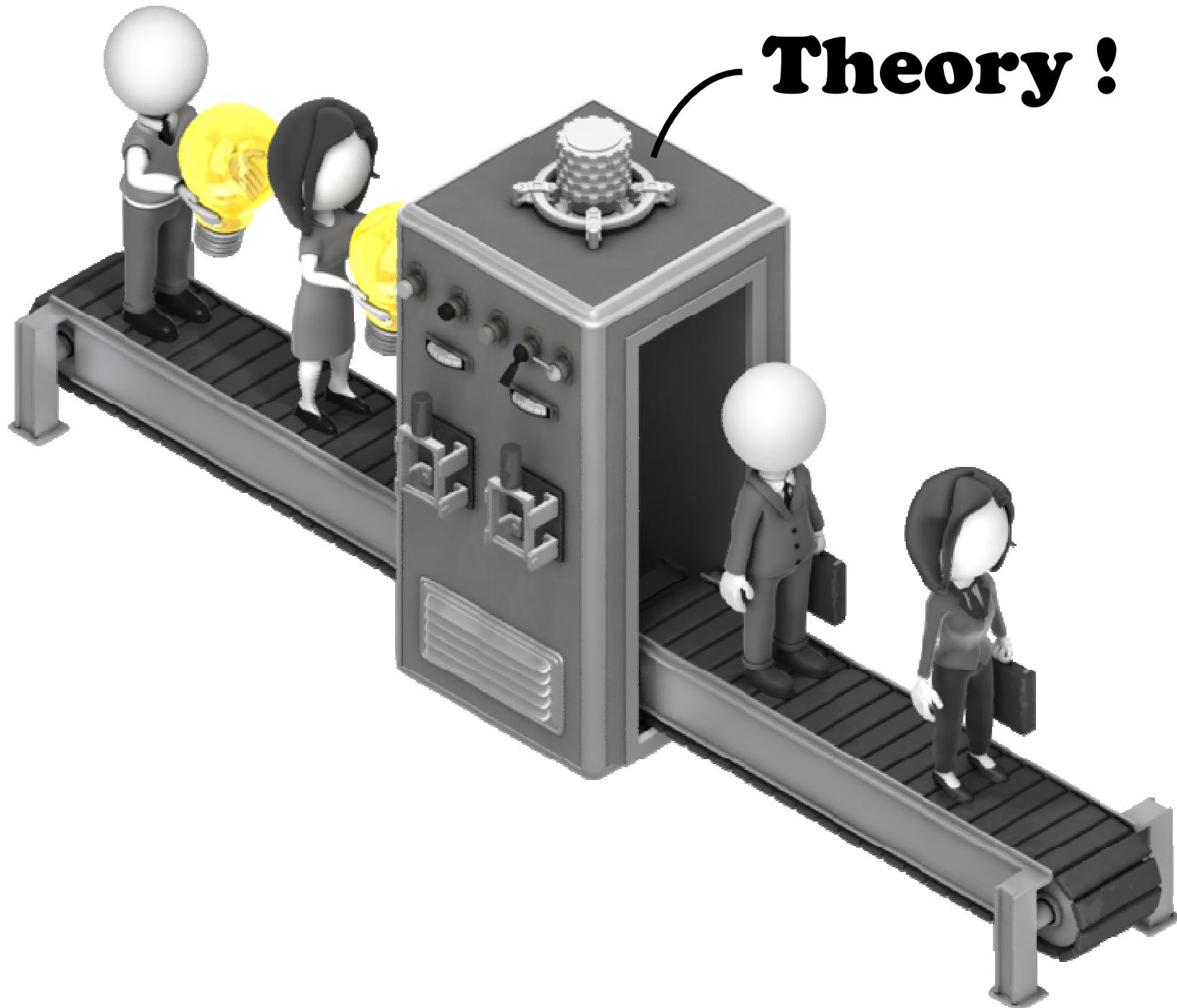


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Engineering the Next Generation Rechargeable Batteries: a Multiscale Modeling Perspective I

College on Multiscale Computational Modeling of Materials for Energy
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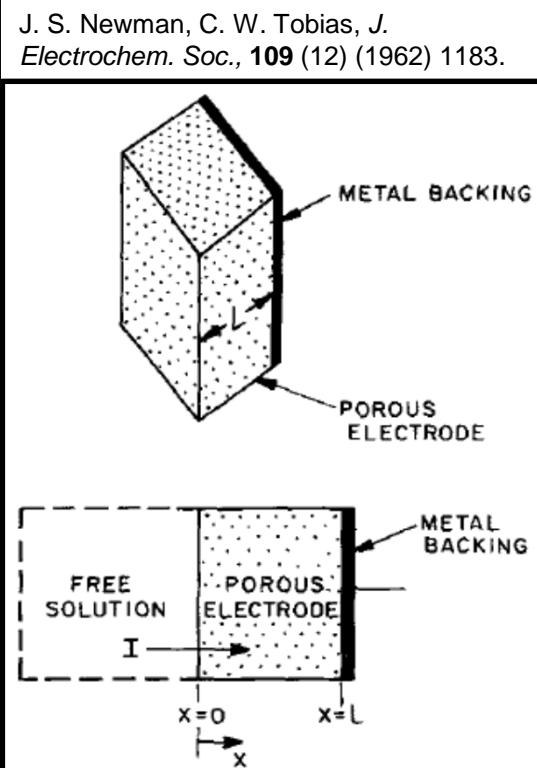
BATTERY MODELING OVER THE LAST 60 YEARS

- Growing very significantly since the **early 1990s**.

P
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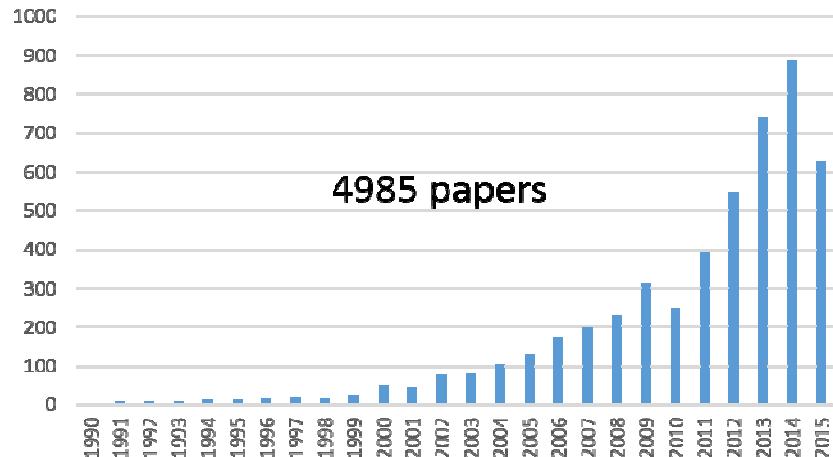
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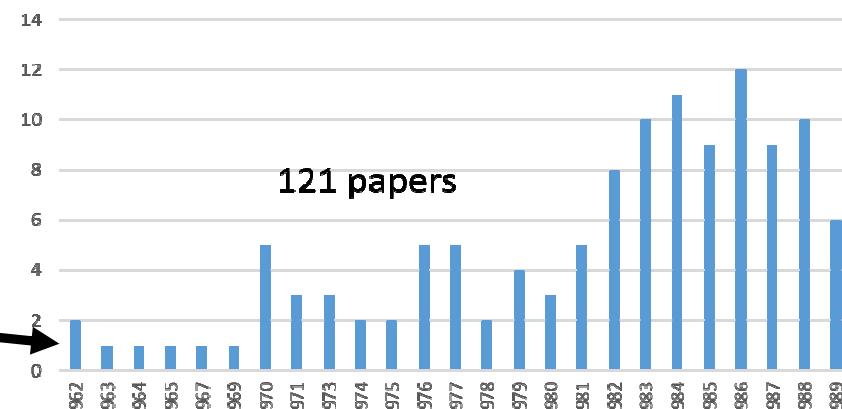


J. S. Newman, C. W. Tobias, J.
Electrochim. Soc., **109** (12) (1962) 1183.

1990-2015



1960-1989



Prof. Alejandro A. Franco

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www.cnrs.fr

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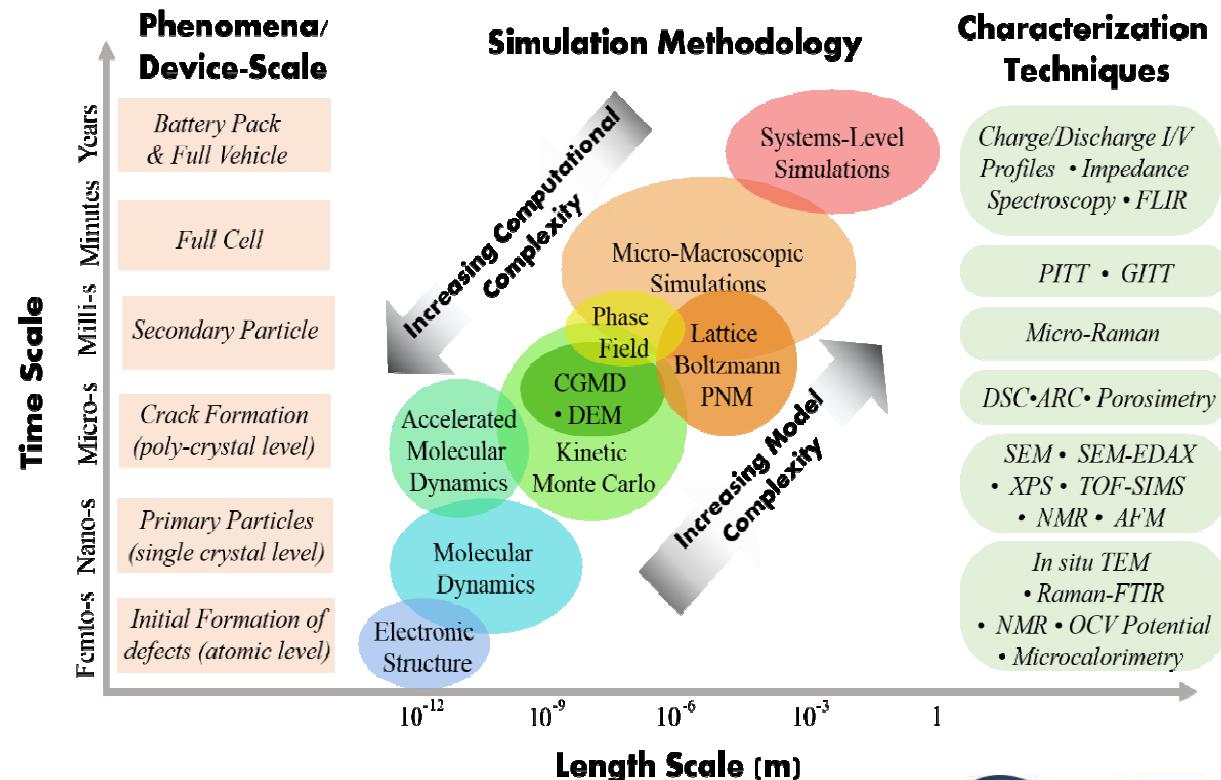




COMPUTATIONAL MODELING: OVERALL PICTURE

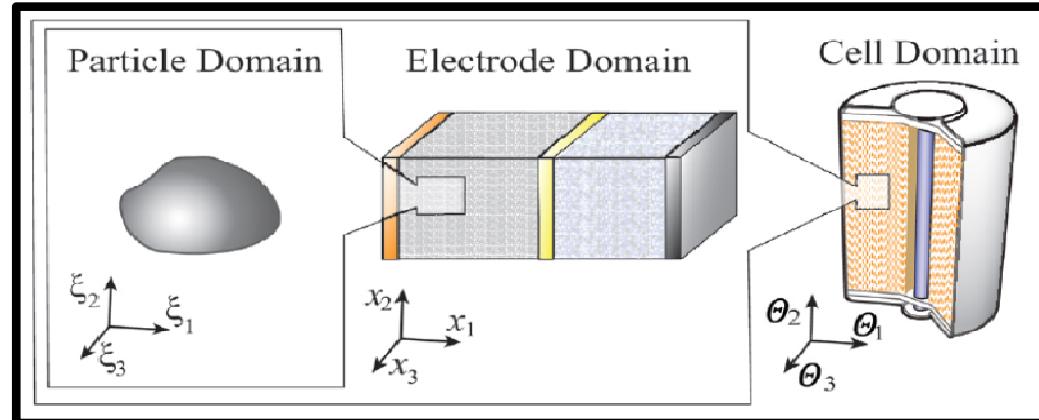
Method(s) choice

- ↪ Compromise between computational & model complexity;
- ↪ Easiness to compare model outputs with experimental data.





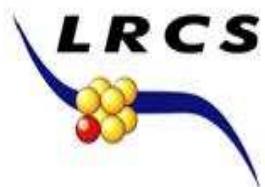
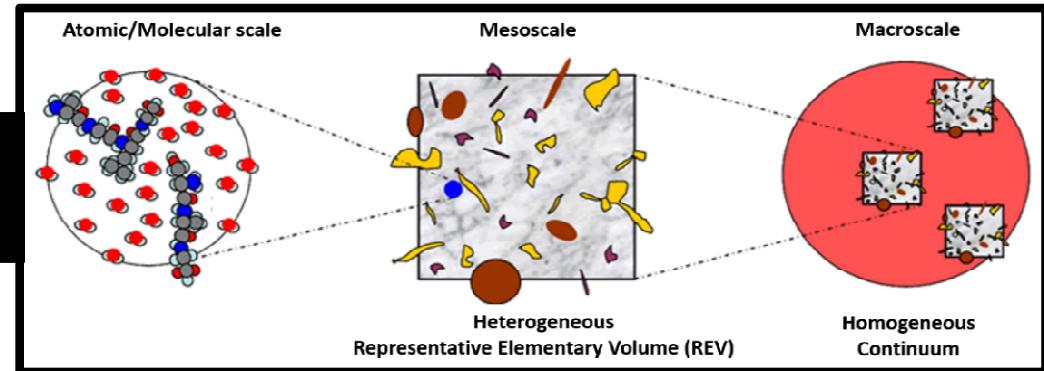
“MULTI-SCALE” MODELS OF BATTERIES



Scales
segregation

K. Kim et al., *J. Electrochem. Soc.*, **158** (8) (2011) A955.

Scales
homogenization



Prof. Alejandro A. Franco

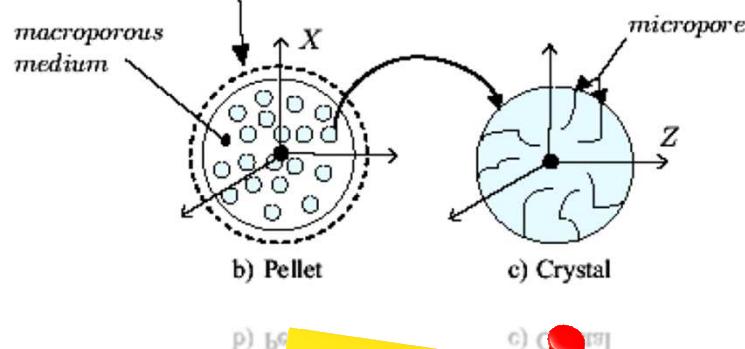
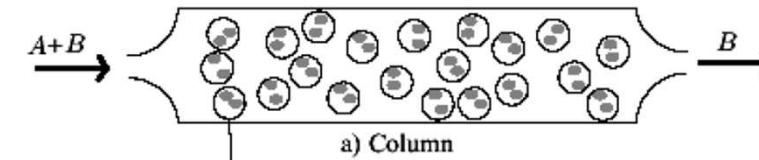
Engineering the Next Generation Rechargeable Batteries: a Multiscale
Modeling Perspective I

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AN EXAMPLE OF A MULTISCALE MODEL (FULLY CONTINUUM)



Example: diffusion in multiple scales and volume reactions within the crystal

$$\frac{\partial C_i^{Column}}{\partial t} + \nabla_y \cdot J_i^{Column} = S_i^{Column/Macrop} = \gamma J_i^{Macrop}$$

$$\frac{\partial C_i^{Macrop}}{\partial t} + \nabla_x \cdot J_i^{Macrop} = S_i^{Macrop/Microp} = \gamma' J_i^{Microp}$$

$$\frac{\partial C_i^{Microp}}{\partial t} + \nabla_z \cdot J_i^{Microp} = \sum_i v_i$$

$$v_i = k_i \prod_j C_j^{Microp} - k_{-i} \prod_{j'} C_{j'}^{Microp}$$

Single paradigm
multiscale
model

LRC



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chargeable Batteries: a Multiscale
Modeling of Materials for Energy

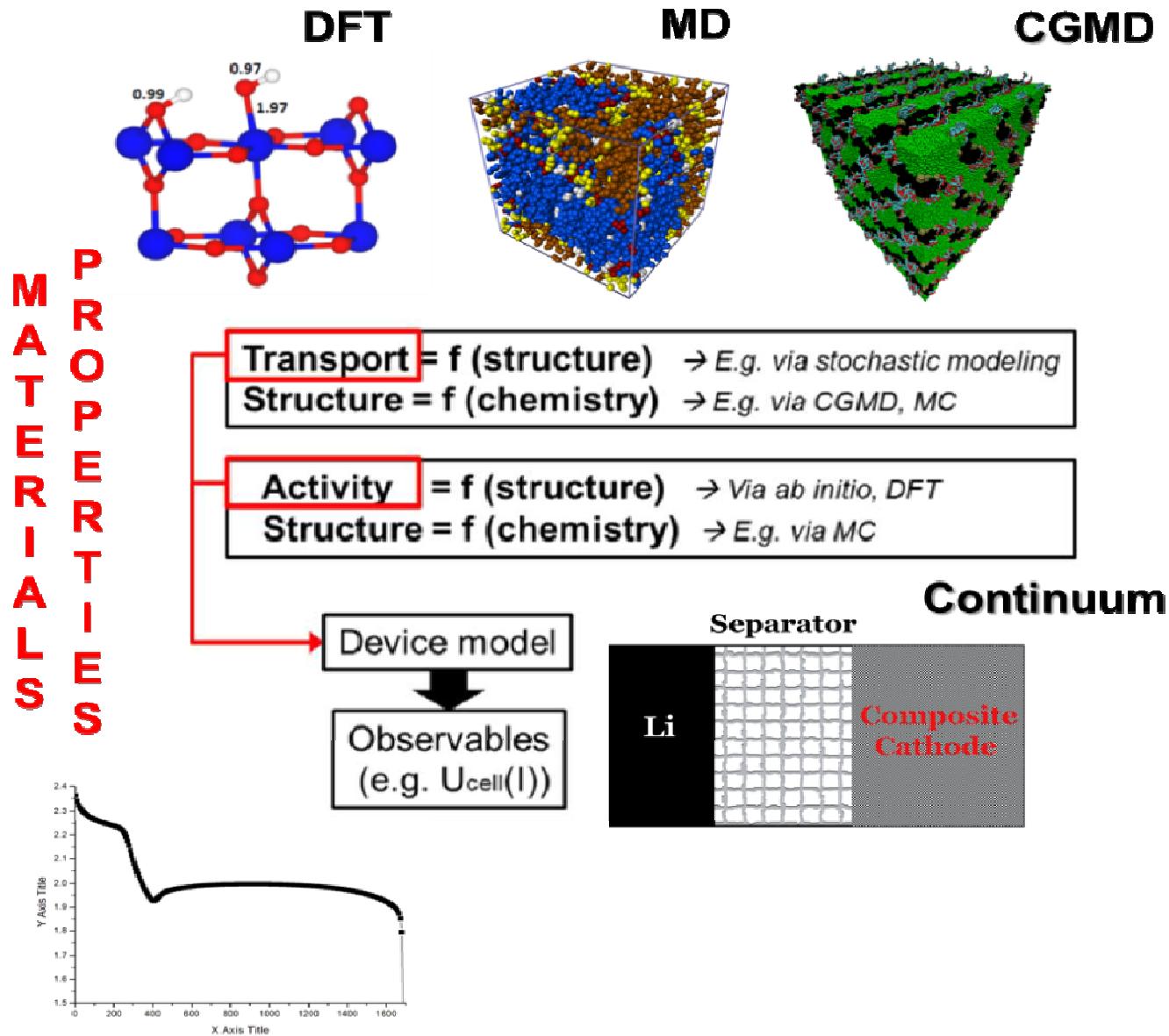


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Jules Verne



MULTI-PARADIGM MULTI-SCALE MODELS (MMM): WORKFLOWS

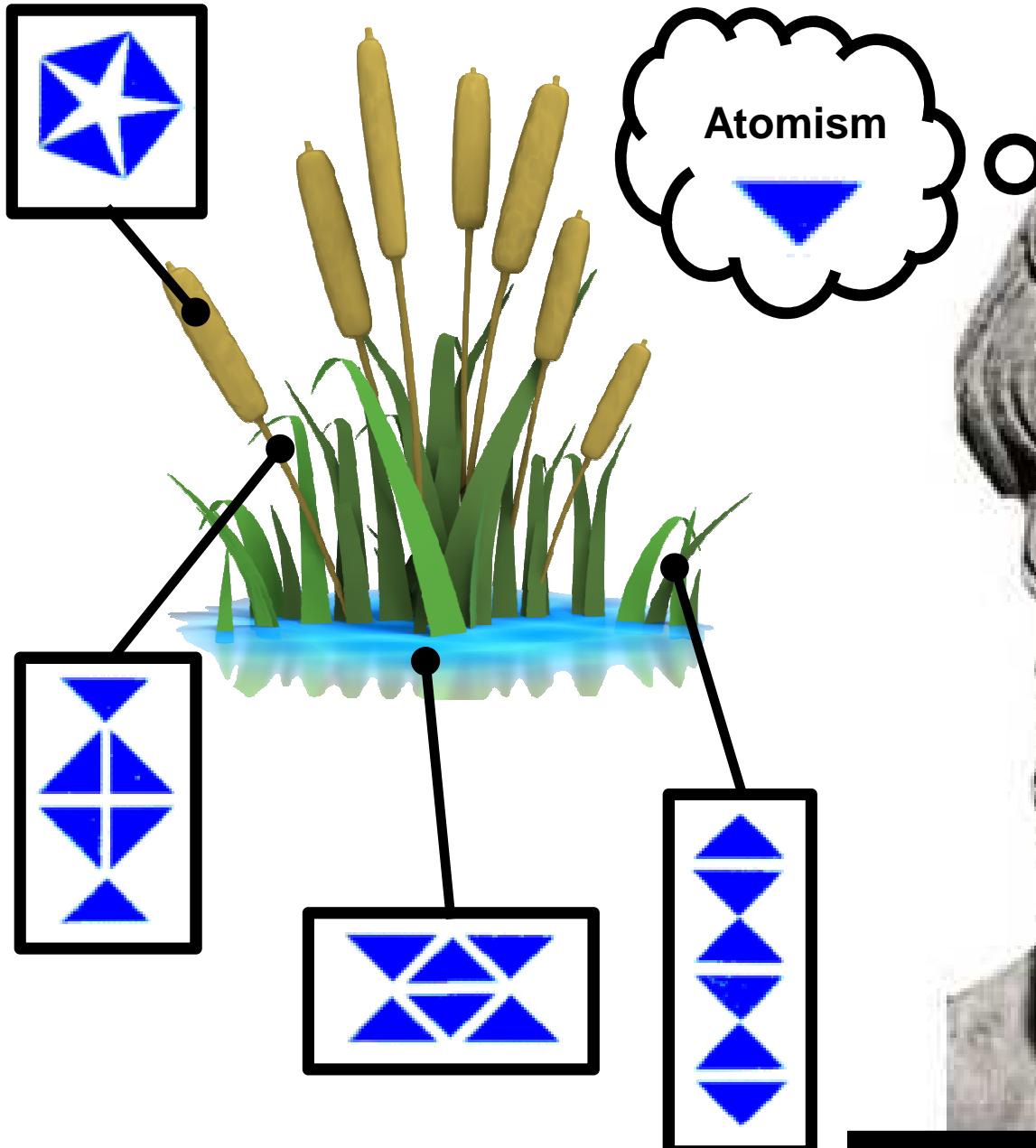


DATABASES



ON-THE-FLY COUPLING





DEMOCRITUS
(460 BC – 370 BC)

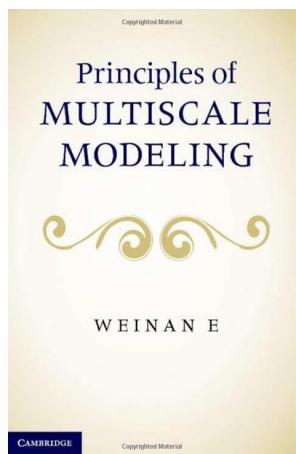


MULTI-SCALE MODELING IN PRACTICE

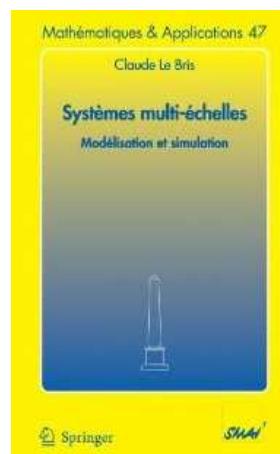
Multi-scale Modeling.

- ↳ It attracted a growing interest since beginning of the 2000s.
- ↳ Applications: weather forecasting, nuclear energy, geology, biology...

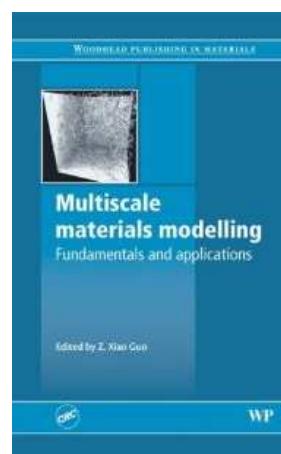
2003



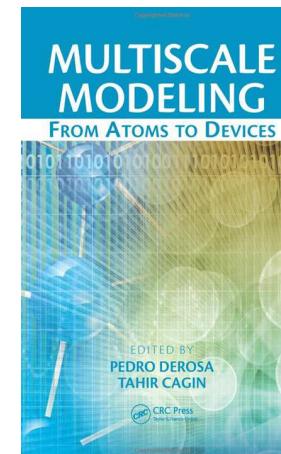
2005



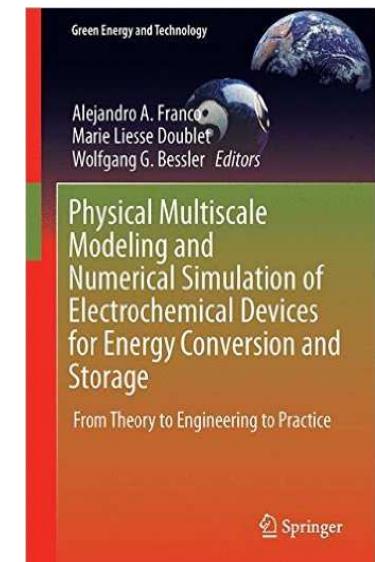
2007



2011



2015



First full book on battery applications!

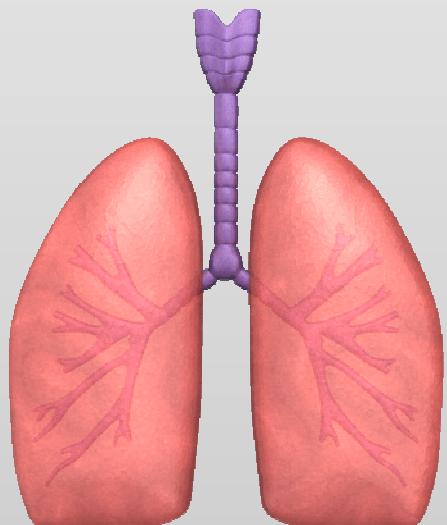


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MULTISCALE MODELING: DEFINITIONS & CONCEPTS

LITHIUM-O₂ BATTERIES: TECHNICAL CHALLENGES

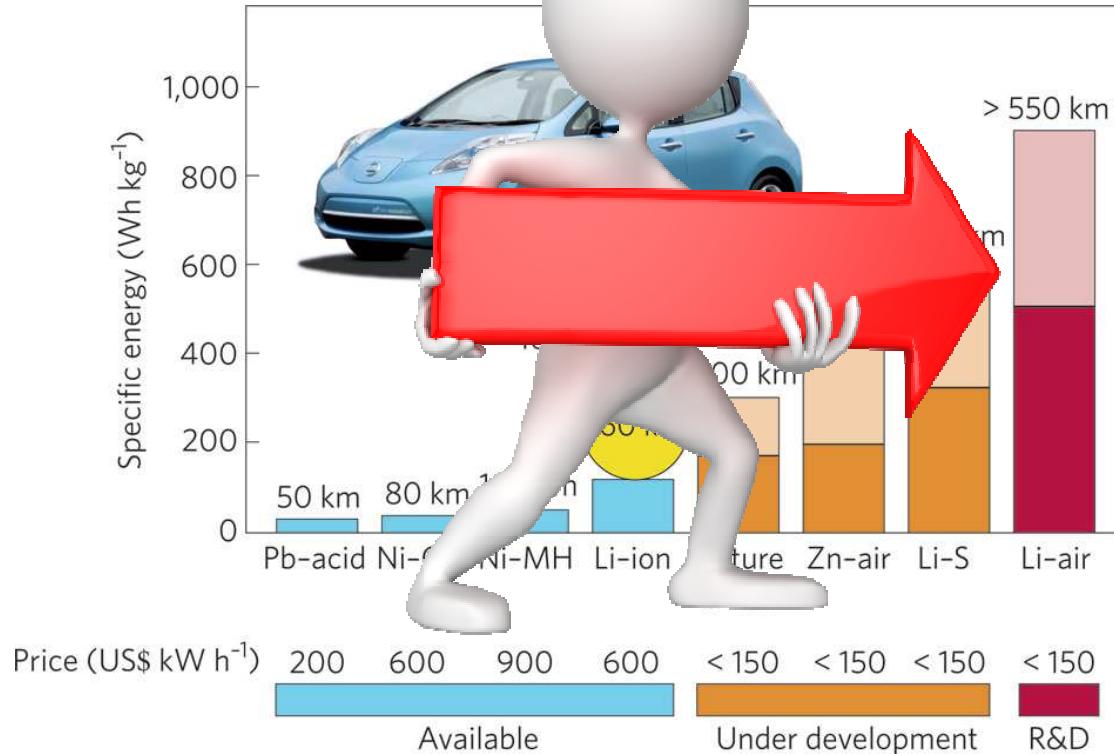
KINETIC MONTE CARLO
MODELING APPROACH

CONTINUUM
MODELING APPROACH

THOUGHTS ON
THEORY VS. EXPERIMENT

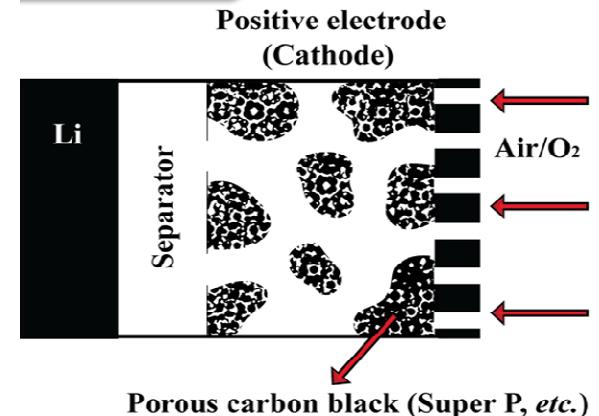


LITHIUM AIR BATTERIES (LAB) FOR AUTOMOTIVE APPLICATIONS?

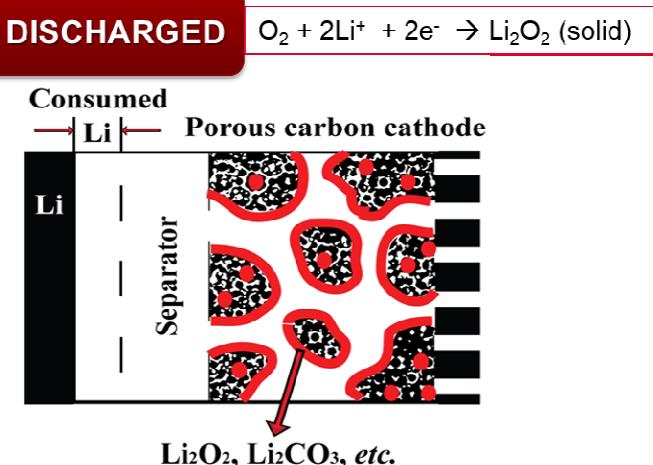


P.G. Bruce, S.A. Freunberger, L.G. Hardwick, J.M. Tarascon,
Nature Materials **11** (2012) 19 .

CHARGED



DISCHARGED





LAB: A BRIEF HISTORY

K.M.Abraham

1996 First introduction of Li-air battery with polymer electrolyte

J. Read

2002 Employ organic electrolytes
O₂ solubility and transport

P.G.Bruce

2006 Successful operation of rechargeable Li-O₂ battery

2012 Good cyclability with porous gold electrode

S.H. Yang

O₂ reduction mechanism
Li₂O₂ morphology dependence
Li₂O₂ conductivity
Stability of electrolyte

A.C. Luntz

.....

...

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College on Multiscale Computational Modeling of Materials for Energy
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LAB: A BRIEF PREHISTORY

K.M.Abraham

1996 First introduction of Li-air battery with polymer electrolyte

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 O_2 solubility and transport

P.G.Bruce

2006 Successful operation of rechargeable $Li-O_2$ battery

2012 Good cyclability with porous gold electrode

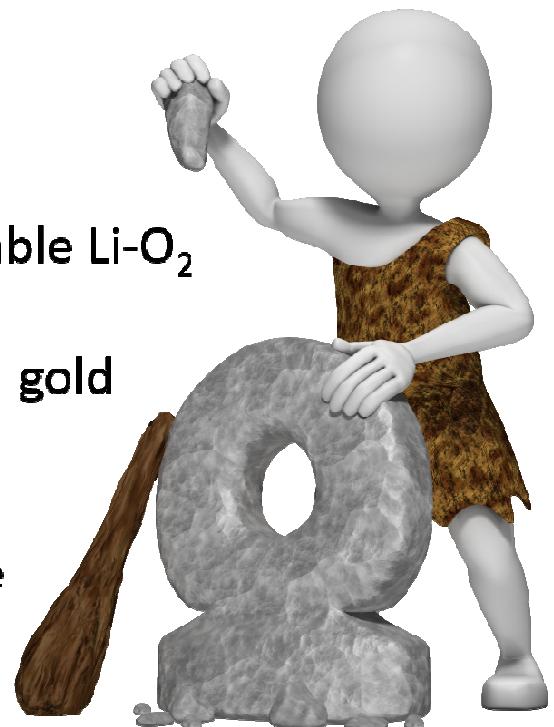
S.H. Yang

O_2 reduction mechanism
 Li_2O_2 morphology dependence
 Li_2O_2 conductivity
Stability of electrolyte

A.C. Luntz

.....

...



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CHALLENGES...



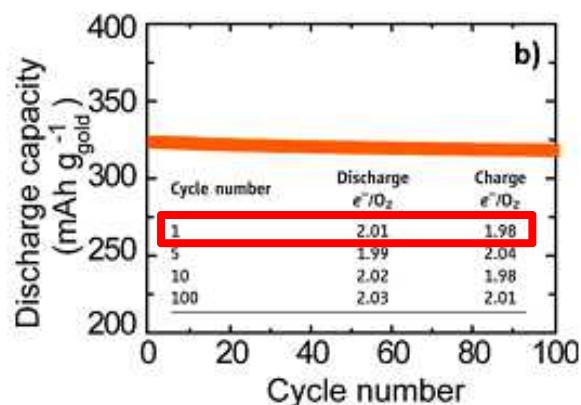


CHALLENGE I: REPRODUCIBILITY BETWEEN GROUPS

Inspired by Peng et al. and Thotiyil et al.'s results, we have tried to duplicate these experiments as closely as possible, i.e., with a Li metal anode, a thick 15 μm NPG cathode, or a TiC cathode similar to the one by Thotiyil et al., and LiTFSI/DMSO as the electrolyte. Figure 22 presents our results for oxygen reduction and evolution during the first galvanostatic cycle on the NPG and TiC cathodes. Unfortunately, these studies were unable to reproduce the spectacular results obtained by Peng et al. and Thotiyil et al. for reasons that remain unclear. Using

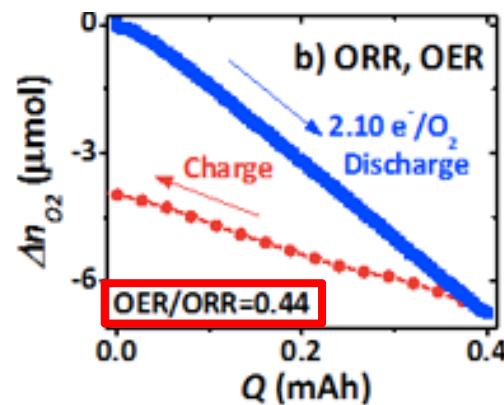
A.C. Luntz, B.D. McCloskey, *Chem. Rev.* **114** (2014) 11721.

→ Ratio of the number of electrons to oxygen molecules upon discharge and charge.



Z. Peng *et al. Science* **337** (2012) 563.

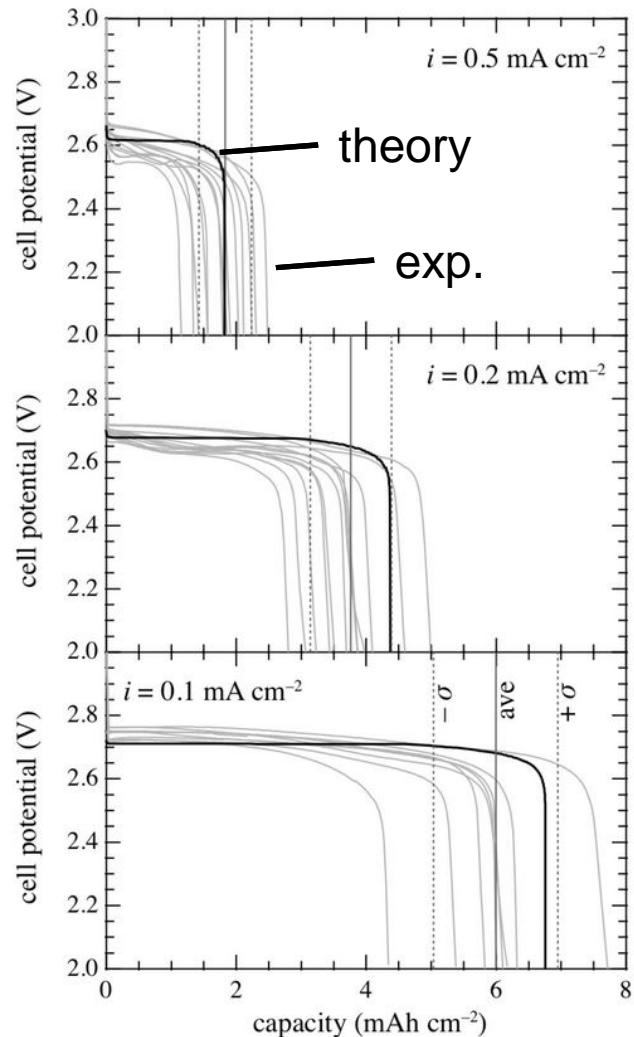
V.S.



A.C. Luntz, B.D. McCloskey, *Chem. Rev.* **114** (2014) 11721.



CHALLENGE II: REPRODUCIBILITY WITHIN ONE GROUP



→ Even under same operation conditions, the obtained results could have some dispersion !

J. Liu *et al.* Abstract 581 , Conference on Electrochemical Energy Conversion & Storage with SOFC-XIV (July 26-31, 2015)

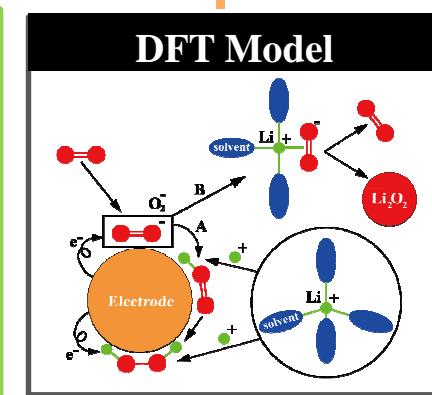
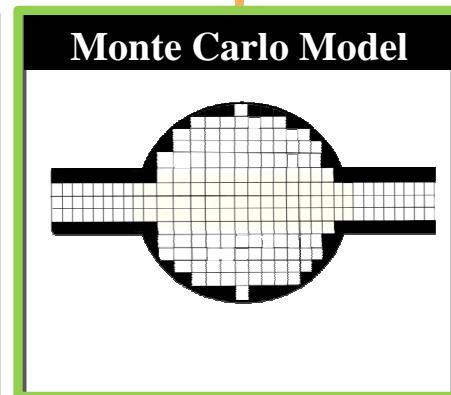
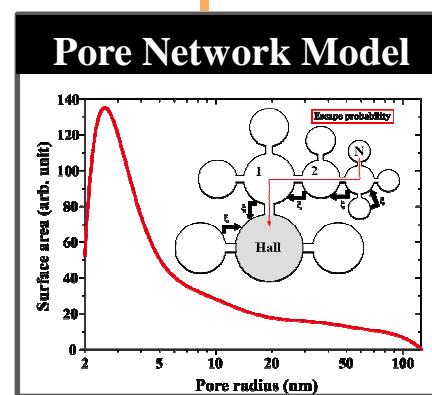
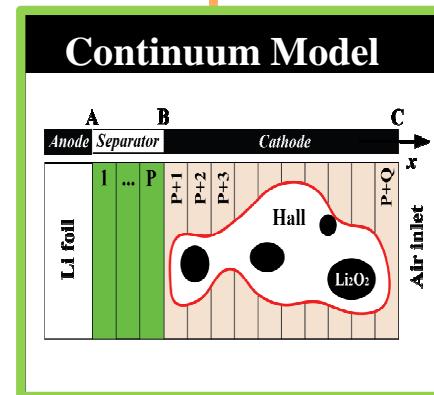


OUR MULTISCALE MODELING APPROACH

A.A. Franco, RSC Adv. 3 (2013) 13027.

A.A. Franco, in: Encyclopedia of Applied Electrochemistry, G. Kreysa, K. Ota, R. F. Savinell (Eds.), Springer, New York (2014).

A.A. Franco in: A.A. Franco, M.L. Doublet, W. Bessler, Eds., *Physical Multiscale Modeling and Numerical Simulation of Electrochemical Devices for Energy Conversion and Storage*, Springer London (2016).



Cell

Electrode Microstructure

Reaction



Prof. Alejandro A. Franco

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MULTISCALE MODELING: DEFINITIONS & CONCEPTS

LITHIUM-O₂ BATTERIES: TECHNICAL CHALLENGES

KINETIC MONTE CARLO MODELING APPROACH

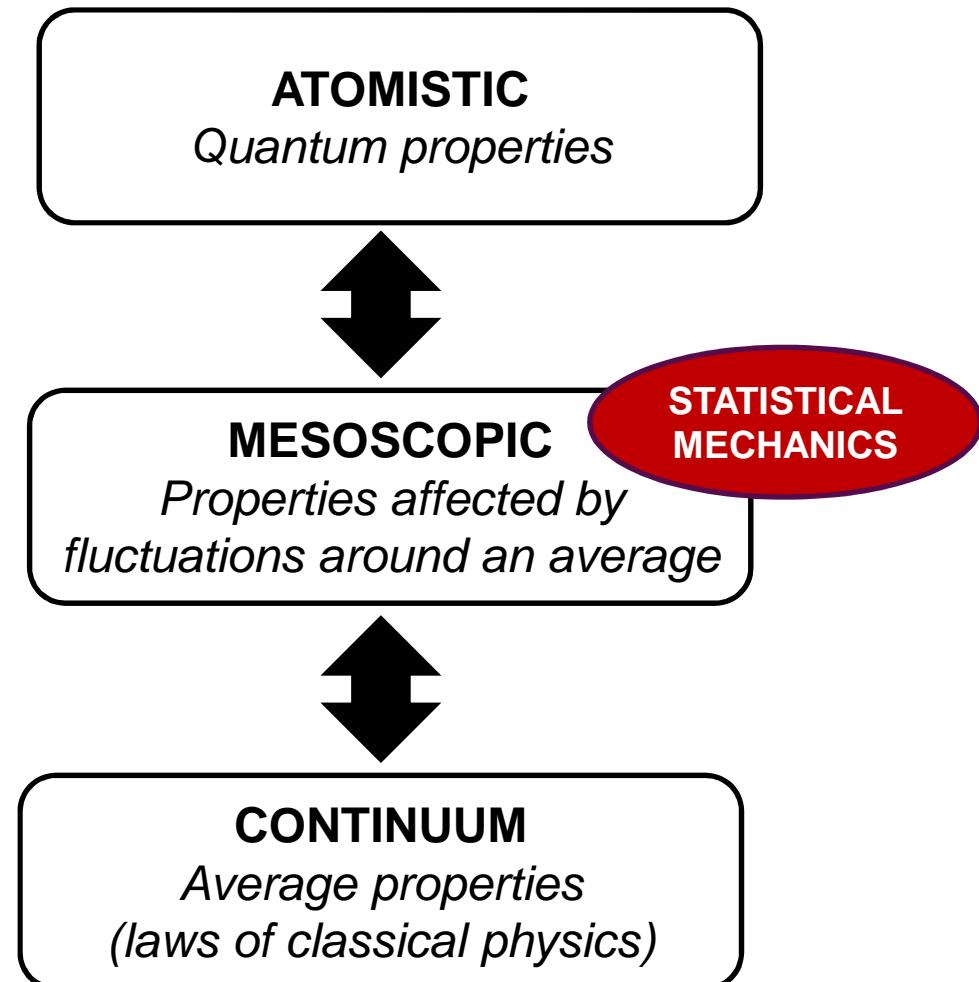
CONTINUUM MODELING APPROACH

THOUGHTS ON THEORY VS. EXPERIMENT



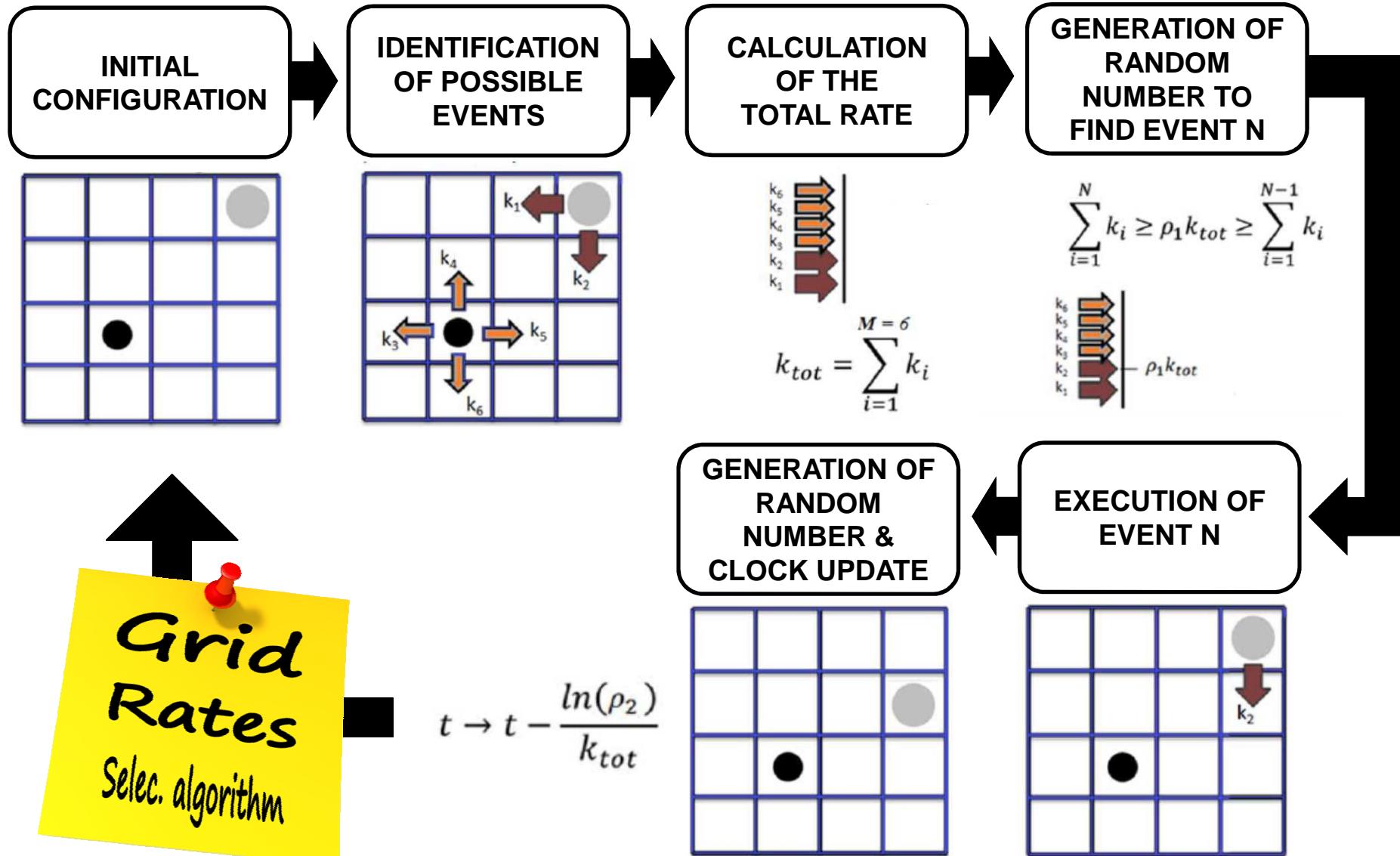
KINETIC MONTE CARLO: MESOSCOPIC VIEWPOINT

→ Mesoscopic viewpoint: between the size of a quantity of atoms and some micrometers.



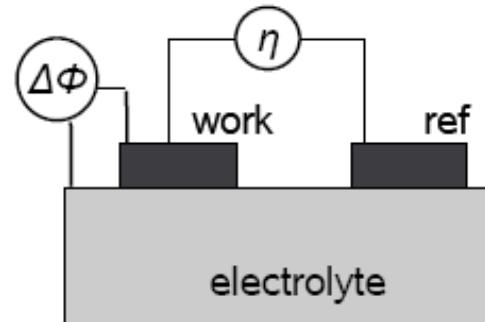


KINETIC MONTE CARLO: VARIABLE STEP SIZE METHOD

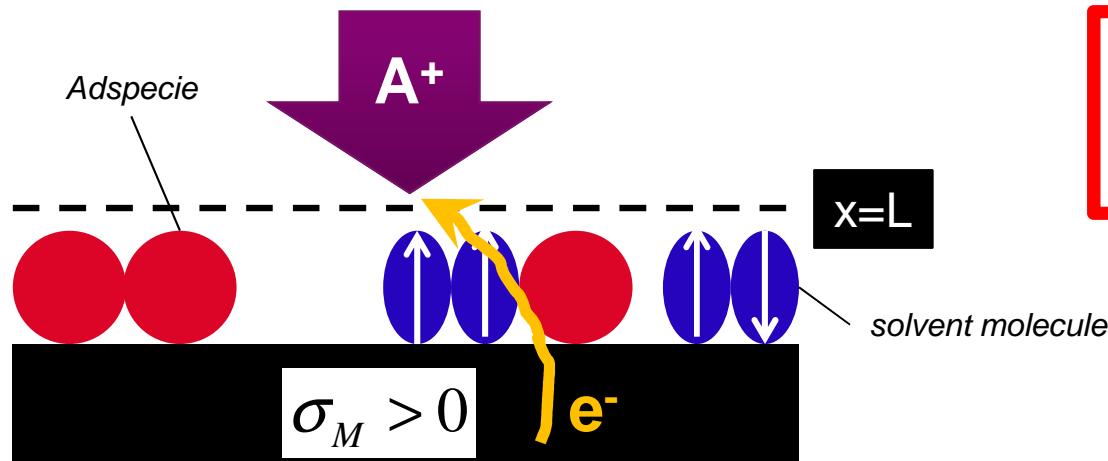




ELECTROCHEMISTRY CONDITIONS (1/2)



$$k_i \approx \exp\left(\frac{-E_{act} + f(\Delta\Phi)}{RT}\right)$$



$$f(\Delta\Phi) \approx \beta \Delta\Phi = \frac{d}{\epsilon_0} \left(\sigma_{free} - |\vec{P}| \right)$$

$$\sigma_{free} = \sigma_M + F n_{max} \sum_{ad-ions} z_j \theta_j$$

$$|\vec{P}| = \sum_{dipoles} \mu_{i\perp} \left(\overrightarrow{\theta}_i - \overleftarrow{\theta}_i \right)$$

$$(J - J_{Far}) dt_{kMC} = d\sigma_M$$

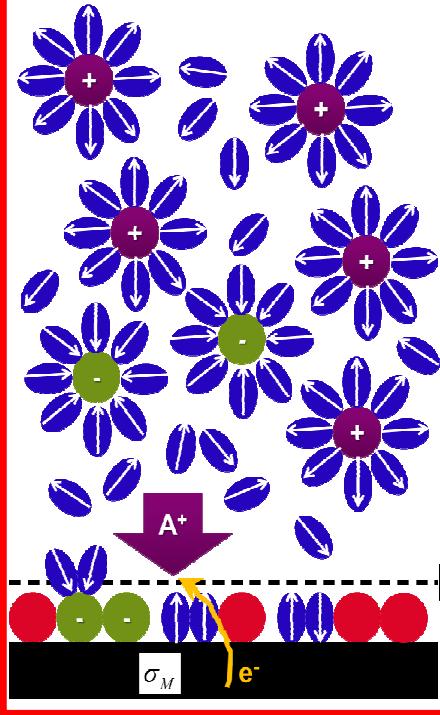
M.A. Quiroga, A.A. Franco, *J. Electrochem. Soc.*, **162** (7) (2015) E73.



ELECTROCHEMISTRY CONDITIONS (2/2)

DIFFUSION QUANTUM INTERACTIONS ELECTROMIGRATION SOLVENT SCREENING FINITE SIZE

$$\frac{\partial c_i}{\partial t} = \underbrace{B_i \nabla^2 c_i}_{\text{DIFFUSION}} + \underbrace{\frac{B_i}{k_B T} \vec{\nabla} \cdot (c_i \vec{\nabla} a_i^j c_i c_j)}_{\text{QUANTUM INTERACTIONS}} + \underbrace{\frac{B_i}{k_B T} zF \vec{\nabla} \cdot (c_i \vec{\nabla} \varphi)}_{\text{ELECTROMIGRATION}} + \underbrace{F \nabla (c_i \vec{p} \cdot \vec{\nabla} \varphi)}_{\text{SOLVENT SCREENING}} + f_1(\beta_l, c_l) + f_2(\beta_l, c_l)$$



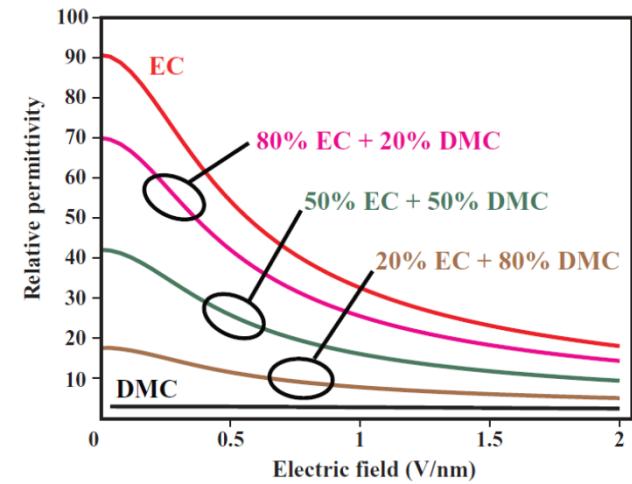
CLASSICAL POISSON'S EQUATION

SOLVENT POLARIZATION EFFECT

$$\epsilon_0 \nabla \cdot (-\nabla \varphi) = F \sum_i z_i C_i - F \sum_s [(\vec{\nabla} \cdot \vec{p}_s) c_s + \vec{p}_s \cdot \vec{\nabla} c_s]$$

LANGEVIN FUNCTION

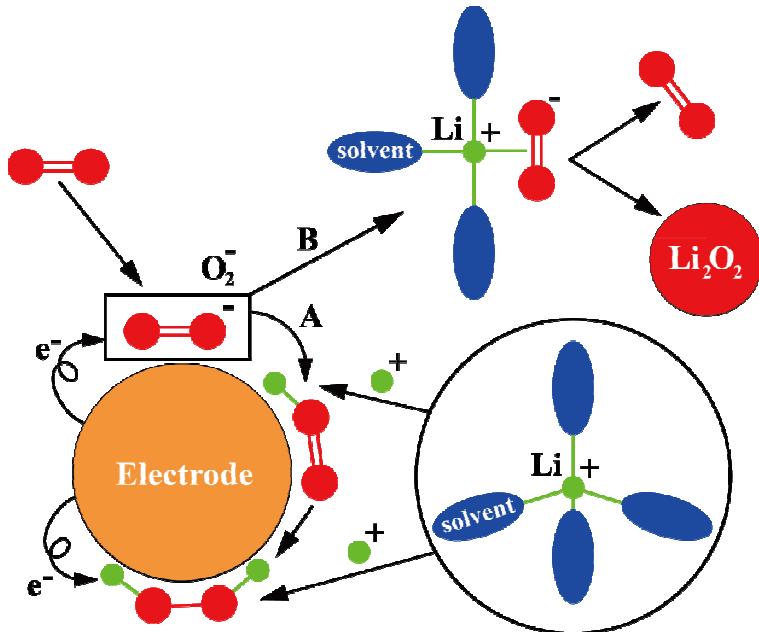
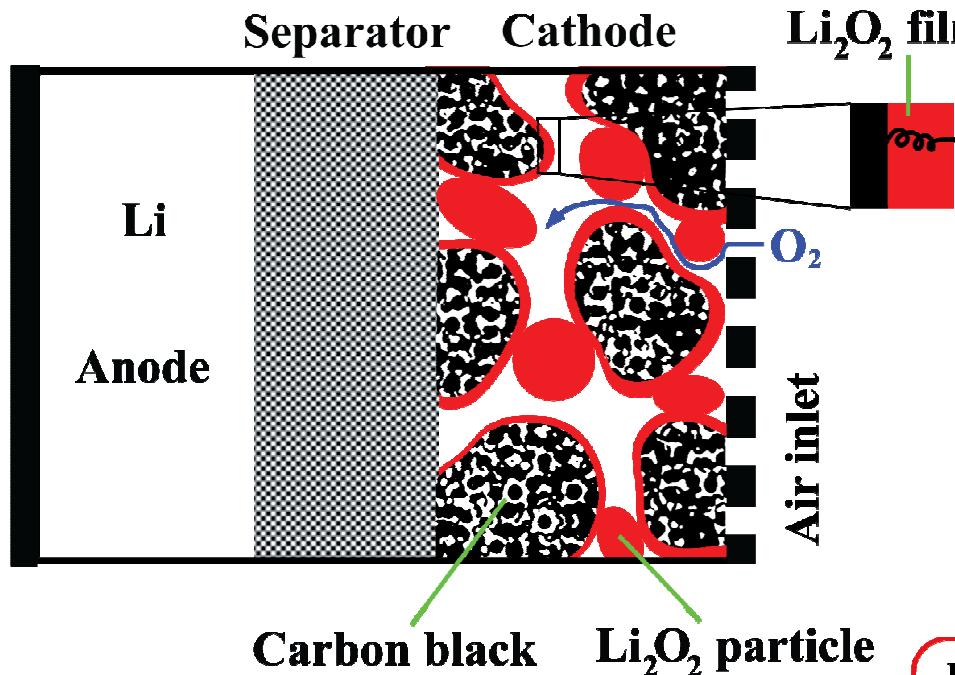
$$\vec{p}_s = p_s^0 \Im \left(\frac{p_s^0 |E|}{k_B T} \right) \frac{E}{|E|}$$



- A.A. Franco et al., *J. Electrochem. Soc.*, **153** (6) (2006) A1053.
- M. A. Quiroga, K.H. Xue, T.K. Nguyen, M. Tulodziecki, H. Huang, A.A. Franco, *J. Electrochem. Soc.*, **161** (8) (2014) E3302.



OXYGEN REDUCTION REACTION (ORR) @ LI-O₂ BATTERIES



Route A: Insulating thin film formation on the active surface

Consequence	Decrease of oxygen diffusion coefficient: Consumption of active surface area:	Yes Yes
-------------	--	------------

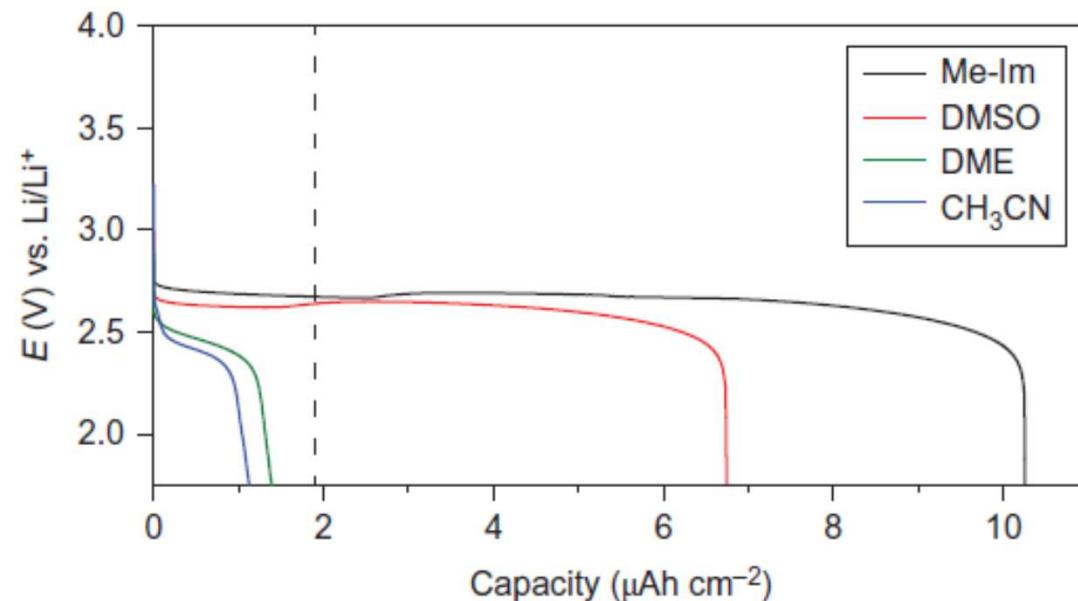
Route B: Solution phase nucleation-growth of Li₂O₂ particles

Consequence	Decrease of oxygen diffusion coefficient: Consumption of active surface area:	Yes No
-------------	--	-----------

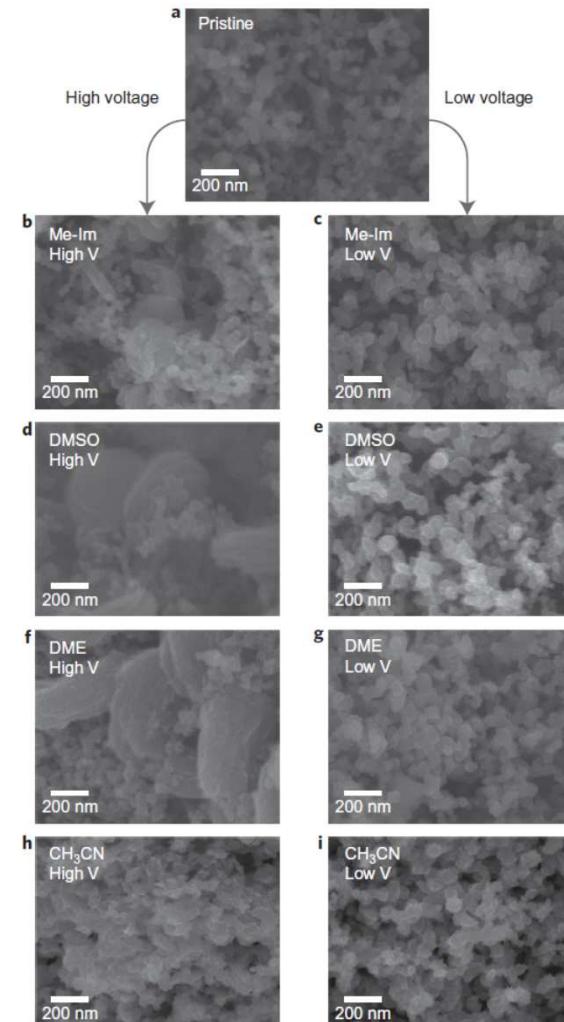


SOLUTION PHASE VS. THIN FILM ORR MECHANISMS

→ Solvent influences the capacity and the Li_2O_2 deposit morphology.



L. Johnson, C. Li, Z. Liu, Y. Chen, S. A. Freunberger, P. C. Ashok, B. B. Praveen, K. Dholakia, J.-M. Tarascon, and P. G. Bruce, *Nature Chemistry* **6** (2014) 1091.



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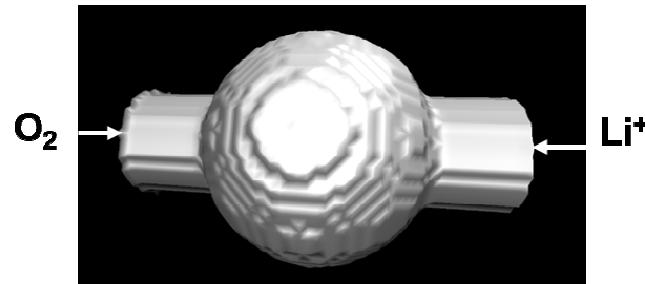




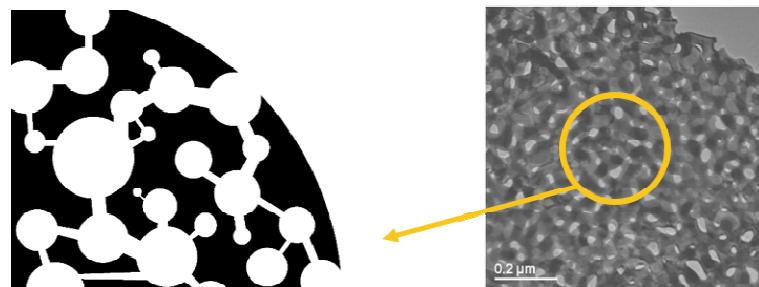
KINETIC MONTE CARLO MODELING OF THE ORR (1/3)

GEOMETRICAL CONSIDERATIONS

Pore size: 10-30 nm

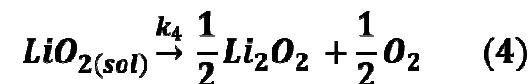
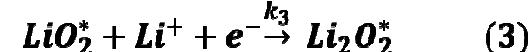
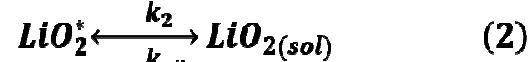
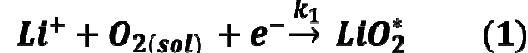
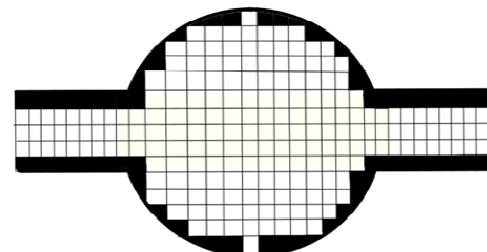


NanoPorous Gold Electrode



Z.Peng *et al.* *Science* 337, 563–566 (2012)

Grid size: 5 Å



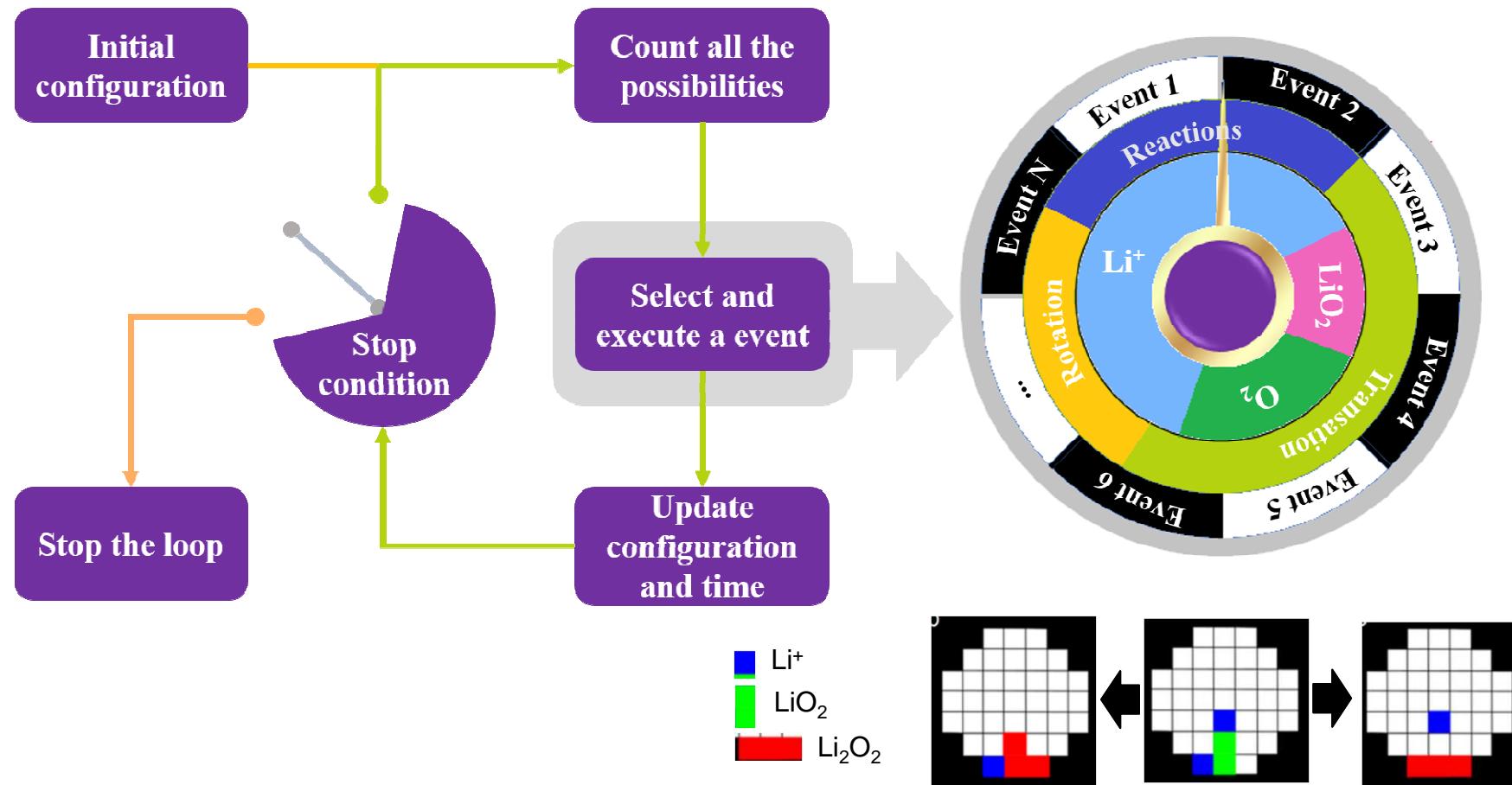
REACTIONS

G. Blanquer, Y. Yin, M.A. Quiroga, A.A. Franco, *J. Electrochem. Soc.*, **163** (3) (2016) A329.



KINETIC MONTE CARLO MODELING OF THE ORR (2/3)

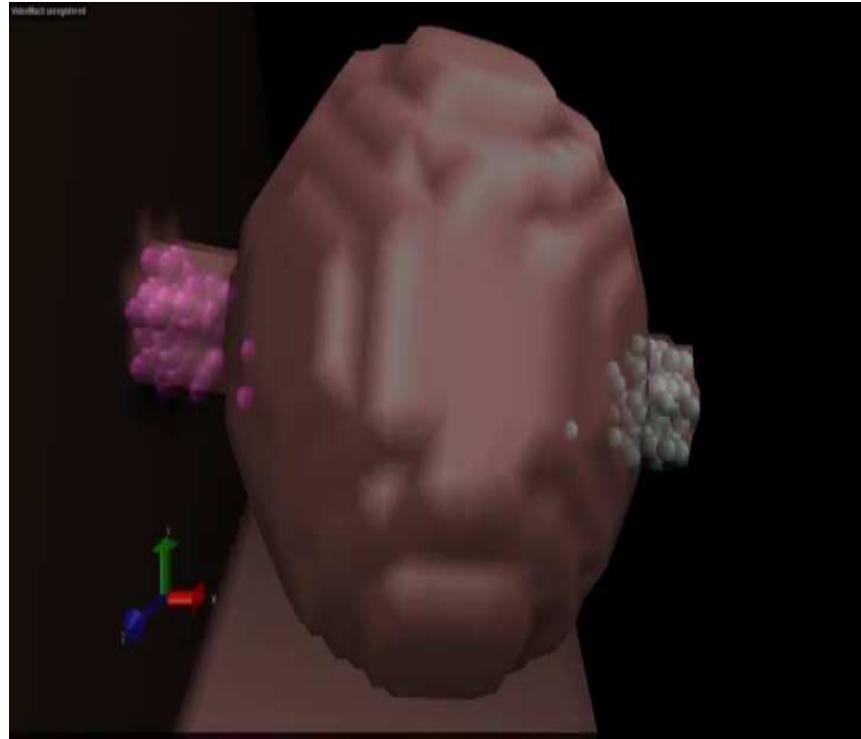
Electrochemical **Variable Step Size Method (VSSM)**: description, within the on lattice approach, of diffusion, reactions between species and adsorption/desorption.



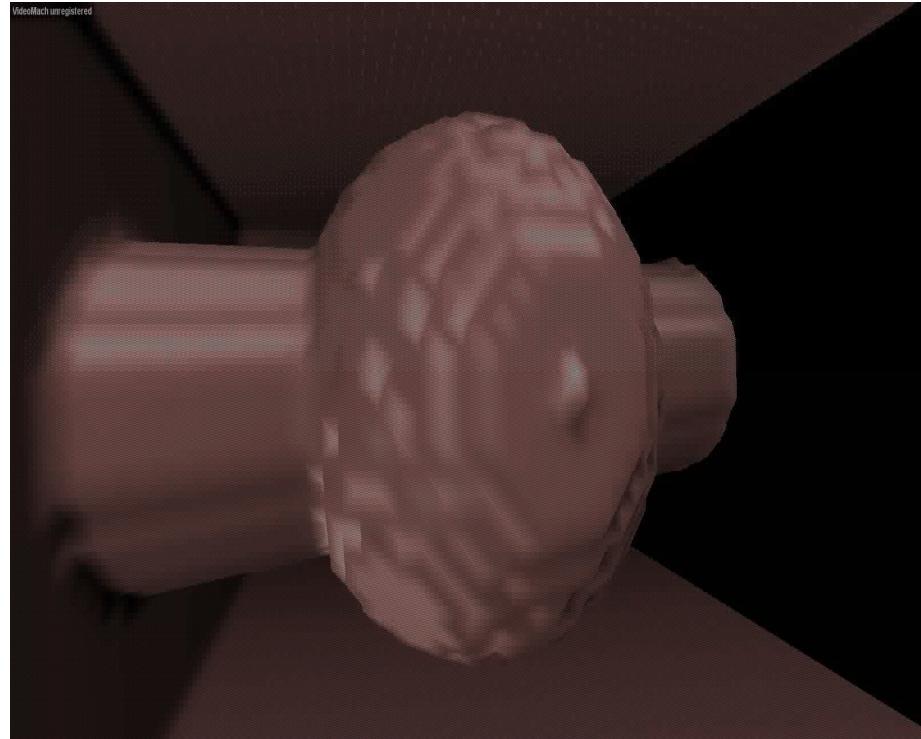


KINETIC MONTE CARLO MODELING OF THE ORR (3/3)

Li⁺ and O₂ transport



Li₂O₂ formation



G. Blanquer, Y. Yin, M. Quiroga, A.A. Franco, *J. Electrochem. Soc.*, **163** (3) (2016) A329.



Prof. Alejandro A. Franco

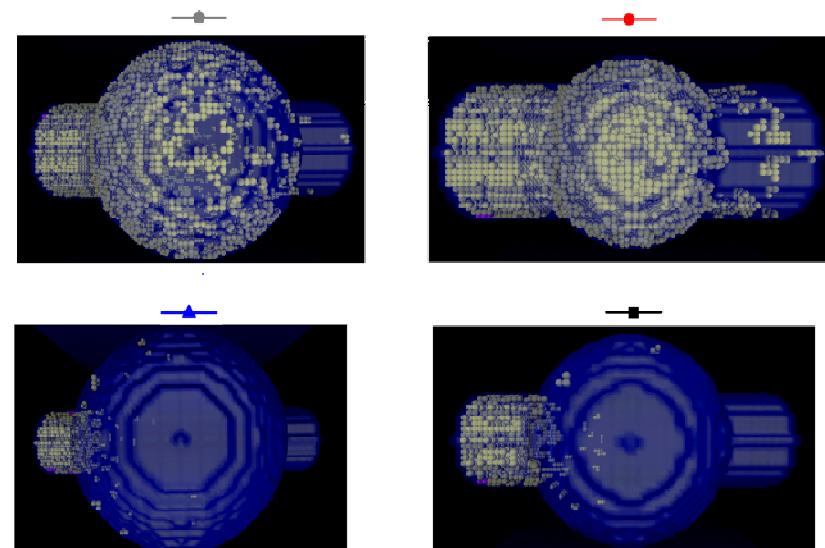
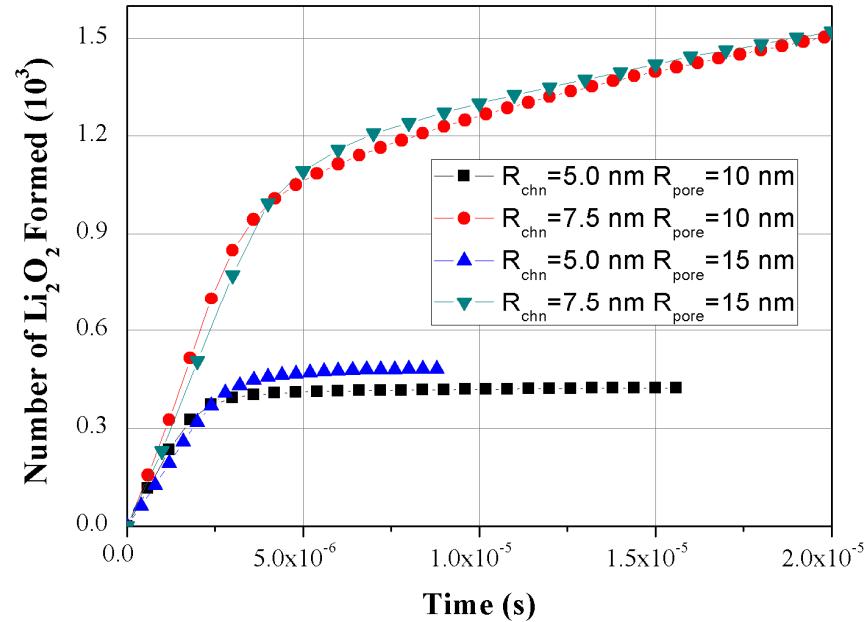
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CALCULATED IMPACT OF THE PORE GEOMETRY



Discharge process is limited by channel clogging

Increase the capacity by enlarging the channel size

G. Blanquer, Y. Yin, M.A. Quiroga, A.A. Franco, *J. Electrochem. Soc.*, **163** (3) (2016) A329.

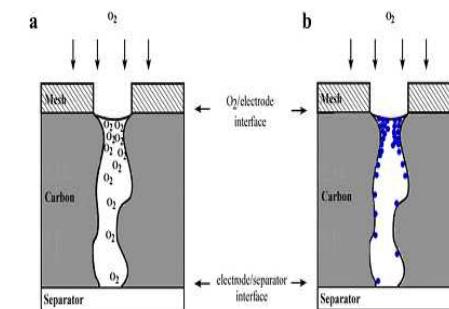


Figure 2. Schematic representation of the oxygen electrode a) before discharge and b) after discharge.

I. Landa-Medrano *et al.*, *J. Electrochem. Soc.*, **162** (2015) A3126.



CALCUMATED IMPACT OF THE O₂ DIFUSSION COEFFICIENT

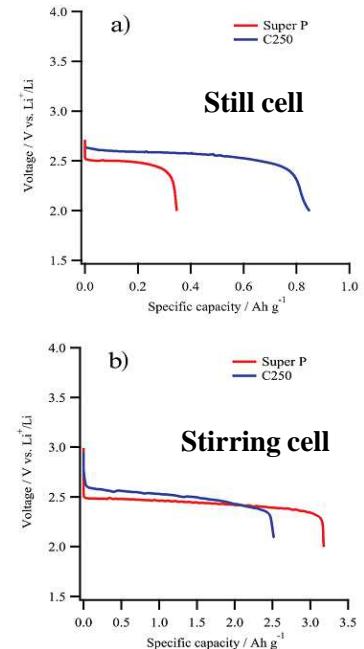
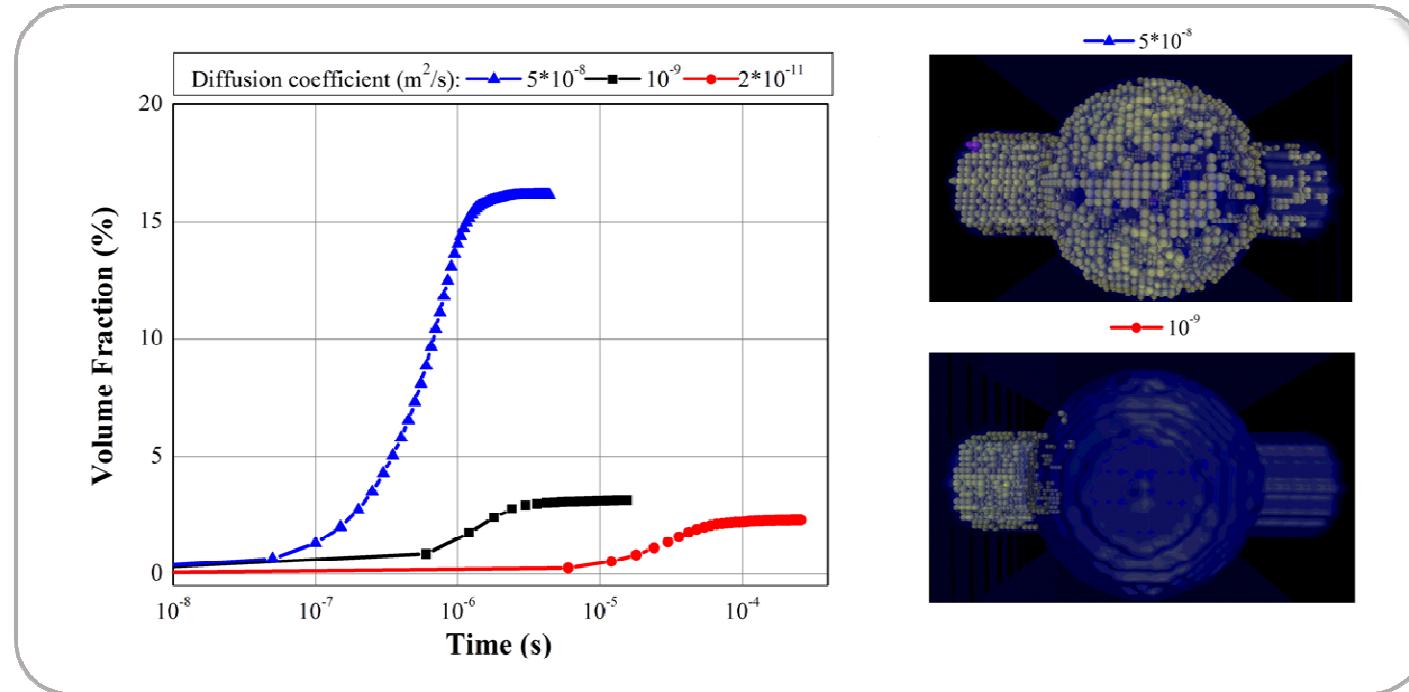


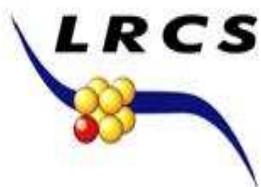
Figure 1. Discharge profiles of Super P and C250 electrodes at 60°C under a current density of 0.1 mA cm⁻² in a (a) still- and (b) stirred-electrolyte cell.

Higher diffusion coefficient
Higher capacity

Decrease viscosity of
electrolyte

M. Akلالouch *et al.*, *ChemSusChem.* (2015).

G. Blanquer, Y. Yin, M.A. Quiroga, A.A. Franco, *J. Electrochem. Soc.*, **163** (3) (2016) A329.



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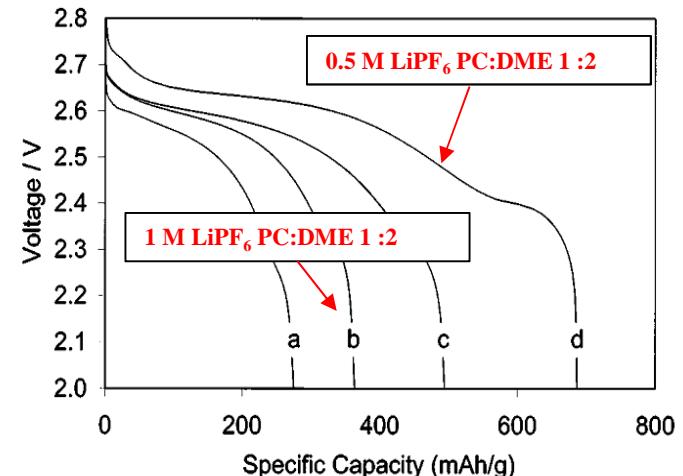
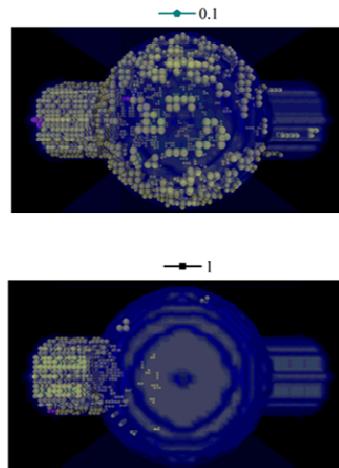
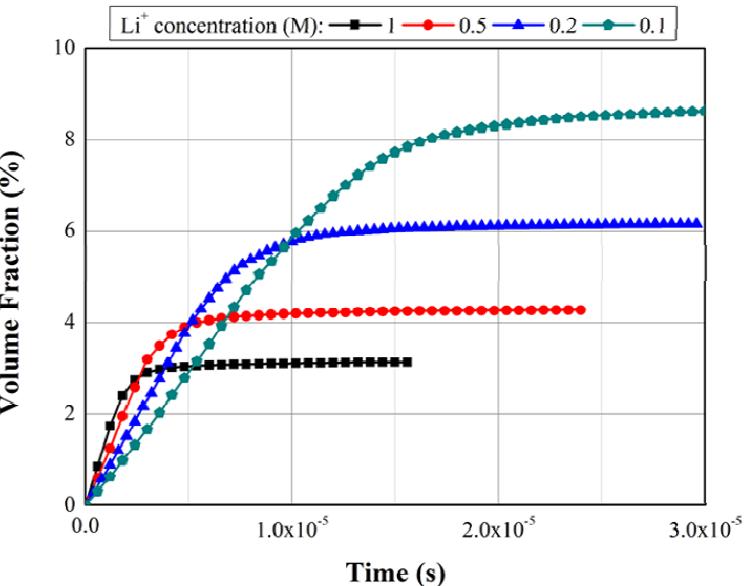


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CALCULATED IMPACT OF SALT CONCENTRATION



J. Read *et al.*, *J. Electrochem. Soc.*, **150** (2003) A1351.

Higher Li⁺ concentration accelerates pore clogging due to more hindrance for O₂ transport

Lower ionic strength of electrolyte enhances capacity

G. Blanquer, Y. Yin, M.A. Quiroga, A.A. Franco, *J. Electrochem. Soc.*, **163** (3) (2016) A329.



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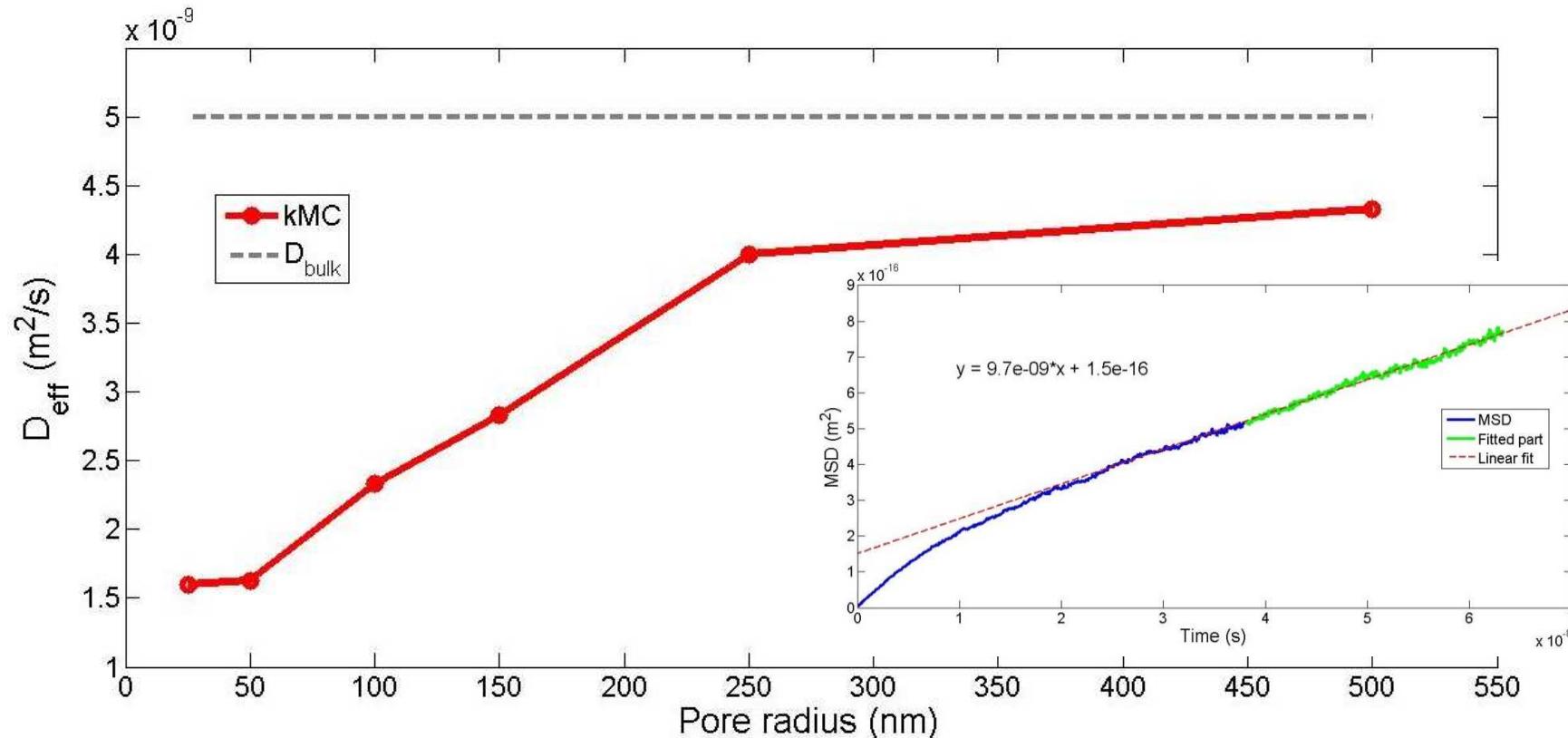




MEAN SQUARE DISPLACEMENT METHOD: EFFECTIVE DIFFUSION COEFFICIENTS

$$\langle \Delta r_t \rangle = \frac{1}{N} \sum_{i=1}^N \langle \overrightarrow{R}_{i,t} - \overrightarrow{R}_{i,t_0} \rangle^2$$

$$D = \left[\frac{1}{6t} \langle \Delta r_t \rangle \right]_{t \gg t_c}$$



Monte Carlo Electrochemistry
Simulation Software for Innovation

MESSI



Tomorrow:
Hands-On Session!



MULTISCALE MODELLING: DEFINITIONS & CONCEPTS

LITHIUM-O₂ BATTERIES:
TECHNICAL CHALLENGES

KINETIC MONTE CARLO
MODELING APPROACH

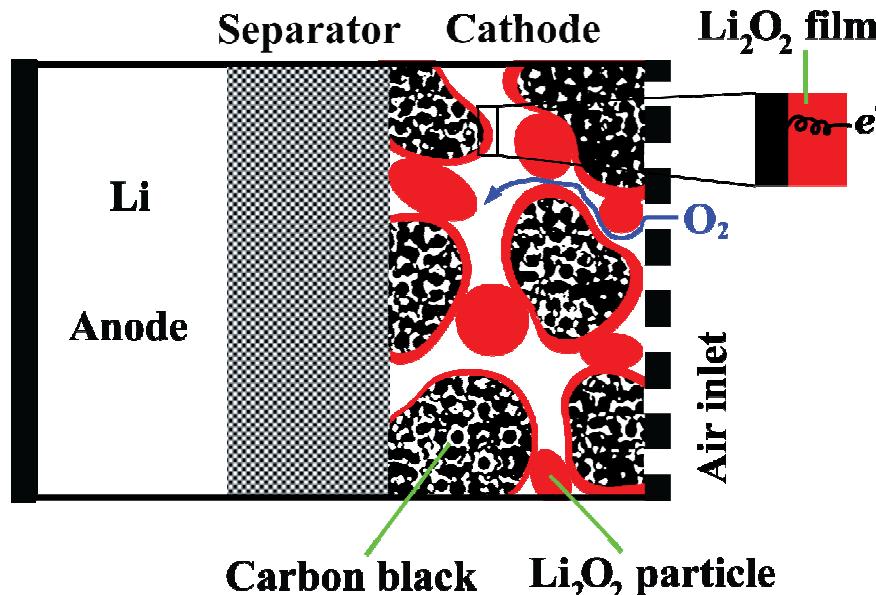
CONTINUUM
MODELING APPROACH

THOUGHTS ON
THEORY VS. EXPERIMENT

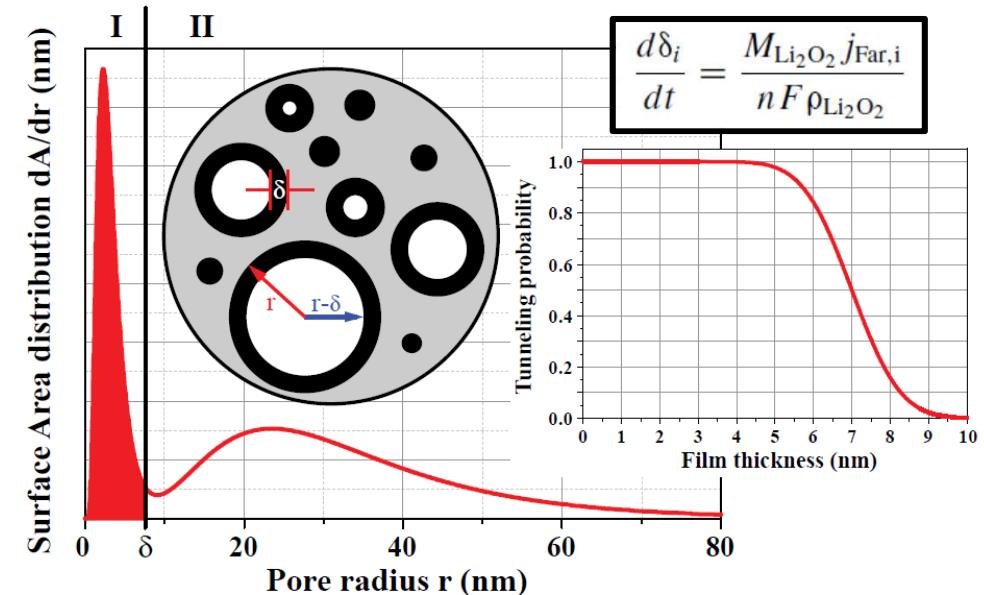


POROUS ELECTRODES IN LITHIUM O₂ BATTERIES

Lithium O₂ Battery at discharge



Thin film mechanism



→ Porosity vs. Electronic tunneling probability vs. Reactive surface area.

A.A. Franco, K.H. Xue, *ECS J. Solid State Science and Tech.*, **2** (10) (2013) M3084.
K.H. Xue, T.K. Nguyen, A.A. Franco, *J. Electrochem. Soc.*, **161** (8) (2014) E3028.

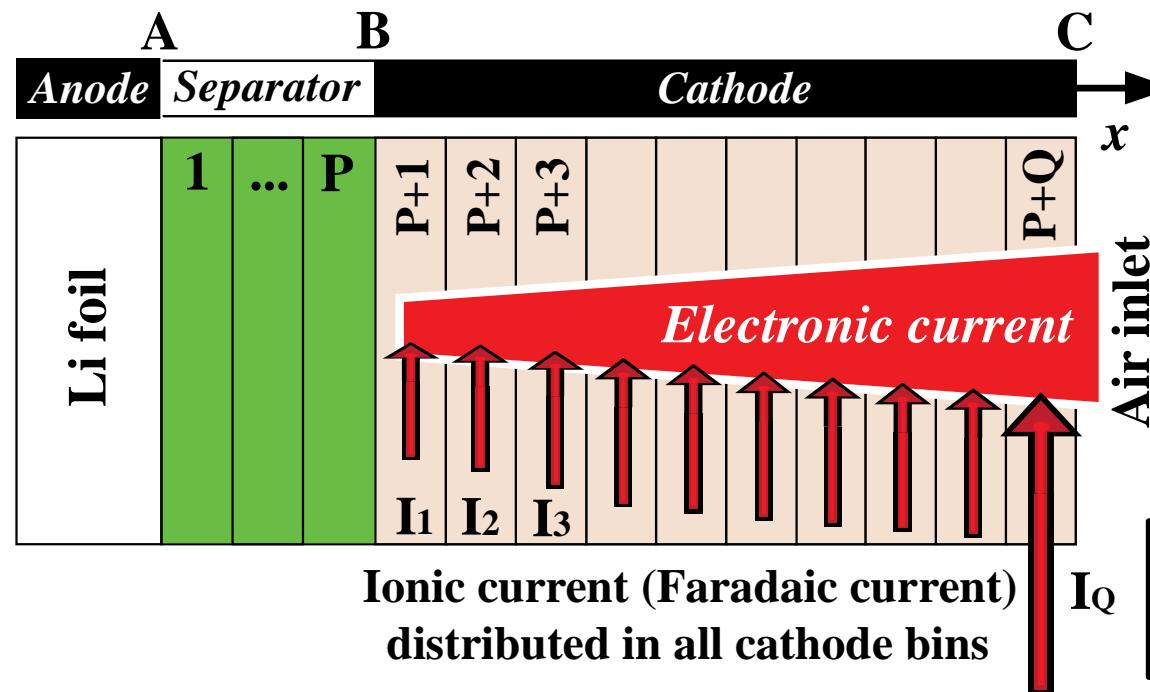


MICROSTRUCTURALLY-RESOLVED MODEL

O₂ diffusion

$$\frac{\partial c}{\partial t} = \frac{\partial}{\partial x} \left[D \left(\frac{\partial c}{\partial x} \right) \right] - \frac{aj_{\text{Far}}}{nF}$$

$$D = \left(\frac{\epsilon}{\tau} \right) D_0 = \epsilon^{1.5} D_0$$



Finite difference method



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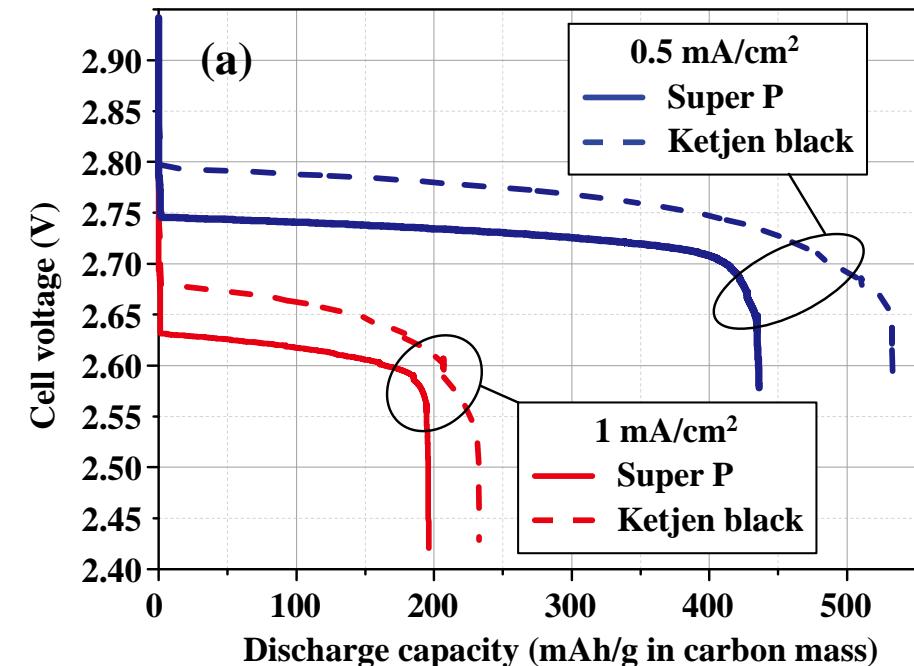
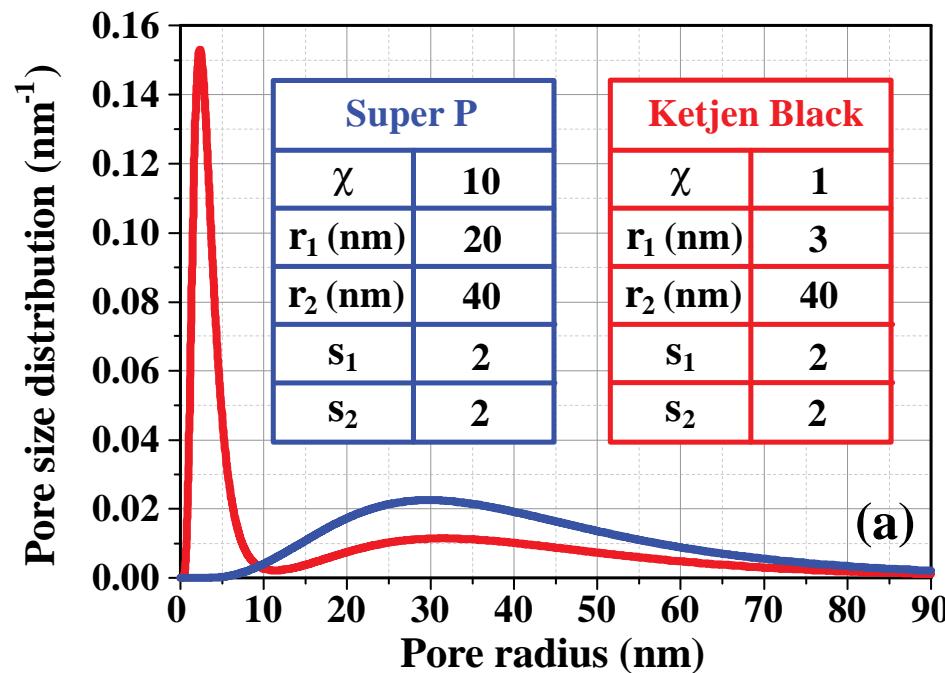
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IMPACT OF THE PSD ON THE DISCHARGE CURVE

→ For intermediate and high current densities, KB provides lower overpotential and higher capacity than SP.

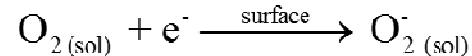


- A.A. Franco, K.H. Xue, *ECS J. Solid State Science and Tech.*, **2** (10) (2013) M3084.
- K.H. Xue, T.K. Nguyen, A.A. Franco, *J. Electrochem. Soc.*, **161** (8) (2014) E3028.

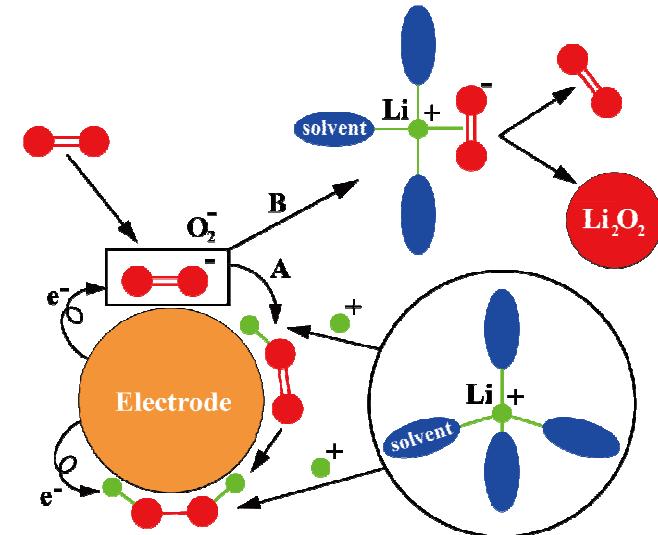
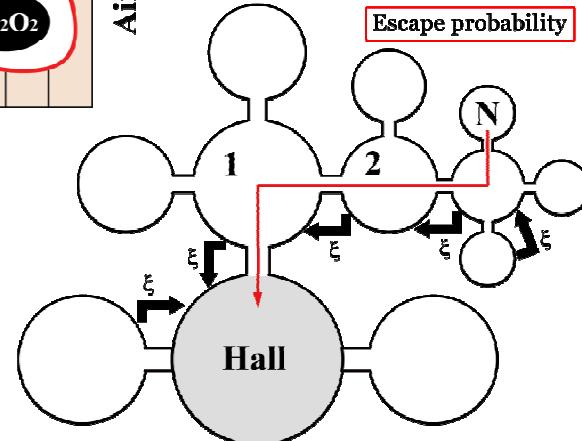
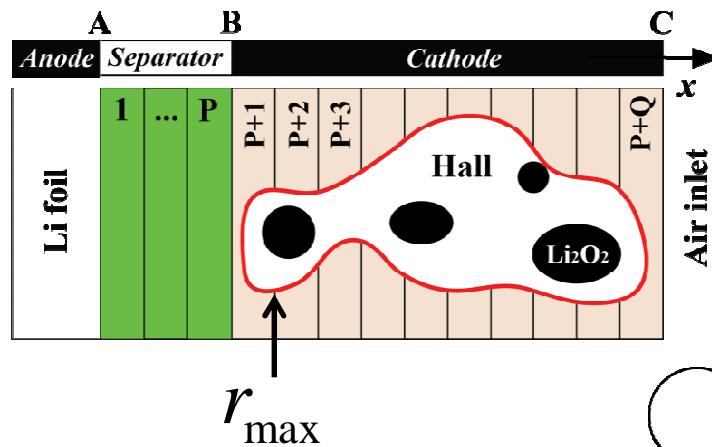
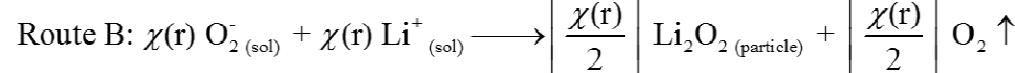
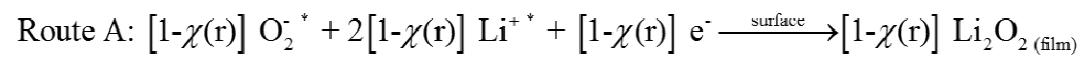
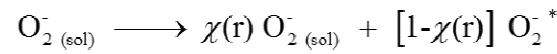


SOLUTION PHASE: O₂⁻ ESCAPE PROBABILITY

First reduction



Second reduction



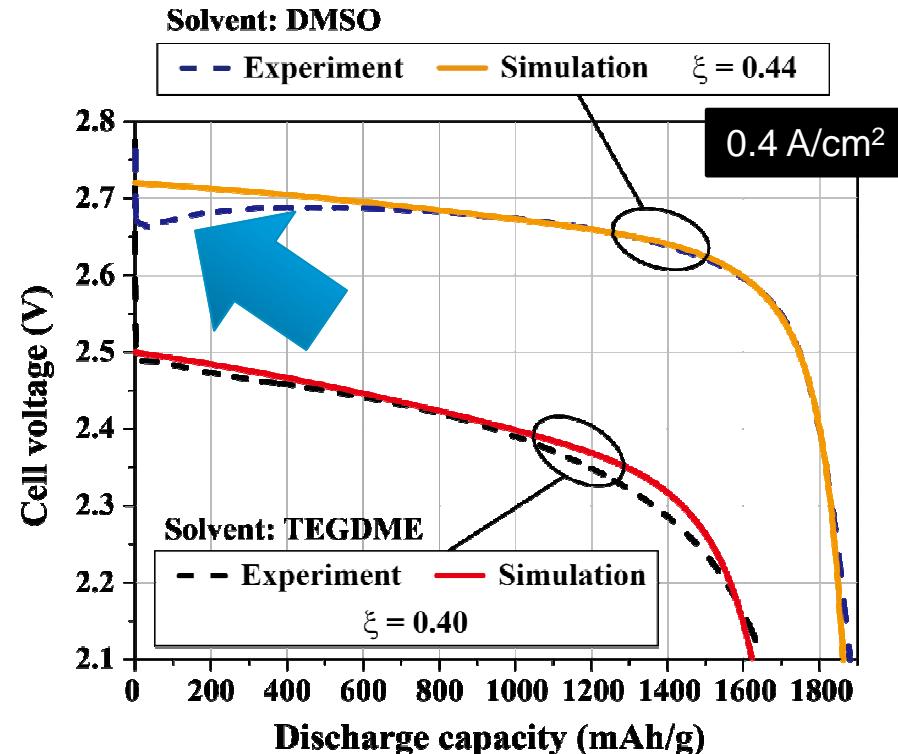
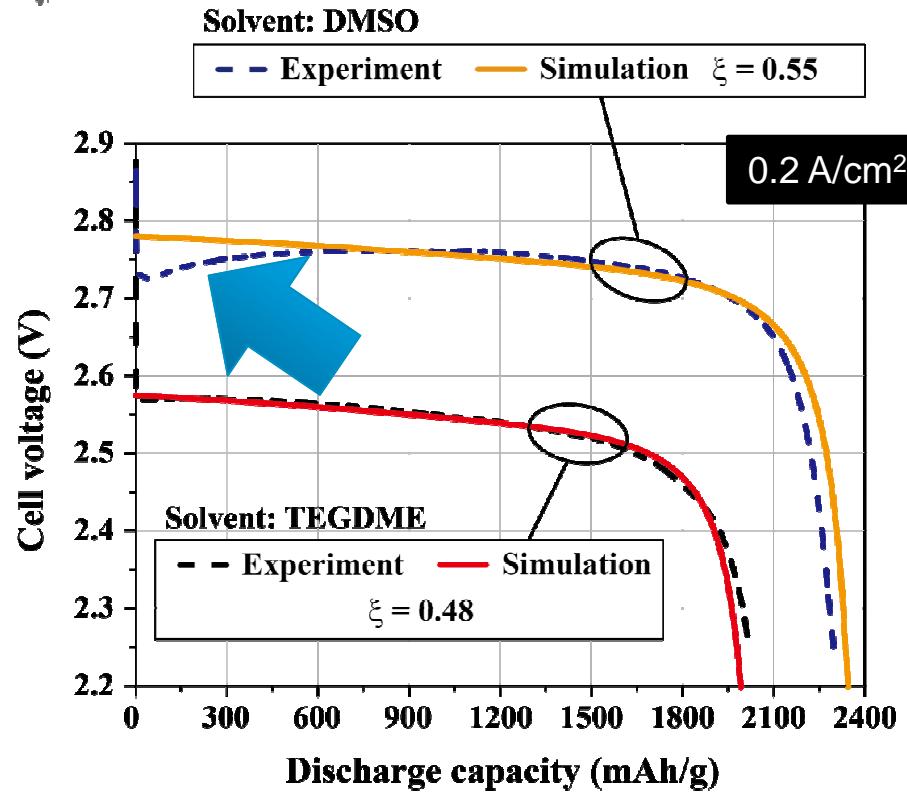
Escape function

$$\chi(r) = \xi^N = \xi^{\frac{r_{\max} - r}{r_{\max}}}$$

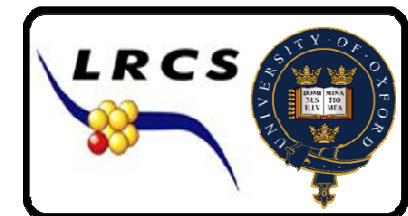
ξ increases with the donor number



IMPACT OF SOLVENT ON DISCHARGE

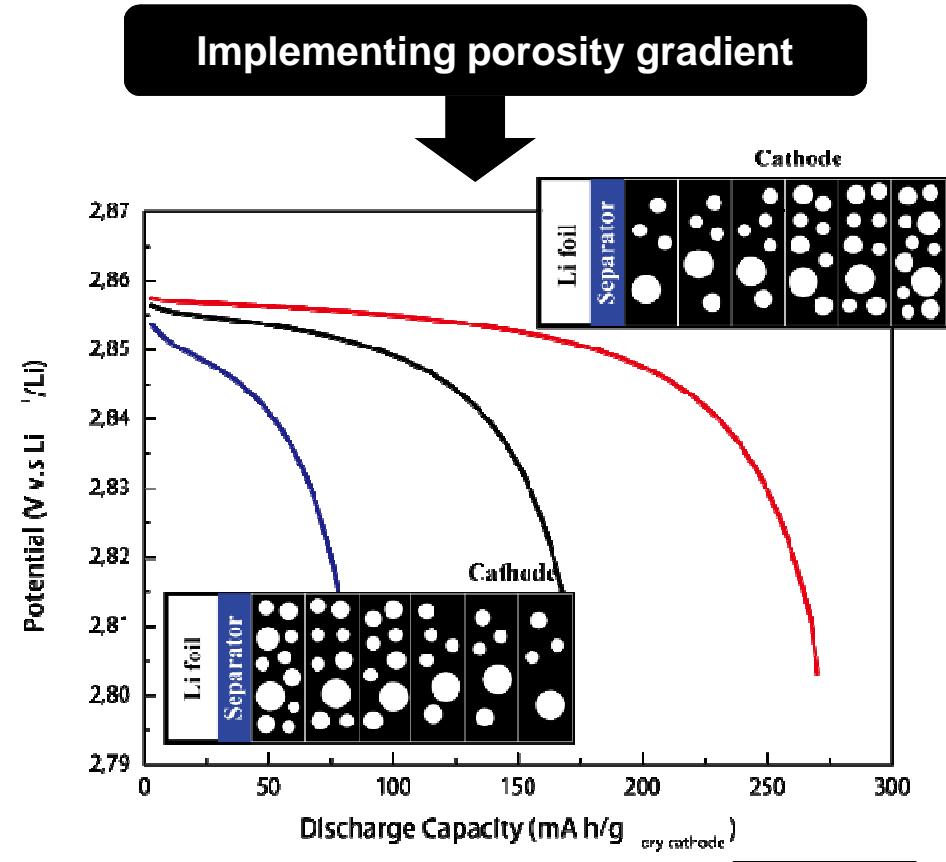
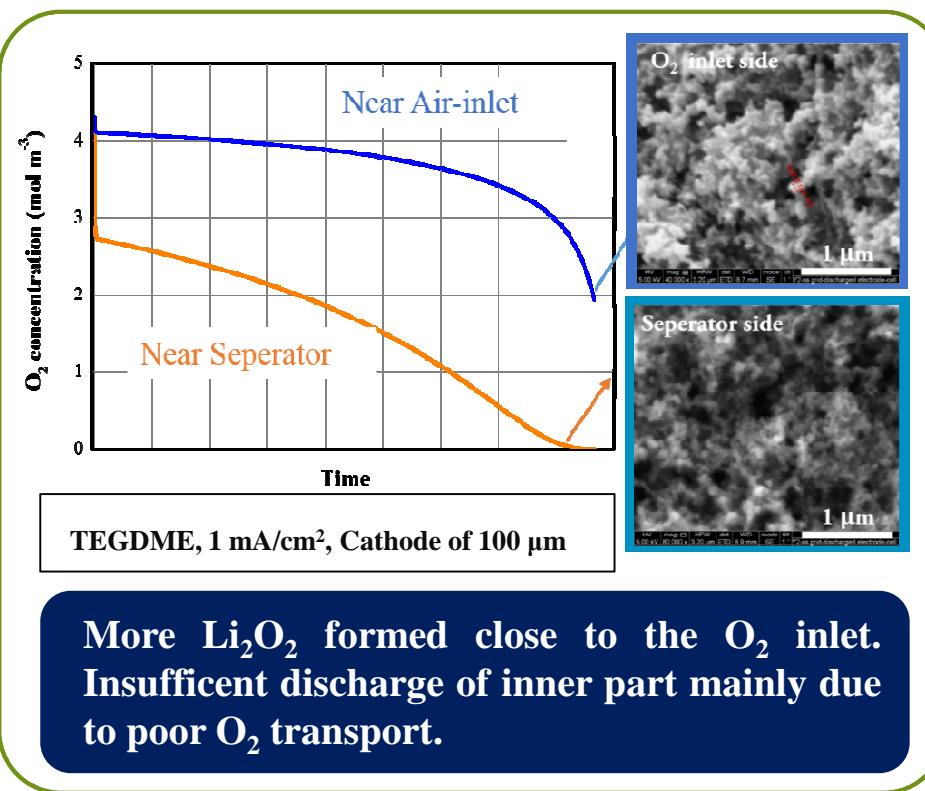


- High donor number (DN) solvent → high O_2^- lifetime in the solution.
 - ξ is larger in DMSO (DN: 29.8) than TEGDME (DN: 16.6).
 - ξ decreases with the discharge rate.
- Max. capacity and overpotential dependent on the PSD, O_2 solubility and solvent viscosity.





CALCULATED Li_2O_2 PRODUCT HETEROGENEITY

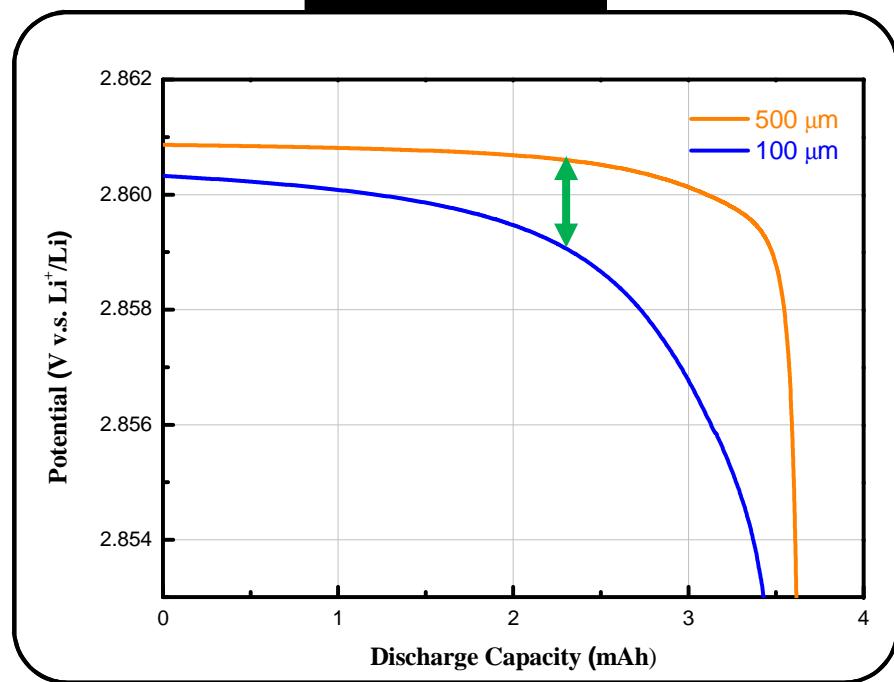


A.A. Franco, K.H. Xue, *ECS J. Solid State Science and Tech.*, **2** (10) (2013) M3084.
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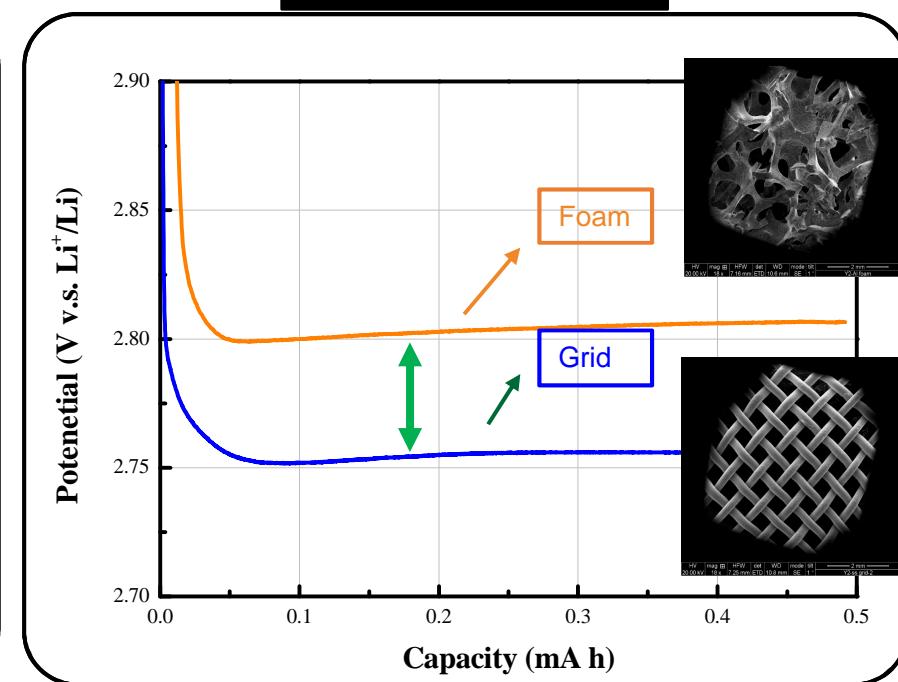


CALCULATED LOCAL CURRENT DENSITY IMPACT

MODELING



EXPERIMENTS



Overpotential decreases as the local current density increases.

90%C_{sp} + 10% PVdF - 5,7 mg, 5,9 mg - 1 bârMOLiClO₄ in DMSO
100 μ A/cm² - 2.2-4.5 V - Cycling with capacity limitation of 0.5 mAh

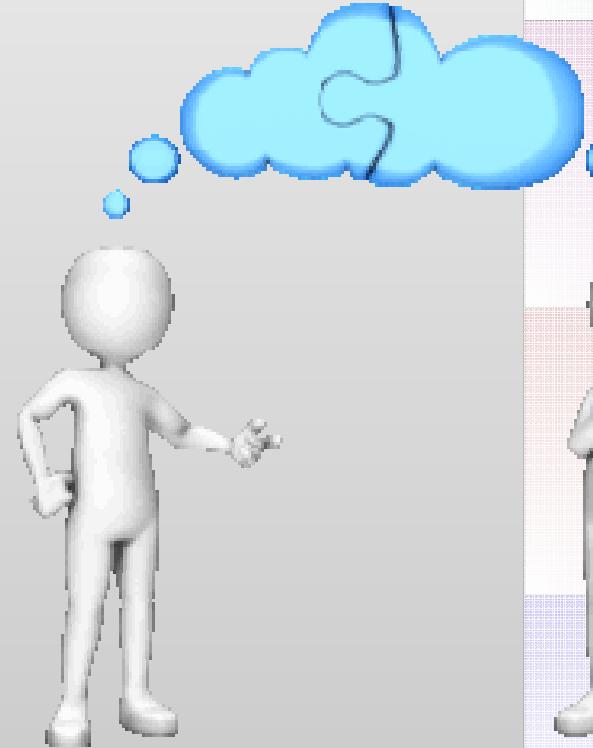


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MULTISCALE MODELING: DEFINITIONS & CONCEPTS

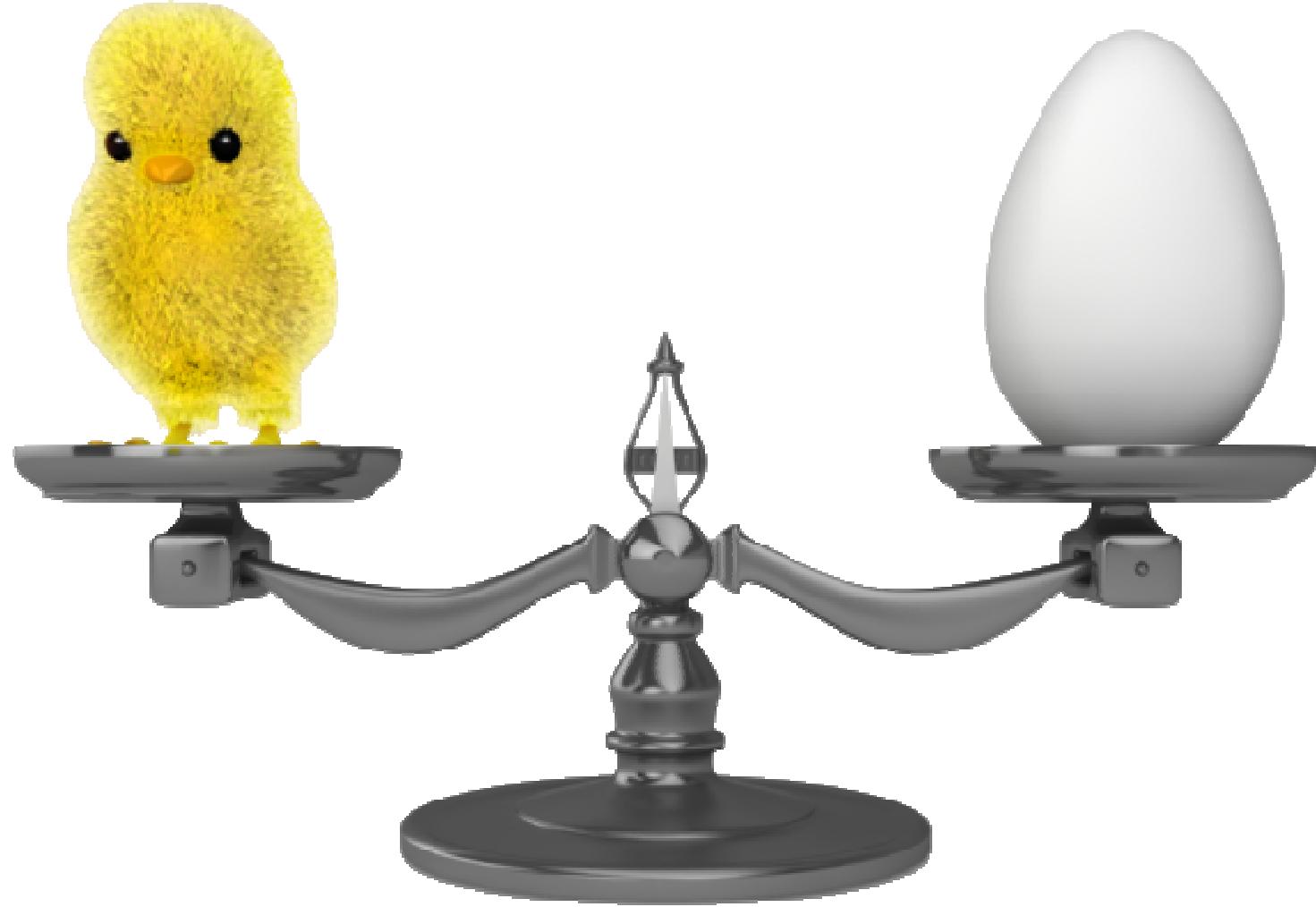
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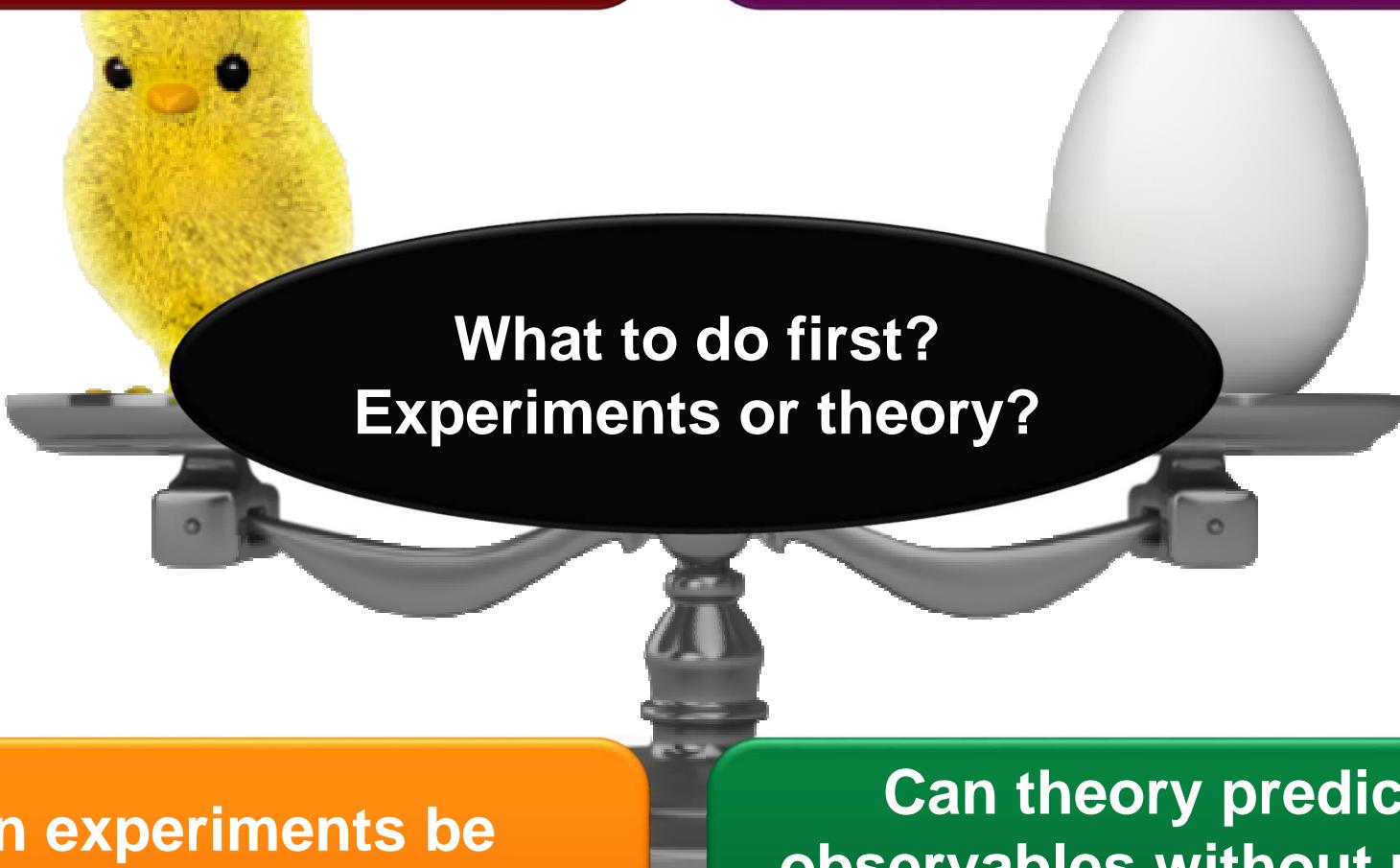


KINETIC MONTE CARLO MODELING APPROACH

CONTINUUM MODELING APPROACH

THOUGHTS ON THEORY VS. EXPERIMENT





**Do experiments validate
theory?**

**Does theory explains
experiments?**

**What to do first?
Experiments or theory?**

**Can experiments be
interpreted without
theory?**

**Can theory predict
observables without prior
calibration with
experiments?**



ACTIVE MATERIALS DATA MINING

Opportunities and challenges for first-principles materials design and applications to Li battery materials

Gerbrand Ceder

This article is based on the MRS Medal presentation given by Gerbrand Ceder (Massachusetts Institute of Technology) on December 1, 2009, at the Materials Research Society Fall Meeting in Boston. Ceder was awarded the Medal "for pioneering the high-impact field of first-principles thermodynamics of batteries materials and for the development of high-power density Li battery compounds."

The idea of first-principles methods is to determine the properties of materials by solving the basic equations of quantum mechanics and statistical mechanics. With such an approach, one can, in principle, predict the behavior of novel materials without the need to synthesize them and create a virtual design laboratory. By showing several examples of new electrode materials that have been computationally designed, synthesized, and tested, the impact of first-principles methods in the field of Li battery electrode materials will be demonstrated. A significant advantage of computational property prediction is its scalability, which is currently being implemented into the Materials Genome Project at the Massachusetts Institute of Technology. Using a high-throughput computational environment, coupled to a database of all known inorganic materials, basic information on all known inorganic materials and a large number of novel "designed" materials is being computed. Scalability of high-throughput computing can easily be extended to reach across the complete universe of inorganic compounds, although challenges need to be overcome to further enable the impact of first-principles methods.

MRS BULLETIN • VOLUME 35 • SEPTEMBER 2010 • www.mrs.org/bulletin ■ 693

Voltage calculation

$$\Delta x \text{ Li} + \text{Li}_x \text{MO}_y \rightleftharpoons \text{Li}_{x+\Delta x} \text{MO}_y \quad \rightarrow \quad V(x) = -\frac{\mu_{\text{Li}(x)}^{\text{cathode}} - \mu_{\text{Li}}^{\text{anode}}}{F}$$

$$\langle V \rangle = \frac{-[E(\text{Li}_{x_2} \text{MO}_y) - E(\text{Li}_{x_1} \text{MO}_y) - (x_2 - x_1)E(\text{Li metal})]}{(x_2 - x_1)F}.$$

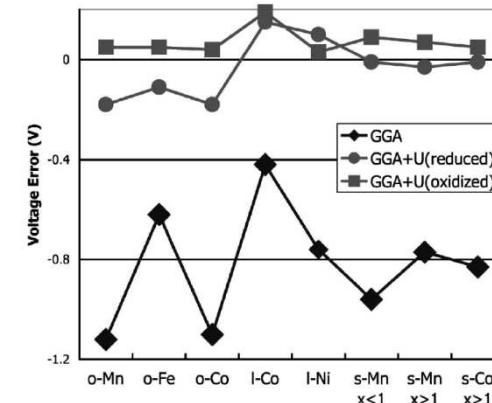


FIG. 6. Difference between calculated and experimental voltage (Refs. 5–9), for GGA and GGA+ U , at the calculated U of the oxidized (delithiated) and reduced (lithiated) states, respectively (I = layered, s = spinel). For the spinel structures two voltage values for the $0 < x < 1$ and $1 < x < 2$ plateaus are calculated separately. Olivine LiNiPO_4 is not shown here because the voltage is unknown.

Ceder et al., Phys. Rev. B, 70 (2004) 235121.



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GGA+U calculations

It is well-known that first principles calculations within the local density approximation (LDA) or generalized gradient approximation (GGA) lead to considerable error in calculated redox reaction energies of many transition metal compounds. This error arises from the self-interaction error in LDA and GGA, which is not canceled out in redox reactions where an electron is transferred between significantly different environments, such as between a metal and a transition metal or between a transition metal and oxygen. Extensive discussion of this issue can be found in the following works.^{[1][2][3][4]}

In the Materials Project, we have calibrated U values for many transition metals of interest using the approach outlined in Wang et al.'s work^[3]. At the present moment, U values have been calibrated for transition metal oxide systems only. The choice of systems to which we apply U was largely determined by our experience and by systematic benchmarking. It is very likely that we will expand calibration of U values to more chemical systems in the future.

Contents [hide]

- 1 Calibration of U values
- 2 U values
- 3 Caveats
- 4 References
- 5 Authors

Calibration of U values

The U values were obtained by fitting to experimental binary formation enthalpies as described in Wang et al.'s work, which is simple and accurately reproduces phase stabilities. A least squares method of obtaining the correct U value was used, as follows:

1. For each non-overlapping formation energy reaction considered, we find the region where the formation energy error passes zero. For the V-O system, this includes the following:
 1. $2 \text{V}_2\text{O}_3 + \text{O}_2 \rightarrow 4 \text{VO}_2$
 2. $4 \text{VO}_2 + \text{O}_2 \rightarrow 2 \text{V}_2\text{O}_5$
2. For each formation energy region identified, we fit the linear equation $\text{Error}/\text{redox} = mU + c$ to the final U range. In the case of V, we will have two sets of (m,c).
3. We find the U value that minimizes the sum of square Error / Redox.
4. In the case of V, we get a U value of 3.25.

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NON-EQUILIBRIUM THERMODYNAMICS: PRINCIPLES

→ **POSTULATE:** the thermodynamic flux densities are functions of the thermodynamic efforts.

$$J = f(X_\alpha, X_\beta, X_\gamma \dots)$$

$$J = f(X_\alpha)$$



Osanger
(1903-1976)



Prigogine
(1917-2003)

$$J_i = J_i(X_\alpha) = J_i(X_\alpha = 0) + \frac{1}{1!} \frac{\partial J_i}{\partial X_\alpha}(X_\alpha = 0)X_\alpha + \frac{1}{2!} \frac{\partial^2 J_i}{\partial X_\alpha^2}(X_\alpha = 0)X_\alpha^2 + \frac{1}{3!} \frac{\partial^3 J_i}{\partial X_\alpha^3}(X_\alpha = 0)X_\alpha^3 \dots$$

→ **1st order N.E.T.:**
linear functions.

$$J_i = \sum_j L_{ij} X_j$$

IONIC TRANSPORT IN ELECTROLYTES

$$J = -L_1 \nabla \mu - L_2 \nabla \phi$$

ionic current

chemical potential

electrostatic potential



NON-EQUILIBRIUM THERMODYNAMICS: PRINCIPLES

→ **POSTULATE:** the thermodynamic flux densities are functions of the thermodynamic efforts.

$$J = f(X_\alpha, X_\beta, X_\gamma \dots)$$

$$J = f(X_\alpha)$$



Osanger
(1903-1976)



Prigogine
(1917-2003)

$$J_i = J_i(X_\alpha) = J_i(X_\alpha = 0) + \frac{1}{1!} \frac{\partial J_i}{\partial X_\alpha}(X_\alpha = 0) X_\alpha + \frac{1}{2!} \frac{\partial^2 J_i}{\partial X_\alpha^2}(X_\alpha = 0) X_\alpha^2 + \frac{1}{3!} \frac{\partial^3 J_i}{\partial X_\alpha^3}(X_\alpha = 0) X_\alpha^3 \dots$$

→ **1st order N.E.T.:**
linear functions.

$$J_i = \sum_j L_{ij} X_j$$

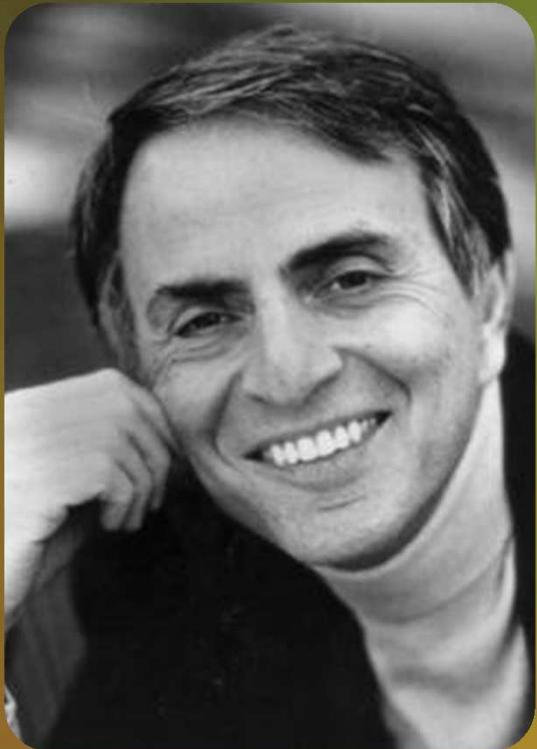
IONIC TRANSPORT IN

$$J = -L_1 \nabla \mu$$

ionic current

chemical potential

Parameters
are always model
dependent!



Carl Sagan

“Modern science has been a voyage into the unknown, with a lesson in humility waiting at every stop. Many passengers would rather have stayed home.”

Amiens cathedral by night



TO BE CONTINUED...

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