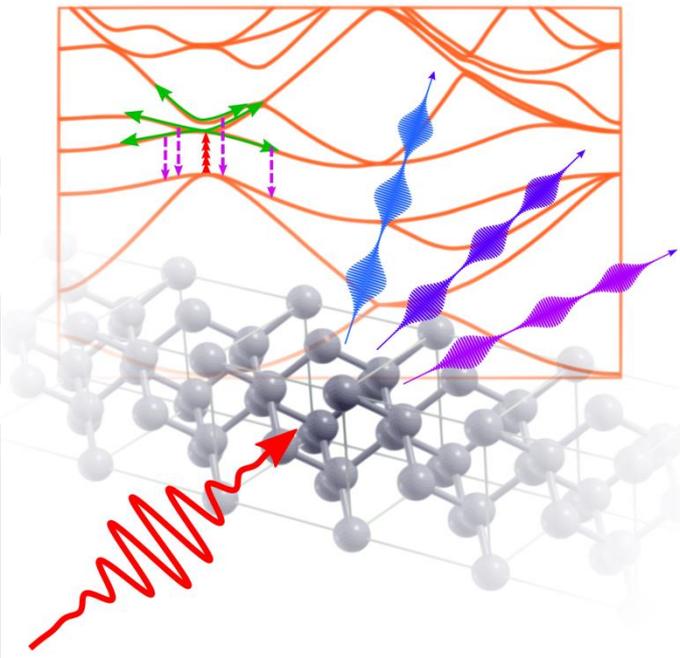


High-Harmonic Generation Spectra of Solids

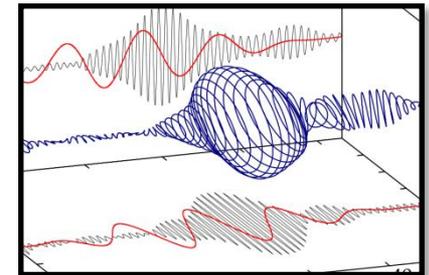
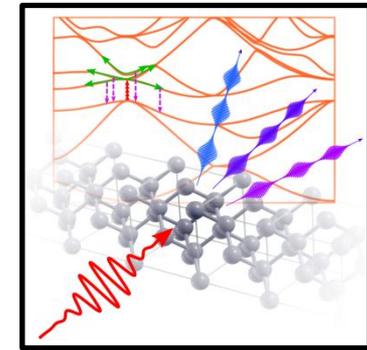
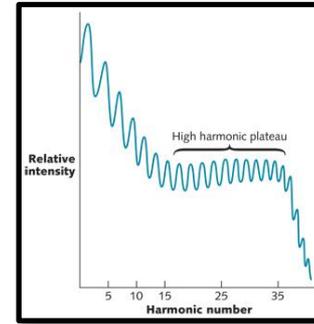
Nicolas Tancogne-Dejean

Collaborators: O. D. Mücke, F. X. Kärtner, Angel Rubio



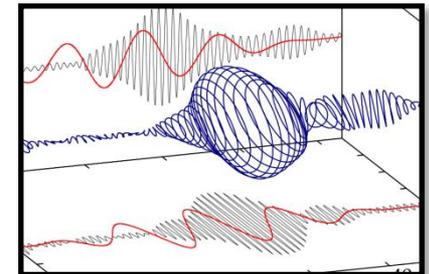
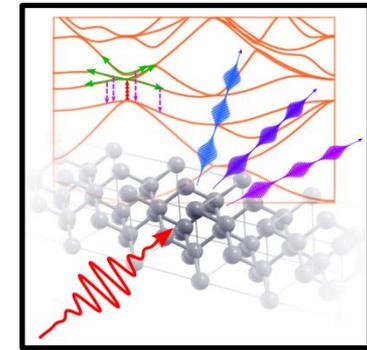
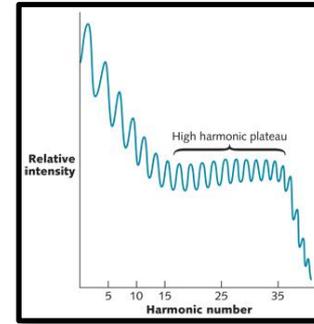
Outline

- High-harmonic generation (HHG)
- Impact of the band-structure
- Ellipticity dependence



Outline

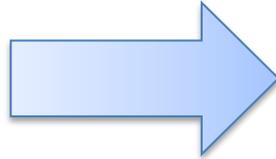
- High-harmonic generation (HHG)
- Impact of the band-structure
- Ellipticity dependence



Response to a perturbation

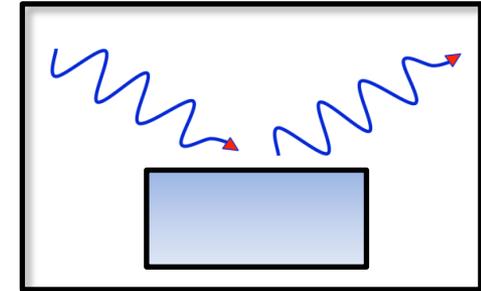
Perturbation

Electric field



Response

Polarisation



Linear Response

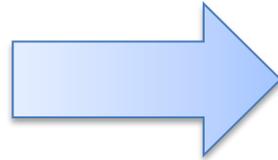
Nonlinear Response

$$P_i = \sum_j \chi_{ij}^{(1)} E_j + \sum_{jk} \chi_{ijk}^{(2)} E_j E_k + \sum_{jkl} \chi_{ijkl}^{(3)} E_j E_k E_l + \dots$$

Response to a perturbation

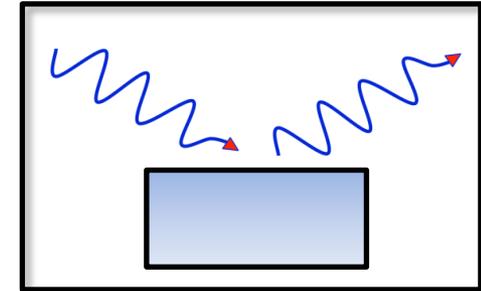
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For weak lasers
($< 10^{11} \text{ W/cm}^2$)

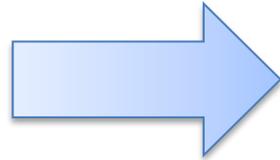
$$\chi^{(1)} E \gg \chi^{(2)} EE \gg \chi^{(3)} EEE \gg \dots$$

Perturbative regime

Response to a perturbation

Perturbation

Electric field



Response

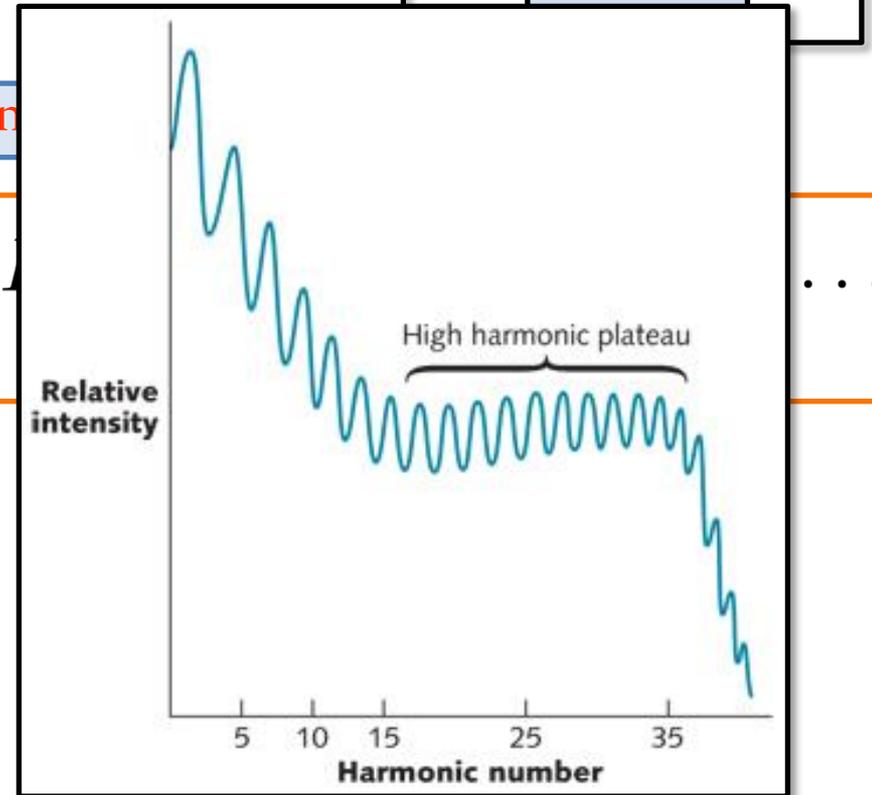
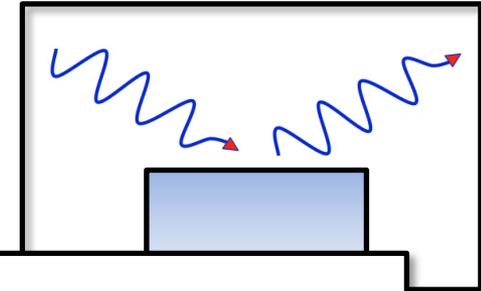
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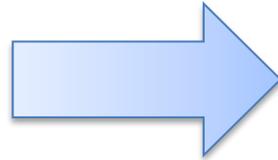
For strong lasers
($> 10^{11} \text{ W/cm}^2$)



Response to a perturbation

Perturbation

Electric field



Response

Polarisation

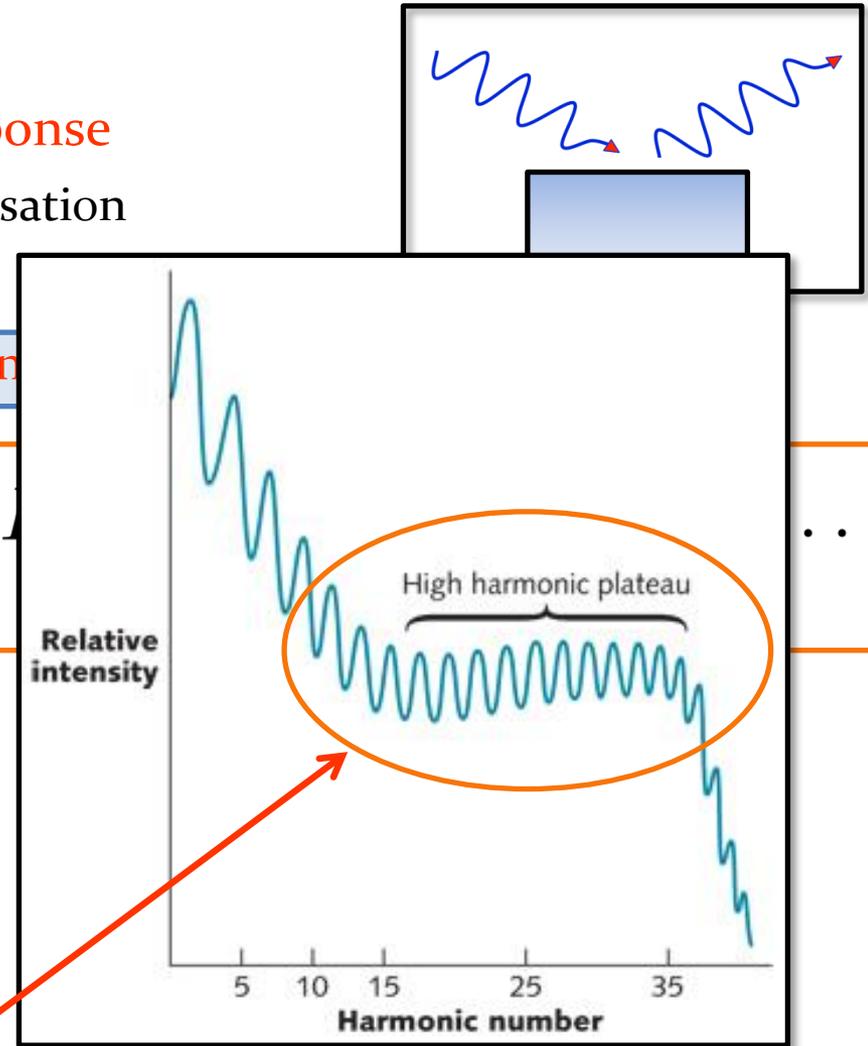
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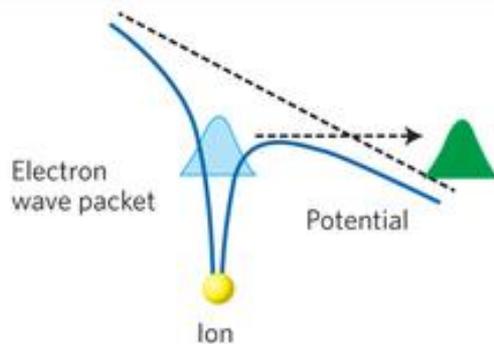
For strong lasers
($> 10^{11} \text{ W/cm}^2$)

Non-perturbative regime



HHG in atoms: three-step model

HHG in atoms is well explained by the three-step model [1,2]

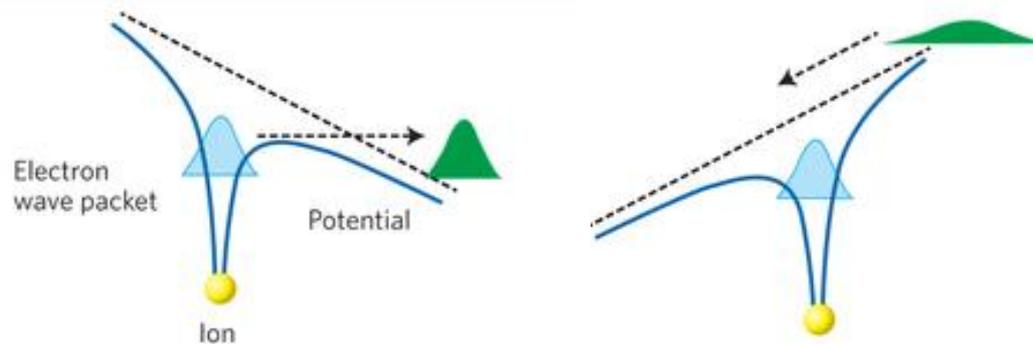


1. Tunneling

[1] Phys. Rev. Lett. 70, 1599 (1993); [2] Phys. Rev. Lett. 71, 1994 (1993)

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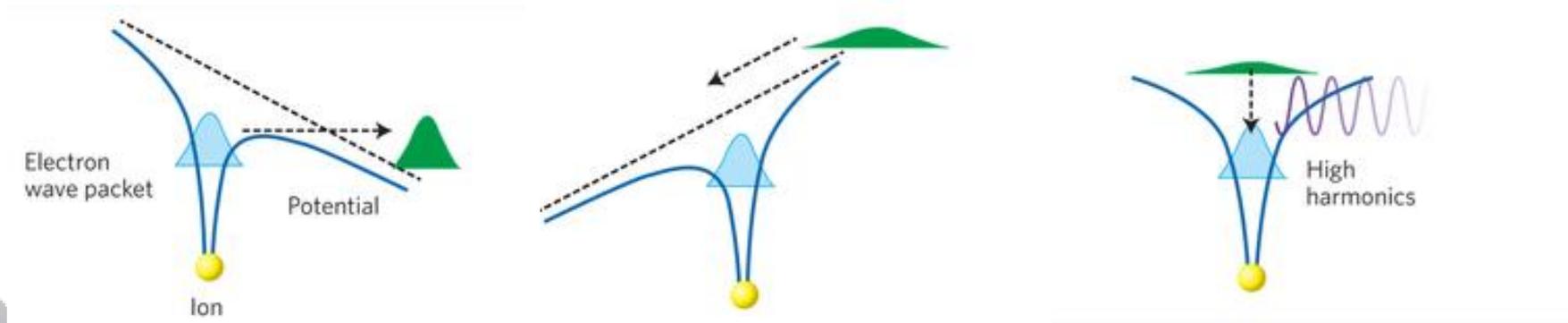


1. Tunneling
2. Acceleration by the field

[1] Phys. Rev. Lett. 70, 1599 (1993); [2] Phys. Rev. Lett. 71, 1994 (1993)

HHG in atoms: three-step model

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1. Tunneling 2. Acceleration by the field 3. Recombination

[1] Phys. Rev. Lett. 70, 1599 (1993); [2] Phys. Rev. Lett. 71, 1994 (1993)

And 30 years later... HHG in solids

LETTERS

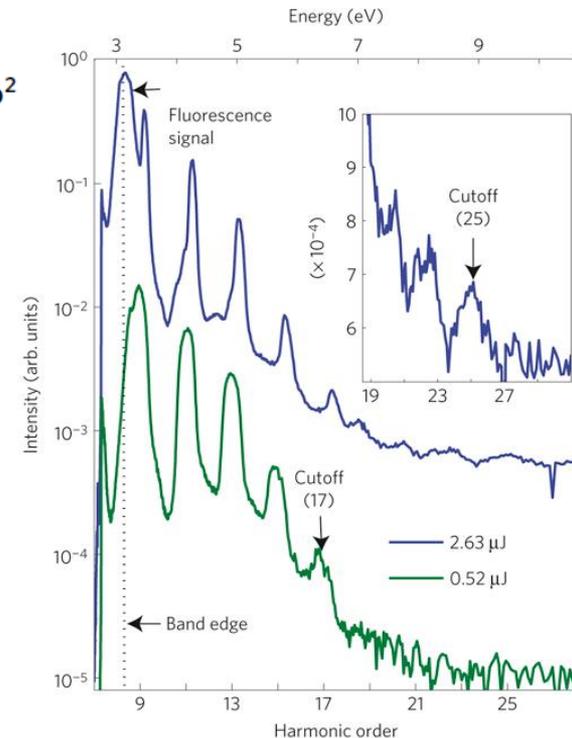
PUBLISHED ONLINE: 5 DECEMBER 2010 | DOI: 10.1038/NPHYS1847

nature
physics

Observation of high-order harmonic generation in a bulk crystal

Shambhu Ghimire¹, Anthony D. DiChiara², Emily Sistrunk², Pierre Agostini², Louis F. DiMauro² and David A. Reis^{1,3*}

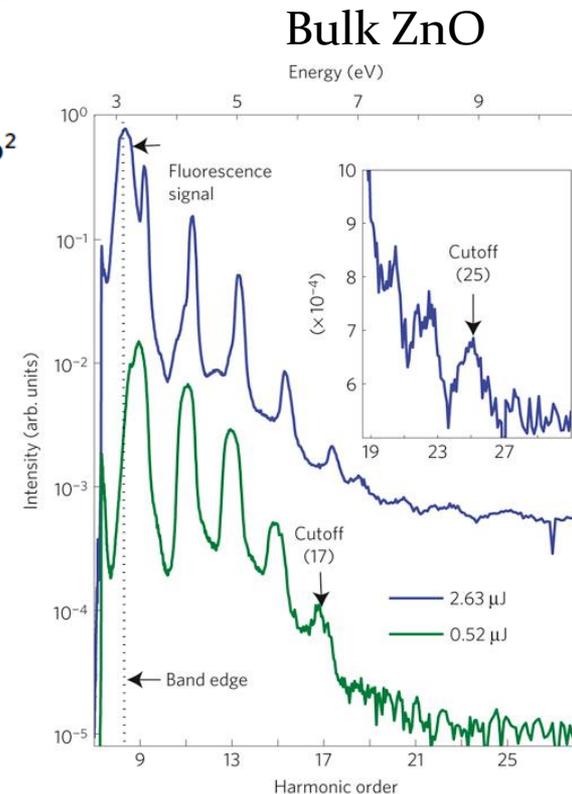
Bulk ZnO



Observation of high-order harmonic generation in a bulk crystal

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Marangos, *Nat. Phys.* 7, 97 (2011)
Schubert *et al.*, *Nat. Phot.* 8, 119 (2014).
Kim *et al.*, *Nat. Phot.* 8, 92 (2014).
Hohenleutner *et al.*, *Nature* 523, 572 (2015).
Vampa *et al.*, *Nature* 522, 462 (2015).
Luu *et al.*, *Nature* 521, 498 (2015).
Vampa *et al.*, *PRL* 115, 193603 (2015).



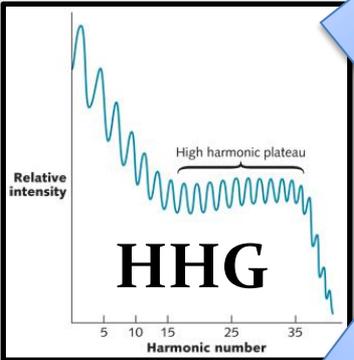
Some applications of HHG in solids

Micrometer-scale extreme-ultra-violet (XUV) sources

Table-top synchrotron

Electron-hole recollisions
in real time

Zaks *et al* Nature 483, 580 (2012).



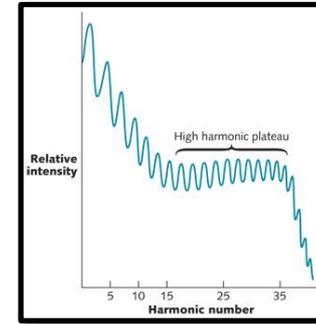
All-optical band-structure
reconstruction

Vampa *et al.*, PRL. 115, 193603 (2015).

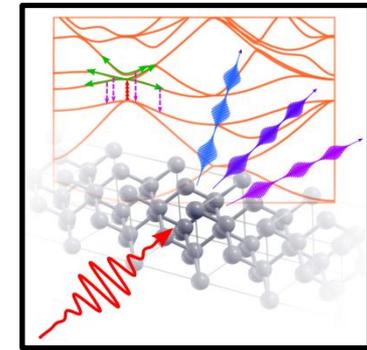
Quantum-logic at optical
clock-rates

Outline

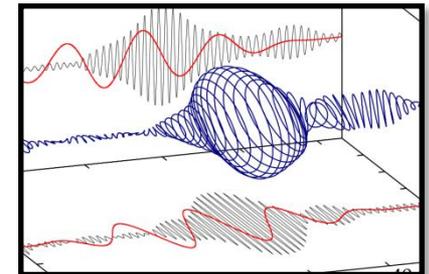
- High-harmonic generation (HHG)



- HHG in solids: Impact of the band structure



- Ellipticity dependence



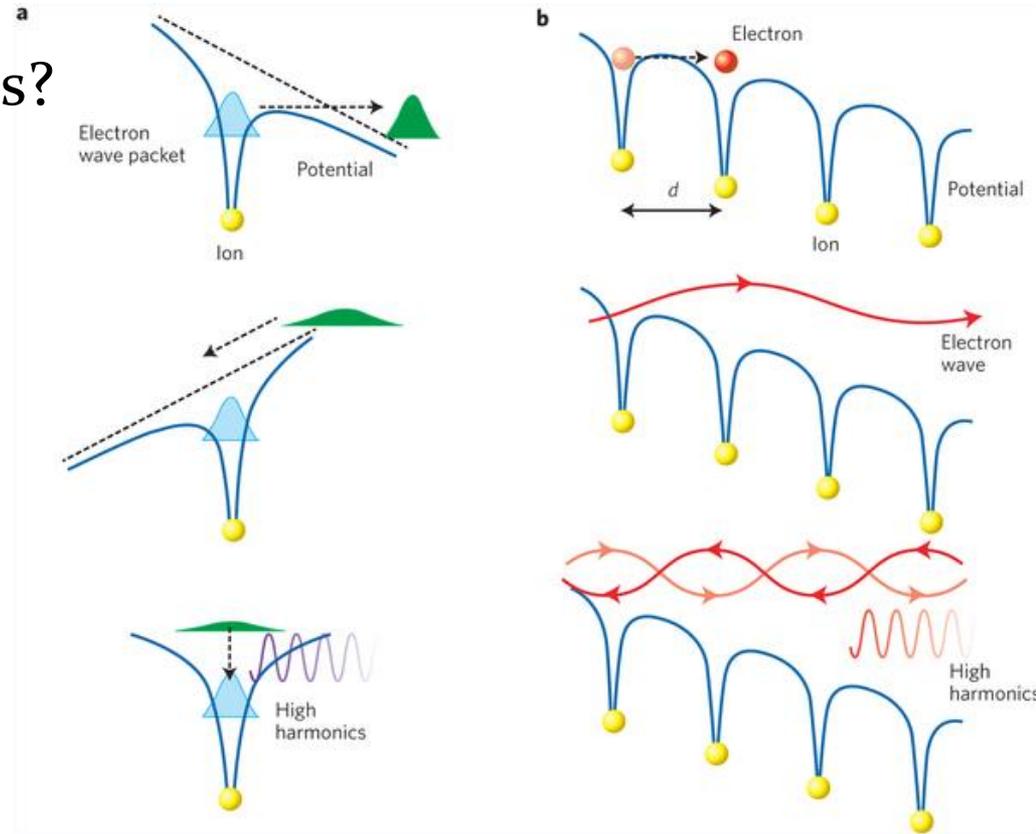
Understanding HHG in solids

What is the microscopic mechanism responsible for HHG in solids?

Understanding HHG in solids

What is the microscopic mechanism responsible for HHG in solids?

A similar mechanism as in atoms?



From Kim *et al.* Nature Photonics **8**, 92 (2014)

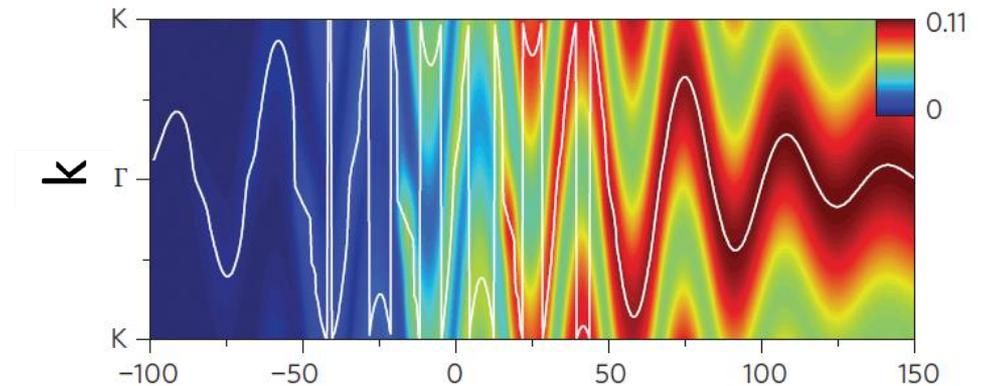
Max Planck Institute for the Structure and Dynamics of Matter

Understanding HHG in solids

What is the microscopic mechanism responsible for HHG in solids?

A similar mechanism as in atoms?

Dynamical Bloch oscillations?



From Schubert *et al.* Nature Photonics **8**, 119 (2014)

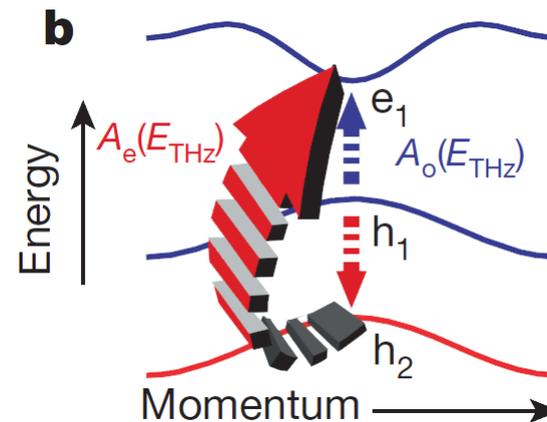
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Interband transitions?



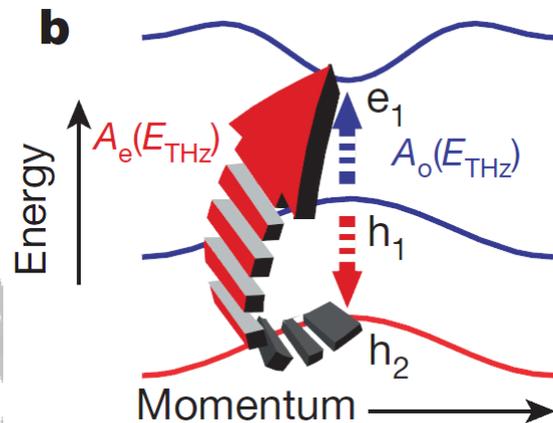
From Hohenleutner *et al.* Nature **523**, 572 (2015)

How many bands are contributing?

Understanding HHG in solids

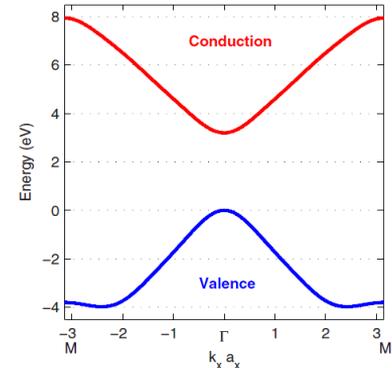
What is the microscopic mechanism responsible for HHG in solids?

Interband transitions?



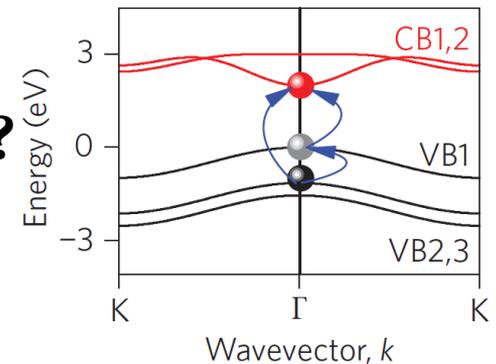
From Hohenleutner *et al.* Nature **523**, 572 (2015)

Two-band model?



Vampa *et al.*, PRL. 115, 193603 (2015).

Five-band model?



From Schubert *et al.* Nature Photonics **8**, 119 (2014)

Ab initio approach to HHG in solids

Time-dependent density functional theory (TDDFT) framework

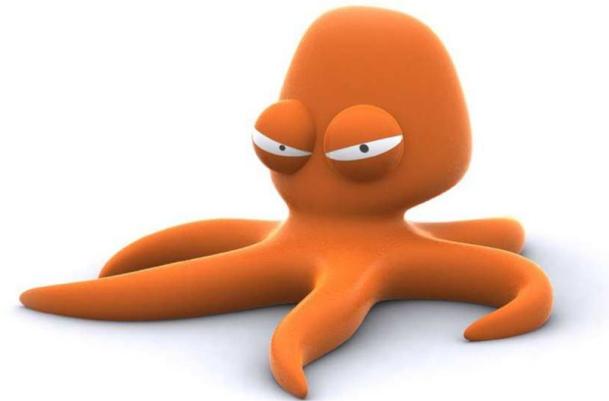
- No empirical parameters
- Full band-structure included, real crystal structure
- No *a priori* approximation on the number of bands
- Correlation effects can be investigated
- Possibility to go beyond intrinsic effects:
Phonons and surface effects,
light propagation effects,

...

Ab initio approach to HHG in solids

TDDFT framework with **Octopus** code

- Dipole approximation
- Laser is modeled by a time-dependent vector potential
- Real-space real-time TDDFT



Some exact analytical results

Let us consider a general Hamiltonian

$$\hat{H}(t) = \hat{T} + \hat{V}(t) + \hat{W},$$

From the equation of motion of the electronic current

$$\frac{\partial}{\partial t} \mathbf{j}(\mathbf{r}, t) = -i \langle \Psi(t) | [\hat{\mathbf{j}}(\mathbf{r}), \hat{H}(t)] | \Psi(t) \rangle$$
$$\frac{\partial}{\partial t} \mathbf{j}(\mathbf{r}, t) = -n(\mathbf{r}, t) \nabla v(\mathbf{r}, t) + \Pi^{\text{kin}}(\mathbf{r}, t) + \Pi^{\text{int}}(\mathbf{r}, t)$$



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Momentum of the system

Internal forces of the system

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Momentum of the system

Internal forces of the system

Third Newton's law: only external forces contribute to the total momentum of the system

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$$\text{HHG}(\omega) = \left| \text{FT} \left(\frac{\partial}{\partial t} \int d^3 \mathbf{r} \mathbf{j}(\mathbf{r}, t) \right) \right|^2$$

Some exact analytical results

From the *exact* equation of motion of the electronic current, we can write that [1]

$$\text{HHG}(\omega) \propto \left| \text{FT} \left(\int_{\Omega} d^3 \mathbf{r} n(\mathbf{r}, t) \nabla v_0(\mathbf{r}) \right) + N_e \mathbf{E}(\omega) \right|^2$$

Valid for atom, molecules and solids (dipole approximation)



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- No HHG from an homogeneous electron gas (parabolic bands)

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Valid for atom, molecules and solids (dipole approximation)

- HHG originate from competing terms: electronic density and electron-ion potential
- No HHG from an homogeneous electron gas (parabolic bands)
- HHG is enhanced by inhomogeneity of the electron-ion potential -> layered materials are good candidates for HHG

What is the role of correlations in HHG in solids?

Time-dependent Kohn-Sham equations

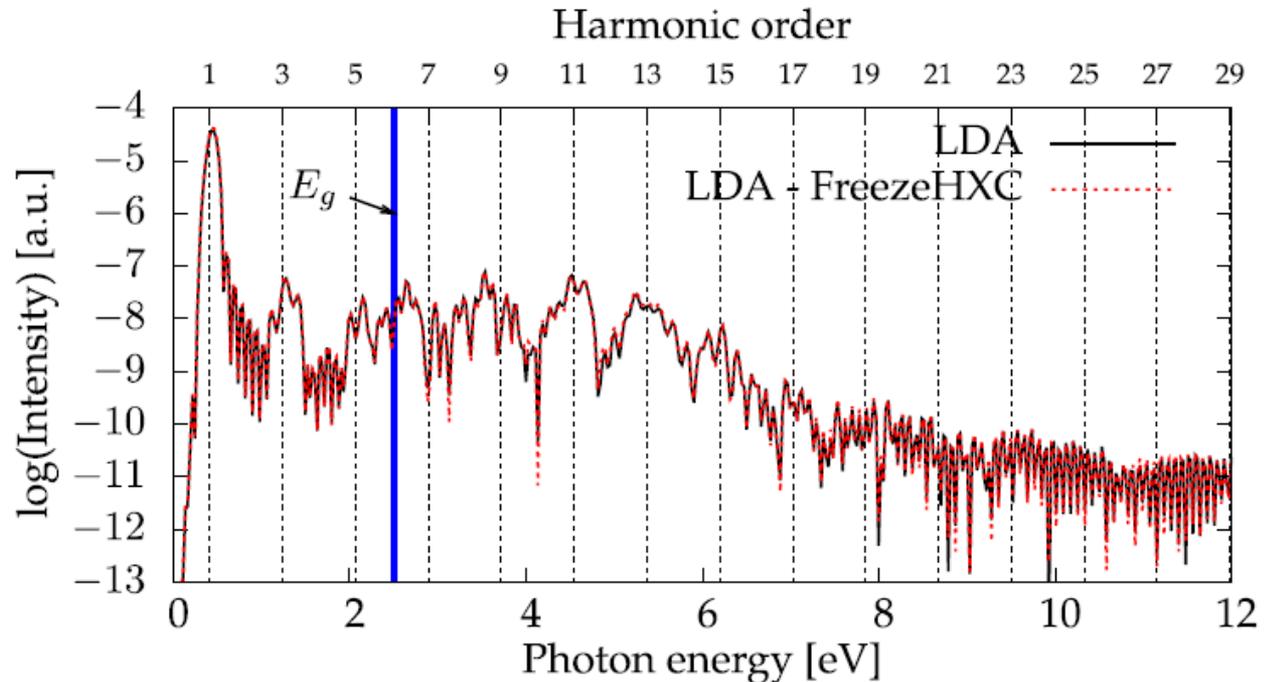
$$i \frac{\partial}{\partial t} \phi_i(\mathbf{r}, t) = \left(-\frac{\nabla^2}{2} + v_{\text{ext}}(\mathbf{r}, t) + v_{\text{H}}[n](\mathbf{r}, t) + v_{\text{xc}}[n](\mathbf{r}, t) \right) \phi_i(\mathbf{r}, t)$$

Independent-particle approximation:

$$i \frac{\partial}{\partial t} \phi_i(\mathbf{r}, t) = \left(-\frac{\nabla^2}{2} + v_{\text{ext}}(\mathbf{r}, t) + v_{\text{H}}[n](\mathbf{r}, \underline{t_0}) + v_{\text{xc}}[n](\mathbf{r}, \underline{t_0}) \right) \phi_i(\mathbf{r}, t)$$

Correlation effects in HHG

In bulk silicon, the Hartree and exchange-correlation potentials do not evolve during the laser pulse.



- Electrons evolve in a fixed band structure
- Band structure might be retrieved

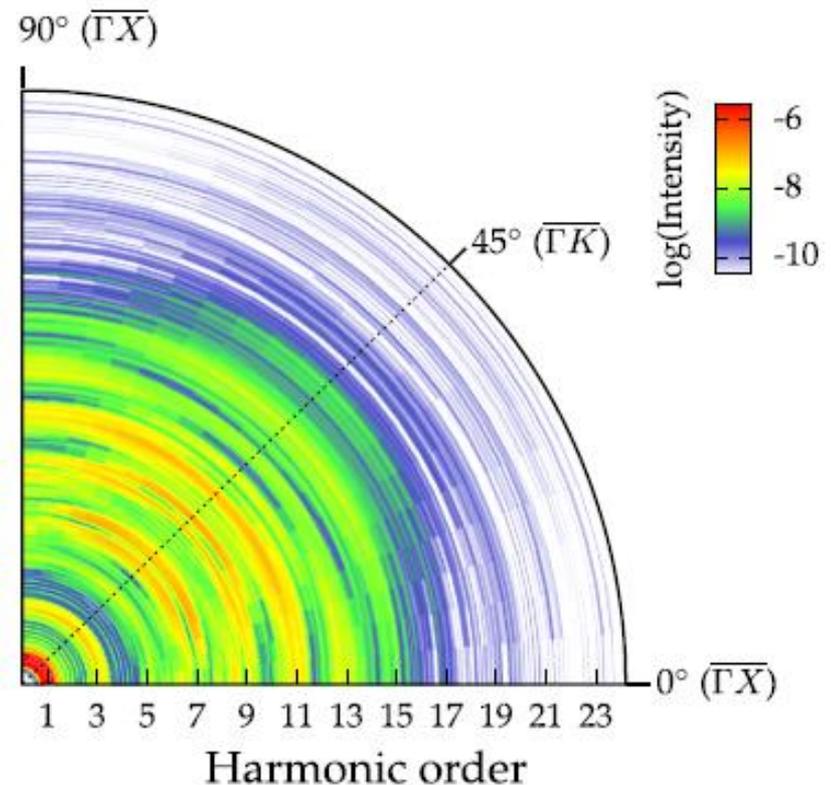
Bulk Silicon
 $\lambda=3000\text{nm}$
25fs FWHM
 $I=10^{11}\text{W/cm}^2$

Anisotropy of the HHG in solids

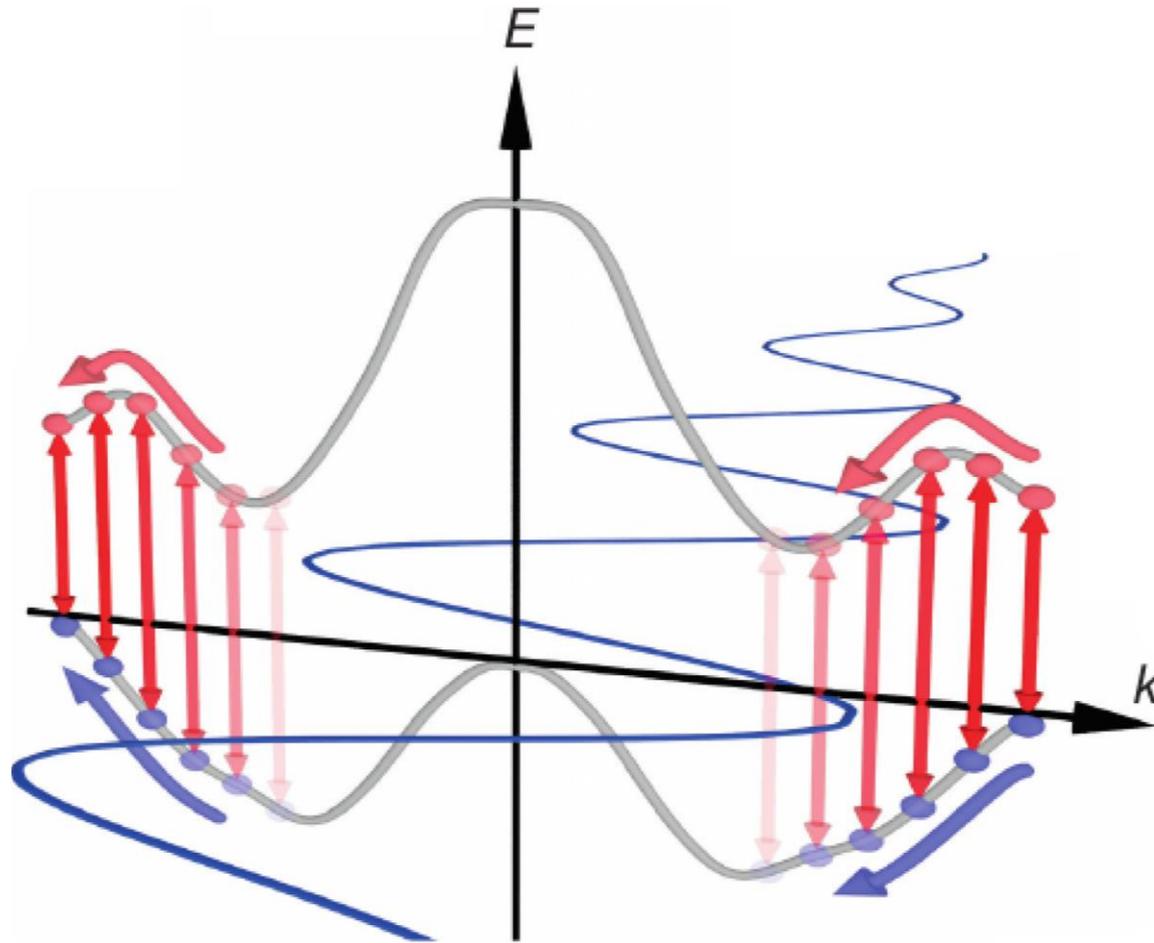
Electrons only explore a restricted portion of the Brillouin zone

-> HHG emission is anisotropic, even in cubic materials

Calculated TDDFT anisotropy map of the HHG spectra obtained by rotating the laser polarization around the [001] crystallographic direction



Interband vs Intraband mechanism



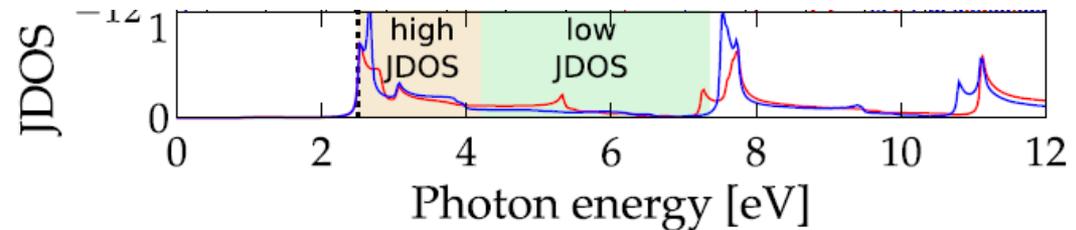
Adapted from Langer et al., Nature 533, 225 (2016)

Interband vs Intraband mechanism

Harmonic emission from interband mechanism:

only if conduction-valence transitions are available

The interband mechanism depends on the *density of optical transitions* (JDOS)



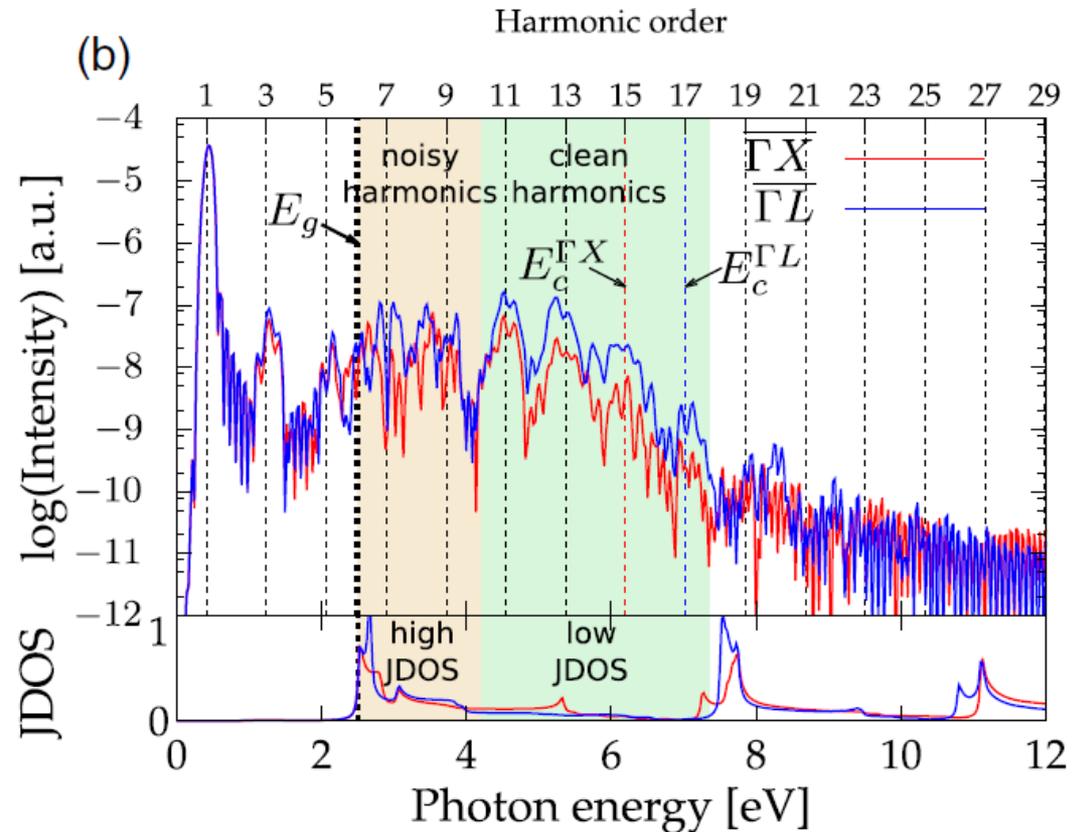
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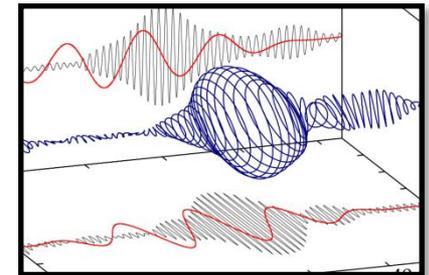
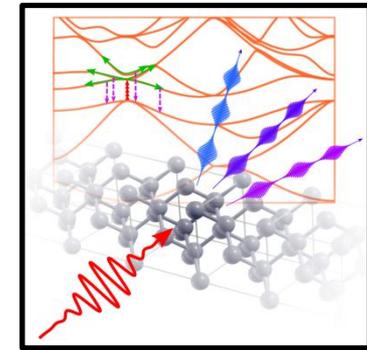
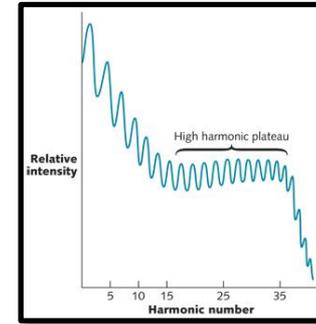
The interband mechanism depends on the *density of optical transitions* (JDOS)

- Low JDOS: interband contribution is suppressed
- HHG yield improved when interband is suppressed
- Toward band-structure engineering to improve HHG in solids



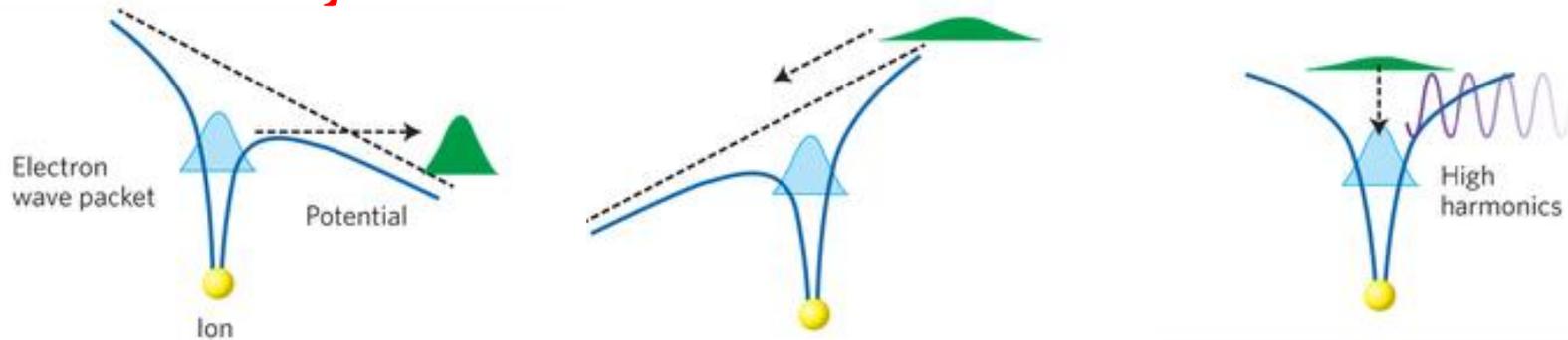
Outline

- High-harmonic generation (HHG)
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- Ellipticity dependence

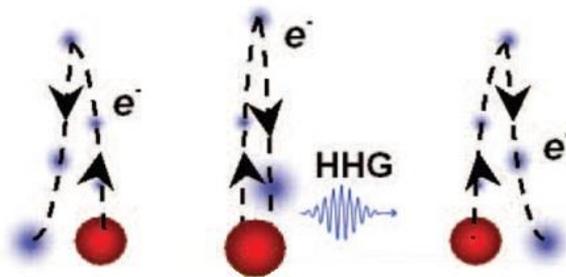


Ellipticity dependence in gases

In atomic gases, circular light suppresses the harmonic yield



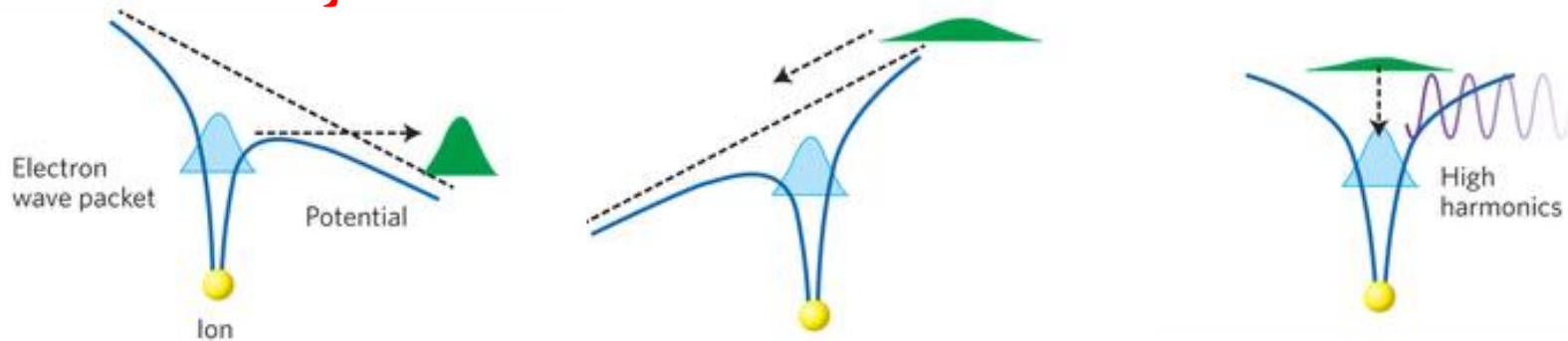
Electrons acquire a transversal momentum and “misses” the parent ion.
No recombination, no harmonic emission



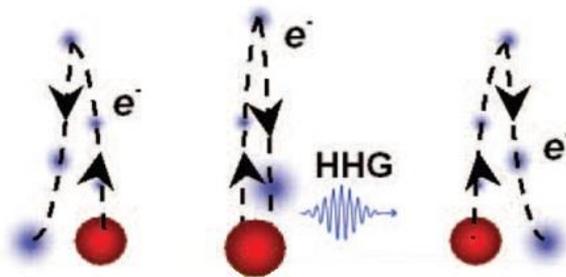
B. Shan *et al.*, J. Mod. Opt.
52, 277 (2005)

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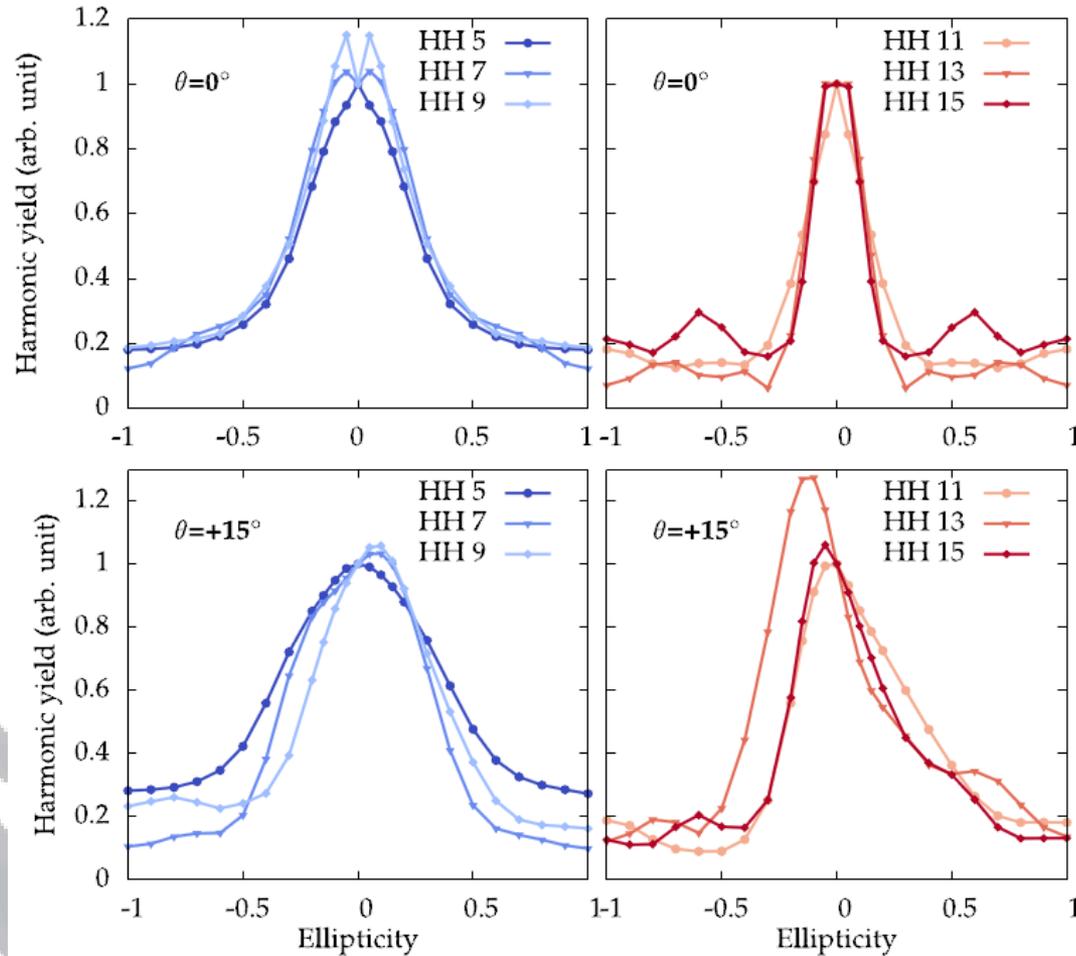
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Not the case in solids !

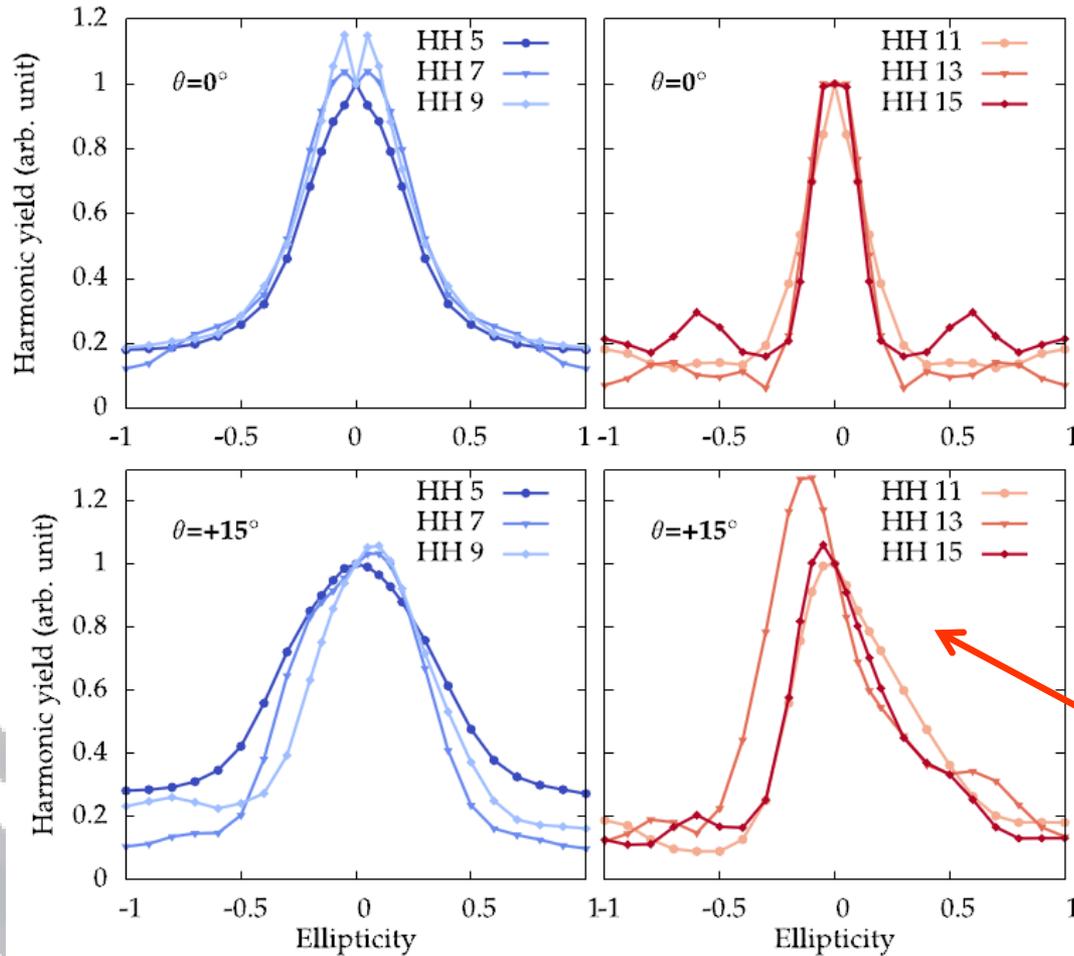
Ellipticity dependence of HHG in solids



Bulk Si
 $\lambda = 3000 \text{ nm}$
 $I = 3 \times 10^{12} \text{ W/cm}^2$
25 fs FWHM

[1] N. T.-D. *et al.*, *Ellipticity dependence of high-harmonic generation in solids: unraveling the interplay between intraband and interband dynamics* (submitted)

Ellipticity dependence of HHG in solids

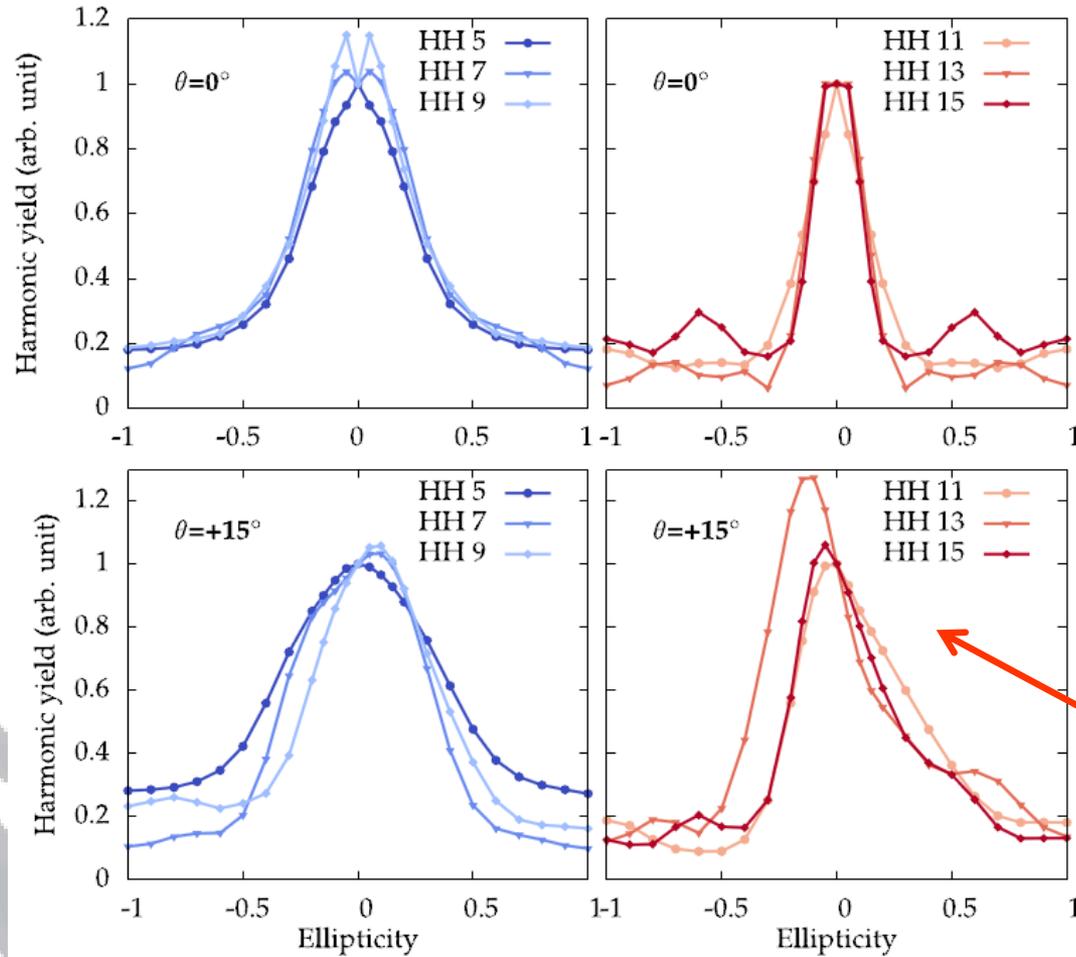


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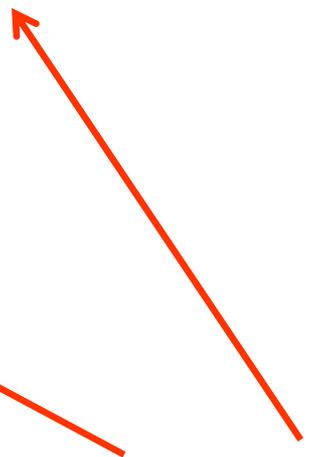
Anisotropic ellipticity profiles

[1] N. T.-D. *et al.*, *Ellipticity dependence of high-harmonic generation in solids: unraveling the interplay between intraband and interband dynamics* (submitted)

Ellipticity dependence of HHG in solids



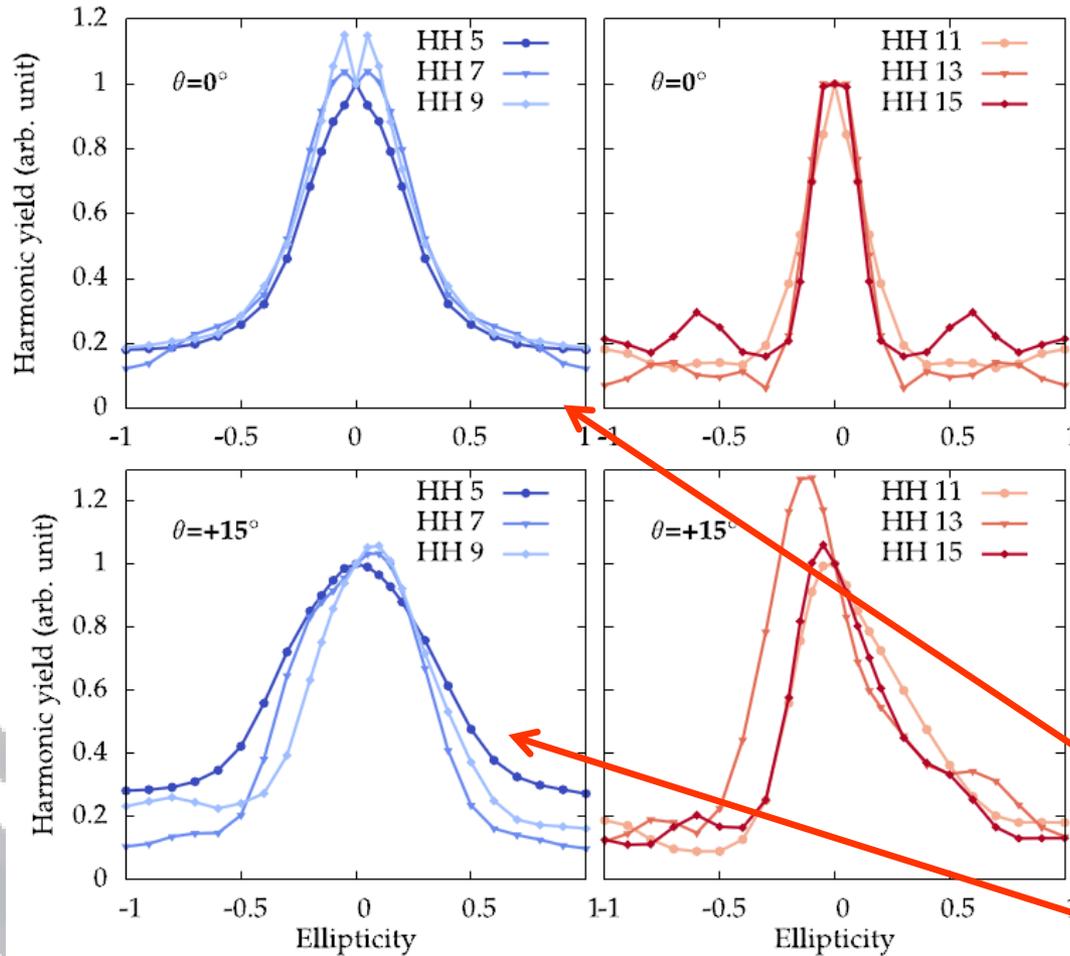
Bulk Si
 $\lambda = 3000 \text{ nm}$
 $I = 3 \times 10^{12} \text{ W/cm}^2$
25 fs FWHM



Mostly from intraband

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Ellipticity dependence of HHG in solids

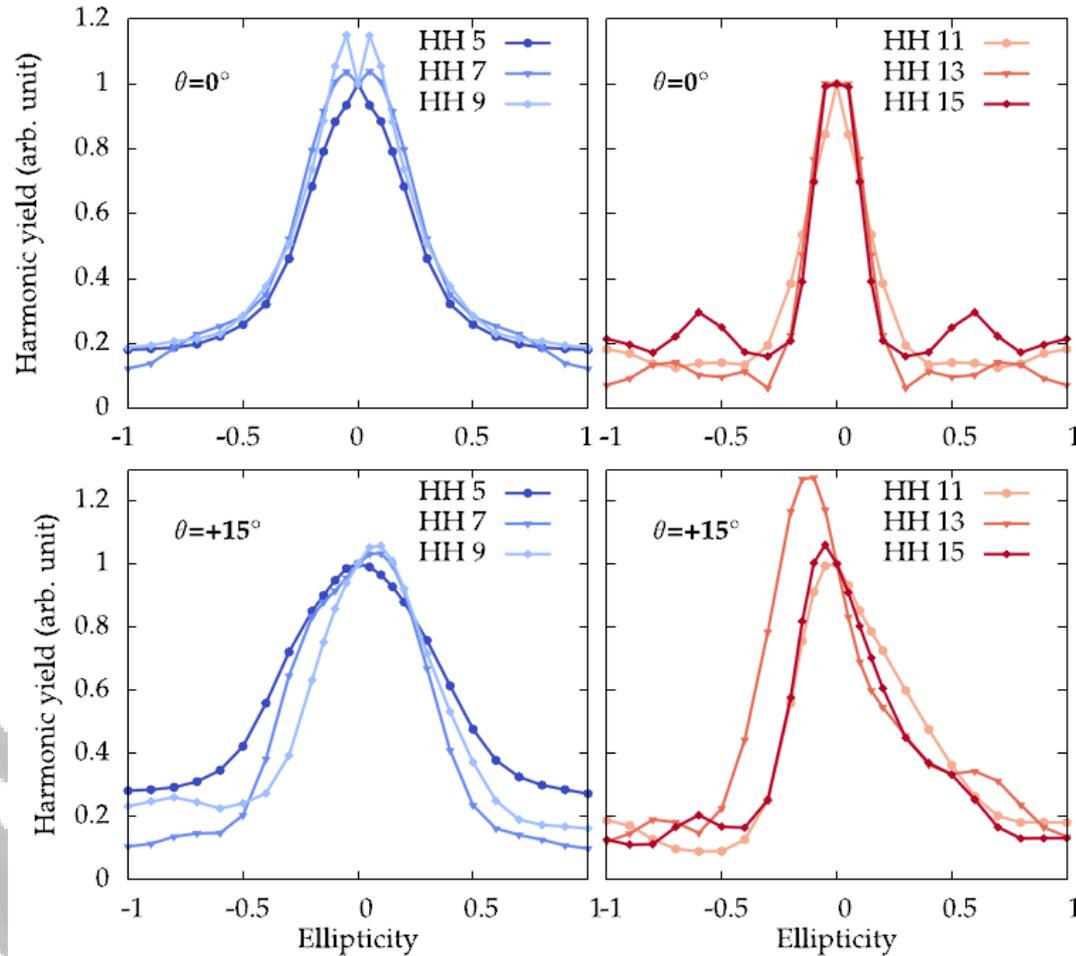


Bulk Si
 $\lambda = 3000 \text{ nm}$
 $I = 3 \times 10^{12} \text{ W/cm}^2$
25 fs FWHM

Interband+ intraband

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Ellipticity dependence of HHG in solids

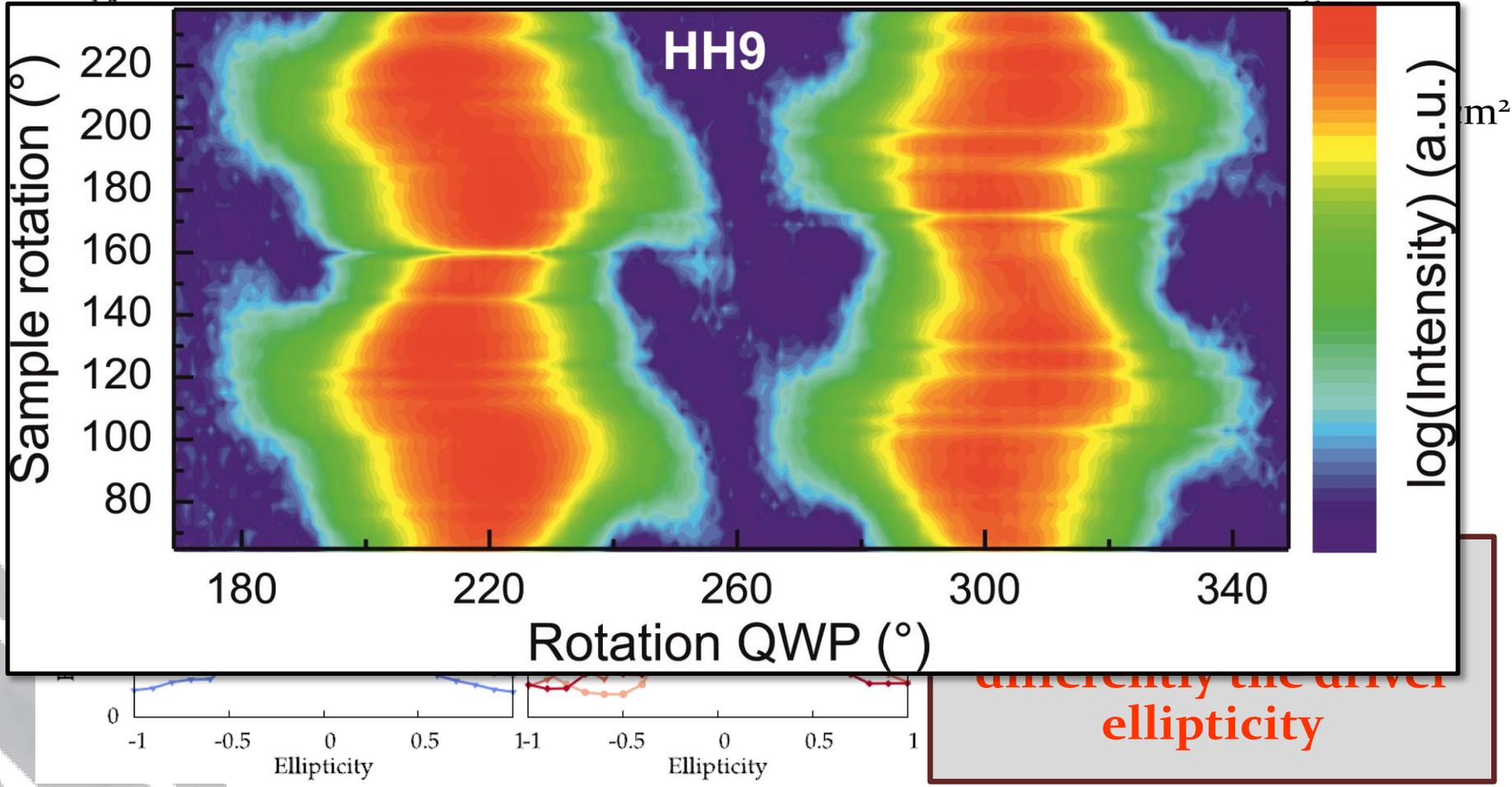


Bulk Si
 $\lambda = 3000 \text{ nm}$
 $I = 3 \times 10^{12} \text{ W/cm}^2$
25 fs FWHM

Interband and intraband react differently the driver ellipticity

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Ellipticity dependence of HHG in solids

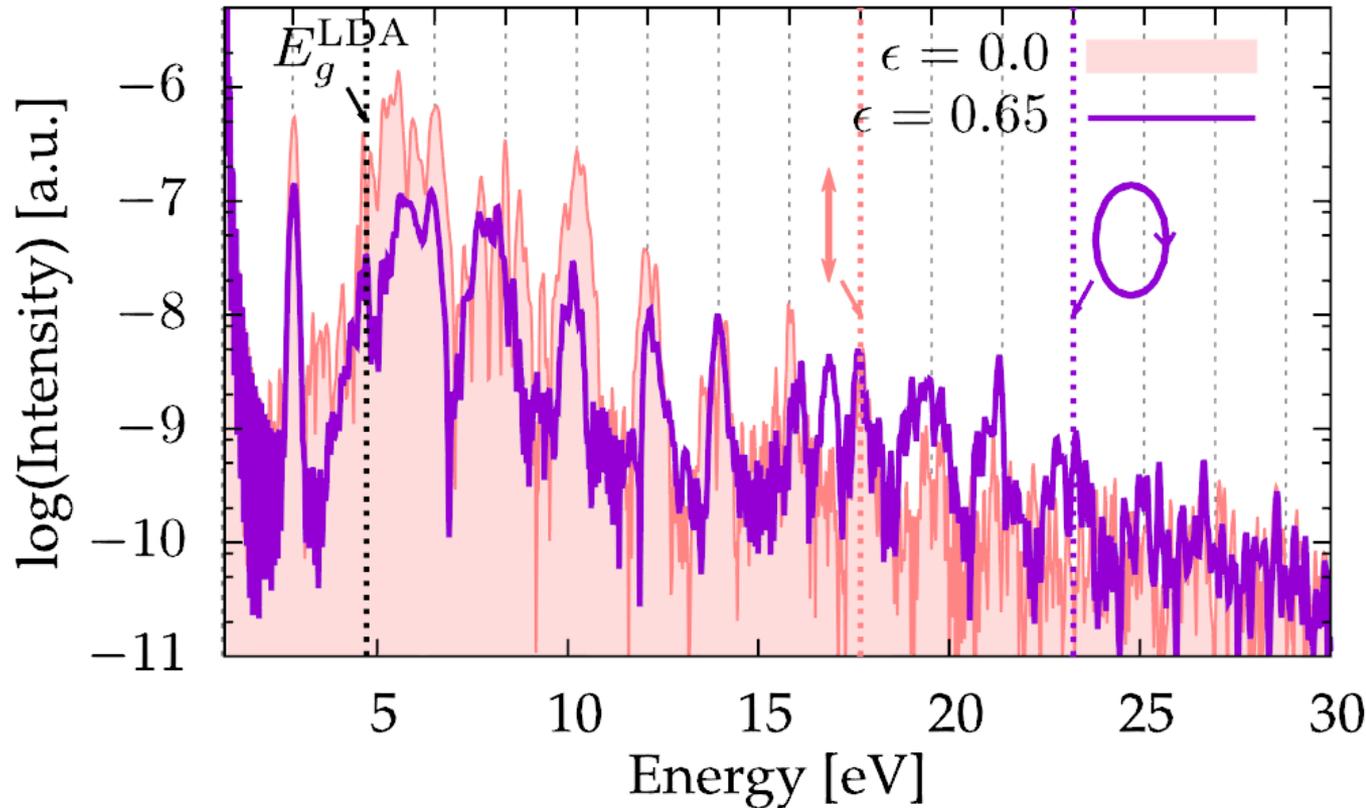


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Energy cutoff increase from ellipticity

Harmonic order

3 5 7 9 11 13 15 17 19 21 23 25 27 29 31



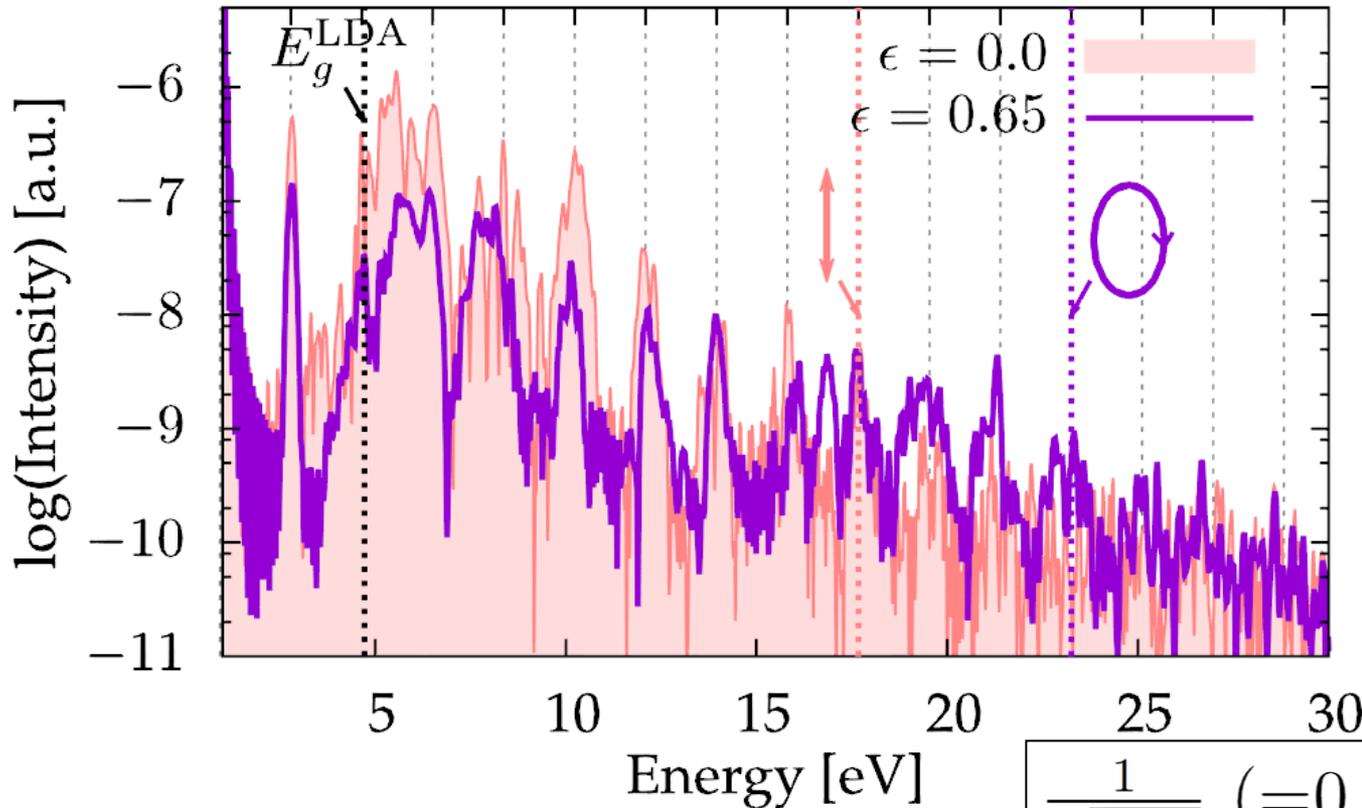
Bulk MgO
 $\lambda = 1333 \text{ nm}$
 $I = 3 \times 10^{12} \text{ W/cm}^2$
50fs FWHM

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Energy cutoff increase from ellipticity

Harmonic order

3 5 7 9 11 13 15 17 19 21 23 25 27 29 31



Bulk MgO
 $\lambda=1333\text{nm}$
 $I=3\times 10^{12}\text{ W/cm}^2$
5ofs FWHM

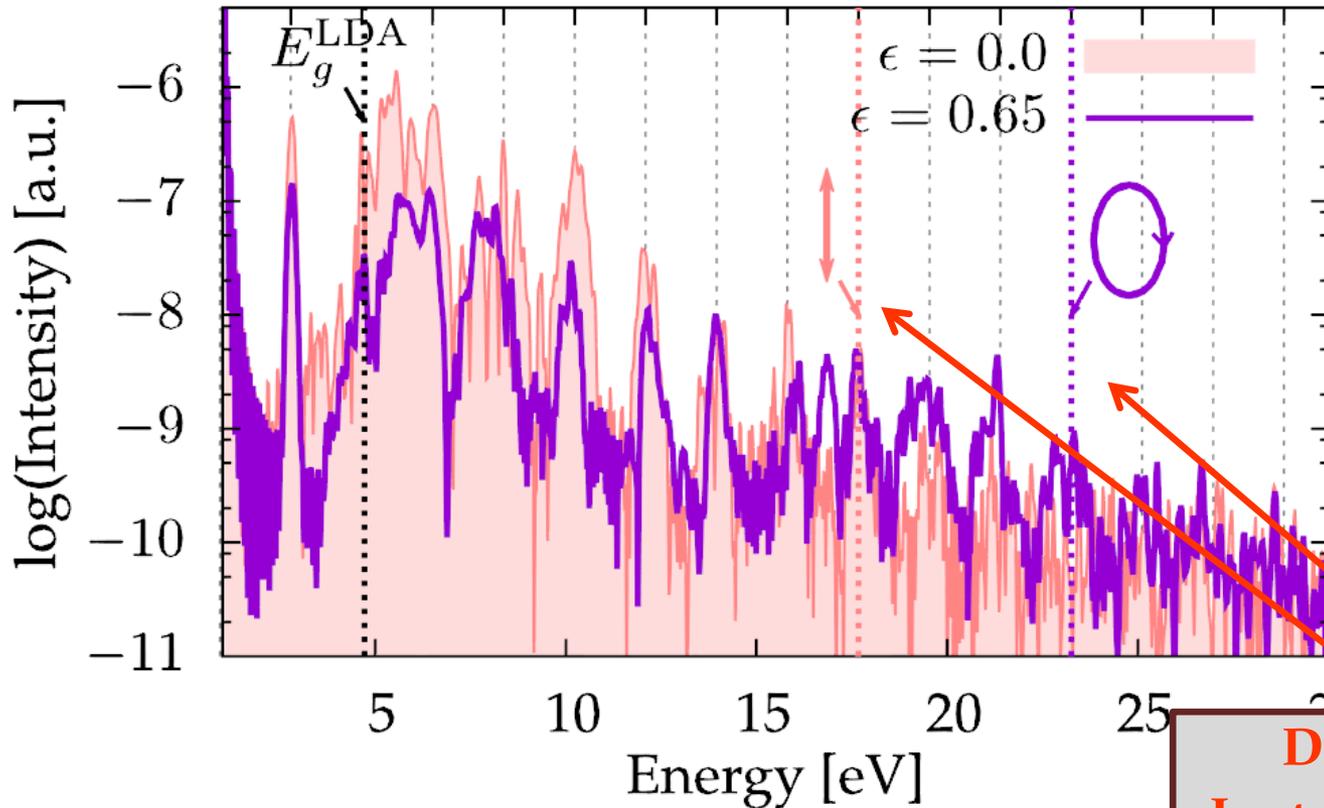
$$\frac{1}{\sqrt{1+\epsilon^2}} \quad (=0.84 \text{ for } \epsilon = 0.65)$$

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Energy cutoff increase from ellipticity

Harmonic order

3 5 7 9 11 13 15 17 19 21 23 25 27 29 31



Bulk MgO
 $\lambda=1333\text{nm}$
 $I=3\times 10^{12}\text{ W/cm}^2$
5ofs FWHM

**Decrease expected
Instead, increase by 30%**

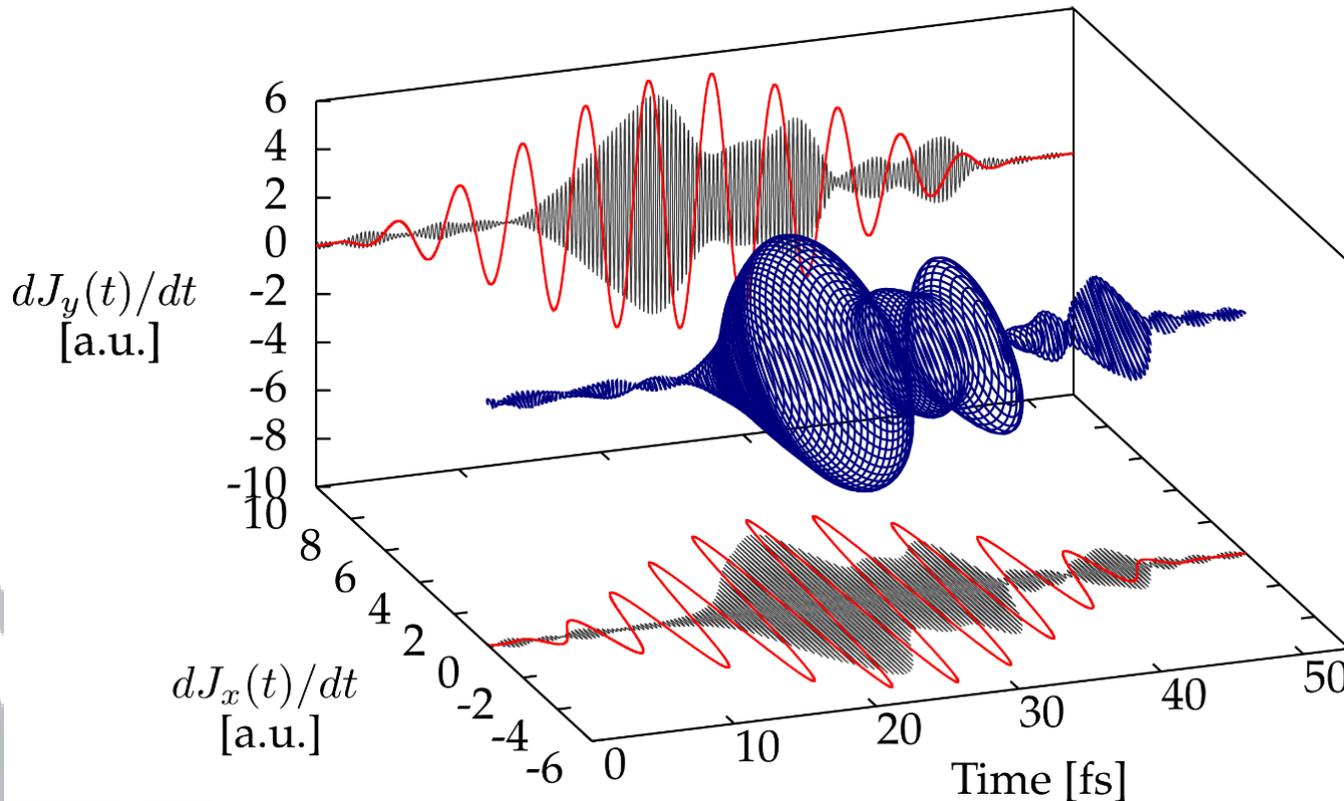
[1] N. T.-D. *et al.*, *Ellipticity dependence of high-harmonic generation in solids: unraveling the interplay between intraband and interband dynamics* (submitted)

Circularly polarized harmonics from solids

Emitted harmonics follow the ellipticity of the driver field

Example: Harmonic 15th in MgO

$\lambda=1333\text{nm}$,
 $I=3\times 10^{12}\text{ W/cm}^2$
50fs FWHM



[1] N. T.-D. *et al.*, *Ellipticity dependence of high-harmonic generation in solids: unraveling the interplay between intraband and interband dynamics* (submitted)

Conclusion

HHG enhanced by inhomogeneity of the electron-nuclei potential

HHG is anisotropic in bulk crystal, even in cubic materials

Possible to suppress interband contribution in favor of HHG yield

Possible to predict the optimal laser polarization, based on the sole knowledge of the crystal's band structure



Conclusion

Interband and intraband mechanisms react differently to driver ellipticity

HHG cutoff can be improved by ellipticity

Possible to generate circular harmonics in solids, using a single driver field

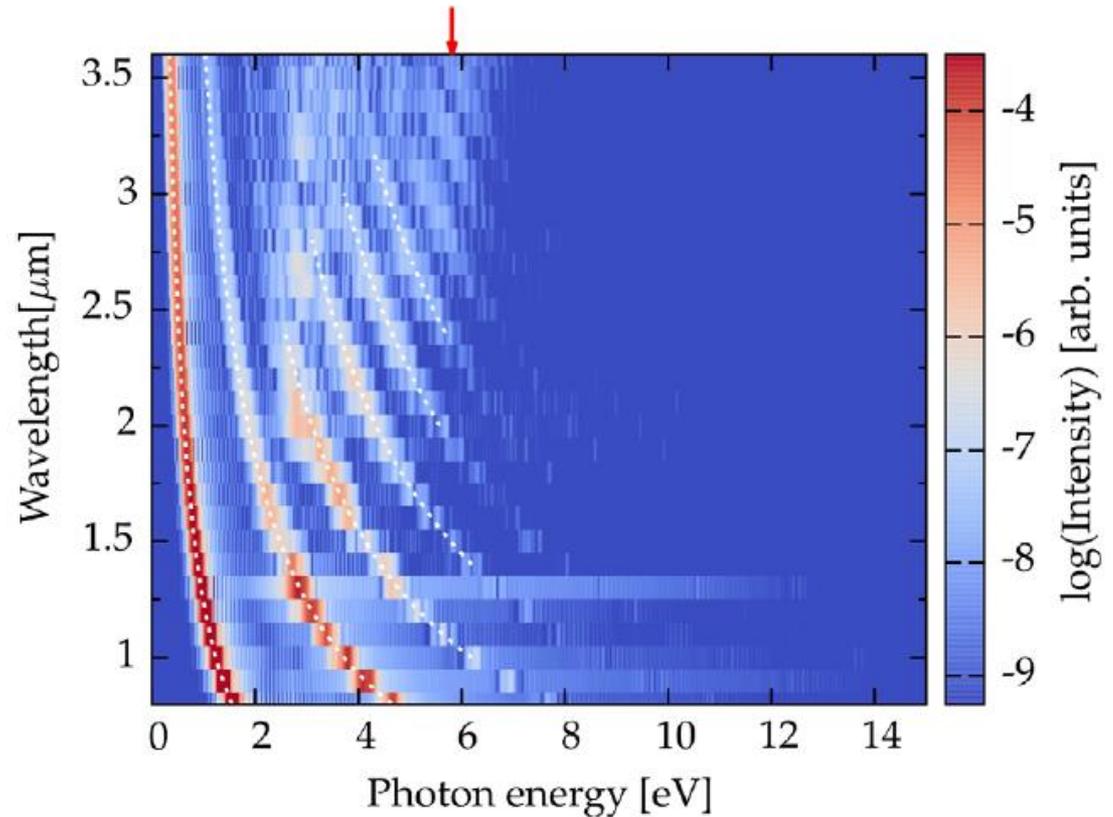
Thank you for your attention

[1] N. T-D *et al.*, PRL 118, 087403 (2017)

[2] N. T.-D. *et al.*, *Ellipticity dependence of high-harmonic generation in solids: unraveling the interplay between intraband and interband dynamics* (submitted)

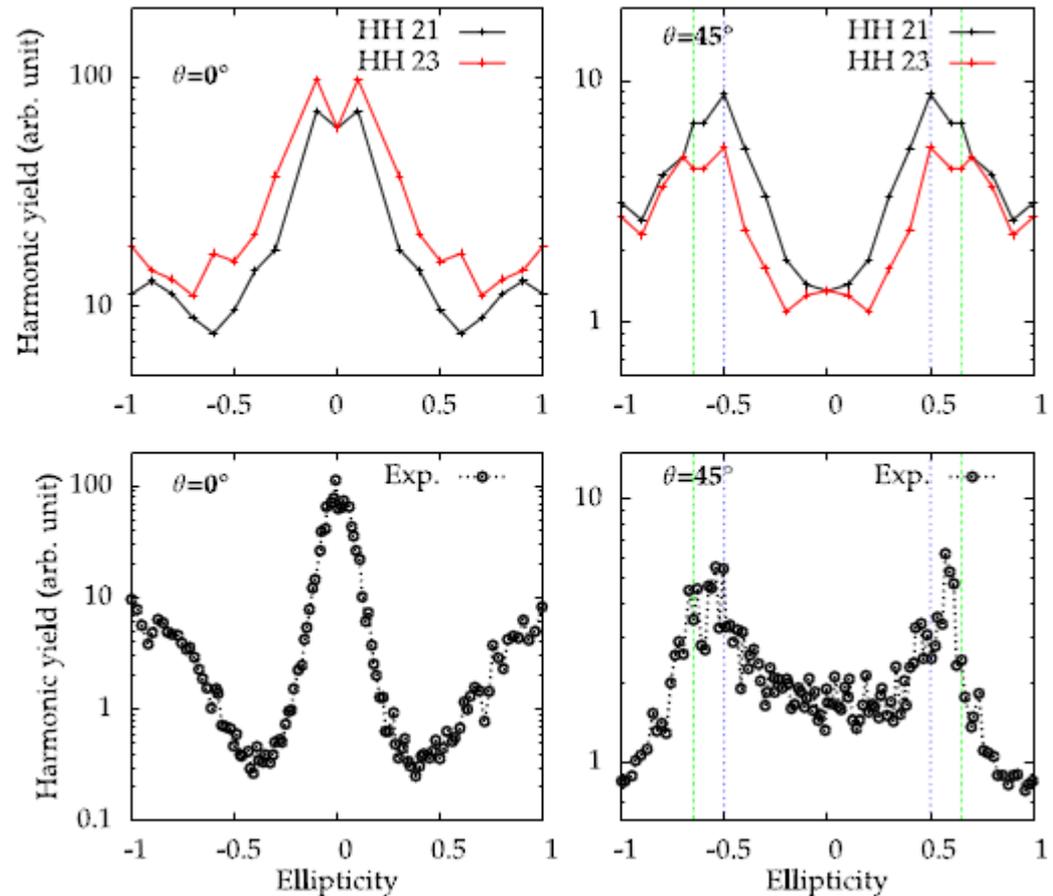
Wavelength dependence of the energy cut-off

Energy cutoff independent of the wavelength in solids



Ellipticity dependence in bulk MgO

Comparison between TDDFT (LDA) and experimental results



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