

Quantum transport in graphene

L1 Disordered graphene (G)

L2 Ballistic electrons in graphene (G/hBN)

making graphene ballistic

PN junctions and Veselago lens in graphene

Andreev reflection in ballistic SGS devices

Lifshitz transition and QHE in bilayer graphene

L3 Moiré superlattice effects in G/hBN
heterostructures



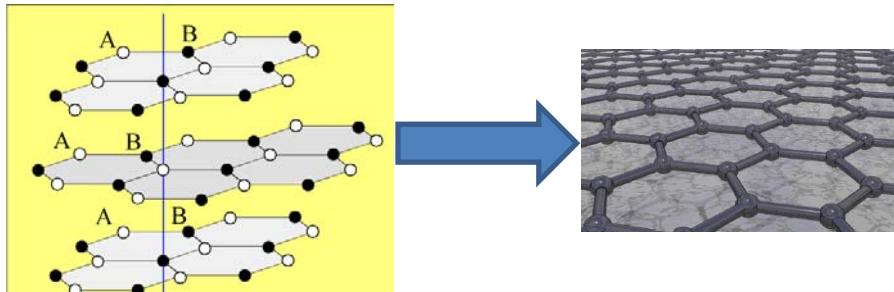
how?



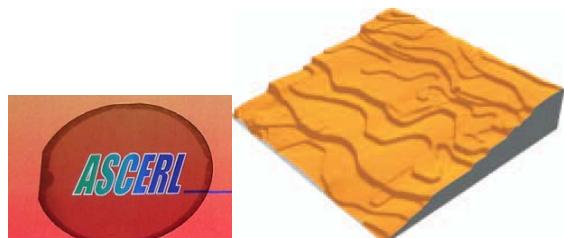
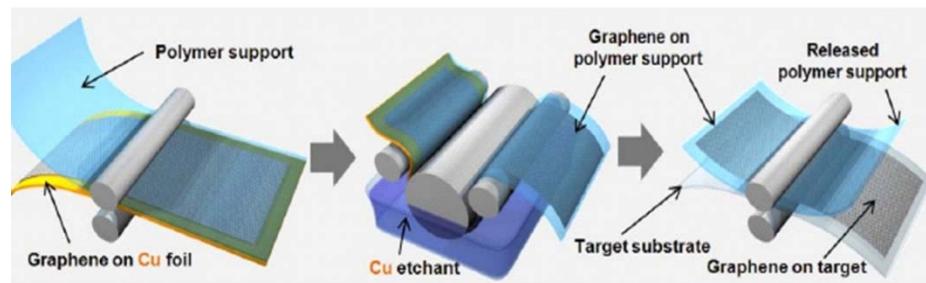
$$\hat{H} = v \vec{p} \cdot \vec{\sigma} + \hat{V}_{disorder}$$

$$\hat{H} = v \vec{p} \cdot \vec{\sigma}$$

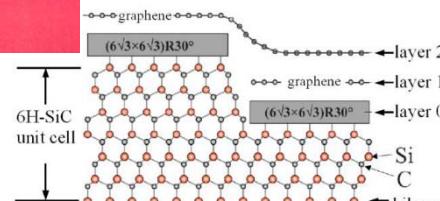
How to get best-quality graphene



Exfoliated from bulk graphite onto a substrate, or hanged suspended



Graphene grown on the basal plane,
50mm semi-insulating Si-face 6H-SiC
September, 2008
Advanced Silicon Carbide Epitaxial Research Laboratory
Naval Research Laboratory
Washington, DC 20375 USA

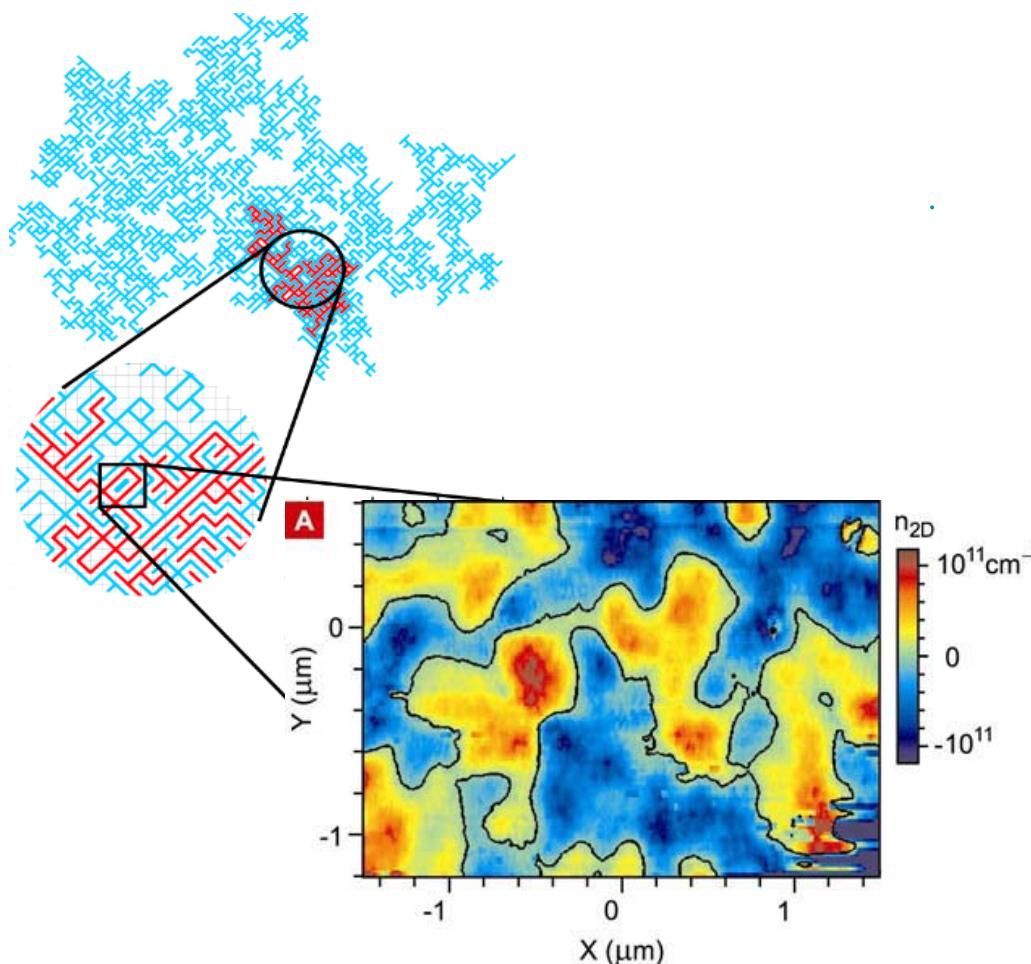


Grown using chemical vapor deposition (CVD) on metals (Cu, Ni), or insulators: polycrystalline and strained ($i_{iv} \tau_* \sim \tau$)

Epitaxial graphene sublimated on Si-terminated surface of SiC: heavily doped by the charge transfer from C-dead layer leaving charge disorder on SiC surface

charge inhomogeneity and electron-hole puddles at ' $n_e=0$ '

charged impurities in the substrate or deposits on its surface
deformations of graphene due to surface roughness

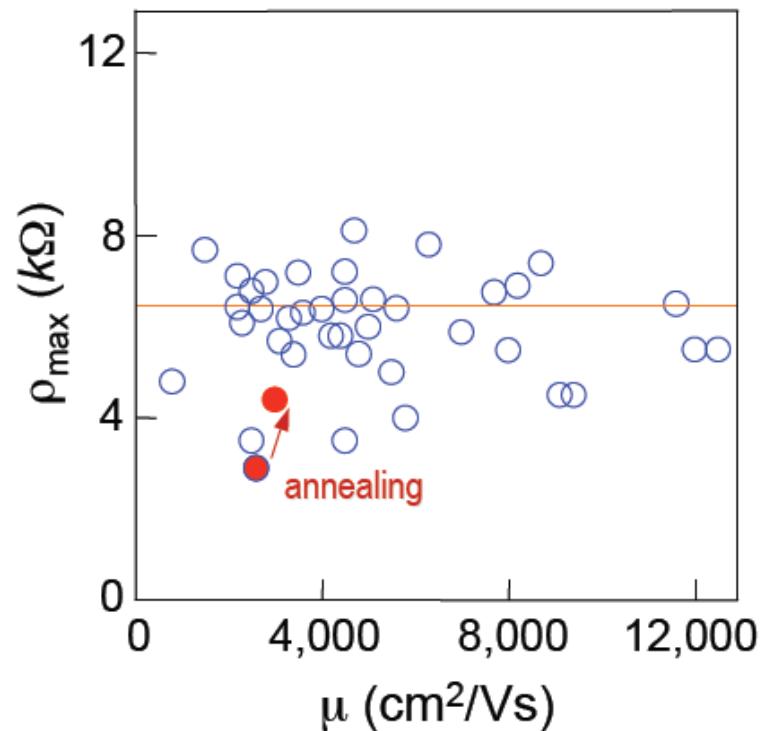
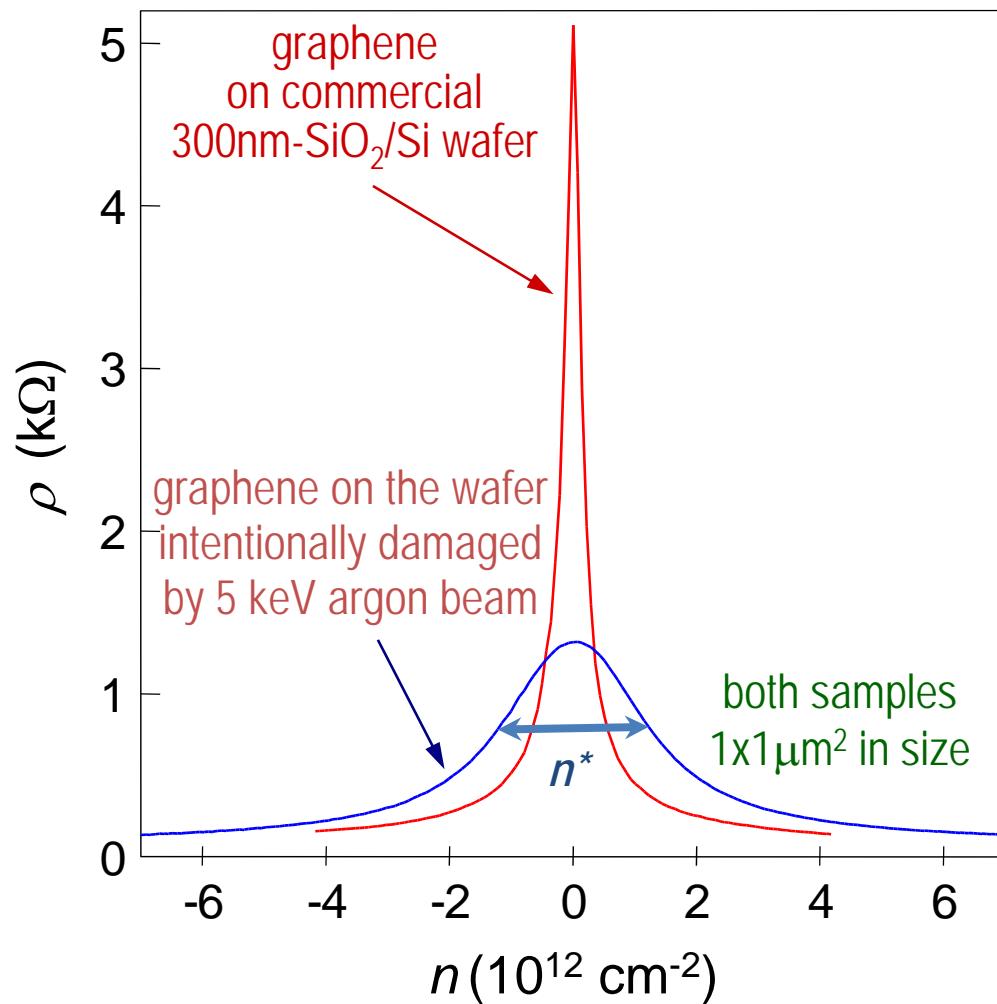


Cheianov, Falko, Altshuler, Aleiner
PRL 99, 176801 (2007)
Adam, Hwang, Galitski, Das Sarma PNAS
104, 18392 (2007)



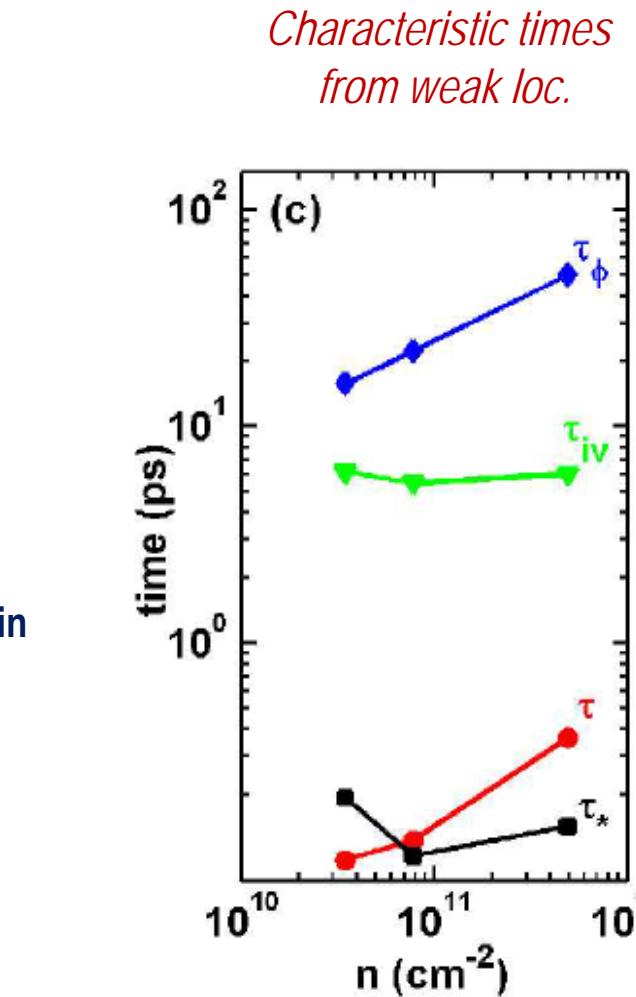
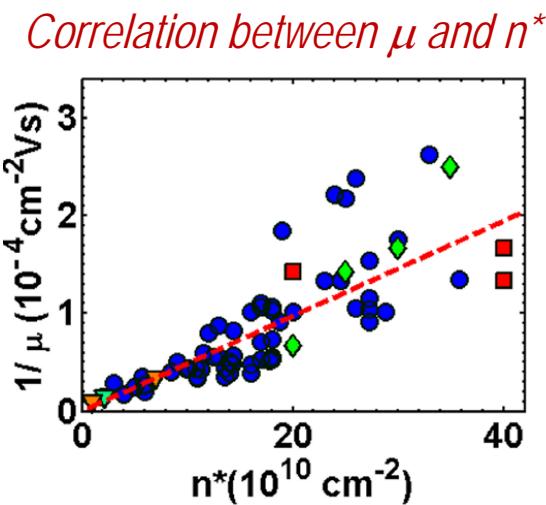
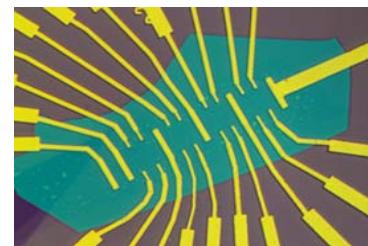
Martin, Akerman, Ulbricht, Lohmann,
Smet, von Klitzing, Yacoby
Nature Physics 4, 144 (2008)

charge inhomogeneity and electron-hole puddles at ' $n_e=0$ '



Geim, Novoselov - Nature Materials (2007)

Random strain fluctuations are the limiting factor for quality of exfoliated graphene

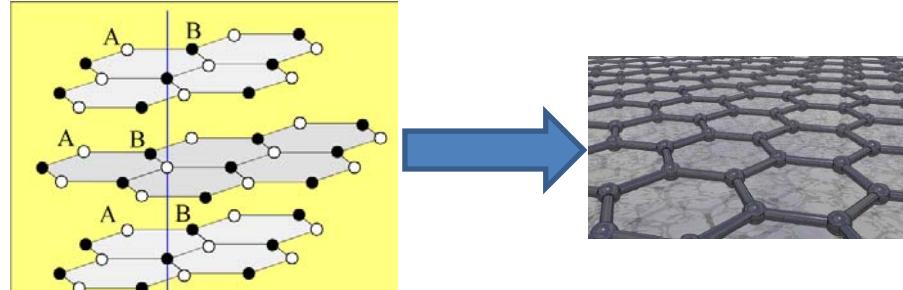


- Correlation between mobility μ and charge inhomogeneity n^* : Scattering and charge fluctuations have same microscopic origin
- Intervalley scattering time $\tau_{iv} >> \tau$ elastic scattering: long-range potentials dominate
- $\tau \sim \tau^*$ time to break effective TRS in one valley: random pseudo-magnetic field due to strain dominate disorder
- Theory explains $\mu --- n^*$ correlation quantitatively in terms of random strain fluctuations

data for graphene on SiO_2 , SrTiO_3 , hBN

Couto, Costanzo, Engels, Ki, Watanabe, Taniguchi, Stampfer, Guinea, Morpurgo - PRX 4, 041019 (2014)

To get best-quality graphene:



Exfoliated from bulk graphite onto a substrate, or hanged suspended

... one needs to get rid of charge fluctuations
in the substrate ...

... but also to make graphene flat,
avoiding strain

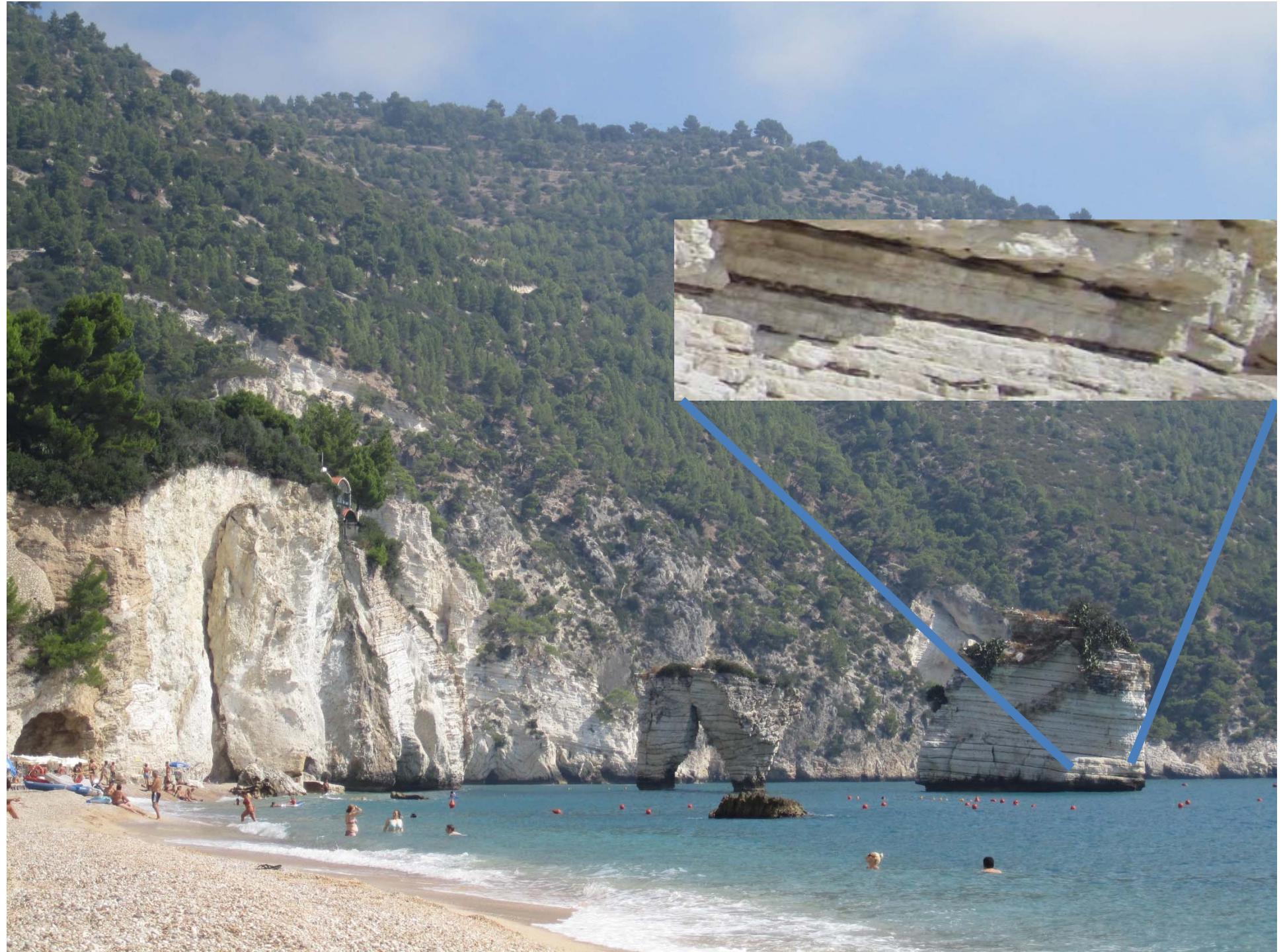
To get best-quality graphene:

Suspending graphene does not solve the problem:
cleaning by annealing only moves dirt around
only small devices, easily strained near contacts



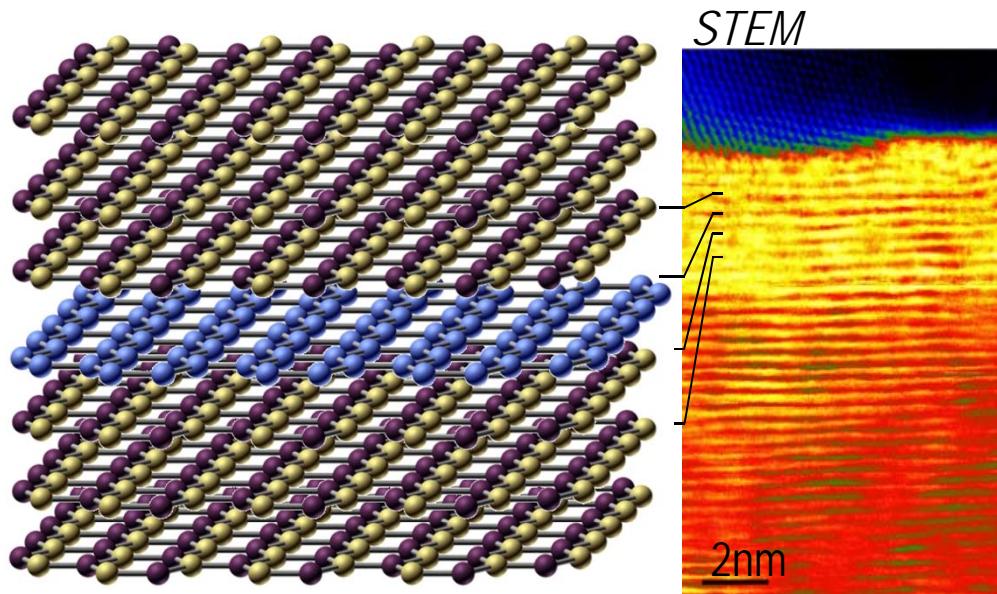
difficult to gate due to electrostatic collapse

To choose a better environment



Graphene: gapless semiconductor
with Dirac electrons

$$\hat{H} = v \vec{\sigma} \cdot \vec{p}$$



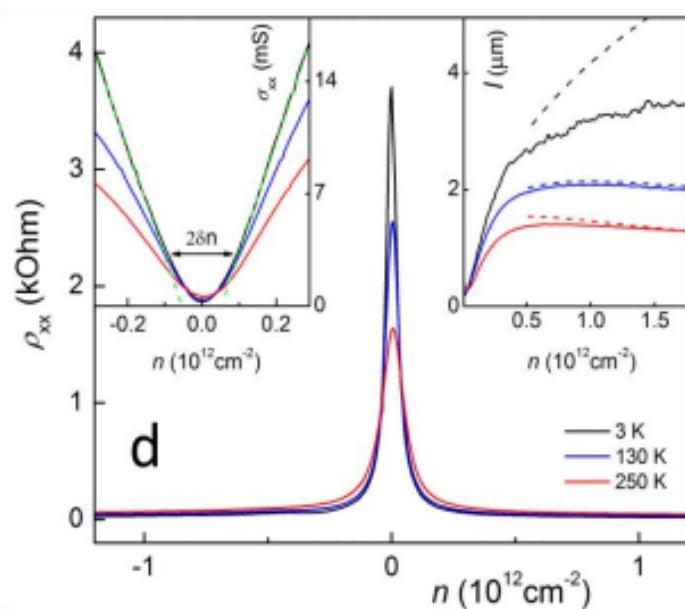
hBN ('white graphene')
 sp^2 – bonded insulator with
a large band gap, $\Delta > 5\text{eV}$

$$\hat{H} = \Delta \sigma_z + v' \vec{\sigma} \cdot \vec{p}$$

Graphene at its best:
ballistic electrons in
graphene
encapsulated
between flakes of
hexagonal
boron nitride
(hBN)

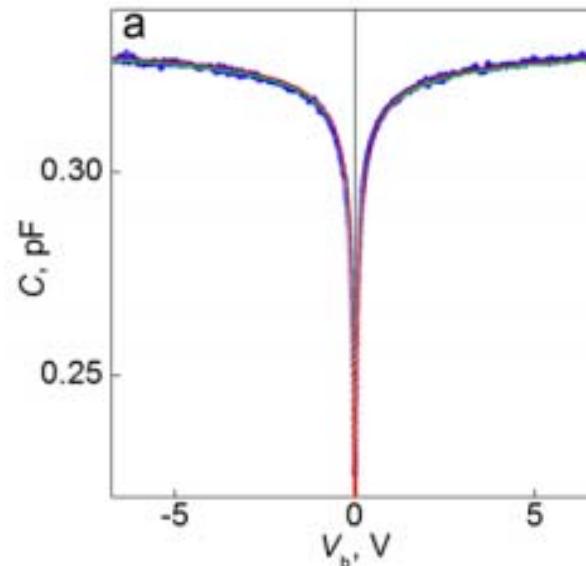
hBN-encapsulated graphene produced using dry transfer in argon: highly homogenous graphene where one can come very close to Dirac point

sharp resistivity maximum

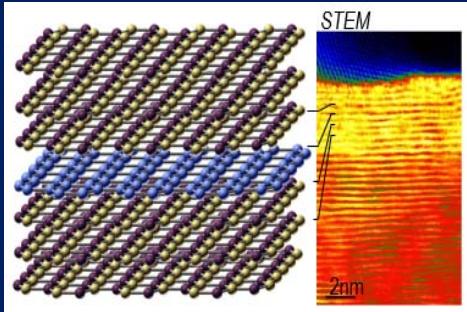


Kretinin et al - Nano Letters 14, 3270 (2014)

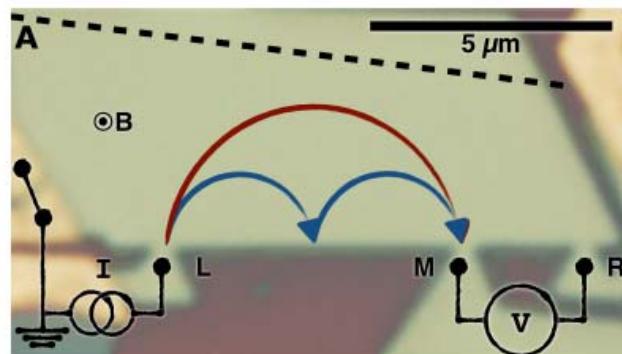
capacitance spectroscopy



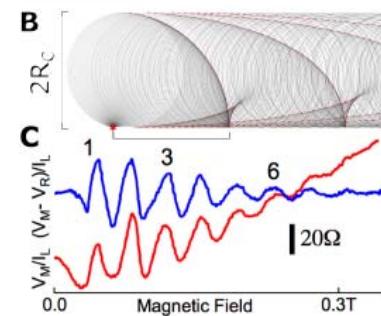
Yu et al - PNAS 110, 3282 (2013)



hBN-encapsulated graphene: few- μm ballistic transport at high densities proven by transverse electron focusing



Transverse magnetic focusing (caustics of skipping orbits) of ballistic electrons

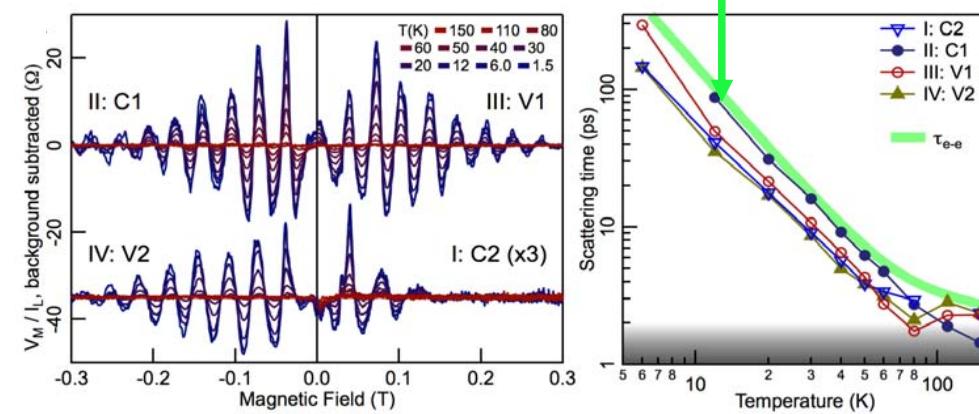


Taychatanapat, Watanabe, Taniguchi,
Jarillo-Herrero - Nature Phys 9, 225 (2013)

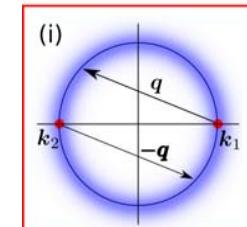
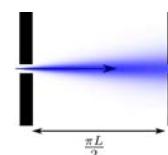
Lee, Wallbank, Gallagher, Watanabe, Taniguchi, Fal'ko,
Goldhaber-Gordon - Science 353, 1526 (2016)

$$\frac{A(T)}{A(T_{base})} \sim e^{-\pi L/2v_F\tau}$$

$$\tau(T) = -\frac{2v_F}{\pi L} \log \frac{A_1(T)}{A_1(T_{base})}$$



$$[\partial_t + v \sin(\theta_1) \partial_y] f(\vec{k}_1) = I\{f(\vec{k}_1)\}$$



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charge inhomogeneity in graphene solved

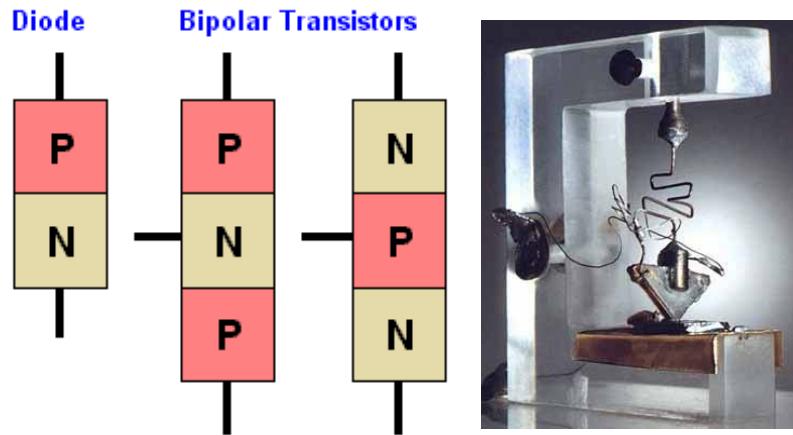
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PN junctions

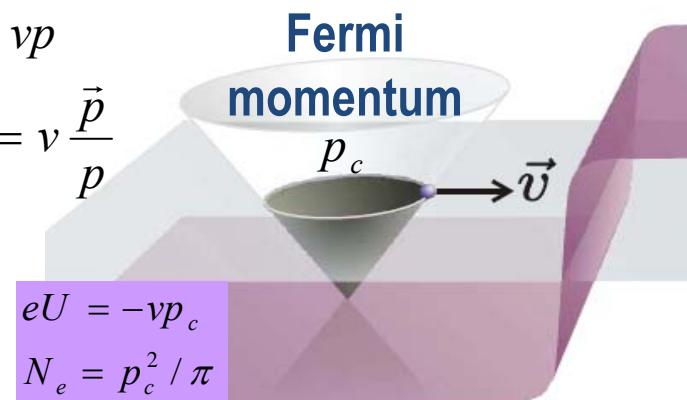


Tunneling PN junctions
in semiconductors

Ballistic PN junction in graphene is highly transparent for Dirac electrons

$$\varepsilon_c(\vec{p}) = vp$$

$$\vec{v} = \frac{\partial \varepsilon}{\partial \vec{p}} = v \frac{\vec{p}}{p}$$



$$eU = -vp_c$$

$$N_e = p_c^2 / \pi$$

$$k_y$$

$$k_x$$

$$eU' = vp_v$$

$$N_h = p_v^2 / \pi$$

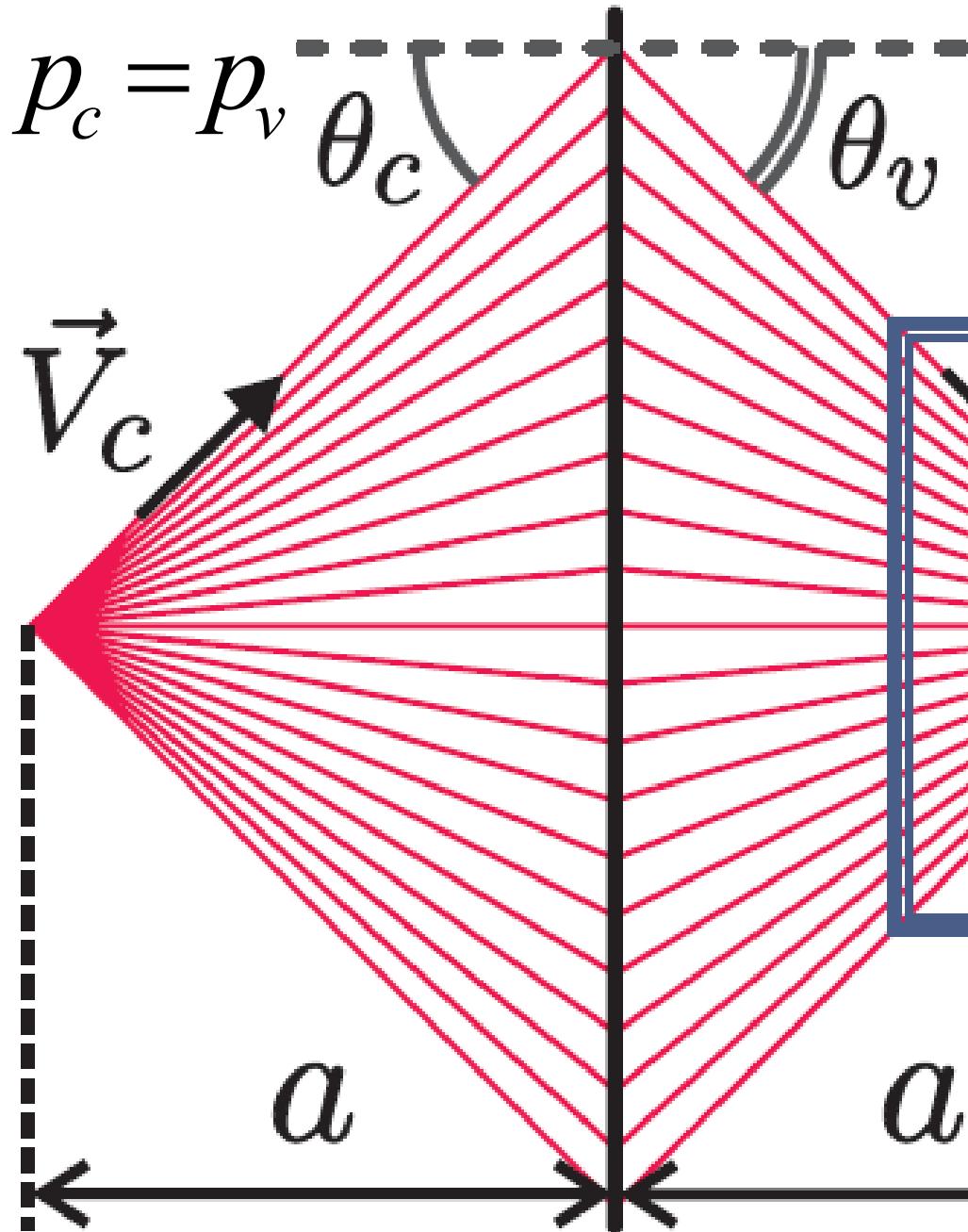
Fermi momentum

$$p_v$$

$$\varepsilon_v(\vec{p}) = -vp$$

$$\vec{v} = \frac{\partial \varepsilon}{\partial \vec{p}} = -v \frac{\vec{p}}{p}$$

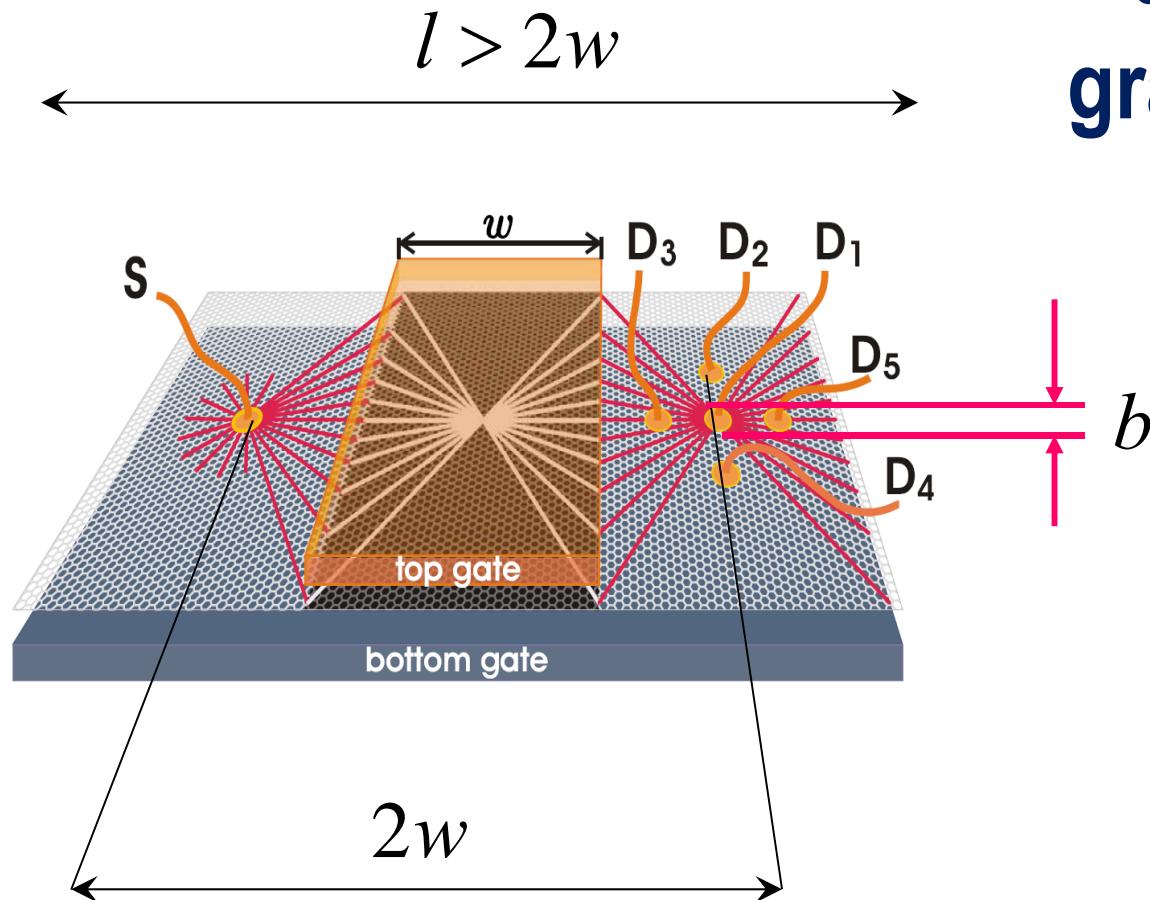
Cheianov, VF - PR B 74, 041403 (2006)
Katsnelson, Novoselov, Geim, Nature Physics 2, 620 (2006)



$$\frac{\sin \theta_c}{\sin \theta_v} = -\frac{p_v}{p_c} = n$$

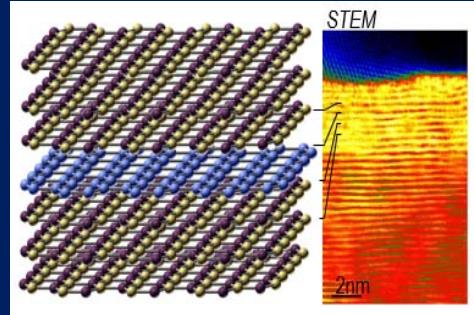
**Snell's law
with
negative
refraction
index**

Veselago lens for electrons in ballistic graphene using bipolar PNP graphene transistor



$$kT < \frac{b}{w} \epsilon_F$$

Cheianov, Fal'ko, Altshuler - Science 315, 1252 (2007)



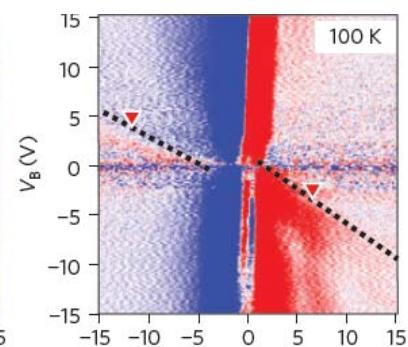
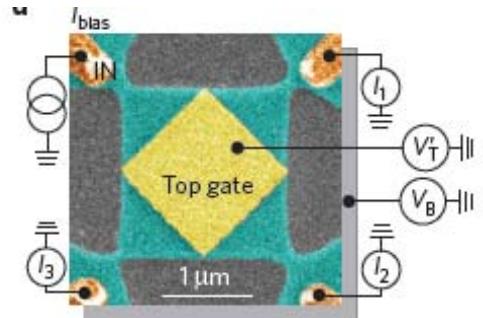
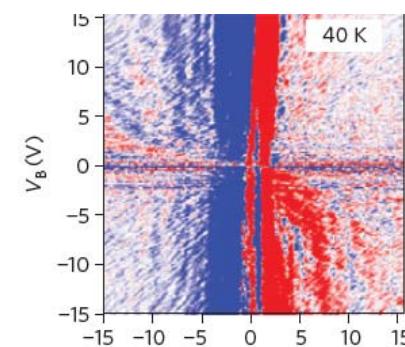
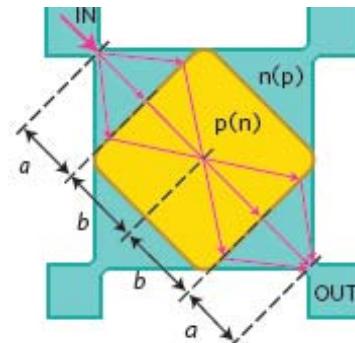
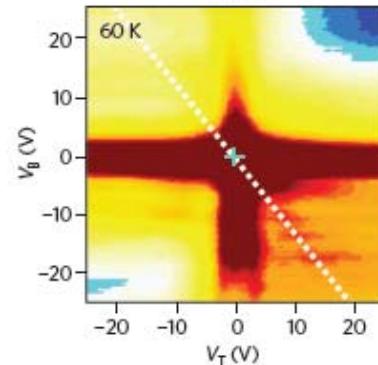
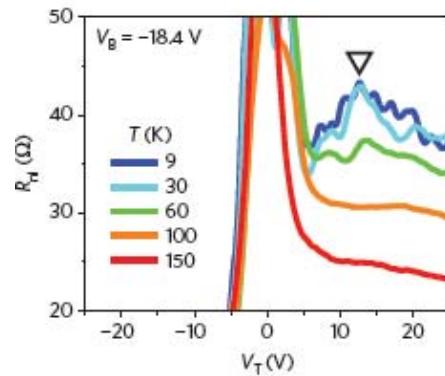
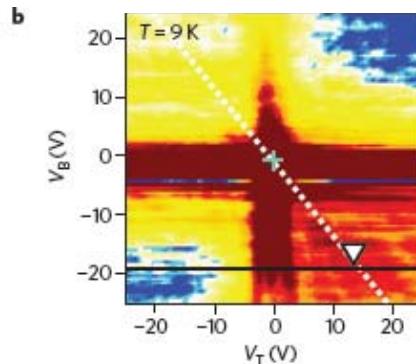
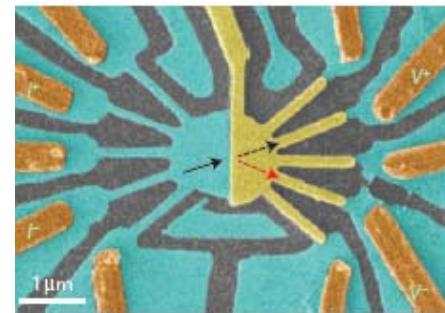
Negative refraction of Dirac electrons in hBN/G/hBN

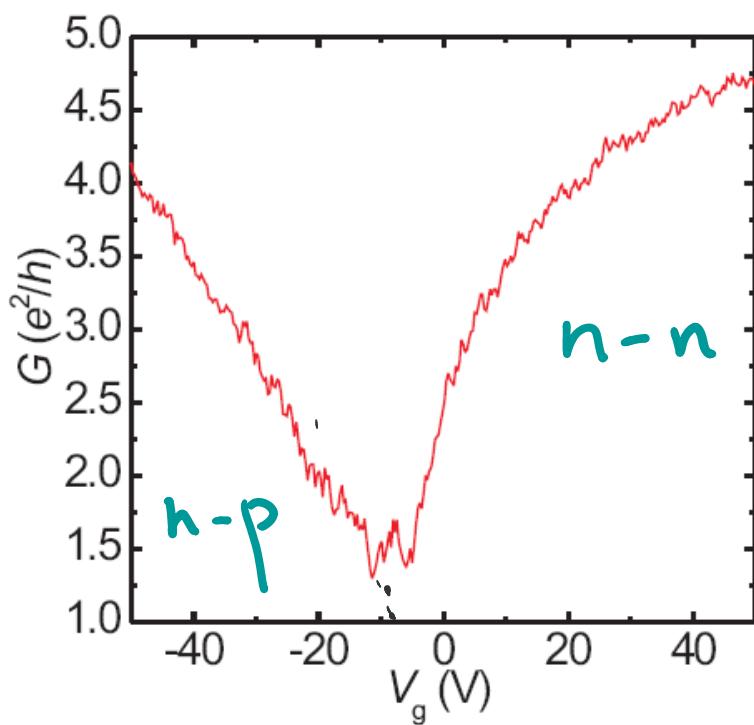
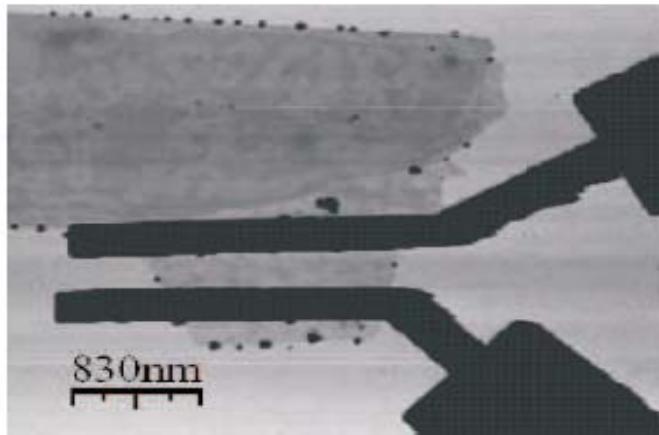
nature
physics

LETTERS

PUBLISHED ONLINE: 14 SEPTEMBER 2015 | DOI: 10.1038/NPHYS3460

Gil-Ho Lee[†], Geon-Hyoung Park and Hu-Jong Lee^{*}





PN junctions naturally form near metallic contacts to graphene, due to the charge transfer determined by the work function difference between graphene and metals used for contacts.

Heersche *et al* - Nature Physics (2007)

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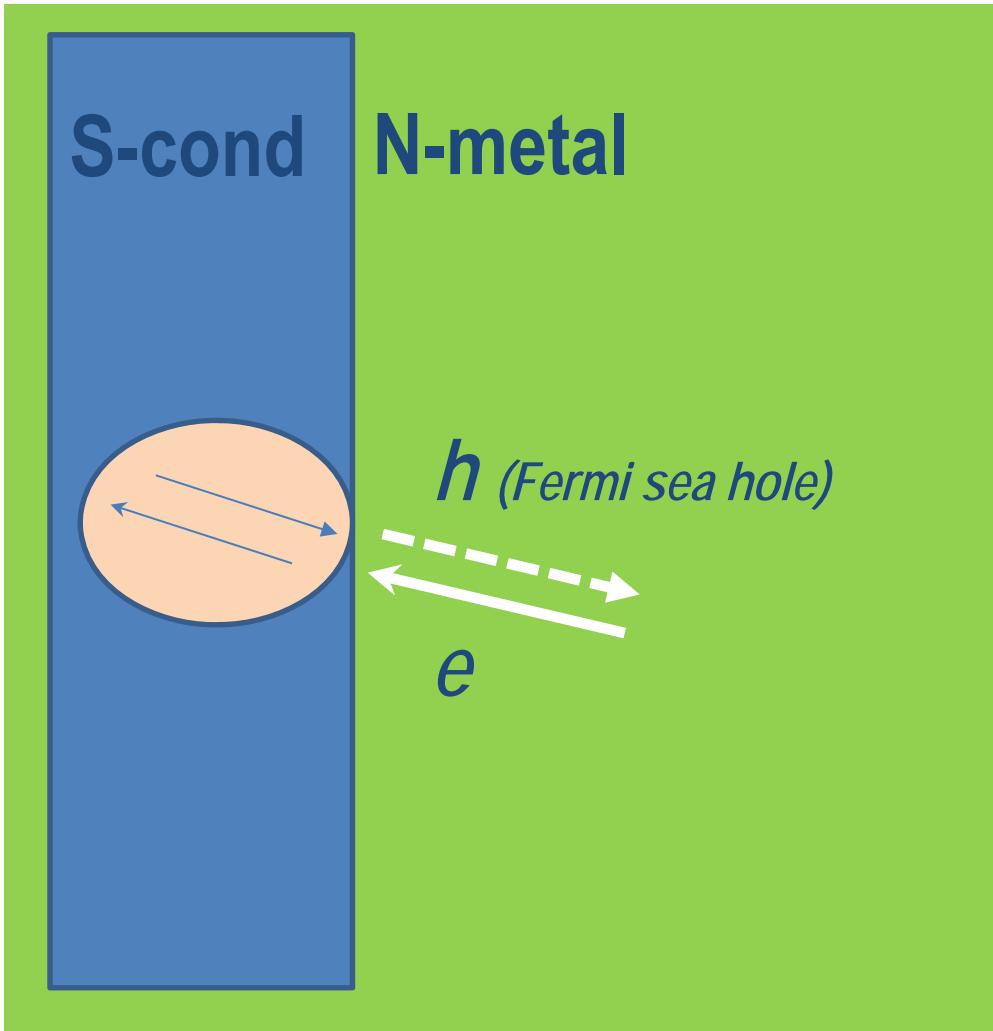
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Andreev reflection in ballistic SGS devices

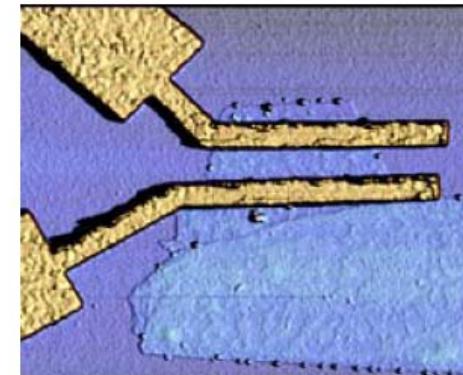
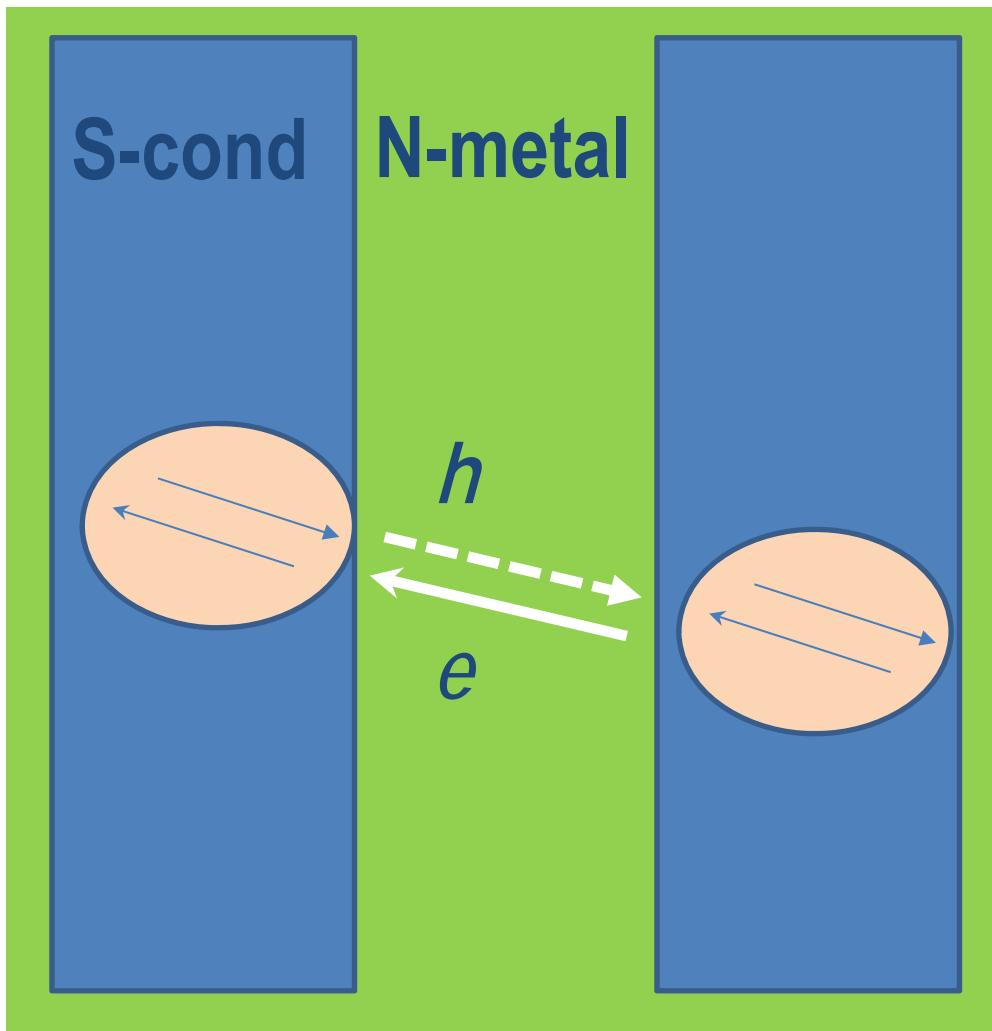
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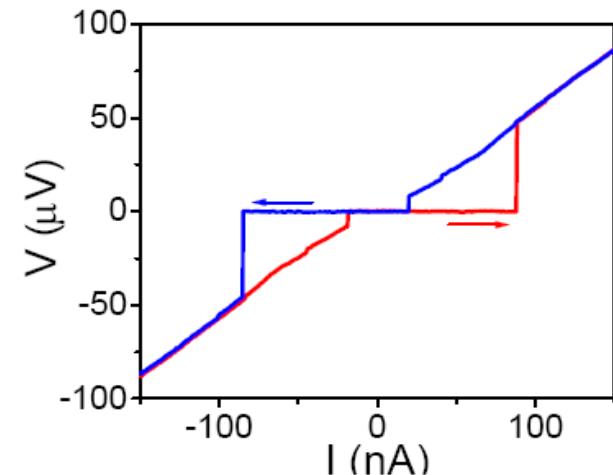
Andreev reflection



Andreev reflection in S-graphene-S junctions

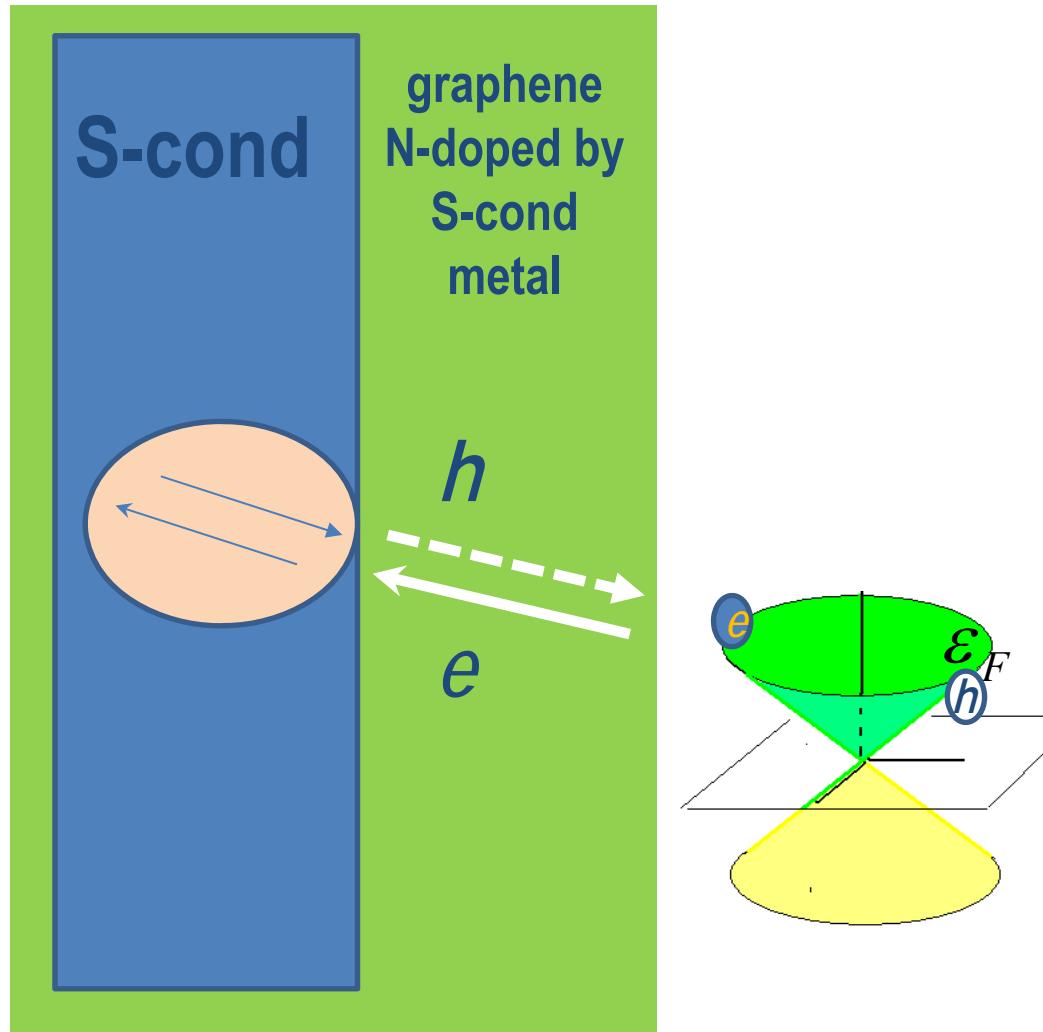


Heersche et al - Nature 446, 56-59 (2007)

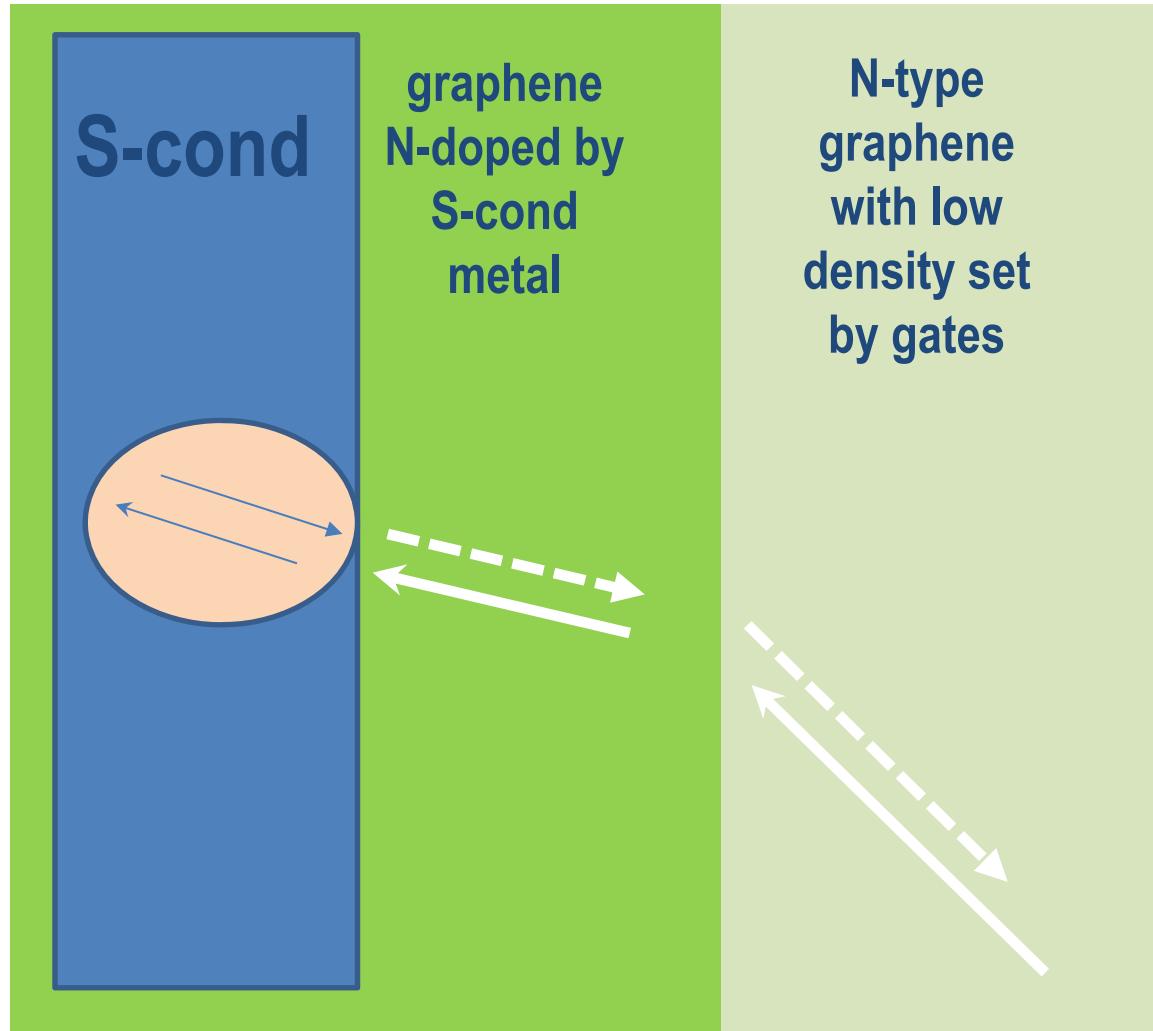


superconducting proximity effect transistor (using disordered graphene)

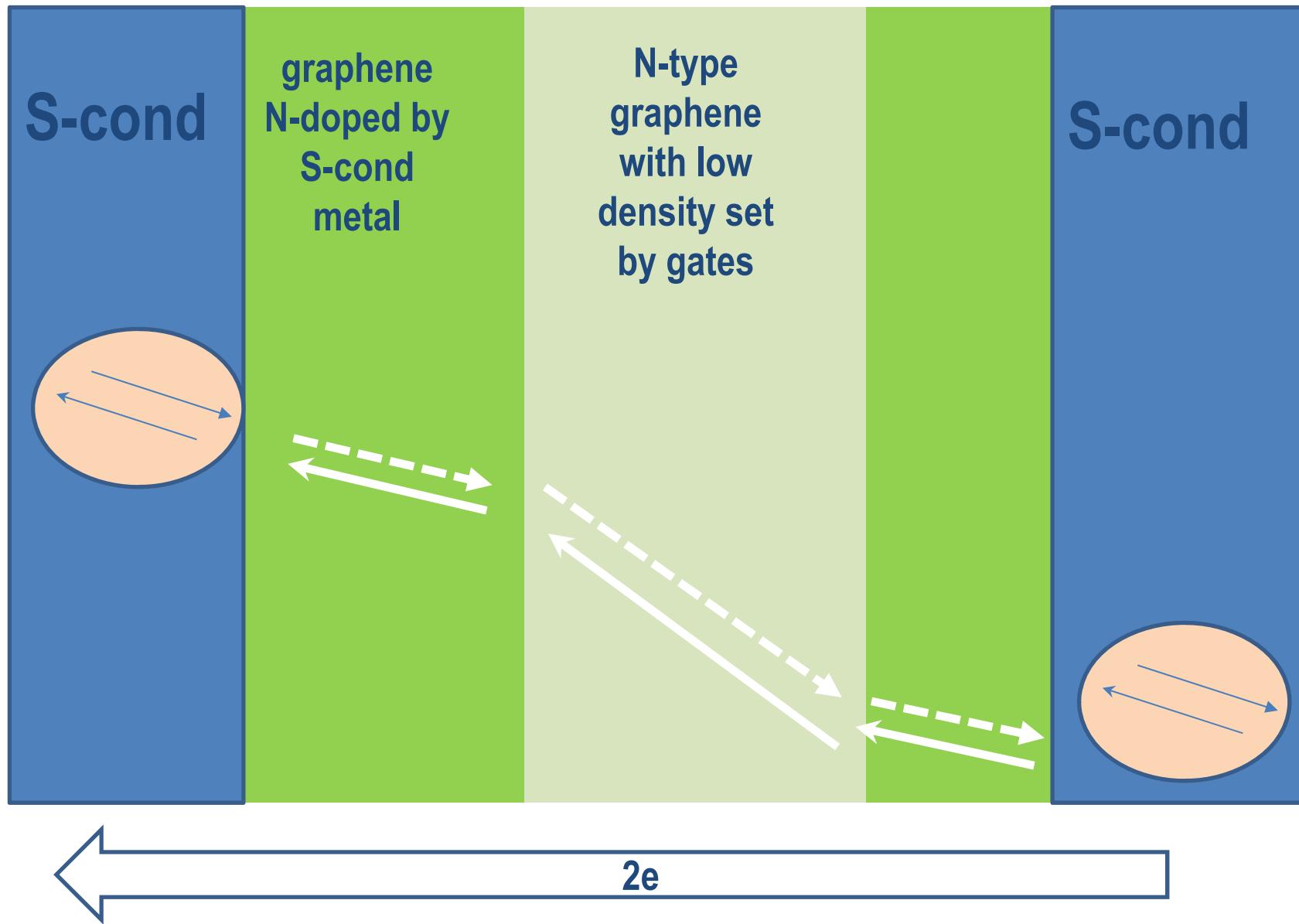
Andreev reflection at graphene/S-cond contact



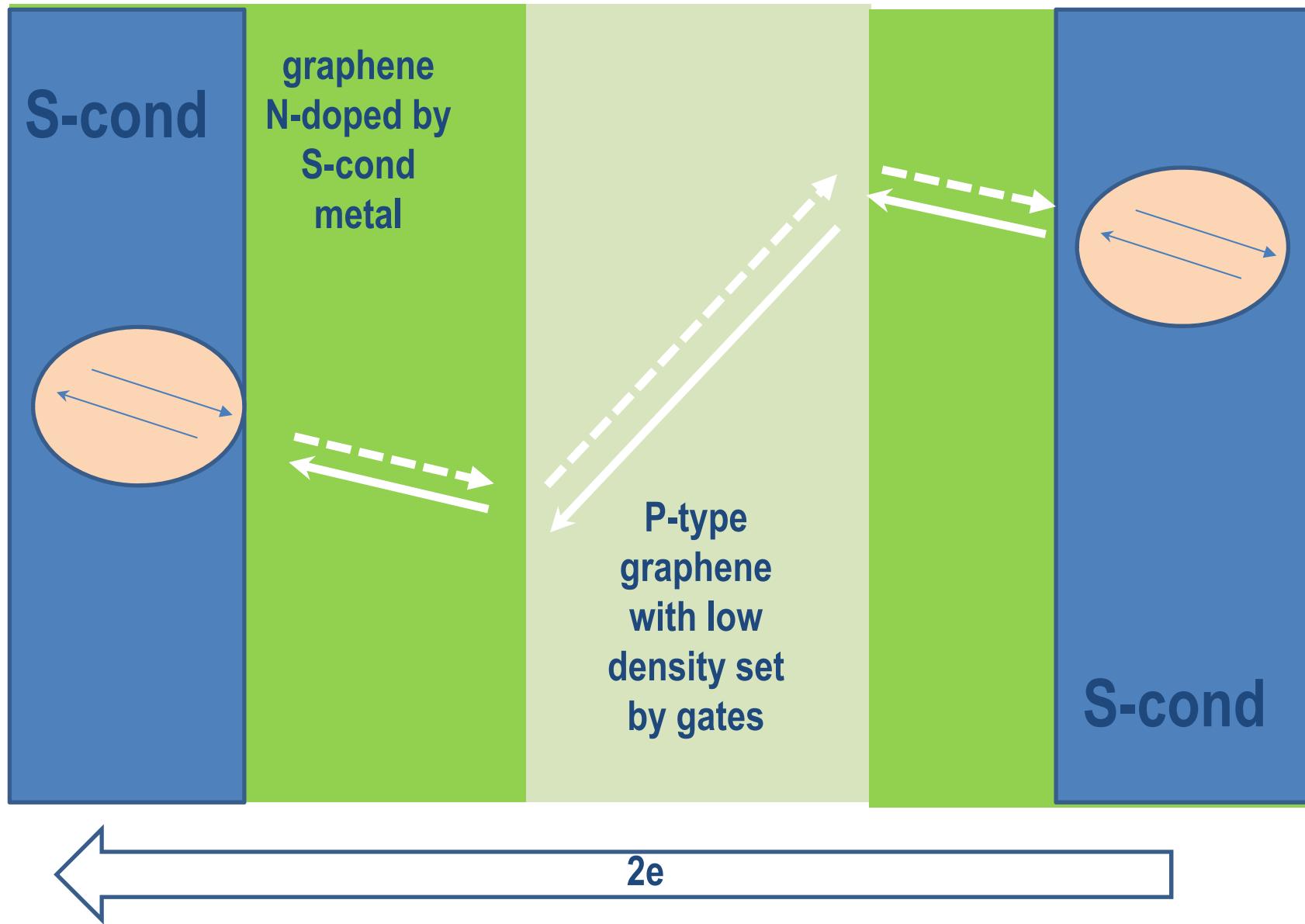
Andreev reflection at graphene/S-cond contact

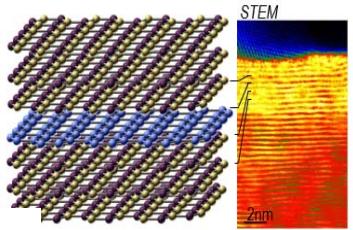


Supercurrent in monopolar GraFET (NN'N)



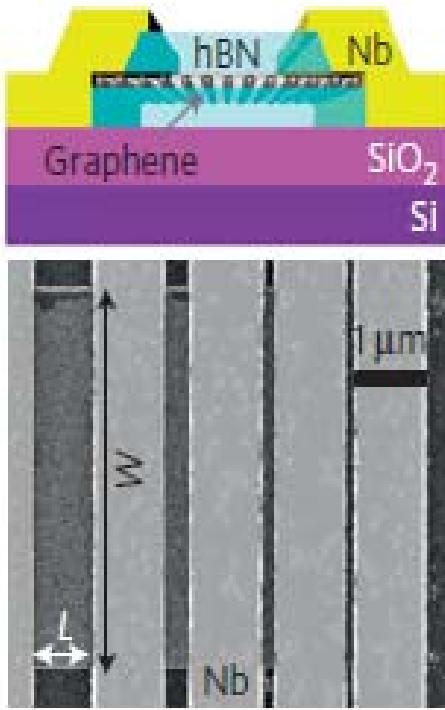
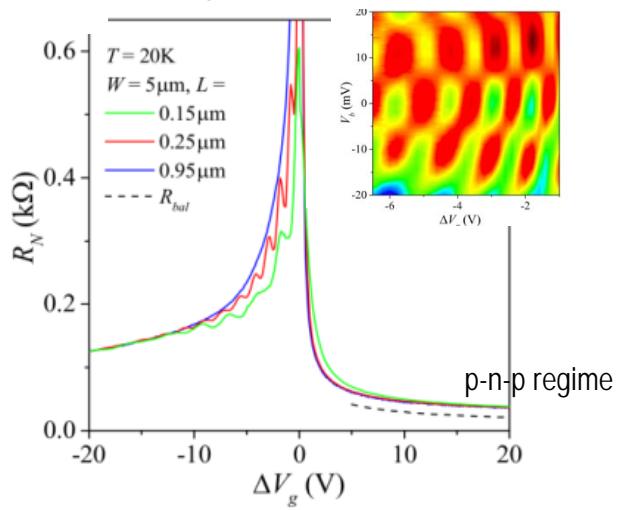
Supercurrent in bipolar GraFET (NPN)



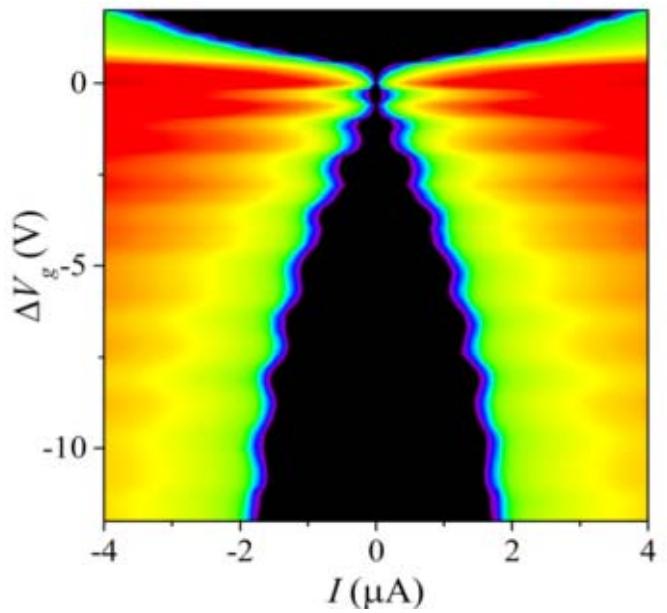


Fabry-Perot oscillations of $I(V)$ and critical supercurrent in hBN/G/hBN with S-leads

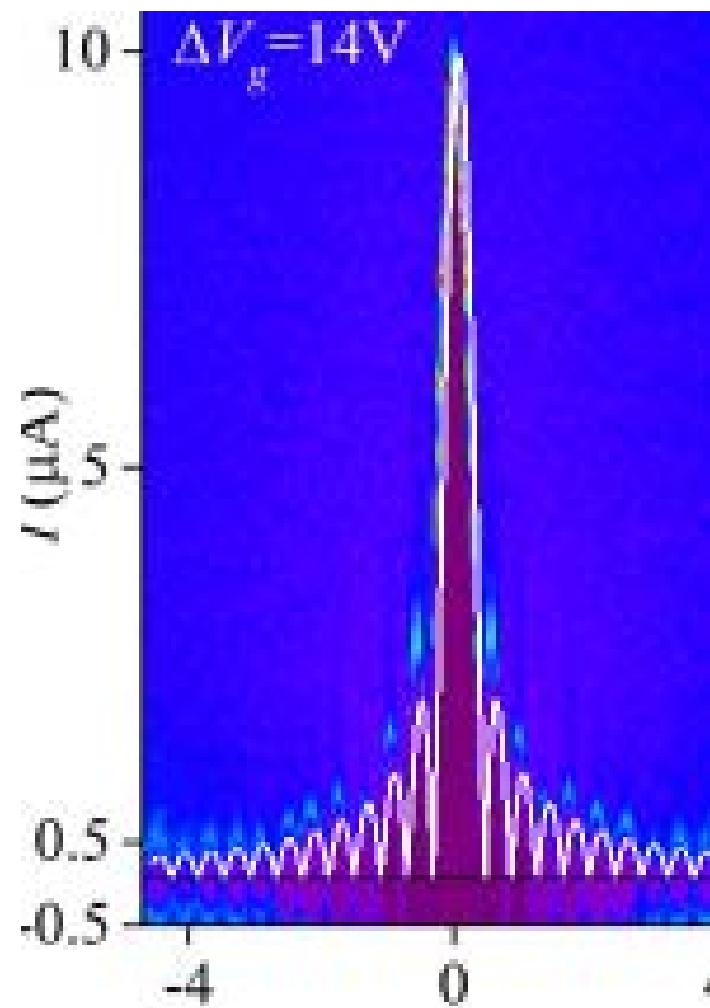
Ballistic graphene:
Fabry-Perot
oscillations of dI/dV
at $T > T_c$



Ballistic SGS:
Fabry-Perot oscillations of
critical supercurrent current
at $T < T_c$



Magneto-oscillations: low-B Fraunhofer pattern



$$I_c(\Phi) = \frac{I_{c0}}{\Phi/\Phi_0} \eta\left(\left\{\frac{\Phi}{\Phi_0}\right\}\right)$$

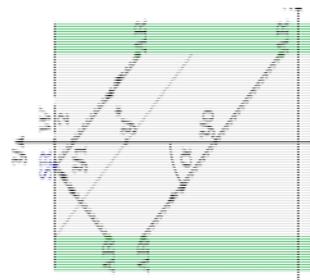


wide ($d \ll W$) ballistic SNS junction in a ‘strong’ magnetic field

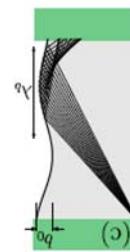
$$I_c(\Phi) = \frac{I_{c0}}{\Phi/\Phi_0} \eta\left(\left\{\frac{\Phi}{\Phi_0}\right\}, \frac{d^2}{\ell_B^2}\right)$$

$B > \frac{\Phi_0}{d^2}$

‘high’ magnetic fields:
edge supercurrent



$$I_c(B) \sim \frac{I_{c0}}{\varphi} \frac{\ell_B^2}{d^2} \propto B^{-2}$$



$$\delta I_c \sim \frac{I_{c0}}{\varphi} \frac{b_0}{d} \propto B^{-1}$$

random caustics of
retracing Andreev paths
near a disordered edge

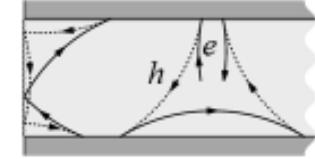
Reentrant mesoscopic proximity effect due to edges in a wide ($d \ll W$) ballistic SNS junction

$$\delta I_c \sim \frac{I_{c0}}{\varphi} \frac{b_0}{d} \propto B^{-1}$$

random caustics of retracing Andreev paths near a disordered edge

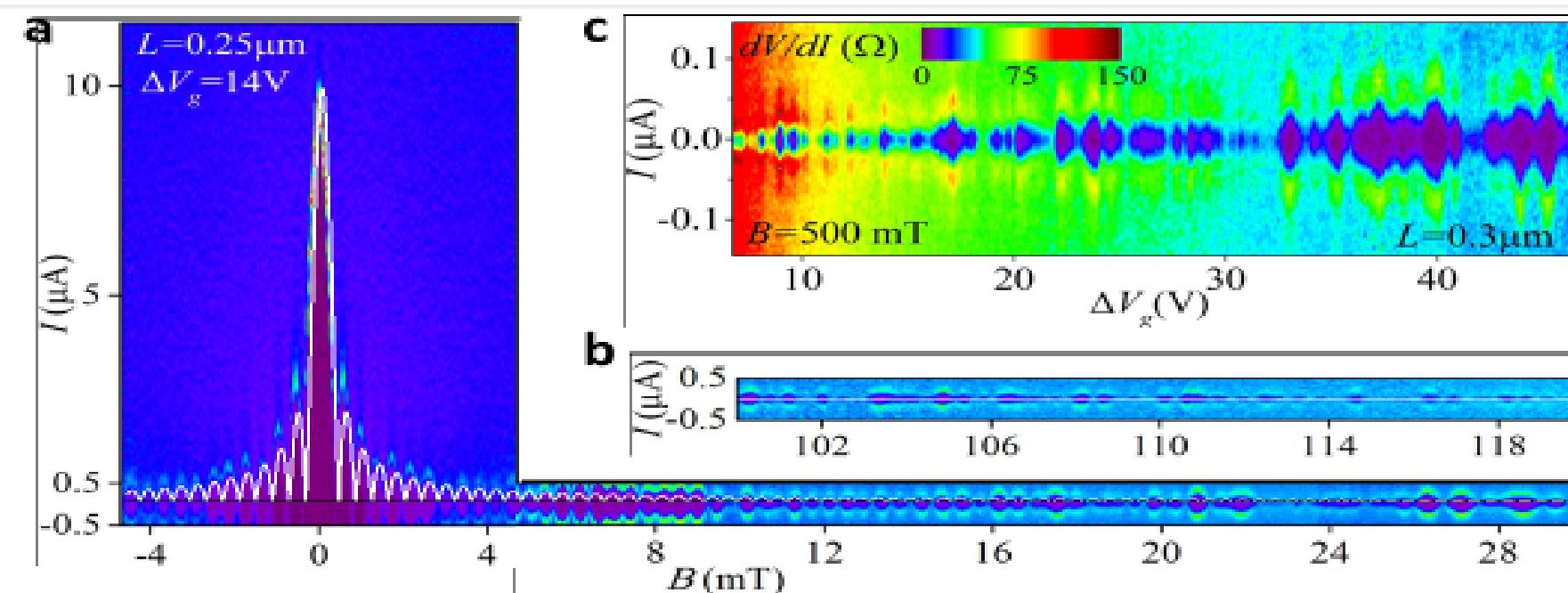


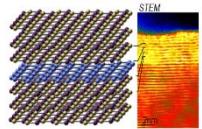
$B > \frac{\Phi_0}{\xi d}$



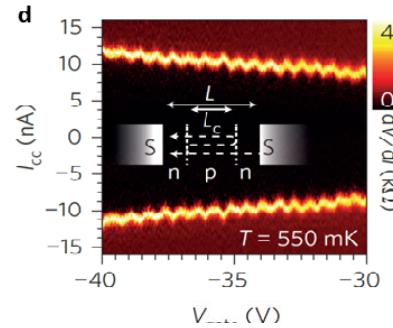
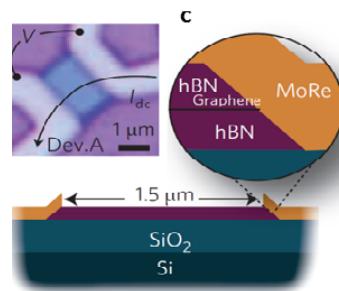
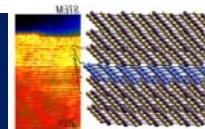
Cooper pair transfer via non-retracing Andreev paths (e-h loops)
(up to $r_c < \frac{d}{2}$)

$$\delta I_c \sim \frac{e}{\tau_{\text{Th}}} \sim \frac{ev}{d}$$

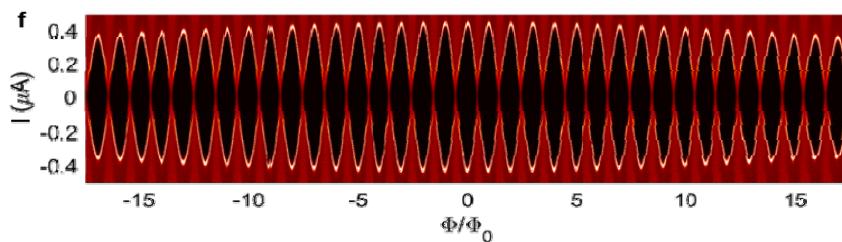




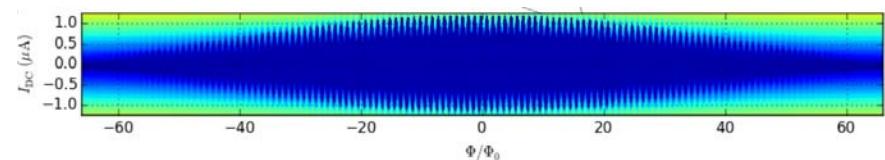
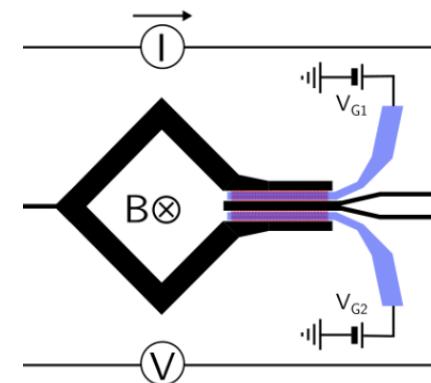
QT devices using ballistic SGS



Calado, Goswami, Nanda, Diez, Akhmerov,
Watanabe, Taniguchi, Klapwijk, Vandersypen
Nature Nanotechnology 10, 761 (2015)



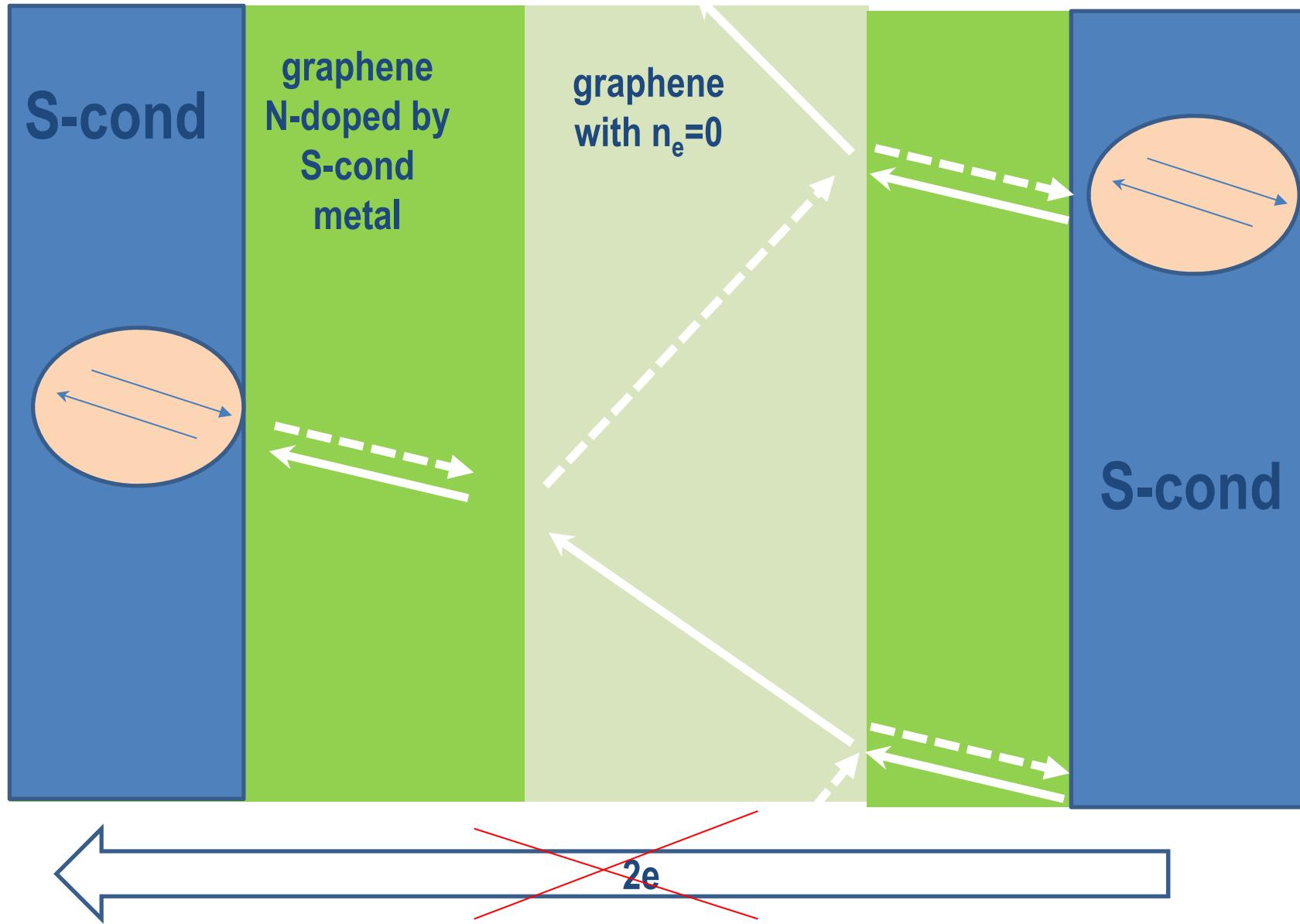
Lancaster graphene FET-based SQUID:
supercurrent can be switched on/off
fast using electrostatic gates:



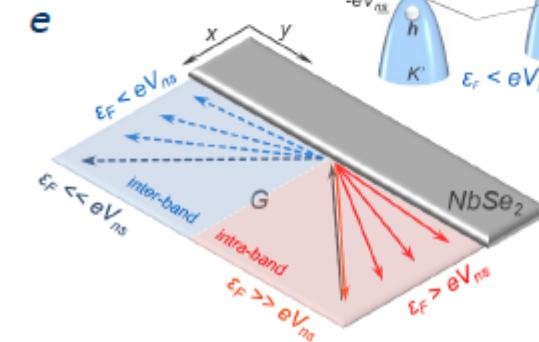
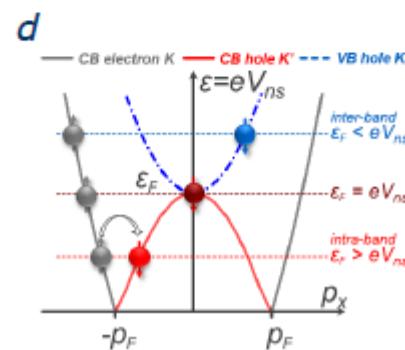
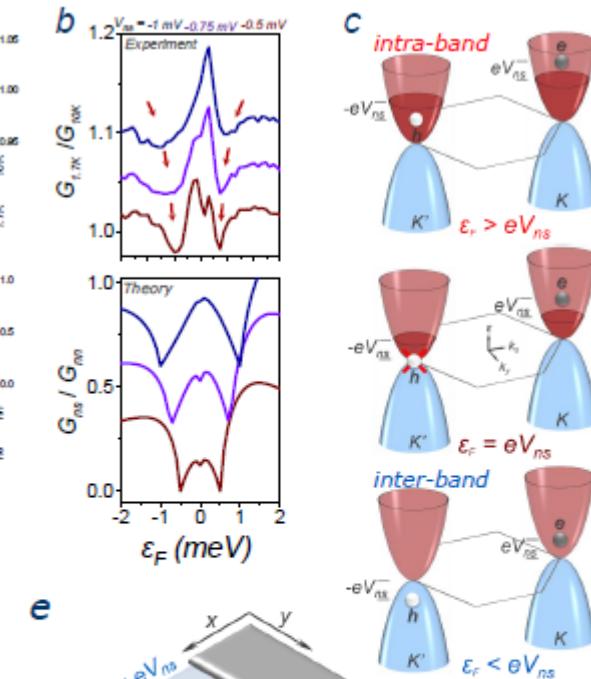
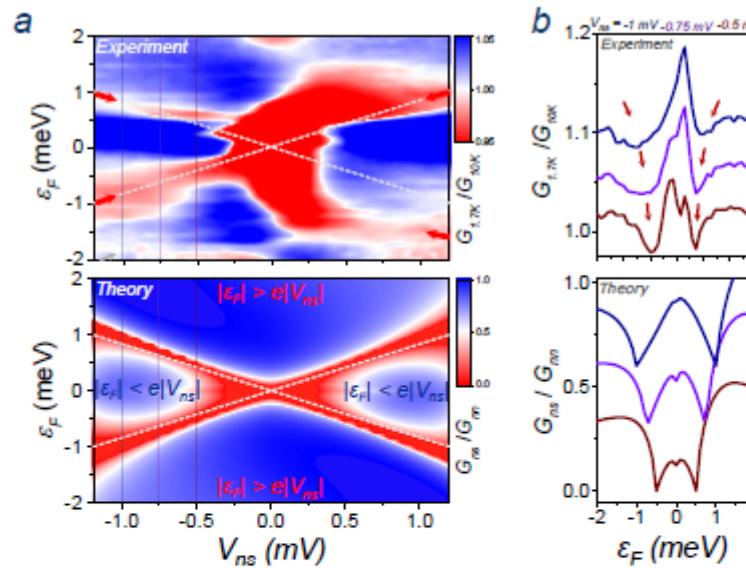
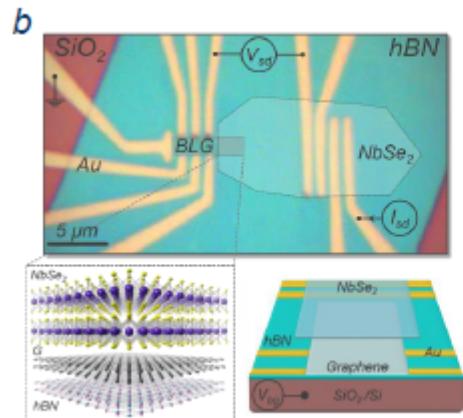
quantum device for magnetic field
measurement

Specular Andreev reflection for graphene at neutrality point

Beenakker - PRL 97, 067007 (2006)



Specular Andreev reflection in bilayer graphene at neutrality point



Efetov, Wang, Handschin, Efetov,
Shuang, Cava, Taniguchi,
Watanabe, Hone, Dean, Kim
Nature Physics 12, 328-332 (2016)

Quantum transport in graphene

L1 Disordered graphene (G)

L2 Ballistic electrons in graphene (G/hBN)

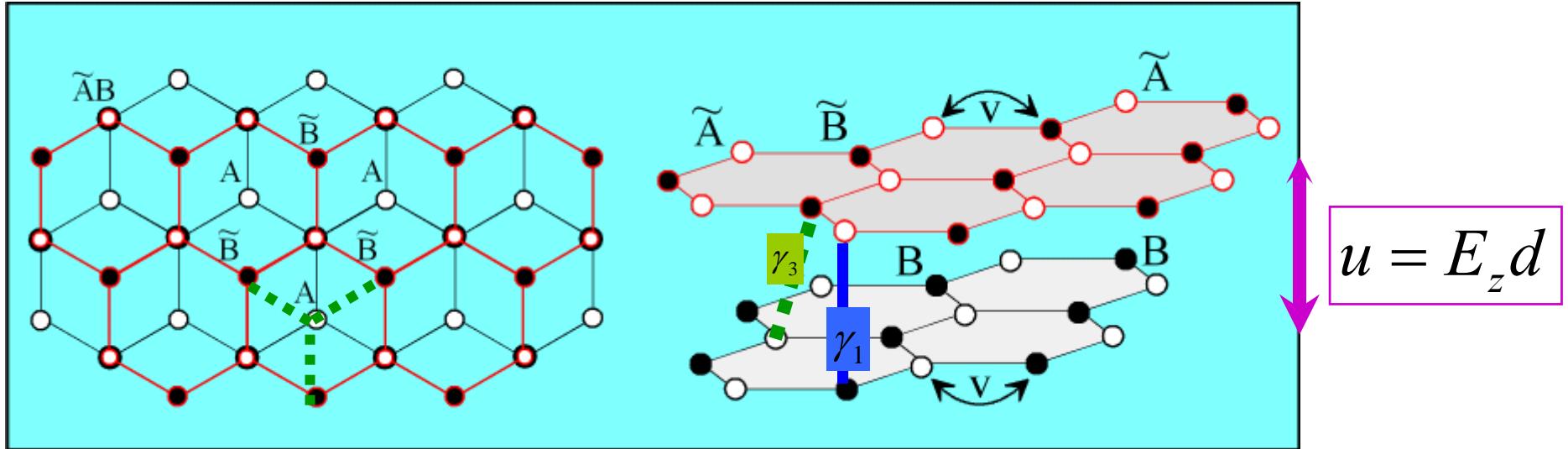
charge inhomogeneity in graphene solved

PN junctions and Veselago lens in graphene

Andreev reflection in ballistic SGS devices

Lifshitz transition detected using QHE in bilayer G

L3 Moiré superlattice effects in G/hBN
heterostructures



**skew inter-layer
 $A\tilde{B}$ hopping**

$$v_3 = -\frac{\sqrt{3}}{2} \frac{\gamma_3 a}{\hbar} \sim 0.1v$$

$$\pi = p_x + i p_y = p e^{i\varphi}$$

$$H = \begin{pmatrix} \frac{1}{2}u & v_3\pi & 0 & v\pi \\ v_3\pi^+ & -\frac{1}{2}u & v\pi^+ & 0 \\ 0 & v\pi & -\frac{1}{2}u & \gamma_1 \\ v\pi^+ & 0 & \gamma_1 & \frac{1}{2}u \end{pmatrix} \begin{pmatrix} A \\ \tilde{B} \\ \tilde{A} \\ B \end{pmatrix}$$

$$\epsilon_\alpha^2 = \frac{\gamma_1^2}{2} + \frac{u^2}{4} + \left(v^2 + \frac{v_3^2}{2}\right)p^2 + (-1)^\alpha \sqrt{\Gamma}$$

$$\begin{aligned} \Gamma = & \frac{1}{4}(\gamma_1^2 - v_3^2 p^2)^2 + v^2 p^2 [\gamma_1^2 + u^2 + v_3^2 p^2] \\ & + 2\xi\gamma_1 v_3 v^2 p^3 \cos 3\varphi, \end{aligned}$$

McCann, Fal'ko - PRL 96, 086805 (2006)

$$H = v \xi \begin{pmatrix} 0 & \pi^+ \\ \pi & 0 \end{pmatrix}$$

energy scale $\hbar v / \lambda_B$

$$\text{where } \lambda_B = \sqrt{\frac{\hbar}{eB}}$$

state at zero energy:

$$\pi\phi_0 = 0$$

$$H = -\frac{1}{2m} \begin{pmatrix} 0 & (\pi^+)^2 \\ \pi^2 & 0 \end{pmatrix}$$

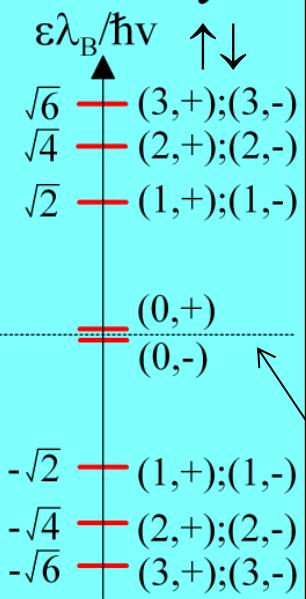
energy scale $\hbar\omega_c$

$$\text{where } \omega_c = \frac{eB}{m}$$

states at zero energy:

$$\pi^2\phi_0 = 0$$

$$\pi^2\phi_1 = 0$$



Dirac point generates
a 4-fold degenerate $\epsilon=0$ Landau level

McClure - PR 104, 666 (1956)

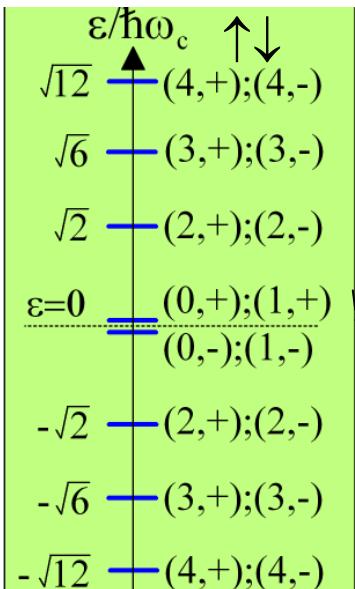
$$\epsilon^\pm = \pm \sqrt{2n} \frac{v}{\lambda_B}$$

$$\vec{p} = -i\hbar\nabla - \frac{e}{c}\vec{A}, \quad \text{rot}\vec{A} = B\vec{l}_z$$

$\pi = p_x + ip_y; \quad \pi^+ = p_x - ip_y$
descending/raising operators in LL orbitals

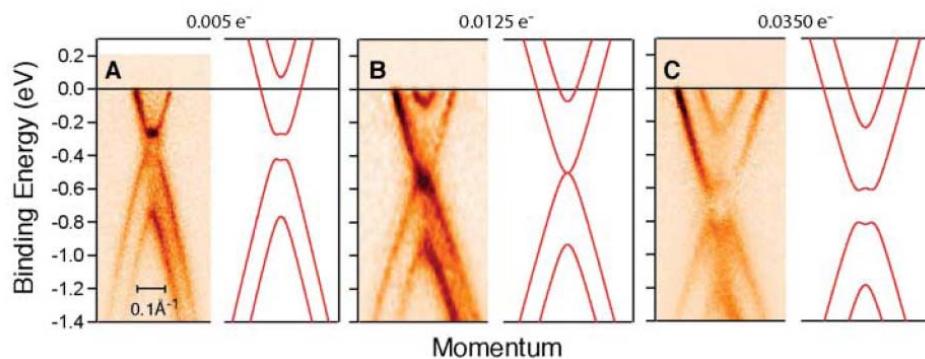
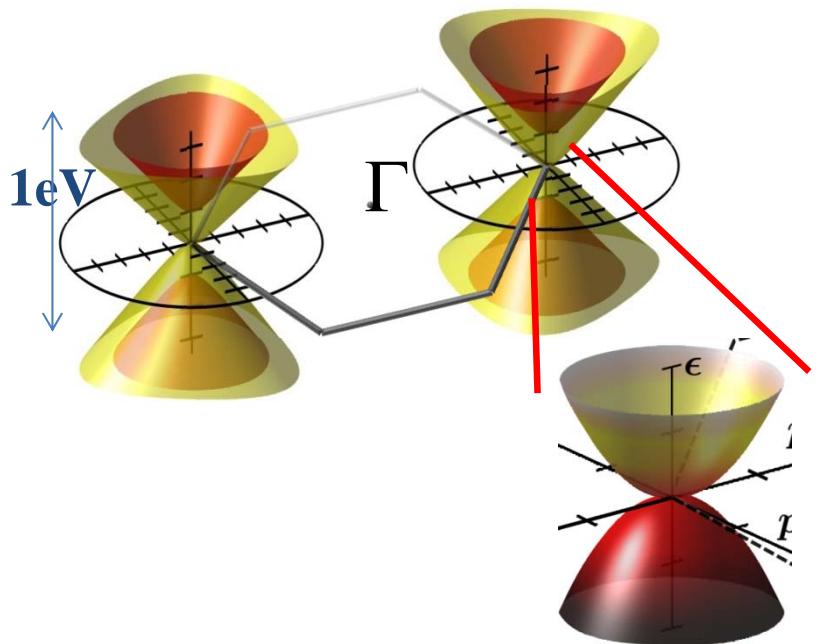
$$\epsilon^\pm = \pm \hbar\omega_c \sqrt{n(n-1)}$$

8-fold degenerate $\epsilon=0$ Landau level, which splits when inversion symmetry is broken and on-site energies on A and B' sublattices differ.



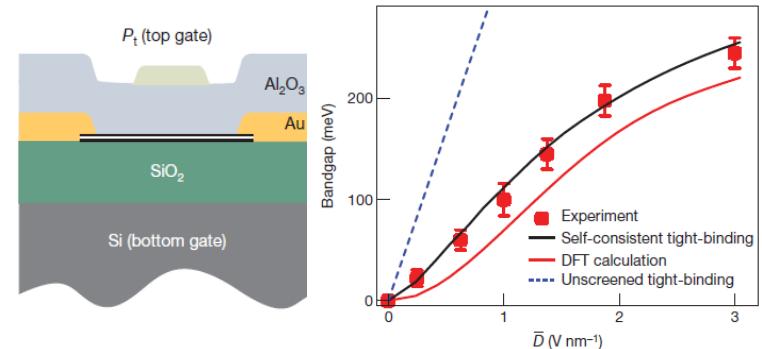
McCann, VF - PRL 96, 086805 (2006)

Electrical control of a gap in bilayer graphene

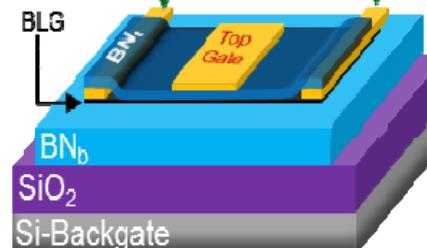


T. Ohta *et al* – Science 313, 951 (2006)
(Rotenberg's group at Berkeley NL)

Oostinga, *et al* - Nature Mat 7, 151 (2008)
Zhang, *et al* - Nature 459, 820 (2009)

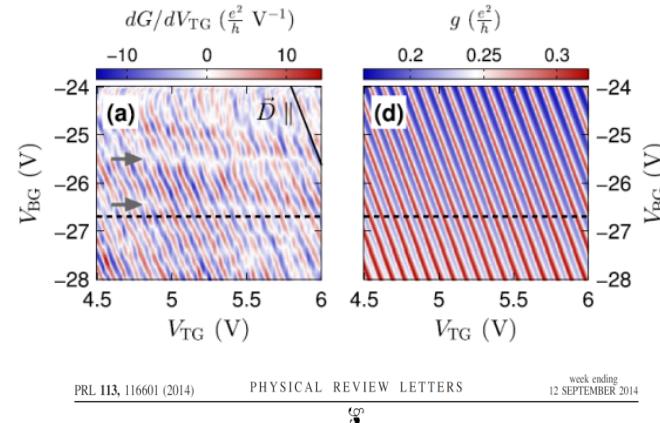
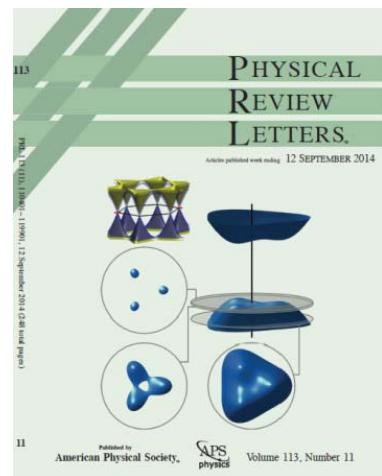
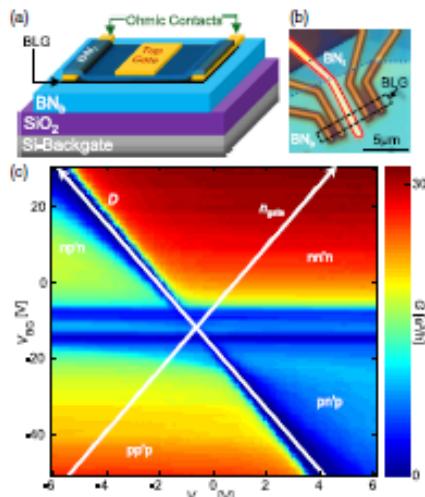


Encapsulation of BLG in hBN allows for better quality and larger E_z



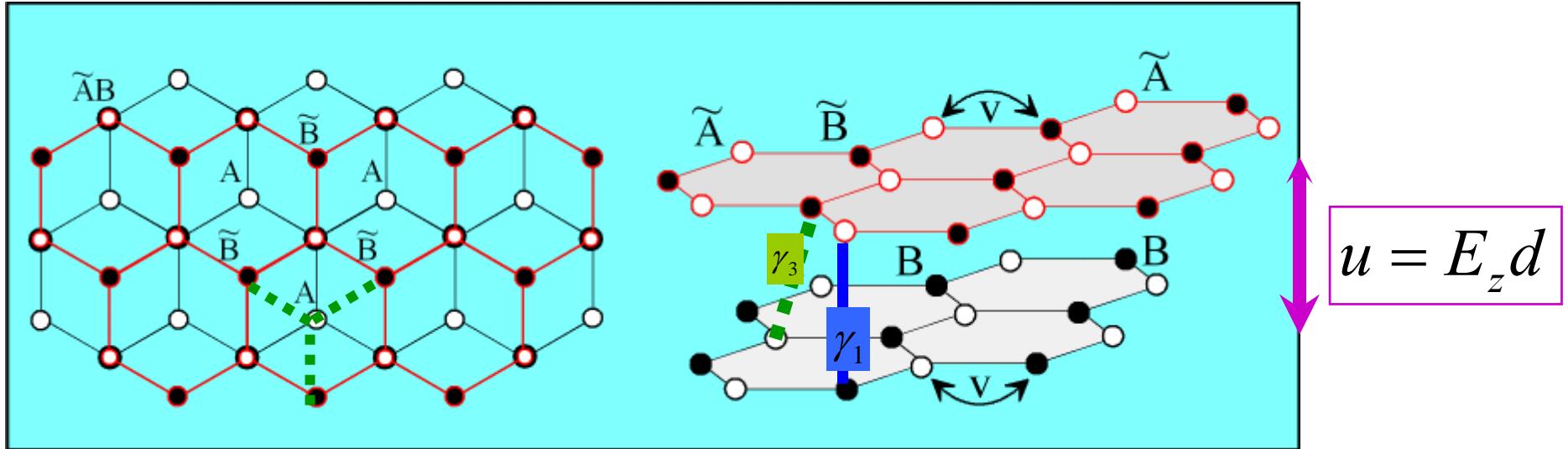
Electrically-controlled band gap in high-quality hBN/BLG/hBN structures

- Bilayer graphene encapsulated between two hBN films
- Electrostatically controlled gap in the range up to 0.2eV
- High quality/mobility has enabled to observe Fabry-Perot oscillations of conductance and ferromagnetic quantum Hall states
- Electrically tuneable topology (Lifshitz transition) has been observed



Fabry-Perot Interference in Gapped Bilayer Graphene with Broken Anti-Klein Tunneling

Anastasia Varlet,^{1,*} Ming-Hao Liu (劉明豪),² Viktor Krueckl,² Dominik Bischoff,¹ Pauline Simonet,¹ Kenji Watanabe,³ Takashi Taniguchi,³ Klaus Richter,² Klaus Eissler,² and Thomas Ihn¹



**skew inter-layer
 $A\tilde{B}$ hopping**

$$v_3 = -\frac{\sqrt{3}}{2} \frac{\gamma_3 a}{\hbar} \sim 0.1v$$

$$\pi = p_x + ip_y = pe^{i\varphi}$$

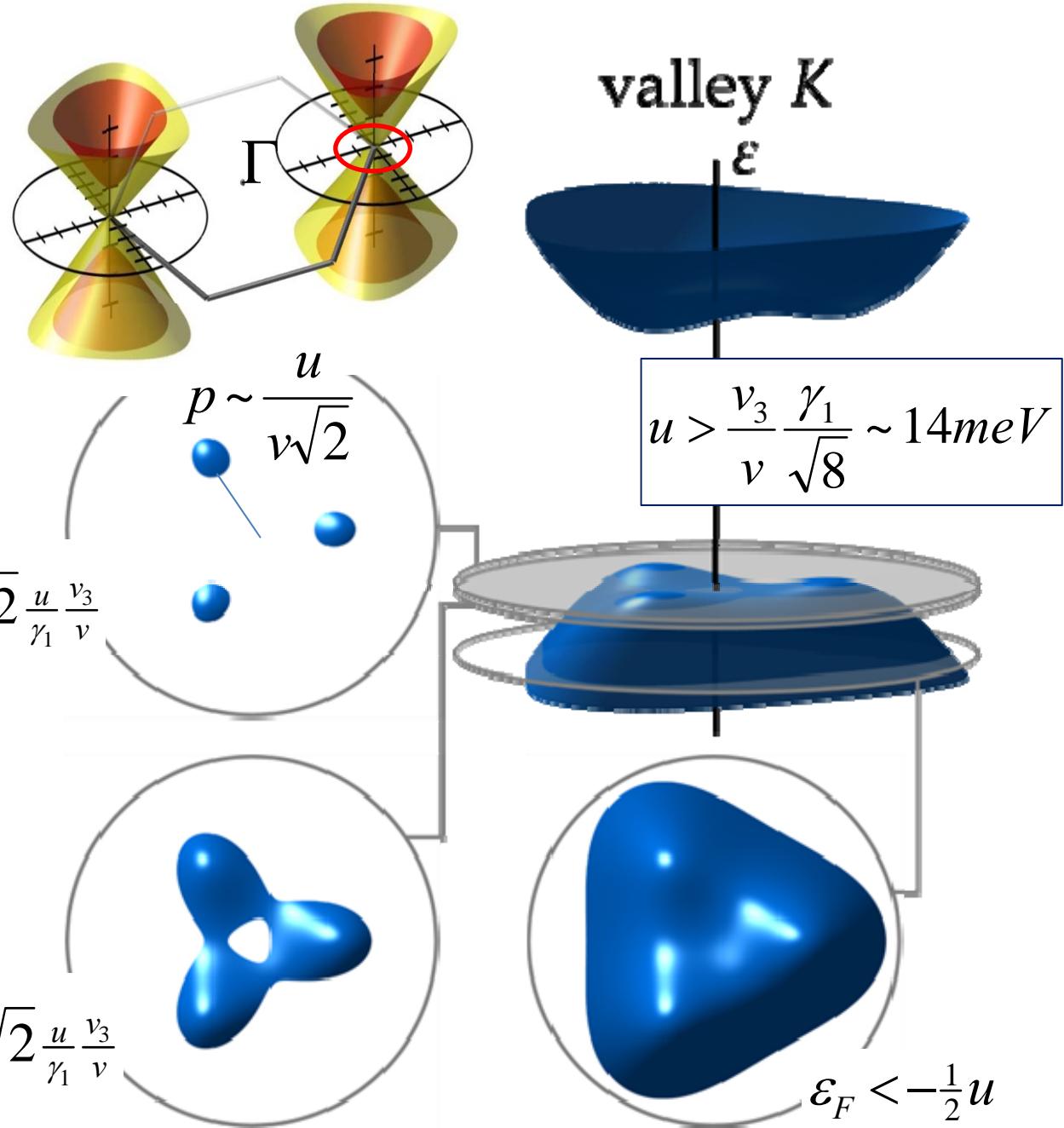
$$H = \begin{pmatrix} \frac{1}{2}u & v_3\pi & 0 & v\pi \\ v_3\pi^+ & -\frac{1}{2}u & v\pi^+ & 0 \\ 0 & v\pi & -\frac{1}{2}u & \gamma_1 \\ v\pi^+ & 0 & \gamma_1 & \frac{1}{2}u \end{pmatrix} \begin{pmatrix} A \\ \tilde{B} \\ \tilde{A} \\ B \end{pmatrix}$$

$$\epsilon_\alpha^2 = \frac{\gamma_1^2}{2} + \frac{u^2}{4} + \left(v^2 + \frac{v_3^2}{2}\right)p^2 + (-1)^\alpha \sqrt{\Gamma}$$

$$\begin{aligned} \Gamma = & \frac{1}{4}(\gamma_1^2 - v_3^2 p^2)^2 + v^2 p^2 [\gamma_1^2 + u^2 + v_3^2 p^2] \\ & + 2\xi\gamma_1 v_3 v^2 p^3 \cos 3\varphi, \end{aligned}$$

McCann, Fal'ko - PRL 96, 086805 (2006)

Gapped BLG: intricate band features due to trigonal warping

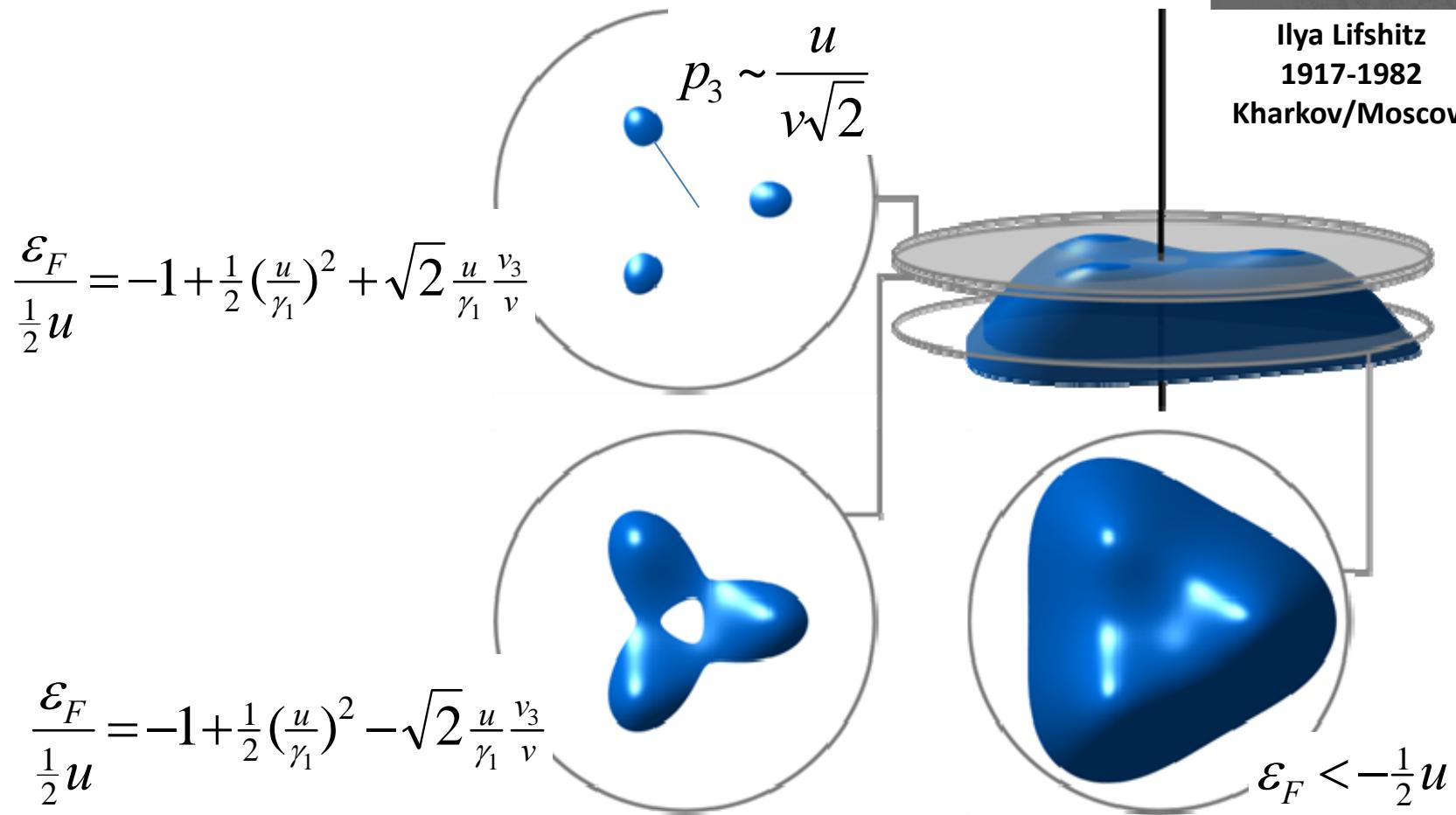


Lifshitz transition in metals

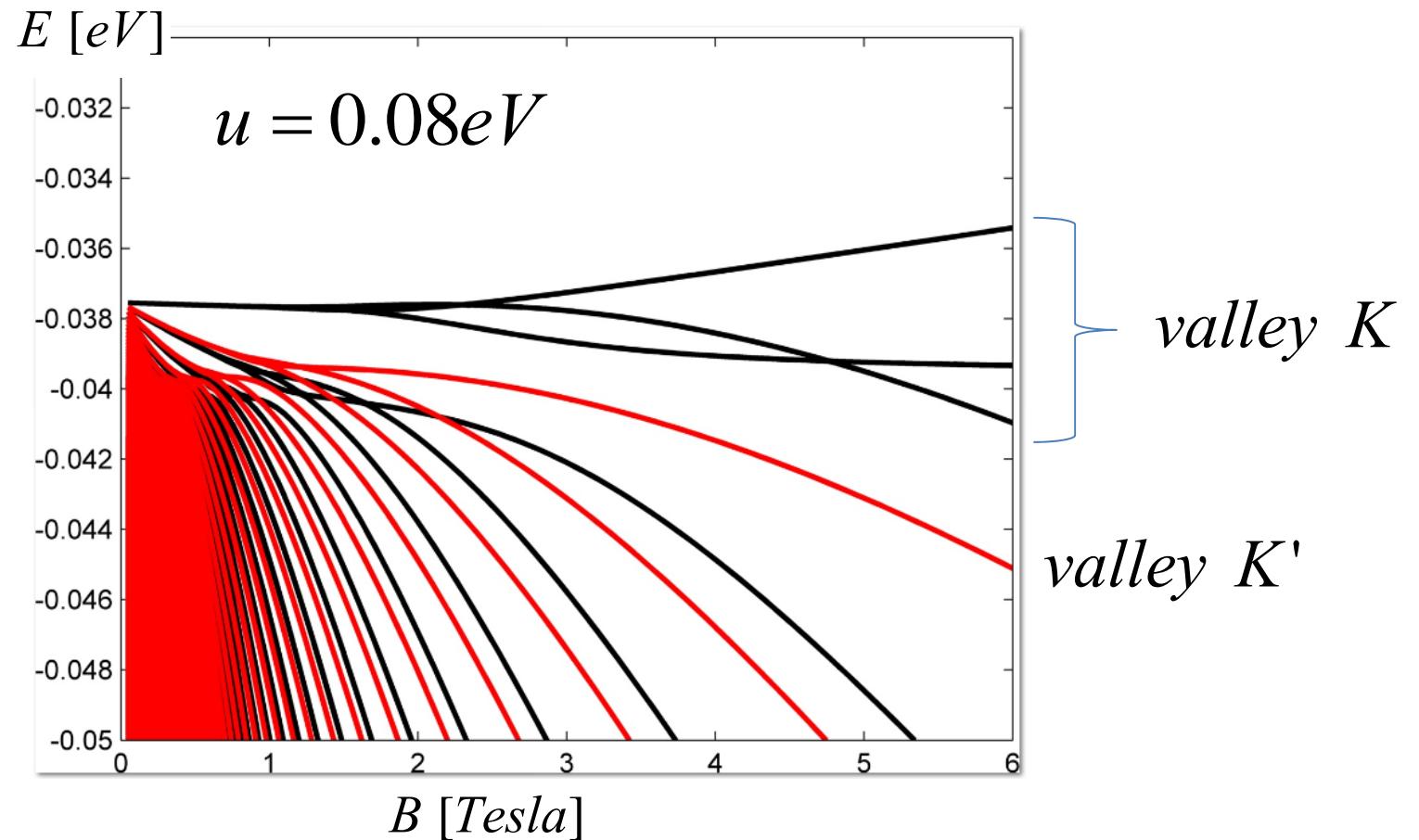
- Topology of the Fermi surface changes
- Cyclotron orbits in magnetic field change circulation
- Magnetic breakdown - field mixes disconnected parts of Fermi surfaces, at $\delta p \sim 1/\lambda_B$.

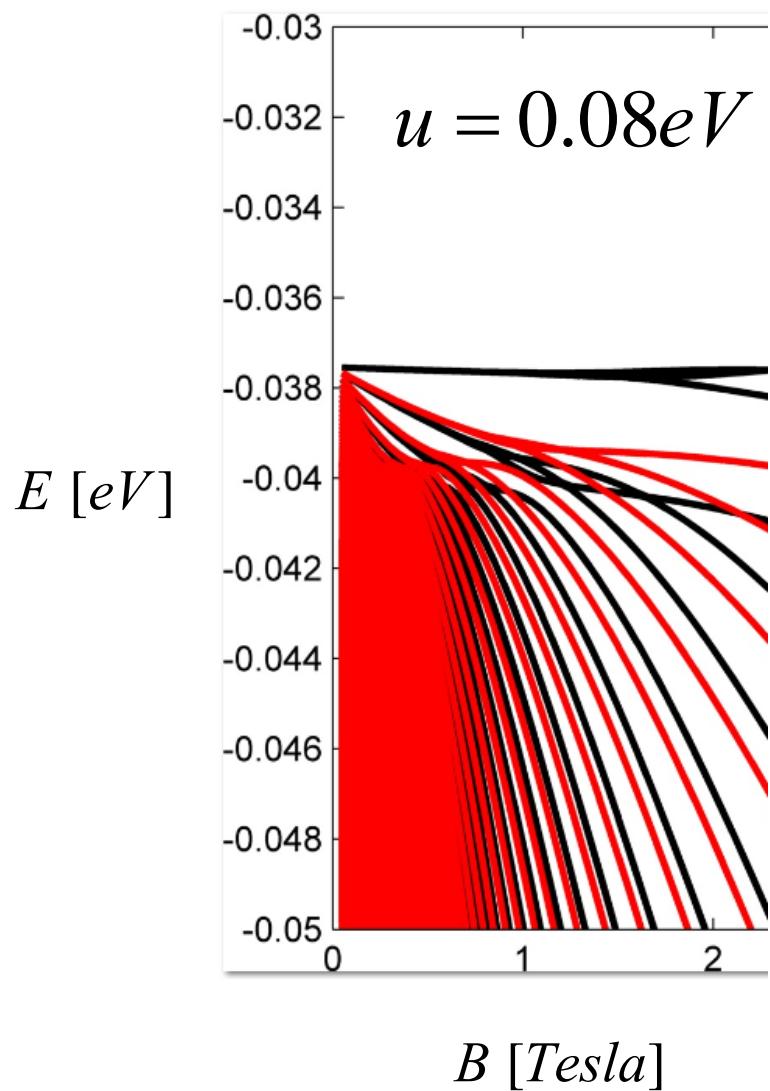


Ilya Lifshitz
1917-1982
Kharkov/Moscow



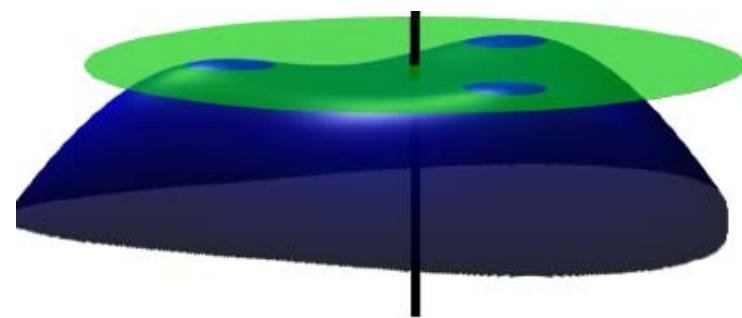
$$H = \begin{pmatrix} \frac{1}{2}u & v_3\pi & 0 & v\pi \\ v_3\pi^+ & -\frac{1}{2}u & v\pi^+ & 0 \\ 0 & v\pi & -\frac{1}{2}u & \gamma_1 \\ v\pi^+ & 0 & \gamma_1 & \frac{1}{2}u \end{pmatrix}$$



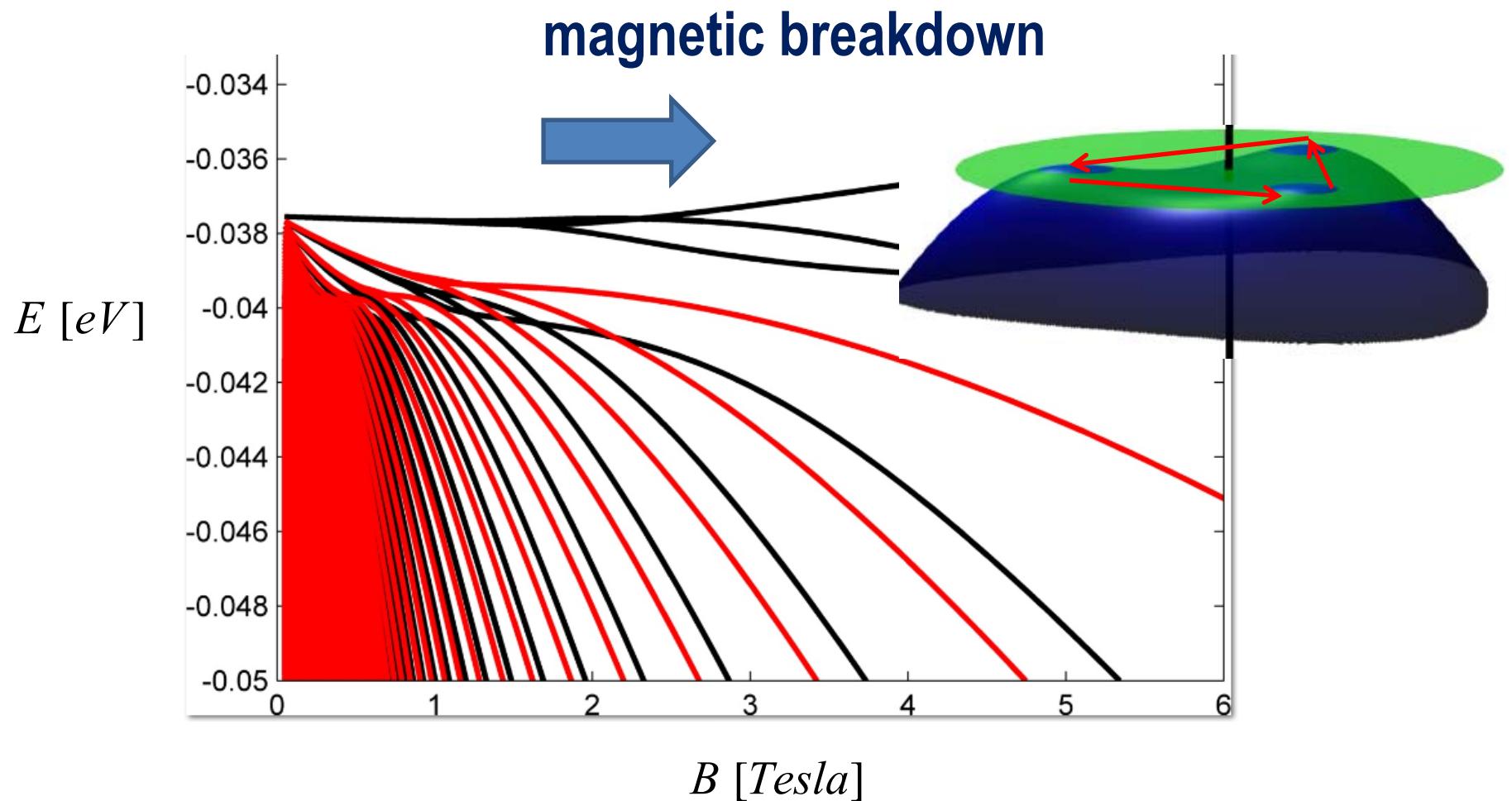


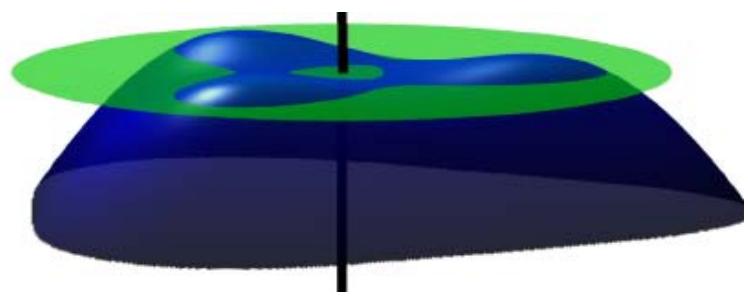
**6-fold (2 x spin and 3 x orbital)
 degenerate LL
 at small magnetic fields**

valley K



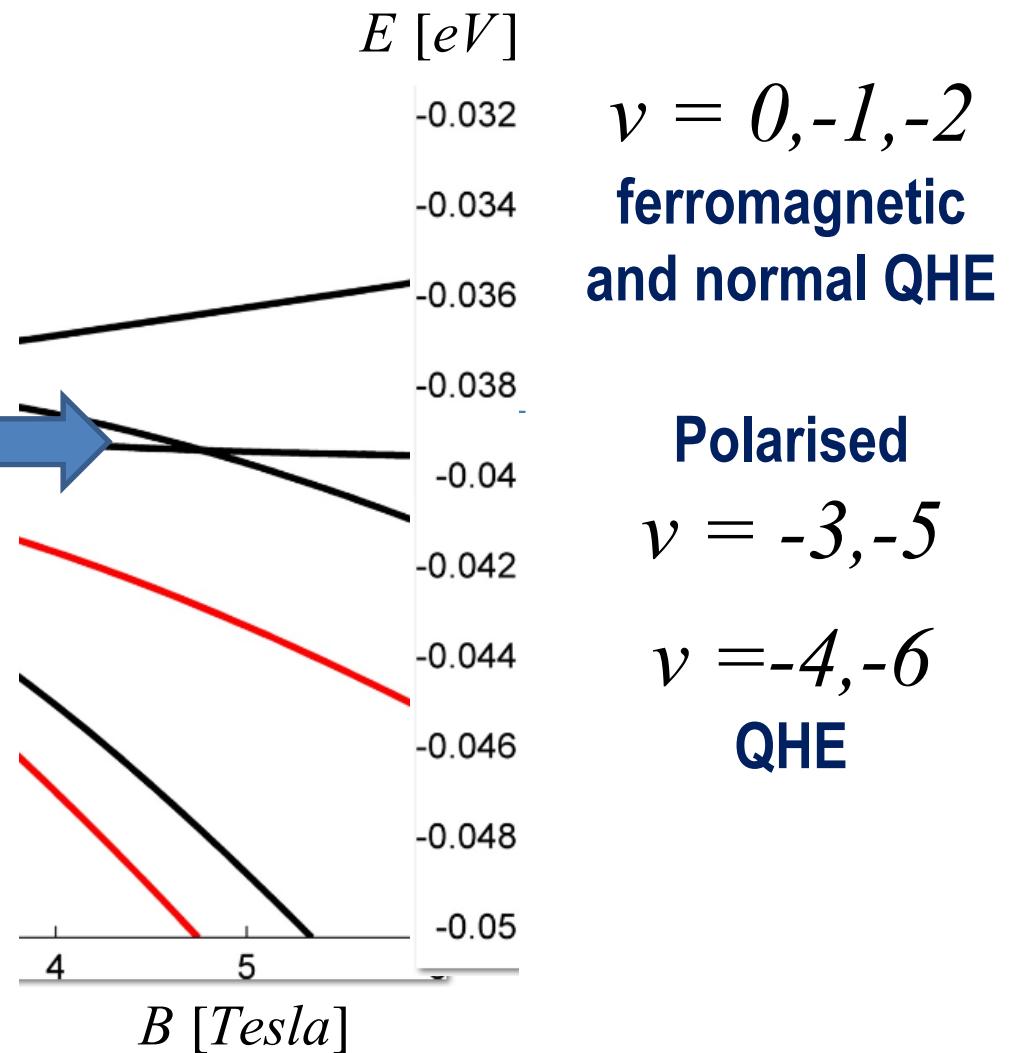
$\nu = -3$ spin polarised
 (ferromagnetic) QHE state
 $\nu = -6$ unpolarised QHE state





Landau level crossing

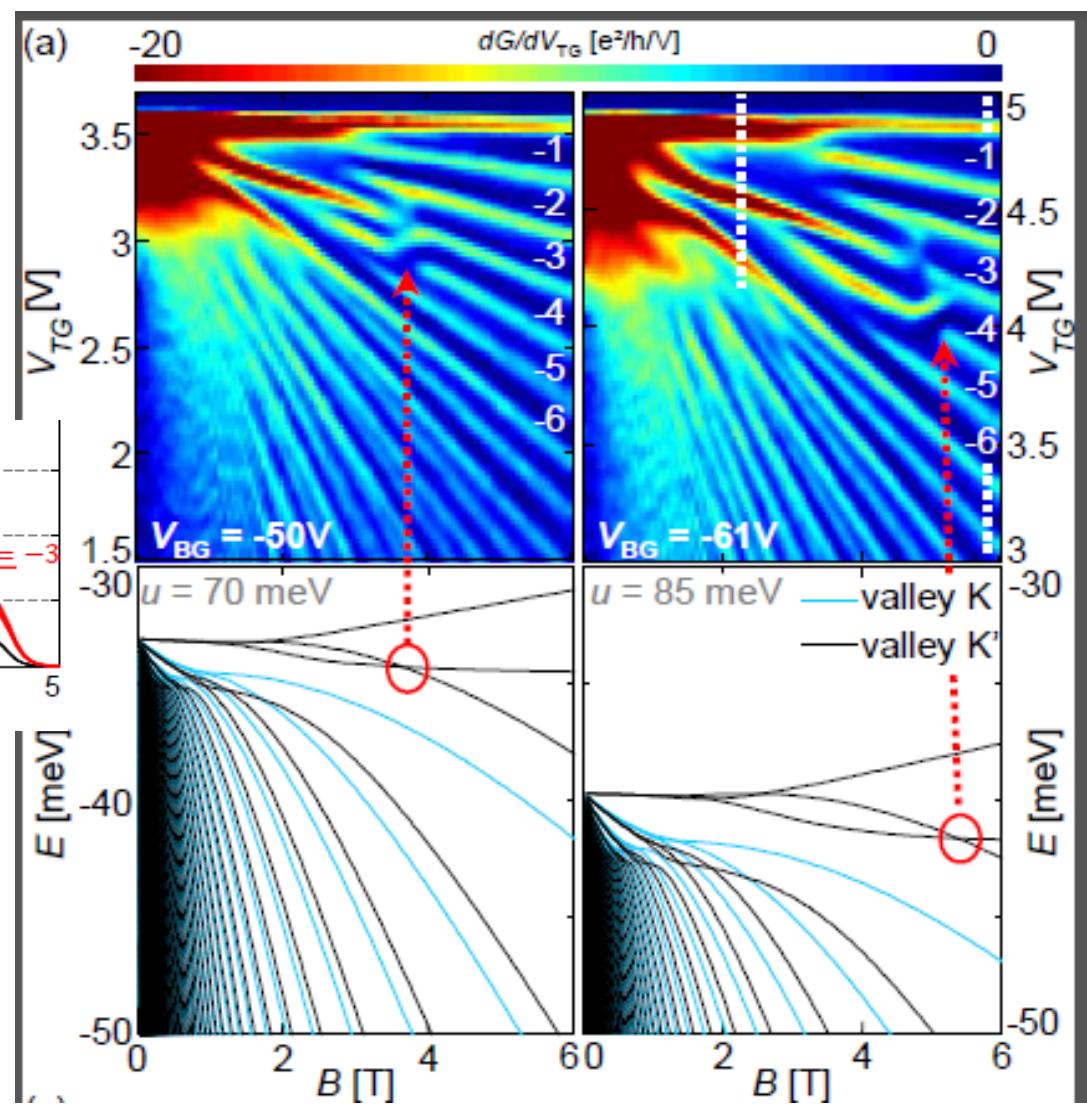
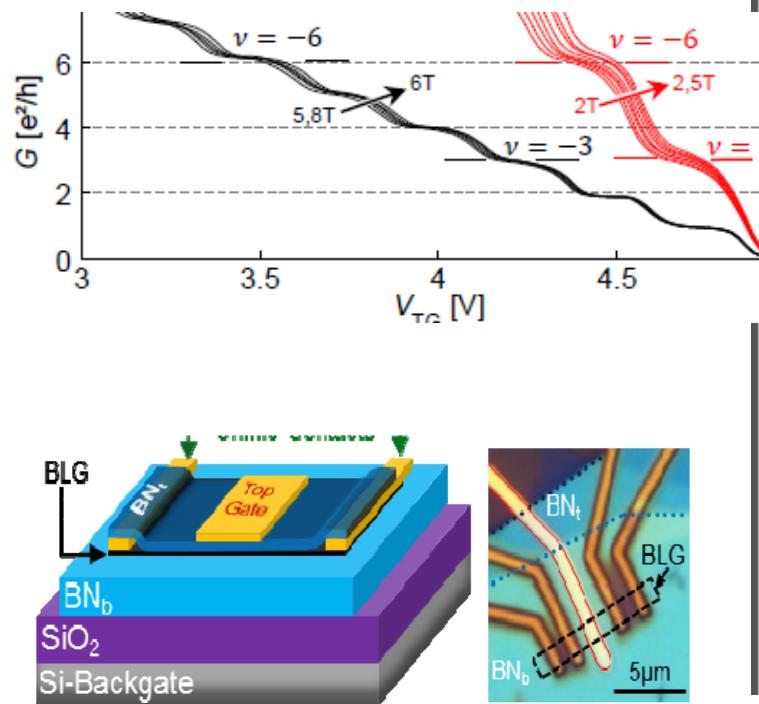
$\nu = -3, -5$ QHFM gaps vanish
and $\nu = -4$ undergoes
ferromagnetic transition.



$\nu = 0, -1, -2$
ferromagnetic
and normal QHE

Polarised
 $\nu = -3, -5$
 $\nu = -4, -6$
QHE

Lifshitz transition, magnetic breakdown, and phase transitions between QHFM states



✓ Ballistic electrons in hBN-encapsulated graphene

John Wallbank (NGI)

Tom Lane (NGI)

Marcin Mucha-Kruczynski (Bath)

Leonid Glazman (Yale)

Boris Altshuler (Columbia)

Vadim Cheianov (Leiden)

Konstantin Novoselov (NGI)

Roman Gorbachev (NGI)

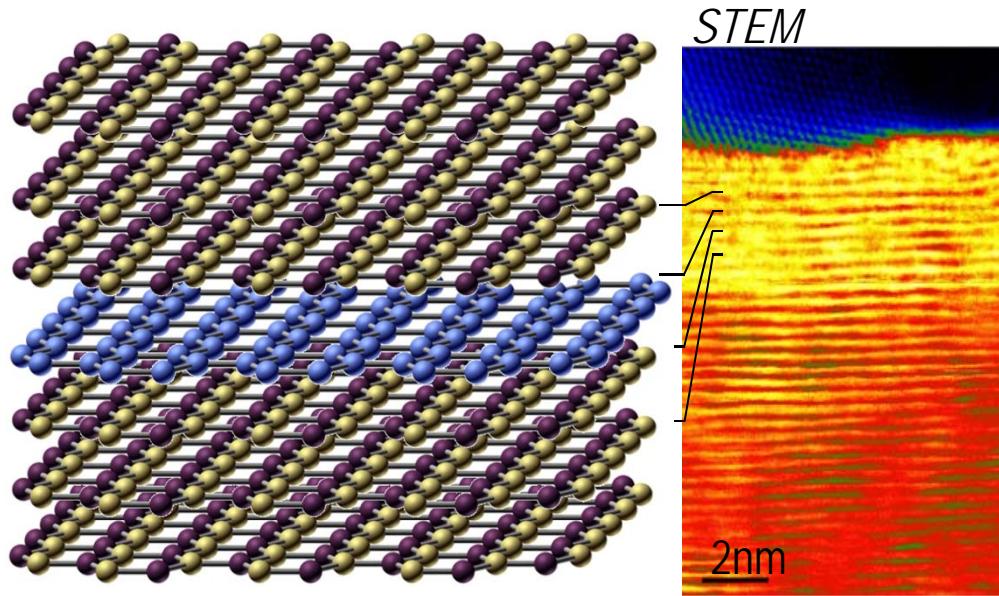
Leonid Ponomarenko (Lancaster)

Klaus Ensslin (ETH Zurich)

Marek Potemski (CNRS-Grenoble)

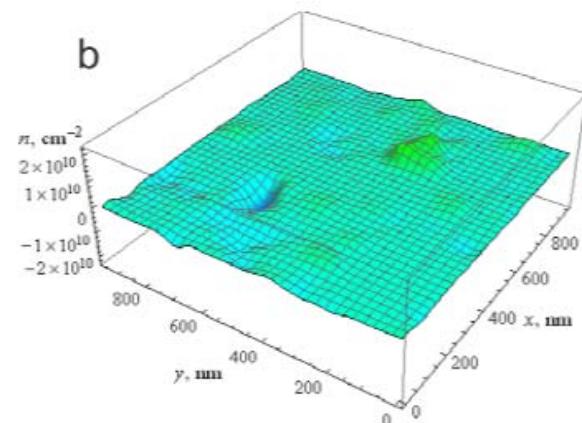
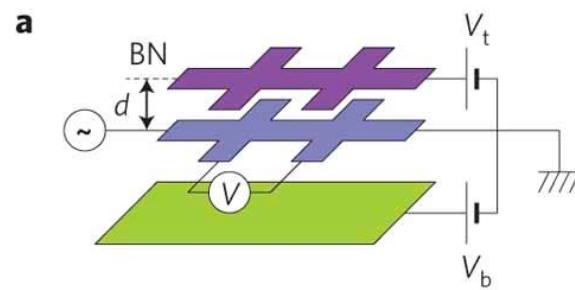
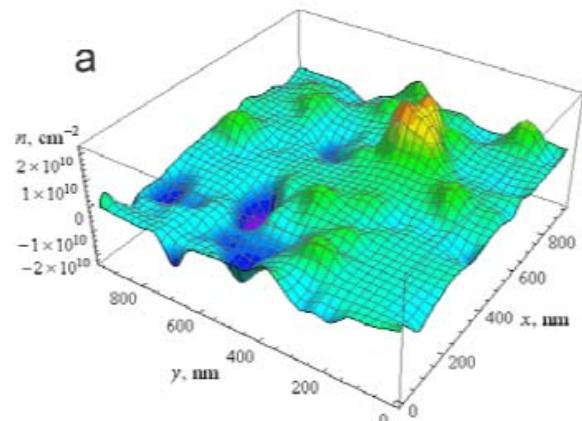
Takashi Taniguchi (NIMS)





- Graphene at its best:
ballistic electrons in
graphene (G)
encapsulated in van der
Waals heterostructures
with hexagonal boron
nitride (hBN)
- Next lecture:
moiré superlattice in
aligned graphene – hBN
heterostructures and
moiré minibands

Graphene with carrier density n_c used as gate in G/hBN/G



Ponomarenko, Geim, Zhukov, Jalil, Morozov, Novoselov, Grigorieva, Hill, Cheianov, Fal'ko, Watanabe, Taniguchi, Gorbachev
Nature Physics 7,958 (2011)

Insulating state in closely gated graphene at $n=0$

