Topological Phases of Matter with Ultracold Atoms and Photons

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Lectures 1 & 2

Introduction to Topological Phases of Matter

Lecture 3

Topological Phases of Matter with Ultracold Atoms

Lecture 4

Topological Phases of Matter with Photons

Overview

Lectures 1 & 2

Introduction to Topological Phases of Matter

Some good reviews and lecture notes:

Prange and Girvin, "The Quantum Hall Effect" (Springer, 1990).

Hasan et al., Rev. Mod. Phys. 82, 3045 (2010).

Xiao et al, RMP, 82, 1959 (2010)

Qi et al, Rev. Mod. Phys. 83, 1057 (2011).

Chiu, et al., Rev. Mod. Phys. 88, 035005, (2016)

Bernevig with Hughes, "Topological Insulators and Topological Superconductors" (Princeton University Press (2013)).

Lecture notes on Quantum Hall: Tong, arXiv:1606.06687

Online course on topological physics: <u>https://topocondmat.org/</u>



Topology & Mathematics

In mathematics, topology is used to classify different surfaces



No holes: genus=0

1 hole: genus=1

The genus is an example of a "topological invariant"

Key features of topological invariants:

- Global property
- Integer-valued
- Robust under smooth deformations



Topology & Phases of Matter

Discovery of the quantum Hall effect:





Very precisely-quantized response that is remarkably robust to disorder Why?

Because this is a **topological phase of matter**



Topology & Phases of Matter

Most phases of matter:

- Classified according to spontaneously broken symmetries, e.g.:
 - translational symmetry for solids
 - rotational symmetry for magnets...
- Characterised by *local* order parameter



Topological phases of matter:

- · Cannot be understood in terms of spontaneous symmetry breaking
- Characterised by *global* topological integer invariant



Topology & Phases of Matter

Not just electrons in solid-state systems, also





- Greater controllability and tuneability
- Explore new topological phases of matter
- Different observables learn more!

Fits into this summer school as:

- Very interdisciplinary area
- Fundamental quantum physics
- Quantum simulation
- Future quantum technologies?



Topological band theory

What is "topological" in a topological phase of matter?

The wave-function



We'll focus on how single-particle energy bands are characterised by topological invariants

$$\psi_{n,\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}}u_{n,k}(\mathbf{r})$$

$$\hat{H}_{\mathbf{k}}u_{n,\mathbf{k}} = \mathcal{E}_n(\mathbf{k})u_{n,\mathbf{k}}$$

Useful for systems well-described by independent particles:

• Integer quantum Hall effect, topological insulators...

or independent quasi-particles:

• topological superconductors and superfluids

Not useful for systems where strong correlations are important:

• Fractional quantum Hall effect...

Topological equivalence

gapless system

Two bands are topologically-equivalent if one can be adiabaticallydeformed into the other without closing the energy gap



Topological invariants (e.g. Chern numbers)



Bulk-boundary correspondence:

must be gapless modes at the boundary between two different topological phases

Symmetry & topological band theory

Symmetry is, as ever, a guiding physical principle!

Energy bands can be classified by *different types of topological invariants* depending on the symmetries and dimensionality of the system



Non-spatial symmetries

Time-reversal symmetry $\mathcal{T}H(k)\mathcal{T}^{-1}=H(-k)$

Particle-hole symmetry $\mathcal{P}H(k)\mathcal{P}^{-1}=-H(-k)$

Chiral symmetry $\mathcal{C} = \mathcal{TP} \quad \mathcal{C}H(k)\mathcal{C}^{-1} = -H(k)$

Find out more:

Kitaev, arXiv:0901.2686 Ryu et al., New J. Phys. 12, 065010 (2010) **Chiu, et al., RMP 88, 035005, (2016)**

Symmetry & topological band theory

"Periodic table" of gapped phases of quadratic fermionic Hamiltonians with only non-spatial discrete symmetries

	Symm	netry			Dimei	nsiona		Possible values of				
Class	Time- reversal	Particle- hole	Chiral	1	2	3	4	5	6	$\overline{7}$	8	topological invariant:
Α	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0 : always trivial
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z} : an integer \mathbb{Z}_2 : 0,1
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	N.B. Also topological classifications of:
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	(i) defects,
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	(ii) spatial symmetries,
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	(iii)gapless systems
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	

Result of squaring the symmetry operator (0=symmetry is broken)

Find out more:

Kitaev, arXiv:0901.2686 Ryu et al., New J. Phys. 12, 065010 (2010) Chiu, et al., RMP 88, 035005, (2016)

Symmetry & topological band theory

However, the presence/absence of certain symmetries is not a sufficient condition for nontrivial topology

$$0, 1, 2, 3... \in \mathbb{Z} \qquad 0, 1 \in \mathbb{Z}_2$$

N.B. Role of symmetry is very different to in spontaneous symmetry-breaking

So we still have to explicitly calculate the invariant to see if a system is nontrivial

Why are symmetries important? Tells us where to look &...

Topological robustness

Within a given symmetry class, topological invariants are typically very robust against small perturbations...

...but if the perturbation **breaks the symmetries**, the class changes and topological properties are no longer necessarily well-protected

These lectures

In these first two lectures, I will briefly introduce:

	Symm	netry			Dimer	nsiona	ality (ł				
Class	Time- reversal	Particle- hole	Chiral	1	2	3	4	5	6	$\overline{7}$	8	
А	0	0	0	0	Z	0	Z	0	\mathbb{Z}	0	\mathbb{Z}	← Quantum Hall
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	 SSH Model
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	Topological
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	Superconductors
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	Topological Insulators
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	

2D Quantum Hall Effect



$$\sigma_{xy} = -\frac{e^2}{h} \sum_{n \in \text{occupied}} \nu_n$$

quantised Hall response of occupied bands

- Very robust as band invariants can only change if gap closes
- Bulk-boundary correspondence: one-way chiral edge states



Berry phase M. V. Berry, Proc. R. Soc. A 392, 45 (1984)

Let's consider a general Hamiltonian with a set of parameters: $\mathbf{R} = (R_1, R_2..)$

 $H(\mathbf{R})|n(\mathbf{R})\rangle = E_n(\mathbf{R})|n(\mathbf{R})\rangle,$

To keep it simple, we consider normalised non-degenerate eigenstates

A pure state $|n(\mathbf{R}(0))\rangle$ evolves under **adiabatic** variation of parameters as:

$$|\psi_n(t)\rangle = e^{-i\theta(t)}|n(\mathbf{R}(t))\rangle$$

Plug into the Schrodinger equation: $i\hbar \frac{\partial}{\partial t} |\psi_n(t)\rangle = H(\mathbf{R}(t))|\psi_n(t)\rangle,$ $\hbar \frac{\partial \theta(t)}{\partial t} |n(\mathbf{R}(t))\rangle + i\hbar \frac{\partial}{\partial t} |n(\mathbf{R}(t))\rangle = E_n(\mathbf{R}(t))|n(\mathbf{R}(t))\rangle.$

From the orthogonality and normalisation of eigenstates:

$$\hbar \frac{\partial \theta(t)}{\partial t} = E_n(\mathbf{R}(t)) - i\hbar \langle n(\mathbf{R}(t)) | \frac{\partial}{\partial t} | n(\mathbf{R}(t)) \rangle$$
$$\longrightarrow \quad \theta(t) = \frac{1}{\hbar} \int_0^t E_n(\mathbf{R}(t')) dt' - i \int_0^t \langle n(\mathbf{R}(t')) | \frac{\partial}{\partial t'} | n(\mathbf{R}(t')) \rangle dt'.$$

$$\begin{array}{l} \textbf{Berry phase} \quad \text{M. V. Berry, Proc. R. Soc. A 392, 45 (1984)} \\ \theta(t) = \frac{1}{\hbar} \int_{0}^{t} E_{n}(\mathbf{R}(t')) \mathrm{d}t' - i \int_{0}^{t} \langle n(\mathbf{R}(t')) | \frac{\partial}{\partial t'} | n(\mathbf{R}(t')) \rangle \mathrm{d}t'. \\ \mathbf{M} \\ \textbf{Dynamical phase} \end{array}$$

Removing the explicit time-dependence

$$\gamma_n = i \int_0^t \langle n(\mathbf{R}(t')) | \frac{\partial}{\partial \mathbf{R}} | n(\mathbf{R}(t')) \rangle \frac{\partial \mathbf{R}}{\partial t'} dt' = i \int_{\mathcal{C}} \langle n(\mathbf{R}) | \frac{\partial}{\partial \mathbf{R}} | n(\mathbf{R}) \rangle d\mathbf{R}.$$
geometrical phase!

But what if we gauge-transform our wave-function? $|n(\mathbf{R})\rangle = e^{i\chi(\mathbf{R})}|n(\mathbf{R})\rangle$ \uparrow smooth, single-valued function

$$\gamma'_{n} = i \int_{\mathcal{C}} \langle n(\mathbf{R}) | \frac{\partial}{\partial \mathbf{R}} | n(\mathbf{R}) \rangle d\mathbf{R} - \int_{\mathcal{C}} \frac{\partial \chi(\mathbf{R})}{\partial \mathbf{R}} d\mathbf{R} = \gamma_{n} - [\chi(\mathbf{R}(T)) - \chi(\mathbf{R}(0))],$$

Looks like we can choose the gauge-transformation so that

$$\gamma'_n = 0$$

Berry phase

But if we consider a closed contour then:

$$|n(\mathbf{R})\rangle = e^{i\chi(\mathbf{R})}|n(\mathbf{R})\rangle$$

$$\chi(\mathbf{R}(T)) - \chi(\mathbf{R}(0)) = 2\pi \times \mathbb{Z},$$

and so
$$\gamma'_n = \gamma_n - 2\pi \mathbb{Z}$$

i.e. the geometrical phase is physical and gauge-invariant (up to 2π) for a closed contour : the **Berry phase**



Berry phase

But if we consider a closed contour then:

$$|n(\mathbf{R})\rangle = e^{i\chi(\mathbf{R})}|n(\mathbf{R})\rangle$$

$$\chi(\mathbf{R}(T)) - \chi(\mathbf{R}(0)) = 2\pi \times \mathbb{Z},$$

$$\gamma'_n = \gamma_n - 2\pi\mathbb{Z}$$

i.e. the geometrical phase is physical and gauge-invariant (up to 2π) for a closed contour : the **Berry phase**

Analogous to the rotation of a vector under parallel transport around a closed contour



On a surface, the rotation of the vector depends on the Gaussian curvature enclosed

$$\kappa = \frac{1}{r_1 r_2}$$

Figure from: P. Bruno, arXiv:cond-mat/0506270

Berry phase, connection & curvature

Inspired by that geometrical analogy,
let's define some more properties:
$$\gamma_n = \oint_{\mathcal{C}} d\mathbf{R} \cdot \mathcal{A}_n(\mathbf{R}) = \int_{\mathcal{S}} d\mathbf{S} \cdot \Omega_n(\mathbf{R})$$
Berry phaseBerry connectionBerry curvature $\gamma_n = i \oint_{\mathcal{C}} \langle n(\mathbf{R}) | \frac{\partial}{\partial \mathbf{R}} | n(\mathbf{R}) \rangle d\mathbf{R}$ $\mathcal{A}_n(\mathbf{R}) = i \langle n(\mathbf{R}) | \frac{\partial}{\partial \mathbf{R}} | n(\mathbf{R}) \rangle$ $\Omega_n(\mathbf{R}) = \nabla \times \mathcal{A}_n(\mathbf{R})$ (modulo) gauge-invariant
 $\chi(\mathbf{R}(T)) - \chi(\mathbf{R}(0)) = 2\pi \times \mathbb{Z},$ gauge-dependent
 $\mathcal{A}_n(\mathbf{R}) \to \mathcal{A}_n(\mathbf{R}) - \frac{\partial \chi(\mathbf{R})}{\partial \mathbf{R}}$ gauge-invariant
 $\nabla \times \nabla \chi(\mathbf{R}) = 0$ Analogous to
magnetic flux
 $\Phi = \int_{\mathcal{S}} d\mathbf{S} \cdot \mathbf{B}(\mathbf{r})$ Analogous to
a magnetic vector potential
 $\mathbf{A}(\mathbf{r})$ Analogous to
a magnetic field
 $\mathbf{B}(\mathbf{r}) = \nabla \times \mathbf{A}(\mathbf{r})$

From geometry to topology

Let's go back to that geometrical analogy again

In fact, geometry and topology are connected, e.g. :

$$\int_{\mathcal{S}_{\rm tot}} \kappa \mathrm{d}S = 4\pi (1-g)$$

Gauss-Bonnet theorem
$$g = 0$$

$$g = 0$$

$$g = 1$$

Analogously, we can relate the geometry and topology of eigenstates over a *closed* parameter space, e.g.:



closed two-dimensional surface

For much more about this, see "Geometry, Topology and Physics" by M. Nakahara, IOP Publishing, (2003)

1st Chern Number

But wait a minute....

$$\nu_n = \frac{1}{2\pi} \int_{\mathcal{S}_{\text{tot}}} \mathrm{d}\mathbf{S} \cdot \Omega_n(\mathbf{R}) \to \frac{1}{2\pi} \oint_{\mathcal{C}} \mathrm{d}\mathbf{R} \cdot \mathcal{A}_n(\mathbf{R}) = 0 \quad \begin{array}{c} \text{So this is always} \\ \text{zero?} \end{array}$$

Actually, Stokes' theorem assumes the Berry connection has **no singularities** inside the contour. If this is true, then yes the 1st Chern number is zero.

If not, we can get rid of singularities by defining different gauges over different patches

1st Chern numbers & Bloch bands

So far we have considered a general parameter space [come back to in Lecture 3], but let's now return to **topological band theory**



Crucially, the Brillouin zone defines "a closed surface"

$$\psi_{n,\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}}u_{n,k}(\mathbf{r})$$
$$\hat{H}_{\mathbf{k}}u_{n,\mathbf{k}} = \mathcal{E}_n(\mathbf{k})u_{n,\mathbf{k}}$$

$$\mathcal{A}_{n}(\mathbf{k}) = i \langle u_{n,\mathbf{k}} | \frac{\partial}{\partial \mathbf{k}} | u_{n,\mathbf{k}} \rangle$$
$$\Omega_{n}(\mathbf{k}) = \nabla \times \mathcal{A}_{n}(\mathbf{k})$$
$$\nu_{n} = \frac{1}{2\pi} \int_{\mathrm{BZ}} \mathrm{d}^{2}\mathbf{k} \cdot \Omega_{n}(\mathbf{k}) \qquad \in \mathbb{Z}$$

N.B. the above can be generalised to bands with degeneracies

2D Quantum Hall Effect

Semiclassical dynamics of a wavepacket in a lattice

$$\dot{\mathbf{r}}_{c} = \frac{1}{\hbar} \frac{\partial \mathcal{E}_{n}(\mathbf{k}_{c})}{\partial \mathbf{k}_{c}} - \dot{\mathbf{k}}_{c} \times \Omega_{n}(\mathbf{k}_{c})$$
$$\hbar \dot{\mathbf{k}}_{c} = -e\mathbf{E}$$

"Anomalous velocity":

analogous to a Lorentz force with roles of position and momentum swapped



Karplus & Luttinger Phys. Rev. 95, 1154 (1954)... Chang & Niu, PRL, 75, 1348 (1995)... **Review**: Xiao et al, RMP, 82, 1959 (2010)

Now consider a band insulator

$$\mathbf{j} = -\frac{e}{(2\pi)^2} \sum_{n \in \text{occupied}} \int_{BZ} d^2 \mathbf{k} \cdot \left[\frac{1}{\hbar} \frac{\partial \mathcal{E}_n(\mathbf{k})}{\partial \mathbf{k}} + \frac{e}{\hbar} \mathbf{E} \times \Omega_n(\mathbf{k}) \right]$$

$$\longrightarrow \quad j_x = -\frac{e^2}{h} \frac{E_y}{(2\pi)} \sum_{n \in \text{occupied}} \int_{BZ} d^2 \mathbf{k} \cdot \Omega_n(\mathbf{k})$$
[Better Thoules

[Better derivation with Kubo formula] Thouless et al., Phys. Rev. Lett. 49, 405,1982

Consequences of the Chern number:

• Quantized Hall conductance (TKNN result) $\sigma_{xy} = -\frac{e^2}{h}$ \sum_{xy}

• Chiral edge modes (Bulk-Boundary Correspondence) : $n_{
m edge} =$

$$\sum_{n \in \text{occupied}} \nu_n$$

Time-reversal symmetry breaking

Under timereversal $\Omega_n(\mathbf{k}) = -\Omega_n(-\mathbf{k})$ so $\nu_n = \frac{1}{2\pi} \int_{BZ} d^2 \mathbf{k} \cdot \Omega_n(\mathbf{k}) = 0$ symmetry (TRS) if TRS is present

How to break TRS physically?

- Apply a magnetic field to a charged particle: $\mathbf{F} = q\mathbf{v} imes \mathbf{B}$
- But also many other approaches...

Seminal theoretical models with broken TRS:

- <u>Continuum</u>: Landau levels
- <u>Chern insulators [lattice models]</u>: Harper-Hofstadter model & Haldane model

	Symn	netry					C	ł			
	Time- reversal	Particle hole	- Chiral	1	2	3	4	5	6	$\overline{7}$	8
Δ	0	0	0	0	7.	0	7.	0	77.	0	77.

Breaking TRS for cold atoms & photons in Lectures 3 and 4

Chern Insulator: Harper-Hofstadter Model

Charged particle hopping on a tight-binding 2D square lattice in a uniform magnetic field



Then hopping around a plaquette:

in the Landau gauge
$$\mathbf{A} = (0, Bx, 0)$$

in the Landau gauge $\mathbf{A} = (0, Bx, 0)$
 $\theta_{m+1,n}^y - \theta_{m,n}^y - \theta_{m,n+1}^x + \theta_{m,n}^x$
 $= -\frac{e}{\hbar}[B(m+1)a^2 - Bma^2]$
 $= 2\pi \frac{\Phi}{\Phi_0} = 2\pi \alpha$
No/ flux quanta per plaquette $\alpha = -eBa^2/h$

$$\mathcal{H} = -J \sum_{m,n} [a_{m+1,n}^{\dagger} a_{m,n} + e^{i2\pi\alpha m} a_{m,n+1}^{\dagger} a_{m,n} + \text{h.c.}]$$

Hofstadter, PRB 14, 2239, (1976)

Chern Insulator: Harper-Hofstadter Model

Interplay between lattice and magnetic field gives fractal energy spectrum : the Hofstadter butterfly

Non-zero Chern numbers and topological edge modes:





4J

E



 $x = L_x$

x = 0



Chern Insulator: Haldane Model

Charged particle hopping on a tight-binding 2D honeycomb lattice





Topological phase diagram



- Complex NNN hoppings break TRS
- First example of a Chern insulator model with zero average magnetic flux

Topological phase transition (gapless Dirac points)

Figures courtesy of T. Ozawa

Fractional Quantum Hall Effect

Ultimate goal for why ultracold atoms and photons want to engineer artificial quantum Hall states

QH plateaux at noninteger filling fractions

> Unlike in the integer quantum Hall effect, strong electron interactions play a crucial role

Important features include:

- topological degeneracy
- quasiparticles with fractional charge
- quasiparticles with fractional statistics & maybe even **non-abelian statistics...**



Anyons & Non-Abelian Anyons



Figure from: http://www.nature.com/ scientificamerican/journal/v294/n4/box/ scientificamerican0406-56_BX3.html Before we move on, here are a few more interesting things about quantum Hall systems

(that are relevant to cold atoms and photonics)

Higher dimensions



Leads to a nonlinear quantized Hall response



Ultracold atoms and photons could be used to explore higher-dimensional topology (Lectures 3 & 4)



Physical consequences? Adiabatically pump a wavepacket

anomalous velocity $\dot{x}_n = \Omega_n^{\varphi,k_x} \partial_t \varphi$

Then for a filled band insulator:

Quantized $x(T) = \nu_n$

BUT remember the pump parameter is external (not a dynamical variable) —> quantised transport **only** after a full pump cycle



D. J. Thouless, Phys. Rev. B 27, 6083 (1983)

Topological Pumping



How to make a topological pump?

1. Start from a 2D QH system, e.g. HH model

$$\mathcal{H} = -J \sum_{m,n} [a_{m+1,n}^{\dagger} a_{m,n} + e^{i2\pi\alpha m} a_{m,n+1}^{\dagger} a_{m,n} + \text{h.c.}]$$

2. Fourier-transform with respect to one dimension $a_{m,n} = \sum_{k_y} e^{ik_y n} a_{m,k_y}$

$$\mathcal{H} = -J\sum_{m,k_y} \left[a_{m,k_y}^{\dagger} a_{m+1,k_y} + \text{h.c.} + 2\cos\left(2\pi\alpha m + k_y\right) a_{m,k_y}^{\dagger} a_{m,k_y} \right]$$

Harper model

3. Take a single Fourier component and relabel the momentum as the pumping parameter

$$\mathcal{H}_{1D} = -J \sum_{m} \left[a_m^{\dagger} a_{m+1} + \text{h.c.} + 2\cos\left(2\pi\alpha m + \varphi\right) a_m^{\dagger} a_m \right]$$

This is just a 1D hopping model with an onsite-potential that depends on position

Pumping corresponds to shifting the on-site potential

[NB Can do similar procedure in 4D]

Kraus et al., Phys. Rev. Lett. 111, 226401 (2013).

These lectures

In these first two lectures, I will briefly introduce:

	Symm	netry			Dimer	nsiona	ality (ł				
Class	Time- reversal	Particle- hole	Chiral	1	2	3	4	5	6	$\overline{7}$	8	
Α	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	← Quantum Hall
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	 SSH Model
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	Topological
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	Superconductors
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	Topological Insulators
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	

Time-Reversal Symmetry

For spinless particles: $\mathcal{T} = \mathcal{K}$ (charge conjugation) $\longrightarrow \mathcal{T}^2 = \mathcal{K}^2 = +1$



For spin-1/2 particles: $\mathcal{T} = -i\sigma_{y}\mathcal{K}$





 $\stackrel{\mathcal{T}}{\longrightarrow} \stackrel{\mathcal{T}}{\longrightarrow} \stackrel{\mathcal{T}}{\longrightarrow} \quad \text{as need TR to also flip the spin:} \\ \mathcal{T}\sigma_x \mathcal{T}^{-1} = -\sigma_x \quad \text{and similarly for the other Pauli matrices}$

In general

bosons (integer spin) have
$$\, {\cal T}^2 = +1$$

fermions (half-integer spin) have $\,\mathcal{T}^2=-1\,$

Kramer's Theorem

Let's consider a Hamiltonian with TRS

$$H|\psi\rangle = E|\psi\rangle$$
 TRS: $\mathcal{T}^{-1}H\mathcal{T} = H$

Then from $H\mathcal{T}|\psi\rangle = \mathcal{T}\mathcal{T}^{-1}H\mathcal{T}|\psi\rangle = \mathcal{T}H|\psi\rangle = \mathcal{T}E|\psi\rangle = E\mathcal{T}|\psi\rangle.$ i.e. $\mathcal{T}|\psi\rangle$ is also an eigenstate at energy E Is this the same state? if so: $\mathcal{T}|\psi\rangle = e^{i\alpha}|\psi\rangle$

some real number

For fermions $\mathcal{T}^2 = -1$

$$-|\psi\rangle = \mathcal{T}^2|\psi\rangle = \mathcal{T}e^{i\alpha}|\psi\rangle = e^{-i\alpha}\mathcal{T}|\psi\rangle = e^{-i\alpha}e^{i\alpha}|\psi\rangle = |\psi\rangle,$$

contradiction!

these must be different states

Kramer's theorem:

For a TRS fermionic system, all eigenstates are at least two-fold degenerate

(For bosons $\mathcal{T}^2 = +1$ so eigenstates can be non-degenerate)

Kramer's Theorem

In momentum-space, TRS means

$$\mathcal{T}H(k)\mathcal{T}^{-1} = H(-k)$$

So $|\psi({\bf k})\rangle\,\,\&|\psi(-{\bf k})\rangle\,$ have the same energy i.e. ${\cal E}({\bf k})={\cal E}(-{\bf k})$

and the 2D BZ has 4 TRS-invariant points where fermionic states must be doubly-degenerate





Going back to Lecture 1, chiral edge states are not possible with TRS

Figure adapted from C. L. Kane & E. J. Mele, Science 314, 5806, 1692 (2006)

Kramer's Theorem

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and the 2D BZ has 4 TRS-invariant points where fermionic states must be doubly-degenerate





Usually a small perturbation would hybridise and mix the edge states

but with TRS, these are a Kramers pair and so can't be mixed —> robust states

Figure adapted from C. L. Kane & E. J. Mele, Science 314, 5806, 1692 (2006)

Z₂ Topological invariant in 2D



Here we exploit the *bulk-boundary correspondence* — $\nu_{\rm TI}$ can also be calculated from bulk states, but this is generally quite complicated...

	Symn	netry					C	ł			
	Time-	Particle	- Chiral	1	2	3	4	5	6	$\overline{7}$	8
AII	reversal –1	<u> </u>	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}

2D Topological Insulators

Simplest example is two copies of a Chern insulator, e.g.:



[Quantum spin Hall state is another name for a 2D Topological Insulator]

These lectures

In these first two lectures, I will briefly introduce:

	Symm	netry			Dimer	nsiona	ality (ł				
Class	Time- reversal	Particle- hole	Chiral	1	2	3	4	5	6	7	8	
Α	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	← Quantum Hall
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	🛶 SSH Model
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	Topological
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	Superconductors
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	Topological Insulators
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	

1D Chiral Topological Phases

	Symn	netry					(d			
	Time-	Particle	-	1	2	3	4	5	6	7	8
	reversal	hole	Chiral	-	-		-	<u> </u>	0	•	
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2

Let's consider a system with chiral symmetry: $CHC^{-1} = -H$

$$H|\psi\rangle = E|\psi\rangle$$
$$H\mathcal{C}|\psi\rangle = -\mathcal{C}H|\psi\rangle = -E\mathcal{C}|\psi\rangle.$$

so the spectrum must be symmetric around E=0



Figure from: Hasan et al., Rev. Mod. Phys. 82, 3045 (2010).

Su-Schrieffer-Heeger Model

Probably the simplest model with nontrivial topology

Originally proposed as a model for polyacetylene



Su, Schrieffer, & Heeger, PRL. 42, 1698 (1979), ibid, PRB 22, 2099 (1980).



These lectures

In these first two lectures, I will briefly introduce:

	Symm	netry			Dimer	nsion	ality d	ł				•
Class	Time- reversal	Particle- hole	Chiral	1	2	3	4	5	6	$\overline{7}$	8	
А	0	0	0	0	\mathbb{Z}	0	Z	0	\mathbb{Z}	0	\mathbb{Z}	Quantum Hall
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	 SSH Model
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	Superconductors
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	Topological Insulators
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	

Topological Superconductors

In BCS theory,
Bogoliubov de-Gennes Hamiltonian
$$H - \mu N = \frac{1}{2} \sum_{\mathbf{k}} \left(c_{\mathbf{k}}^{\dagger} \ c_{-\mathbf{k}} \right) \mathcal{H}_{BdG}(\mathbf{k}) \left(\begin{array}{c} c_{\mathbf{k}} \\ c_{-\mathbf{k}}^{\dagger} \end{array} \right)$$

 $\mathcal{H}_{BdG} = \left(\begin{array}{c} H_0(\mathbf{k}) - \mu & \Delta(\mathbf{k}) \\ \swarrow \Delta(\mathbf{k})^* & -H_0(\mathbf{k}) + \mu \end{array} \right) \quad \text{excitation spectrum has a superconducting energy gap}$
mean-field pairing where \mathcal{D} where

Particle-hole (PH) symmetry: $\mathcal{P}\mathcal{H}_{BdG}(\mathbf{k})\mathcal{P}^{-1} = -\mathcal{H}_{BdG}(-\mathbf{k})$ $\mathcal{P} = \sigma_x K$ $\mathcal{P}^2 = 1$

every eigenstate at energy E has a partner at energy -E particle-hole redundancy: $\Gamma_E^{\dagger} = \Gamma_{-E}$



i.e. creating a quasiparticle in state +E has the same effect as removing one from state -E

1D Topological Superconductors

Gapped phase of a fermionic quadratic Hamiltonian with PH-symmetry



Figure from: Hasan et al., Rev. Mod. Phys. 82, 3045 (2010).

1D Kitaev Chain A. Y. Kitaev. Physics-Uspekhi, 44:131, 2001.

$$\mathcal{H}_{\text{chain}} = -\mu \sum_{i=1}^{N} n_i - \sum_{i=1}^{N-1} \left(t c_i^{\dagger} c_{i+1} + \Delta c_i c_{i+1} + h.c. \right), \quad \text{superconductor on a chain}$$

Topological Trivial 5 E/t0 -5 -2 -1 1 2 3 -3 0 μ/t Figure courtesy of T. Ozawa Spectrum for N=100 and $\Delta = t$



The end Majorana fermions define a degenerate 2-state system: a qubit! An electron in this qubit will be in a **non-local** superposition of the two edge modes.

Review: Leijnse et al., Semicond. Sci. Technol. 27, 124003 (2012)

2D Topological Superconductors

	Symm	netry					(d			
	Time- reversal	Particle hole	- Chiral	1	2	3	4	5	6	7	8
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2

What happens in a 2D topological superconductor?



 $u_{2\mathrm{D}~\mathrm{SC}} \in \mathbb{Z}$ gives no/ chiral Majorana edge modes [Reminiscent of Chern number and QH chiral edge states in Class A] Simple model: spinless superconductor with $p_x + ip_y$ pairing

Vortices in a topological superconductor can host **bound Majorana zero-modes**

Figure from: Hasan et al., Rev. Mod. Phys. 82, 3045 (2010).

Hunting for Majorana Fermions

Majorana fermions are not so easy to realise experimentally:

- Quasiparticles in some fractional quantum Hall states?
- Unconventional superconductors, e.g. Sr₂RuO₄?
- Proximity effect devices (superconductors coupled to TIs, semiconductors or magnetic atoms...)



And now...

	Symm	netry			Dimer	nsion	ality (d				
	Time- reversal	Particle- hole	Chiral	1	2	3	4	5	6	7	8	
Class	0	0	0	0	Z	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	← Quantum Hall
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	 SSH Model
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	Topological
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	Superconductors
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	Topological Insulators
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	

Can we realise these topological phases in ultracold atoms and photonics? Lecture 3 & 4