



# Finding the Needle in a Haystack: Materials discovery through high-throughput ab initio computing and data mining

Geoffroy Hautier

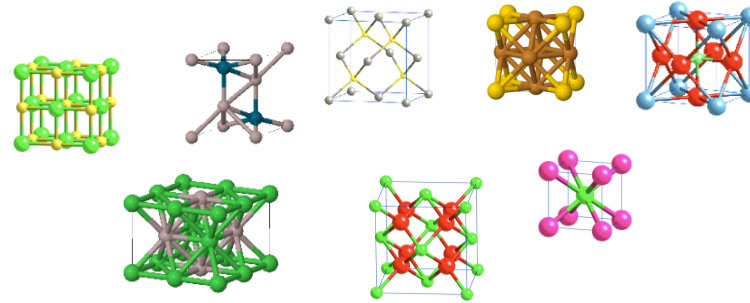
Max conference

January 31, 2018

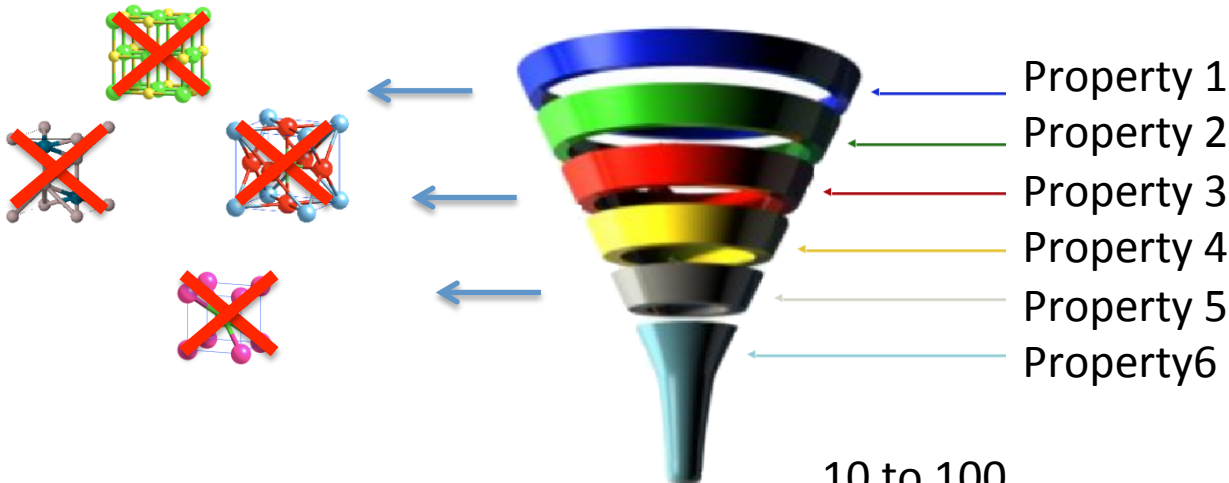
# Outline

- **High-throughput computations as an accelerator to materials discovery**
- **High-throughput computing in action:**
  - Transparent conducting oxides and non-oxides
  - electrides
- **The challenge of scaling-up and automation**
  - HT phonons

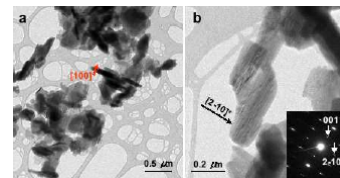
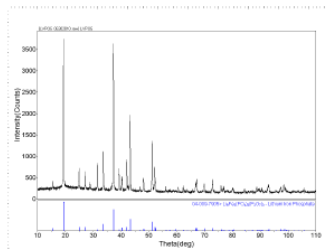
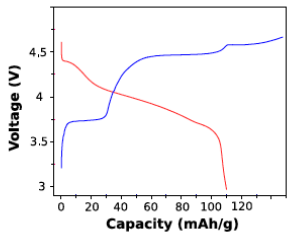
# Accelerating materials discovery using high-throughput computations



10,000  
to 100,000  
compounds

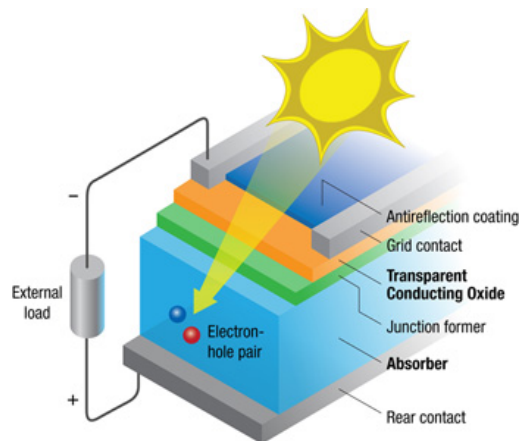


10 to 100  
compounds to  
validate exp.



# Transparent conducting materials

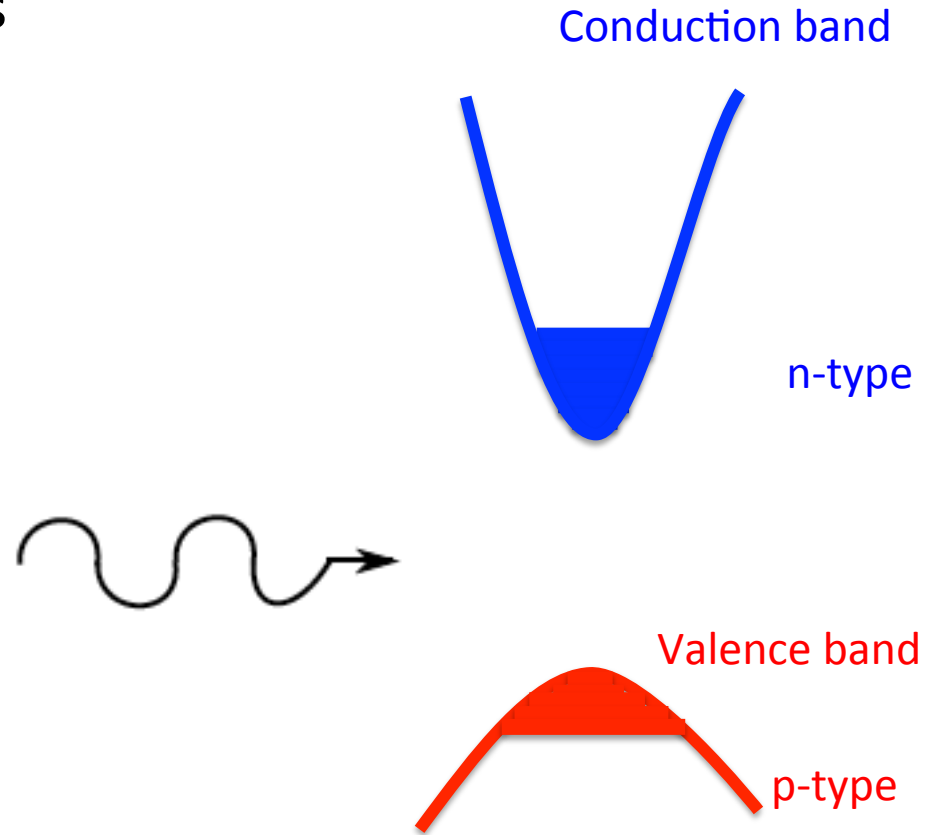
- Many applications require materials combining transparency with conductivity
  - Touch screens
  - Solar cells
- Those properties are antagonistic and difficult to obtain in the same material





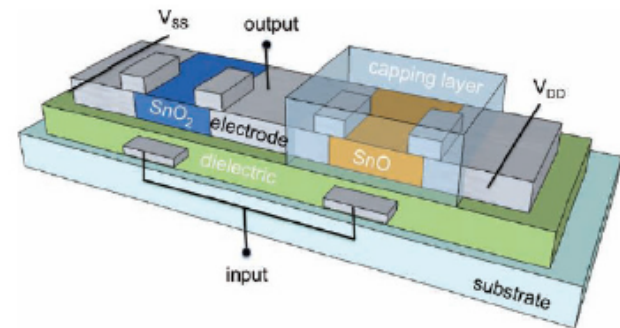
# Transparent conducting oxides

- Transparent conducting oxides (TCOs) have
  - Wide band gap (transparency)
  - Doping (carriers)



# n- and p-type TCOs

- n-type TCOs are ubiquitous in technology
  - Ex:  $\text{In}_2\text{O}_3$  doped with Sn (ITO)
- p-type TCOs are lagging behind
  - Best n-type mobility:  $100 \text{ cm}^2/\text{Vs}$
  - Best p-type mobility:  $10 \text{ cm}^2/\text{Vs}$
- Fundamental limitations to many technologies (e.g., transparent electronics)



# Back to basics: what properties drive TCOs conductivity?

- Conductivity:

$$\sigma = ne\mu$$

Carrier concentration

- Mobility:

$$\mu = \frac{e\tau}{m^*}$$

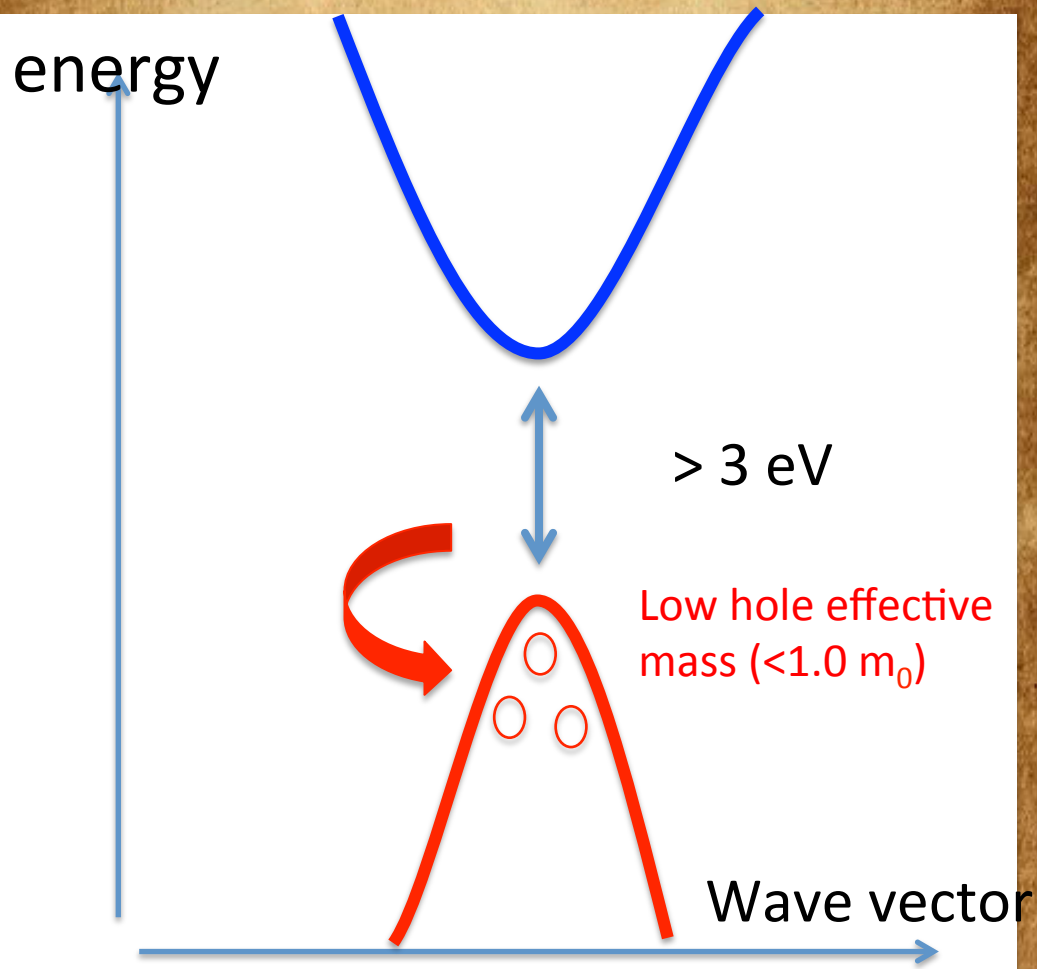
scattering time

Effective mass

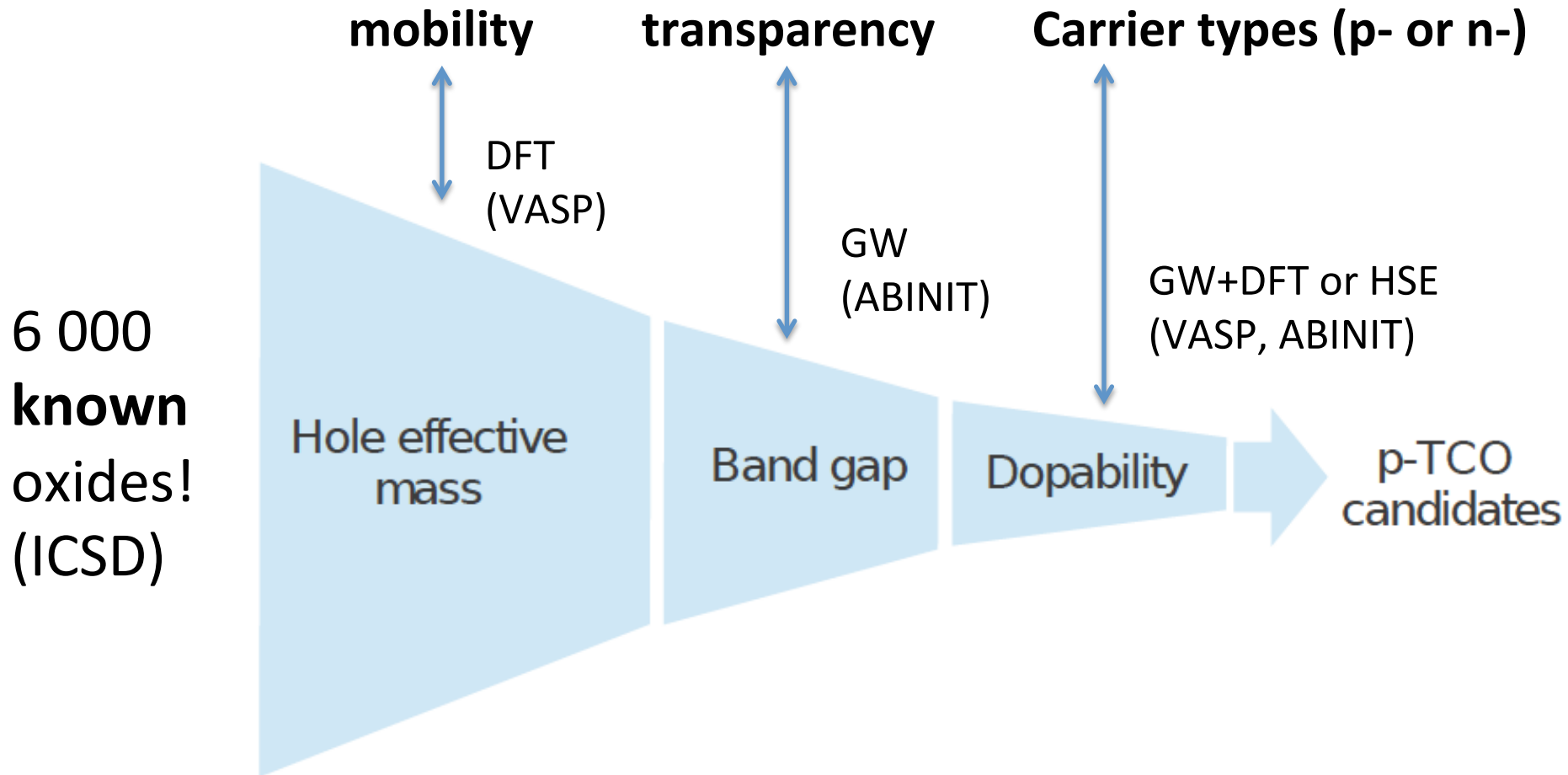
$$\frac{1}{m^*} \propto \frac{\partial^2 E}{\partial k^2}$$

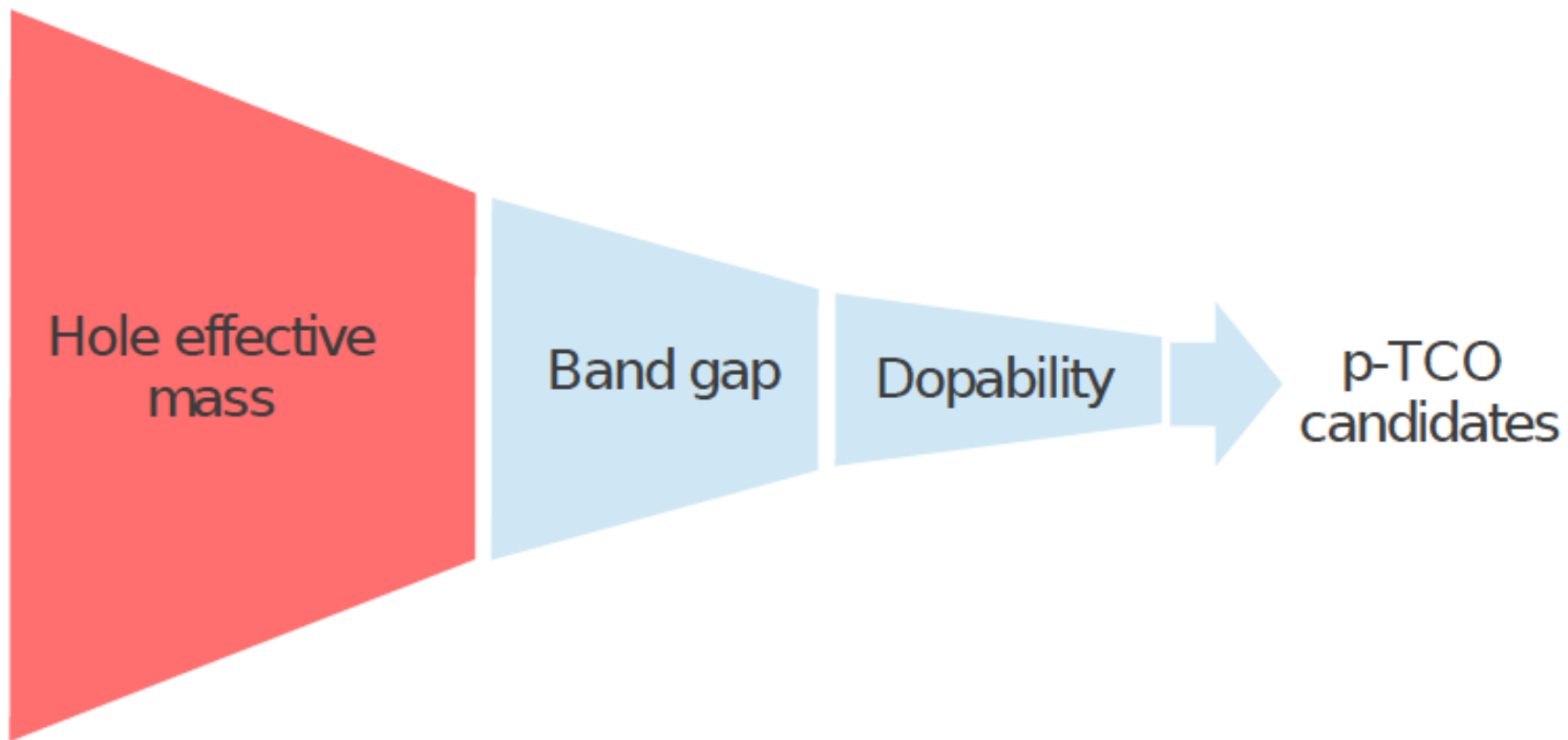
# WANTED

DEAD OR ALIVE



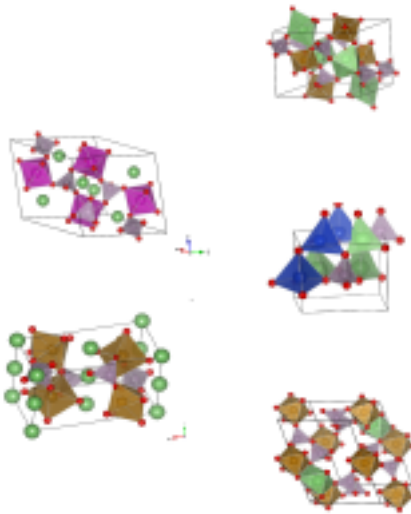
# A tiered high-throughput screening for p-type TCOs discovery



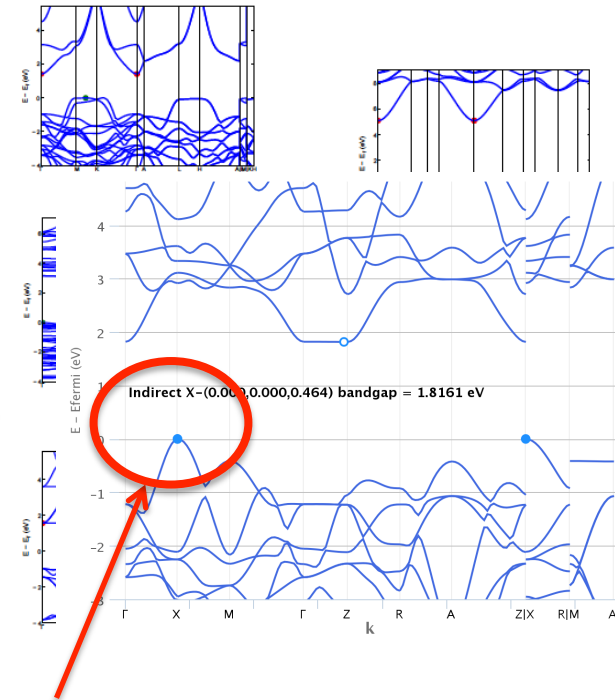
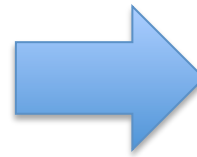
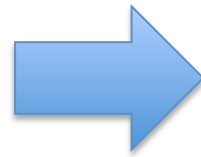


# Low hole effective mass screening

- Compute band structures (within DFT) for more than 6,000 oxides
- Known oxides ( from the ICSD)
- Extract the effective masses



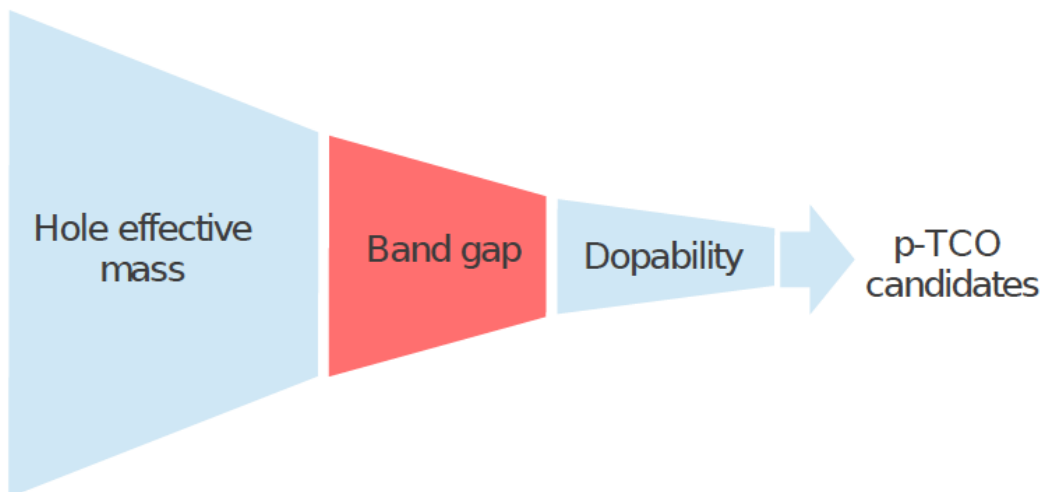
Known materials (from the ICSD)



Effective mass, curvature

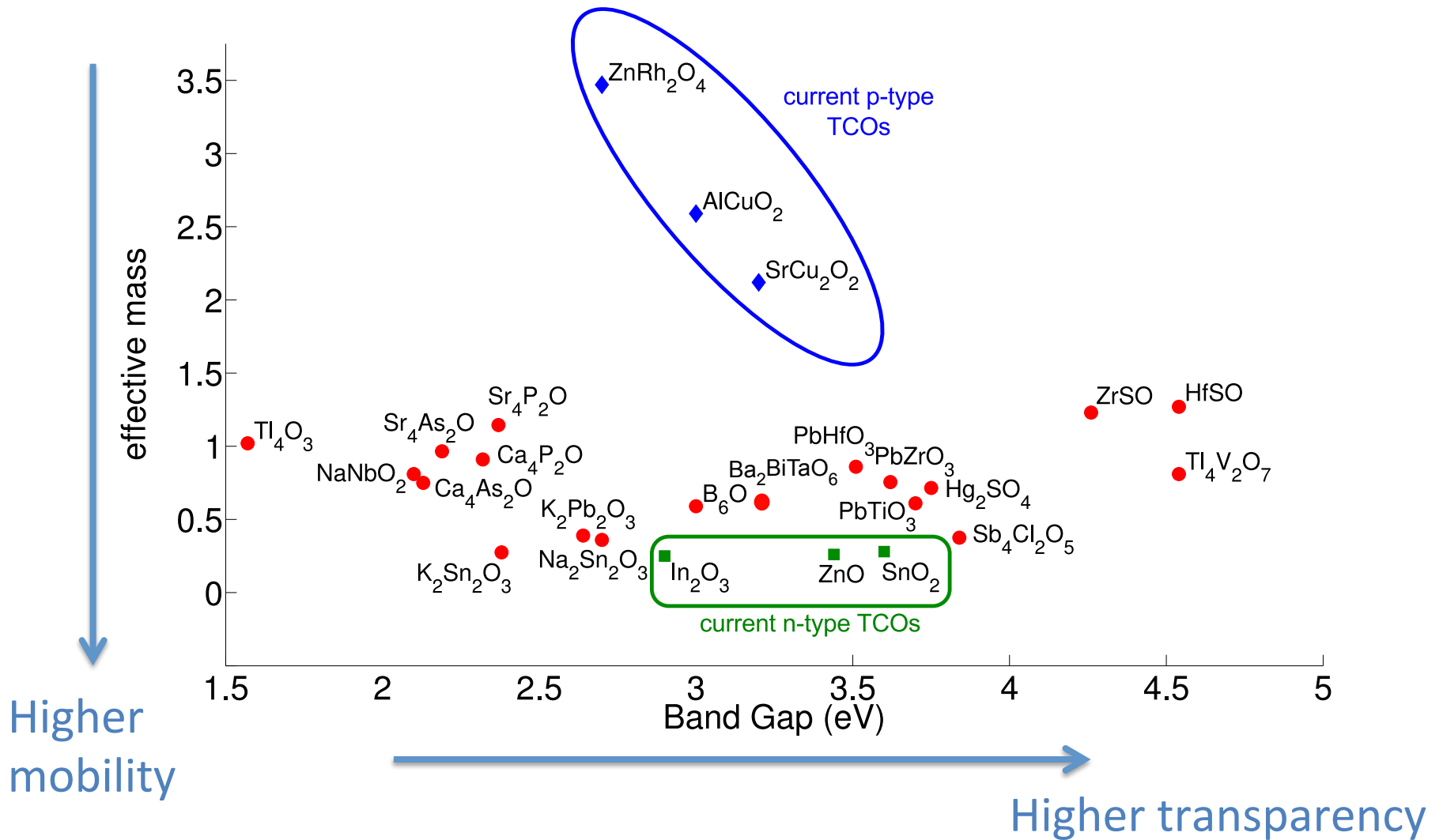
# Further screening

- Only keep oxides with hole effective mass  $< 1.5$ 
  - Around 20 compounds over more than 6,000 oxides!
  - Needle in the haystack problem!
- For those, compute more accurate band gap with one-shot GW ( $G_0W_0$ ) technique





# Effective mass vs band gap



Hole effective  
mass

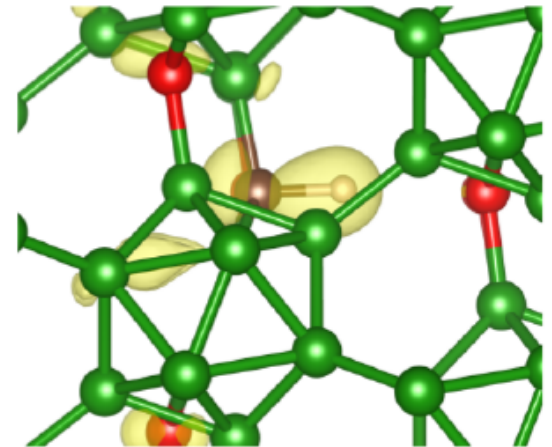
Band gap

Dopability

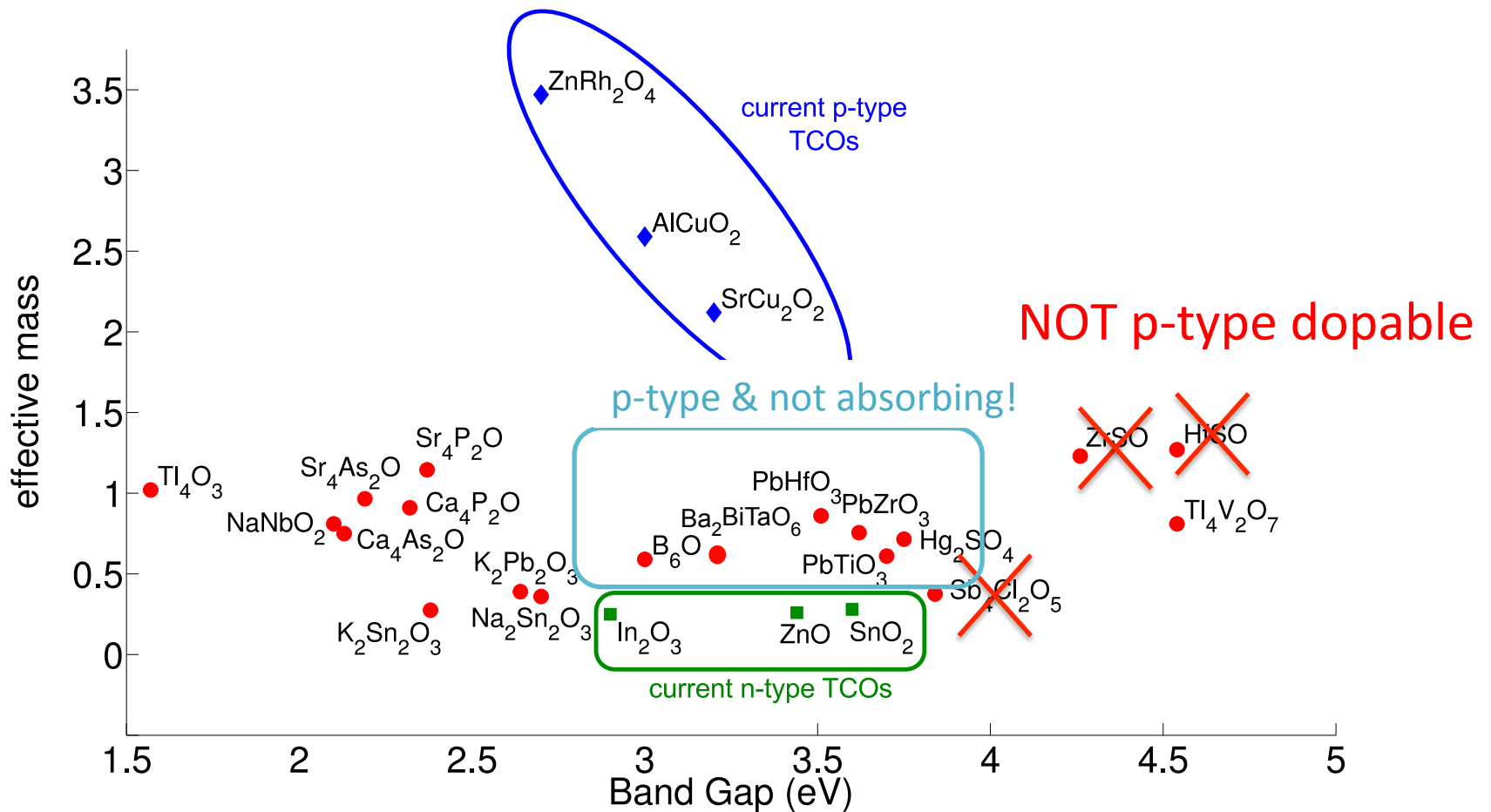
p-TCO  
candidates

# p-type dopability

- Not all oxides can be p-type
- Intrinsic defects often prevent dopability
  - « Hole killers »
  - e.g., the oxygen vacancy
- Defects can be computed through ab initio techniques
  - More expensive
  - GW or hybrid functionals needed

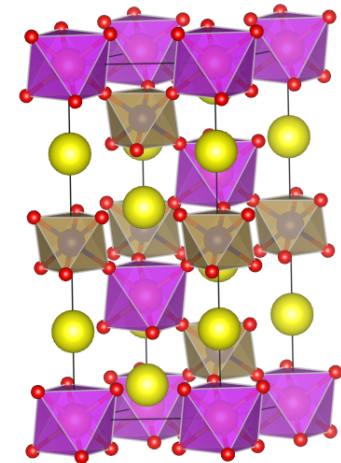
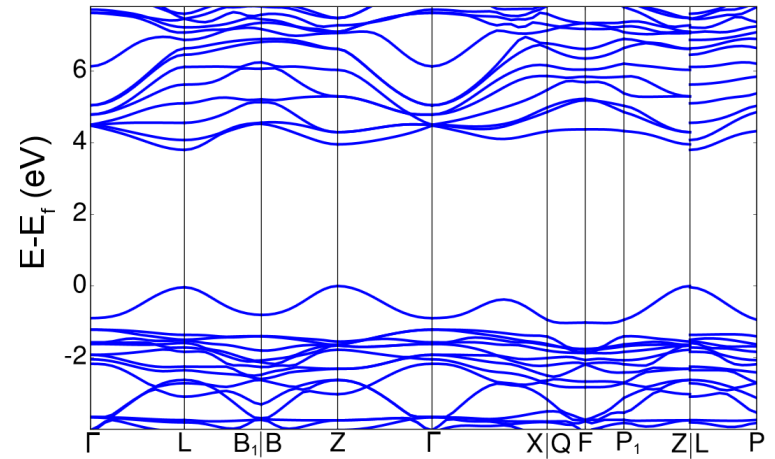


# p-type dopability



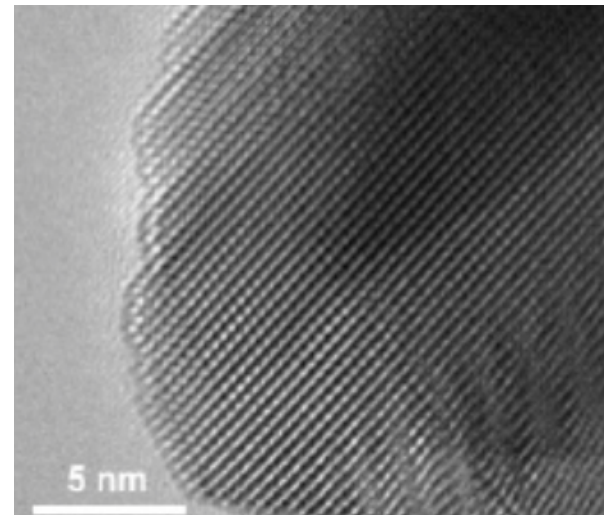
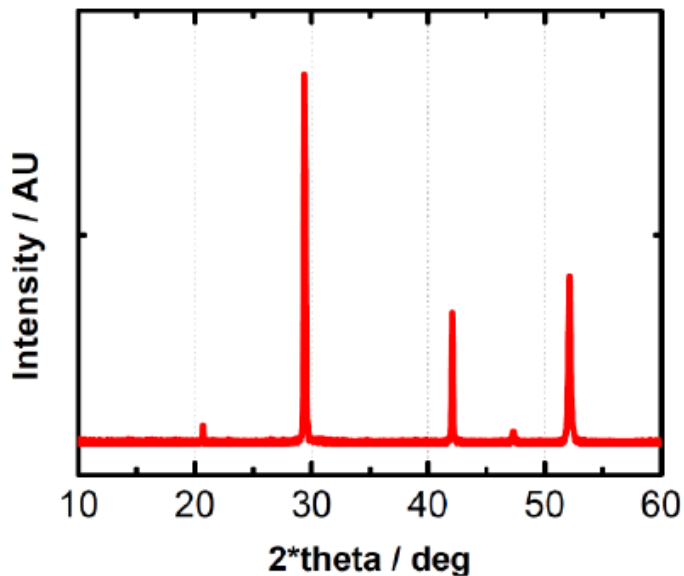
# Experimental realization: $\text{Ba}_2\text{BiTaO}_6$

- Excellent candidate also:
  - Large band gap ( $> 3\text{eV}$ )
  - Low hole effective mass
  - p-type dopability
- Perovskite structure
  - Mixed  $\text{Bi}^{3+}$  and  $\text{Ta}^{5+}$



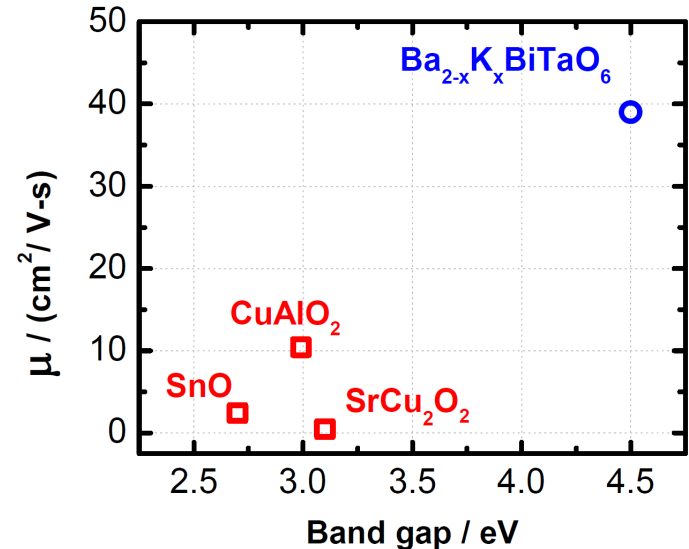
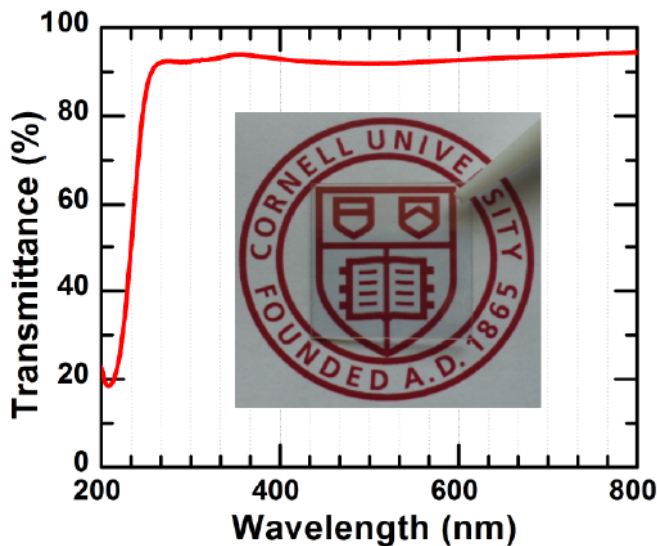
# Ba<sub>2</sub>BiTaO<sub>6</sub>: synthesis

- Solid state synthesis (from BaO, Ta<sub>2</sub>O<sub>5</sub> and Bi<sub>2</sub>O<sub>3</sub>)
- Phase pure pellets and thin films from pulsed laser deposition (PLD) on MgO



# Ba<sub>2</sub>BiTaO<sub>6</sub>: experimental testing

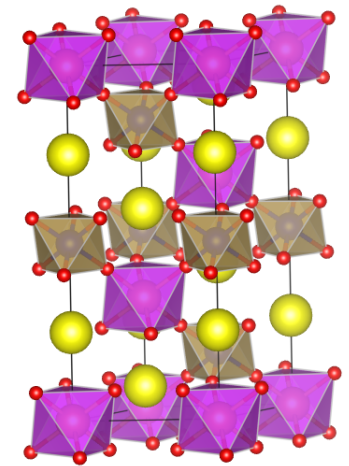
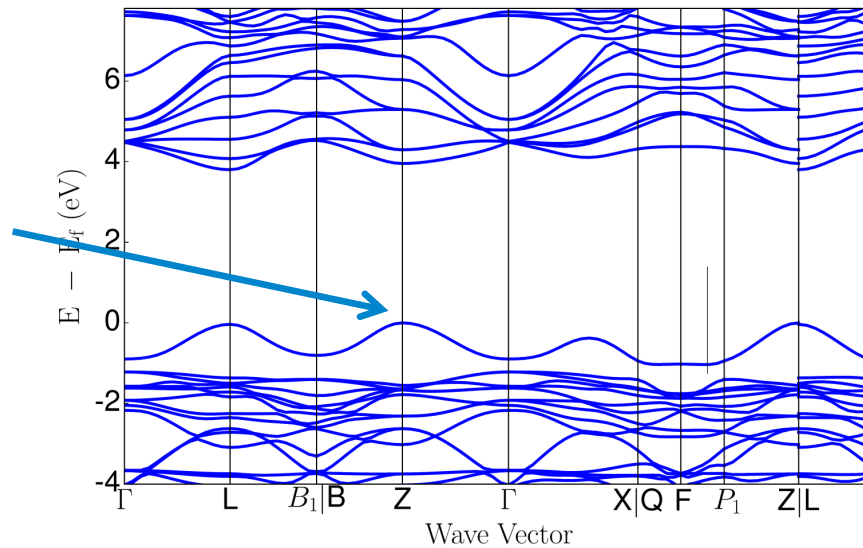
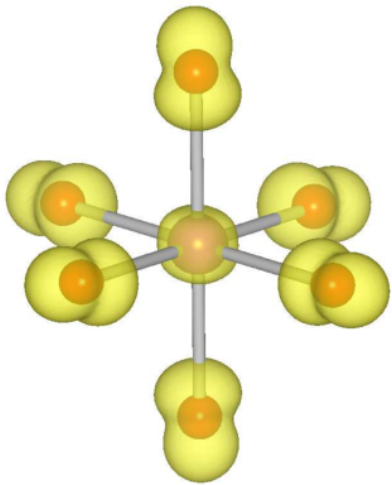
- Transparent!
- Band gap around 4.5 eV
- Made p-type by K doping
- High mobility (**38 cm<sup>2</sup>/Vs**)



But still very low carrier concentrations (10<sup>14</sup> cm<sup>2</sup>/Vs)

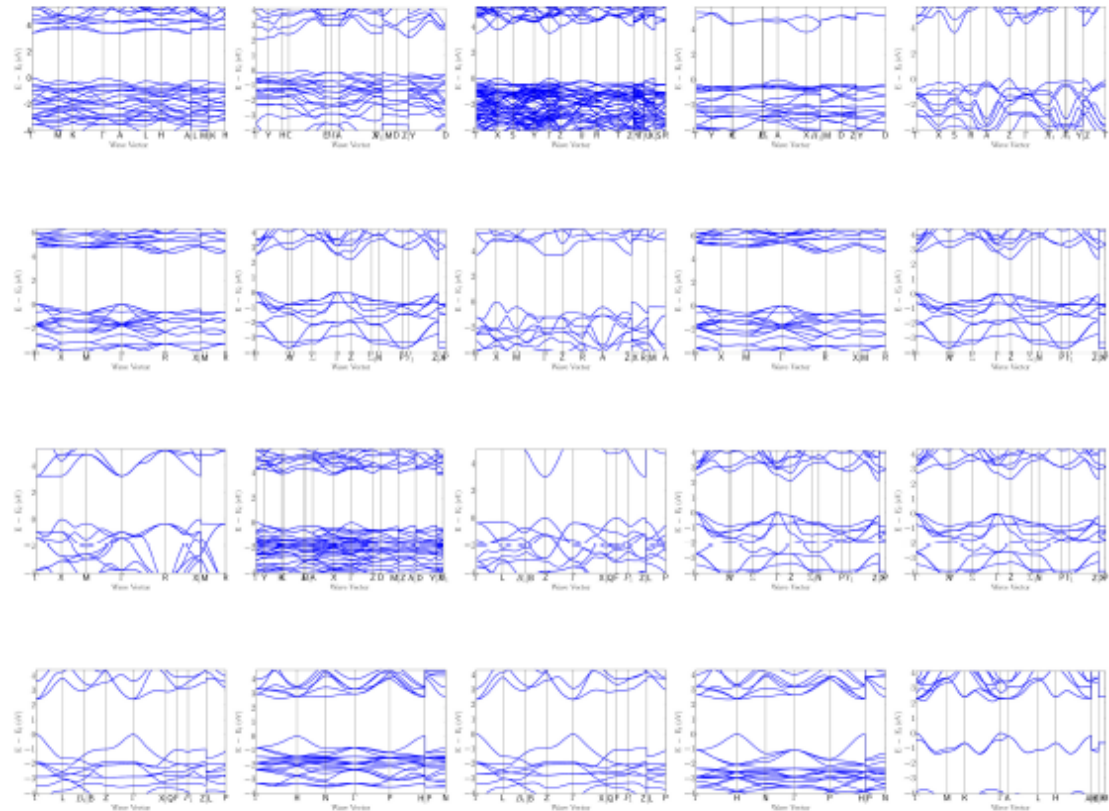
# The first Bi-based high mobility p-type oxide

- Promising hole mobility confirmed experimentally
- Future work on improving dopability
- Bi-s/O-p mixing at the VBM



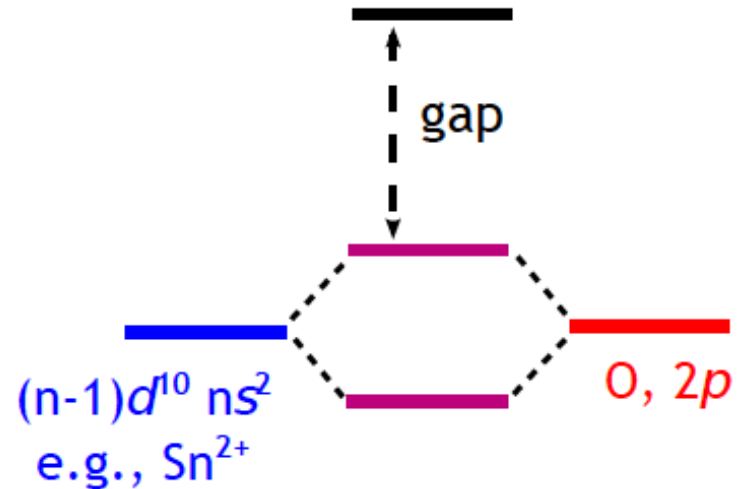
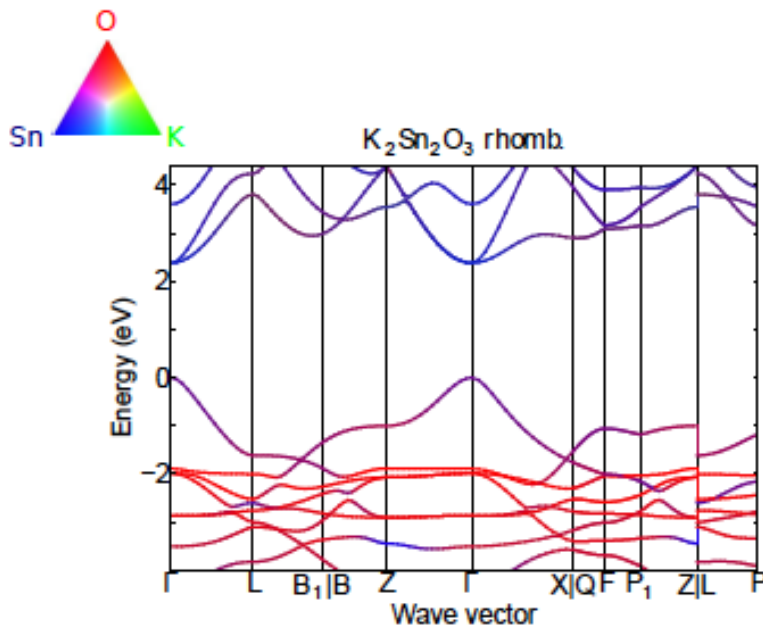


# Design principles for low hole effective masses oxides?



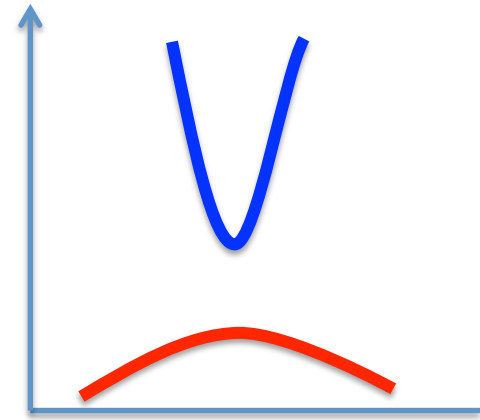
# design principle: $(n-1)d^{10}ns^2$ ions

- Reduced main group elements:  $\text{Sn}^{2+}$ ,  $\text{Pb}^{2+}$ ,  $\text{Bi}^{3+}$ , ...
- Why? Mixing with O-p in the valence band
  - AND s orbital give a large overlap with the O-p

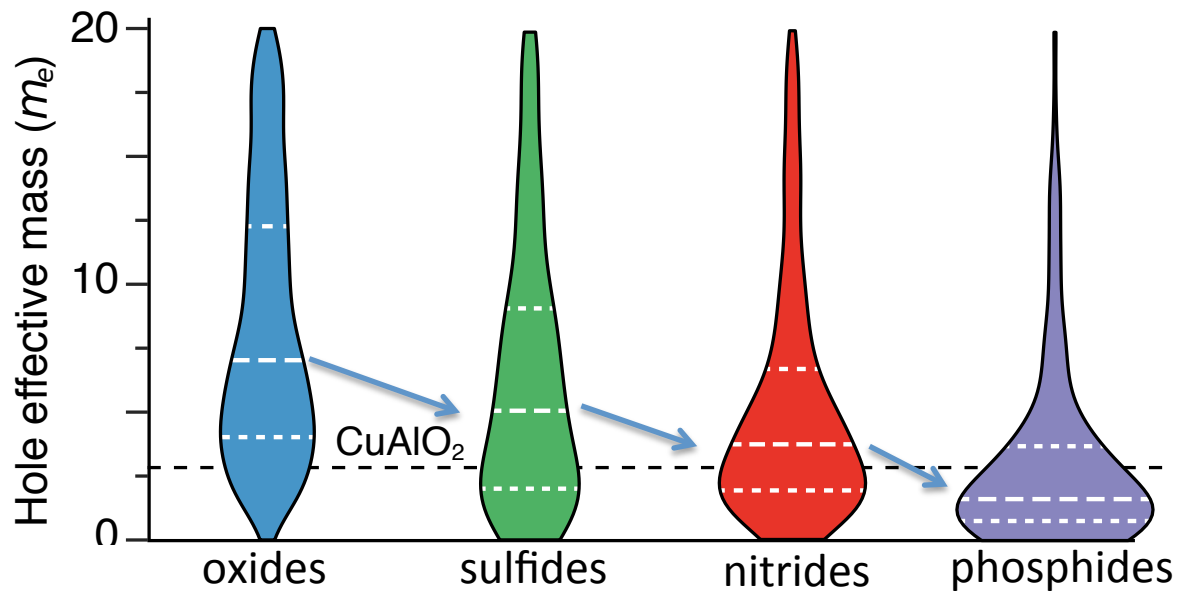


# Beyond oxides?

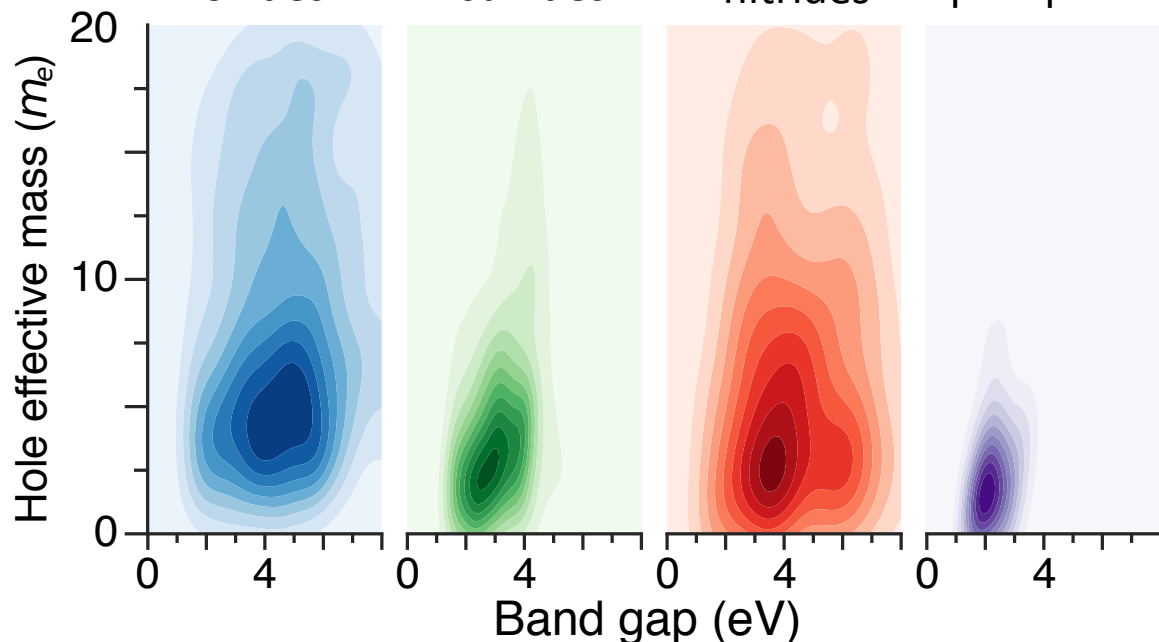
- Oxides have intrinsic issues
  - Flat oxygen p valence band
  - Only few materials (20 over 6000 !) can lead to low hole effective masses
  
- Do we really need to stick to oxides?
  - Similar study on non-oxides (30 000 compounds)



# Hole effective mass in alternative chemistries



Changing the anion chemistry lowers the hole effective mass!



What about the gap?

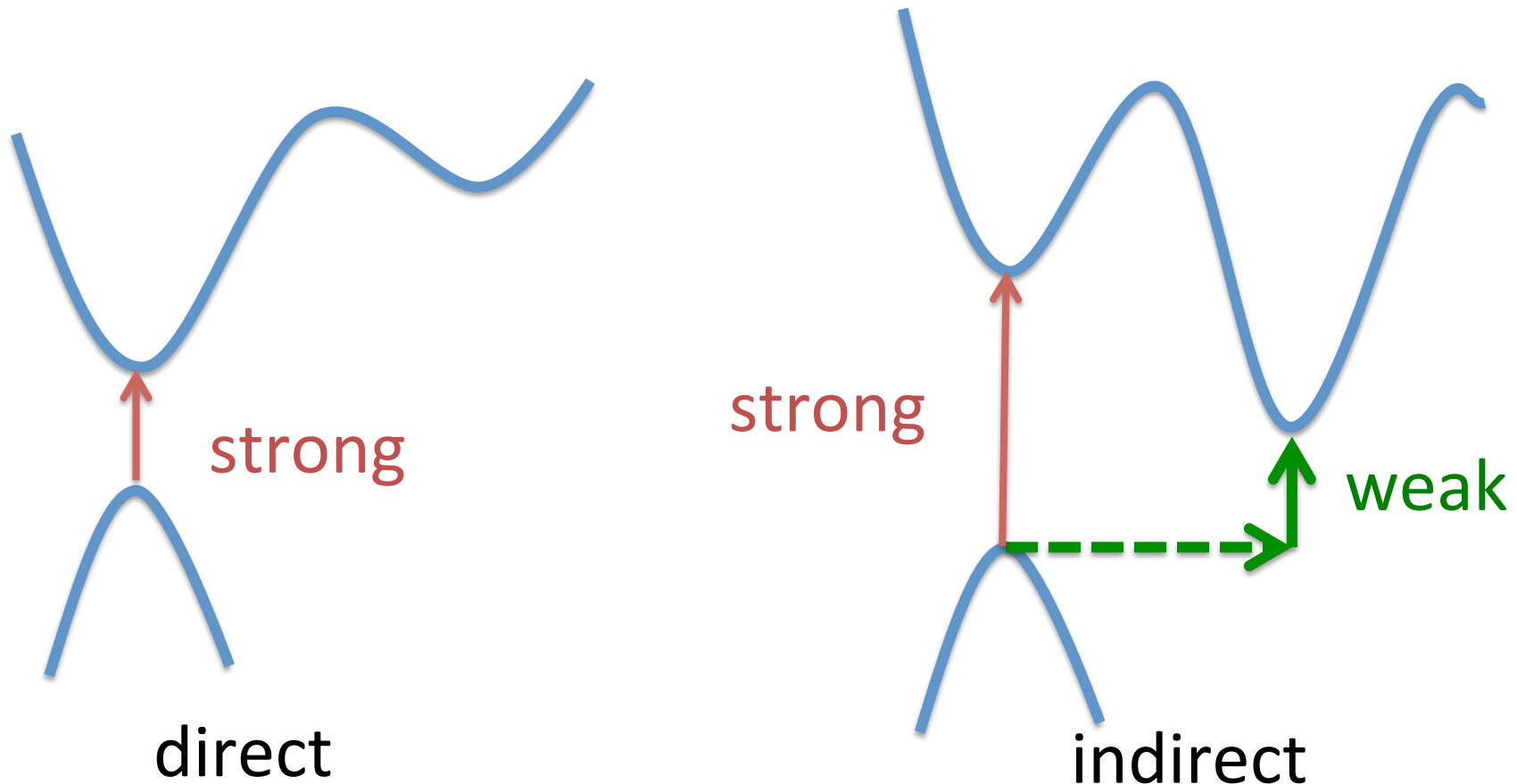
Hole effective mass

Band gap

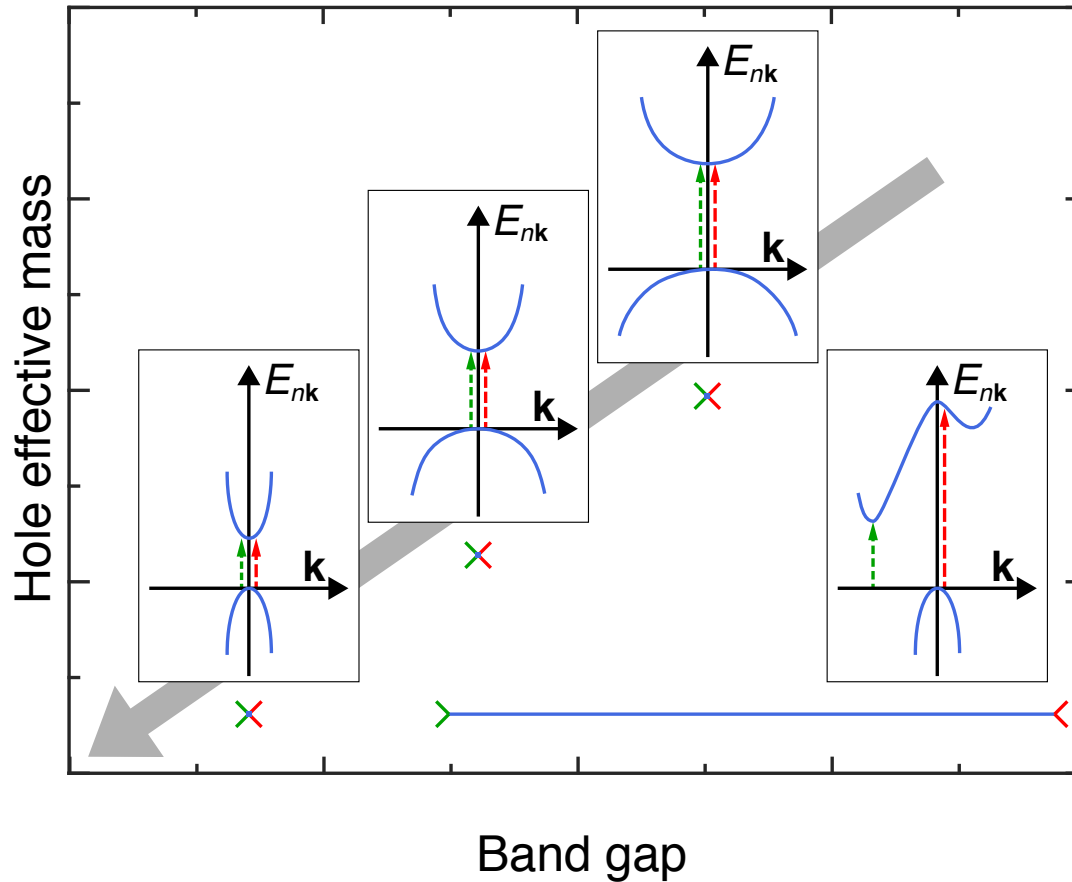
Oxides are especially good in transparency ( $> 3\text{eV}$ )

# A strategy to break the effective mass-band gap correlation

- Optical absorption and transparency is mainly driven by direct absorption, indirect ones tend to be very weak

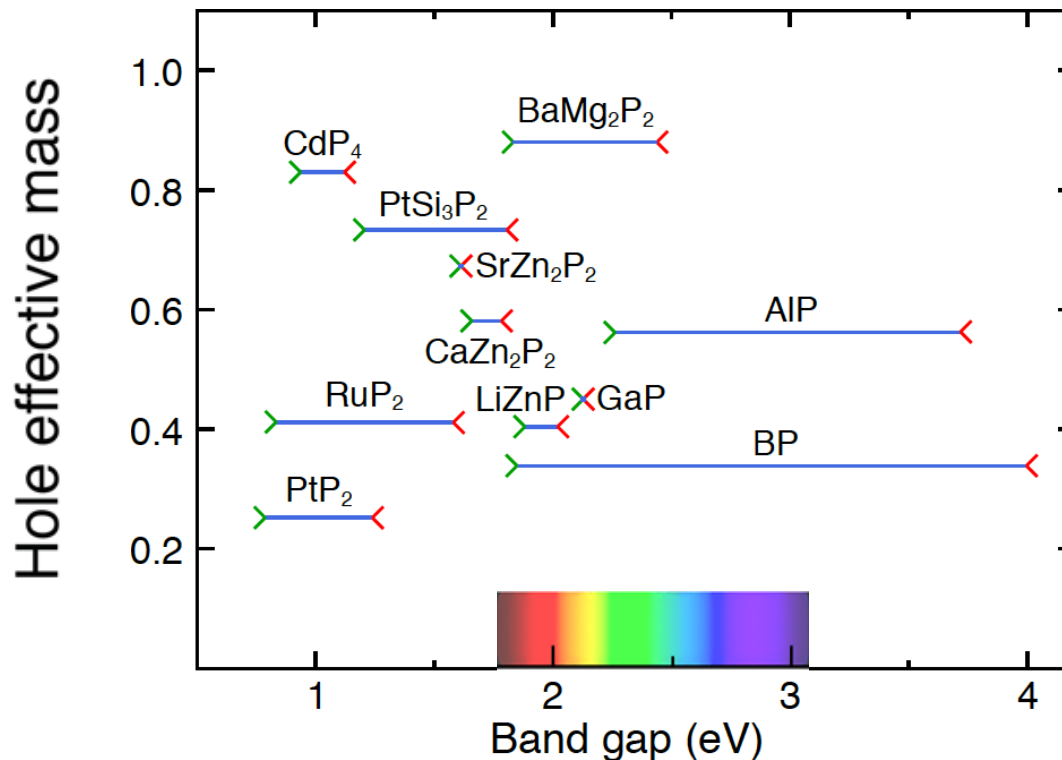


# A strategy to break the effective mass-band gap correlation



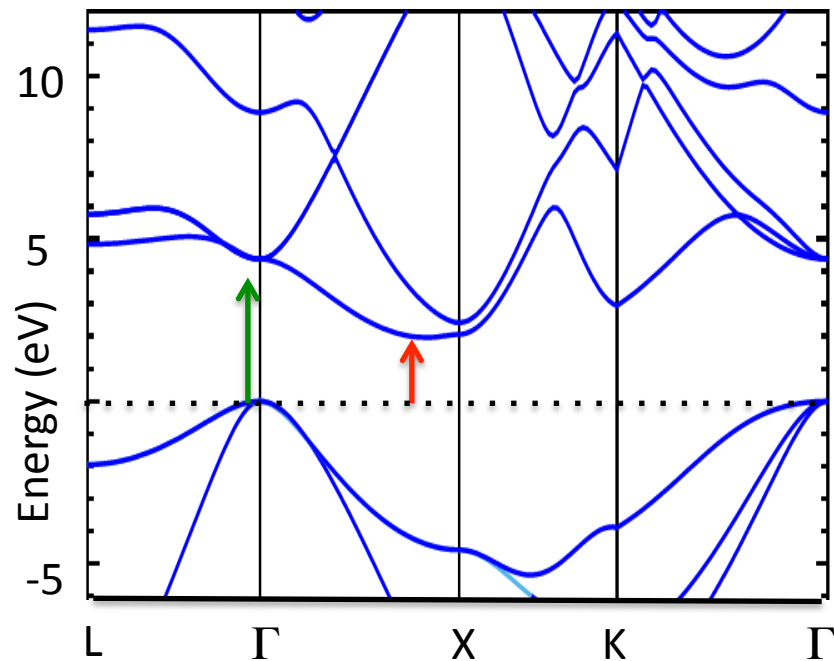
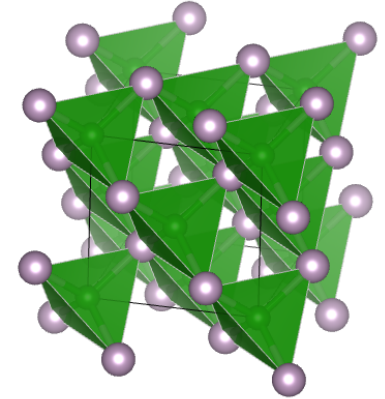
# Search for large indirect band gap phosphides

- Phosphides have very low hole effective mass
- Focus on the most promising effective mass materials
- Compute band gaps with more accurate GW technique.



# Boron phosphide is a promising p-type TCM

- Low hole effective mass ( $0.3 m_0$ )
- Large direct gap (4eV)
- P-type dopable





# Boron phosphide previous experimental data

- BP was studied in the 60s, 70s but not much since then
- Experimental results
  - (undirect) Band gap: 2 eV [1]
  - P-type dopable:  $10^{16} \text{ cm}^{-3}$  to  $10^{18} \text{ cm}^{-3}$  [2]
  - Hole mobility measured: 8 to 350  $\text{cm}^2/\text{Vs}$  [1,2,4]
  - Absorption coefficient:  $1000 \text{ cm}^{-1}$  (2% absorption for 200 nm film) [2,3]
  - Hole conductivity:  $2800 \Omega^{-1} \text{ cm}^{-1}$  [4]
- In agreement with theory
- Our work motivates a reinvestigation of this material!

[1] Iwami, Tohda, Kawabe, *Electr. Eng. Japan*, 1975

[2] Stone, Hill, *PRL*, 1960

[3] Anantharayanan, Mohanty, Gielisse, *J. Cryst. Growth*, 1973

[4] Shohno, Takigawa, Nakada, *J. Cryst. Growth*, 1974

# Sharing our large high-throughput database

- We computed electronic transport properties for more than 30,000 compounds
- Useful to many fields: TCOs, thermoelectric, photovoltaics,...
- Data publicly available

SCIENTIFIC DATA 

**OPEN** Data Descriptor: *An ab initio*  
electronic transport database for  
inorganic materials

Francesco Ricci<sup>1</sup>, Wei Chen<sup>2,3</sup>, Umut Aydemir<sup>4</sup>, G. Jeffrey Snyder<sup>4</sup>, Gian-Marco Rignanese<sup>1</sup>,  
Anubhav Jain<sup>2</sup> & Geoffroy Hautier<sup>1</sup>

Soon on the Materials Project

<http://www.materialsproject.org>



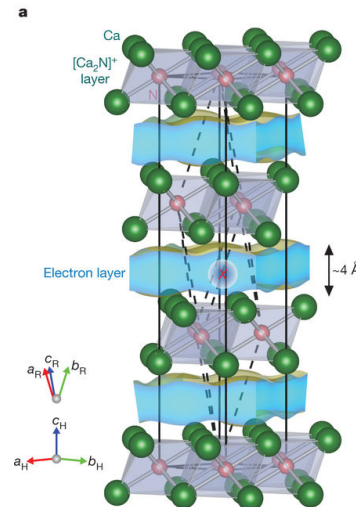
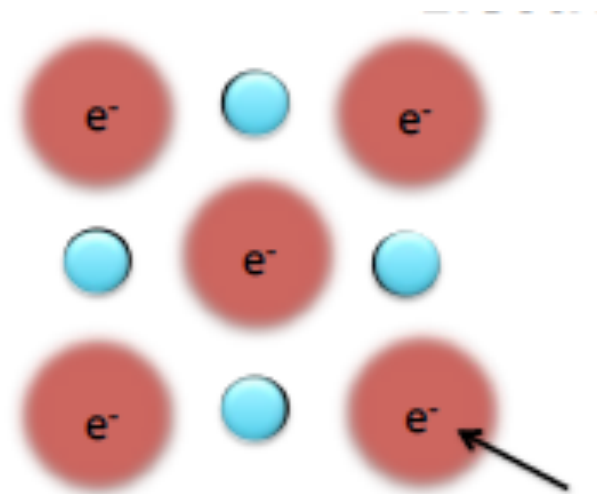
# Even more unusual properties: electrides

- Electrides are materials where electrons localize off the nuclei
- Anion = electron

- Growing field in chemistry and Physics

- Catalyst support
- Transparent conducting materials
- ...

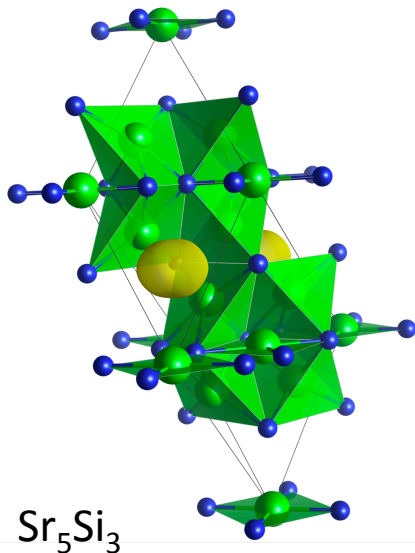
- Only a handful electrides are known:
  - $\text{Ca}_2\text{N}$ ,  $\text{Y}_2\text{C}$ ,  $\text{Y}_5\text{Si}_3$ , and  $\text{LaH}_2$ ....



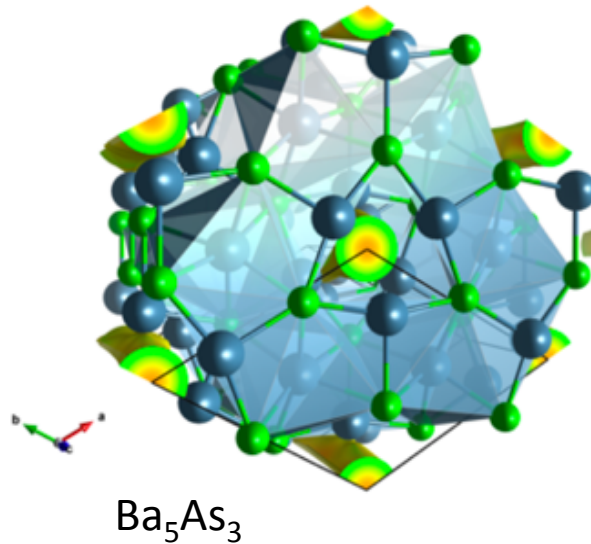
# High-throughput search for electriles

- Browse a database of 30,000 DFT computations on known ICSD compounds
  - Metals only
  - Purely based on DFT-PBE partial charge density, Baader analysis
- Discover more than 60 new electriles!

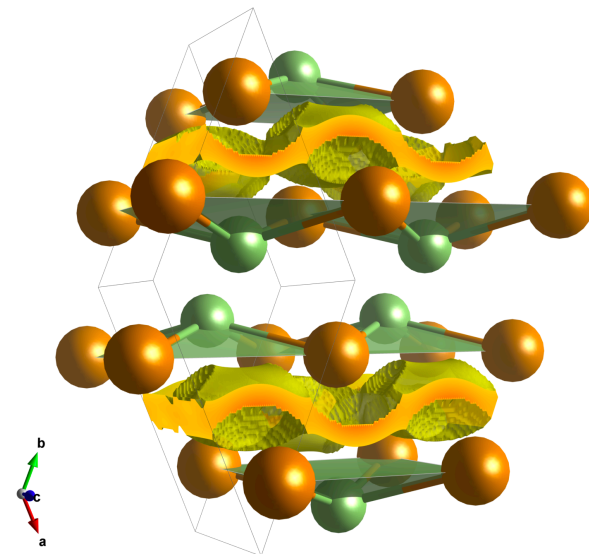
0D electrile



1D electrile



2D electrile



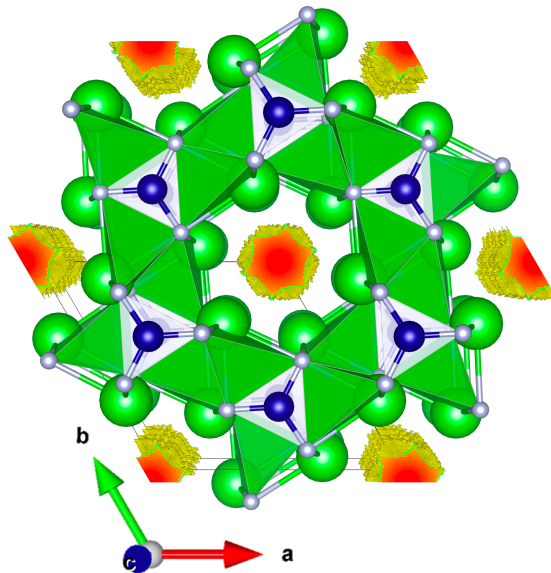
# The first transition metal containing electrider

- Chemical « rule »:

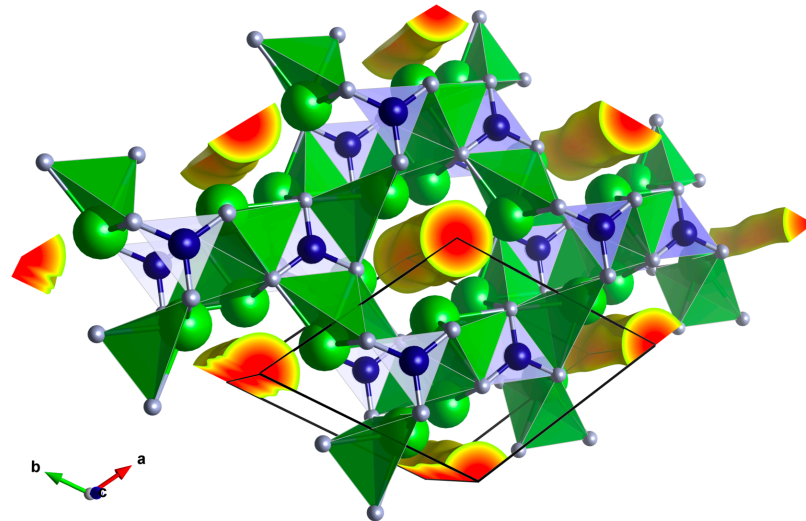
*(partially filled d-shell) transition metal cannot lead to electrider behavior*

- Exception to the rule found through HT computing!

$\text{Ba}_3\text{CrN}_3$  and  $\text{Sr}_3\text{CrN}_3$



Apparently  $\text{Ba}_3\text{Cr}^{3+}\text{N}_3$  but actually  $\text{Ba}_3\text{Cr}^{4+}\text{N}_3 e^-$



# The scaling up issue in high-throughput computing

- « Baby sitting » a few computations is fine...



# the scaling up issue in high-throughput computing

- « Baby sitting » thousands of them becomes a challenge



# Growing number of properties accessible high-throughput

- Every new properties require the development of an automatic work-flows and tools.
- More and more « complex » computations
  - **HT GW**: M. Van Setten, M. Giantomassi, X. Gonze, G.-M. Rignanese, GH, *Phys. Rev. B*, 2017
  - **HT defects**: in progress, D. Brodberg, B. Medasani, N. Zimmermann, A Canning, M. Haranczyk, M. Asta, GH, accepted in *Computer Physics Communications*
- HT phonons

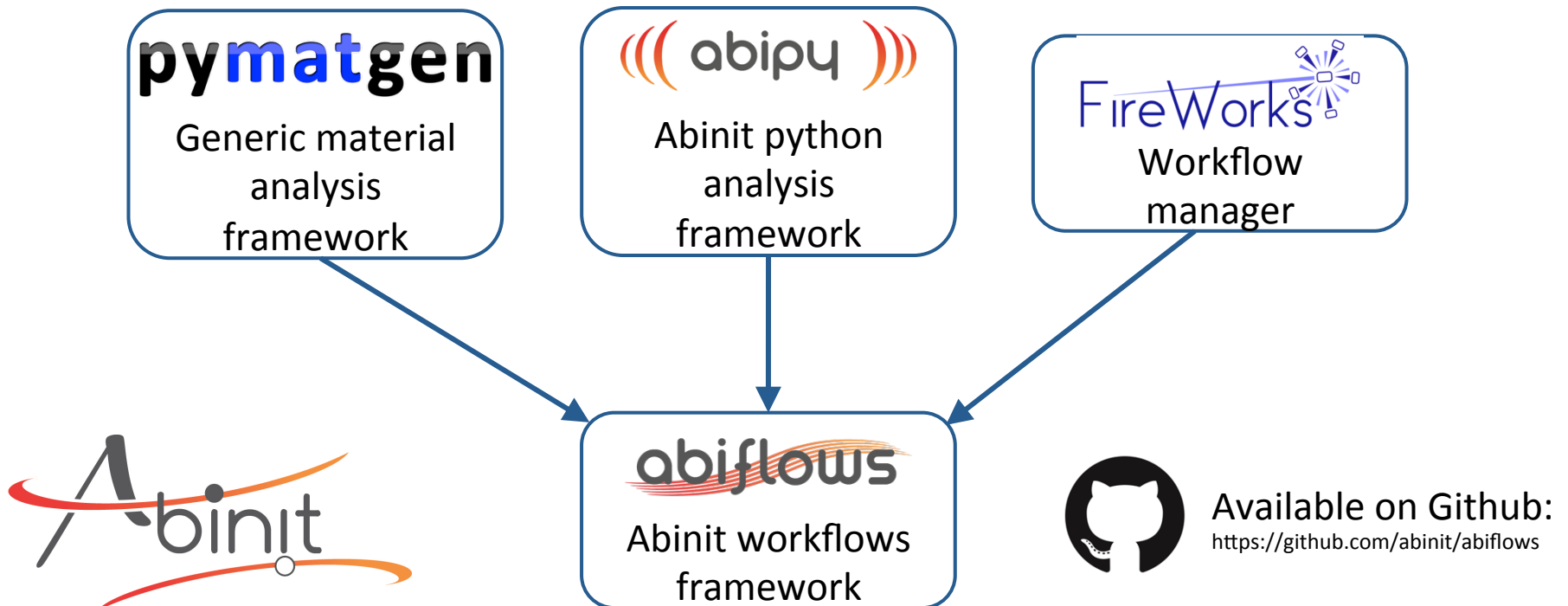


# High-throughput phonons within DFPT: automation, work-flows and software

- First step, automation algorithm (convergence in k-, q-points etc...)

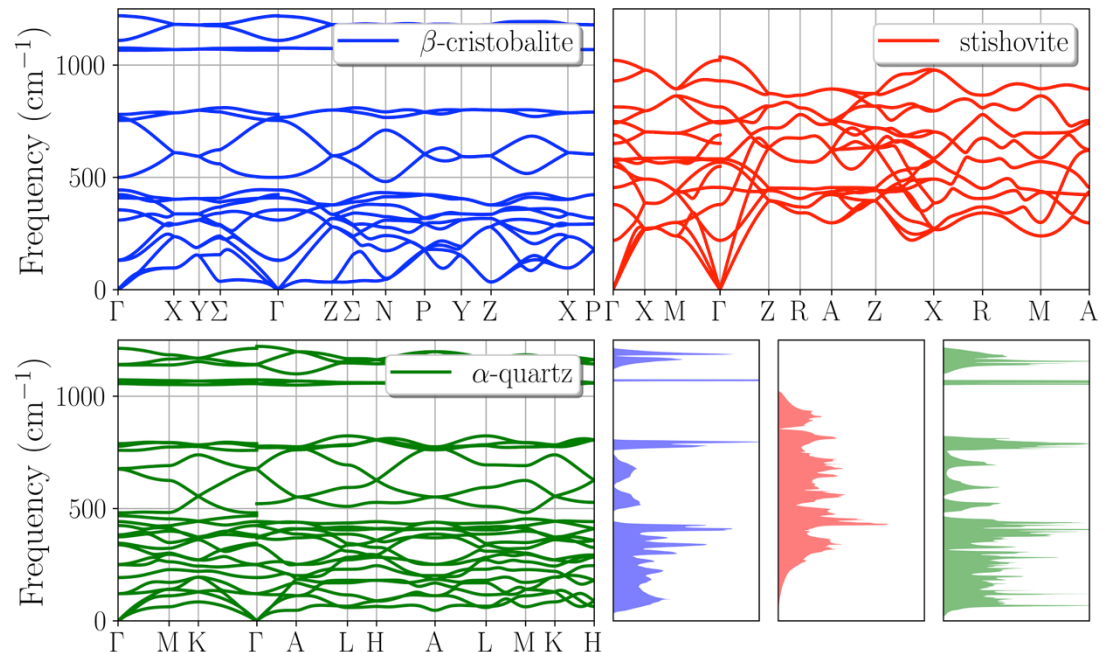
G. Petretto, X. Gonze, **GH**, G.-M. Rignanese, *Computational Materials Science*, 2018

- Second, coding it



# A database of phonon band structures

- More than **1 500** phonon band structures computed automatically (DFPT, GGA-PBEsol)



- Data soon available

- Materials Project
- G. Petretto, S. Dwaraknath, H. P. C. Miranda, D. Winston, M. Giantomassi, M. J. van Setten, X. Gonze, K. A. Persson, **GH**, G.-M. Rignanese, *submitted in Scientific Data*

# Conclusions

- Materials Discovery can be tremendously accelerated by high-throughput computing
- Examples of TCOs and electrifies
  - Thinking outside the box (new chemistries, new concepts...)
  - Questions known « rules »
  - Experimentally confirmed predictions
- The automation challenge
  - HT phonons
- More info:
  - <http://perso.uclouvain.be/geoffroy.hautier>
  - [geoffroy.hautier@uclouvain.be](mailto:geoffroy.hautier@uclouvain.be)

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  - J. Suntivich, A. Bathia (Cornell)
  - M. Van Setten, G.-M. Rignanese, X. Gonze (UCL)
- **Funding**
  - FNRS
  - EU: Marie-Curie Integration grant (CIG): HT4TCO
  - US department of energy (DOE)