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# Developments in wave function-based approaches to two-dimensional materials

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14/11/17

# An enduring legacy of lattice model research...

## Hubbard model in infinite dimensions

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Serin Physics Laboratory, Rutgers University, Piscataway, New Jersey 08854

(Received 23 September 1991)

## Density Matrix Formulation for Quantum Renormalization Groups

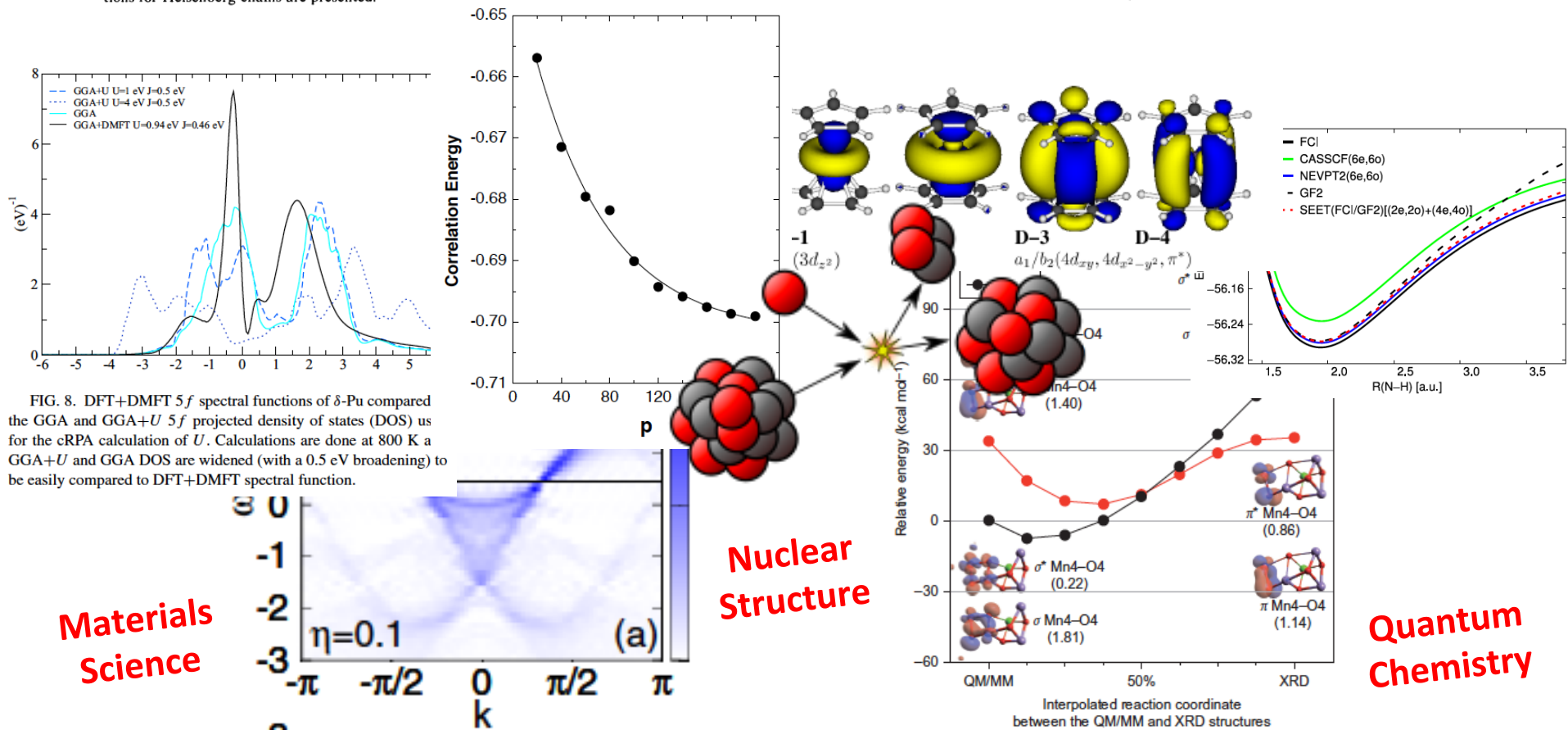
Steven R. White

Department of Physics, University of California, Irvine, California 92717

(Received 22 May 1992)

A generalization of the numerical renormalization-group procedure used first by Wilson for the Kondo problem is presented. It is shown that this formulation is optimal in a certain sense. As a demonstration of the effectiveness of this approach, results from numerical real-space renormalization-group calculations for Heisenberg chains are presented.

present an exact mapping of the Hubbard model in infinite dimensions onto a single-impurity on (or Wolff) model supplemented by a self-consistency condition. This provides a mean-field of strongly correlated systems, which becomes exact as  $d \rightarrow \infty$ . We point out a special integrability of the mean-field equations, and study the general case using a perturbative renormalization around the atomic limit. Three distinct Fermi-liquid regimes arise, corresponding to the Kondo, valence, and empty-orbitals regimes of the single-impurity problem. The Kondo resonance and elliptical peaks of the single-impurity model correspond to the quasiparticle and Hubbard-bands of the Hubbard model, respectively.



## *Wavefunction* (ground state) approaches to lattice models:

- Long history: **Gutzwiller, RVB, ...**
- More recently:
  - Tensor Networks: **MPS, PEPS**  
(White, Cirac, Verstrate, Corboz,...)
  - Wfn-QMC: **VMC, AFQMC, GFMC**  
(Sorella, Becca, Zhang...)

$$|\psi\rangle = \sum_{q_1 q_2 q_3 \dots q_m} C^{q_1 q_2 q_3 \dots q_m} |q_1 q_2 q_3 \dots q_m\rangle$$

**Exponential time**



**Exponential memory**



$$|\psi\rangle = \sum_{q_1 q_2 q_3 \dots q_m} C^{q_1 q_2 q_3 \dots q_m} |q_1 q_2 q_3 \dots q_m\rangle$$

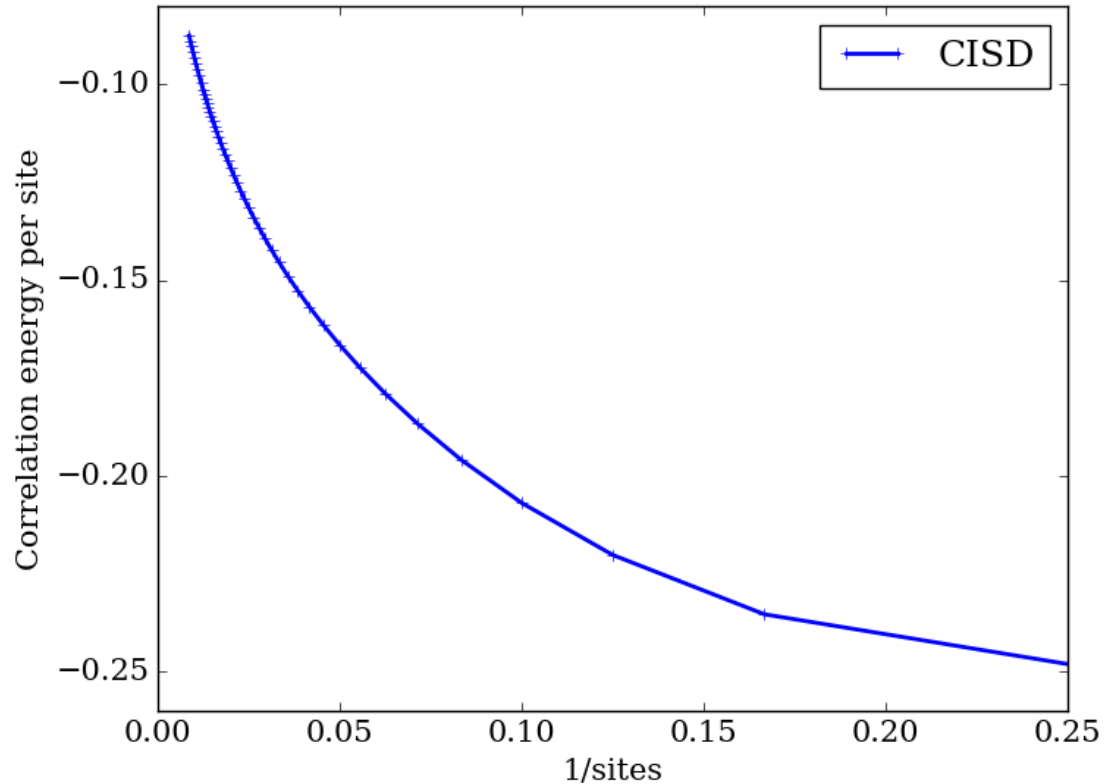
**Choose subset?**



Linear problem



Zero correlation in  
thermodynamic  
limit



$$|\psi\rangle = \sum_{q_1 q_2 q_3 \dots q_m} C^{q_1 q_2 q_3 \dots q_m} |q_1 q_2 q_3 \dots q_m\rangle$$

## Projector QMC

$$\Psi = e^{-\beta H} |\psi_0\rangle$$

- Stochastically apply projector
- Discretize and sample from amplitudes

### *Various flavors*

(Choice of projector, Hilbert space):  
AFQMC, GFMC, FCIQMC, DMC,...



Sign problem

$$|\psi\rangle = \sum_{q_1 q_2 q_3 \dots q_m} C^{q_1 q_2 q_3 \dots q_m} |q_1 q_2 q_3 \dots q_m\rangle$$



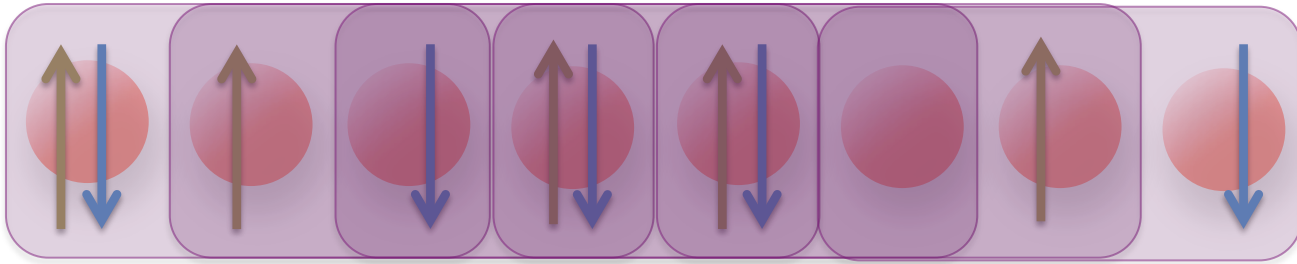
## Variational QMC

$$|\psi\rangle = \sum_{q_1 q_2 q_3 \dots q_m} f(q_1 q_2 q_3 \dots q_m; \underline{X}) |q_1 q_2 q_3 \dots q_m\rangle$$

- Choose an explicit *non-linear* parameterization
  - Optimize parameters via Metropolis sampling
- 
- How to choose parameterization?
  - How to optimize variables with MC?
  - How to reduce parameter space?



# Correlator Product States / Entangled Plaquette States



$$= \sum_{q_1 q_2 q_3} C^{q_1 q_2 q_3} |q_1 q_2 q_3\rangle$$

$$|\psi\rangle = \sum_{q_1 q_2 q_3 \dots q_m} C^{q_1 q_2 q_3} C^{q_2 q_3 q_4} C^{q_3 q_4 q_5} \dots |q_1 q_2 q_3 \dots q_m\rangle \times \phi_{HF/DFT}$$

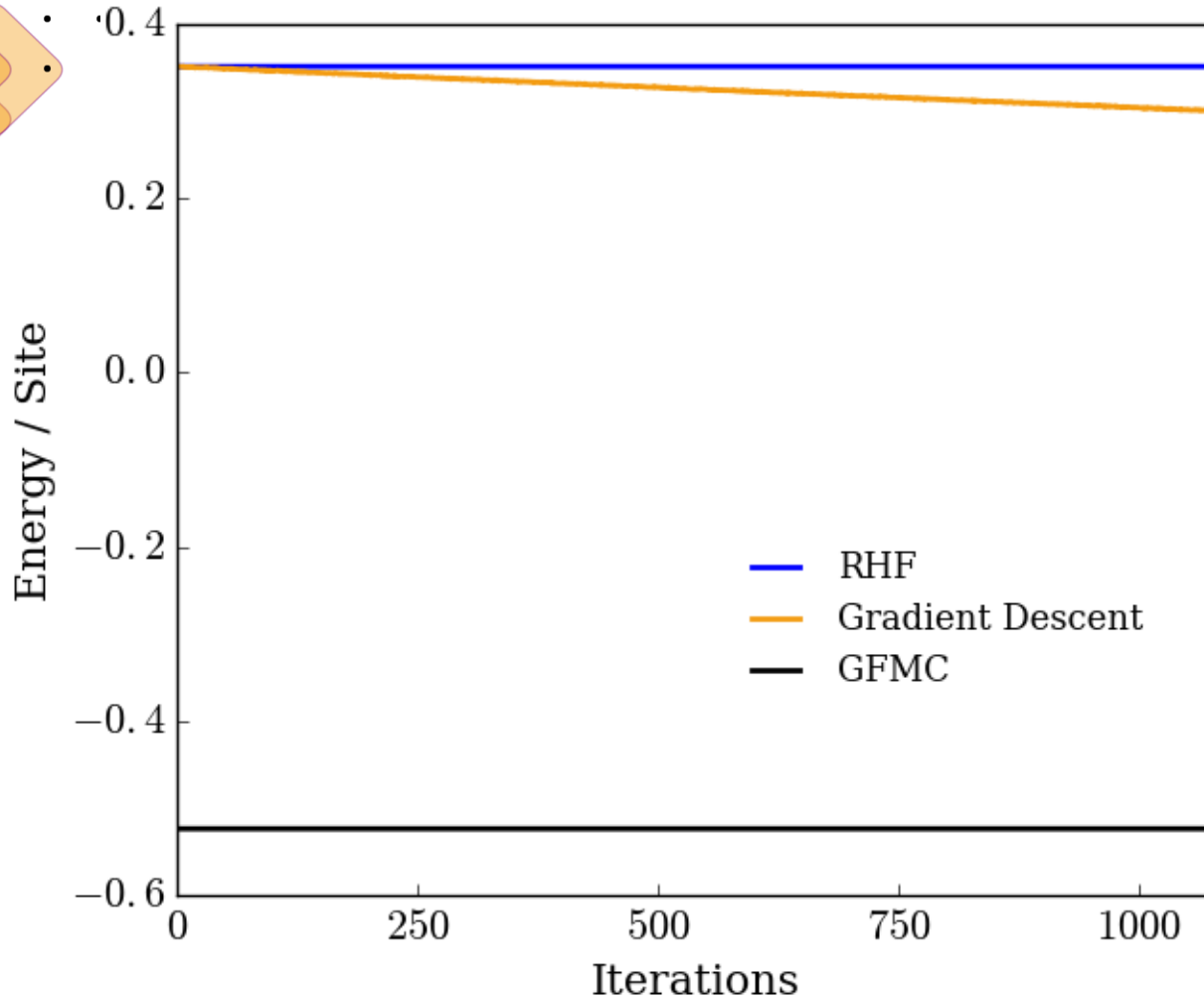
Local (correlated)  
entanglement

Delocalized (KE)  
physics

- Linear parameters with system size
- Exponential growth of parameters with correlator size

# Non-linear projector Monte Carlo

Overlapping 5-site correlators x Slater determinant for 98-site, 2D Hubbard ( $U=8t$ )

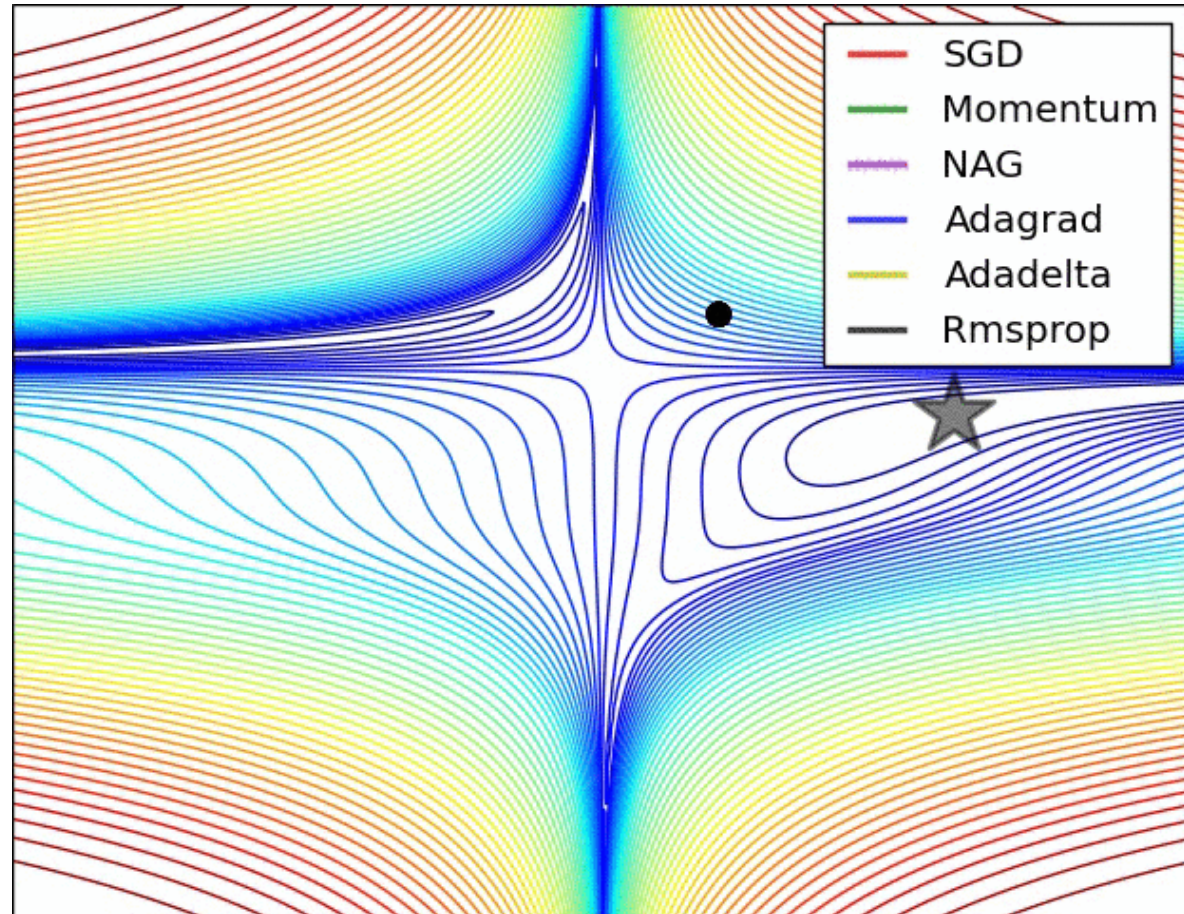




Similar problems found in optimization of non-linear neural networks...

$$\frac{\partial \Psi}{\partial \beta} = -(H - E)\Psi$$

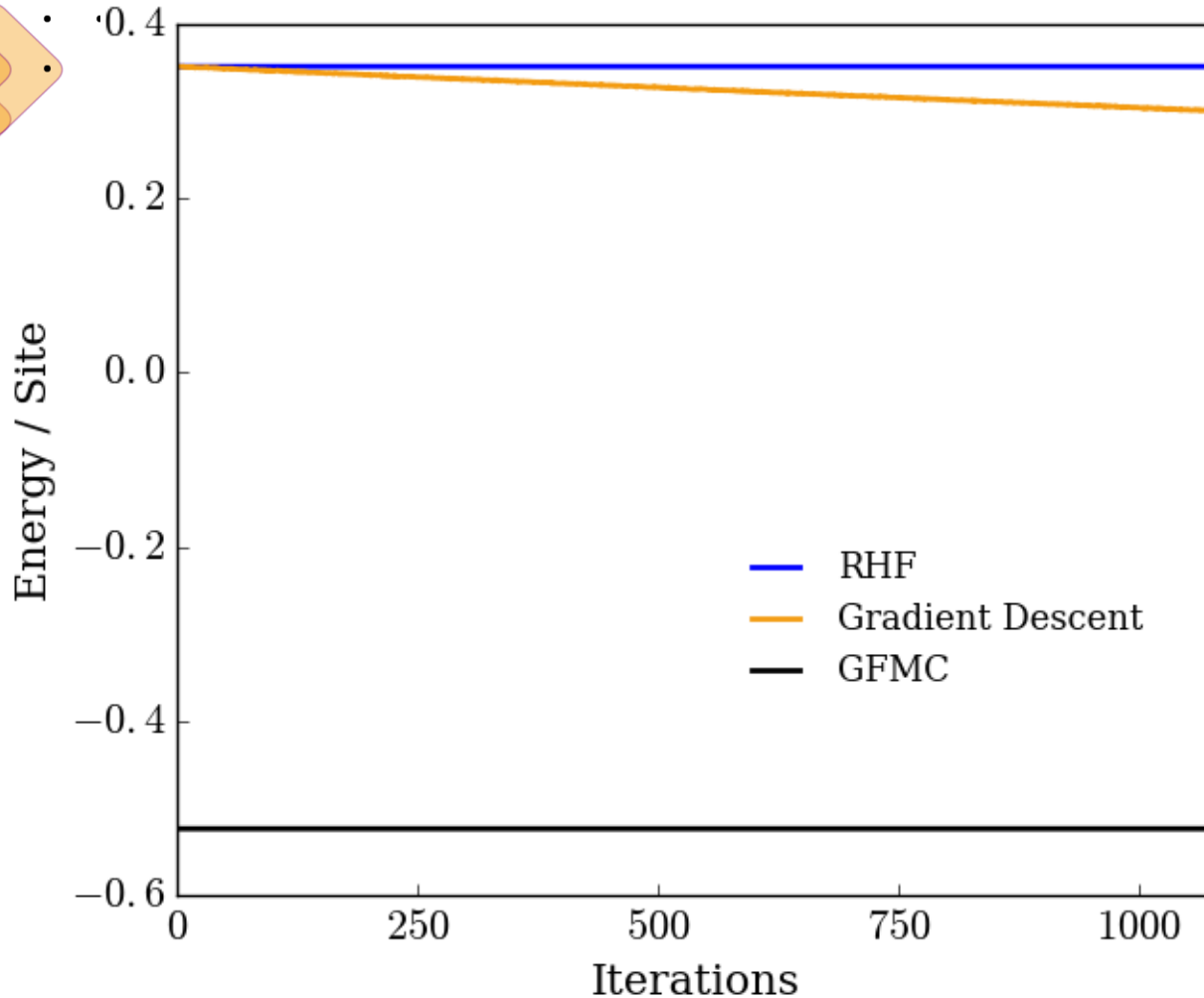
$$\frac{\partial^2 \Psi}{\partial \beta^2} = -b \frac{\partial \Psi}{\partial \beta} - (H - E)\Psi$$



- Chebyshev expansion of optimal projection operator

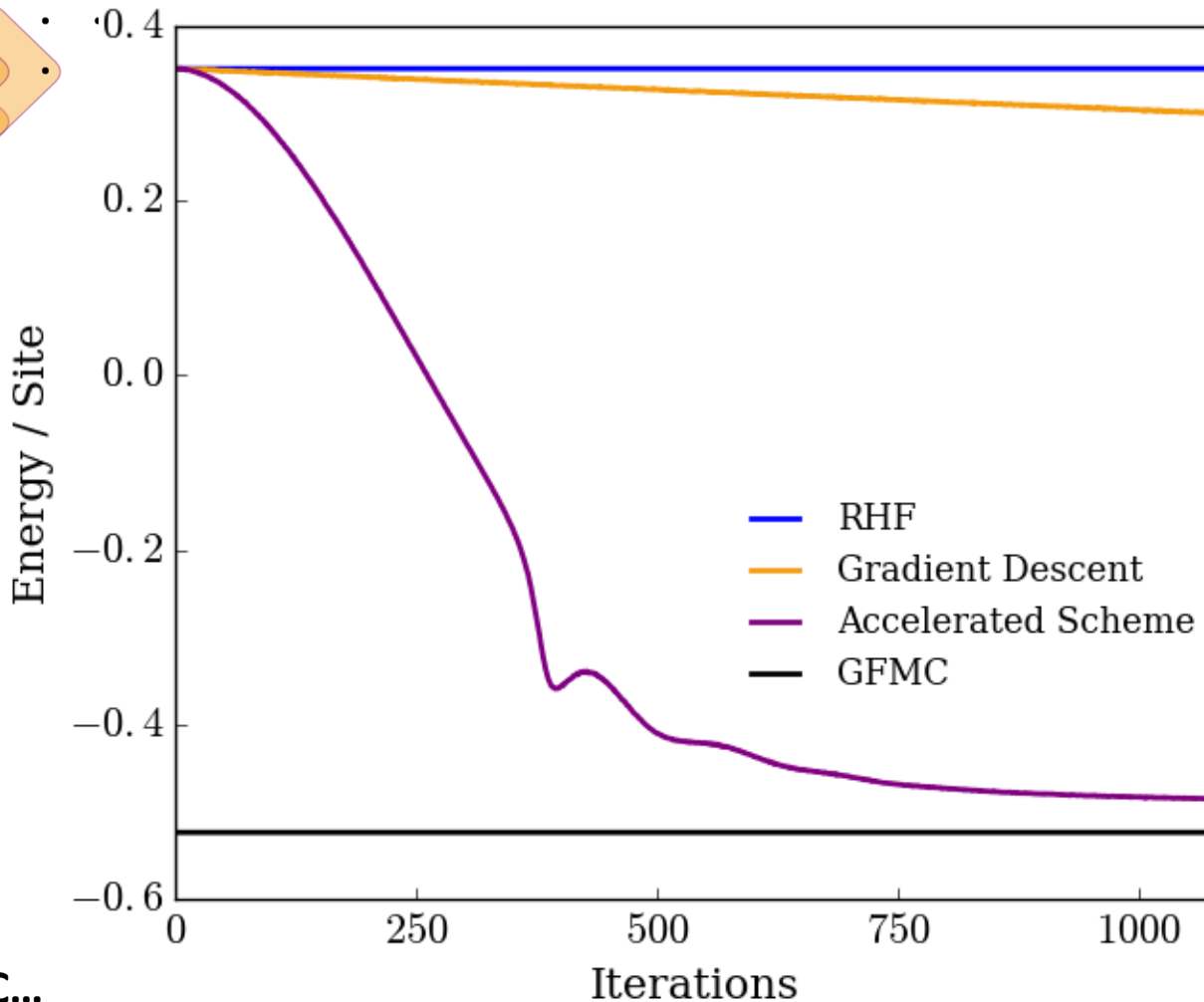
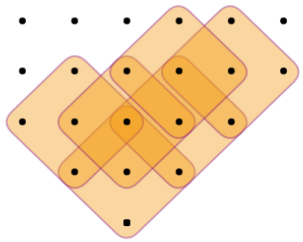
# Non-linear projector Monte Carlo

Overlapping 5-site correlators x Slater determinant for 98-site, 2D Hubbard ( $U=8t$ )



# Non-linear projector Monte Carlo

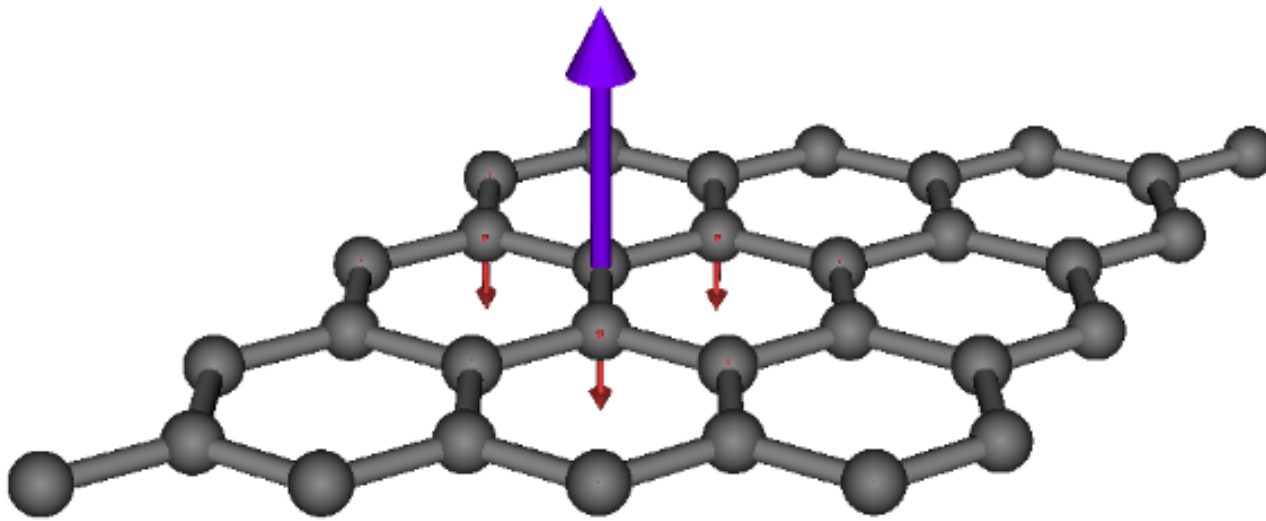
Overlapping 5-site correlators x Slater determinant for 98-site, 2D Hubbard ( $U=8t$ )



Million+  
parameter VMC...

# Non-linear projector Monte Carlo

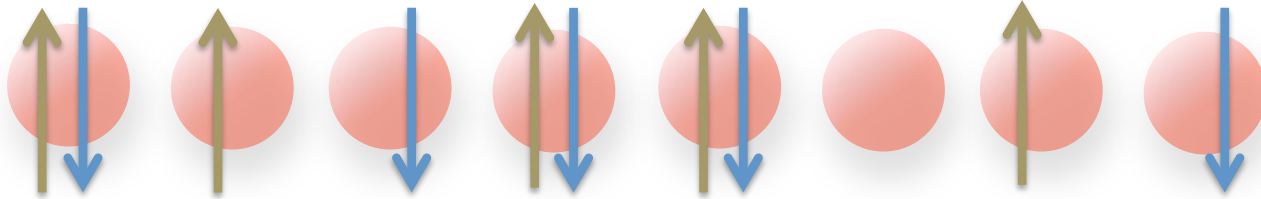
4 x 4 Graphene sheet  
Local p-space Gaussian functions from VASP



Low-energy correlated spin-fluctuations



- How to choose parameterization?
- How to optimize variables with MC?
- How to reduce parameter space?



**‘Parameter-space’**

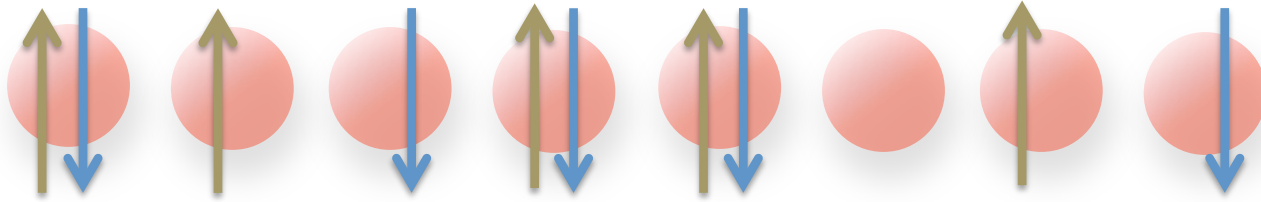


**‘Data-space’**

Modern fitting of Potential Energy Surfaces

$$E(r_1, r_2, r_3, \dots, r_N)$$

***Statistical inference  
(Gaussian Process Regression)***



## 'Parameter-space'



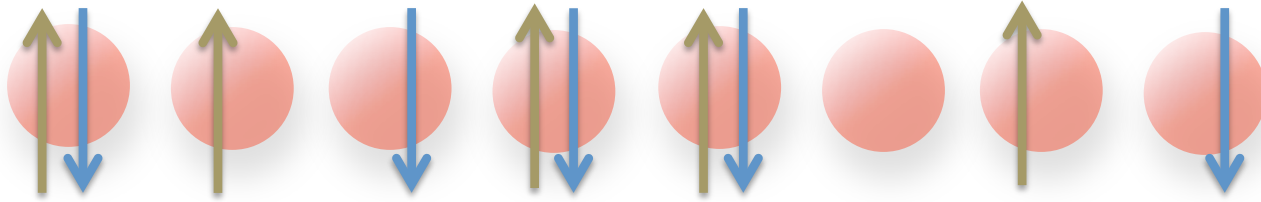
## 'Data-space'

$f(\text{plaquette parameters})$

- Explicit parameters
- Iterative Non-linear fitting
- Restricted to 'small' numbers of parameters
- Optimize parameters

$f(\text{distance from data points})$

- Implicit parameters (never referenced directly)
- Analytic optimal fitting without expanding in variables
- No restriction in number of parameters
- Optimize datapoints



**'Parameter-space'**



**'Data-space'**

$$\Psi(\mathbf{r}) = e^{(\sum_{\mathbf{d}} k_{\mathbf{r}\mathbf{d}} \alpha_{\mathbf{d}})} \phi_{SD}(\mathbf{r}) |\mathbf{r}\rangle$$

“Distance” to  
data points

Weight of  
data points

- Independent of number of underlying parameters
- Linear with number of “data” configurations

## **Data:**

Subset of configurations and their amplitudes

e.g. All configurations on 'small' system, then infer amplitudes on 'large' system

## **Distance “Covariance**

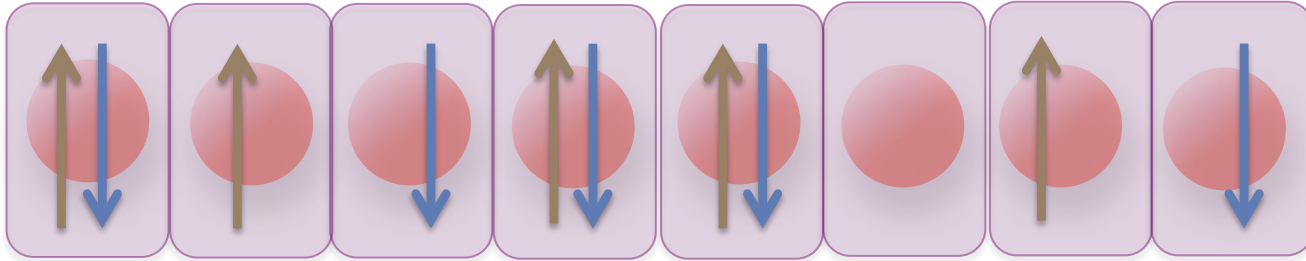
## **Kernel”:**

Quantify 'similarity' (covariance) between two configurations:

How likely is it that their amplitudes are similar?



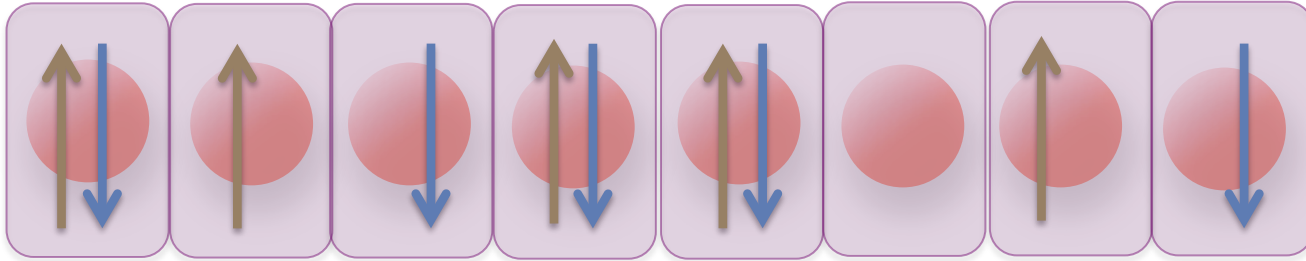
## Many-body expansion



**K1:** How many **unoccupied** (Holons), **up**, **down**, **Doubly-occupied** (Doublons)?

$$\begin{pmatrix} \#unocc \\ \#up \\ \#down \\ \#doub \end{pmatrix}$$

# Many-body expansion



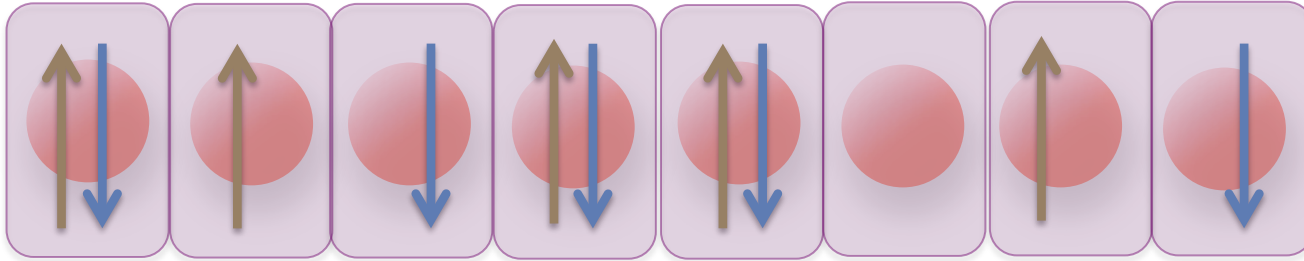
**K1:** How many **unoccupied** (Holons), **up**, **down**, **Doubly-occupied** (Doublons)?

$$k_{1,2} = \begin{pmatrix} \#unocc \\ \#up \\ \#down \\ \#doub \end{pmatrix}_1 \cdot \begin{pmatrix} \#unocc \\ \#up \\ \#down \\ \#doub \end{pmatrix}_2$$

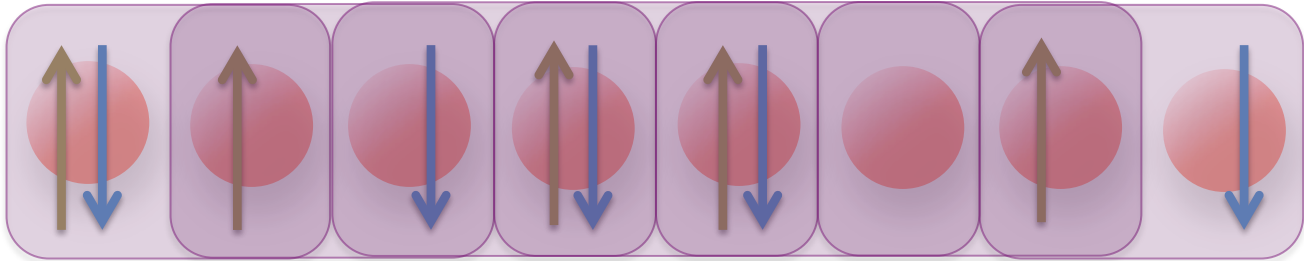


Does not need to refer to the same sized system

## Many-body expansion



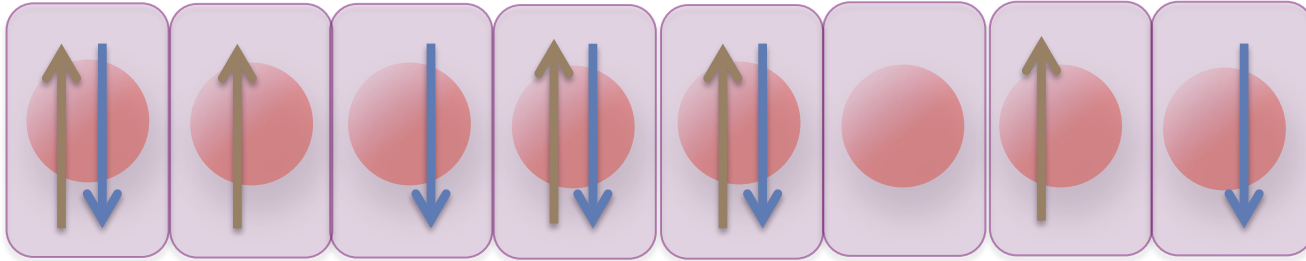
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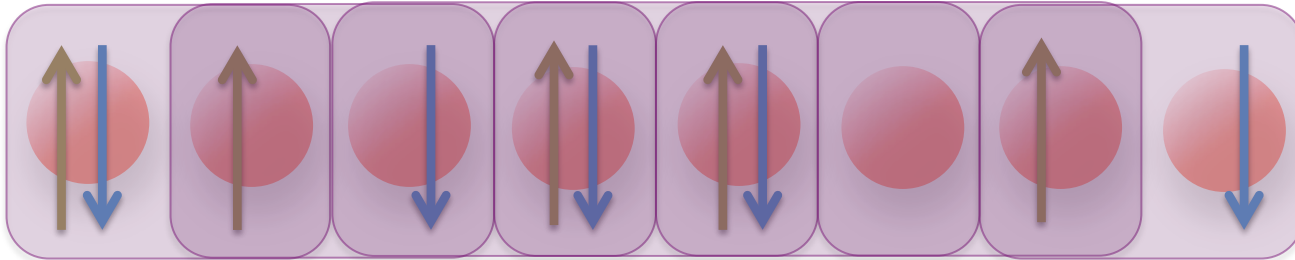
**K2:** Start to build in (local) anti-ferromagnetic correlation, Holon-Doublon binding

16-dimensional 'feature' space

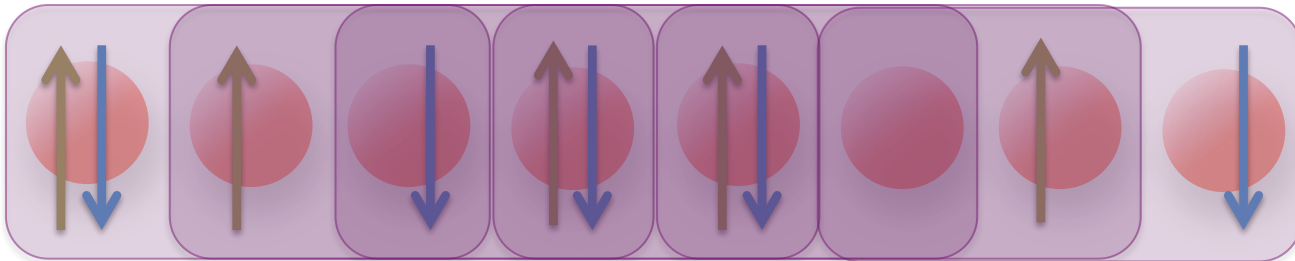
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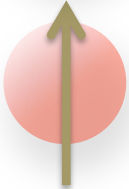
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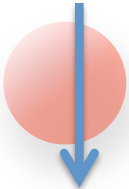


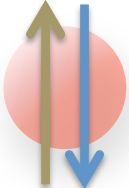
**K3:** 3-site descriptors

# Gutzwiller Projection:

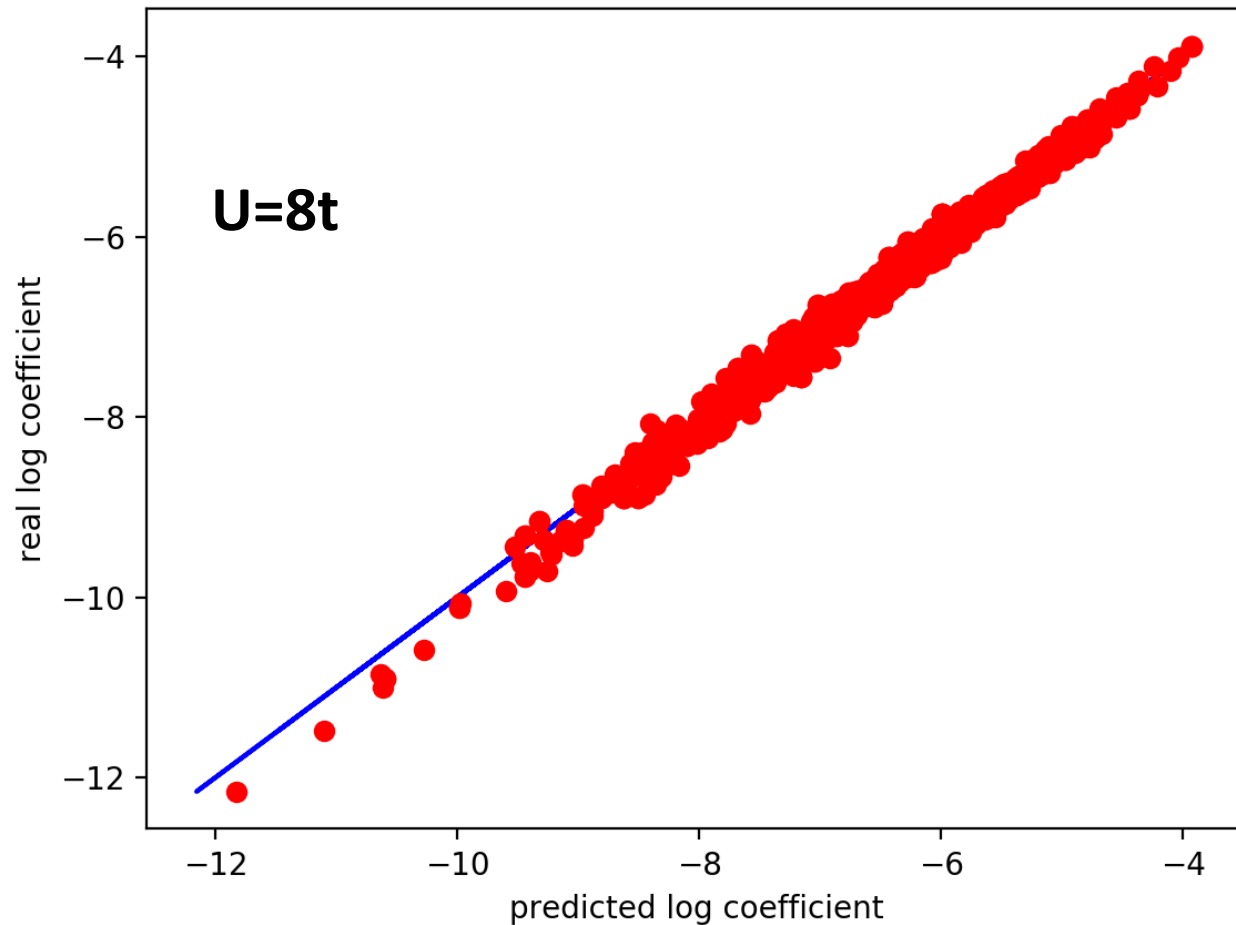
 $= 1.0$

 $= 1.0$

 $= 1.0$

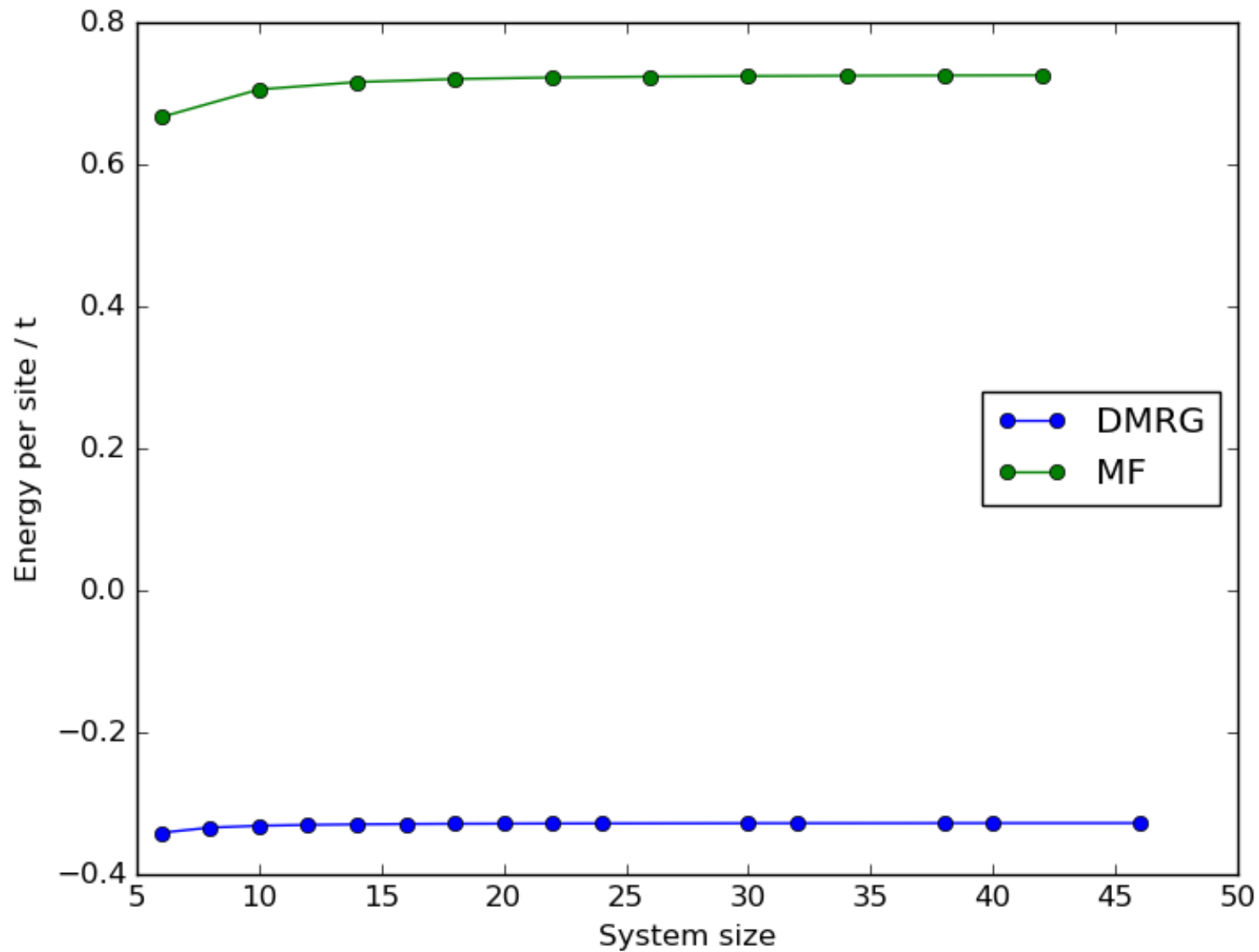
 $= 0.0$

Extrapolation errors: Can we reproduce **10-site wave function** from **6-site data**?



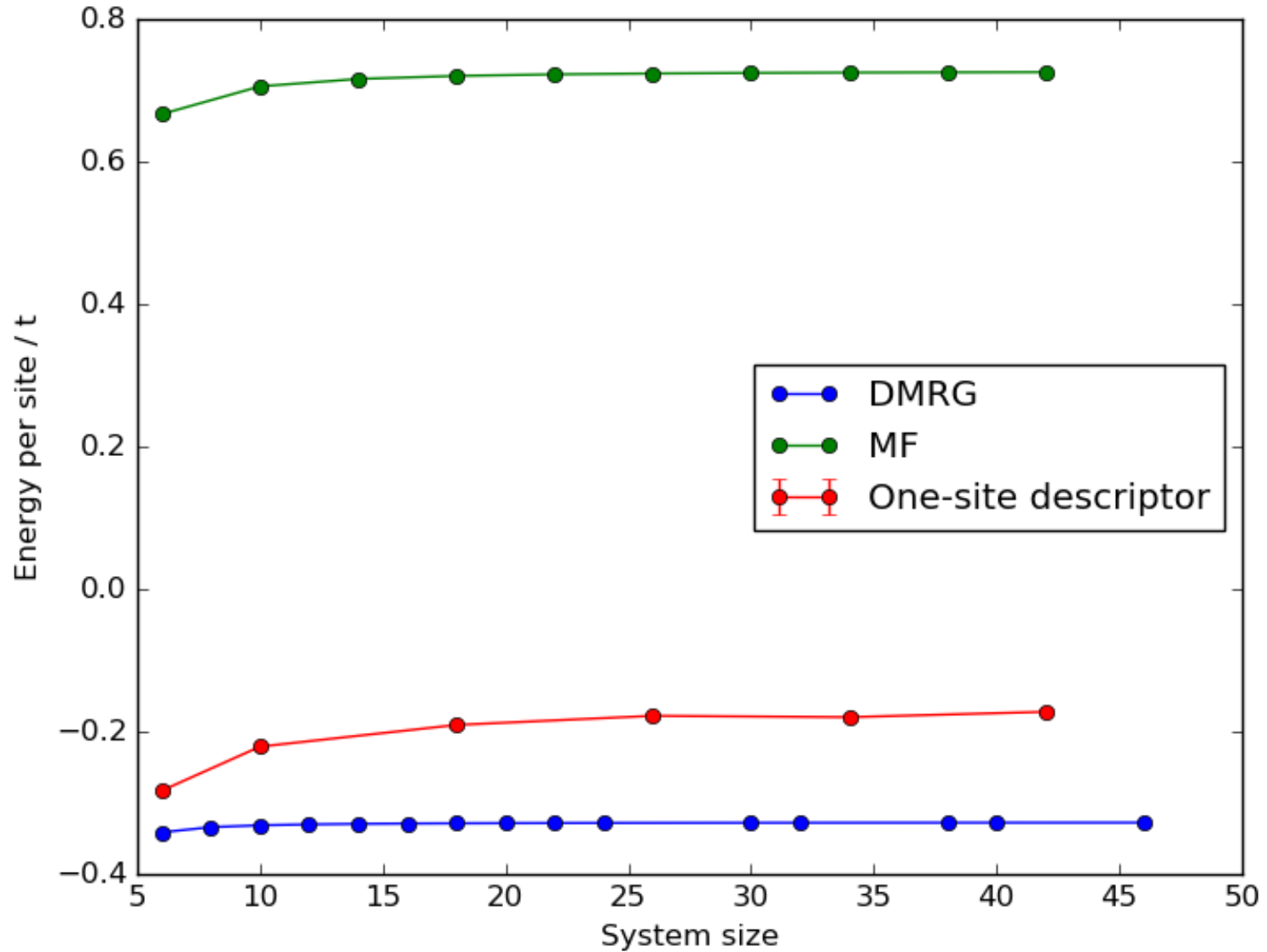
All 6-site fluctuations with all symmetries conserved

# 1D Hubbard Model, $U=8t$



**400** linear coefficients from 6-site model

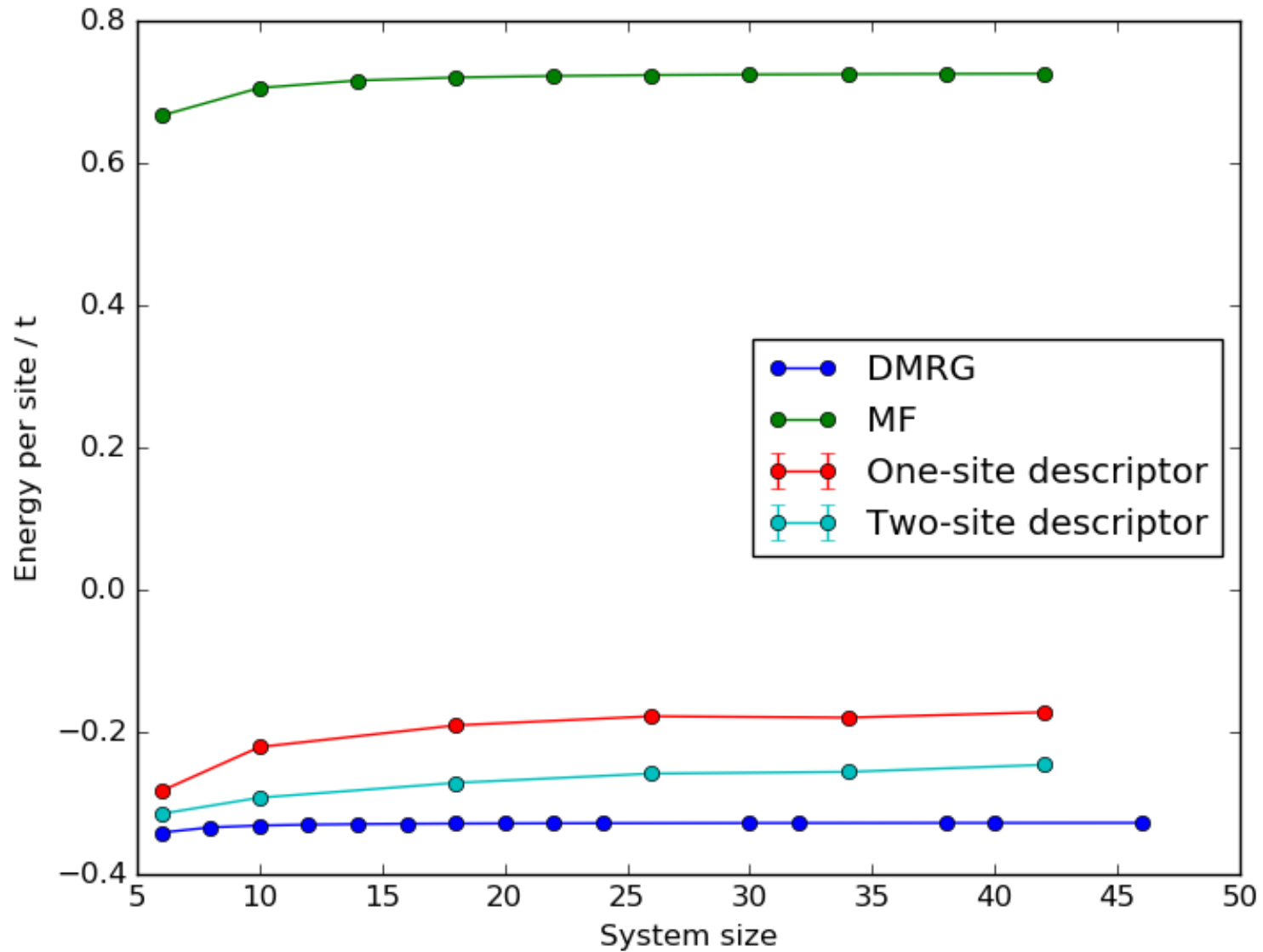
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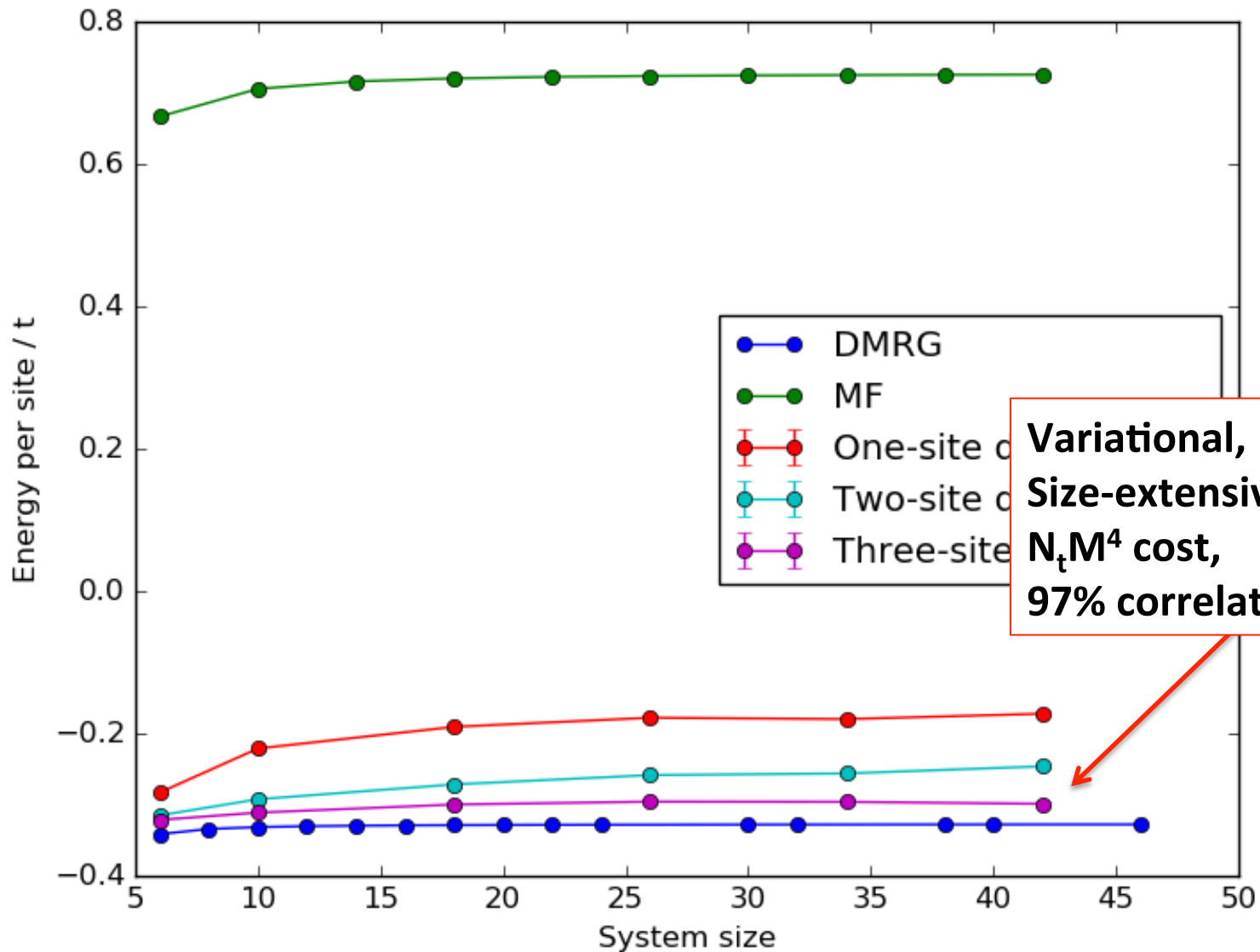


# 1D Hubbard Model, $U=8t$



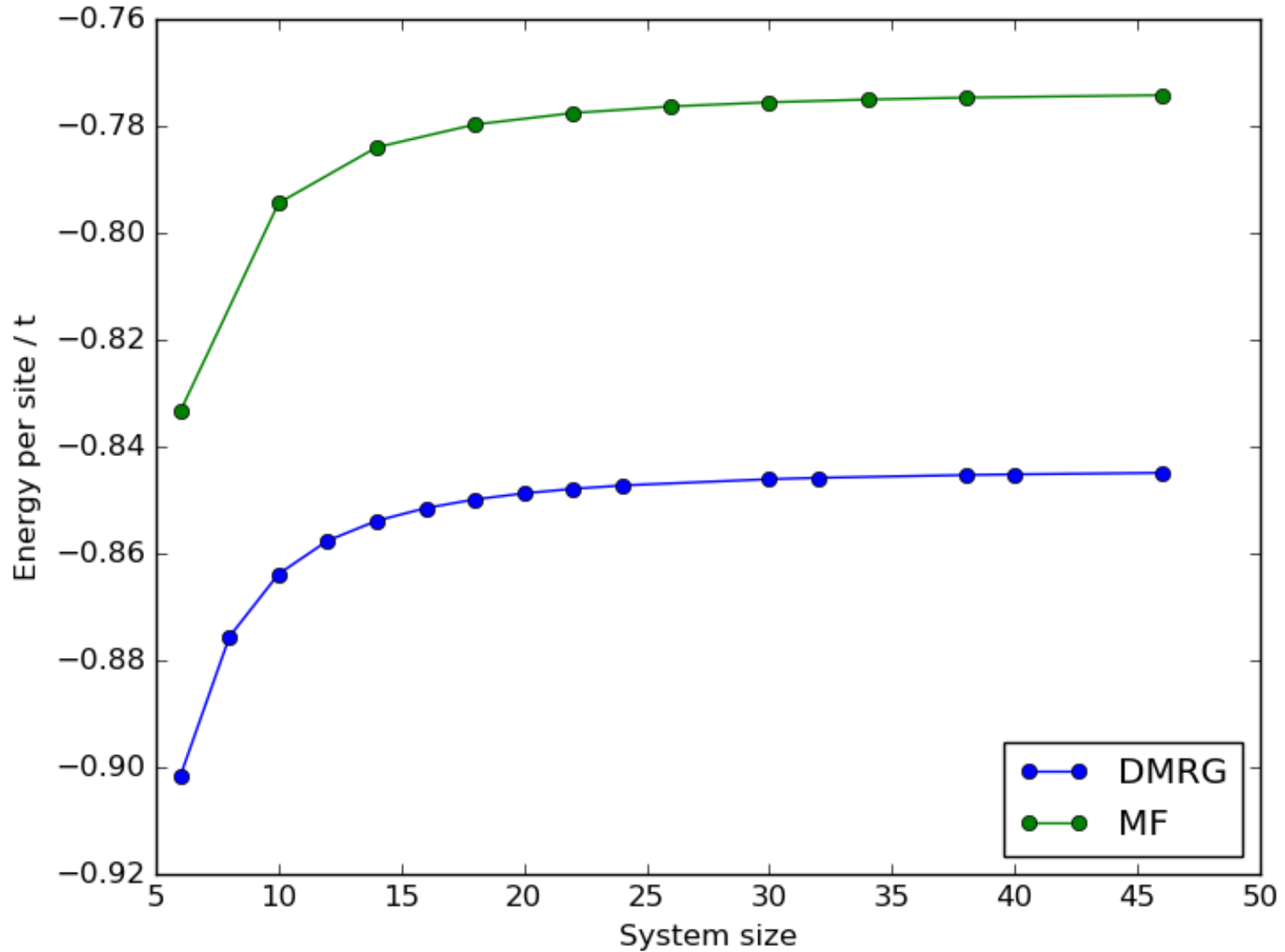
**400** linear coefficients from 6-site model

# 1D Hubbard Model, $U=8t$



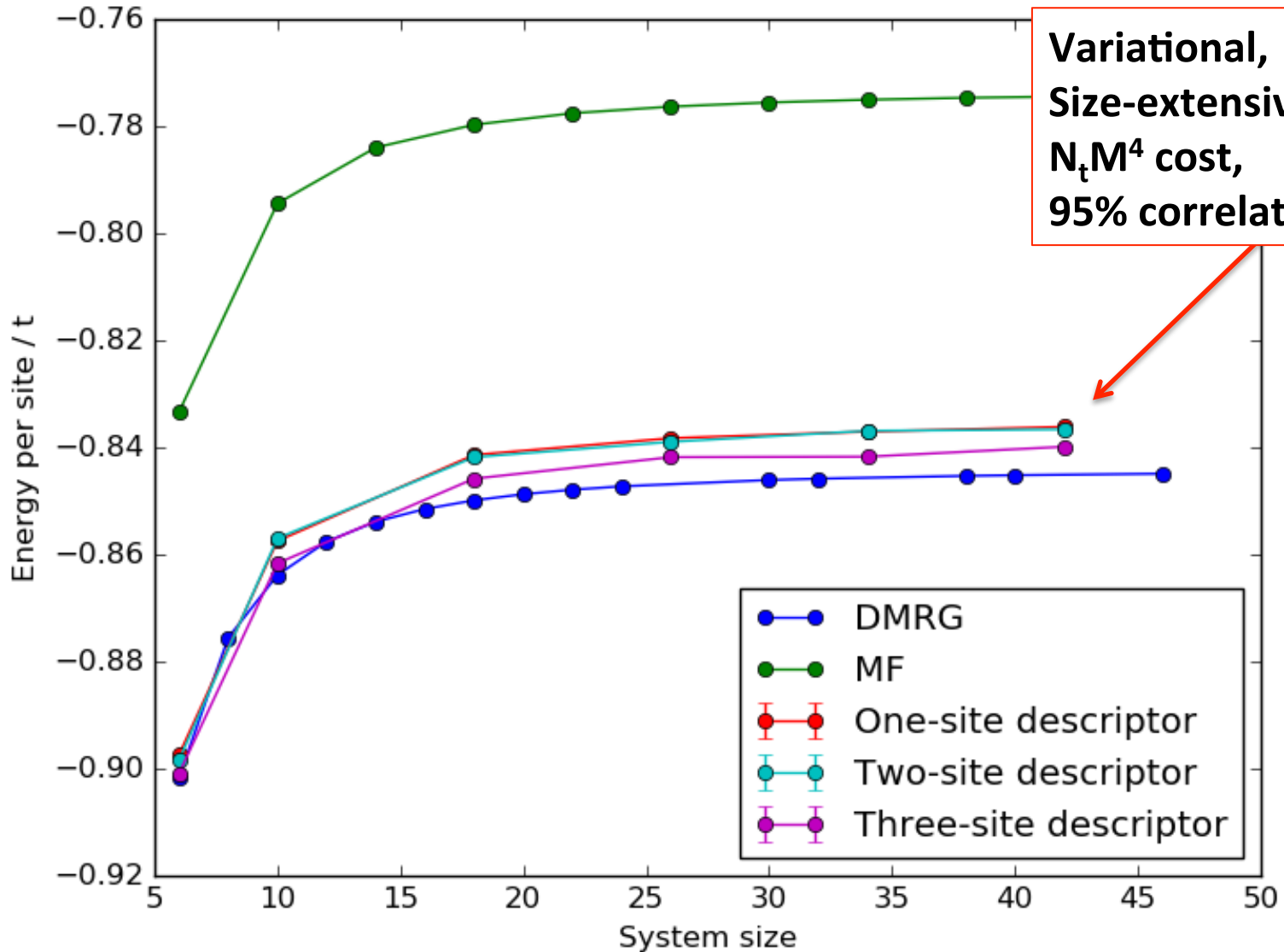
**400** linear coefficients from 6-site model

# 1D Hubbard Model, $U=2t$



**400** linear coefficients from 6-site model

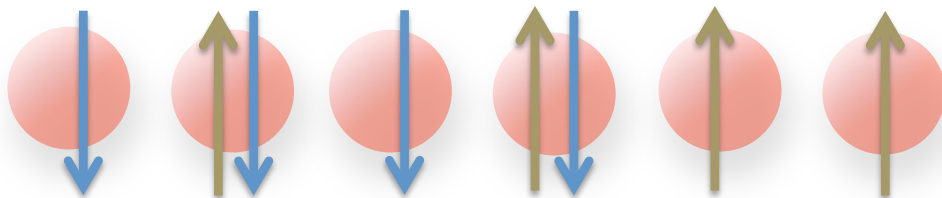
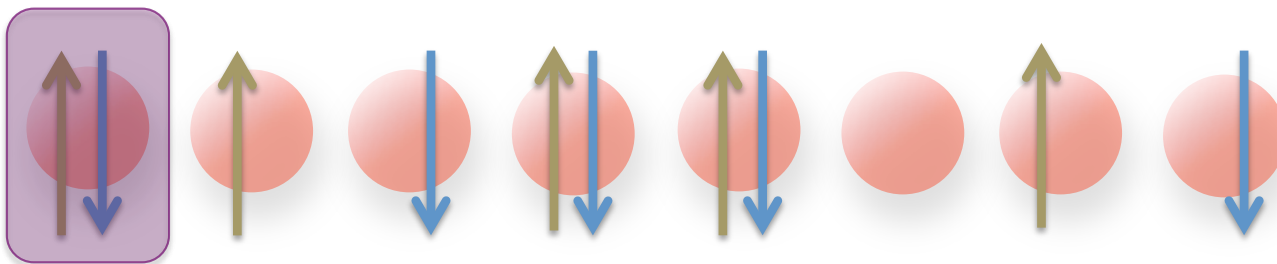
# 1D Hubbard Model, $U=2t$



**400** linear coefficients from 6-site model

How to we avoid constructing these vectors...?

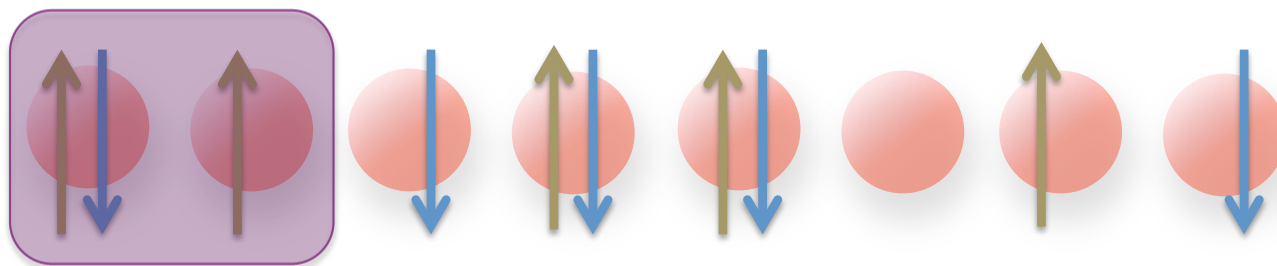
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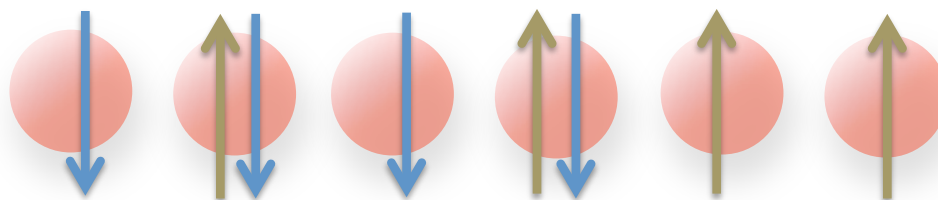
$$k = 0$$

**$L^2$  cost to evaluate contribution to kernel function between any two configurations, for any plaquette topology, independent of size**

How to we avoid constructing these vectors...?

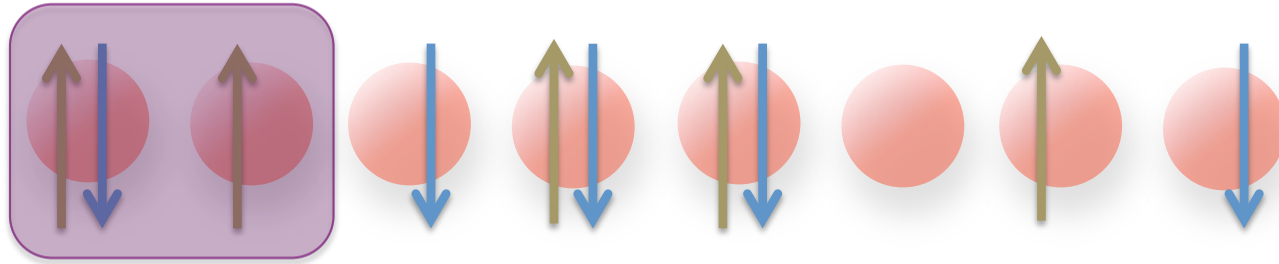


**k = 3**

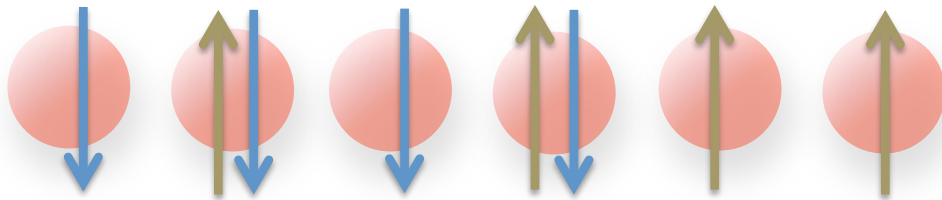


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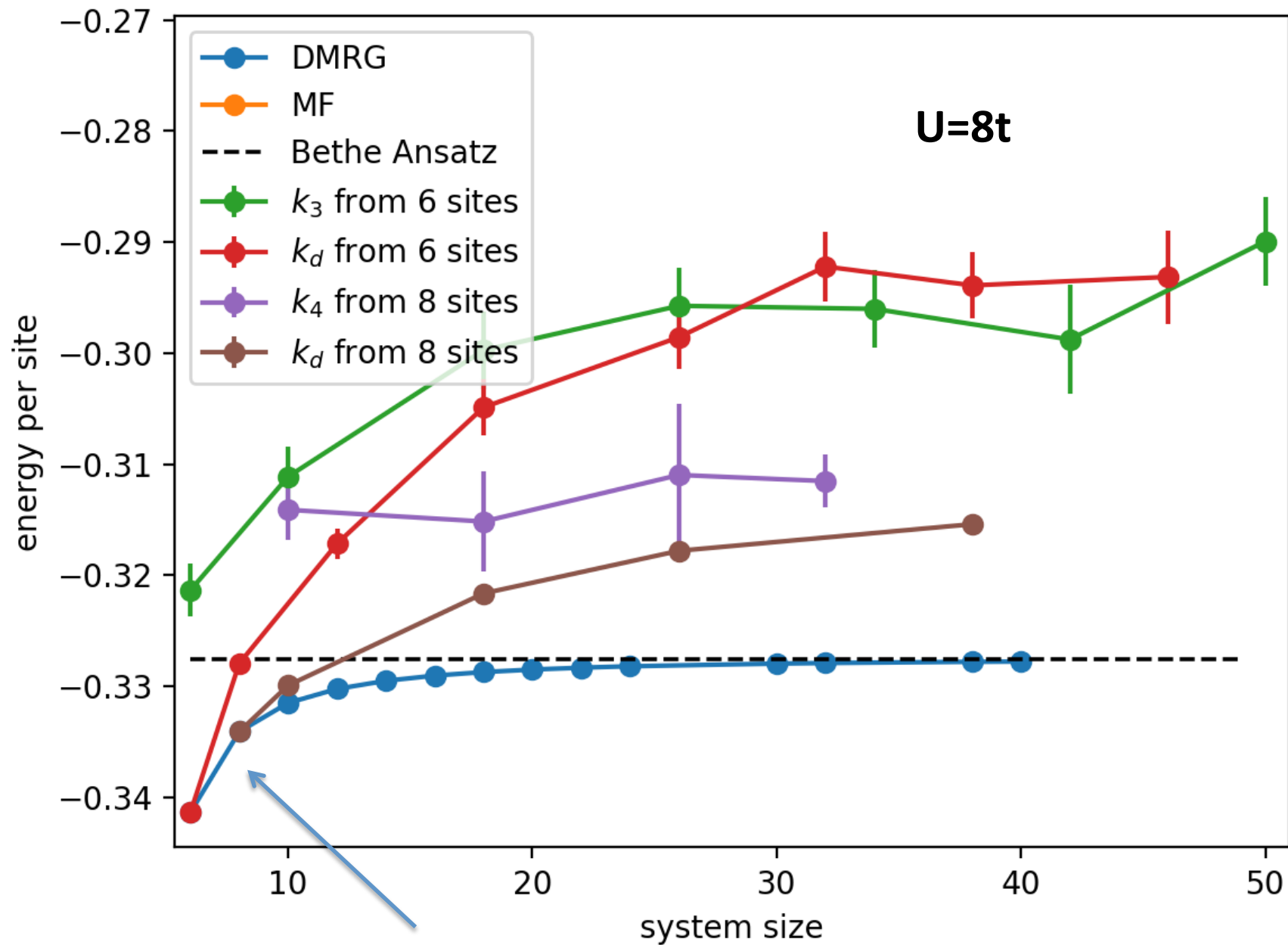


**k = 3**

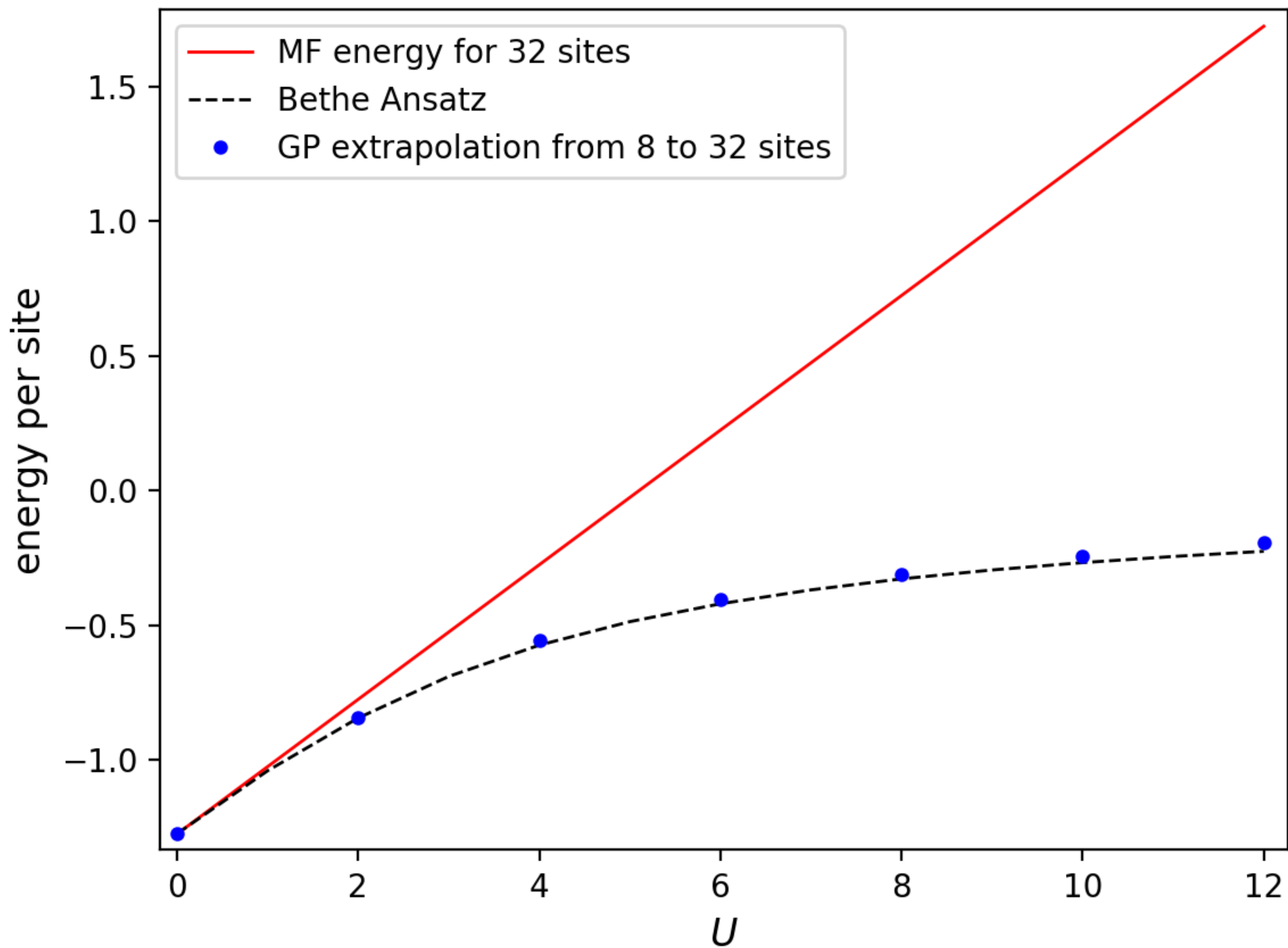


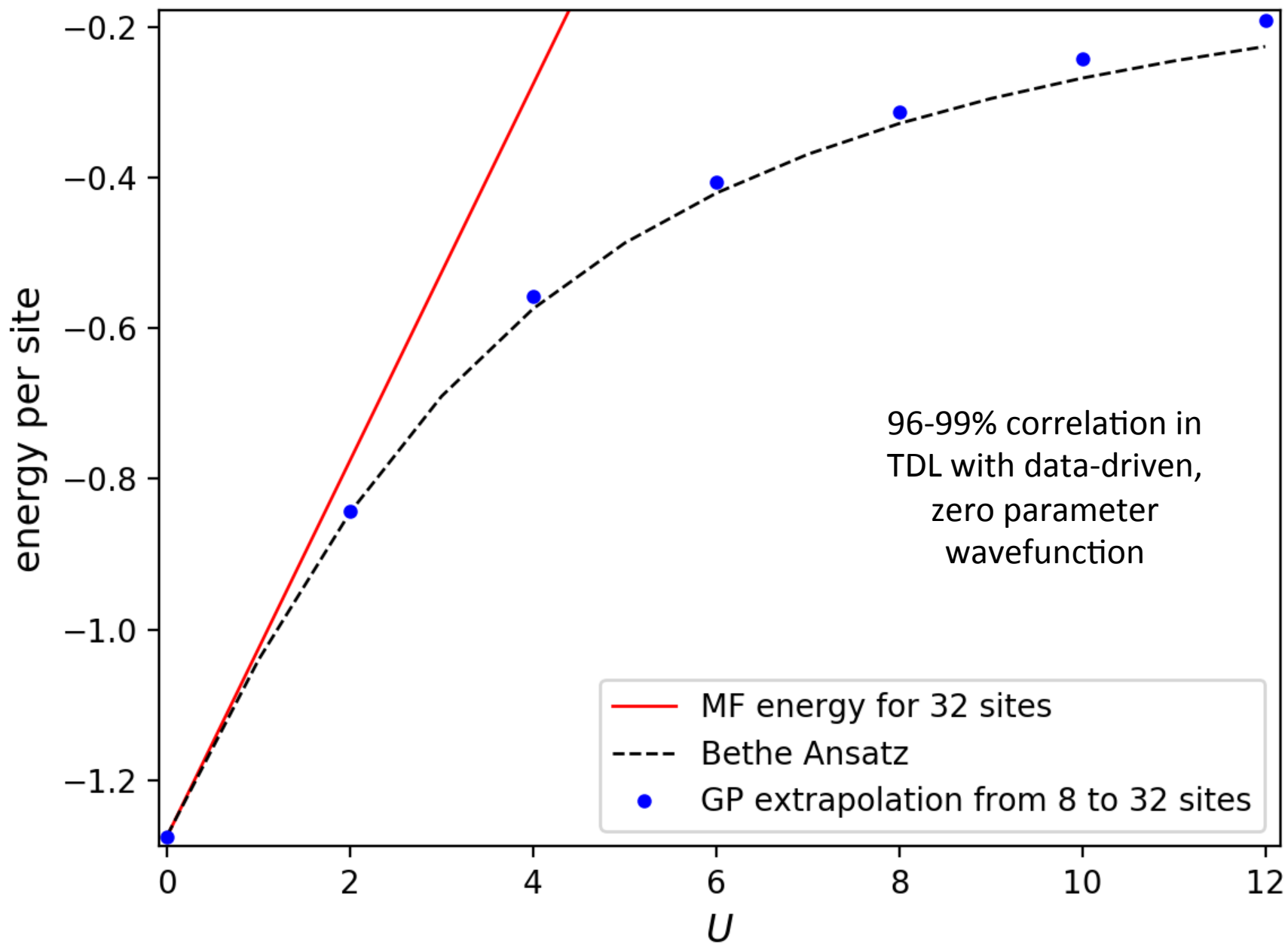
- **L<sup>3</sup> cost** to evaluate *all* possible plaquettes of *all* topology to quantify configurational similarity ( $k_d$ )
- **Exponentially** large ‘feature’ space of implicit plaquette parameters
- **Exact results with exact data**
- Beware of *overfitting*... (Hyperparameters avoid this)





Exact results for data with *complete* plaquette space

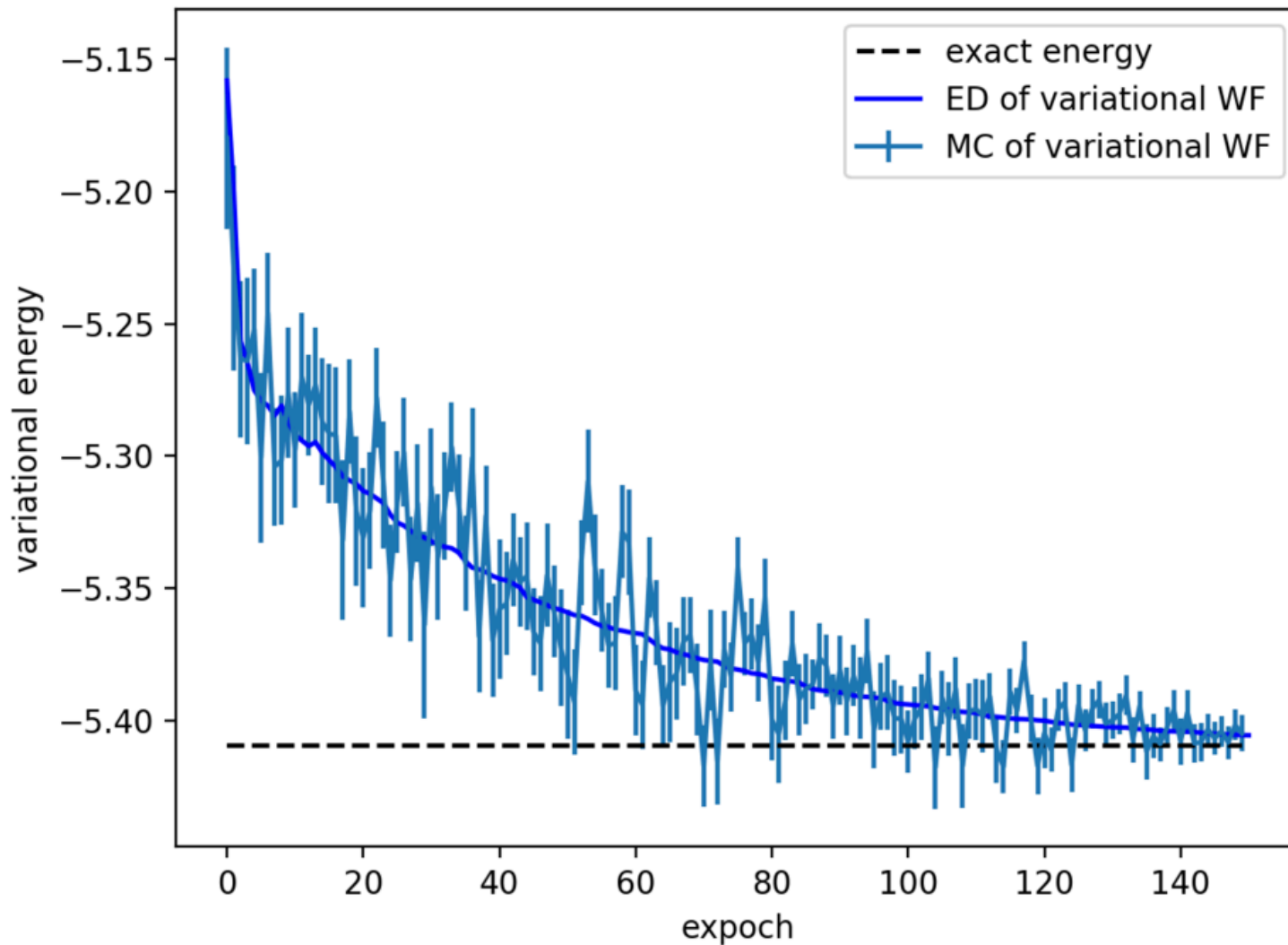




Optimize parameters



Optimize Data



# Conclusions

- **Accelerated Gradient Descent** technique for combining projector and variational QMC
- **Data-driven wavefunctions** as an intriguing new approach to formulations of lattice models
  - Early development, but clear extension to 2D systems

# Thanks

*PhD and Postdoc positions  
available in the group!*

**Non-linear stochastic optimizations:**

**Lauretta Schwarz**

**Gaussian Process Wavefunctions:**

**Aldo Glielmo**, Sandro de Vita, Gabor Csanyi



**THE ROYAL  
SOCIETY**