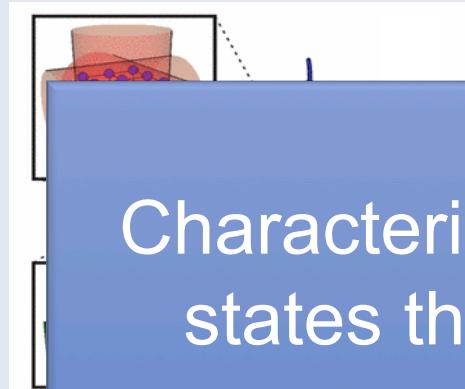


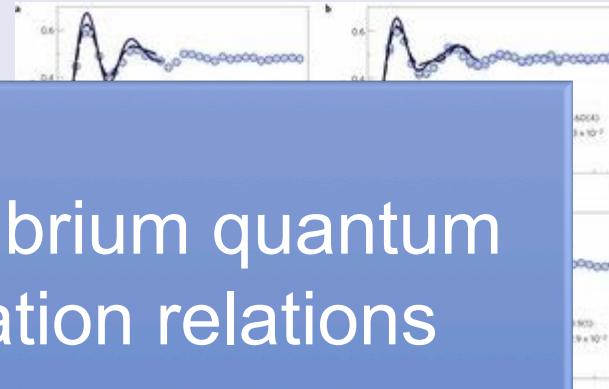
# Quantum Fluctuation Relations in the Presence of Conserved Quantities

Dieter Jaksch | Clarendon Laboratory, University of Oxford | 11<sup>th</sup> Sept. 2017

## Driven optical lattices



## Relaxation in optical lattices



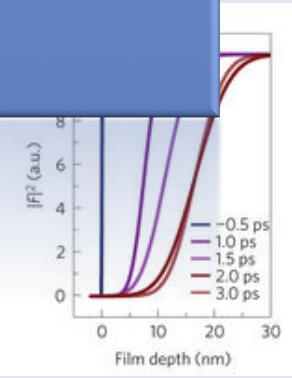
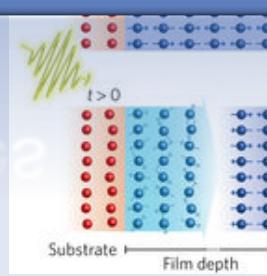
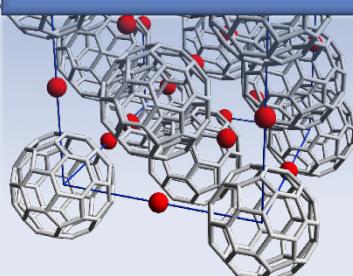
Characterize non-equilibrium quantum states through fluctuation relations

A. Zenesi

Nature 325 (2012)

Driven

Detect dynamics induced symmetry broken states



M. Mitrano *et al.*, Nature 530, 461 (2016)

M. Foerst *et al.*, Nature Materials 14, 883 (2015)

# Classical fluctuation relations

- Thermodynamics

$$w \geq \Delta F, \quad \Delta S \geq 0$$

- Fluctuation relations

→ Jarzynski equality

$$\langle e^{\beta w} \rangle = e^{\beta \Delta F}$$

→ Crooks relation

$$P[f(w)] = e^{\beta(w - \Delta F)} P[b(-w)]$$

- These relations constrain  $\text{PDF}(w)$

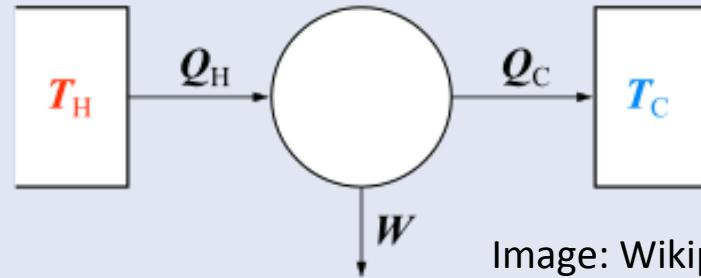
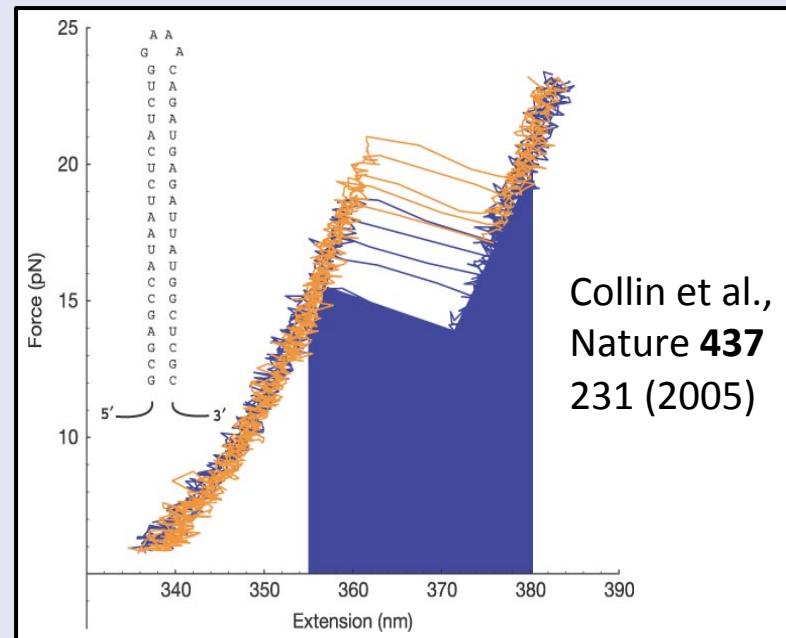
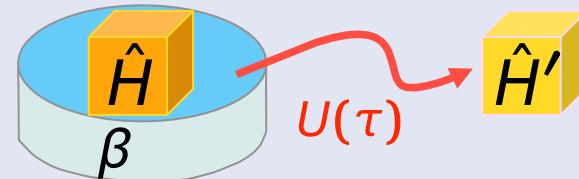


Image: Wikipedia



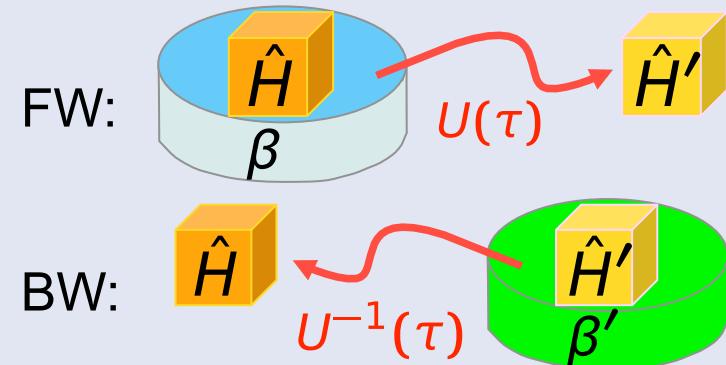
→ Quantum Jarzynski equality

$$\langle e^{\hat{A}} - \beta w \rangle = e^{\hat{A}} - \beta \Delta F$$

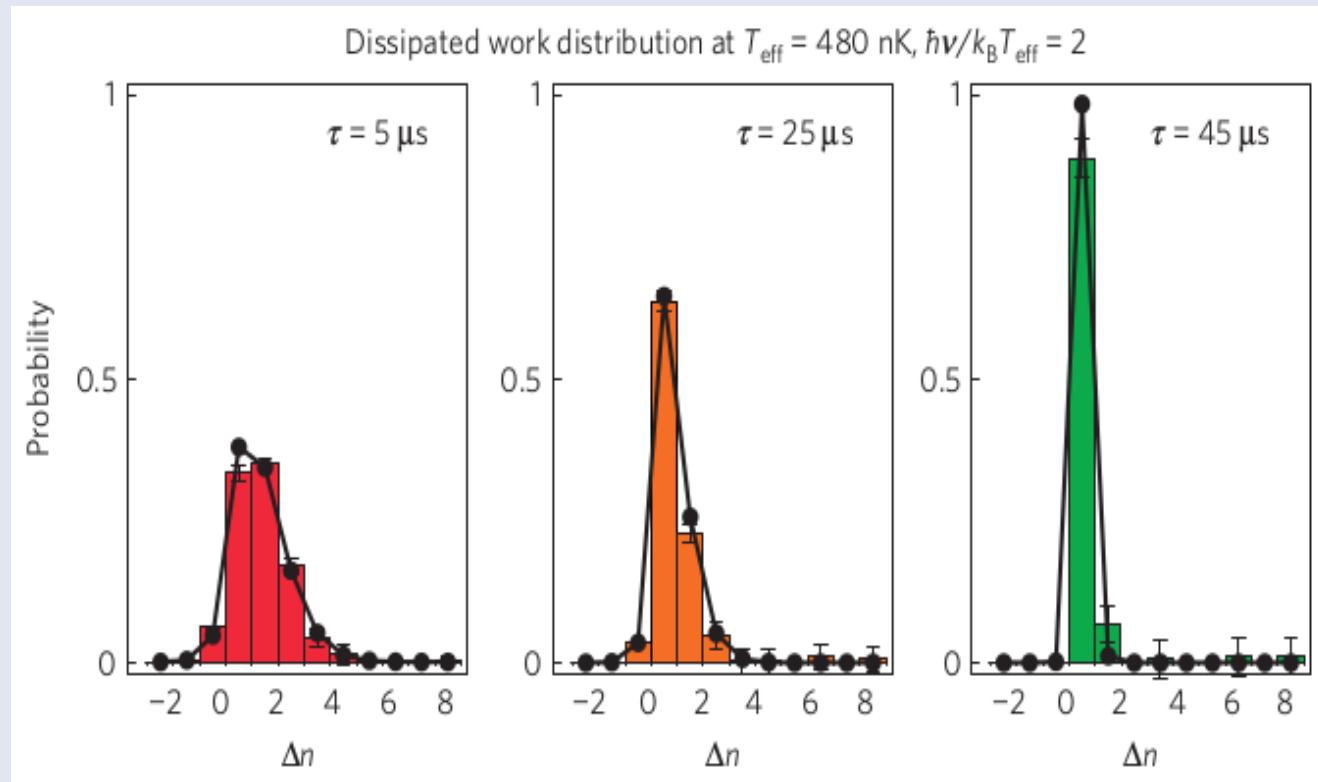


→ Tasaki-Crooks relation

$$P \downarrow f(w) = e^{\hat{A}} \beta (w - \Delta F) P \downarrow b(-w)$$

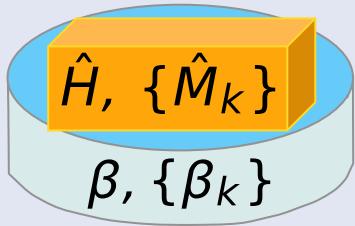


→ Testing the Quantum Jarzynski equality



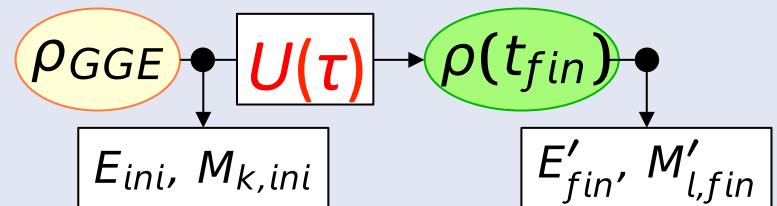
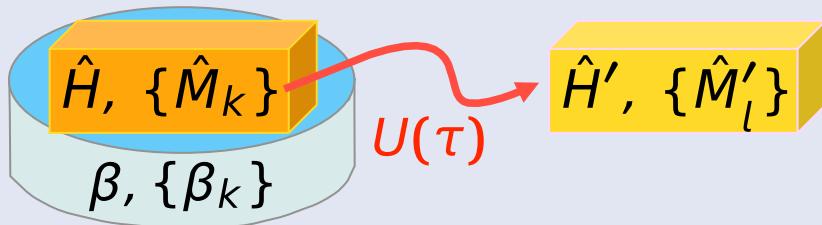
# Conserved quantities $M \downarrow k$

- Generalized Gibbs ensemble  $\rho \downarrow GGE$



$$\rho \downarrow GGE = 1/Z \downarrow GGE e^{\beta H - \sum k \beta_k M \downarrow k}$$

- Generalized work  $W$



$$A_{ini} = \beta E_{ini} + \sum_k \beta_k M_{k,ini}$$

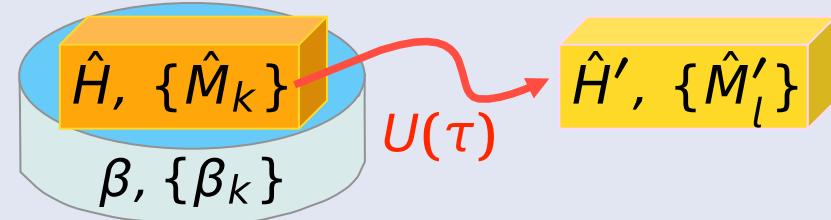
$$A_{fin} = \beta' E'_{fin} + \sum_l \beta'_l M'_{l,fin}$$

$$W = A \downarrow \text{fin} - A \downarrow \text{ini}$$

→ replace  $w$  by  $W$

→ Generalized Jarzynski equality

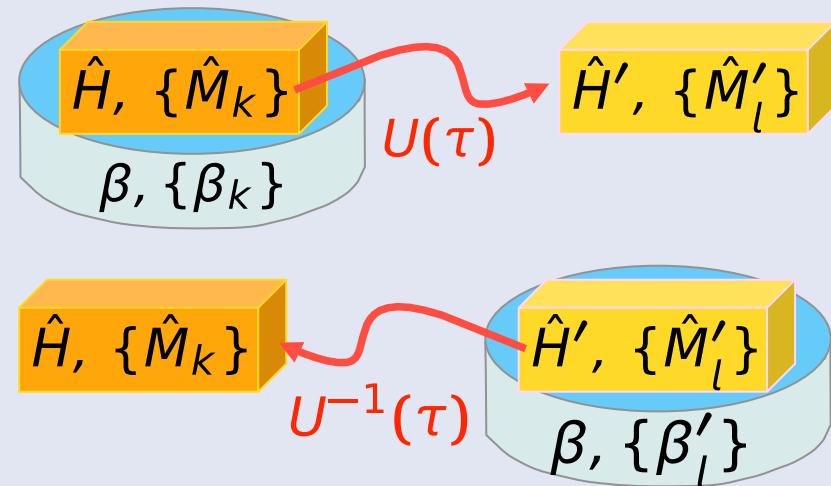
$$\langle e^{\uparrow -W} \rangle = e^{\uparrow -\Delta F \downarrow GGE}$$



→ Generalized Tasaki-Crooks relation

$$P \downarrow f(W) = e^{\uparrow W - \Delta F \downarrow GGE} P \downarrow b(-W)$$

$$\text{with } F \downarrow GGE = -\ln(Z \downarrow GGE)$$



# Example I: The Dicke model

- Bosonic field  $a$  coupled to an ensemble of  $N$  spin  $\frac{1}{2}$  systems  $\sigma \downarrow i$

$$H = \omega \downarrow a \ a \uparrow + a + \omega \downarrow s \ J \downarrow z + H \downarrow \text{int}$$

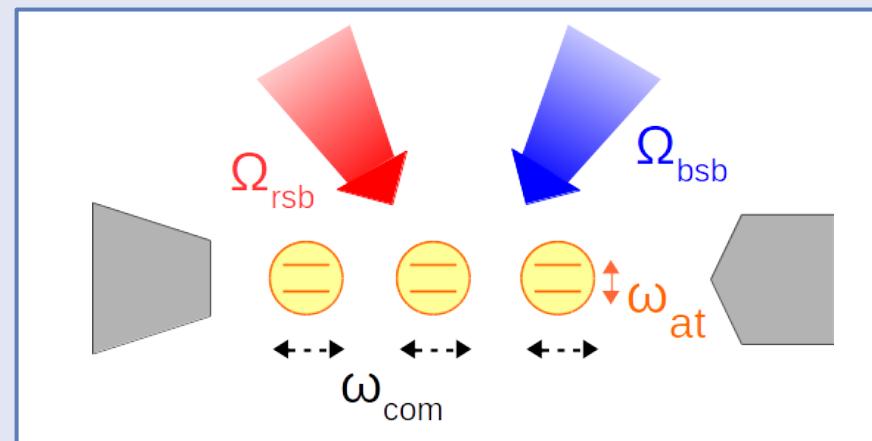
with  $J = 1/2 \sum_{i=1}^N \sigma \downarrow i$ , and

$$H \downarrow \text{int} = g(1-\alpha)(J \downarrow + a + J \downarrow - a \uparrow) + g\alpha(J \downarrow + a \uparrow + J \downarrow - a)$$

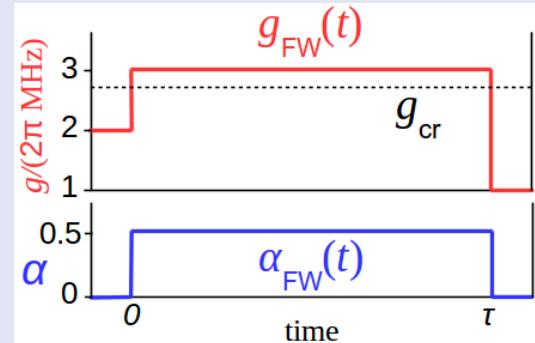
- For  $\alpha=0$  the quantity

$$M = J \downarrow z + a \uparrow + a$$

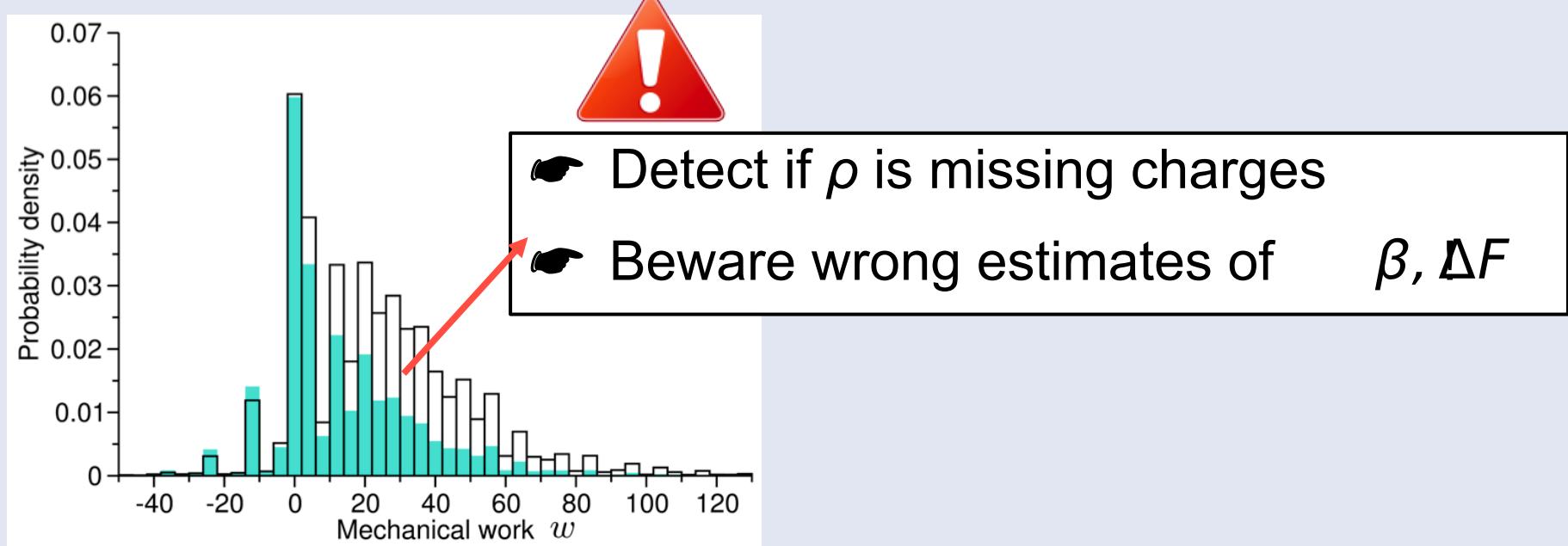
is conserved.



$$(*) P_{FW}(w) = e^{\beta(w - \Delta F_{Gibbs})} P_{BW}(-w)$$



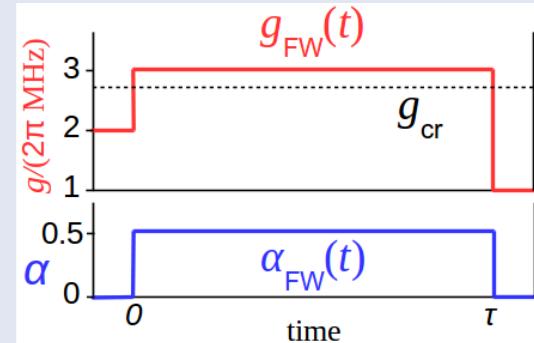
Work PDFs:



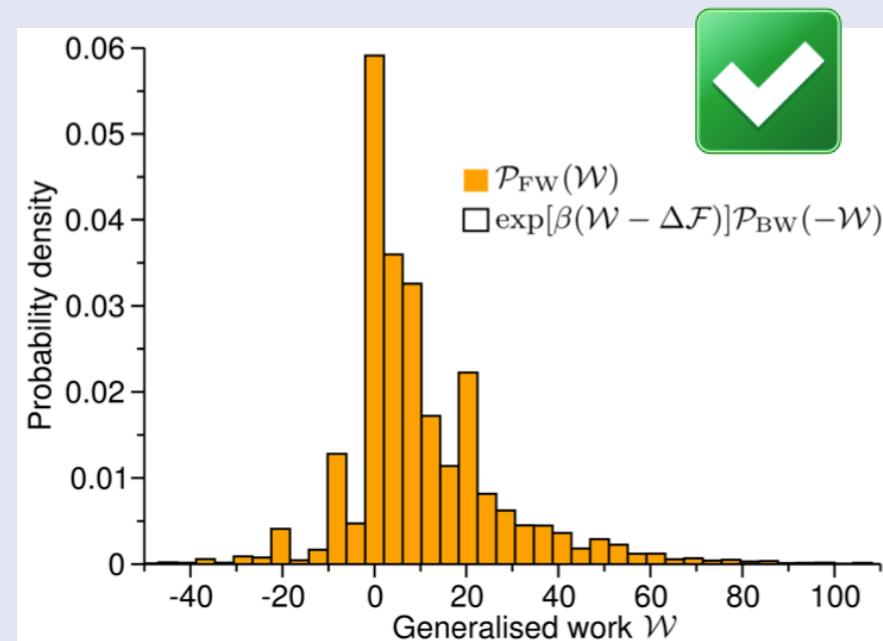
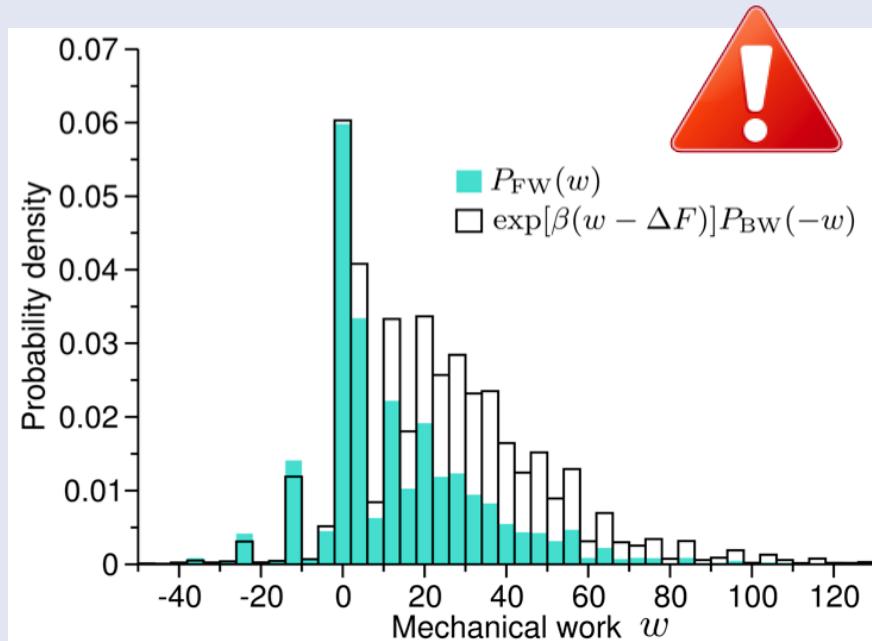
$$\rho_{ini} = \rho_{GGE}(\beta = 0.1, \beta_M = -0.1)$$

$$(*) P_{FW}(w) = e^{\beta(w - \Delta F_{Gibbs})} P_{BW}(-w)$$

$$P_{FW}(W) = e^{W - \Delta F_{GGE}} P_{BW}(-W)$$



Work PDFs:



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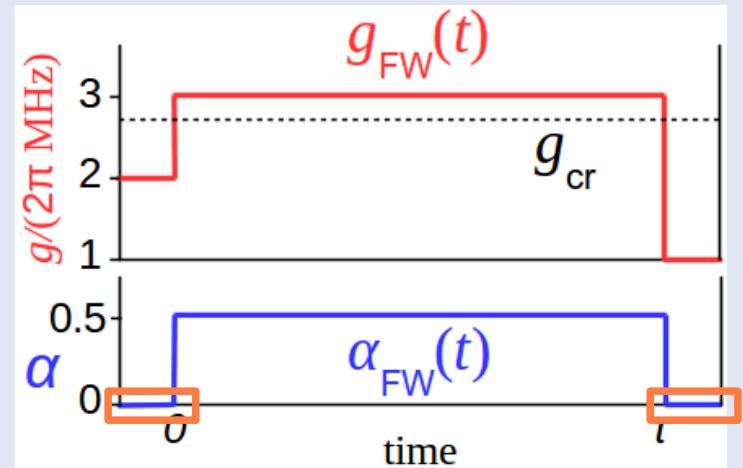
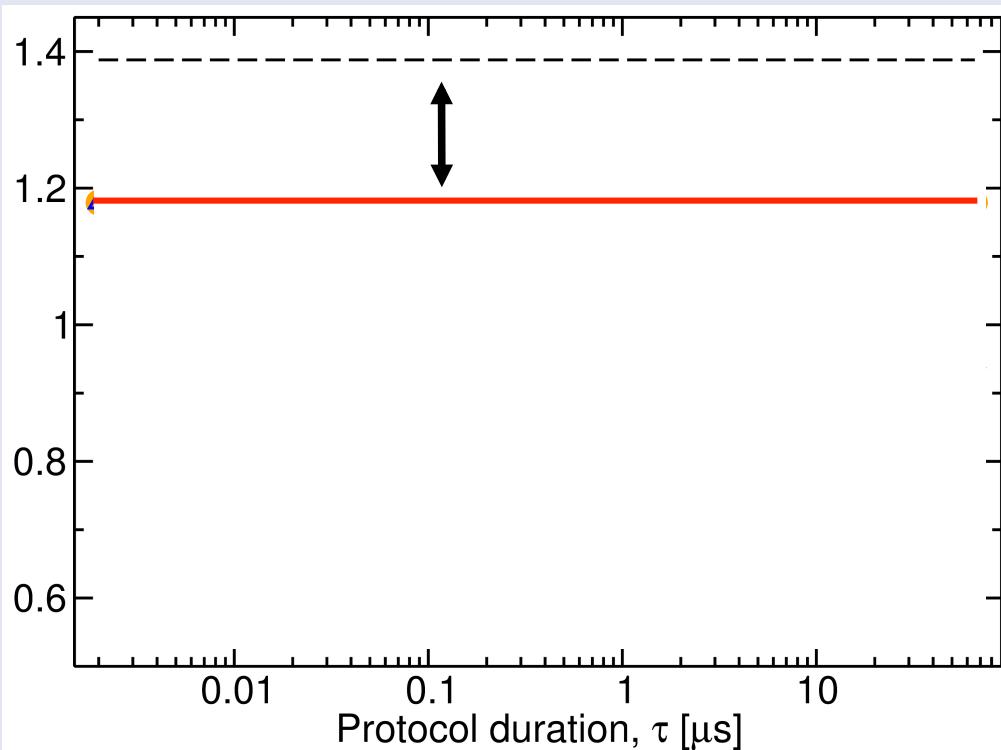
# Jarzynski equation results

Varying protocol duration  $\tau$ :

$$\langle \exp(-W) \rangle = \exp(-\Delta F_{GGE})$$

$$\langle \exp(-\beta w) \rangle = \exp(-\beta \Delta F_{Gibbs})$$

$$\beta = 0.1, \beta_M = 0.3$$



.....  $\exp(-\beta \Delta F_{Gibbs})$   
 ———  $\exp(-\Delta F_{GGE})$

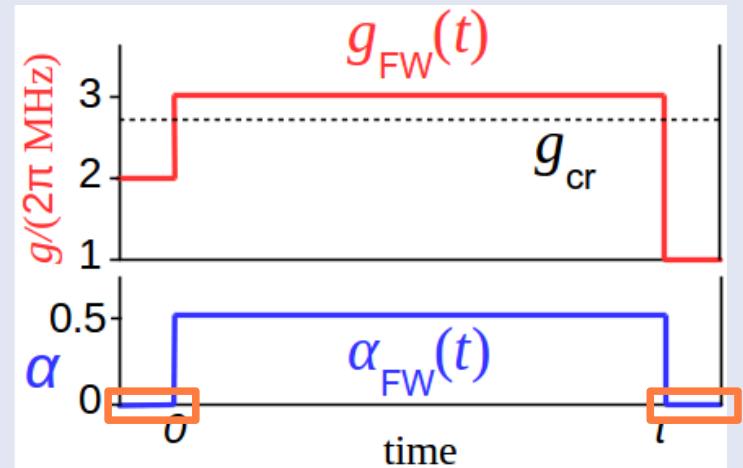
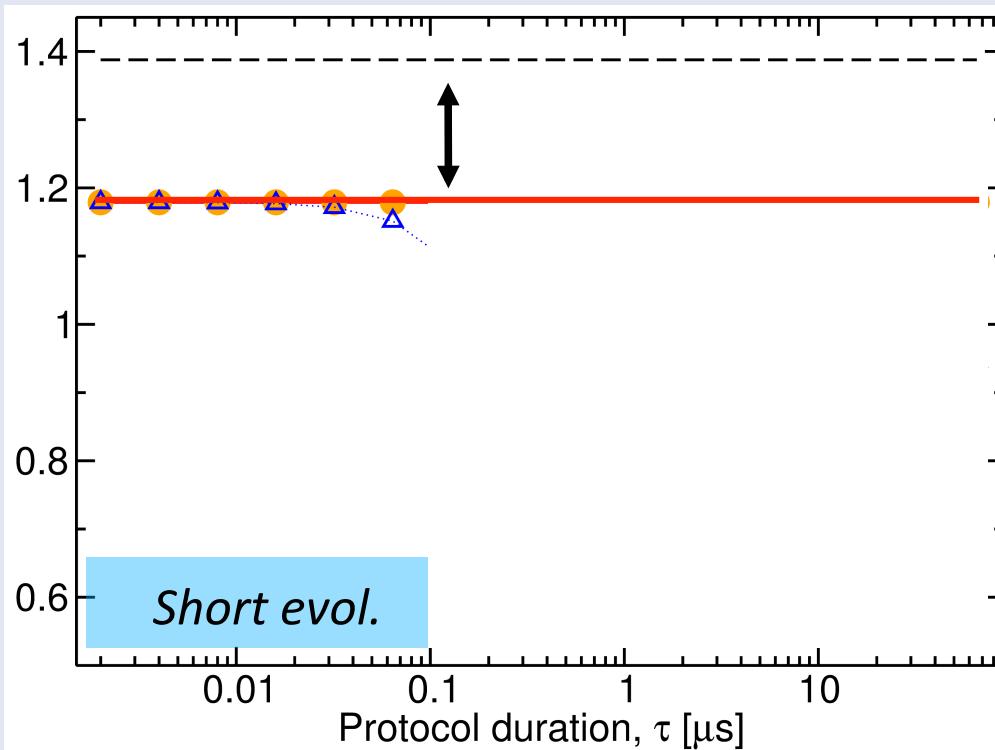
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👉 Beware wrong estimate of  $\beta \Delta F$

- .....  $\exp(-\beta \Delta F_{Gibbs})$
- $\exp(-\Delta F_{GGE})$
- $\langle \exp(-W) \rangle$
- △  $\langle \exp(-\beta w) \rangle$

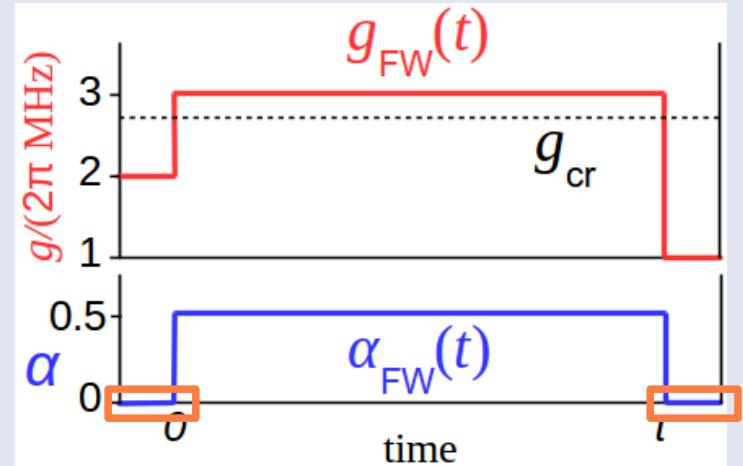
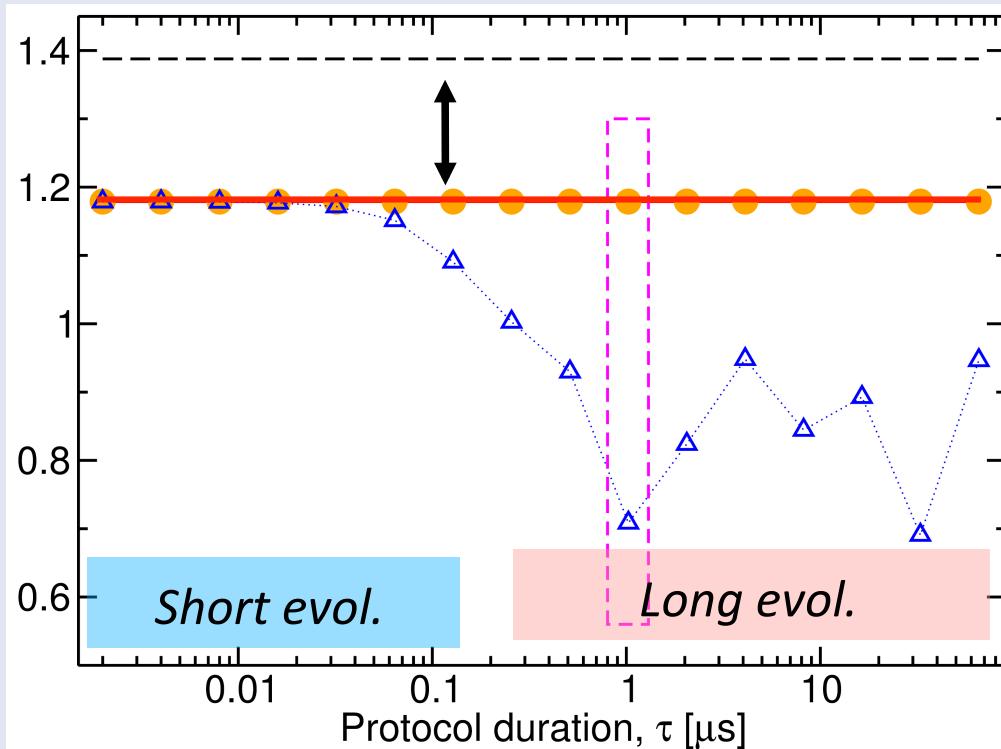
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 Track missing charges relevant to dynamics

- $\exp(-\beta \Delta F_{Gibbs})$
- $\exp(-\Delta F_{GGE})$
- $\langle \exp(-W) \rangle$
- △  $\langle \exp(-\beta w) \rangle$

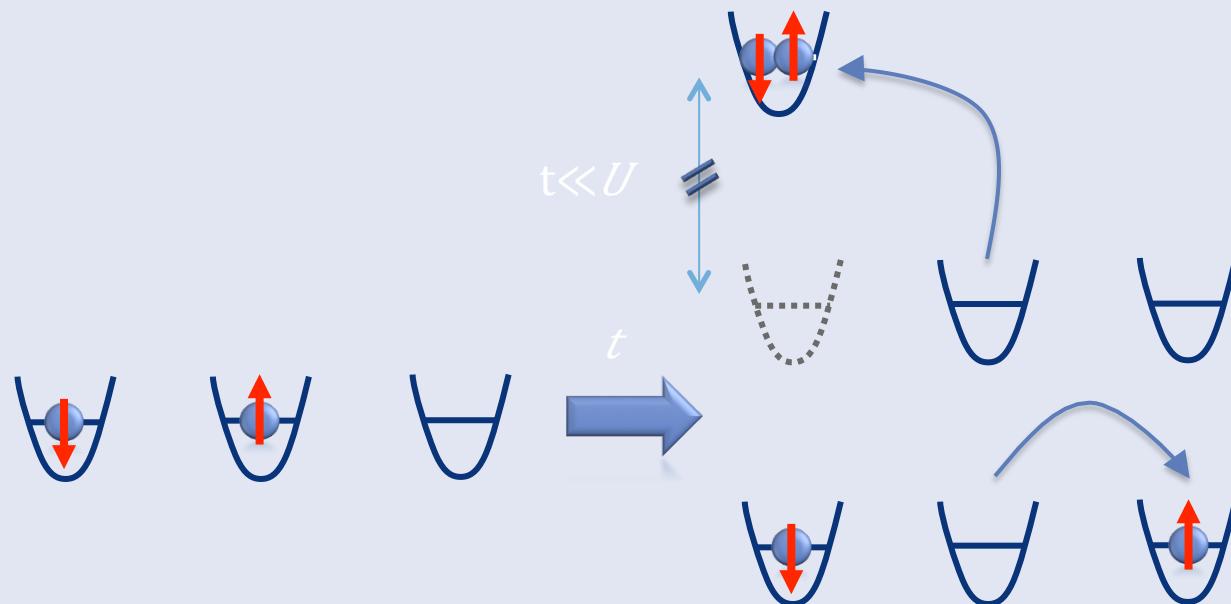
# Example II: Driven Hubbard model

- Hubbard Hamiltonian

$$H_{\text{Hub}} = -t \sum_{\langle i,j \rangle, \sigma} (c_{i\sigma}^\dagger c_{j\sigma} + h.c.) + U \sum_i n_{i\uparrow} n_{i\downarrow} = H_{\text{hop}} + H_{\text{U}}$$

with  $c_{i\sigma}$  destroying a fermion with spin  $\sigma$  in site  $i$ .

- We consider the strongly correlated limit  $U \gg t$

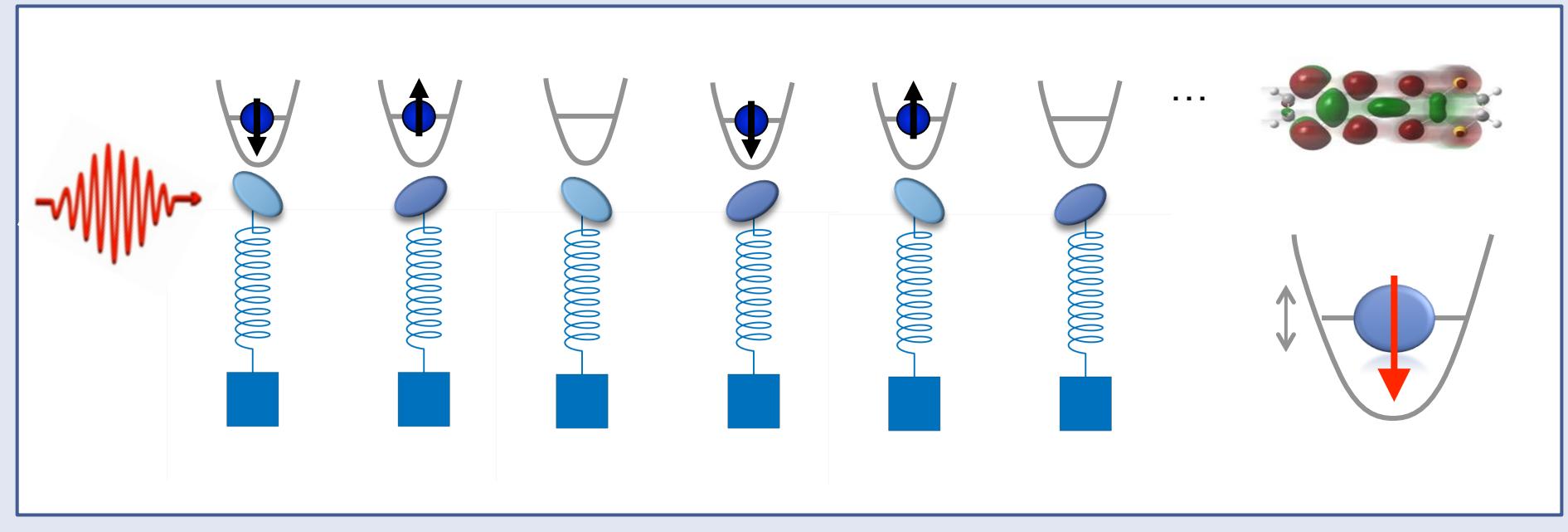


# Driving local vibrations

- Include driving of a-b lattice with frequency  $\Omega$

$$H_{\text{dr}}(\tau) = V/2 \sum_{j \in a} \sin(\Omega\tau - \phi) n_{\downarrow j} + V/2 \sum_{j \in b} \sin(\Omega\tau + \phi) n_{\downarrow j}$$

we assume the driving to be out of phase  $\phi = \pi/2$

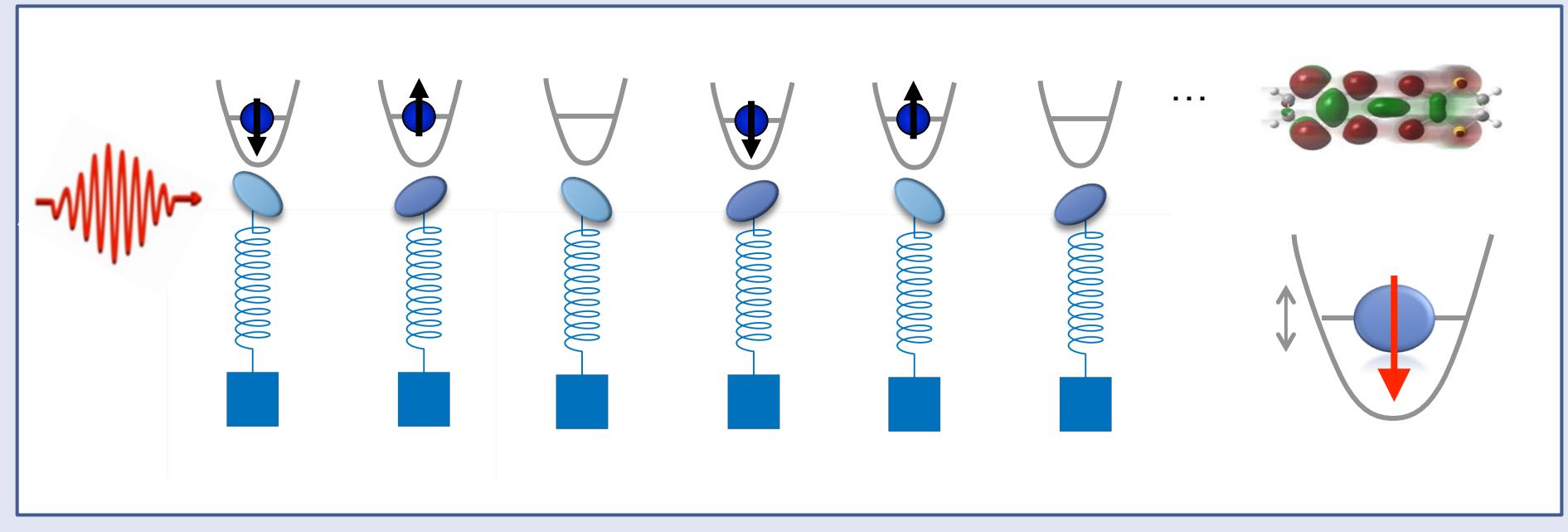


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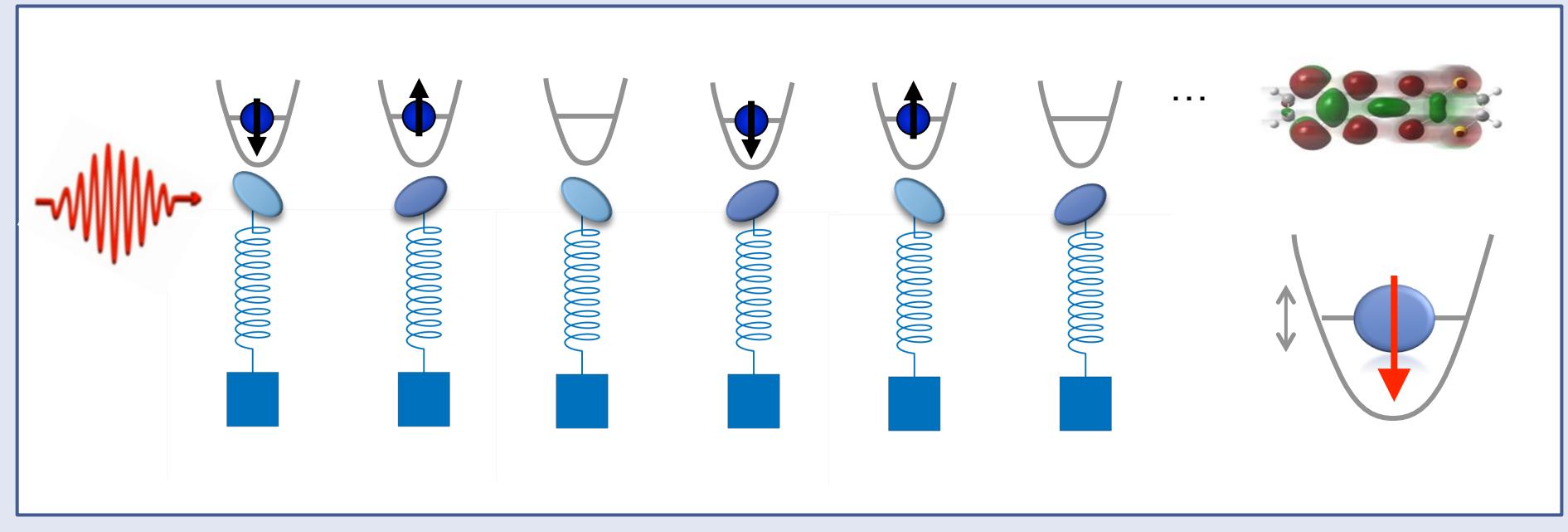


# Driving local vibrations

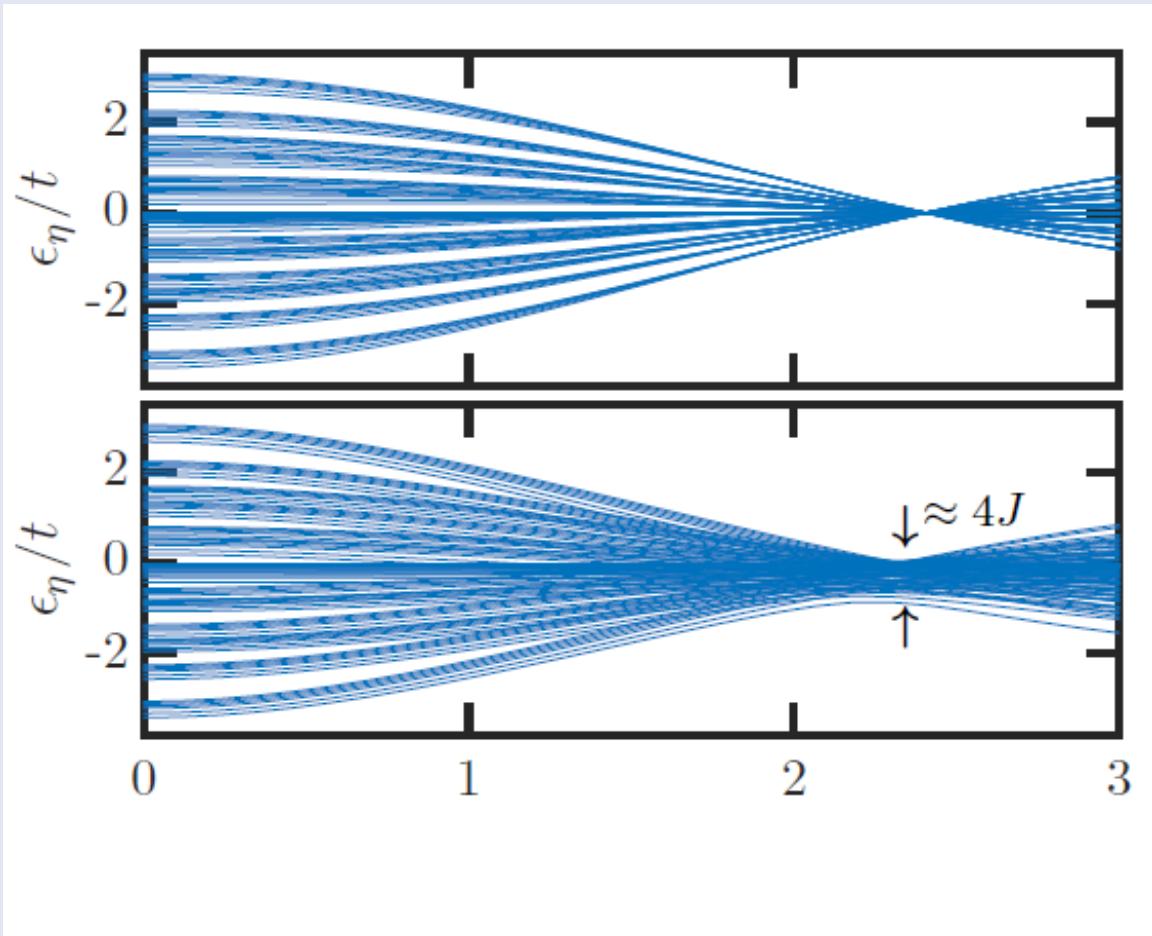
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# Quasi-energies in a small driven system



$\Omega$

$t \approx J \ll \Omega \ll U$

$U$

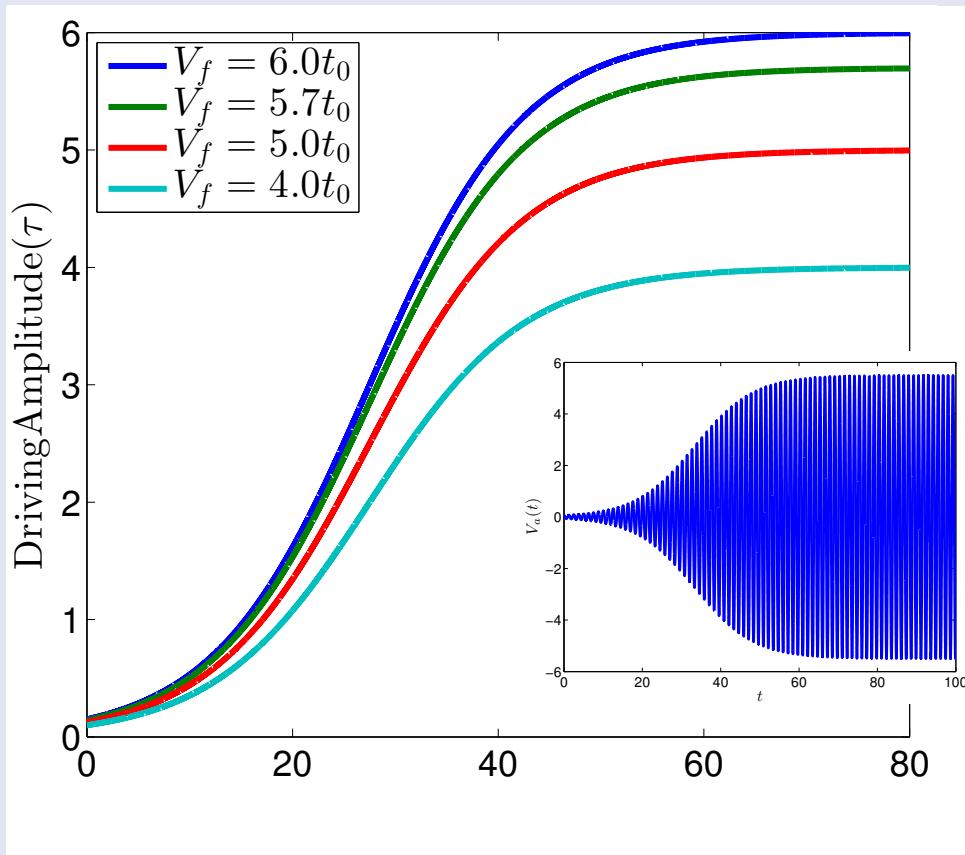
$t$

$J$

When driving in the gap  $t < \Omega < U$  a finite bandwidth  $J = 4t^{1/2} / U$  remains for any driving

# Dynamically ramped up driving

We use td-iDMRG to study the dynamics for a slowly increasing drive and starting from the ground state



We look at correlation functions and their structure factors

## Density-density correlations

$$N_{\downarrow ij} = \langle n_{\downarrow i} n_{\downarrow j} \rangle - \langle n_{\downarrow i} \rangle \langle n_{\downarrow j} \rangle$$

## Spin-spin correlations

$$S_{\downarrow ij} = \langle S_{\downarrow i \uparrow z} S_{\downarrow j \uparrow z} \rangle$$

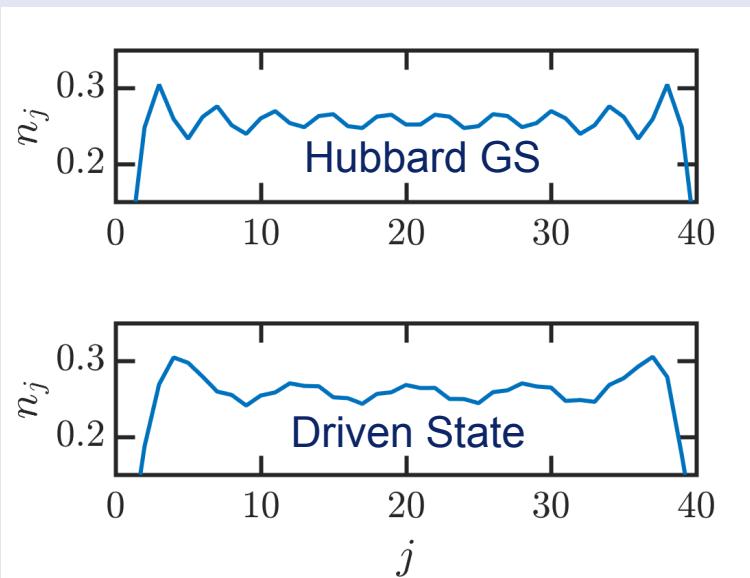
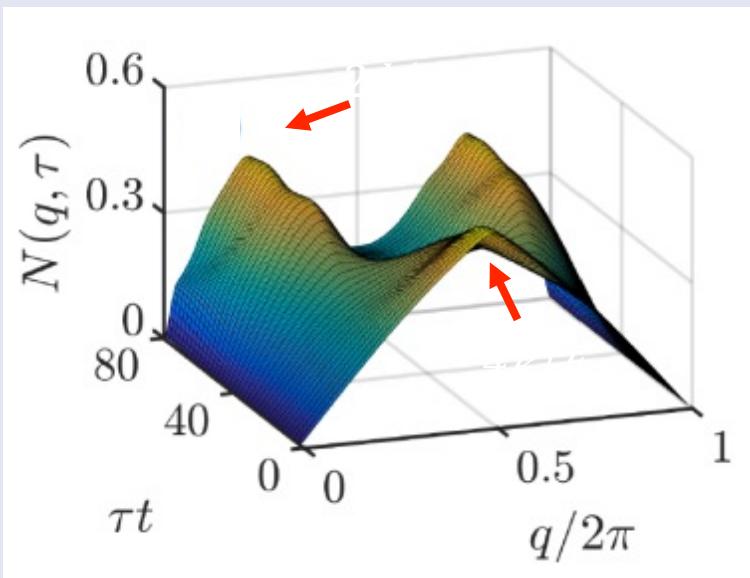
$$\text{where } S_{\downarrow i \uparrow z} = (n_{\downarrow i \uparrow} - n_{\downarrow i \downarrow})/2$$

## Pair correlations

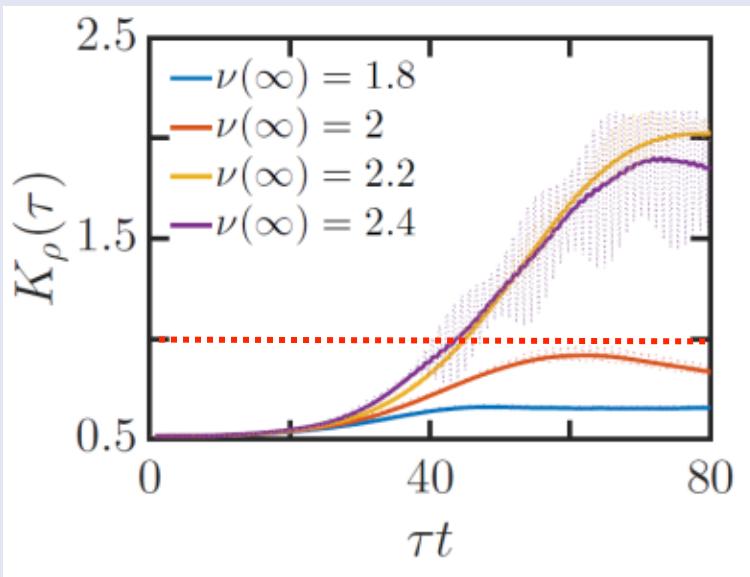
$$P_{\downarrow ij} = \langle b_{\downarrow i, i+1 \uparrow}^\dagger b_{\downarrow j, j+1} \rangle$$

$$\text{where } b_{\downarrow ij} = (c_{\downarrow i \uparrow} c_{\downarrow j \downarrow}^\dagger - c_{\downarrow i \downarrow} c_{\downarrow j \uparrow}^\dagger)/\sqrt{2}$$

# Density structure factor



$U=20t$   
 $\Omega=6t$   
 $L=\infty$   
 $n=1/2$   
 $k_F=\pi/4$

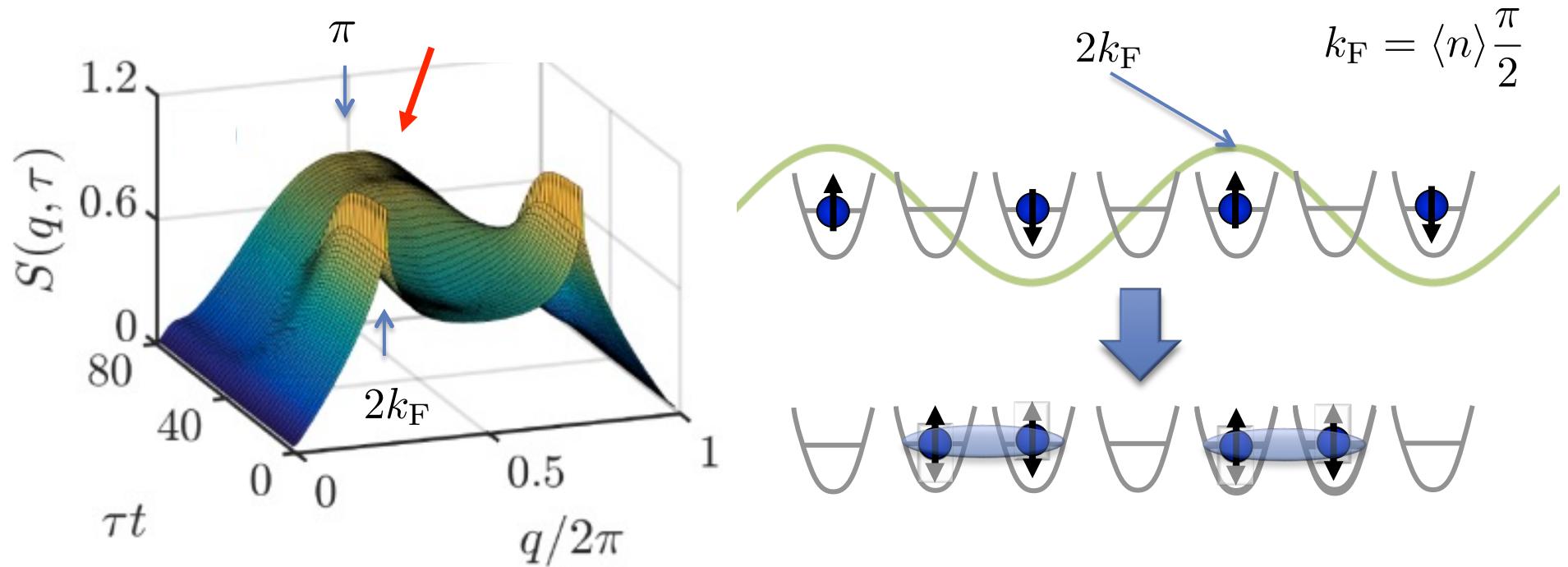


For small quasi-momentum  $q$

$$N(q) \approx K \downarrow \rho q / \pi$$

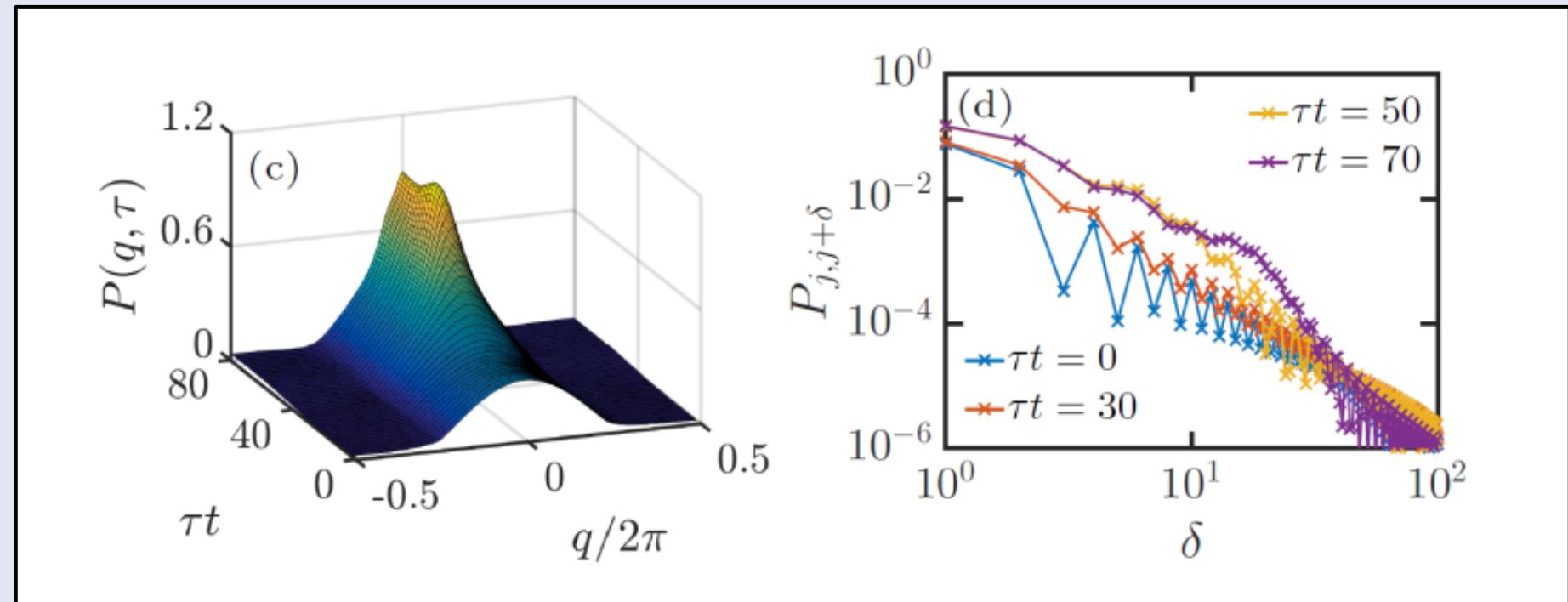
Repulsive Luttinger liquid (M) for  $K \downarrow \rho < 1$   
 Attractive Luttinger liquid (SC) for  $K \downarrow \rho > 1$

# Spin structure factor



The  $q=2k_F$  spin wave in the Hubbard ground state gives way to AFM bound pairs.

# Pair correlations



Long-range pair correlations are enhanced by the driving

Correlations spread with speed  $t/4 \approx J$

- We project double occupancies out of the Hubbard model in second order to obtain an effective low energy model valid in the limit  $t \ll U$

$$H_{\downarrow tJ} = \mathcal{P} [H_{\downarrow \text{hop}} - J \sum \langle i,j \rangle \uparrow \otimes b_{\downarrow ij} \uparrow \dagger b_{\downarrow ij} - \alpha J \sum \langle i,j,k \rangle \uparrow \otimes (b_{\downarrow ij} \uparrow \dagger b_{\downarrow jk} + h.c.) ]$$

$$\mathcal{P} = \mathcal{P} [H_{\downarrow \text{hop}} + H_{\downarrow \text{pair}}] \mathcal{P}$$

Single particle hopping terms between sites, but no triplet pair hopping  $\alpha=1/2$

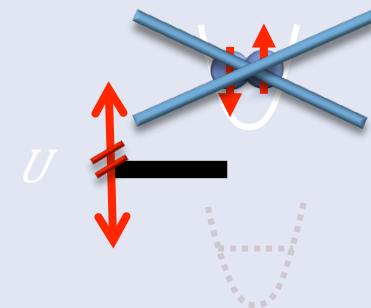
The number of NN pairs

$$M = \sum \langle i,j \rangle \uparrow \otimes b_{\downarrow ij} \uparrow \dagger b_{\downarrow ij}$$

is conserved for  $t=0$

Project out double occupancies

$$\mathcal{P} = \prod J \uparrow \otimes (1 - n_{\downarrow j \uparrow} n_{\downarrow j \downarrow})$$

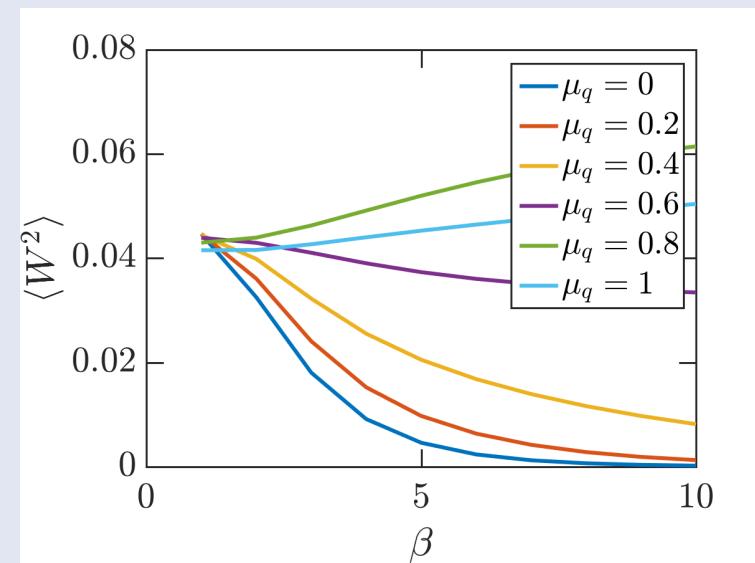
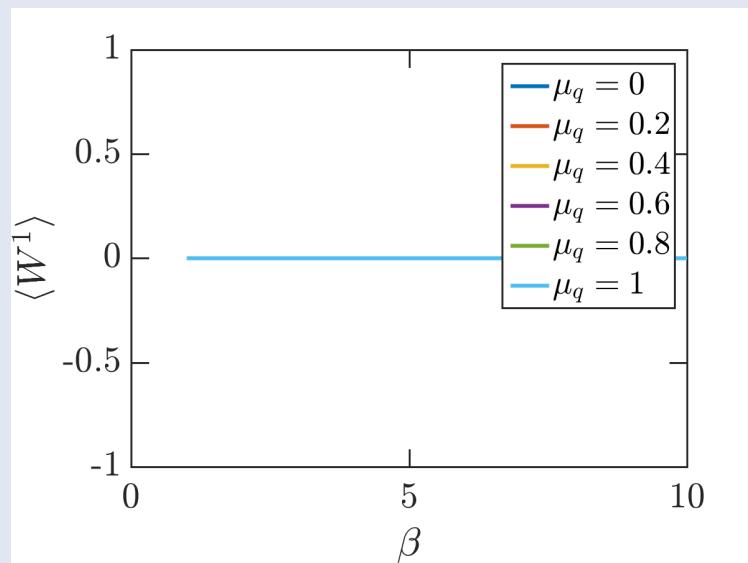


# Results (preliminary)

Initial state at time  $\tau=0$ :  $\rho(\tau=0) = \frac{1}{Z} \exp[-\beta(H_{0J} - \mu M)]$

Process:  $t=0 \rightarrow 0.1$

Standard work:  $\langle w \rangle = \langle H_{tJ} - H_{0J} \rangle = -t \sum_{j,\sigma} \langle c_{j,\sigma}^\dagger c_{j+1,\sigma} + H.c. \rangle \equiv 0$   
 $\langle w^2 \rangle = \langle H_{tJ}^2 - 2H_{tJ}H_{0J} + H_{0J}^2 \rangle$



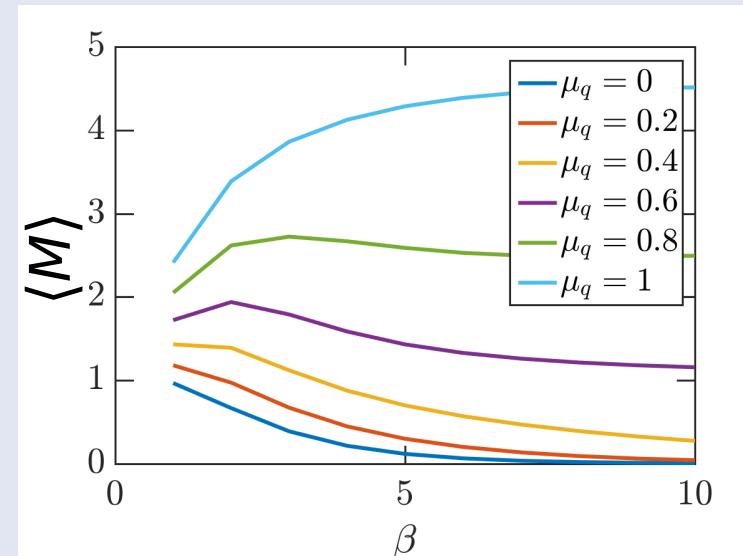
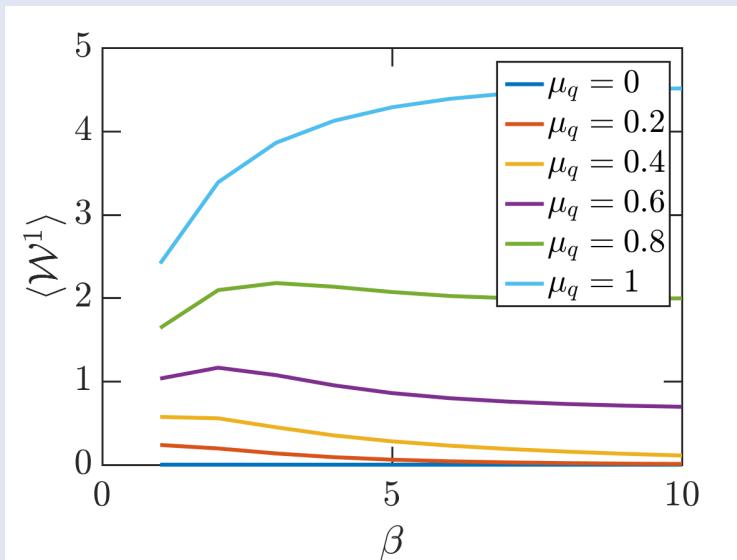
Calculations: Jonathan Coulthard [L=16, J=1,  $\mu=0.8$ ,  $\chi=100$ ]

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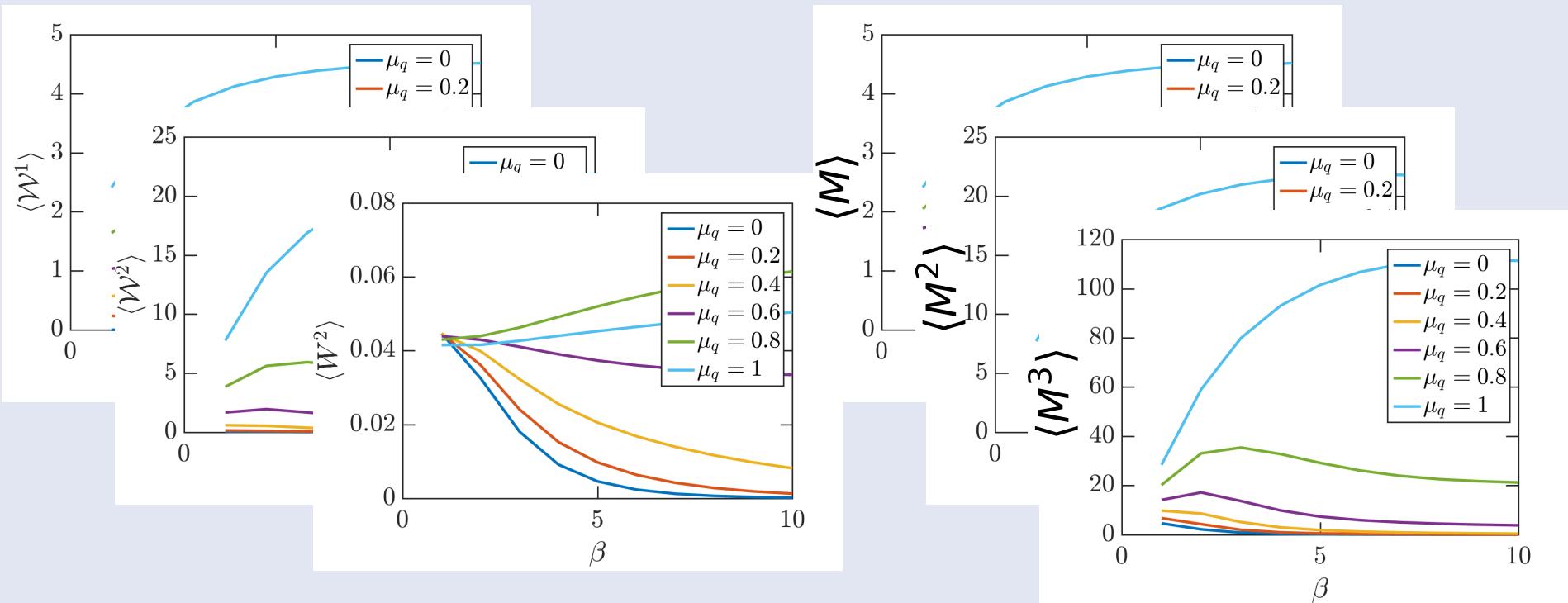
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$$\langle W^2 \rangle = \beta^2 \langle H_{tJ}^2 - 2H_{tJ}(H_{0J} - \mu M) + (H_{0J} - \mu M)^2 \rangle$$



- Use extended quantum fluctuation relations to
  - Track formation and destruction of charges in quantum quenches
  - Detect pre-thermalized GGE states and parameters
  - Identify non-thermalized (conserved) degrees of freedom
  - Indirectly observe dynamically induced symmetry breaking
- References
  - T.H. Johnson *et al.*, Phys Rev. A **93**, 053619 (2016)
  - J. Coulthard *et al.*, Phys. Rev. B **96**, 085104 (2017)
  - J. Mur Petit, A. Relano, R. Molina, DJ, submitted.

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  - Dr Jaewoo Joo
  - Dr Berislav Buca



Jordi



Armando  
Relaño



Rafael  
Molina



- DPhil students
  - Jonathan Coulthard
  - Anastasia Dietrich
  - Paolo Rosson
  - Joe Tindall
  - Hongmin Gao



Jonathan

