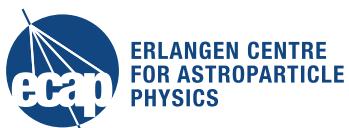


# KM3NeT/Super-ORCA: Measuring the leptonic CP-phase with atmospheric neutrinos – a feasibility study

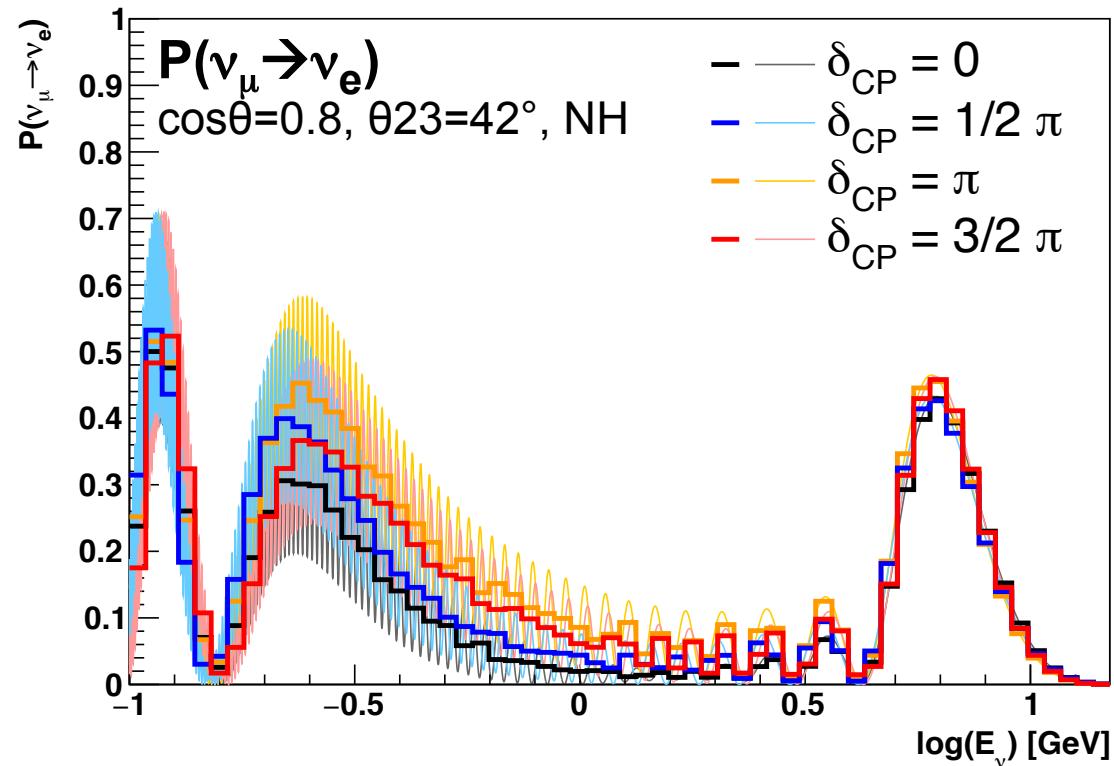
Jannik Hofstädt  
PANE, Trieste, 31.05.2018



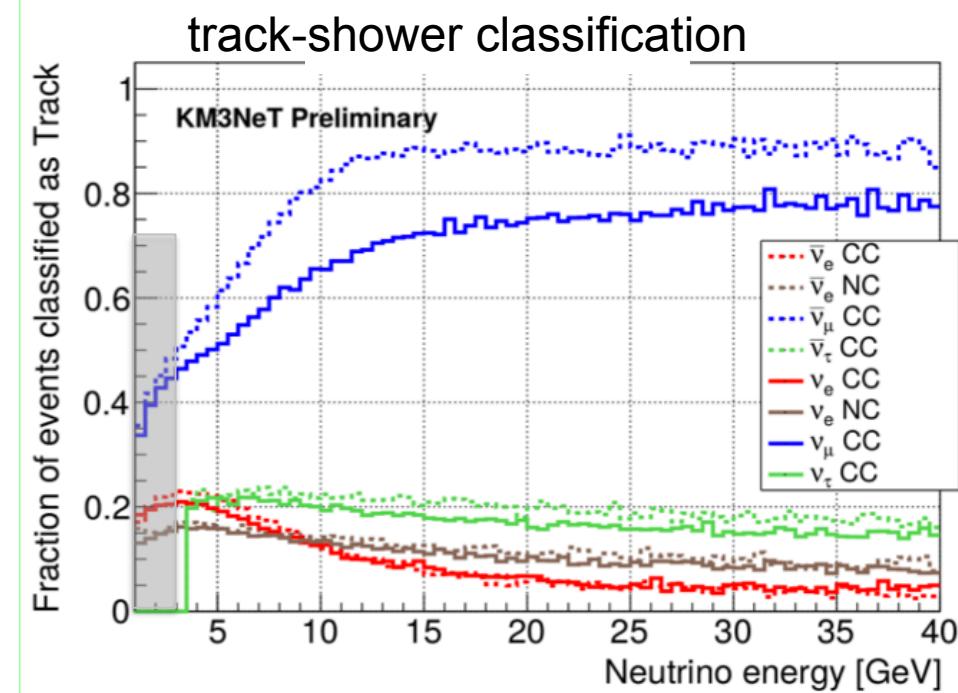
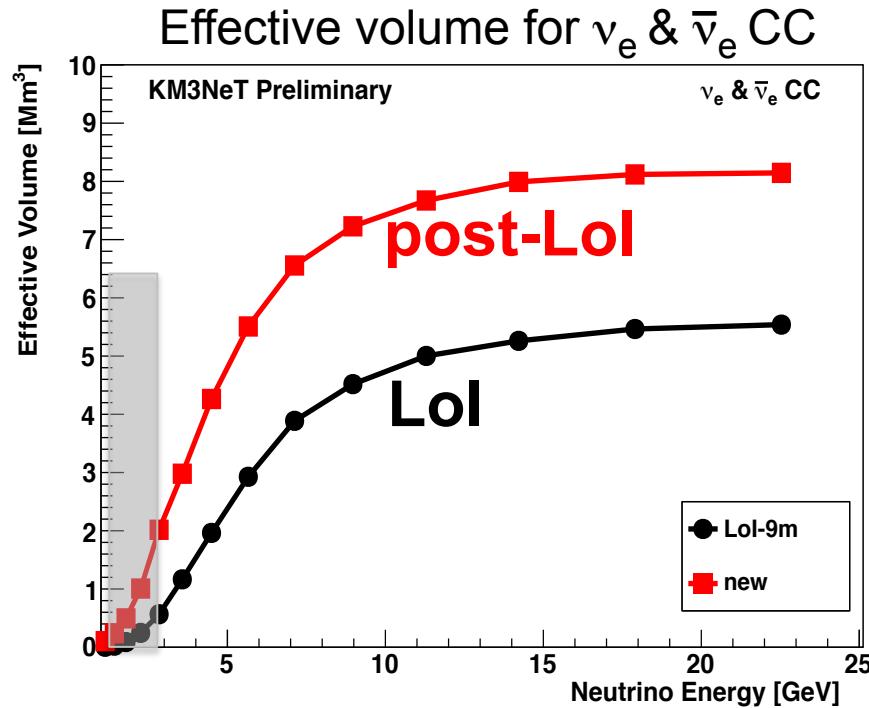
Gefördert durch  
**DFG** Deutsche  
Forschungsgemeinschaft

# Motivation

- Use atmospheric neutrinos to measure  $\delta_{CP}$   
phenomenology → previous talk by S. Razzaque
- $\delta_{CP}$  sensitivity  $<\sim 2\text{GeV}$
- Good  $\nu_e / \nu_\mu$  separation crucial !

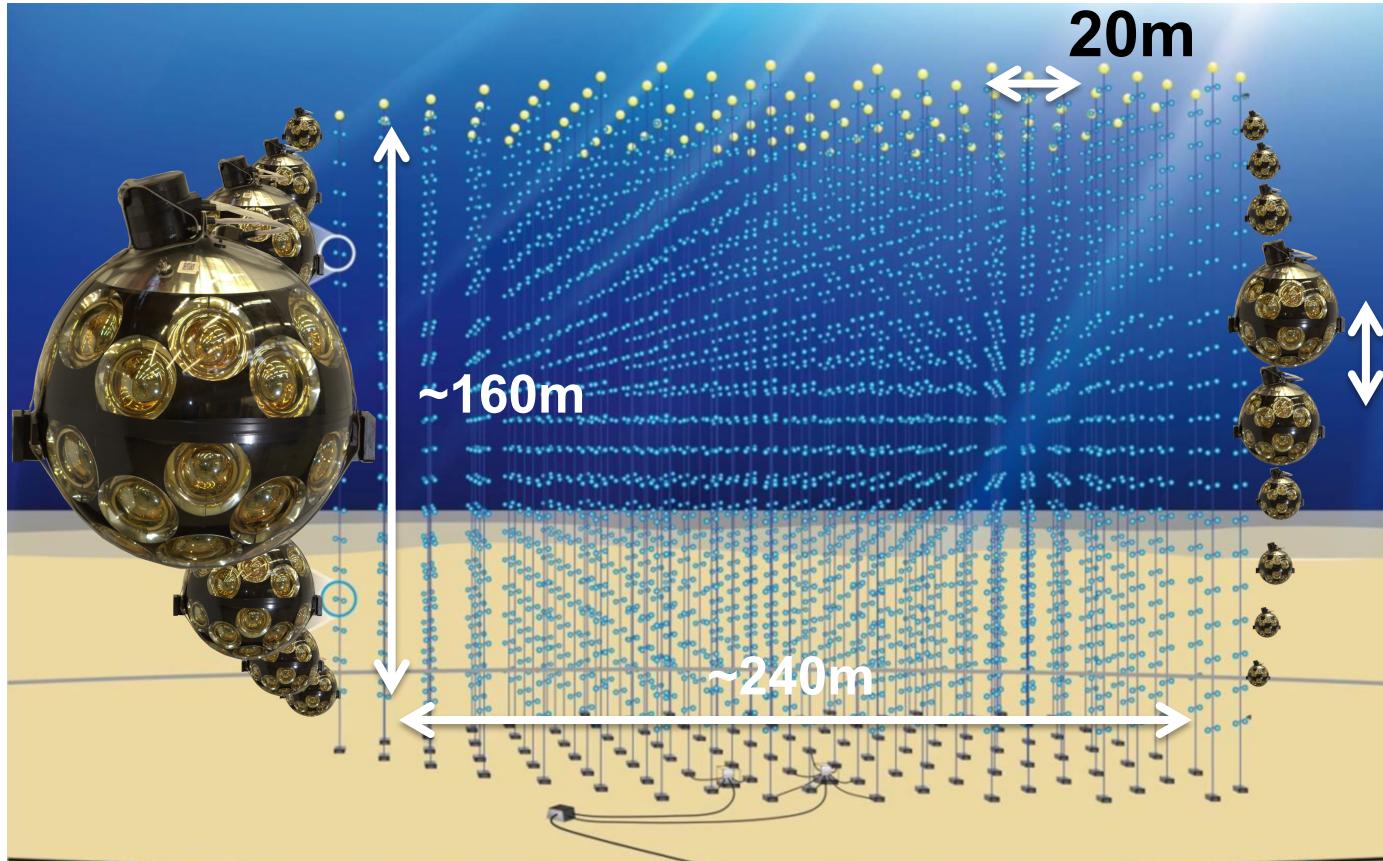


- ORCA: detection threshold @  $\sim 2\text{GeV}$  and insufficient PID below  $\sim 5\text{GeV}$



- $\delta_{CP}$  measurement requires lower detection threshold and better  $\nu_e$  /  $\nu_\mu$  separation capabilities  $\rightarrow$  denser detector
- **Q: Which instrumentation density is required?**
- **A:  $\sim 5\text{--}15\times$  denser than ORCA**

# ORCA detector layout



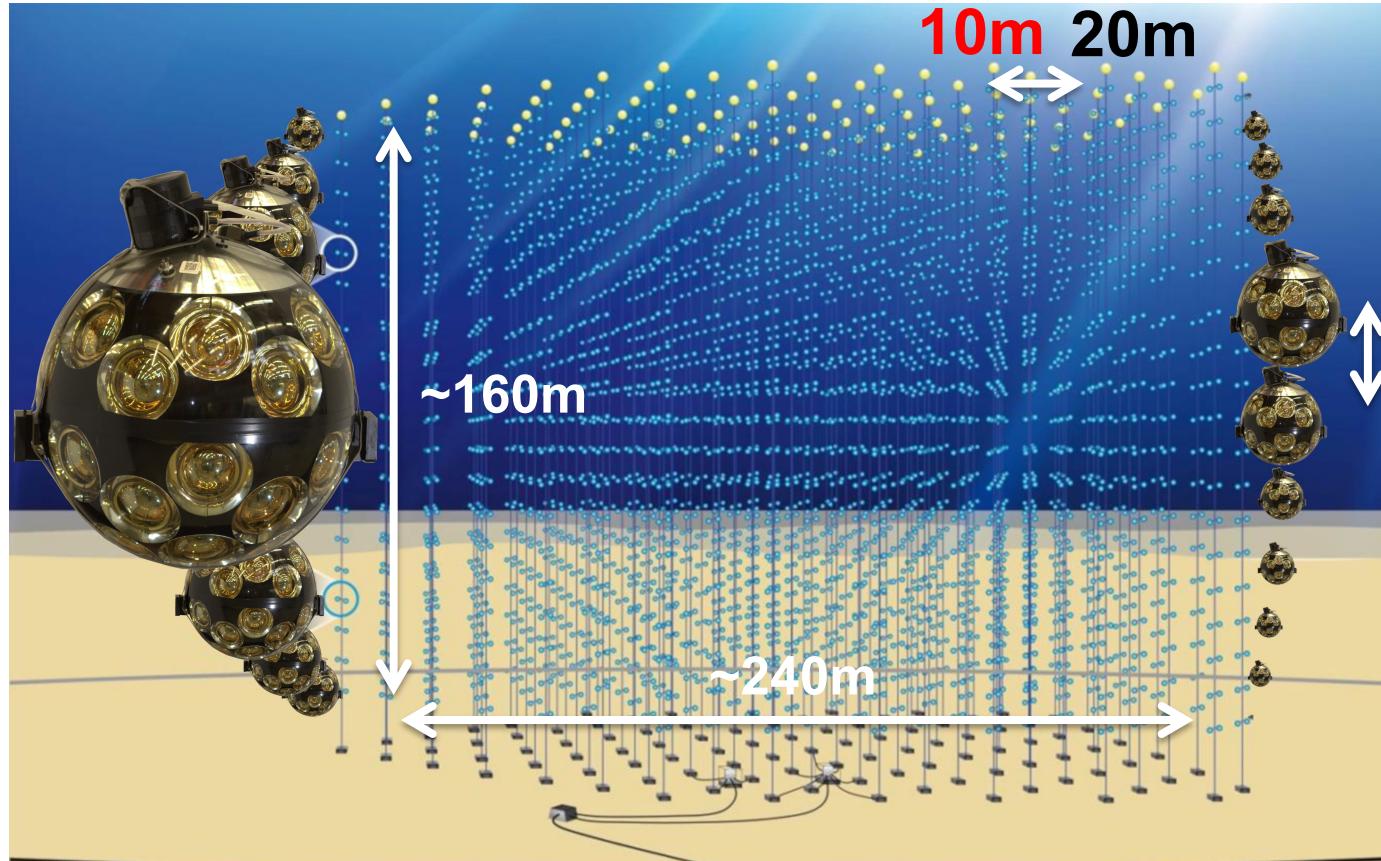
**ORCA**

**9m**

**115 strings**

instrumented  
volume:  $\sim 8\text{Mton}$

# Possible Super-ORCA detector layout



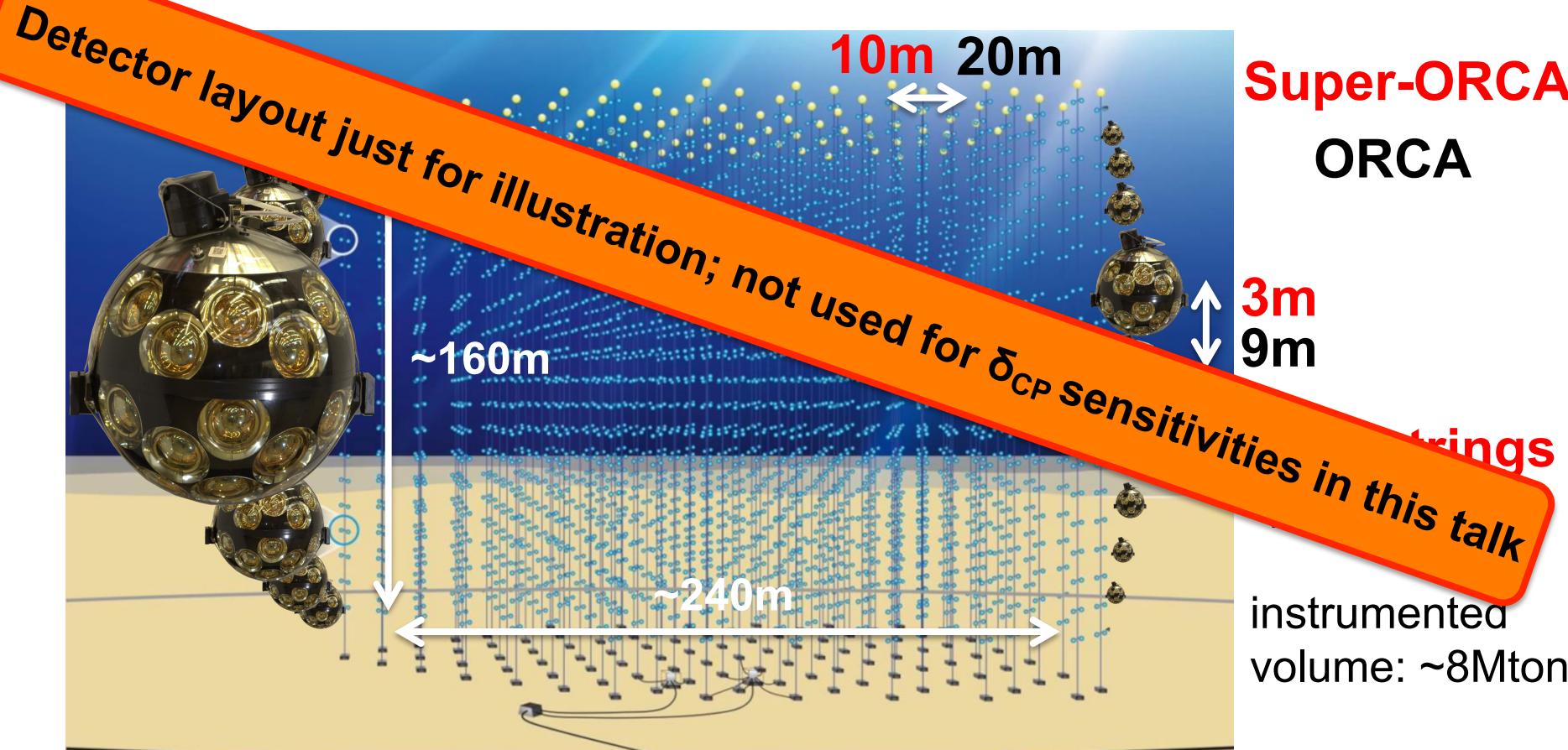
**Super-ORCA**  
**ORCA**

**3m**  
**9m**  
**~500 strings**  
**115 strings**

instrumented  
volume: ~8Mton

- Similar detector volume and 10x denser than ORCA:  $\sim 100$  3" PMT/Mton  
→ **~100 detected photons per GeV**
- For comparison:  $\sim 1\%$  of SK's photo effective area / detector volume

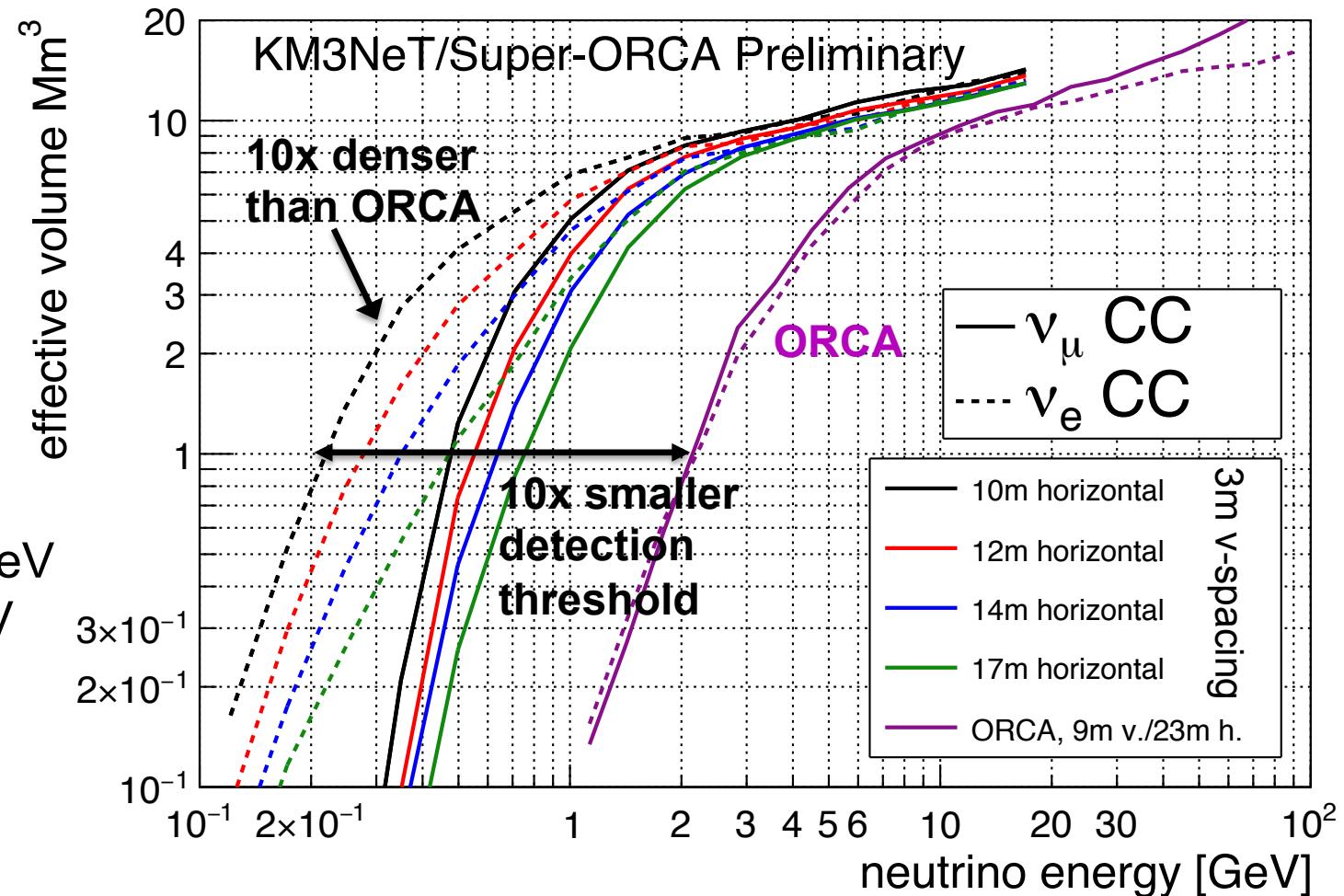
# Possible Super-ORCA detector layout



- Similar detector volume and 10x denser than ORCA:  $\sim 100$  3" PMT/Mton  
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- For comparison:  $\sim 1\%$  of SK's photo effective area / detector volume

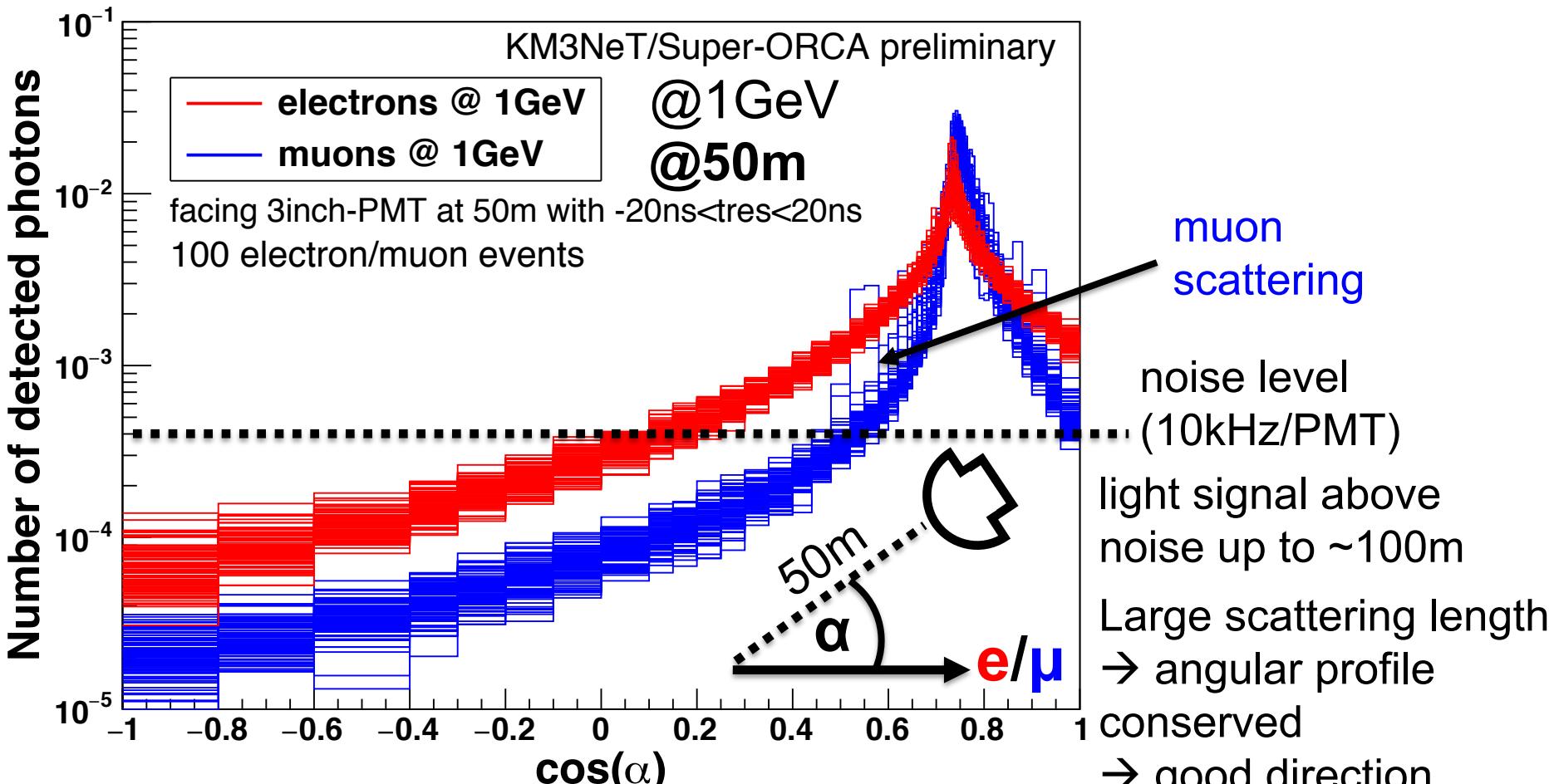
# Super-ORCA: effective volume @ trigger level

- Simulation of different Super-ORCA detector geometries (4-10x denser)
- 3m vertical inter-DOM spacing and 10/**12/14/17**m inter-string spacing



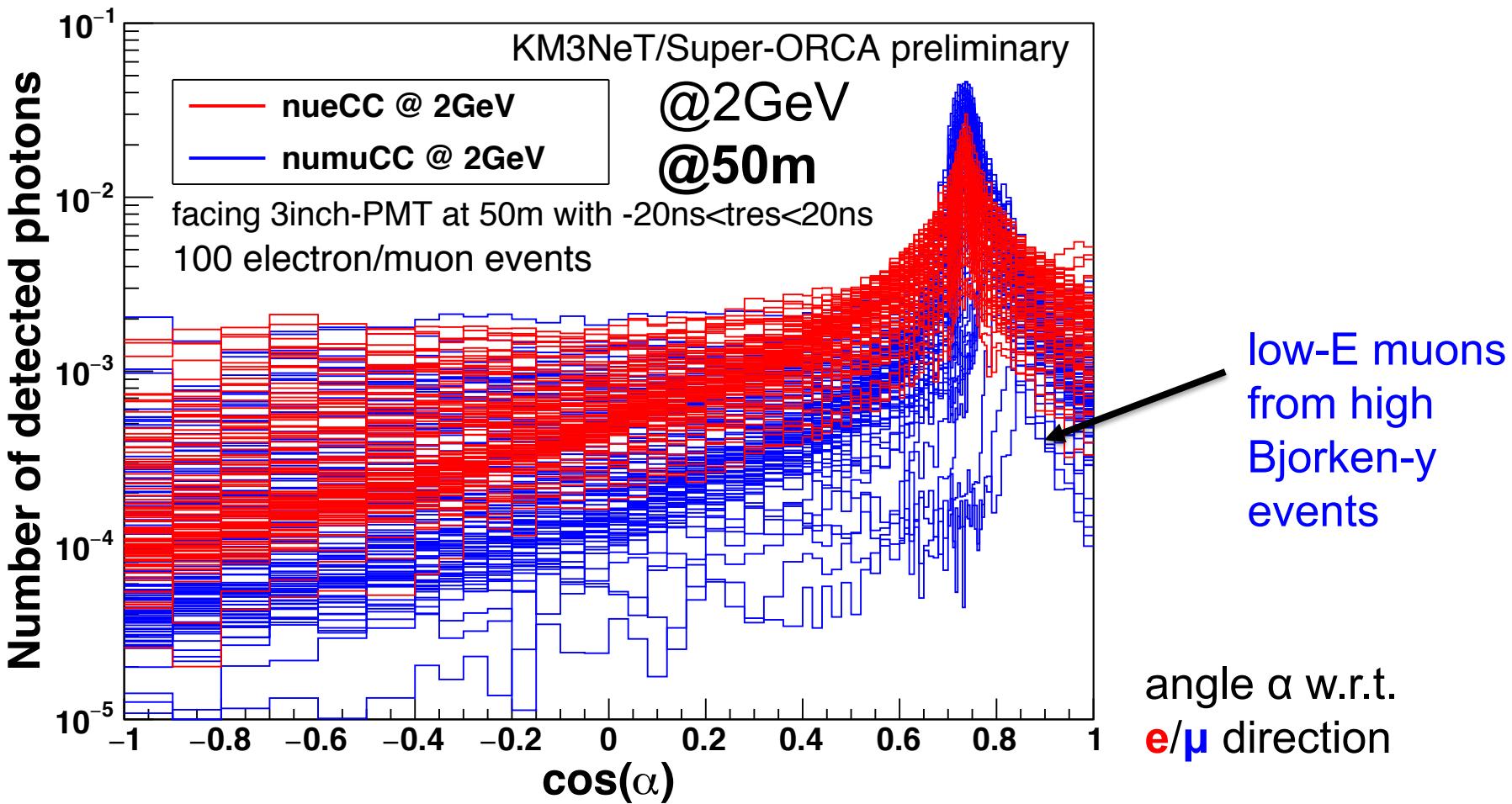
# PID: electron vs muon separation

- PID @ ORCA: muon track length → not useful @1GeV (4m track length)
- → strategy a-la Super-K: angular profile of  $e/\mu$  cone



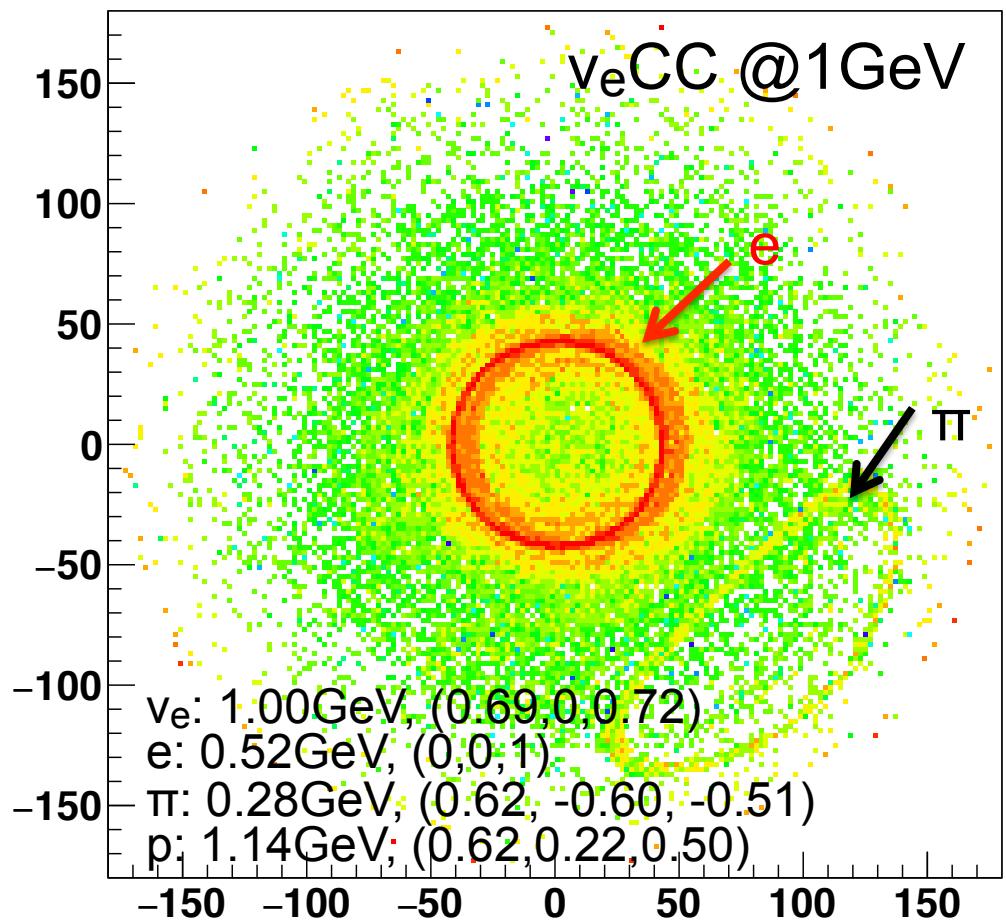
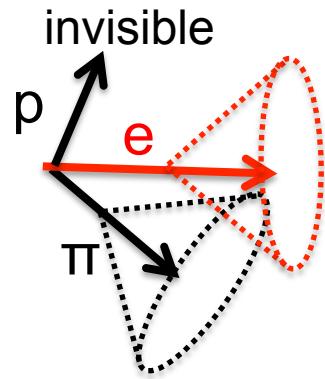
# PID: nueCC vs numuCC

- Full  $\nu_e$  /  $\nu_\mu$  events; some events with energetic hadrons



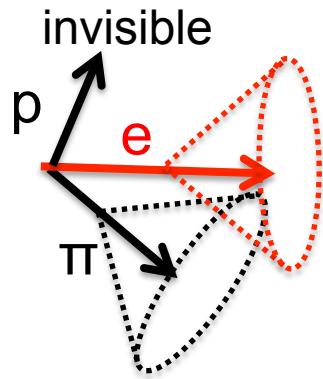
# Cherenkov light signature

- Note: 3D event signature in detector → cone

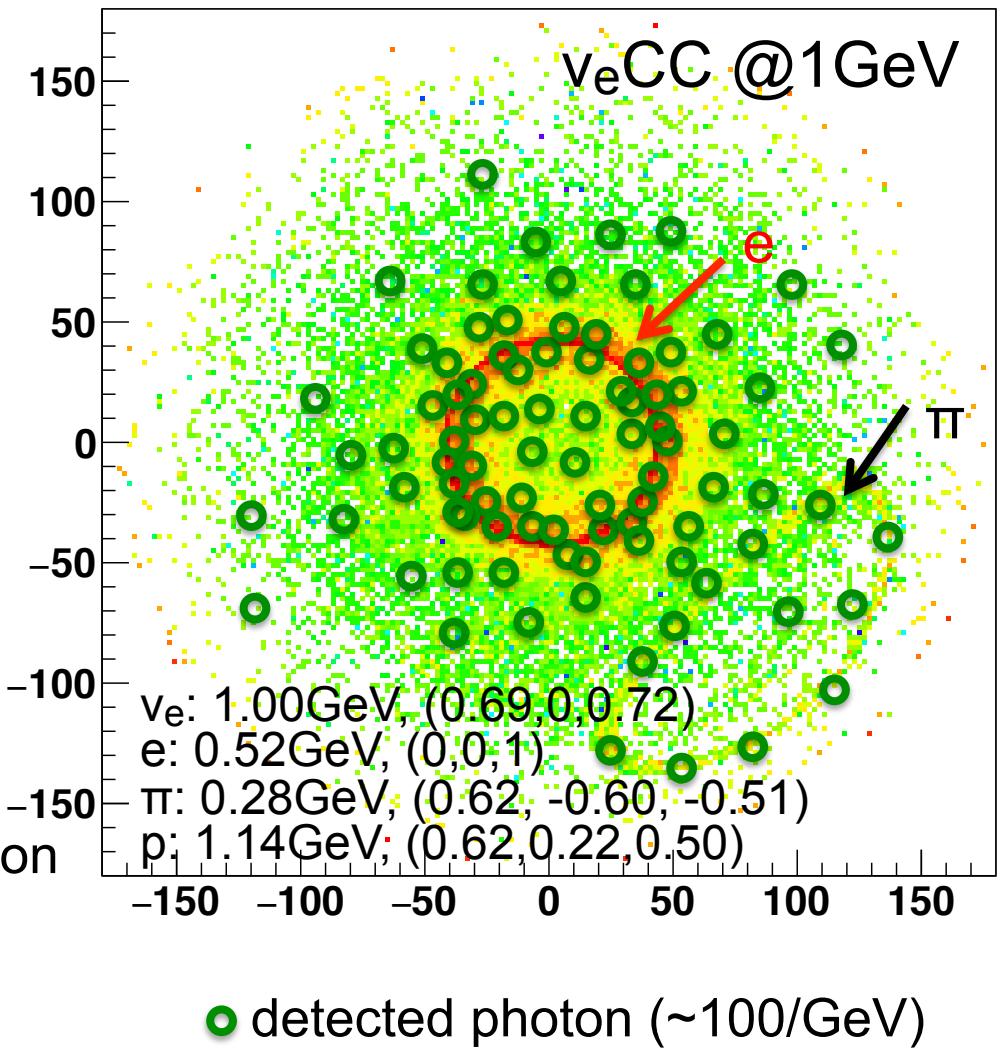


# Cherenkov light signature

- Note: 3D event signature in detector → cone



- ‘faint’ particles might not be identified as individual cones
- → challenging for reconstruction
- → source for systematics



# Simplified detector response

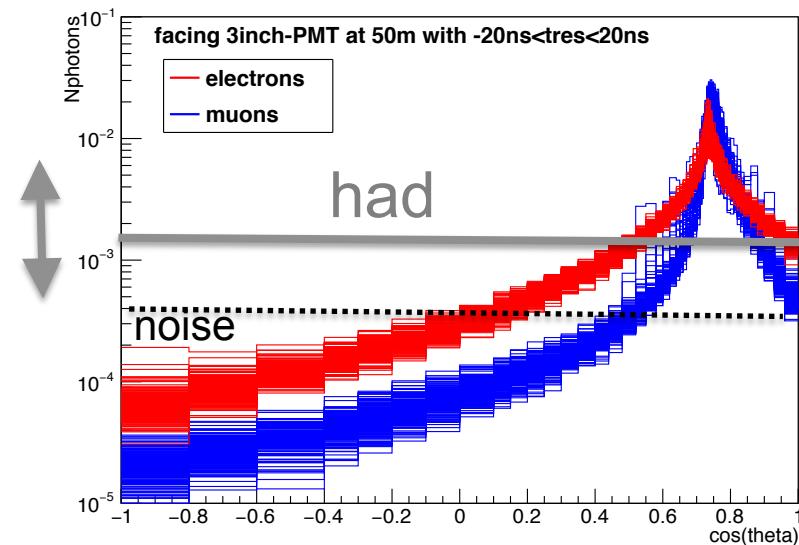
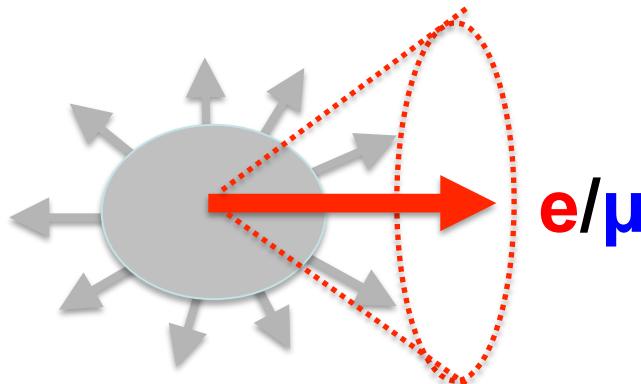
- **No full detector simulation used for sensitivity calculations in this talk**
  - **Idealised detector response:**
    - assume **homogeneous** detector with infinitely small PMTs with **isotropic** orientations, i.e. no ‘clumpiness’ of PMTs in DOMs, and
    - infinitely large detector** → fully contained events, i.e. no edge effects
- Detector response only depends on assumed instrumentation density

In the following: **10x denser than ORCA & 4Mton fiducial volume**  
(8Mton instrumented & 50% fiducializing)

- Neutrino event generation: GENIE 2.10.2
- Particle tracking: Geant
- Photon tracking with up-to-date model of optical water properties
- Realistic optical background in the deep sea (10kHz/PMT)

# Reconstruction

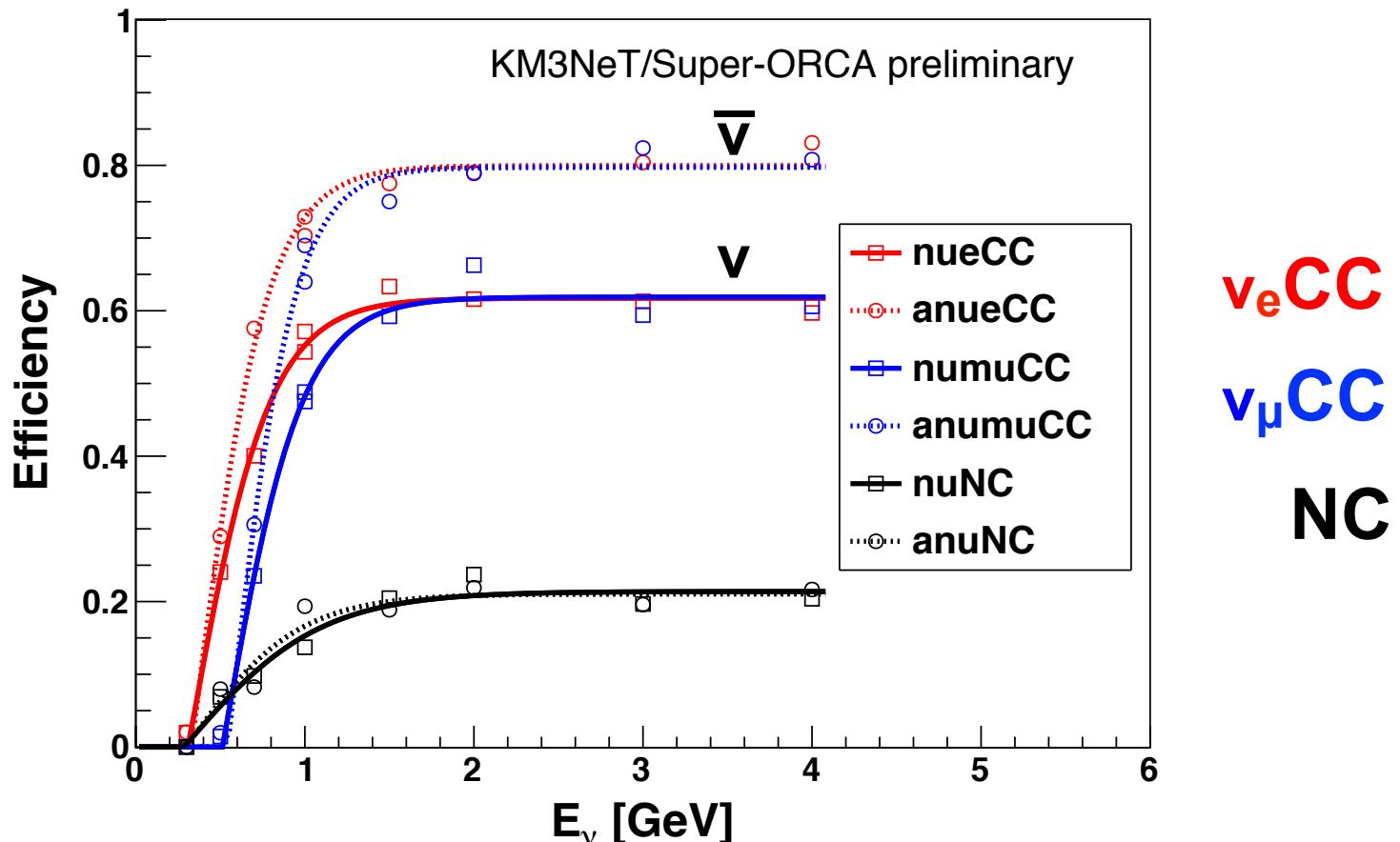
- Full likelihood reconstruction for idealised detector strategy: cone from  $e/\mu$  + isotropic light from hadronic shower



- Simple event selection based on reco variables
- Main  $e/\mu$  separation power from:  $\text{LLH}(e) - \text{LLH}(\mu)$

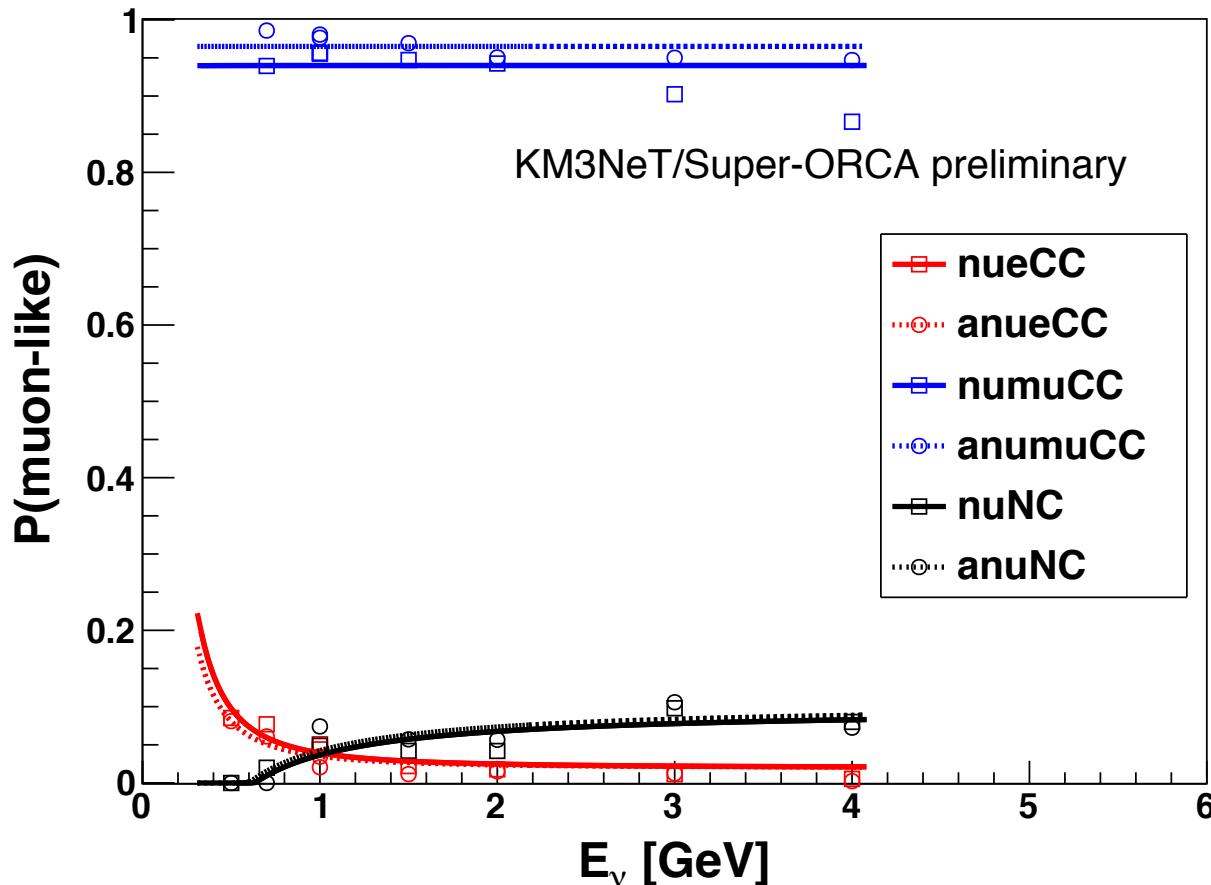
# Efficiency

- Efficiency and not effective volume, as infinitely large detector assumed
- Efficiency  $\sim 0.8/0.6/0.2$  for  $\text{anuCC}/\text{nuCC}/\text{NC} \rightarrow M_{\text{eff}} = 0.8/0.6/0.2 * 4\text{Mton}$
- Nearly plateau reached @ 1GeV 4Mton fiducial volume



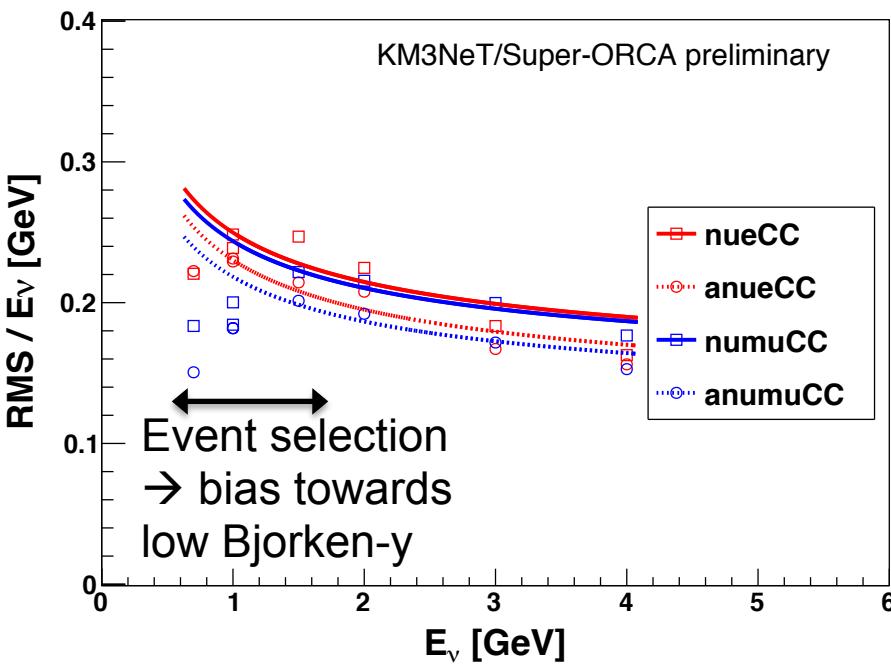
# Particle identification

- ~95% purity @  $E_\nu=1\text{GeV}$ , because:
  - many QE events without ‘confusion’ with light from hadrons
  - event selection favours clean e/μ events

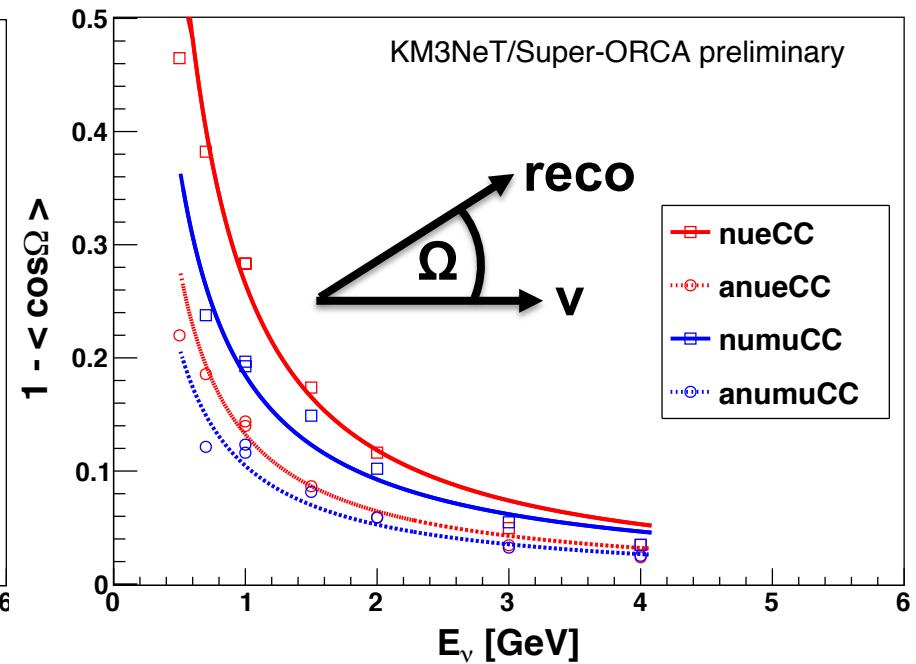


# Energy and direction resolution

## Energy resolution



## Direction resolution



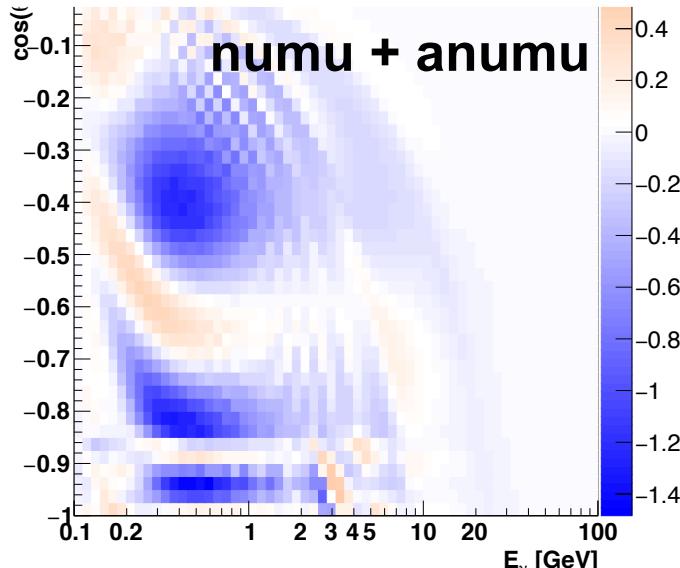
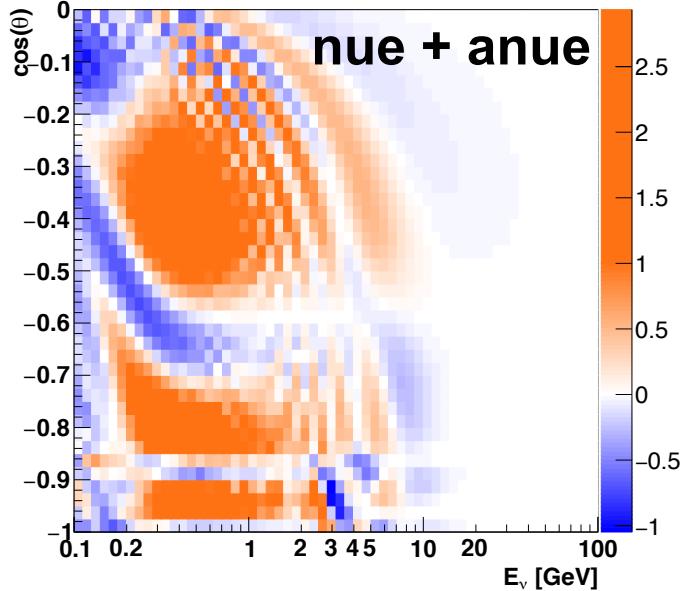
- RMS/ $E_\nu$  ~ 20–25% @ 1GeV
- dominated by intrinsic light yield fluctuations
-  JHEP 05, 008 (2017)

- $\langle \cos\Omega \rangle \sim 0.8\text{--}0.9$  @ 1GeV  
→  $\Omega \sim 30^\circ$  @ 1GeV
- dominated by intrinsic  $\nu$ -lepton scattering angle
- resolution on lepton direction:  
few degree

# Distinguishability

for 4Mton fiducial volume

**nue + anue**

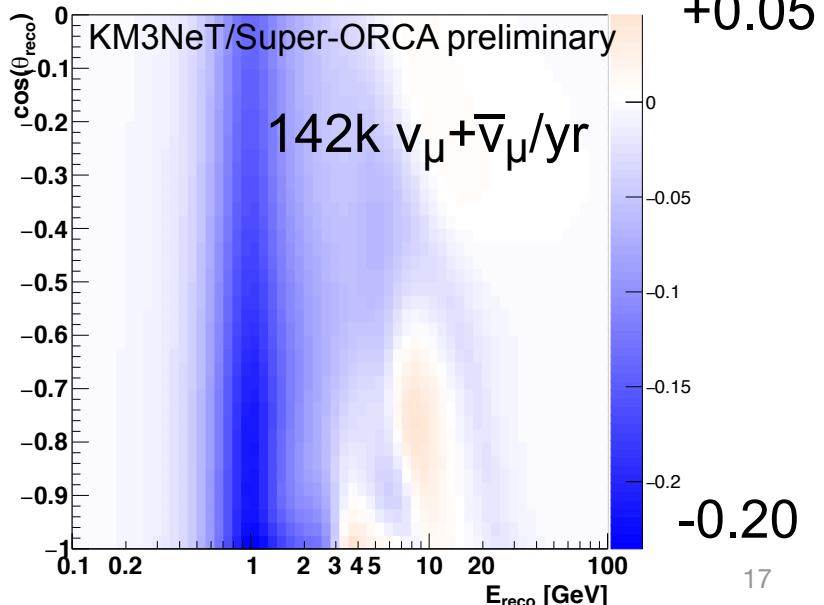
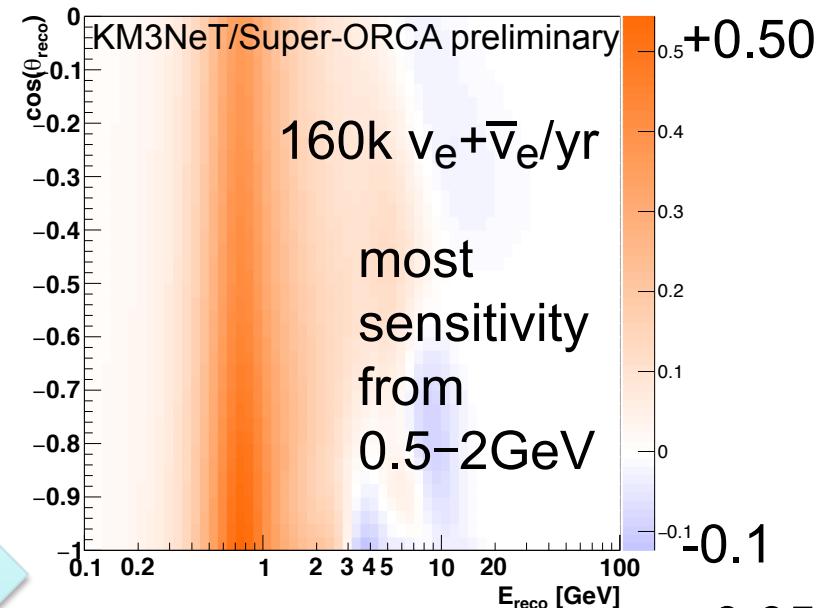


$$(N_{\delta=\pi} - N_{\delta=0}) / \sqrt{N_{\delta=0}}$$



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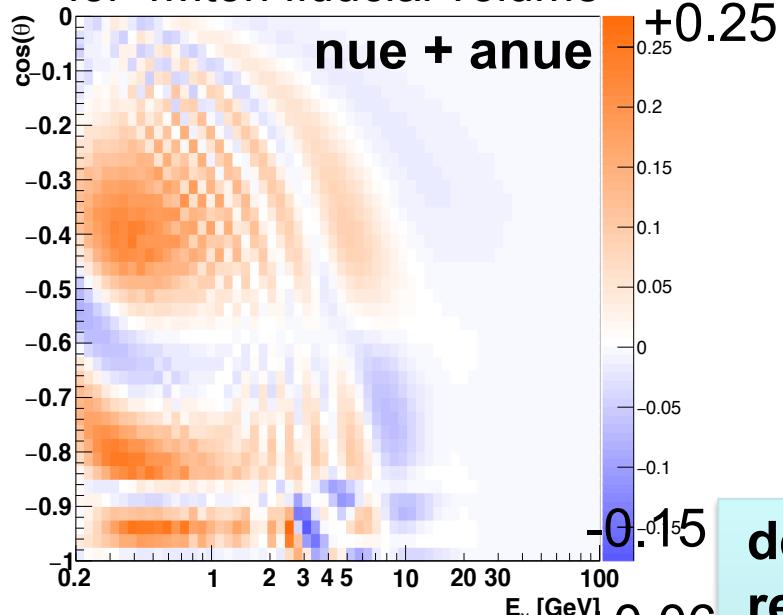
detector  
response



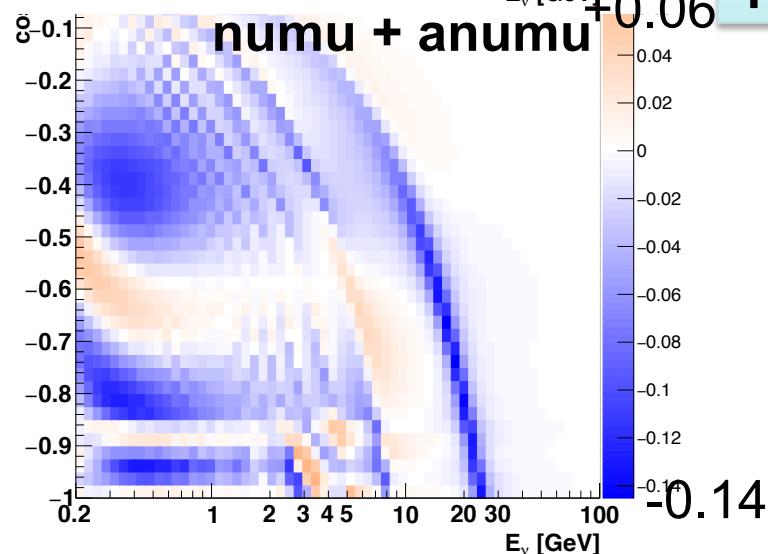
# Relative differences $(N_{\delta=\pi} - N_{\delta=0}) / N_{\delta=0}$

for 4Mton fiducial volume

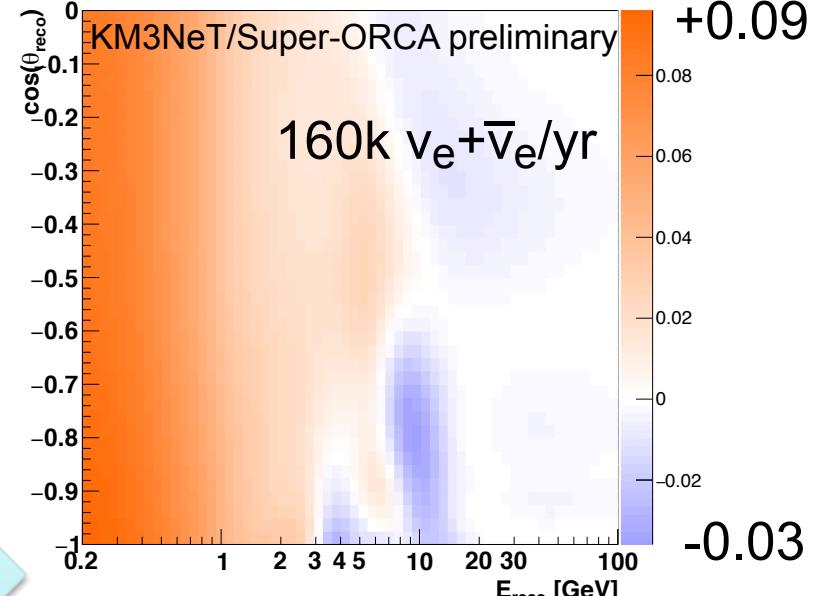
**nue + anue**



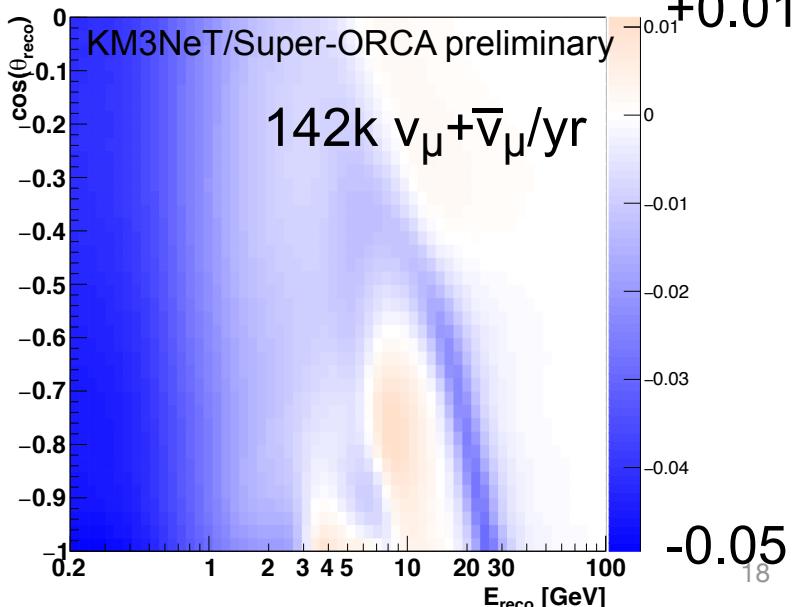
**numu + anumu**



**detector  
response**



**160k ν<sub>e</sub>+̄ν<sub>e</sub>/yr**



# $\delta_{CP}$ sensitivity

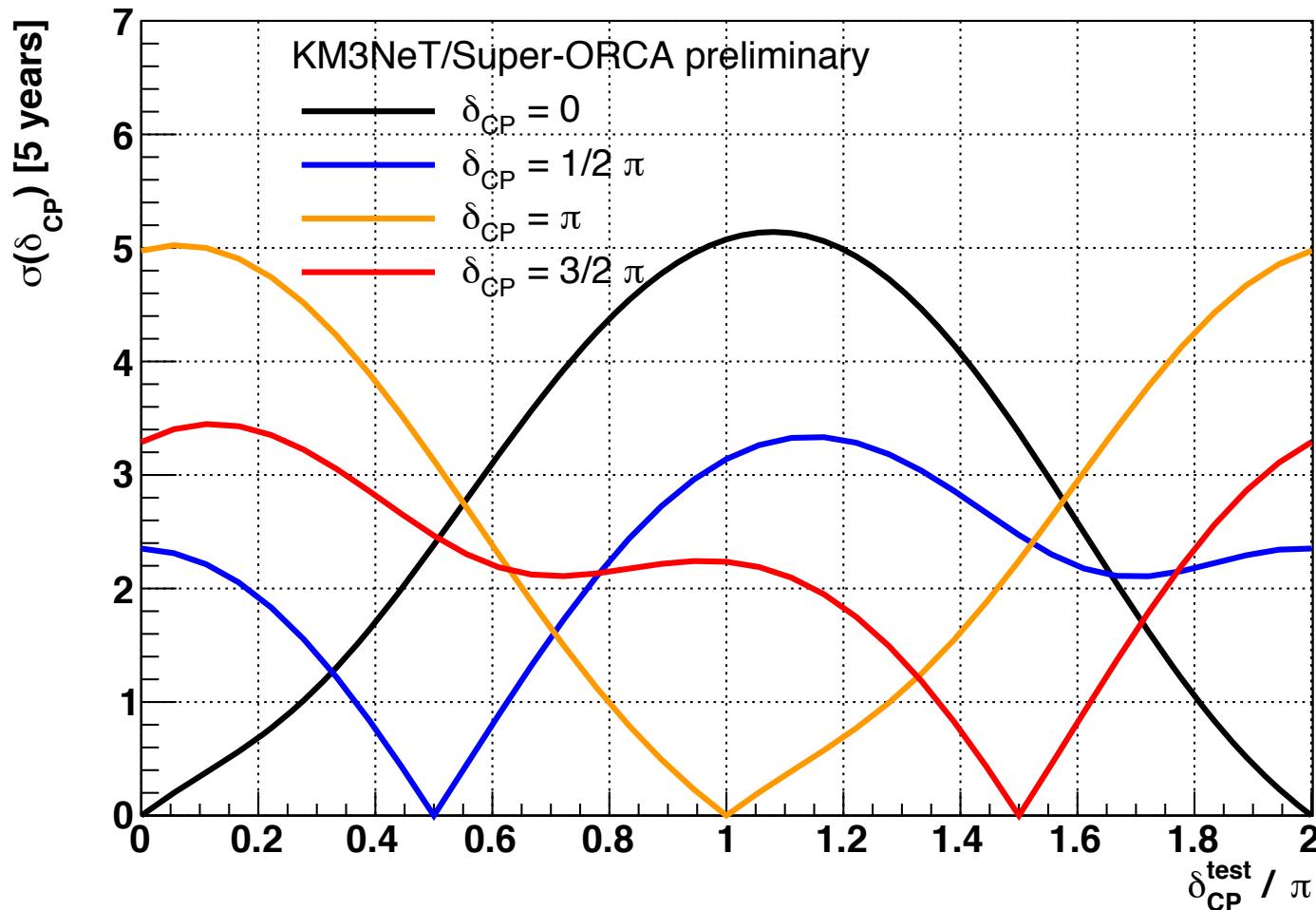
10x denser than ORCA

NH known

$\theta_{23} = 42^\circ$

4Mton\*5yr

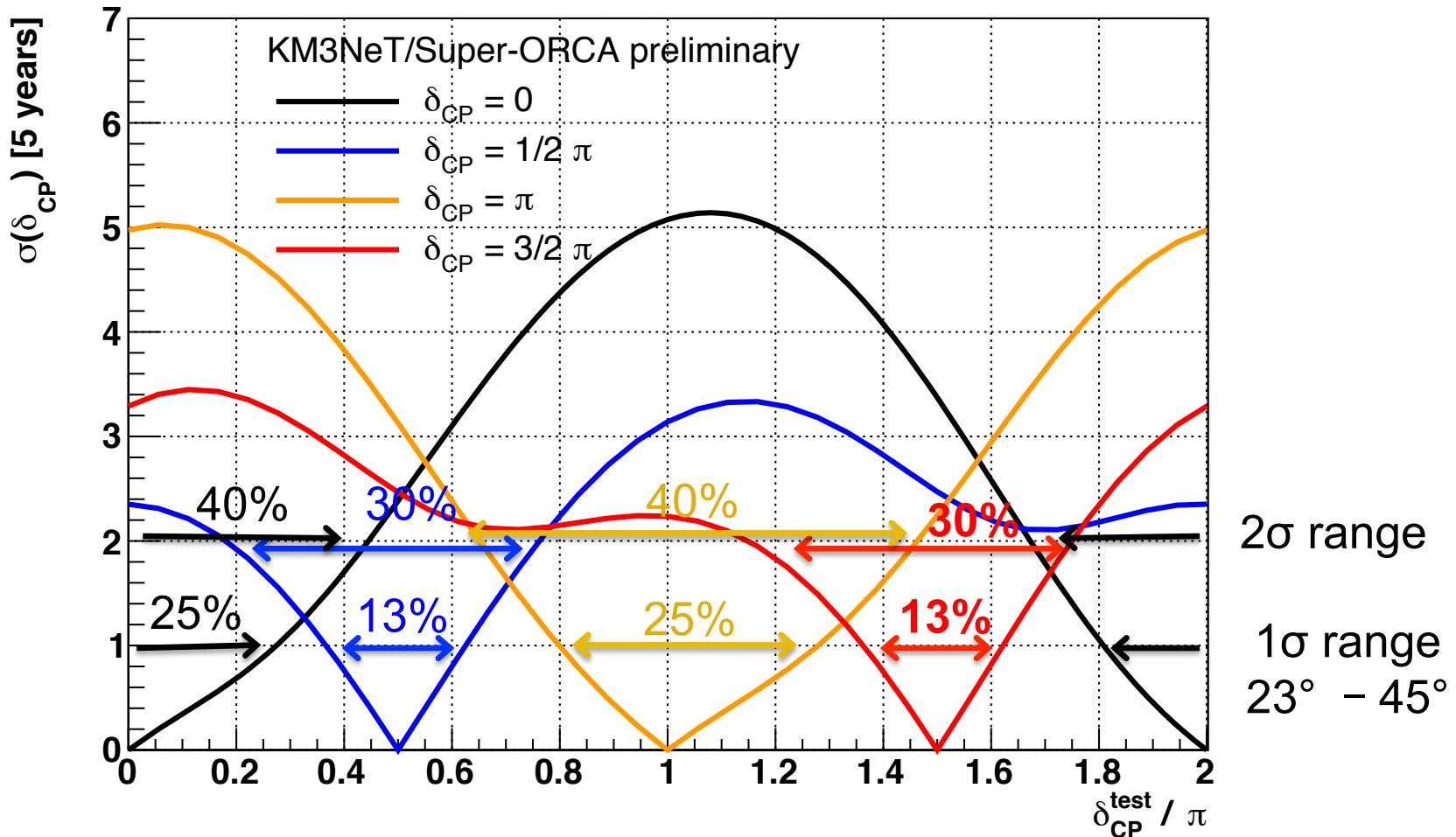
- Method:  $\chi^2$  minimisation assuming a test  $\delta_{CP}$  value and simultaneously fitting oscillation and nuisance parameters



# $\delta_{CP}$ sensitivity

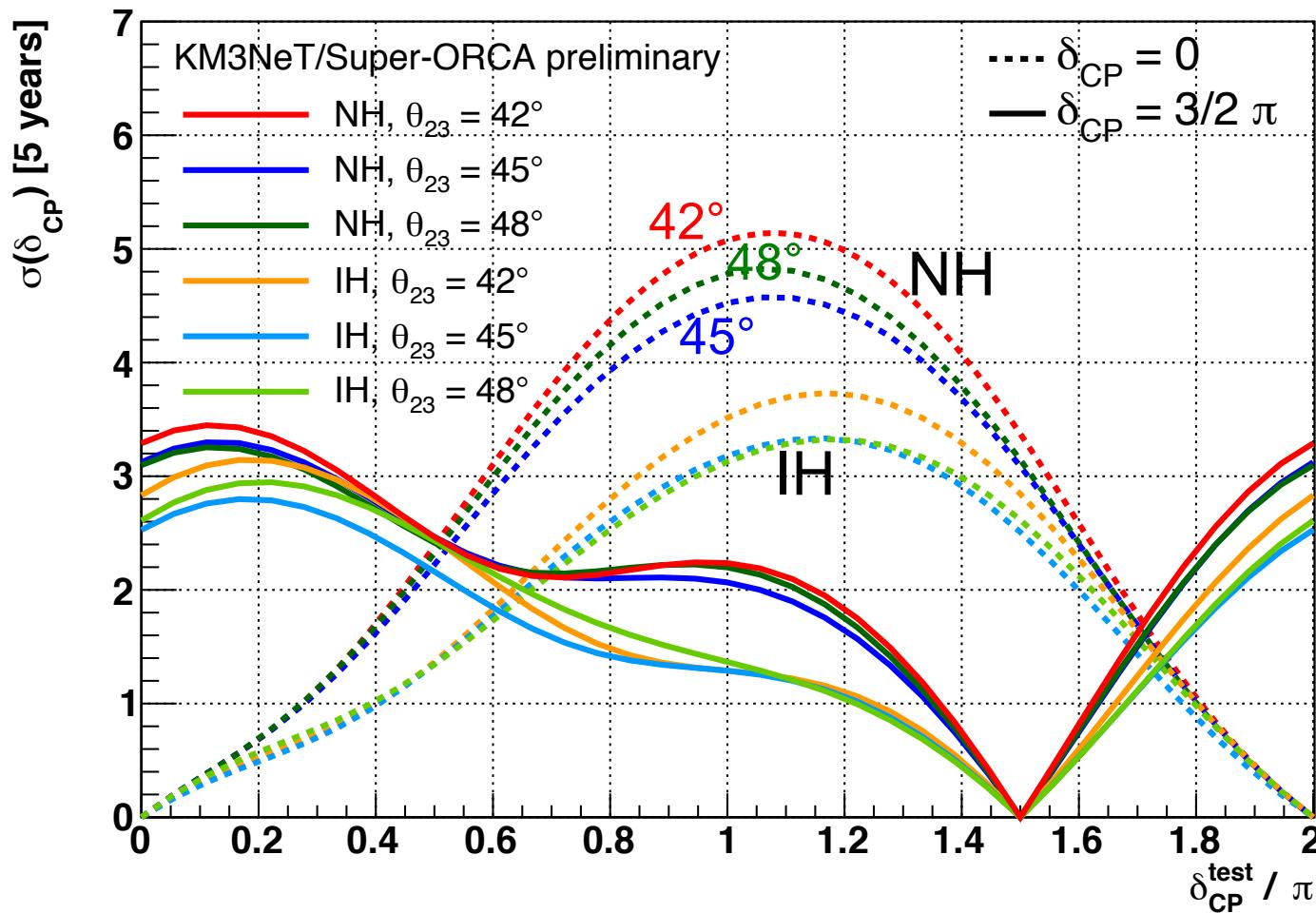
10x denser than ORCA  
NH known  
 $\theta_{23}=42^\circ$   
4Mton\*5yr

- Maximal distinguishability between  $\delta_{CP}=0$  and  $\delta_{CP}=\pi$  with  $5\sigma$
- 60% (70%) disfavoured with  $\geq 2\sigma$  for  $\delta_{CP}=0, \pi$  ( $\delta_{CP}=\pi/2, 3/2\pi$ )



# IH vs NH and different $\theta_{23}$

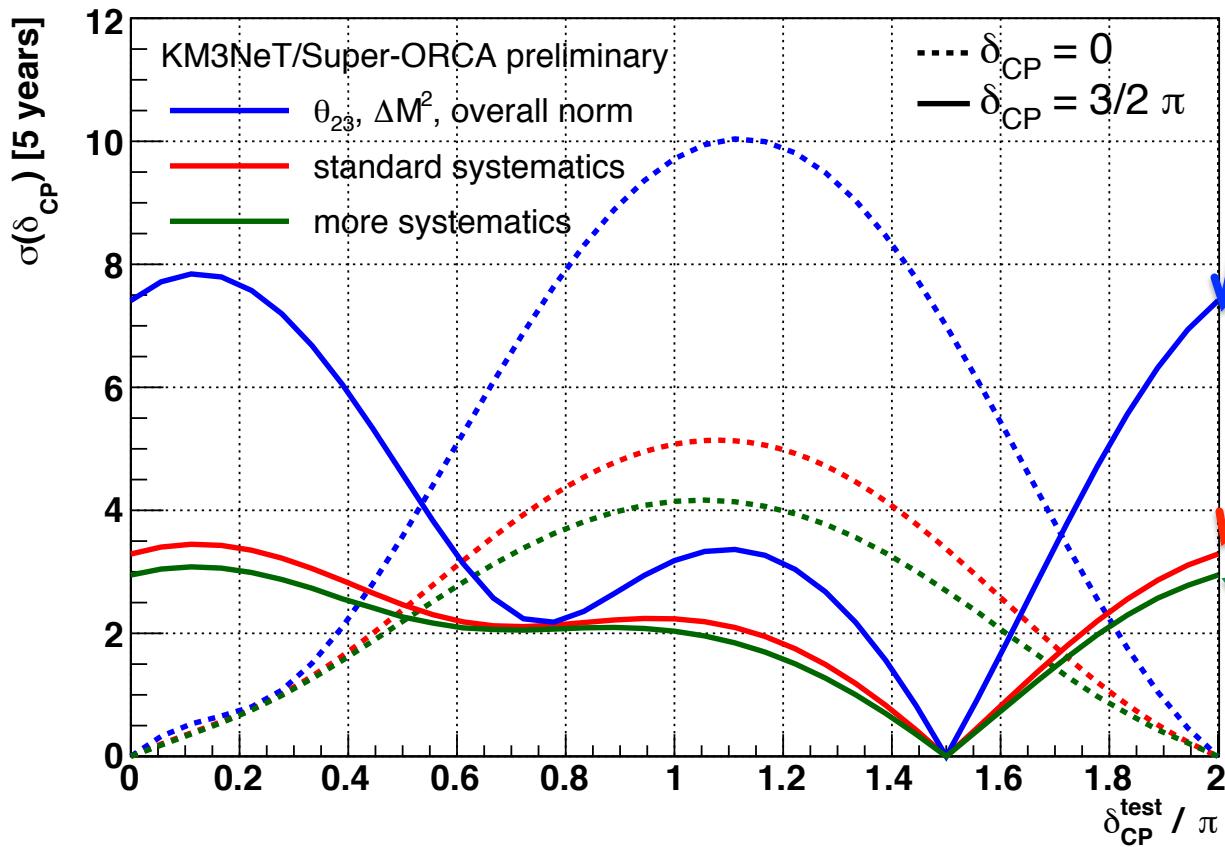
10x denser than ORCA  
4Mton\*5yr



- Weak dependence on  $\theta_{23}$
- IH needs larger exposure than NH for comparable significance

# Systematics

10x denser than ORCA  
 NH known &  $\theta_{23}=42^\circ$   
 4Mton\*5yr

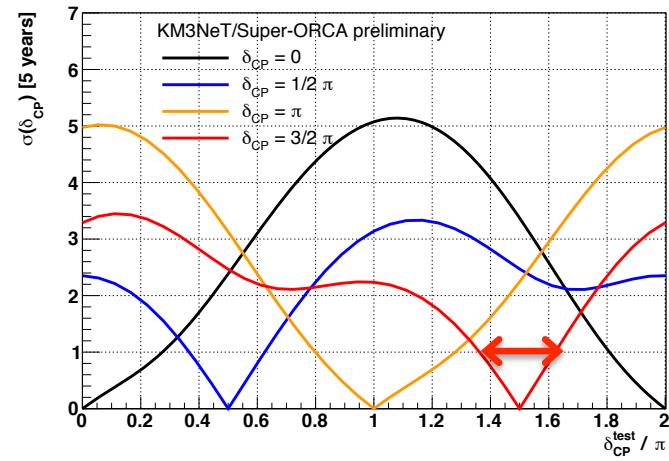


parameter	
$\theta_{23}$ [°]	fixed
$\theta_{13}$ [°]	fixed
$\theta_{12}$ [°]	fixed
$\Delta M^2$ [ $10^{-3}$ eV <sup>2</sup> ]	fixed
$\Delta m^2$ [ $10^{-5}$ eV <sup>2</sup> ]	fixed
mass ordering	mass ordering
overall norm	overall norm
CC/NC skew	CC/NC skew
$\bar{\nu}/\nu$ skew	$\bar{\nu}/\nu$ skew
$\mu/e$ skew	$\mu/e$ skew
spectral index tilt	spectral index tilt
up/hor skew $\propto \cos \theta$	up/hor skew $\propto \cos \theta$
up/hor skew $\propto \cos^2 \theta$	up/hor skew $\propto \cos^2 \theta$
energy scale overall	energy scale overall
Escale $\nu/\bar{\nu}$ skew	Escale $\nu/\bar{\nu}$ skew
Escale $\bar{\nu}_e/\bar{\nu}_\mu$ skew	Escale $\bar{\nu}_e/\bar{\nu}_\mu$ skew
Escale $\bar{\nu}_{e,\mu}/\bar{\nu}_\tau$ skew	Escale $\bar{\nu}_{e,\mu}/\bar{\nu}_\tau$ skew
Escale CC/NC skew	Escale CC/NC skew
Escale up/hor skew	Escale up/hor skew

Remark: uncertainties in PID due to interaction  
 uncertainties in x-sec & kinematics not included

# Summary & Outlook

- Super-ORCA: ~10x more densely instrumented version of ORCA to measure  $\delta_{CP}$  with atmospheric neutrinos
- $\delta_{CP}$  sensitivity estimate based on idealised detector response assumptions:  
@ $1\sigma$ :  $23^\circ$  for  $\delta_{CP}=270^\circ$  after 5years
- Outlook:
  - ‘full’ detector simulation & reconstruction
  - PID uncertainties due to interaction xsec & kinematics uncertainties  
→ comparison : GENIE vs GiGUU vs NEUT vs NUWRO
  - improvements: multi-particle reconstruction (instead of e/mu + had)  
Michel electron tagging (first indications not very promising)
  - other possible physics: Earth tomography, proton decay?, ???



# BACKUP

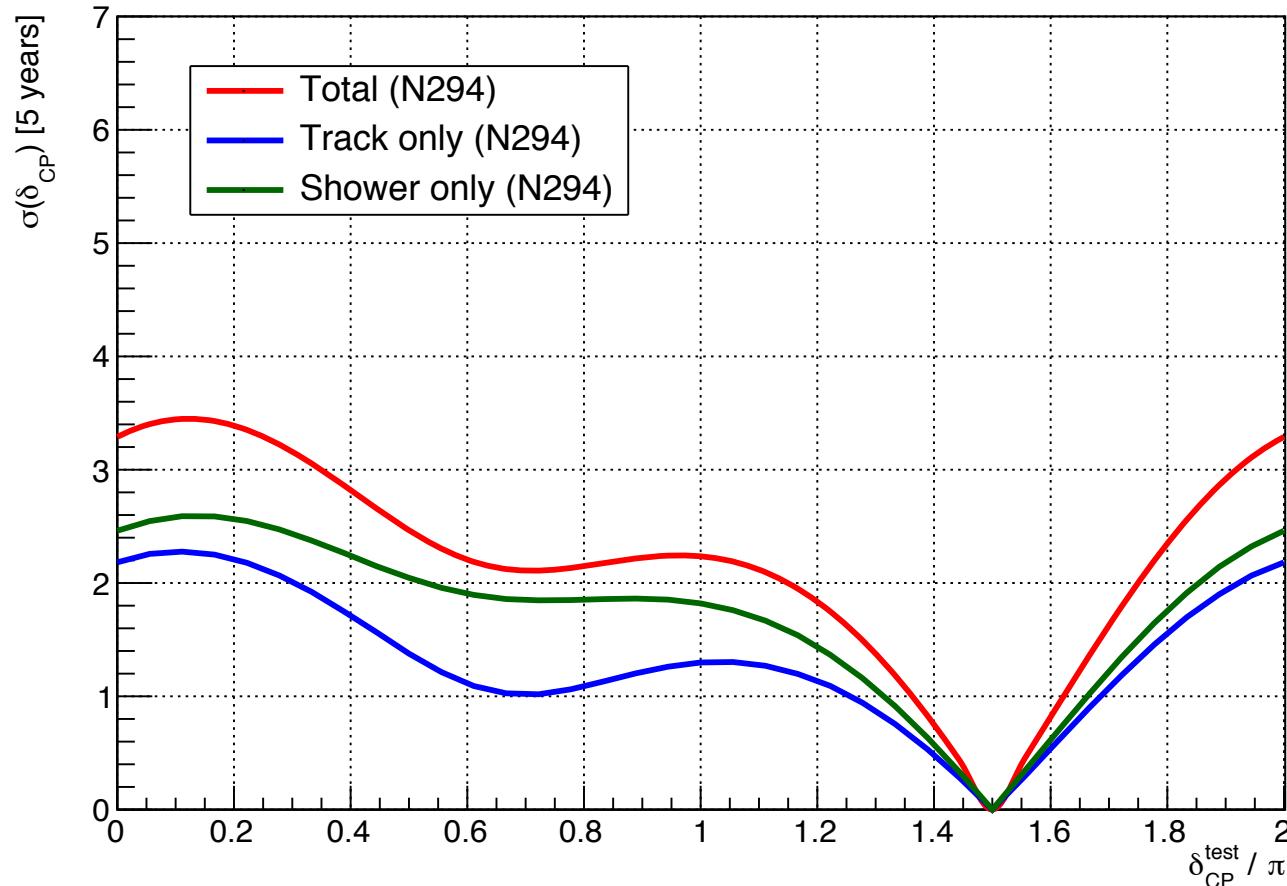
# Systematics

parameter	true [5]	prior
$\theta_{23}$ [°]	42	-
$\theta_{13}$ [°]	8.8	fixed
$\theta_{12}$ [°]	33.7	fixed
$\Delta M^2$ [ $10^{-3}$ eV $^2$ ]	2.43	-
$\Delta m^2$ [ $10^{-5}$ eV $^2$ ]	7.54	fixed
mass ordering	NO	fixed
overall norm	1	-
CC/NC skew	1	-
$\bar{\nu}/\nu$ skew	0	-
$\mu/e$ skew	0	-
spectral index tilt	0	-
up/hor skew $\propto \cos \theta$	0	-
up/hor skew $\propto \cos^2 \theta$	0	-
energy scale overall	1	0.03
Escale $\nu/\bar{\nu}$ skew	0	0.03
Escale $\langle\bar{\nu}_e\rangle/\langle\bar{\nu}_\mu\rangle$ skew	0	0.03
Escale $\langle\bar{\nu}_{e,\mu}\rangle/\langle\bar{\nu}_\tau\rangle$ skew	0	0.05
Escale CC/NC skew	0	0.05
Escale up/hor skew	0	0.03

# Importance of muon-like and electron-like events

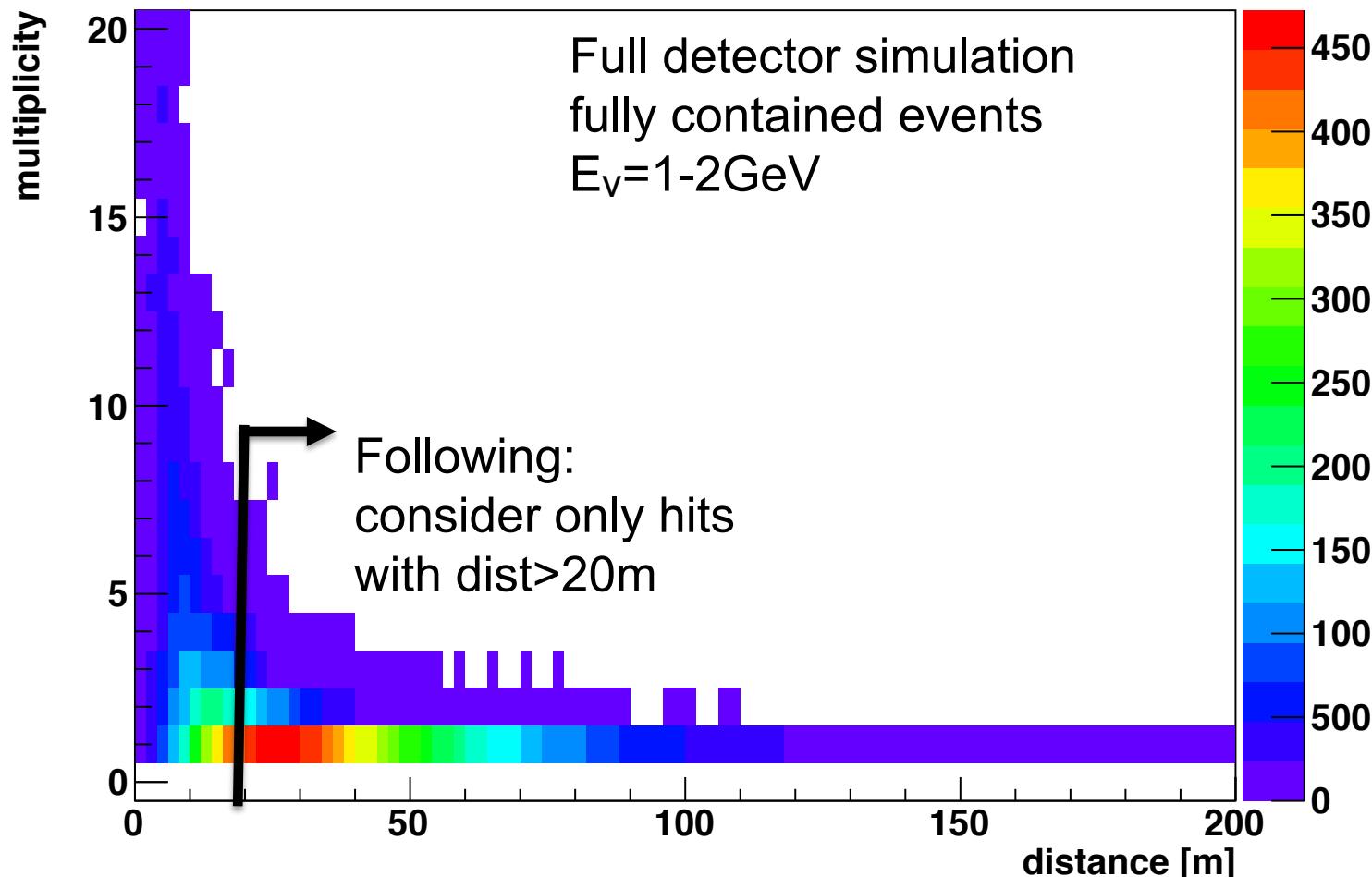
- Electron-like events are more important
- 'tracks' = muon-like events & 'shower' = electron-like events

Super ORCA, 4Mton, NMH = NH,  $\theta_{23} = 42^\circ$ ,  $\delta_{CP} = 3/2 \pi$



# Hit multiplicity vs distance (full simulation)

- Realism of assumed idealised detector response?  
→ ignoring ‘clumpiness’ of PMTs in DOMs



# Reconstruction considerations

- Likelihood calculation

$$\begin{aligned}
 LLH &= \sum_{allPMTs} P^i = \sum_{hitPMTs} P_{hit}^i + \sum_{no-hitPMTs} P_{no-hit}^i \\
 &= \underbrace{\sum_{allPMTs} P_{no-hit}^i}_{\text{}} - \sum_{hitPMTs} P_{no-hit}^j + \sum_{hitPMTs} P_{hit}^i
 \end{aligned}$$

Can be **pre-calculated once** for idealised detector,  
because  $P_{no-hit}^i$  independent of event hypothesis,  
assuming linear scaling of light yield with energy

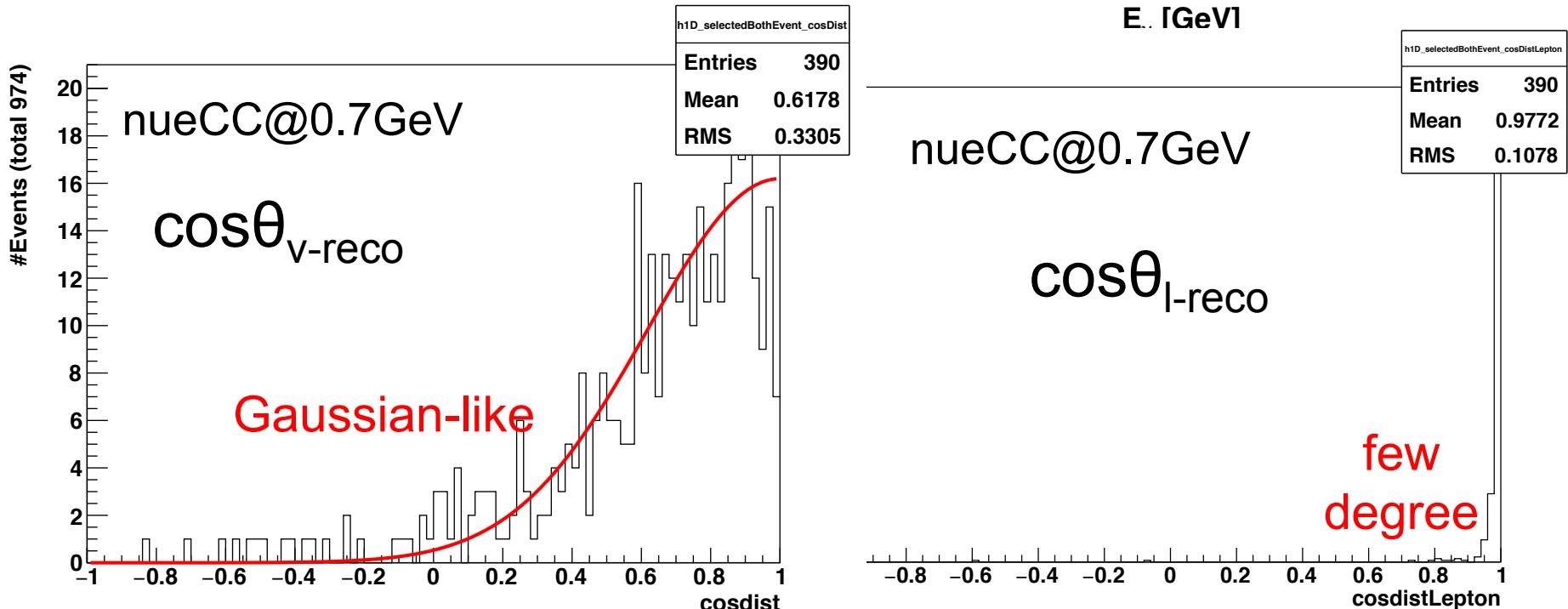
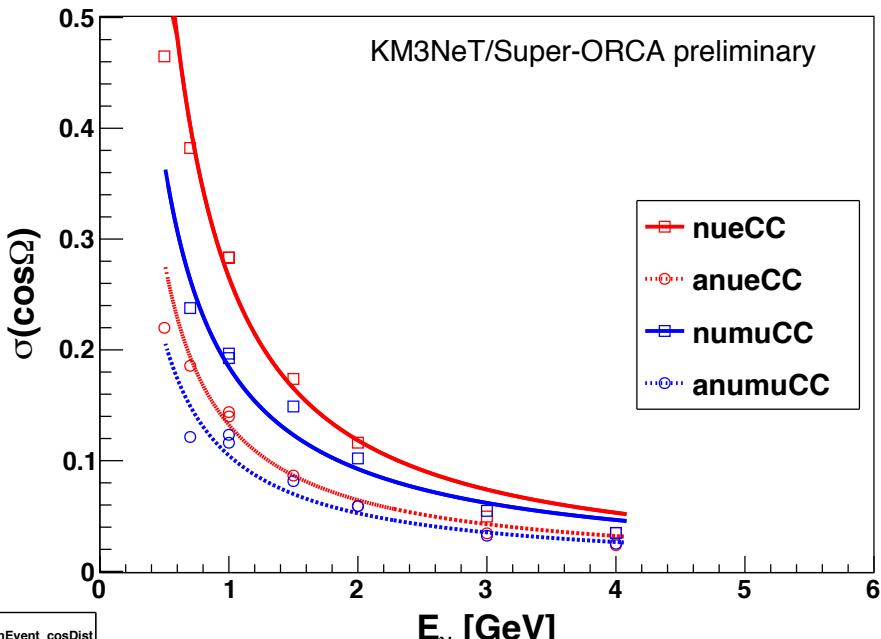
$$\sum_{allPMTs} = O(1000'000)$$

$$\sum_{hitPMTs} = O(100)$$

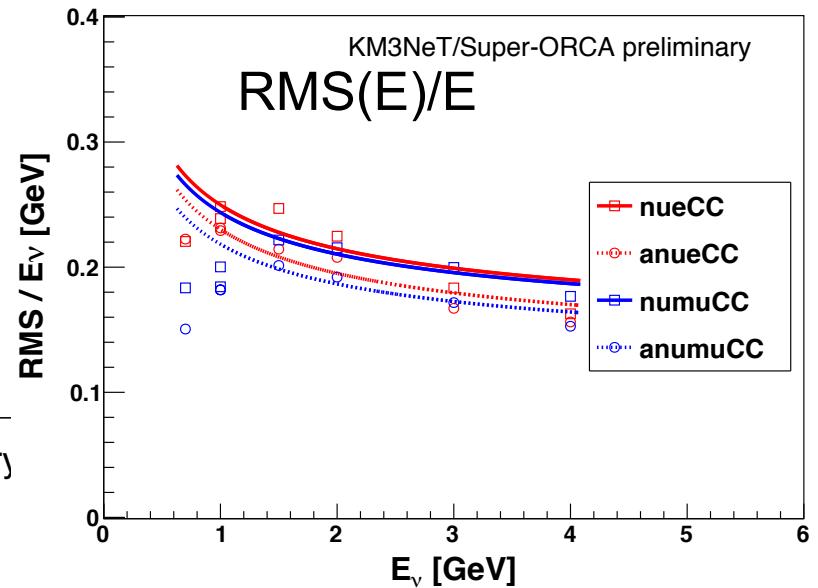
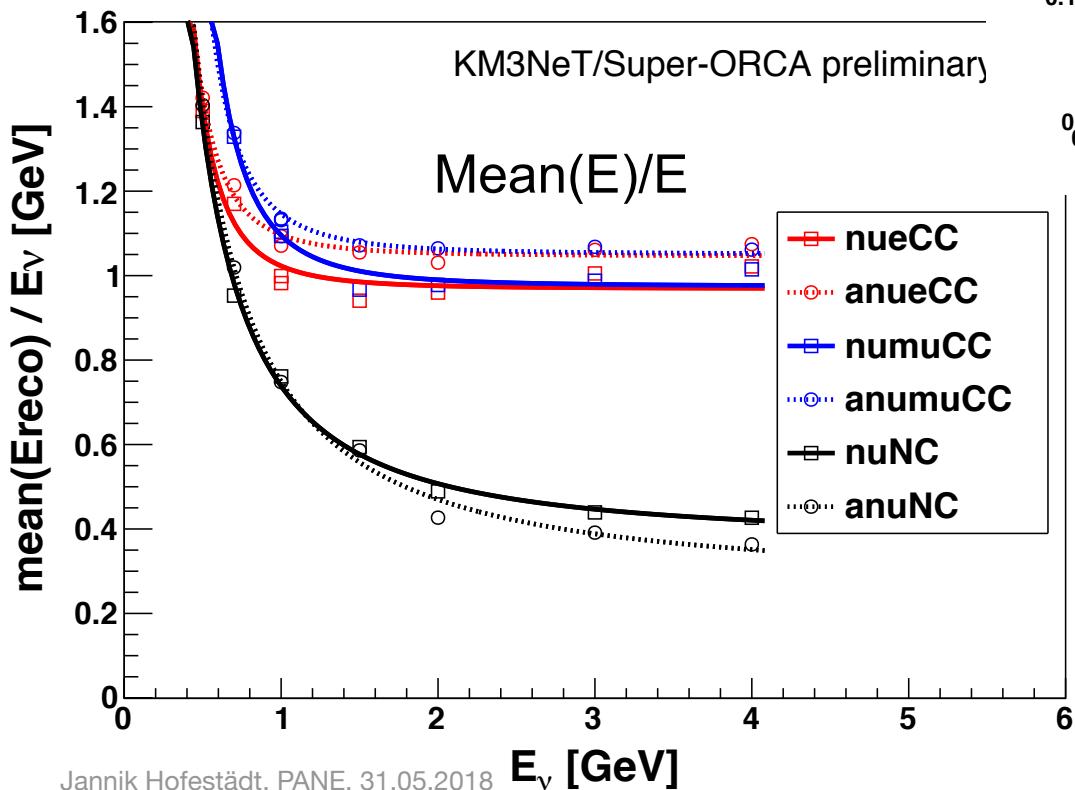
Compared to ARCA/ORCA reco's:  
O(10) more PMTs  
O(10) more seeds  
O(10) more complex muon hypothesis  
→ 1000 larger runtime (than AAShower)

# Direction resolution

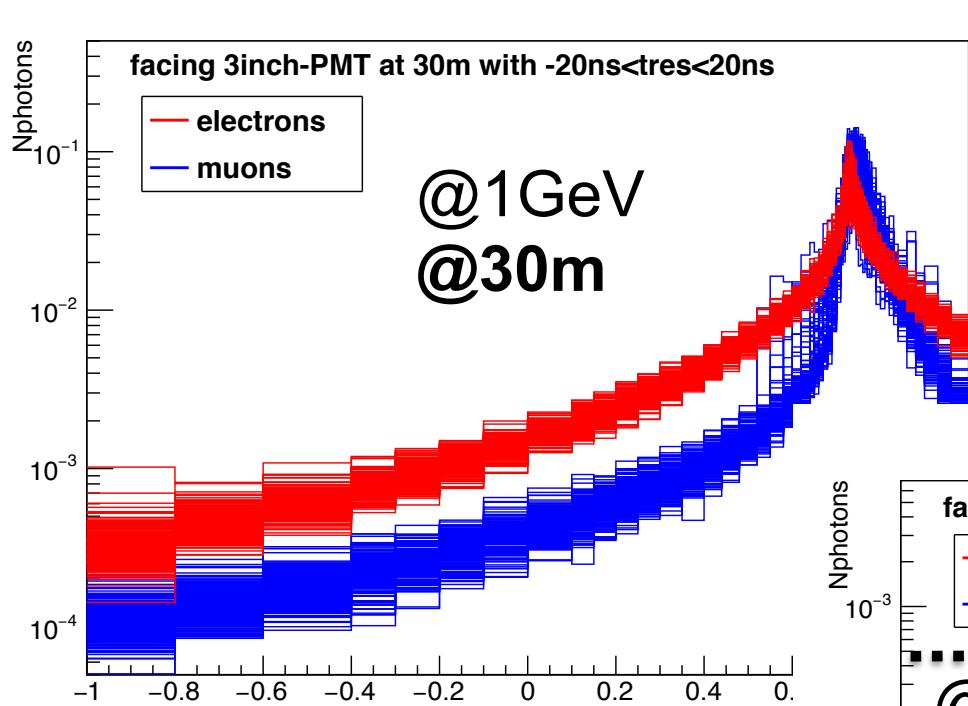
- Few degree resolution on lepton direction ( $\cos\theta_{l\text{-reco}}$ )
- Neutrino direction resolution ( $\cos\theta_{\nu\text{-reco}}$ ) dominated by  $\nu$ -lep scattering angle



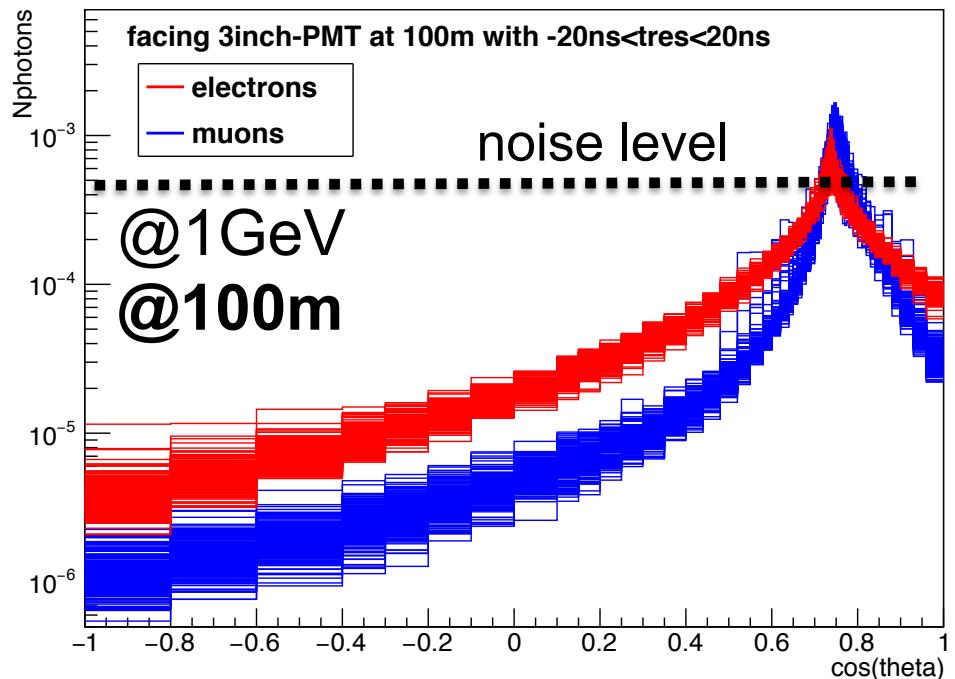
# Energy resolution



# PID: elec vs muon separation

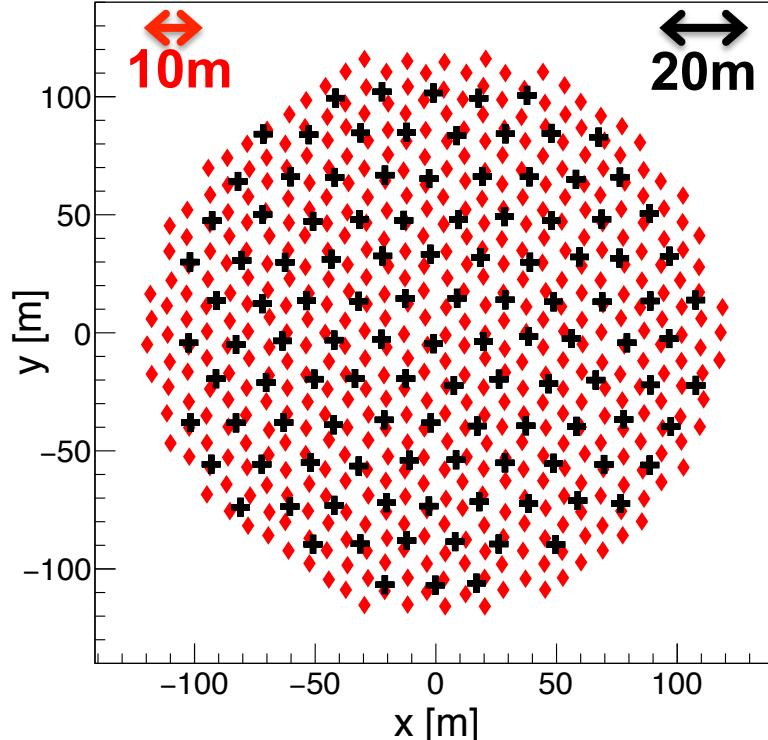


- Cherenkov peak above noise for  $\sim 100$ m
  - large level arm
  - good angular resolution



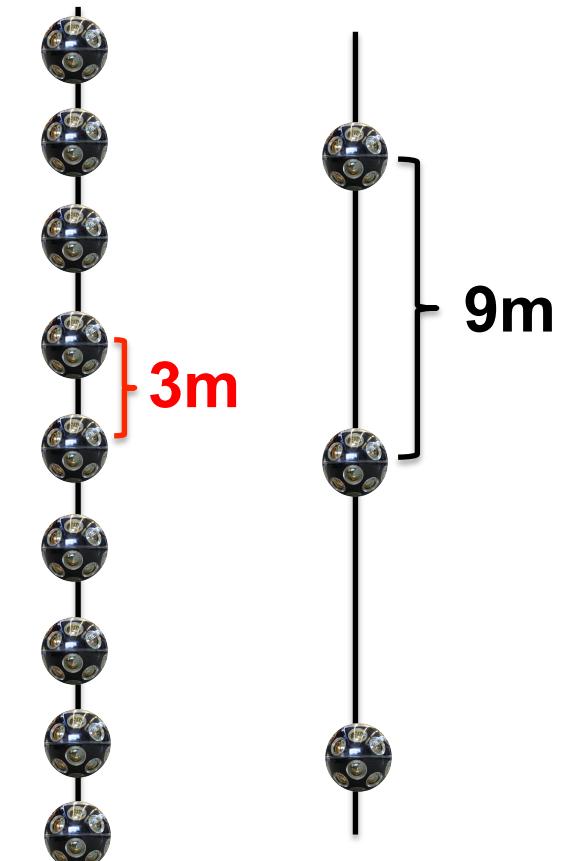
# Possible Super-ORCA detector layout

- String layout: 159m height with 54 DOMs → 3m vertical spacing
- Footprint: cylinder with  $R=120\text{m}$  & 500 strings → 10m inter-string spacing  
→ ~10x denser than Lofi-9m



**Caveat:**

This geometry not used for sensitivity calculations  
in this talk; just for illustration purpose

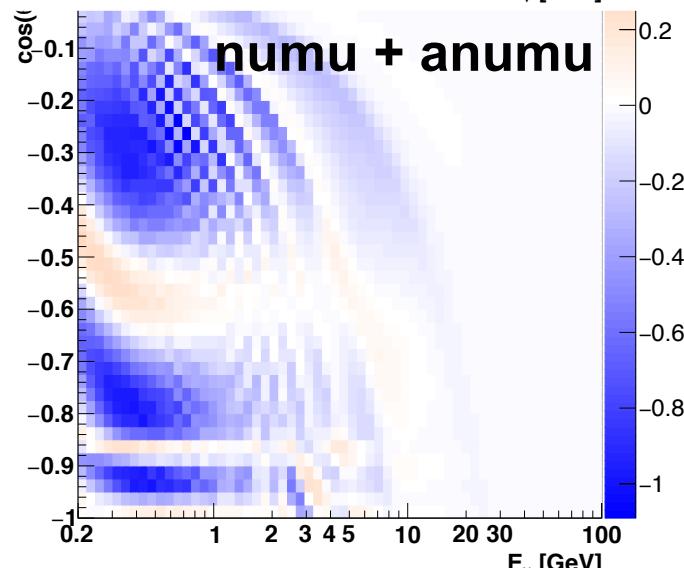
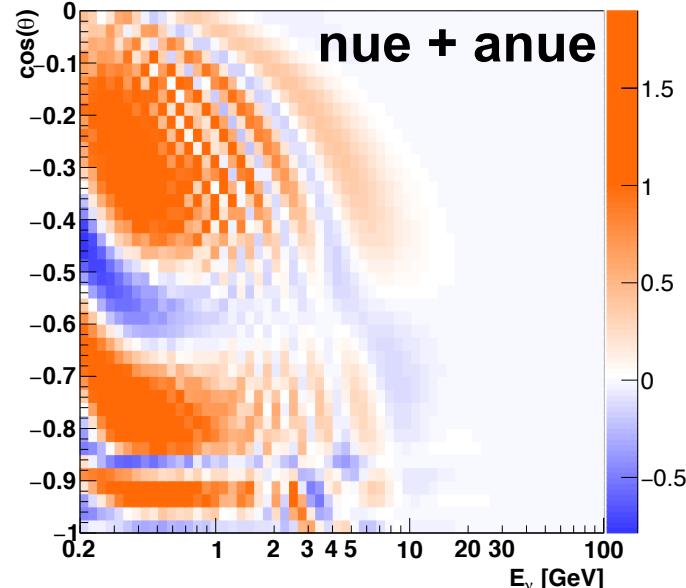


Super-ORCA

ORCA

# Distinguishability

for 4Mton fiducial volume



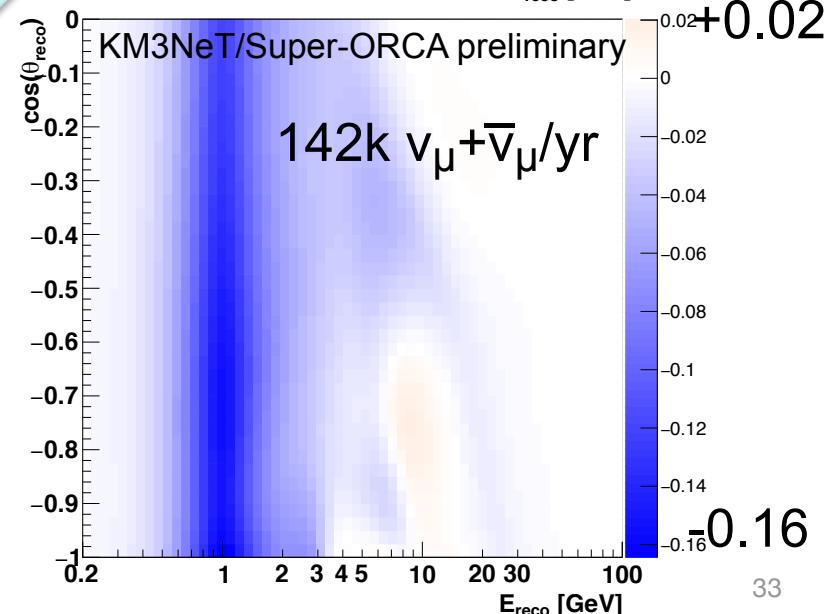
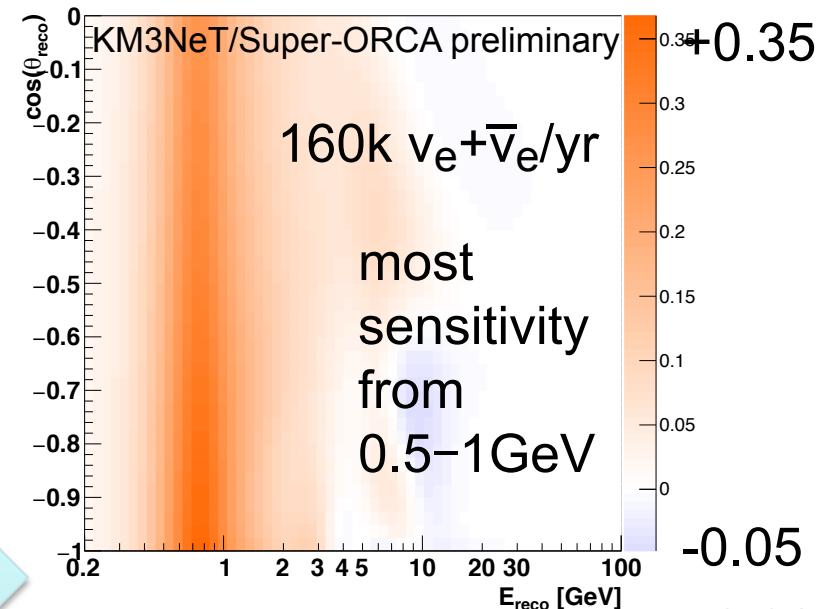
$$(\mathbf{N}_{\delta=3/2\pi} - \mathbf{N}_{\delta=0}) / \text{sqrt}(\mathbf{N}_{\delta=0})$$



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PHYSICS

**4Mton**

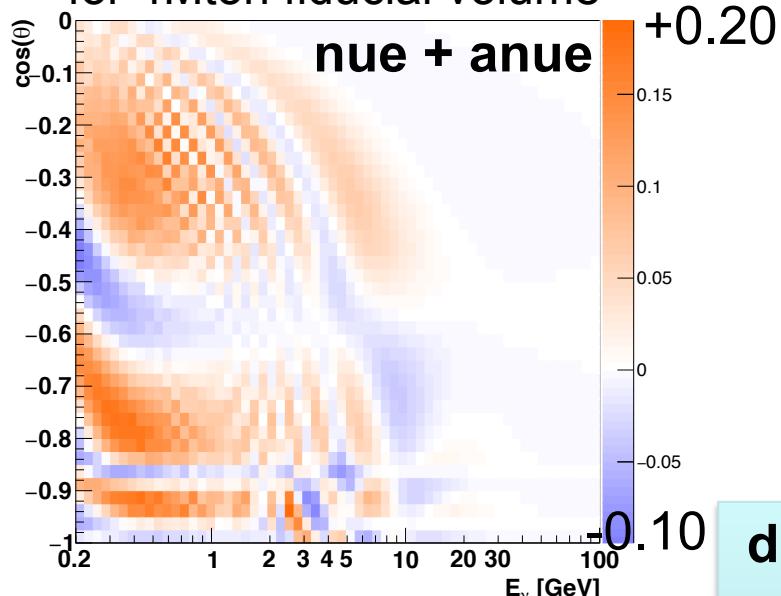
**detector  
response**



# Relative differences $(N_{\delta=3/2\pi} - N_{\delta=0}) / N_{\delta=0}$

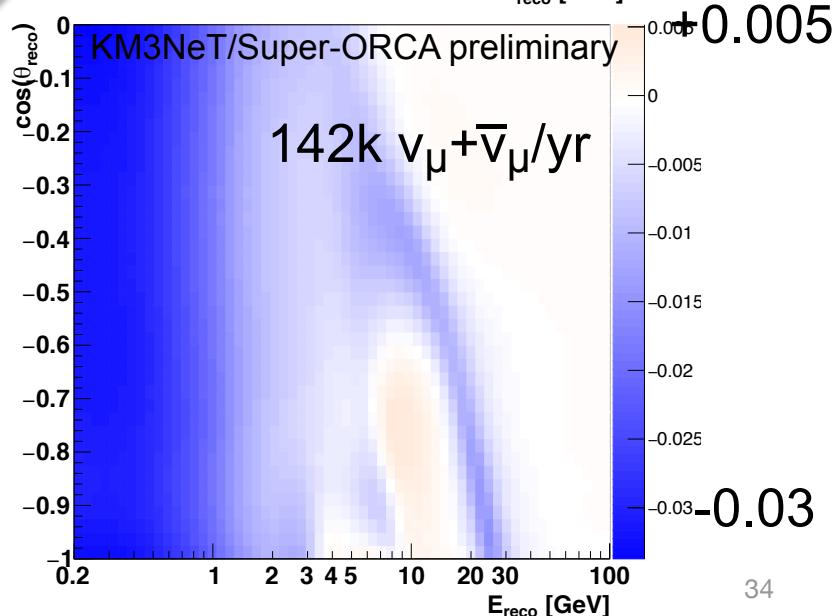
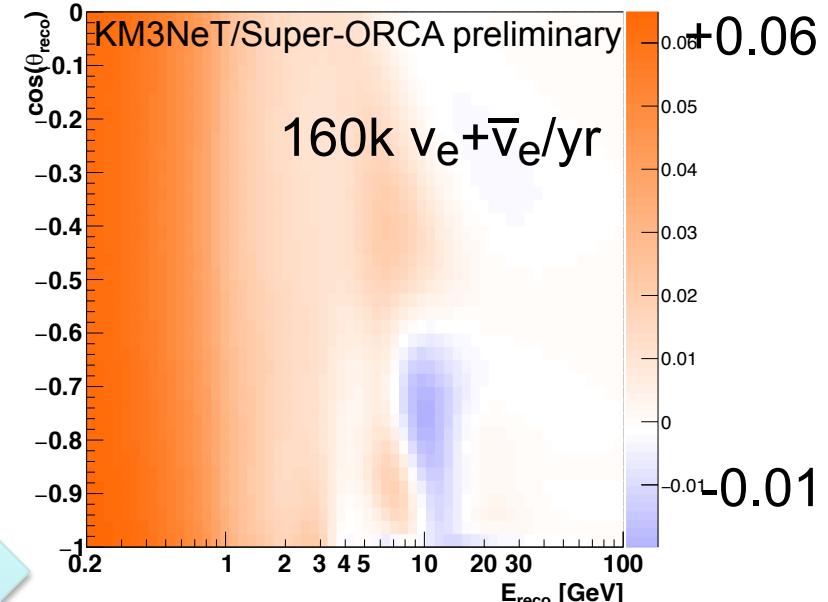
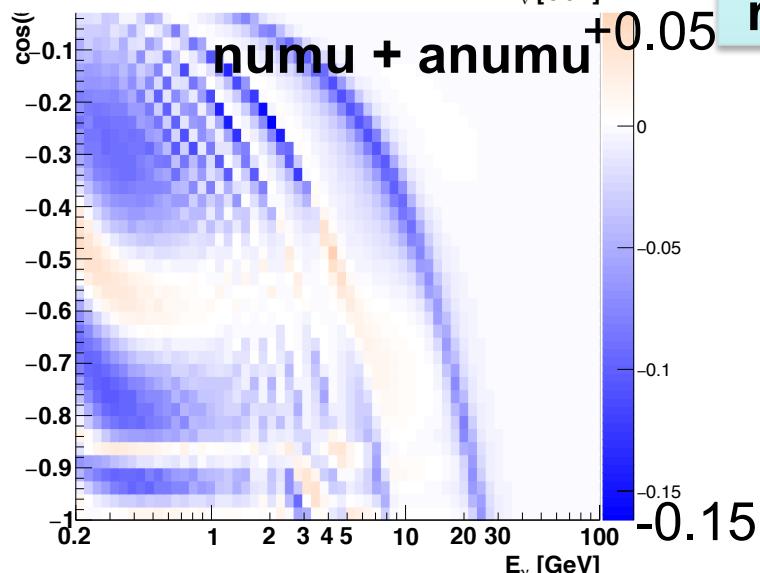
for 4Mton fiducial volume

nue + anue



detector  
response

numu + anumu

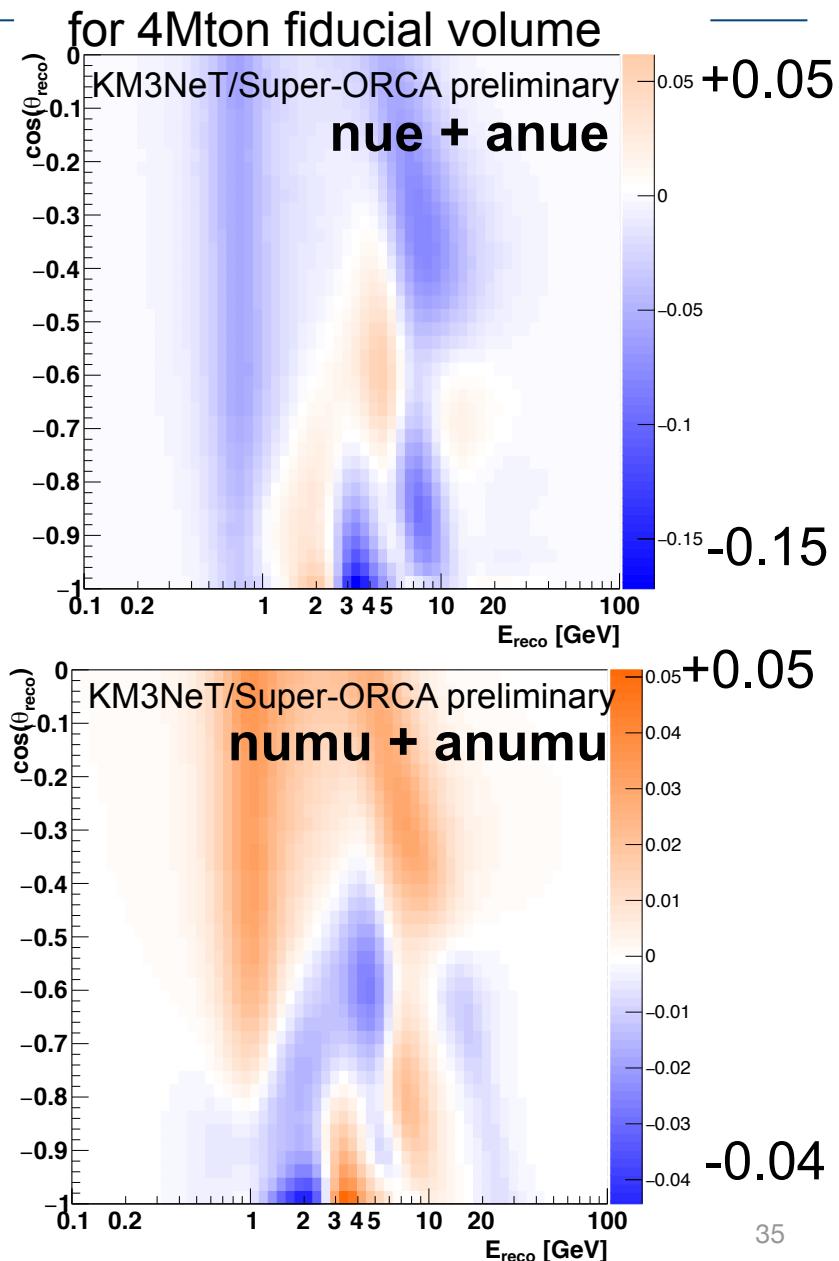
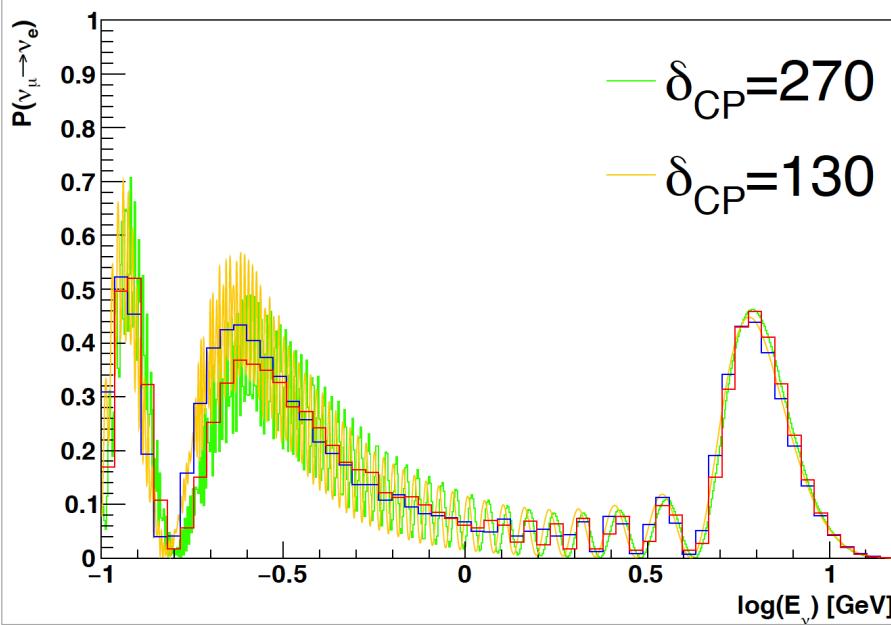


# Degeneracy

$$(\mathbf{N}_{\delta=0.72\pi} - \mathbf{N}_{\delta=3/2\pi}) / \mathbf{N}_{\delta=3/2\pi}$$



- Nearly degeneracy for  $270^\circ$  vs  $130^\circ$
- Low-E differences not accessible for Super-ORCA
- Some sensitivity from higher energy range



# Event rate differences

- Reconstructed energy distribution, after PID

