

# Predictability of atmospheric flow regimes on seasonal and sub-seasonal scales

**Franco Molteni**

*ECMWF, Reading, U.K.*

# Outline

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- Introduction:
  - Dynamical concepts
  - Overview of “essential” literature
- Detection of regimes in atmospheric and model datasets
  - PDF estimation in one or two dimensions
  - An example of cluster analysis for the North Atlantic domain
- Sources of extended-range predictability
  - Impact of external/boundary forcing on atmospheric regimes
  - Linear and non-linear impact of ENSO on regime properties
  - MJO and Euro-Atlantic regimes

## **Multiple equilibria:**

Multiple stationary solutions of a non-linear dynamical system

## **Flow regime:**

A persistent and/or recurrent large-scale flow pattern in a (geophysical) fluid-dynamical system

## **Weather regime:**

A persistent and/or recurrent large-scale atmospheric circulation pattern which is associated with specific weather conditions on a regional scale

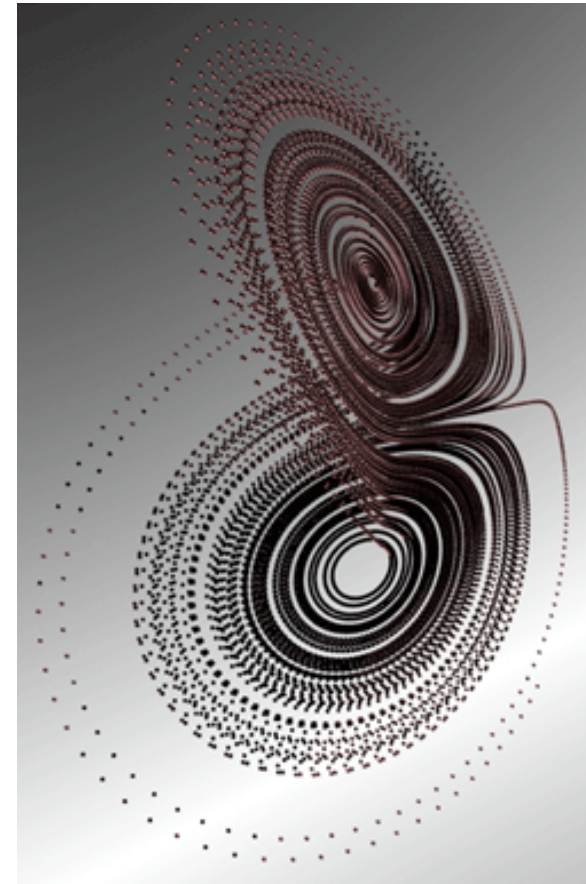
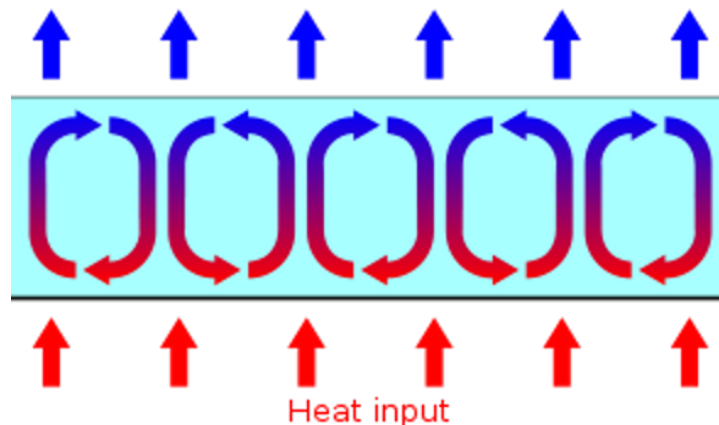
# Flow regimes in non-linear systems

## 3-variable model of Rayleigh-Benard convection (Lorenz 1963)

- $dX/dt = \sigma (Y - X)$
- $dY/dt = -XZ + rX - Y$
- $dZ/dt = XY - bZ$

### Unstable stationary states

- $X = Y = Z = 0$
- $X = Y = \pm [b(r-1)]^{1/2}, Z = r-1$





# Atmospheric regimes as quasi-stationary states

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$q$  : barotropic or quasi-geostrophic potential vorticity

$$\partial_t q = - V_\psi \cdot \text{grad } q - D (q - q^*)$$

steady state for instantaneous flow:

$$0 = - V_\psi \cdot \text{grad } q - D (q - q^*)$$

steady state for time-averaged flow:

$$0 = - \langle V_\psi \rangle \cdot \text{grad } \langle q \rangle - D (\langle q \rangle - q^*) \\ - \langle V'_\psi \cdot \text{grad } q' \rangle$$

# Multiple equilibria: Charney and DeVore 1979

Multiple steady states of low-order barotropic model with wave-shaped bottom topography

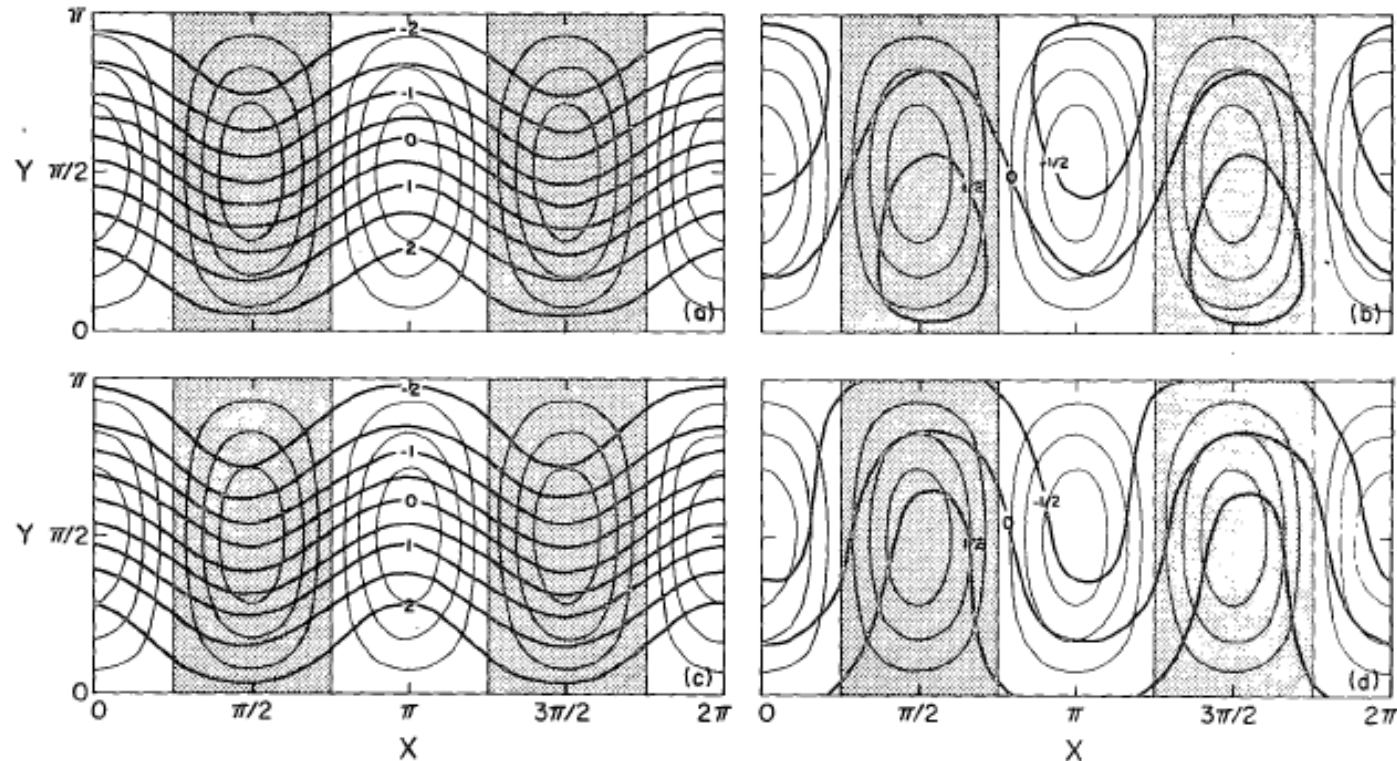
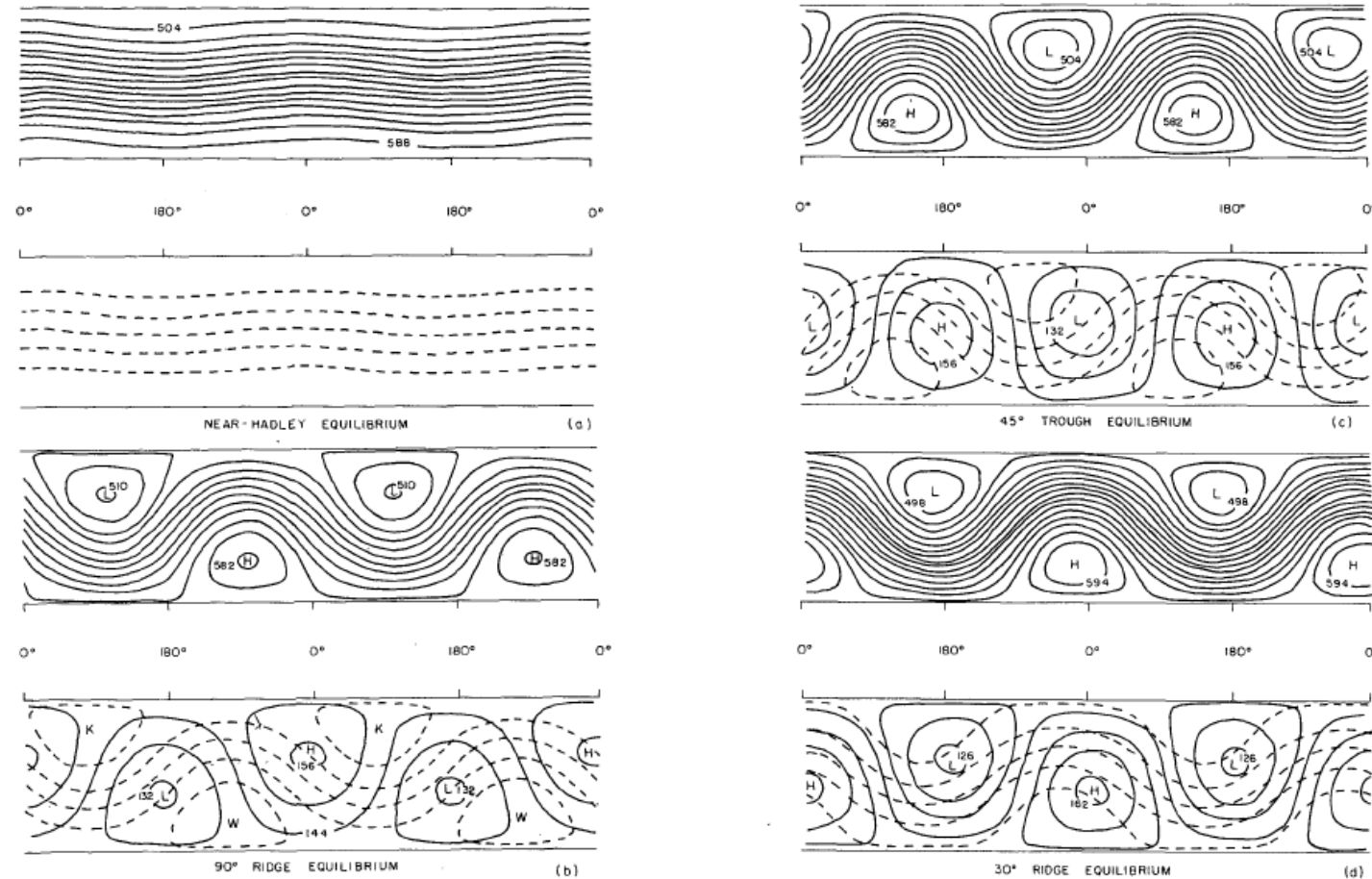


FIG. 4. Streamfunction fields of the stable first mode equilibria of a topographically forced flow for  $k = 10^{-2}$ ,  $L/a = 1/4$ ,  $n = 2$ ,  $h_0/H = 0.2$  and  $\psi_0^* = 0.2$ : for the spectral model above resonance (a) and slightly below resonance (b); and for the grid-point model above resonance (c) and slightly below resonance (d). The nondimensional topographic heights are shown with light lines; the contour spacing is 0.05 units, with negative regions shaded.

# Weather regimes: Reinhold and Pierrehumbert 1982

Hemispheric weather regimes arising from equilibration of large-scale dynamical tendencies and “forcing” from transient baroclinic eddies



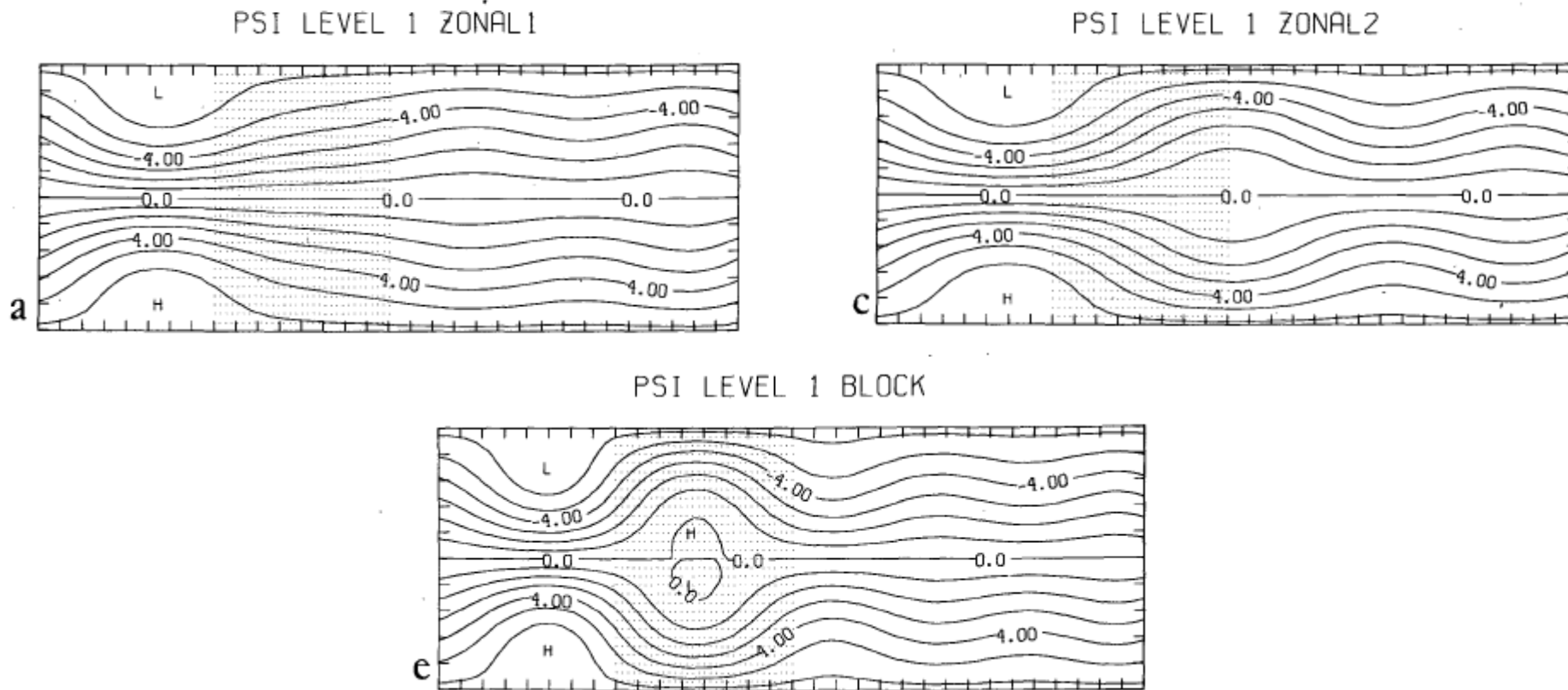
# Eddy “forcing” of blocking regimes: the Imperial College school

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- **Green 1977:** *The weather during July 1976: some dynamical consideration of the drought*
- **Illari and Marshall 1983:** *On the interpretation of eddy fluxes during a blocking episode*
- **Shutts 1986:** *A case study of eddy forcing during an Atlantic blocking episode*
- **Haines and Marshall 1987:** *Eddy-forced coherent structures as a prototype of atmospheric blocking*

# Regional regimes: Vautard and Legras 1988

Regional weather regimes arising from equilibration of large-scale dynamical tendencies and PV fluxes from transient baroclinic eddies



# Bimodality in one-dim. PDF (Hansen and Sutera 1986)

Bimodality in the probability density function (PDF) of an index of N. Hem. planetary wave amplitude due to near-resonant wave-numbers ( $m=2-4$ )

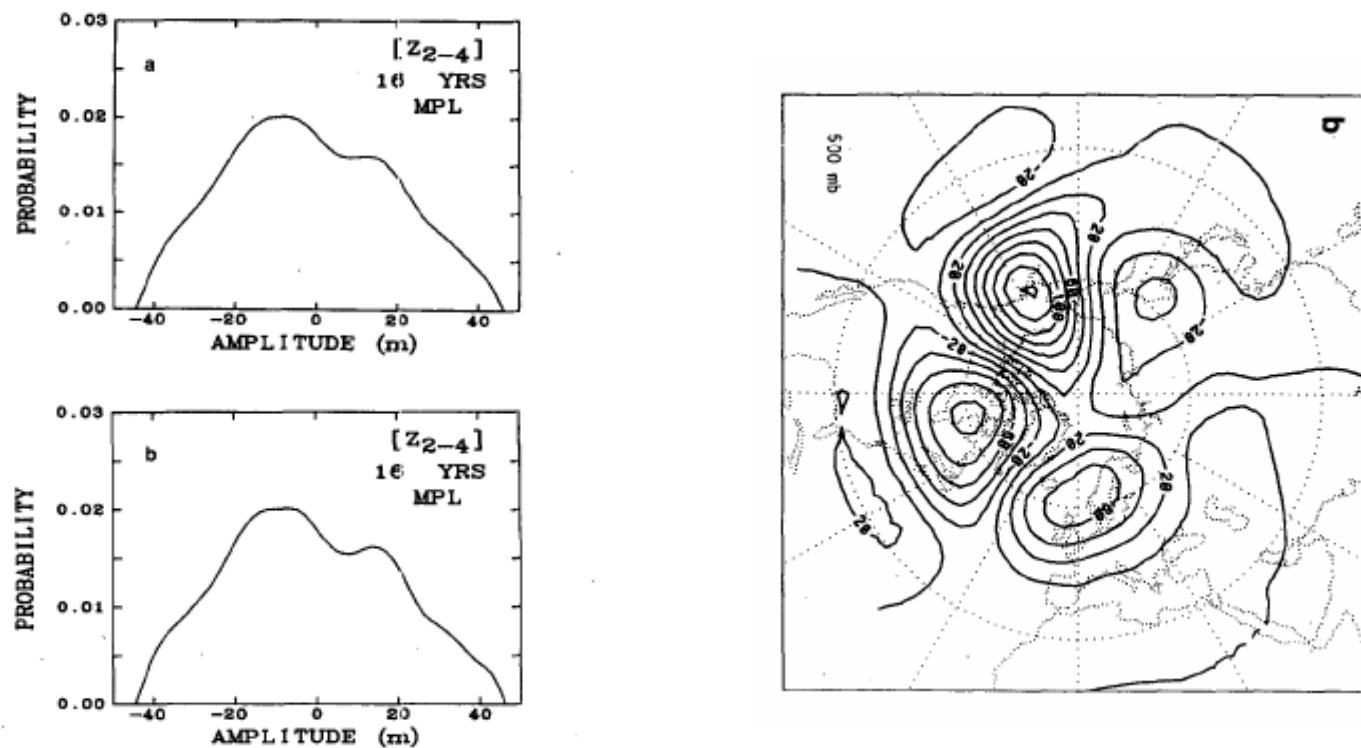
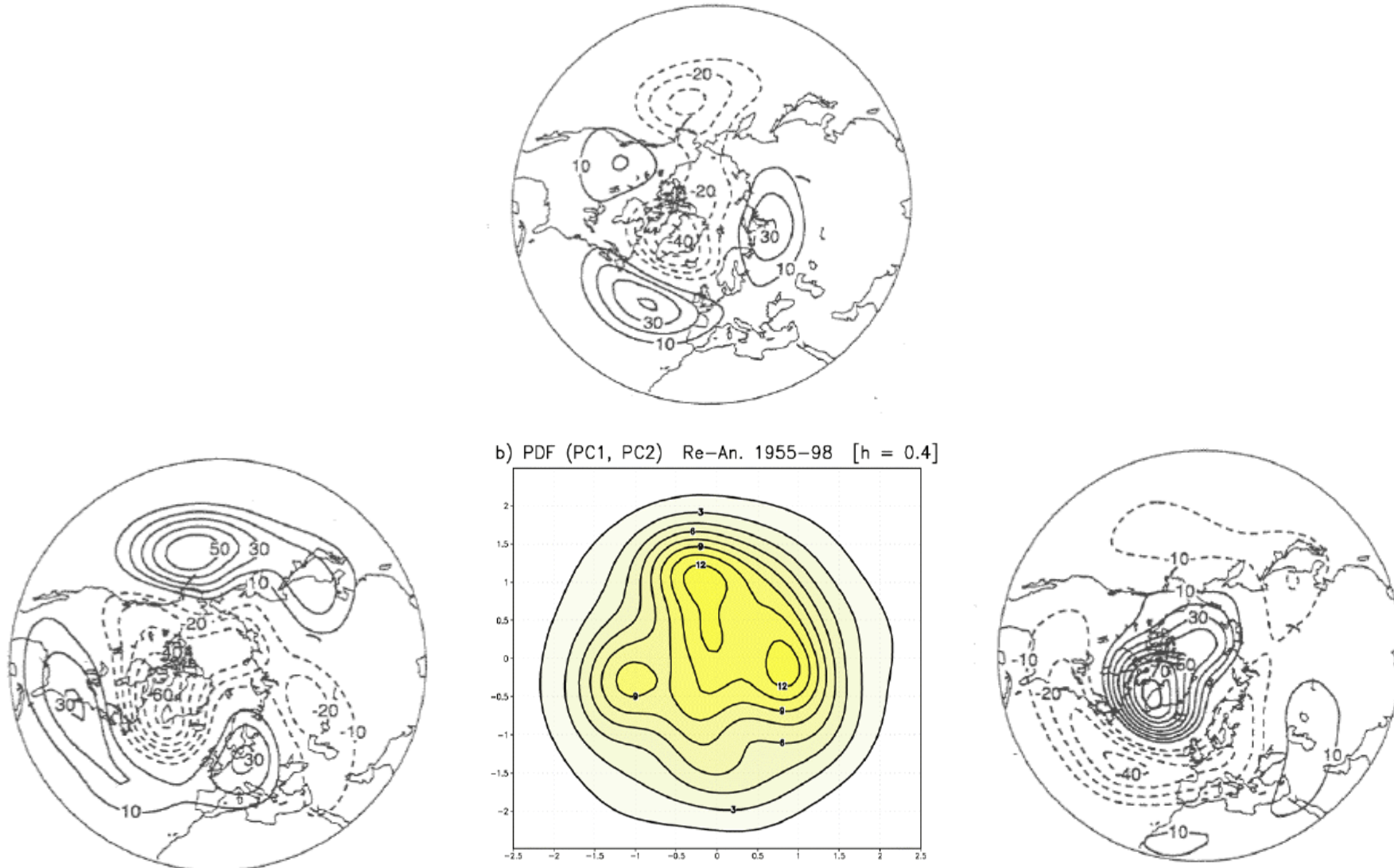


FIG. 4. MPL probability density estimates of  $[Z_{2-4}]$  formed from the 16 winter composite filtered data for (a)  $\alpha = 10^7$  and (b)  $\alpha = 5 \times 10^6$ .



# Regimes from 2-dim. PDF estimation (Corti et al. 1999)



# Regimes from cluster analysis (Michelangeli et al. 1995)

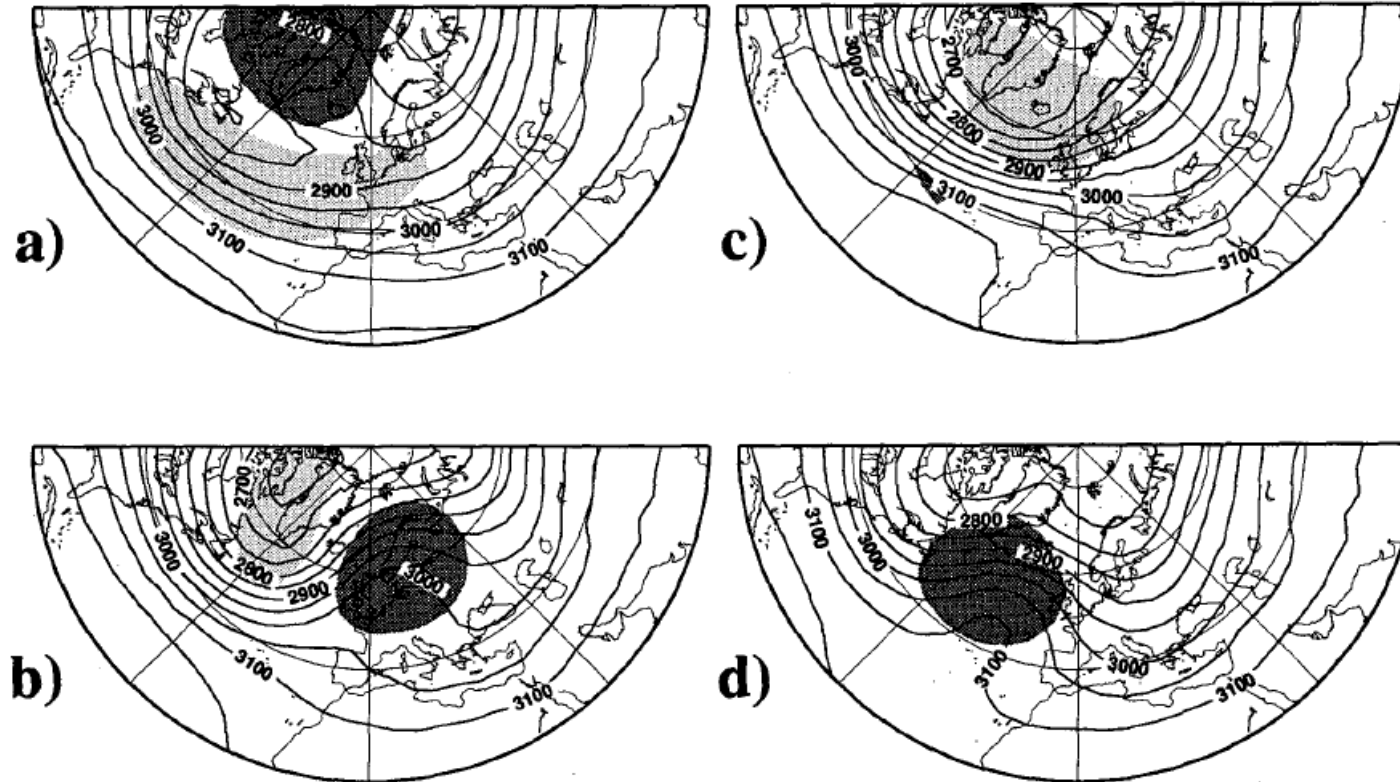


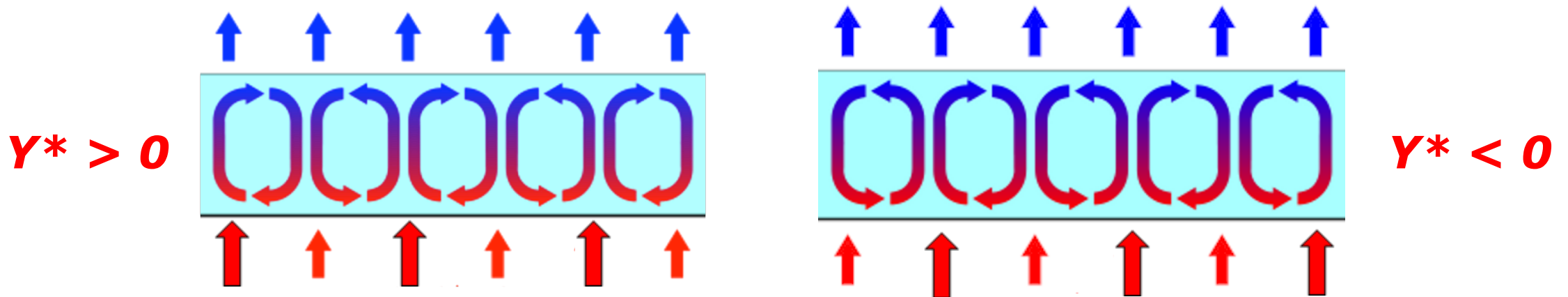
FIG. 4. Composites of the 700-hPa geopotential heights for the four clusters found over the ATL sector. Contour interval is 50 m. Dark shaded areas show areas where the anomaly of the composite with respect to the wintertime average is larger than 50 m. Light shaded areas correspond to anomalies lower than  $-50$  m. Clusters are sorted by their consistency: (a) cluster 1; (b) cluster 2; (c) cluster 3; (d) cluster 4.



# Regime behaviour and anomalous forcing

*Lorenz (1963) truncated convection model with additional forcing (Molteni et al. 1993; Palmer 1993)*

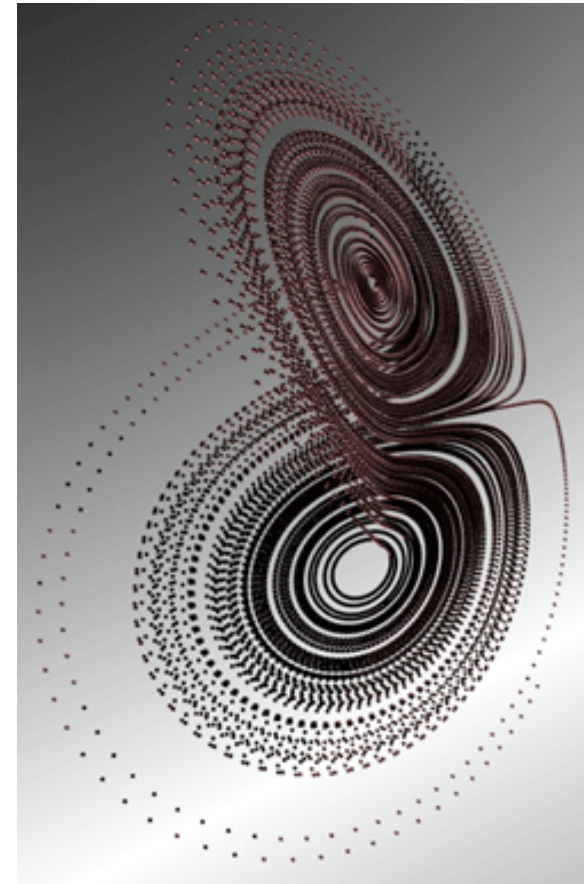
- $dX/dt = \sigma (Y - X)$
- $dY/dt = -XZ + rX - (Y - Y^*)$
- $dZ/dt = XY - bZ$



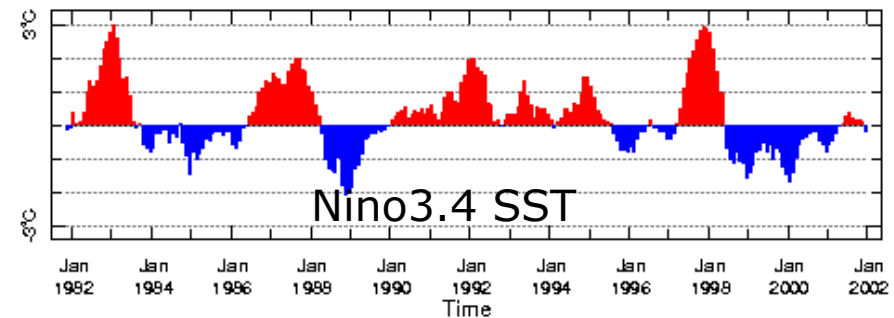
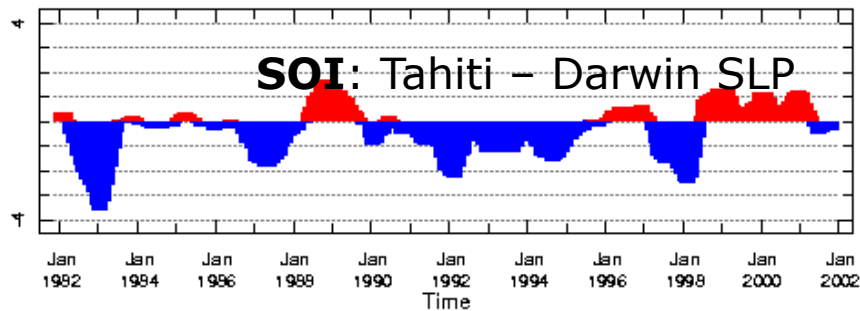
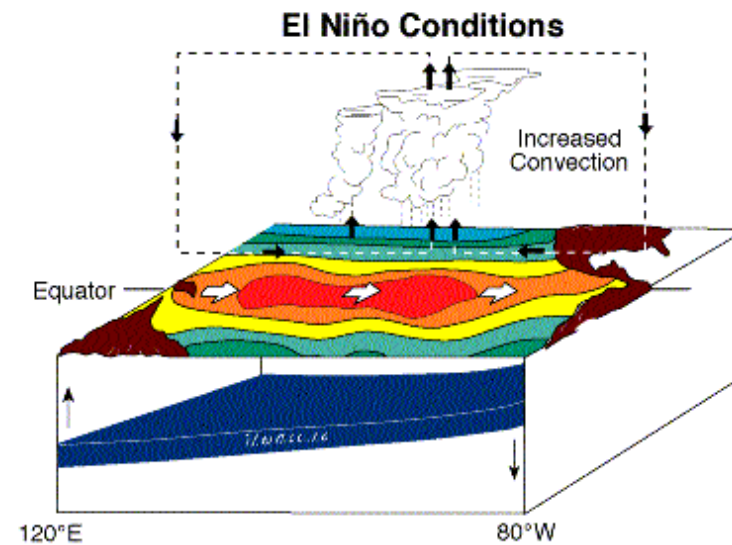
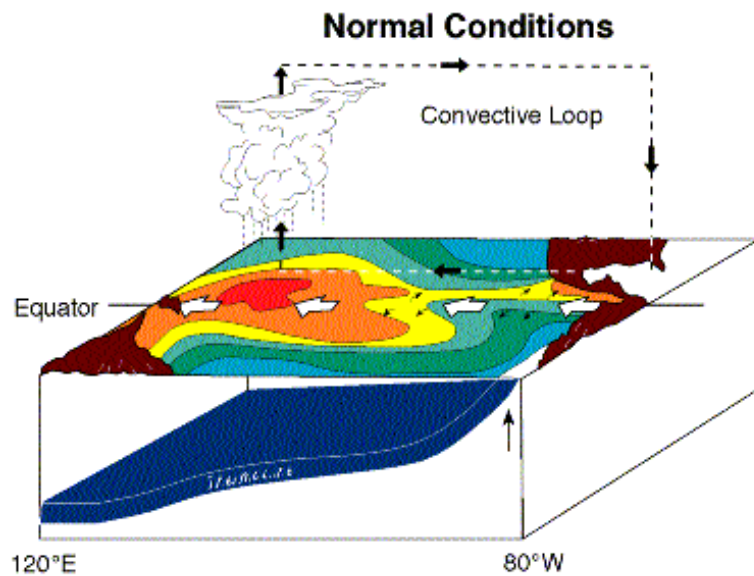
# Impact of “external” forcing in non-linear systems

The properties of flow regimes may be affected by anomalous forcing in two different ways:

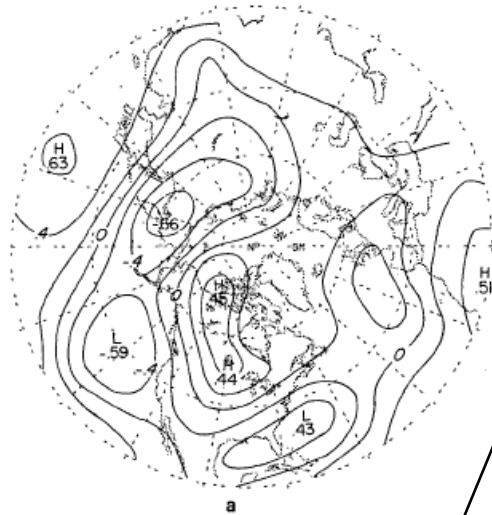
- **Weak forcing anomaly:** the number and spatial patterns of regimes remain the same, but their frequency of occurrence is changed
- **Strong forcing anomaly:** the number and patterns of regimes are modified as the atmospheric system goes through bifurcation points



# El Niño and the Southern Oscillation



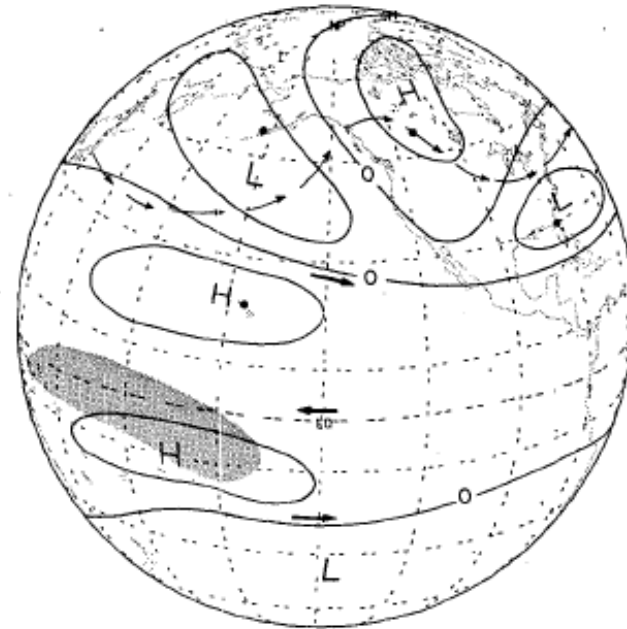
# Extratropical teleconnections with ENSO



Correlation of 700hPa height with  
a) PC1 of Eq. Pacific SST  
c) SOI index



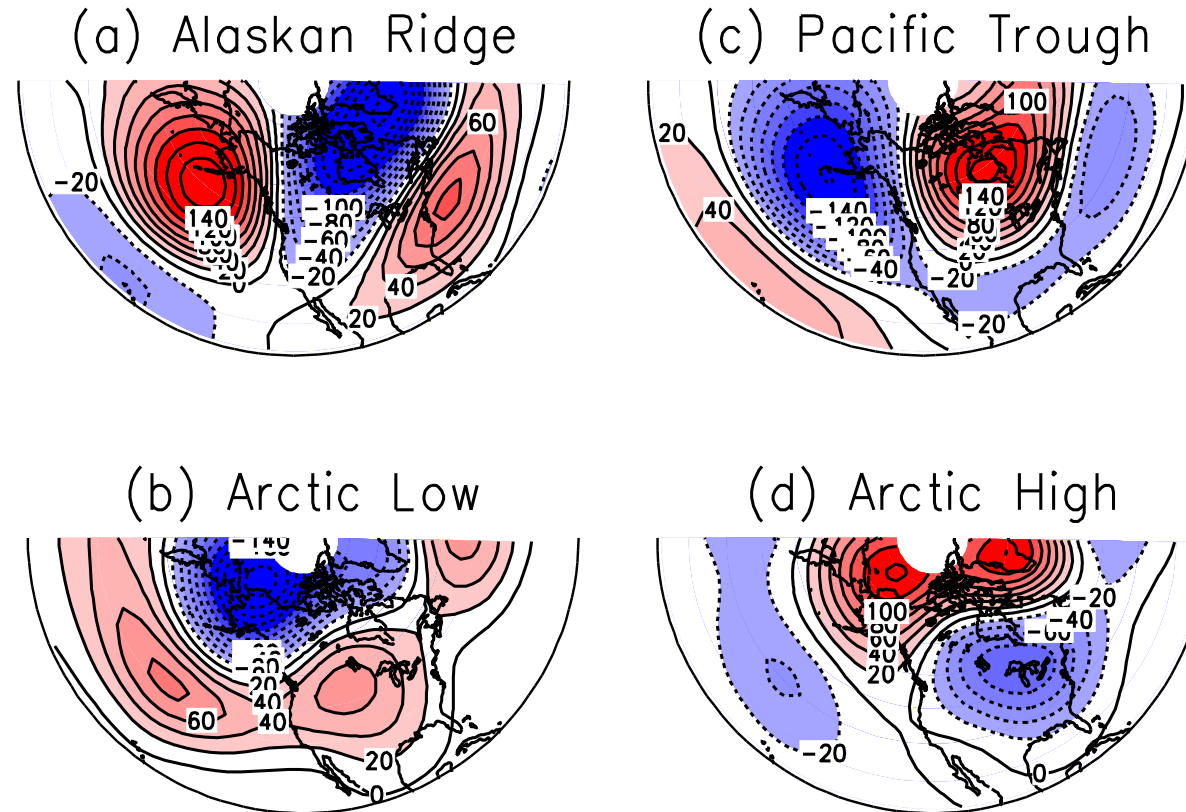
Schematic diagram of tropical-extratropical teleconnections during El Niño



Horel and  
Wallace 1981

# A regime approach to seasonal predictions

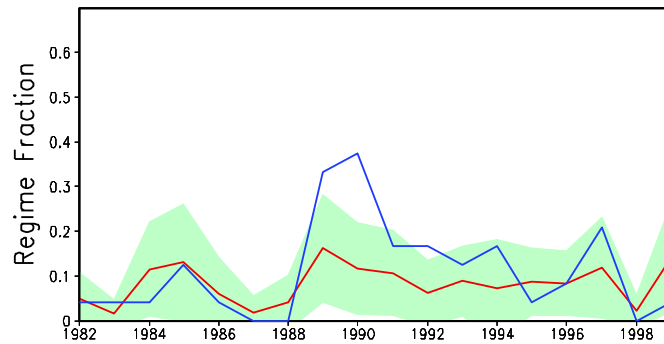
Cluster analysis of low-frequency anomalies of Z 200 in NCEP re-analysis and COLA AGCM ensembles (Straus, Corti & Molteni 2007)



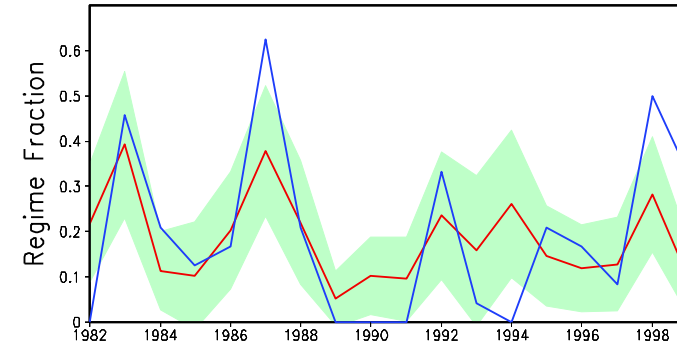
# A regime approach to seasonal predictions

## Predictability of cluster frequencies (SCM 2007)

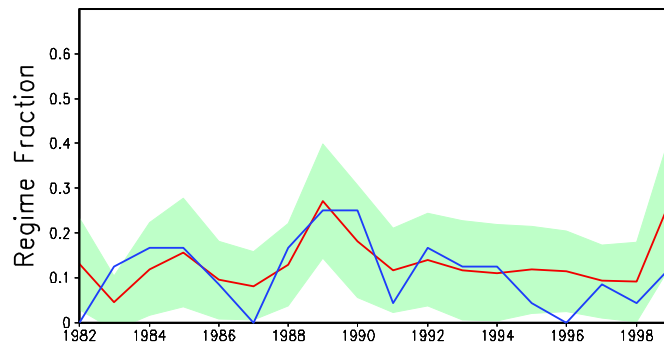
(a) Alaskan Ridge NCEP(blue),GCM(red)



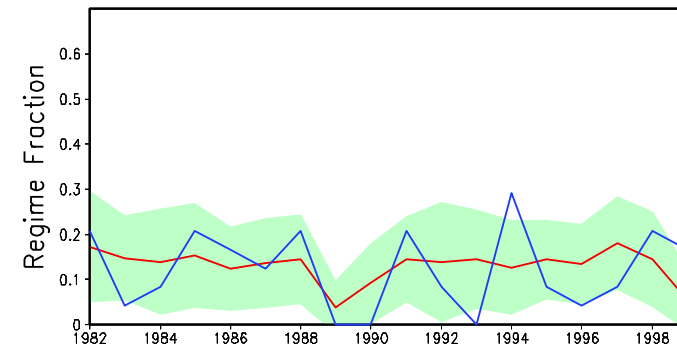
(c) Pacific Trough NCEP(blue),GCM(red)



(b) Arctic Low NCEP(blue),GCM(red)



(d) Arctic High NCEP(blue),GCM(red)



## Does ENSO affect the number of regimes?

- Ratio of inter-cluster to intra-cluster variance as a function of ENSO indices (Straus and Molteni 2004)

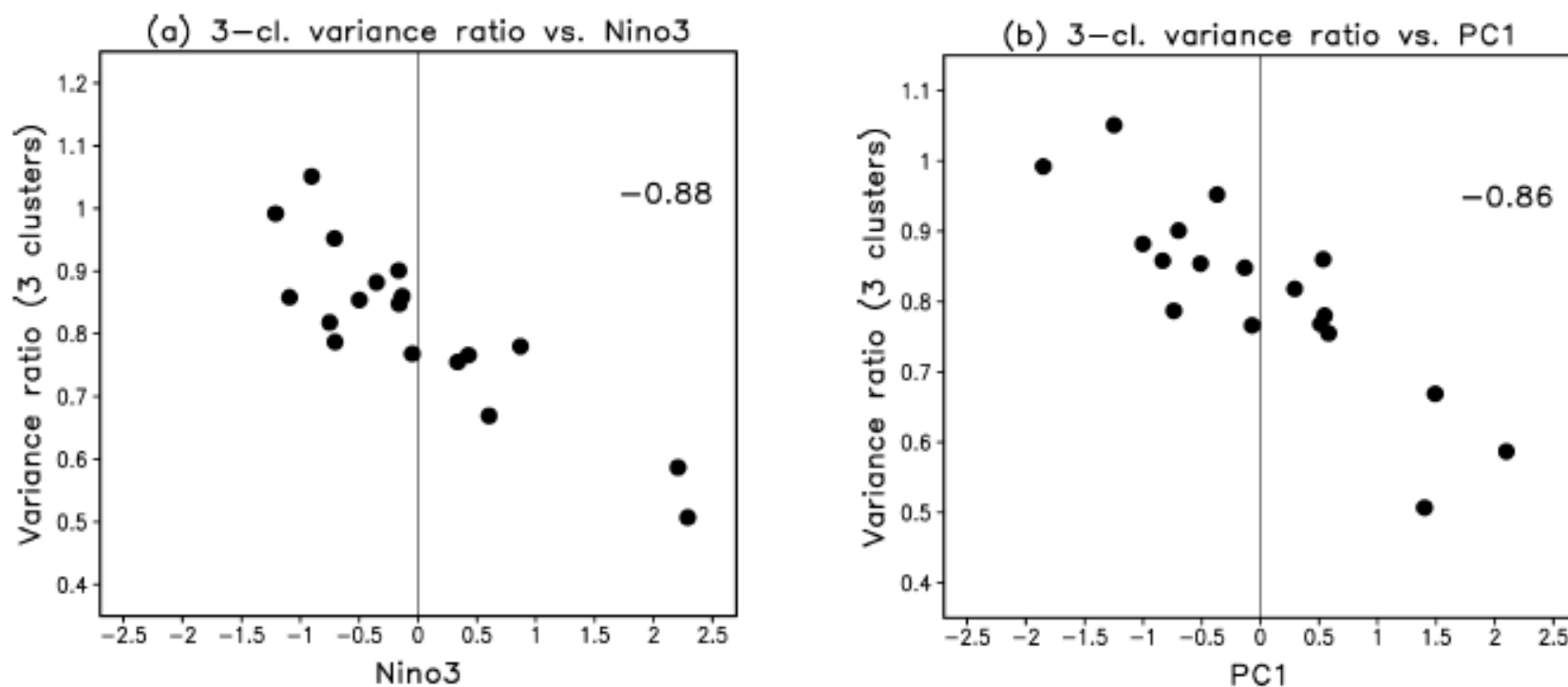
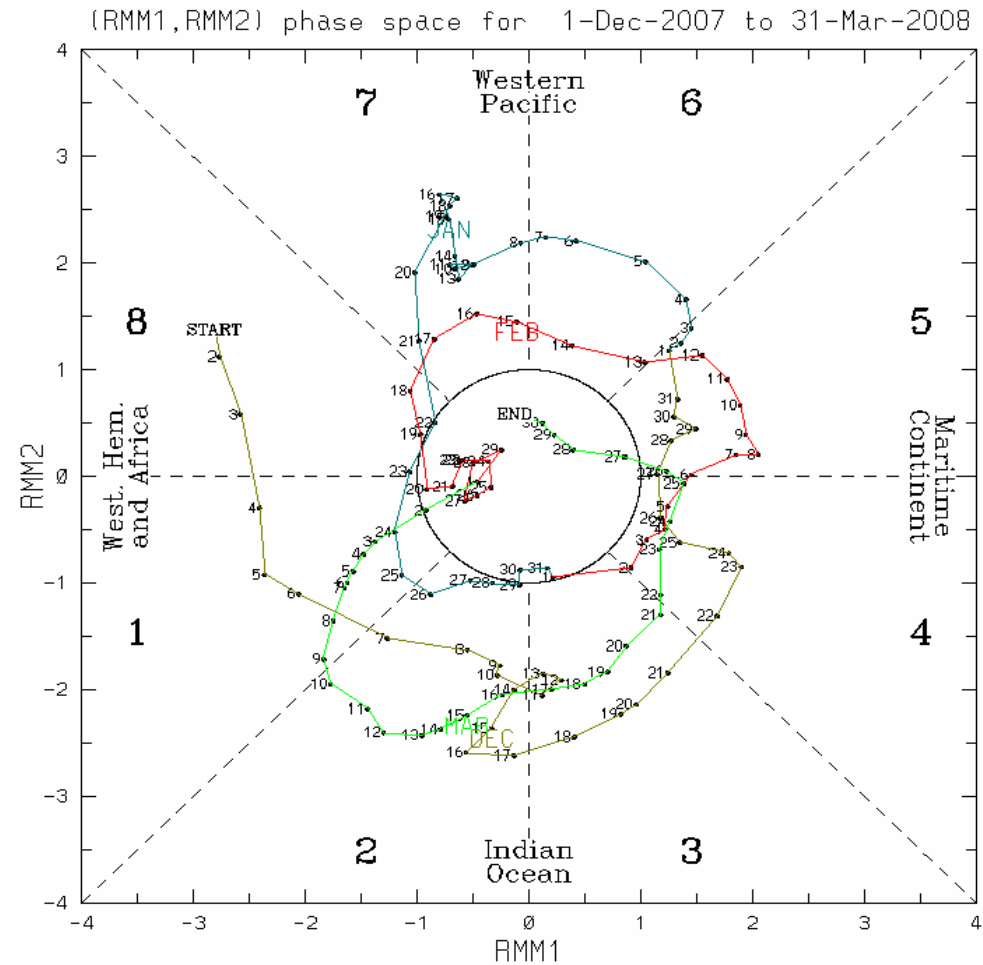
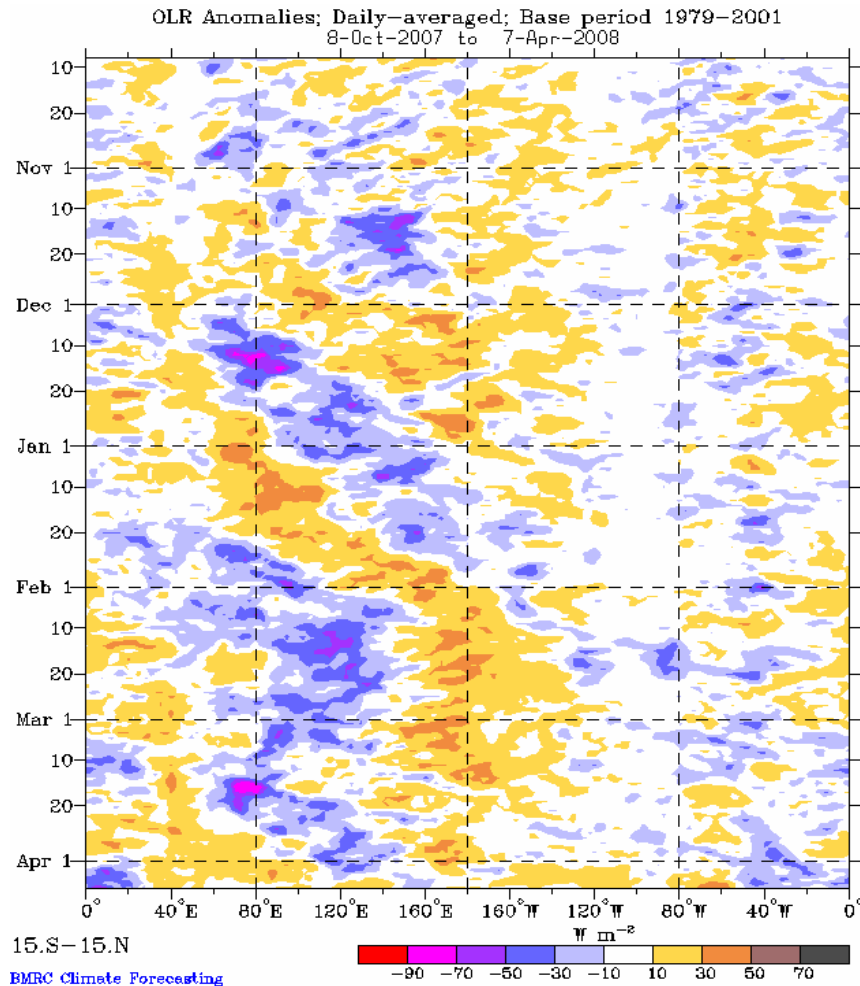


FIG. 4. Scatterplots of (a) the 3-cluster ( $k = 3$ ) variance ratio vs Niño-3, and (b) the 3-cluster variance ratio vs the leading PC of ensemble/seasonal means. The leading PC and SST index time series are standardized.



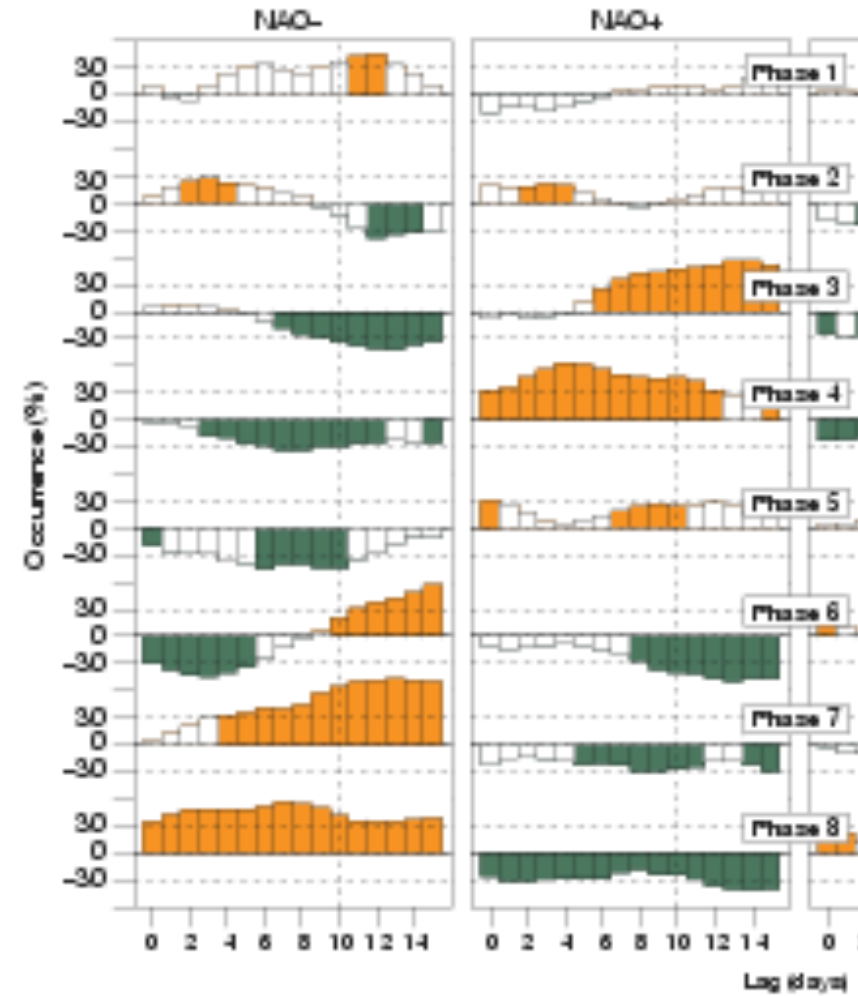
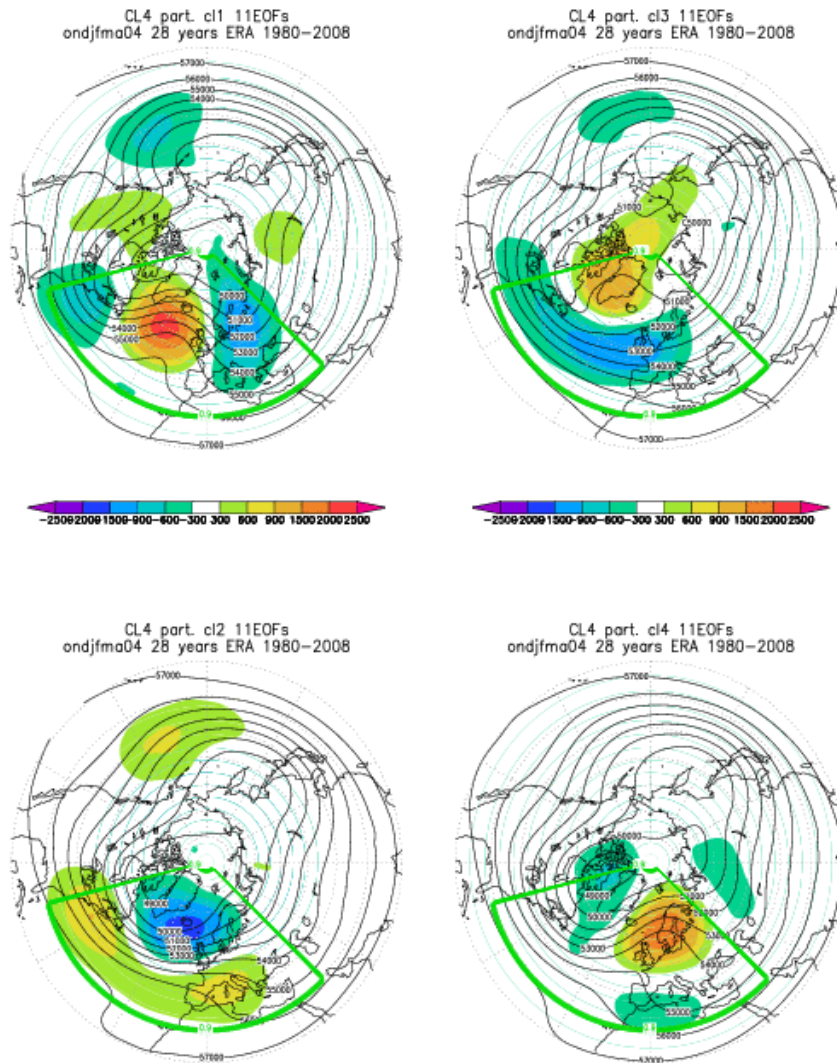
# Sub-seasonal variability: the Madden-Julian Oscillation (MJO)

## Wheeler – Hendon (2004) MJO metric based on composite EOFs





# Impact of MJO on Euro-Atlantic regimes



**Cassou 2008**

# Summary

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- Flow regime behaviour can be reproduced in a variety of dynamical models of different complexity.
- Atmospheric flow regimes may be defined on a hemispheric or regional domain.
- Detection of regimes in atmospheric and model datasets is usually performed by PDF estimation or cluster analysis; results are dependent on adequate time-filtering and proper use/interpretation of statistical significance tests.
- The impact of forcing anomalies on regime properties may occur through changes in regime frequencies or bifurcation effects.
- Predictability of regime frequencies and variations in the number of regimes as a function of the ENSO and MJO phases have been detected in ensembles of GCM simulations, and offer an alternative approach to long-range prediction.

# Flow regimes over the North Atlantic and teleconnections with the tropics

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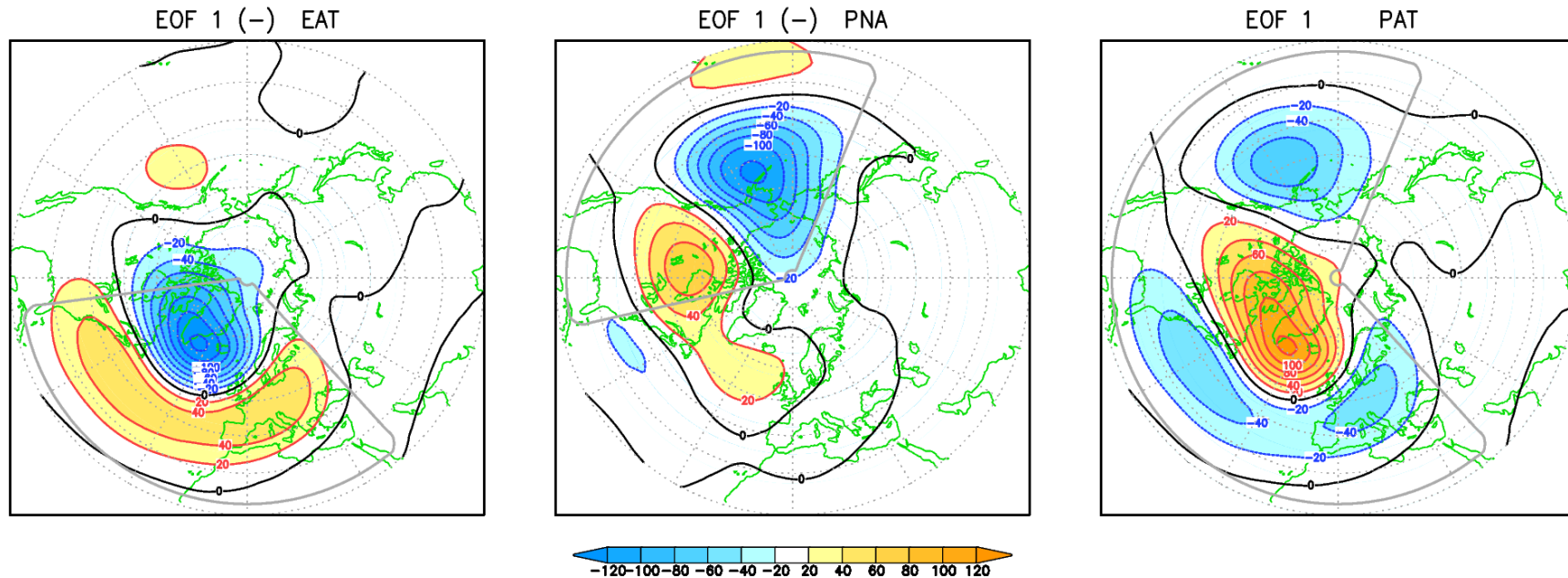
# Outline

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- A comparison of regimes obtained from cluster analysis over different NH domains: are Atlantic and Pacific regimes connected?
- Impact of tropical heating over the Indian – West Pacific ocean: modelling studies on decadal and sub-seasonal scales
- Teleconnections with Indo-Pacific rainfall from GPCP data and ECMWF re-analyses
- Impact of Atlantic and Pacific regimes on surface heat fluxes over the northern oceans
- The role of the stratosphere

# EOF & cluster analysis in three NH domains

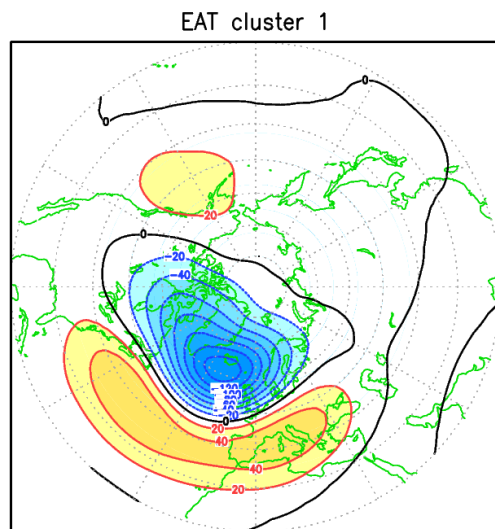
Data: 5-day means of Z 500 hPa in DJF 1979/80 to 2012/13  
(from ERA-interim)



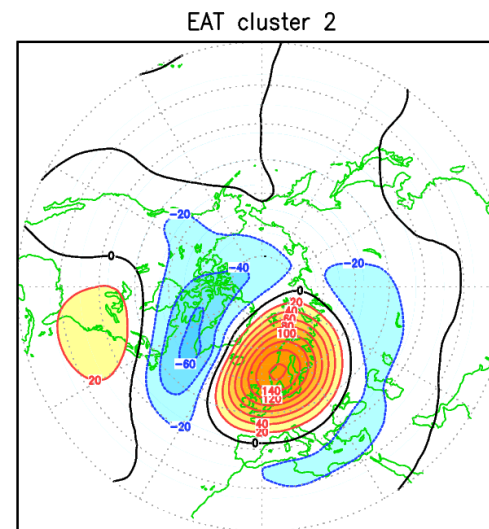
Cluster analysis method: k-means  
(Michelangeli et al. 1995, Straus et al. 2007)

# Euro-Atlantic 4-cluster centroids

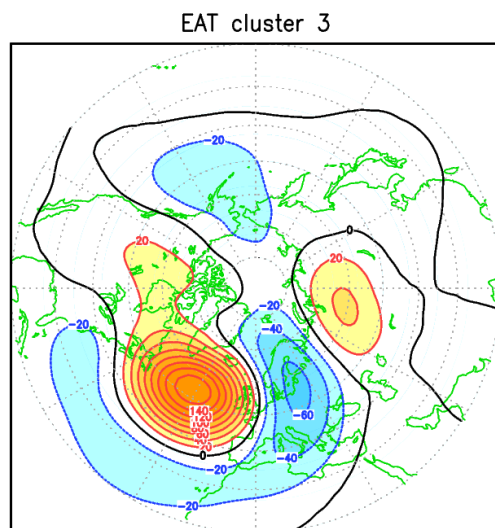
**NAO+**  
**31.5%**



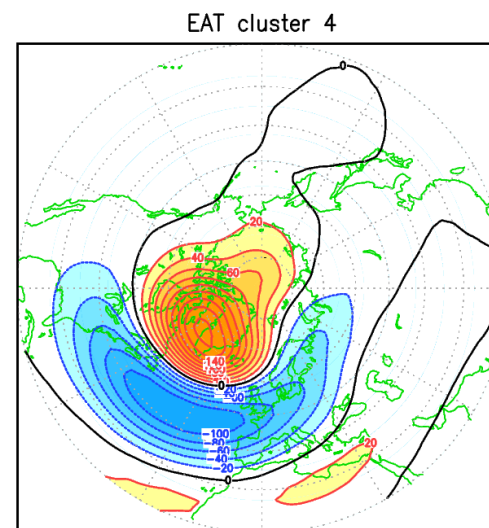
**Blocking**  
**25.0%**



**Atl. Ridge**  
**22.2%**



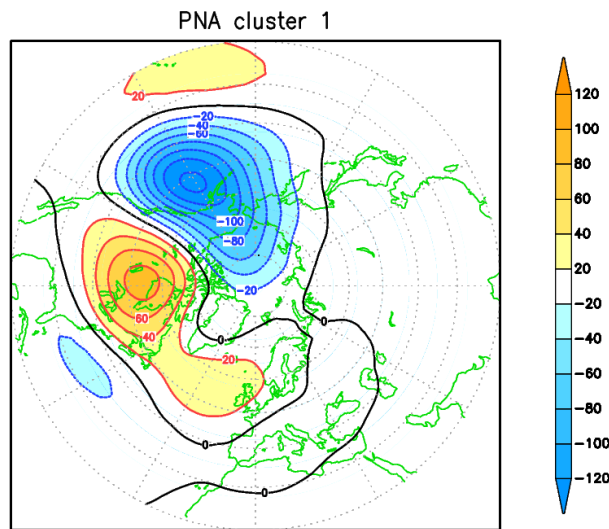
**NAO-**  
**21.3%**



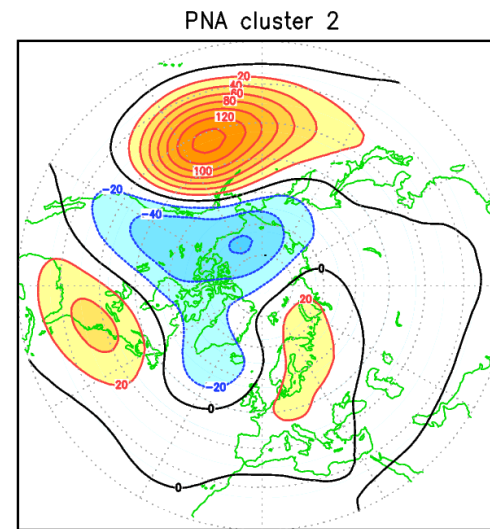


# Pacific-North American 4-cluster centroids

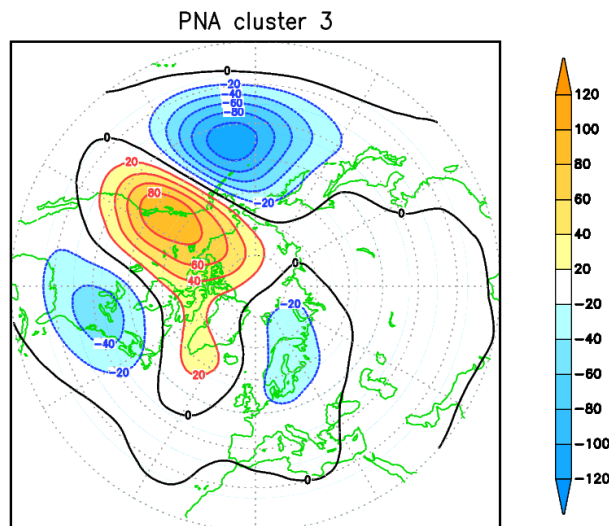
**Pacific  
Trough  
27.7%**



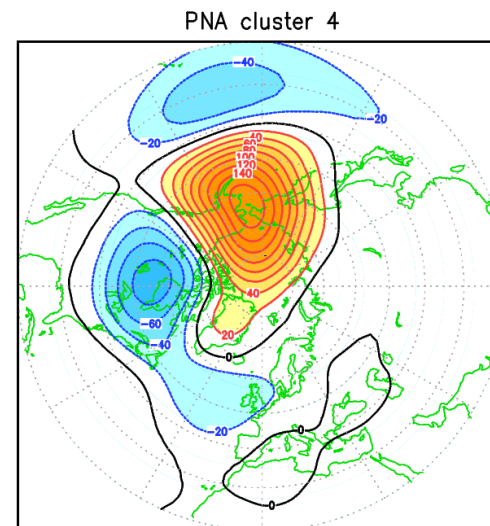
**Arctic Low  
( PNA- )  
27.7%**



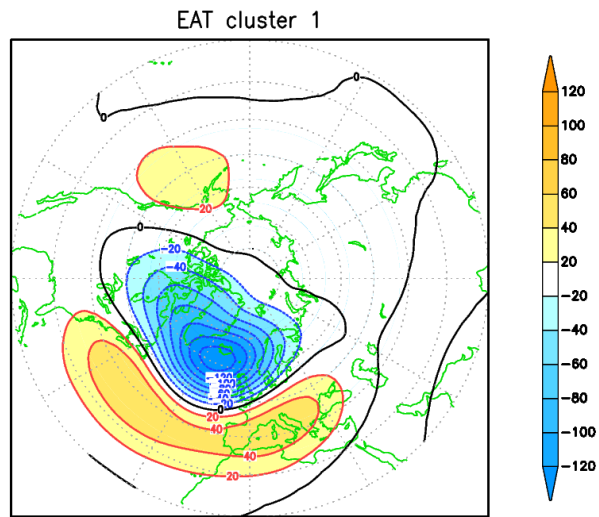
**PNA+  
24.0%**



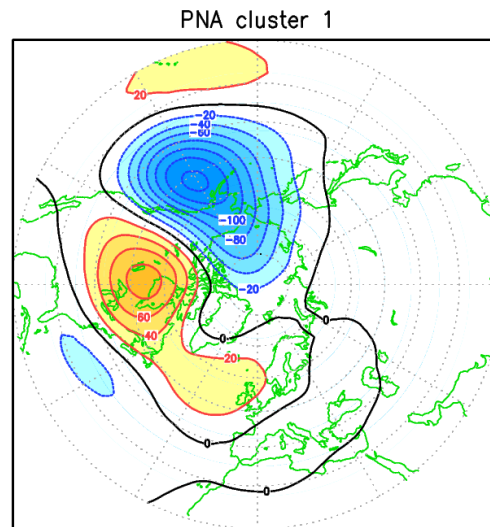
**Alaskan  
Ridge  
20.6%**



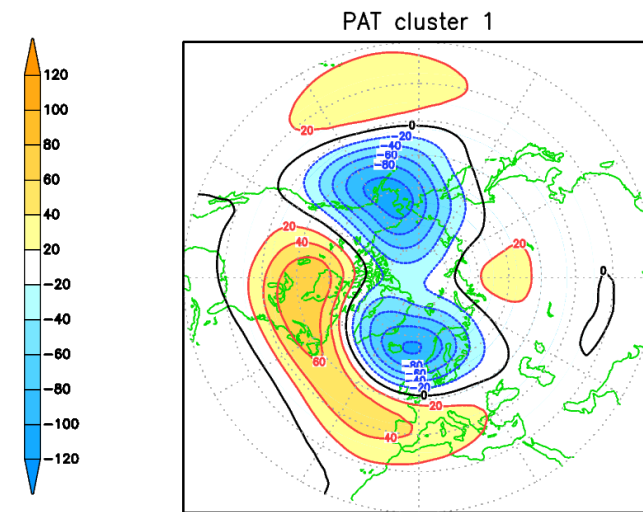
# Centroid of the most populated cluster



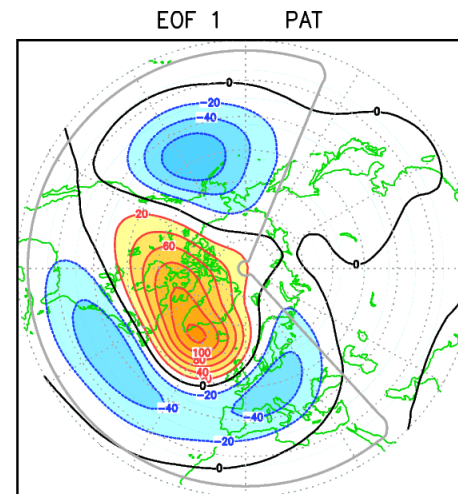
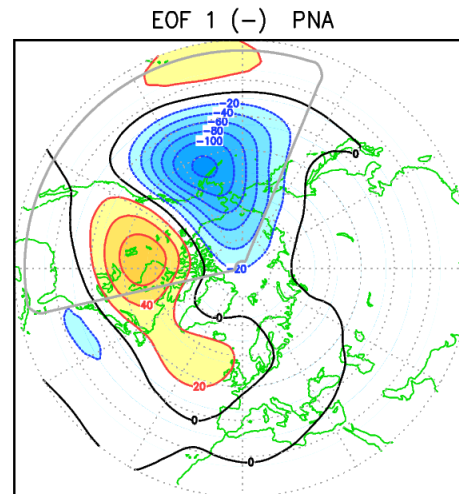
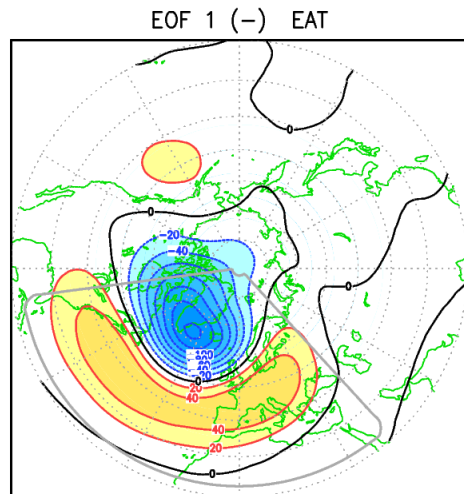
NAO+ 31.5%



Pac Trough 27.7%



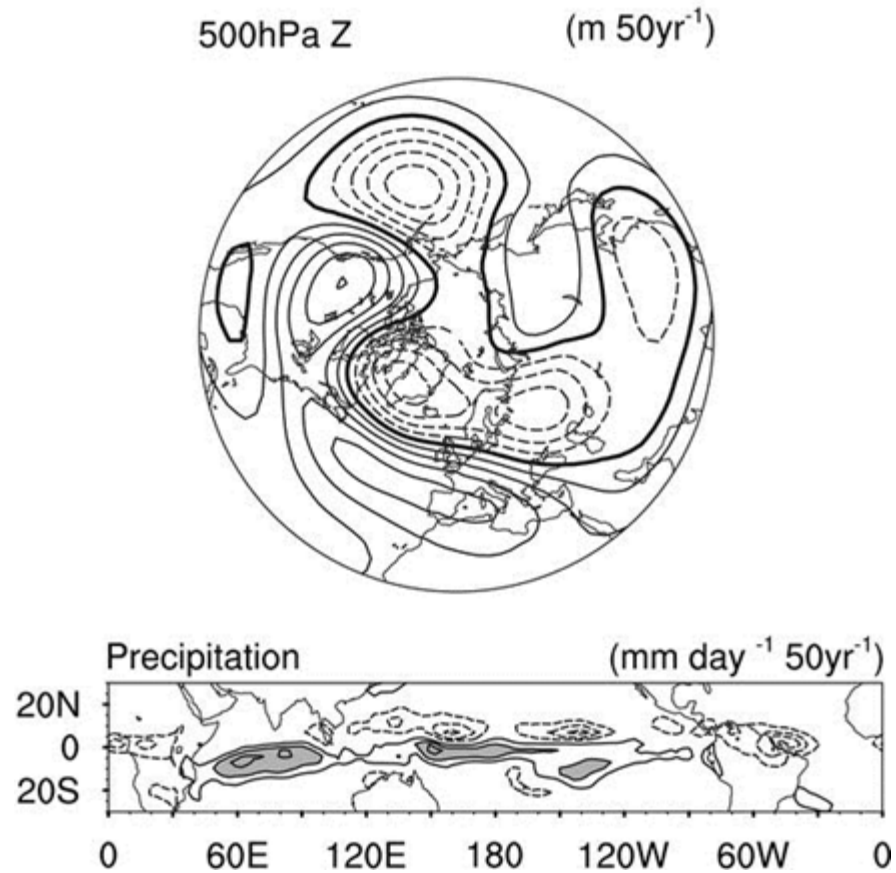
COWL 18.8%



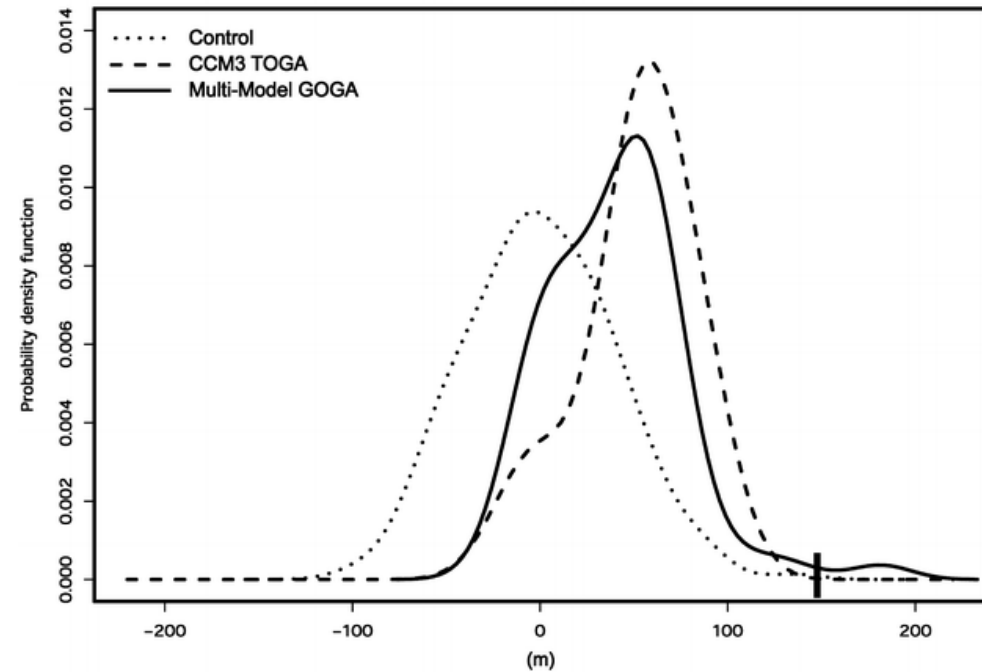


# AGCM exp: late 20<sup>th</sup> cen. trends, Hurrell et al. 2004

Linear Trend (JFM) Multi-AGCM 1950-99

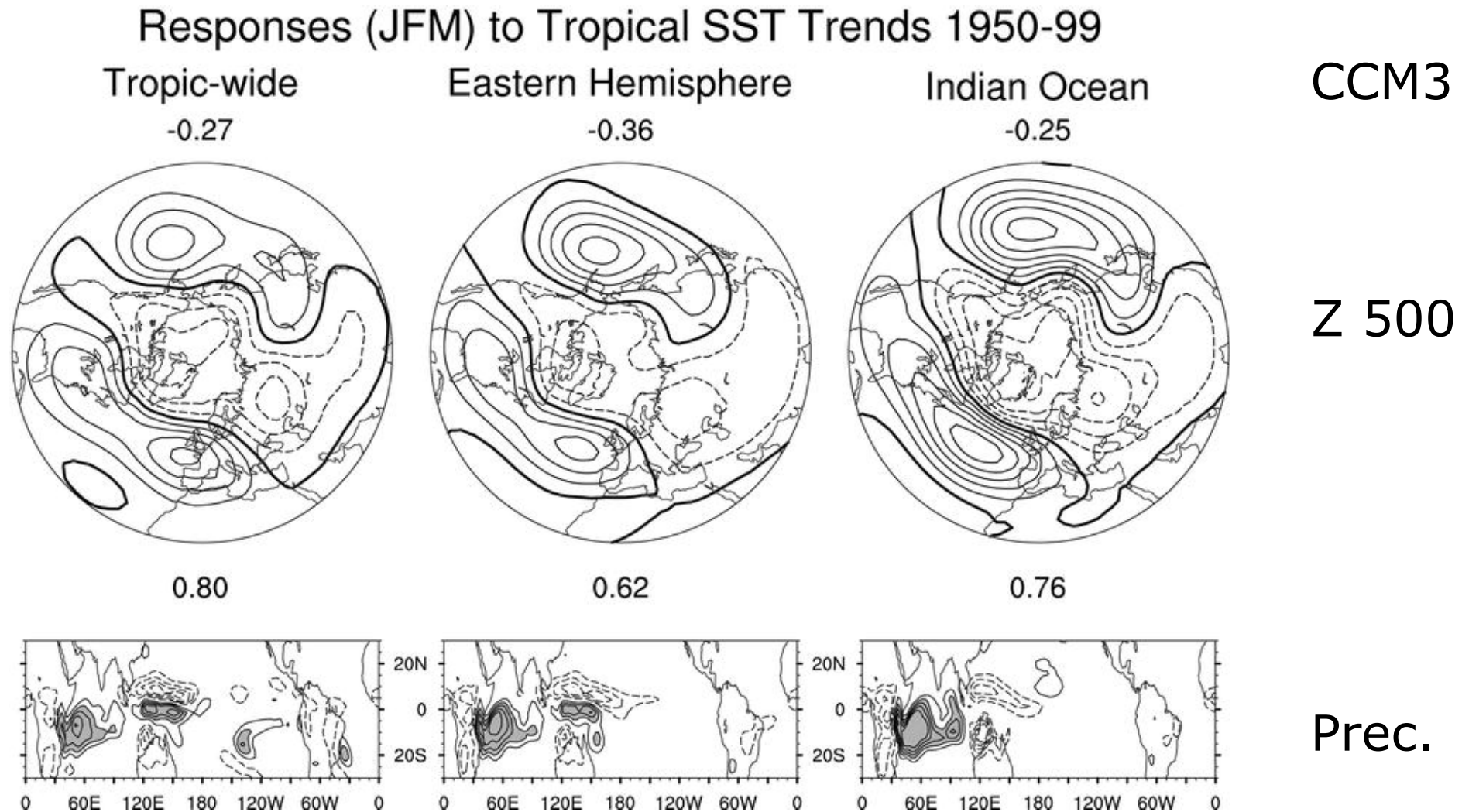


Trend of JFM 500hPa NAO index (1950-99)

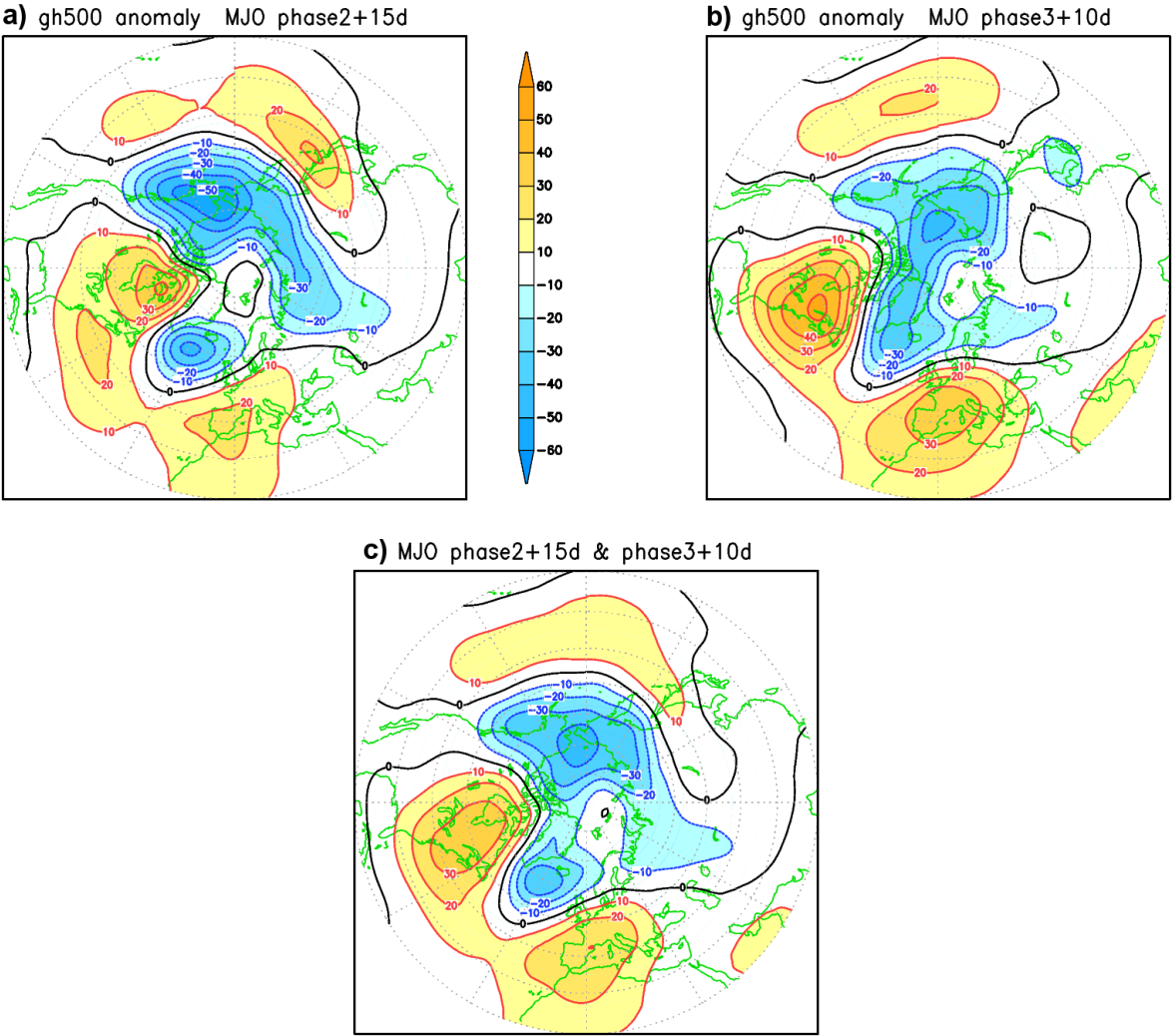


JFM NAO index

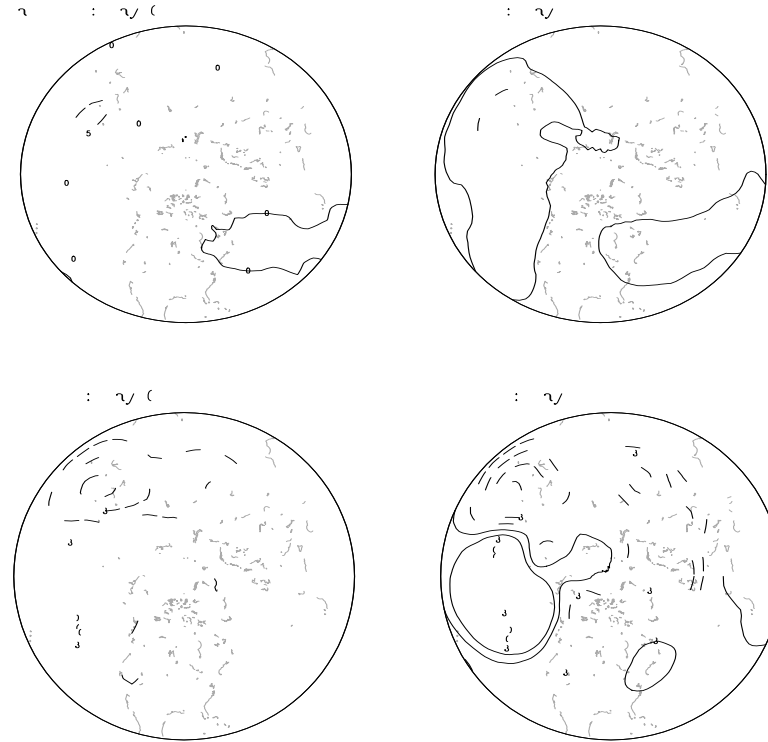
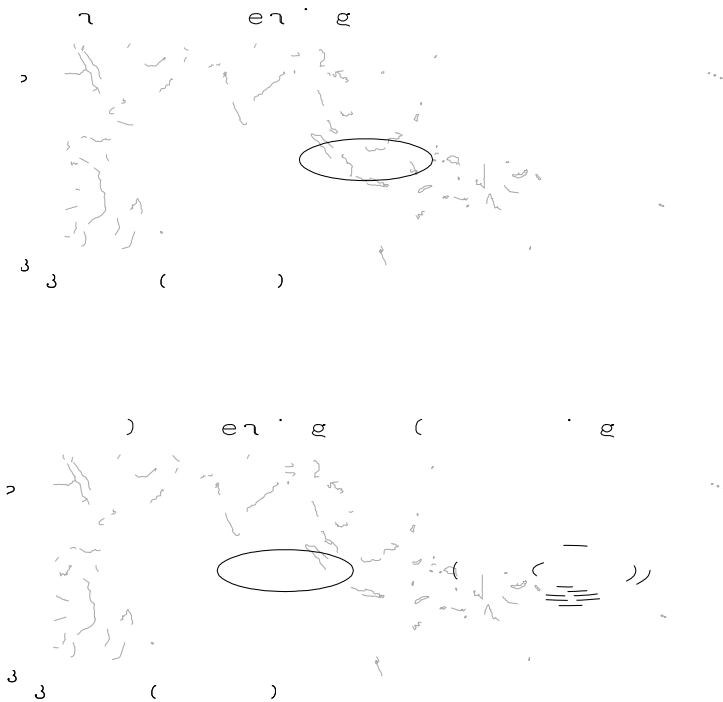
# AGCM exp: late 20<sup>th</sup> cen. trends, Hoerling et al. 2004



# Impact of the MJO on the NH extra-tropics: composites from ERA-int.



# Impact of the MJO on the NH extra-tropics



*Lin et al, MWR 2010*

See also

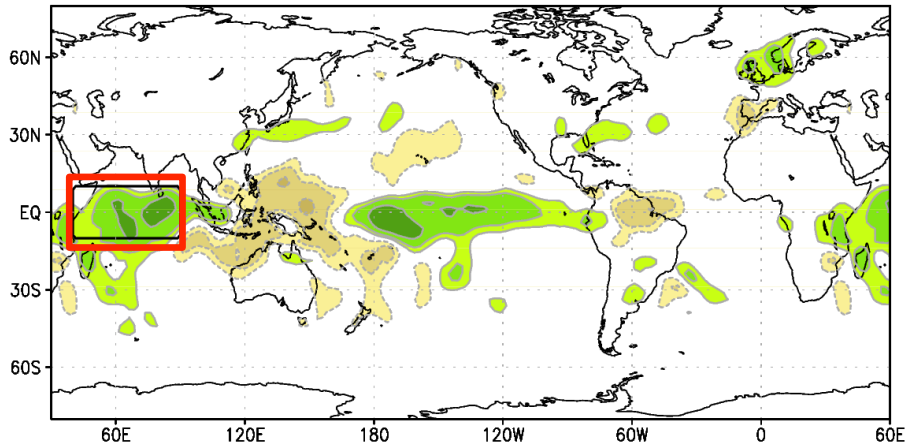
*Simmons et al JAS 1983*

*Ting and Sardeshmukh JAS 1993*

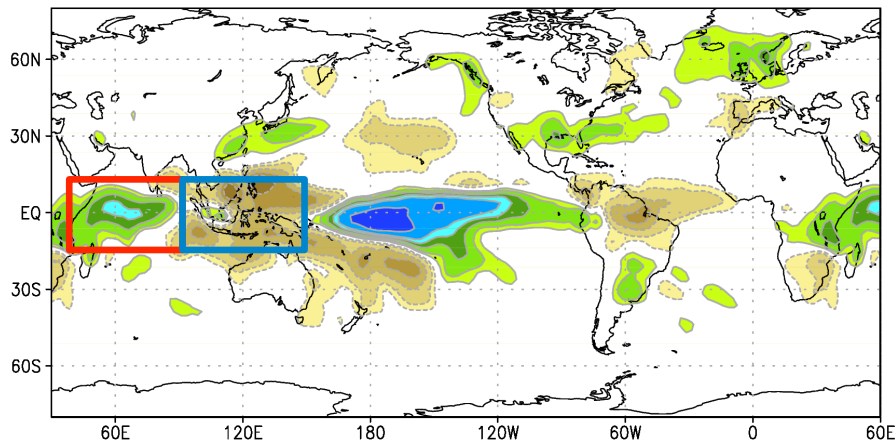


# Teleconnections from Indian Ocean & W. Pacific in DJF

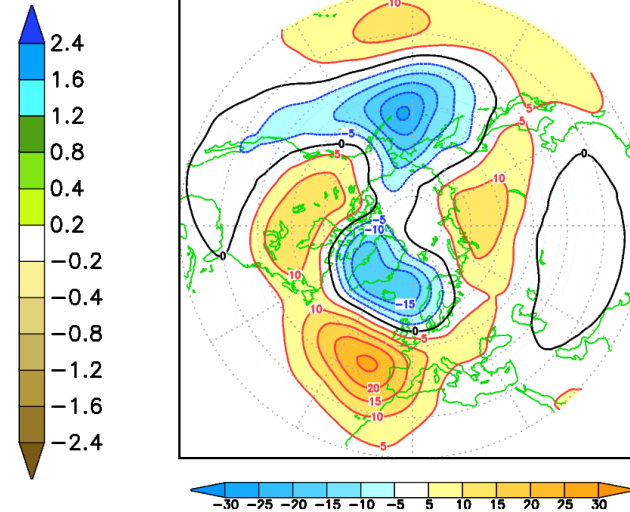
a) cov (wcio, prec)



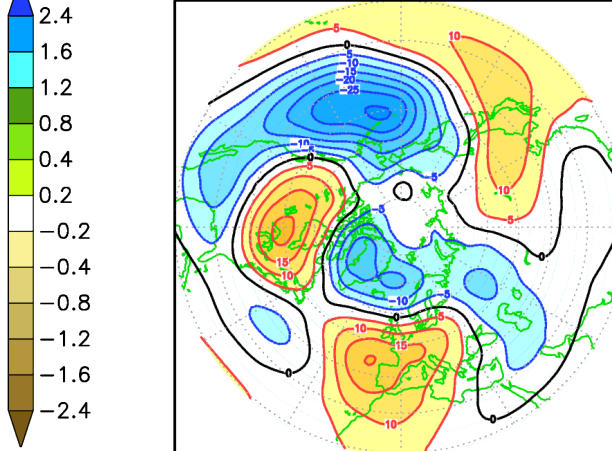
cov (iwpd, prec)



a) cov (wcio, gh500)

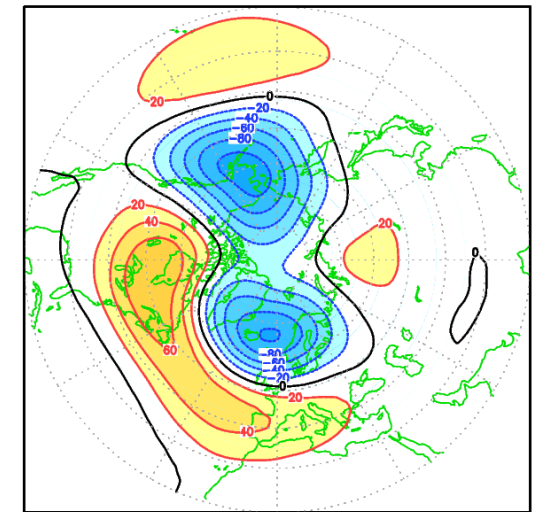


a) cov (iwpd, gh500)

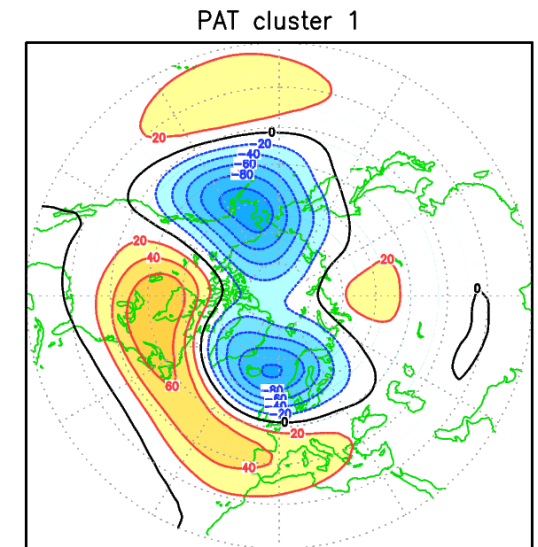
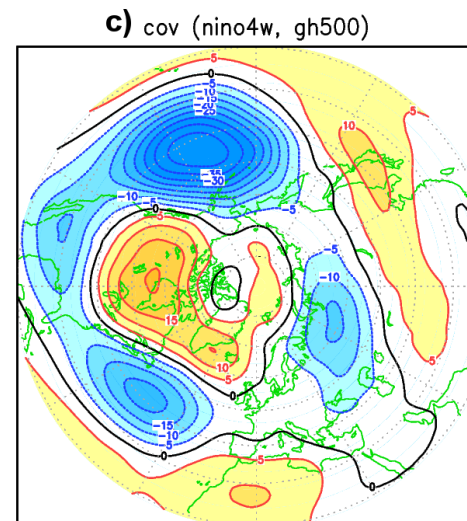
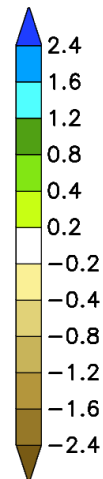
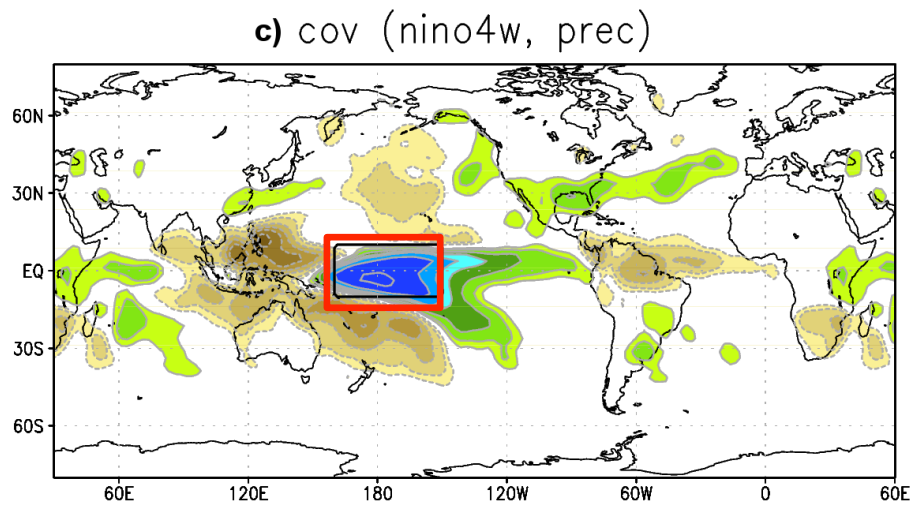
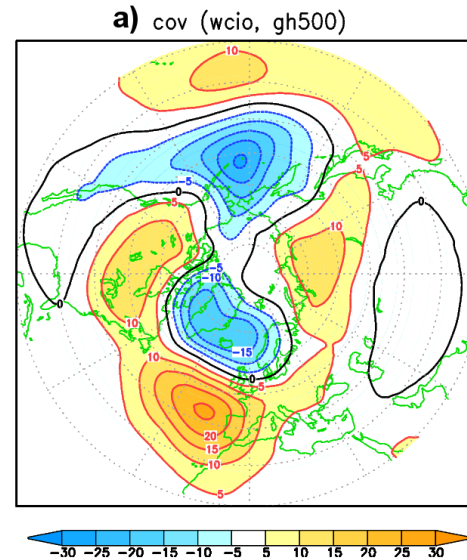
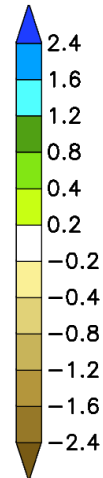
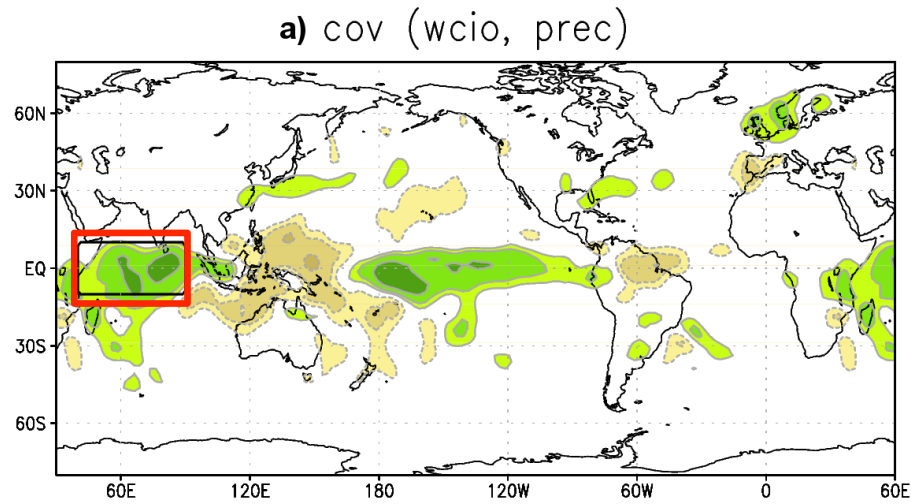


Molteni, Stockdale, Vitart  
ClimDyn 2015

PAT cluster 1

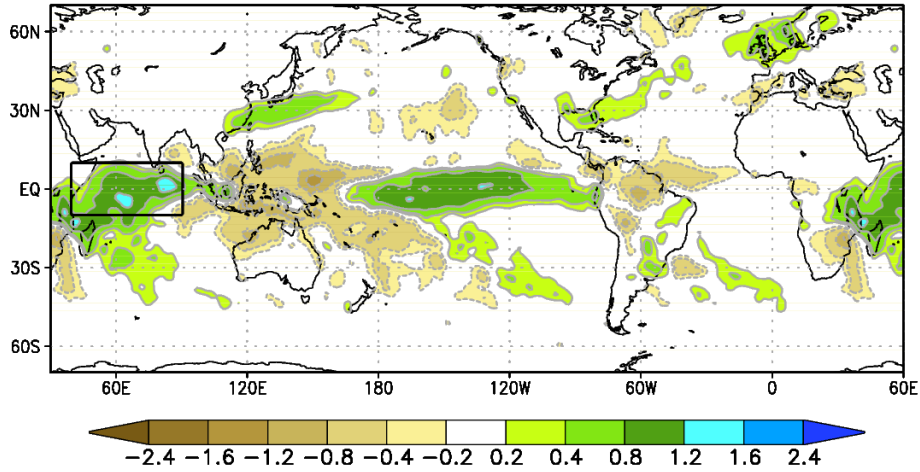


# Teleconnections from Indian Ocean & W. Pacific in DJF

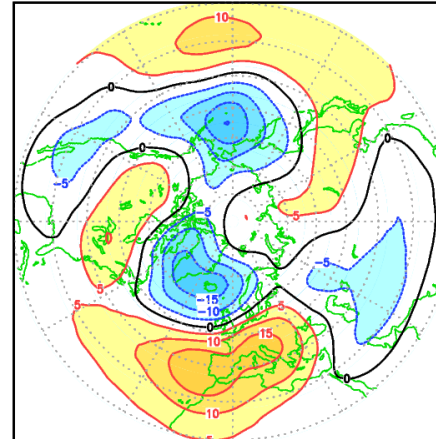


# Covariances with W. Indian Ocean rainfall in CERA20C

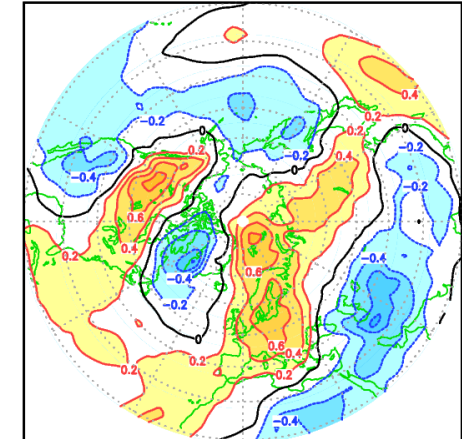
cov [prec(wcio), prec] cera20cr  
jan(3m) 1981 2010



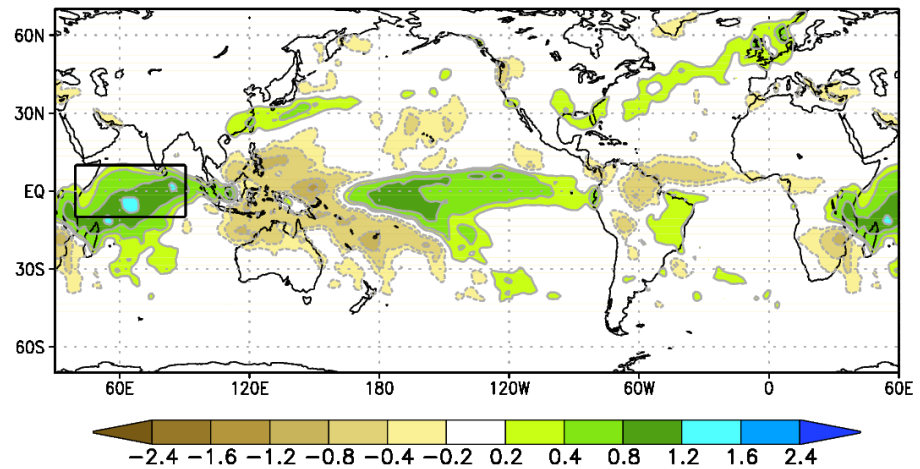
cov [prec(wcio), gh500] cera20cr  
jan(3m) 1981 2010



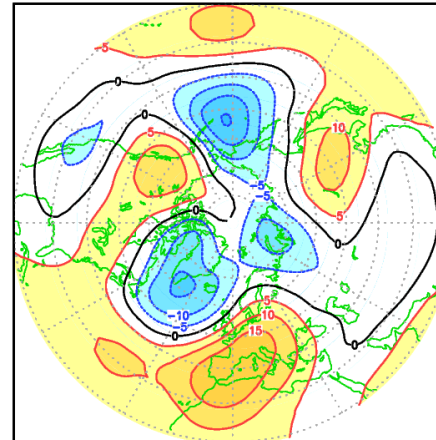
cov [prec(wcio), temp850] cera20cr  
jan(3m) 1981 2010



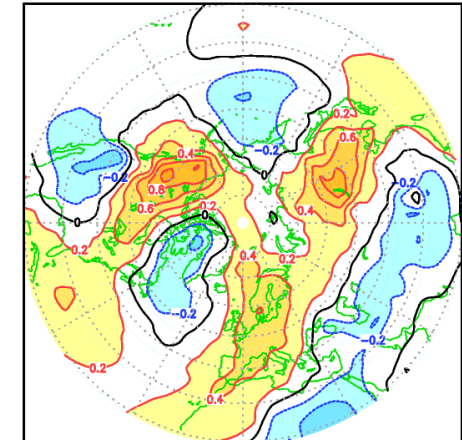
cov [prec(wcio), prec] cera20cr  
jan(3m) 1951 2010



cov [prec(wcio), gh500] cera20cr  
jan(3m) 1951 2010

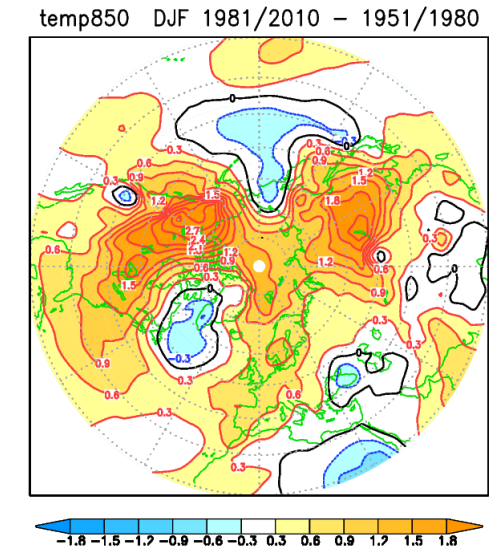
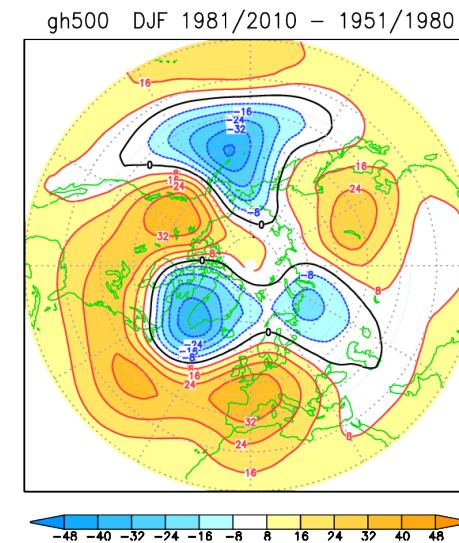
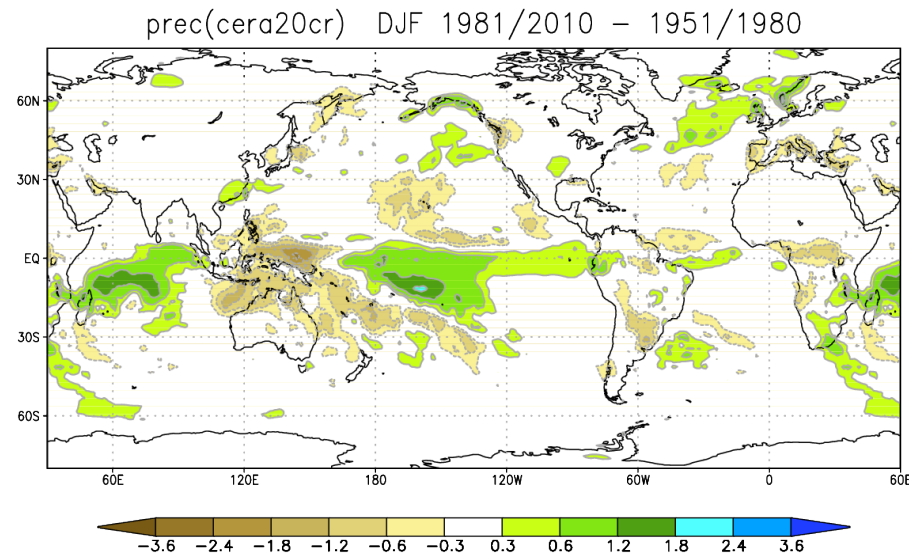
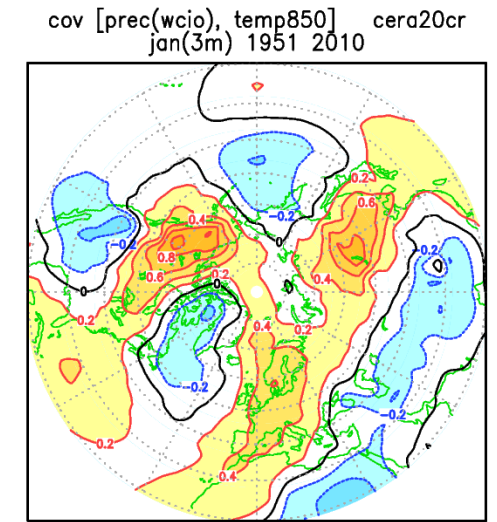
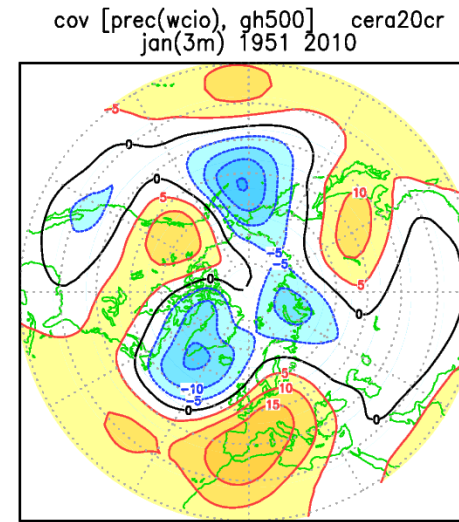
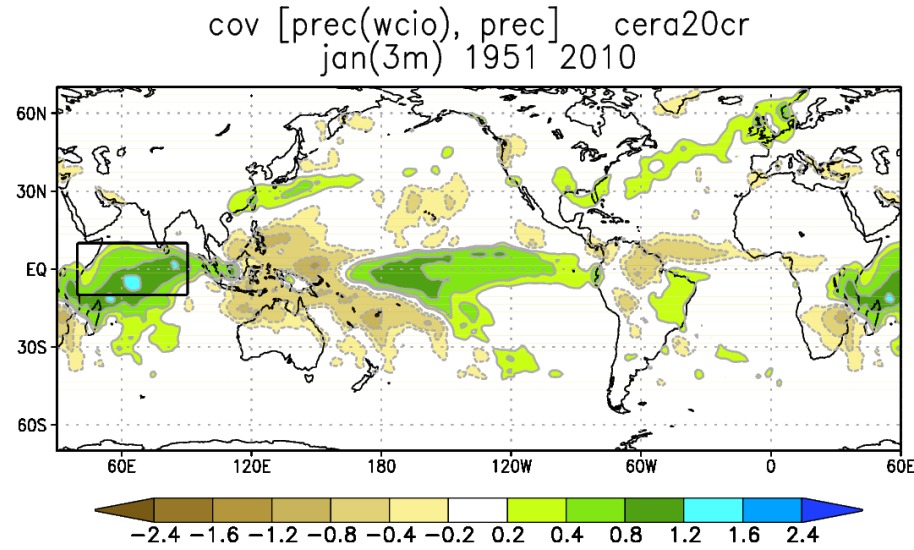


cov [prec(wcio), temp850] cera20cr  
jan(3m) 1951 2010



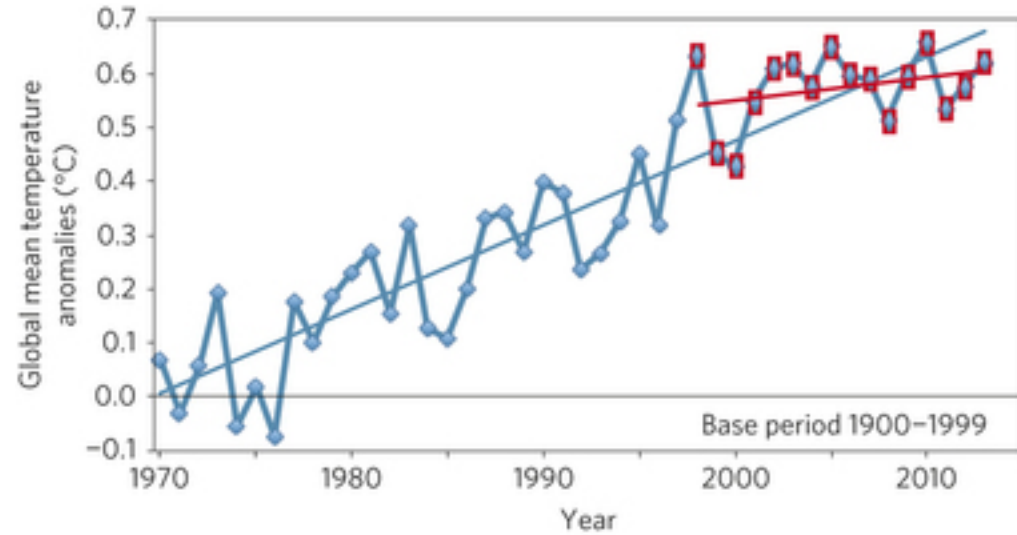


# Teleconnections and multi-decadal variability in CERA20C



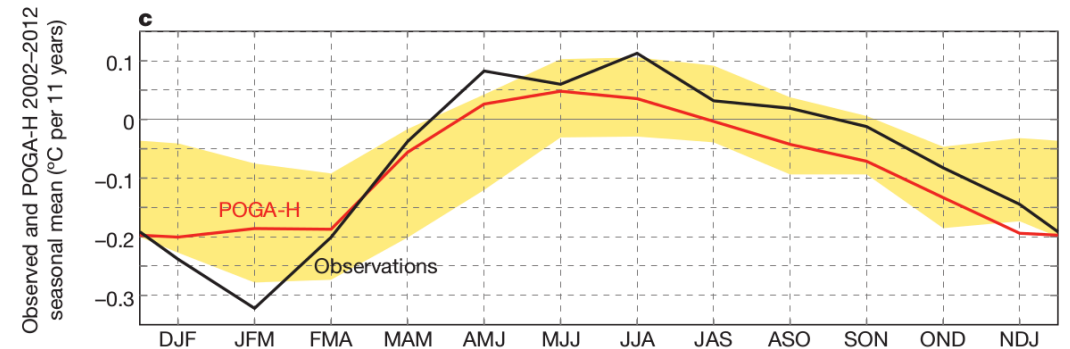
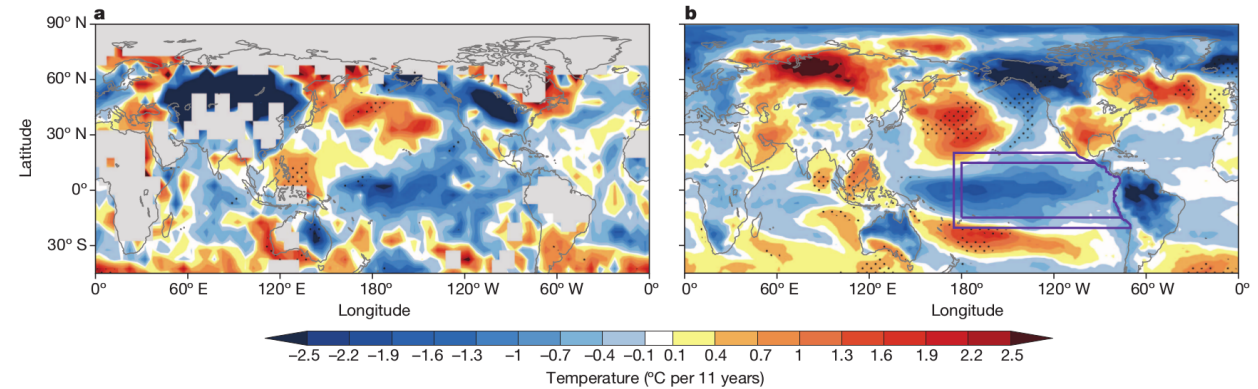


# Modelling decadal variability on near-surface temperature trends

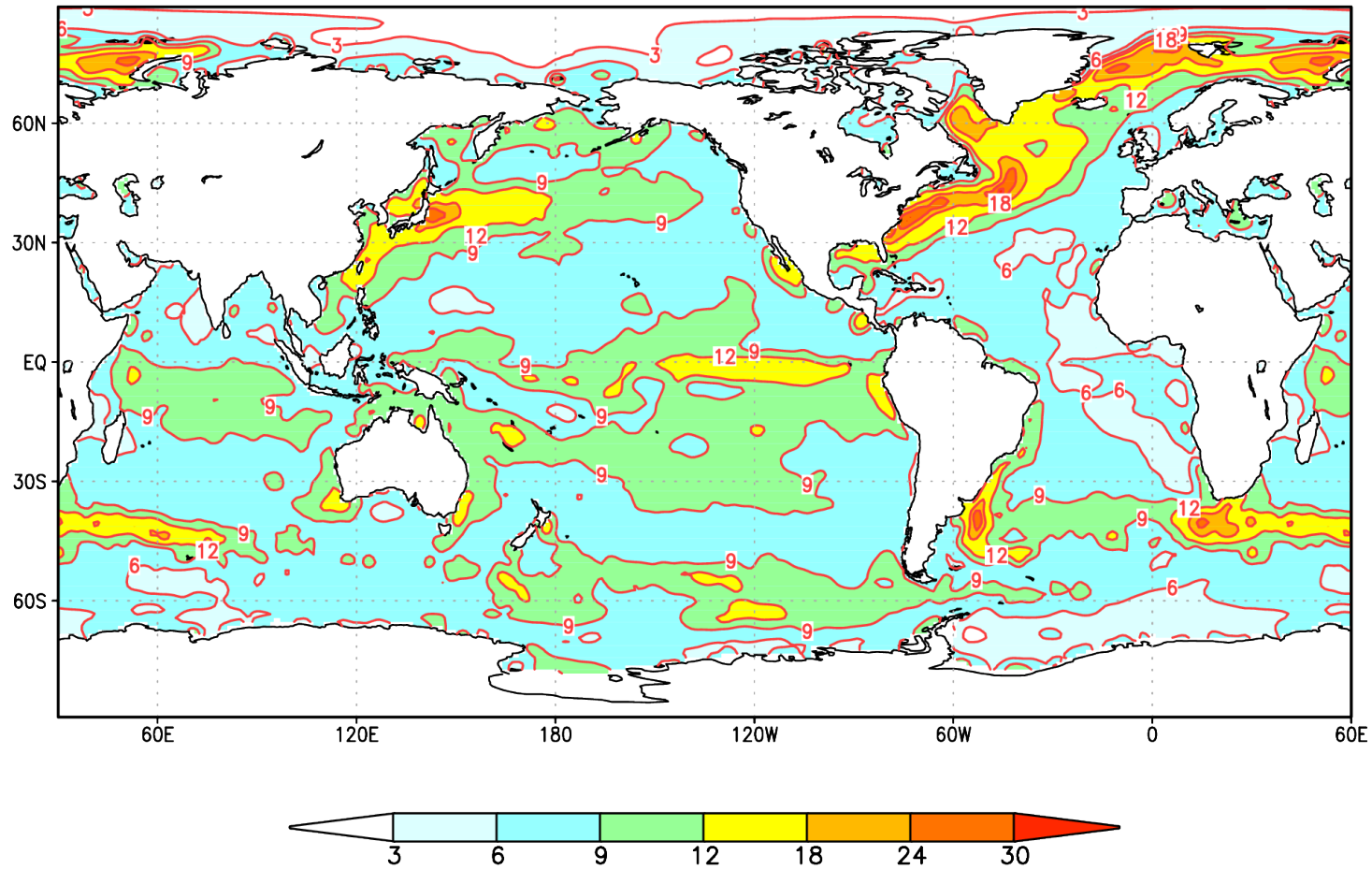


Linear trends from HadCRUT:  
1984-1998: 0.26 °C/decade  
1998-2012: 0.04 °C/decade

Kosaka and Xie (Nature 2013):  
“pacemaker” experiment for 2002-2012



st.dev. of annual-mean non-solar heat flux  
ERA-interim 1979-2013



# Spread in the magnitude of climate model interdecadal global temperature variability traced to disagreements over high-latitude oceans

Patrick T. Brown<sup>1</sup> , Wenhong Li<sup>1</sup> , Jonathan H. Jiang<sup>2</sup> , and Hui Su<sup>2</sup> 

<sup>1</sup>Earth and Ocean Sciences, Nicholas School of the Environment, Duke University, Durham, North Carolina, USA, <sup>2</sup>Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California, USA

**Abstract:** ... efforts to constrain the climate model produced range of unforced interdecadal variability in global SAT would be best served by focussing on air-sea interactions at high latitudes.

## Key Points:

- Ocean model is forced with air-sea fluxes from CMIP5 models to examine the drivers of uncertainty in ocean circulation and heat uptake (OHU)
- High-latitude air-sea fluxes are the dominant source of uncertainty in the spread of Atlantic MOC and OHU over model structural uncertainty
- Subgrid-scale parameters lead to large uncertainty in the circulation and OHU, especially in the Pacific and Southern Oceans

## Key Points:

- Climate models show substantial disagreement on the magnitude of natural global mean surface temperature variability
- The spread in the simulated magnitude of global temperature variability is not due to model disagreement over the tropical Pacific
- The spread in the simulated magnitude of global temperature variability is linked strongly to model disagreement over high-latitude oceans

# Drivers of uncertainty in simulated ocean circulation and heat uptake

Markus B. Huber<sup>1</sup>  and Laure Zanna<sup>1</sup> 

<sup>1</sup>Department of Physics, University of Oxford, Oxford, UK

**Abstract:** ... This study demonstrates that model biases in air-sea fluxes are one of the key sources of uncertainty in climate simulations.

# Co-variability of NH ocean heat fluxes and circulation anomalies

Thermal forcing  
Wave index (TW)  
in DJF 1982 – 2011

Positive =  
Increased heat flux  
from oceans to atm. in  
40N-70N band

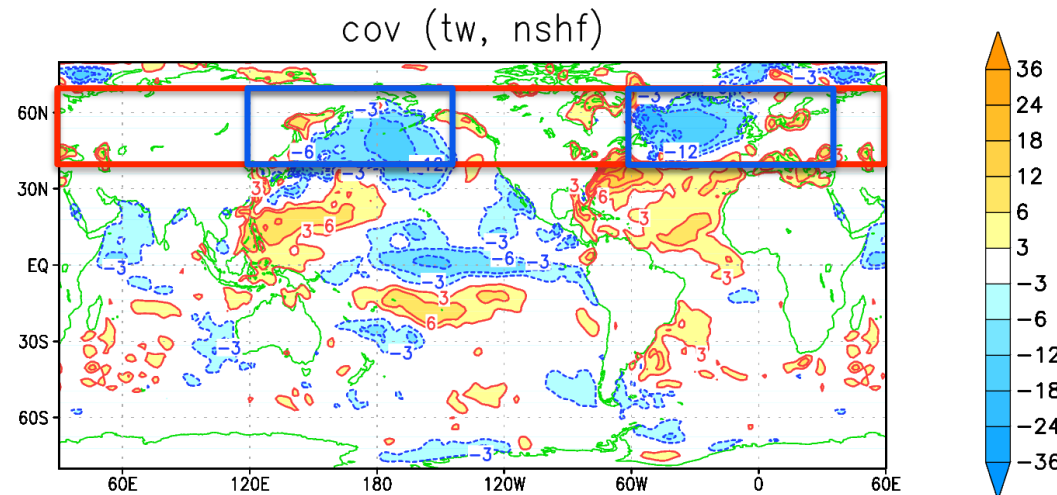
(Molteni et al. 2011,  
2017)

inspired by theories on  
thermal equilibration  
of planetary waves:

Mitchell and Derome 1983  
Shutts 1987  
Marshall and So 1990

Covariance with TW  
index in DJF  
(from ERA-  
interim):

Z 500 hPa



Net downward  
surface  
heat flux

# Co-variability of NH ocean heat fluxes and circulation anomalies

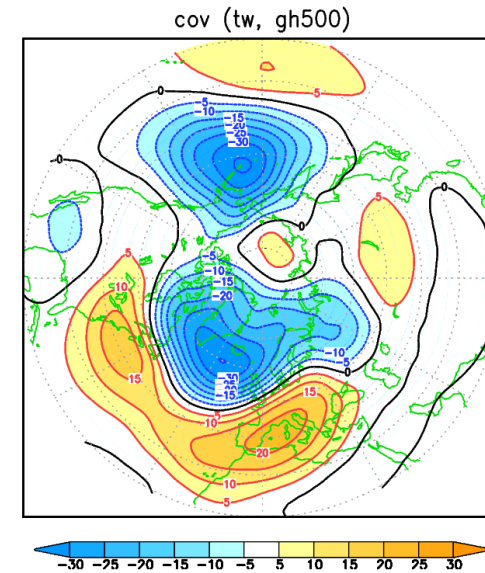
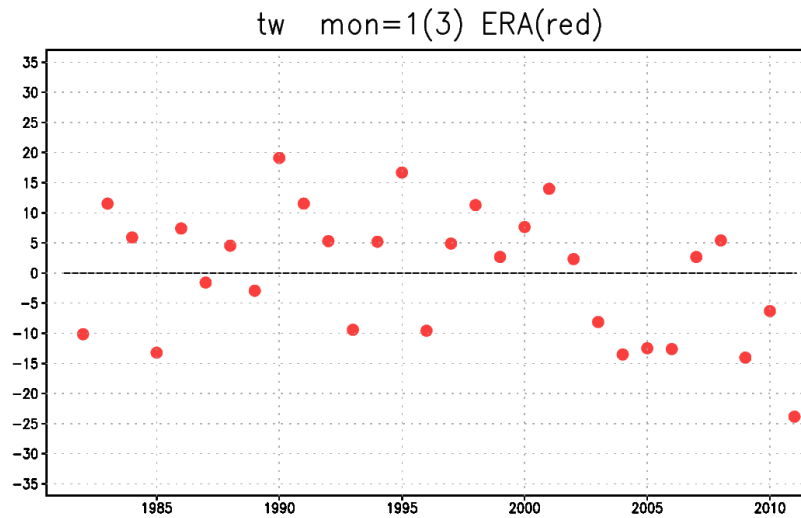
Thermal forcing  
Wave index (TW)  
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Positive =  
Increased heat flux  
from oceans to atm. in  
40N-70N band

(Molteni et al. 2011,  
2017)

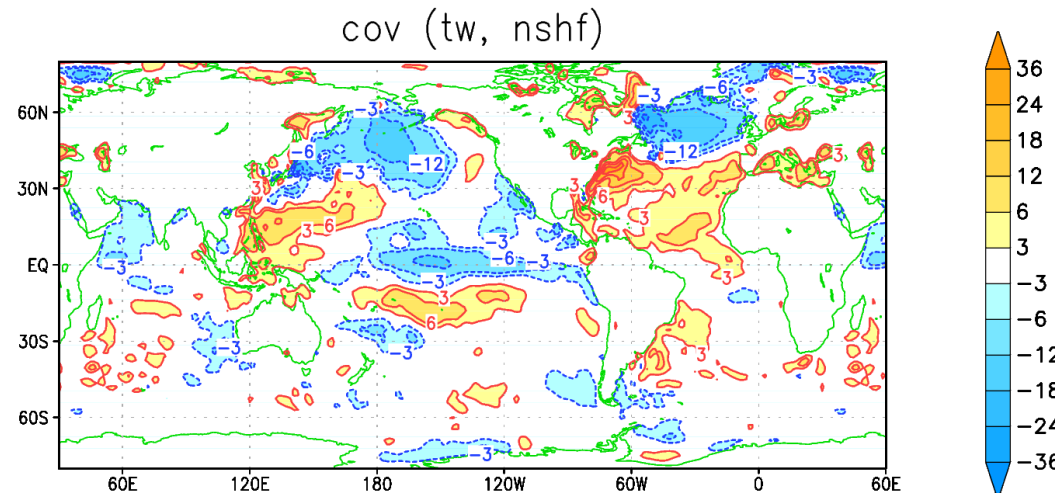
inspired by theories on  
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Mitchell and Derome 1983  
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Covariance with TW  
index in DJF  
(from ERA-  
interim):

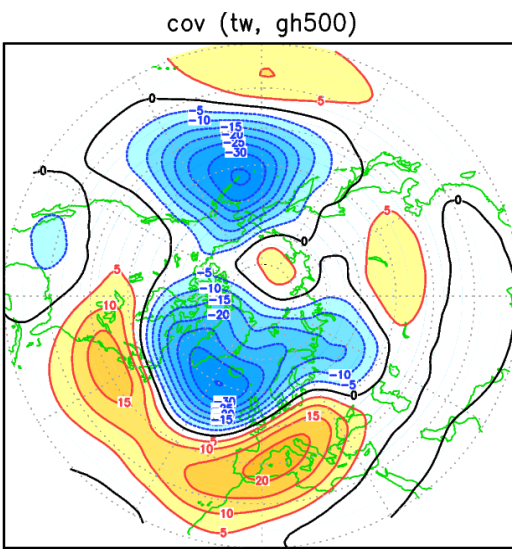
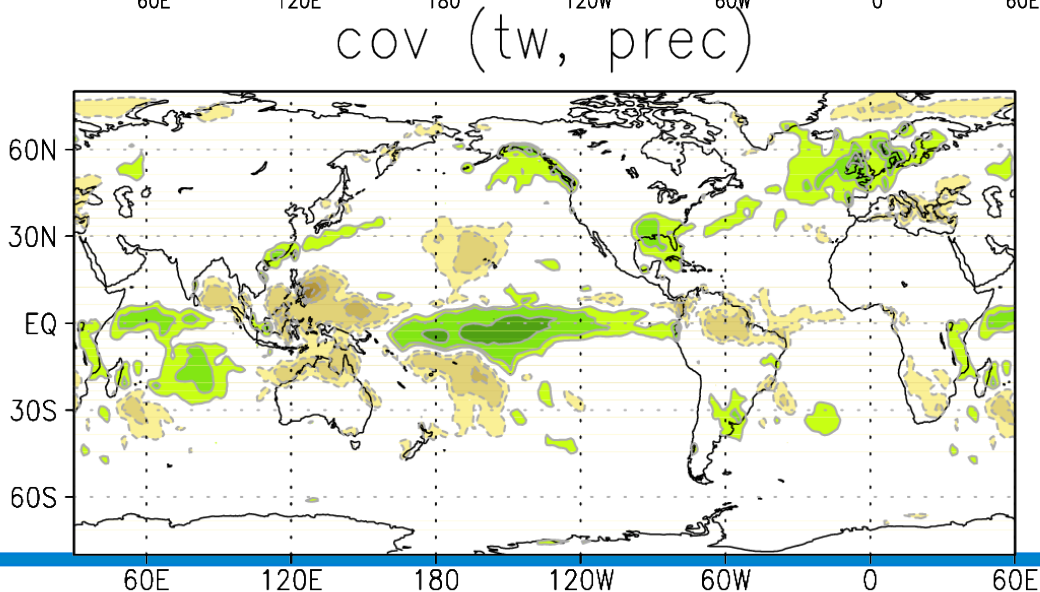
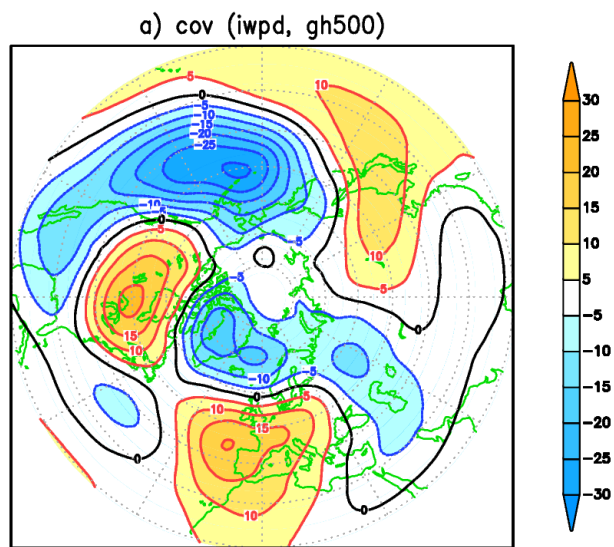
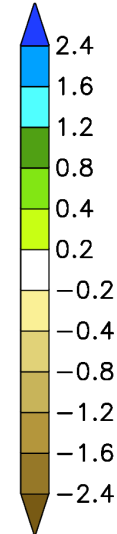
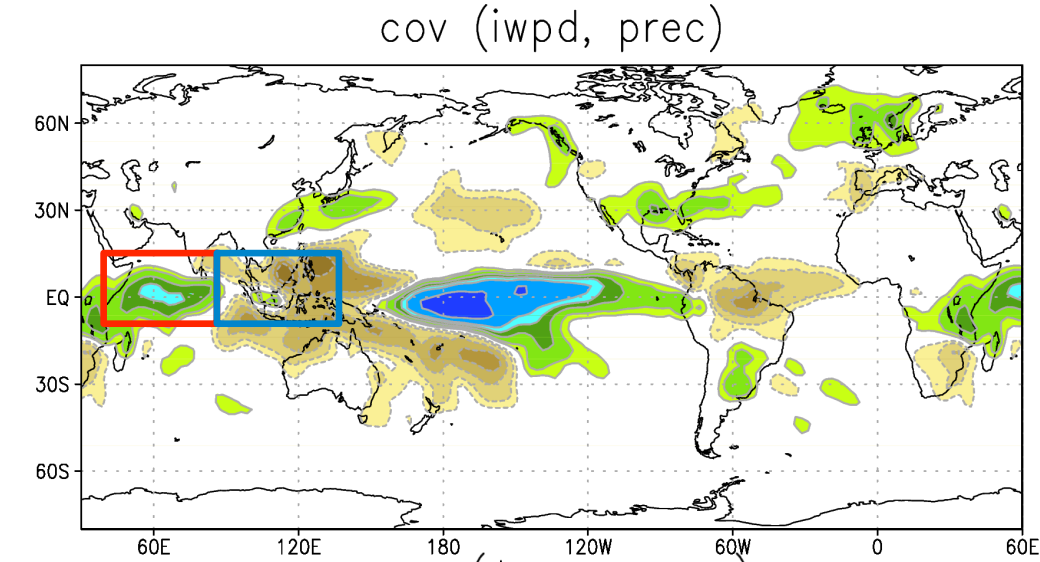
Z 500 hPa



Net downward  
surface  
heat flux

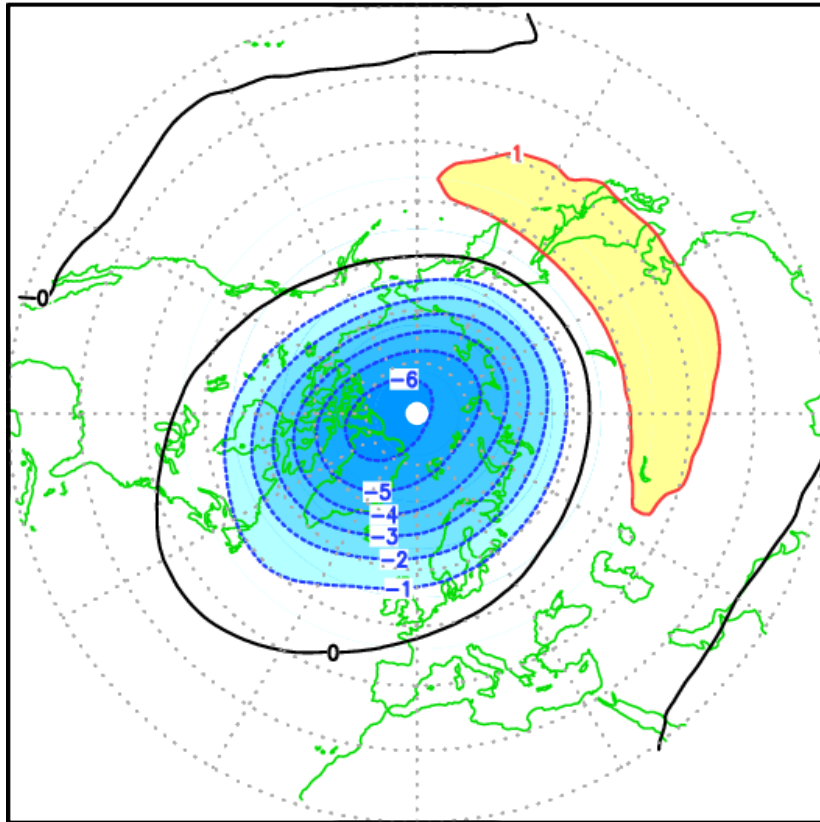


# NH heat flux co-variability with tropical Indo-Pacific rainfall

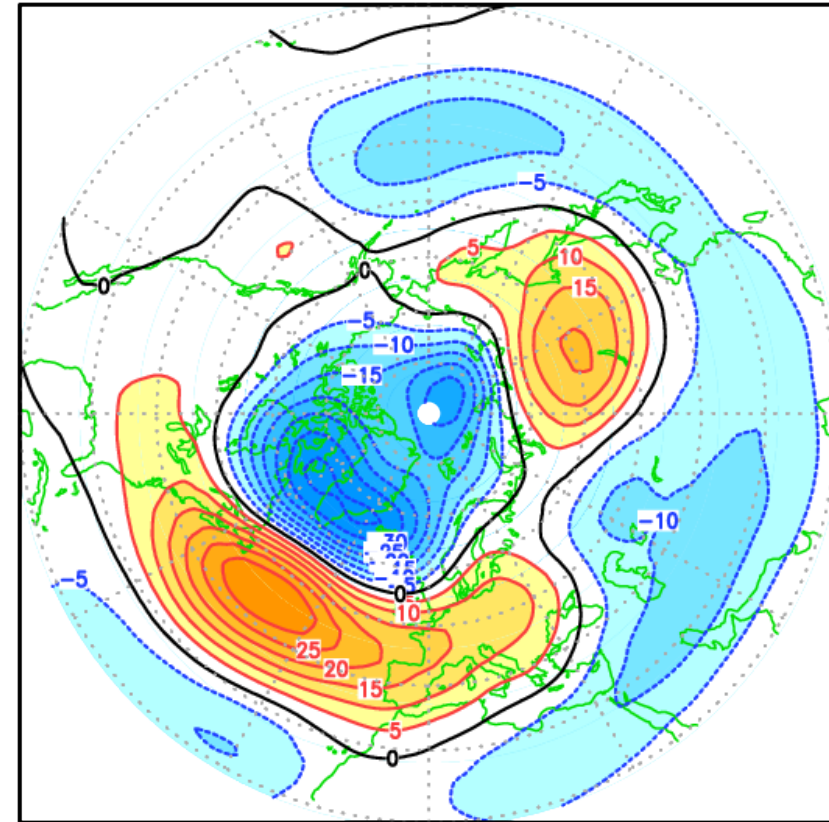


# 1st EOF of T 100 hPa in DJF and its covariance with Z 500 hPa

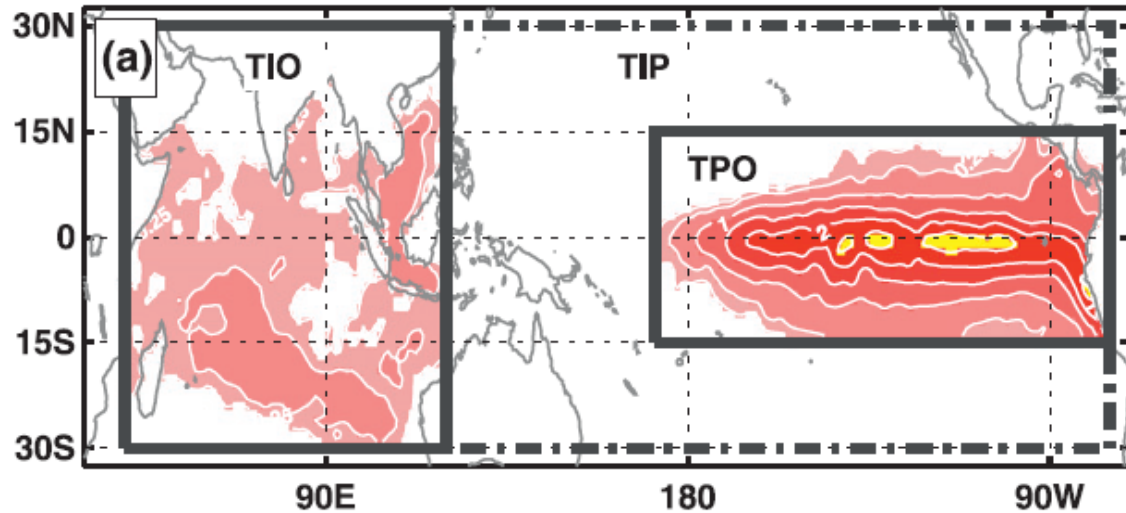
EOF-1 T 100 hPa D-J-F



cov (T\_100 PC1, gh 500 hPa)



# A role for the stratosphere (Fletcher, Kushner, Cassou 2010/2013/2015)



Fletcher & Kushner 2010

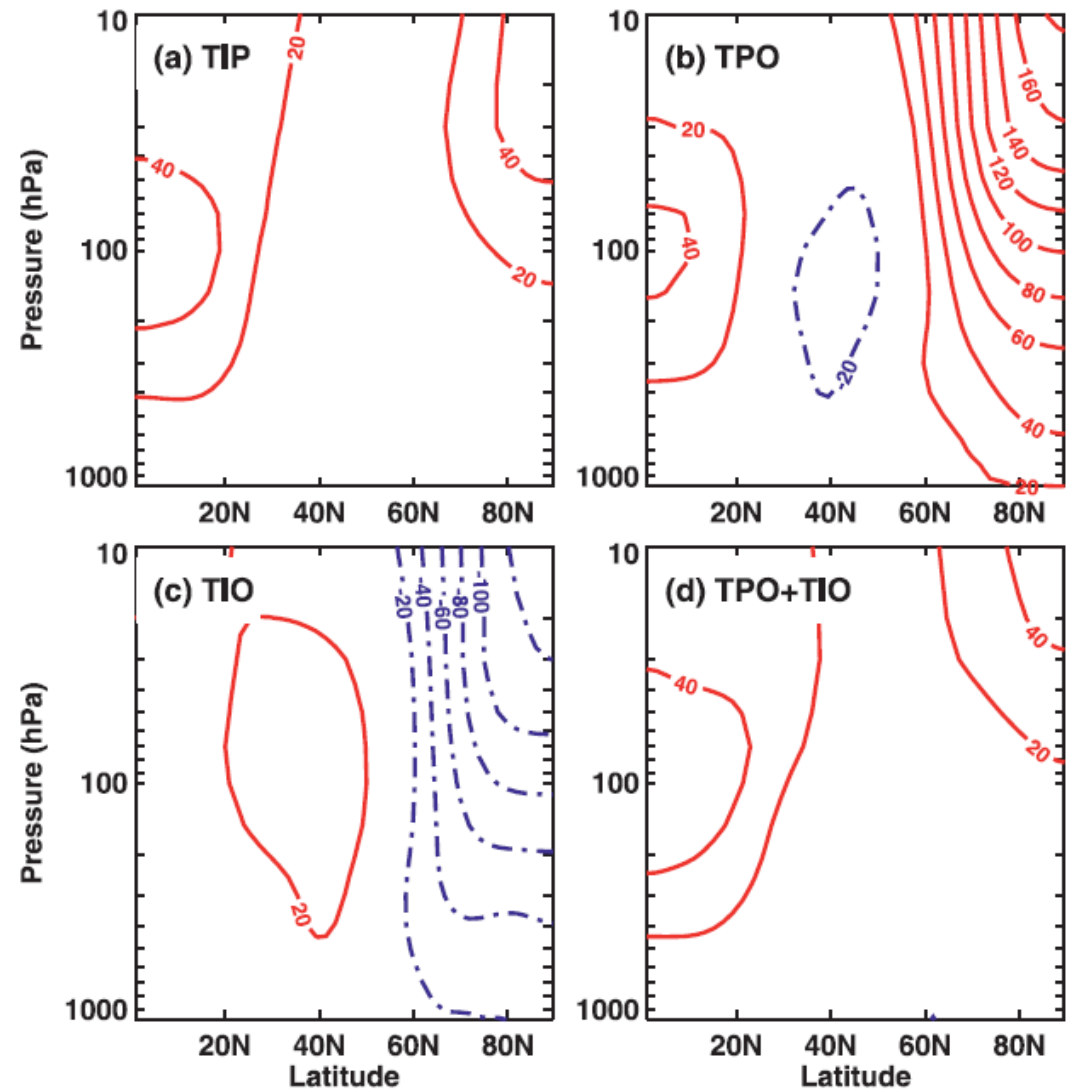
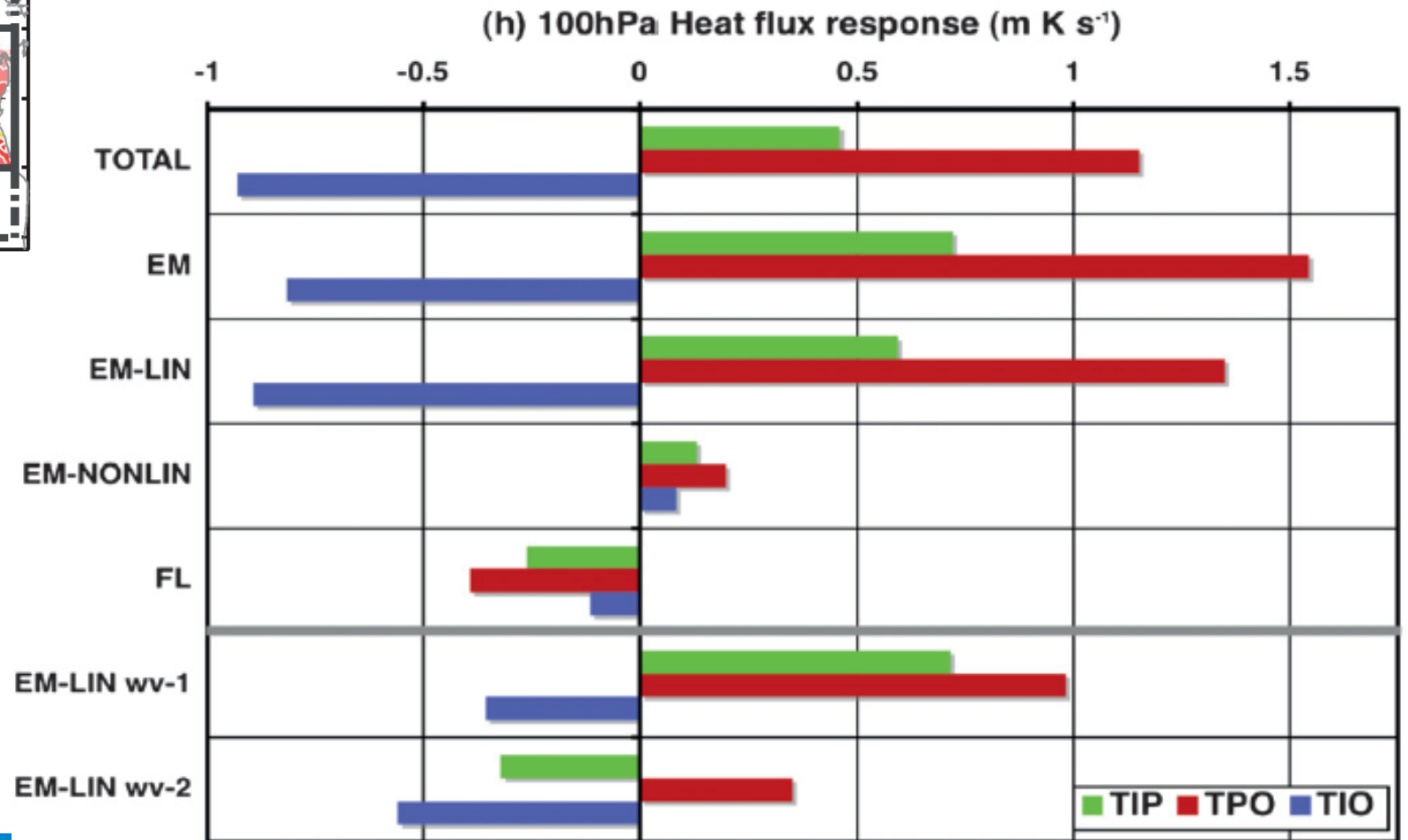
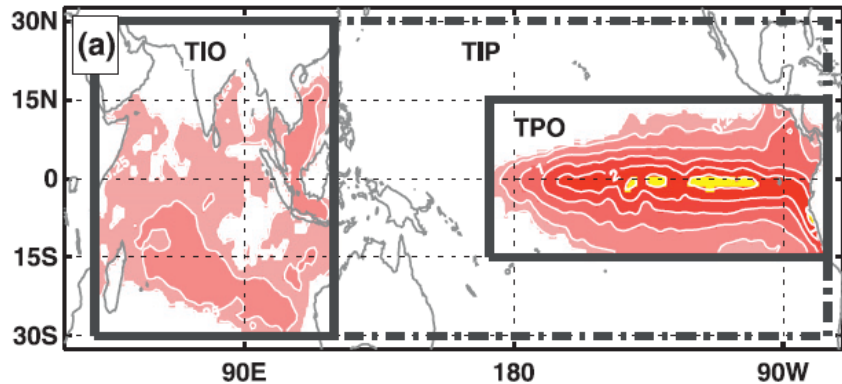


FIG. 5. The ensemble-mean JF zonal mean geopotential height response as a function of latitude and pressure in (a) TIP, (b) TPO, (c) TIO, and (d) the sum of the TPO and TIO responses. The contour interval is 20 m and negative contours are dashed.

# Zonal mean heat transport [ $v^*T^*$ ] in the lower stratosphere



# Summary

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- Flow regimes in the North Atlantic and North Pacific sectors can be detected independently and explained by dynamical interactions on a regional scale.
- Teleconnections from tropical rainfall anomalies can create preferred combinations of Atlantic and Pacific regimes, and particularly a planetary wavenumber-2 regime with anomalies of the same sign on the northern side of both oceans ( $\sim$  COWL pattern). This occurs when rainfall anomalies of the same sign and comparable amplitude exist in the W Indian Ocean and central Pacific.
- This teleconnections is important for both seasonal and decadal scales, and is also similar to the teleconnections from MJO phase 2-3. It is also related to anomalies in surface heat fluxes over the northern oceans.
- The Rossby waves originated by the Indian and Pacific ocean heating anomalies have opposite effects on the meridional heat flux convergence into the polar lower stratosphere/upper troposphere, creating opposite forcings on the polar vortex. In turn, this can affect the phase/intensity of the NAO response.