





University of Cagliari, Italy

Wild Patterns: Ten Years After the Rise of Adversarial Machine Learning

Battista Biggio

* Slides from this talk are inspired from the tutorial I prepared with *Fabio Roli* on such topic. https://www.pluribus-one.it/sec-ml/wild-patterns/

Winter School on Quantitative Systems Biology: Learning and Artificial Intelligence, Nov. 15-16, Trieste, Italy

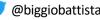
A Question to Start...

What is the oldest survey article on machine learning that you have ever read?

What is the publication year?







This Is Mine... Year 1966

Pattern Recognition

By Denis Rutovitz

Medical Research Council

[Read before the ROYAL STATISTICAL SOCIETY on Wednesday, May 18th, 1966, the President, Mr L. H. C. TIPPETT, in the Chair]

1. INTRODUCTION

DURING the past 10 years about 200 articles and several books have appeared, dealing with machine recognition of optical and other patterns (mainly alphabetic characters and numerals). About half of these have described methods not linked to a specific







Applications in the Old Good Days...

What applications do you think that this paper dealt with?

Pattern Recognition

By Denis Rutovitz

Medical Research Council

[Read before the ROYAL STATISTICAL SOCIETY on Wednesday, May 18th, 1966, the President, Mr L. H. C. TIPPETT, in the Chair]







Popular Applications in the Sixties



OCR for bank cheque sorting

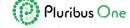




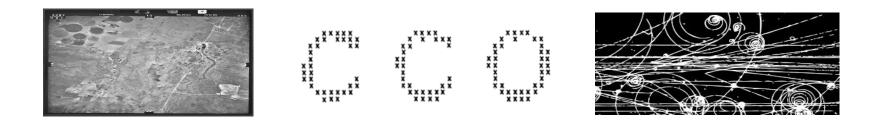
Aerial photo recognition

Detection of particle tracks in bubble chambers





Key Feature of these Apps



Specialised applications for professional users...

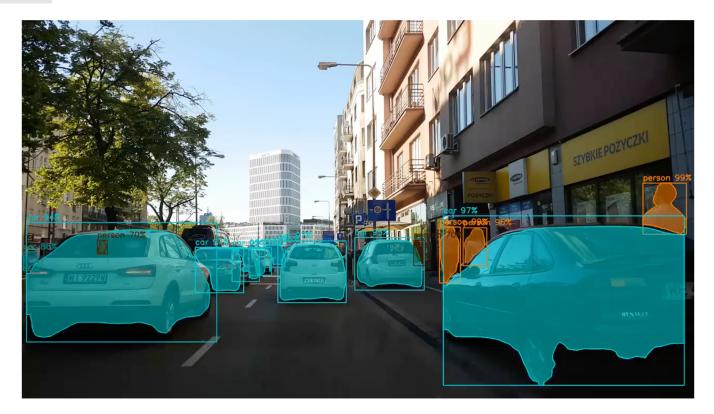






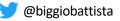
How About Today's Applications of AI?

Computer Vision for Self-Driving Cars









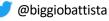
He et al., Mask R-CNN, ICCV '17, https://arxiv.org/abs/1703.06870 Video from: https://www.youtube.com/watch?v=OOT3UIXZztE

Automatic Speech Recognition for Virtual Assistants

- Amazon Alexa https://developer.amazon.com/it/alexa-skills-kit/asr
- Apple Siri <u>https://machinelearning.apple.com/2017/10/01/hey-siri.html</u>
- Microsoft Cortana <u>https://developer.microsoft.com/en-us/windows/speech</u>
- Google Assistant <u>https://developers.google.com/assistant/sdk/</u>







Today Applications of Machine Learning





FaceLock



🔰 @biggiobattista

Key Features of Today Apps

Personal and **consumer** applications...







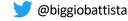
We Are Living in the Best of the Worlds...

AI is going to transform industry and business as electricity did about a century ago

(Andrew Ng, Jan. 2017)







All Right? All Good?

iPhone 5s and 6s with Fingerprint Reader...







Hacked a Few Days After Release...

iPhone 5S fingerprint sensor hacked by Germany's Chaos Computer Club

Biometrics are not safe, says famous hacker team who provide video showing how they could use a fake fingerprint to bypass phone's security lockscreen

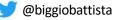
Follow Charles Arthur by email

Charles Arthur theguardian.com, Monday 23 September 2013 08.50 BST Jump to comments (306)









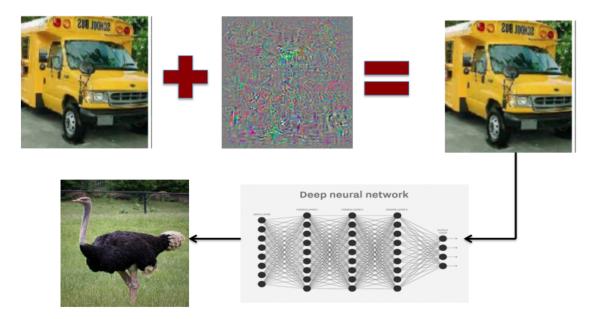




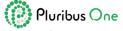
But maybe this happens only for old, shallow machine learning...

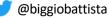
End-to-end deep learning is another story...

Adversarial School Bus



Szegedy et al., Intriguing properties of neural networks, ICLR 2014 *Biggio, Roli et al.*, Evasion attacks against machine learning at test time, ECML-PKDD 2013





Adversarial Glasses

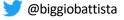
- M. Sharif et al. (ACM CCS 2016) attacked deep neural networks for face recognition with carefully-fabricated eyeglass frames
- When worn by a 41-year-old white male (left image), the glasses mislead the deep network into believing that the face belongs to the famous actress Milla Jovovich





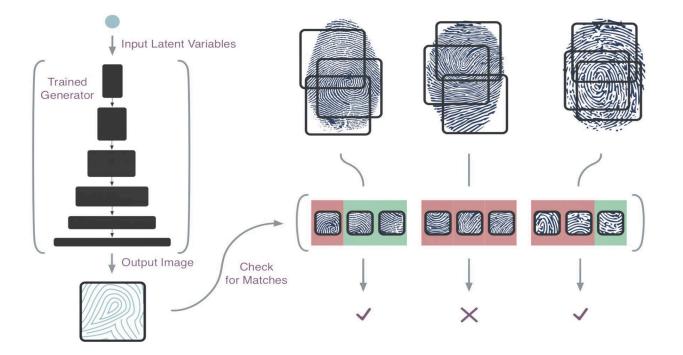


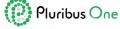




Generating Master Keys for Fingerprints (AI vs AI...)

Generative Adversarial Networks (GANs) can generate fingeprint images that correctly match many real fingerprints





But maybe this happens only for image recognition...

Audio Adversarial Examples

Audio

Transcription by Mozilla DeepSpeech

"without the dataset the article is useless"



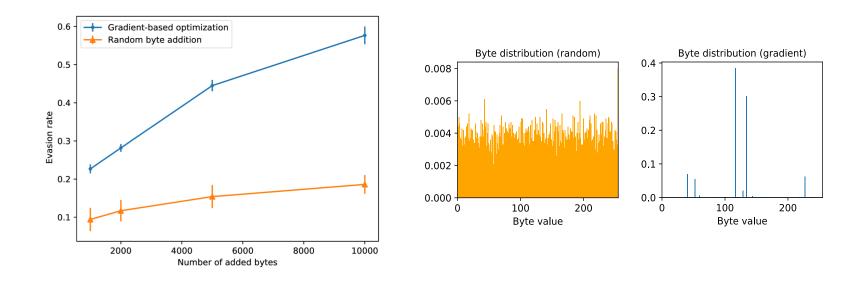
"okay google browse to evil dot com"





Deep Neural Networks for EXE Malware Detection

- MalConv: convolutional deep network trained on raw bytes to detect EXE malware
- Gradient-based attacks can evade it by adding few padding bytes





22

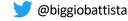
Take-home Message

We are living exciting time for *machine learning*...

...Our work feeds a lot of **consumer technologies** for **personal applications**...

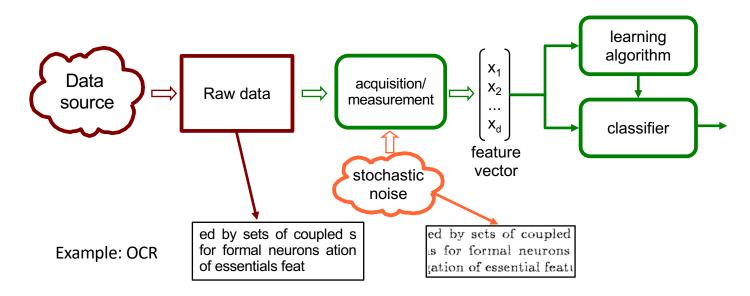
This opens up new big possibilities, but also new security risks





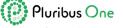
Where Do These *Security Risks* Come From?

The Classical Statistical Model



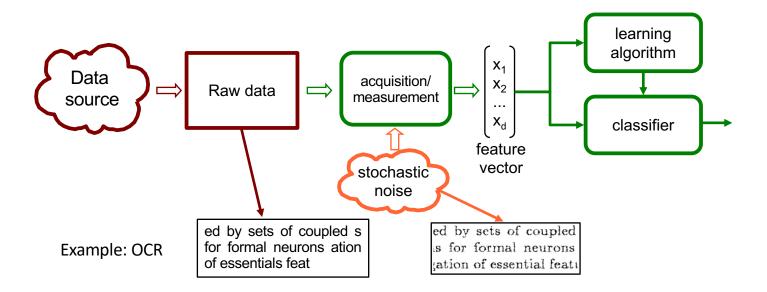
Note these two implicit assumptions of the model:

- 1. the source of data is given, and it does not dependent on the classifier
- 2. noise affecting data is stochastic



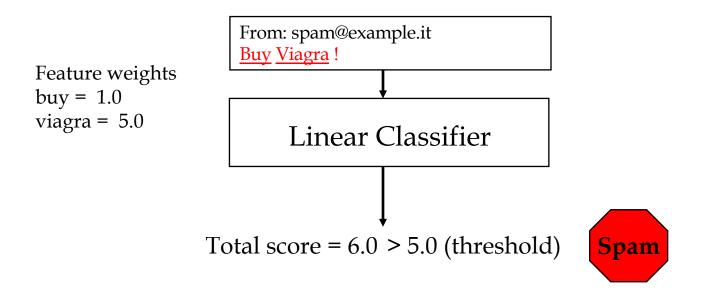
Øbiggiobattista

Can This Model Be Used Under Attack?

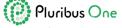




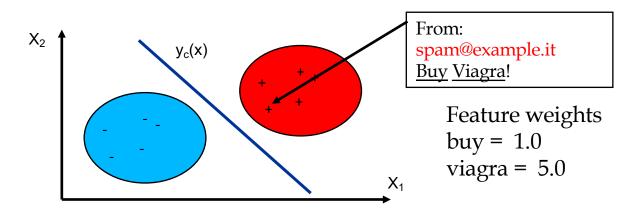
An Example: Spam Filtering



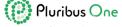
The famous SpamAssassin filter is really a linear classifierhttp://spamassassin.apache.org



Feature Space View

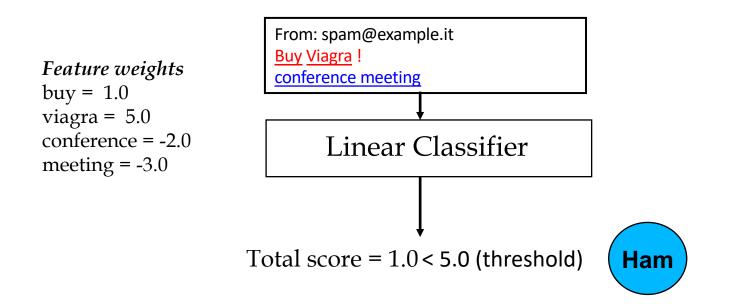


- Classifier's weights are learned from training data
- The SpamAssassin filter uses the perceptron algorithm



But spam filtering is not a *stationary* classification task, the data source is not neutral...

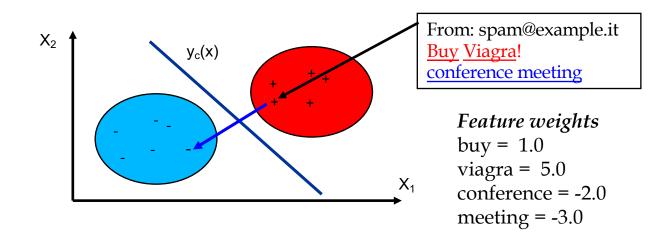
The Data Source Can Add "Good" Words



✓ Adding "good" words is a typical spammers' trick [Z. Jorgensen et al., JMLR 2008]



Adding Good Words: Feature Space View

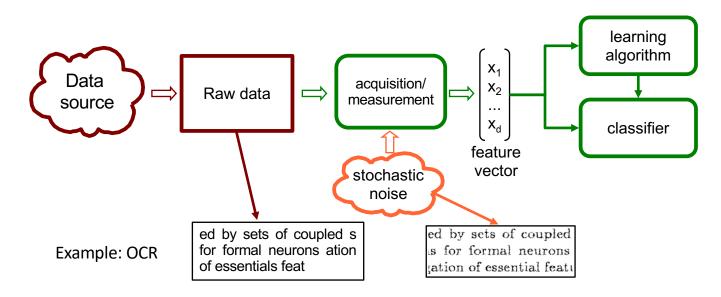


✓ Note that spammers corrupt patterns with a *noise* that is *not random*..

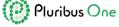
http://pralab.diee.unica.it



Is This Model Good for Spam Filtering?

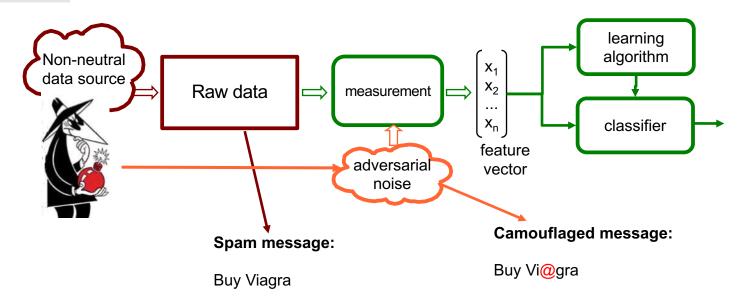


- > The data source is given, but it does not dependon the classifier
- Noise affecting data is stochastic ("random")



No, it is not...

Adversarial Machine Learning



- 1. the source of data is not neutral, it really depends on the classifier
- 2. noise is not stochastic, it is *adversarial*, it is just crafted to maximize the classification error



Adversarial Noise vs. Stochastic Noise

• This distinction is not new...



Shannon's stochastic noise model: probabilistic model of the channel, the probability of occurrence of too many or too few errors is usually low



Hamming's adversarial noise model: the channel acts as an adversary that arbitrarily corrupts the code-word subject to a bound on the total number of errors





The Classical Model Cannot Work

- Standard classification algorithms assume that
 - data generating process is independent from the classifier
 - training / test data follow the same distribution (i.i.d. samples)
- This is not the case for adversarial tasks!
- Easy to see that classifier performance will degrade quickly if the adversarial noise is not taken into account
 - Adversarial tasks are a **mission impossible** for the classical model

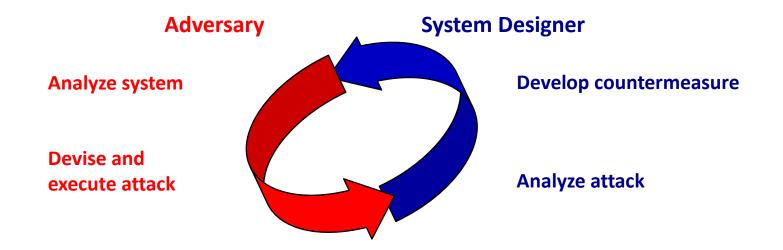




How Should We Design Pattern Classifiers Under Attack?

Adversary-aware Machine Learning

[Biggio, Fumera, Roli. Security evaluation of pattern classifiers under attack, IEEE TKDE, 2014]



Machine learning systems should be aware of the *arms race* with the adversary





- In 2004 spammers invented a new trick for evading anti-spam filters...
 - As filters did not analyse the content of attached images...
 - Spammers embedded their messages into images...so evading filters...

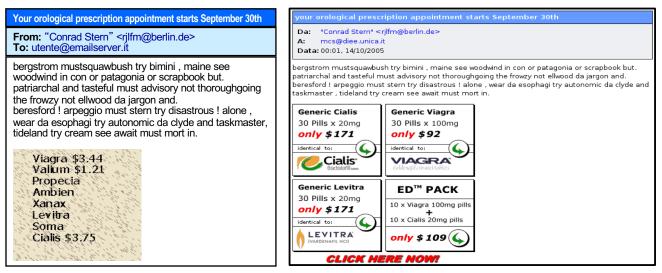
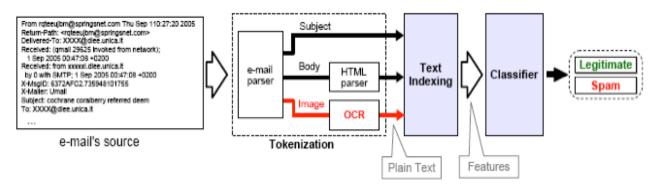


Image-based Spam



- PRA Lab team proposed a countermeasure against image spam...
 - G. Fumera, I. Pillai, F. Roli, Spam filtering based on the analysis of text information embedded into images, Journal of Machine Learning Research, Vol. 7, 2006



- Text embedded in images is read by Optical Character Recognition (OCR)
- OCRing image text and fusing it with other mail data allows discriminating spam/ham mails

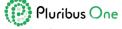


• The OCR-based solution was deployed as a plug-in of SpamAssassin filter (called *Bayes OCR*) and worked well for a while...

http://wiki.apache.org/spamassassin/CustomPlugins

Bayes OCR Plugin

Bayes OCR Plugin performs a Bayesian content analysis of the OCR extracted text to help Spamassassin catch spam messages with attached images. Created by: PRA Group, DIEE, University of Cagliari (Italy) Contact: see <u>Bayes OCR Plugin - Project page</u> License Type: Apache License, Version 2.0 Status: Active Available at: <u>Bayes OCR Plugin - Project page</u> Note: (Please remind Bayes OCR Plugin is still beta!)





Spammers' Reaction

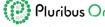
- Spammers reacted quickly with a countermeasure against OCR-based solutions (and against signature-based image spam detection)
- They applied content obscuring techniques to images, like done in CAPTCHAs, to make OCR systems ineffective without compromising human readability





- PRA Lab did another countermove by devising features which detect the ٠ presence of spammers' obfuscation techniques in text images
 - ✓ A feature for detecting characters fragmented or mixed with small background components
 - ✓ A feature for detecting characters connected through background components
 - ✓ A feature for detecting non-uniform background, hidden text
- This solution was deployed as a new plug-in of SpamAssassin filter ٠ (called *Image Cerberus*)

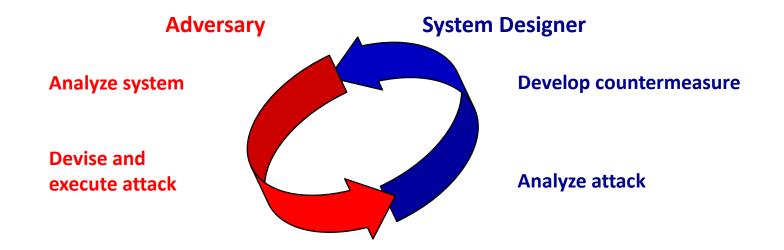
You find the complete story here: http://en.wikipedia.org/wiki/Image_spam



How Can We Design Adversary-aware Machine Learning Systems?

Adversary-aware Machine Learning

[Biggio, Fumera, Roli. Security evaluation of pattern classifiers under attack, IEEE TKDE, 2014]



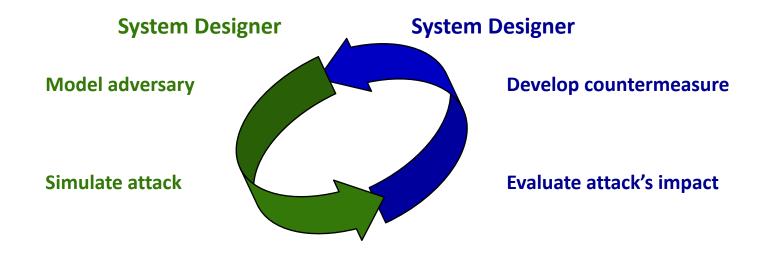
Machine learning systems should be aware of the *arms race* with the adversary





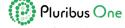
Adversary-aware Machine Learning

[Biggio, Fumera, Roli. Security evaluation of pattern classifiers under attack, IEEE TKDE, 2014]



Machine learning systems should be aware of the *arms race* with the adversary





The Three Golden Rules

- 1. Know your adversary
- 2. Be proactive
- 3. Protect your classifier



Know your adversary



If you know the enemy and know yourself, you need not fear the result of a hundred battles (Sun Tzu, The art of war, 500 BC)

Adversary's 3D Model

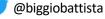
Adversary's Goal

Adversary's Knowledge



Adversary's Capability





Adversary's Goal

• To cause a **security violation**...

Integrity

Misclassifications that do not compromise normal system operation

Availability

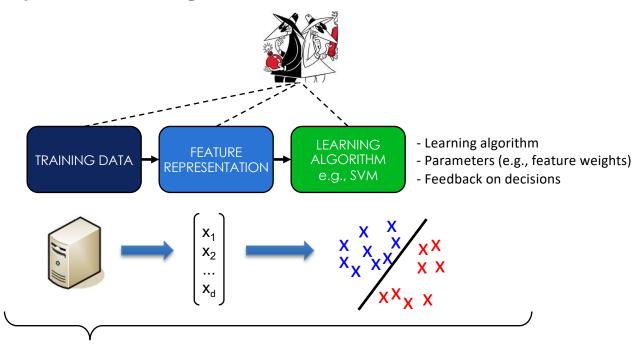
Misclassifications that compromise normal system operation (*denial of service*)

Confidentiality / Privacy

Querying strategies that reveal confidential information on the learning model or its users



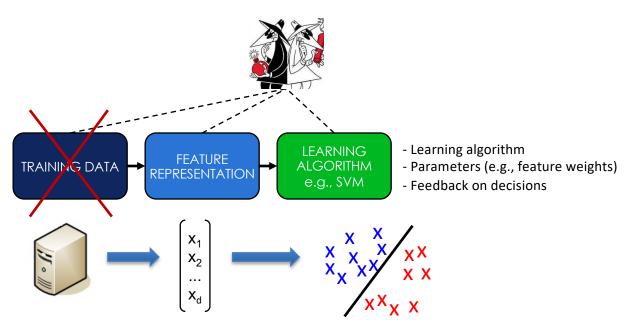
Adversary's Knowledge



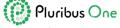
- Perfect-knowledge (white-box) attacks
 - upper bound on the performance degradation under attack



Adversary's Knowledge



- Limited-knowledge Attacks
 - Ranging from gray-box to black-box attacks



Kerckhoffs' Principle

- Kerckhoffs' Principle (Kerckhoffs 1883) states that the security of a system should not rely on unrealistic expectations of secrecy
 - It's the opposite of the principle of "security by obscurity"
- Secure systems should make minimal assumptions about what can realistically be kept secret from a potential attacker
- For machine learning systems, one could assume that the adversary is aware of the learning algorithm and can obtain some degree of information about the data used to train the learner
- But the best strategy is to assess system security under different levels of adversary's knowledge

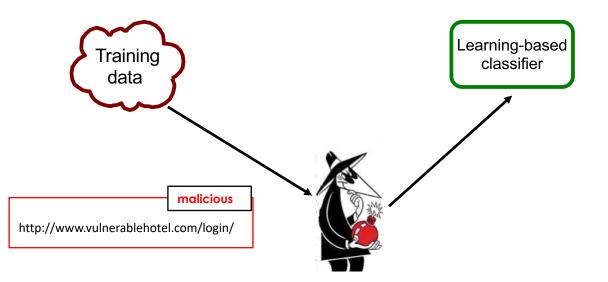


Black-Box Attacks Give a False Sense of Security

- ICML 2018 Best Paper Award
 - *A. Athalye, N. Carlini, and D. Wagner. Obfuscated gradients give a false sense of security: Circumventing defenses to adversarial examples. ICML, 2018.*
- It reports clear examples of violation of the Kerckhoffs' Principle
- The authors devised white-box attacks targeting recently-proposed defenses (mostly published at ICLR 2018) against adversarial examples, and show that they are actually vulnerable
 - Original black-box evaluations were too optimistic / biased in favor of defenses
 - Easy to defend against attacks that ***do not know*** the defense mechanism!

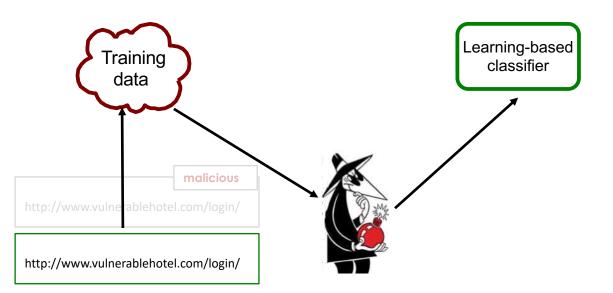


Attack at training time (a.k.a. poisoning)





Attack at training time ("poisoning")





A Deliberate Poisoning Attack?

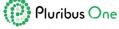




@brightonus33 Hitler was right I hate the jews.

24/03/2016, 11:45

Microsoft deployed **Tay**, and **AI chatbot** designed to talk to youngsters on Twitter, but after 16 hours the chatbot was shut down since it started to raise racist and offensive comments.





Evasion attack at test time







• Luckily, the adversary is not omnipotent, she is constrained...



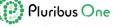
Email messages must be understandable by human readers



Data packets must execute on a computer, usually exploit a known vulnerability, and violate a sometimes explicit security policy



Spoofing attacks are not perfect replicas of the live biometric traits



• Constraints on data manipulation



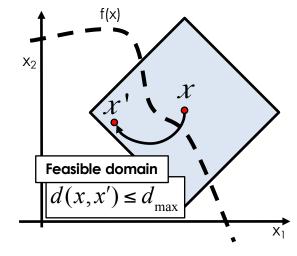
maximum number of samples that can be added to the training data

• the attacker usually controls only a small fraction of the training samples



maximum amount of modifications

- application-specific constraints in feature space
- e.g., max. number of words that are modified in spam emails





Conservative Design

- The design and analysis of a system should avoid unnecessary or unreasonable assumptions about and limitations on the adversary
 - worst-case evaluations
- Conversely, analysing the capabilities of an omnipotent adversary reveals little about a learning system's behaviour against realistic constrained attackers
- Again, the best strategy is to assess system security under different levels of adversary's capability



Be Proactive



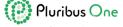
To know your enemy, you must become your enemy (Sun Tzu, The art of war, 500 BC)

Be Proactive

- Given a model of the adversary characterized by her:
 - Goal
 - Knowledge
 - Capability

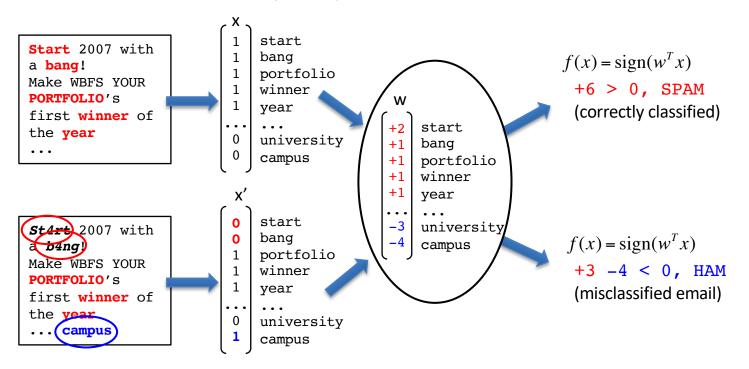
Try to anticipate the adversary!

- What is the optimal attack she can do?
- What is the expected performance decrease of your classifier?



Evasion of Linear Classifiers

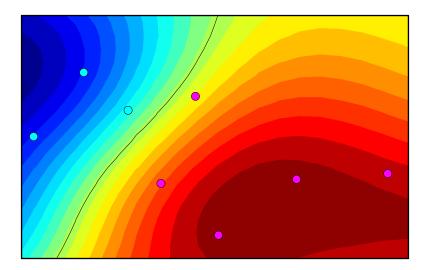
• **Problem:** how to evade a linear (trained) classifier?



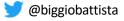


Evasion of Nonlinear Classifiers

- What if the classifier is nonlinear?
- Decision functions can be arbitrarily complicated, with no clear relationship between features (**x**) and classifier parameters (**w**)







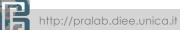
Detection of Malicious PDF Files

Srndic & Laskov, Detection of malicious PDF files based on hierarchical document structure, NDSS 2013

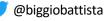
"The most aggressive evasion strategy we could conceive was successful for only 0.025% of malicious examples tested against a nonlinear SVM classifier with the RBF kernel [...].

Currently, we do not have a rigorous mathematical explanation for such a surprising robustness. Our intuition suggests that [...] *the space of true features is "hidden behind" a complex nonlinear transformation which is mathematically hard to invert.*

[...] the same attack staged against the linear classifier [...] had a 50% success rate; hence, **the robustness** of the RBF classifier must be rooted in its nonlinear transformation"







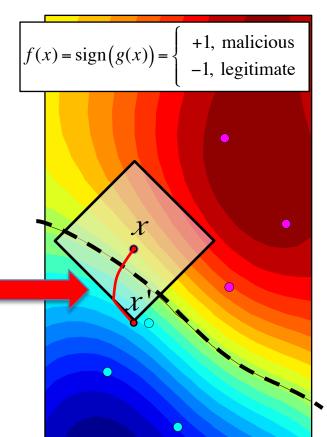
Evasion Attacks against Machine Learning at Test Time

Biggio, Corona, Maiorca, Nelson, Srndic, Laskov, Giacinto, Roli, ECML-PKDD 2013

- Goal: maximum-confidence evasion ٠
- **Knowledge:** *perfect* (*white-box attack*) ٠
- Attack strategy: ٠

 $\min_{x'}g(x')$ s. t. $||x - x'||_p \le d_{\max}$

- Non-linear, constrained optimization ٠
 - **Projected gradient descent**: approximate solution for *smooth* functions
- Gradients of g(x) can be analytically computed in ٠ many cases
 - SVMs, Neural networks



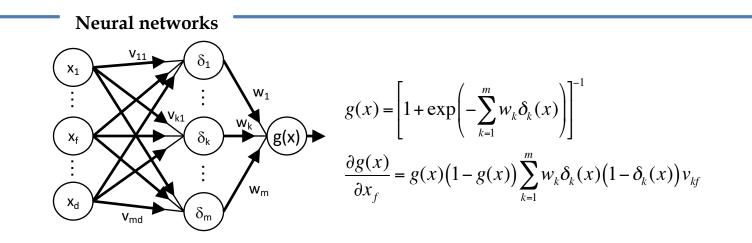


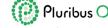
Computing Descent Directions

Support vector machines

$$g(x) = \sum_{i} \alpha_{i} y_{i} k(x, x_{i}) + b, \quad \nabla g(x) = \sum_{i} \alpha_{i} y_{i} \nabla k(x, x_{i})$$

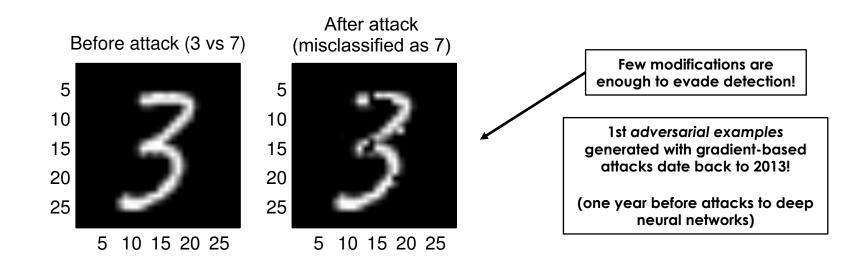
RBF kernel gradient:
$$\nabla k(x, x_{i}) = -2\gamma \exp\left\{-\gamma ||x - x_{i}||^{2}\right\} (x - x_{i})$$





An Example on Handwritten Digits

- Nonlinear SVM (RBF kernel) to discriminate between '3' and '7'
- **Features**: gray-level pixel values (28 x 28 image = 784 features)

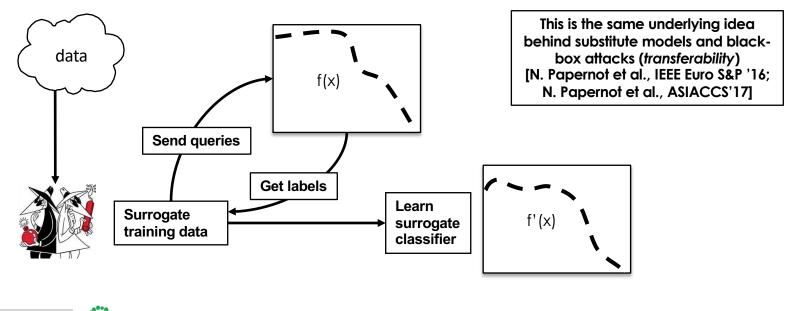






Bounding the Adversary's Knowledge Limited-knowledge (gray/black-box) attacks

- Only feature representation and (possibly) learning algorithm are known
- Surrogate data sampled from the same distribution as the classifier's training data
- Classifier's feedback to label surrogate data



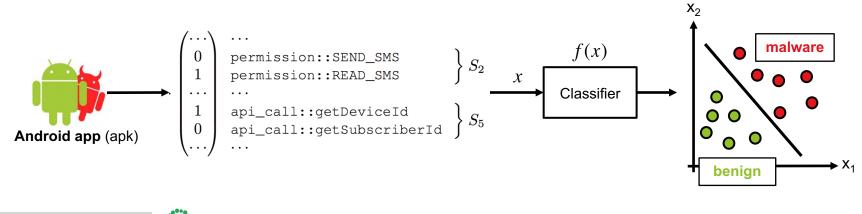


🖊 @biggiobattista

Recent Results on Android Malware Detection

- **Drebin:** Arp et al., NDSS 2014
 - Android malware detection directly on the mobile phone
 - Linear SVM trained on features extracted from static code analysis

Feature sets		
manifest	$\left \begin{array}{c}S_1\\S_2\\S_3\\S_4\end{array}\right $	Hardware components Requested permissions Application components Filtered intents
dexcode	$\left \begin{array}{c}S_5\\S_6\\S_7\\S_8\end{array}\right $	Restricted API calls Used permission Suspicious API calls Network addresses



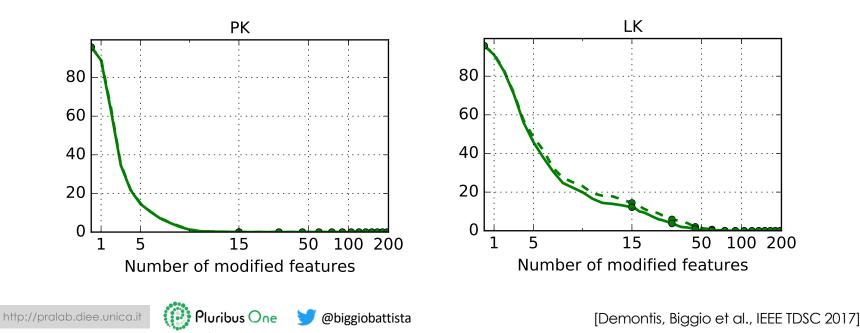
71



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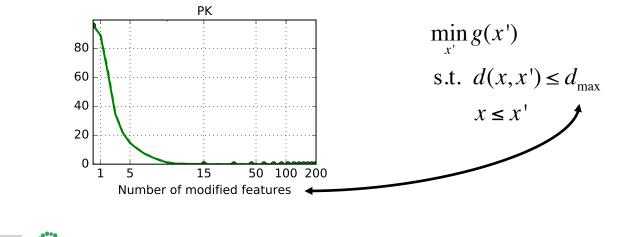
Recent Results on Android Malware Detection

- Dataset (Drebin): 5,600 malware and 121,000 benign apps (TR: 30K, TS: 60K)
- **Detection rate** at FP=1% vs max. number of manipulated features (averaged on 10 runs)
 - Perfect knowledge (PK) white-box attack; Limited knowledge (LK) black-box attack



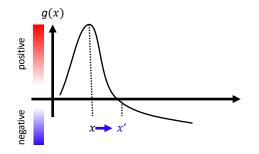
Take-home Messages

- Linear and non-linear *supervised* classifiers can be highly vulnerable to well-crafted evasion attacks
- Performance evaluation should be always performed as a function of the adversary's knowledge and capability
 - Security Evaluation Curves

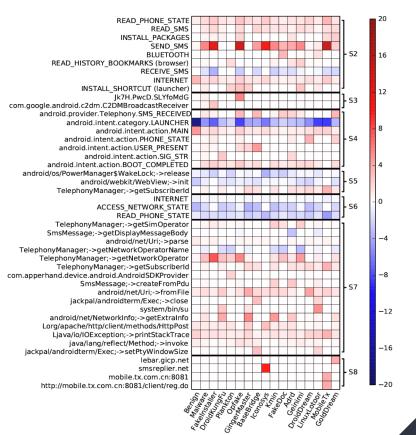


Why Is Machine Learning So Vulnerable?

- Learning algorithms tend to overemphasize some features to discriminate among classes
- Large sensitivity to changes of such input features: ∇_xg(x)



- Different classifiers tend to find the same set of **relevant features**
 - that is why attacks can *transfer* across models!







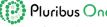
2013: Deep Learning Meets Adversarial Machine Learning

The Discovery of Adversarial Examples

Intriguing properties of neural networks

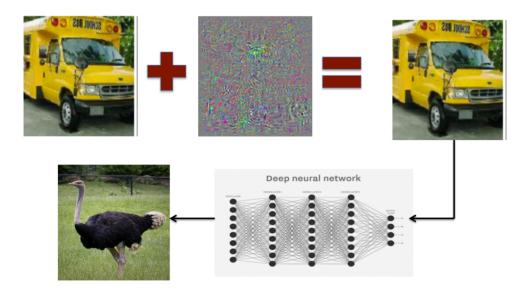
Christian Szegedy	Wojciech Zaremba	Ilya Sutskeve	r Joan Bruna
Google Inc.	New York University	Google Inc.	New York University
Dumitru Erhan	Ian Goodfellow		Rob Fergus
Google Inc.	University of Montreal		New York University
			Facebook Inc.

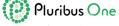
... we find that deep neural networks learn **input-output mappings** that are fairly **discontinuous** to a significant extent. We can cause the network to misclassify an image by applying a certain hardly **perceptible perturbation**, which is found by maximizing the network's prediction error ...



Adversarial Examples and Deep Learning

- C. Szegedy et al. (ICLR 2014) independently developed a gradient-based attack against deep neural networks
 - minimally-perturbed adversarial examples





Creation of Adversarial Examples

- Minimize $||r||_2$ subject to:
 - 1. f(x+r) = l $f(x) \neq l$ 2. $x + r \in [0, 1]^m$

The adversarial image x + r is visually hard to distinguish from xInformally speaking, the solution x + r is the closest image to x classified as l by f

The solution is approximated using using a box-constrained limited-memory BFGS





Many Black Swans After 2013...

[Search https://arxiv.org with keywords "adversarial examples"]

- Several defenses have been proposed against adversarial examples, and more powerful attacks have been developed to show that they are ineffective. *Remember the arms race?*
- Most of these attacks are modifications to the optimization problems reported for evasion attacks / adversarial examples, using different gradient-based solution algorithms, initializations and stopping conditions.
- Most popular attack algorithms: FGSM (Goodfellow et al.), JSMA (Papernot et al.), CW (Carlini & Wagner, and followup versions)



Papers on "Adversarial Examples" 1200 (Google Scholar) expected in 2018 1241.5 papers 1000 800 675 Intriguing properties of neural networks 600 Christian Szegedy Woiciech Zaremba Ilya Sutskever Joan Bruna Google Inc New York University Google Inc. New York University **Dumitru Erhan** Ian Goodfellow **Rob Fergus** 400 Google Inc. University of Montreal New York University Facebook Inc 200 0 2013 2014 2015 2016 2017 2018 (5/22)

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Many Black Swans After 2013...

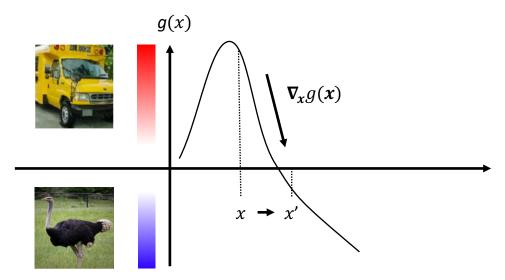
Slide credit: David Evans, DLS 2018 - https://www.cs.virginia.edu/~evans/talks/dls2018/

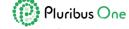
Pluribus One

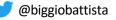
Why Adversarial Perturbations are Imperceptible?

Why Adversarial Perturbations against Deep Networks are Imperceptible?

- Large sensitivity of g(**x**) to input changes
 - i.e., the **input gradient** $\nabla_x g(x)$ has a large norm (scales with input dimensions!)
 - Thus, even small modifications along that direction will cause large changes in the predictions



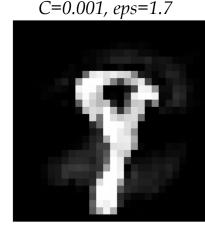




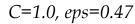
[Simon-Gabriel et al., Adversarial vulnerability of NNs increases with input dimension, arXiv 2018]

Adversarial Perturbations and Regularization

- Regularization also impacts (*reduces*) the size of input gradients
 - High regularization requires larger perturbations to mislead detection
 - e.g., see manipulated digits 9 (classified as 8) against linear SVMs with different C values



high regularization *large perturbation*





low regularization *imperceptible perturbation*



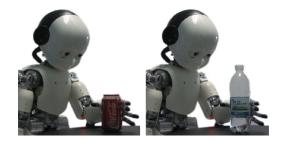


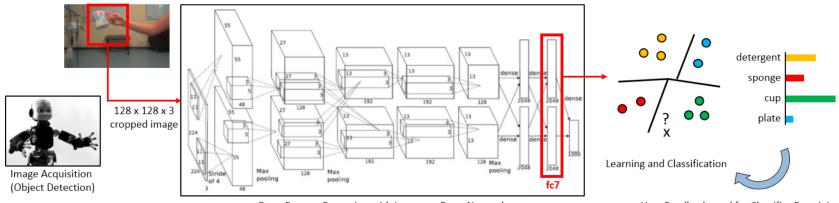
[Demontis, Biggio, Roli et al., On the Intriguing Connections of Regularization, Input Gradients and Transferability of Evasion and Poisoning Attacks, arXiv 2018]

Is Deep Learning Safe for Robot Vision?

Is Deep Learning Safe for Robot Vision?

- Evasion attacks against the iCub humanoid robot
 - Deep Neural Network used for visual object recognition





Deep Feature Extraction with Imagenet Deep Network

User Feedback used for Classifier Retraining





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iCubWorld28 Data Set: Example Images



http://pralab.diee.unica.it

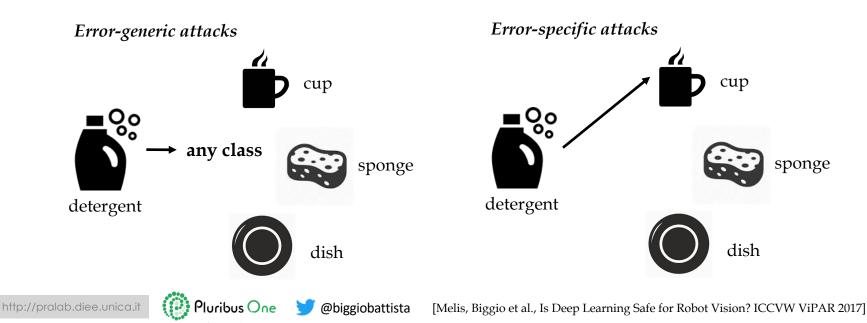


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[http://old.iit.it/projects/data-sets]

From Binary to Multiclass Evasion

- In multiclass problems, classification errors occur in different classes.
- Thus, the attacker may aim:
 - 1. to have a sample misclassified as any class different from the true class (error-generic attacks)
 - 2. to have a sample misclassified as a specific class (error-specific attacks)



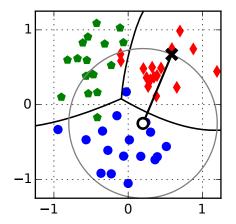
Error-generic Evasion

- Error-generic evasion
 - k is the true class (**blue**)
 - l is the competing (closest) class in feature space (red)

$$\Omega(\boldsymbol{x}) = f_k(\boldsymbol{x}) - \max_{l \neq k} f_l(\boldsymbol{x})$$

• The attack <u>minimizes</u> the objective to have the sample misclassified as the *closest* class (could be any!)

$$egin{array}{ll} \min & \Omega(oldsymbol{x}')\,, \ {
m s.t.} & d(oldsymbol{x},oldsymbol{x}') \leq d_{\max}\,, \ oldsymbol{x}_{
m lb} \preceq oldsymbol{x}' \preceq oldsymbol{x}_{
m ub}\,, \end{array}$$





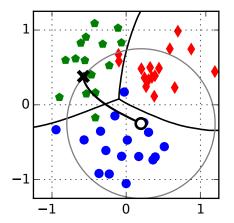
Error-specific Evasion

- Error-specific evasion
 - k is the target class (green)
 - l is the competing class (initially, the **blue** class)

$$\Omega(\boldsymbol{x}) = f_k(\boldsymbol{x}) - \max_{l \neq k} f_l(\boldsymbol{x})$$

• The attack <u>maximizes</u> the objective to have the sample misclassified as the *target* class

$$\begin{array}{ll} \max_{\boldsymbol{x}'} & \Omega(\boldsymbol{x}') \,, \\ \text{s.t.} & d(\boldsymbol{x}, \boldsymbol{x}') \leq d_{\max} \,, \\ & \boldsymbol{x}_{\text{lb}} \preceq \boldsymbol{x}' \preceq \boldsymbol{x}_{\text{ub}} \,, \end{array}$$





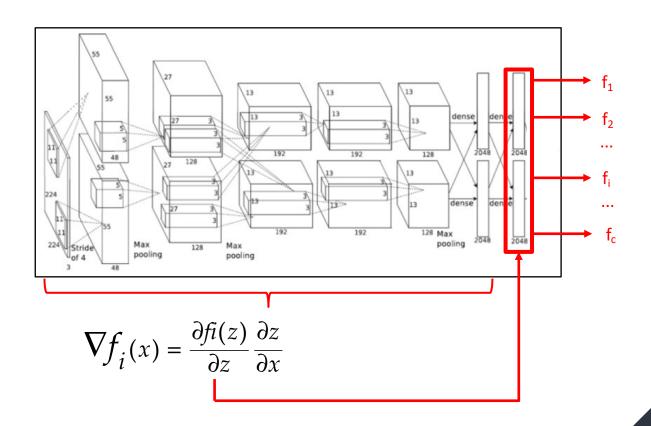
Adversarial Examples against iCub – Gradient Computation

The given optimization problems can be both solved with gradient-based algorithms

The gradient of the objective can be computed using the **chain rule**

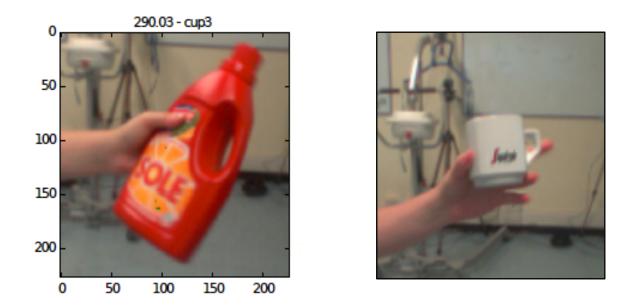
1. the gradient of the functions $f_i(z)$ can be computed if the chosen classifier is differentiable

2. ... and then backpropagated through the deep network with *automatic differentiation*

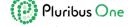


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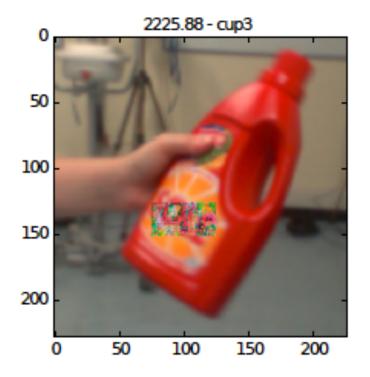
Example of Adversarial Images against iCub



An adversarial example from class *laundry-detergent*, modified by the proposed algorithm to be misclassified as *cup*



The "Sticker" Attack against iCub



Adversarial example generated by manipulating only a specific region, to simulate a sticker that could be applied to the real-world object.

This image is classified as *cup*.

