innovation & entrepreneurship

### **Professor Cather Simpson**

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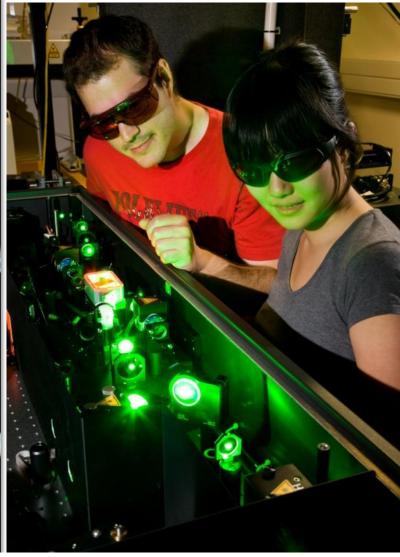




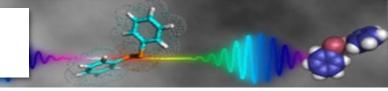








# Publish or patent -- or both



# innovation & entrepreneurship

## Patent and Perish?



How to Patent. Publish and Survive!

Elsie Quaite-Randall

Office of Technology Transfer, ANL

European IPR Helpde

Fact Sheet

Patenting v. nublishing

To publish or patent? EU study addresses scientists' dilemma

8 MARCH 2002

Patent First, Publish Later: How Not to Ruin Your Chances of Winning a Patent

### Is patent more worthy or a good paper publication?

From the academics and also research & development perspective, views needed separately.

> A general approach for determin publish, or protect information a

Scientist dilemma: publish or protect?

#### Publish or Patent?

Publishing your research or publicly disclosing it before filing a patent application can severely limit your patentability and could completely bar you from receiving a U.S. or foreign patent.

Small Business Economics 1990, Volume 2, Issue 3, pp 191-197

To patent or to publish









#### Lasers solve dairy sperm problem

By Simon Eskow

5:30 AM Thursday Mar 20, 2014

Agriculture Technology



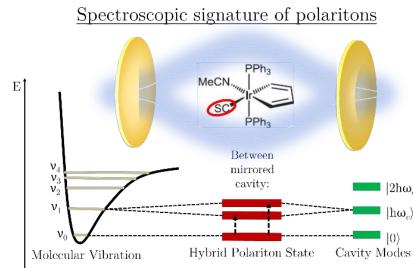


Artificial insemination research leads to process for sorting sperm cells according to sex.



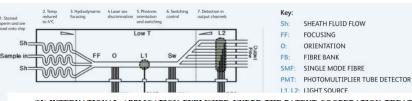


**≪NGENDER** 



 $|2\hbar\omega_{c}\rangle$ 





(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau

(43) International Publication Date 30 January 2014 (30.01.2014)



(10) International Publication Number

WO 2014/017929 Al



Reaction coordinate

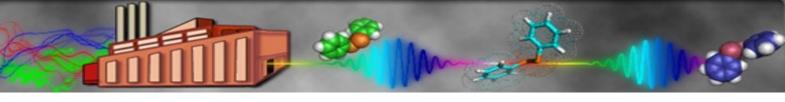




- Should university researchers be patenting ideas and inventions? Because ...
- Should science students (and academic faculty/staff) get trained in this as part of their degrees or jobs?

EVERYONE IN YOUR GROUP SHOULD HAVE A DIFFERENT NUMBER

- 1. Break into groups of 2 3 people and convince your group of your view
- 2. Answer BOTH of these questions on the post-it notes provided include a single, short justification of your answer
- 3. Put them on the black board under the proper category



# Mission and Values

# The mission of The University of Auckland is to be:

"A research-led, international university, recognised for excellence in teaching, learning, research, creative work, and administration, for the significance of its contributions to the advancement of knowledge and its commitment to serve its local, national and international communities."

# The values of the University reflect its commitment to:

- Conserving, advancing and disseminating knowledge through teaching, learning, research and creative work of the highest standard.
- Creating a diverse, collegial scholarly community in which individuals are valued and respected; academic freedom is exercised with intellectual rigour and high ethical standards; and critical enquiry is encouraged.
- Placing a strong emphasis on serving our student body.

- Working to advance the intellectual, cultural, environmental, economic and social wellbeing of the peoples of Auckland and New Zealand.
- Recognising a special relationship with Māori under the Treaty of Waitangi.
- Providing equal opportunities to all who have the potential to succeed in a university of high international standing.
- Engaging with national and international scholars, educational and research institutions to enhance intellectual development, educational quality and research productivity.
- The development and commercialisation of enterprise based on the University's research and creative works.
- Providing high quality management marked by open, transparent, responsive, and accountable academic and administrative policies, practices and services.





- 5. The purpose of a Centre of Research Excellence is to:
  - (a) undertake excellent research; and
  - (b) support the development of world class researchers in areas of importance to New Zealand's future development.
- A Centre of Research Excellence demonstrates academic strength as well as progress towards impacts with public good or economic benefits.





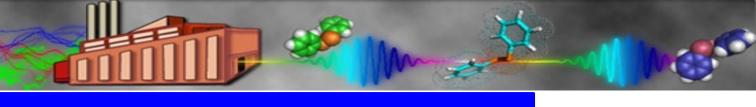
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Why do people patent things?





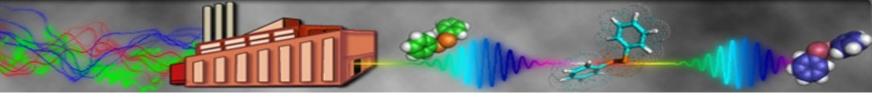
- Should university researchers be patenting ideas and inventions? Because ...
- Should science students (and academic faculty/staff) get trained in this as part of their degrees or jobs?
- Why do people patent things?
- What counts more for your career, a publication or a patent? Why?



GROUP SHOULD HAVE A

- 1. Change groups (still 2 3 people per group)
- 2. Answer the question on the post-it notes provided – include a single, short justification of your answer EVERYONE IN YOUR
- 3. Put it on the black board

DIFFERENT NUMBER What counts more for your career, a publication or a patent? Why?





- Should university researchers be patenting ideas and inventions?
- Should Centres of Research Excellence (e.g. MacDiarmid) \$\$ be used to do patentable research? to cover costs of patents?
- Why do people patent things?
- What counts more for your career, a publication or a patent? Why?
- If you patent something, can you publish it?
- If you publish something, can you patent it?



Diazadeh et al. Nature Biotech, Vol 20, 2002

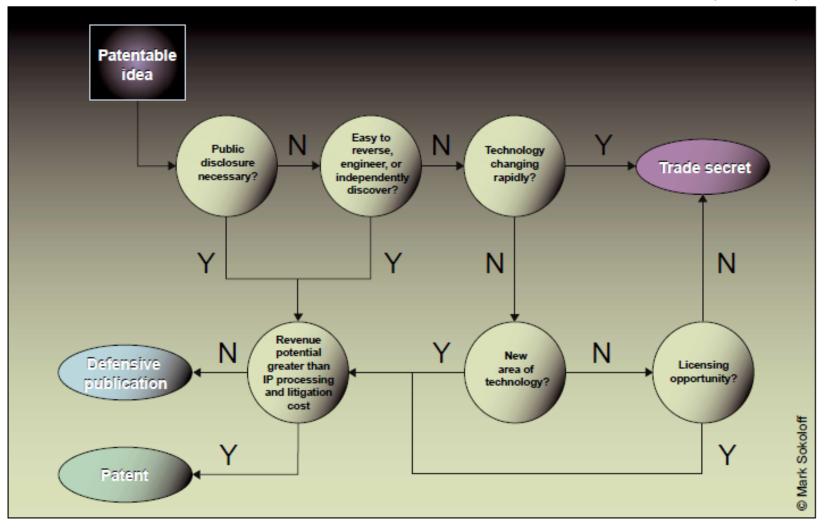


Figure 1. A general approach to determine patent, defensive publication, or trade-secret protection.

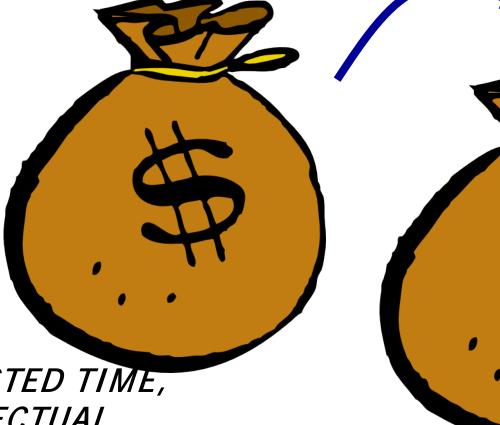




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- If you patent something, can you publish it?
- If you publish something, can you patent it?
- Who makes the money??



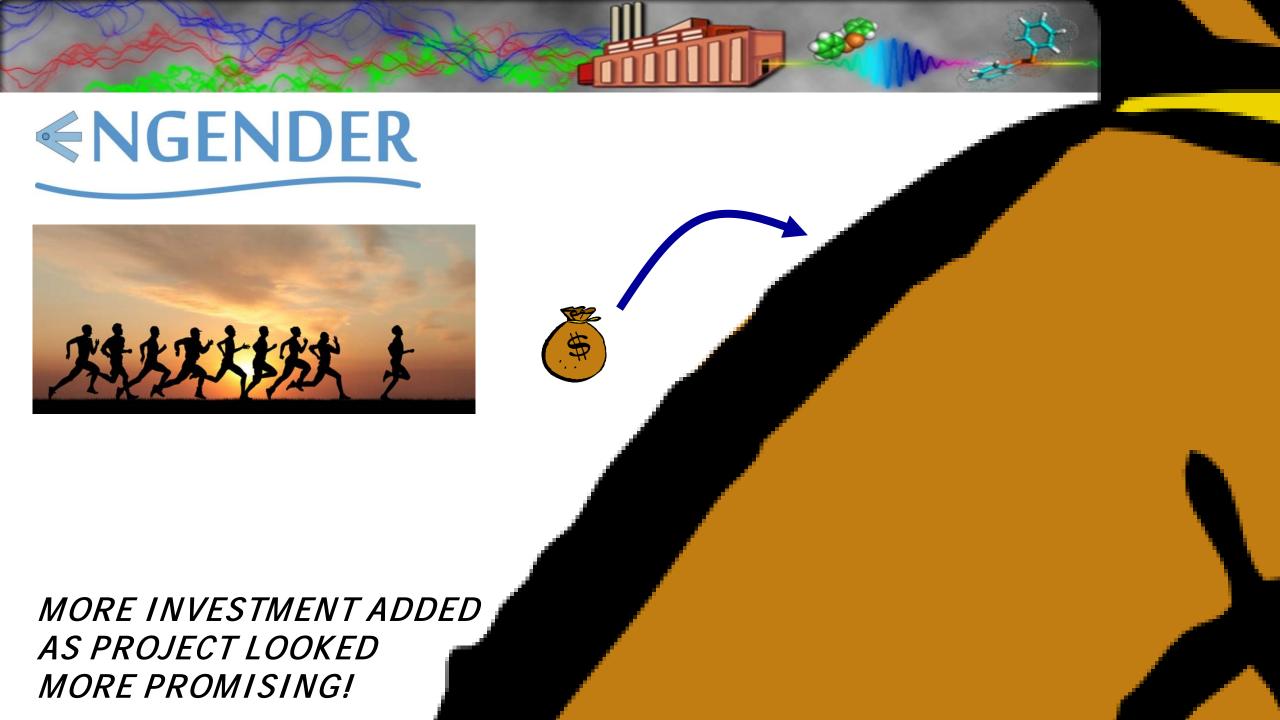






R&D TEAM INVESTED TIME, ENERGY, INTELLECTUAL CAPITAL - NOT \$\$

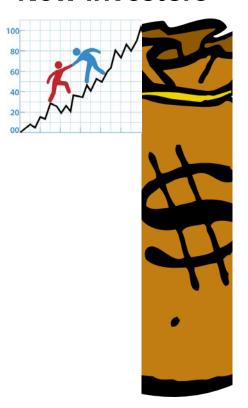


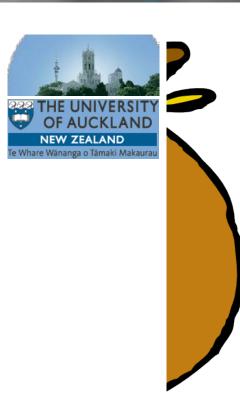






## **New investors**





DILUTION BY NEW INVESTORS

















**REWARDS!** 



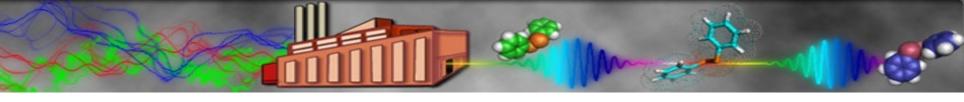




INVESTORS!!!













Rare - but not unknown!

## Advice:

Keep an excellent notebook.

Make revenue allocations

and decisions before

there is any generated.

