

Photodoped charge transfer insulators

Denis Golež
CCQ, Flatiron Institute

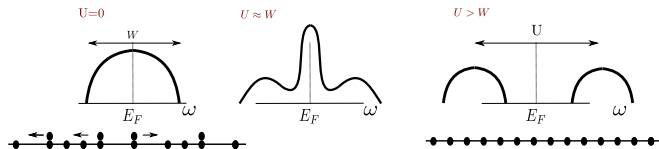


Taming Non-Equilibrium Systems: from Quantum Fluctuation
to Decoherence, July 2019

Mott insulators

- ▶ Failure of band theory
- ▶ Strong electron-electron interaction
- ▶ Hubbard model and Mott gap
- ▶ Metal-insulator transition

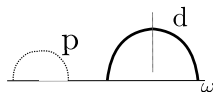
$$H = -t \sum_{\langle i,j \rangle \sigma} c_{i,\sigma}^\dagger c_{j,\sigma} + U \sum_i n_{i\downarrow} n_{i\uparrow}$$



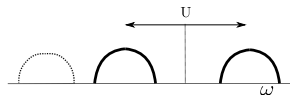
Charge transfer insulators

- Multi band physics

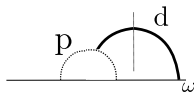
Mott



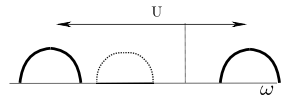
$$U > W$$



CTI

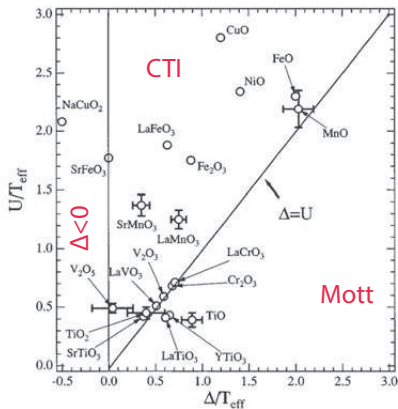


$$U > W$$



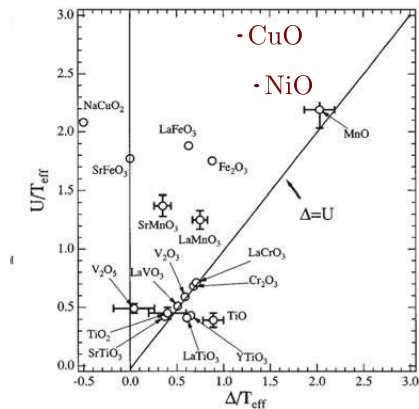
Charge transfer insulators

- ▶ Multi band physics
- ▶ Zaanen-Sawatzky-Allen diagram



Charge transfer insulators

- ▶ Multi band physics
- ▶ Zaanen-Sawatzky-Allen diagram



Pump-probe on Mott insulators

- Use strong laser pulses to photo-excite charge carriers
- Delayed probe pulse (optics, photo-emission, RIXS, ...)

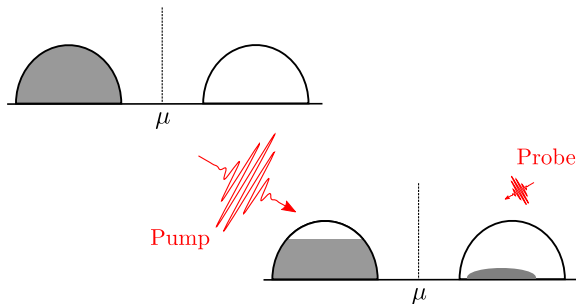
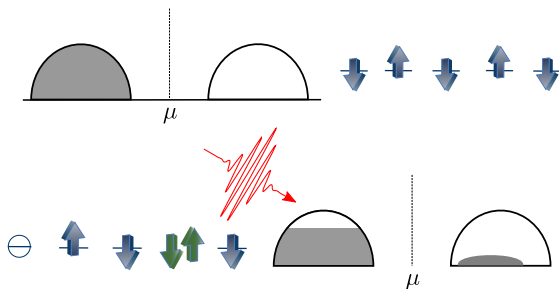


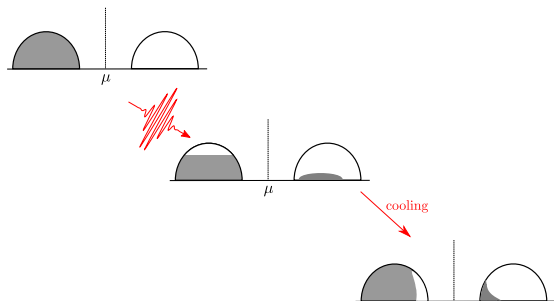
Photo-excitation of Mott insulators - II

- Use strong laser pulses to photo-excite charge carriers
- Mobile doublons and holons



Relaxation

- ▶ Holon and doublon number conserved
- ▶ Role of bosonic modes (spins, phonons, plasmons)
- ▶ Kinetic processes



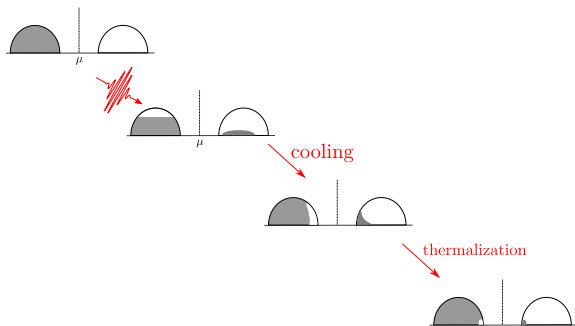
Semsarna, et.al. PRB 82,224302(2010)

Eckstein, et.al. PRB 035122 (2011)

Lenarčič, et.al. PRL 111,016401 (2013)

Thermalization

- ▶ Holon doublon recombination
- ▶ Exponentially suppressed - energy conservation
- ▶ Time scale separation between cooling and thermalization



Semsarna, et.al. PRB 82,224302(2010)
Eckstein, et.al. PRB 035122 (2011)
Lenarčič, et.al. , PRL 111,016401 (2013)

Goals

- Is multiband picture essential ?
- Properties of trapped states
- Role of collective modes: charge and spin screening

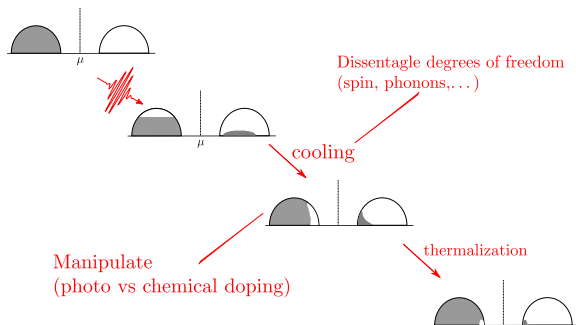
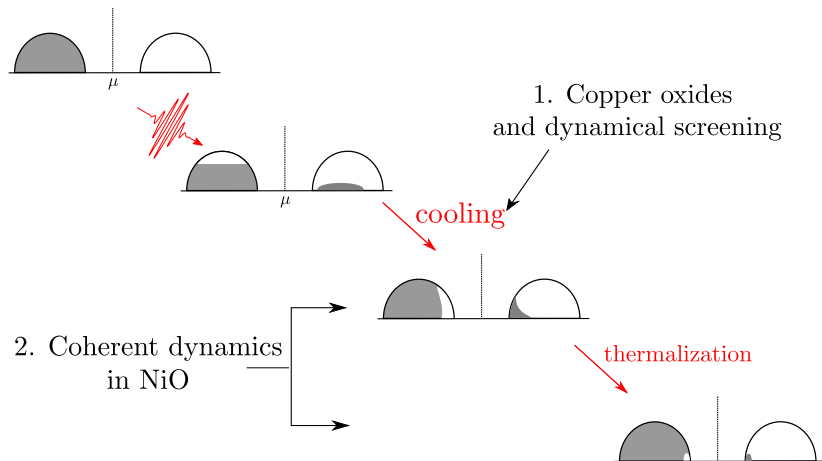
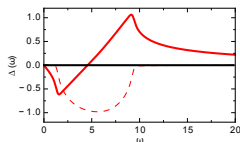
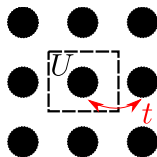


Table of contents



$$H = -t \sum_{\langle i,j \rangle} [c_j^\dagger c_i + h.c.] + U \sum_i n_{i\downarrow} n_{i\uparrow}$$

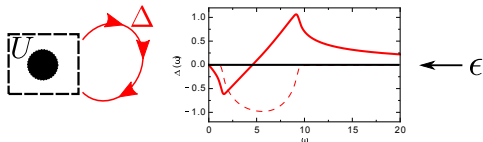
- Hybridization function $\Delta(t, t')$
- Local self-energy



← ϵ

$$H = -t \sum_{\langle i,j \rangle} [c_j^\dagger c_i + h.c.] + U \sum_i n_{i\downarrow} n_{i\uparrow}$$

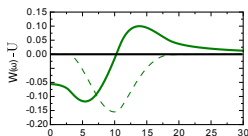
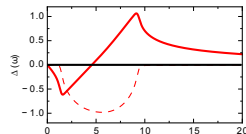
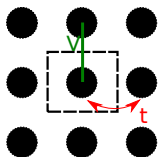
- Hybridization function $\Delta(t, t')$
- Local self-energy



DMFT and screening

$$H = -t \sum_{\langle i,j \rangle} [c_j^\dagger c_i + h.c.] + U \sum_i n_{i\downarrow} n_{i\uparrow} + V \sum_{\langle i,j \rangle} n_i n_j$$

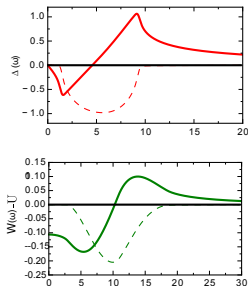
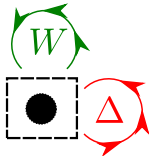
- ▶ Hybridization function $\Delta(t, t')$
- ▶ Effective interaction $W(t, t')$
- ▶ Local self-energy and polarization



DMFT and screening

$$H = -t \sum_{\langle i,j \rangle} [c_j^\dagger c_i + h.c.] + U \sum_i n_{i\downarrow} n_{i\uparrow} + V \sum_{\langle i,j \rangle} n_i n_j$$

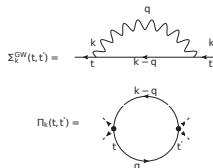
- ▶ Hybridization function $\Delta(t, t')$
- ▶ Effective interaction $W(t, t')$
- ▶ Local self-energy and polarization - EDMFT



Non-local fluctuations

$$\Sigma_k = \Sigma^{EDMFT} + \Sigma_k^{GW} - \Sigma_{loc}^{GW}$$

$$\Pi_k = \Pi^{EDMFT} + \Pi_k^{GW} - \Pi_{loc}^{GW}$$



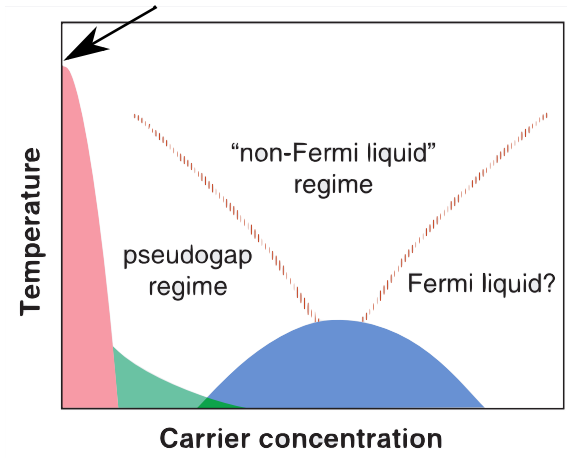
1. Effect of non-local fluctuations using GW+EDMFT

References:

- Eq. implementation (Sun et.al. PRB 66,085120 (2002))
- Full implementation (Ayrat et.al. PRL 109, 226401 (2012))
- Non-equilibrium implementation (DG et.al. PRL 118,246402(2017))
- Ab-initio for SrVO_3 (Boehnke et.al. PRB 94,201106(2016))

Phase diagram

- Role of multiband and screening
- Half-filled and high-temperatures



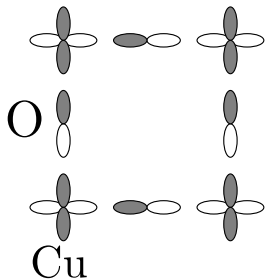
Emery model

$$H = H_e + H_{\text{kin}} + H_{\text{int}}$$

$$H_e = \epsilon_d \sum_i n_i^d + (\epsilon_d + \Delta_{pd}) \sum_{i,\delta} n_i^p,$$

$$H_{\text{kin}} = \sum_{ij\sigma} \sum_{(\alpha,\beta) \in (d,p_x,p_y)} t_{ij}^{\alpha\beta} c_{i\alpha\sigma}^\dagger c_{j\beta\sigma},$$

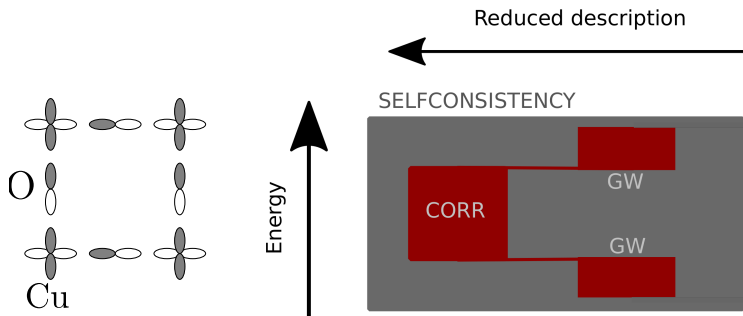
$$H_{\text{int}} = \sum_{ij} \sum_{(\alpha,\beta) \in (d,p_x,p_y)} U_{ij}^{\alpha\beta} n_i^\alpha n_j^\beta,$$



$$\text{La}_2\text{CuO}_4: U^{dd} = 5.0 \text{ eV}, U^{dp} = 2.0 \text{ eV}, t^{dp} = 0.5 \text{ eV}, \\ t^{dd} = -0.1 \text{ eV}, t^{pp} = 0.15 \text{ eV}, \Delta_{pd} = -3.5 \text{ eV}$$

Multiscale description

- ▶ Downfolding for Emery model
- ▶ d-orbital within DMFT and p-orbitals with computational cheaper approaches (HF,GW)



Equilibrium spectrum

- ▶ Antibonding band - Zhang-Rice singlet
- ▶ Bonding band
- ▶ Upper Hubbard band

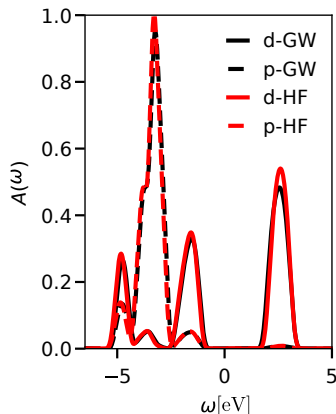
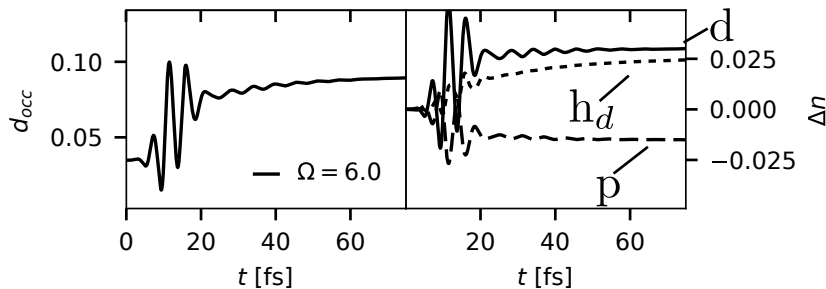
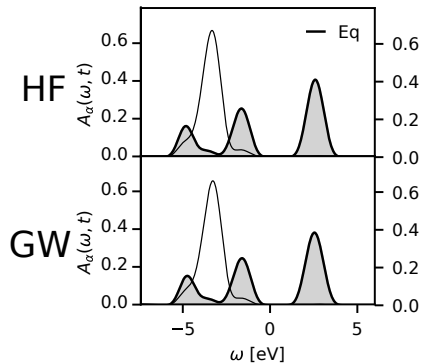


Photo-excitation

- ▶ Transfer from p to d electrons
- ▶ Photo-induced double occupancy
- ▶ Number of holes on d orbital $h_d = \Delta d_{occ} - 2\Delta n_p$

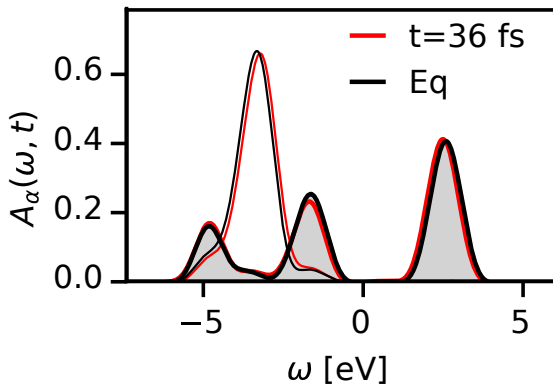


► Dynamical screening without importance

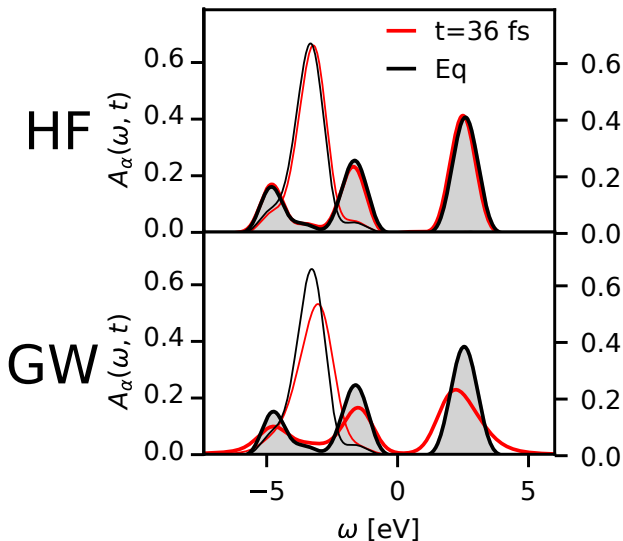


- Hartree shift due to electron-hole attraction

$$\Delta\Sigma_{dd}^H = (U_{dd} - 2U_{dp})\Delta n_d$$

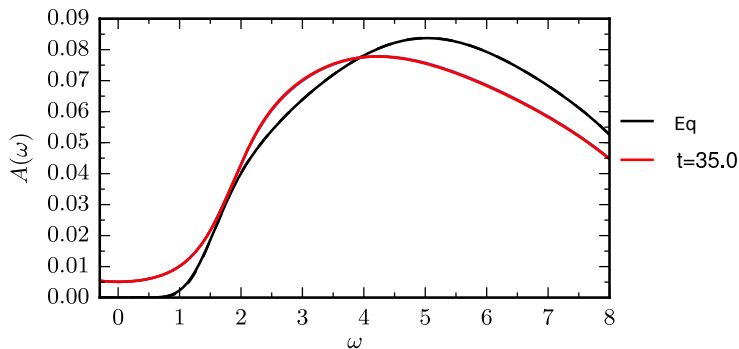


t-PES: dynamical screening



Single band Mott insulator

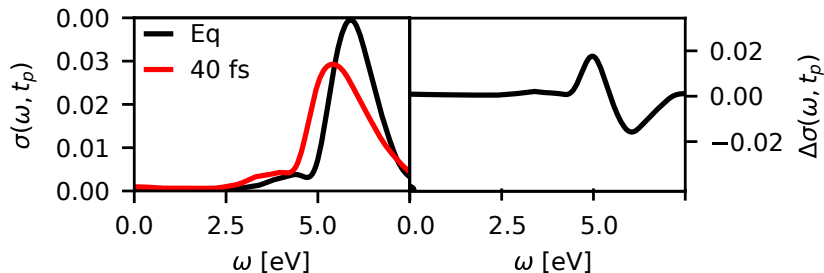
- ▶ Minor reduction and broadening of the Hubbard gap
- ▶ Dynamical screening enhanced in multiband case



DG et.al. PRB 92,195123(2015)

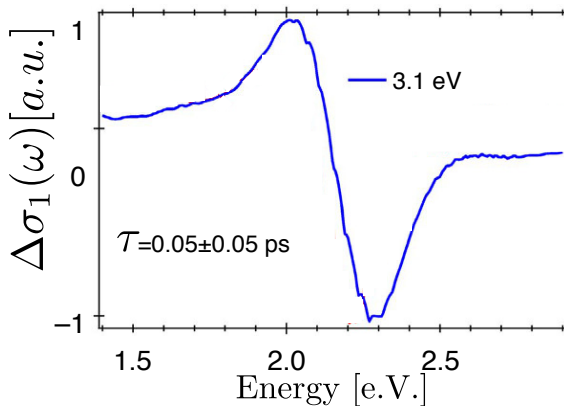
Optical conductivity

- Red shift
- Enhancement by dynamical screening



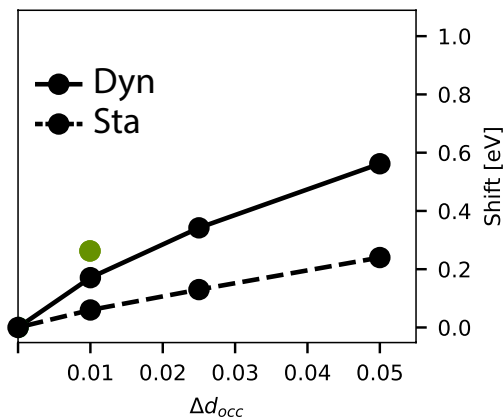
Optical conductivity - experiment

- ▶ Pump probe on La_2CuO_4 -transient reflectivity
- ▶ Above gap (3.5 eV) excitation



Optical conductivity

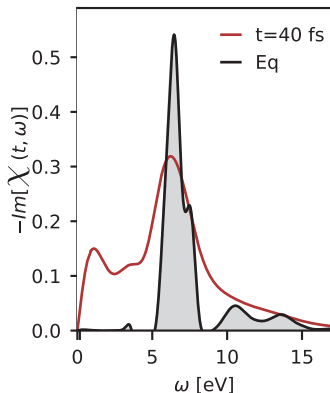
- ▶ Red shift
- ▶ Enhancement by dynamical screening
- ▶ Larger renormalization in experiment
- ▶ Effect of AFM



Screening

Charge susceptibility $\text{Im}[\chi(t, \omega)]$

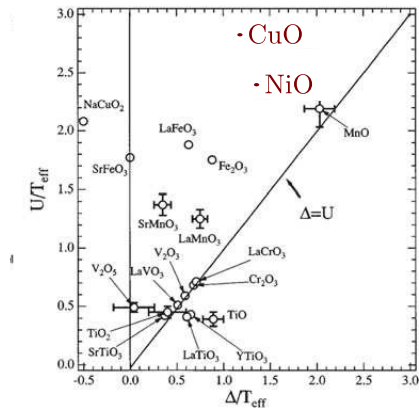
1. Photo-induced screening channel
2. Strong scattering with plasmons \rightarrow broadening of spectrum



Message I

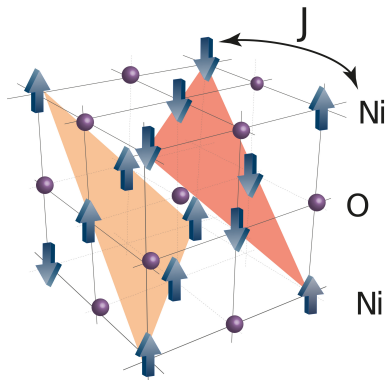
- ▶ Strong band gap renormalization in charge-transfer insulators
- ▶ Importance of non-local fluctuations (dynamical screening)
- ▶ Effect of incoherent dynamics on experimental probes
- ▶ Similar results by hybrid time-dependent DFT:
N. Tancogne-Dejean, et.al. PRL 121, 097402 (2018)
N. Tancogne-Dejean, et.al. arXiv:1906.11316

NiO



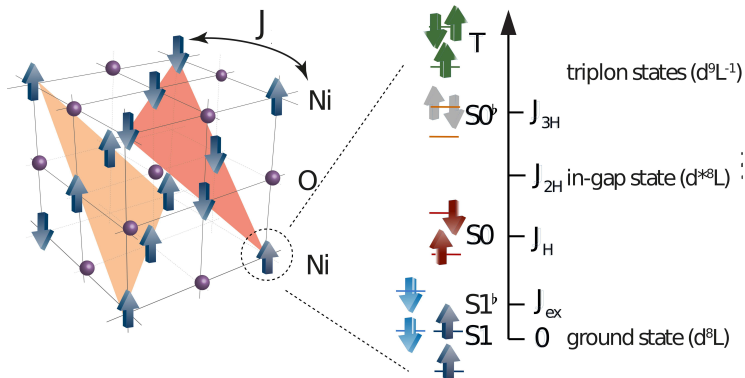
Lattice structure

- ▶ Inter-penetrating antiferromagnetic planes
- ▶ AFM is dominant



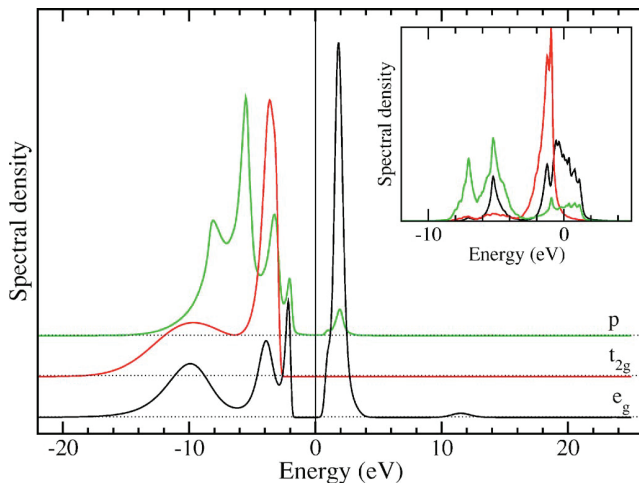
Electronic structure

- ▶ Two electrons in two e_g -orbitals
- ▶ Excitations: magnons, Hund and CT excitations



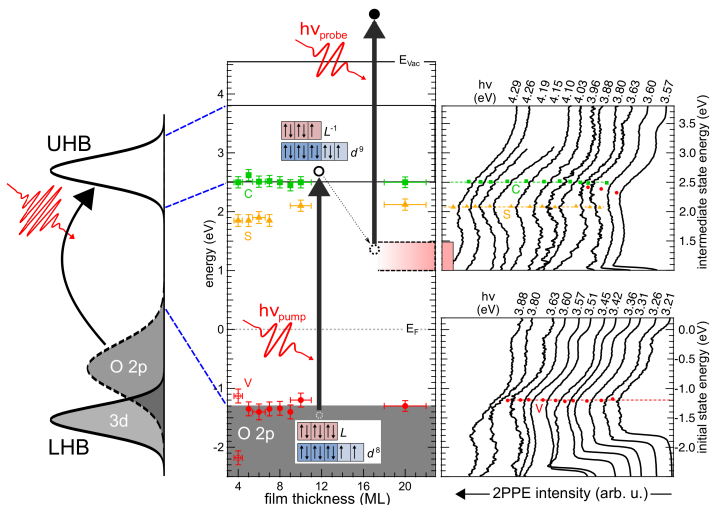
Electronic structure

► LDA + DMFT description



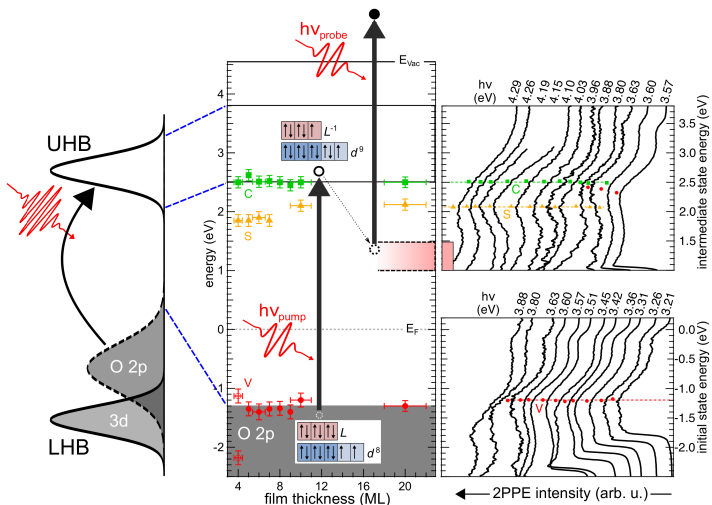
2PPE - experiment

- Charge transfer excitation
- Surface states



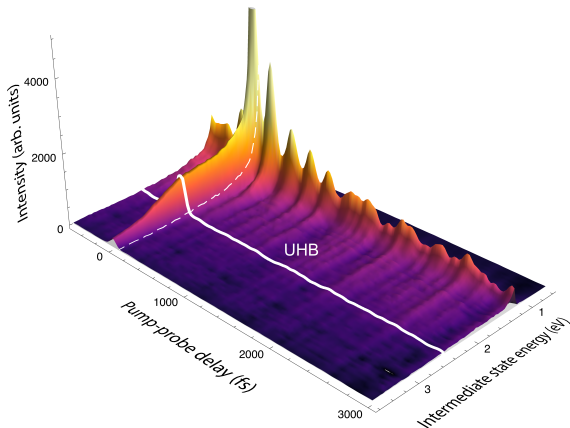
2PPE - experiment

- Charge transfer excitation
- Surface states



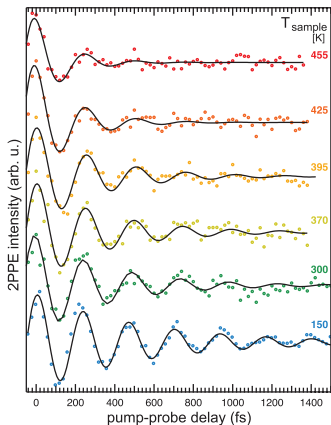
Pump-probe

- ▶ Pump $h\nu_P=4.2$ eV
- ▶ Ultra-fast relaxation
- ▶ Oscillating photo-induced in-gap state



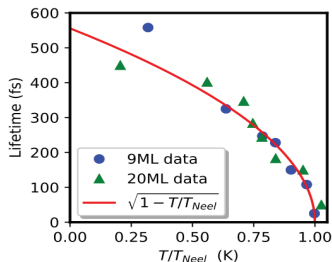
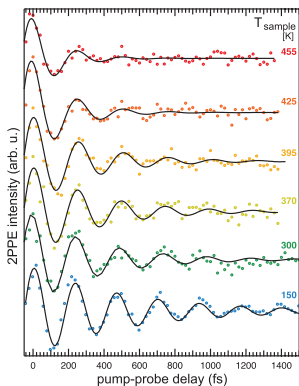
In-gap state

- ▶ Long-lived coherent dynamics of in-gap state
- ▶ Strongly damped at Neél temperature



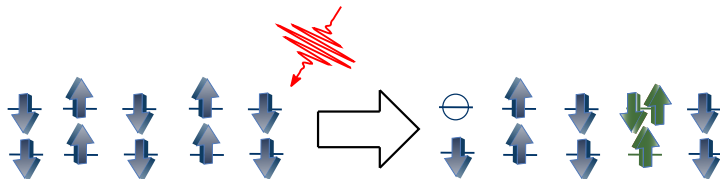
In-gap state

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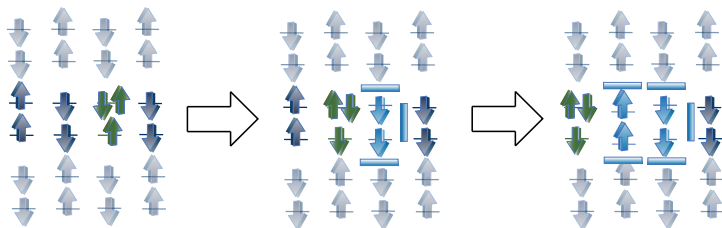
Modeling

► String states



Modeling

- ▶ Ground state: High-spin AFM
- ▶ Photo-induced triplon and hole
- ▶ String states



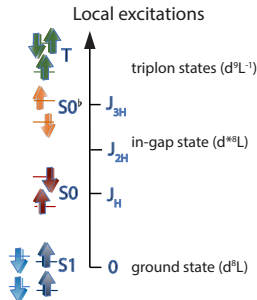
Multiband model

- ▶ Neglect excitonic effects
- ▶ Mapping to two-band t-J problem (Zhang-Rice construction)
- ▶ Atomic, kinetic and AFM contribution

$$H = \hat{H}_{\text{loc}} + H_{\text{kin}} + H_{\text{ex}} \quad (1)$$

Multiband model

- Kanamori interaction for d orbitals
- $J_H = 1$ eV
- Hubbard and Hund physics
- Ground state: high-spin state
- Solve within DMFT

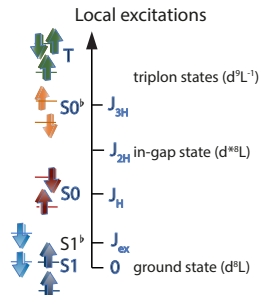


$$\begin{aligned} \hat{H}_{\text{loc}} = & U \sum_{i,\alpha} n_{i,\alpha\uparrow} n_{i\alpha\downarrow} - \mu \sum_{i\alpha\sigma} \tilde{n}_{i\alpha\sigma} + \sum_{i,\alpha < \beta} \sum_{\sigma,\sigma'} (U' - J_H \delta_{\sigma\sigma'}) n_{i\alpha\sigma} n_{i\beta\sigma'} \\ & + \gamma J_H \sum_{i,\alpha < \beta} \left(\tilde{c}_{i\alpha\uparrow}^\dagger \tilde{c}_{i\alpha\downarrow}^\dagger \tilde{c}_{i\beta\downarrow} \tilde{c}_{i\beta\uparrow} + \tilde{c}_{i\alpha\uparrow}^\dagger \tilde{c}_{i\beta\downarrow}^\dagger \tilde{c}_{i\alpha\downarrow} \tilde{c}_{i\beta\uparrow} \right) \end{aligned} \quad (2)$$

$$H_{\text{kin}} = -t_0 \sum_{\langle i,j \rangle} \sum_{a\sigma} (\tilde{c}_{ia\sigma}^\dagger \tilde{c}_{ja\sigma} + \tilde{c}_{ja\sigma}^\dagger \tilde{c}_{ia\sigma}) \quad (3)$$

Multiband model

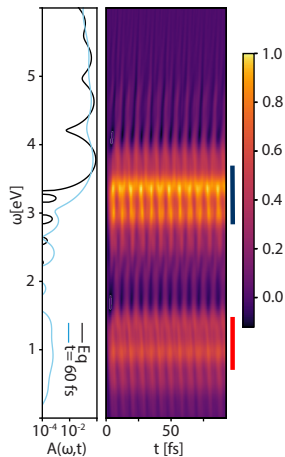
- Superexchange interaction J_{ex}
- Mean-field approximation



$$H_{\text{ex}} = J_{\text{ex}} \sum_{\langle ij \rangle} \mathbf{S}_{ia} \cdot \mathbf{S}_{ja} + \mathbf{S}_{ib} \cdot \mathbf{S}_{jb} \rightarrow J_{\text{ex}} \sum_{\langle ij \rangle} \mathbf{S}_{ia} \cdot \langle \mathbf{S}_{ja} \rangle + \langle \mathbf{S}_{ib} \rangle \cdot \mathbf{S}_{jb} \quad (4)$$

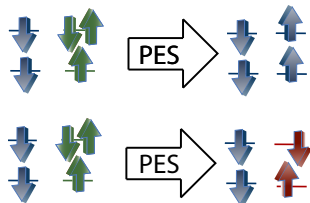
PES - theory

- Photo-induced in-gap state
- Hund excitation

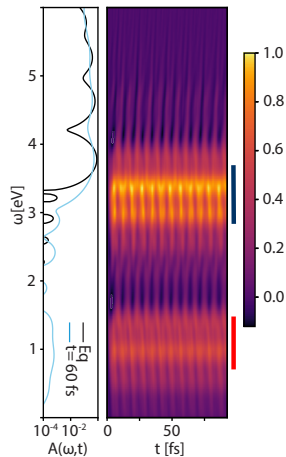


PES - theory

- ▶ Photo-induced in-gap state
- ▶ Hund excitation

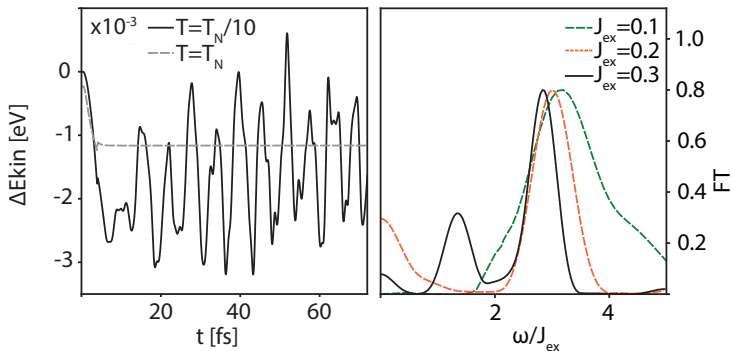


H. Strand, et.al. PRB 96, 165104 (2017)



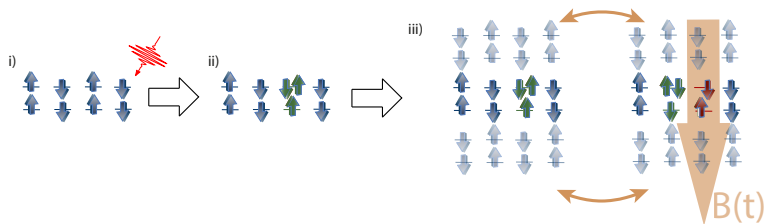
Kinetic energy

- ▶ Fast Hund oscillations
- ▶ Slow oscillations proportional to J_{ex}
- ▶ Coherent dynamics only below T_N



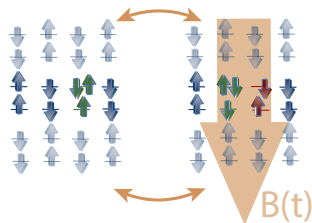
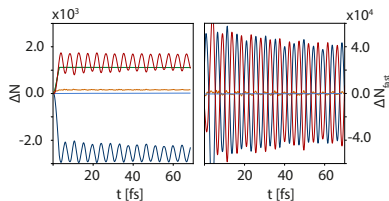
Hund and AFM

- ▶ Coupling of AFM and Hund
- ▶ String-like excitations
- ▶ Zeeman splitting for low-spin state



Hund and AFM

- ▶ Coupling of AFM and Hund
- ▶ String-like excitations
- ▶ Zeeman splitting for low-spin state



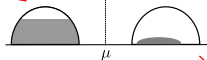
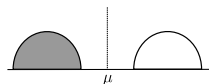
Message II

- ▶ Photo-induced in-gap state
- ▶ Coherent many-body oscillations (2 ps)
- ▶ Interplay of Hund and AFM physics

Conclusions

CuO

1. Multiband + dynamical screening
2. Band-gap renormalization
3. PES and optics



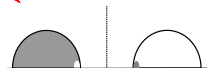
cooling



NiO

1. Photo-induced in-gap state
2. Many-body coherence
3. Hund and AFM

thermalization



Collaborators

- ▶ Philipp Werner (University of Fribourg)
- ▶ Lewin Boehnke
- ▶ Nikolaj Bittner
- ▶ Martin Eckstein (FAU Erlangen)

NiO - experiment

- ▶ Wolf Widdra (Martin-Luther Universität - Halle)
- ▶ Konrad Gillmeister
- ▶ Cheng-Tien Chiang
- ▶ Yaroslav Pavlyukh

Non Equilibrium Systems Simulation (NESSI) library

Numerical library for Greens functions on the Kadanoff-Baym contour

Functionalities:

1. Set up Feynman diagrams and solve EOM
2. High-order propagation scheme
3. MPI parallelization
4. Examples: Hubbard chains, Migdal-Eliashberg, GW, ...

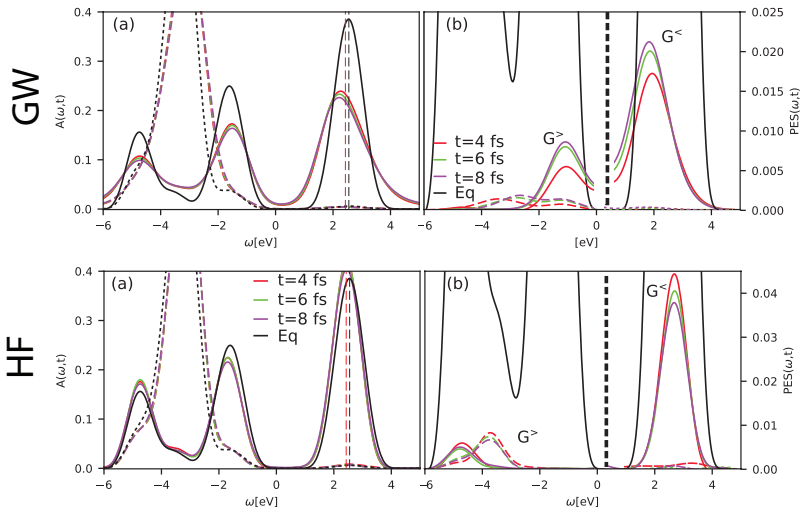
To be released ...

Thank you

Publications:

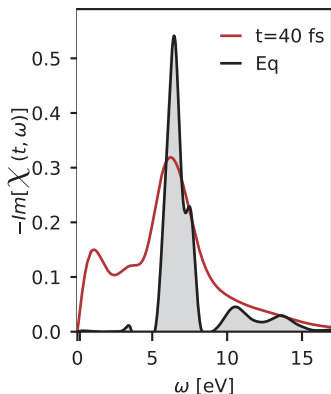
1. DG, L. Boehnke, M. Eckstein, P. Werner, PRB 100 (4), 041111 (2019)
2. DG, M. Eckstein, P. Werner, arXiv:1903.08713 (2019)
3. K. Gilmeister, DG, et.al. (in preparation)

Relaxation



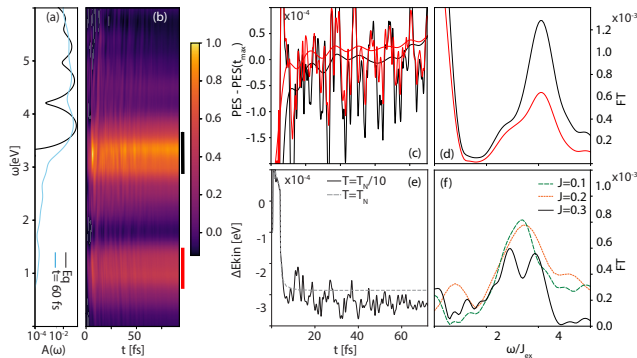
Screening

1. Photo-induced screening channel
2. Strong scattering with plasmons \rightarrow broadening of spectrum



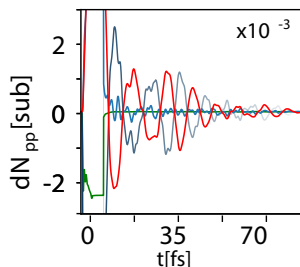
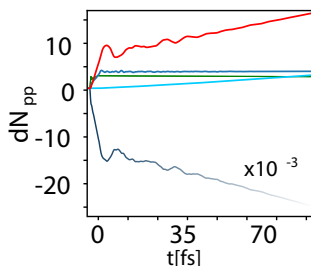
Lifetime of coherent dynamics I

1. High-frequency excitations



Lifetime of coherent dynamics II

1. High-frequency excitations
2. Finite lifetime
3. Increase of AFM defects



Lifetime of coherent dynamics II

1. High-frequency excitations
2. Finite lifetime
3. Increase of AFM defects

