

Ground motions from dynamic models: Contribution of earthquake dynamics to strong ground motion prediction

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Advanced Workshop on
Earthquake Fault
Mechanics:
Theory, Simulation and
Observations

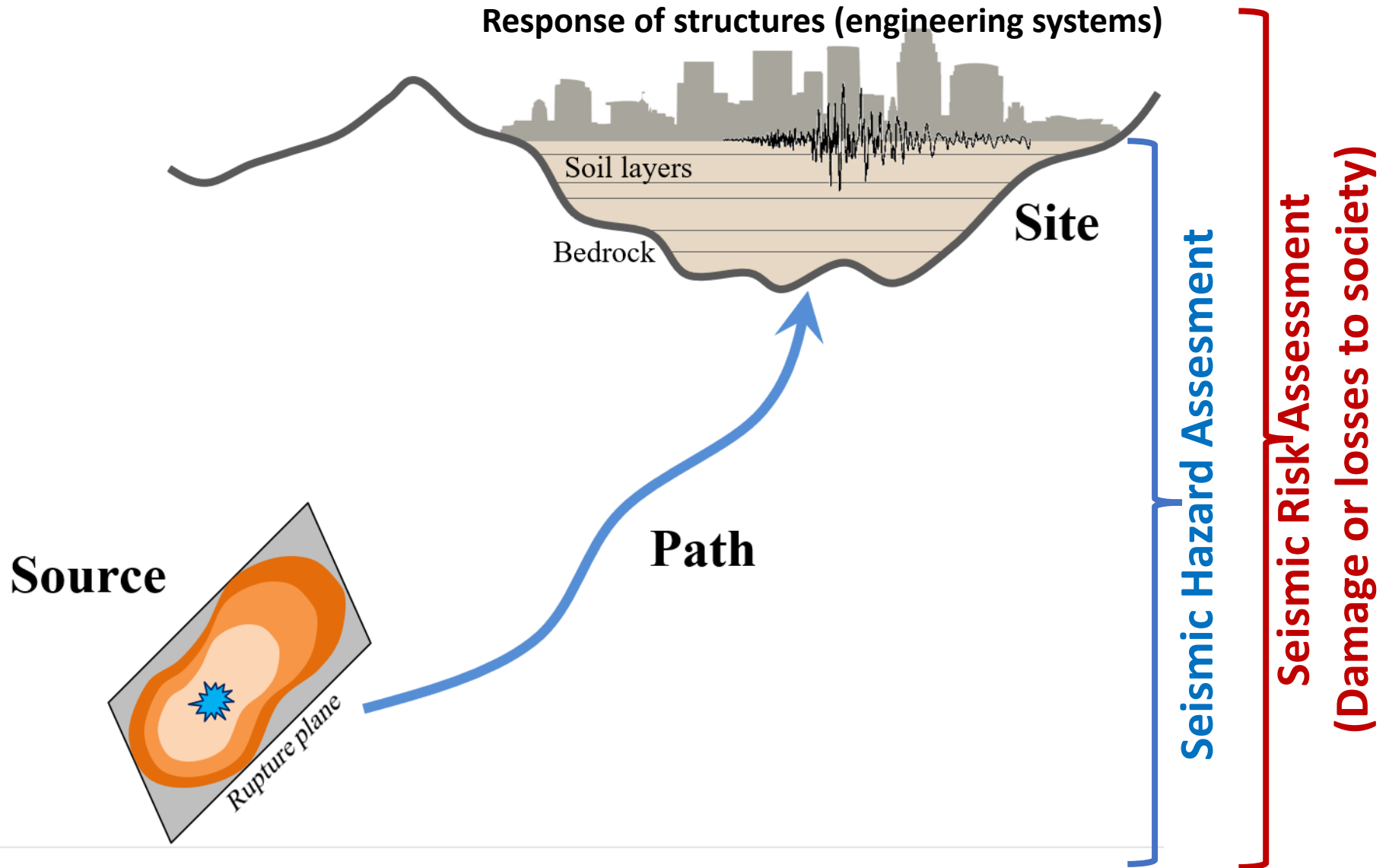


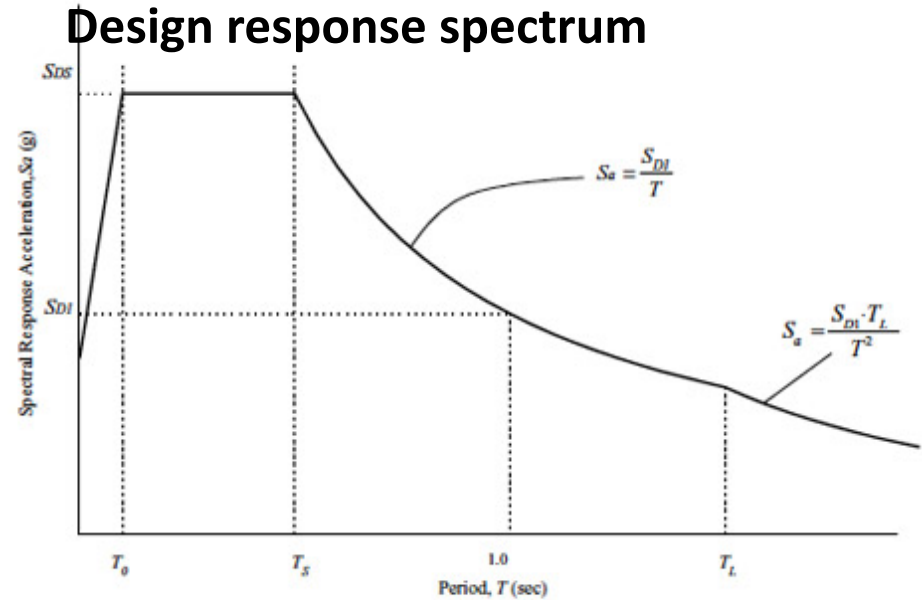
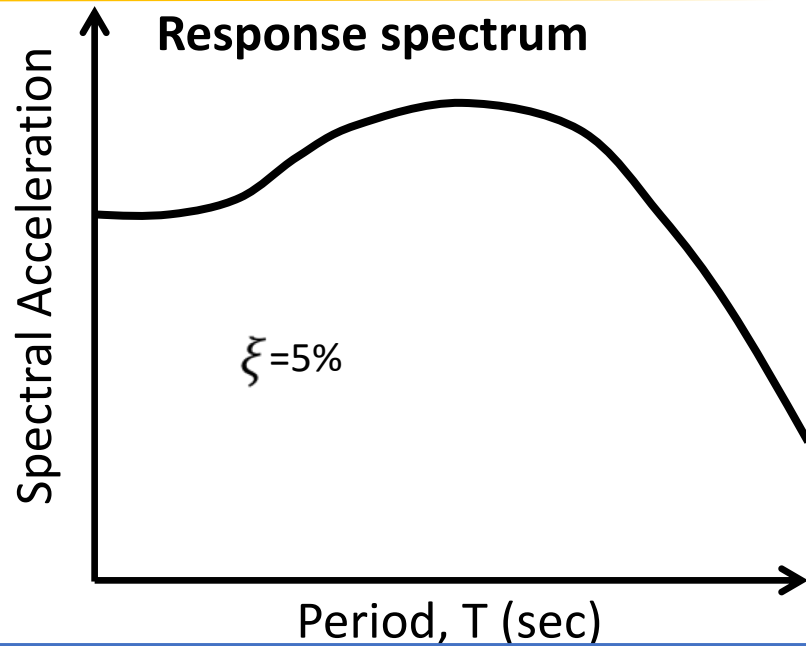
2 - 14 September 2019
Trieste, Italy

Further information:
<http://indico.ictp.it/event/8716/smi2319@ictp.it>

- **Introduction: Problem statement of Seismic Hazard and Risk**
- **Ground motion representation for Engineering**
- **Overview of Probabilistic Seismic Hazard Assessment (PSHA)**
- **Role of Ground Motion Models in PSHA**
- **Ground Motion Prediction Equations (GMPEs) and limitations**
- **Physics-based rupture Models and limitations: Source-dominated ground motion**
- **Ergodic and non-ergodic process: Ground Motion Variability and the role of GMPEs and Physics-Based models.**
- **GMPEs vs Physics-based models**
- **Conclusions**

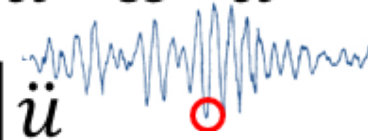
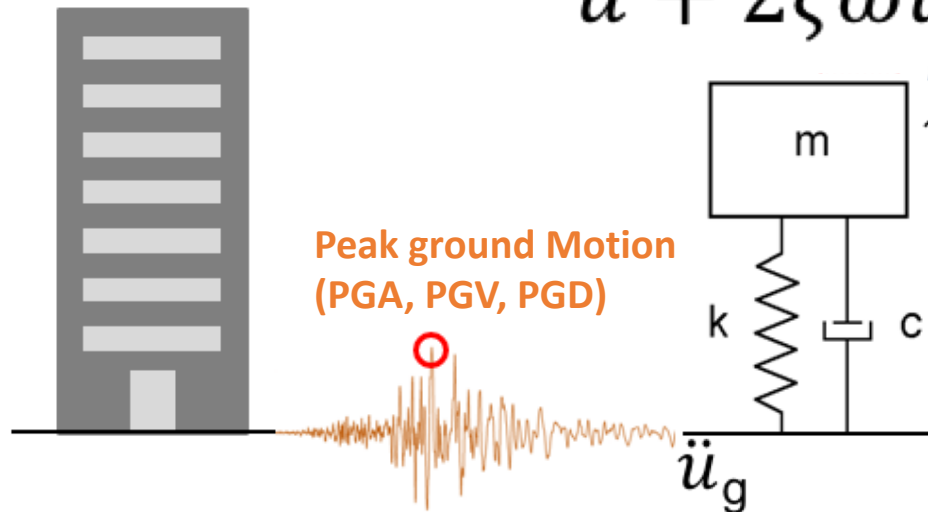
Problem statement for Seismic Hazard and Risk





SDOF oscillator response

$$\ddot{u} + 2\xi\omega\dot{u} + \omega^2 u = -\ddot{u}_g$$



Peak response
Spectral ordinates (PSA, PSV, SD)

ω = Natural frequency of the SDOF system

ξ = Damping ratio

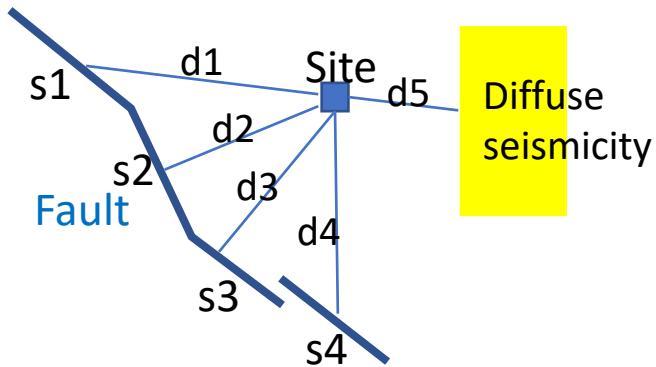
\ddot{u}, \dot{u}, u = Acceleration, velocity, displacement

Probabilistic Seismic Hazard Assessment (PSHA)

Earthquake source

and site characterization

(M7.5 every 250yrs, 0.004 event /years)

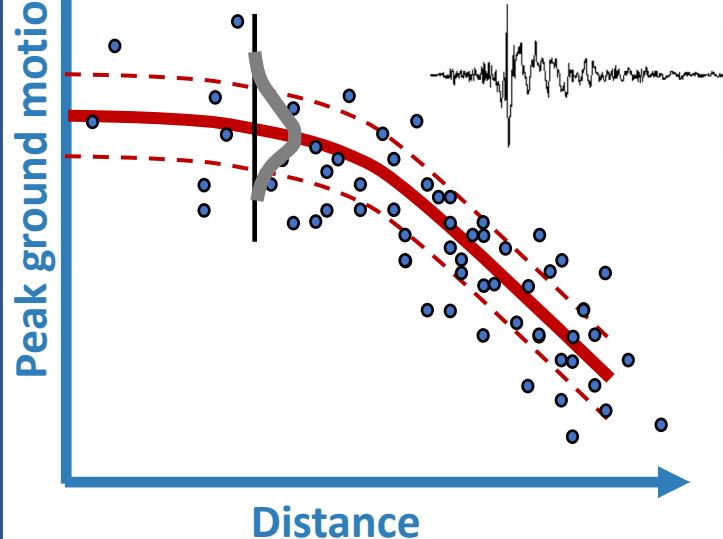


Annual rate of
exceeding PGA

HAZARD CURVE CALCULATION

Peak ground acceleration (PGA)

Ground Motion Characterization



Uniform Hazard Spectrum (UHS)

(For a given return period, e.g. 500 years)

PSA

$\xi = 5\%$

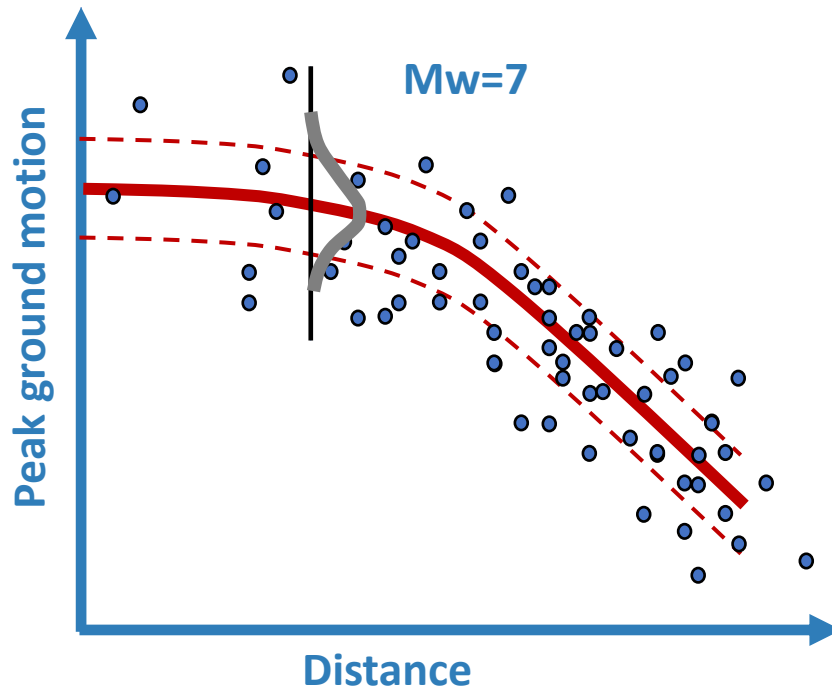
Period, T (sec)

- Seismic hazard results are driven mainly by two key inputs (and their uncertainty):
 - I. Rate of earthquakes in areas near the site;
 - II. Ground-motion models (GMMs, or GMPEs)
- In many cases the ground-motion models drive the hazard results
- Current practice of PSHA is usually dominated by empirical Ground Motion Prediction Equations (GMPEs) that have been developed most of the time using dataset from other places except from the site of interest.

Ground Motion Prediction Equations (GMPEs) and limitations

- An ergodic assumption is commonly made in Probabilistic Seismic Hazard Assessment (PSHA)
- Current practice usually uses empirical GMPEs that are usually based on worldwide database (there are also for region specific)
- GMPEs Predict only one component of ground motion (e.g. Geo Mean)

$$\ln(Y) = f_{src}(M, \dots) + f_{path}(R, M, \dots) + f_{site}(V_{s30} \dots) + \Delta$$



1964-2017:
432 empirical GMPEs -> PGA
277 empirical GMPEs -> PSA
(Douglas, 2017,
<http://www.gmpe.org.uk>)

Evolution of empirical GMPEs



Abrahamson and Young (1992):

$$\ln y = a + bM + d \ln(r + c) + eF$$

Abrahamson et al (2014)

$$\begin{aligned} \ln S_a &= f_1 + F_{RV}f_7 + F_Nf_8 + F_{AS}f_{11} + f_5 + F_{HW}f_4 + f_6 + f_{10} + \text{Regional} \\ f_1 &= \begin{cases} a_1 + a_5(M - M_1) + a_8(8.5 - M)^2 + [a_2 + a_3(M - M_1)] \ln R + a_{17}r_{rup} & M > M_1 \\ a_1 + a_4(M - M_1) + a_8(8.5 - M)^2 + [a_2 + a_3(M - M_1)] \ln R + a_{17}r_{rup} & M_2 \leq M < M_1 \\ a_1 + a_4(M_2 - M_1) + a_8(8.5 - M)^2 + a_6(M - M_2) + a_7(M - M_2)^2 & M < M_2 \end{cases} \\ R &= \sqrt{r_{rup}^2 + c_{4M}^2} \\ c_{4M} &= \begin{cases} c_4 & M > 5 \\ c_4 - (c_4 - 1)(5 - M) & 4 < M \leq 5 \\ 1 & M \leq 4 \end{cases} \\ f_7 &= \begin{cases} a_{11} & M > 5 \\ a_{11}(M - 4) & 4 \leq M \leq 5 \\ 0 & M < 4 \end{cases} \\ f_8 &= \begin{cases} a_{12} & M > 5 \\ a_{12}(M - 4) & 4 \leq M \leq 5 \\ 0 & M < 4 \end{cases} \end{aligned}$$

If R_{y0} not available:

$$\begin{aligned} T_5 &= \begin{cases} 1 & r_{jb} = 0 \\ 1 - \frac{r_{jb}}{30} & r_{jb} < 30 \\ 0 & r_{jb} \geq 30 \end{cases} \\ f_6 &= \begin{cases} a_{15} \frac{Z_{TOR}}{20} & Z_{TOR} < 20 \text{ km} \\ a_{15} & Z_{TOR} \geq 20 \text{ km} \end{cases} \\ f_{10} &= \begin{cases} a_{43} \ln \left(\frac{Z_1 + 0.01}{Z_{1,ref} + 0.01} \right) & V_{s,30} \leq 200 \text{ m/s} \\ a_{44} \ln \left(\frac{Z_1 + 0.01}{Z_{1,ref} + 0.01} \right) & 200 < V_{s,30} \leq 300 \text{ m/s} \\ & 300 < V_{s,30} \leq 500 \text{ m/s} \\ & V_{s,30} > 500 \text{ m/s} \end{cases} \end{aligned}$$

GMPEs are becoming very complex to use!!

$$\begin{aligned} V_{s,30} &= \begin{cases} V_1 & V_{s,30} \geq V_1 \\ \exp \left[-0.35 \ln \left(\frac{T}{0.5} \right) + \ln(1500) \right] & 0.5 < T < 3 \text{ s} \\ 800 & T \geq 3 \text{ s} \end{cases} \\ V_1 &= \begin{cases} 1500 & T \leq 0.5 \text{ s} \\ \exp \left[-0.35 \ln \left(\frac{T}{0.5} \right) + \ln(1500) \right] & 0.5 < T < 3 \text{ s} \\ 800 & T \geq 3 \text{ s} \end{cases} \\ f_4 &= a_{13}T_1T_2T_3T_4T_5 \\ T_1 &= \begin{cases} (90 - \text{dip})/45 & \text{dip} > 30^\circ \\ 60/45 & \text{dip} < 30^\circ \end{cases} \\ T_2 &= \begin{cases} 1 + a_{2HW}(M - 6.5) & M \geq 6.5 \\ 1 + a_{2HW}(M - 6.5) - (1 - a_{2HW})(M - 6.5)^2 & 5.5 < M < 6.5 \\ 0 & M \leq 5.5 \end{cases} \\ T_3 &= \begin{cases} h_1 + h_2(R_x/R_1) + h_3(R_x/R_1)^2 & R_x < R_1 \\ 1 - \left(\frac{R_x - R_1}{R_2 - R_1} \right) & R_1 \leq R_x \leq R_2 \\ 0 & R_x > R_2 \end{cases} \\ T_4 &= \begin{cases} 1 - \frac{Z_{TOR}^2}{100} & Z_{TOR} \leq 10 \text{ km} \\ 0 & Z_{TOR} > 10 \text{ km} \end{cases} \\ T_5 &= \begin{cases} 1 & R_{y0} - R_{y1} \leq 0 \\ 1 - \frac{R_{y0} - R_{y1}}{5} & 0 < R_{y0} - R_{y1} < 5 \\ 0 & R_{y0} - R_{y1} \geq 5 \end{cases} \\ R_1 &= W \cos(\text{dip}) \\ R_2 &= 3R_1 \\ R_{y1} &= R_x \tan(20) \\ h_1 &= 0.25 \\ h_2 &= 1.5 \\ h_3 &= -0.75 \end{aligned}$$

$$Z_{1,ref} = \begin{cases} \frac{1}{1000} \exp \left[\frac{-1.40}{4} \ln \left(\frac{V_{s,30}}{1360^4 + 570.94^4} \right) \right] & \text{for California} \\ \frac{1}{1000} \exp \left[\frac{-5.23}{2} \ln \left(\frac{V_{s,30}^2 + 412.39^2}{1360^2 + 412.39^2} \right) \right] & \text{for Japan} \end{cases}$$

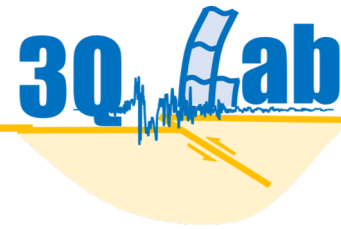
$$f_{11} = \begin{cases} a_{14} & CR_{jb} \leq 5 \text{ km} \\ a_{14} \left[1 - \frac{CR_{jb} - 5}{10} \right] & 5 < CR_{jb} < 15 \text{ km} \\ 0 & CR_{jb} \geq 15 \text{ km} \end{cases}$$

$$\text{Regional} = F_{TW}(f_{12} + a_{25}r_{rup}) + F_{CN}a_{28}r_{rup} + F_{JP}(f_{13} + a_{29}r_{rup})$$

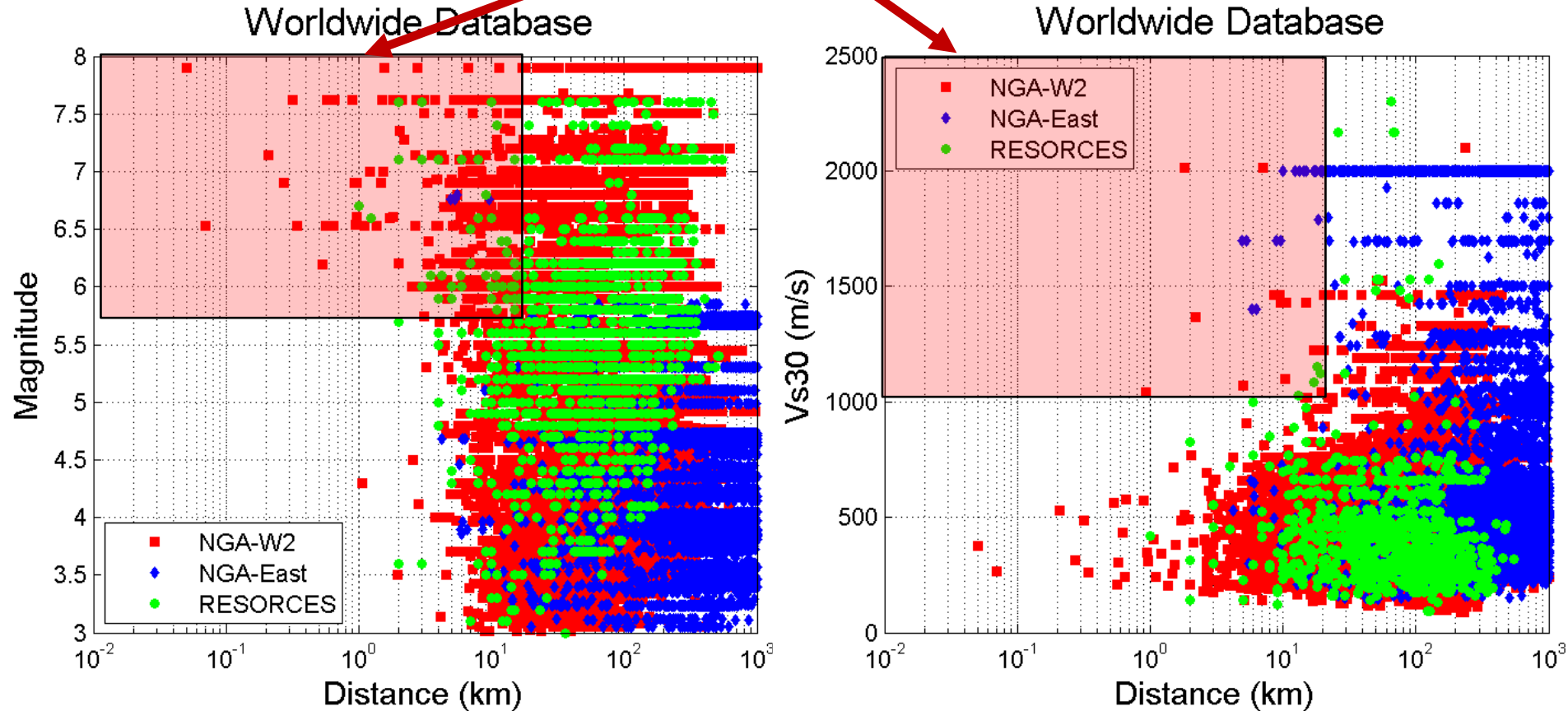
$$f_{12} = a_{31} \ln \left(\frac{V_{s,30}^*}{V_{Lin}} \right)$$

$$f_{13} = \begin{cases} a_{36} & V_{s,30} < 200 \text{ m/s} \\ a_{37} & 200 \leq V_{s,30} < 300 \text{ m/s} \\ a_{38} & 300 \leq V_{s,30} < 400 \text{ m/s} \\ a_{39} & 400 \leq V_{s,30} < 500 \text{ m/s} \\ a_{40} & 500 \leq V_{s,30} < 700 \text{ m/s} \\ a_{41} & 700 \leq V_{s,30} < 1000 \text{ m/s} \\ a_{42} & V_{s,30} \geq 1000 \text{ m/s} \end{cases}$$

Limitations of empirical GMPEs



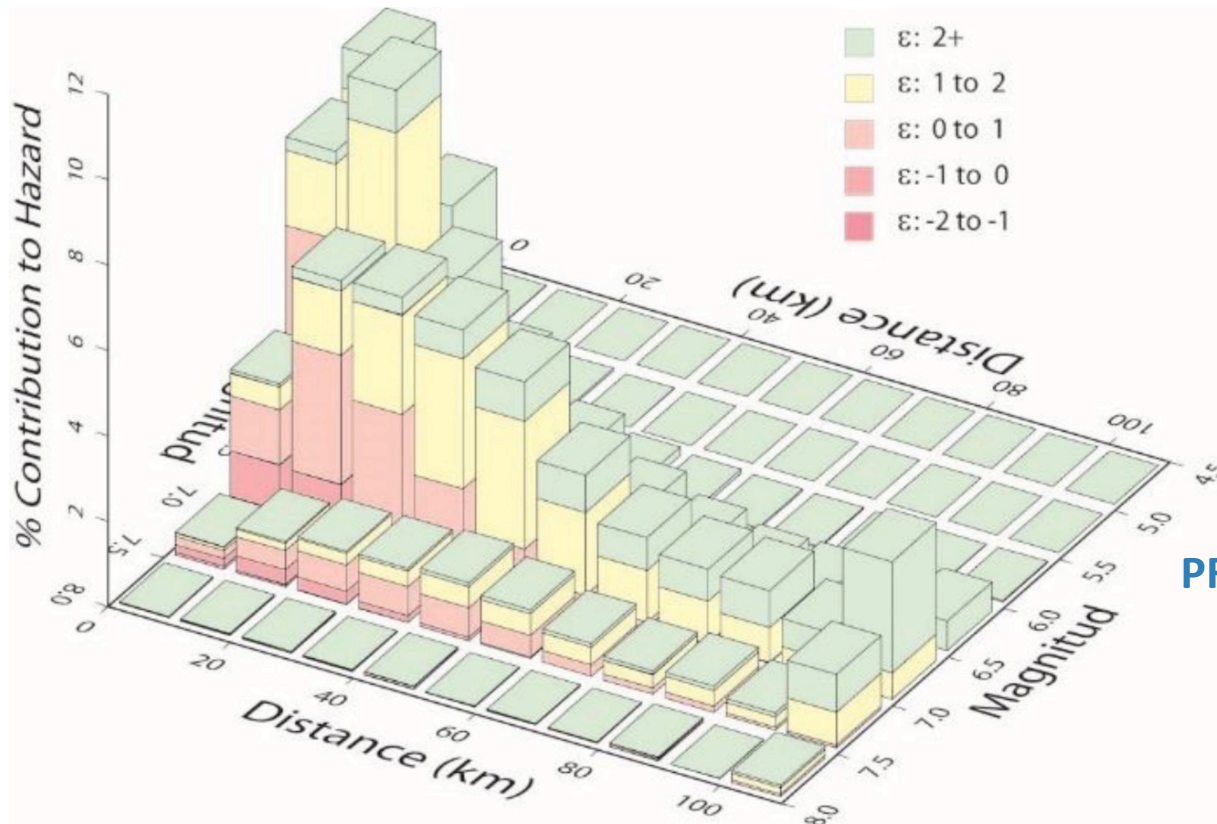
Zone of major interest used for PSHA



Ground-motion records in region of interest is sparse and in magnitude-distance range of most engineering interest

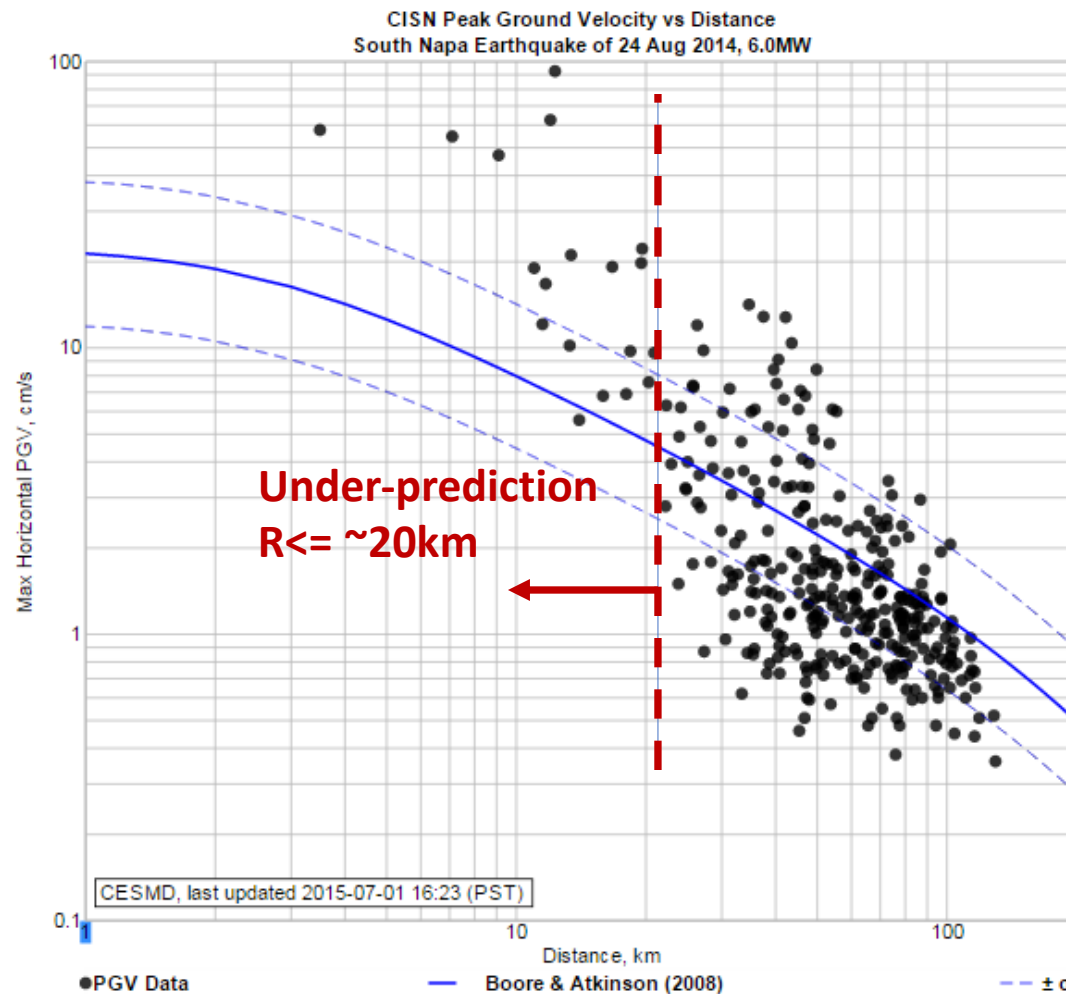
Example of Site-specific PSHA for NPPs

PRP project in Switzerland



Hazard is controlled by $M_w \sim 6$ and $R \leq 20\text{km}$ (near fault)

Limitations of empirical GMPEs

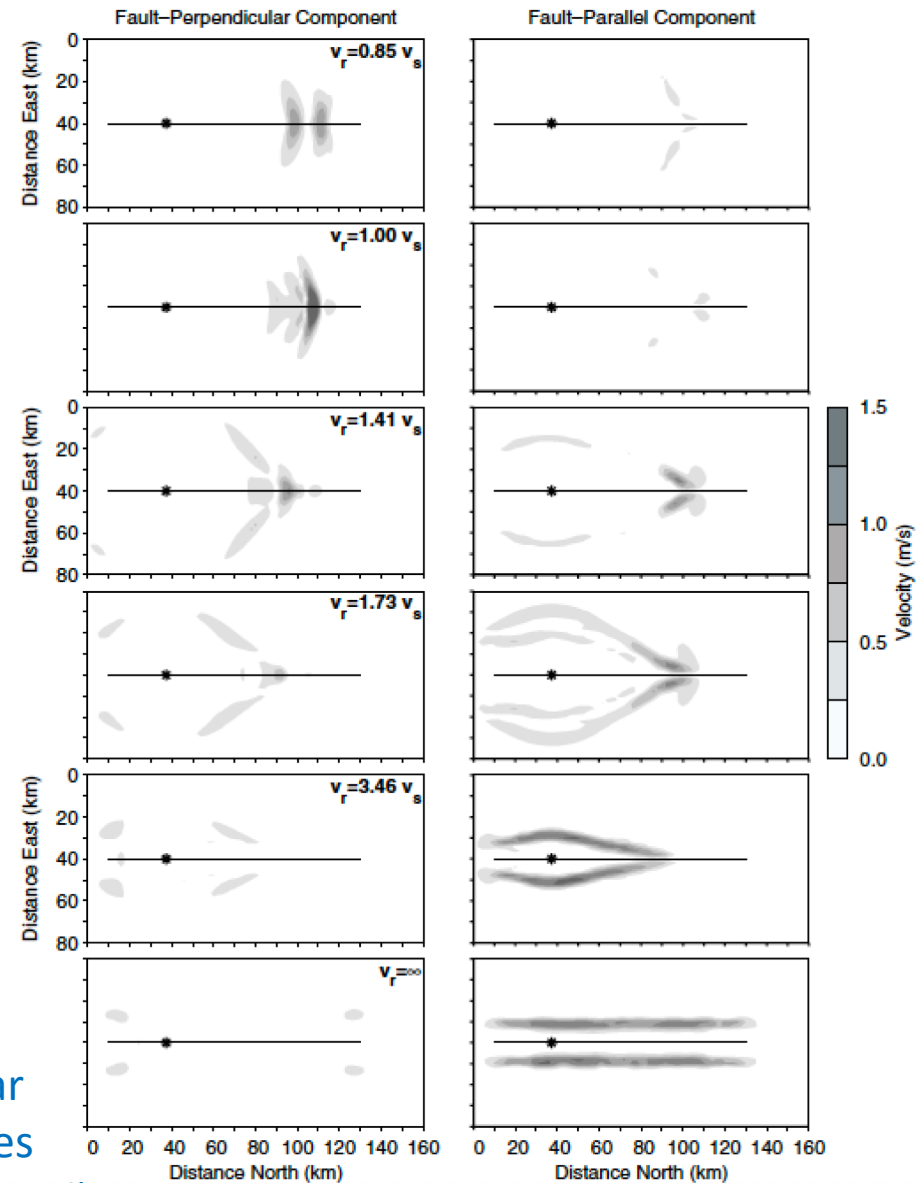
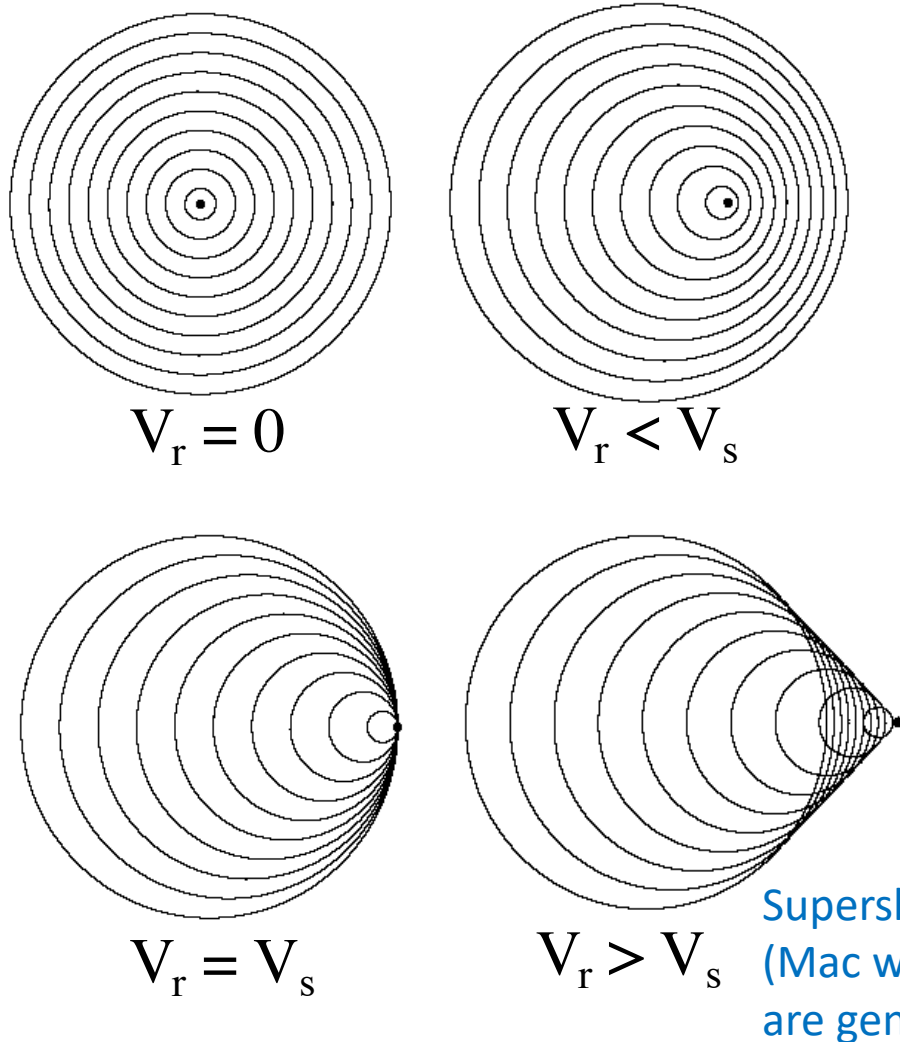


(Courtesy of Roberto Paolucci)

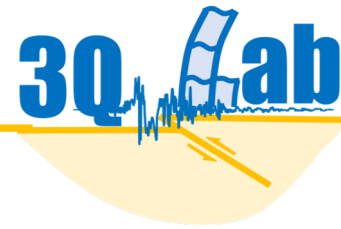
GMPEs predict earthquakes similar to events from their database only

Source-dominated ground motion: Physics-based rupture Models and limitations

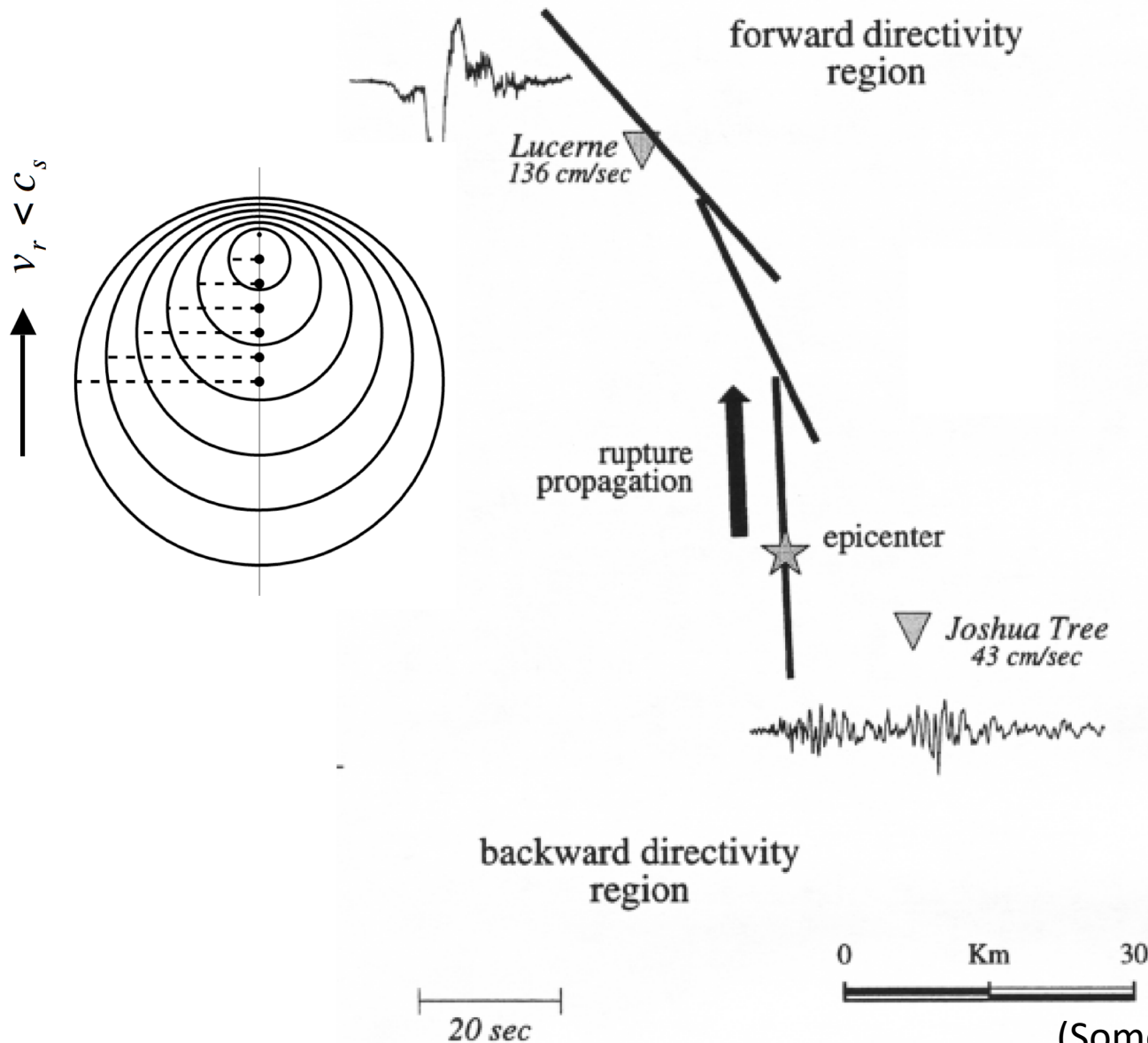
Effect of Rupture speed: Subshear and Supershear



Source dominated near-source ground motion

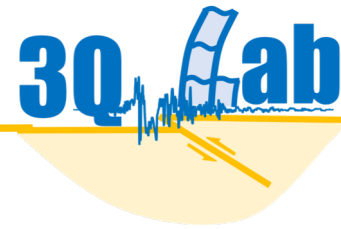


Directivity during Mw7.3 1992 Landers Earthquake

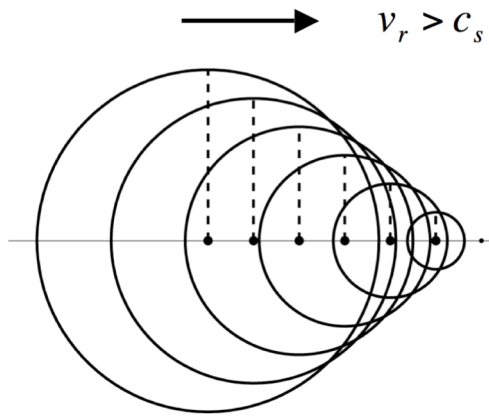


(Somerville et al., 1997)

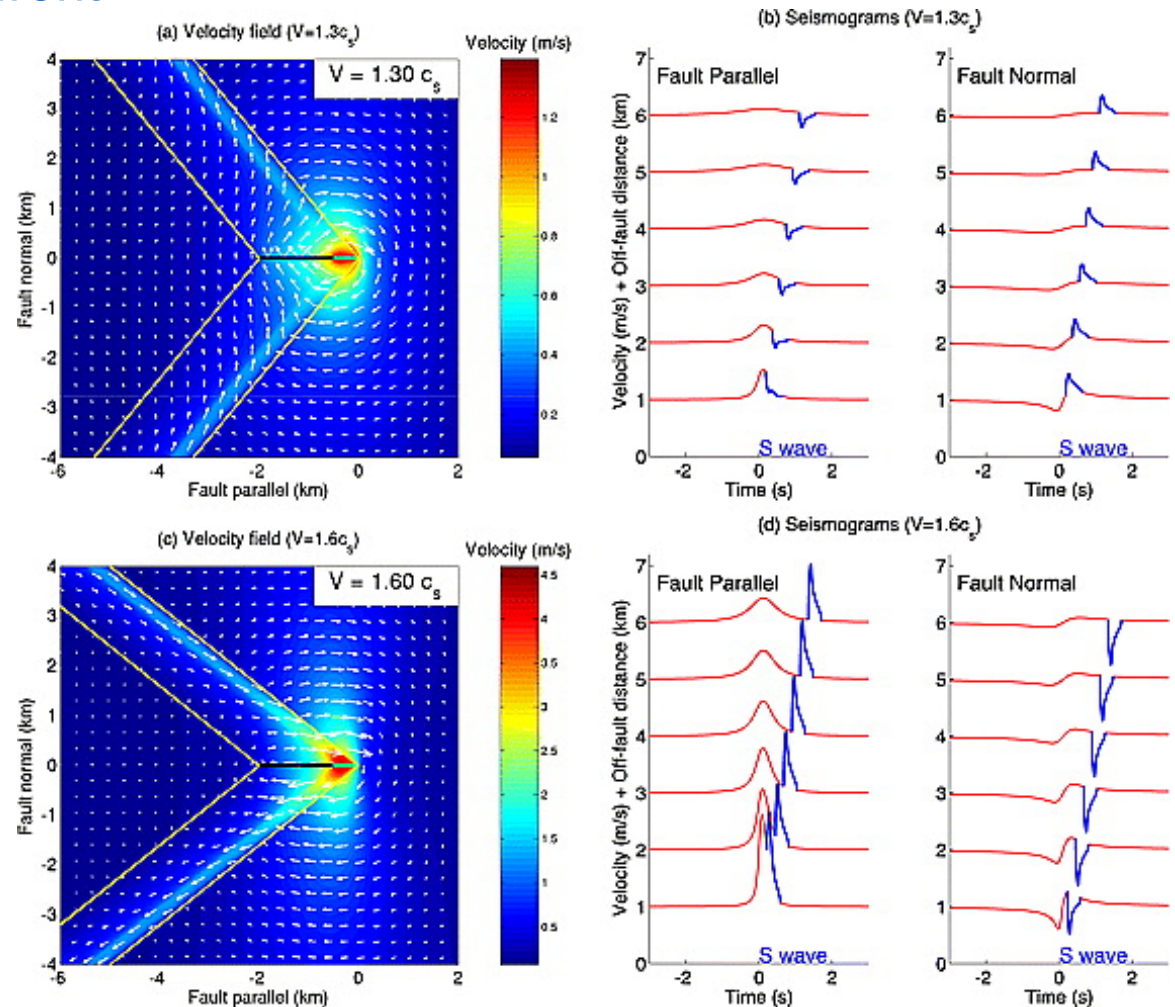
Source dominated near-source ground motion



Super-shear rupture: Velocity pulses transmit large amplitude motion. Because Shear Mach waves are emanated from the rupture front

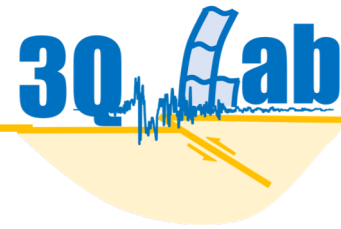


- Planar wavefronts emanate from the leading and trailing edges of the slip zone.
- The shear field carries an exact history of the slip velocity that appears in both the FP and FN velocity components



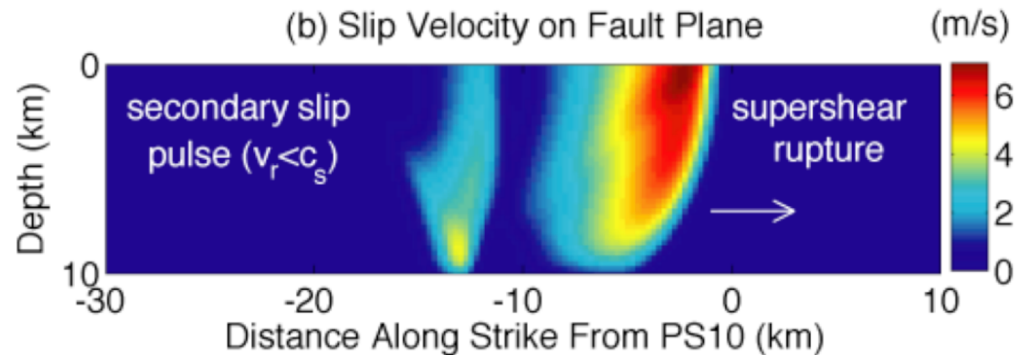
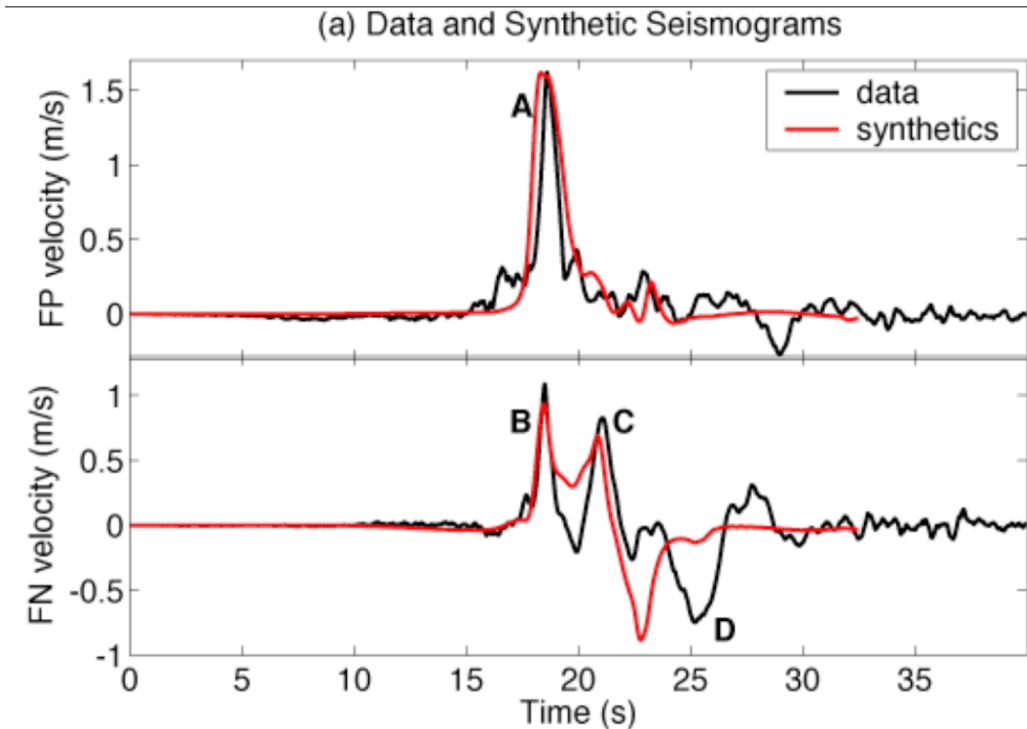
(Dunham and Archuleta (2005))

Source dominated near-source ground motion



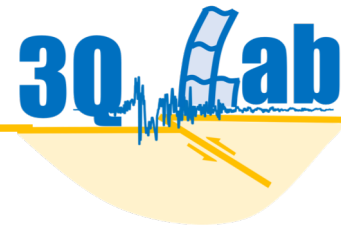
Supershear rupture speed During Mw7.9 2002 Denali Earthquake

Waveforms at Pump Station 10
(PS10), 3km distance from fault.
Two rupture fronts:
Pulses A, B: from supershear
Pulses C, D: from subshear



(Dunham and Archuleta (2005))

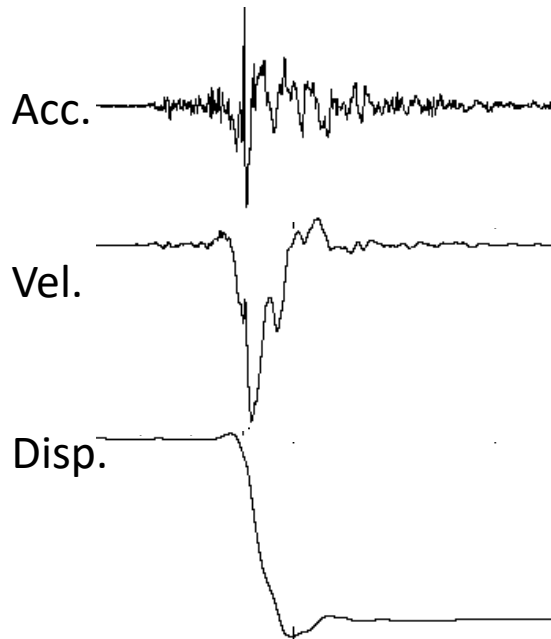
Source dominated near-source ground motion



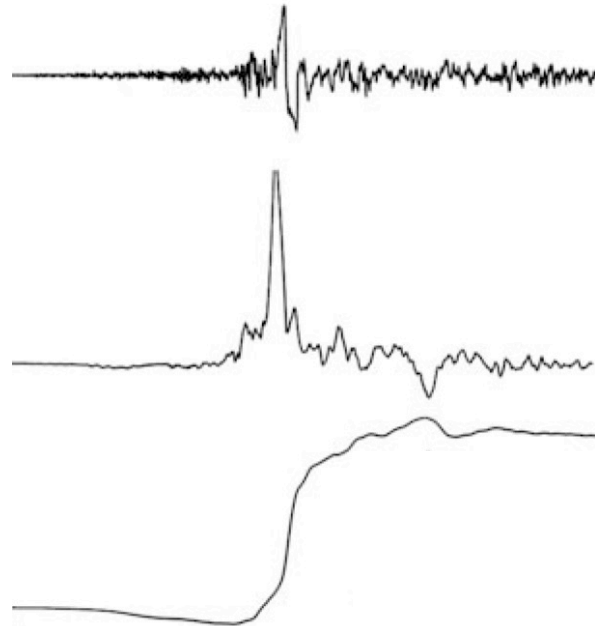
Pulses causing permanent displacement from surface rupture

Permanent displacement (fling step) are formed from coherent long period velocity pulses caused mainly by the offset of the ground surface when fault-rupture extends to the earth surface

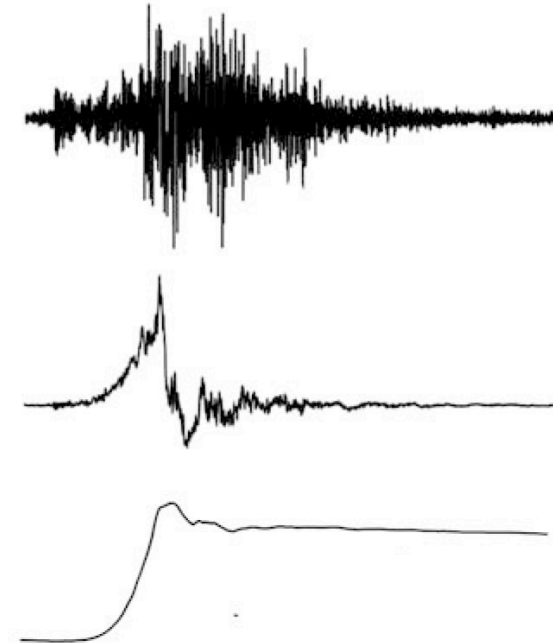
1999 Chi-chi (Mw7.6)
TCU068 EW



2003 Denali (Mw7.9)
PS10-FP



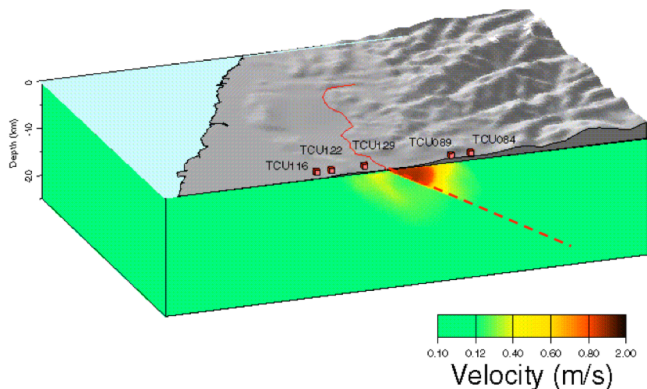
1992 Landers (Mw7.3)
LUC-FP



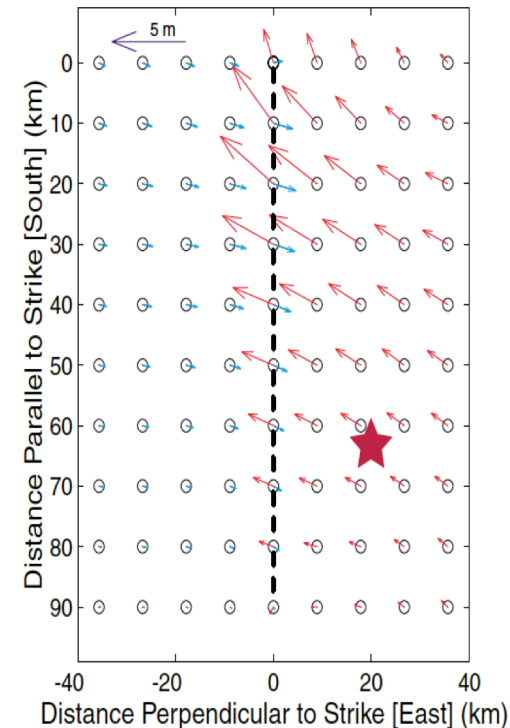
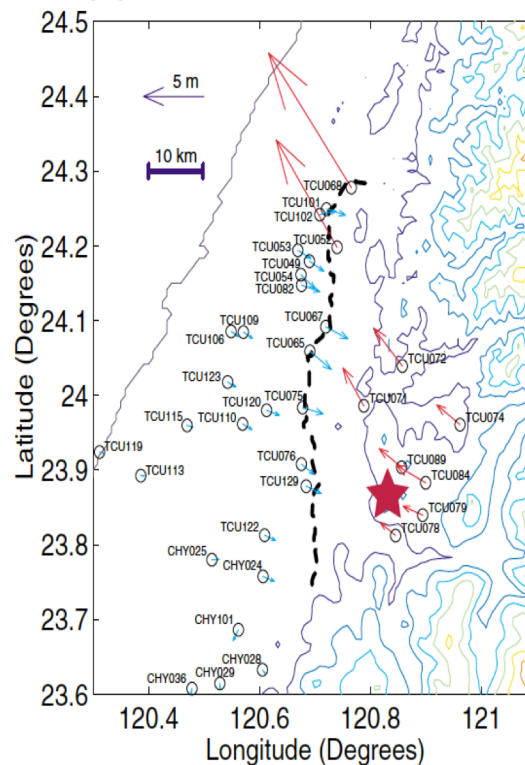
Effect of asymmetric geometry of dipping faults:

Example the Mw7.6 1999 Chi-Chi earthquake

- Interaction of reflected waves (coming from the free-surface of the hanging wall side) with the ongoing rupture propagation causes rapped waves in the hanging wall and rotation of rake angle enhanced at the edge of the fault trace with considerable strike slip components.
- These source complexities causing hanging wall moving more than the footwall, producing amplification of the ground motion in the wedge of the hanging wall.
- The rake rotation generates directivity pulses combined with the “fling” pulses caused surface rupture



(Dalgner et al., 2001)



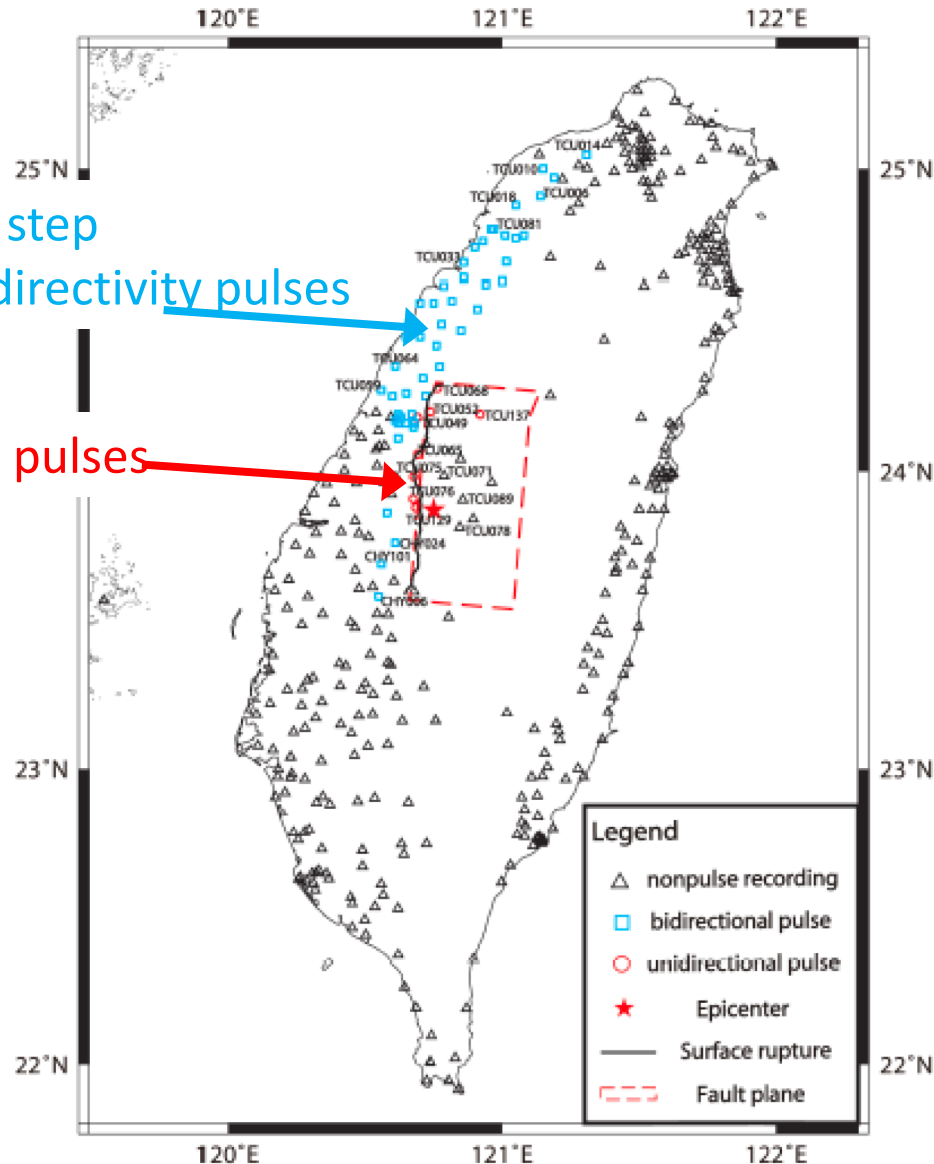
(Oglesby and Day, 2001)

Example the Mw7.6 1999 Chi-Chi earthquake

- The rake rotation generates directivity pulses combined with the “fling step” pulses caused surface rupture

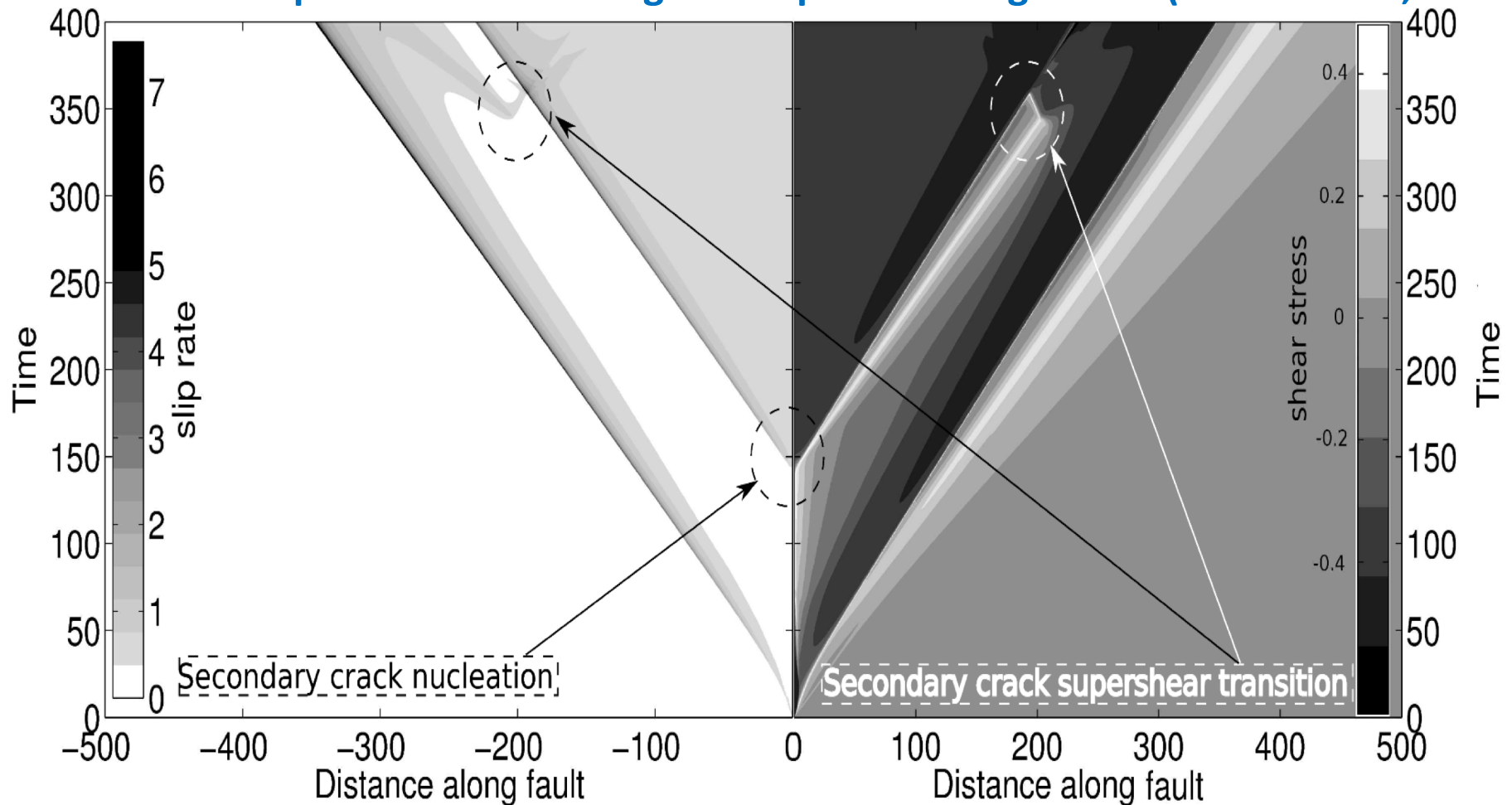
Coupled fling step
and forward directivity pulses

Fling step pulses

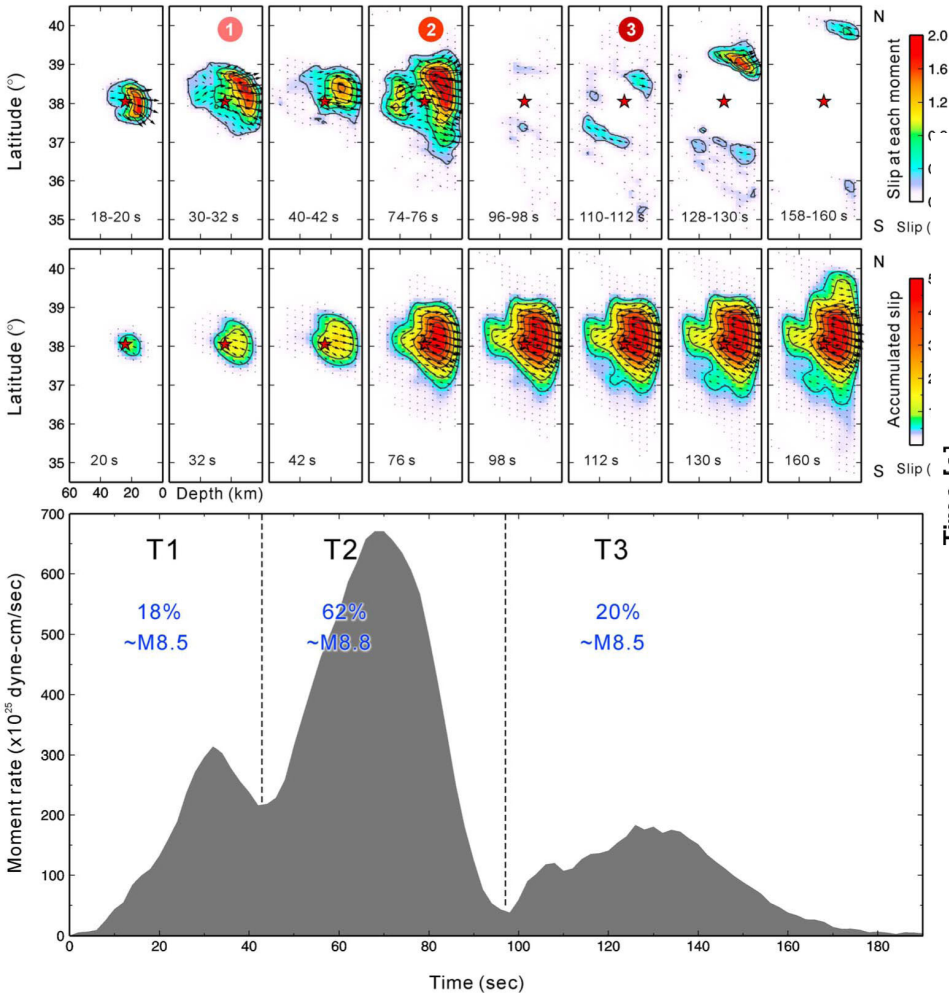


Rupture reactivation mechanism:

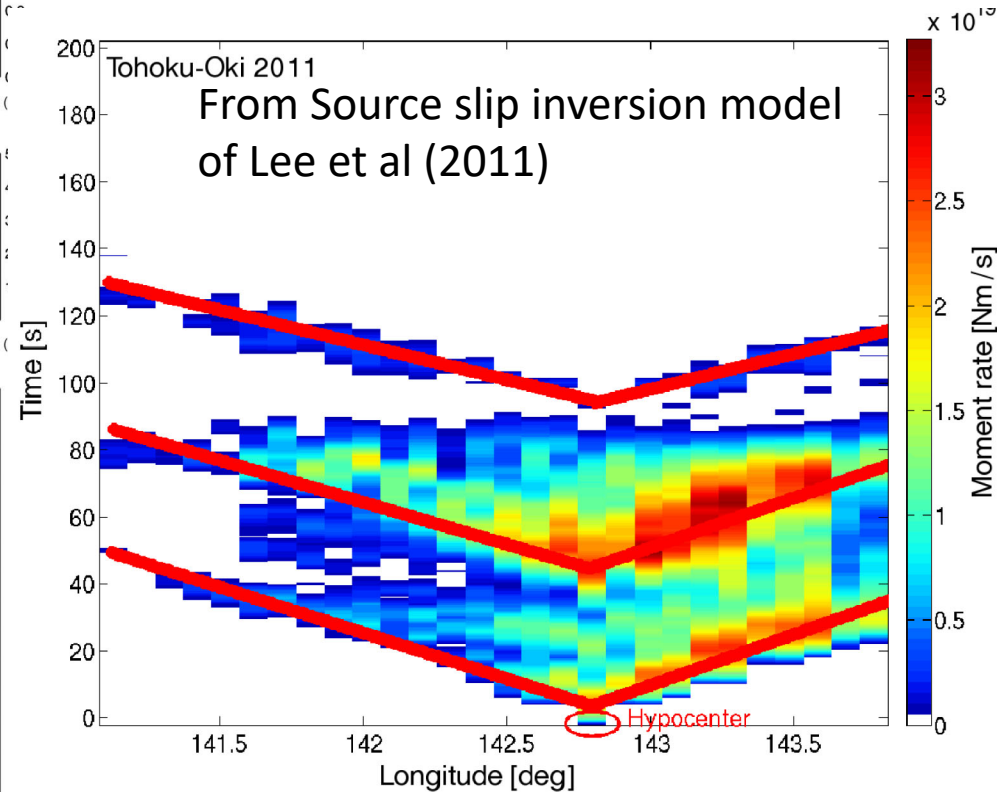
- Transition from pulse to crack like rupture, stress accumulation due to healing reactivate rupture (Gabriel et al., 2012)
- Double drop of frictional strength in slip weakening model (Galvez et al., 2016)



Slip reactivation during Mw 9.0 2011 Tohoku

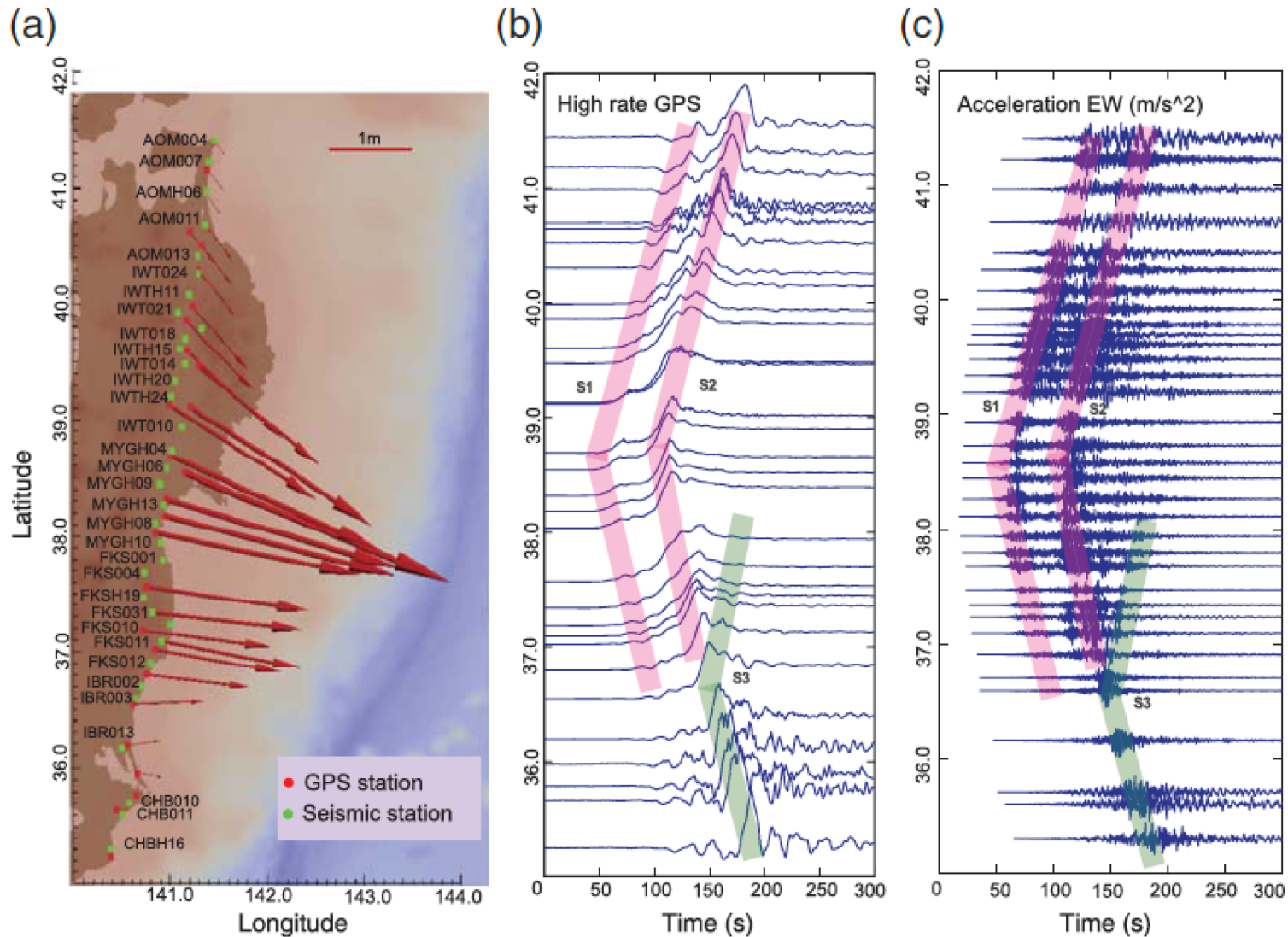


(Lee et al, 2011, GRL)



Galvez et al. (2016)
Gabriel et al. (2012)

Slip reactivation: case Mw9.0 2011 Tohoku earthquake



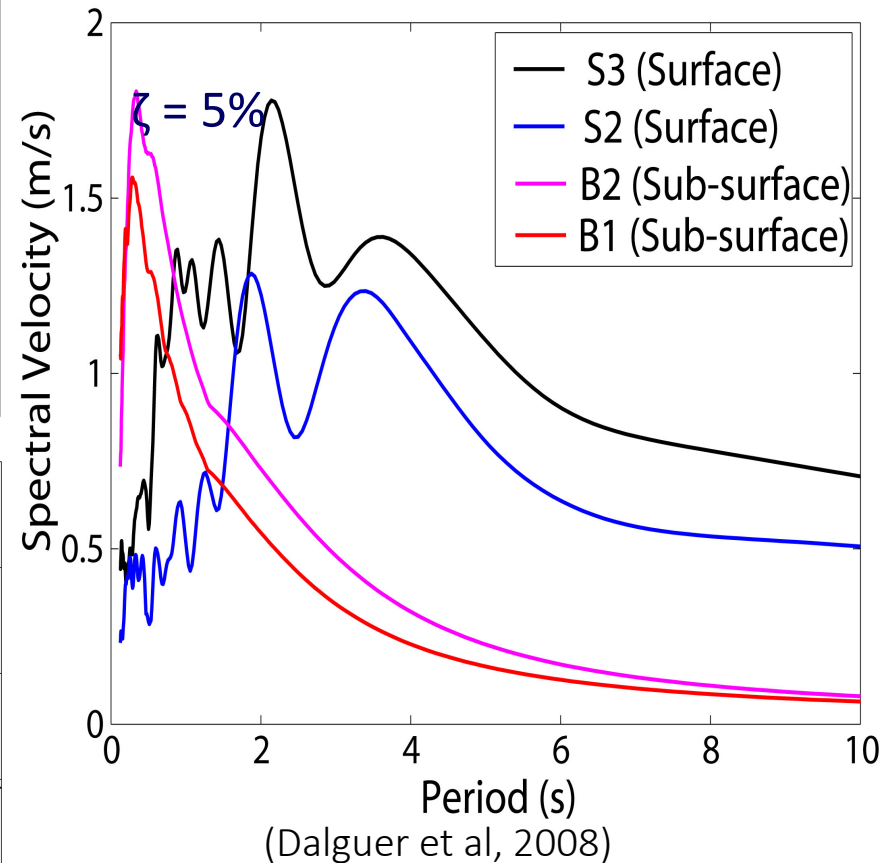
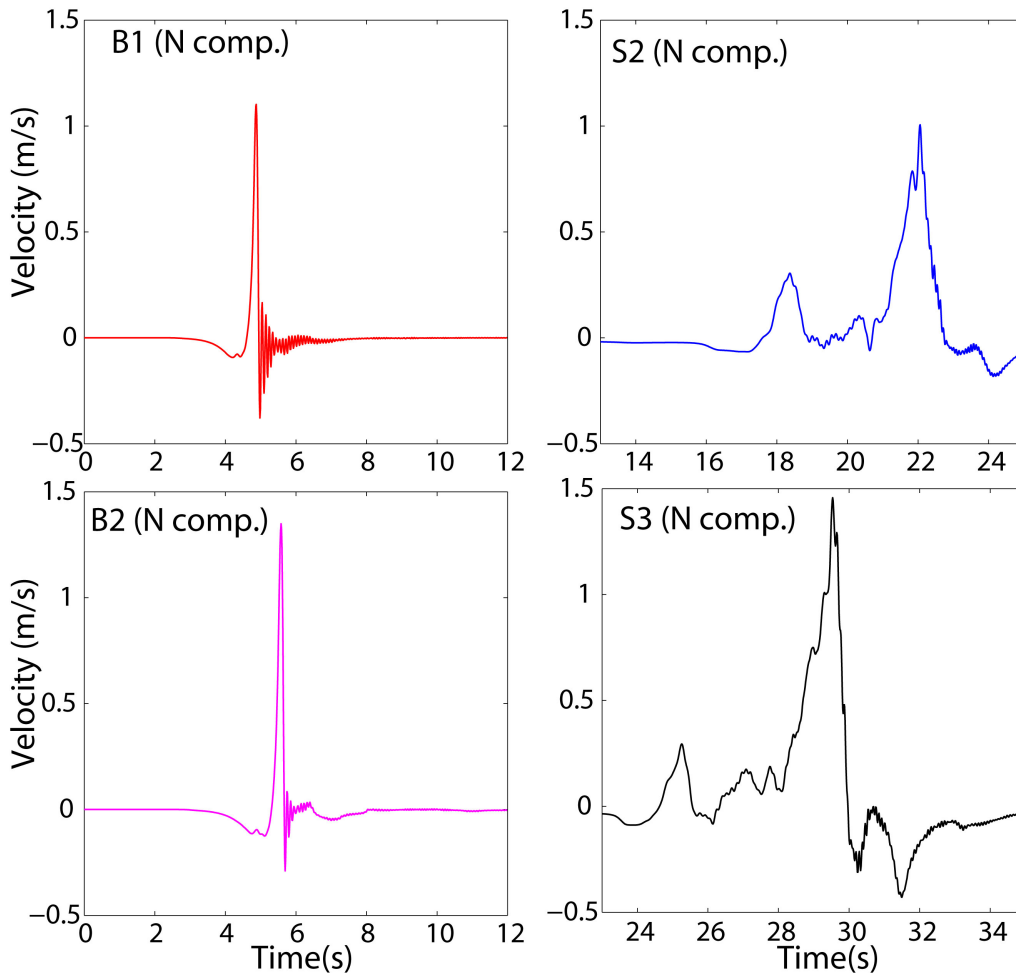
Source dominated near-source ground motion



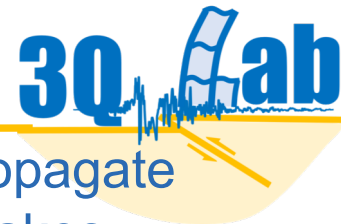
Surface Vs Subsurface Earthquakes: Buried rupture can propagate higher frequency ground motion than Surface-rupturing earthquakes

SUB-SURFACE

SURFACE

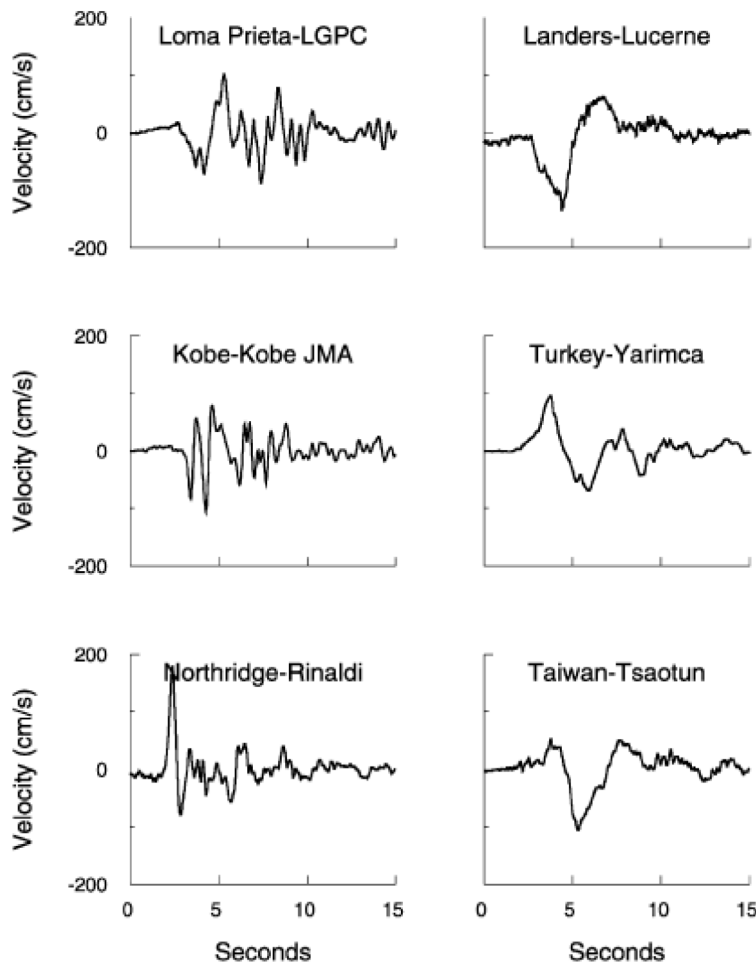


Source dominated near-source ground motion

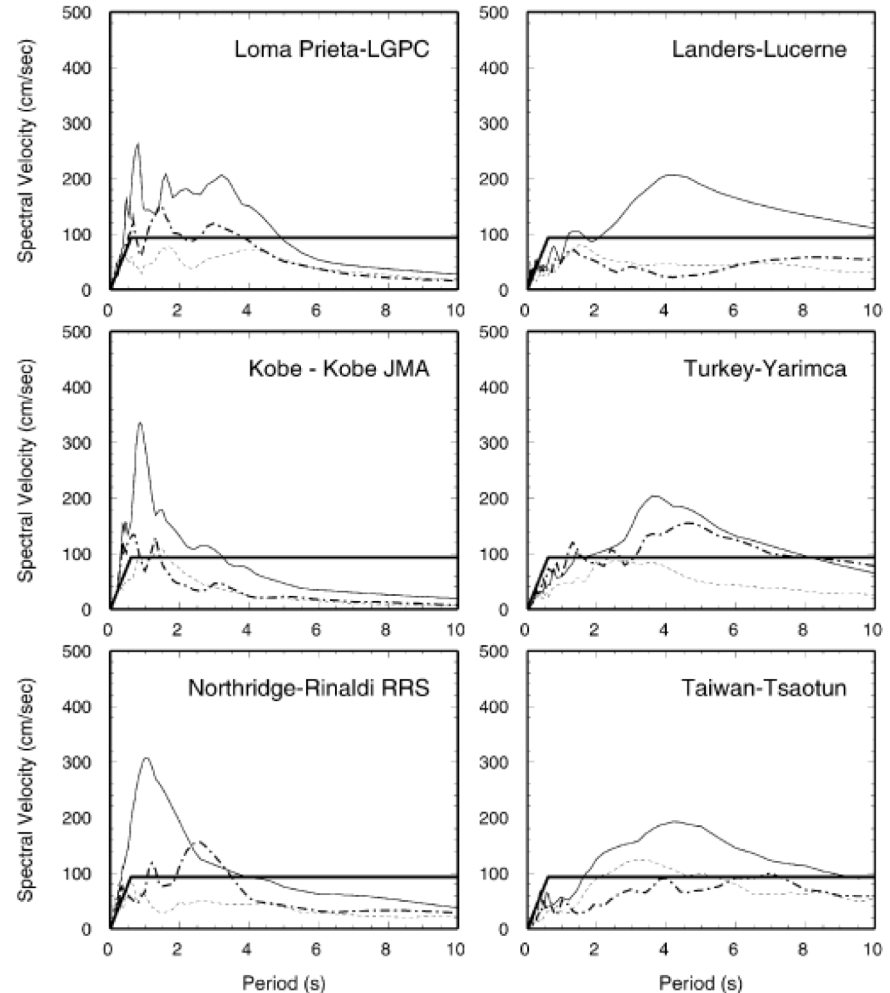


Surface Vs Subsurface Earthquakes: Buried rupture can propagate higher frequency ground motion than Surface-rupturing earthquakes

(A) BURIED RUPTURE Mw 6.7-7.0 LARGE SURFACE RUPTURE Mw 7.2-7.6



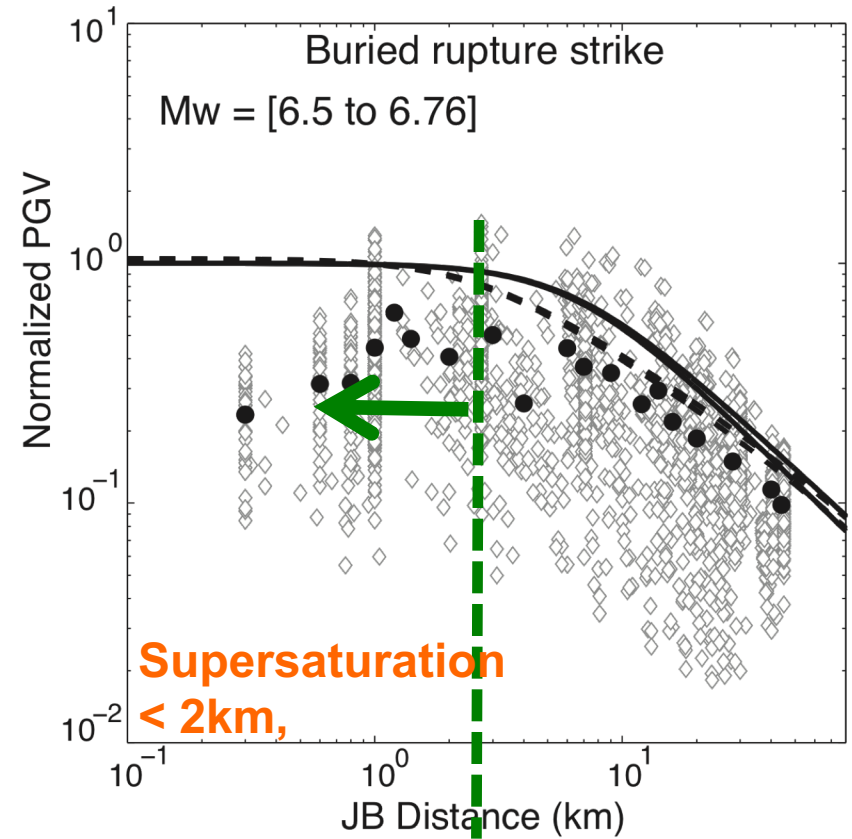
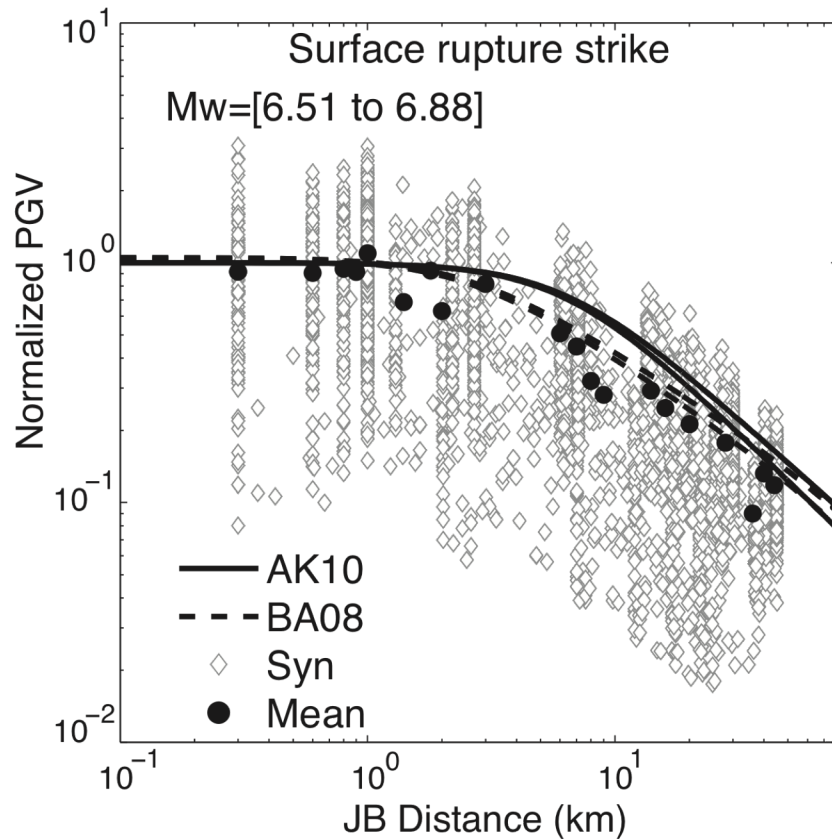
M 6.7 - 7.0 M 7.2 - 7.6
BURIED RUPTURE LARGE SURFACE RUPTURE



(Somerville, 2003)

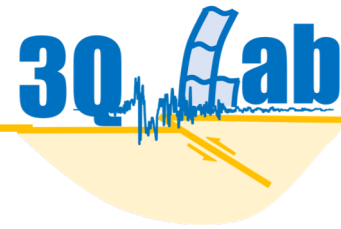
Surface Vs Subsurface Earthquakes:

Strike-slip buried rupture may produce supersaturation



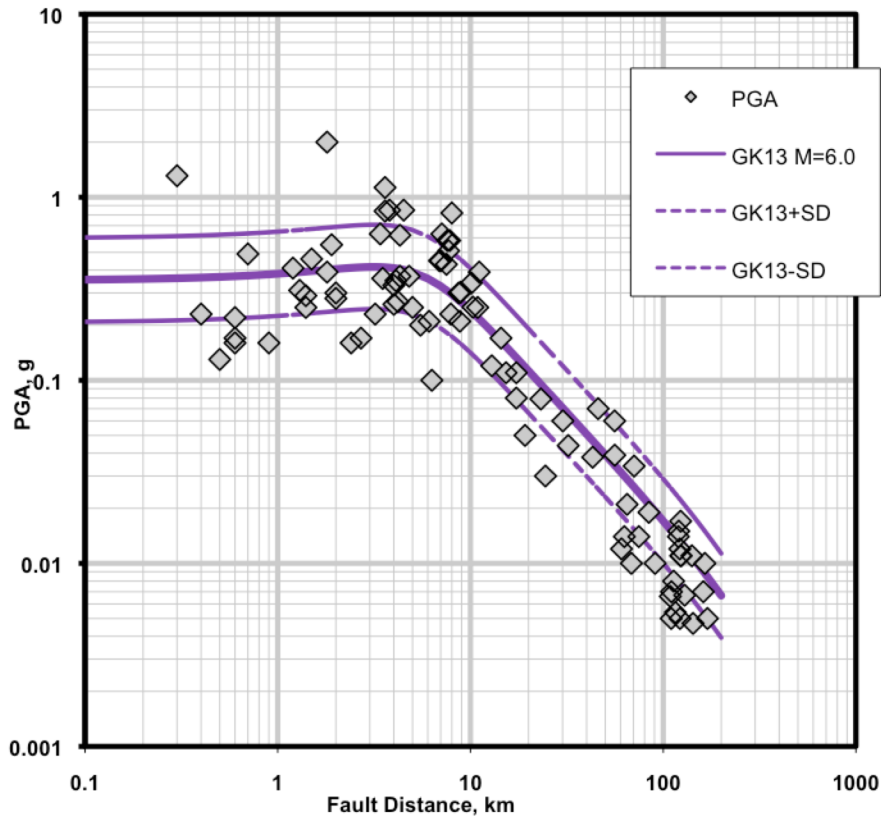
(Baumann and Dalguer, 2014, BSSA)

Source dominated near-source ground motion

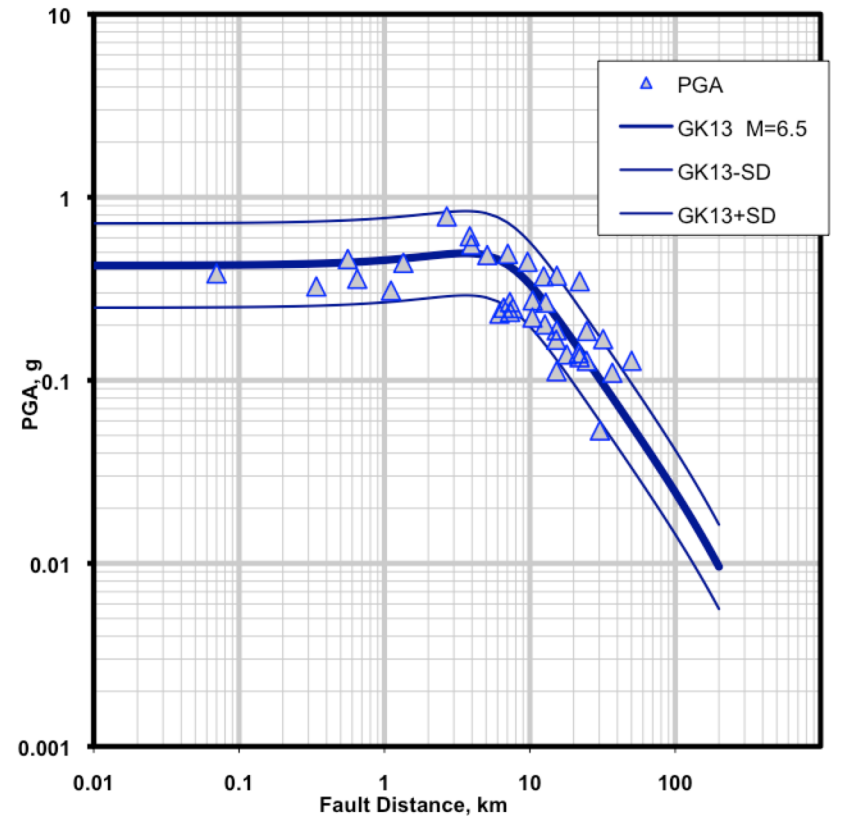


Earthquakes with apparent supersaturation (Parkfield and Imperial Valley)

Parkfield 2004 M=6.0 Earthquake

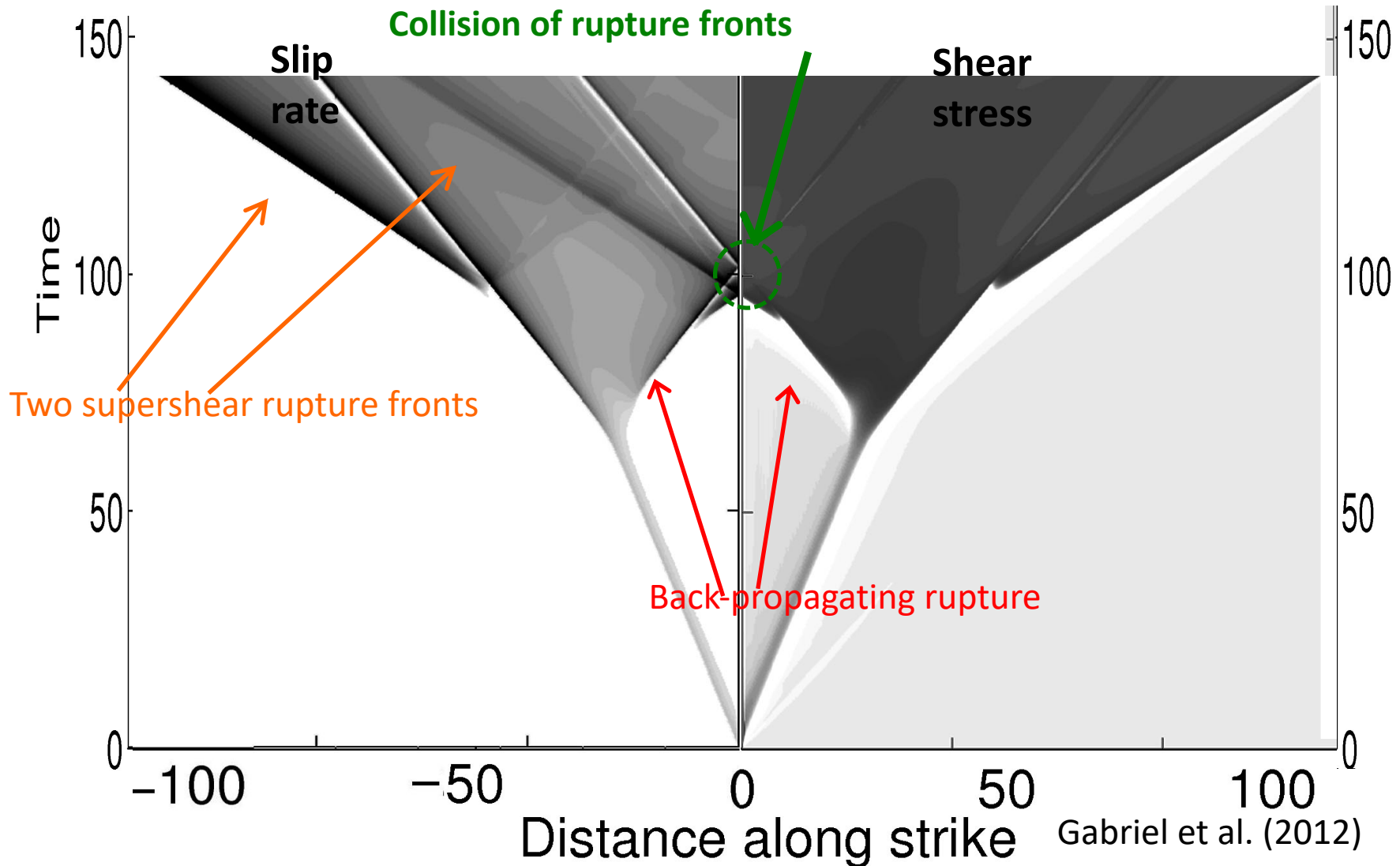


Imperial Valley 1979 M=6.5 Earthquake



(Graizer and Kalkan, 2011)

Earthquake Rupture complexity: Multi-type of ruptures



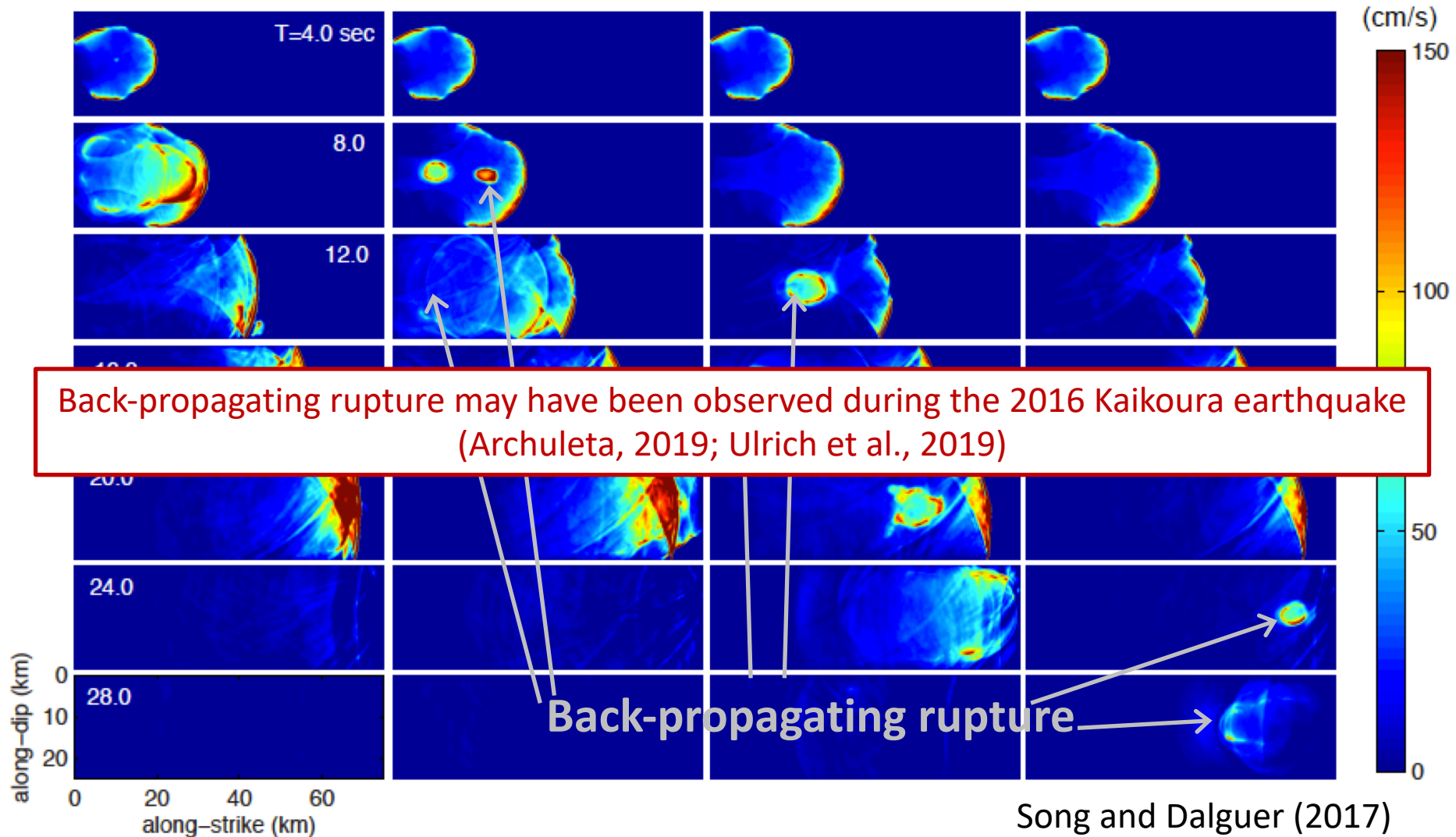
Earthquake Rupture complexity

(a) $D_r = 1.5$ m

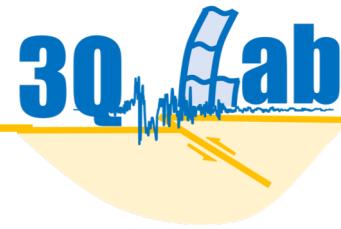
(b) $D_r = 2.0$ m

(c) $D_r = 2.5$ m

(d) $D_r = 3.0$ m

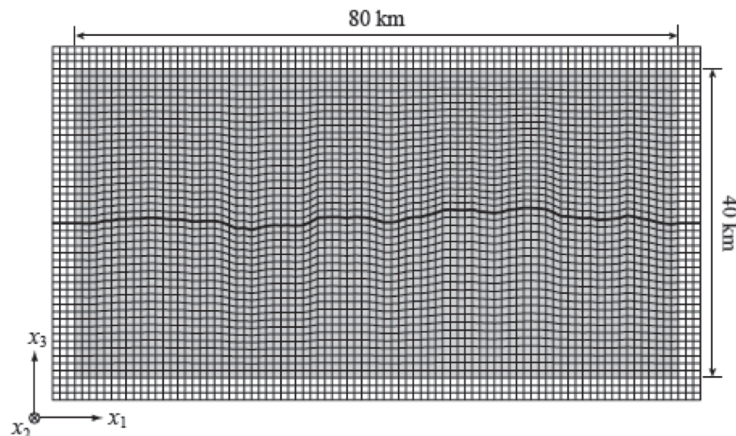
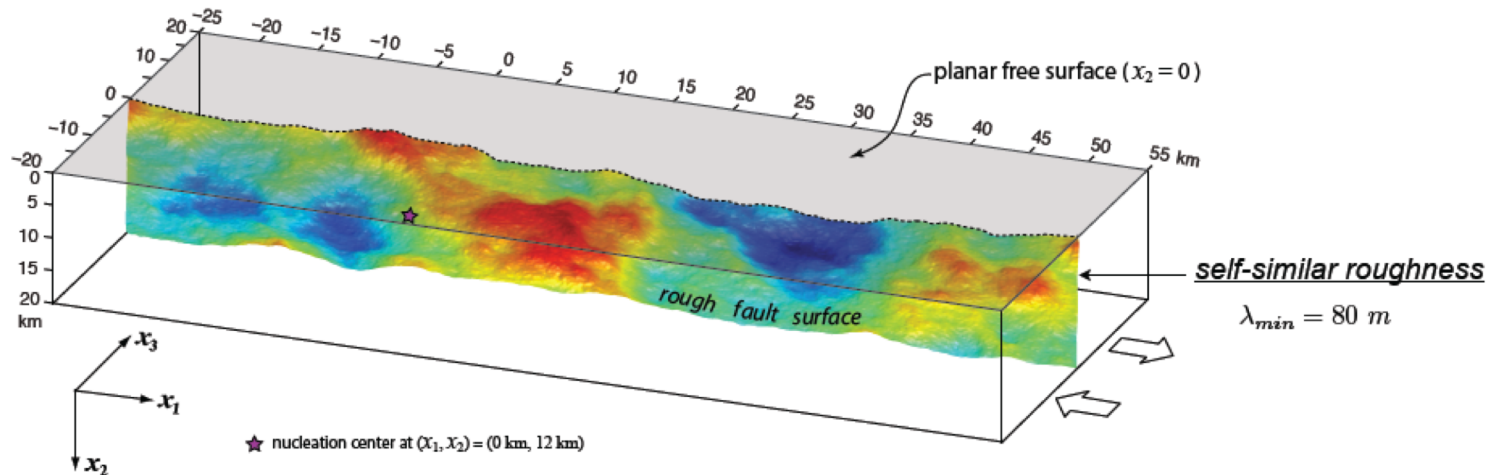


Source dominated near-source ground motion



High Frequency (HF) radiation from the source

Rough-Fault simulations (Shi and Day, 2013): Fault geometry and meshing resolution



SORD [Ely et al., 2008, 2009, 2010; Shi and Day, 2013]

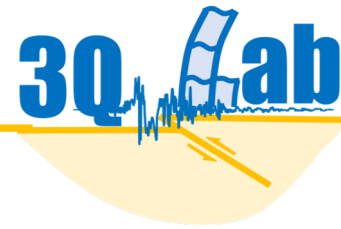
Hexahedral mesh

$$\Delta x \sim 20 \text{ m}$$

Highest resolvable frequency $f_{\text{resl}} = \min(V_s)/100$

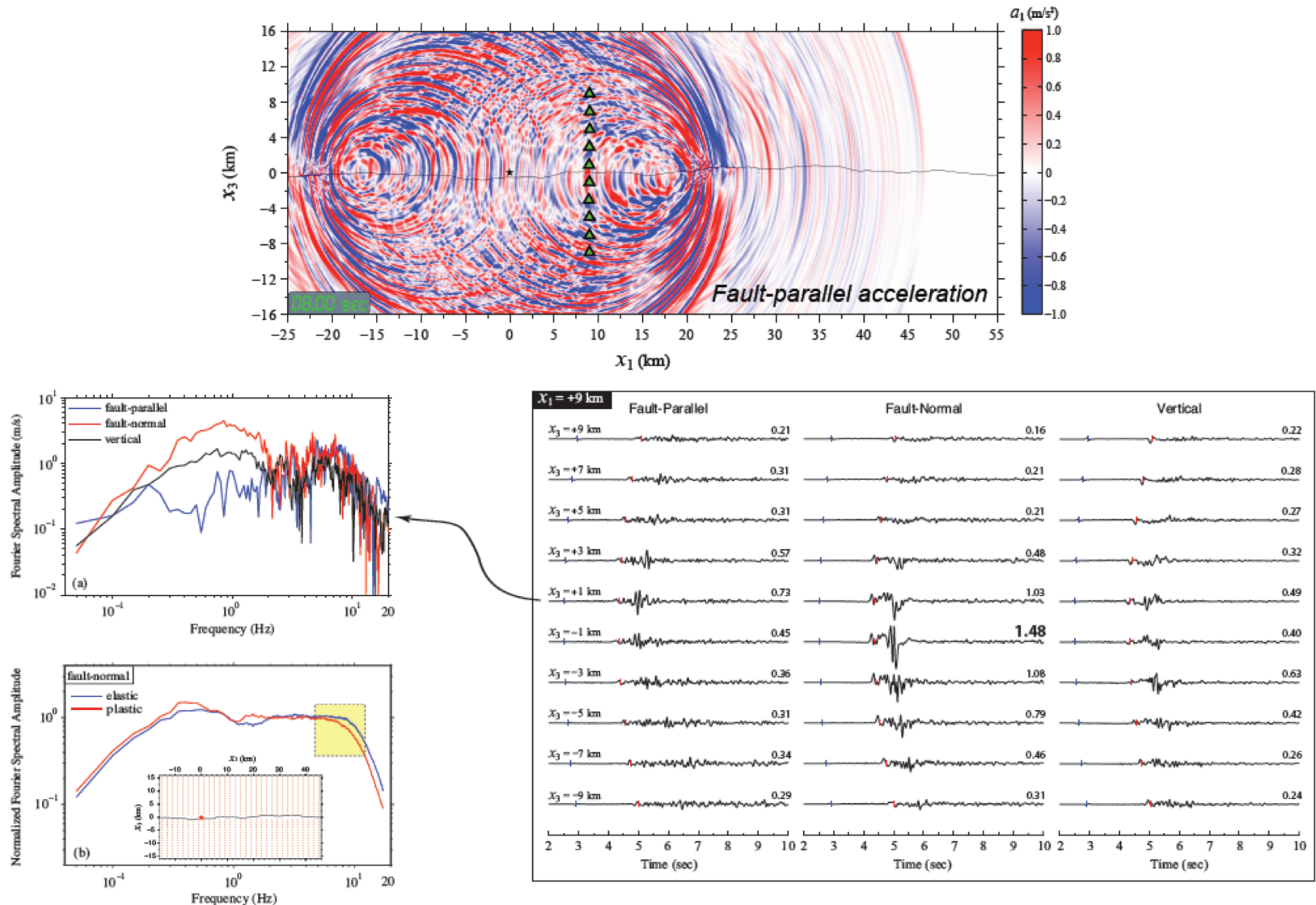
e.g., $f_{\text{resl}} = 15 \text{ Hz}$ for $\min(V_s) = 1500 \text{ m/s}$

Source dominated near-source ground motion



High Frequency (HF) radiation from the source

Rough-Fault simulations (Shi and Day, 2013): Simulations results (ground motion)



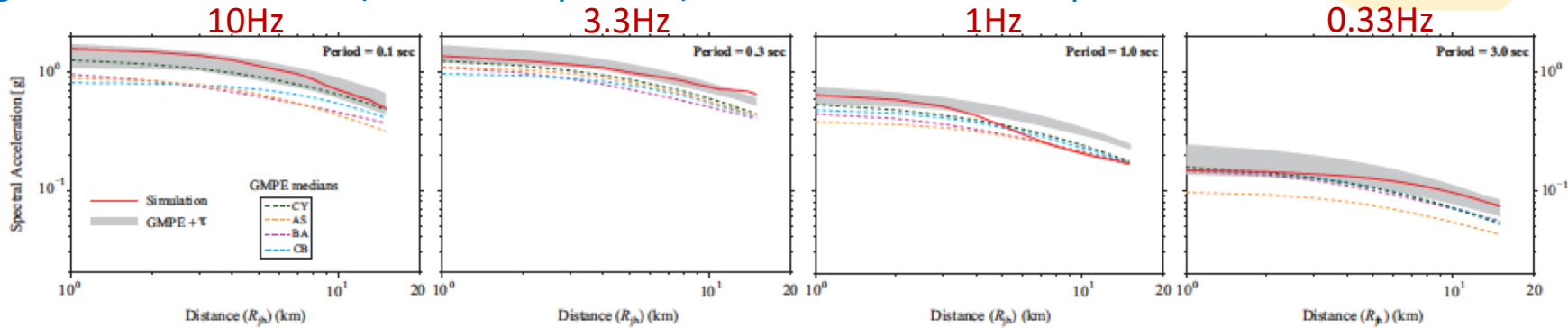
Source dominated near-source ground motion



Rough-Fault simulations (Shi and Day, 2013): Ground motion compared with GMPEs

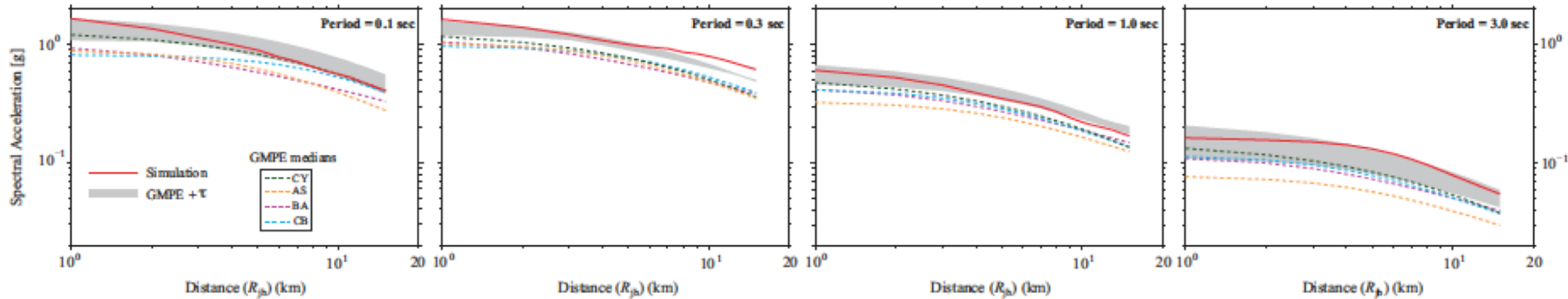
$M_w = 7.23$

Half-Space



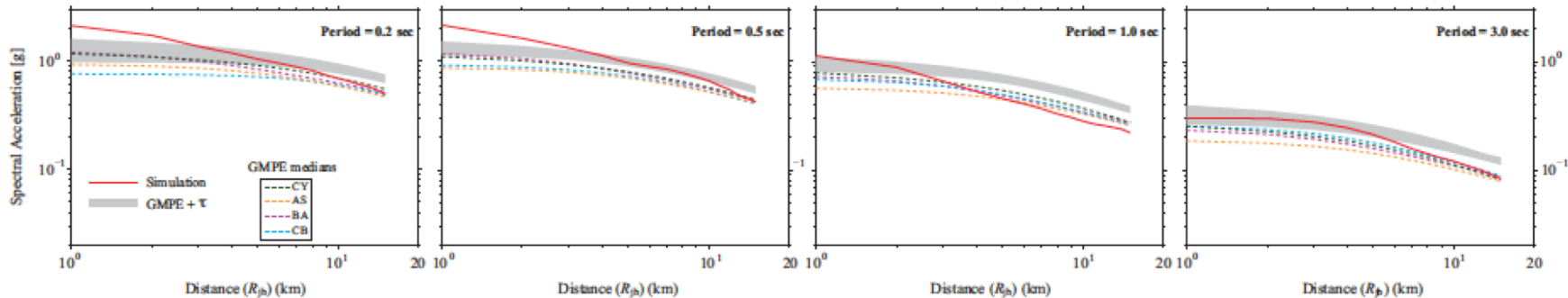
$M_w = 6.84$

1D Layered



$M_w = 7.13$

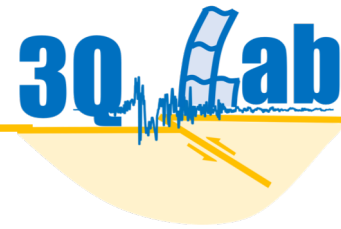
1D Basin-Site



 Corrected for generic WNA rock site Corrected for generic basin site with $V_{S30} = 300$ m/s, $K_V = 0.066$ sec

The diagram illustrates the proposed SBI-FEM coupling model. The top part shows a 2D rectangular domain with a grid of elements, labeled 'FEM'. The bottom part shows a 1D domain of length L , divided into two regions S^+ and S^- . The SBI is represented by a dashed line with blue squares. The FEM is represented by a grid of elements. The coupling is shown by a zoomed-in view of the interface, where the SBI and FEM meet. The interface is defined by a horizontal line with a normal vector n . The stress components are labeled σ_{\max} and σ_{\min} , and the angle between the normal and the stress direction is θ_p . The displacement u and force f are also indicated.

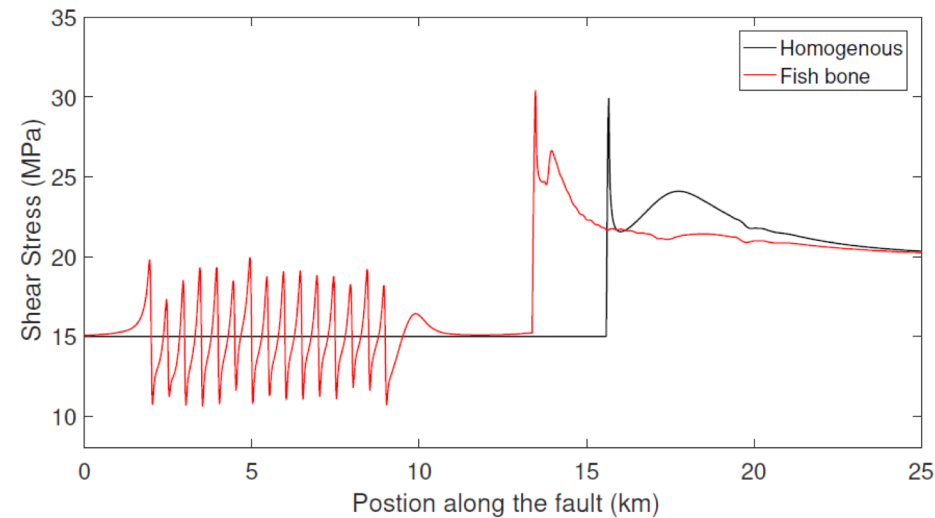
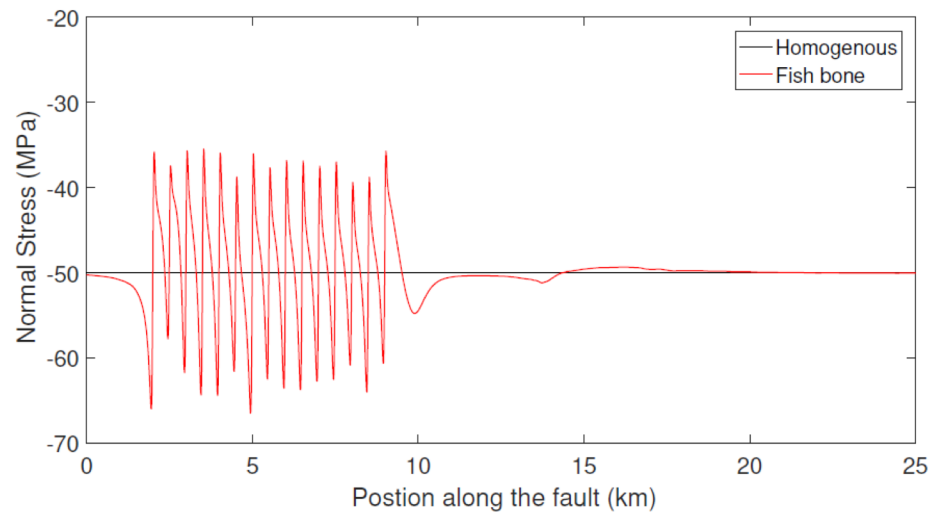
Source dominated near-source ground motion



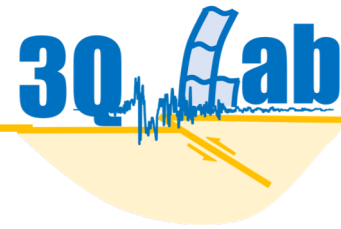
High Frequency (HF) radiation from the source

Fault with small scale branches (Ma and Elbanna, 2019) in 2D: Effect on stress

Significant stress heterogeneity caused by the fish bone structure (branches)



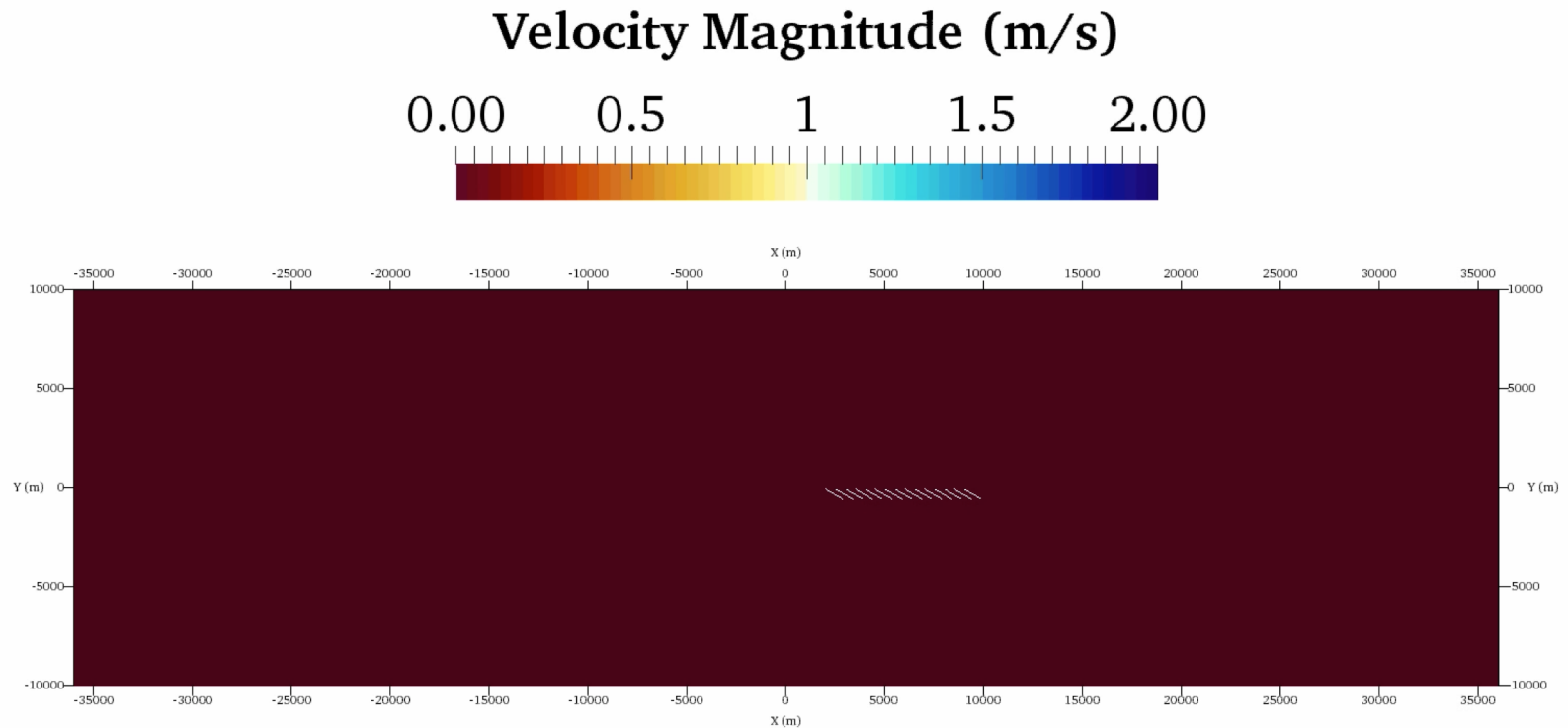
Source dominated near-source ground motion



High Frequency (HF) radiation from the source

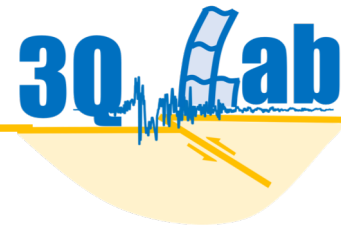
Fault with small scale branches (Ma and Elbanna, 2019) in 2D: Ground motion

- HF velocity ground motion is generated by the models with branches
- These HF radiations are emerging from the interference between seismic radiation from the main and secondary faults.



Time: 0.08 s

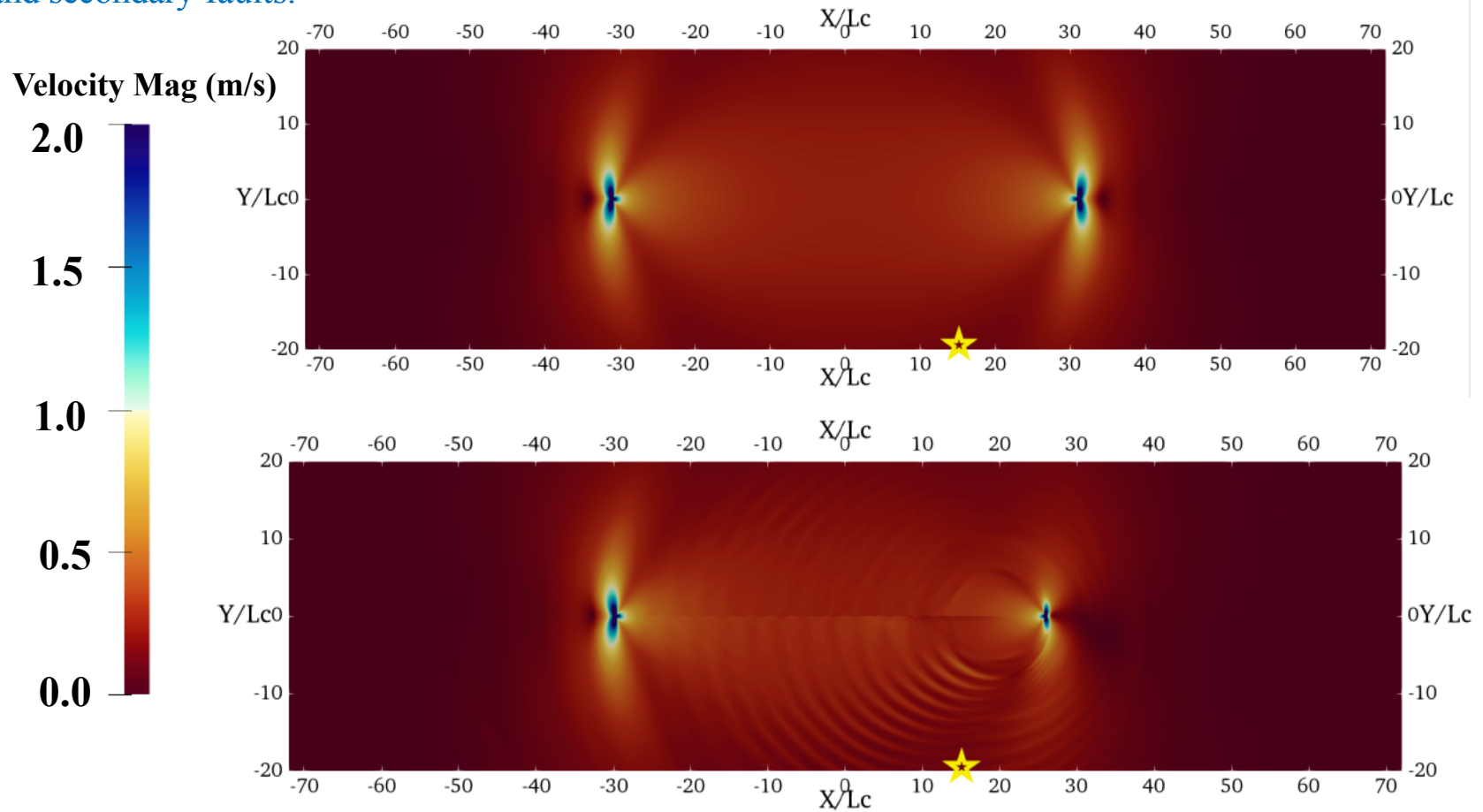
Source dominated near-source ground motion



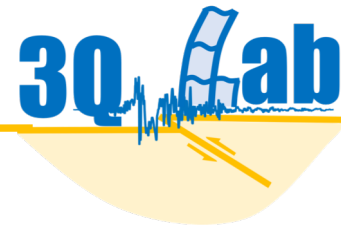
High Frequency (HF) radiation from the source

Fault with small scale branches (Ma and Elbanna, 2019) in 2D: Ground motion

- HF velocity ground motion is generated by the models with branches
- These HF radiations are emerging from the interference between seismic radiation from the main and secondary faults.



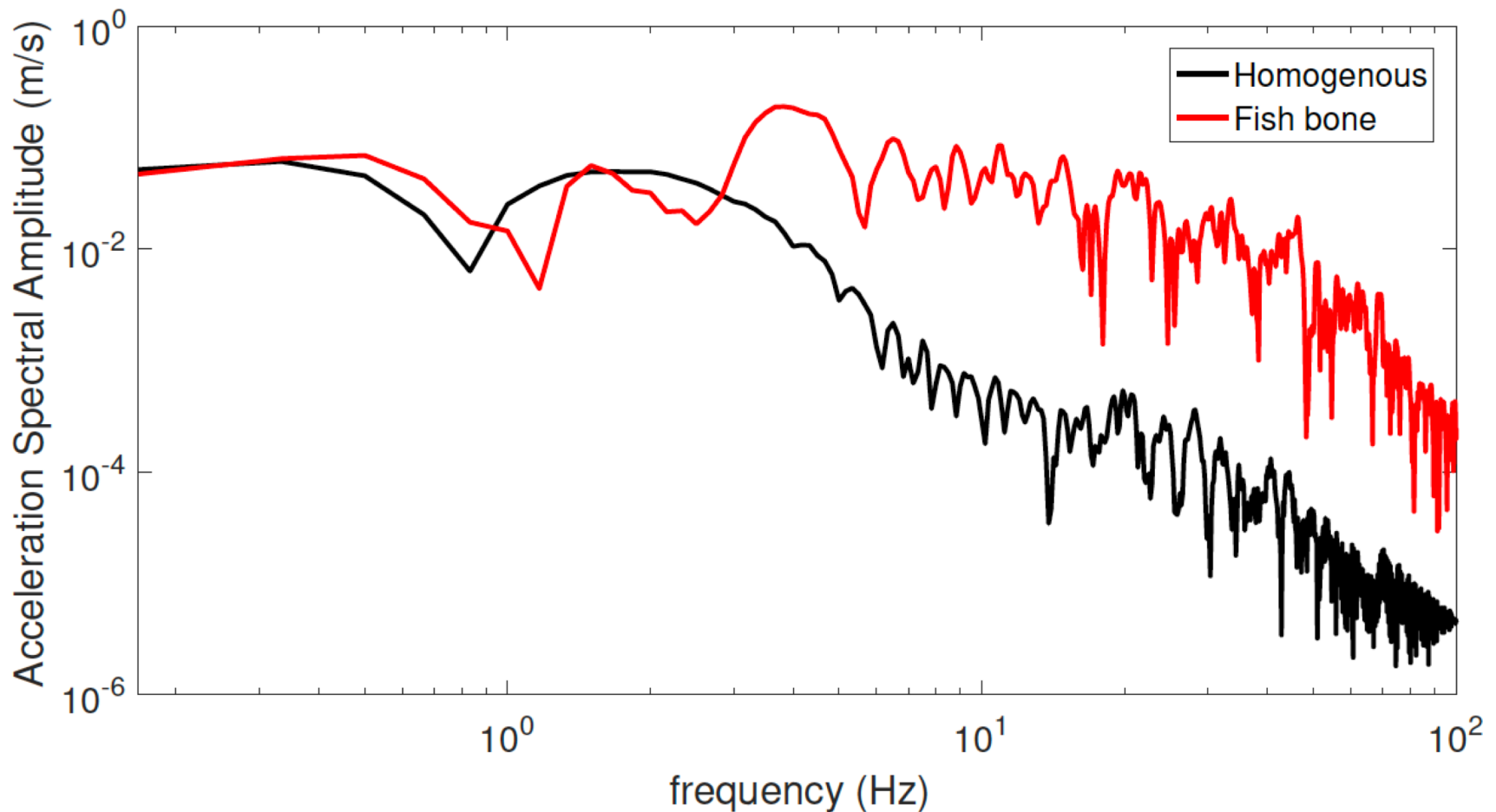
Source dominated near-source ground motion



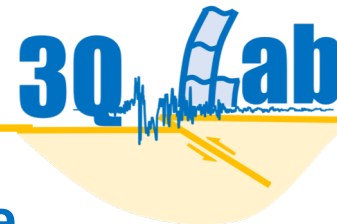
High Frequency (HF) radiation from the source

Fault with small scale branches (Ma and Elbanna, 2019) in 2D: Ground motion

HF acceleration up to larger than 40Hz is modeled in 2D.

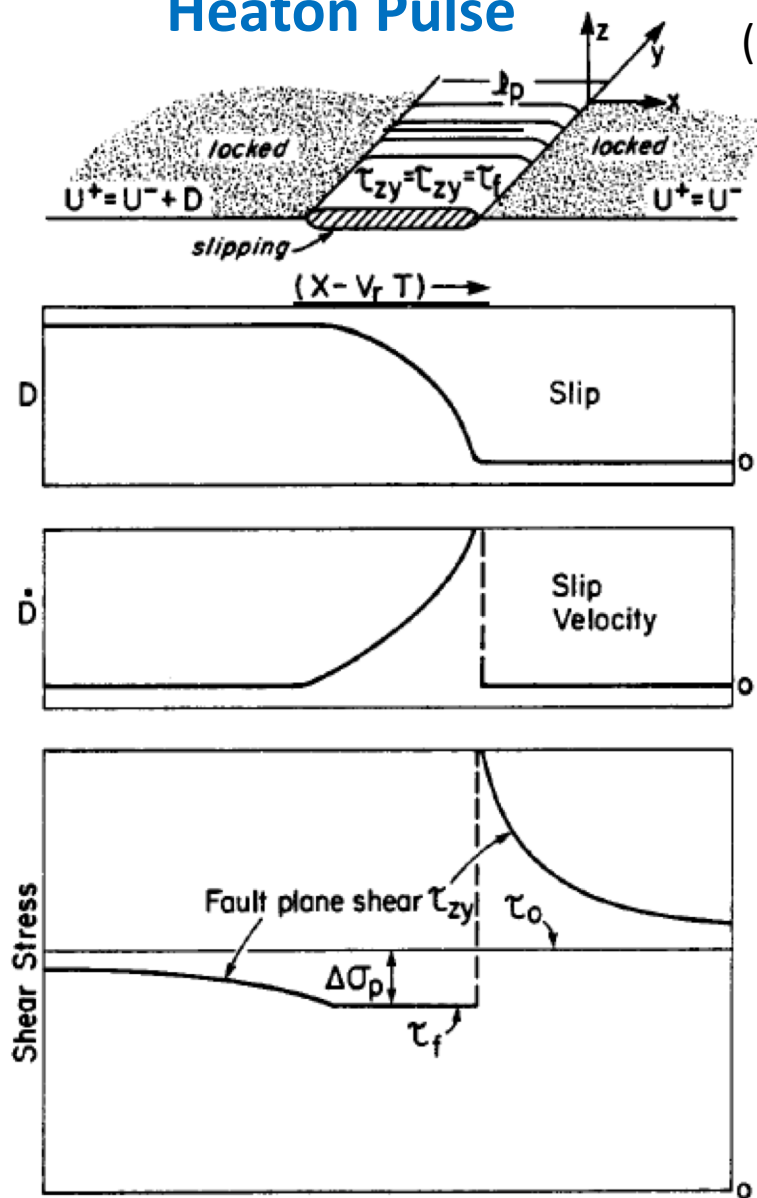


Crack-like and Pulse-Like: Implications on source spectra

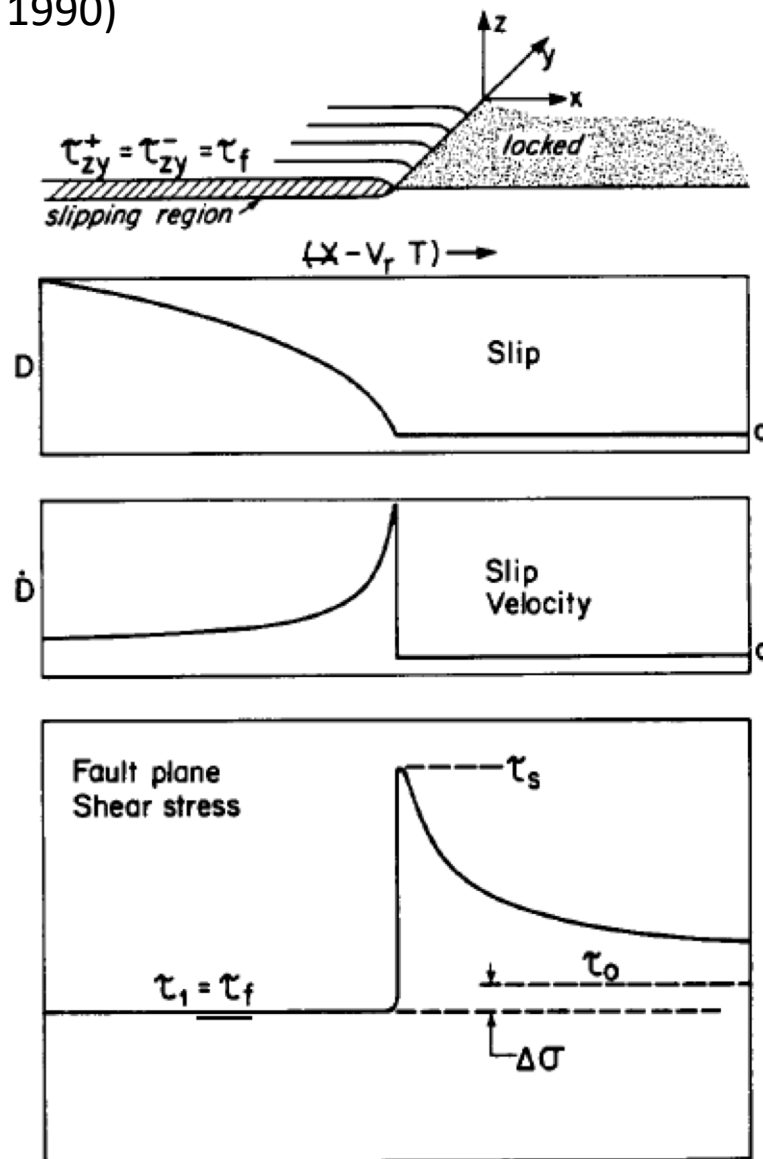


Heaton Pulse

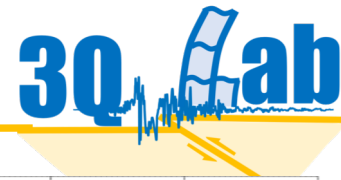
(Heaton, 1990)



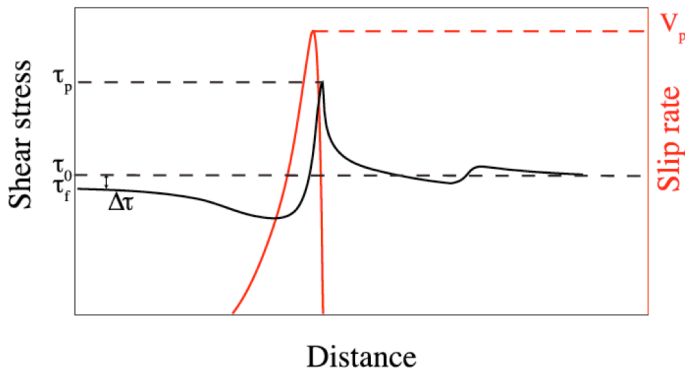
Crack-Like



Crack-like and Pulse-Like: Implications on source spectra

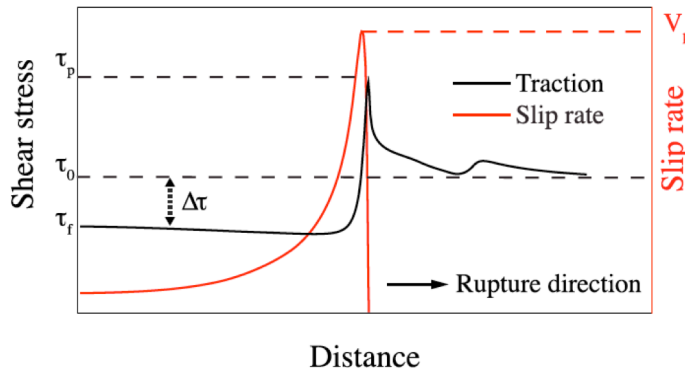
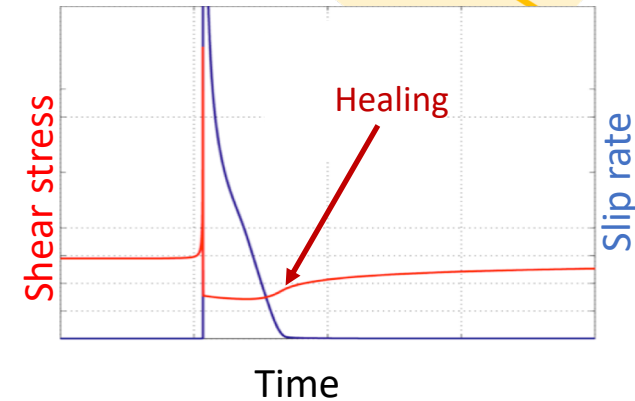


Stress and slip rate



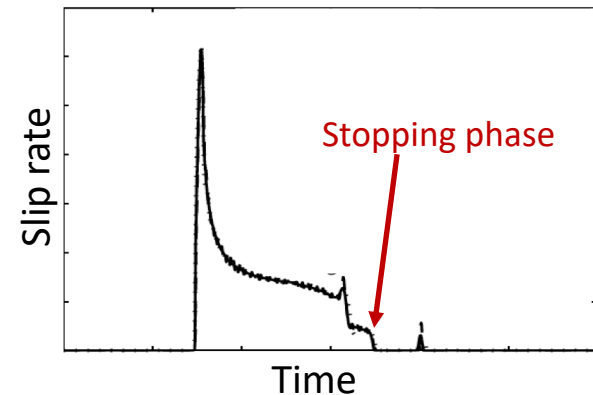
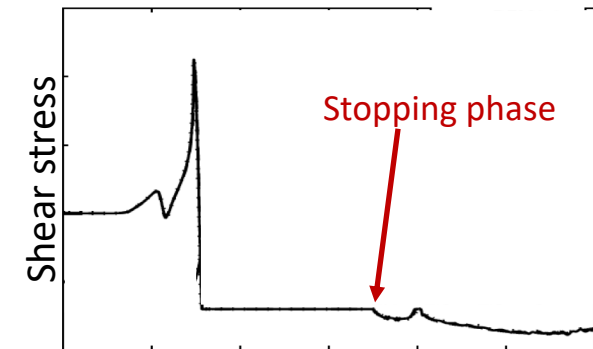
Pulse-like rupture:

- Frictional Stress develops healing process
- Slip stops due to healing
- Slip duration depends on healing
- Shorter rise time than crack-like



Crack-like rupture:

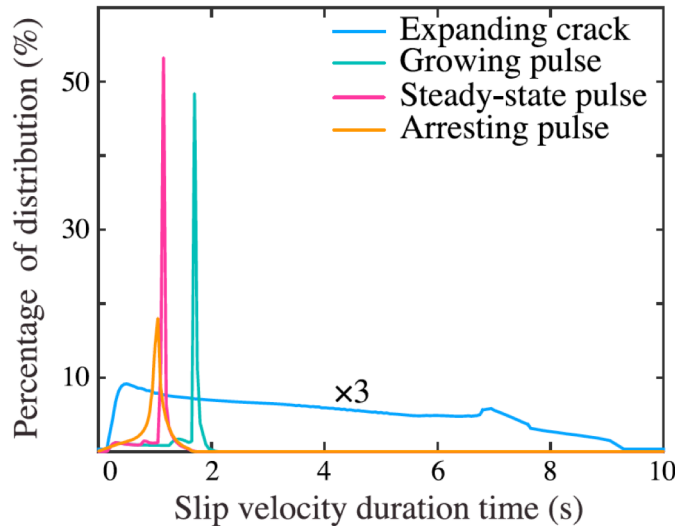
- Stress remains constant during slipping
- No healing
- Slip continues until get signals of stopping faces
- Longer rise time than pulse-like



(Wang and Day, 2017)

Effect on seismic source spectra (far field)

a) Slip duration distribution



- Crack-like models generate one corner frequency (depends on fault dimensions, i.e. source rupture duration). (most commonly used source in practice for ground motion modeling)
- Pulse-like rupture models generate double corner frequency (depends on slip rate duration). (double corner frequency has been observed in observations, e.g. Atkinson and Silva, 1997)

Brune-type source spectral model:

$$S(f) = \frac{\Omega_0}{1 + (f/f_c)^n}$$

Ω_0 = Long period spectral level $\sim M_0$

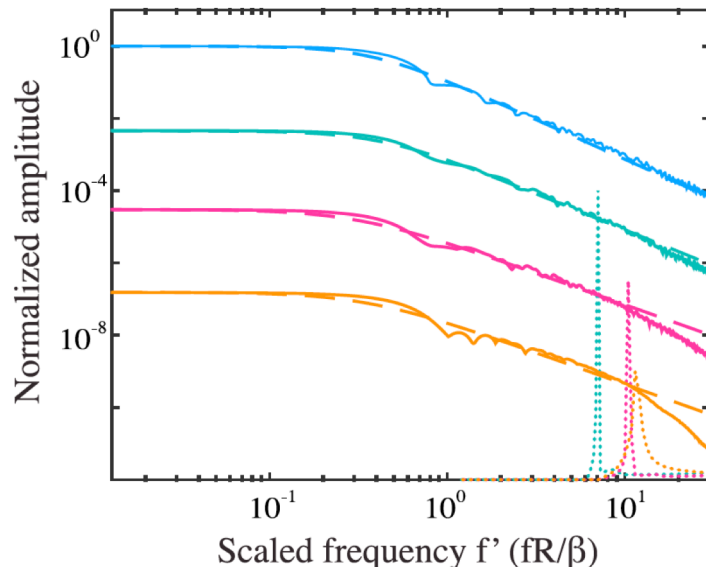
R = Rupture radius
 β = S wave velocity
 k = Constant

First corner frequency $f_c^{1st} \approx k \frac{\beta}{R}$

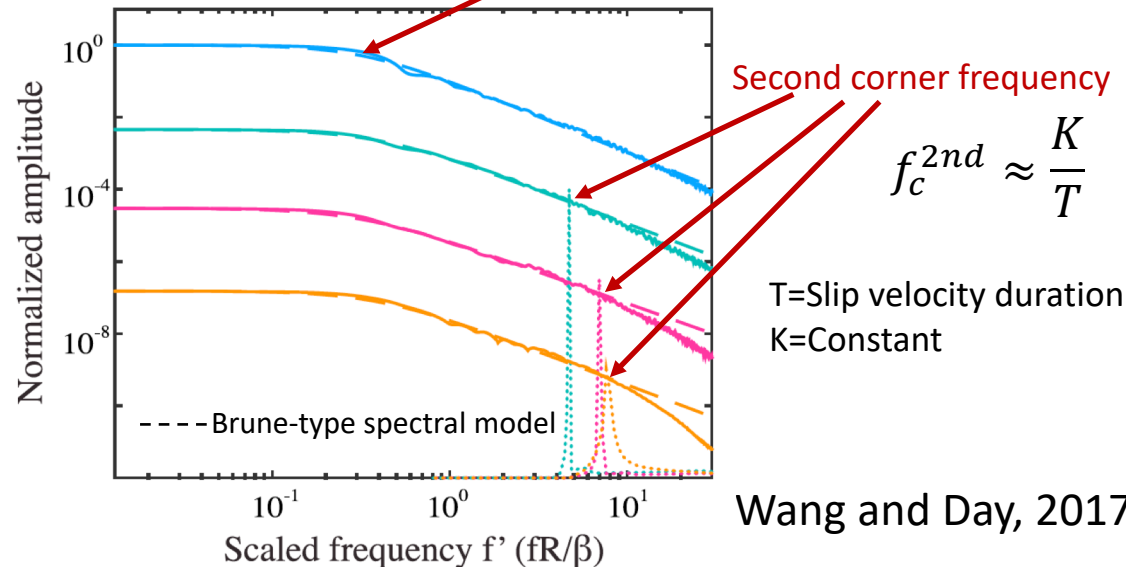
Second corner frequency $f_c^{2nd} \approx \frac{K}{T}$

T = Slip velocity duration
 K = Constant

b) Stacked P-wave spectra



c) Stacked S-wave spectra



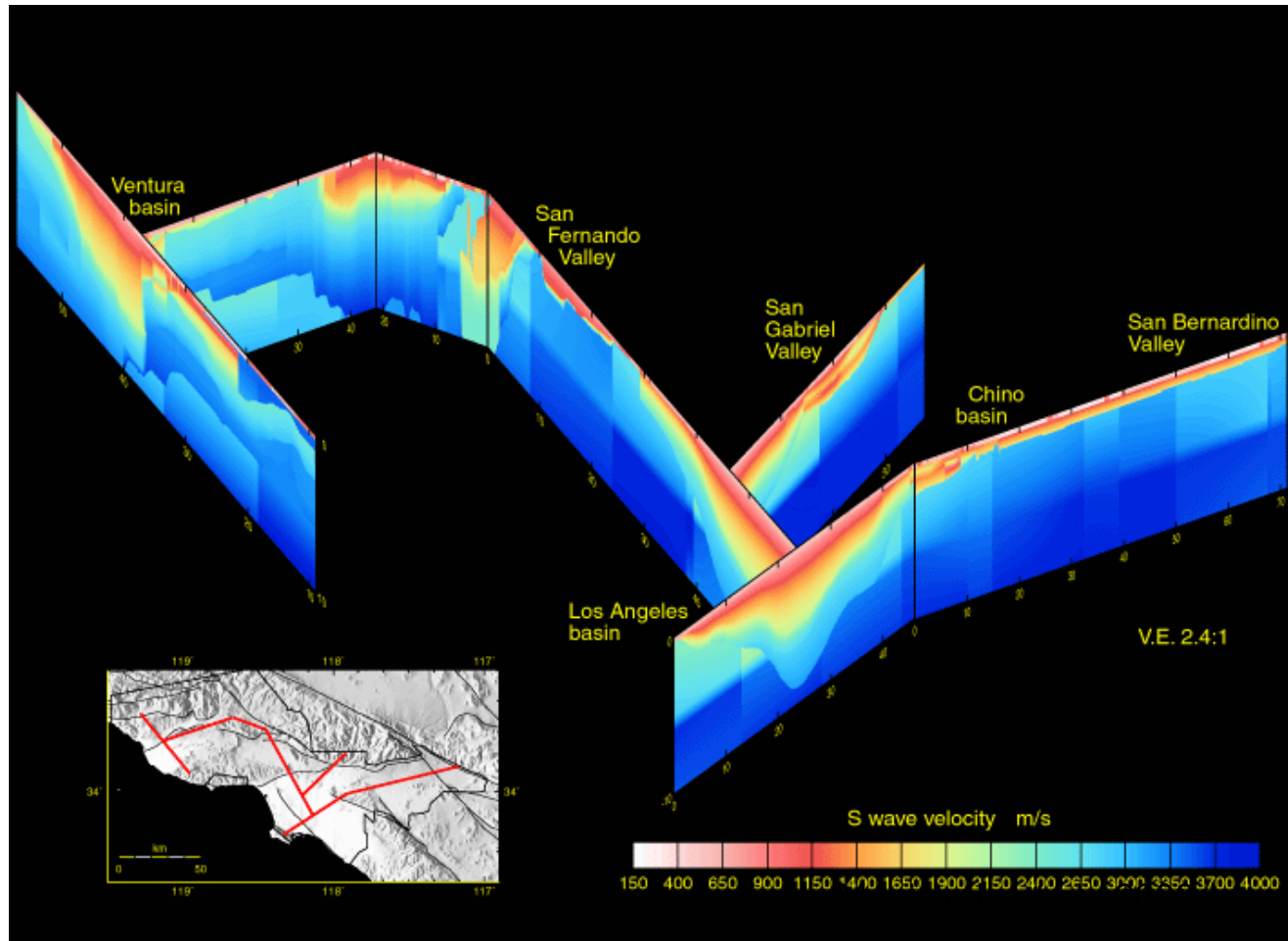
Wang and Day, 2017)

ShakeOut Scenarion

Mw 7.81±0.06 from the southern San Andreas fault

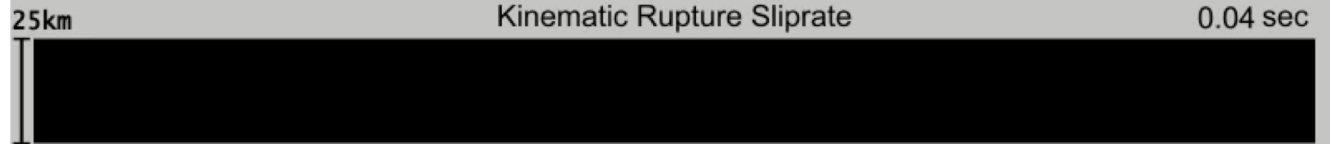


SCEC Community Velocity Model

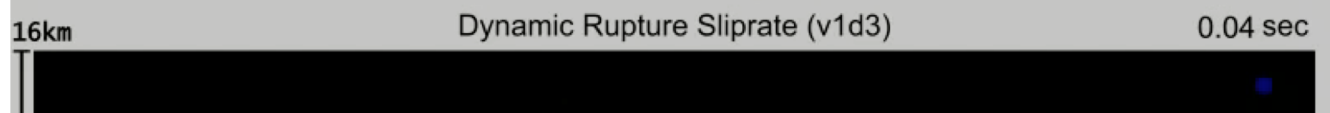
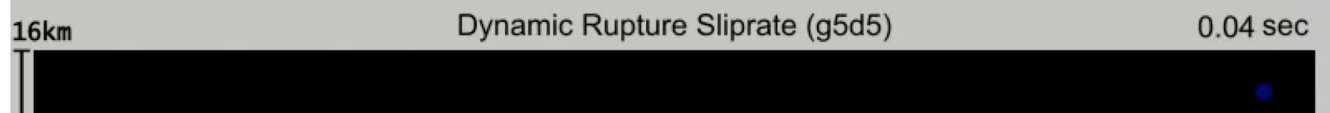
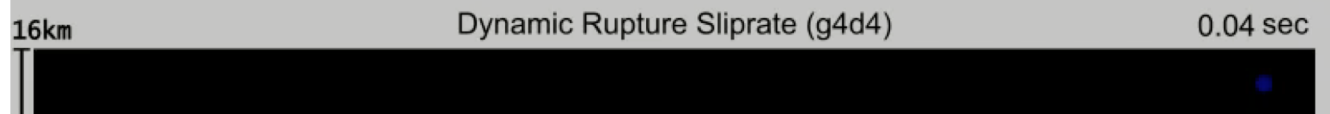
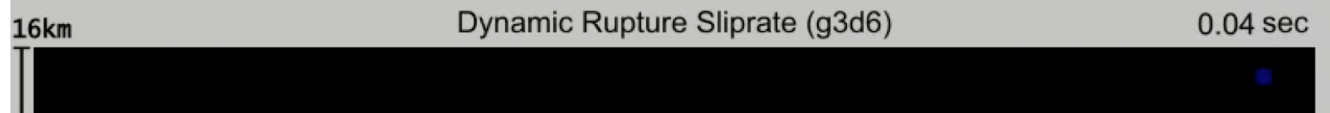
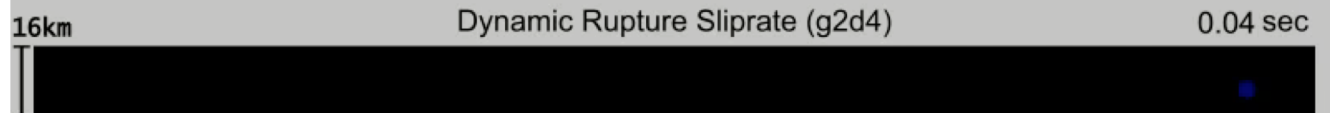
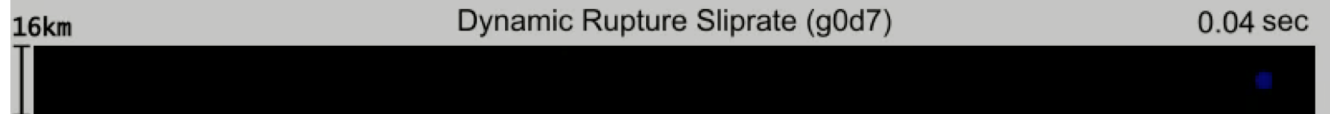


Source dominated near-source ground motion

Kinematic

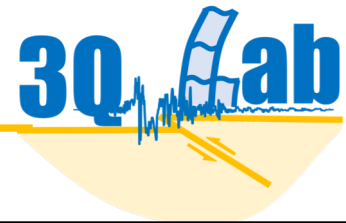


Dynamic



Made by Amit Chourasia (SDSC)

Source dominated near-source ground motion

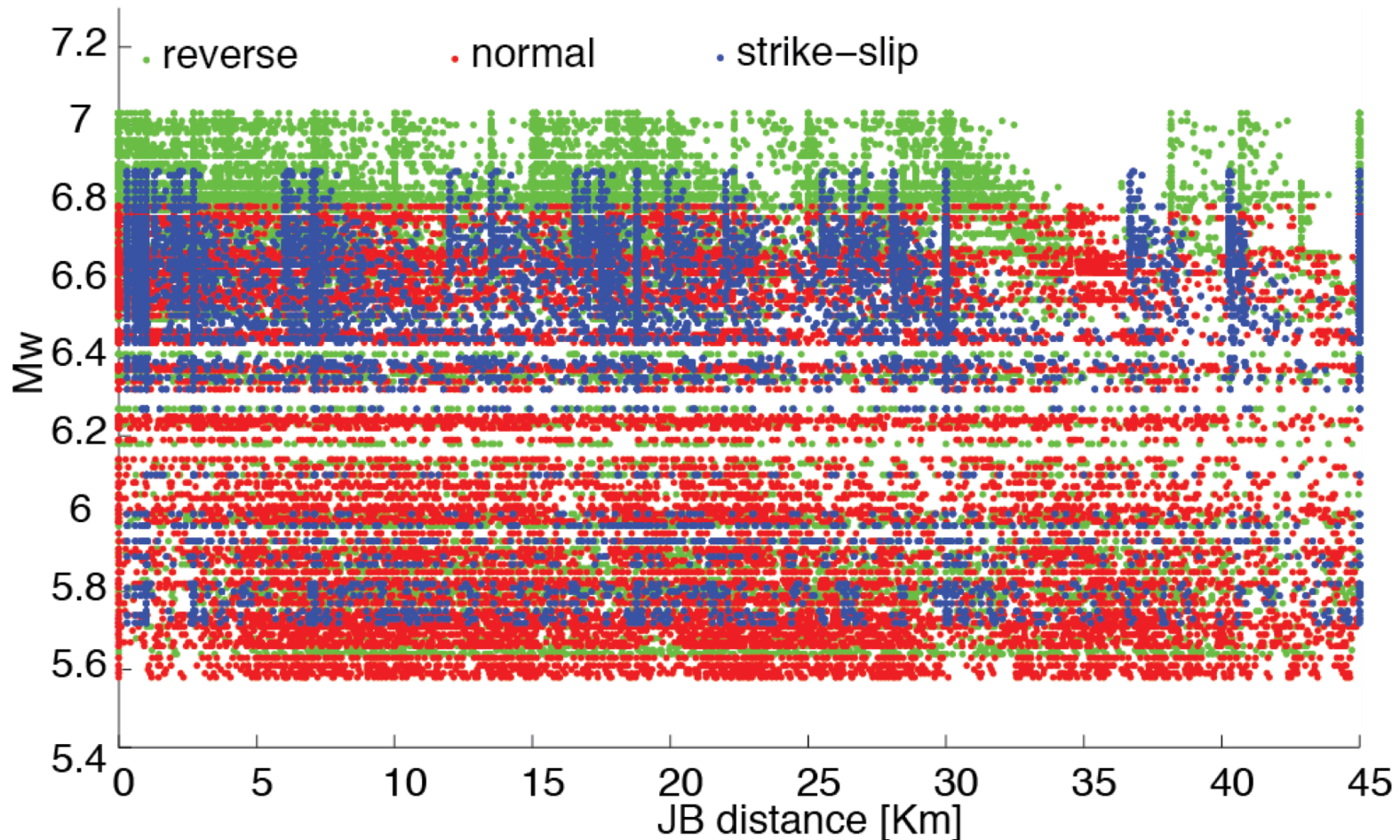


ShakeOut Ground motion modeling

SDSC
 SC/EC
an NSF + USGS center



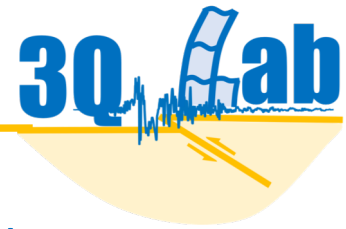
We can develop a database of synthetic earthquakes To fill the gaps of lack of data (Dalguer and Mai, 2011)



Developments of Physics-based earthquake models

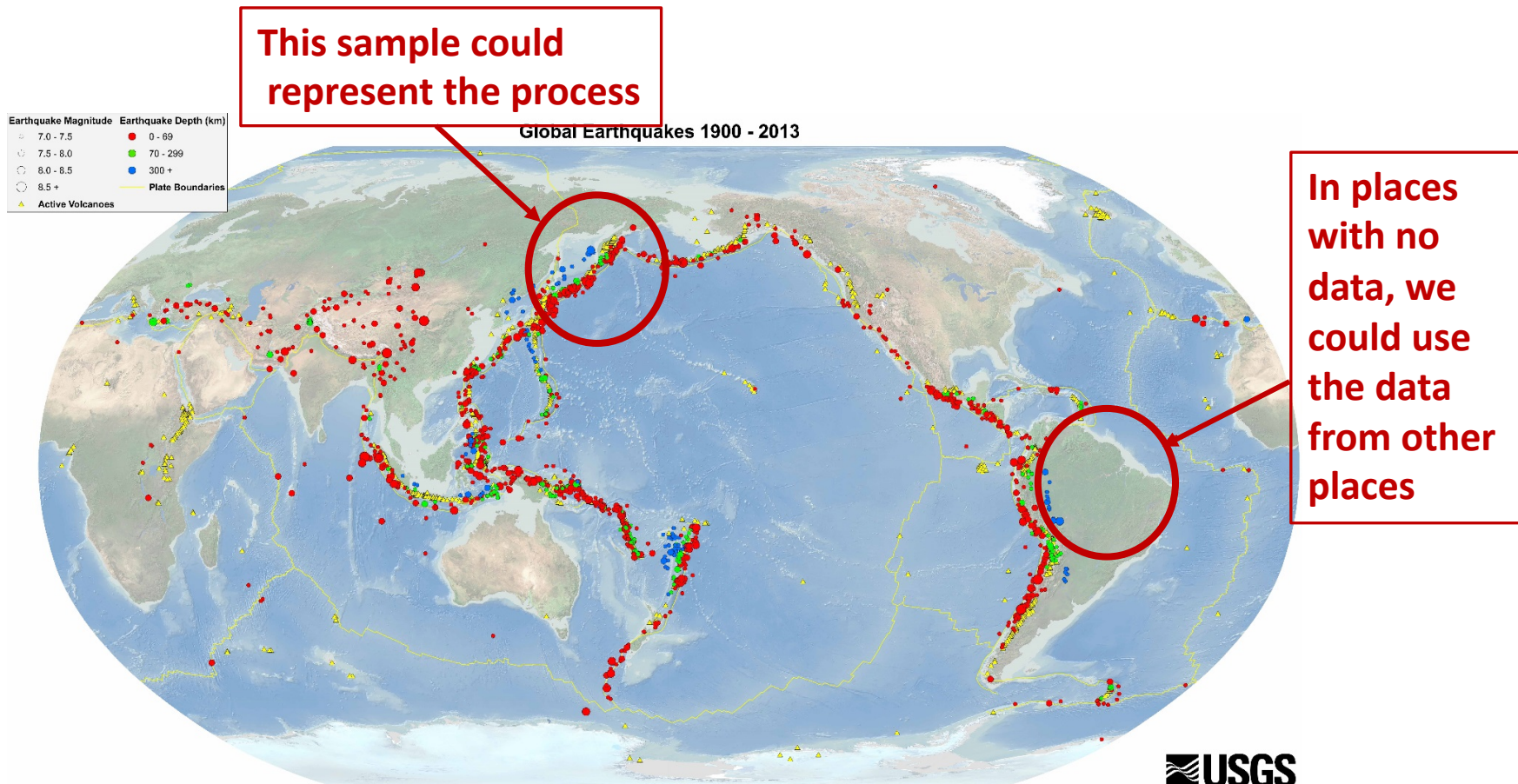
- Contribute to substantial advances in our understanding of different aspects related to earthquake mechanism and near-source ground motion.
- Input data to the model (fault geometry and geological structure) can be generic or constrained to the site or region of interest

Limitations of Physics-based models

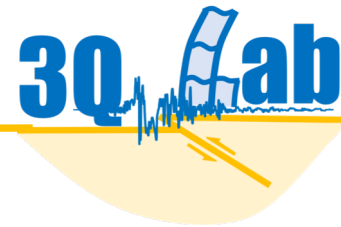


- Can be computational expensive. But evolution of modern computer capabilities is reducing this limitation
- Observational constraints in the source parameterization are limited. But assumptions are supported by meaningful physical foundations.
- For best performance:
 - Need best information of source (limited)
 - Need best information available of the geological structure and site (limited)

Ergodic: The statistical properties of a process can be deduced from a representative single sample. It means, any sample of the process is completely representative of the process as a whole.



Non-ergodic: Processes for which this property does not hold.



Ground Motion Variability of GMPEs

$$\text{GMPE: } \ln(Y) = f_{src}(M, \dots) + f_{path}(R, M, \dots) + f_{site}(V_{s30} \dots) + \Delta$$

$$\text{Total variability: } \Delta = \Delta B + \Delta W$$

ΔB is the **between-events variability** with standard deviation τ

- Earthquake-to-earthquake variability
- Represent average source effects
- Effects of stress drop, slip velocity, rupture speed, geometrical fault complexity, etc. not captured by the source terms in the GMPE

ΔW is the **within-events variability** with standard deviation ϕ

- Record-to-record variability
- Represent azimuthal variation in source, path and site effects
- Effects of crustal heterogeneities, deeper geological structures, basins, near surface layering, etc, not captured by the path and site terms in the GMPE

ΔB and ΔW are uncorrelated, then total standard deviation is

$$\sigma = \sqrt{\tau^2 + \phi^2}$$

Note: The variability or residuals are the deviation of the observed quantity with respect to the median predictor model

Components of ΔW : $\delta W_{es} = \delta S2S_s + \delta Amp_{es} + \delta P2P_{sl} + \delta W_{esl}^0$

$$\phi = \sqrt{\phi_{S2S}^2 + \phi_{Amp}^2 + \phi_{P2P}^2 + \phi_{0,G}^2}$$

$\delta S2S_s$: Site-to-site amplification residuals

δAmp_{es} : site amplification residuals (record-to-record variability of the amplification)

$\delta P2P_{sl}$: Path-to-path residuals (deviation of observed site-specific region specific)

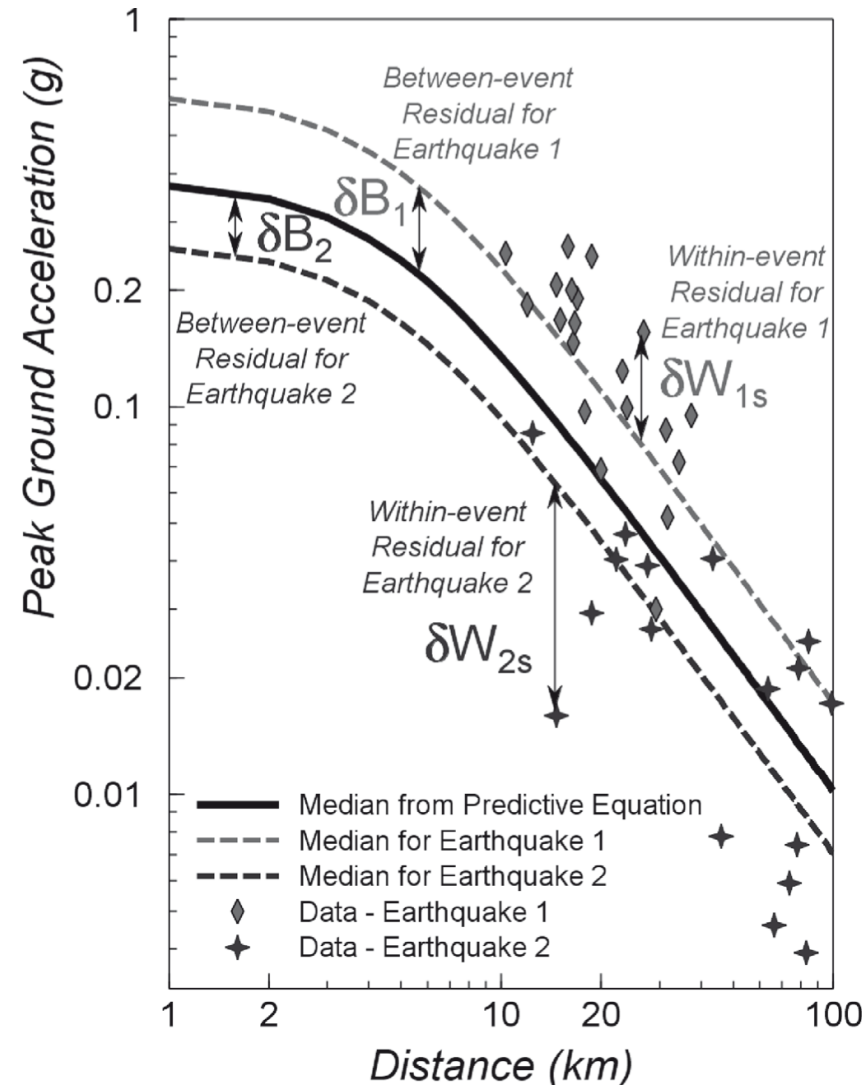
δW_{esl}^0 : Remaining unexplained path and radiation pattern

Components of ΔB $\delta B_e = \delta L2L_l + \delta B_{el}^0$

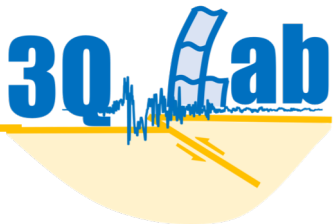
$$\tau = \sqrt{\tau_{L2L}^2 + \tau_0^2}$$

$\delta L2L_l$: Source Location-to-location residuals (deviation of a single region compared to the global model (effects of stress drop, etc)

δB_{el}^0 : Remaining residual after removing the earthquake location-specific effect.



(Strasser et al, 2009. Taken from Al Atik, et al, 2010)



Statistical process	Aleatory	Epistemic	Required recording dataset
Ergodic	$\phi_{0,G}, \phi_{P2P}, \phi_{Amp}, \phi_{S2S}$ τ_0, τ_{L2L}		Global data from earthquakes in all source zones of major interest, near-source, and of interest
Partially non-ergodic (single-site)	$\phi_{0,G}, \phi_{P2P}$		Site-specific: at one site from earthquakes located in different source region . Issue: Few earthquakes with few data
Full non-ergodic (single-path)	$\phi_{0,G}, \phi_{Amp}$ τ_0	ϕ_{P2P}, ϕ_{S2S} τ_{L2L}	Path-specific: at one site from earthquakes in one location Issue: No data for statistic analysis

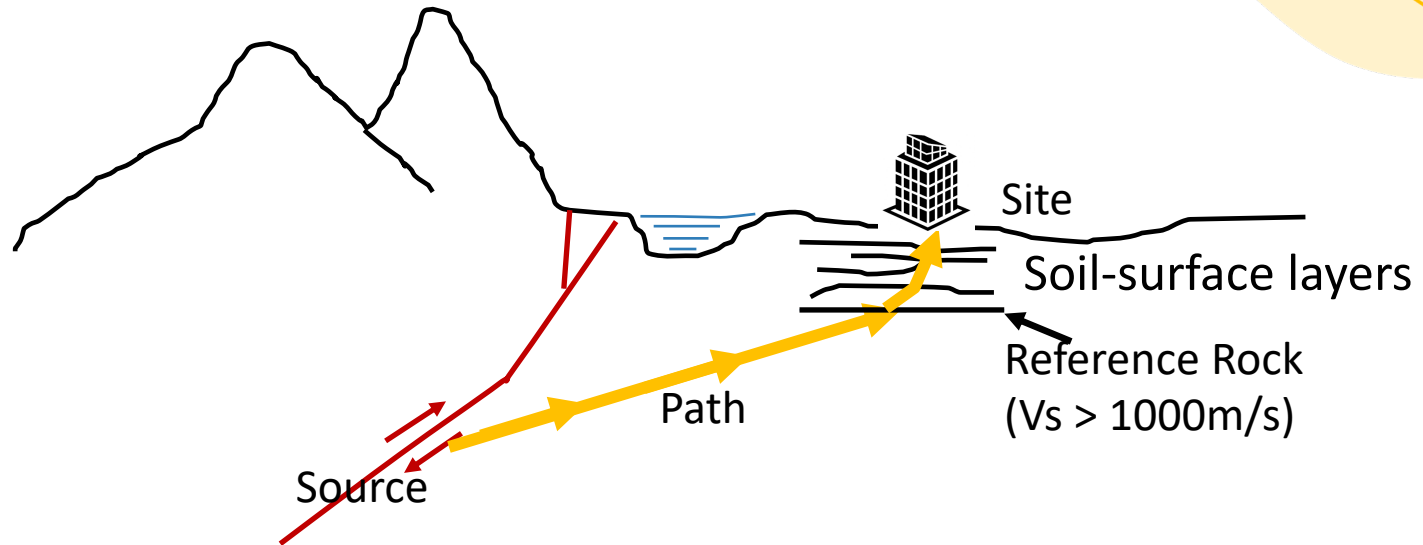
GMPEs alone do not full fill the requirement for partially and full non-ergodic models, and even for ergodic models for the areas of interest



Role Physisc-based Models

Statistical process	Aleatory	Epistemic	Required re... dataset
Ergodic	$\phi_{0,G}, \phi_{P2P}, \phi_{Amp}, \phi_{S2S}$ τ_0, τ_{L2L}		... data + ...-based ... to fill the gap in ...ervations
Partially non-ergodic (single-site)	$\phi_{0,G}, \phi_{P2P}$		Site-specific observed data + Simulations with regional information
Full... non-ergodic (sing	$\phi_{0,G}, \phi_{P2P}, \phi_{Amp}, \phi_{S2S}$ τ_0, τ_{L2L}	ϕ_{P2P}, ϕ_{S2S} τ_{L2L}	Path-specific: Use observed (if available) + Simulations with regional information (a model dominated by simulations)

Empirical GMPES and Physics-based models complement each other to full fill the requirement of, ergodic, partially non-ergodic and fully non-ergodic ground motion models

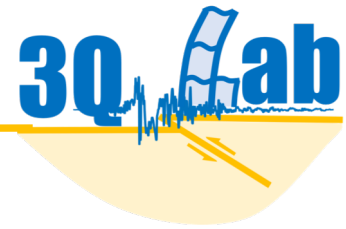


GMPEs for SHA:

- Usually is adjusted to predict ground motion for reference rock ($V_s > 1000\text{m/s}$).
- Post processing calculations are done to account for local soil response
- Do not capture complexities of source, path and site
- Extrapolate in areas with sparse or not observed data
- Can not predict ground motion different to past earthquakes

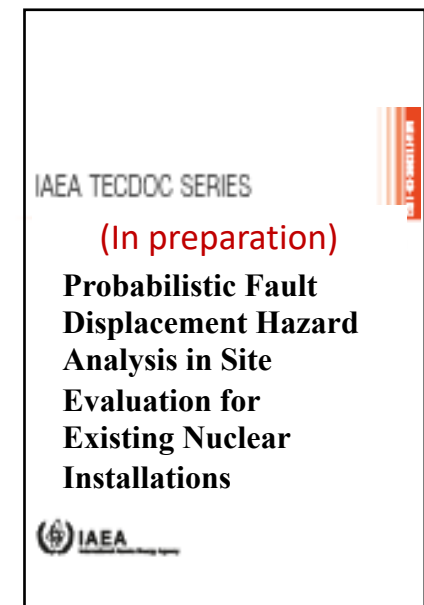
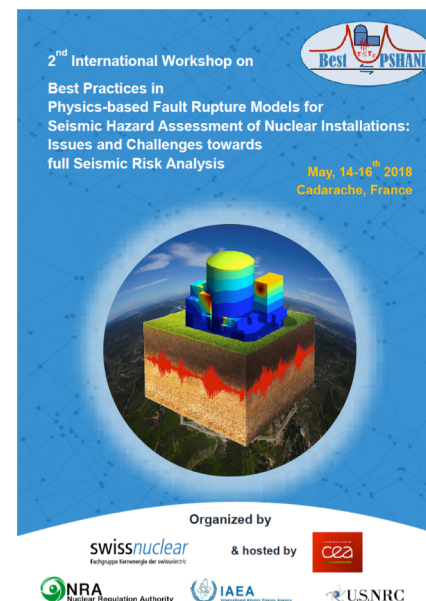
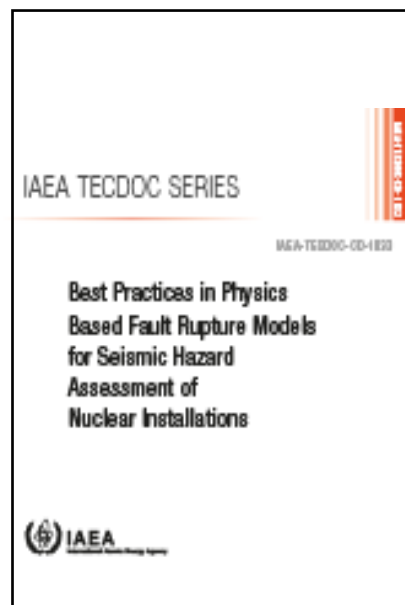
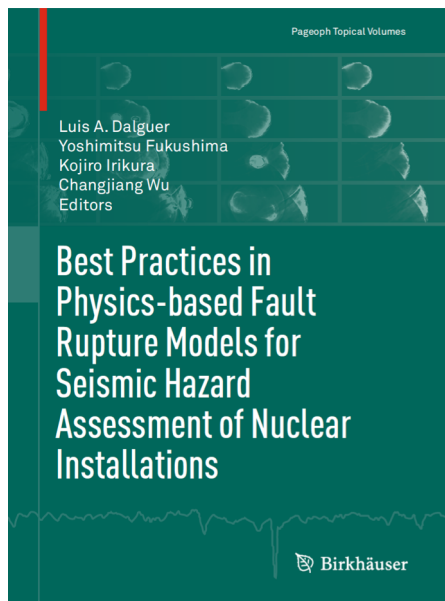
Physics-based models:

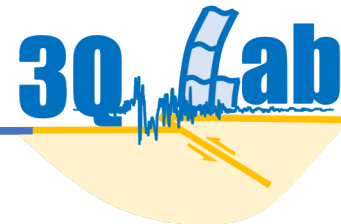
- Can include the whole system in a single model (source, path and site)
- Capture complexities of source, path and site
- Extrapolation is supported with physical foundations
- Ground motion prediction can be different to past earthquakes, but physically plausible



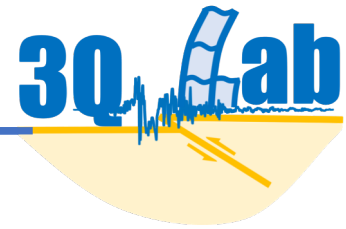
- 3-D physics-based dynamic rupture models are by construction site specific models, because they highly depend on the data of the site of interest. Therefore they are intrinsically non-ergodic models
- Capture details of the site of interest
- Can complement the empirical models by filling the lack of data to improve the representation of the site of study and to be consistent with the non-ergodic process of natural earthquakes.

- IAEA has already recognised this issue and currently is making the effort to implement the physics-based rupture modelling in practice for PFDHA. But also in PSHA.
- These efforts have been discussed through different international working group activities, being the most outstanding two international workshops on **Best Practices in Physics-based Fault Rupture Models for Seismic Hazard Assessment of Nuclear Installations (BestPSHANI)** in 2015 and 2018.
- Currently we are writing an IAEA-TECDOC (Technical Document) on Probabilistic Fault Displacement Hazard Analysis (PFDHA) in Site Evaluation for Existing Nuclear Installations. In this TECDOC we are explicitly describing the use of physics-based dynamic rupture models for PFDHA



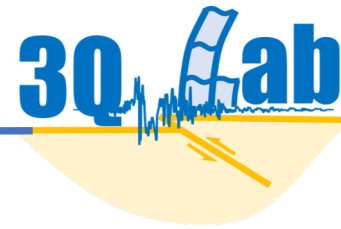


- Need to fill the gaps of empirical GMPEs
- Physics of wave propagation
- Assumptions: physical foundations
- For best performance:
 - Need best information of source (faults)
 - Need best information available of the geological structure and site
- Ideal for site-specific seismic hazard assessment
- Intrinsically, they are featured to be used as non-ergodic ground motion models
- They can be constrained with all the available information of the area of interest.



- For region (site) – specific studies (as a non-ergodic model) calibrated with the data from the site of interest.
- For near-source ground motion and large magnitudes to fill the gaps of GMPEs
- For displacement, velocity and acceleration ground motions (3 components) at reliable frequency range
- For surface rupture offset (named by other communities as “fault displacement”)
- Need Validation!

Main Requirements to use physics-based synthetics ground motion



Seismological aspect

- Validation against past earthquakes (e.g. SCEC validation project)
- Verification against empirical GMPEs models in areas where GMPEs use large amount of observed data (e.g. SCEC validation project)

Engineering aspect

- Verification of the response of engineering systems (e.g. Structural response in frequency and time domain).
- **Current physics-based models used in practice:**
 - Kinematic models (to develop GMPEs and in PSHA)
 - There has been some attempts to use dynamic models in PSHA

GMPEs vs Physics-based GM simulation

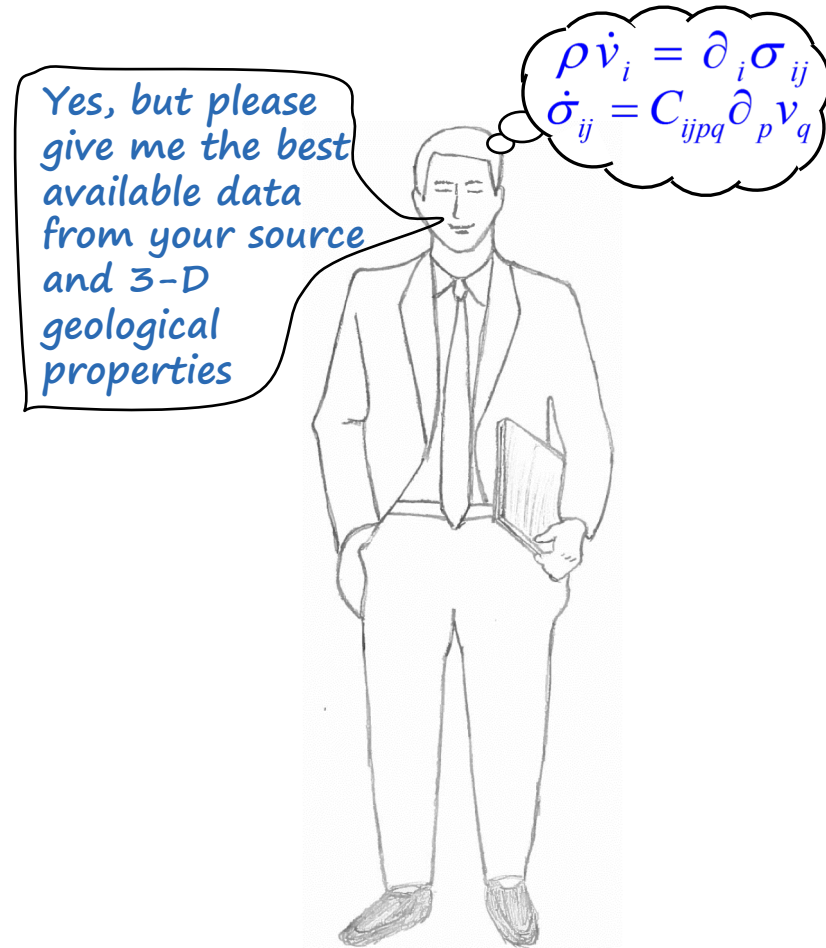
Request 1: Could you make a prediction in zone A for Mw 7 and distance 20km?



GMPEs
(Global and ergodic)

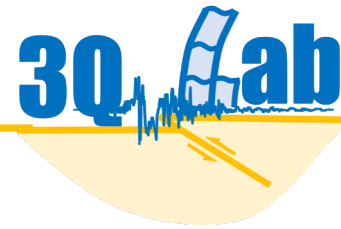


GMPEs
(For Zone A
maybe partially non-ergodic)



Physics-based GM model
(fully non-ergodic)

GMPEs vs Physics-based GM simulation



Request 2: Now a prediction in zone A for Mw 7 very near the fault?

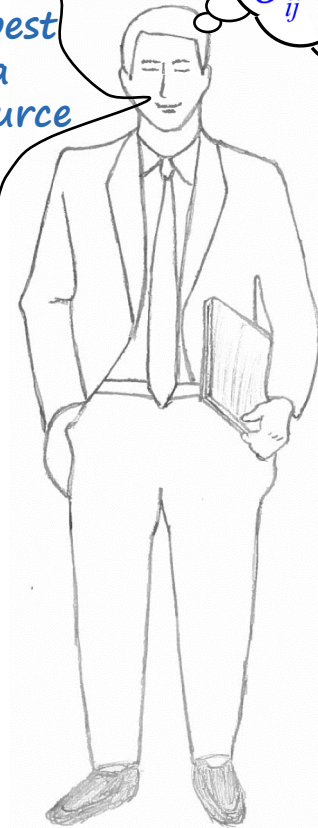


This is outside
of my rage of
validity.
I guess I need
to extrapolate...



GMPEs
(For Zone A
maybe partially non-ergodic)

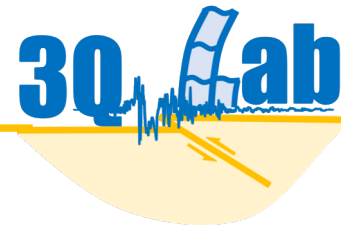
Yes, but please
give me the best
available data
from your source
and 3-D
geological
properties



$$\rho \dot{v}_i = \partial_i \sigma_{ij}$$
$$\dot{\sigma}_{ij} = C_{ijpq} \partial_p v_q$$

Physics-based GM model
(fully non-ergodic)

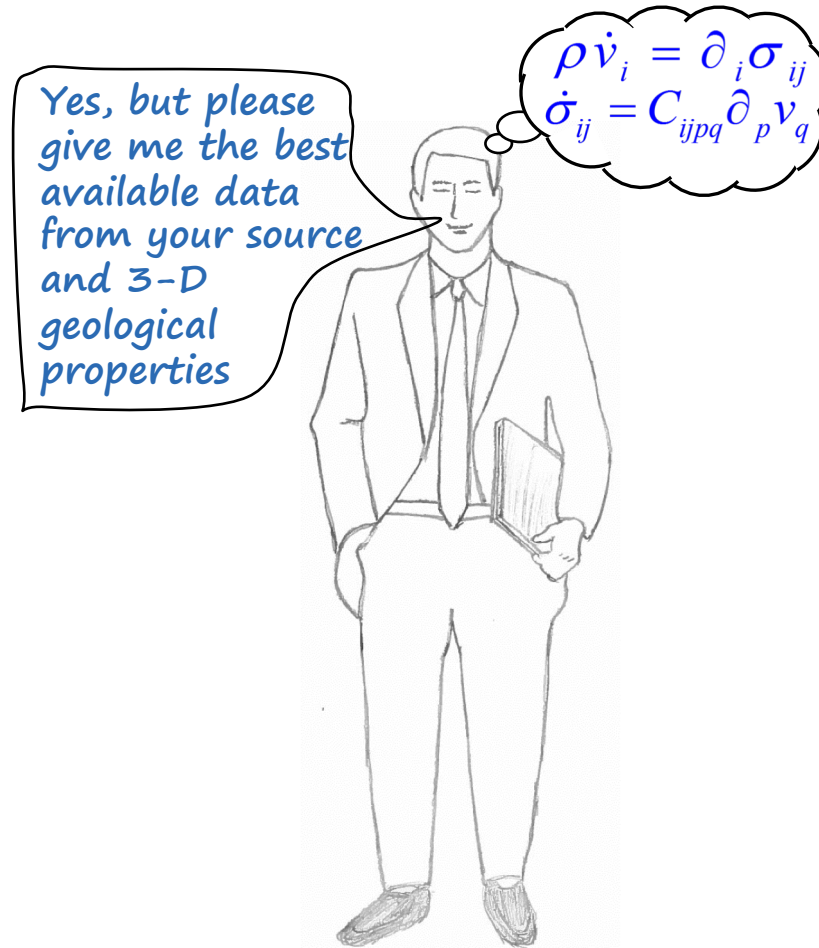
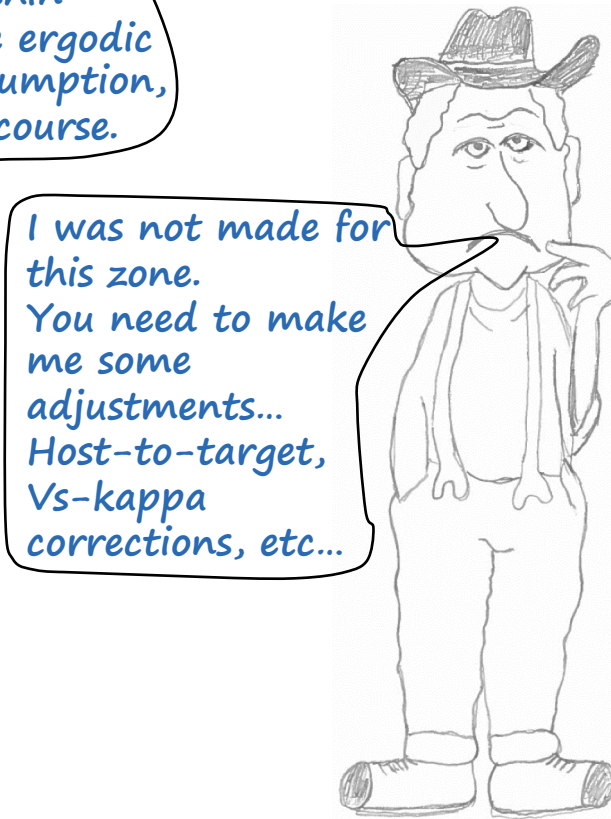
GMPEs vs Physics-based GM simulation



Request 3: Now please a prediction in zone B?



GMPEs
(Global and ergodic)



Physics-based GM model
(fully non-ergodic)

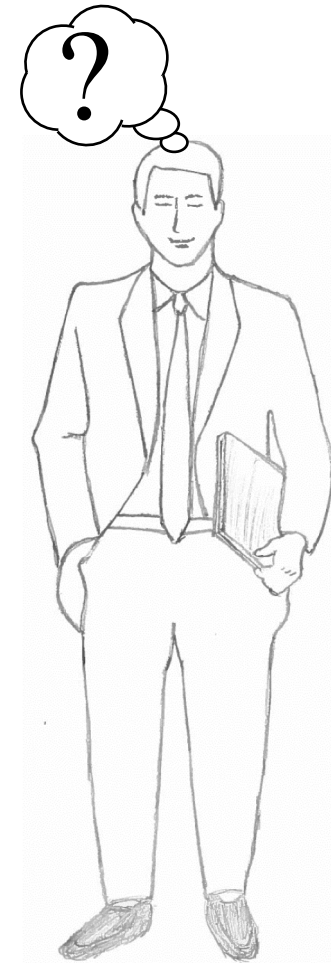
Request 3: Please a prediction in zone B?



GMPEs
(Global and ergodic)



GMPEs
(Now almost for Zone B
maybe partially non-ergodic)



Physics-based GM model
(fully non-ergodic)

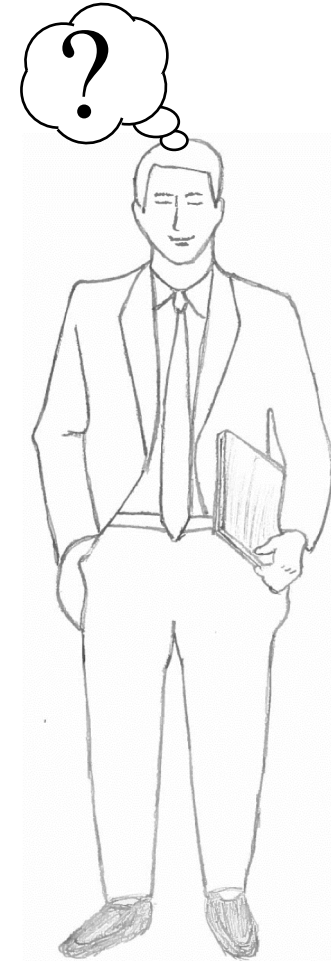
Request 3: Please a prediction in zone B?



GMPEs
(Global and ergodic)



GMPEs
(Now for Zone B
maybe partially non-ergodic)



Physics-based GM model
(fully non-ergodic)

- Empirical models (GMPEs) are insufficient for the prediction of ground motion for use in magnitude-distance range of most engineering interest
- At present, combination of Empirical GMPEs and Physics-based models are required to full fill the requirement of, ergodic, partially ergodic and fully non-ergodic ground motion models
- Tendency for developments of hybrid GMPEs models (synthetic + observed)
- In the near future, physics-based rupture models may replace GMPE with fully 3-D physics-based rupture models
- For partially non-ergodic and fully non-ergodic models, physics-based rupture and ground motion modeling are needed for meaningful Hazard assessment