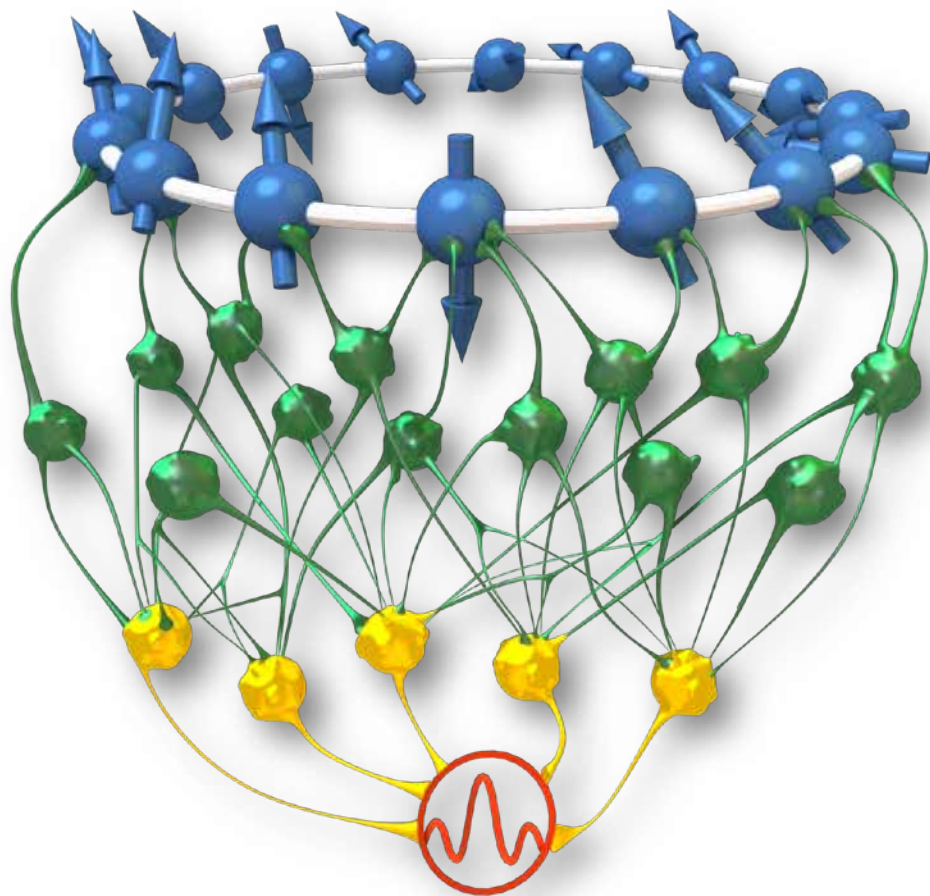


# MACHINE LEARNING MANY-BODY QUANTUM PHYSICS



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(VIRTUALLY) ICTP  
27 JANUARY 2021  
TRIESTE

**EPFL**

# MACHINE LEARNING FOR MANY-BODY SYSTEMS

## INTRODUCTION (NOW)

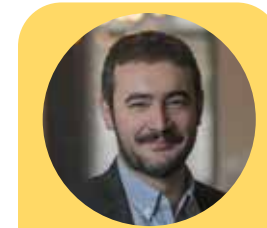
Coincise introduction to the field

Topics:

- Neural Quantum States
- Sampling
- Optimisation problems (ground state, time-evolution..)

## SEMINAR (13:45)

Given by Prof. Giuseppe Carleo (EPFL)



GIUSEPPE  
CARLEO

Exciting, new stuff

(please attend, otherwise it's obvious I was too boring)

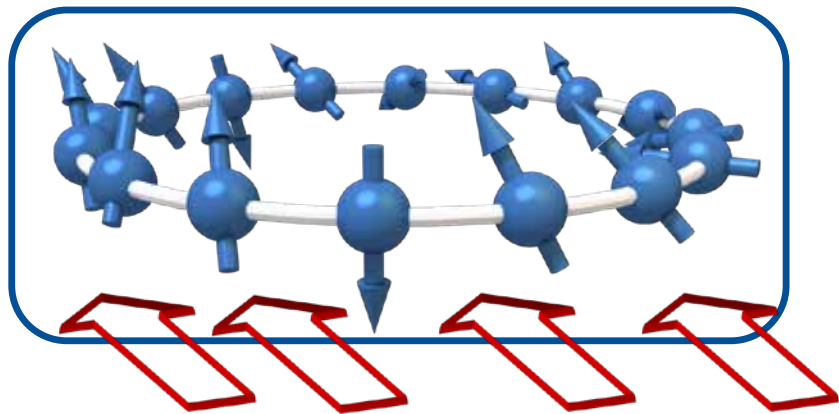
# CHAPTER 1: THE TOOL

## NEURAL QUANTUM STATES

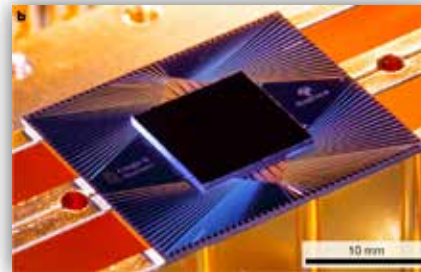
1. Neural Quantum States
2. Variational Monte Carlo

# QUANTUM PHYSICS

Understand the laws governing quantum systems  $H$

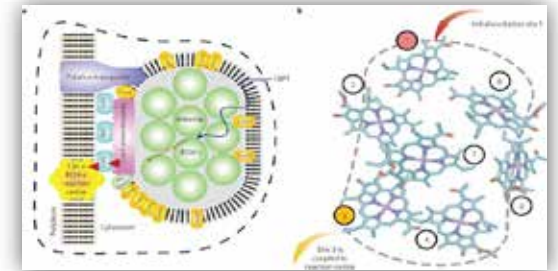


## Quantum Computing



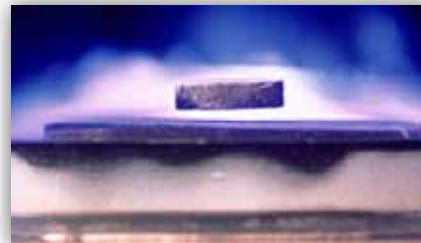
F. Arute et Al.  
Nature **574**, 505 (2019)

## Quantum Biology



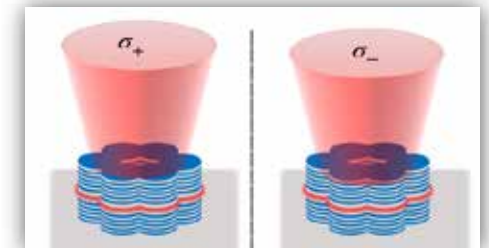
N. Lambert et Al.  
Nat Phys **9**, 10 (2013)

## Superconductivity



A. Mann  
Nature **475**, 280 (2011)

## Optical Devices



N. Carlon Zambon et Al.  
Nature Phot. **13**, 283 (2019)

# QUANTUM STATE: THE WAVEFUNCTION

A SPIN: A BINARY PARTICLE WHICH BE EITHER  $\downarrow$  (0) OR  $\uparrow$  (1)

CONSIDER A SYSTEM COMPOSED OF **N-SPINS**, AND ITS STATE

## CLASSICAL STATE

The state is completely specified by the configuration of each individual spin.

i.e: 3 spins ->  $\uparrow\uparrow\downarrow$

For N spins -> N bits of informations

Memory requirements grow linearly  $\mathcal{O}(N)$

## QUANTUM STATE

The state is described by the wavefunction, a probability distribution (+ phase) over all possible configurations.

i.e: 3 spins ->  $|\psi\rangle = \begin{bmatrix} \psi(\uparrow\uparrow\uparrow) \\ \psi(\uparrow\uparrow\downarrow) \\ \psi(\uparrow\downarrow\uparrow) \\ \psi(\uparrow\downarrow\downarrow) \\ \psi(\downarrow\uparrow\uparrow) \\ \psi(\downarrow\uparrow\downarrow) \\ \psi(\downarrow\downarrow\uparrow) \\ \psi(\downarrow\downarrow\downarrow) \end{bmatrix}$

For N spins ->  $2^N$  bits of informations

Memory requirements grow exponentially  $\mathcal{O}(2^N)$



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CONSIDER A SYSTEM COMPOSED OF **N-SPINS**, AND ITS STATE



## MORE FORMALLY...

## QUANTUM STATE

The state is described by the wavefunction, a probability distribution (+ phase) over all possible configurations.

$$\psi(\sigma_1, \dots, \sigma_N) = \langle \sigma_1, \dots, \sigma_N | \psi \rangle$$

$$|\psi\rangle = \sum_i \underbrace{\sum_{\{\sigma_i\}}}_{2^N \text{ elements}} \psi(\sigma_1, \dots, \sigma_N) |\sigma_1, \dots, \sigma_N\rangle$$

i.e: 3 spins ->  $|\psi\rangle =$

$$\begin{bmatrix} \psi(\uparrow\uparrow\uparrow) \\ \psi(\uparrow\uparrow\downarrow) \\ \psi(\uparrow\downarrow\uparrow) \\ \psi(\uparrow\downarrow\downarrow) \\ \psi(\downarrow\uparrow\uparrow) \\ \psi(\downarrow\uparrow\downarrow) \\ \psi(\downarrow\downarrow\uparrow) \\ \psi(\downarrow\downarrow\downarrow) \end{bmatrix}$$

For N spins ->  $2^N$  numbers of informations

Memory requirements grow exponentially  $\mathcal{O}(2^N)$

# QUANTUM STATE: THE WAVEFUNCTION

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CONSIDER A SYSTEM COMPOSED OF **N-SPINS**, AND ITS STATE



**MORE FORMALLY...**

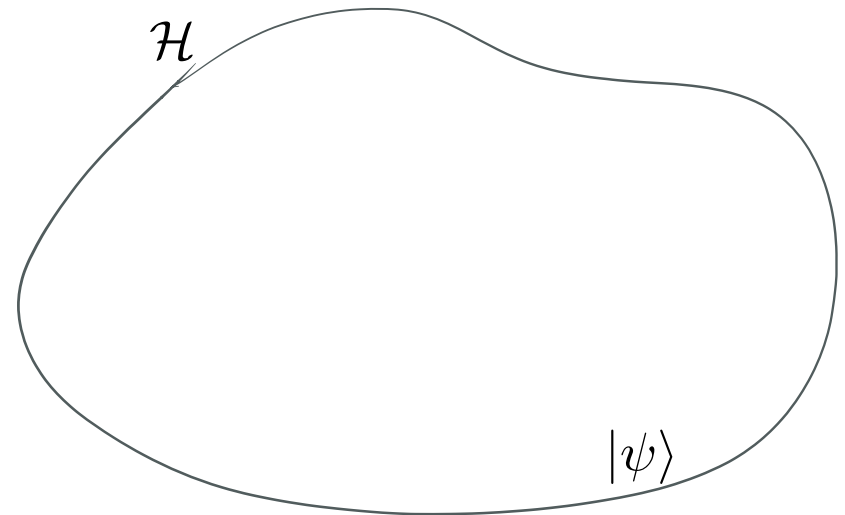
$$\psi(\sigma_1, \dots, \sigma_N) = \langle \sigma_1, \dots, \sigma_N | \psi \rangle$$

$$|\psi\rangle = \sum_i \sum_{\{\sigma_i\}} \psi(\sigma_1, \dots, \sigma_N) |\sigma_1, \dots, \sigma_N\rangle$$

$2^N$  elements

$\mathcal{H}$  Vector space of all possible wavefunctions

$\tilde{\mathcal{H}} \subset \mathcal{H}$  Subset of Physically relevant states

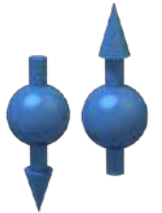


# QUANTUM STATE: THE WAVEFUNCTION

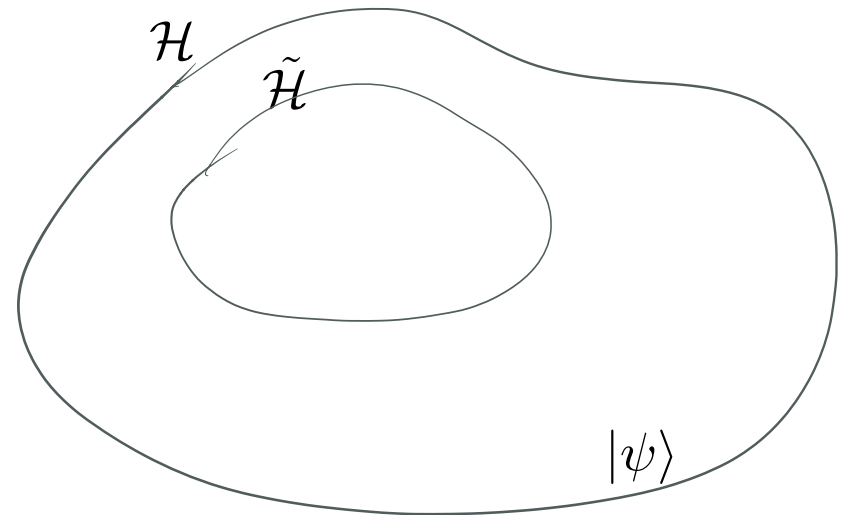
$$\begin{pmatrix} \mathcal{W}_1 \\ \mathcal{W}_2 \\ \vdots \\ \mathcal{W}_M \end{pmatrix} \rightarrow \boxed{\psi} \rightarrow \begin{pmatrix} \langle \downarrow, \downarrow, \dots, \downarrow | \psi(\mathcal{W}) \rangle \\ \langle \downarrow, \downarrow, \dots, \uparrow | \psi(\mathcal{W}) \rangle \\ \vdots \\ \langle \uparrow, \uparrow, \dots, \uparrow | \psi(\mathcal{W}) \rangle \end{pmatrix}$$

$$|\psi(\mathcal{W})\rangle = \sum_i^N \sum_{\{\sigma_i\}} \psi_{\mathcal{W}}(\sigma_1, \dots, \sigma_N) |\sigma_1, \dots, \sigma_N\rangle$$

$$\mathcal{W} \in \mathbb{C}^M \text{ where } M \ll \dim \mathcal{H} \sim 2^N$$



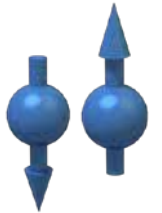
$\mathcal{H}$  Vector space of all possible wavefunctions  
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# VARIATIONAL STATES

$$|\psi(\mathcal{W})\rangle = \sum_i \sum_{\{\sigma_i\}} \psi_{\mathcal{W}}(\sigma_1, \dots, \sigma_N) |\sigma_1, \dots, \sigma_N\rangle$$



## MEMORY REQUIREMENTS

$\mathcal{W} \in \mathbb{C}^M$  where  $M \ll \dim \mathcal{H} \sim 2^N$

$$M \sim \text{poly}(N)$$

Polynomial memory

## RUNTIME REQUIREMENTS

2 classes:

- Computationally Tractable States
  - Given the parameters, it is possible to efficiently compute observables with arbitrary precision.
  - Mean Field
  - Gutzwiller Mean Field
  - 1D MPS
  - ...
- Computationally Efficient States

# COMPUTATIONALLY TRACTABLE VARIATIONAL STATES

## 2 REQUIREMENTS:



### EFFICIENTLY EVALUABLE

$$\psi_{\mathcal{W}}(\sigma) = \langle \sigma | \psi(\mathcal{W}) \rangle$$

In Poly(N)

### EFFICIENTLY SAMPLABLE

$$p_{\mathcal{W}}(\sigma) = \frac{|\langle \sigma | \psi(\mathcal{W}) \rangle|^2}{\sum_{\sigma} |\langle \sigma | \psi(\mathcal{W}) \rangle|^2}$$

In Poly(N)

## THEOREM

If those two requirements are satisfied, any expectation-value of a K-local operator can be estimated with polynomial accuracy.

At most k-body interactions

# EXPECTATION VALUES

$$\begin{aligned}\langle \hat{O} \rangle &= \frac{\langle \psi | \hat{O} | \psi \rangle}{\langle \psi | \psi \rangle} \\ &= \frac{\sum_{\sigma \eta} \langle \psi | \sigma \rangle \langle \sigma | \hat{O} | \eta \rangle \langle \eta | \psi \rangle}{|\sum_{\sigma} \langle \sigma | \psi \rangle|^2} \\ &= \frac{\sum_{\sigma} |\langle \psi | \sigma \rangle|^2 \left( \sum_{\eta} \langle \sigma | \hat{O} | \eta \rangle \frac{\langle \eta | \psi \rangle}{\langle \sigma | \psi \rangle} \right)}{|\sum_{\sigma} \langle \sigma | \psi \rangle|^2} \\ &= \sum_{\sigma} p(\sigma) \left( \sum_{\eta} O_{\sigma, \eta} \frac{\psi(\eta)}{\psi(\sigma)} \right) \\ &= \sum_{\sigma} p(\sigma) O^{\text{loc}}(\sigma)\end{aligned}$$



$$p(\sigma) = \frac{|\langle \psi | \sigma \rangle|^2}{\sum_{\sigma} |\langle \psi | \sigma \rangle|^2}$$

$$O^{\text{loc}}(\sigma) = \sum_{\eta} O_{\sigma, \eta} \frac{\psi(\eta)}{\psi(\sigma)}$$

# METROPOLIS SAMPLING

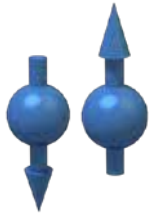
$$\langle \hat{O} \rangle = \sum_{\sigma} p(\sigma) O^{\text{loc}}(\sigma) = \langle O^{\text{loc}} \rangle_{p(\sigma)}$$

We have shown that expectation values of an observable  $O$  can be computed as averages of  $O^{\text{loc}}$  over the distribution  $p(\sigma)$ .

To avoid an exponential cost, we need to define a set

$$\{\sigma \in \mathcal{H}\}, \quad \dim[\{\sigma\}] \sim \text{poly}(N) \ll \dim[\mathcal{H}] \sim 2^N$$

$$\langle \hat{O} \rangle = \langle O^{\text{loc}} \rangle_{p(\sigma)} = \frac{1}{N_s} \sum_{\{\sigma\}}^{N_s} O^{\text{loc}}(\sigma) \pm \sqrt{\frac{\text{Var}(O^{\text{loc}})}{N_s}}$$



$$p(\sigma) = \frac{|\langle \psi | \sigma \rangle|^2}{\sum_{\sigma} |\langle \psi | \sigma \rangle|^2}$$

$$O^{\text{loc}}(\sigma) = \sum_{\eta} O_{\sigma, \eta} \frac{\psi(\eta)}{\psi(\sigma)}$$

# GENERATING SAMPLES: MARKOV CHAINS

## METROPOLIS-HASTINGS MONTE CARLO SAMPLING

- If you can compute  $f(\boldsymbol{\sigma}) \propto p(\boldsymbol{\sigma})$
- We want to generate a chain of states

$$\boldsymbol{\sigma}_0 \rightarrow \boldsymbol{\sigma}_1 \rightarrow \boldsymbol{\sigma}_2 \rightarrow \cdots \rightarrow \boldsymbol{\sigma}_{N_s}$$

Easy to compute

$$p(\boldsymbol{\sigma}) = \frac{|\langle \psi | \boldsymbol{\sigma} \rangle|^2}{\sum_{\boldsymbol{\sigma}} |\langle \psi | \boldsymbol{\sigma} \rangle|^2}$$

Exponentially hard (sum over hilb. Space)

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- We start with  $\boldsymbol{\sigma}_0$
- At every iteration  $i$ ,  $\boldsymbol{\sigma}_i$ 
  - A. We propose a new state  $\boldsymbol{\sigma}'$  by sampling  $T(\boldsymbol{\sigma}'|\boldsymbol{\sigma}_i)$
  - B. We compute the 'acceptance probability'

$$A(\boldsymbol{\sigma}'|\boldsymbol{\sigma}) = \min \left[ 1, \frac{p(\boldsymbol{\sigma}')}{p(\boldsymbol{\sigma}_i)} \frac{T(\boldsymbol{\sigma}_i|\boldsymbol{\sigma}')}{T(\boldsymbol{\sigma}'|\boldsymbol{\sigma}_i)} \right]$$

- C. With probability  $A(\boldsymbol{\sigma}'|\boldsymbol{\sigma})$  accept the move,  $\boldsymbol{\sigma}_{i+1} = \boldsymbol{\sigma}'$   
otherwise 'reject' it, setting  $\boldsymbol{\sigma}_{i+1} = \boldsymbol{\sigma}_i$

[Hastings, W.K. , Biometrika 57, 97-109 (1970)]

Easy to compute

$$p(\boldsymbol{\sigma}) = \frac{|\langle \psi | \boldsymbol{\sigma} \rangle|^2}{\sum_{\boldsymbol{\sigma}} |\langle \psi | \boldsymbol{\sigma} \rangle|^2}$$

Exponentially hard (sum over hilb. Space)

[for a simple introduction see: Robert, Arxiv: 1504.01896]

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otherwise 'reject' it, setting  $\boldsymbol{\sigma}_{i+1} = \boldsymbol{\sigma}_i$

This technique is derived from the **Detailed balance**,  
or **microscopic reversibility condition**

At equilibrium, the following is valid:

$$P(\boldsymbol{\sigma}'|\boldsymbol{\sigma})p(\boldsymbol{\sigma}) = P(\boldsymbol{\sigma}|\boldsymbol{\sigma}')p(\boldsymbol{\sigma}')$$

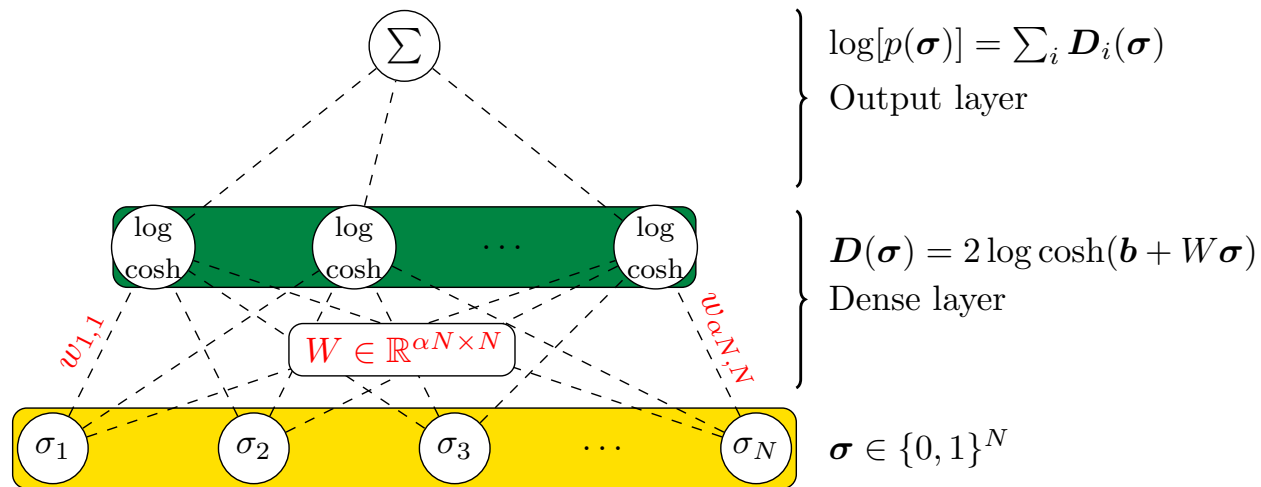
And by defining the transition probability:

$$P(\boldsymbol{\sigma}'|\boldsymbol{\sigma}) = T(\boldsymbol{\sigma}'|\boldsymbol{\sigma})A(\boldsymbol{\sigma}'|\boldsymbol{\sigma})$$

# NEURAL QUANTUM STATES

Restricted Boltzmann Machine:

$$\psi(\{W, \mathbf{b}\}, \boldsymbol{\sigma}) = \exp \left[ \sum_i \log \cosh \left( \mathbf{W}^{(i)} \boldsymbol{\sigma} + b^{(i)} \right) \right]$$





# CHAPTER 2: THE PROBLEM

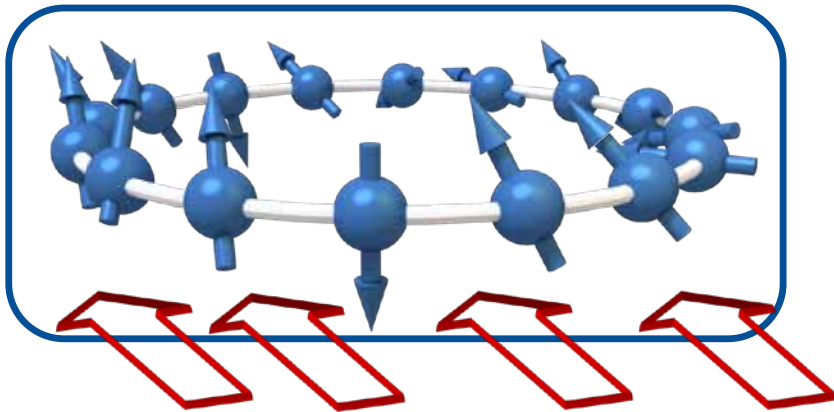
## VARIATIONAL MONTE CARLO

1. Neural Quantum States
2. Variational Monte Carlo

# QUANTUM PHYSICS: 2 PROBLEMS (AMONG MANY)

## SIMULATION: COMPUTING THE GROUND/STEADY STATE

Given a system encoded by the hamiltonian  $H$ ,  
find the fundamental (ground) state

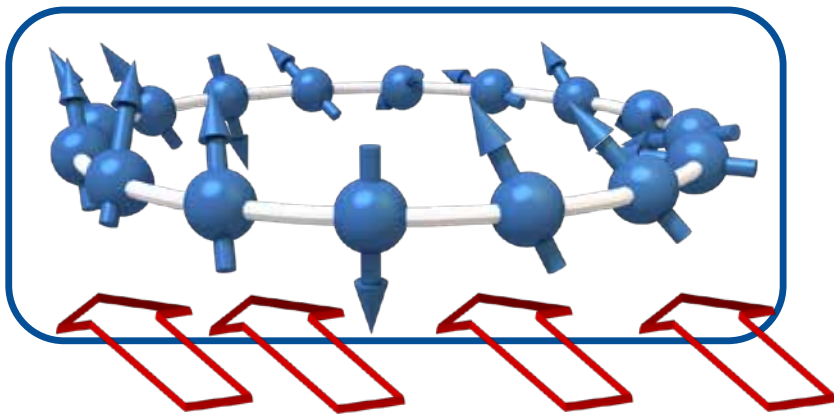


$$\hat{H} |\psi_{gs}\rangle = E_{gs} |\psi_{gs}\rangle$$

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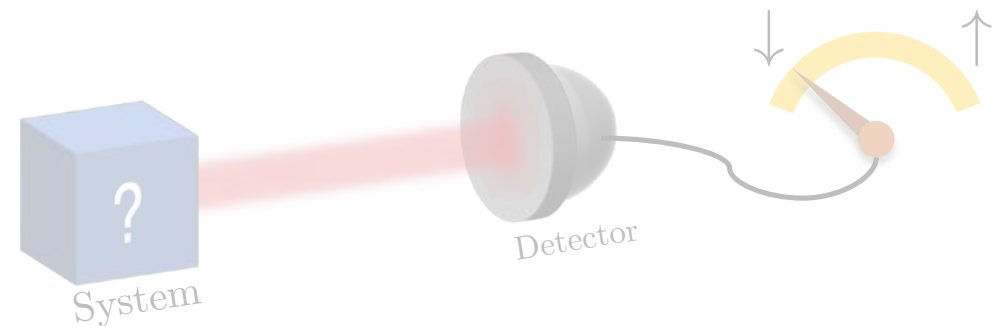
$$\hat{H} |\psi_{gs}\rangle = E_{gs} |\psi_{gs}\rangle$$

[Carleo and Troyer, Science **355**, 602 (2017)]

## EXPERIMENTS: RECONSTRUCT A QUANTUM STATE

Given a quantum system in an unknown state  
(black box)

Perform some measurement  $S$  and reconstruct the  
State



Probably next week: Juan Carrasquilla

[Torlai et Al, Nature Physics **14**, 447 (2018)]

# DETERMINING THE GROUND STATE

## GROUND STATE

$$\hat{H} |\psi_{gs}\rangle = E_{gs} |\psi_{gs}\rangle$$

We want to use a flexible representation of  $|\psi\rangle$  :

- Neural Quantum States

$$\mathcal{W}_{gs} \text{ so that } |\psi(\mathcal{W}_{gs})\rangle \approx |\psi_{gs}\rangle$$

## VARIATIONAL PRINCIPLE

$$E(\mathcal{W}) = \langle \hat{H} \rangle = \frac{\langle \psi(\mathcal{W}) | \hat{H} | \psi(\mathcal{W}) \rangle}{\langle \psi(\mathcal{W}) | \psi(\mathcal{W}) \rangle} \geq E_{gs}$$

$$E(\mathcal{W}) = E_{gs} \Rightarrow |\psi(\mathcal{W})\rangle = |\psi_{gs}\rangle$$

So we want to determine the

$$\min_{\mathcal{W}} [E(\mathcal{W})]$$

# VARIATIONAL OPTIMISATION

## OPTIMISATION

I start from a set of parameters

$$\mathcal{W}_0 \Rightarrow E(\mathcal{W}_0)$$

I can also compute the gradient of the energy

$$\nabla_{\mathcal{W}} E(\mathcal{W}_0)$$

And use it to optimise the parameters

$$\mathcal{W}_{i+1} = \mathcal{W}_i - \eta \nabla_{\mathcal{W}} E(\mathcal{W}_i)$$

Can we compute the gradient efficiently?

## VARIATIONAL PRINCIPLE

$$E(\mathcal{W}) = \langle \hat{H} \rangle = \frac{\langle \psi(\mathcal{W}) | \hat{H} | \psi(\mathcal{W}) \rangle}{\langle \psi(\mathcal{W}) | \psi(\mathcal{W}) \rangle} \geq E_{gs}$$

$$E(\mathcal{W}) = E_{gs} \Rightarrow |\psi(\mathcal{W})\rangle = |\psi_{gs}\rangle$$

So we want to determine the

$$\min_{\mathcal{W}} [E(\mathcal{W})]$$

# VARIATIONAL OPTIMISATION

But we cannot compute the energy and its gradient exactly:

$$E(\mathcal{W}) = \sum_{\sigma} p_{\mathcal{W}}(\sigma) E_{\mathcal{W}}^{\text{loc}}(\sigma) = \langle E_{\mathcal{W}}^{\text{loc}} \rangle_p$$

So the gradient is the vector

$$\nabla_{\mathcal{W}} E(\mathcal{W}) = \begin{pmatrix} \nabla_{\mathcal{W}^1} E(\mathcal{W}) \\ \nabla_{\mathcal{W}^2} E(\mathcal{W}) \\ \vdots \\ \nabla_{\mathcal{W}^{N_p}} E(\mathcal{W}) \end{pmatrix}$$

$$\nabla_{\mathcal{W}^k} E(\mathcal{W}) = \langle E^{\text{loc}} O_{\mathcal{W}}^k \rangle_p - \langle E^{\text{loc}} \rangle_p \langle O_{\mathcal{W}}^k \rangle_p$$

$$E_{\mathcal{W}}^{\text{loc}}(\sigma) = \sum_{\sigma'} \langle \sigma | \hat{H} | \sigma' \rangle \frac{\psi_{\mathcal{W}}(\sigma')}{\psi_{\mathcal{W}}(\sigma)}$$

$$p_{\mathcal{W}}(\sigma) = |\psi_{\mathcal{W}}(\sigma)|^2 / Z$$

$$O_{\mathcal{W}}^k(\sigma) = \nabla_{\mathcal{W}^k} \log \psi_{\mathcal{W}}(\sigma)$$

# VARIATIONAL OPTIMISATION

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Can we compute the gradient efficiently?

## STOCHASTIC GRADIENT (DESCENT)

$$\mathcal{W}_{i+1} = \mathcal{W}_i - \eta \left( \langle \nabla_{\mathcal{W}^k} E(\mathcal{W}) \rangle_p + \mathcal{N}(\mu = 0, \sigma^2 = \frac{\text{Var}}{N_s}) \right)$$

In the limit  $N_s \rightarrow \infty$  the stochastic term is 0 and we recover the exact result.

$$x(t + dt) = x(t) - dt \nabla V(t) + \mathcal{N}(\mu = 0, \sigma^2 = 2T dt)$$

Otherwise, notice the similarity to the Langevin process  
Describing a particle in a potential and temperature T.

$$T \propto N_s^{-1}$$

[Benjo, Goodfellow, Deep Learning book]

# TIME EVOLUTION

Consider the state

$$|\psi_{\mathcal{W}}\rangle$$

And consider an infinitesimal time evolution of the same state (tau real or imaginary)

$$e^{-idt\hat{H}} |\psi_{\mathcal{W}}\rangle \approx (\mathbb{I} - idt\hat{H}) |\psi_{\mathcal{W}}\rangle$$

Expanding to first order in dW

$$|\psi_{\mathcal{W}+\delta\mathcal{W}}\rangle \approx |\psi_{\mathcal{W}}\rangle + \sum_k \delta\mathcal{W}_k O^k |\psi_{\mathcal{W}}\rangle = (\mathbb{I} + \sum_k \delta\mathcal{W}_k O^k) |\psi_{\mathcal{W}}\rangle$$

Then we want to find dW that matches the two equations above

$$\max_{\delta\mathcal{W}} \left[ \frac{|\langle \psi_{\mathcal{W}} | e^{idt\hat{H}} |\psi_{\mathcal{W}+\delta\mathcal{W}}\rangle|^2}{|\psi_{\mathcal{W}+\delta\mathcal{W}}| |e^{-idt\hat{H}} |\psi_{\mathcal{W}}\rangle|} \right]$$



# TIME EVOLUTION

Solving that system gives:

$$i \sum_{k'} S_k^{k'} \delta \mathcal{W}'_k = \nabla_{\mathcal{W}_k} E(\mathcal{W})$$

(same equation for imaginary time, except  $i \rightarrow 1$ )

Quantum Geometric Tensor

$$S_k^{k'} = \left\langle O_k^* O^{k'} \right\rangle_p - \left\langle O_k \right\rangle_p^* \left\langle O^{k'} \right\rangle_p$$

Symbolically it is solved by:

$$\delta \mathcal{W} = S^{-1} \nabla_{\mathcal{W}} E(\mathcal{W})$$

Q.G.T: Local approximation of the metric tensor in variational space

But in general it is best to solve it with a linear solver (CG, GMRES, MINRES...)

Then inserted back into

$$\mathcal{W}_{i+1} = \mathcal{W}_i - \delta \mathcal{W}$$

# CONCLUSIONS

Neural Quantum States are efficient encodings of Quantum states

- Can estimate expectation values efficiently through sampling
- Can compute the gradient of the energy efficiently

The Variational Principle recasts the ground state problem into optimisation problem

Also valid for time evolution (Quantum Geometric Tensor)

Those techniques are implemented in Netket

<http://netket.org>

