



INSTITUT DE FRANCE
Académie des sciences

L^2 extension theorems and applications to algebraic geometry

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Second lecture

Second lecture: extension with optimal L^2 estimates

Setup. Let $L \rightarrow X$ be a holomorphic line bundle, equipped with a singular hermitian metric $h = h_0 e^{-\varphi}$, φ quasi-psh. Let $\psi \in L^1_{\text{loc}}$ such that $\varphi + \psi$ is quasi-psh, and $Y \subset X$ the subvariety defined by the conductor ideal $\mathcal{J}_Y = \mathcal{I}(h e^{-\psi}) : \mathcal{I}(h)$.

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For a section $f \in H^0(Y, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{I}(h)/\mathcal{I}(h e^{-\psi}))$, the goal is to get an “extension” $F \in H^0(X, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{I}(h))$,

$$\text{via } \mathcal{I}(h) \rightarrow \mathcal{I}(h)/\mathcal{I}(h e^{-\psi}), \quad F \mapsto f,$$

with an explicit L^2 estimate of F on X in terms of a suitable L^2 integral of f on the subvariety Y .

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We first define the **Ohsawa residual measure** associated with f . As for f , this will be a measure **supported on Y** .

The Ohsawa residual measure

Given $f \in H^0(U, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{I}(h)/\mathcal{I}(he^{-\psi}))$, there exists a Stein covering (U_i) of X and liftings $\tilde{f}_i \in H^0(U_i, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{I}(h))$ of f on U_i via $\mathcal{I}(h) \rightarrow \mathcal{I}(h)/\mathcal{I}(he^{-\psi})$. We obtain in this way a C^∞ extension $\tilde{f} = \sum \xi_i \tilde{f}_i$ where (ξ_i) is a partition of unity.

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Definition of the Ohsawa residual measure

For $g \in C_c(Y)$, $g \geq 0$, and $0 \leq \tilde{g} \in C_c(X)$ extending g , we set

$$\int_Y g dV_Y[f^2, h, \psi] := \inf_{\tilde{g}} \limsup_{t \rightarrow -\infty} \int_{\{t < \psi < t+1\}} \tilde{g} |\tilde{f}|_{\omega, h}^2 e^{-\psi} dV_{X, \omega}.$$

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Proposition

$dV_Y[f^2, h, \psi]$ is independent of the choice of \tilde{f} as well as of ω , and defines a positive measure on Y (but not necessarily locally finite).

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Proposition

$dV_Y[f^2, h, \psi]$ is independent of the choice of \tilde{f} as well as of ω , and defines a positive measure on Y (but not necessarily locally finite).

Proof. When $\delta \tilde{f}_i \in H^0(U_i, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{I}(he^{-\psi}))$, then $|\delta \tilde{f}_i|_{\omega, h}^2 e^{-\psi} \in L^1_{\text{loc}}(X)$ and the $\limsup \rightarrow 0$ for $\text{Supp}(\tilde{g}) \subset U$.

The Ohsawa residual measure (2)

Example 1. Take $\psi(z) = r \log |s(z)|_{h_E}^2$, where $s \in H^0(X, E)$ and $r = \text{rank}(E)$. Assume that $Y = s^{-1}(0)$ is of codimension r , that s is generically transverse to 0 on Y and $h \in C^\infty$. Then

$$dV_Y[f^2, h, \psi] = c_{n,r} \frac{|f|_{\omega, h}^2 dV_{Y, \omega}}{|\Lambda^r(ds)|_{\omega, h_E}^2} \quad \text{on } Y \setminus \{\Lambda^r(ds) = 0\}.$$

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Proof. Near a regular point z_0 we can pick a holomorphic frame $(e_\lambda)_{1 \leq \lambda \leq r}$ of E and coordinates (z_1, \dots, z_n) such that (e_λ) is h -orthonormal and $(\partial/\partial z_j)$ is ω -orthonormal at z_0 , and $s(z) = \sum_{1 \leq j \leq r} \lambda_j z_j e_j$, $\lambda_j \neq 0$. Then $\omega \sim i \sum dz_j \wedge d\bar{z}_j$ and $\psi(z) \sim r \log(|\lambda_1|^2 |z_1|^2 + \dots + |\lambda_r|^2 |z_r|^2)$. This is an easy calculation of integrals on ellipsoids.

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Example 2. Take now $\psi(z) = \sum c_j \log |s_{D_j}|_{h_j}^2$ where $D = \sum c_j D_j$ is a simple normal crossing divisor, $c_j > 0$, and h_j is a C^∞ metric on $\mathcal{O}_X(D_j)$. Also assume $h \in C^\infty$.

Ohsawa residual measure for s.n.c. singularities

By a change of coordinates, we are reduced to computing $dV_Y[f^2, h, \psi]$ for $\psi(z) = \sum c_j \log |z_j|^2 + u(z)$, $u \in C^\infty$. However

$$dV_Y[f^2, h, \psi + u] = e^{-u} dV_Y[f^2, h, \psi],$$

thus we may assume $u = 0$. At a regular point of $D_j \setminus \bigcup_{k \neq j} D_k$, (and $j = 1$, say) we apply the Fubini theorem with $z = (z_1, z')$, $z' = (z_2, \dots, z_n)$. We have to compute limits of the form

$$\lim_{t \rightarrow -\infty} \int_{e^t < |z_1|^{2c_1} < e^{t+1}} \frac{\tilde{g}(z) |\tilde{f}(z)|^2}{|z_1|^{2c_1}} idz_1 \wedge d\bar{z}_1 = \frac{2\pi}{m_1} g(0, z') |\tilde{h}(0, z')|^2$$

when $c_1 = m_1 \in \mathbb{N}^*$ and $\tilde{f}(z) = z_1^{m_1-1} \tilde{h}(z)$. However, if $c_j < 1$, we get 0, and in general, if $c_j \notin \mathbb{N}^*$ and $c_j > 1$, we can get only 0 or ∞ values, according to the divisibility of f by $z_j^{m_j-1}$, $m_j = \lfloor c_j \rfloor \in \mathbb{N}^*$.

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As a consequence, we can capture an interesting (i.e. locally finite, non zero) residual measure $dV_Y[f^2, h, \psi]$ only in the case where one of the coefficients c_j is an integer.

Ohsawa residual measure for analytic singularities

One general case of interests is when ψ has analytic singularities, i.e. locally $\psi(z) = c \log \sum |g_j(z)|^2 + u(z)$, $g_j \in \mathcal{O}_X(V)$, $u \in C^\infty(V)$.

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Then, it is interesting to look at the family of multiplier ideal sheaves $\mathcal{I}(e^{-s\psi})$ when $s \in \mathbb{R}_+$, which decrease as s increases. Assume without loss of generality that $c = 1$.

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By Hironaka, we know that there exists a composition of blow-ups $\mu : \tilde{X} \rightarrow X$ such that the pull-back ideal $\mu^*(g_j) = (g_j \circ \mu)$ is an invertible ideal sheaf $\mathcal{O}_{\tilde{X}}(-\sum m_j D_j)$ associated with a simple normal crossing divisor.

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$$\mathcal{I}(e^{-s\psi}) = \mu_*(K_{\tilde{X}/X} \otimes \mathcal{I}(e^{-s\psi \circ \mu})) = \mu_* \mathcal{O}_{\tilde{X}}\left(\sum (a_j - \lfloor sm_j \rfloor) D_j\right)$$

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where $K_{\tilde{X}/X} = \mathcal{O}_{\tilde{X}}(\sum a_j D_j)$. This implies that $\mathcal{I}(e^{-s\psi})$ “jumps” precisely for a discrete sequence of rational numbers $0 = s_0 < s_1 < \dots < s_k < \dots$ such that $s_k m_j \in \mathbb{N}$ for some j .

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For $f \in \mathcal{I}(e^{-s_{k-1}\psi})$, the measure $dV_Y[f^2, h, s_k \psi]$ will be interesting.

Restricted multiplier ideals

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Definition of the restricted multiplier ideal

For $x \in Y$, we define $\mathcal{I}'_{\psi}(h)_x \subset \mathcal{I}(h)_x$ to be the ideal of germs of functions $\tilde{f} \in \mathcal{I}(h)_x$ associated with $f = \tilde{f} \bmod \mathcal{I}(he^{-\psi})_x$ in $\mathcal{I}(h)/\mathcal{I}(he^{-\psi})_x$, for which $dV[f^2, h, \psi]$ is locally finite near x on Y .

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Typical case of application. Assume that $h = e^{-\varphi}$ and ψ have analytic singularities, and that $s_k = 1$ is one of jumping values for $s \mapsto \mathcal{I}(e^{-s\psi})$ (case of log canonical singularities: $s_1 = 1$).

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Then $\mathcal{I}'_{\psi}(h) \subset \mathcal{I}(he^{-s_{k-1}\psi})$ on X , and $\mathcal{I}'_{\psi}(h) = \mathcal{I}(he^{-s_{k-1}\psi})$ on a Zariski open subset $X_0 = X \setminus Z$, $Z \subsetneq Y$ (however, the ideals may differ on Z).

Use of more “flexible” weights

The next issue is that we need special and rather flexible weights.

Let $\alpha \in]0, 1[$ and $A = \sup_X \psi \in]-\infty, +\infty]$. We consider

functions $\rho : [-\infty, A] \rightarrow \mathbb{R}_+^*$, such as

$$\rho(u) = 1 - (A + 1 + \alpha^{-1/2} - u)^{-1},$$

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We assume moreover that

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The L^2 estimates will involve integrals of the form

$\int_{\mathcal{X}} |F|_{\omega, h}^2 e^{-\psi} |\rho'(\psi)| dV_{\mathcal{X}, \omega}$, where $|\rho'(\psi)| = (C - \psi)^{-2}$ in the above example, so that $e^{-\psi} |\rho'(\psi)|$ is locally sommable when ψ has log canonical singularities.

General L^2 extension theorem

Theorem (X. Zhou-L. Zhu 2019)

Let (X, ω) be a weakly pseudoconvex Kähler manifold, L a holomorphic line bundle with a hermitian metric $h = h_0 e^{-\varphi}$, $h_0 \in C^\infty$, φ quasi-psh on X , and $\psi \in L^1_{\text{loc}}(X)$.

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$$\Theta_{L,h} + (1 + \nu\alpha)i\partial\bar{\partial}\psi \geq 0 \quad \text{on } X, \quad \nu = 0, 1.$$

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Theorem (X. Zhou-L. Zhu 2019)

Let (X, ω) be a weakly pseudoconvex Kähler manifold, L a holomorphic line bundle with a hermitian metric $h = h_0 e^{-\varphi}$, $h_0 \in C^\infty$, φ quasi-psh on X , and $\psi \in L^1_{\text{loc}}(X)$. Assume $\exists \alpha > 0$ constant such that

$$\Theta_{L,h} + (1 + \nu\alpha) i\partial\bar{\partial}\psi \geq 0 \quad \text{on } X, \quad \nu = 0, 1.$$

Then, for every $f \in H^0(Y, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{I}'_\psi(h) / \mathcal{I}(he^{-\psi}))$ s.t.

$$\int_Y dV_Y[f^2, h, \psi] < +\infty,$$

there exists $F \in H^0(X, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{I}'_\psi(h))$ that is mapped to f by the morphism $\mathcal{I}'_\psi(h) \rightarrow \mathcal{I}'_\psi(h) / \mathcal{I}(he^{-\psi})$, such that

$$\int_X |F|_{\omega,h}^2 e^{-\psi} |\rho'(\psi)| dV_{X,\omega} \leq \rho(-\infty) \int_Y dV_Y[f^2, h, \psi].$$

(1) Construction of a smooth extension

Every section $f \in H^0(X, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{I}(h)/\mathcal{I}(he^{-\psi}))$ admits a C^∞ lifting

$$\tilde{f} = \sum \xi_i \tilde{f}_i, \quad \tilde{f}_i \in H^0(U_i, \mathcal{O}_X(K_X \otimes L) \otimes \mathcal{I}(h))$$

by means of a Stein covering (U_i) of X and a partition of unity (ξ_i) subordinate to (U_i) .

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As X is assumed to be weakly pseudoconvex, we can consider $X_c = \{z \in X; \gamma(z) < c\} \Subset X$, $\forall c \in \mathbb{R}$, and get by compactness

$$\int_{X_c} |\bar{\partial} \tilde{f}|_{\omega, h}^2 e^{-\psi} dV_{X, \omega} < +\infty.$$

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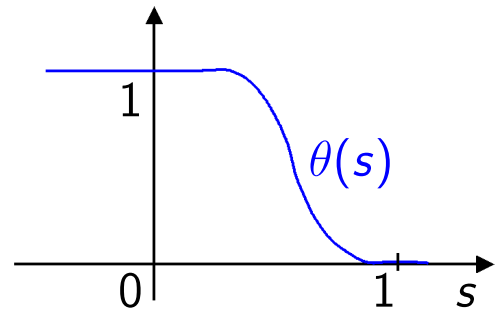
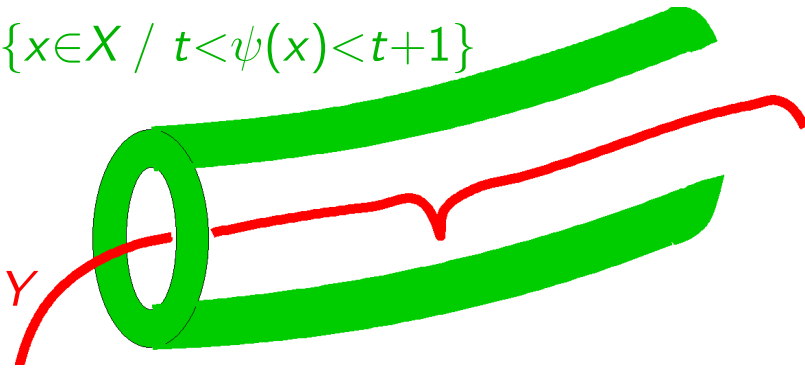
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It will be enough to get estimates on X_c , and then let $c \rightarrow +\infty$.

(2) Solving the $\bar{\partial}$ equation

The next idea is to truncate \tilde{f} by multiplying \tilde{f} with a cut-off function $\theta(\psi - t)$ equal to 1 near $Y \subset \psi^{-1}(-\infty)$.

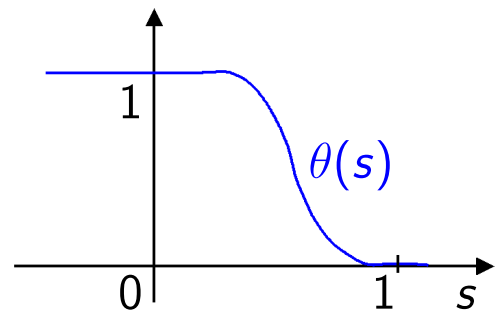
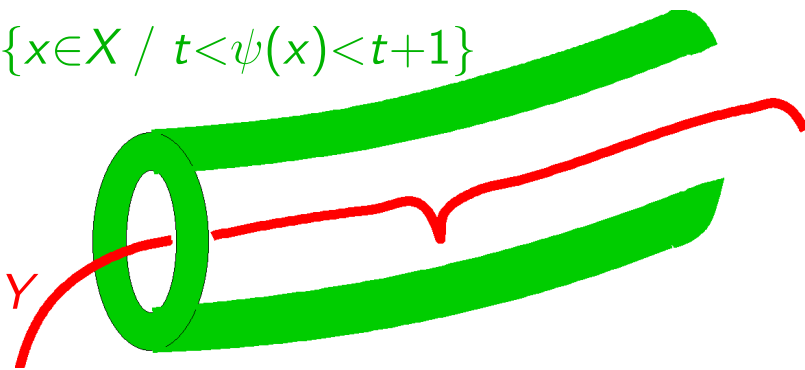
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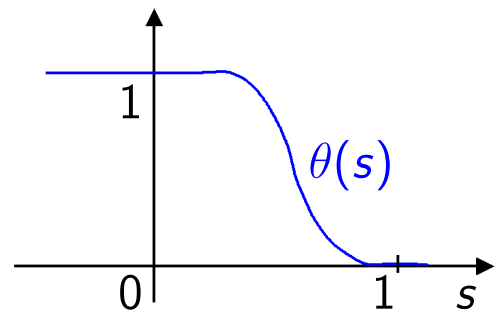
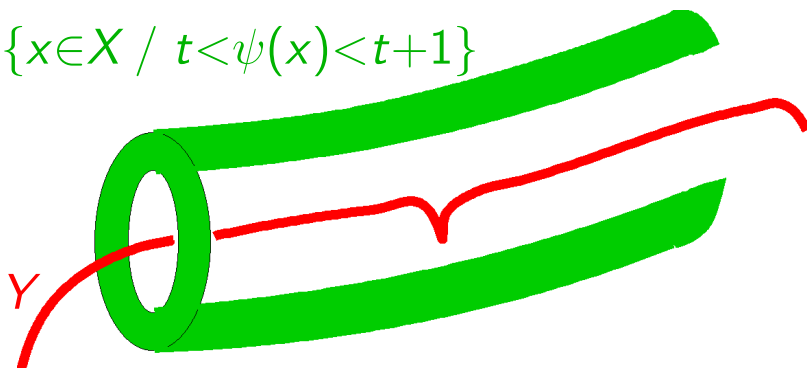
$$(*) \quad \bar{\partial} u_{t,\varepsilon} = v_t + w_{t,\varepsilon}$$

$$\text{with } v_t := \bar{\partial}(\theta(\psi - t) \cdot \tilde{f}) = \theta(\psi - t) \cdot \bar{\partial}\tilde{f} + \theta'(\psi - t)\bar{\partial}\psi \wedge \tilde{f}.$$

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If the weights ψ and φ of $h = h_0 e^{-\varphi}$ are not smooth, we use regularizations $\varphi_\delta \downarrow \varphi$, $\psi_\delta \downarrow \psi$ and complete Kähler metrics $\omega_\delta \downarrow \omega$ on $X \setminus Z_\delta$. (We omit details here).

(3) L^2 estimates for solution and error term

The existence theorem with twisting factors $\eta_{t,\varepsilon}$, $\lambda_{t,\varepsilon}$ yields

$$\begin{aligned} & \int_{X_c} (\eta_{t,\varepsilon} + \lambda_{t,\varepsilon})^{-1} |u_{t,\varepsilon}|_{\omega,h_0}^2 e^{-\varphi-\psi} dV_{X,\omega} + \frac{1}{\varepsilon} \int_{X_c} |w_{t,\varepsilon}|_{\omega,h_0}^2 e^{-\varphi-\psi} dV_{X,\omega} \\ & \leq 4 \int_{X_c \cap \{\psi < t+1\}} |\bar{\partial} \tilde{f}|_{\omega,h_0}^2 e^{-\varphi-\psi} dV_\omega \\ & \quad + 4 \int_{X_c \cap \{t < \psi < t+1\}} \langle (B_t + \varepsilon \text{Id})^{-1} \bar{\partial} \psi \wedge \tilde{f}, \bar{\partial} \psi \wedge \tilde{f} \rangle_{\omega,h_0} e^{-\varphi-\psi}. \end{aligned}$$

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Again, the main point is to choose ad hoc factors η_t , λ_t , and we want here the last integral to **converge to a finite limit**. One can check that this works with

$$\zeta(u) = \log \frac{\rho(-\infty)}{\rho(u)}, \quad \chi(u) = \frac{\int_u^A \rho(v) dv + \frac{1}{\alpha \rho(A)}}{\rho(u)}, \quad \beta = \frac{(\chi')^2}{\chi \zeta'' - \chi''},$$

$$\sigma_{t,\varepsilon}(u) = \max_{\varepsilon}(u, t), \quad \eta_{t,\varepsilon} = \chi(\sigma_{t,\varepsilon}(\psi)), \quad \lambda_{t,\varepsilon} = \beta(\sigma_{t,\varepsilon}(\psi)).$$

Extension from hypersurface (Stein case)

In the hypersurface case, one gets the following simpler statement.

Theorem

Let X be a Stein manifold of dimension n . Let φ and ψ be plurisubharmonic functions on X . Assume that w is a holomorphic function on X such that $\sup_X(\psi + 2 \log |w|) \leq 0$ and dw does not vanish identically on any branch of $w^{-1}(0)$.

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Then for any holomorphic $(n-1)$ -form f on Y_0 satisfying

$$\int_{Y_0} e^{-\varphi-\psi} i^{(n-1)^2} f \wedge \bar{f} < +\infty,$$

there exists a holomorphic n -form F on X satisfying $F|_{Y_0} = dw \wedge f$ and an optimal estimate

$$\int_X e^{-\varphi} i^{n^2} F \wedge \bar{F} \leq 2\pi \int_{Y_0} e^{-\varphi-\psi} i^{(n-1)^2} f \wedge \bar{f}.$$

The Suita conjecture

The Suita conjecture was posed originally on open Riemann surfaces in 1972. The motivation was to answer a question posed by Sario and Oikawa about the relation between the Bergman kernel B_Ω for holomorphic $(1, 0)$ forms on an open Riemann surface Ω which admits a Green function G_Ω .

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Recall that the logarithmic capacity $c_\beta(z)$ is locally defined by

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Approximation of currents, Zariski decomposition

Definition

On X compact Kähler, a **Kähler current** T is a closed $(1,1)$ -current T such that $T \geq \delta\omega$ for a smooth $(1,1)$ form $\omega > 0$ and $\delta \ll 1$.

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Easy observation

$\alpha \in \mathcal{E}^\circ$ (interior of \mathcal{E}) $\iff \alpha = \{T\}$, $T =$ a Kähler current.
We say that \mathcal{E}° is the cone of **big $(1,1)$ -classes**.

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Theorem on approximate Zariski decomposition (D, 1992)

Any Kähler current can be written $T = \lim T_m$ where $T_m \in \{T\}$ has **analytic singularities & logarithmic poles**, i.e. \exists **modification** $\mu_m : \tilde{X}_m \rightarrow X$ such that $\mu_m^* T_m = [E_m] + \beta_m$, where $E_m \geq 0$ is a \mathbb{Q} -divisor on \tilde{X}_m with coeff. in $\frac{1}{m}\mathbb{Z}$ and β_m is a Kähler form on \tilde{X}_m .

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Moreover (Boucksom), $\text{Vol}(\beta_m) = \int_{\tilde{X}_m} \beta_m^n \rightarrow \text{Vol}(T)$ as $m \rightarrow +\infty$.

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- Write locally on any coordinate ball $\Omega \subset X$

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The mean value inequality implies

$$|f(z)|^2 \leq \frac{1}{\pi^n r^{2n}/n!} \sup_{B(z,r)} e^{2m\varphi(z)} \Rightarrow \varphi_m(z) \leq \sup_{B(z,r)} \varphi + \frac{n}{m} \log \frac{C}{r}.$$

Use of the pointwise Ohsawa-Takegoshi theorem

- The Ohsawa-Takegoshi L^2 extension theorem (extension from a single isolated point) implies that for every $z_0 \in \Omega$, there exists $f \in \mathcal{O}(\Omega)$ such that $f(z_0) = c e^{m\varphi(z_0)}$ ($c > 0$ small), such that

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- By the above inequalities one easily concludes that the Lelong number at any point $z_0 \in \Omega$ satisfies

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This implies Siu's analyticity result for Lelong upper level sets $E_c(T)$.

- The case of a global current $T = \alpha + dd^c\varphi$ is obtained by using a covering of X by balls Ω_j , and gluing the local approximations $\varphi_{j,m}$ of φ into a global one φ_m by a partition of unity.