

Berryology using Wannier interpolation applied to optics and transport

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What is “Berryology”?

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Let's search the web...

What is “Berryology”?

Let’s search the web...

Berry Science, or Berriology

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The Mediterranean diet was originally found to be a healthy diet by comparing populations who followed the diet with those who didn't. The result? Mediterranean dieters enjoyed longer lifespans and less heart disease, cancer, strokes, diabetes, and dementia.

Over the last 15 years, researchers have been clarifying exactly how and why this might be the case. A study from Finland is a typical example.

The traditional Mediterranean diet provides an abundance of fresh fruit, including berries. Berries are a rich source of vitamin C and polyphenols, substances with the potential to affect metabolic and disease processes in our bodies.

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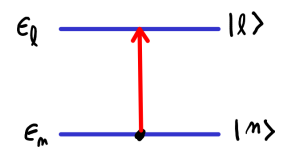


Click the pic to purchase at Amazon.com. E-book versions also available at Smashwords.com.

$$\text{Berryology} \begin{cases} \text{Connection } \mathbf{A}_{ln\mathbf{k}} = i\langle u_{l\mathbf{k}} | \nabla_{\mathbf{k}} u_{n\mathbf{k}} \rangle \rightarrow \text{optics} \\ \text{Curvature } \boldsymbol{\Omega}_{n\mathbf{k}} = \nabla_{\mathbf{k}} \times \mathbf{A}_{nn\mathbf{k}} \rightarrow \text{transport} \end{cases}$$

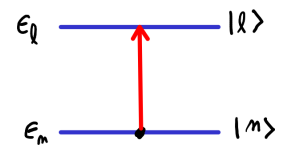
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$$\begin{aligned} \text{Oscillator strength: } f_{n \rightarrow l}^{(a)} &= \frac{2m\omega_{ln}}{\hbar} |\langle l | r_a | n \rangle|^2 \\ &= \frac{2m}{\hbar\omega_{ln}} |\langle l | v_a | n \rangle|^2 \end{aligned}$$

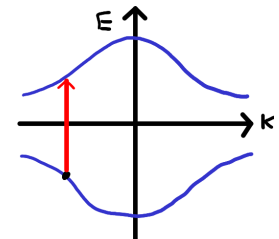


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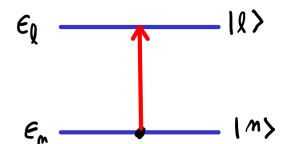


Crystal: $|n\rangle \rightarrow |\psi_{n\mathbf{k}}\rangle = e^{i\mathbf{k}\cdot\mathbf{r}} |u_{n\mathbf{k}}\rangle$

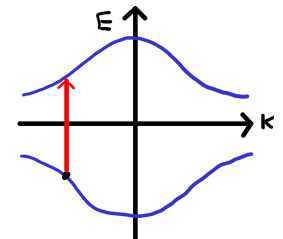


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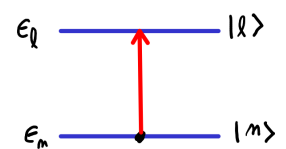
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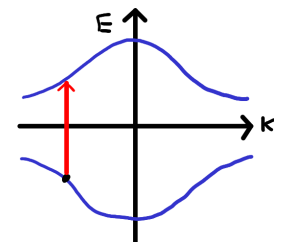
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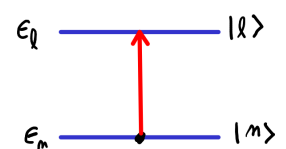
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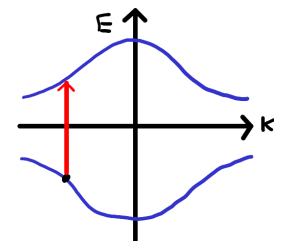
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Interband dipole:

off-diagonal $A_{ln\mathbf{k}}^a$

Dielectric function:

$$\epsilon''_{ab}(\omega) = \frac{\pi e^2}{\hbar} \int_{\mathbf{k}} \sum_{nl} f_{nl} \operatorname{Re} \left(A_{nl}^a A_{ln}^b \right) \delta(\omega - \omega_{ln})$$

$$f_{nl} = f(\epsilon_{n\mathbf{k}}) - f(\epsilon_{l\mathbf{k}})$$

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Shift (photo)current:

$$j_a = 2\sigma_{abc}(0; \omega, -\omega) E_b(\omega) E_c(-\omega)$$

$$\sigma_{abc}(0; \omega, -\omega) = \frac{\pi e^3}{2\hbar^2} \int_{\mathbf{k}} \sum_{nl} f_{nl} \text{Im} \left[A_{ln}^b A_{nl}^{c;a} + (b \leftrightarrow c) \right] \delta(\omega - \omega_{ln})$$

$$A_{nl}^{c;a} = \partial_a A_{nl}^c - i(A_{nn}^a - A_{ll}^a) A_{nl}^c \quad (\text{"covariant } \mathbf{k} \text{ derivative"})$$

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Semiclassical transport:

$$\hbar \dot{\mathbf{r}} = \nabla_{\mathbf{k}} \epsilon - \hbar \dot{\mathbf{k}} \times \boldsymbol{\Omega}$$

$$\hbar \dot{\mathbf{k}} = -e\mathbf{E} - \dot{\mathbf{r}} \times \mathbf{B}$$

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Current:

$$j_a = -e \int_{\mathbf{k}} \dot{r}_a f(\epsilon)$$

Boltzmann:

$$f(\epsilon) = f_0(\epsilon) + \tau e \mathbf{E} \cdot \mathbf{v} f'_0(\epsilon), \quad \text{where } \mathbf{v} = \text{band vel.}$$

$$\begin{aligned} j_a &= -e \int_{\mathbf{k}} [v_a + (e/\hbar)\Omega_{ab}E_b + \dots] [f_0 + \tau e v_c E_c f'_0 + \dots] \\ &= \sigma_{ab}E_b + \sigma_{abc}E_b E_c + \dots \end{aligned}$$

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$$\sigma_{ab} = \underbrace{-e^2 \tau \int_{\mathbf{k}} v_a v_b f'_0}_{\sigma_{ab} = \sigma_{ba}} - \underbrace{\frac{e^2}{\hbar} \int_{\mathbf{k}} \Omega_{ab} f_0}_{\sigma_{ab} = -\sigma_{ba}}$$

Linear Ohmic + Hall

$$\begin{aligned}
 j_a &= -e \int_{\mathbf{k}} [v_a + (e/\hbar)\Omega_{ab}E_b + \dots] [f_0 + \tau e v_c E_c f'_0 + \dots] \\
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$$\begin{aligned}
 \sigma_{abc} &= -\frac{e^3 \tau}{\hbar} \int_{\mathbf{k}} \Omega_{ab} v_c f'_0 \\
 &= \frac{e^3 \tau}{\hbar^2} \int_{\mathbf{k}} (\partial_c \Omega_{ab}) f_0 = -\sigma_{bac} \quad \text{Nonlinear Hall}
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$$j_a = -e \int_{\mathbf{k}} [v_a + (e/\hbar)\Omega_{ab}E_b + \dots] [f_0 + \tau e v_c E_c f'_0 + \dots]$$

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Linear Ohmic + Hall

Anomalous Hall effects (AHE)

$$\sigma_{abc} = -\frac{e^3 \tau}{\hbar} \int_{\mathbf{k}} \Omega_{ab} v_c f'_0$$

$$= \frac{e^3 \tau}{\hbar^2} \int_{\mathbf{k}} (\partial_c \Omega_{ab}) f_0 = -\sigma_{bac}$$

Nonlinear Hall

Symmetry constraints on AHE:

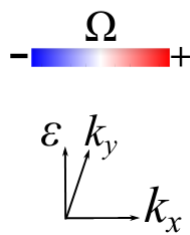
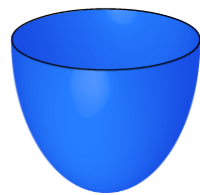
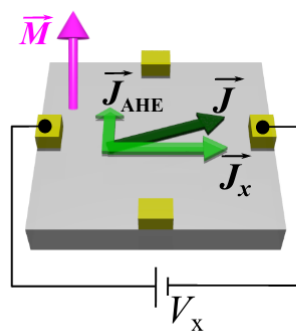
Time reversal \mathcal{T}	Inversion \mathcal{I}	$\mathcal{T} * \mathcal{I}$
$\epsilon(\mathbf{k}) = \epsilon(-\mathbf{k})$	$\epsilon(\mathbf{k}) = \epsilon(-\mathbf{k})$	
$\Omega(\mathbf{k}) = -\Omega(-\mathbf{k})$	$\Omega(\mathbf{k}) = \Omega(-\mathbf{k})$	$\Omega(\mathbf{k}) = 0$
$\int_{\mathbf{k}} \Omega f_0 = 0$	$\int_{\mathbf{k}} (\nabla_{\mathbf{k}} \Omega) f_0 = 0$	

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Time reversal \mathcal{T}	Inversion \mathcal{I}	$\mathcal{T} * \mathcal{I}$
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Linear } AHE requires broken { time reversal
 Nonlinear } { inversion

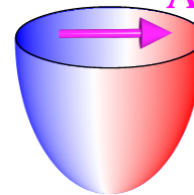
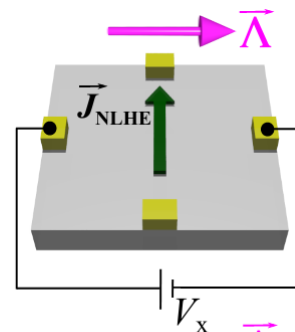
Linear AHE



$$\int_{\mathbf{k}} \Omega f_0 \neq 0$$

broken time reversal

Nonlinear AHE



$$\vec{\Lambda} = \int_{\mathbf{k}} (\nabla_{\mathbf{k}} \Omega) f_0 \neq 0$$

broken inversion

Nonlinear AHE in bilayer WTe_2 : *Ma et al, Nature* **565**, 337 (2019)

Summary so far:

- ▶ Optics: need $\epsilon_{n\mathbf{k}}$, $\mathbf{A}_{l n\mathbf{k}}$
- ▶ Transport: need $\epsilon_{n\mathbf{k}}$, $\nabla_{\mathbf{k}}\epsilon_{n\mathbf{k}}$, $\Omega_{n\mathbf{k}}$
- ▶ Also $\nabla_{\mathbf{k}}\Omega_{n\mathbf{k}}$, etc. at higher orders in \mathbf{E}

Such \mathbf{k} -space quantities are conveniently evaluated using
Wannier interpolation

Wannier interpolation:

Bloch basis functions: $|\psi_{j\mathbf{k}}^{(w)}\rangle = \sum_{\mathbf{R}} e^{i\mathbf{k}\cdot(\mathbf{R}+\boldsymbol{\tau}_j)} |\mathbf{R}j\rangle$, $\boldsymbol{\tau}_j = \langle \mathbf{0}j | \mathbf{r} | \mathbf{0}j \rangle$

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$$H_{ij}^{(w)}(\mathbf{k}) = \frac{1}{N_{\text{cells}}} \langle \psi_{i\mathbf{k}}^{(w)} | H | \psi_{j\mathbf{k}}^{(w)} \rangle = \sum_{\mathbf{R}} e^{i\mathbf{k}\cdot(\mathbf{R}+\boldsymbol{\tau}_j-\boldsymbol{\tau}_i)} \langle \mathbf{0}i | H | \mathbf{R}j \rangle$$

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Diagonalize: $[U^\dagger(\mathbf{k})H^{(w)}(\mathbf{k})U(\mathbf{k})]_{ln} = \epsilon_{n\mathbf{k}}\delta_{ln}$

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Diagonalize: $[U^\dagger(\mathbf{k})H^{(w)}(\mathbf{k})U(\mathbf{k})]_{ln} = \epsilon_{n\mathbf{k}}\delta_{ln}$

Orthogonal tight-binding (TB), with WFs as basis orbitals

$\langle 0i | H | 0i \rangle =$ on-site energies ϵ_i $\langle 0i | H | \mathbf{R}j \rangle =$ hoppings $t_{ij}(\mathbf{R})$

Perform *ab-initio* calculation
on a *coarse* k -mesh

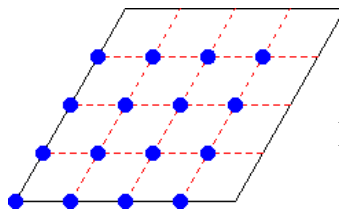


Construct WFs, and set up the
 $\langle 0i|H|Rj\rangle$ matrix elements

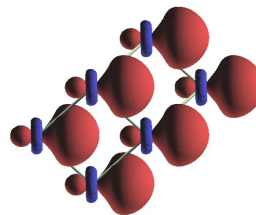
map *ab-initio* results onto an
"exact" TB model



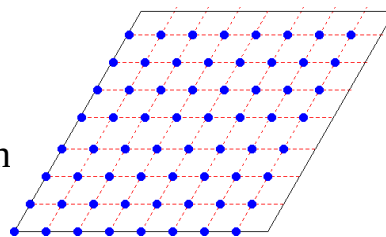
Compute $H^{(w)}(k)$ on a *fine* mesh
by Wannier interpolation



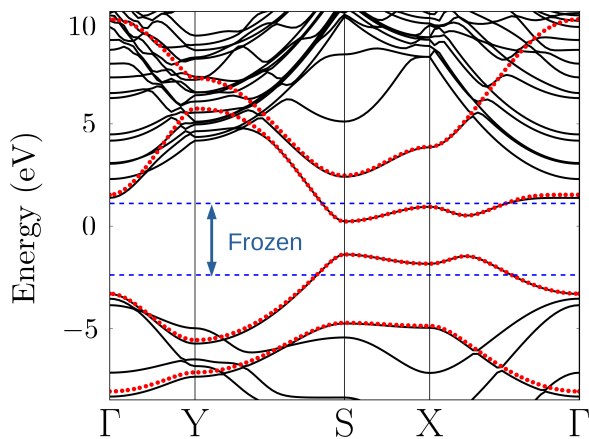
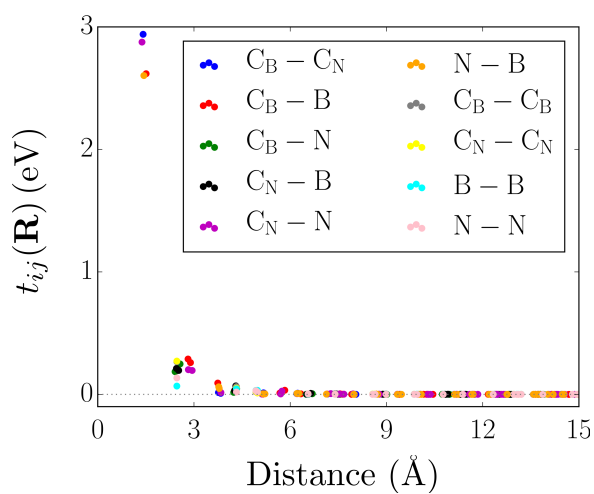
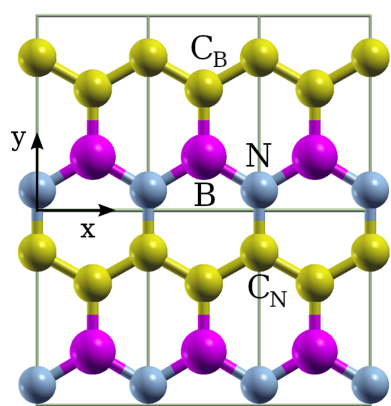
To obtain
Bloch functions



To be used as
localized basis



Monolayer BC₂N: Ibañez-Azpiroz *et al*, SciPost Phys. **12**, 070 (2022)



One p_z -type WF on each atom

$$U = \begin{bmatrix} |1\rangle & |n\rangle & |N\rangle \\ \vdots & \vdots & \vdots \end{bmatrix}$$

Columns of $U =$ TB eigenvectors

$$|\psi_n\rangle = \sum_j |\psi_j^{(w)}\rangle U_{jn} \text{ Bloch eigenstates}$$

$$[U^\dagger H^{(w)} U]_{ln} = \epsilon_n \delta_{ln}$$

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$$|\psi_n\rangle = \sum_j |\psi_j^{(w)}\rangle U_{jn} \text{ Bloch eigenstates}$$

$$\langle\langle l | H^{(w)} | n \rangle\rangle = \epsilon_n \delta_{ln}$$

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Columns of $U =$ TB eigenvectors

$$|\psi_n\rangle = \sum_j |\psi_j^{(w)}\rangle U_{jn} \text{ Bloch eigenstates}$$

$$\nabla_{\mathbf{k}} \langle\langle l | H^{(w)} | n \rangle\rangle = \nabla_{\mathbf{k}} \epsilon_n \delta_{ln}$$

► $l \neq n$:

$$\mathbf{D}_{ln} = \underbrace{\left[U^\dagger \nabla_{\mathbf{k}} U \right]_{ln}}_{\langle\langle l | \nabla_{\mathbf{k}} n \rangle\rangle} = \frac{\left[U^\dagger \left(\nabla_{\mathbf{k}} H^{(w)} \right) U \right]_{ln}}{\epsilon_n - \epsilon_l}$$

$$\nabla_{\mathbf{k}} H_{ij}^{(w)} = \sum_{\mathbf{R}} i(\mathbf{R} + \boldsymbol{\tau}_j - \boldsymbol{\tau}_i) e^{i\mathbf{k} \cdot (\mathbf{R} + \boldsymbol{\tau}_j - \boldsymbol{\tau}_i)} \langle \mathbf{0}i | H | \mathbf{R}j \rangle$$

Cell-periodic Bloch eigenstates:

$$|u_n\rangle = \sum_j |u_j^{(w)}\rangle U_{jn}$$

$$|u_j^{(w)}\rangle = \sum_{\mathbf{R}} e^{-i\mathbf{k}\cdot(\mathbf{r}-\mathbf{R}-\boldsymbol{\tau}_j)} |\mathbf{R}j\rangle$$

⇒ Wavefunction-derived quantities:

▶ $\mathbf{A}_{ln} = i\langle u_l | \nabla_{\mathbf{k}} u_n \rangle$

▶ $\boldsymbol{\Omega}_n = \nabla_{\mathbf{k}} \times \mathbf{A}_{nn}$

▶ ...

Berry connection:

$$\mathbf{A}_{ln} = i\langle u_l | \nabla_{\mathbf{k}} u_n \rangle, \quad | \nabla_{\mathbf{k}} u_n \rangle = \sum_j \nabla_{\mathbf{k}} \left[| u_j^{(w)} \rangle U_{jn} \right]$$

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$$\nabla_{\mathbf{k}} U = U \mathbf{D} \Rightarrow |\nabla_{\mathbf{k}} u_n \rangle = \sum_l |u_l \rangle \mathbf{D}_{ln} + \sum_j |\nabla_{\mathbf{k}} u_j^{(w)} \rangle U_{jn}$$

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$$\boxed{\mathbf{A} = i\mathbf{D} + U^\dagger \mathbf{A}^{(w)} U}$$

$$\mathbf{A}_{ij}^{(w)} = i\langle u_i^{(w)} | \nabla_{\mathbf{k}} u_j^{(w)} \rangle = \sum_{\mathbf{R}} e^{i\mathbf{k} \cdot (\mathbf{R} + \tau_j - \tau_i)} \langle \mathbf{0}i | \mathbf{r} - \tau_j | \mathbf{R}j \rangle$$

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$$\langle \mathbf{0}i | \mathbf{r} | \mathbf{0}i \rangle = \text{WF centers } \tau_i$$

$$\langle \mathbf{0}i | \mathbf{r} - \tau_j | \mathbf{R}j \rangle = \text{"r hoppings" } \mathbf{d}_{ij}(\mathbf{R})$$

$$\mathbf{A} = i\mathbf{D} + U^\dagger \mathbf{A}^{(w)} U$$

If we discard \mathbf{r} hoppings:

$$\langle 0i | \mathbf{r} | \mathbf{R}j \rangle = \tau_i \delta_{ij} \delta_{\mathbf{R}0} + \cancel{\mathbf{d}_{ij}(\mathbf{R})} \overset{0}{\rightarrow}$$

$$\mathbf{A} = i\mathbf{D} + U^\dagger \cancel{\mathbf{A}^{(w)}} \overset{0}{\rightarrow} U$$

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$$\mathbf{A} = i\mathbf{D} + U^\dagger \cancel{\mathbf{A}^{(w)}} \overset{0}{\rightarrow} U$$

TB approximation

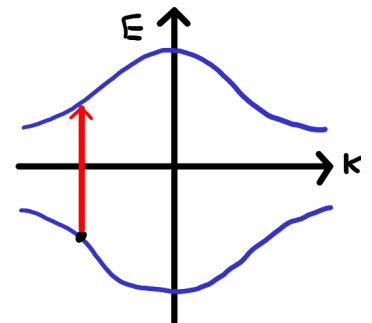
$$\mathbf{A}_{ln} \approx i \langle \langle l | | \nabla_{\mathbf{k}} n \rangle \rangle$$

Input: ϵ_i , $t_{ij}(\mathbf{R})$, τ_i

(e.g., PythTB)

Summary of Wannier interpolation:

Fourier transform and diagonalize small $N \times N$ matrices (N WFs/cell) \Rightarrow **Fast!**



- ▶ **Energy bands:** need $\langle 0i|H|\mathbf{R}j\rangle$
- ▶ **Optical matrix elements:** need $\langle 0i|H|\mathbf{R}j\rangle$ and $\langle 0i|\mathbf{r}|\mathbf{R}j\rangle$

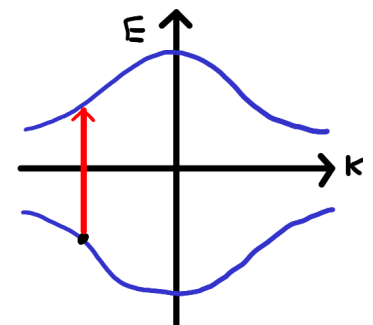
$$\langle 0i|H|\mathbf{R}j\rangle = \epsilon_i \delta_{ij} \delta_{\mathbf{R}0} + t_{ij}(\mathbf{R})$$

$$\langle 0i|\mathbf{r}|\mathbf{R}j\rangle = \tau_i \delta_{ij} \delta_{\mathbf{R}0} + \mathbf{d}_{ij}(\mathbf{R})$$

On-site Hoppings

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Fourier transform and diagonalize small $N \times N$ matrices (N WFs/cell) \Rightarrow **Fast!**



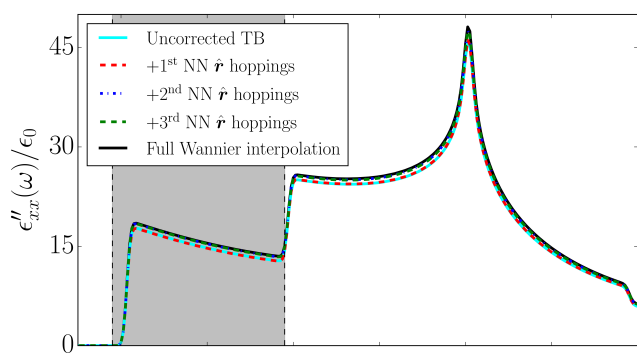
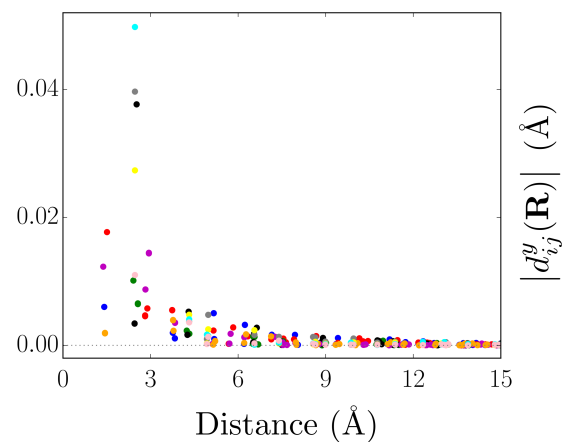
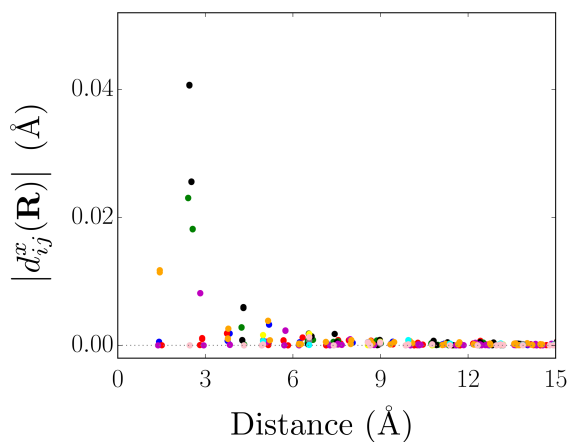
- ▶ **Energy bands:** need $\langle 0i|H|\mathbf{R}j\rangle$
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$$\langle 0i|H|\mathbf{R}j\rangle = \epsilon_i \delta_{ij} \delta_{\mathbf{R}0} + t_{ij}(\mathbf{R})$$

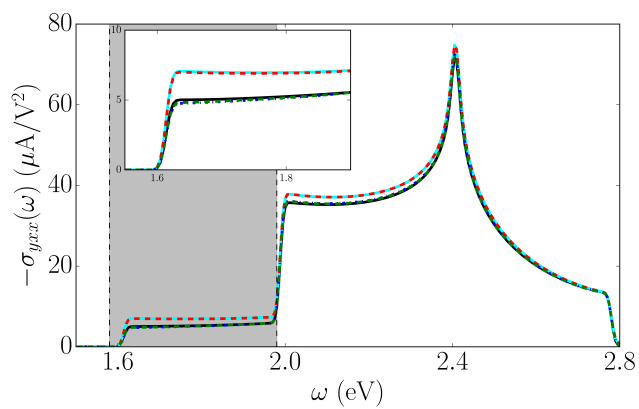
$$\langle 0i|\mathbf{r}|\mathbf{R}j\rangle = \tau_i \delta_{ij} \delta_{\mathbf{R}0} + \cancel{d_{ij}(\mathbf{R})}^0 \text{ often neglected}$$

On-site Hoppings

BC₂N



Dielectric fct.



Shift photoconductivity

Ibañez-Azpiroz *et al*, SciPost Phys. **12**, 070 (2022)

Berry curvature:

k-derivatives formula:

$$\Omega_n = \nabla_{\mathbf{k}} \times \mathbf{A}_{nn} = -\text{Im} \langle \nabla_{\mathbf{k}} u_n | \times | \nabla_{\mathbf{k}} u_n \rangle$$

Kubo (sum-over-states) formula:

$$\Omega_n = -\text{Im} \sum_{l \neq n} \frac{\langle u_n | \nabla_{\mathbf{k}} H | u_l \rangle \times \langle u_l | \nabla_{\mathbf{k}} H | u_n \rangle}{(\epsilon_n - \epsilon_l)^2}$$

$\Omega_n \propto (\epsilon_n - \epsilon_l)^{-2}$, reacts strongly to (avoided) crossings

Berry curvature by Wannier interpolation:

$$\Omega_n = -\text{Im} \langle \nabla_{\mathbf{k}} u_n | \times | \nabla_{\mathbf{k}} u_n \rangle$$


$$| \nabla_{\mathbf{k}} u_n \rangle = \sum_l | u_l \rangle \mathbf{D}_{ln} + \sum_j | \nabla_{\mathbf{k}} u_j^{(w)} \rangle U_{jn}$$

$$\Omega_n = -\text{Im} \left[\mathbf{D}^\dagger \times \mathbf{D} \right]_{nn} + (\mathbf{r}\text{-hopping terms})$$

Berry curvature by Wannier interpolation:

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$$\Omega_n = -\text{Im} \left[\mathbf{D}^\dagger \times \mathbf{D} \right]_{nn} + \text{(r-hopping terms)}$$


If we **discard r hoppings**:

TB approximation

$$\Omega_n \approx -\text{Im} \langle \nabla_{\mathbf{k}} n | \times | \nabla_{\mathbf{k}} n \rangle$$

Input: $\epsilon_i, t_{ij}(\mathbf{R}), \tau_i$

Berry curvature:

$$\Omega_n \approx -\text{Im} [\mathbf{D}^\dagger \times \mathbf{D}]_{nn} \quad \boxed{\text{TB Kubo formula}}$$

$$\mathbf{D}_{ln} = \begin{cases} \frac{[U^\dagger (\nabla_{\mathbf{k}} H^{(w)}) U]_{ln}}{\epsilon_n - \epsilon_l}, & \text{if } l \neq n \\ 0, & \text{if } l = n \end{cases} \quad (\text{small matrix})$$

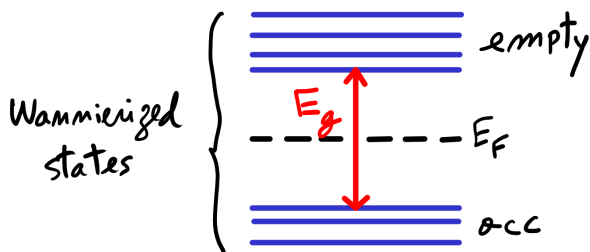
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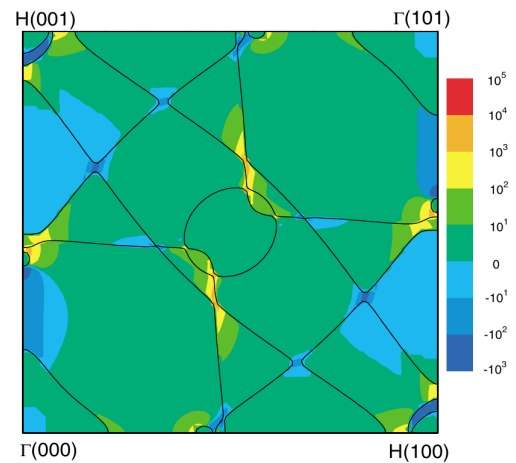
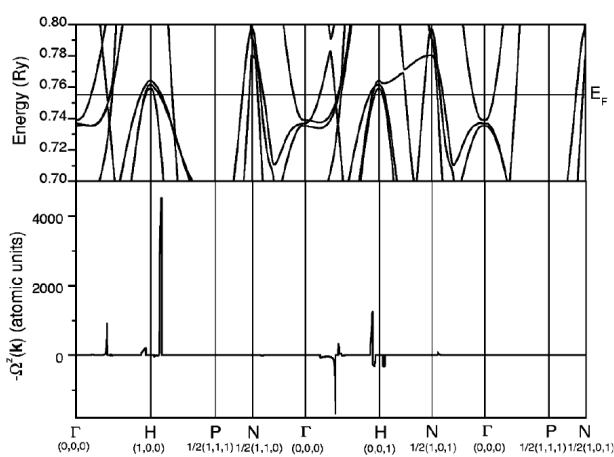


$$\Omega_{\text{occ}} = \sum_n^{\text{occ}} \Omega_n \propto E_g^{-2}$$

- ▶ Reacts strongly to small gaps across E_F
- ▶ Insensitive to crossings among occ. states

Anomalous Hall conductivity (AHC) of bcc Fe:

$$\mathbf{M} \parallel \hat{\mathbf{z}} \quad \sigma_{xy} = -\frac{e^2}{\hbar} \int_{\mathbf{k}} \Omega_{\text{occ}}^z(\mathbf{k}) \quad \Omega_{\text{occ}}^z = \sum_n f_0(\epsilon_n) \Omega_n^z$$



Evaluating σ_{xy} requires ultradense, nonuniform BZ sampling

Yao *et al*, Phys. Rev. Lett. **92**, 037204 (2004)

AHC of ferromagnetic metals:

- ▶ First evaluated in 2003–2004 from the Kubo formula using “brute-force” *ab initio* methods. **Challenging/expensive!**
- ▶ Stimulated development of Wannier interpolation scheme for transport and optics (2006–2007). **k points become cheap!**
 - ▶ Released in Wannier90 as part of the postw90 module

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 - ▶ Released in Wannier90 as part of the postw90 module
- ▶ Further Berry-type quantities:
 - ▶ Nonlinear AHC
 - ▶ Spin Hall conductivity
 - ▶ Shift current
 - ▶ Orbital magnetization

Recent developments implemented in Wannier Berri:

- ▶ Mixed fast/slow Fourier transform
- ▶ Iterative adaptive refinement of \mathbf{k} mesh
- ▶ Efficient scanning of E_F
- ▶ Tetrahedron method for BZ integrals
- ▶ Symmetrization of matrix elements
- ▶ Interpolation of $\nabla_{\mathbf{k}}\Omega$, $\nabla_{\mathbf{k}}\mathbf{m}_{\text{orb}}$, etc.

Tsirkin, npj Comput. Mater. **7**, 33 (2021)