Wannier 2022 Summer School

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Superconductivity modeling with EPW

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Binghamton University

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Chemistry 😔	The Nobel Prize in	Chemistry 2019	M. Stanley Whittingham -	Facts 🕑		
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Share this		M. S The Bor Affi	Stanley Whittingham Nobel Prize in Chemistr n: 22 December 1941, Un liation at the time of the	ry 2019 iited Kingdom award: Bingha 4 New York N	mton Universit	

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Superconductivity modeling with EPW: Outline

- Computational flow of electron-phonon matrix elements in EPW
- BCS theory of superconductivity
- Eliashberg theory
- Application to layered materials and hydrides













Giustino, Cohen, and Louie, Phys. Rev. B 76, 165108 (2007); Giustino, Rev. Mod. Phys. 89, 1 (2017)





. .

IF (wannierize) CALL wann_run() CALL elphon_shuffle_wrap() >> CALL elphon_shuffle() > CALL readdvscf > CALL elphel2_shuffle >> CALL ephwann_shuffle Read input keywords, read wfns and misc. data from pw.x

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- Generate inputs to W90 and perform wannierization
- Read dvscf files from ph.x
- Calculate e-ph vertex on coarse grids
- Transform e-ph vertex from Bloch to Wannier and Wannier to Bloch

BCS theory



Bose-Einstein condensate of (electron) Cooper pairs in a lattice

Bardeen, Cooper, and Schrieffer, Phys. Rev. 108, 1175 (1957)

BCS theory



exchange of virtual phonons produces an attraction for electrons close to Fermi level





- describes in detail the phenomenology of superconductivity
- is a descriptive theory, material-independent $ightarrow 2\Delta_0 = 3.53 k_{
 m B} T_{
 m c}$

Bardeen, Cooper, and Schrieffer, Phys. Rev. 108, 1175 (1957)

Eliashberg theory of superconductivity

A generalized 2 x 2 matrix Green's functions $\hat{G}_{n\mathbf{k}}(\tau)$ is used to describe the propagation of electron quasiparticles and of superconducting Cooper pairs on an equal footing.

$$\begin{split} \text{imaginary time} & \int & \text{Wick's time-ordering operator} \\ \hat{G}_{n\mathbf{k}}(\tau) &= -\langle T_{\tau} \Psi_{n\mathbf{k}}(\tau) \Psi_{n\mathbf{k}}^{\dagger}(0) \rangle \\ & \uparrow \\ \text{two-component} \\ \text{field operator} \quad \Psi_{n\mathbf{k}} &= \begin{bmatrix} \hat{c}_{n\mathbf{k}\uparrow} \\ \hat{c}_{n-\mathbf{k}\downarrow}^{\dagger} \end{bmatrix} \\ \hat{G}_{n\mathbf{k}}(\tau) &= -\begin{bmatrix} \langle T_{\tau} \hat{c}_{n\mathbf{k}\uparrow}(\tau) \hat{c}_{n\mathbf{k}\uparrow}^{\dagger}(0) \rangle & \langle T_{\tau} \hat{c}_{n\mathbf{k}\uparrow}(\tau) \hat{c}_{n-\mathbf{k}\downarrow}(0) \rangle \\ \langle T_{\tau} \hat{c}_{n-\mathbf{k}\downarrow}^{\dagger}(\tau) \hat{c}_{n\mathbf{k}\uparrow}^{\dagger}(0) \rangle & \langle T_{\tau} \hat{c}_{n-\mathbf{k}\downarrow}^{\dagger}(\tau) \hat{c}_{n-\mathbf{k}\downarrow}(0) \rangle \end{bmatrix} \end{split}$$

Allen and Mitrovíc, Solid State Phys. 37, 1 (1982); Margine and Giustino, Phys. Rev. B 87, 024505 (2013)

Eliashberg theory of superconductivity

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$$\hat{G}_{n\mathbf{k}}(\tau) = - \begin{bmatrix} \langle T_{\tau} \hat{c}_{n\mathbf{k}\uparrow}(\tau) \hat{c}_{n\mathbf{k}\uparrow}^{\dagger}(0) \rangle & \langle T_{\tau} \hat{c}_{n\mathbf{k}\uparrow}(\tau) \hat{c}_{n-\mathbf{k}\downarrow}(0) \rangle \\ \langle T_{\tau} \hat{c}_{n-\mathbf{k}\downarrow}^{\dagger}(\tau) \hat{c}_{n\mathbf{k}\uparrow}^{\dagger}(0) \rangle & \langle T_{\tau} \hat{c}_{n-\mathbf{k}\downarrow}^{\dagger}(\tau) \hat{c}_{n-\mathbf{k}\downarrow}(0) \rangle \end{bmatrix}$$

- Diagonal elements are the normal state Green's functions and describe single-particle electronic excitations.
- Off-diagonal elements are the anomalous Green's functions and describe Cooper pairs amplitudes.

 $\hat{G}_{n\mathbf{k}}(\tau)$ is periodic in τ and can be expanded in a Fourier series:

$$\hat{G}_{n\mathbf{k}}(\tau) = T \sum_{i\omega_j} e^{-i\omega_j \tau} \hat{G}_{n\mathbf{k}}(i\omega_j) \longrightarrow \hat{G}_{n\mathbf{k}}(i\omega_j) = \begin{bmatrix} G_{n\mathbf{k}}(i\omega_j) & F_{n\mathbf{k}}(i\omega_j) \\ F_{n\mathbf{k}}^*(i\omega_j) & -G_{-n\mathbf{k}}(-i\omega_j) \end{bmatrix}$$

Matsubara frequencies

Allen and Mitrovíc, Solid State Phys. 37, 1 (1982); Margine and Giustino, Phys. Rev. B 87, 024505 (2013)



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Equating the scalar coefficients of the Pauli matrices leads to the anisotropic Eliashberg equations.

Allen and Mitrovíc, Solid State Phys. 37, 1 (1982); Margine and Giustino, Phys. Rev. B 87, 024505 (2013)

Anisotropic Eliashberg equations on imaginary axis

mass renormalization function

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{T}{\omega_j} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\mathrm{BZ}}} \frac{\omega_{j'} Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j-\omega_{j'})}{N_{\mathrm{F}}}$$

energy shift
$$\chi_{n\mathbf{k}}(i\omega_j) = -T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\mathrm{BZ}}} \frac{(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\mathrm{F}}) + \chi_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'})}{N_{\mathrm{F}}}$$

$$Z_{n\mathbf{k}}(i\omega_{j})\Delta_{n\mathbf{k}}(i\omega_{j}) = T\sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\mathrm{BZ}}} \frac{Z_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})\Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})} \left[\frac{\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_{j}-\omega_{j'})}{N_{\mathrm{F}}} - V_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(i\omega_{j}-i\omega_{j'})\right]$$
superconducting gap function

electron number
$$n_e = 1 - 2T \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\rm BZ}} \frac{(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\rm F}) + \chi_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\Theta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}$$

eliashberg = .true. laniso = .true. limag = .true. fbw=.true. muchem=.true. 12

Margine and Giustino, PRB 87, 024505 (2013)

Eliashberg equations on imaginary axis

$$Z_{n\mathbf{k}}(i\omega_{j}) = 1 + \frac{\pi T}{\omega_{j}N_{\rm F}} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\rm BZ}} \frac{\omega_{j'}}{\sqrt{\omega_{j'}^{2} + \Delta_{m\mathbf{k}+\mathbf{q}}^{2}(i\omega_{j'})}} \times \lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_{j}-\omega_{j'})\delta(\epsilon_{m\mathbf{k}+\mathbf{q}}-\epsilon_{\rm F})$$
mass renormalization function
$$Z_{n\mathbf{k}}(i\omega_{j})\Delta_{n\mathbf{k}}(i\omega_{j}) = \frac{\pi T}{N_{\rm F}} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\rm BZ}} \frac{\Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\sqrt{\omega_{j'}^{2} + \Delta_{m\mathbf{k}+\mathbf{q}}^{2}(i\omega_{j'})}} \times \left[\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_{j}-\omega_{j'}) - \mu_{\rm c}^{*}\right] \delta(\epsilon_{m\mathbf{k}+\mathbf{q}}-\epsilon_{\rm F})$$
superconducting gap function
$$\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_{j}) = N_{\rm F} \sum \int_{-\infty}^{\infty} d\omega \frac{2\omega}{2\omega_{j'}} \left[g_{mn\nu}(\mathbf{k},\mathbf{q})\right]^{2} \delta(\omega-\omega_{\mathbf{q}\nu})$$

 $\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_{j}) = N_{\mathrm{F}} \sum_{\nu} \int_{0}^{\omega_{\nu}} d\omega \frac{1}{\omega_{j}^{2} + \omega^{2}} \frac{|g_{mn\nu}(\mathbf{k},\mathbf{q})|^{2}}{\delta(\omega - \omega_{\mathbf{q}\nu})}$ anisotropic
e-ph coupling strength

Poncé, Margine, Verdi, and Giustino, Comput. Phys. Commun. 209, 116 (2016)

$$\mu_{c}^{*} = \frac{\mu_{c}}{1 + \mu_{c} \log(\omega_{el}/\omega_{ph})}$$

$$\mu_{c} = N_{F} \langle \langle V_{nk,mk+q} \rangle \rangle_{FS}$$
Screened Coulomb interaction
$$\mu_{c} = N_{F} \langle \langle V_{nk,mk+q} \rangle \rangle_{FS}$$
Screened Coulomb interaction
$$Morel and Anderson, Phys. Rev. 125, 1263 (1962)$$
Schlipf, Lambert, Zibouche and Giustino, Comput. Phys. Commun. 247, 106856 (2020)

Eliashberg equations on imaginary axis

$$Z_{n\mathbf{k}}(i\omega_j) = 1 + \frac{\pi T}{\omega_j N_{\rm F}} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\rm BZ}} \frac{\omega_{j'}}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} \times \lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\rm F})$$

$$Z_{n\mathbf{k}}(i\omega_j)\Delta_{n\mathbf{k}}(i\omega_j) = \frac{\pi T}{N_{\rm F}} \sum_{mj'} \int \frac{d\mathbf{q}}{\Omega_{\rm BZ}} \frac{\Delta_{m\mathbf{k}+\mathbf{q}}(i\omega_{j'})}{\sqrt{\omega_{j'}^2 + \Delta_{m\mathbf{k}+\mathbf{q}}^2(i\omega_{j'})}} \times \left[\lambda_{n\mathbf{k},m\mathbf{k}+\mathbf{q}}(\omega_j - \omega_{j'}) - \mu_{\rm c}^*\right] \delta(\epsilon_{m\mathbf{k}+\mathbf{q}} - \epsilon_{\rm F})$$

- The coupled nonlinear equations need to be solved self-consistently at each temperature T
- The equations must be evaluated on dense electron k- and phonon q-meshes to properly describe anisotropic effects

muc = 0.1

temps = 10 20

degaussw = 0.1

- The sum over Matsubara frequencies must be truncated (a typical cutoff of the order of 1 eV)
- The solutions are only meaningful for *nk* states at or near the Fermi surface

eliashberg = .true.	
laniso = .true.	
limag = .true.	

Eliashberg equations on real axis

- Eliashberg equations on the imaginary frequency axis are computationally efficient and they are adequate for calculating the critical temperature and the superconducting gap.
- To extract information about the spectral properties, the Eliashberg equations need to be solved on the real energy axis.
- Solutions on the real energy axis can be obtained by an analytic continuation using Padé approximants (lpade = .true., very light computationally) or an iterative procedure (lacon = .true., very heavy computationally).



Example 1: Superconductivity in MgB₂



Kortus, Mazin, Belashchenko, Antropov, and L. L. Boyer, Phys. Rev. Lett. 86, 4656 (2001)



Poncé, Margine, Verdi, and Giustino, Comput. Phys. Commun. 209, 116 (2016)



anisotropic superconducting gap



Margine and Giustino, Phys. Rev. B 87, 024505 (2013)

superconducting gap on FS

Example 2: Superconductivity in LaBH₈



Key points

Anisotropic superconducting properties can now be obtained with the implemented Eliashberg theory.

Accurate solution requires fine sampling of the electron-phonon matrix elements across the Brillouin zone.

References

- 1. F. Giustino, M. Cohen and S. G. Louie, Phys. Rev. B 76, 165108 (2007)
- 2. E. R. Margine and F. Giustino, Phys. Rev. B 87, 024505 (2013)
- 3. S. Poncé, C. Verdi, E. R. Margine and F. Giustino, Comput. Phys. Commun. 209, 116 (2016)
- 4. F. Giustino, Rev. Mod. Phys. 89, 1 (2017)





NSF OAC-2103991 NSF DMR-2035518

Superconductivity modeling with EPW: Summary

Key points

Anisotropic superconducting properties can now be obtained with the implemented Eliashberg theory. Accurate solution requires fine sampling of the electron-phonon matrix elements across the Brillouin zone.



Postdoctoral position

This position is part of a collaborative effort supported by the NSF Cyberinfrastructure for Sustained Scientific Innovation (CSSI) program. The project aims to develop an interoperable software ecosystem for many-body electronic structure calculations at finite temperature, with a focus on the EPW, BerkeleyGW, and SternheimerGW codes. The four-year project is a collaboration with Prof. Giustino at the University of Texas at Austin, Prof. Louie at the University of California at Berkeley, and Dr. Stanzione at the Texas Advanced Computing Center (TACC).





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