

# **Evolution of Ideas Leading to Dynamical Seasonal Prediction**

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Center of Ocean-Land-Atmosphere  
studies



# History of Dynamical Seasonal Prediction

- **Numerical Weather Prediction: 1904 – Present**
- **Dynamical Seasonal Prediction (Pre-ENSO): 1975 – 1985**
- **ENSO Prediction: 1986 - present**

# History of Dynamical Seasonal Prediction

## Numerical Weather Prediction

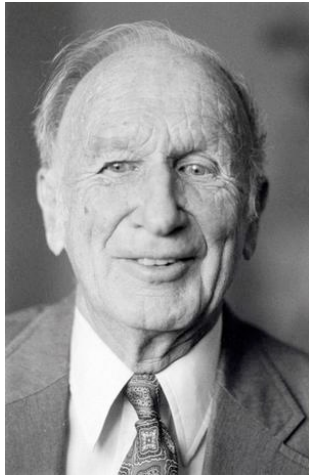
- **V. Bjerknes (1904); Richardson (1922); Rossby (1939); Charney, Fjortoft, Von Neumann (1949):**  
**Weather forecasting by solving system of equations representing “laws according to one state of atmosphere develops from another”**
- **Worldwide operational NWP using primitive equations**
- **Lorenz: Chaos, Butterfly Effect, Limits to Weather Prediction**

## First Successful NWP (Charney, Fjortoft, Von Neumann, Tellus, 1949)

By solving nondivergent barotropic vorticity equation on ENIAC computer

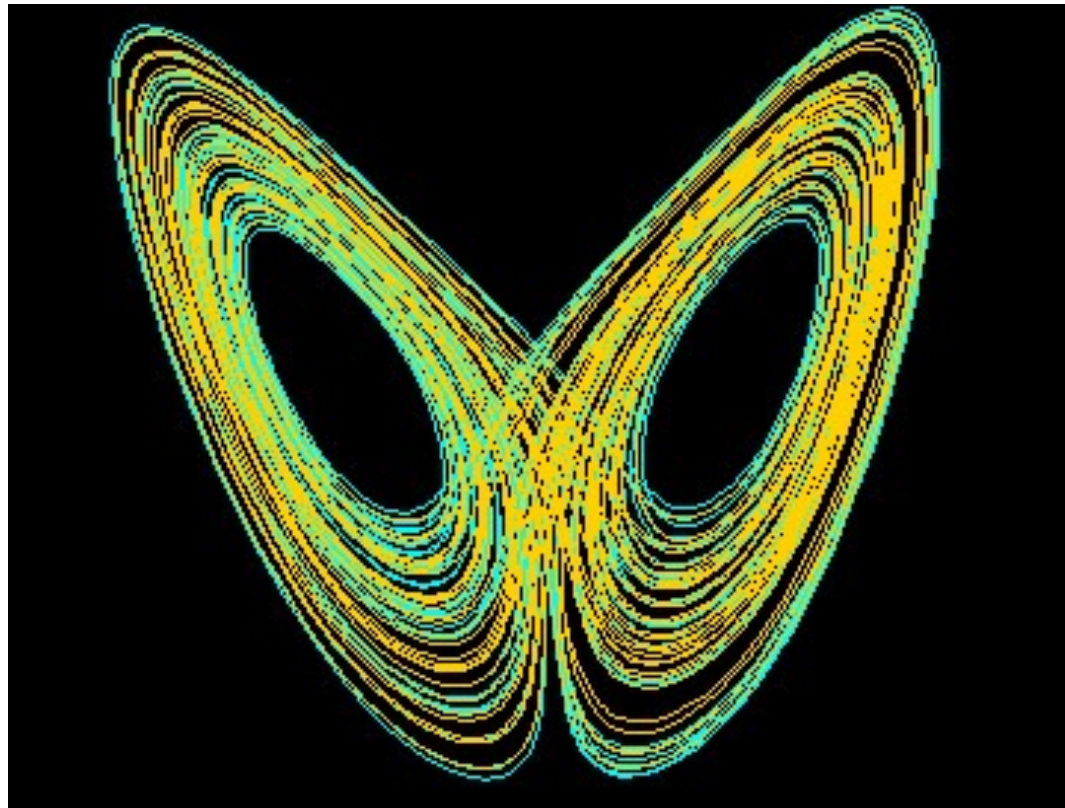


Left to right: Harry Wexler, **John von Neumann**, M. H. Frankel, Jerome Namias, John Freeman, Ragnar Fjortoft, Francis Reichelderfer and **Jule Charney** (Institute for Advanced Study, Princeton, 1950)

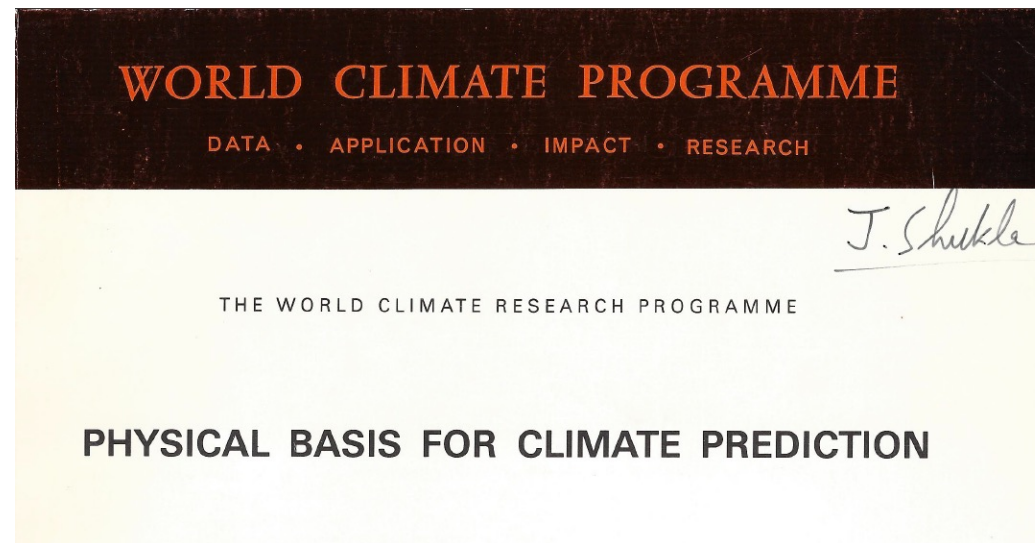


# The Butterfly Effect

Chaos: Sensitive Dependence  
on Initial Conditions



“Our results --  
- indicate that  
prediction of  
sufficiently  
distant future  
is **impossible**  
by any  
method---”  
Lorenz, 1963



Leningrad, 13 – 17 September 1982

In 1981, WCRP will not accept **Climate Prediction** as the title of the conference in Leningrad. But WCRP was willing to accept the title of **Physical Basis for Climate Prediction**

# Evolution of Ideas Leading to Dynamical Seasonal Prediction

During the 1970s, the “butterfly effect” or “chaos” was the dominant theme of predictability research and *there was deep skepticism about predictions beyond 1 -2 weeks;*

*So what are the key ideas that led to dynamical seasonal prediction before ENSO?*

# Dynamical Seasonal Prediction: Pre-ENSO 1975 - 1985

- **Dynamical Predictability (IC)**
  - Large scale, low frequency waves have the largest energy ( $k^{-3}$ )
  - Long waves have higher predictability
  - Low frequency planetary waves have the largest fraction of variance
- **Boundary Forced Predictability (BC)**





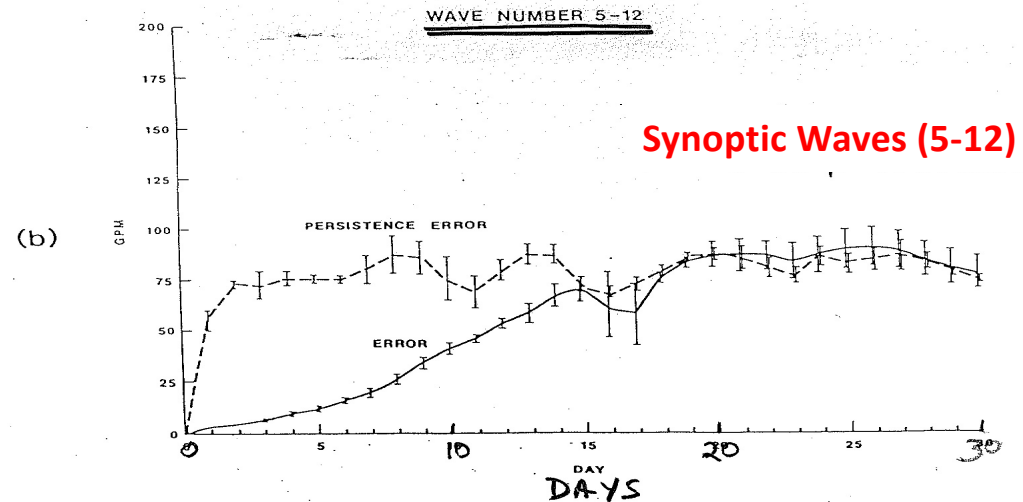
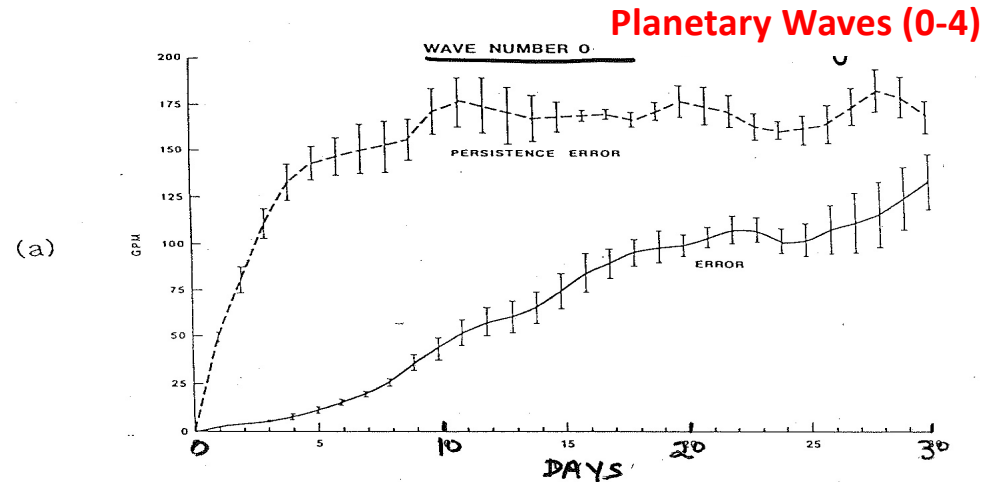
# Dynamical Predictability: Beyond Weather

## Predictability of Planetary Waves and Synoptic Waves

### Longer Predictability for Planetary Waves

Low Frequency Long Waves dominate  
the *seasonal mean*, also have the  
*largest variance*

Predictability of synoptic waves is less  
than 2 weeks



# Dynamical Seasonal Prediction: Pre-ENSO 1975 - 1985

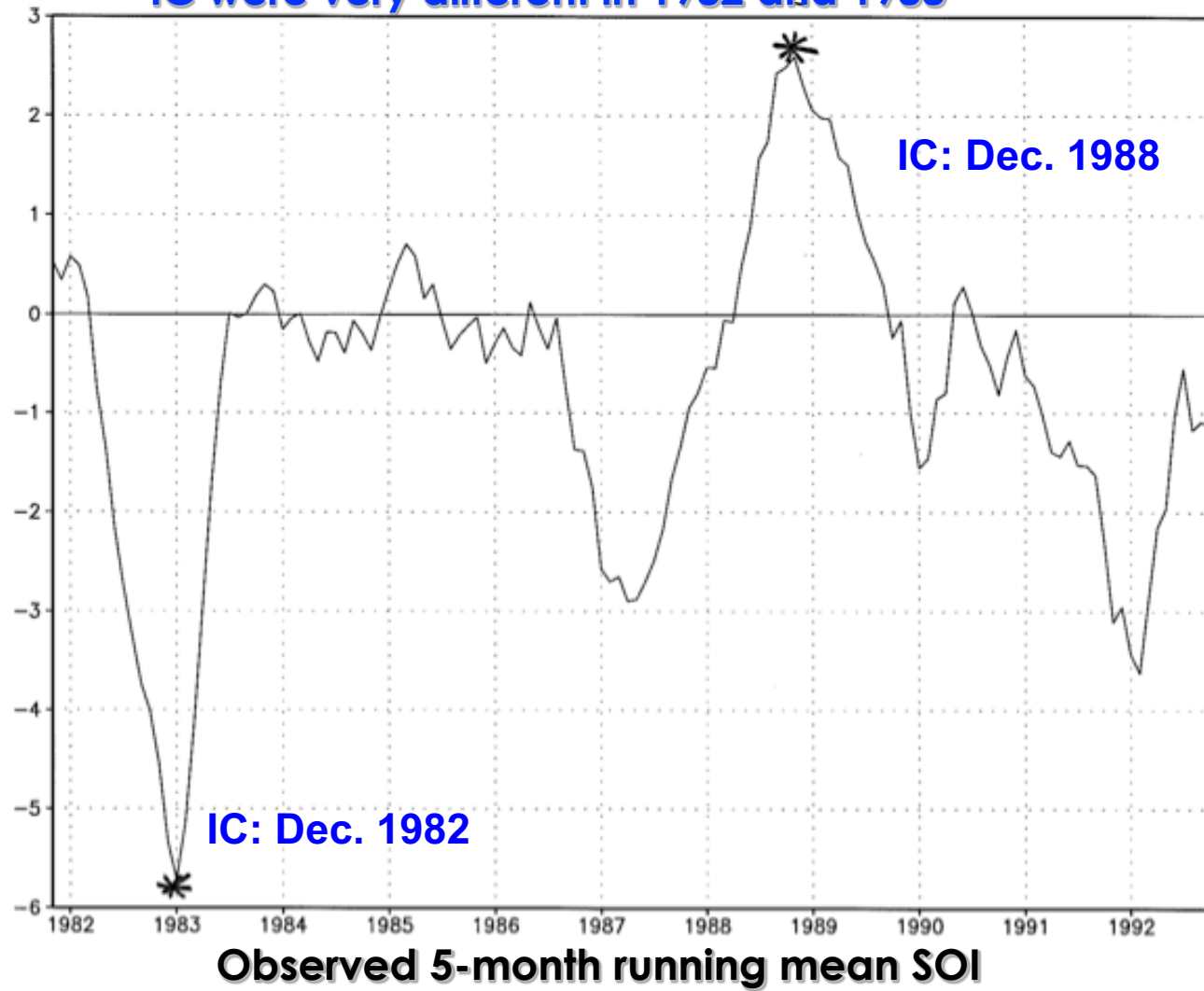
- **Dynamical Predictability (IC)**
  - Large scale, low frequency waves have the largest energy ( $k^{-3}$ )
  - Long waves have higher predictability
  - Low frequency planetary waves have the largest fraction of variance
- **Boundary Forced Predictability (BC): Billion Butterflies Experiment**
  - Tropical atmosphere (**ocean**) is so strongly forced by SST (**atmosphere**) that billion butterflies cannot make the simulations sensitive to initial conditions (**exception to the butterfly effect**)

# Billion Butterflies Experiment (Atmosphere)

IC were very different in 1982 and 1988

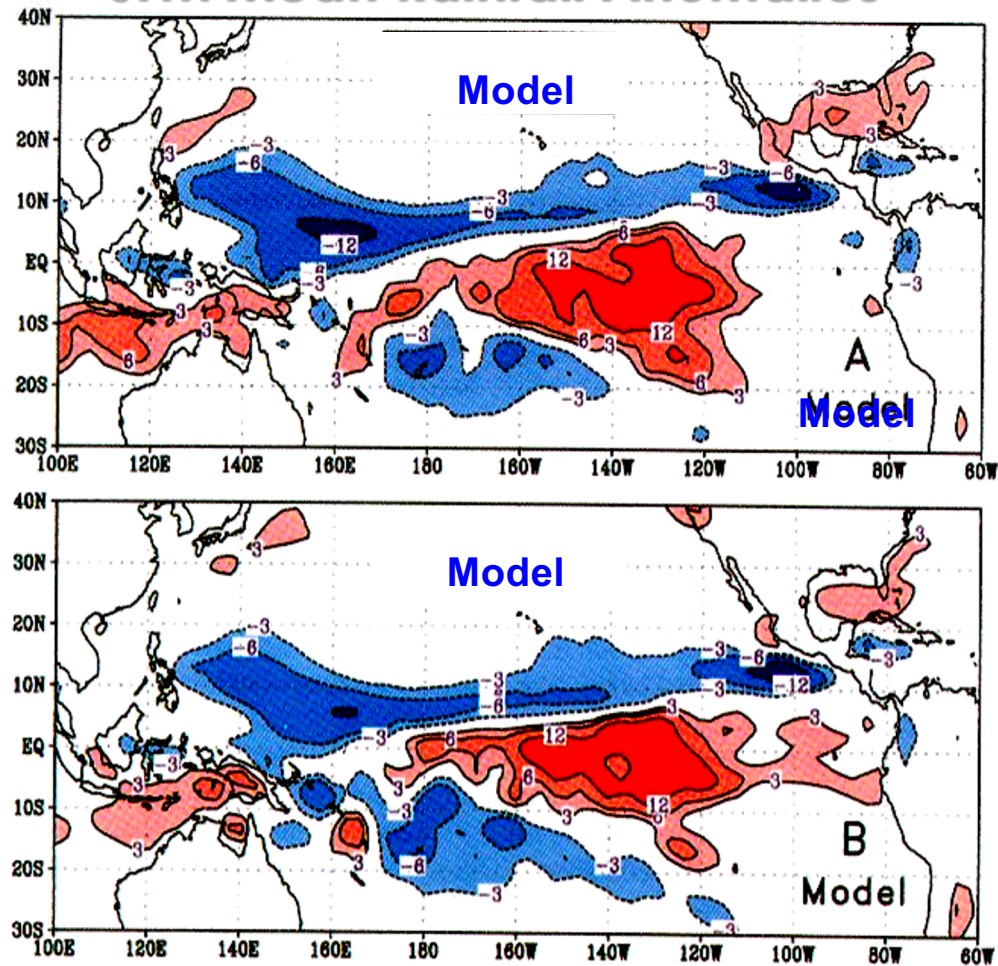
Southern  
Oscillation  
Index (SOI)

SOI =  
Tahiti – Darwin SLP



Seasonal Mean  
Rainfall is **Not**  
Sensitive to  
Atmospheric  
Initial  
Conditions

## JFM Mean Rainfall Anomalies



IC:  
Dec. 1988

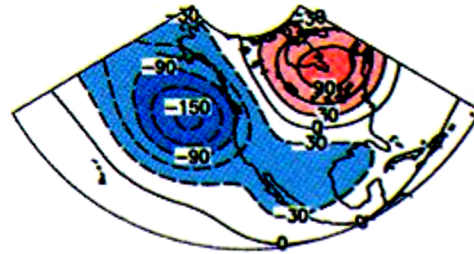
IC:  
Dec. 1982

“Predictability in the Midst of Chaos”

# JFM Mean Circulation

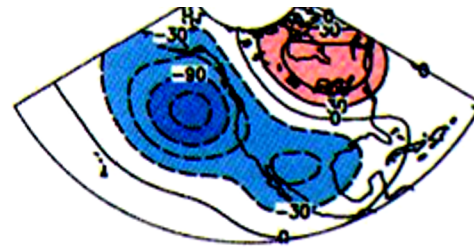
500 hPa  $\phi'$  (meters)

IC: Dec. 1988



Model

IC: Dec. 1982



Model

**Seasonal Mean  
Circulation is  
Not Sensitive  
to Atmospheric  
Initial  
Conditions**

# Simulations from very different atmospheric initial conditions of Dec 1982 and Dec 1988 converged because both used the same SST of 1982 - 83

**For Strong SST Anomaly of 1982-83, Seasonal Mean Rainfall is Not Sensitive to Atmospheric Initial Conditions**

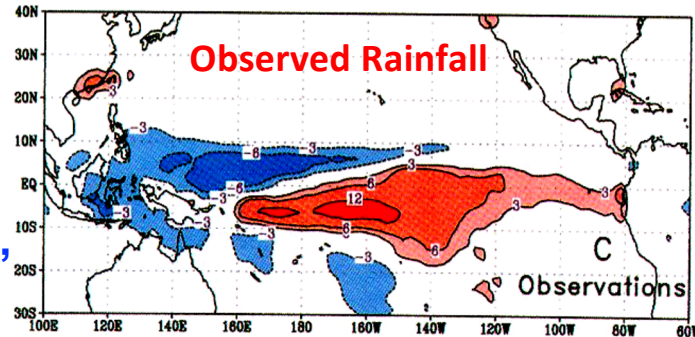
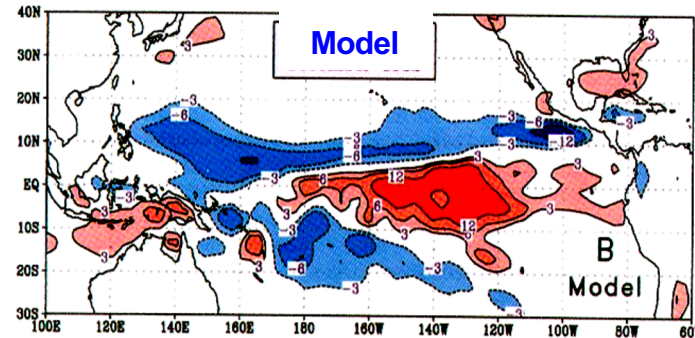
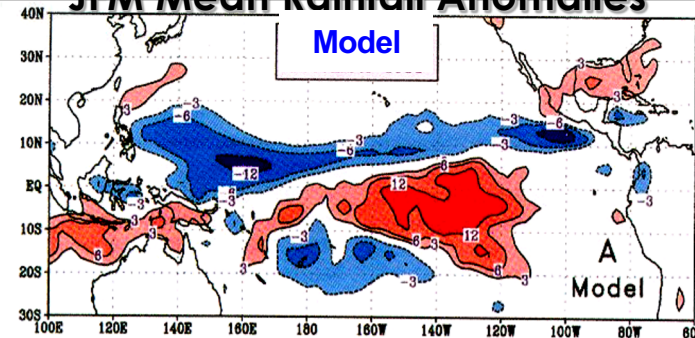
*Tropical Pacific rainfall is very strongly determined by the sea surface temperature and not by IC*

Model  
IC: Dec. 1988

Model  
IC: Dec. 1982

Observed  
JFM 1982-83

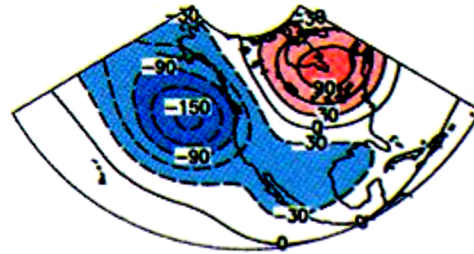
JFM Mean Rainfall Anomalies



# JFM Mean Circulation

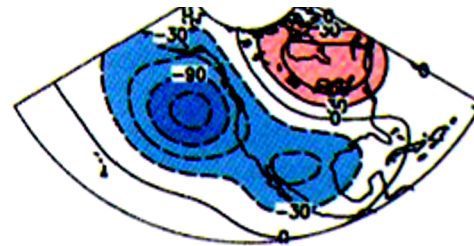
500 hPa  $\phi'$  (meters)

IC: Dec. 1988



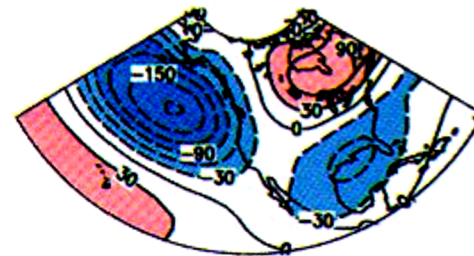
Model

IC: Dec. 1982



Model

Observed JFM 1983



**Seasonal Mean Circulation also converged because when tropical forcing was quite strong, circulation was also not sensitive to IC**

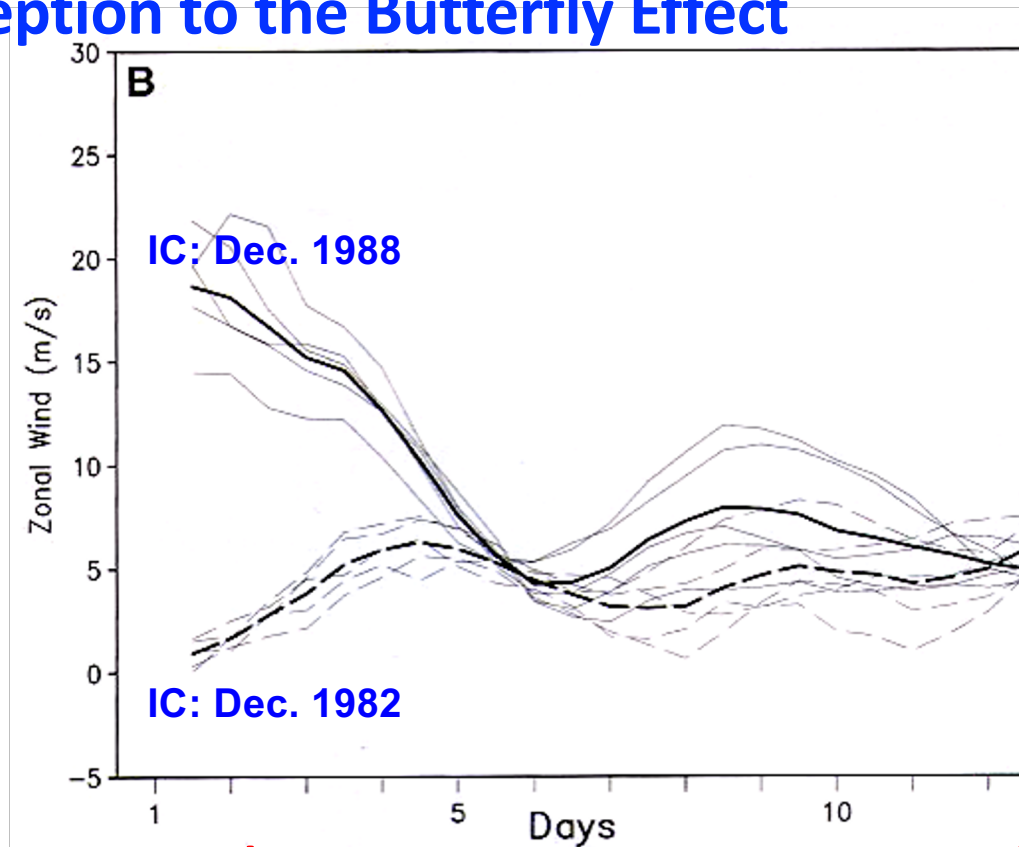
*an exception to the Butterfly Effect*

Both model runs used observed 1982-83 SST



**The Tropical Atmosphere is so strongly forced by SST that it is insensitive to initial conditions of the atmosphere – an exception to the Butterfly Effect**

In spite of very large differences in the atmospheric IC for 1982 and 1988, tropical zonal wind for the two simulations converged within about **10 days**



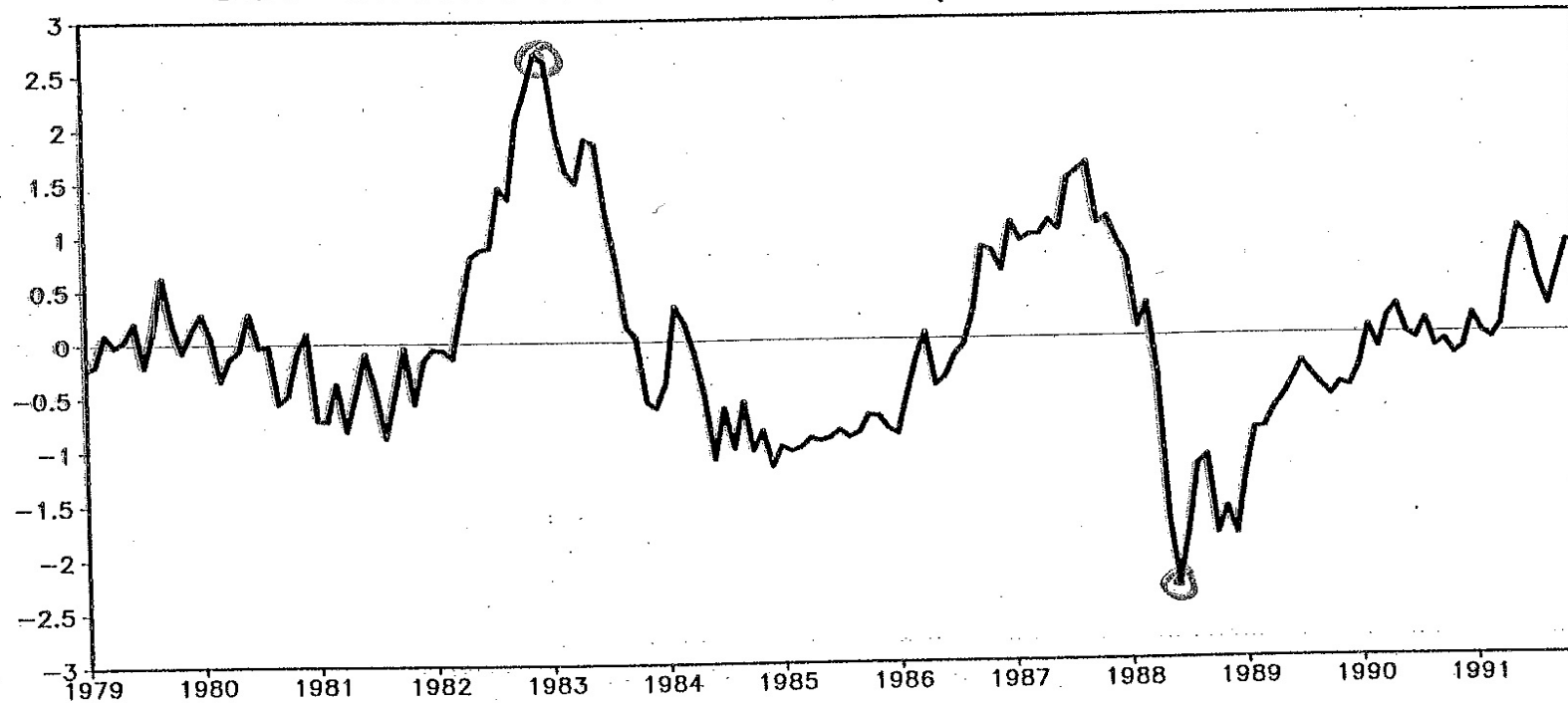
**Zonal Wind (m/s) at 200 Mb (10° S to 10° N, 120° W to 160° W)**

*Example of lack of sensitivity to initial conditions of atmosphere*

# Billion Butterflies Experiment (Ocean)

SST Anomalies in Nino3 were very different in 1982 – 83 and 1988 - 89

SST anomalies in NINO3 (5N–5S,90–150W)

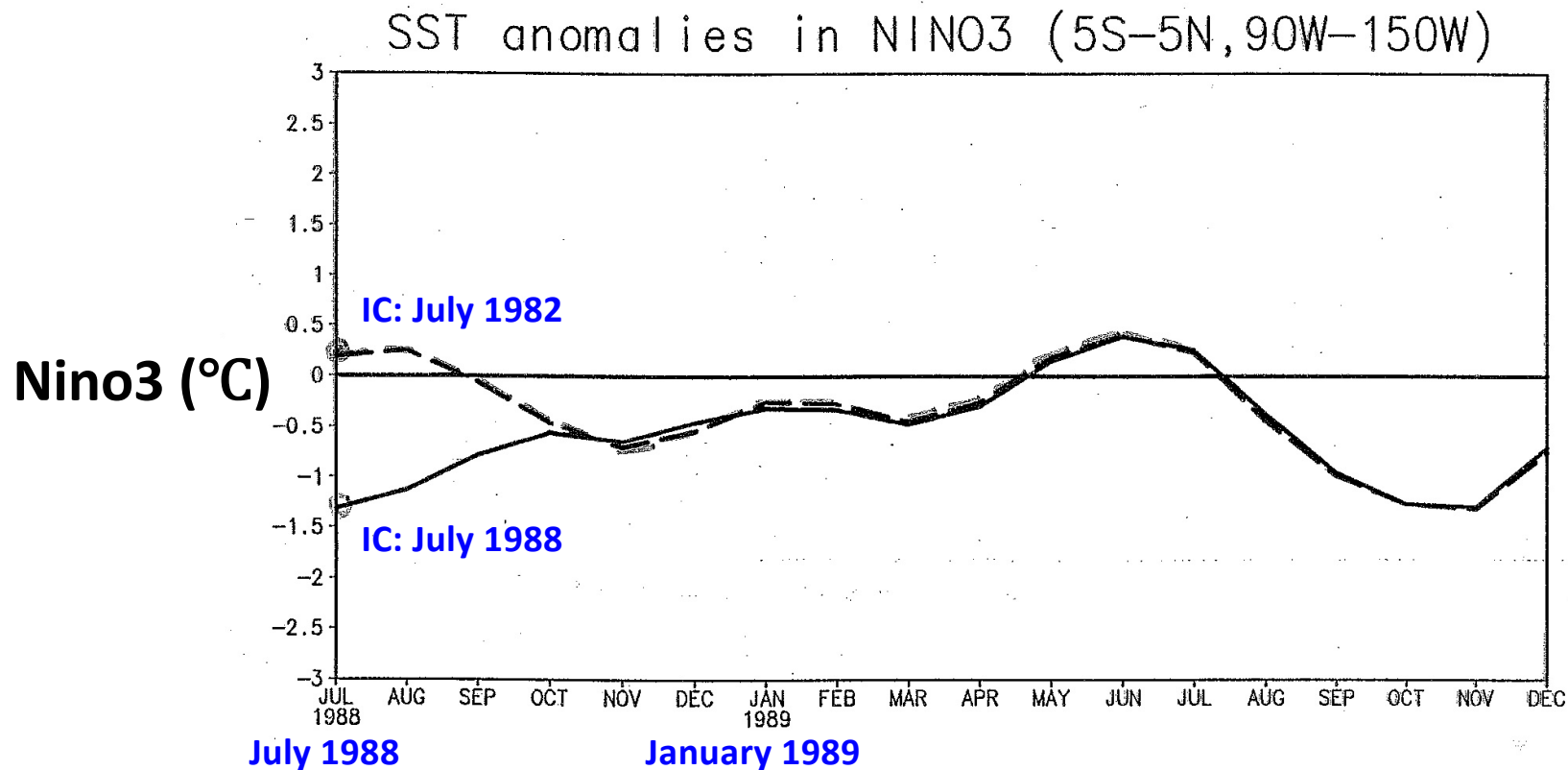


1982-83

1988-89

Nino 3: (5N-5S, 90-150W)

**When ocean was forced by two very different atmospheric forcings for 1982-83 and 1988-89 (IC: July 1), it took about 3-4 months for the tropical SST (Nino3) anomalies to converge.**



*Example of lack of sensitivity to initial conditions of ocean state*

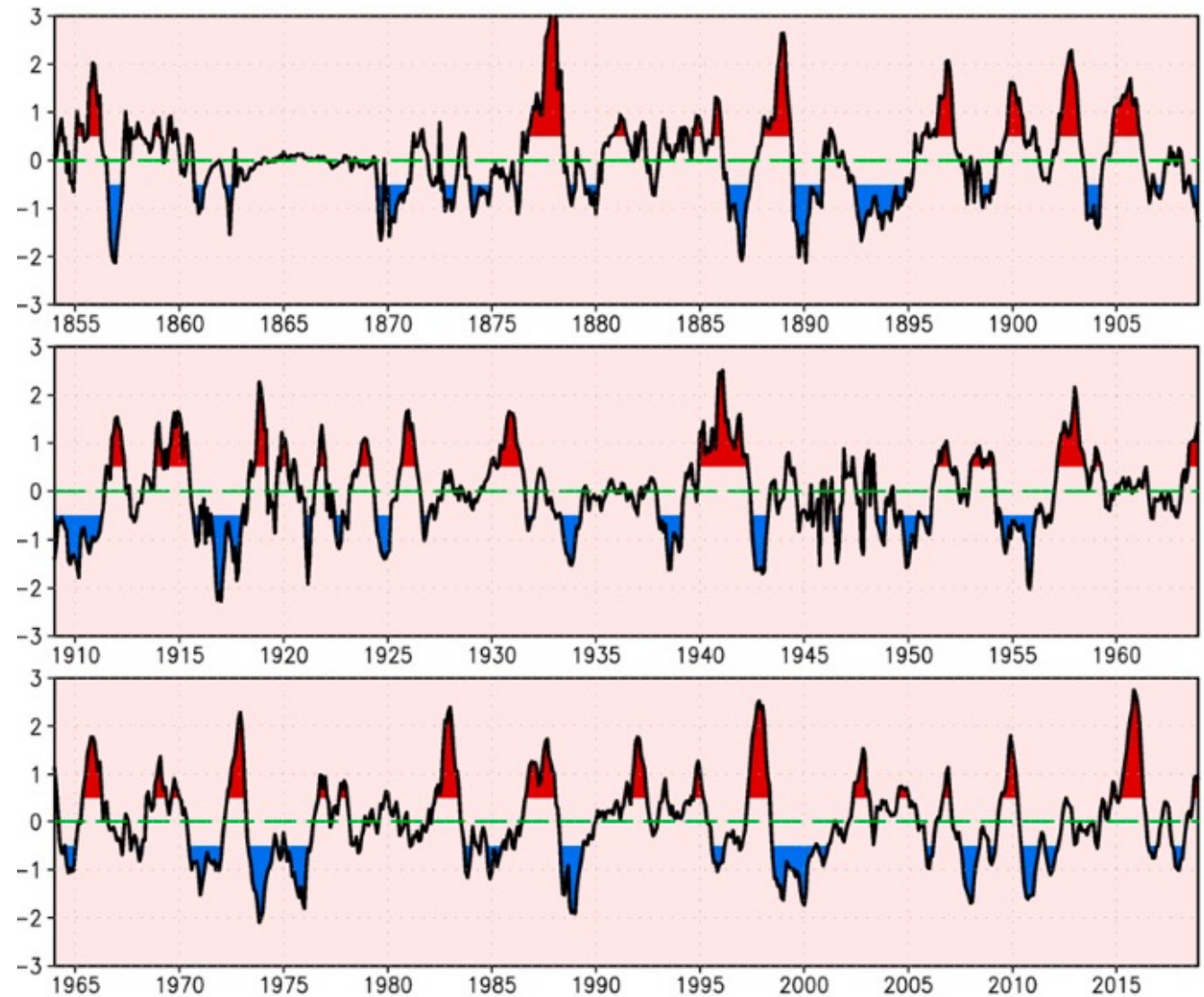
# Dynamical Seasonal Prediction

- Demonstration that the **influence of boundary forcings** (SST, Soil Wetness, Vegetation, Snow, etc.) **is significantly larger than the uncertainty due to chaos and the butterfly effect** established the scientific basis for dynamical prediction of seasonal mean circulation and rainfall
- Routine dynamical seasonal prediction using coupled ocean – atmosphere models became possible after 1986.

*(Cane, Zebiak, Dolan; Nature, 1986; Experimental Forecasts of El Nino)*

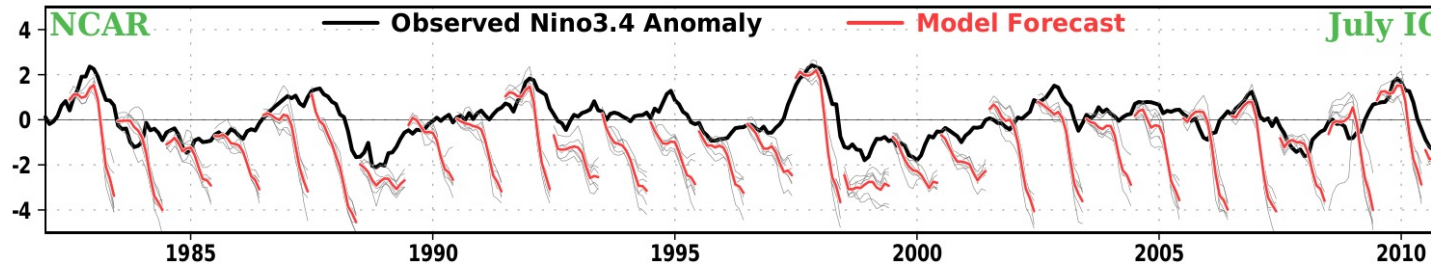
# Nino 3.4 1854-2018

Red:  $\geq 0.5^{\circ}\text{C}$   
Blue:  $\leq -0.5^{\circ}\text{C}$

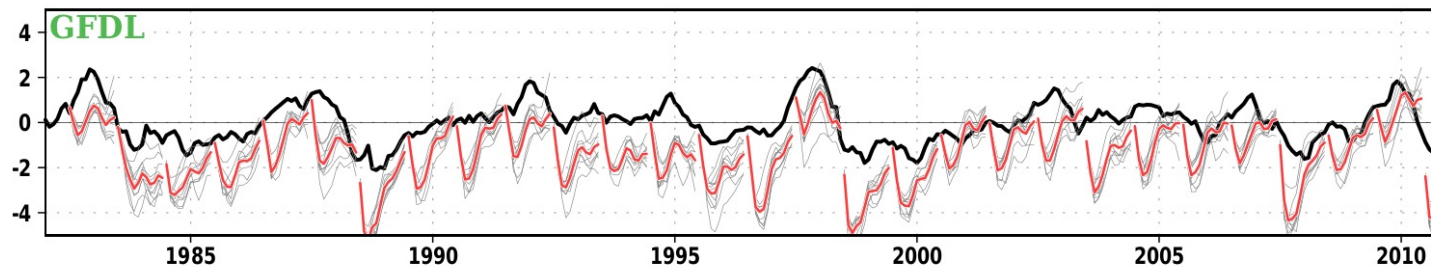


# Forecast of Nino 3.4 by four US climate models (IC: July 1)

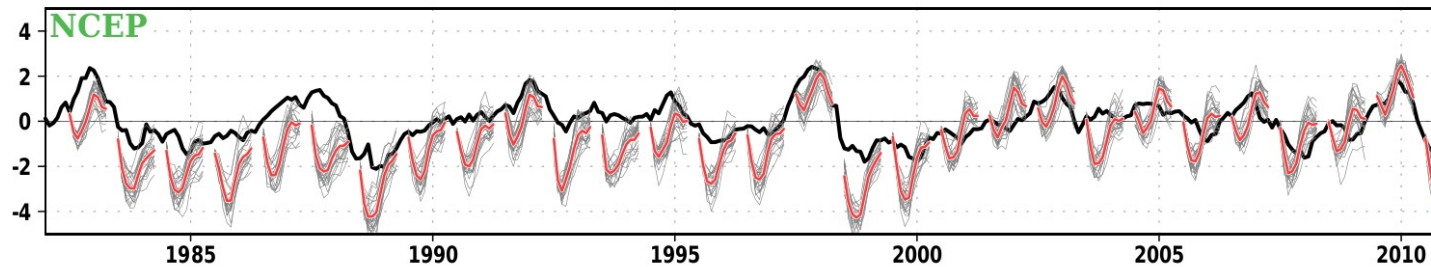
All "best" models have huge systematic error in forecasting tropical SST.



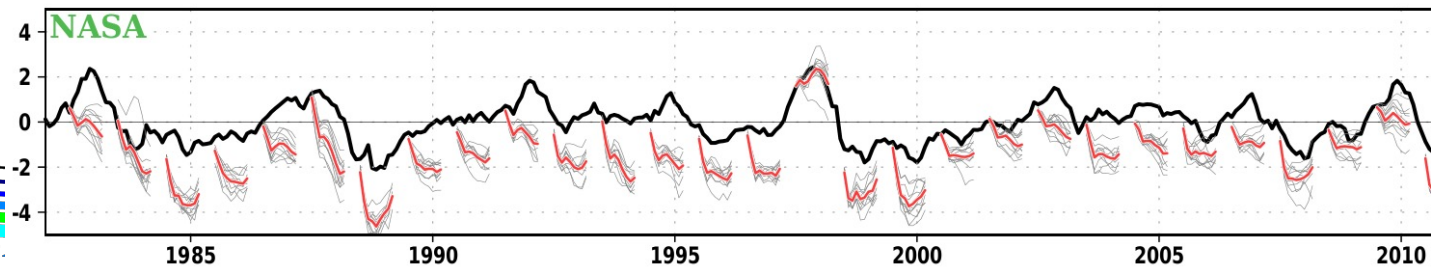
NCAR



GFDL



NCEP



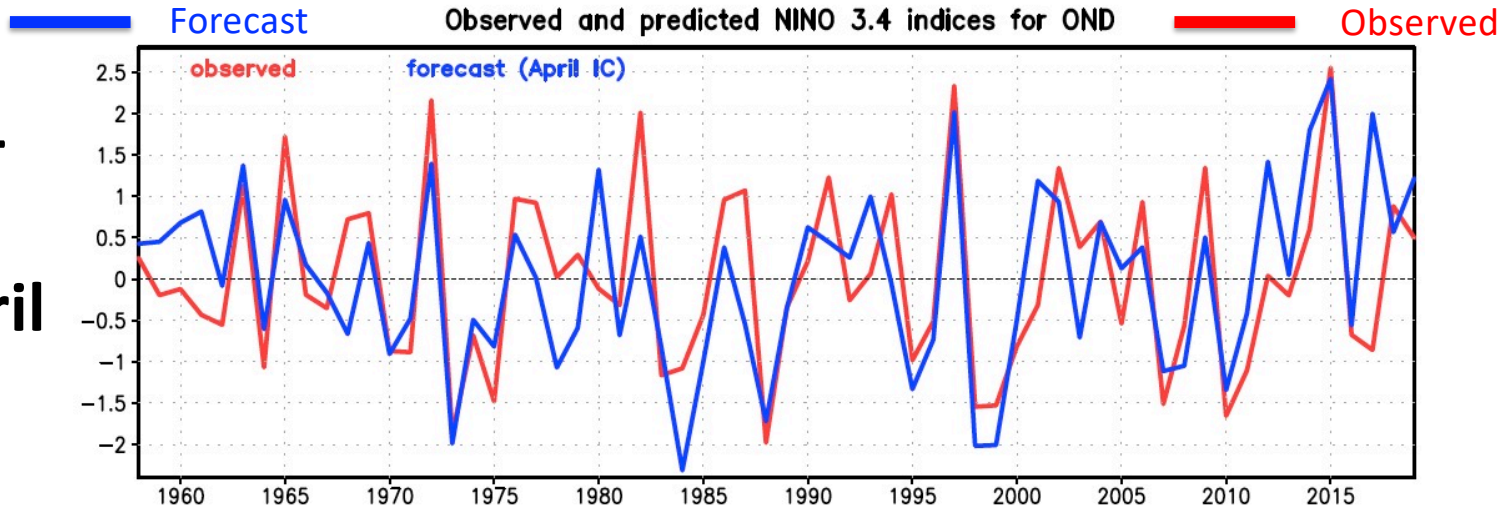
NASA

# Current Status of Dynamical Seasonal Prediction

- In spite of large biases (*as large as the ENSO signal*), the coupled ocean atmosphere models have shown significant skill in Nino 3.4 hindcasts.

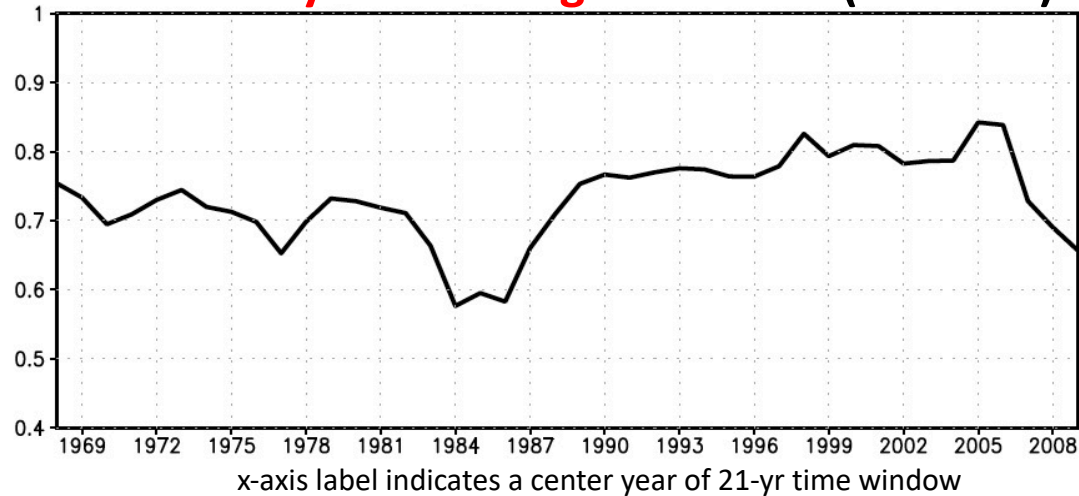
# Reforecasting the ENSO Events in the Past 62 Years (1958-2019)

**Nino 3.4  
(OND)  
IC: 1 April**



**ACC:  
21 year  
running  
mean**

**21 year running mean ACC (0.7 - 0.8)**



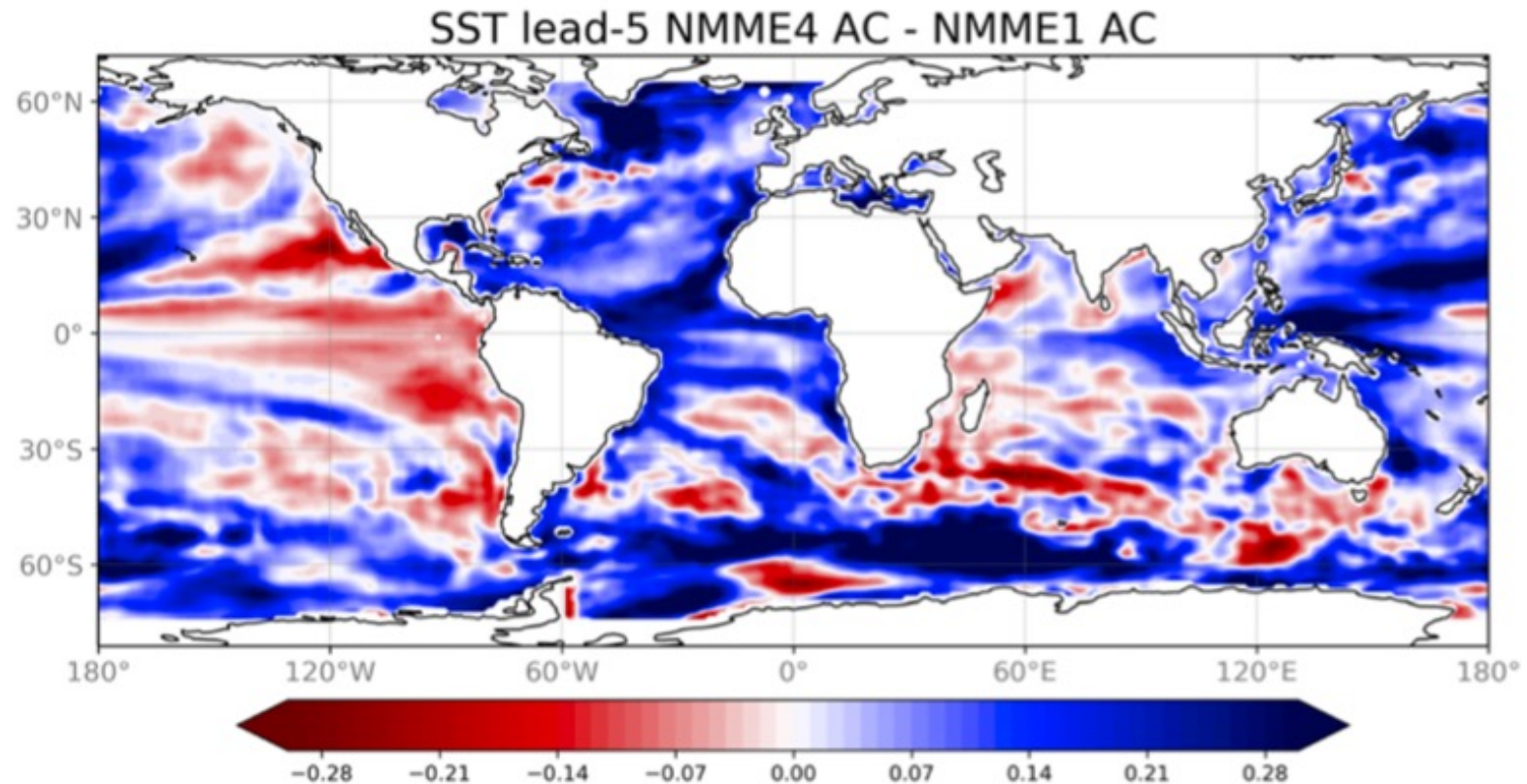
*Note: no significant improvement in skill with enhanced ocean observations*



## US NMME hindcasts for 1982-2010

The difference between the skill (ACC) of 5-month lead SST hindcast for US models of 2019-20 minus the US models of 2010-11

Skill of SST forecast in the Eastern Pacific has **decreased** for the most recent US models

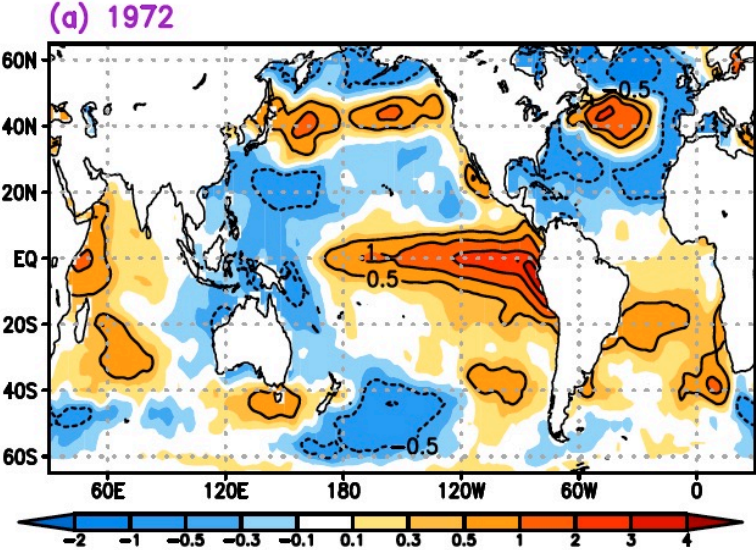


# Current Status of Dynamical Seasonal Prediction

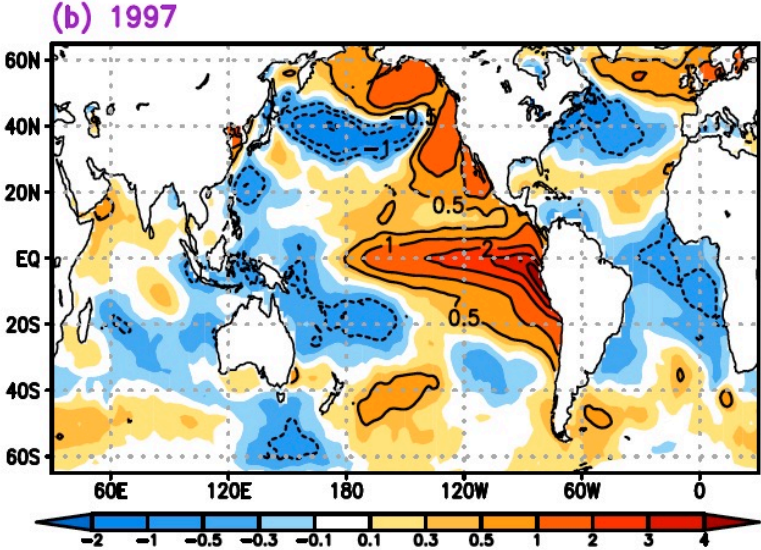
- In spite of large biases (*as large as the ENSO signal*), the coupled ocean atmosphere models have shown significant skill in Nino 3.4 hindcasts.
- However, the skill of seasonal predictions based on ENSO teleconnections sometimes **work marvelously**, and sometimes **fail miserably**
- Reforecasting **1972-73 & 1997-98 ENSO and Monsoon**

# Observed JJAS SST Anomaly

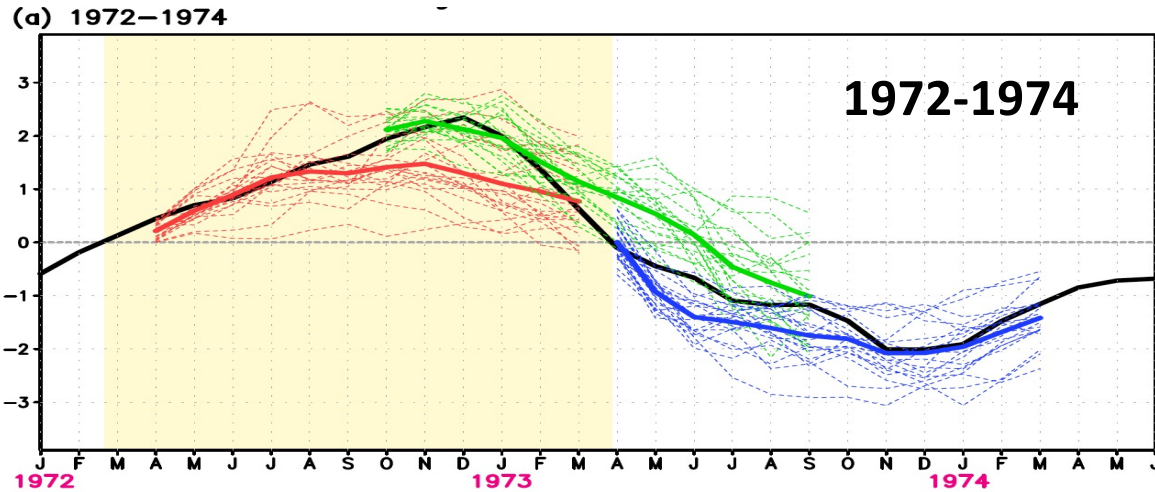
1972



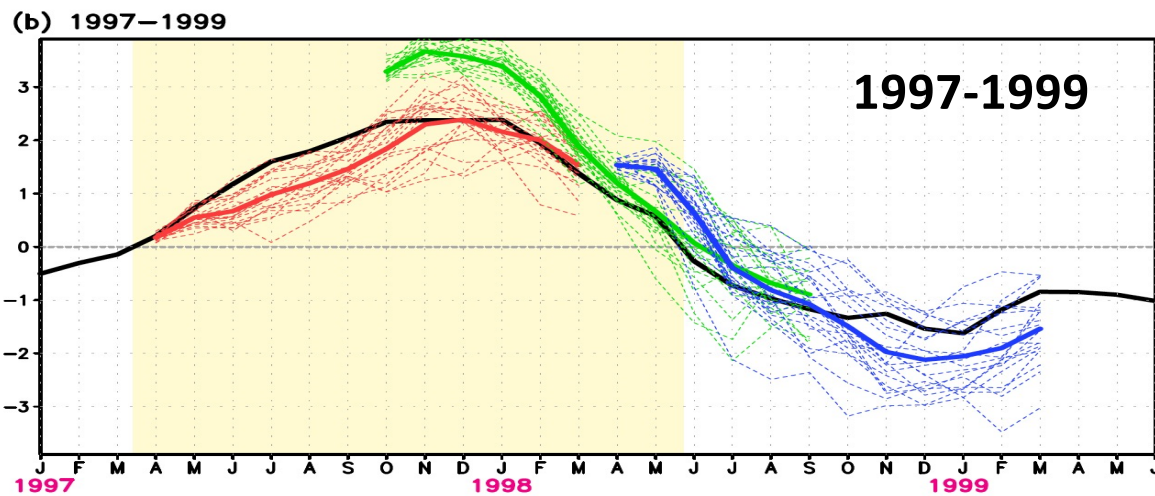
1997



## Observed and Forecast SST Anomalies for April and October IC



Prediction of both events by Model (CFSV2) was remarkably good

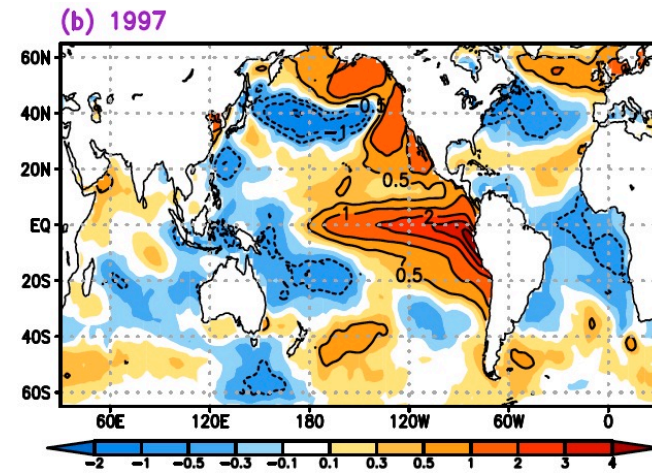
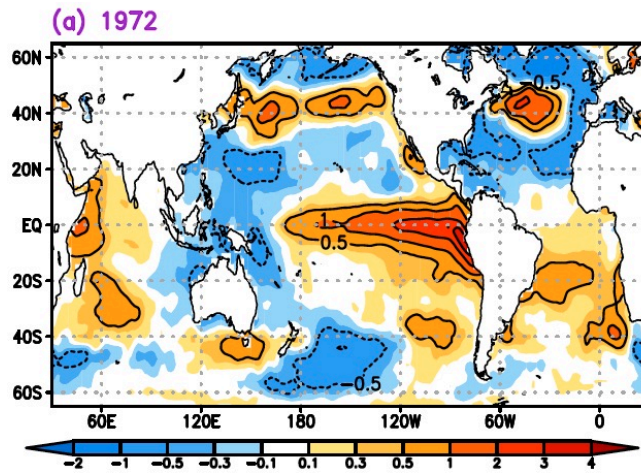


— Apr Year 0 IC  
— Oct Year 0 IC  
— Apr Year 1 IC

# ENSO & ISMR (obs) for JJAS 1972 and 1997

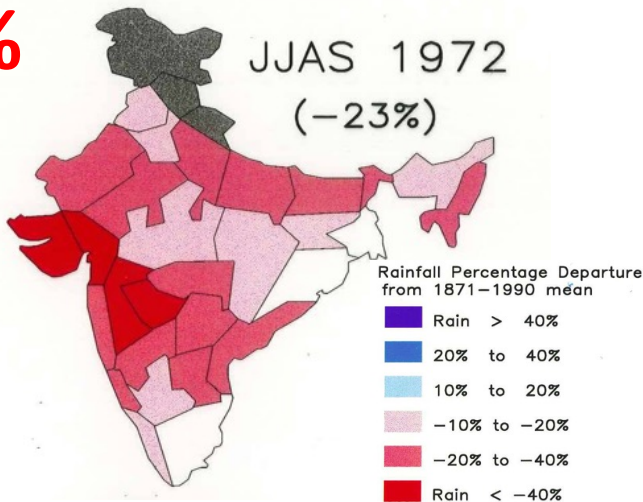
## 1972

## 1997



**- 23%**

JJAS 1972  
(-23%)

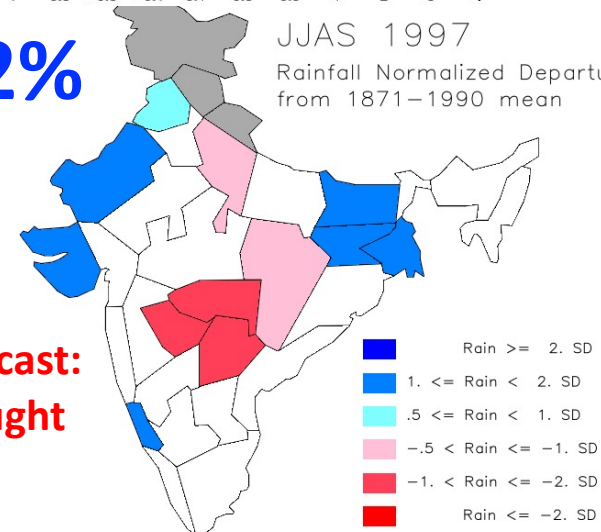


IMD  
Forecast:  
Normal

**+ 2%**

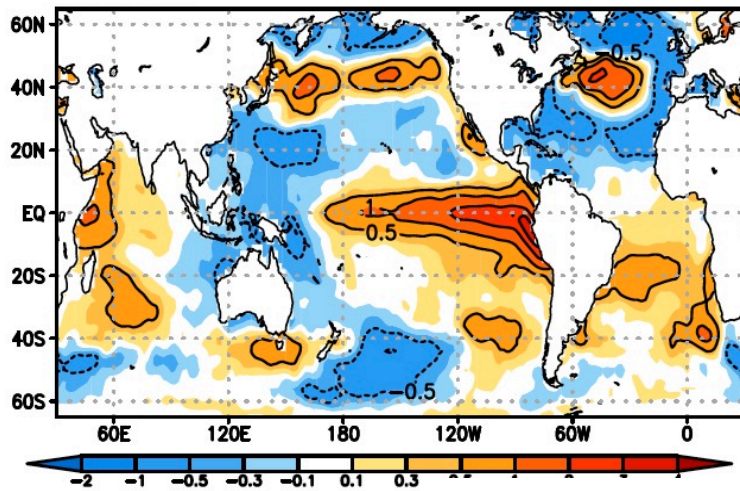
JJAS 1997  
Rainfall Normalized Departure from 1871-1990 mean

IMD  
Forecast:  
Drought

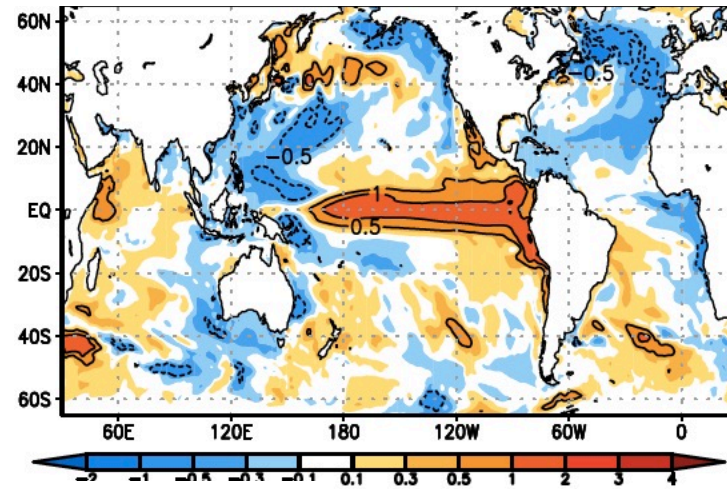


# Obs. and Forecast SST Anomalies for JJA (April IC) 1972

Observed SSTA

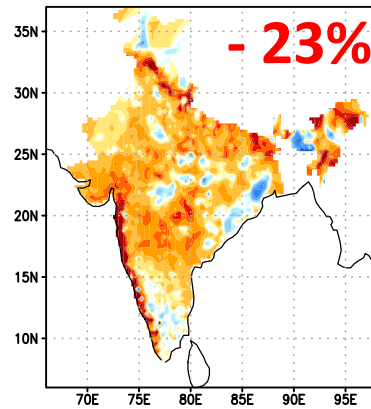


SST (JJA)

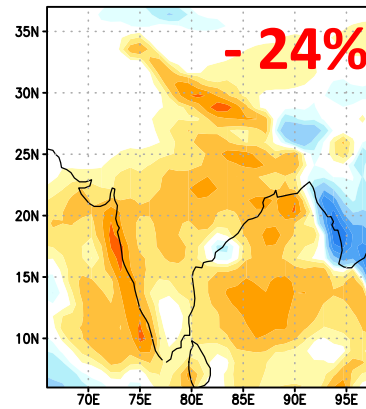


Anomalies of the Indian monsoon rainfall in 1972 [mm/day]

(a) Observation (IMD)



(b) CFSv2 Reforecast (IC: April 1972)



Observed  
Precip. (JJAS)

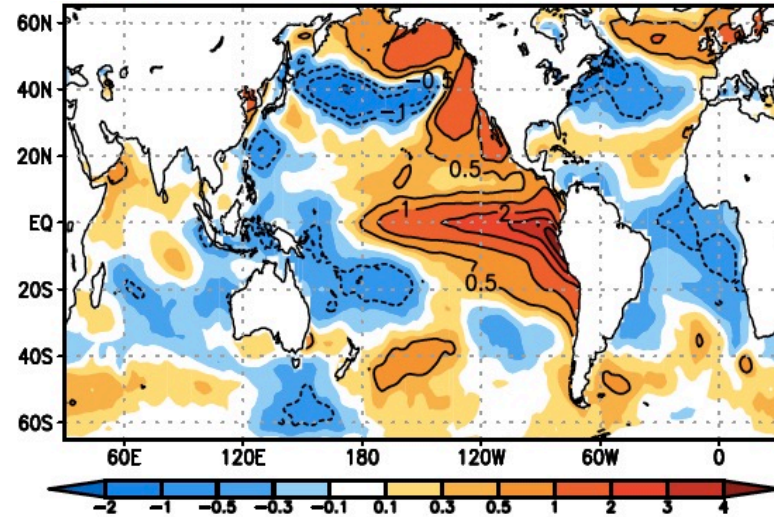
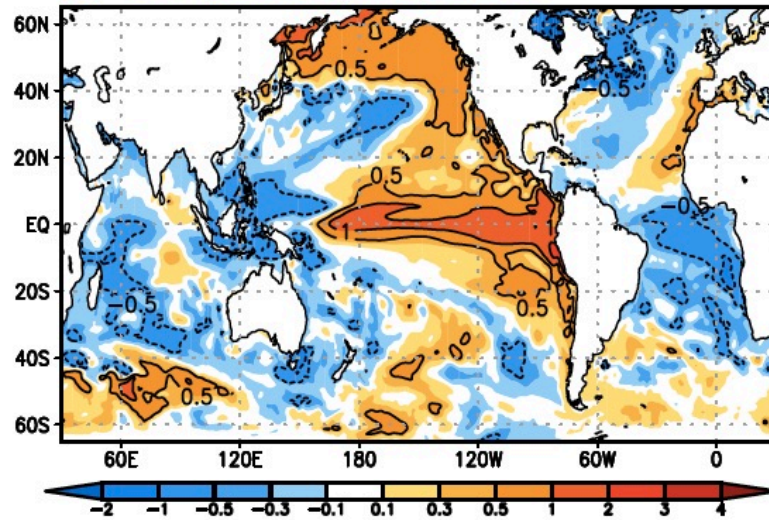
Forecast  
Precip. (JJAS)

# Obs. and Forecast SST Anomalies for JJA (April IC) 1997

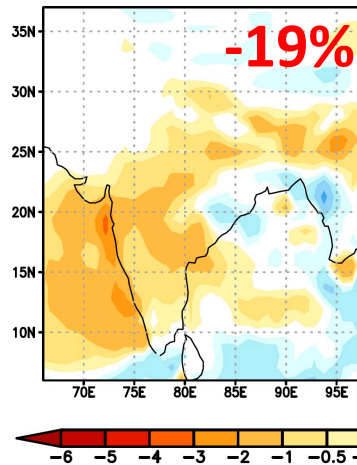
Forecast SST

SST (JJA)

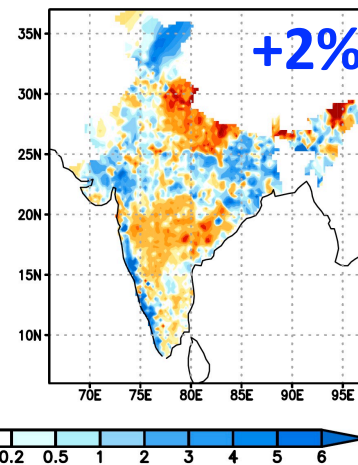
Observed SST



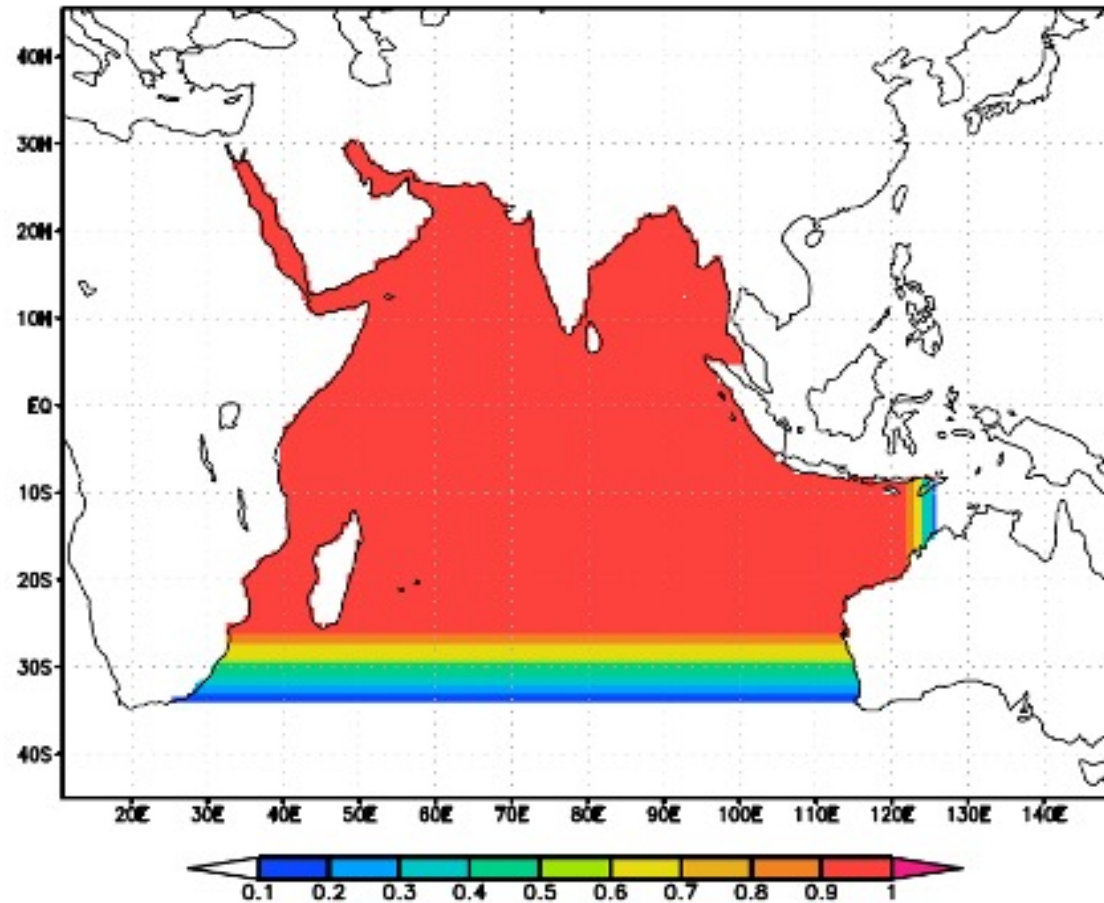
Forecast  
Precip. (JJAS)



Observed  
Precip. (JJAS)



## Area of the prescribed SST over the Indian Ocean (Ocean Model Grids)



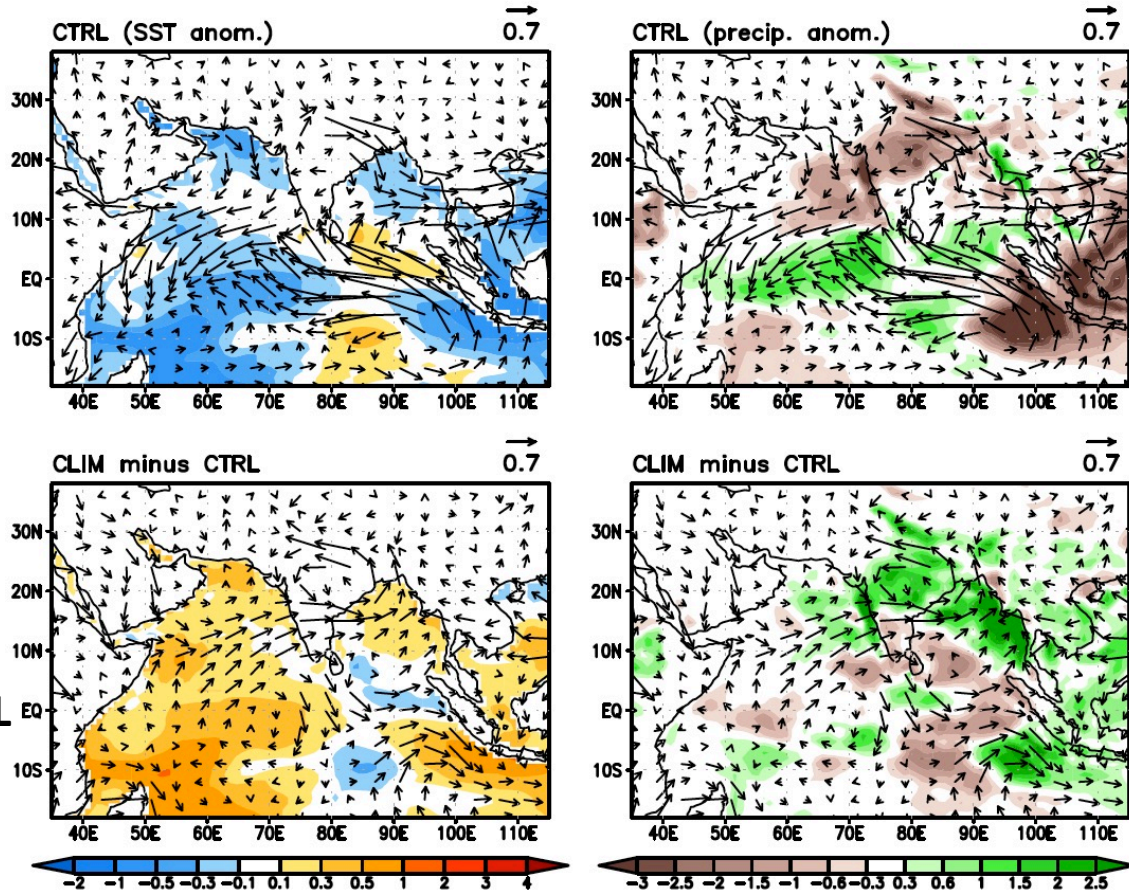


# Replacing the Erroneous Cold SST by Climatological SST over the Indian Ocean Correctly Enhanced Rainfall Over India

1997

CTRL  
JJAS  
anomalies  
of SST  
(shaded)  
and wind  
at 850hPa  
(vectors)

CLIM SST  
minus CTRL



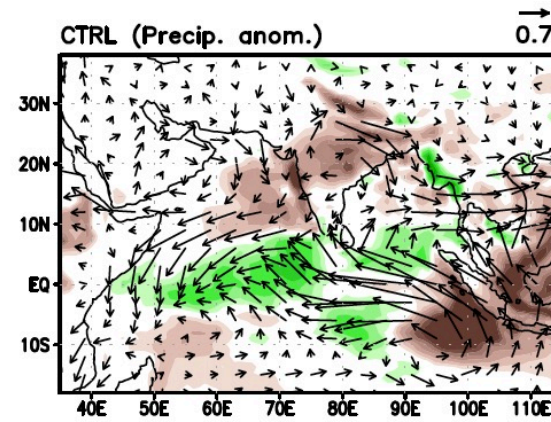
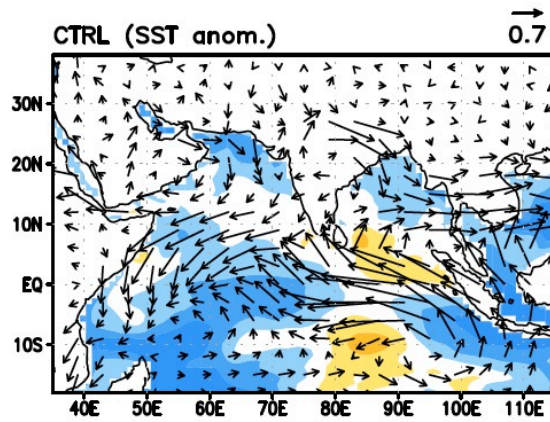
JJAS  
anomalies  
of Precip.  
(shaded)  
and wind  
at 850hPa  
(vectors)

Prescribed Climatological SST over the Indian Ocean

# Replacing the Erroneous Cold SST by Observed SST over the Indian Ocean Further Enhanced Rainfall Over India

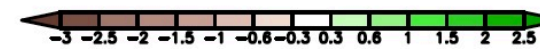
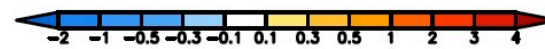
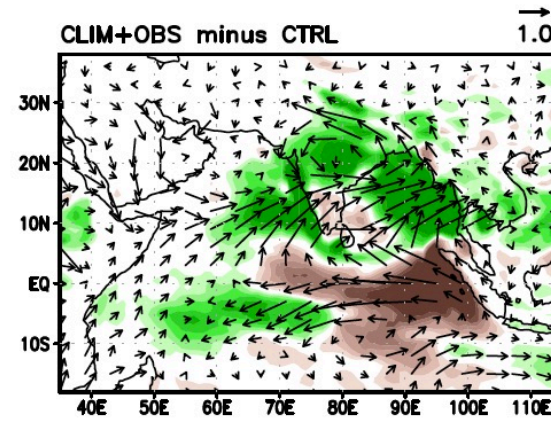
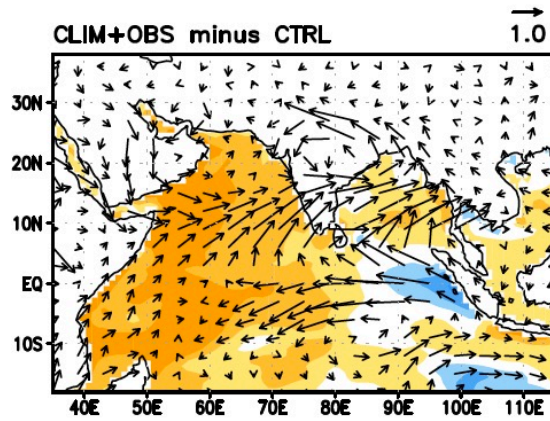
1997

JJAS anomalies of SST (shaded) and wind at 850hPa (vectors)



JJAS anomalies of Precip. (shaded) and wind at 850hPa (vectors)

CLIM+OBS SST anomalies minus CTRL



Prescribed Observed SST over the Indian Ocean

# Summary

- 40 years ago, a skillful Dynamical Seasonal Prediction (DSP) using coupled models was not conceivable; **DSP has achieved a level of skill that is considered useful** for a number of societal applications.
- Skill of forecasting tropical SST has not improved in 20 years, **it appears that it has gotten worse**

# Discussion

- In spite of improved ocean observations during the recent decades (1979-2014), ENSO prediction skill is comparable between 1958-78 and 1979-2014, or perhaps worse, why? Is it because:
  - *the current models and assimilation systems are unable to take full advantage of enhanced ocean observations?*
  - *climate models have large biases?*
  - *secular changes in mean climate?*
- **Why frequently remote response is entirely incorrect although Nino 3.4 forecast is reasonably good**
- **Is it climate modeler's good luck that evolution of model error does not interact with ENSO signal**

**THANK YOU!**

**ANY QUESTIONS?**

Center of Ocean-Land-Atmosphere studies

