

# ENSO modelling

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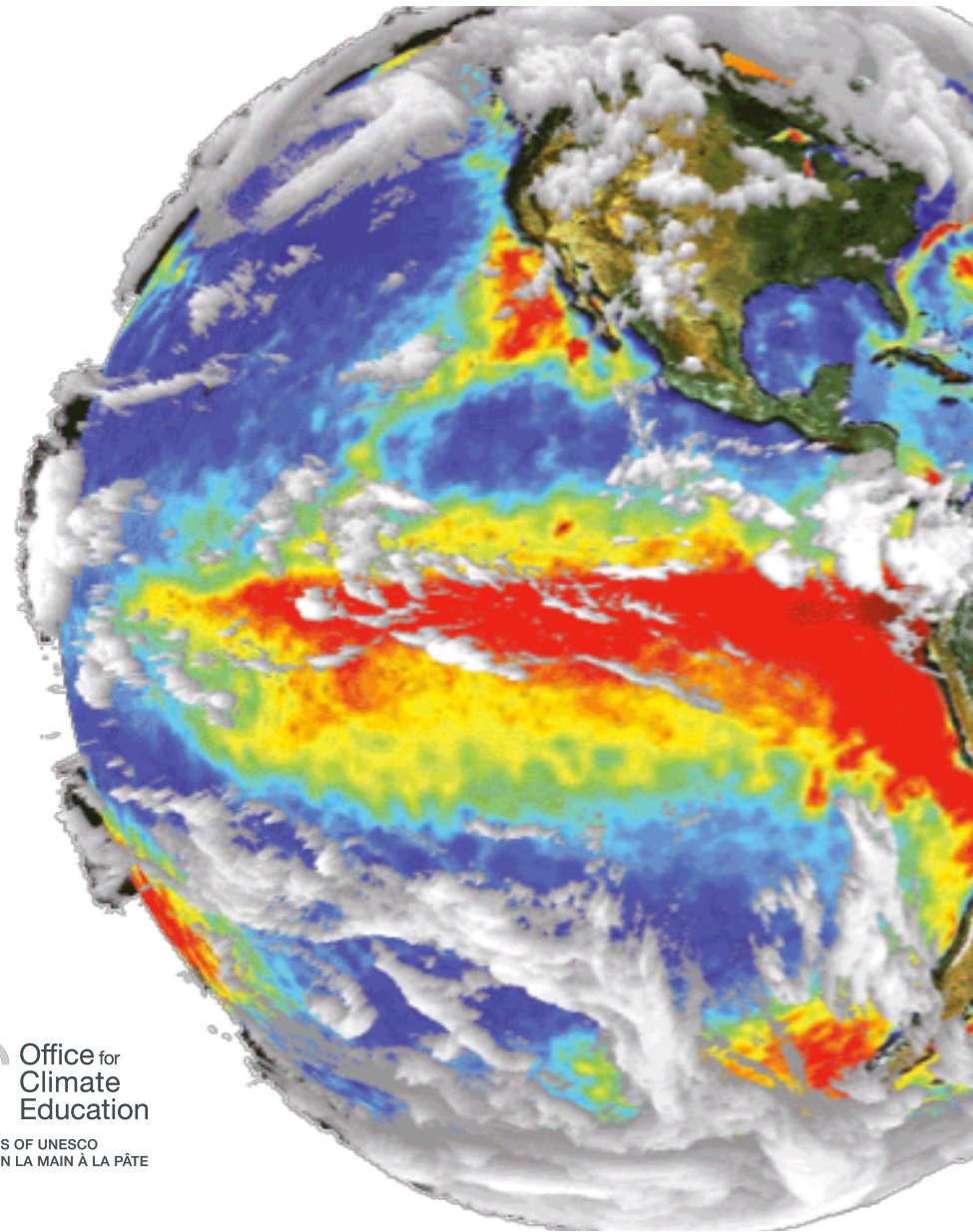
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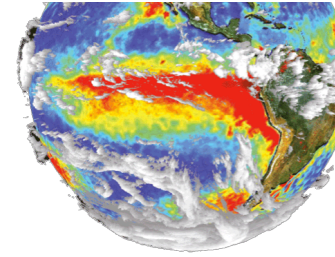
*ENSO Summer School – ICTP, July 2022*

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Jérôme Vialard, Matthieu Lengaigne



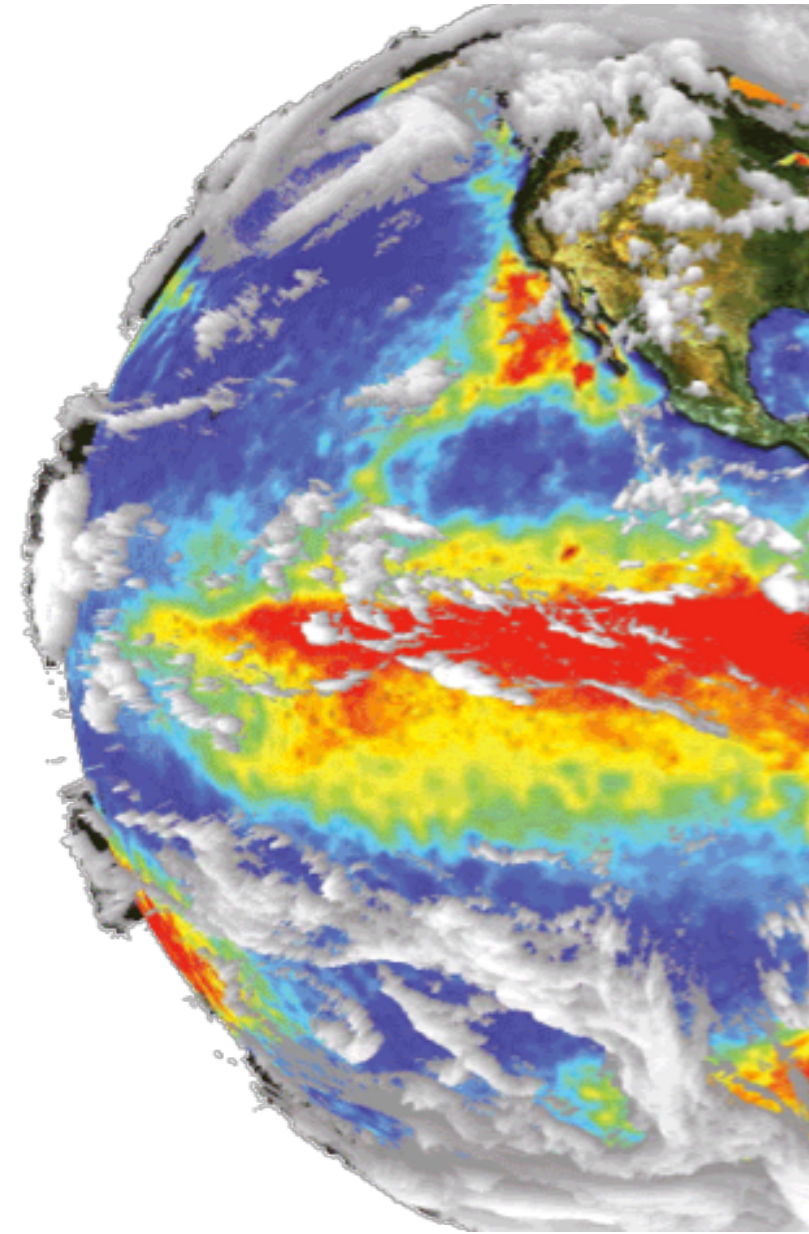


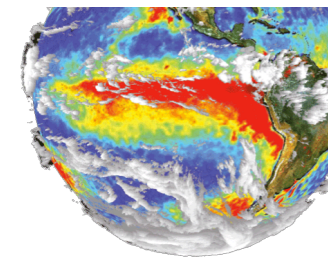
# Outline

- Introduction
- Models for ENSO understanding
- Evaluation of ENSO in GCMs
- ENSO metrics
- Understanding sources of ENSO biases
- Challenges and opportunities

# Introduction

- A bit of history : the TOGA decade
- Benefits of a hierarchy of models
- GCMs

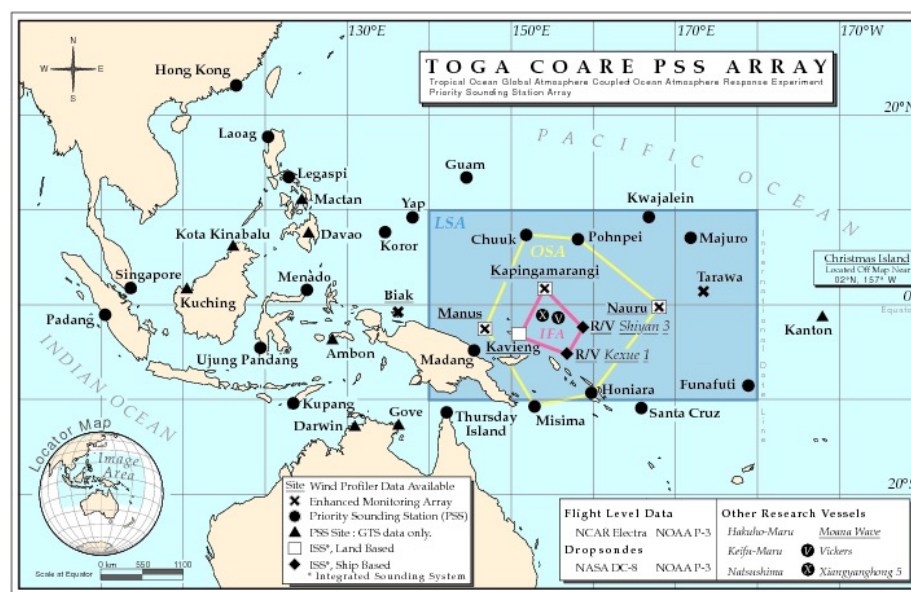




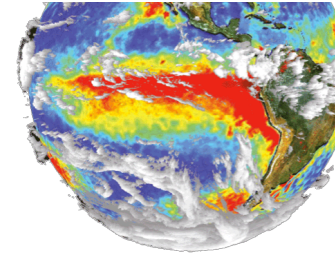
# 1990s : the TOGA revolution

- A milestone for early GCMs development
- Improved resolution and physical parameterisations
- ENSO feedbacks

The TOGA Decade: Reviewing the Progress of El Niño Research and Prediction  
*Journal of Geophysical Research, 1998*  
 David Anderson, Ed.



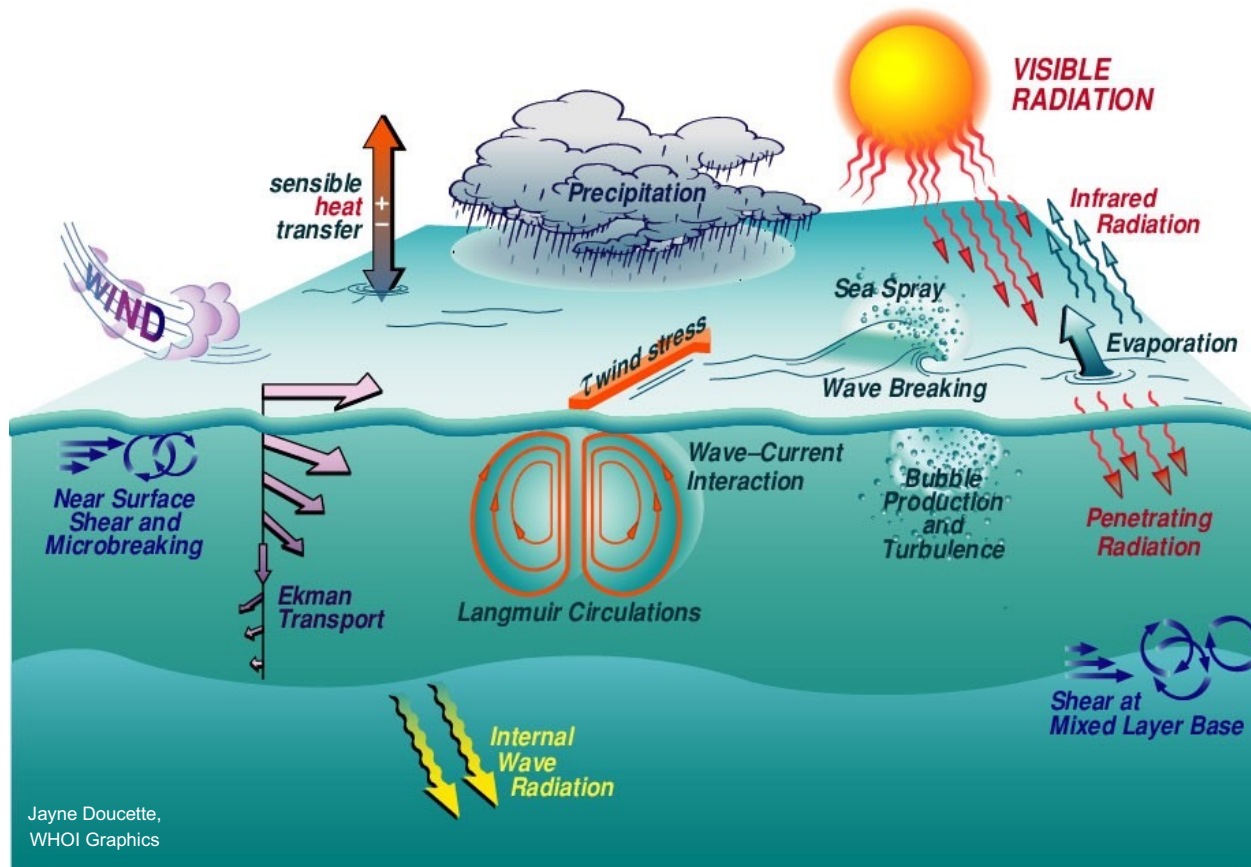
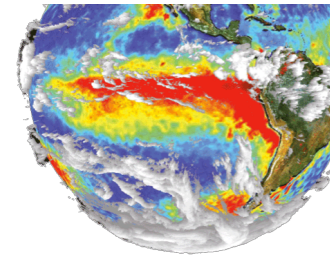




## 2000s: the CMIP era

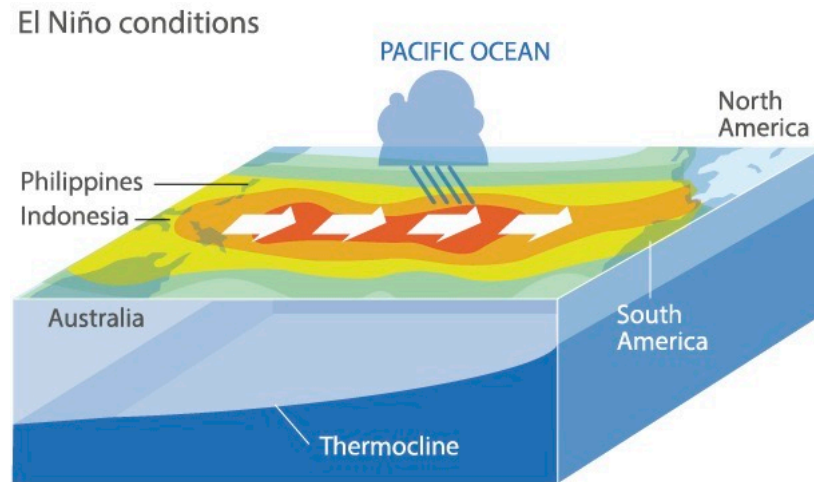
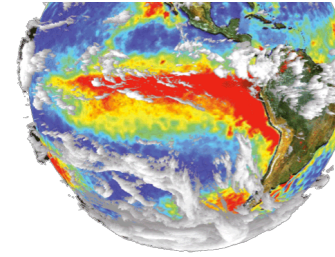
- CMIP3: first ENSO intercomparison
  - AchutaRao & Sperber, 2006; van Oldenborgh et al., 2005; Guilyardi 2006; Capotondi et al., 2006; Wittenberg et al., 2006
- More gradual improvement CMIP3 -> CMIP5 -> CMIP6
  - Bellenger et al., 2014; C. Chen et al., 2017; Stevenson et al. 2020
- Essential processes: deep convection and clouds, equatorial wave dynamics, upwelling, vertical mixing
- Role of resolution and physical parameterisations
- Role of intra-seasonal variability (MJO, WWE...)
- More complete view of ENSO feedbacks

# Parameterized air-sea interaction processes



Jayne Doucette,  
WHOI Graphics

# ENSO feedbacks



## Non linear processes (“noise”):

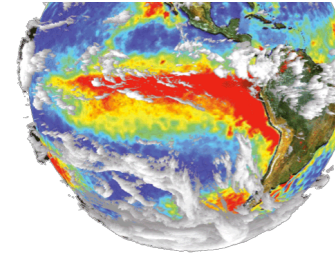
- NL ocean dynamical
- Impact of WWE
- TIW stirring

## Atmosphere response to SSTA

- Bjerknes wind stress feedback ( $\mu$ )
- Heat flux response ( $\alpha$ )

## Ocean response to $\tau$ and HF anomalies

- Upwelling (“thermocline feedback”)
- Zonal advection & Ekman feedbacks
- Wave dynamics
- Energy Dissipation



# A hierarchy of models

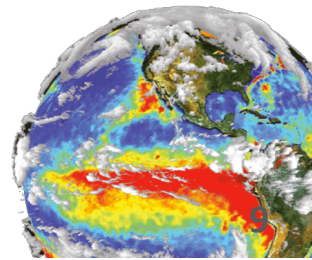
- Simple models (harmonic oscillators, LIM,...)
- Intermediate complexity models (ICM)
- GCMs
- Different goals and purpose:
  - Simple: theory and concepts, teaching tools, insights in sources of predictability
  - ICM: Easy to understand, versatile, limited in focus, difficult to relate to obs
  - GCM: Full complexity, expensive to develop and maintain, difficult to diagnose and understand, closer to observations
- Simpler models can be used to diagnose and understand more complex ones
- E.g. hybrid models, BWJ index



# Bridging the gap between theory and GCMs

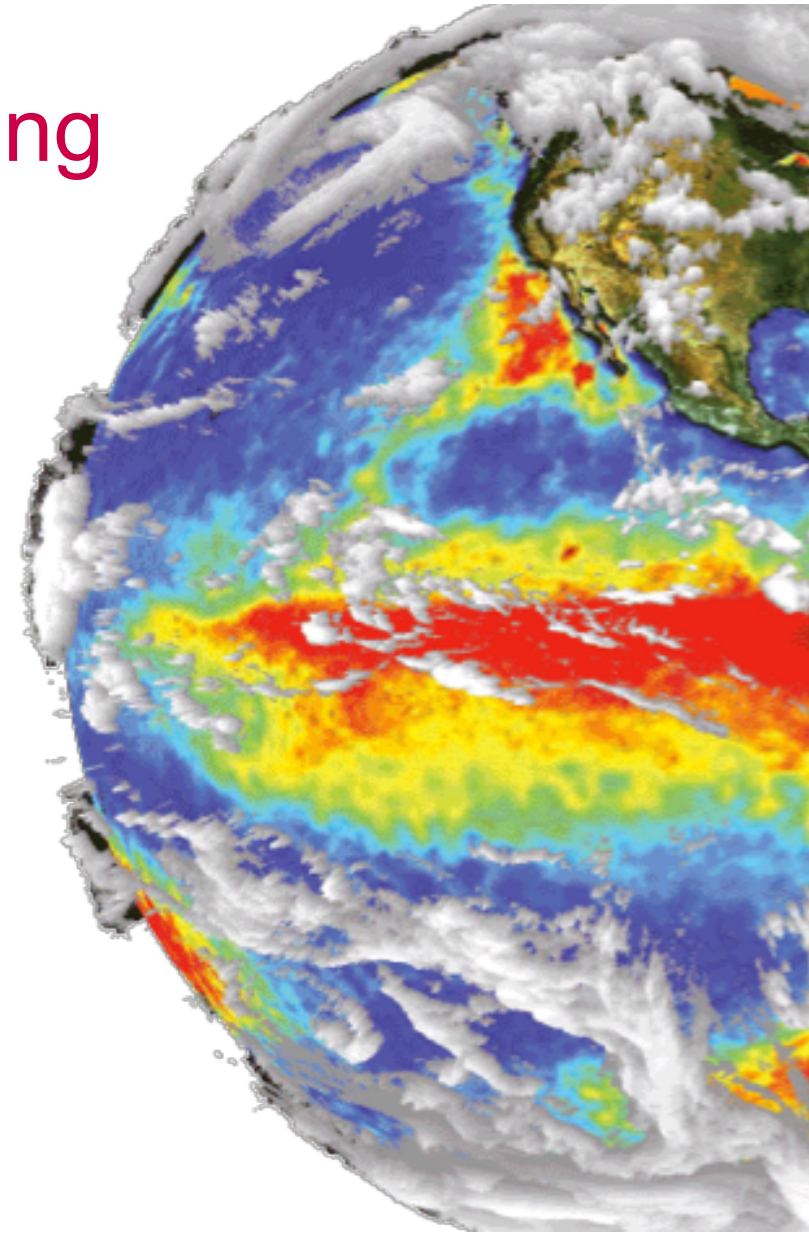
- Ensembles of opportunity provide a unique testbed for theory
- Disagreement -> model improvement but also theoretical improvement
- Many examples

The role of the atmosphere in ENSO

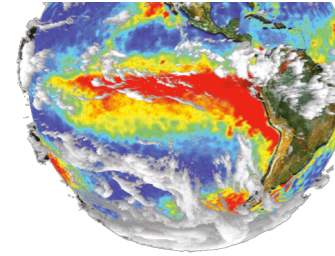


# Models for ENSO understanding

Exploring the role of the atmosphere in ENSO:  
Feedbacks, non-linearity and ENSO extremes

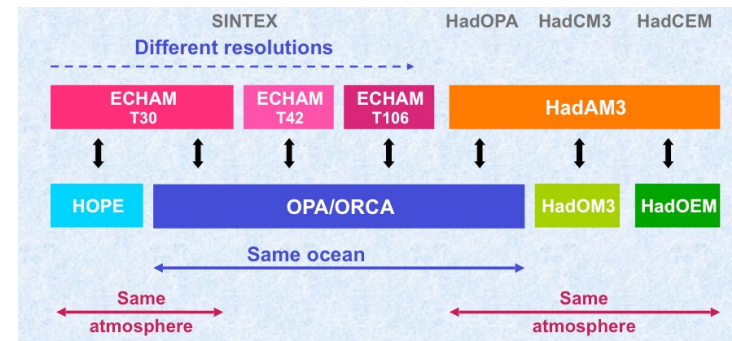


# Atmosphere feedbacks during ENSO



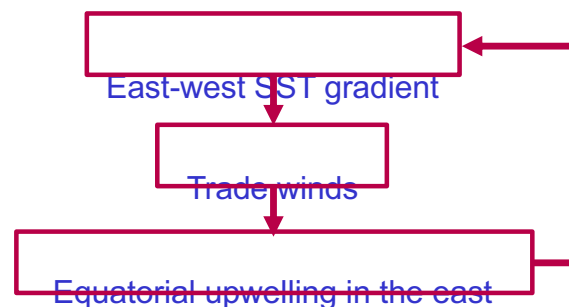
Multi-model and sensitivity studies show that AGCM has a dominant role

(e.g. Schneider 2002, Guilyardi et al. 2004, Kim et al. 2008, Neale et al. 2008, Sun et al. 2008,...)

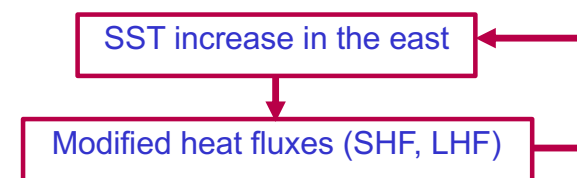


Two types of feedbacks:

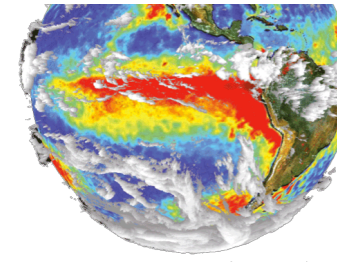
Dynamical: Bjerknes feedback  
m



Heat flux feedback a



Guilyardi et al. (2004)



# Role of atmosphere during ENSO

From a linear atmosphere to the driver of variability

- 1 - Classical theory: Dynamical positive Bjerknes feedback:  $\mu$   
 Negative heat flux feedback:  $\alpha$  (SHF, LHF)

e.g.: the Bjerknes coupled-stability index for ENSO  $I_{BJ}$

$$\frac{\partial \langle T \rangle}{\partial t} = 2I_{BJ} \langle T \rangle + F[h],$$

$$2I_{BJ} = - \left( \frac{\langle \bar{u} \rangle}{L_x} + \frac{\langle -2y\bar{v} \rangle}{L_y^2} + \frac{\langle H(\bar{w})\bar{w} \rangle}{H_m} \right) - \alpha$$

Mean advection and upwelling (damping)

$\alpha$  : atmosphere heat flux feedback (local linear)

Zonal advection feedback  $\rightarrow + \mu_a \beta_u \left\langle -\frac{\partial \bar{T}}{\partial x} \right\rangle + \mu_a \beta_w \left\langle \frac{\partial \bar{T}}{\partial z} H(\bar{w}) \right\rangle$

Ekman pumping feedback  $\rightarrow + \mu_a^* \beta_h \left\langle \frac{H(\bar{w})\bar{w}}{H_m} a \right\rangle,$

Thermocline feedback  $\rightarrow$

$$\beta_u = \beta_{um} + \beta_{us}, \quad F = - \left\langle \frac{\partial \bar{T}}{\partial x} \right\rangle \beta_{uh} + \left\langle \frac{H(\bar{w})\bar{w}}{H_m} a \right\rangle.$$

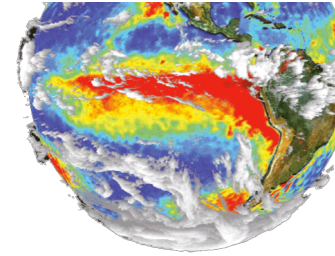
$\mu_a$ : Bjerknes feedback or linear “coupling strength”

Jin et al. (2006), Kim et al. (2010)

Linear stability analysis of recharged oscillator SST equation



# Role of atmosphere during ENSO



From a linear atmosphere to the driver of variability

## 2 - Dominant role of AGCM in coupled AOGCMs

OGCM only modifies the amplitude

(Schneider 2002, Guilyardi et al. 2004, 2009, Kim et al. 2008, Neale et al. 2008, Sun et al. 2008, 2010)

e.g.: apply BWJ Index to the CMIP3 GCMs:

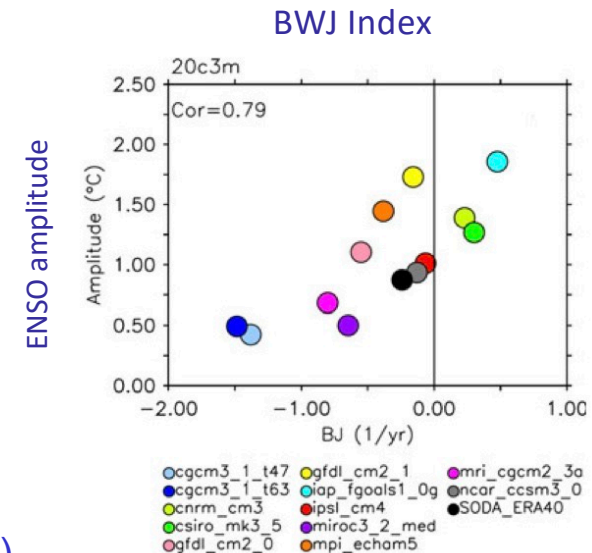
- BWJ Index correlated with ENSO amplitude !
- a major contributor to ENSO amplitude errors

Kim and Jin (2010), Guilyardi et al. (2009b)

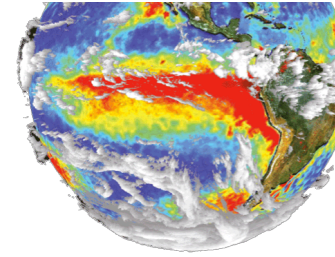
## 3 - The Southern Oscillation is an atmosphere mode

- Slab ocean El Niño, thermally coupled Walker mode (TCW)
- Mechanisms: MM, WES, cloud shortwave feedbacks, extra-tropical forcing
- Ocean role: amplify signal and 2-7 years power spectra in east Pacific

(Kitoh et al. 1999, Vimont et al. 2003, Chang et al. 2007, Dommenges et al. 2010, Alexander et al. 2010, Terray et al. 2011, Clement et al. 2011)



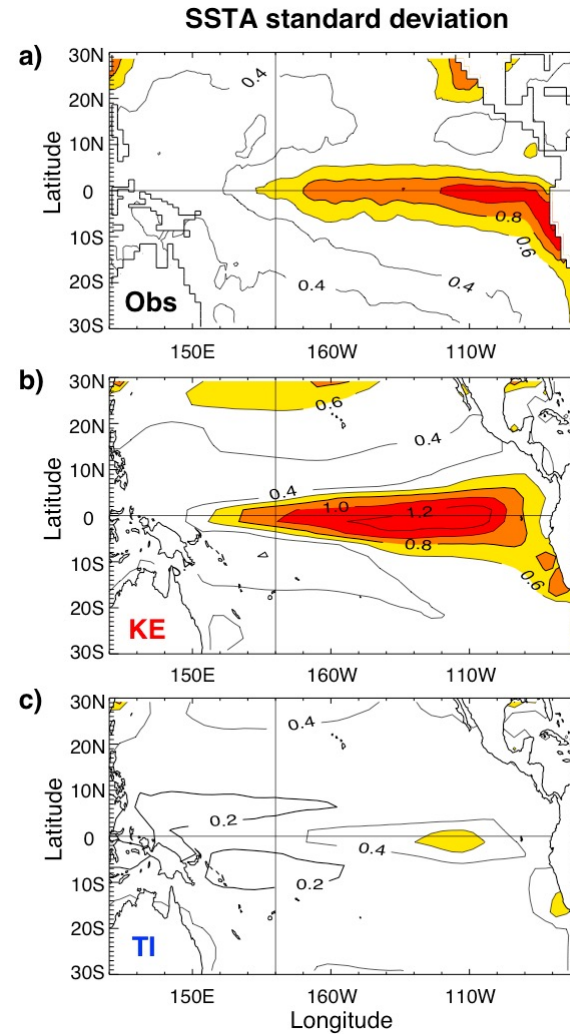
# Impact of atmosphere convection scheme on ENSO



Observations  
(0.9 C) - HadiSST1.1

IPSL (KE)  
Kerry Emanuel  
(1.0 C) - in IPCC

IPSL/Tiedke (TI)  
(0.3 C) – old scheme

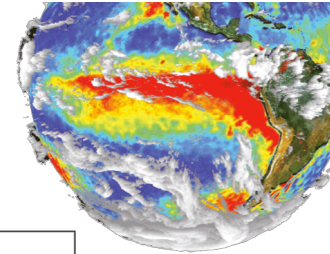


IPSL-CM4 model

ENSO has  
disappeared !  
What role for  $\alpha$  and  $\mu$  ?

Guilyardi et al. (2009b)

# BS index for KE and TI



$$\frac{\partial \langle T \rangle}{\partial t} = 2I_{BJ} \langle T \rangle + F[h],$$

$$2I_{BJ} = - \left( \frac{\langle \bar{u} \rangle}{L_x} + \frac{\langle -2y\bar{v} \rangle}{L_y^2} + \frac{\langle H(\bar{w})\bar{w} \rangle}{H_m} \right) - \alpha$$

$$+ \mu_a \beta_u \left\langle -\frac{\partial \bar{T}}{\partial x} \right\rangle + \mu_a \beta_w \left\langle \frac{\partial \bar{T}}{\partial z} H(\bar{w}) \right\rangle$$

$$+ \mu_a^* \beta_h \left\langle \frac{H(\bar{w})\bar{w}}{H_m} a \right\rangle,$$

$$\beta_u = \beta_{um} + \beta_{us}, \quad F = - \left\langle \frac{\partial \bar{T}}{\partial x} \right\rangle \beta_{uh} + \left\langle \frac{H(\bar{w})\bar{w}}{H_m} a \right\rangle.$$

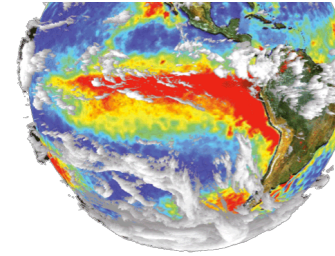
	Dynamic damping	Thermodynamic damping ( $\alpha$ )	Ocean feedbacks	<b>BJ Index</b>
KE	-0.46	-0.45	1.02	<b>0.11</b>
TI	-0.61	-1.33	0.52	<b>-1.42</b>
Change (%)	-30%	-200%	-50%	

Table 1. The BJ Index and its components for KE and TI simulations. The ocean feedbacks sums the zonal advective feedback, the thermocline feedback and the Ekman feedback (see Jin et al. 2006 for details). Units are 1/Yr.

Guilyardi et al. (2009b)

→ Linear theory:  $\alpha$  dominant factor in TI/KE difference

# Impact of atmosphere convection scheme on ENSO – role of $\alpha$ and $\mu$



	m	a	ENSO amplitude
Obs	~10/12	-18	0.9
KE	4	-5	1.0
TI	4	-20	0.3
	$10^{-3} \text{ N.m}^{-2}/\text{C}$	$\text{W.m}^{-2}/\text{C}$	$^{\circ}\text{C}$

KE: error compensation !



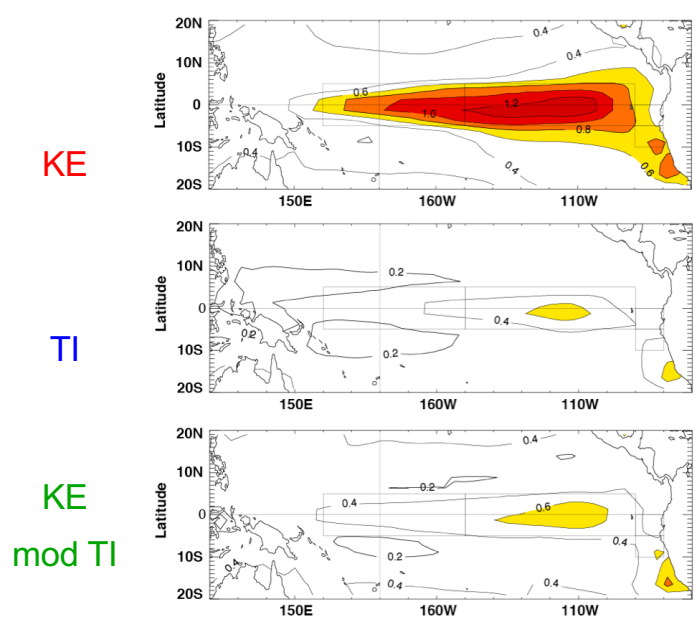
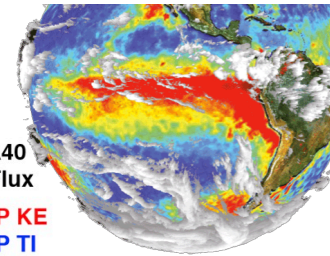
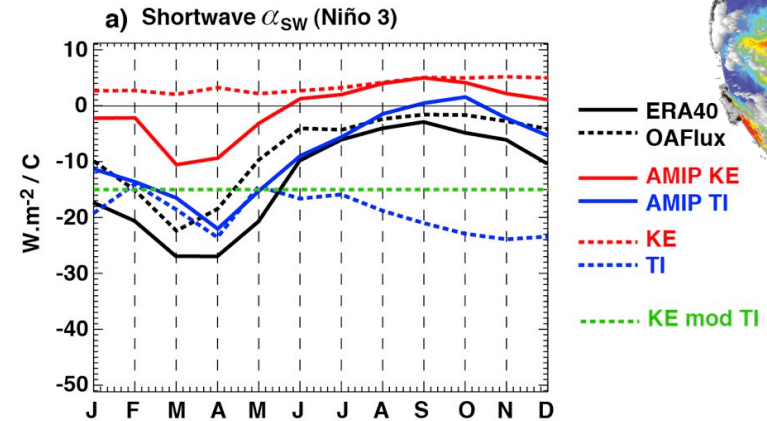
Due to shortwave feedback difference second half of the year (ENSO growth)

→ asw sensitive to atmosphere convection scheme in IPSL-CM4



# Can we suppress ENSO in KE ?

- Perform KE run with increased  $a_{sw}$ 
  - Interannual Flux Correction:
    - $SHF_o = SHF_{sc}^{KE} + a_{sw}^{mod} (SST_o - SST_{sc}^{KE})$
    - $a_{sw}^{mod} = -15 \text{ W.m}^{-2}$
    - Mean state (SC) unchanged



	m	a	El Niño Amplitude
Obs	~10	-18	0.9
KE	4	-5	1.0
TI	4	-20	0.3
KE mod TI	5	-21	0.4
	$10^{-3} \text{ N/m}^2/\text{C}$	$\text{W/m}^2/\text{C}$	$^{\circ}\text{C}$

ENSO gone as well !

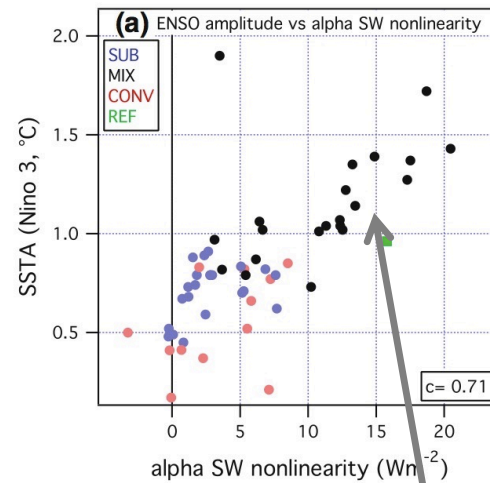
# Extreme El Niño events related to atmosphere non-linearity

Two regimes in east Pacific

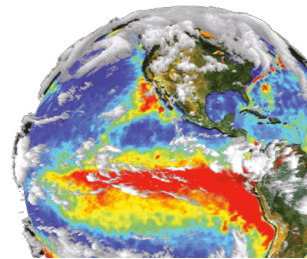
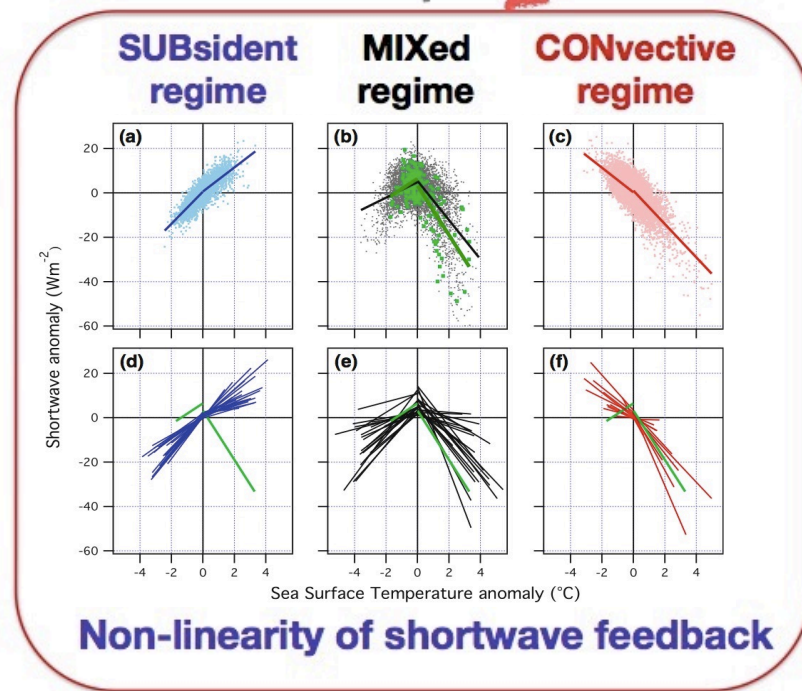
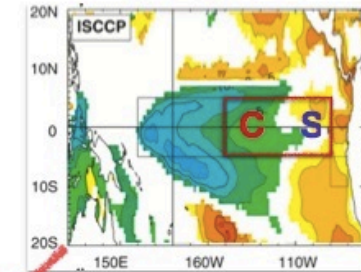
Only models with MIXed regime can simulate extreme El Niño events

Only one third of models have a MIXed regime

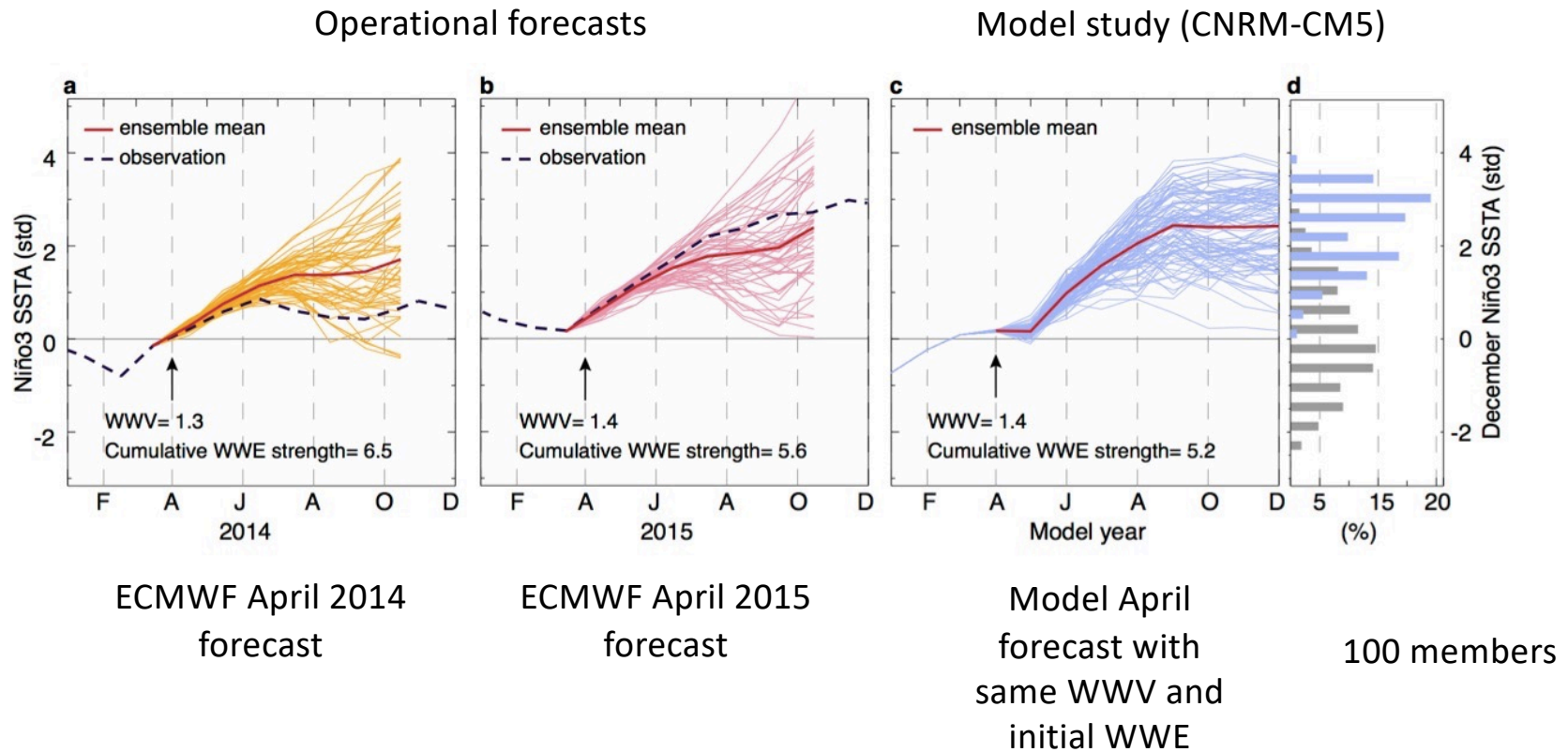
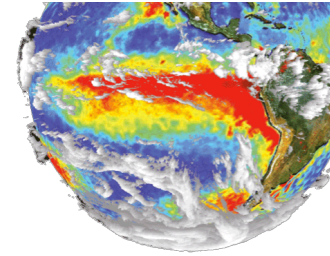
This non-linearity is a key process-based metric



Shortwave feedback in observations  
 $\partial SHF / \partial SST$

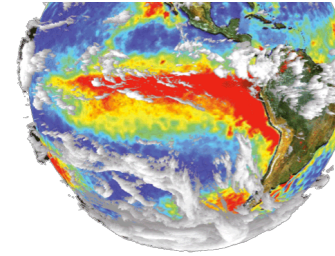


# Extreme El Niño predictability as a function of WWE activity

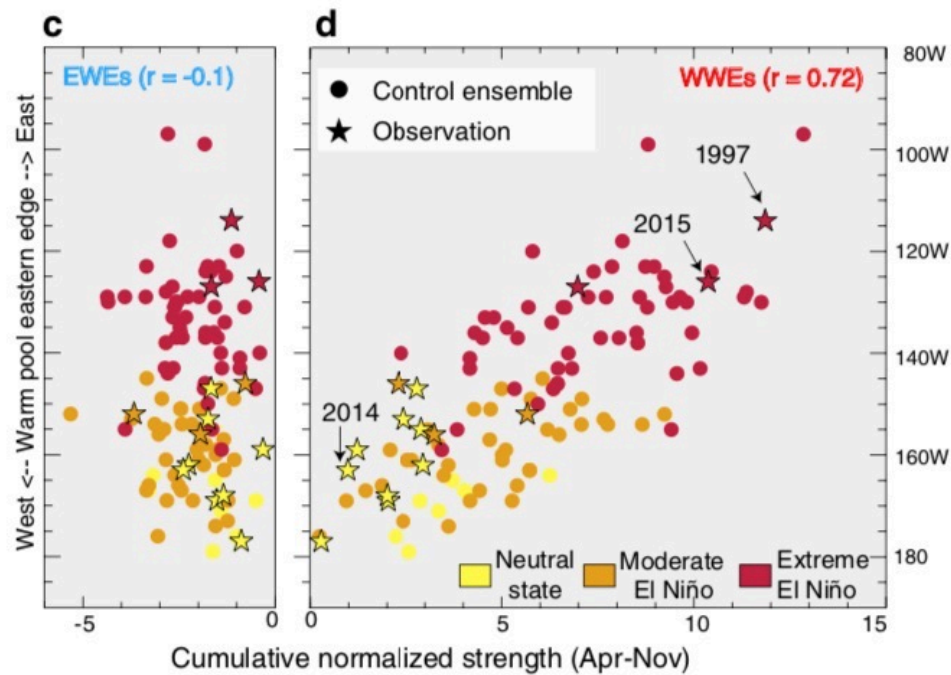


Influence of Westerly Wind Events stochasticity on El Niño amplitude: the case of 2014 vs. 2015 - Puy et al. 2017

## Extreme El Niño predictability as a function of WWE activity



Cumulated WWE activity during growth phase directly influences ENSO amplitude via eastern displacement of WP edge

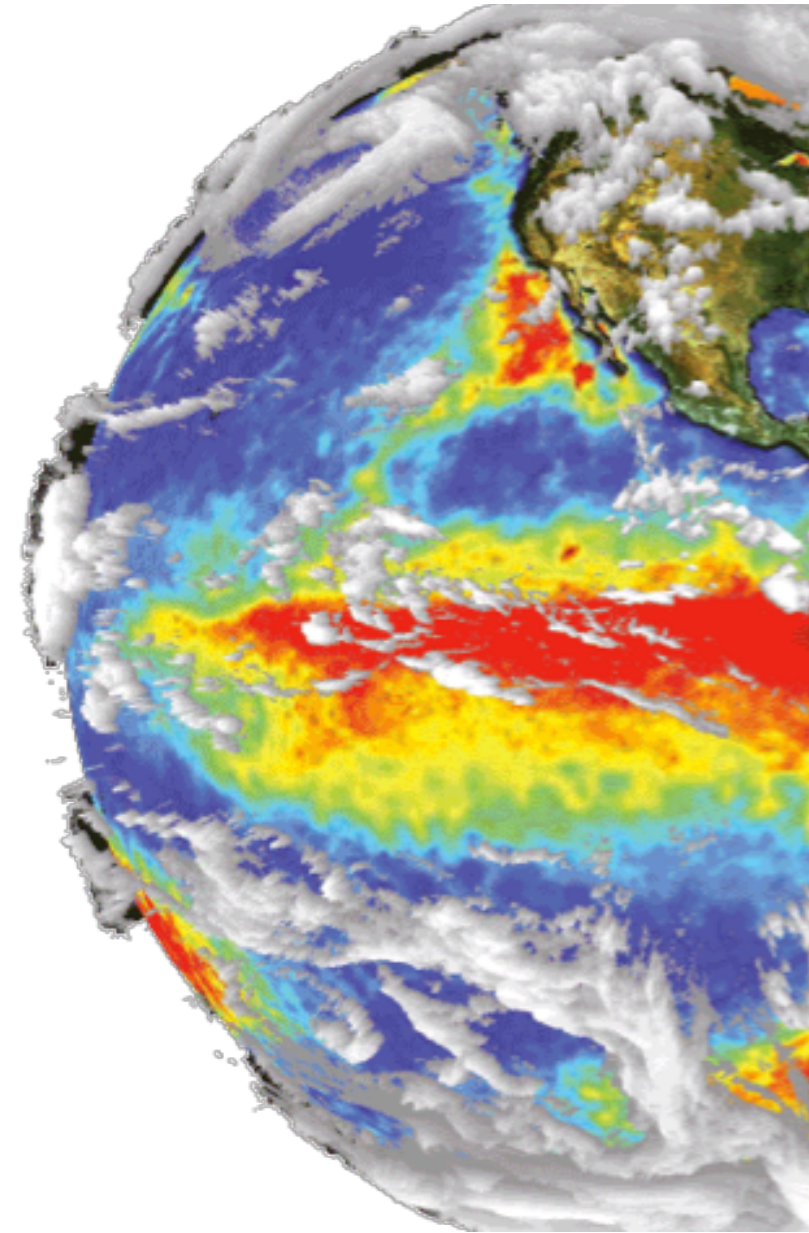


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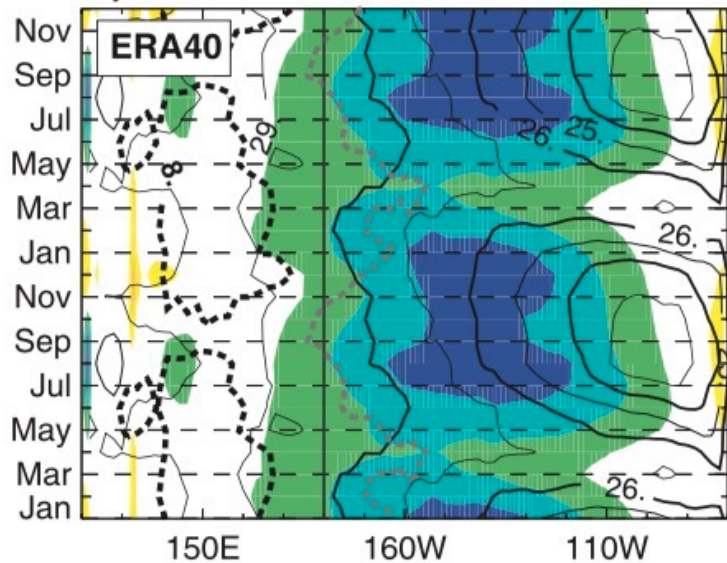
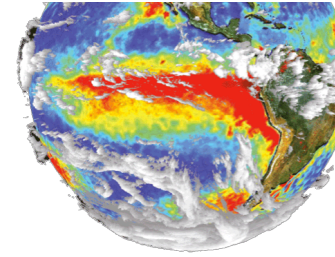


# Evaluation of ENSO in GCMs

- ENSO influence is global: need to get it right
- Early need for ENSO evaluation
  - Tropical Pacific mean state
  - ENSO key biases and mechanisms
- From statistics to process-based metrics



# ENSO as an anomaly to a mean state



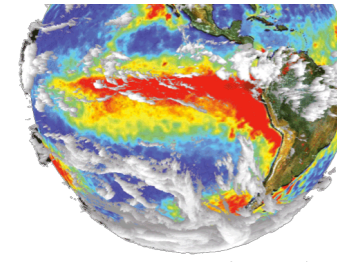
Annual cycle = background to ENSO

Northern Spring is “**El Niño** – like”

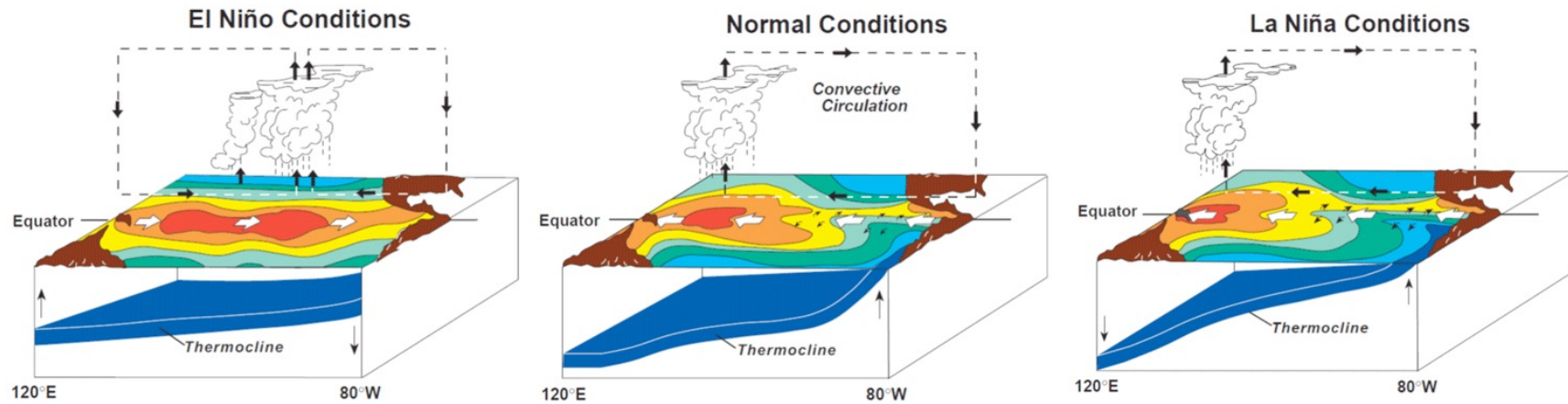
Northern Fall is “**La Niña** – like”

**Hovmoeller of annual cycle along the equator (x2):**

Wind stress (shading), SST (solid contours),  
Precipitation (3 and 8 mm/day dashed)



# ENSO and the mean state



Complete disruption of Annual Cycle

*discharge*

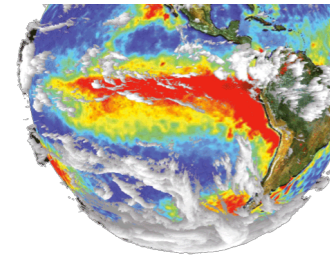
Amplification of Annual Cycle

*recharge*

➡ Evaluation of mean state and annual cycle is a first step

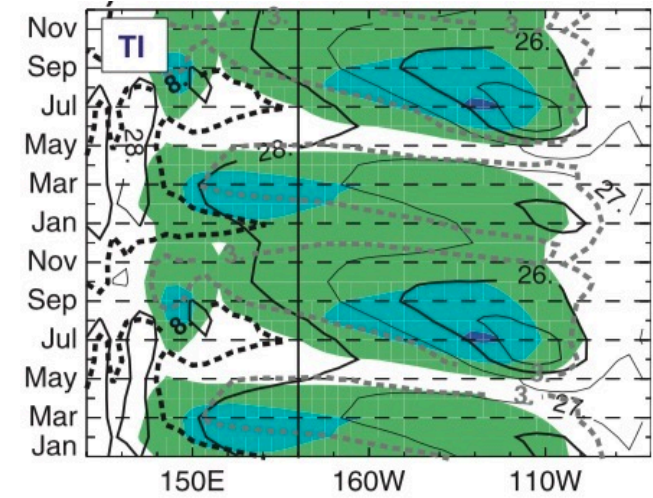
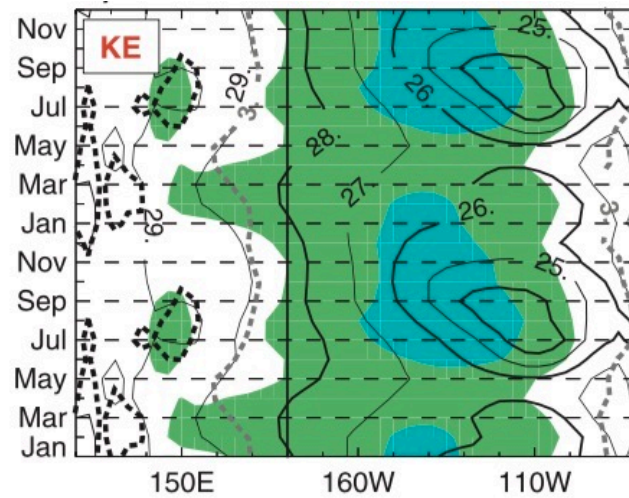
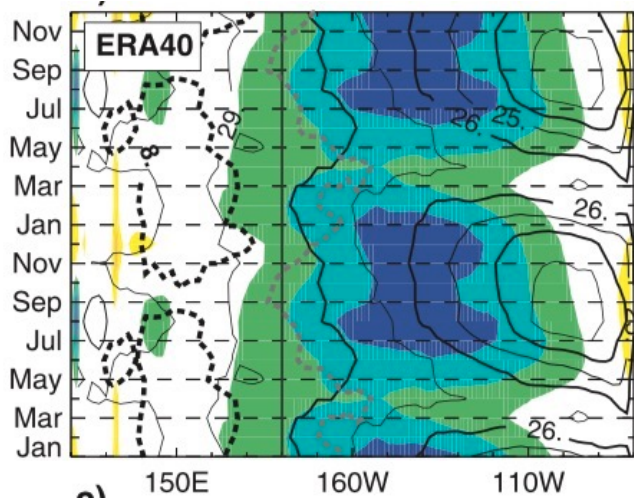


# Models struggle to simulate mean state and annual cycle



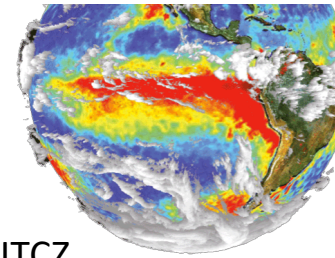
Observations

IPSL-CM4 coupled model



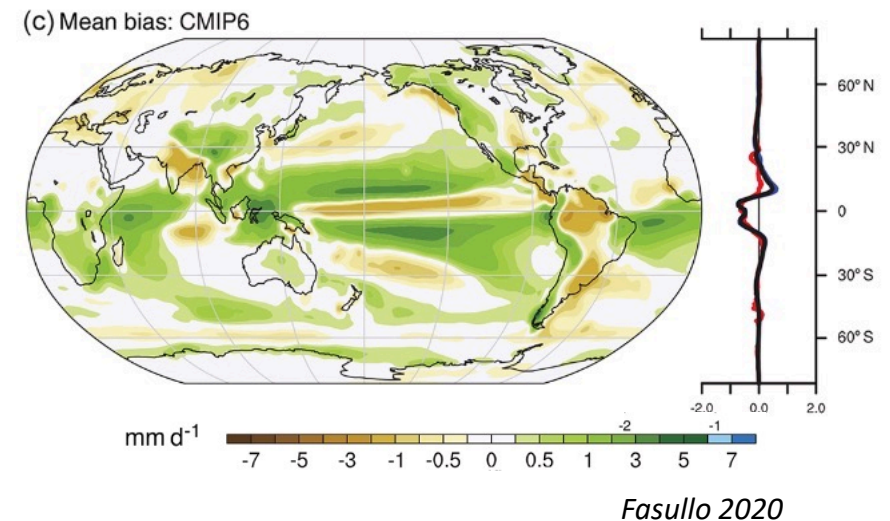
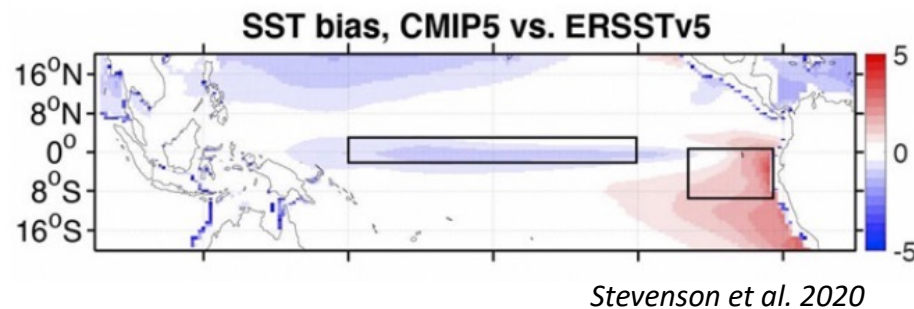
- Convection in AMIP TI too strong -> semi-annual cycle

# Key biases – Tropical Pacific mean state



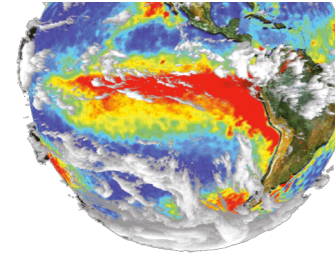
- Too strong Equatorial Cold Tongue (ECT) that extends too far west
- Warm SST bias near the coast of South America

- Excessive “double” ITCZ
- SPCZ too zonal



- Biases in the cloud regimes over the eastern and central Pacific
- Equatorial  $\tau_x$  that is too strong or too weak
- Equatorial Pacific dry bias
- Overly cyclonic wind stress off-equator (favour ECT)
- Overly intense hydrologic cycle over the tropical Pacific
- Biases in the equatorial thermocline depth, intensity, sharpness, and zonal slope

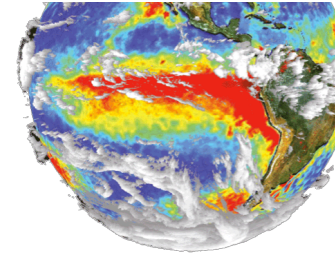
*Guilyardi et al. 2020*



## Key biases in ENSO

- Amplitude errors, which can also affect the skewness, diversity, and interdecadal modulation
- Errors in spectrum - too sharply peaked, and ENSO period too regular and biennial
- Too little synchronization of ENSO to the annual cycle, or a synchronization of ENSO to the wrong season
- Errors in the level of interdecadal modulation
- SSTA patterns and atmosphere response displaced too far west
- Too little skewness of ECT SSTAs toward warm events

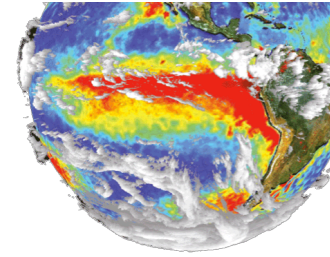




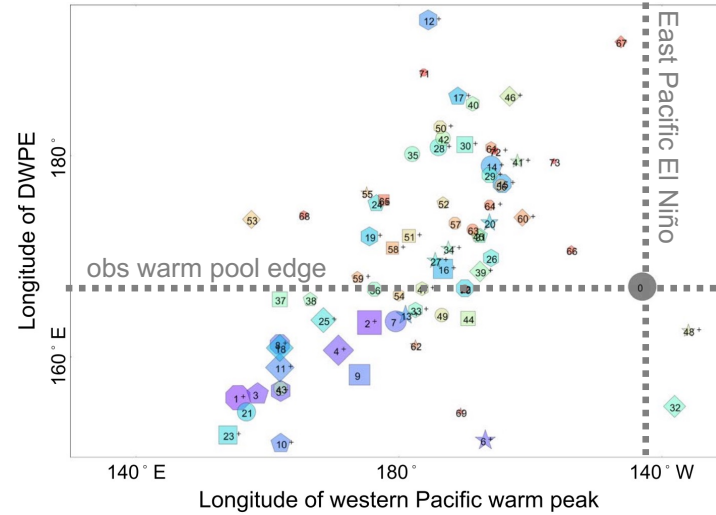
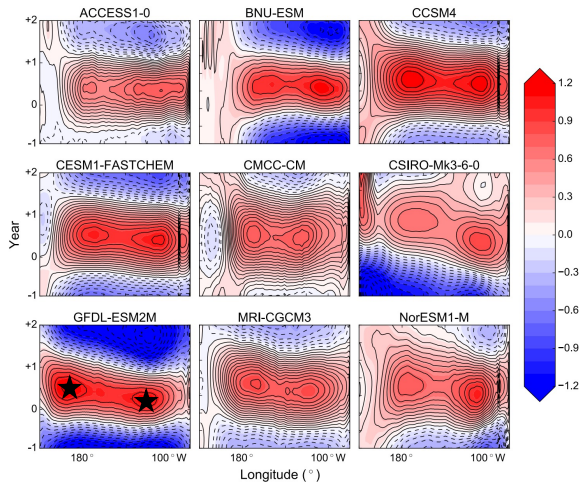
## Key biases in ENSO mechanisms

- Equatorial  $\tau_x$  anomalies that are too weak, too far west, and too narrow in the meridional direction (reduced zonal wind feedback)
- Too little damping of SSTAs by surface heat fluxes, often due to a weak cloud shading response ( $a_{sw}$  problem)
- Insufficient cross-timescale linkage between ENSO, its intraseasonal precursors, and Pacific decadal modes, linked to biases in the background climatology
- Error compensations that can lead to right statistics for wrong physical reasons

# Double-peaked El Niño SSTAs

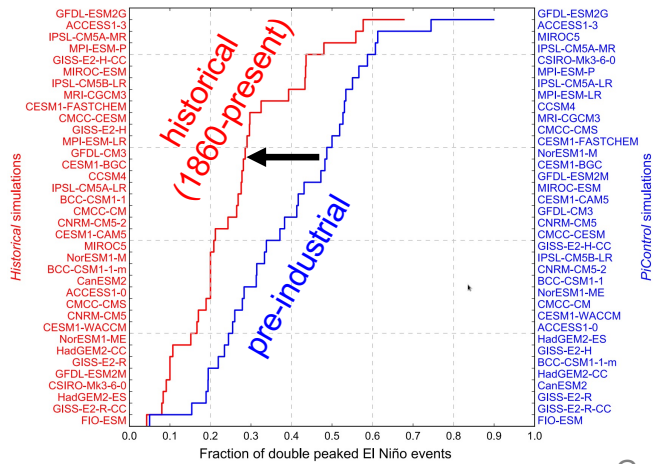


Composite El Niño in CMIP5 models  
(equatorial SSTA)



If **warm pool is too far west**, we get more **double-peaked El Niños** with western peaks that are **farther west**.

**Present-day** simulations show fewer double-peaked El Niños than **pre-industrial**.



Graham et al. (CD 2017)

# CMIP5 rainfall responses to ENSO

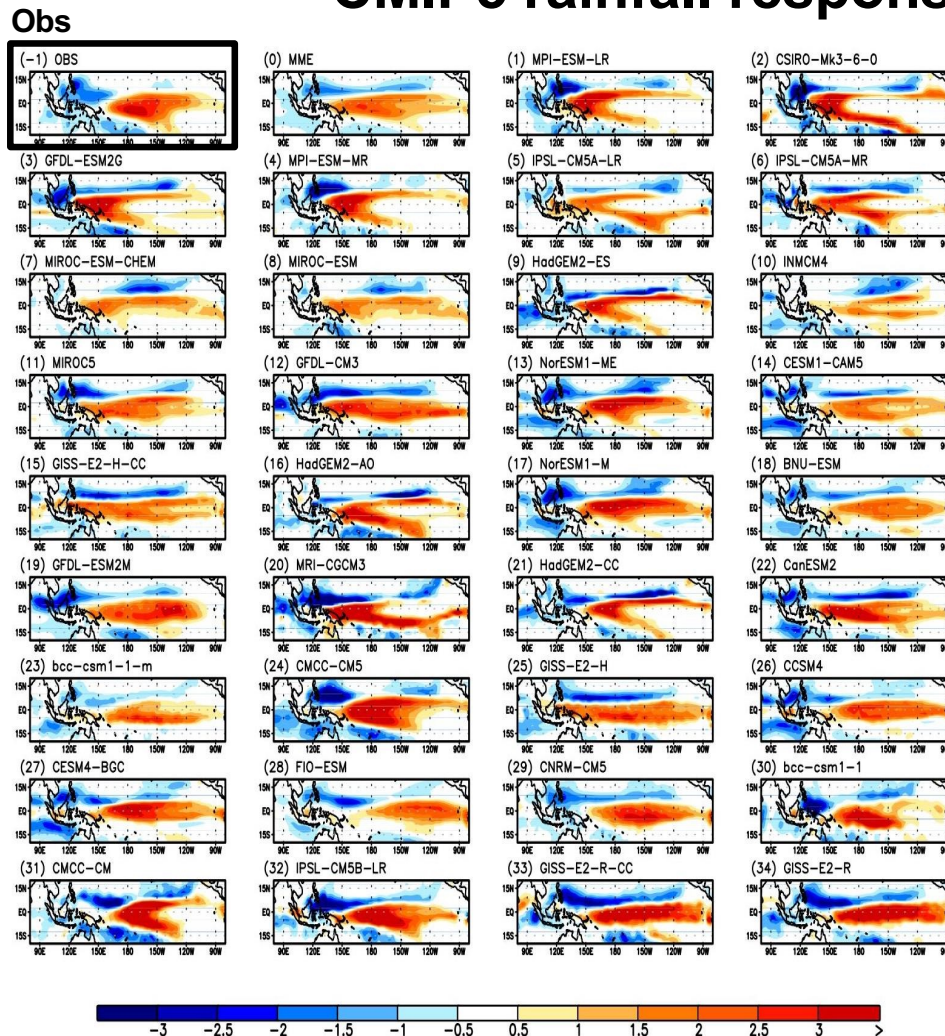
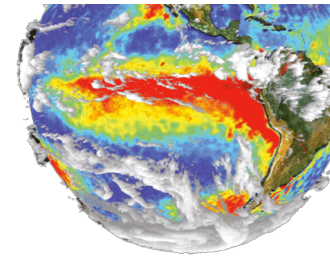


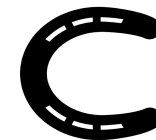
FIG. 1. The precipitation anomalies regressed onto the Niño-3.4 index during the December–February (DJF) season, in the observation (–1), multimodel ensemble (MME: 0), and each model (1–34; model numbers are given in Table 1). Note that the unit of the regression is  $\text{mm day}^{-1}\text{C}^{-1}$ .

DJF regressions on NINO3.4 SSTA.

Obs show eastward & equatorward shift of deep convection during El Niño.

ECT cold SST bias → many model responses are too far west along the equator.

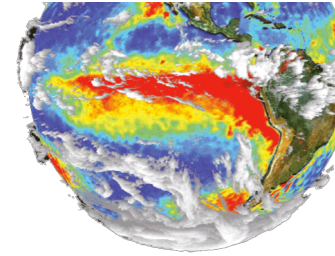
“Horseshoe” shape.



Ham & Kug (JC 2015)



# CMIP5: SSTA zonal propagation linked to mean state



**Warmer-ECT**  
models show less  
**westward**  
**propagation**  
of equatorial SSTAs.

May be due to a  
**weaker westward**  
**SEC**, or weaker  
 $dT/dx$  &  $dT/dz$   
curbing **zonal &**  
**Ekman feedbacks**  
relative to the  
thermocline  
feedback.

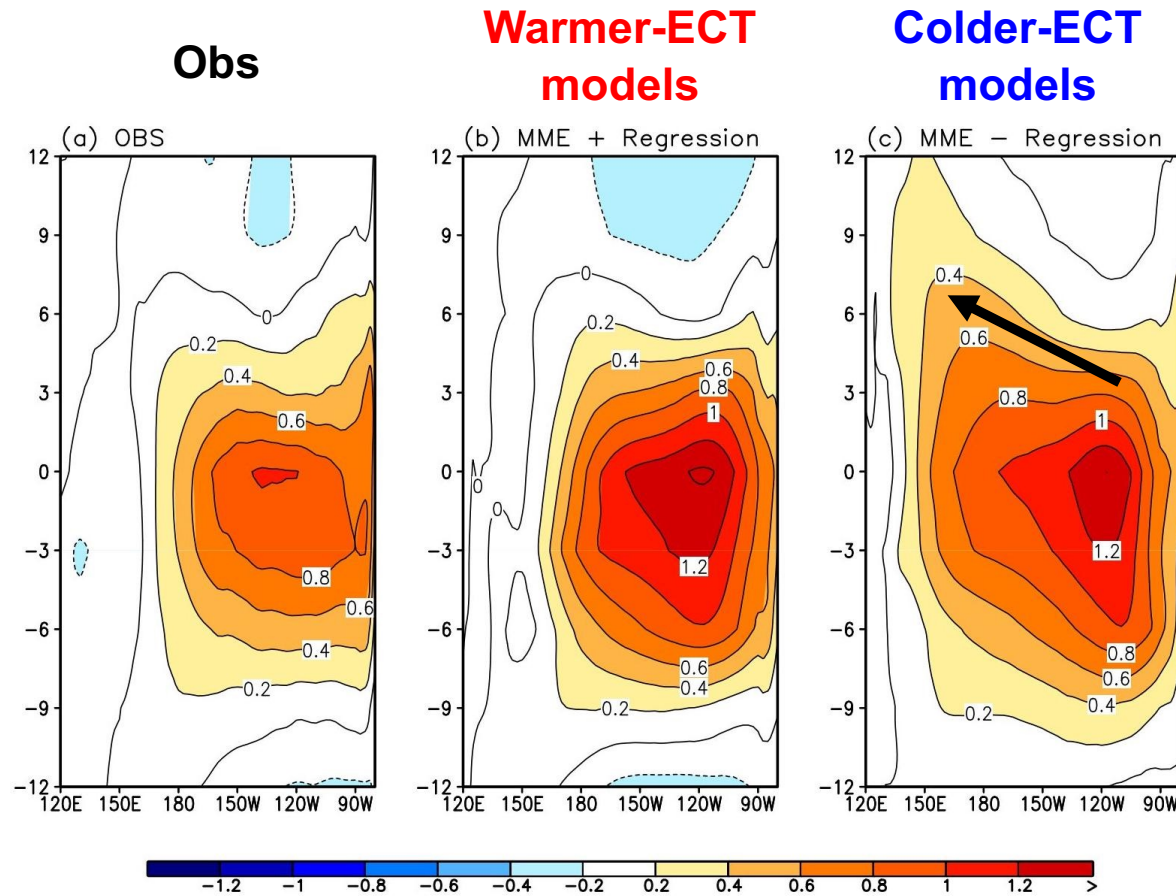
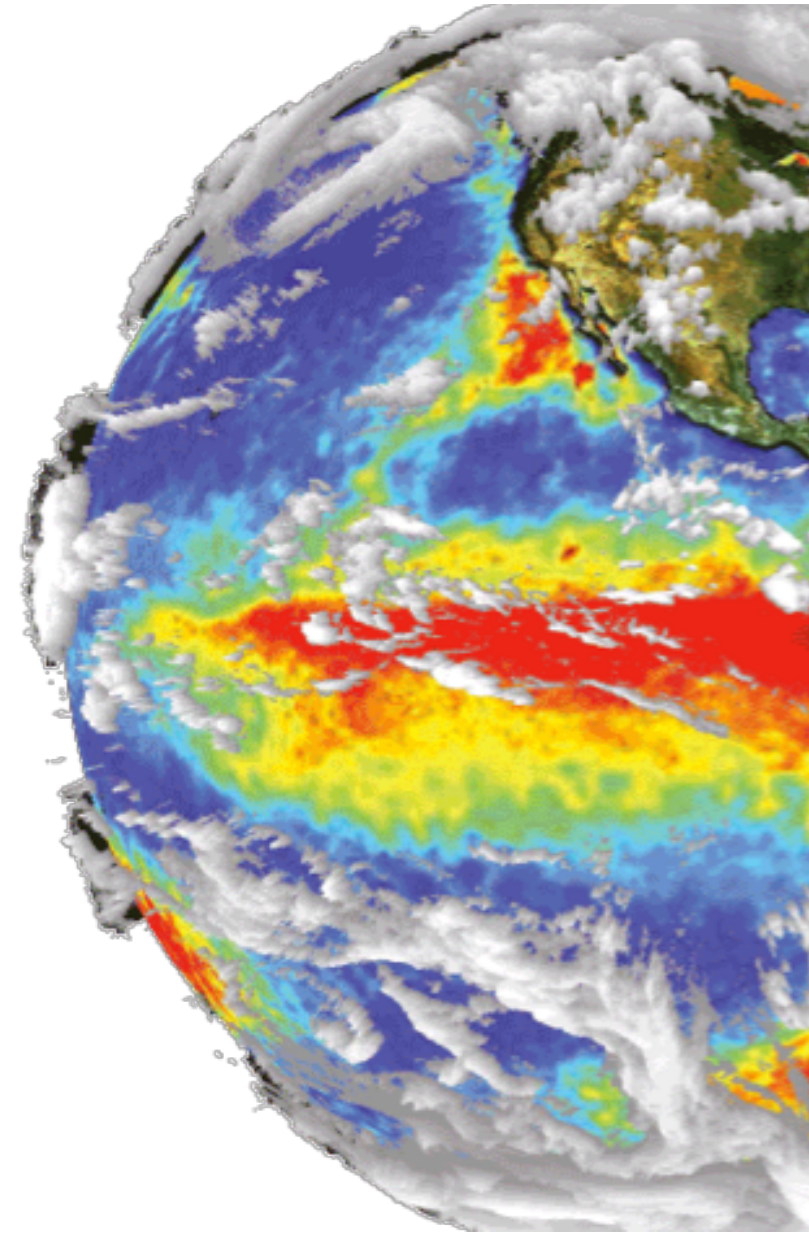


FIG. 6. (a) The observed equatorially averaged ( $5^{\circ}\text{S}$ – $5^{\circ}\text{N}$ ) ENSO-related SST anomalies from the preceding January (i.e.,  $-12$  on the  $y$  axis), to subsequent December (i.e.,  $+12$  on the  $y$  axis) of the ENSO peak season. Also shown is the regression of the intermodel differences of the equatorially averaged ENSO-related SST anomalies onto the first EOF PC from the preceding January to subsequent December of the ENSO peak season, which is (b) added to and (c) subtracted from the MME response of the Niño-3.4-regressed SST.

*Ham & Kug  
(JC 2015)*

# ENSO metrics

- Measure of distance between model and a reference
- Two main goals:
  - Guide model development
  - Help “non experts” assess ENSO
- Go beyond the *niño3 SSTA stdev* view
- CLIVAR context, WG, several papers
- Learning to use metrics  $f(Q, \text{context})$
- Dealing with insufficient observations
- Benefits and risks



# CLIVAR ENSO metrics work group



- Initiated via the CLIVAR Research Focus Development Team “ENSO in a changing climate” (2014-2018)
- Now coordinated by CLIVAR Pacific Region Panel (Andrew)
- (too?) many meetings (Paris, Pune, Hobart, San Francisco, Lijiang, Busan, Quayaquil,...)
- Led to a number of papers
- Great community adventure – now led by Yann and Andrew



From BJ to BWJ...

*The awakening...*

...oh my  
god !



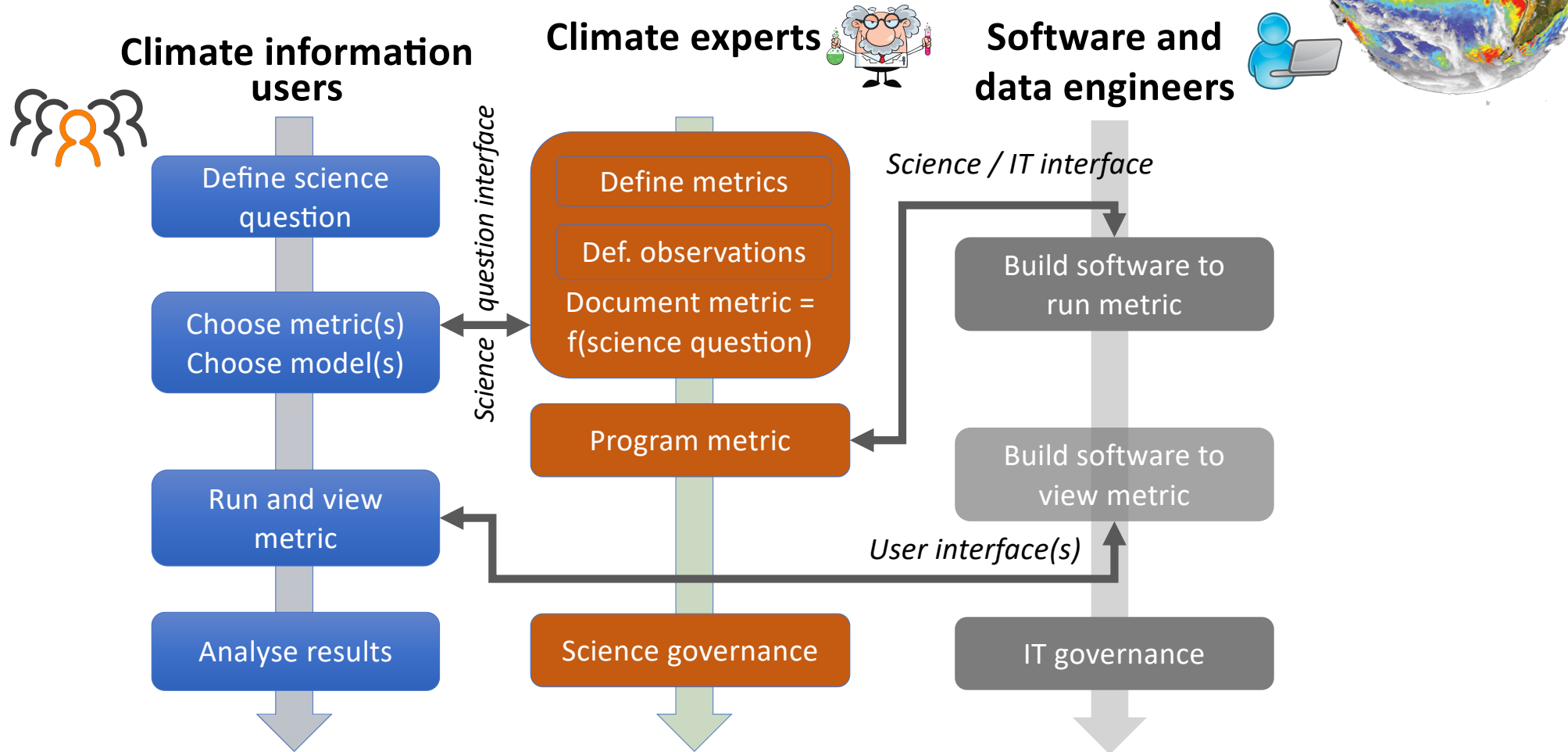
Fei-Fei, do you  
know what is a

$$\begin{aligned} & - \left( \frac{\langle \bar{u} \rangle}{L_x} + \frac{\langle -2y\bar{v} \rangle}{L_y^2} + \frac{\langle H(\bar{w})\bar{w} \rangle}{H_m} \right) - \alpha \\ & + \mu_a \beta_u \left\langle -\frac{\partial \bar{T}}{\partial x} \right\rangle + \mu_a \beta_w \left\langle \frac{\partial \bar{T}}{\partial z} H(\bar{w}) \right\rangle \\ & + \mu_a^* \beta_h \left\langle \frac{H(\bar{w})\bar{w}}{H_m} a \right\rangle, \quad ? \end{aligned}$$

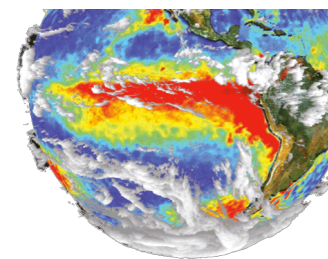




# Model evaluation workflow



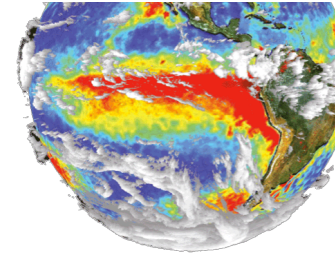
Articulate different actors, different expertise and expectations



## Document science provenance of metric

- What is the scientific question ?
- What are the related metrics?
- What are the reference “observations” ?
- Introduce concept of Metric collection (MC) to address specific science question

	Metric 1	Metric 2	Metric 3	Metric 4	Metric 5...
Collection Q1					
Collection Q2					
Collection Q3					
...					



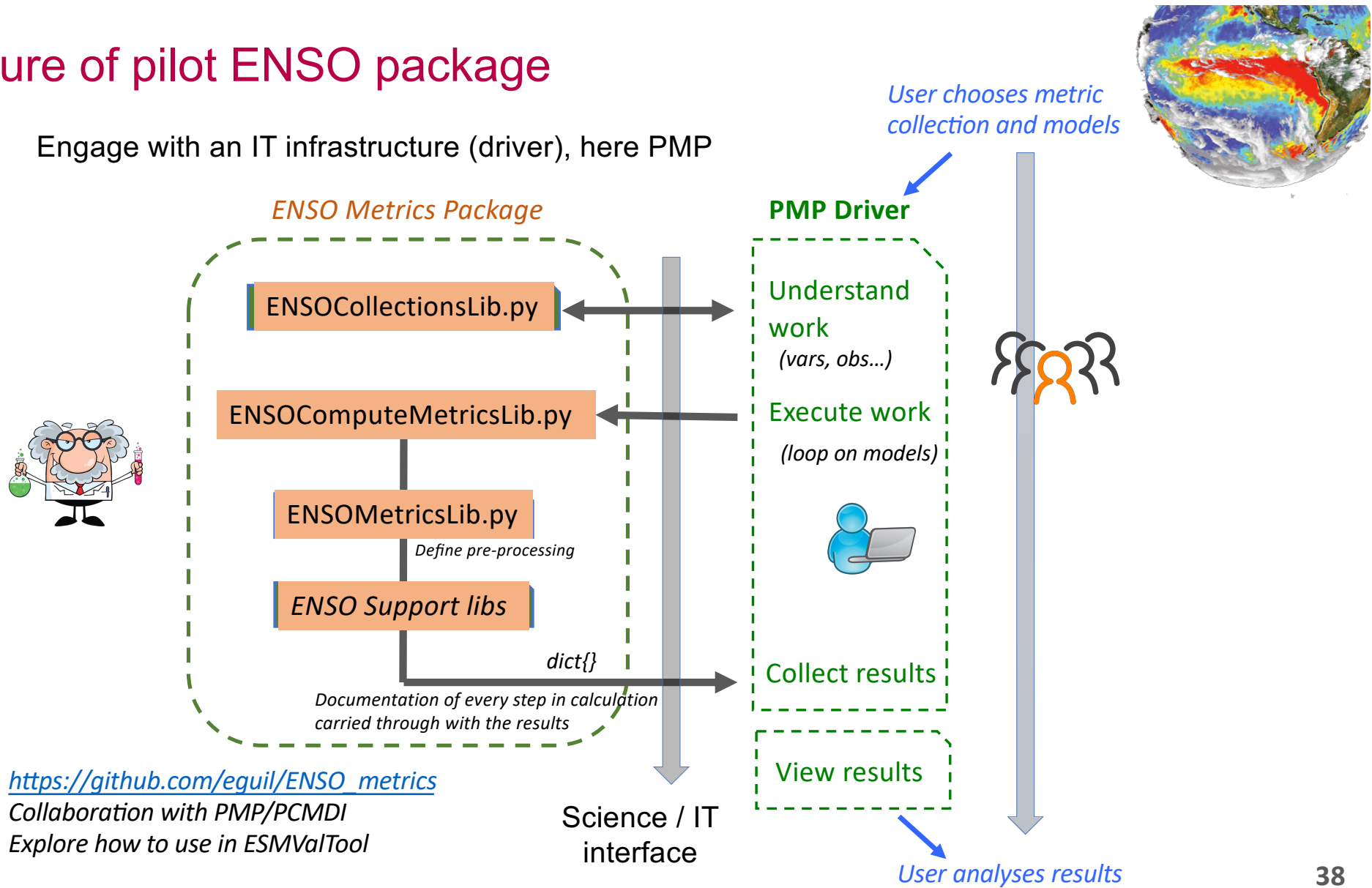
# First science questions for ENSO metrics

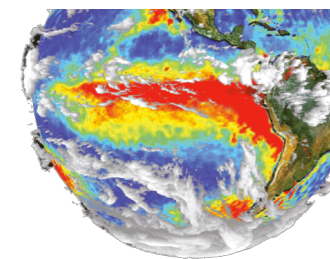
- **ENSO performance in historical**
  - Mean state incl. SC, ENSO characteristic space/time, diversity, decadal
  - Use ensemble or Picontrol to evaluate uncertainty
- **ENSO teleconnections in historical**
  - Metrics a la Scott (25 regions,...), RMS,...
- **ENSO processes** (right for right reasons)



# Structure of pilot ENSO package

Engage with an IT infrastructure (driver), here PMP



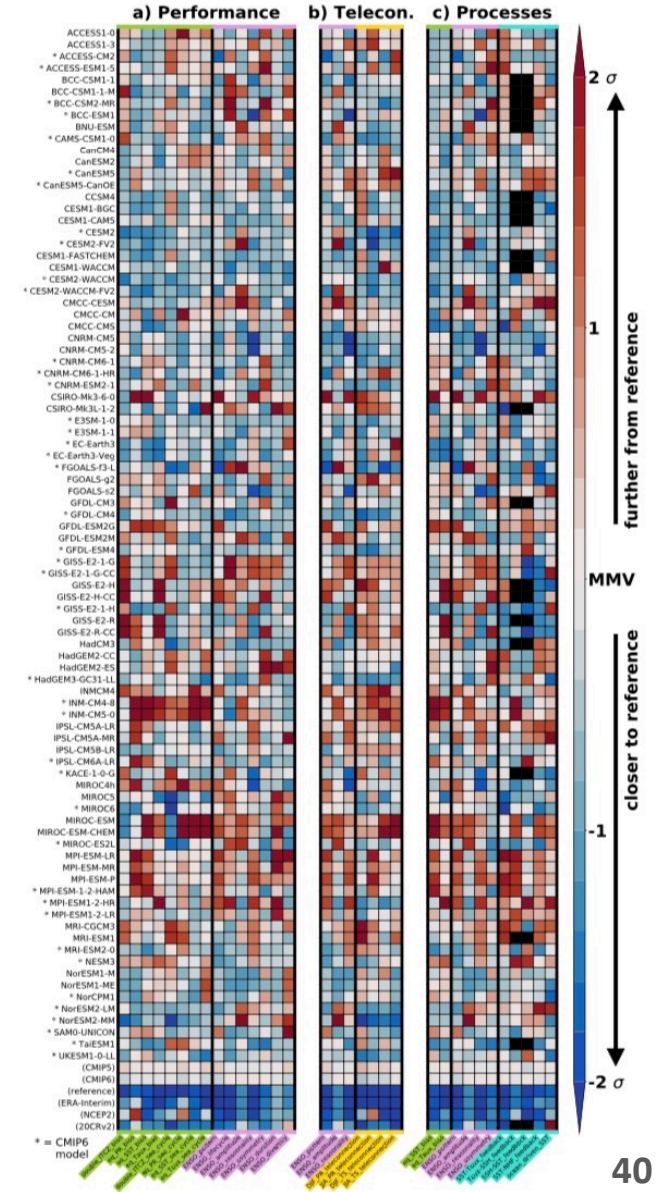
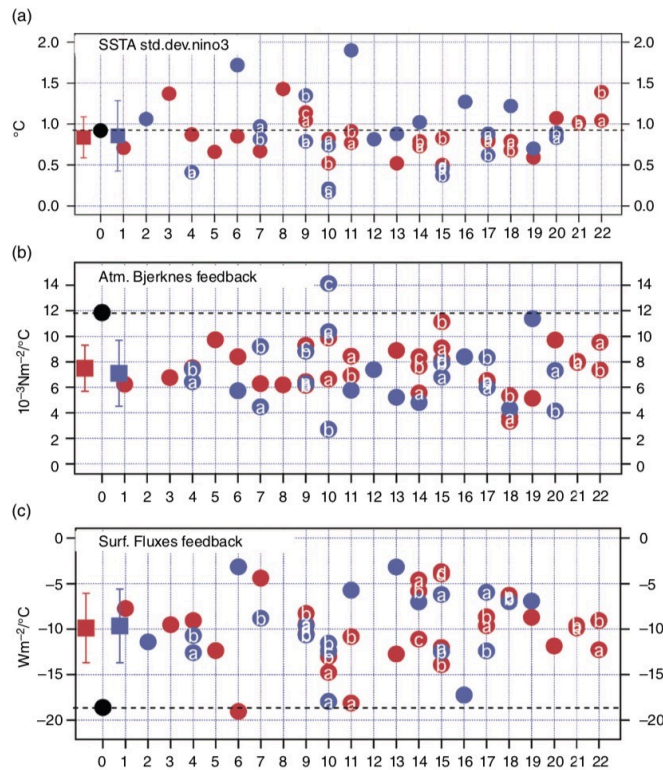


Some happy ENSO metrics team members



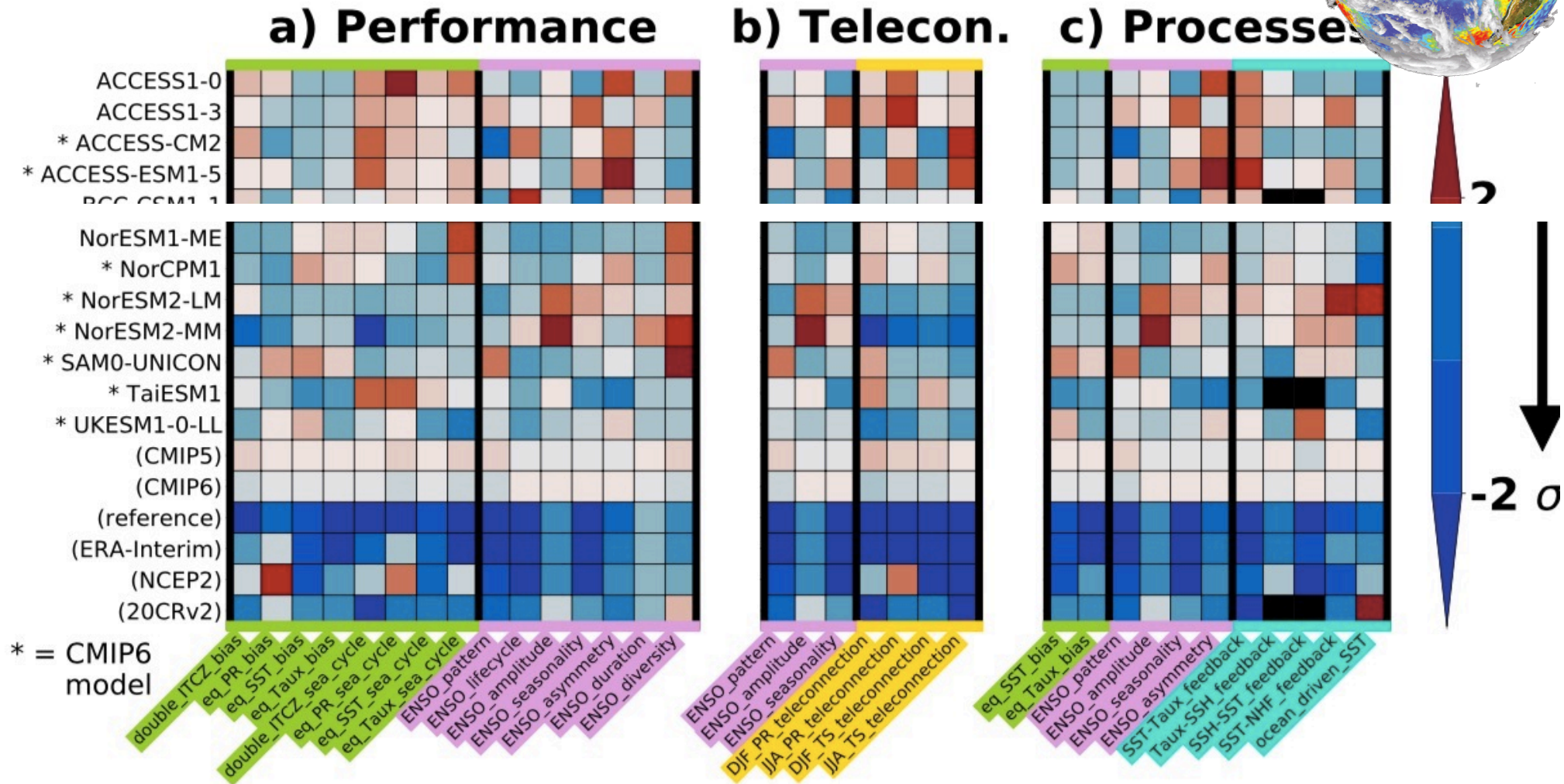
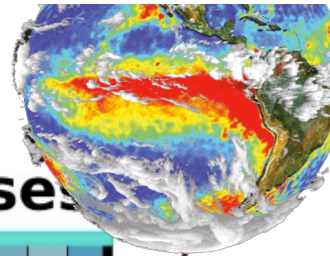
CMIP6 Model Analysis Workshop, Barcelona, 2019

# ENSO metrics: devising portrait plots

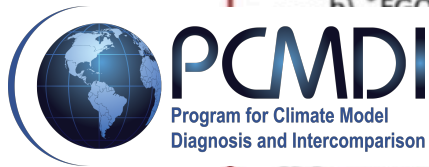
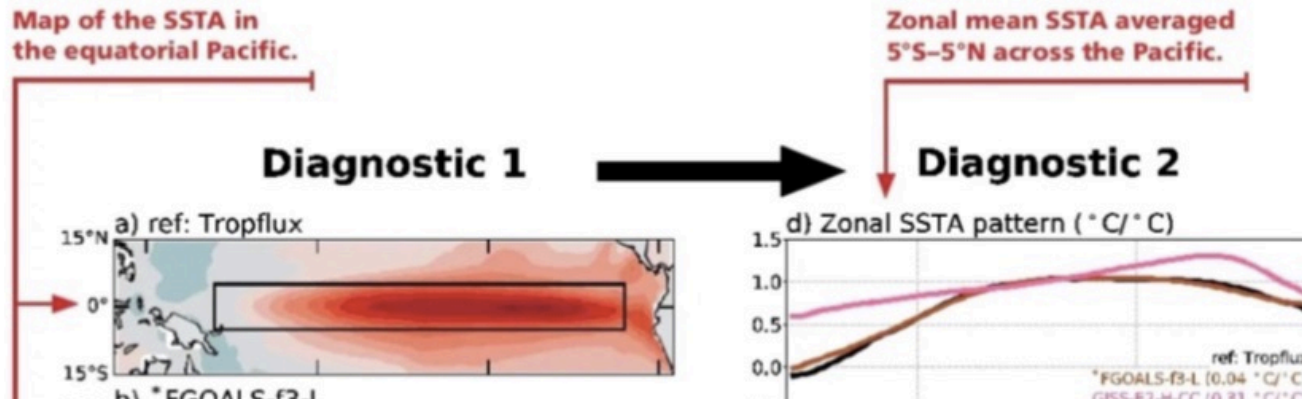
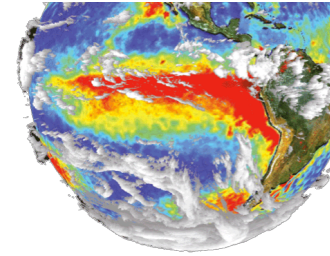




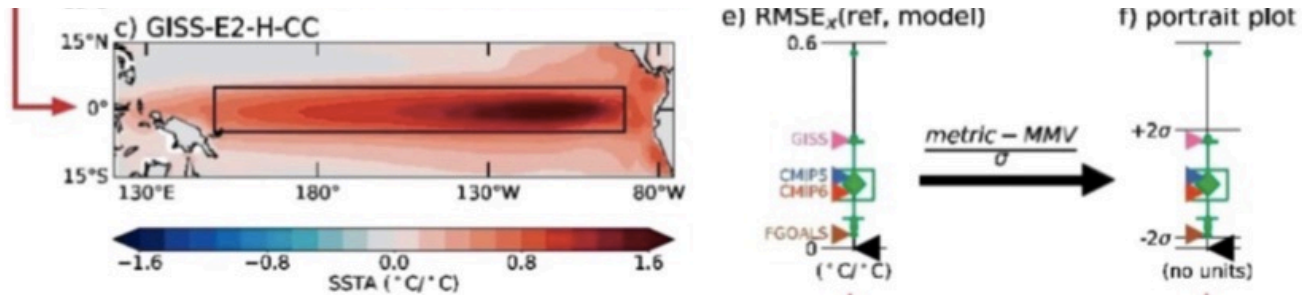
# ENSO metrics: devising portrait plots



# Building a metric for portrait plot



<https://cmec.llnl.gov/results/enso/>

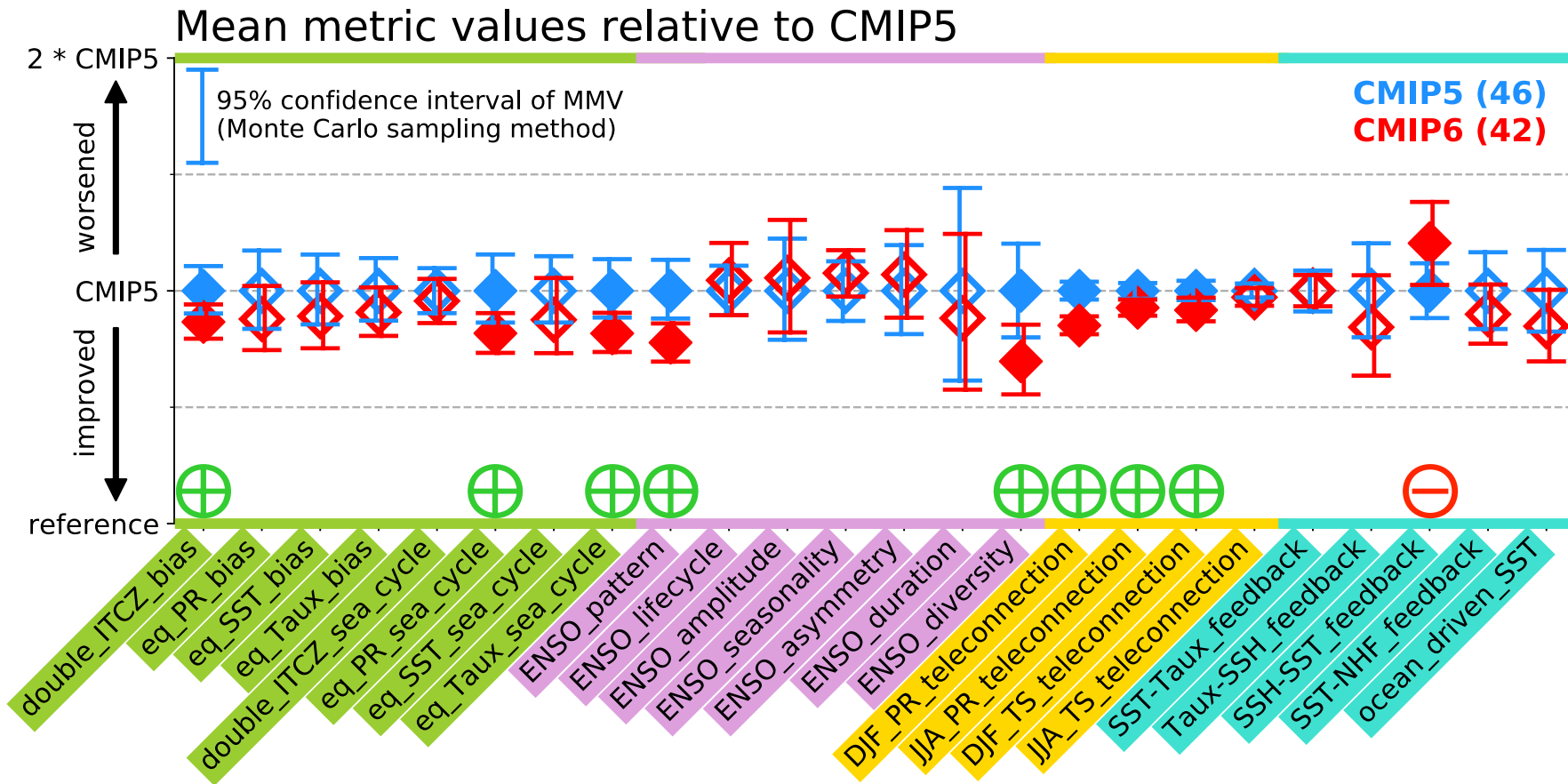
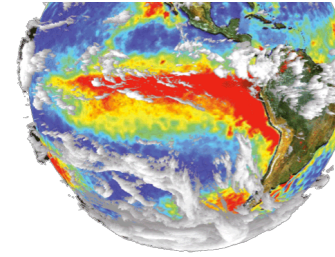


Distribution of metric values. If the underlying model (and reference values) are scalar rather than RMSE, then the metric is expressed as a positive value as in  $[(\text{model} - \text{ref}) \times 100/\text{ref}]$ .

To compare model performance across metrics, the portrait plot shows standardized distribution of



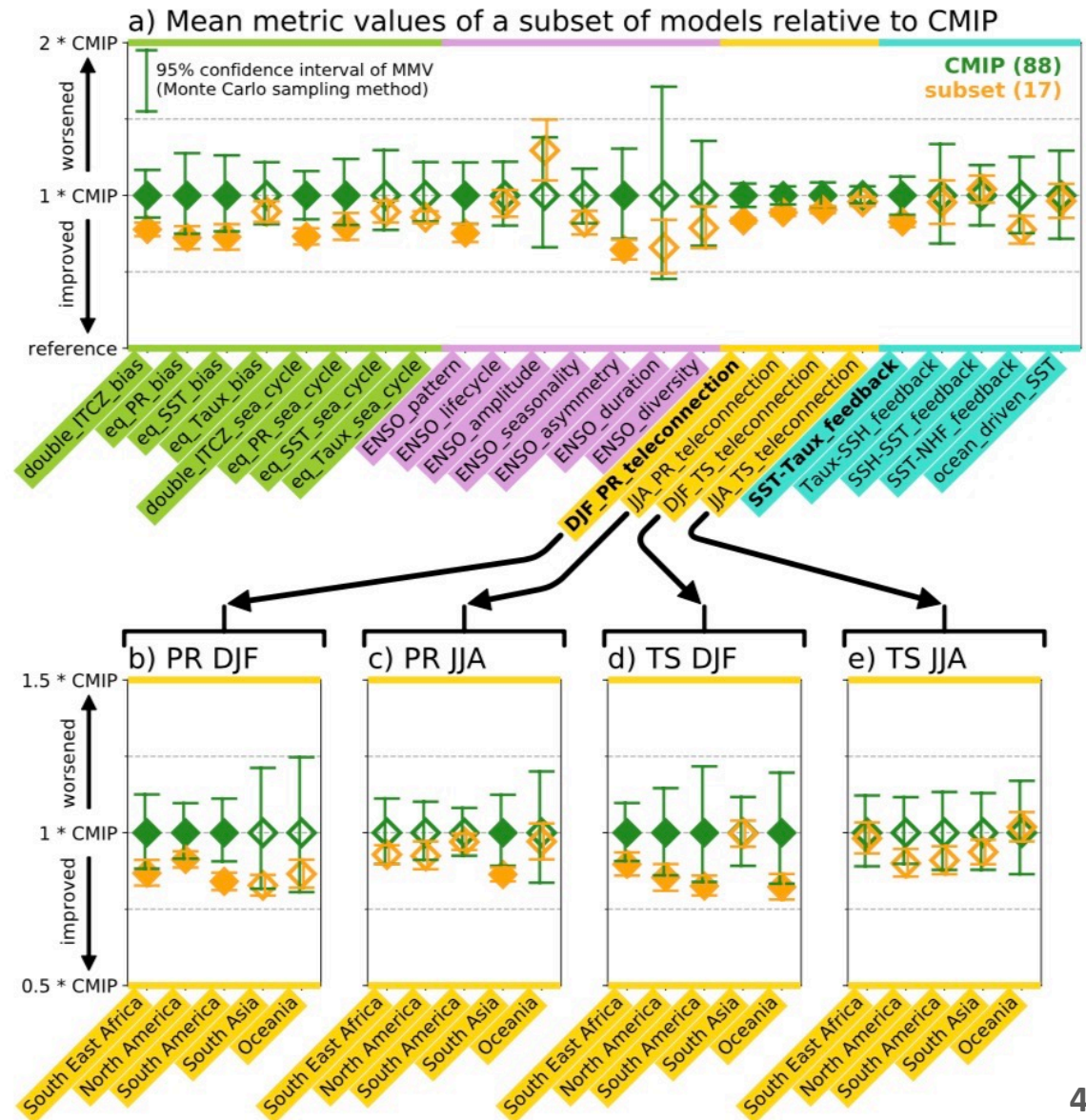
# CMIP5→6: 8 significantly improved 1 significantly degraded



# Using metrics

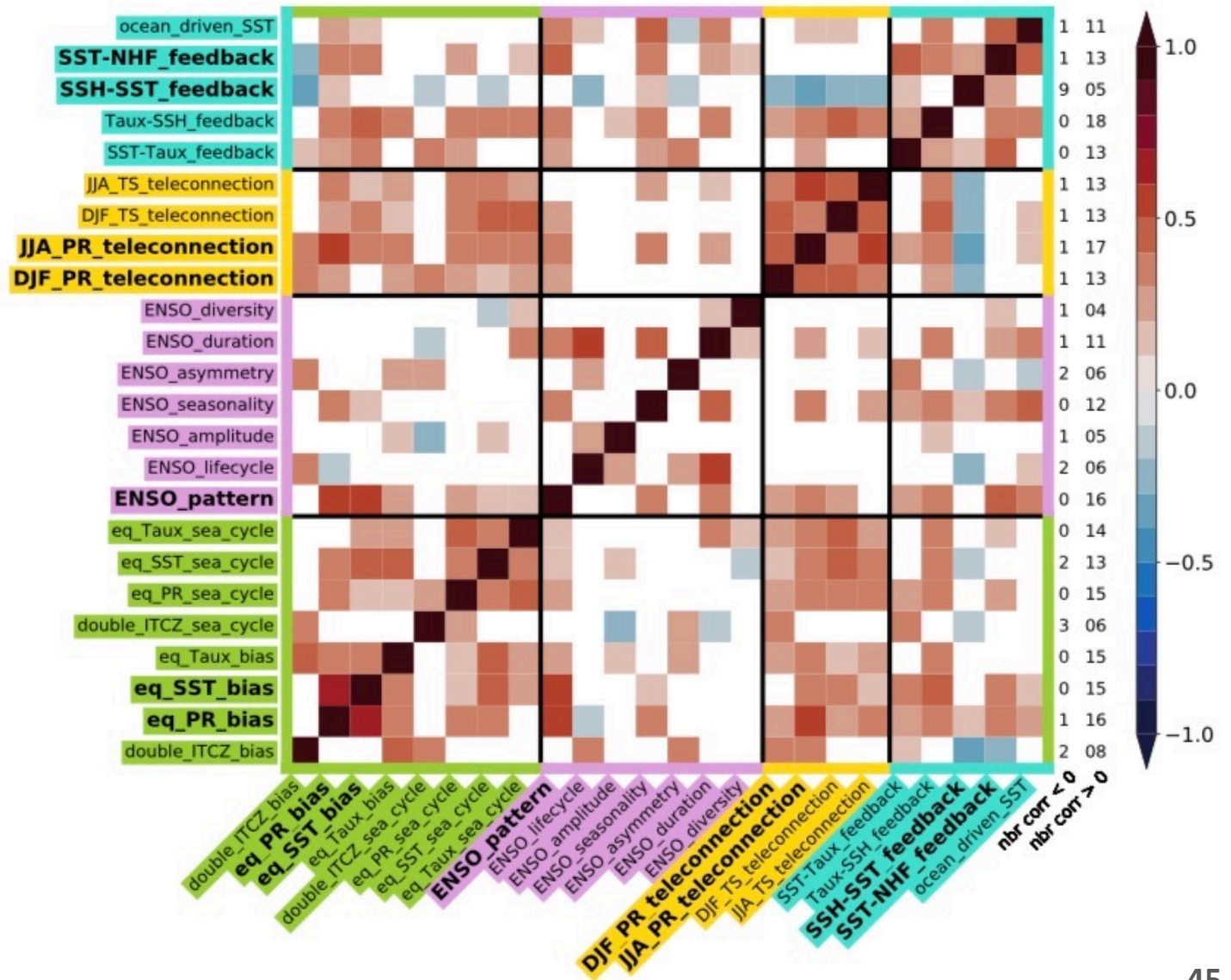
Address numerous questions

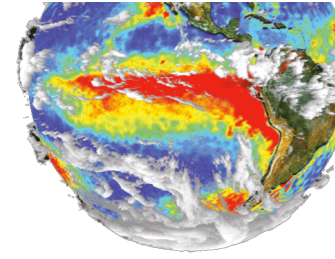
- Sub-sample models



# Metrics correlations

Intriguing correlations

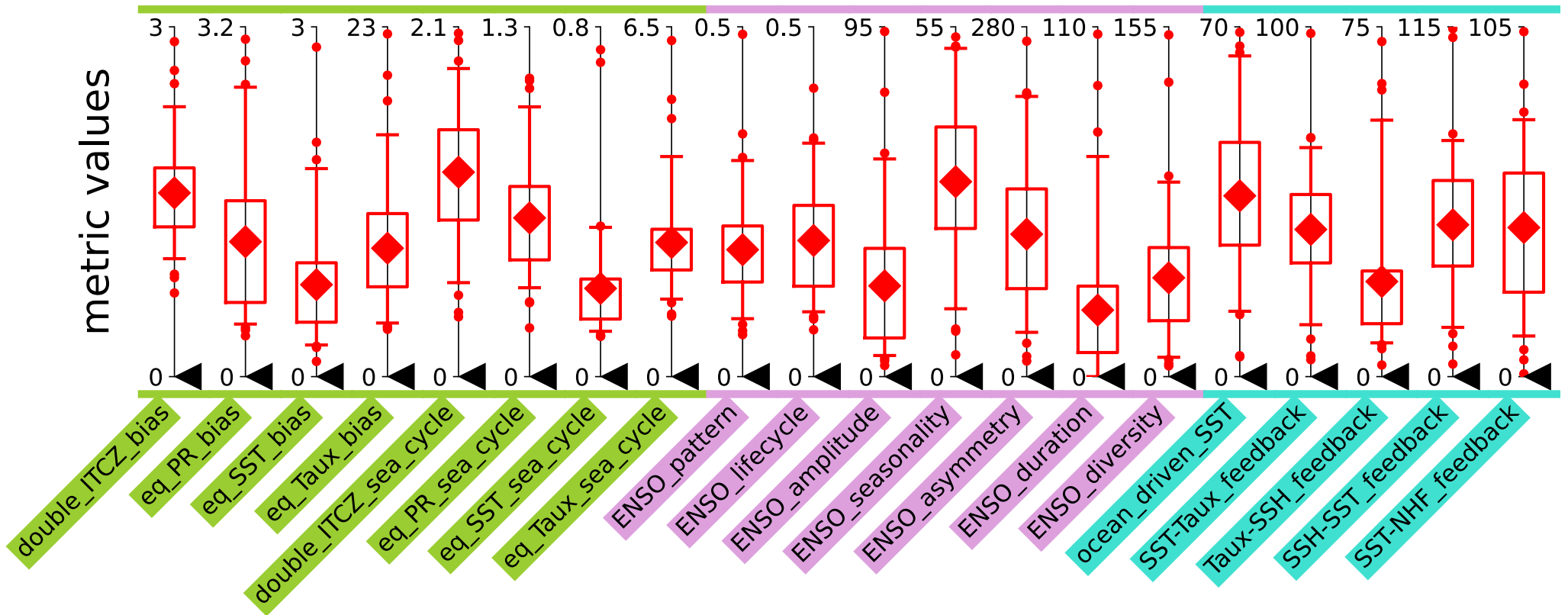




How to take into account observations  
uncertainties in metrics ?



# Some models are far away from the reference

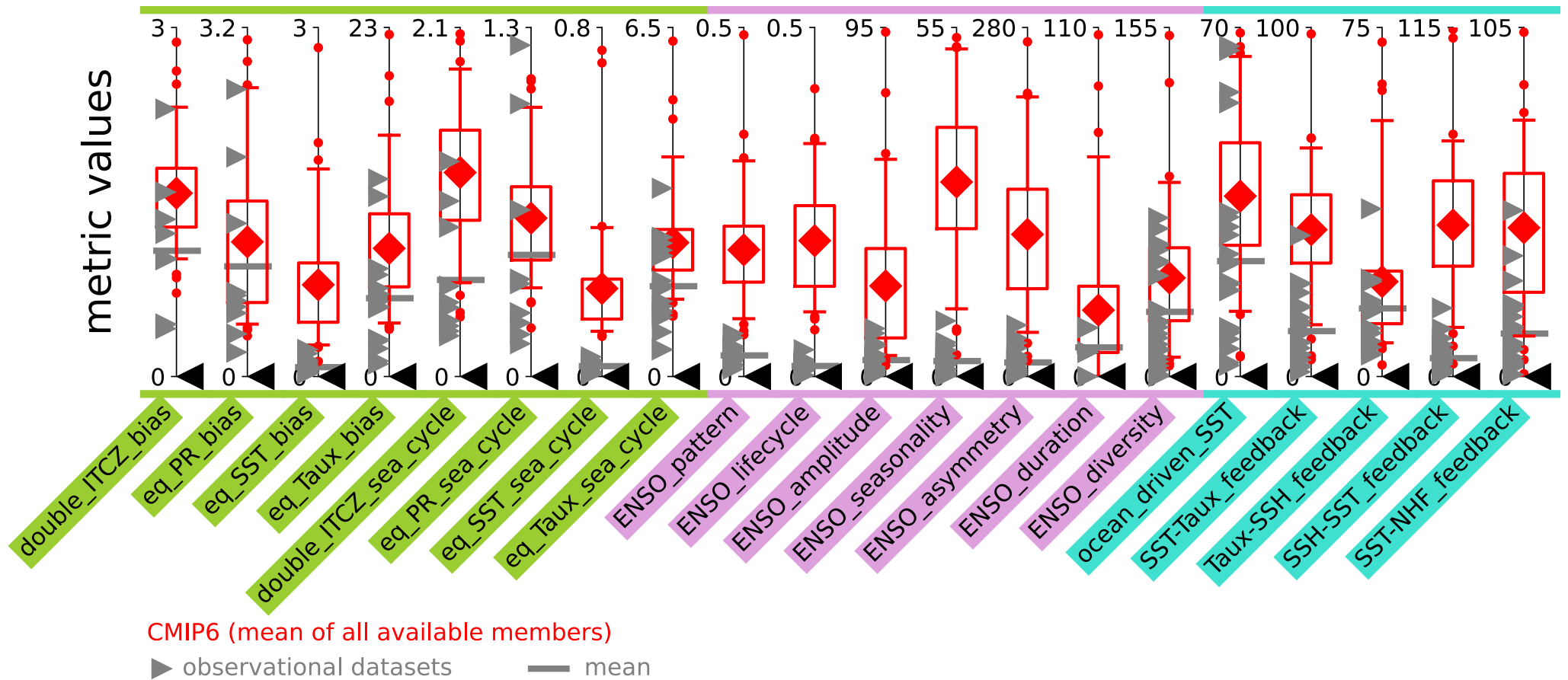


CMIP6 (mean of all available members)

Reference: AVISO (SSH), GPCPv2.3 (PR), OISSTv2 (SST), TropFlux (Taux & heat fluxes)

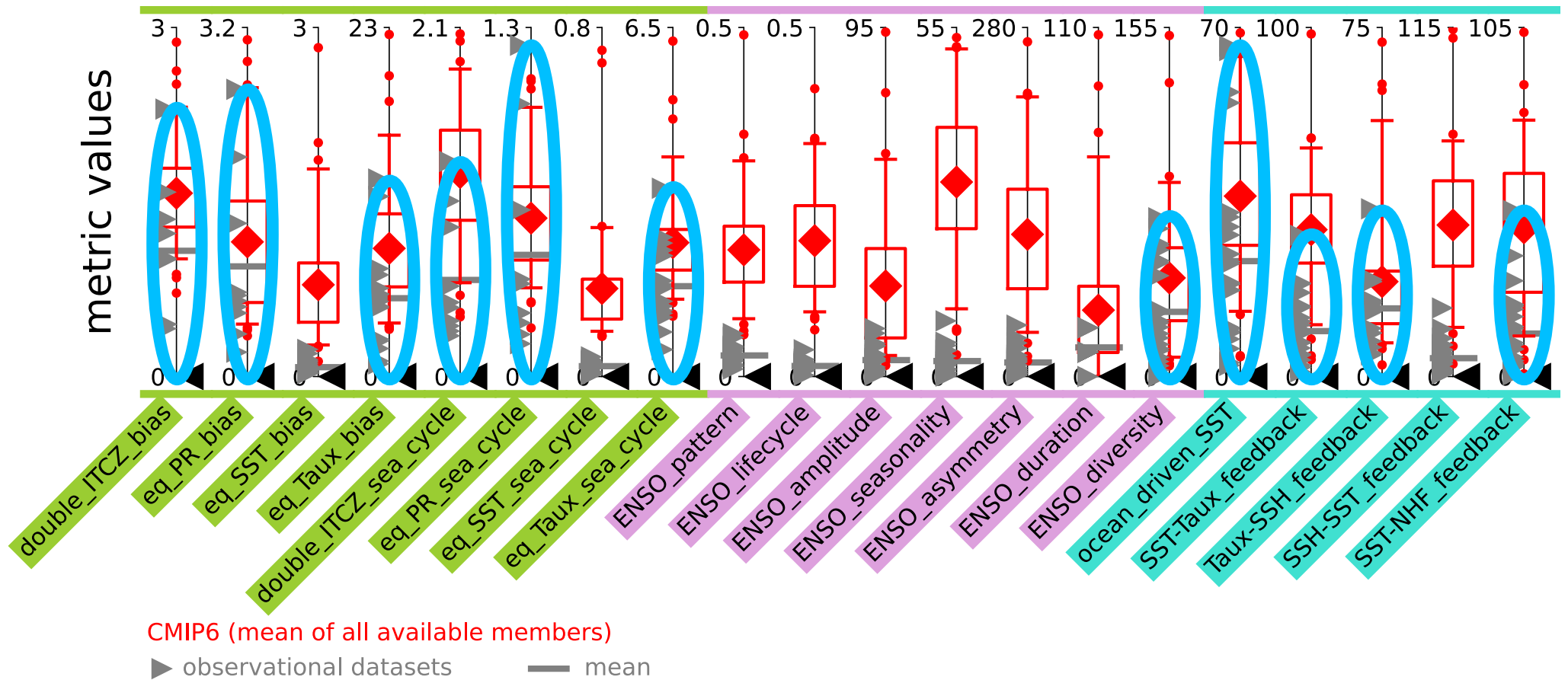
Planton et al. (2021)

# Large observational uncertainties



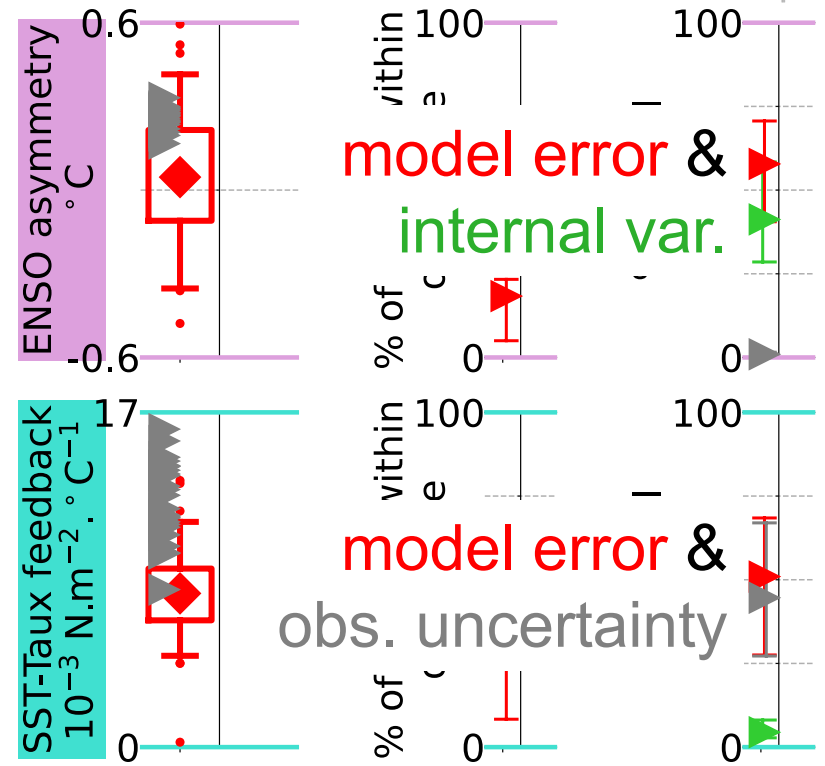
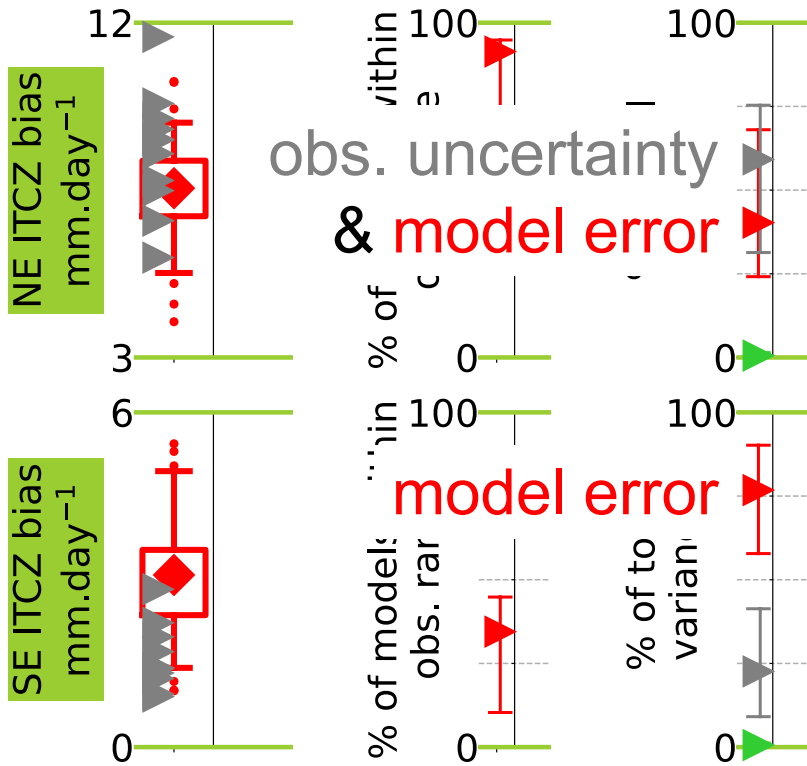
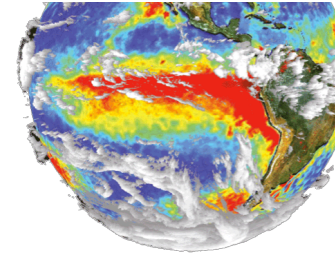
Reference: AVISO (SSH), GPCPv2.3 (PR), OISSTv2 (SST), TropFlux (Taux & heat fluxes)

# Large observational uncertainties



Reference: AVISO (SSH), GPCPv2.3 (PR), OISSTv2 (SST), TropFlux (Taux & heat fluxes)

# Large model errors, but in some cases obs. uncertainty or internal variability are as large



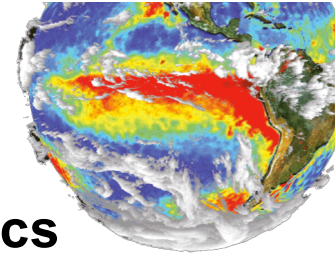
CMIP6 (members mean)  
observational datasets

model internal var.  
model mean error  
obs. uncertainty

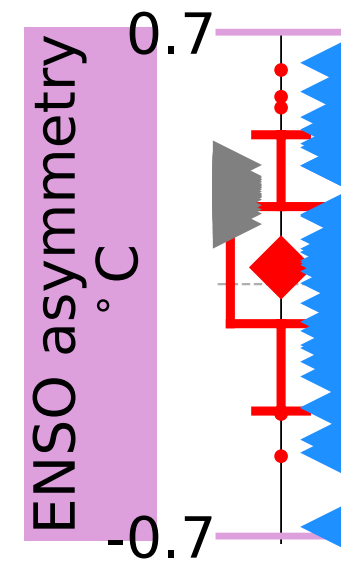
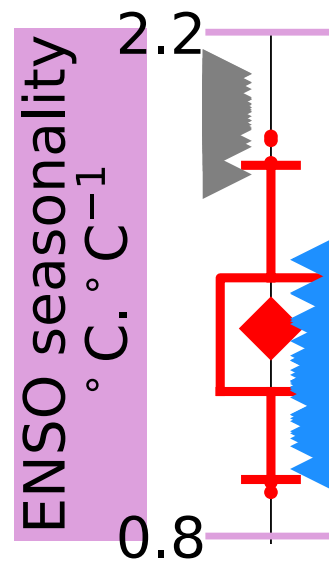
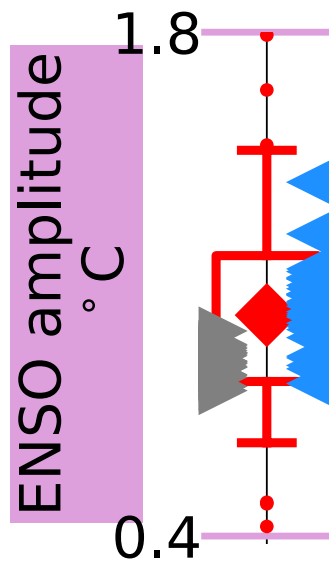
bootstrap: obs / model / member



# Observations for metrics

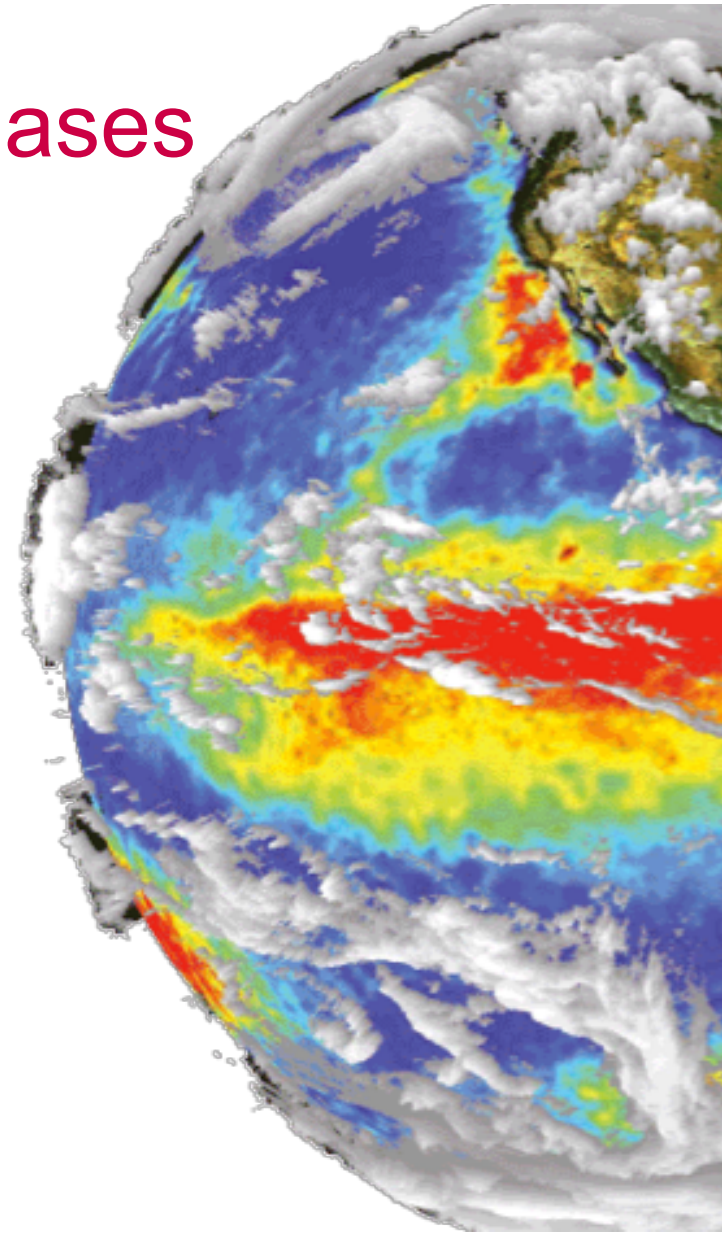


- Obs. uncertainties large compared to mod. error in 50% of the metrics
- SST bias (cold tongue bias) is the clearest model error
- Large observation uncertainties in precipitation and feedbacks
- Obs4MIPS integrated into ENSO metrics package



# Understanding sources of ENSO biases

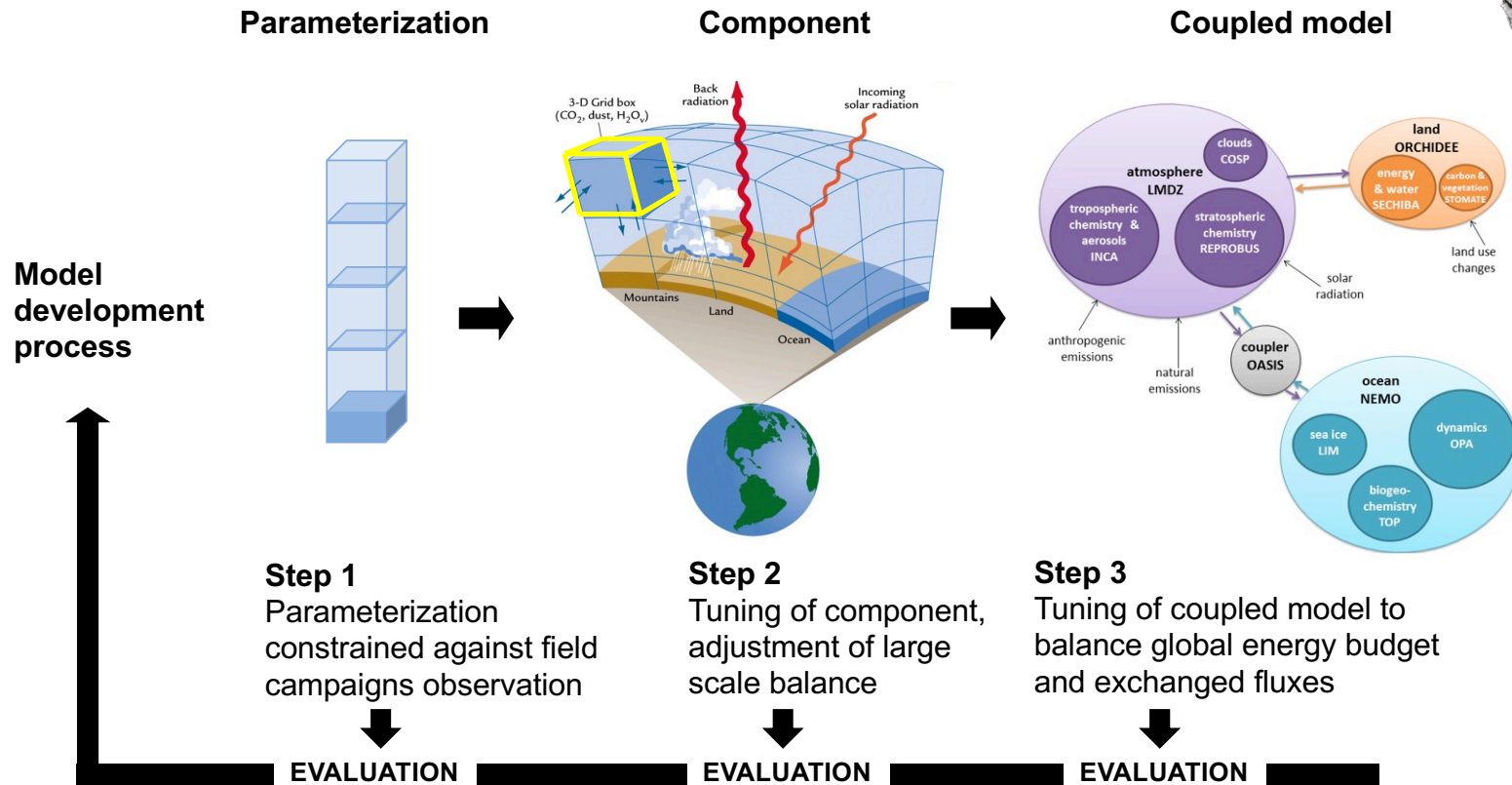
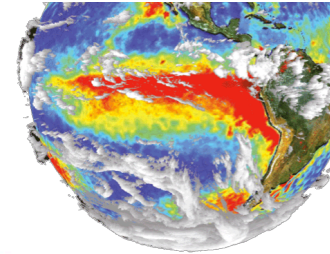
- How to disentangle sources of models errors in a highly coupled, non-linear, and multi-time scales phenomenon such as ENSO ?
- Dedicated simulations with artificially modified feedbacks or change of physics, but...
- Use of initialised simulations



# Using initialized simulations to diagnose the growth of systematic biases in GCMs

- Seasonal hindcasts make it possible to distinguish fields that are affected by errors from the beginning of the simulation (wind patterns, precipitation, mixed layer depth) and those which respond to the previous one (SST, thermocline depth and zonal wind in the west Pacific).
  - Seasonal/decadal time scale:
    - Tropical Atlantic: B. Huang et al. (2007)
    - Tropical Pacific : B. Vannière et al. (2013, 2014), J. Shonk et al. (2016)
  - Decadal/longer time scale:
    - Tropical Atlantic: T. Toniazzo & S. Woolnough (2013)
    - North Atlantic & AMOC : B. Huang et al. (2015)
- + many other studies, eg Kim et al. 2017 (ENSO growth and BSI), Hermanson et al. 2018 (comparing seasonal forecast systems), Shonk et al, 2018 (Western Pacific ITCZ drift), Brient et al. 2019 (marine strato cumulus), Ding et al. 2020...

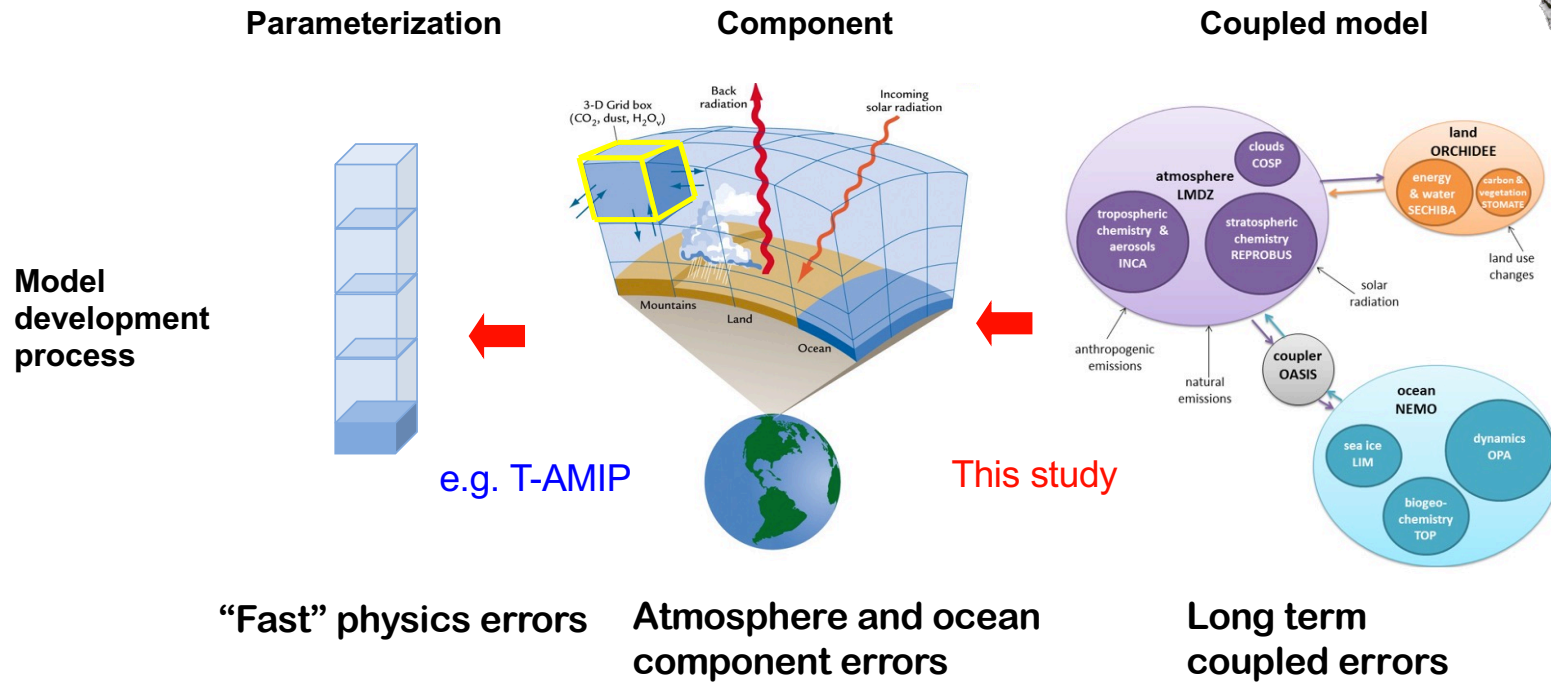
# “Classical” CGCMs development path



- ↳ Each step generates biases
- ↳ Source in coupled model is difficult to identify because of **bias compensation**, **feedback amplification** and **non-linearities**
- ↳ This development strategy does not allow to predict the coupled model SST biases

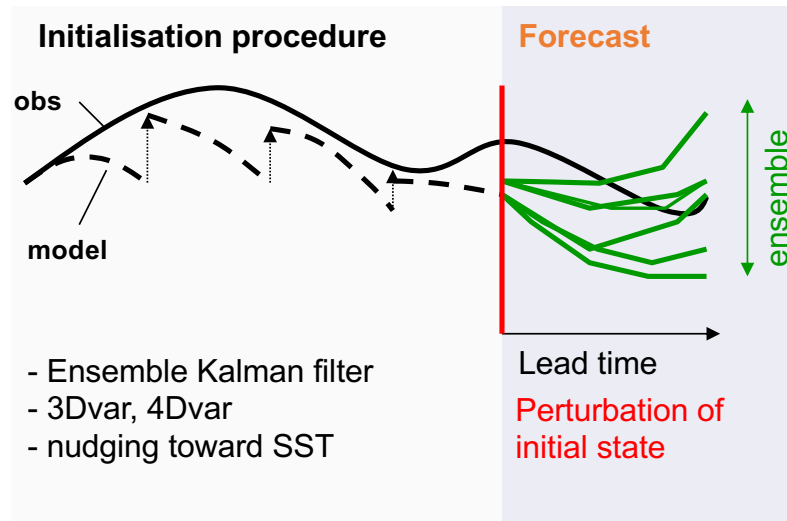
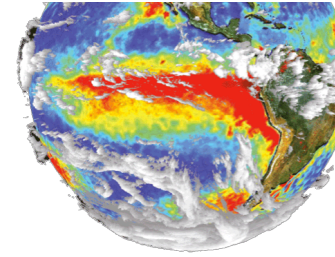


# Working backwards

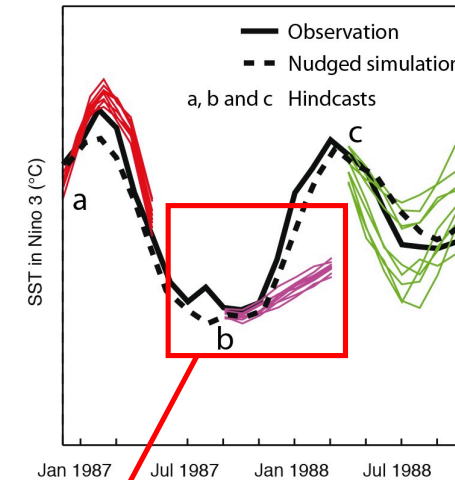


↳ Use “reverse engineering” to attribute a **particular** bias of the coupled model to a component and back to a **specific** parameterisation

## Using initialised simulations to understand model errors



**Hindcasts = forecast of the past period**

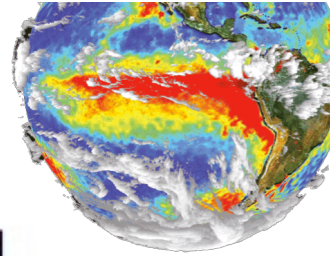


Adjustment time scale depends on physical processes involved

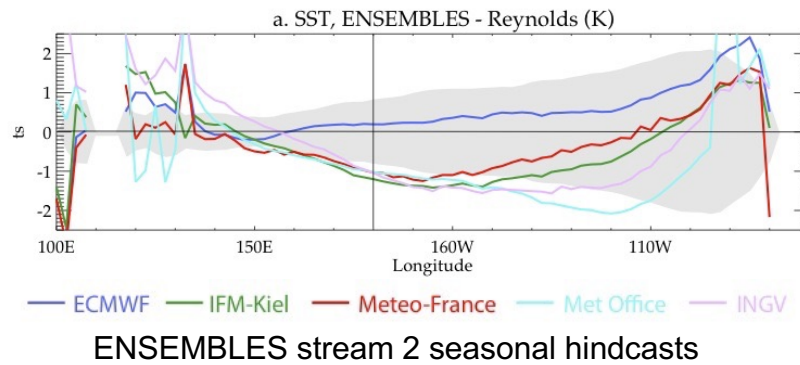
### Hindcasts:

- ↳ Help distinguish time scale and location of error growth
- ↳ Help propose hypothesis for error source

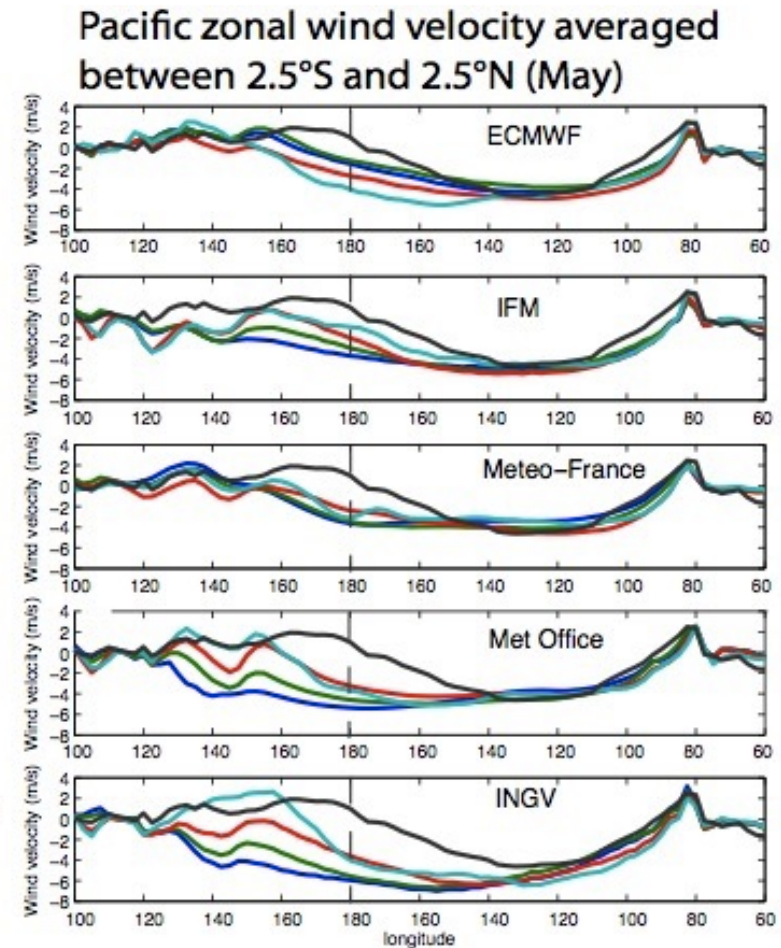
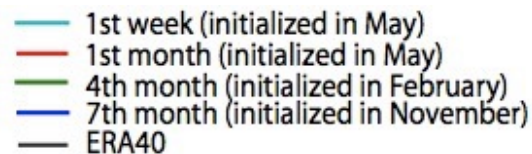
# Using seasonal hindcasts: a new strategy to understand GCM systematic errors



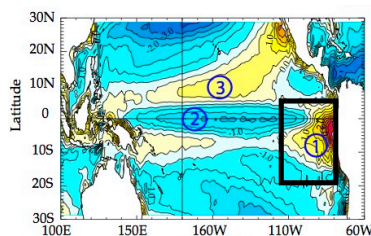
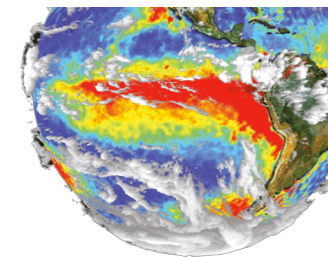
## Cold tongue SST error (after 5-7 months)



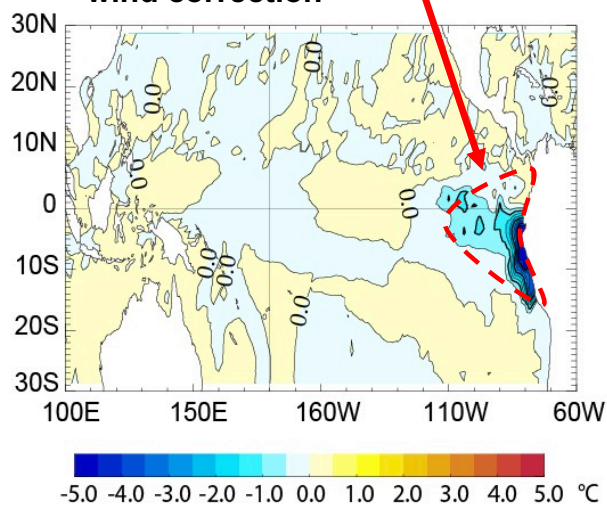
- Wind stress errors at equator present after one week
- Responsible for cold bias at equator



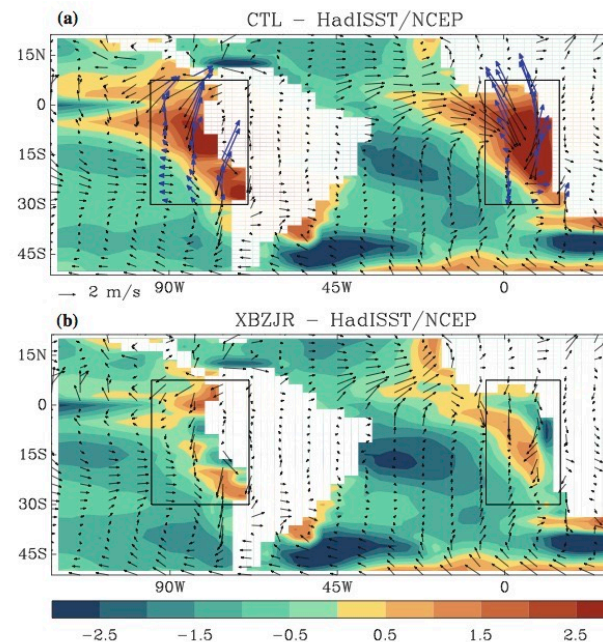
## Using additional simulations to demonstrate the source of error



**Oceanic simulation forced with fixed flux : impact of meridional wind correction**



**Coupled simulation with wind correction**

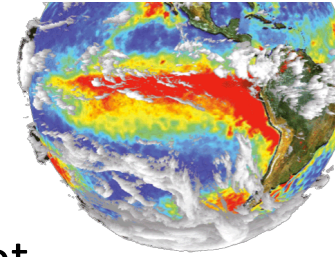


*Toniazzo and Woolnough (2013)*

*Vanni re et al. (2014)*



## Can we devise a systematic experimental approach ?

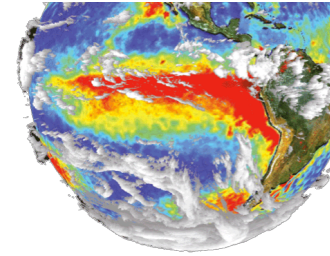


Vannièrè et al (2014) proposed a systematic approach to investigate the root cause of a SST bias in a climate model

5 steps for 'solving the case':

1. Identify the **location and seasonality** of the SST bias
2. Examine the **time scales** over which errors develop in different variables and link them together to build a **chain of causality**
3. Find whether the origin of the bias is **local or remote**
4. Determine if an **atmospheric field or an oceanic field** is at fault
5. Investigate whether the error is caused by the **direct effect** of that field, or by **coupled feedbacks**

# Associated experiments in support of approach

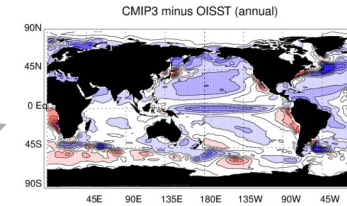


## The 5 steps

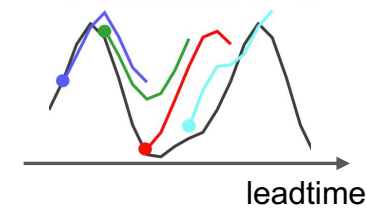
- S1** Location / seasonality
- S2** Time scale / chain of causality
- S3** Local or remote
- S4** Atmospheric / oceanic field responsible for the bias
- S5** Direct effect / amplification by coupled feedbacks

## Associated experiments

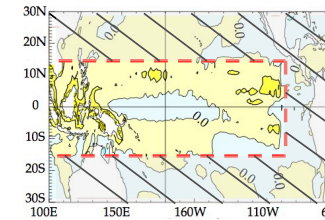
Historical or control experiment



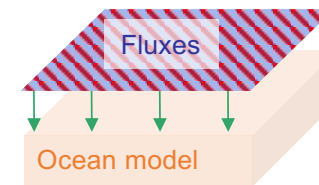
Seasonal to decadal hindcasts



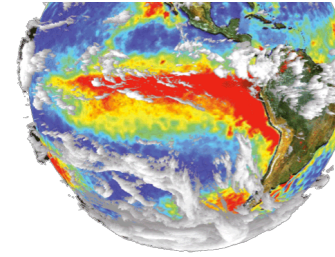
Regionally restored experiments



Ocean-only forced experiments

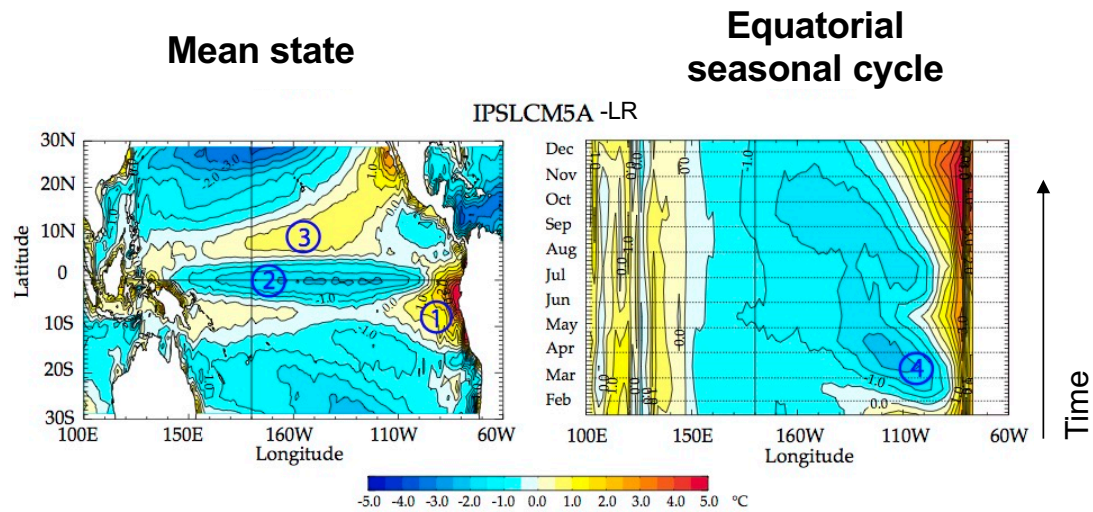


# Identifying the origin of SST mean state biases in the tropical Pacific in IPSLCM5A-LR



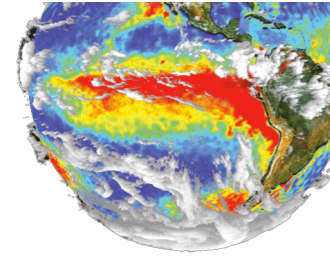
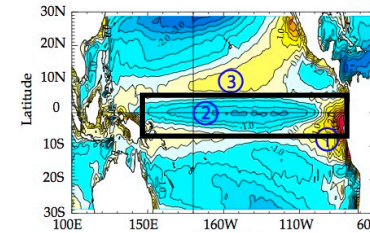
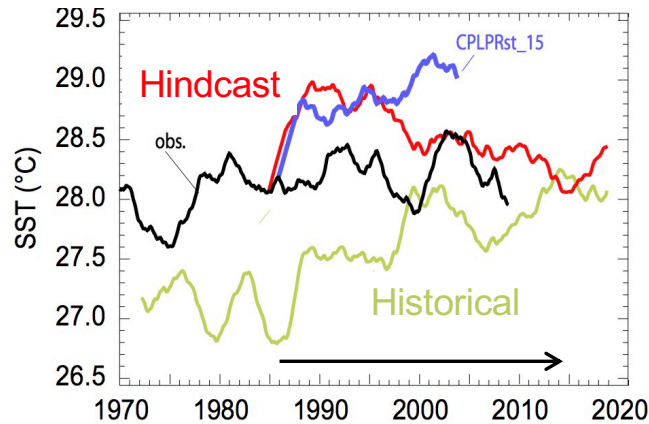
Approach is applied to **cold tongue bias** in IPSL-CM5A-LR (S1)

- ① Warm bias in the east Pacific
- ② **Cold tongue bias**
- ③ Warm bias on both side of the equator
- ④ Spurious spring upwelling bias



# Cold tongue bias origin


> S2 : Time scale → Cold tongue bias

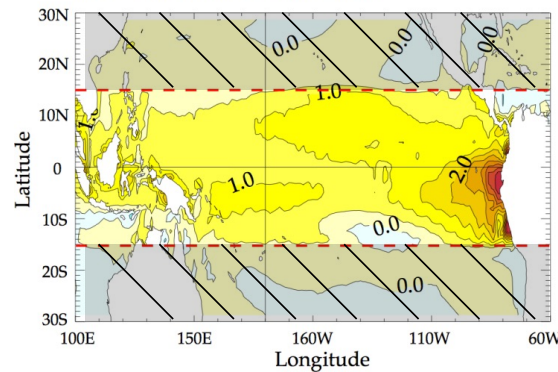


- ✓ It takes 30 years for the cold tongue bias to appear at the equator
- ✓ Hypothesis : ocean slow dynamics

> S3 : Geographical origin → Cold tongue bias

**CPLPrst\_15:** Initialised simulation restored toward observed SST in midlatitudes

 SST nudging



20-yr leadtime

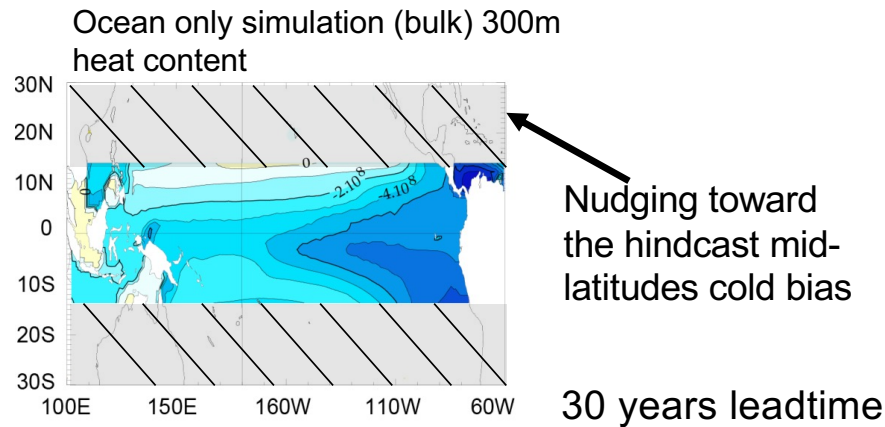
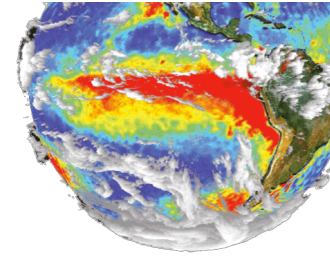
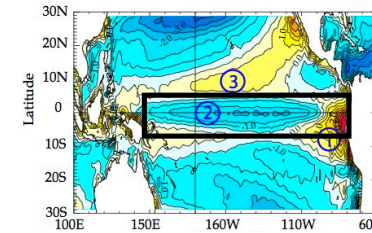
- ✓ SST corrected in mid-latitudes
- ☐ no development of the cold tongue bias

*Vanni re et al. (2014)*



## Cold tongue bias origin

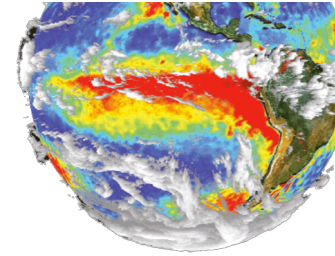
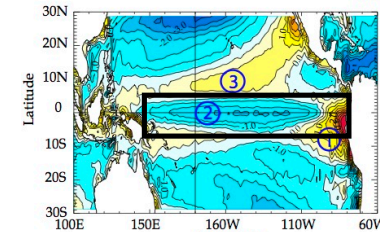
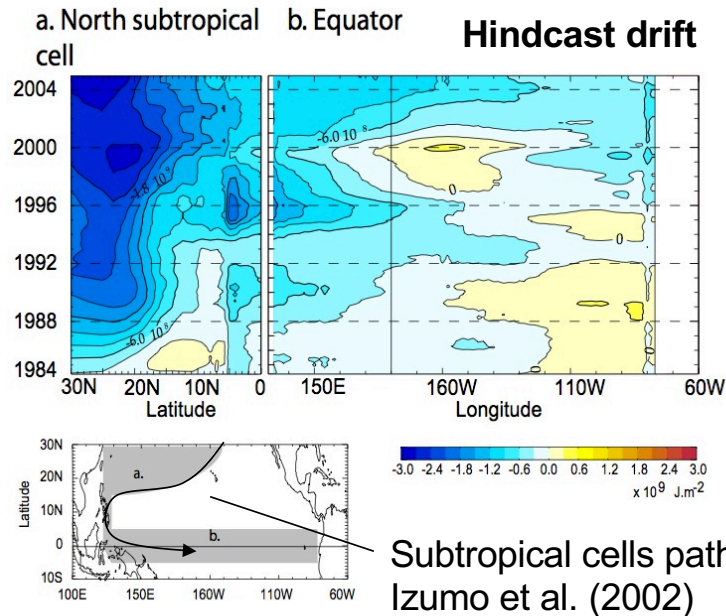
> S4 : Ocean only simulation → reproduce cold tongue bias



Experiment	Equatorial cooling trends of the 300m HTC ( $\text{J}\cdot\text{m}^{-2}\cdot\text{mth}^{-1}$ )
Hindcast	$-1.35 \cdot 10^6$
Ocean only with SST restoring	$-1.73 \cdot 10^6$
Ocean only without SST restoring	$0.1 \cdot 10^6$

- ✓ When the midlatitudes cold SST bias is prescribed in an ocean-only experiment, the cold tongue bias develops at the equator
- ✓ The cooling trend is similar to that simulated by the control hindcast

## Cold tongue bias origin



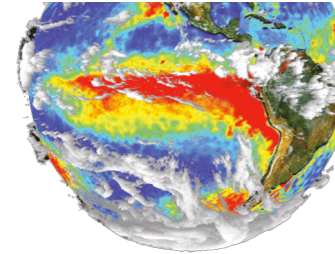
A possible cause of the midlatitude cold bias propagation is the advection by subtropical cells

Differs from other sources of the cold tongue bias (Vanni re et al. 2013)

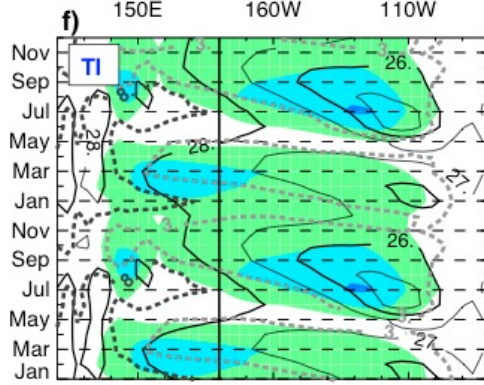
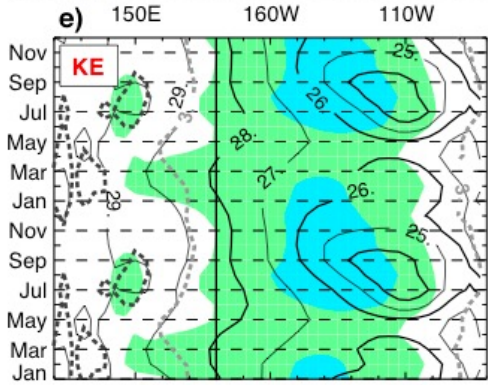
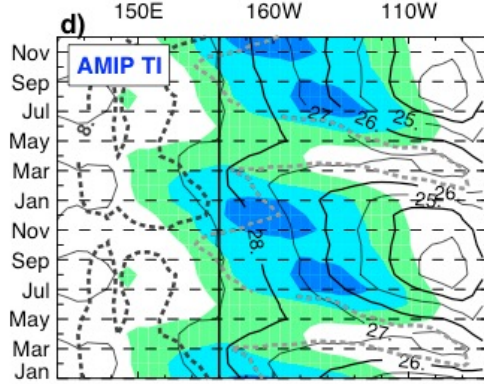
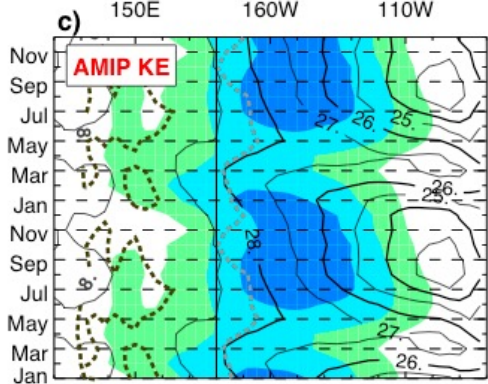
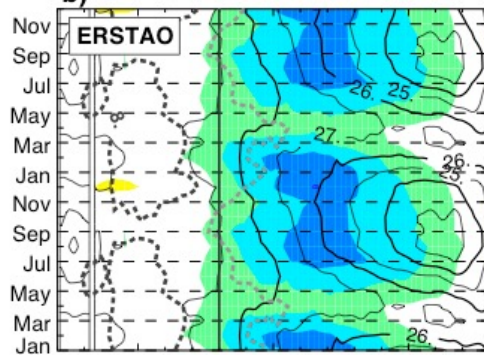
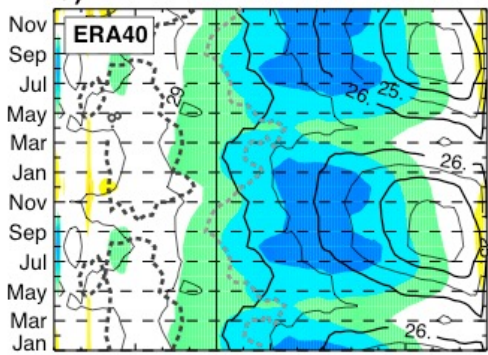
- Bjerknes feedback (Met Office)
- Atmospheric component wind errors (INGV)
- or otherwise proposed in many studies

Vanni re et al. (2014)

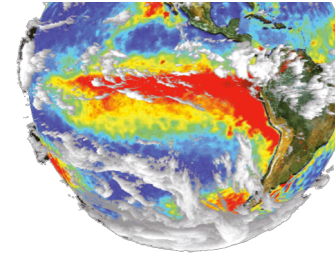
## Using initialized simulations to diagnose the growth of systematic biases in GCMs - summary



- New approaches needed to address SST systematic errors
- Strategy to relate **coupled errors** to the **errors in one component** independently of the coupling:
  - 5 step 'case solving' approach
  - Requires range of dedicated simulations, including initialized
  - Proof of concept from several studies (tropical Pacific and Atl.)
  - Further benefits/costs to explore:
    - Apply during model development phase
      - cheap (300 years)
      - need to develop a 'tool box', i.e. several types of
    - Precise types of simulations will depend on 'case' i.e. SST bias – no 'standard' set
  - Can't be directly applied to SST interannual variability biases (ex: ENSO) but can be applied to ENSO mechanisms and feedbacks (not shown)
  - AMIP/T-AMIP is the starting point in the tropics - SST errors initially due to fast atmosphere biases



## Mean seasonal cycle at Eq.



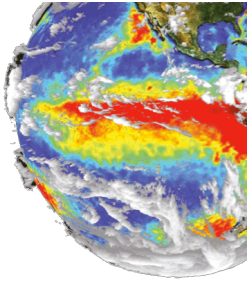
- Wind stress (shading)
- SST (solid contours)
- Precipitation (3 and 8 mm/day dashed)

- AMIP KE performs rather well
- Convection in AMIP TI too strong

- Biases amplified in coupled mode
- Semi-annual cycle in TI
- Equinoctial Central American monsoon too strong in TI (Braconnot et al. 2007)



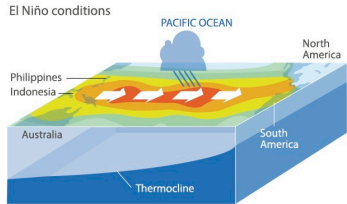
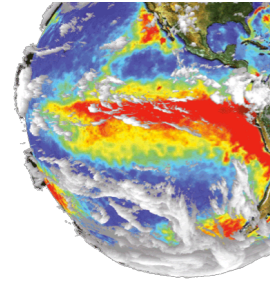
# Large ensembles – a new horizon for ENSO



- ENSO precursors
- Distinguish external forcing from internal variability
- Better comparison with observations during historical period
- How well do models simulate ENSO? How well do we know ENSO?

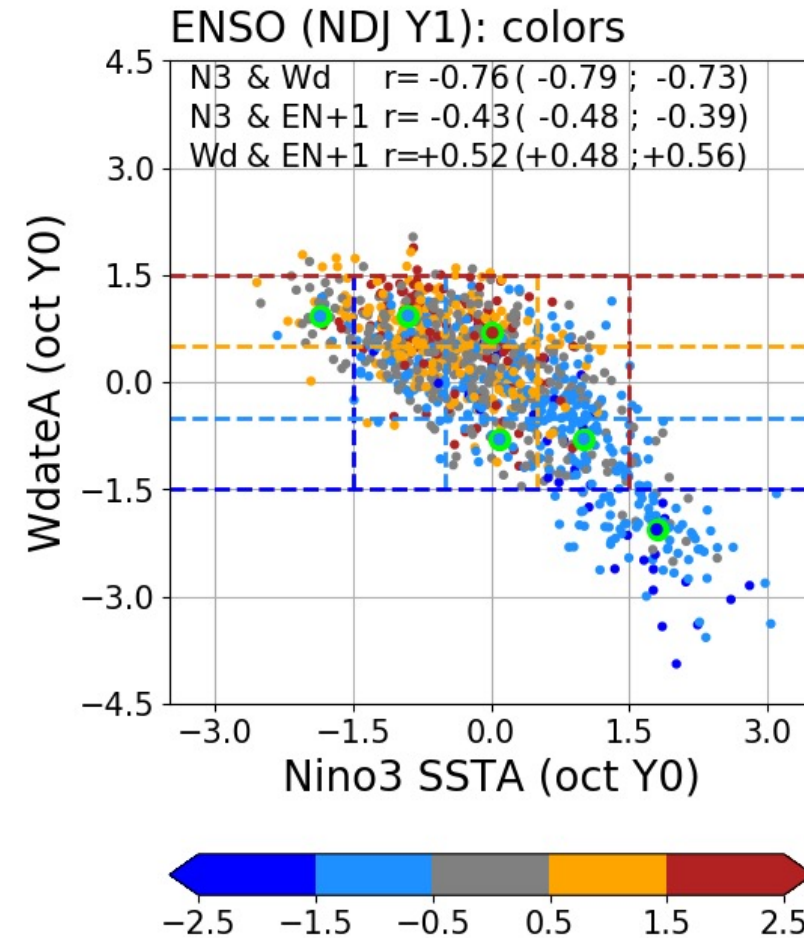
*Planton et al. 2022, Lee et al. 2021, Maher et al. 2020*

# ENSO precursors: the role of recharge

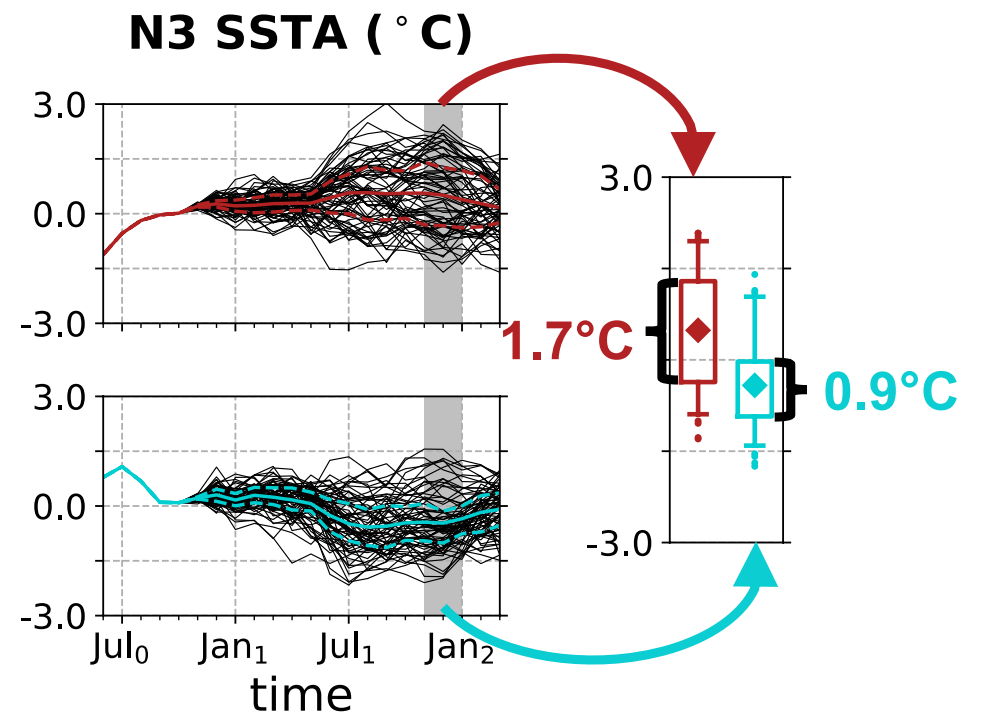
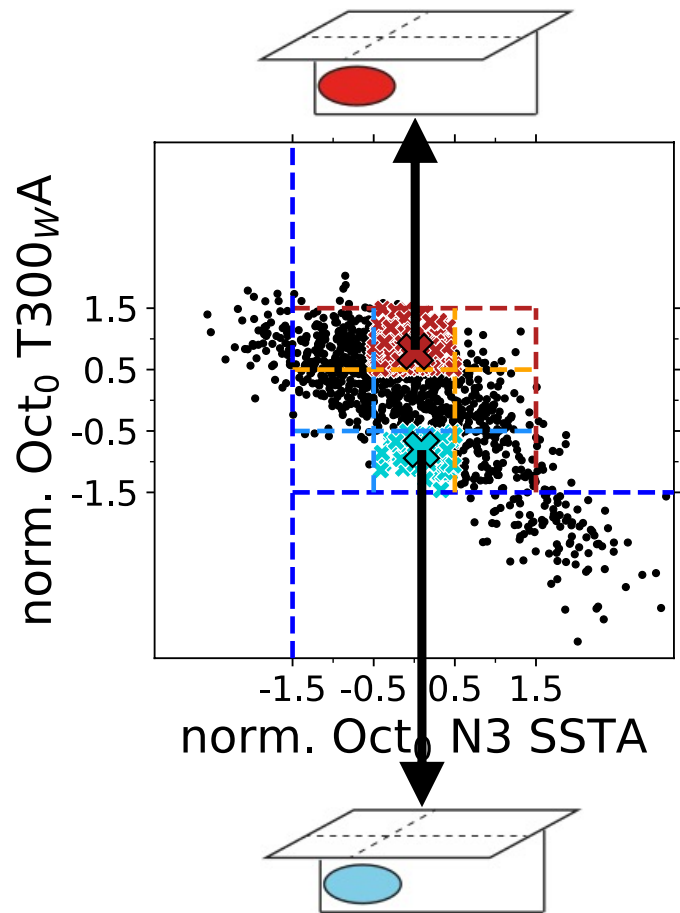
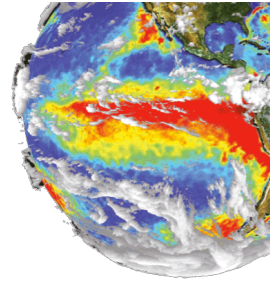


## 6 restarts:

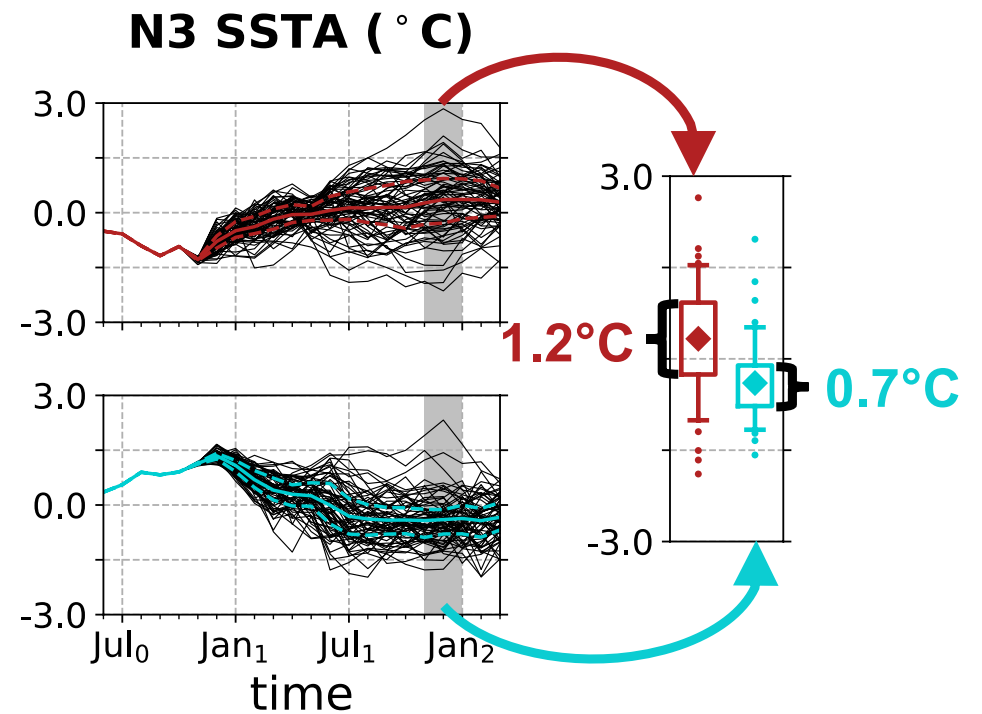
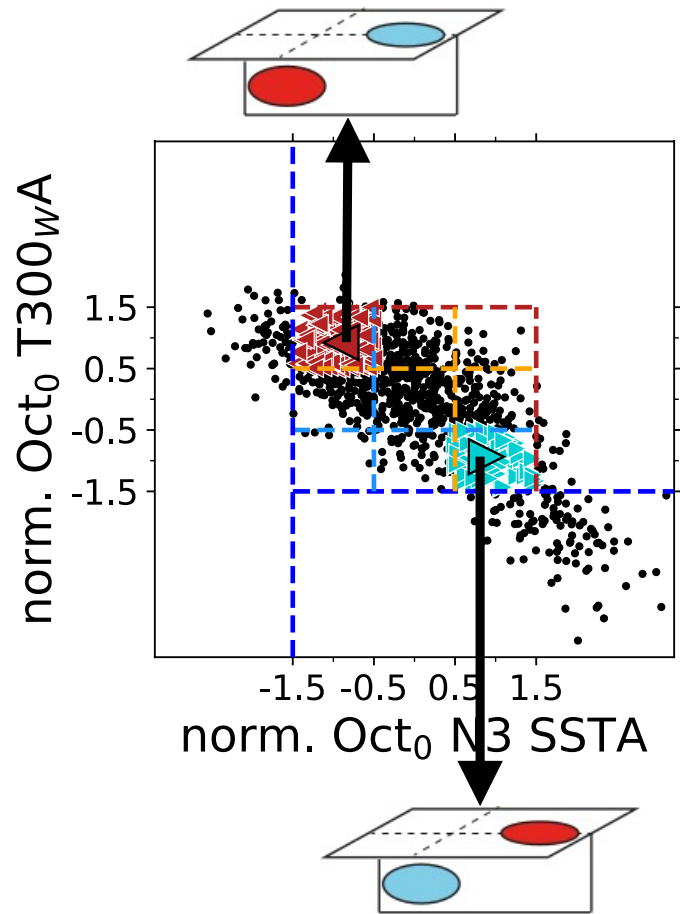
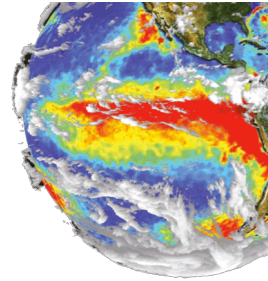
- 3 recharged
- 3 discharged
- median value of each box
- opposite two by two
- 70 members / ensemble



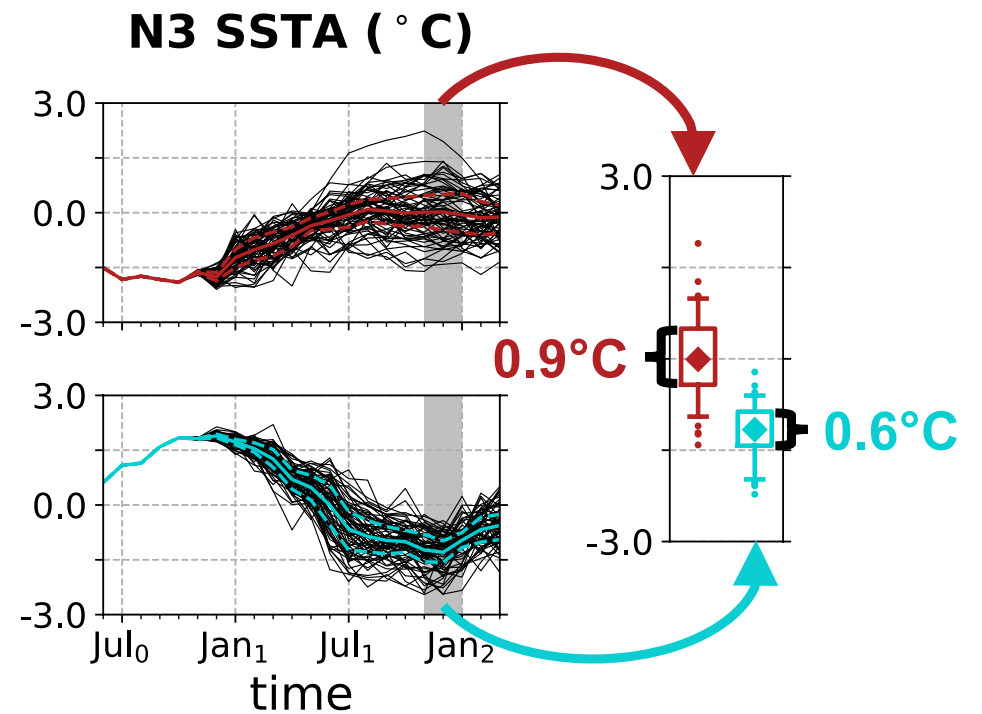
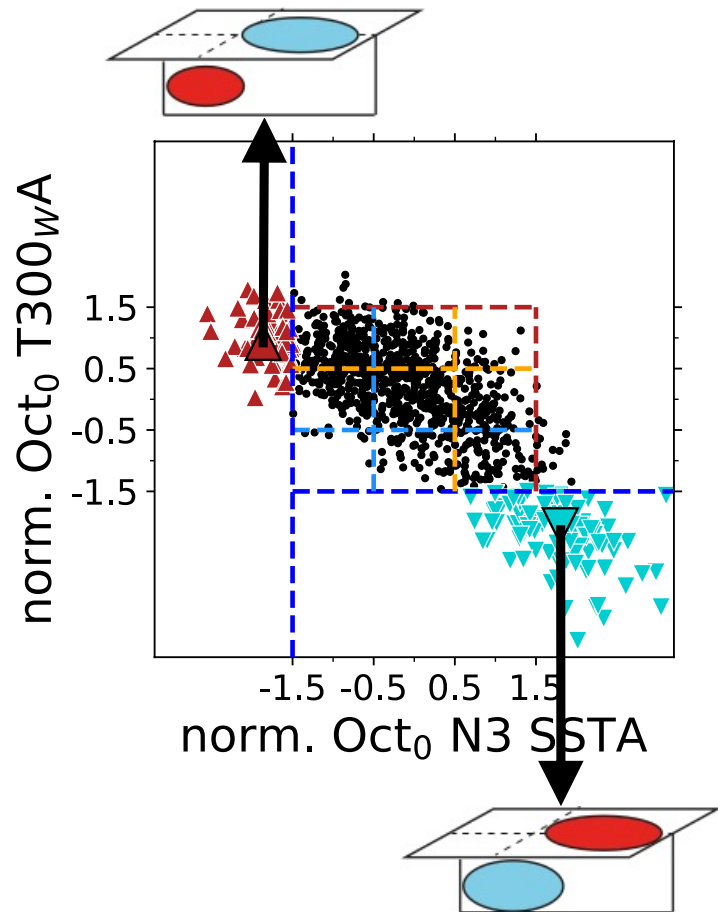
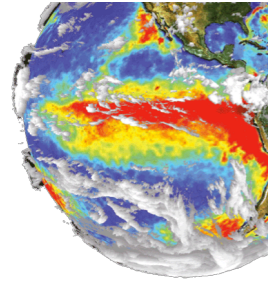
3 pairs of initial conditions were chosen to create ensemble experiments



3 pairs of initial conditions were chosen to create ensemble experiments

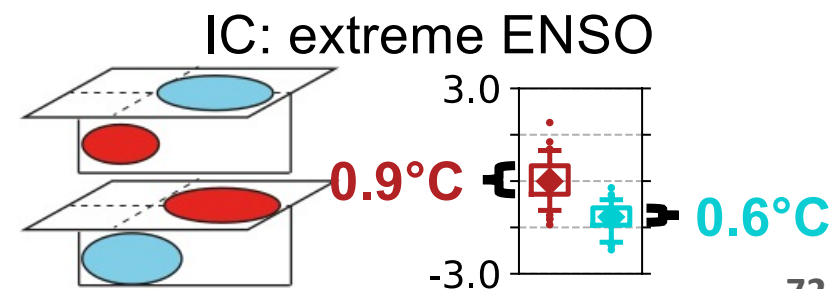
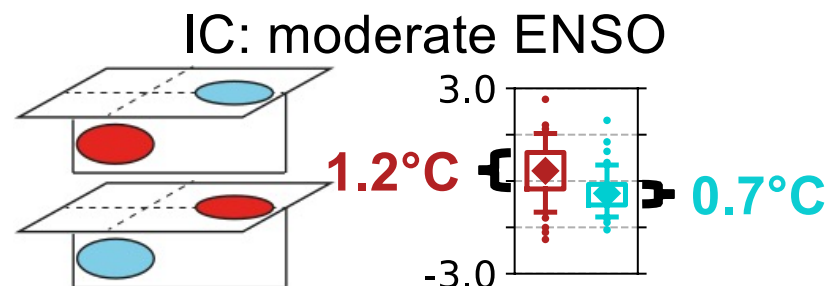
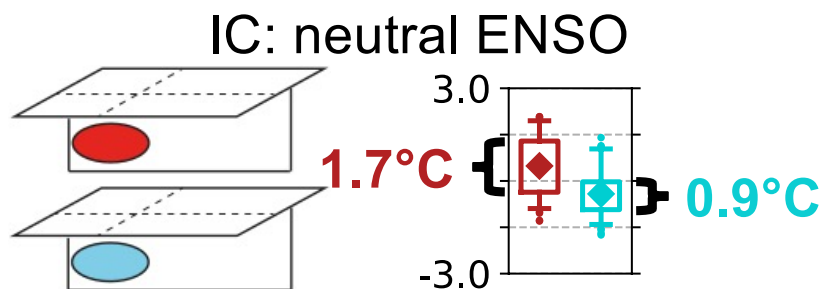
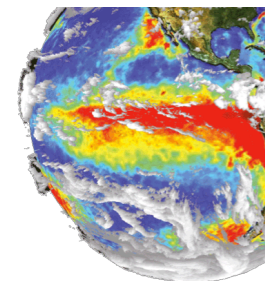


3 pairs of initial conditions were chosen to create ensemble experiments

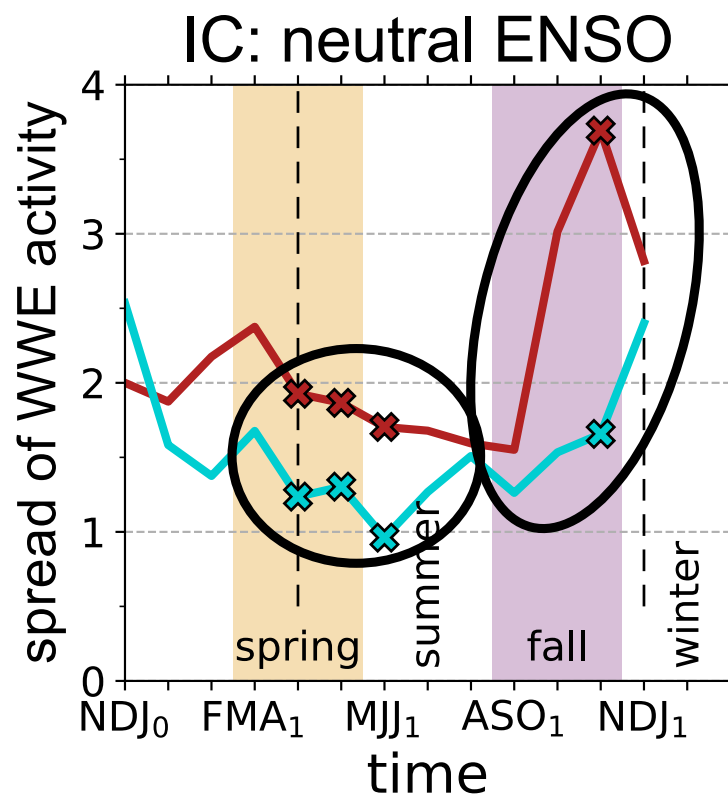
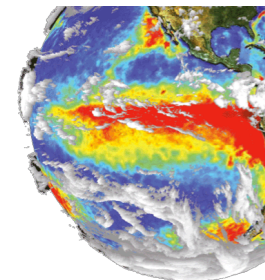




In this experimental setup, the outcome after a **recharge** is less predictable in every pair of experiments

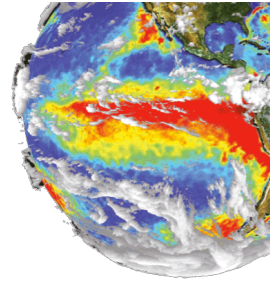


In this experimental setup, the outcome after a **recharge** is less predictable in every pair of experiments



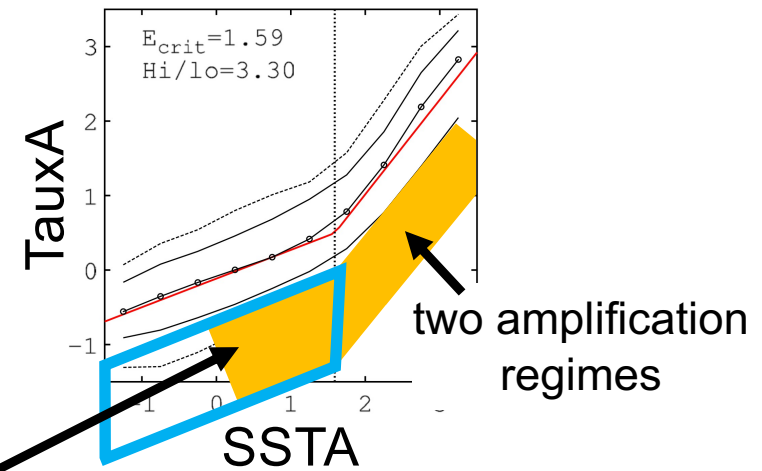
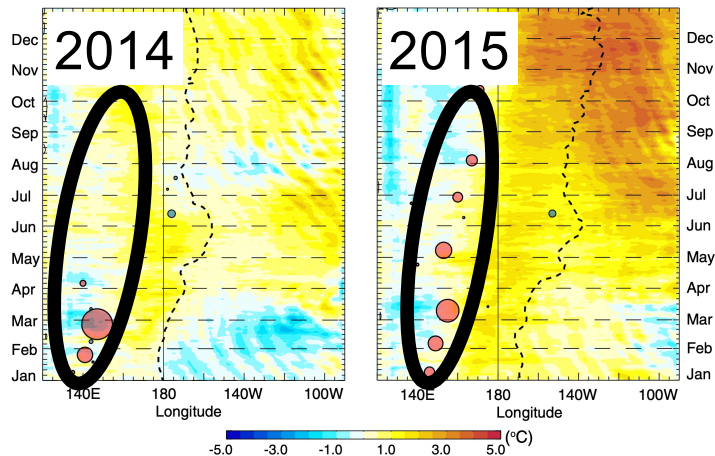
spread of WWE activity larger after a **recharge** than a **discharge**

In conclusion, we found that the outcome after a **recharge** is less predictable due to WWE activity and the wind stress feedback nonlinearity



the spread of WWE activity after a **recharge** is larger, enhancing SSTA spread

the wind stress feedback after a **recharge** is stronger, enhancing SSTA spread



one amplification regime

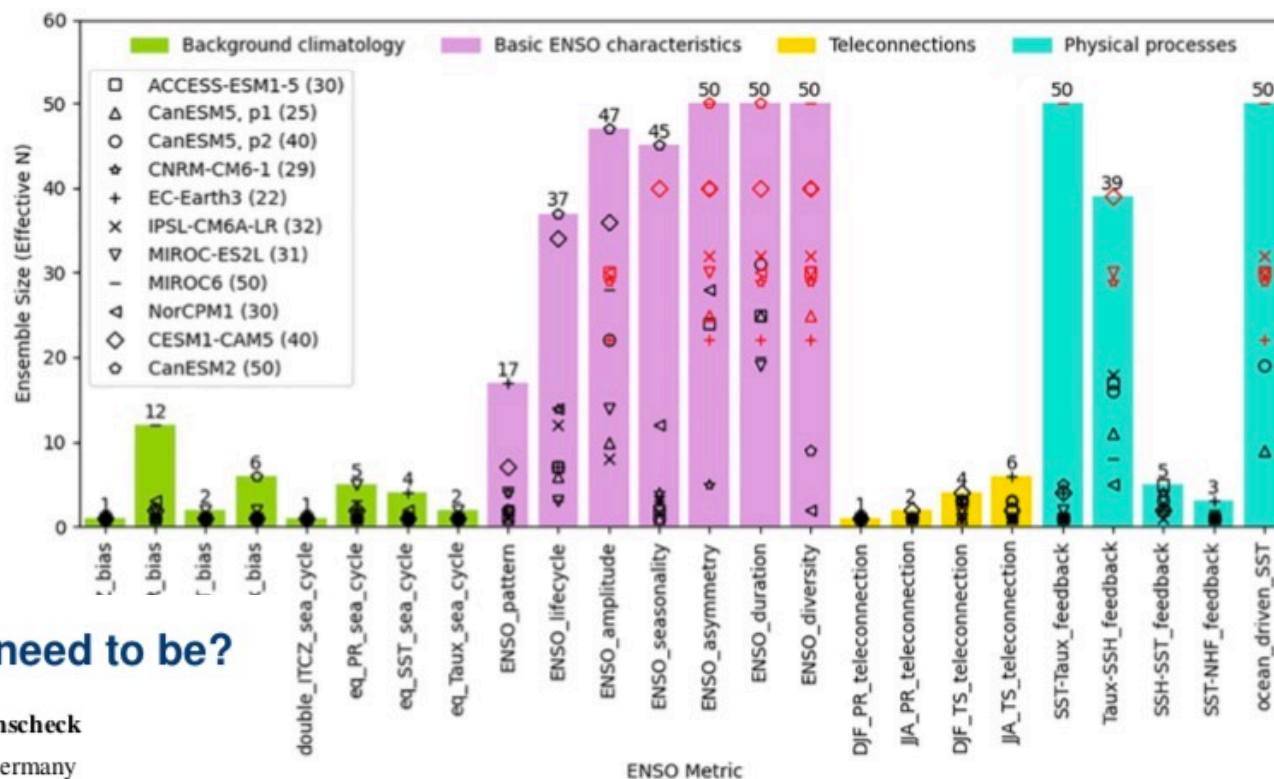
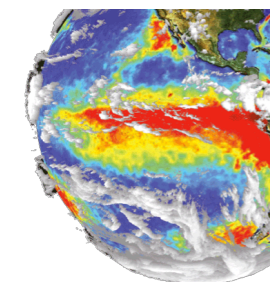
two amplification regimes

## Robust Evaluation of ENSO in Climate Models: How Many Ensemble Members Are Needed?

Jiwoo Lee<sup>1</sup>, Yann Y. Planton<sup>2</sup>, Peter J. Gleckler<sup>1</sup>, Kenneth R. Sperber<sup>1</sup>, Eric Guilyardi<sup>3,4</sup>, Andrew T. Wittenberg<sup>5</sup>, Michael J. McPhaden<sup>2</sup>, and Giuliana Pallotta<sup>1</sup>

**Key Points:**

- To estimate the ensemble size required to characterize the ENSO simulation ensemble members of

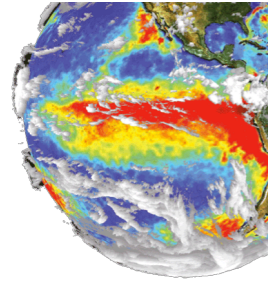


### How large does a large ensemble need to be?

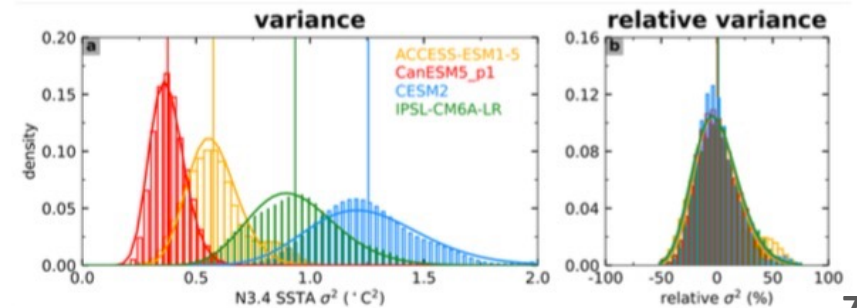
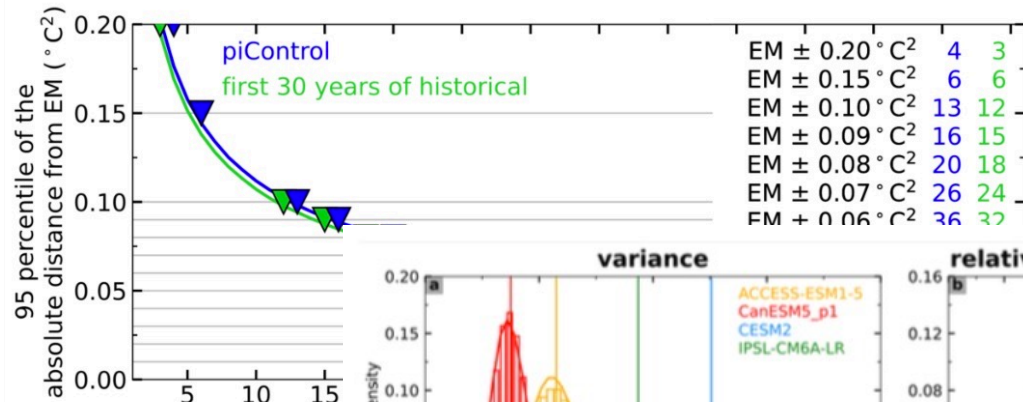
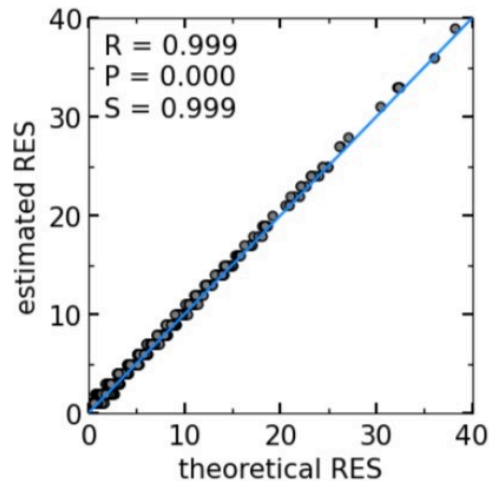
Sebastian Milinski, Nicola Maher, and Dirk Olonscheck  
Max Planck Institute for Meteorology, Hamburg, Germany



# Detecting ENSO Variance Changes in a Warmer World

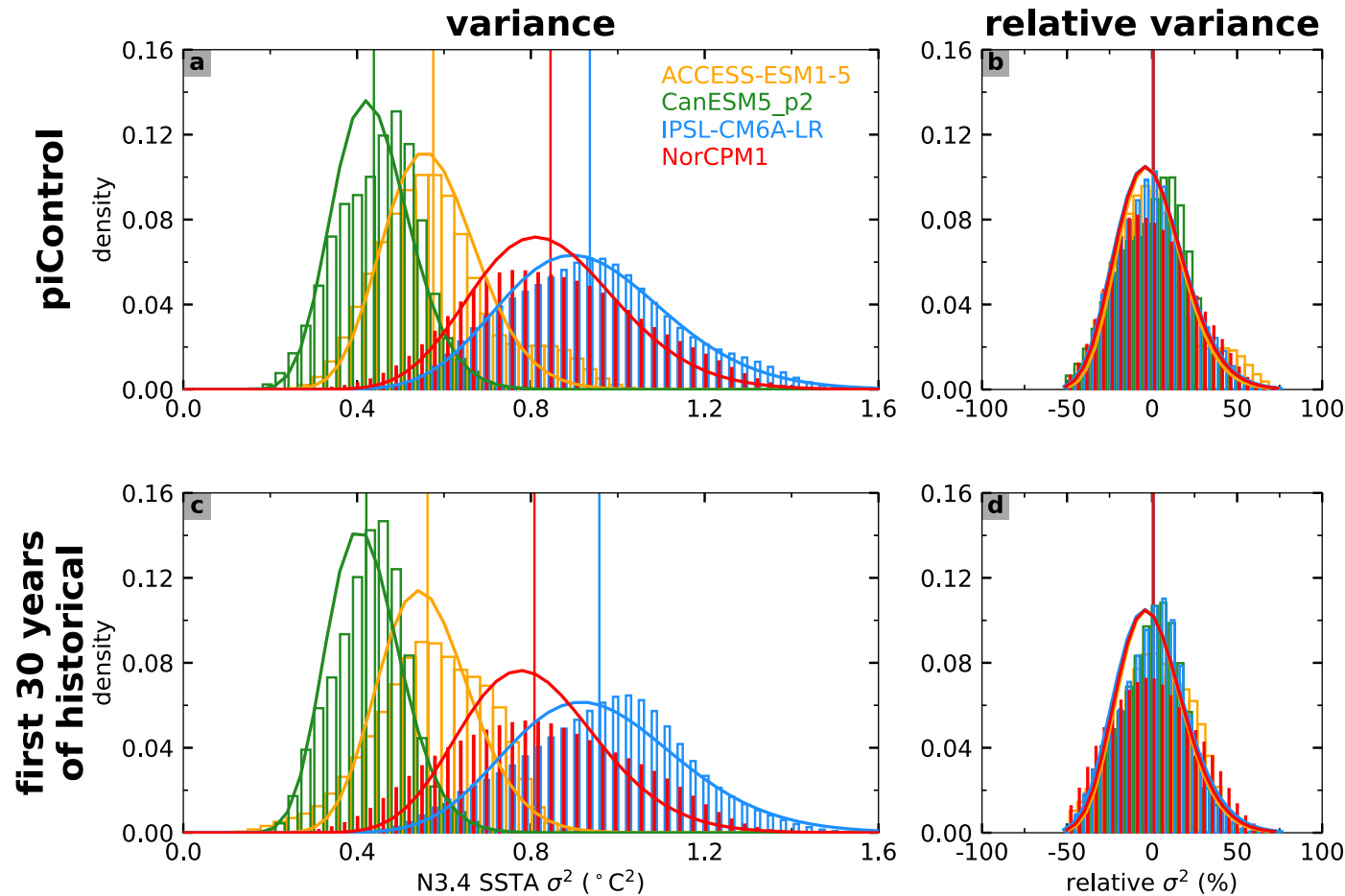


- required ensemble size (RES) depends linearly on the ensemble-variance simulated by the models and increases with the square of the desired absolute accuracy of ensemble-mean variance.



Atwood et al. 2017, Planton et al. 2022, submitted

# The modulation of ENSO in CMIP6 large ensembles is $\chi^2$ distributed

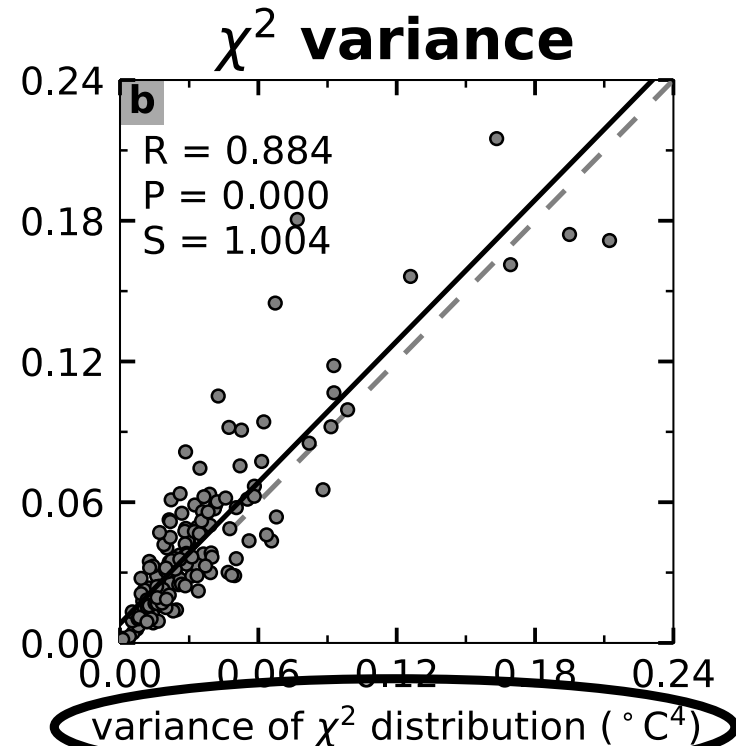
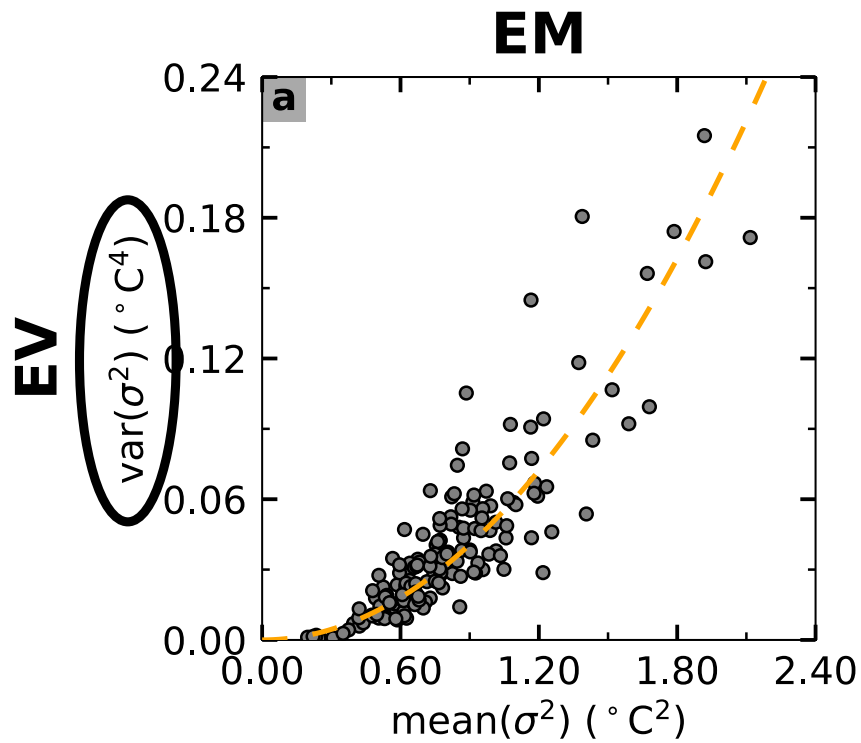


Atwood et al.'s results  
reproducible with  
CMIP6 piControl and  
large ensembles of  
historical

*Planton et al. 2022, submitted*

# CMIP6's interannual variability close to that expected of $\chi^2$

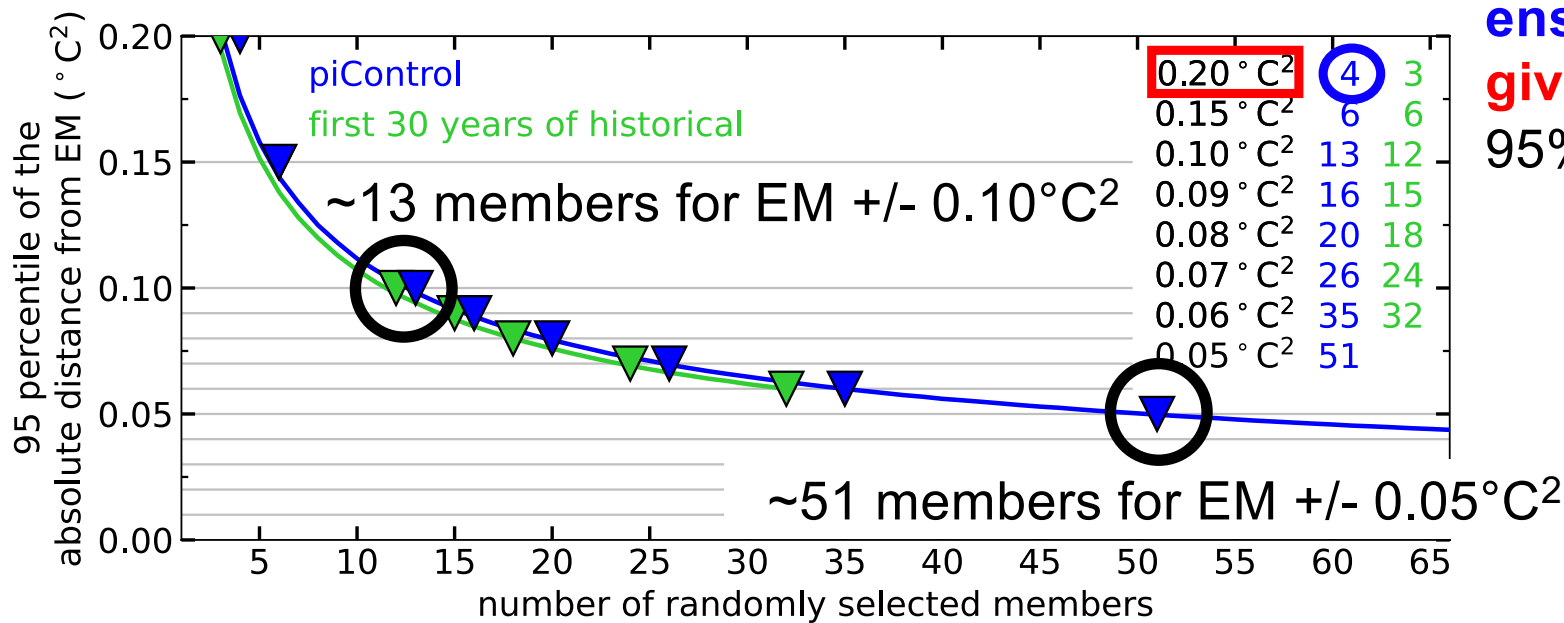
ensemble  
variance  
= internal  
variability



*Planton et al. 2022, submitted*

expected variance  
if  $\chi^2$  distributed

# Strong increase of the required ensemble size with the desired level of accuracy



**ensemble size** to obtain **given accuracy** at the 95% confidence level

*Planton et al. 2022, submitted*

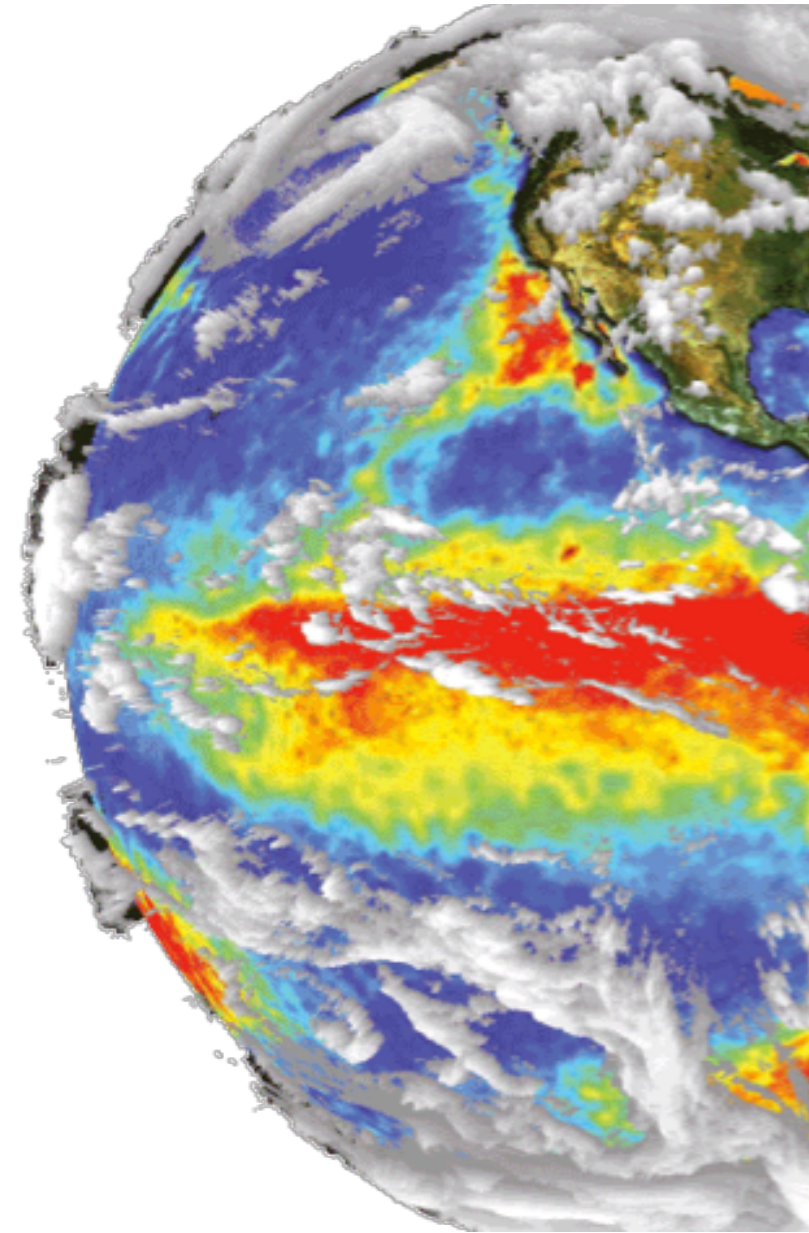
Methodology similar to:

**Lee et al. 2021 GRL: Robust Evaluation of ENSO in Climate Models: How Many Ensemble Members Are Needed? 79**

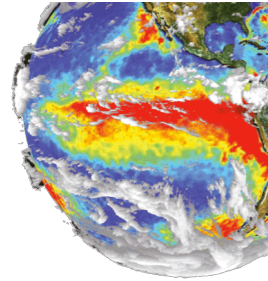


# Challenges and opportunities in ENSO modelling

- Model improvement
- Using ensembles
- Using observations
- Metrics and the street lamp syndrome
- Modelling strategies



# CGMs progress over the past 15+ years



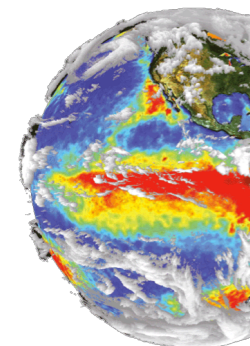
1. **Virtually all CMIP-class CGCMs now have a recognizable ENSO.**
  - A major target of model development.
2. **Improved ENSO amplitude, spectrum, and spatial diversity.**
  - But substantial biases and inter-model differences remain.
3. **Most models now capture the dominant ENSO mechanisms.**
  - But often not the right balance, and next-order feedbacks are missed.
4. **Improvements have been incremental and hard-won.**
  - Comprehensive models target *multiple* phenomena & scientific questions.
  - Finite resources → force compromises during development/tuning.
5. **Ocean/atmosphere grid refinements and improved physics have helped a lot.**
  - Enabled by faster computers.
  - Often reduce mean biases, improve ENSO teleconnections & extremes.
  - But require careful retuning & additional development.
6. **Large ensembles (SMILEs) are helping address new questions**
  - Distinguish external forcing from internal variability
  - Role of NL and ISO
  - Better comparison with observations and assess uncertainty

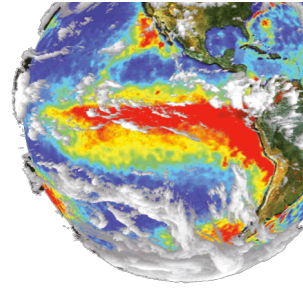
*Adapted from Andrew Wittenberg*

## Areas primed for progress in the next 5-10 years of CLIVAR (2015)



- Improve the understanding of different physical processes that influence ENSO characteristics (frequency, amplitude, diversity,...).
- Synthesize existing ENSO evaluation methods in GCMs including bridges to theory and use of initialised simulations.
- Propose ENSO evaluation protocols and develop a strategy for coordinated ENSO analysis/metrics of CMIP models; develop and maintain an interactive website (including contribution to CMIP6).
- Sustain observing systems for ENSO research and prediction; and identify new observations needed to better constrain ENSO processes, both for the current climate and for past climates.
- Improve the understanding of how ENSO might change in the future.
- Enhance international collaboration between observationists and modelers for studies of ENSO
- Enhance applications of ENSO analysis and forecast products for targeted user communities.
- Build research capacity by contributing to the development of the next generation of talents dealing with ENSO science and prediction.





## Models are both fun and demanding

- Our only tools to test understanding and provide forecasts / projections
- Help test and challenge theory
- Need careful evaluation =  $f(\text{science question, obs})$
- Accuracy, i.e. size of ensemble is also  $f(\text{science question})$
- Metrics are an exciting development
- Danger of the street lamp syndrome
- Carefully devise experiments for specific question and understand the limits
- Engage with other communities (theory, model, obs, impacts...)



Advance notice for later discussion !

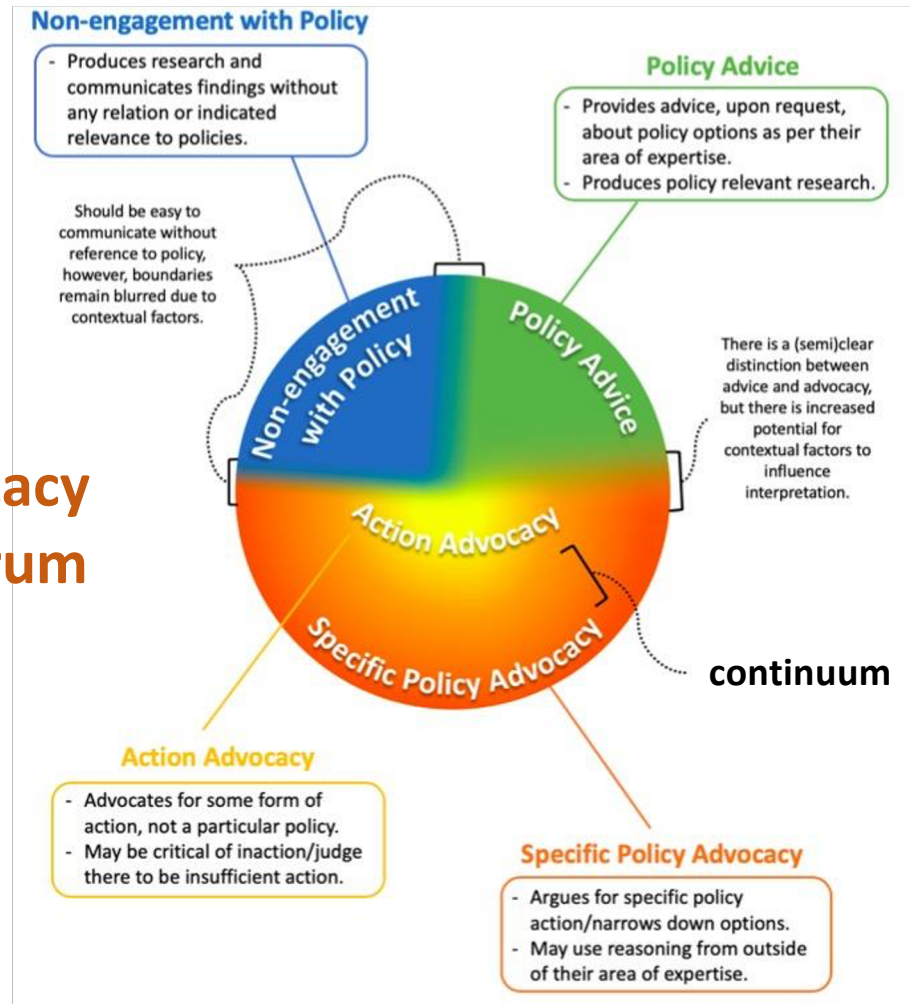
# Ethics of advocacy/engagement of climate scientists in society

- New issue for environmental scientists (e.g. climate science)
- Scientist vs. expert vs. citizen vs. activist ?
- Neutrality, values-based, trust, credibility, legitimacy ?
- Naive, manipulated, irrelevant ?
- Technocracy vs. democracy ?
- Public good vs, private interests, medias, politicians ?
- Which hat to wear? Which advocacy?
- Cf. COVID and numerous historical examples (Manhattan project, bio-ethics,...)

Research integrity vs. reserch ethics ?

# How should climate change scientists engage in policy advocacy ?

## Advocacy spectrum



### Contextual factors :

- Influences perception
- Miss goal on target
- Miss target (« stealth advocacy »)