

Theory and Simulation of Equatorial Plasma Bubbles and Scintillation

John Retterer

Institute for Scientific Research

Boston College



13 October 2022

Theory and Simulation of Equatorial Plasma Bubbles and Scintillation

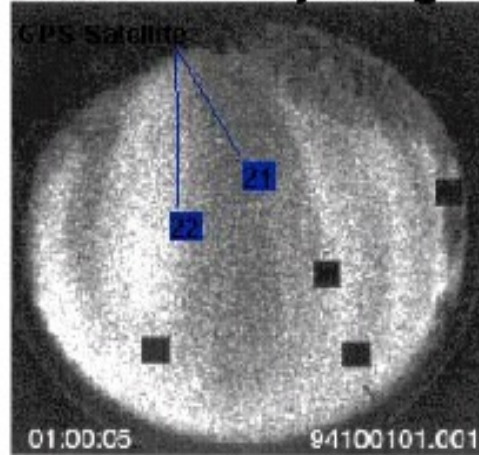
- Connection between Equatorial Plasma Bubbles (EPBs) and Scintillation
- Fluid plasma turbulence
- Physical mechanism – interchange instabilities
- Influence of the dynamics of the lower atmosphere and the magnetosphere
- Model equations
- Example simulation
- Scintillation from bubble calculation
- Bubble and scintillation climatology
- Post-midnight bubbles over Africa
- Parameter sensitivities
- Prospects for forecasting

Equatorial Scintillation

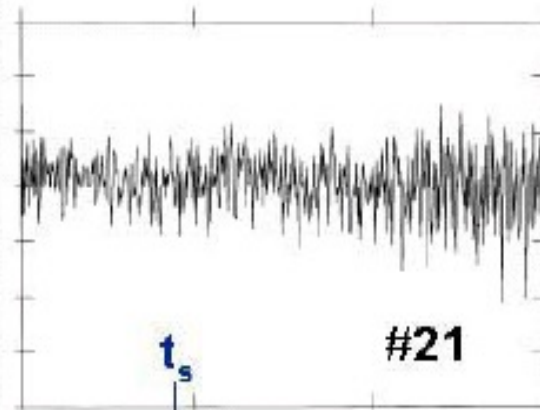
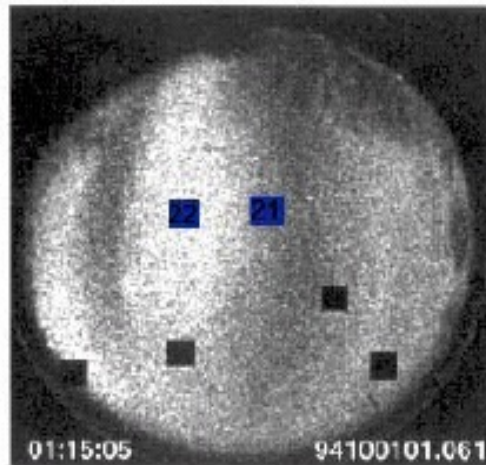
Associated with Plasma Depletions

Chile: 1 October 1994

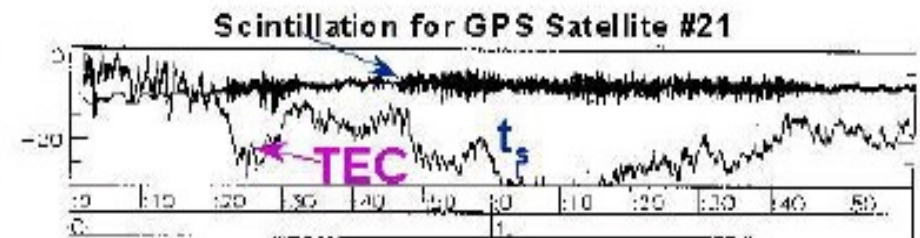
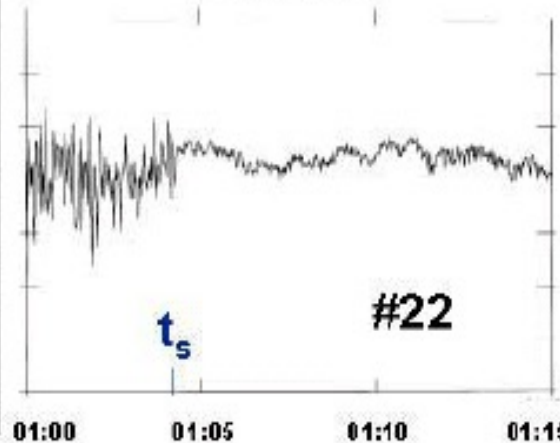
6300A All Sky Images



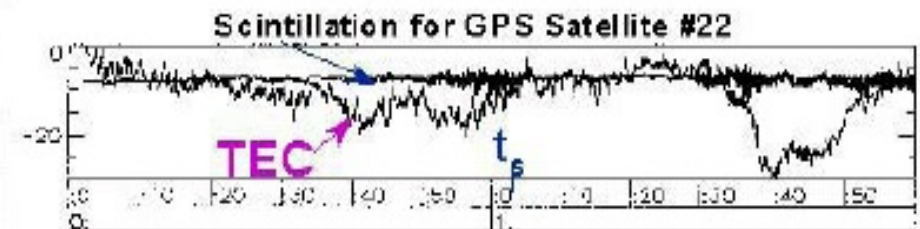
Plasma Depletions



Scintillation of GPS Signals



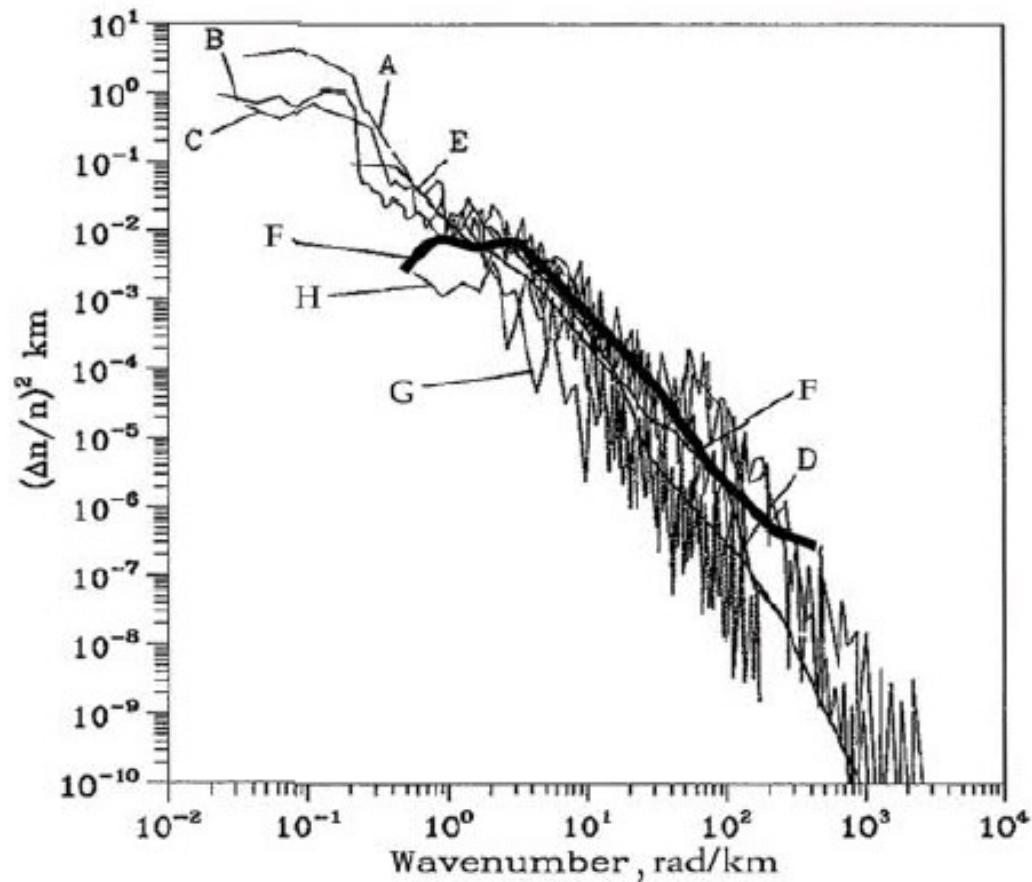
Relative TEC Changes
Over Two Hour Period
0000-0200 UT



Plasma Turbulence

Observed Density Irregularity Spectrum

Rocket fly-through density measurements



Kelley and Livingston, JGR 2003

‘Universal’ spectrum
of fluid turbulence

Found in scintillation bubbles,
Barium cloud striations, ...

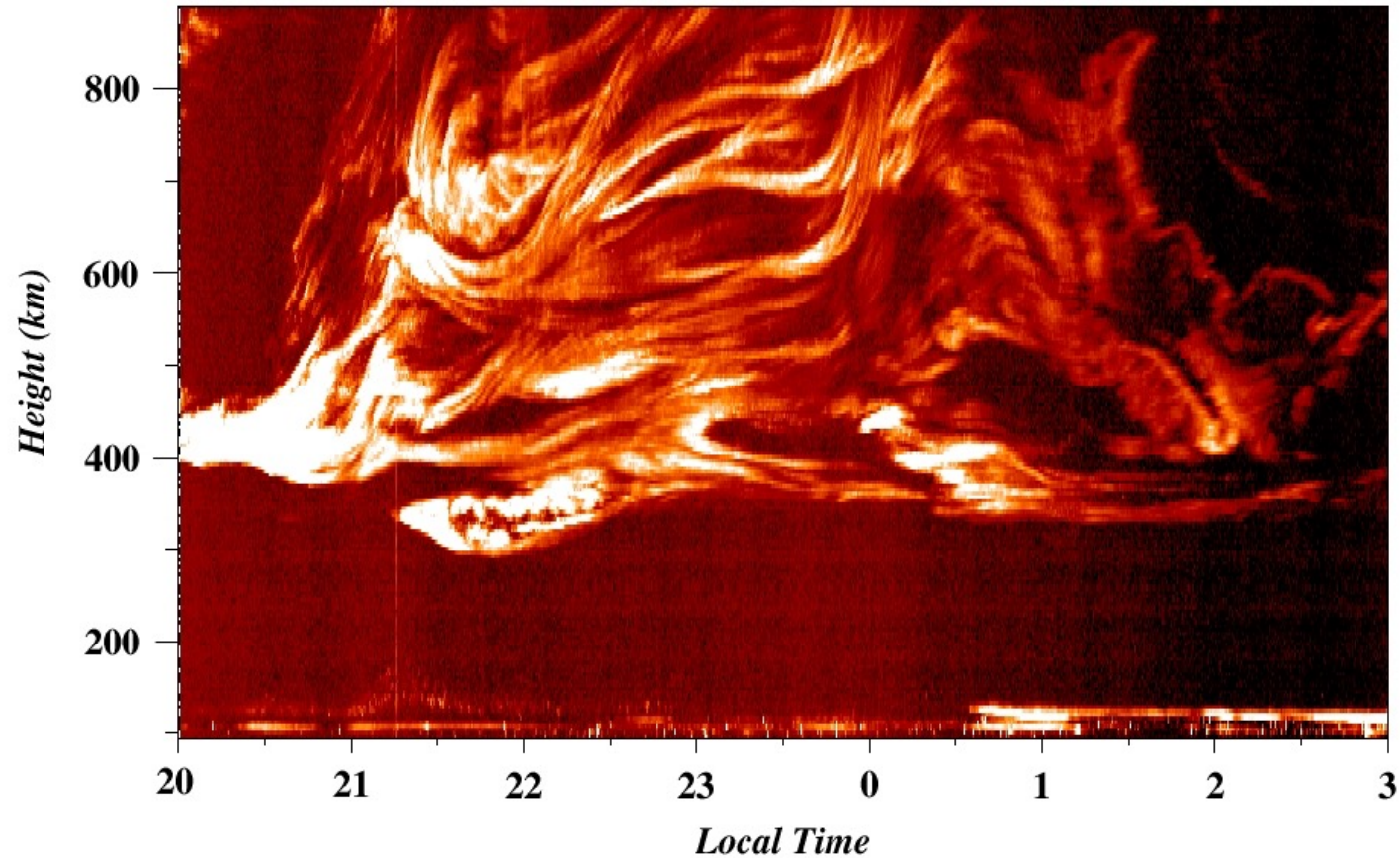
Power law from $\lambda = 100 \text{ km}$
down to $\lambda = 0.01 \text{ km}$

Driving forces at large λ
Cascade through inertial range
Dissipation at short λ

Note: this density spectrum is
required for S_4 calculation

Coherent Radar Echoes

from 3 m irregularities

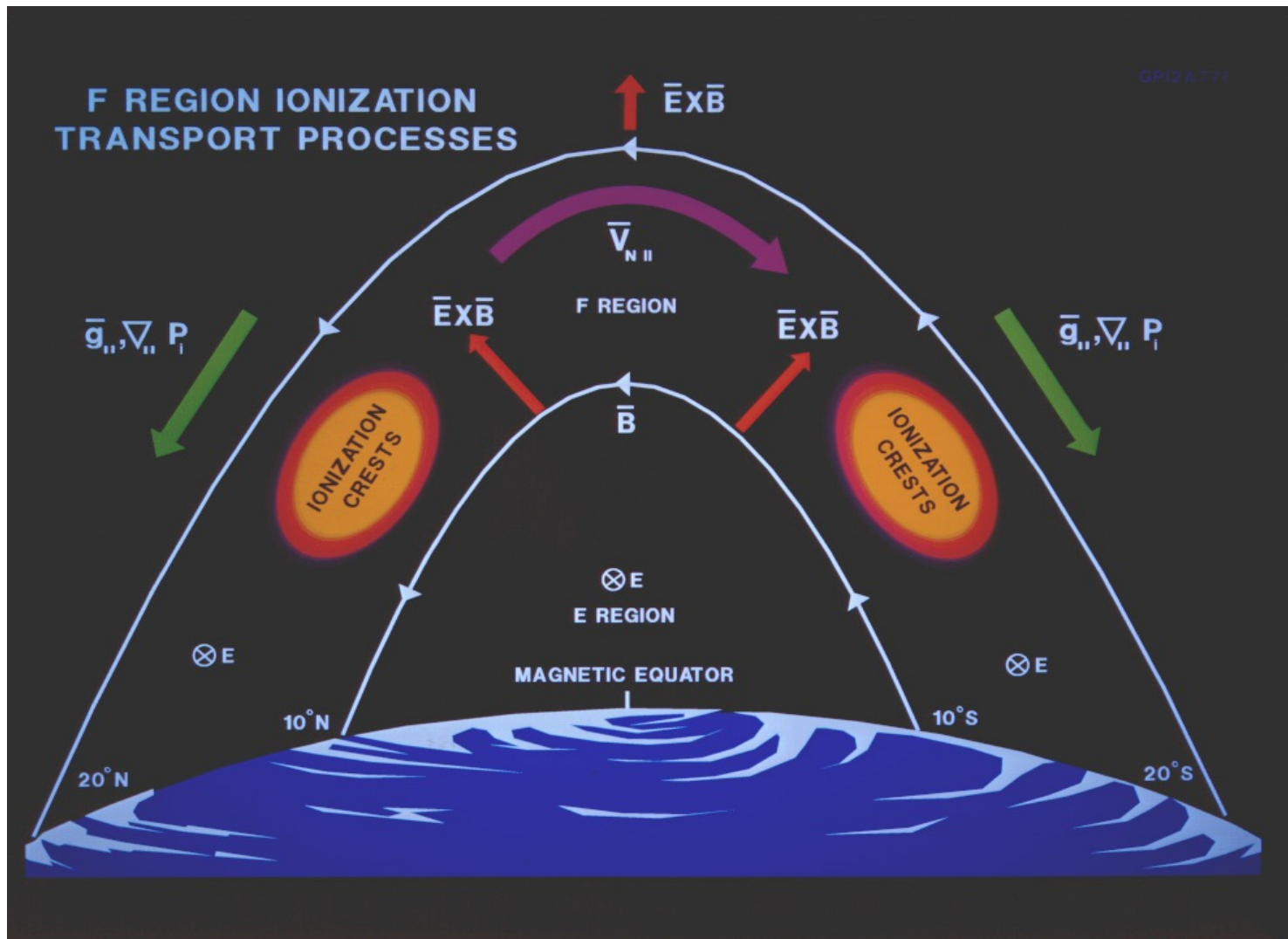


**Jicamarca radar view of
Ionospheric Disturbance**

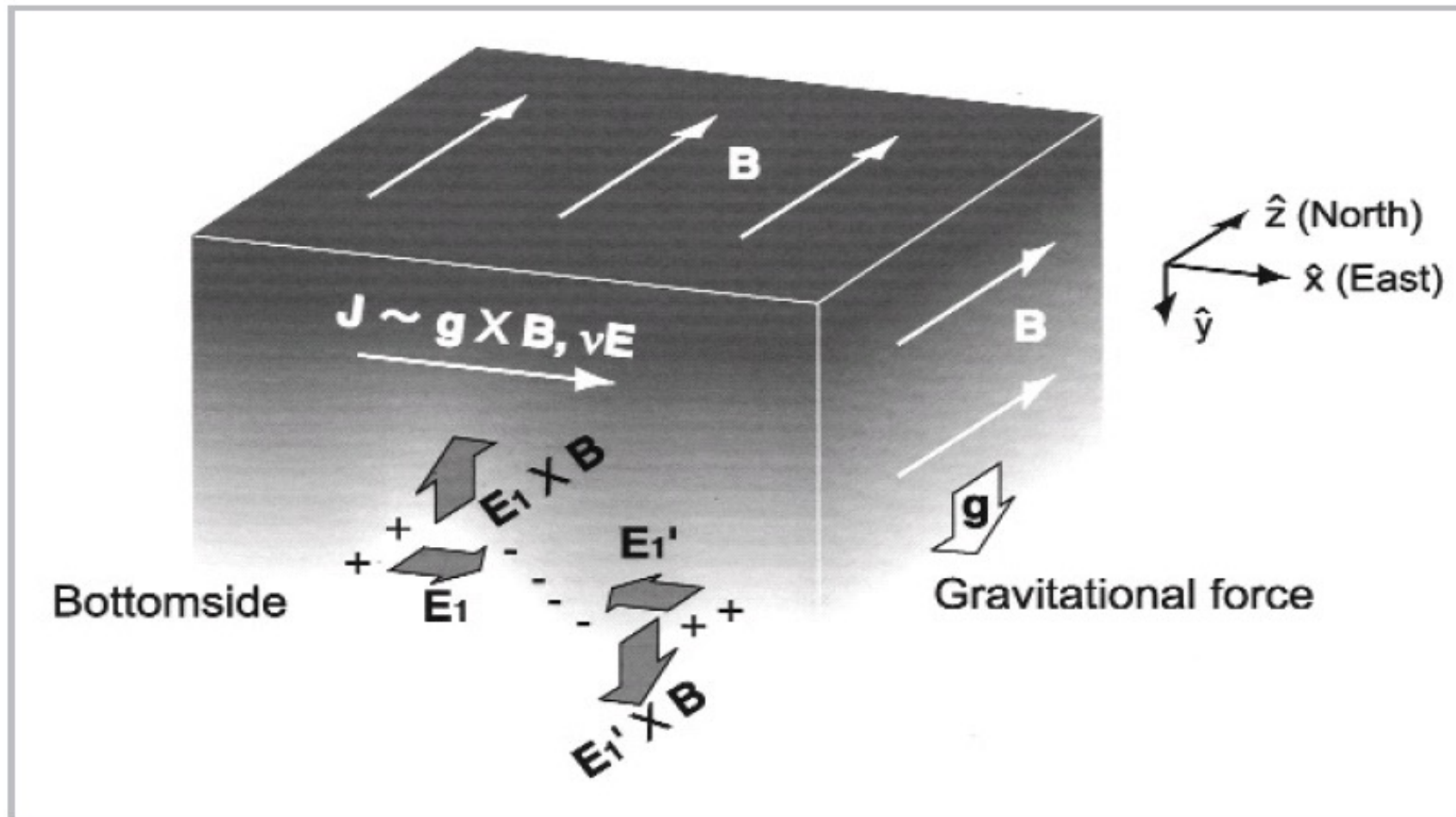
(Woodman and LaHoz 1976)

Equatorial Ionosphere

Background for Scintillation Phenomena



Rayleigh-Taylor Instability



Rayleigh-Taylor Growth Rate

Exponential Growth $A = A_0 e^{\gamma t}$

Growth Rate

Electric Field

Neutral Wind

Gravity

$$\gamma \approx \frac{\sum_F}{\sum_F + \sum_E} \left[\frac{E \times B}{B^2} + U_n + \frac{g}{v^{eff}} \right] \frac{1}{N} \frac{\partial N}{\partial h}$$

Conductance

Magnetic Field

Log Density Gradient

Connections between Plume/Bubble and Ambient Models

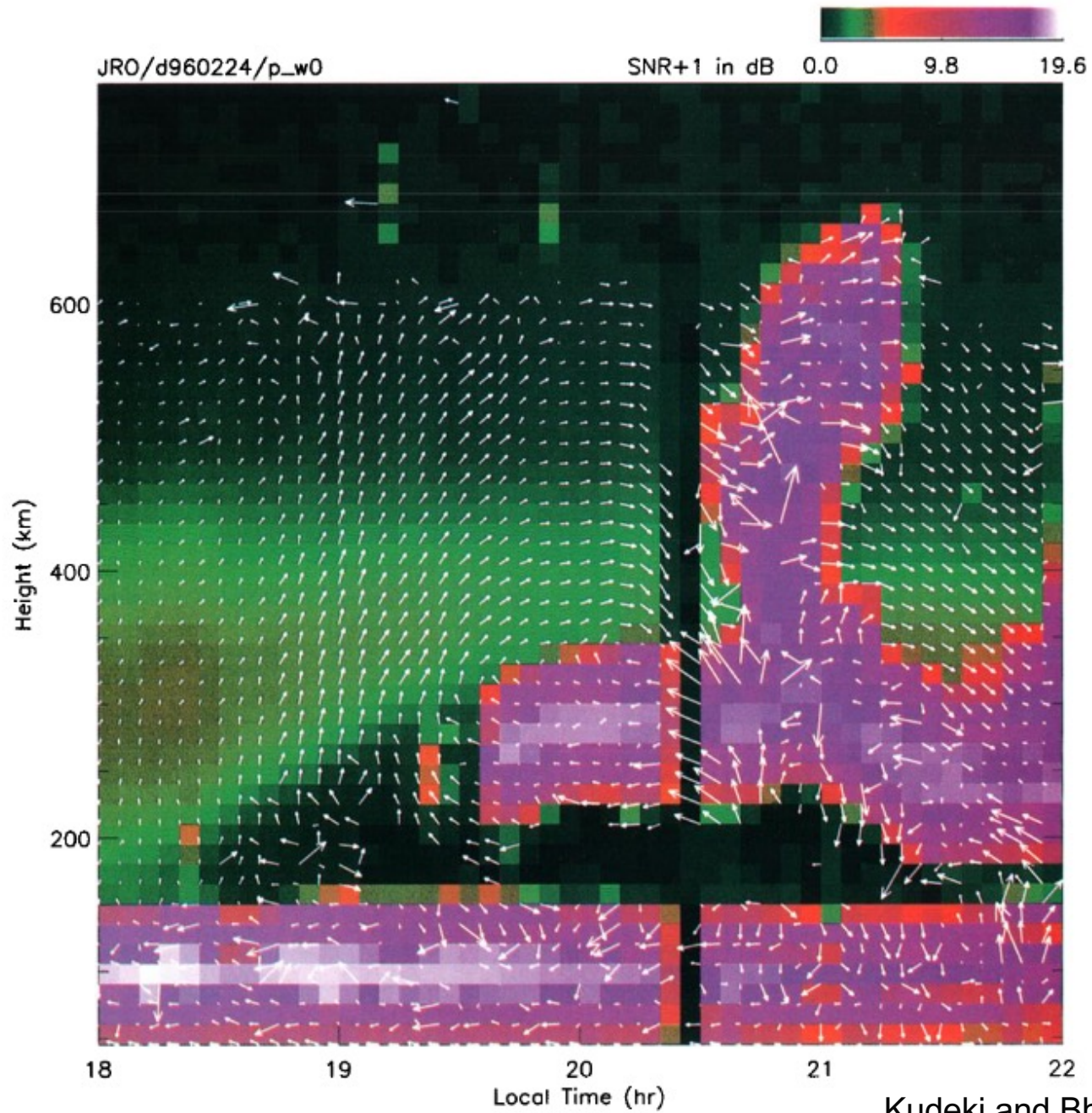
- **Ambient: global-scale background**
- **Ambient structure determines whether a region will be unstable**
- **Plume model dependent on ambient parameters of ionosphere**
 - **Neutral density, wind, & temperature**
 - **Plasma density, velocity, & temperature**
- **Ambient models**
 - **Empirical models (Hedin, ...)**
 - **Coupled ionosphere/thermosphere models (TIEGCM, CISM, WAM, WACCM-X)**
 - **Data assimilation**
- **Next generation: feedback from plume to ambient**

Sources of Energy

Other regions of MIT system serve as sources of energy for drifts of the low-latitude ionosphere

- **Thermosphere**
 - **Neutral dynamo**
 - **Both quiet and disturbed times**
- **Magnetosphere**
 - **Interplanetary electric field**
 - **Under/Over shielded by inner magnetosphere**
 - **Penetration electric fields at low latitudes**

Effect of Lower Atmosphere: Neutral-Wind Dynamo

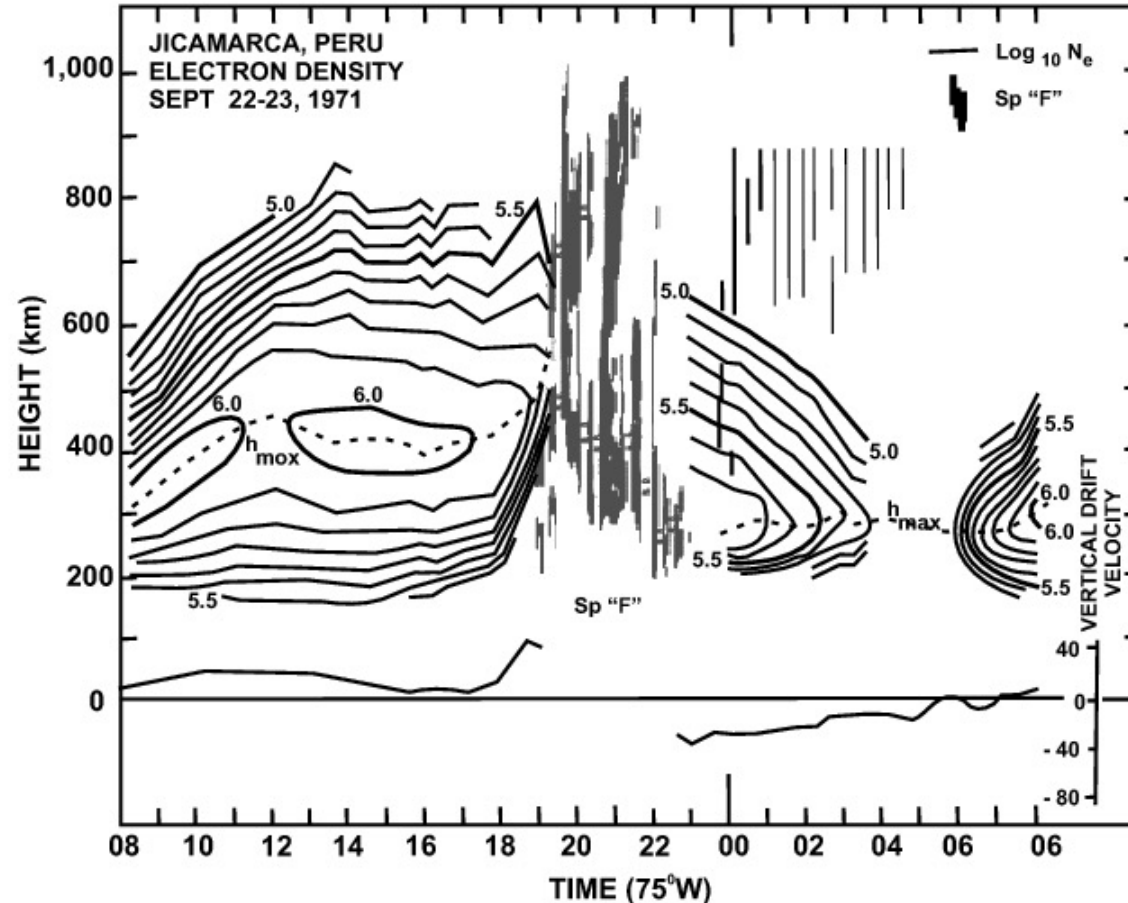


Plasma Drift Velocity and Scintillation

Radar data

Plasma density contours
and
Strong returns from scintillation

Vert. Plasma velocity



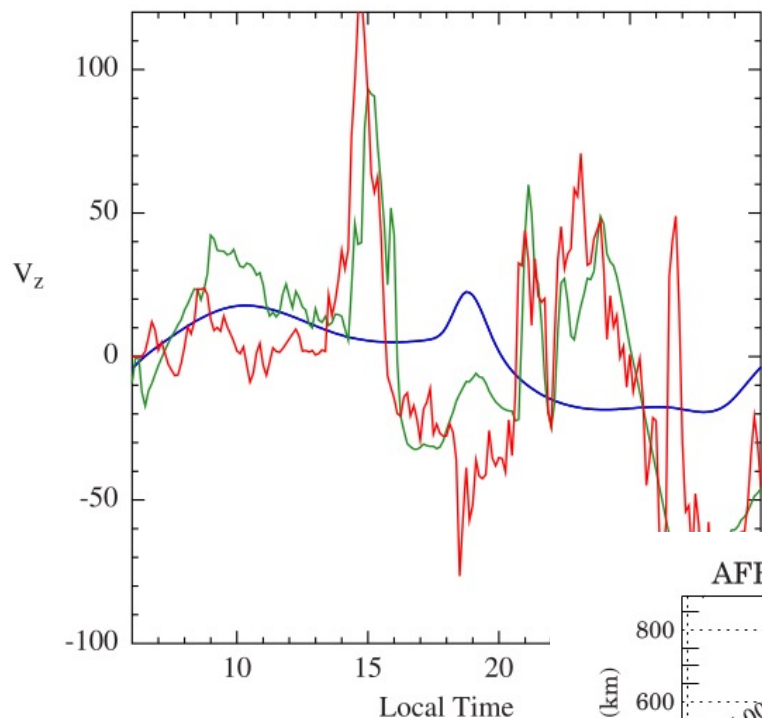
Upward plasma drift at night causes the ionosphere to become more unstable

Commonly occurs just after sunset (called pre-reversal enhancement)

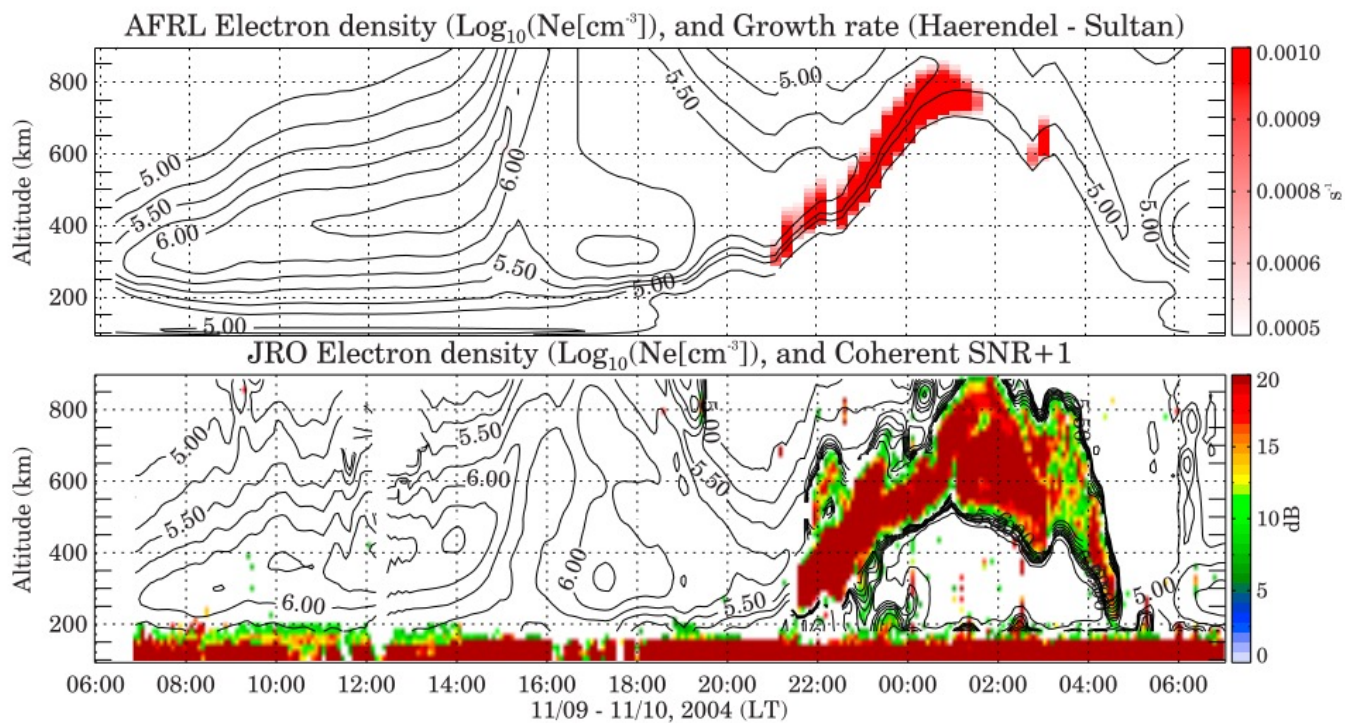
Also occurs in early morning hours during geomagnetically active times

Strength of this drift is most sensitive parameter for scintillation

Effect of Storm-time Penetration Electric Fields



Blue – ‘normal’ quiet-time vertical drift
Green – drift includes contribution from scaled IMF/SW penetration electric field
Red – observed drift



Kelley and Retterer 2008

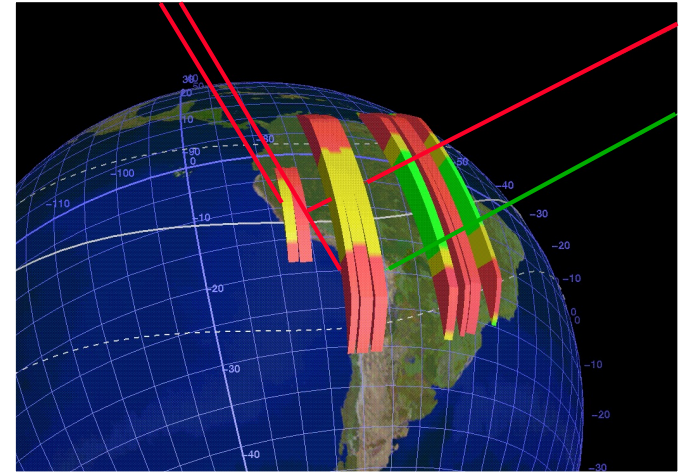
ESF Plume Models

For radio scintillation estimates, need density around bubble/plume structures

Plume formation through nonlinear evolution of generalized Rayleigh-Taylor instability

Development History – plume models

- Equatorial plane (Ossakow 1976)**
- Discrete layers (Zalesak 1982)**
- Field-line integrated quantities (Keskinen 1998, Retterer 1999)**
- 3-D treatment of transport (Retterer 2002; Huba 2008)**
- Higher resolution models (Yokoyama 2014)**
- 3-D treatment of electric fields (Hysell 2022)**

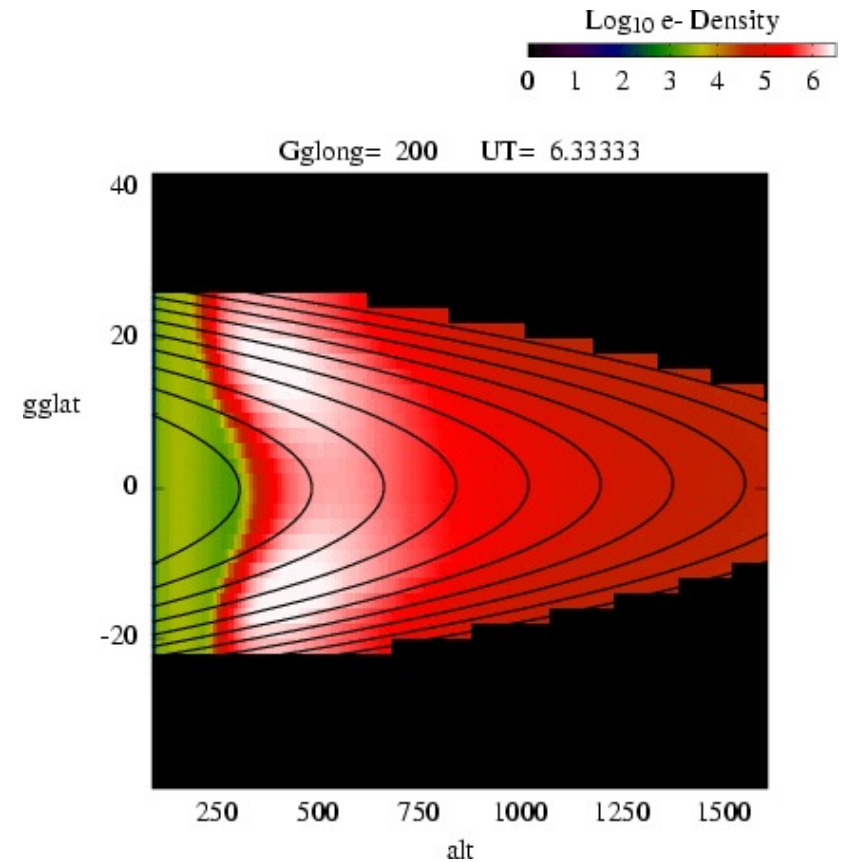


(Figure courtesy of Keith Groves)

Parallel Transport

$$\frac{\partial n}{\partial t} + \nabla_{\parallel} \cdot (n v_{\parallel}) = P - L$$

$$\nu_s v_{\parallel} = \frac{q_s}{m_s} E_{\parallel} + g_{\parallel} - \frac{1}{n_s} \nabla_{\parallel} P_s + \nu_s \mathbf{U}_{\parallel}$$



Perpendicular Transport

Continuity Eqn $\frac{\partial n}{\partial t} + \nabla_{\perp} \cdot (n \mathbf{v}_{\perp}) = 0$

Momentum Eqn $\frac{d\mathbf{v}_s}{dt} = \frac{q_s}{m_s} \mathbf{E} + \mathbf{g} + \Omega_s \mathbf{v}_s \times \hat{\mathbf{B}} - \frac{1}{n_s} \nabla_{\perp} P_s + \nu_s (\mathbf{U} - \mathbf{v}_s)$

**Inertia-less
treatment**

$$\mathbf{v}_{s\perp} = k_{1s} \mathbf{A}_s + k_{2s} \mathbf{A}_s \times \hat{\mathbf{B}}$$

**Accelerations
due to various forces**

$$\mathbf{A}_s = \frac{q_s}{m_s} \mathbf{E} + \mathbf{A}_{0s},$$

$$\mathbf{A}_{0s} = \frac{q_s}{m_s} \mathbf{E}_0 + \mathbf{g} - \frac{1}{n_s} \nabla_{\perp} P_s + \nu_s \mathbf{U}$$

Mobilities

$$k_{1s} = \frac{\nu_s}{\nu_s^2 + \Omega_s^2}, \quad k_{2s} = \frac{\Omega_s}{\nu_s^2 + \Omega_s^2}$$

Electric Fields

Parallel electric field from ambipolar field

Perpendicular fields from current-continuity condition:

**No charge build-up implies divergence of current is zero;
integrate along field lines:**

$$\int \left[\frac{\partial}{\partial \alpha} (j_{\alpha} h_{\beta} h_{\gamma}) + \frac{\partial}{\partial \beta} (h_{\alpha} j_{\beta} h_{\gamma}) \right] d\gamma = 0$$

The coordinate system: We use Euler potentials α and β as orthogonal coordinates that label a field line, while γ denotes position along the field line. α corresponds to the L-shell variable, and β is the longitude (zonal) variable. (h_{α} h_{β} h_{γ} are the metric coefficients for the variables)

Electric Field Calculation

$$\mathbf{j} = nq(\mathbf{v}_i - \mathbf{v}_e)$$

$$\Sigma_p = \sum n_i q^2 (k_{1i}/m_i + k_{1e}/\mathbf{M}_e)$$

$$\mathbf{j}_\perp = \Sigma_p \mathbf{E}_\perp + \Sigma_h \mathbf{E}_\perp \times \hat{\beta} + \mathbf{j}_0$$

$$\Sigma_h = \sum n_i q^2 (k_{2i}/m_i + k_{2e}/\mathbf{M}_e)$$

$$\mathbf{j}_0 = \sum n_i q \left(k_{1i} \mathbf{A}_{0i\perp} - k_{1e} \mathbf{A}_{0e\perp} + k_{2i} \mathbf{A}_{0i\perp} \times \hat{\beta} - k_{2e} \mathbf{A}_{0e\perp} \times \hat{\beta} \right)$$

$$\mathbf{E}_\perp = -\frac{\hat{\alpha}}{h_\alpha} \frac{\partial \Phi}{\partial \alpha} - \frac{\hat{\beta}}{h_\beta} \frac{\partial \Phi}{\partial \beta}$$

$$\frac{\partial}{\partial \alpha} \left(\Sigma_{p\alpha} \frac{\partial \Phi}{\partial \alpha} + \Sigma_{h\alpha} \frac{\partial \Phi}{\partial \beta} \right) + \frac{\partial}{\partial \beta} \left(\Sigma_{p\beta} \frac{\partial \Phi}{\partial \beta} - \Sigma_{h\beta} \frac{\partial \Phi}{\partial \alpha} \right) = \frac{\partial j_{0\alpha}}{\partial \alpha} + \frac{\partial j_{0\beta}}{\partial \beta}$$

$$\Sigma_{p\alpha} = \int \frac{\Sigma_p}{h_\alpha^2} h_\alpha h_\beta h_\gamma d\gamma,$$

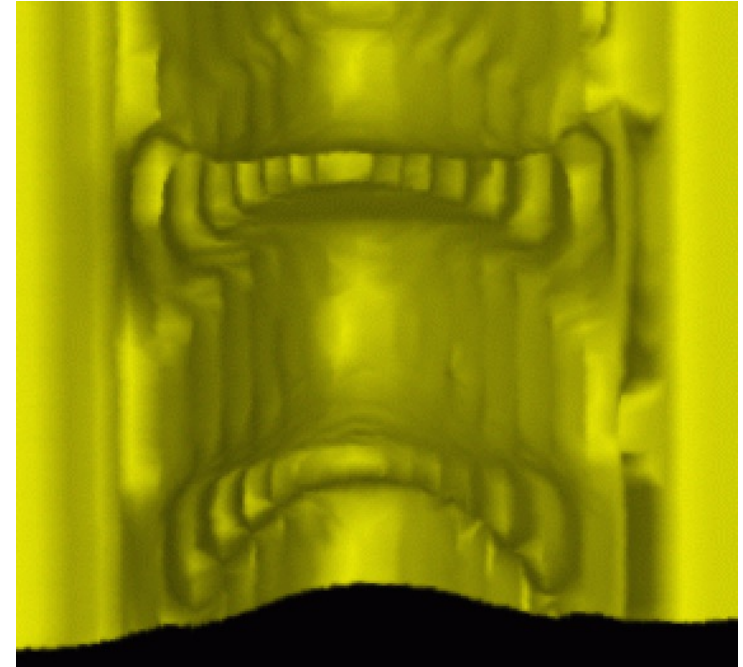
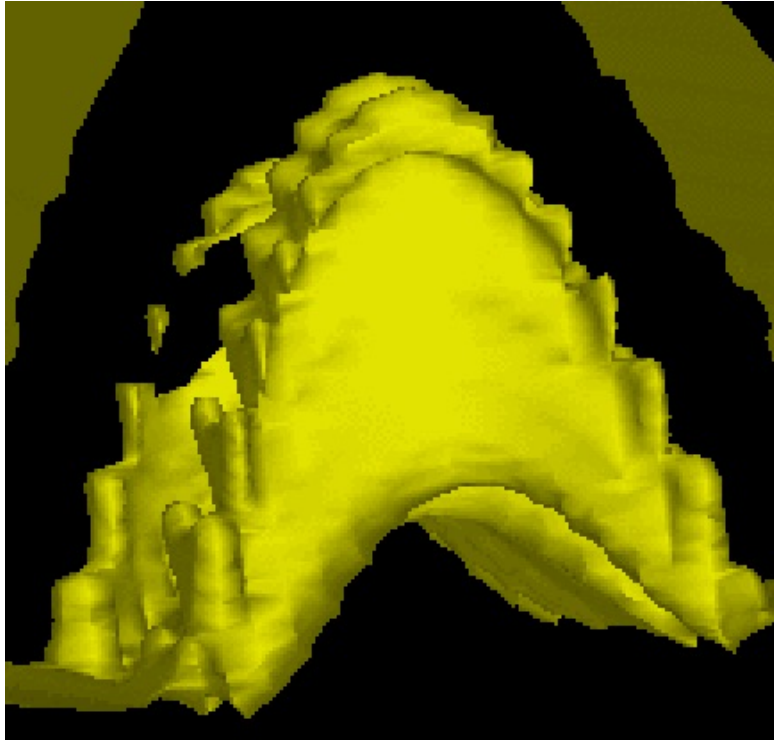
$$\Sigma_{p\beta} = \int \frac{\Sigma_p}{h_\beta^2} h_\alpha h_\beta h_\gamma d\gamma$$

$$j_{0\alpha} = \int \frac{j_{0\alpha}}{h_\alpha} h_\alpha h_\beta h_\gamma d\gamma,$$

$$\Sigma_{h\alpha} = \Sigma_{h\beta} = \int \frac{\Sigma_h}{h_\alpha h_\beta} h_\alpha h_\beta h_\gamma d\gamma$$

$$j_{0\beta} = \int \frac{j_{0\beta}}{h_\beta} h_\alpha h_\beta h_\gamma d\gamma$$

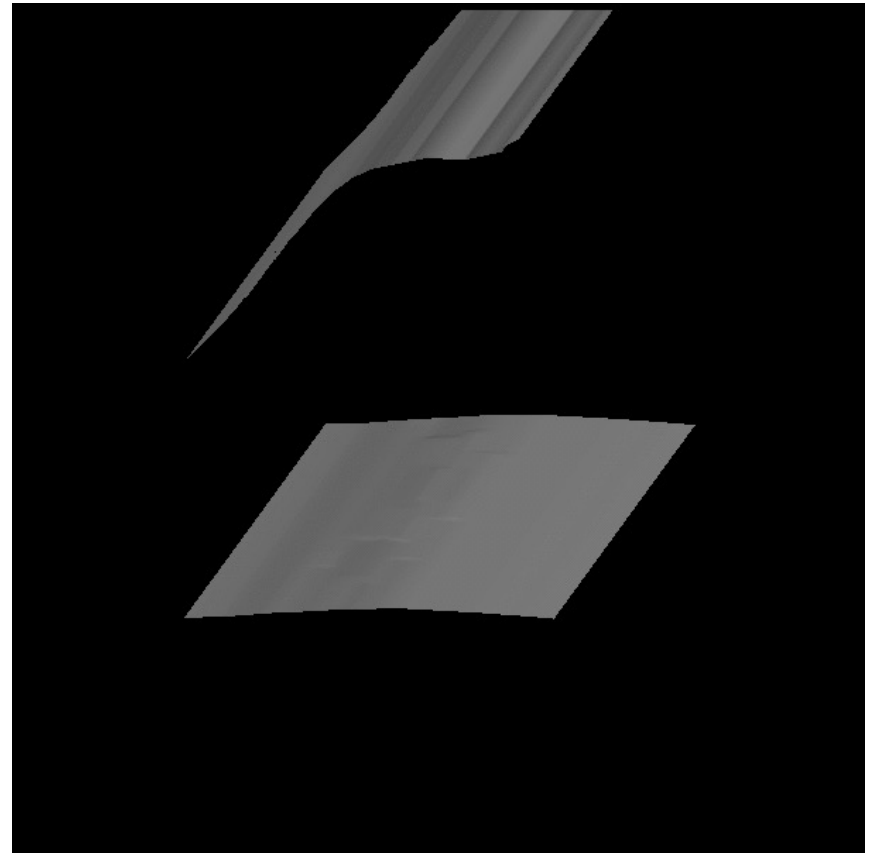
3-D Bubbles



- Isodensity surfaces (density= 10^5 cm^{-3}); snapshots of structure at one instant of time
- The left figure looks from the West, horizontally, showing the bubble more or less following the geomagnetic field line north to south
- The figure on the right is looking up from below the bubble, again showing the north/south orientation of the bubble

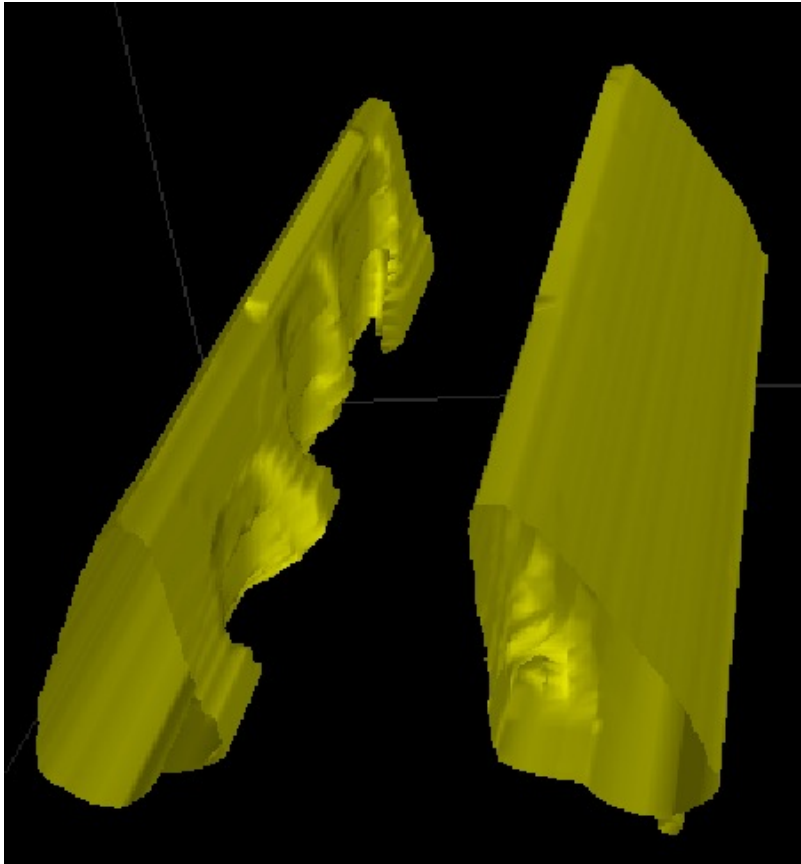
Plume Evolution

isodensity surface 10^5 cm^{-3}



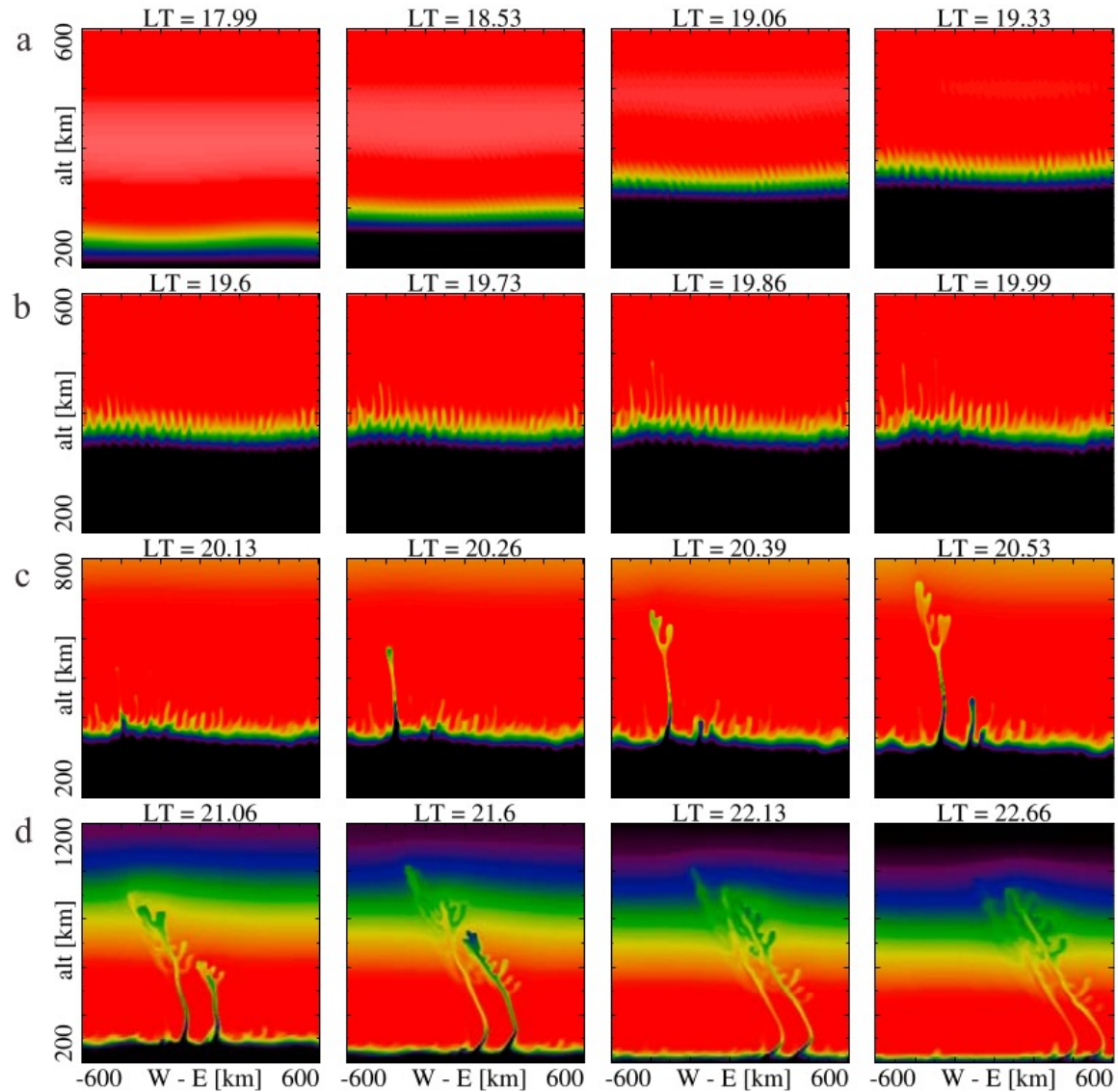
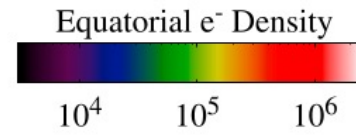
3-D Bubbles

near Appleton Anomaly

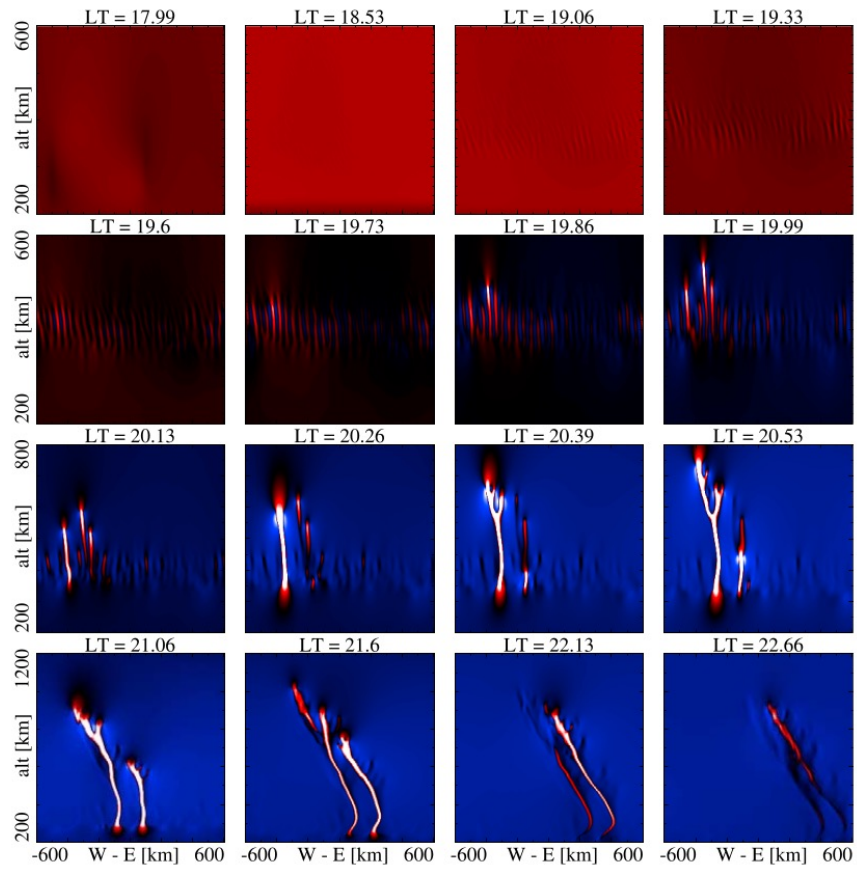
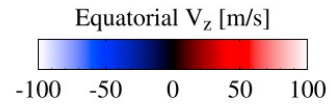


- **Isodensity surfaces (density= 10^6 cm^{-3}); snapshot of structure at one instant of time**
- **This higher density reveals the structure around the Appleton anomalies**
- **Note that the inside (lower latitude edge) shows structuring with the bubbles, but the outside does not.**

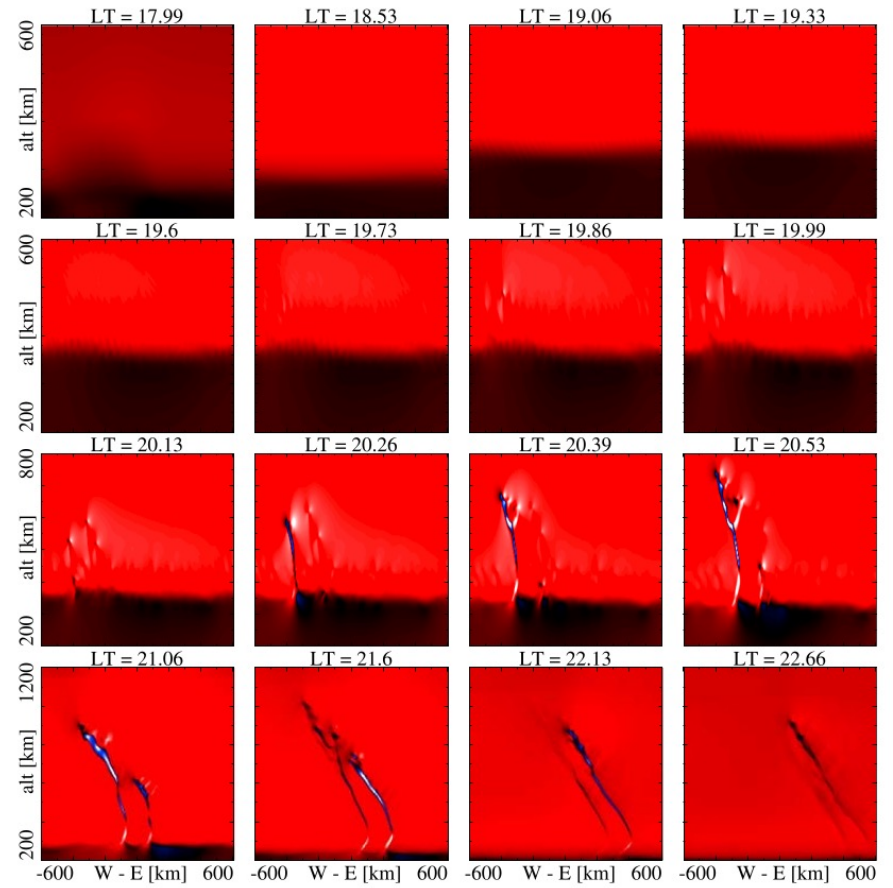
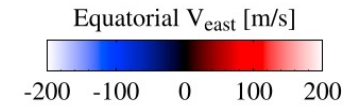
Density in Equatorial Plane



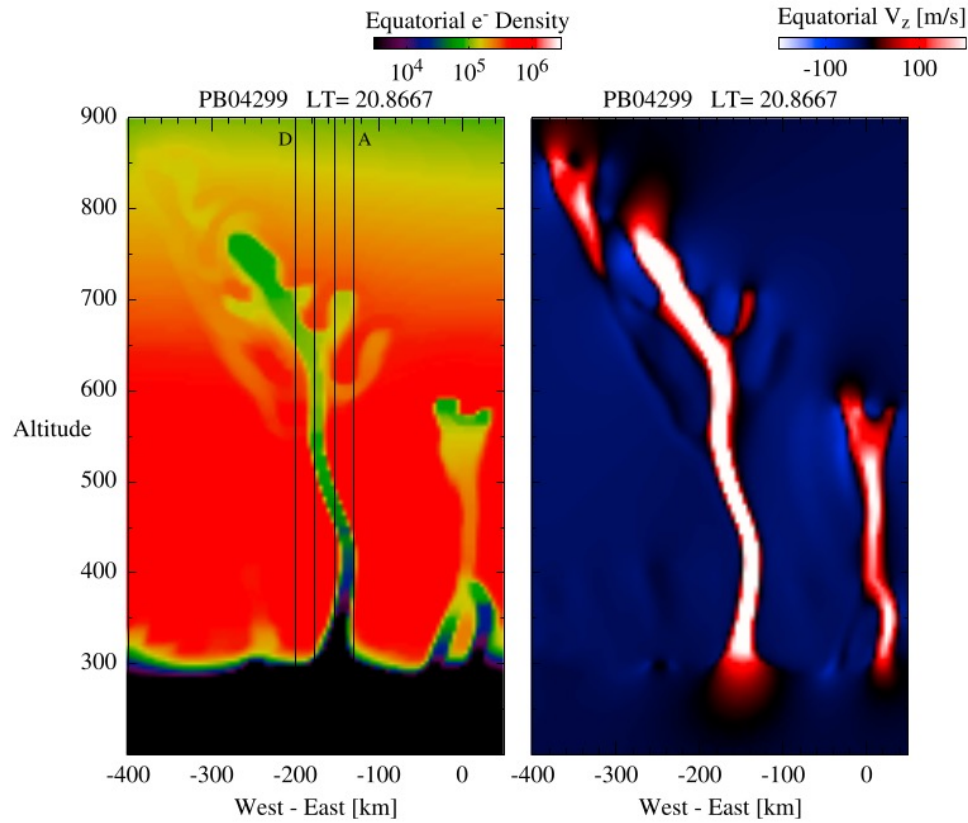
Vertical Plasma Drift



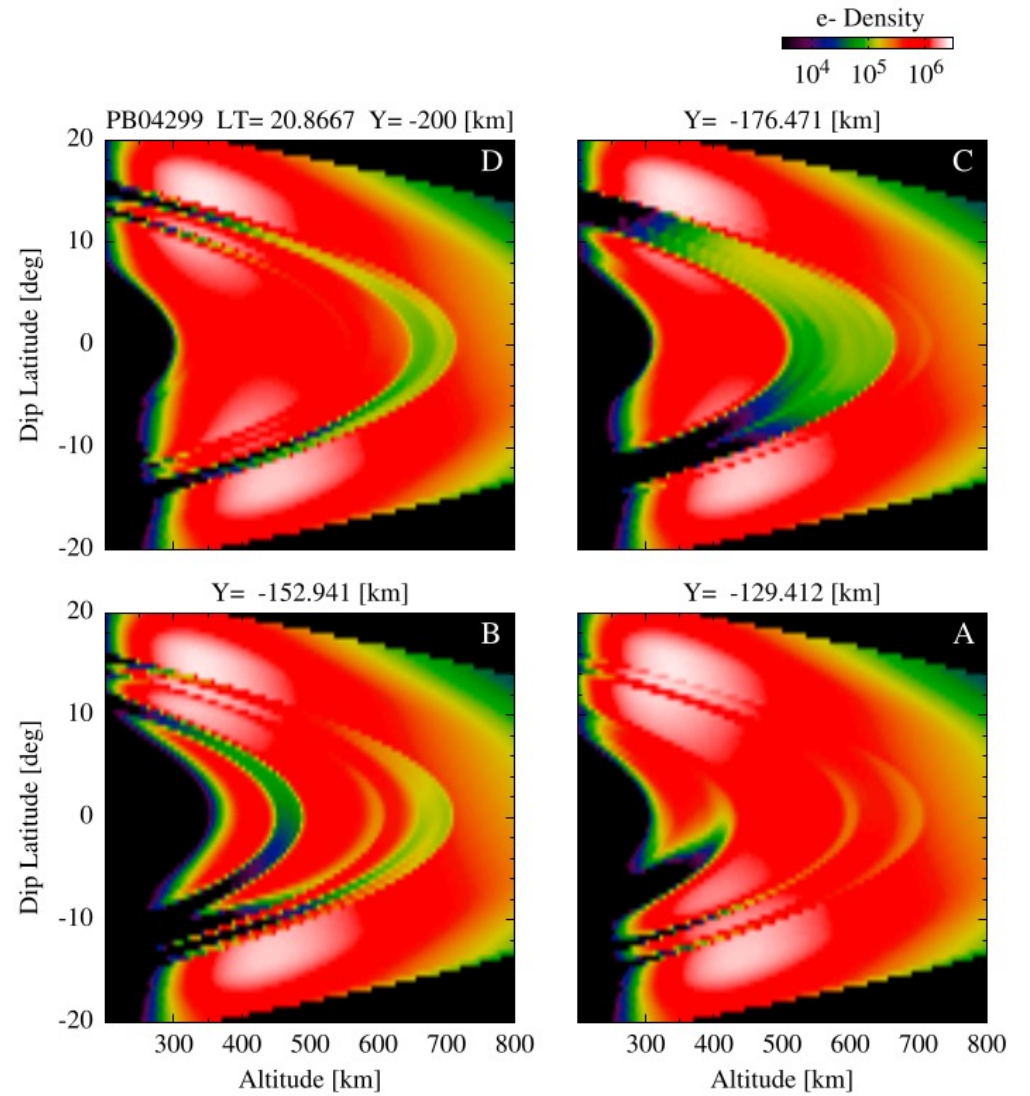
Zonal Plasma Drift



Density and Vert Drift in Eq Plane

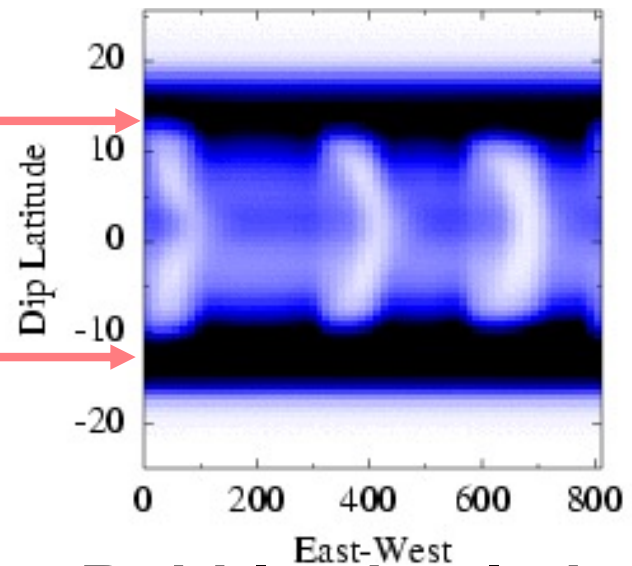
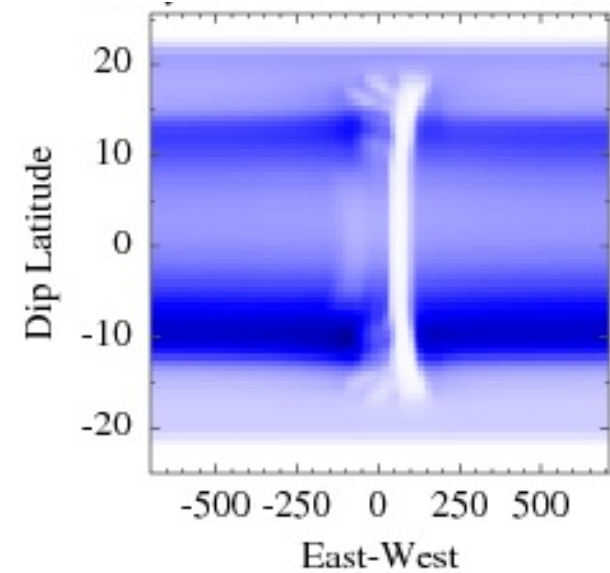
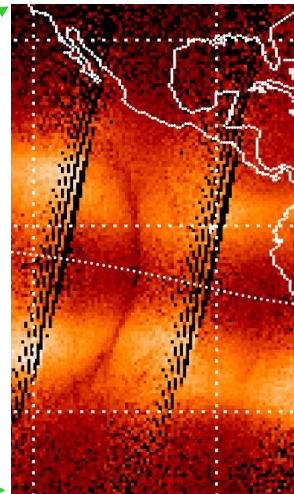
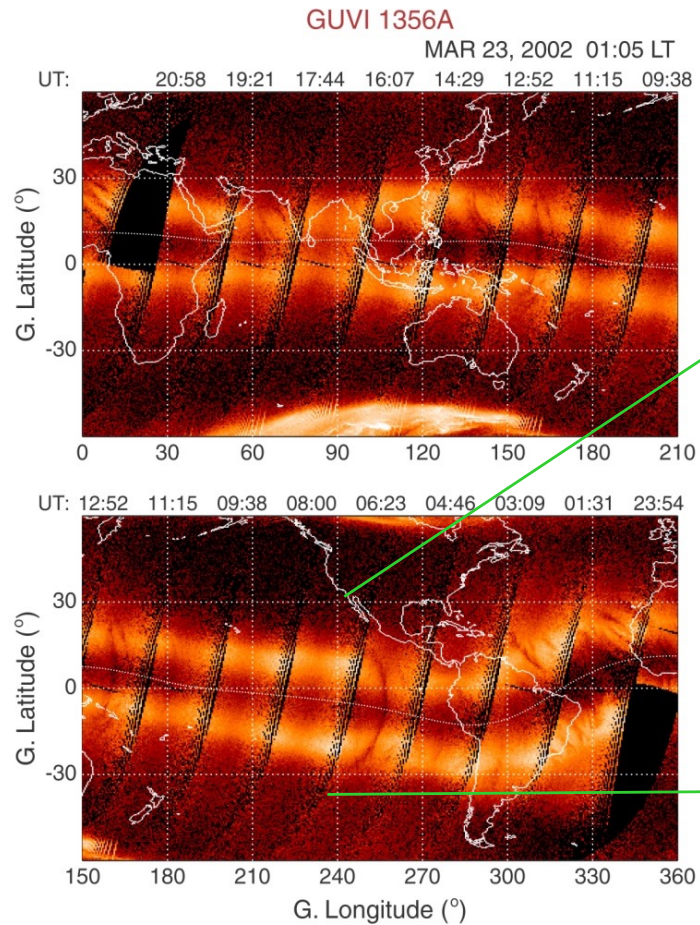


Density in Mag-Field Plane



Bubble Structure in Airglow

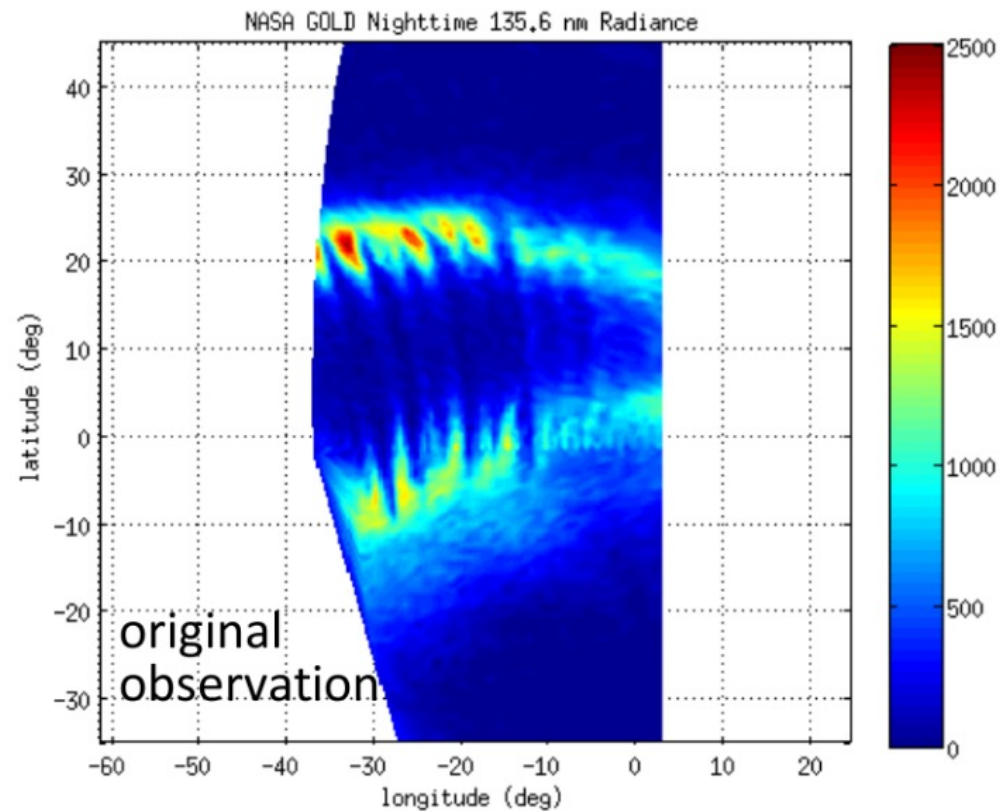
1356 A emission, Nadir scan geometry
GUVI instrument on TIMED satellite
(Paxton et al.)



Bubble simulations

GOLD Satellite Observations

Airglow Depletions Seen from Geostationary Height



Spacing of Fully Formed Plumes gives a hint of original perturbation structure

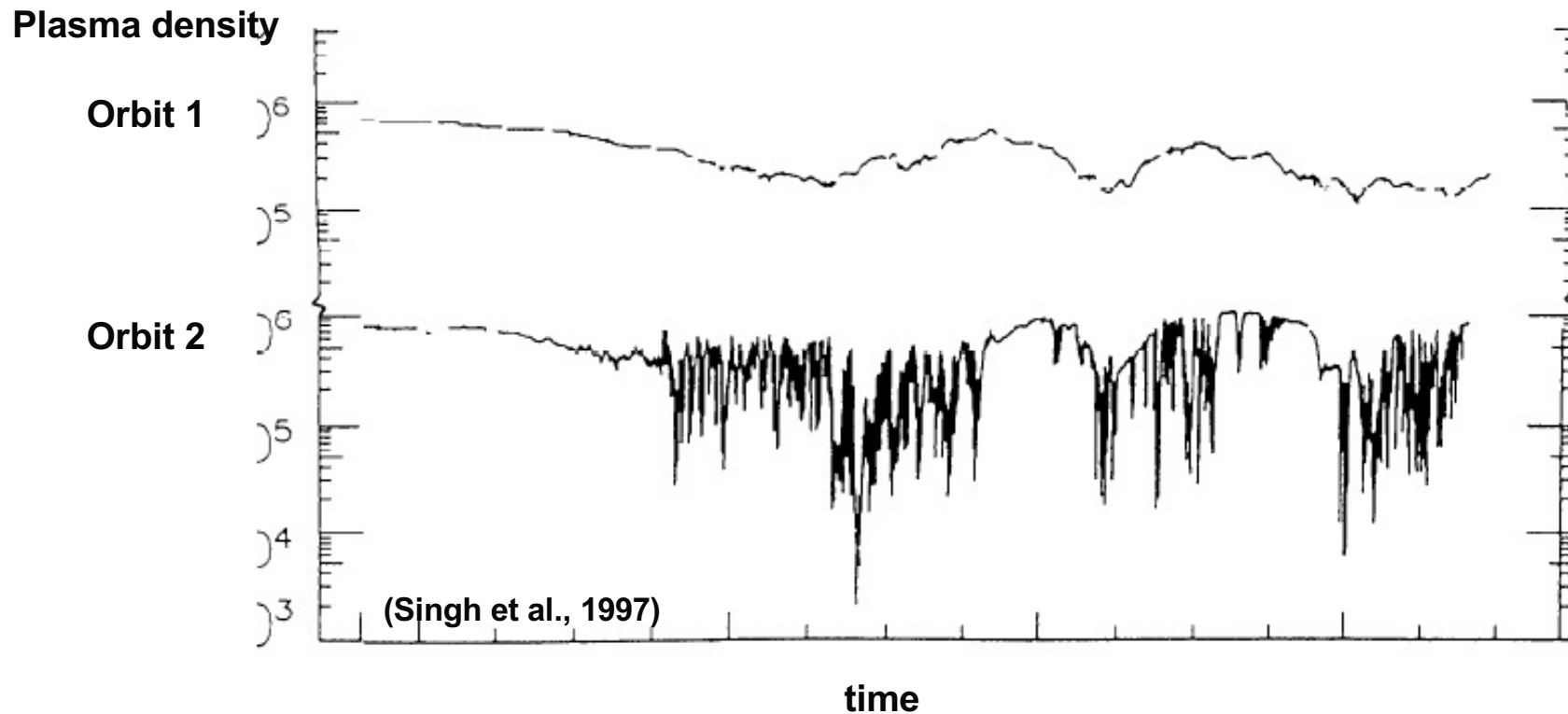
Rezy Pradipta

Initial Conditions

Gravity-Wave Seeding

Sometimes the initial perturbation is not so small

Event observed by AE-E satellite:



Scintillation Forecasting

Butterfly Problem

- **Plumes develop nonlinearly out of small (unobservable) perturbations**
- **Because of the lack of detailed enough data on these perturbations, it would be impossible to attempt to simulate individual bubbles/plumes or the temporal sequence of radio fades accurately in detail**
- **Instead, we aim to estimate statistical properties of density irregularities (spectrum) to help estimate statistical properties of scintillation (expected level of signal-strength fluctuations)**

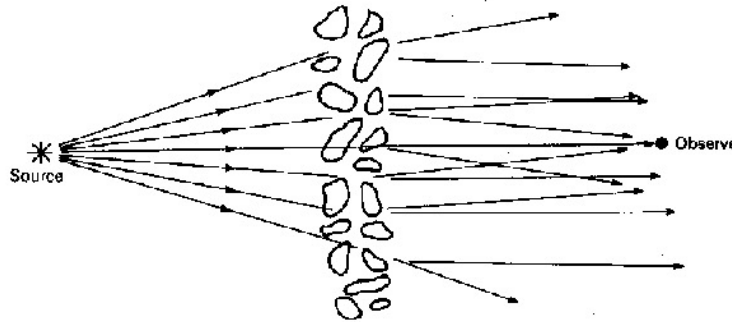
Scintillation Strength Theory

S_4 is a measure of Signal-Strength Scintillation:

RMS of Intensity fluctuations / Average signal Intensity

S_4 ranges from 0 to 1 ($S_4 = 0.6$ corresponds to signal fades of 10 dB)

Observation
Geometry:



Local phase delay dependent on plasma density:

$$\frac{c^2 k^2}{\omega^2} = 1 - \frac{\omega_p^2}{\omega^2}$$

Density irregularities lead to scattering

Use diffraction theory for weak scattering

Scintillation Strength

Phase-Screen formula

- Analytical formula for S_4 , the statistic for signal-intensity scintillations (Costa and Kelley, 1977)
- S_4 expressed in terms of an integral over power-spectral density of plasma density (n) integrated along signal path
- Thin phase-screen, weak scattering approximation
- Extend application with saturation formula determined from comparisons with full-wave calculations

$$S_4^2 = 4(r_e \lambda)^2 \int \frac{dk_t}{2\pi} \sin^2 \left[\frac{k_t^2}{k_f^2} \right] \frac{|n(k_t, k_s = 0)|^2}{L_t}$$

where

$$k_f^2 = \frac{4\pi}{\lambda h_s}$$

Fresnel wavelength:

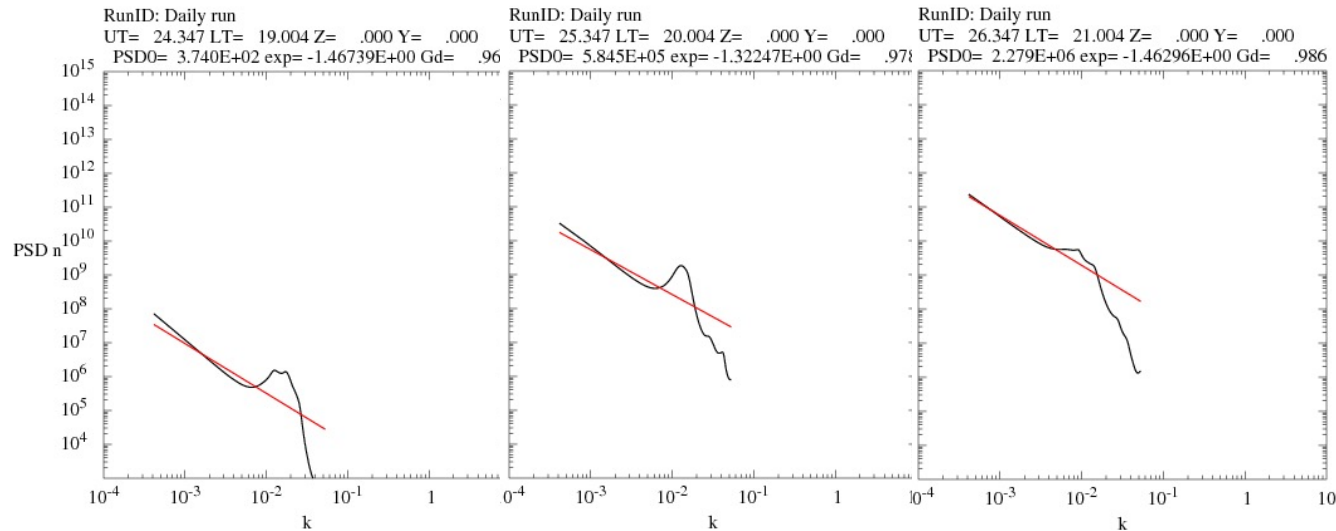
500 m at 250 MHz

250 m at 1000 MHz

$$n(k_t, k_s = 0) = \int ds dt n(s, t) e^{ik_t t} = \int dt e^{ik_t t} \int ds n(s, t)$$

Simulation Irregularity Spectra

Density Power Spectra

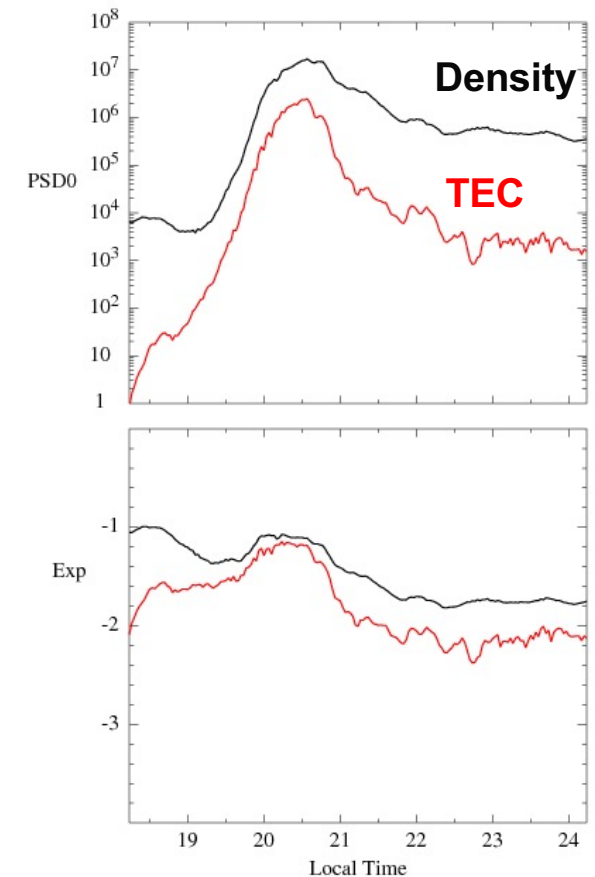


Spectra of density irregularities at 19, 20, 21 hours LT as a function of East-West wavevector ($1/km$)

Red lines: power laws (fitted to long wavelengths, least affected by grid numerics)

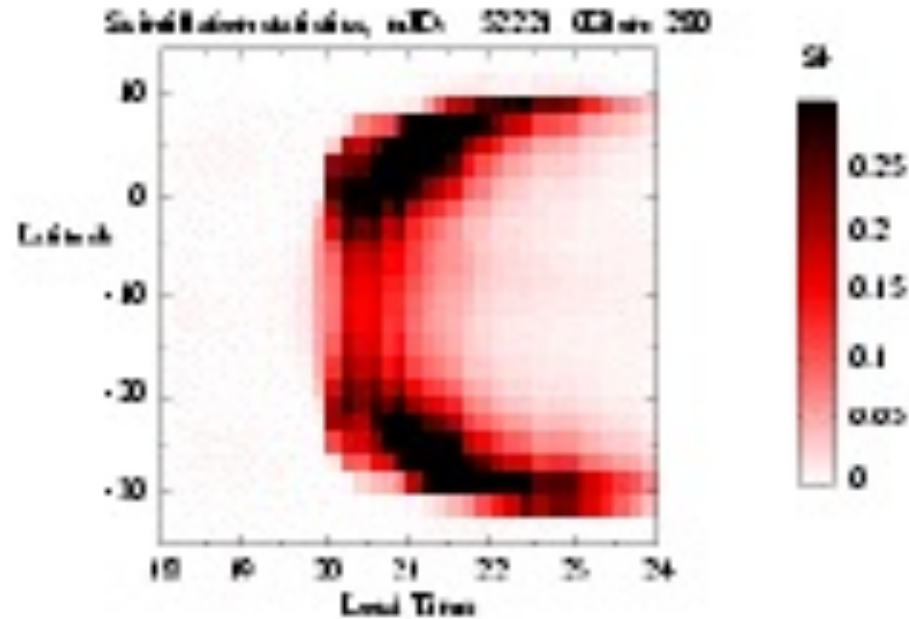
Characteristics: power-law amplitude and spectral index

Spectral Characteristics



Scintillation Strength

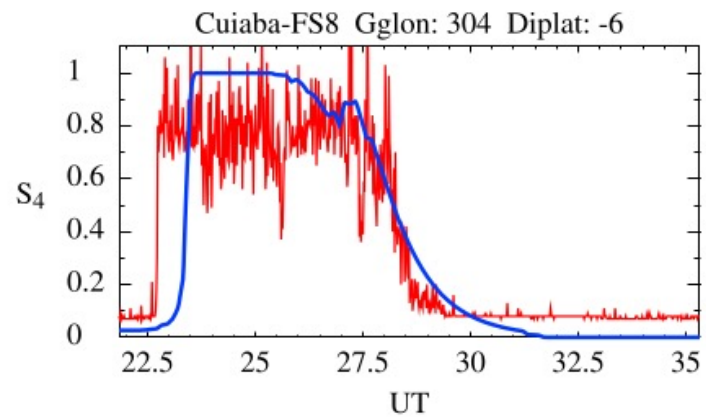
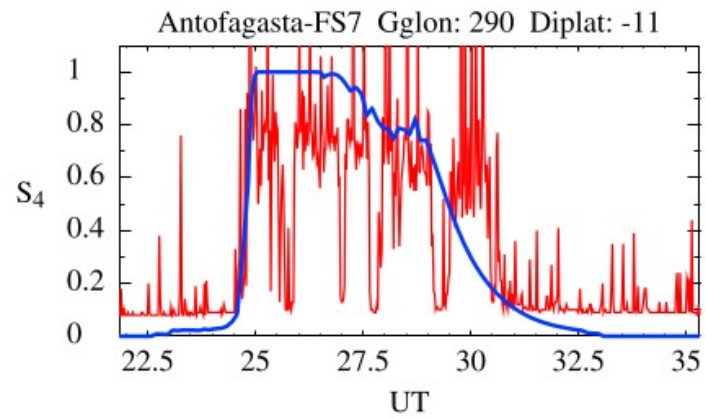
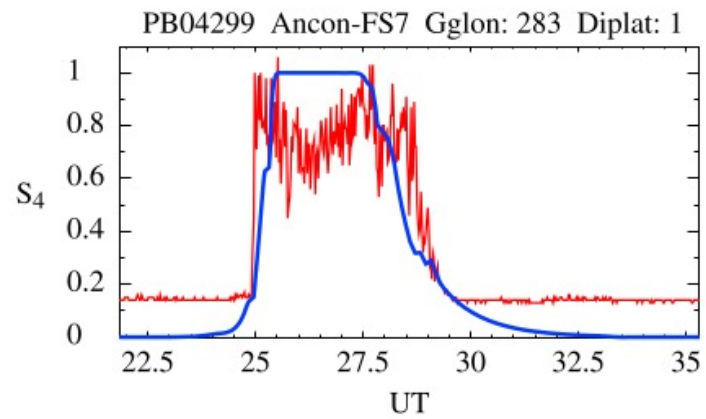
Latitude/local time map for one plume



S4 scintillation strength at 250 MHz vertical incidence for an evening in South American sector, using model driven by ionosonde plasma velocity data

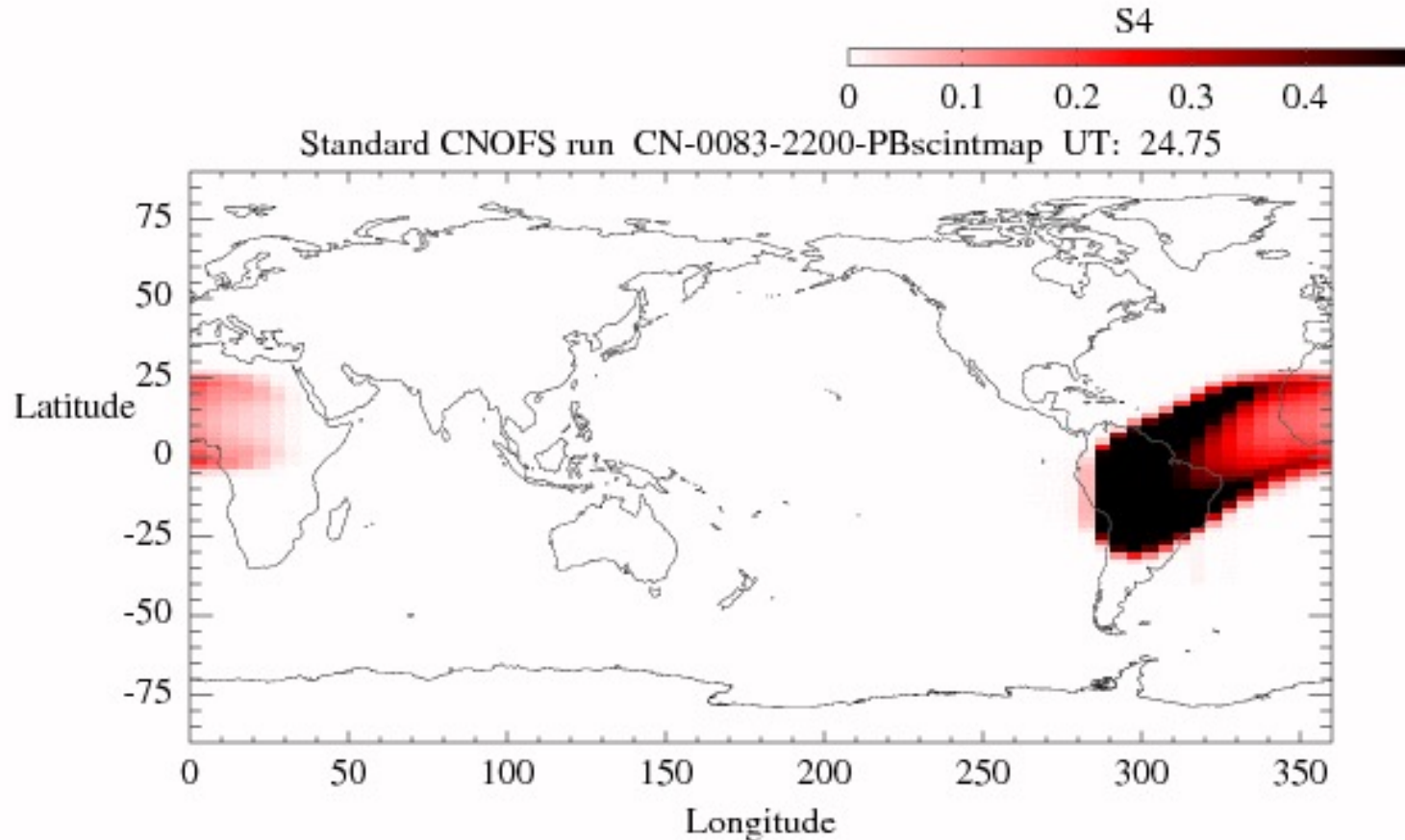
Note rapid spread in latitude as bubbles rise; the peaks in scintillation near the peaks in density at the equatorial anomalies

Comparison with SCINDA Observations



Scintillation Strength

Global Grid

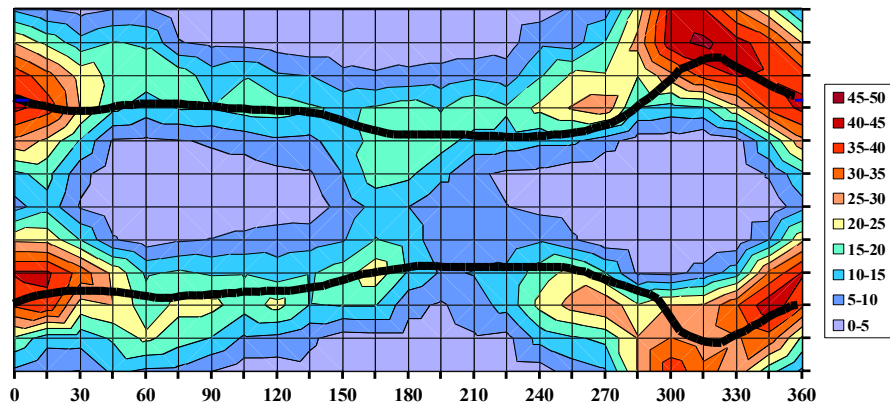


Merge scintillation produced by different plumes at many locations and times into a temporal series of global maps

PBMOD at CCMC: daily run

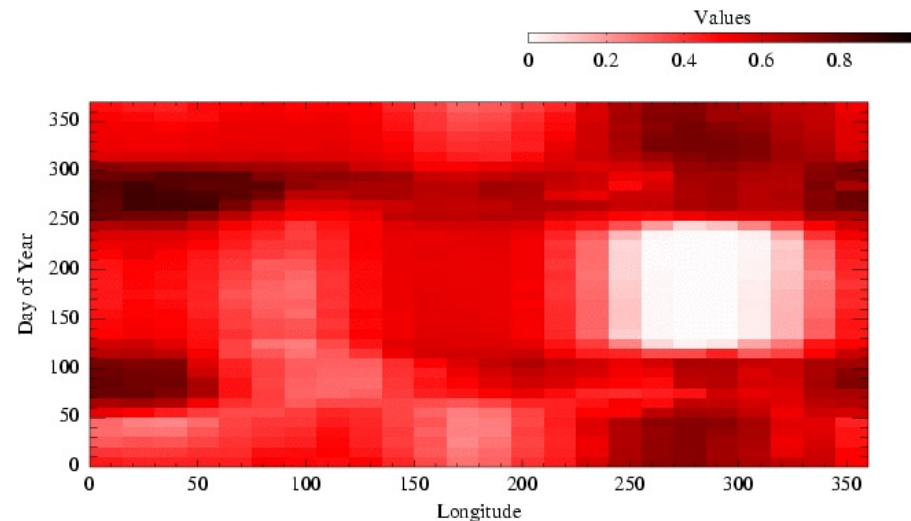
Scintillation and Bubble Climatology

**DMSP plasma-depletion frequency
(1989 – 2002 data)**



(Burke et al, 2004)

PBMOD S4 Estimation

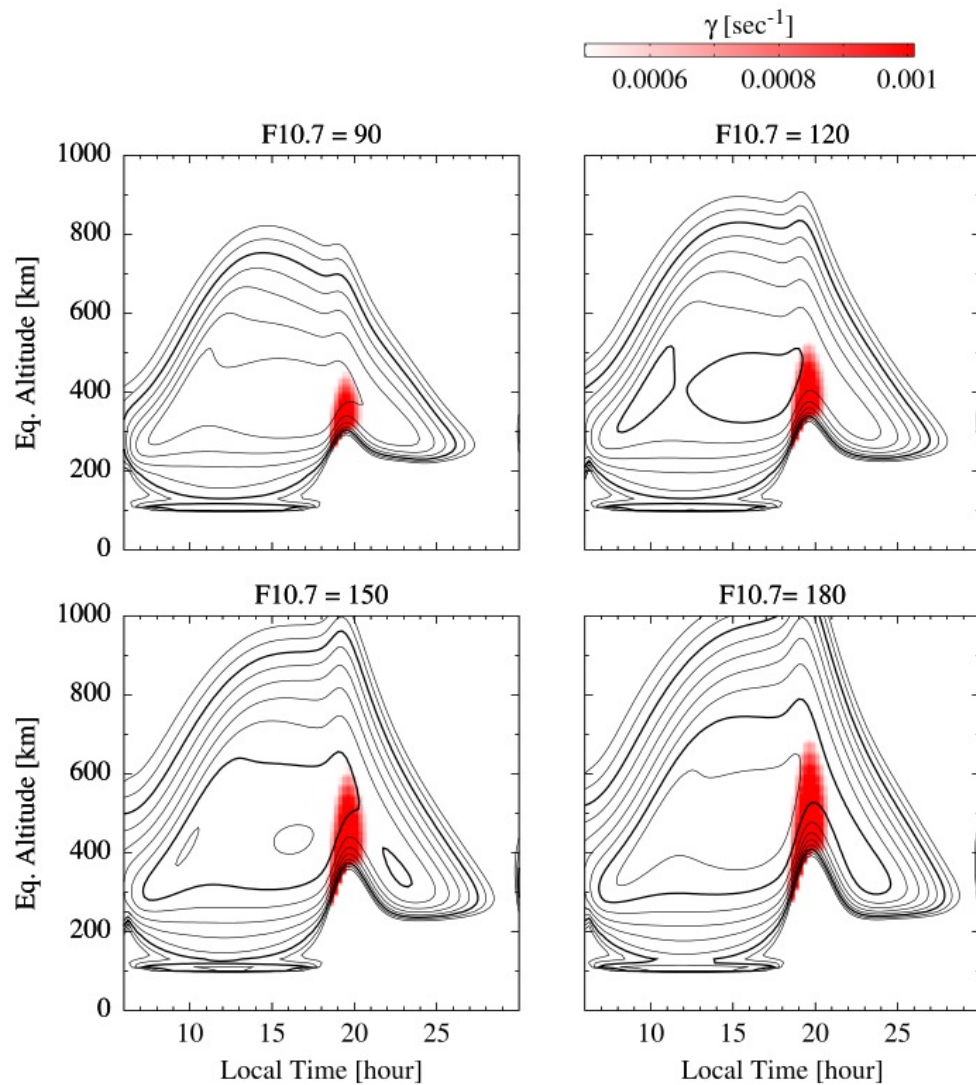


**Occurrence of scintillation predicted by PBMOD model
matches observed frequency as a function of longitude and day of year
Similar patterns established by Aarons (BU) for scintillation**

Bubble Climatology

Solar-Cycle Variation

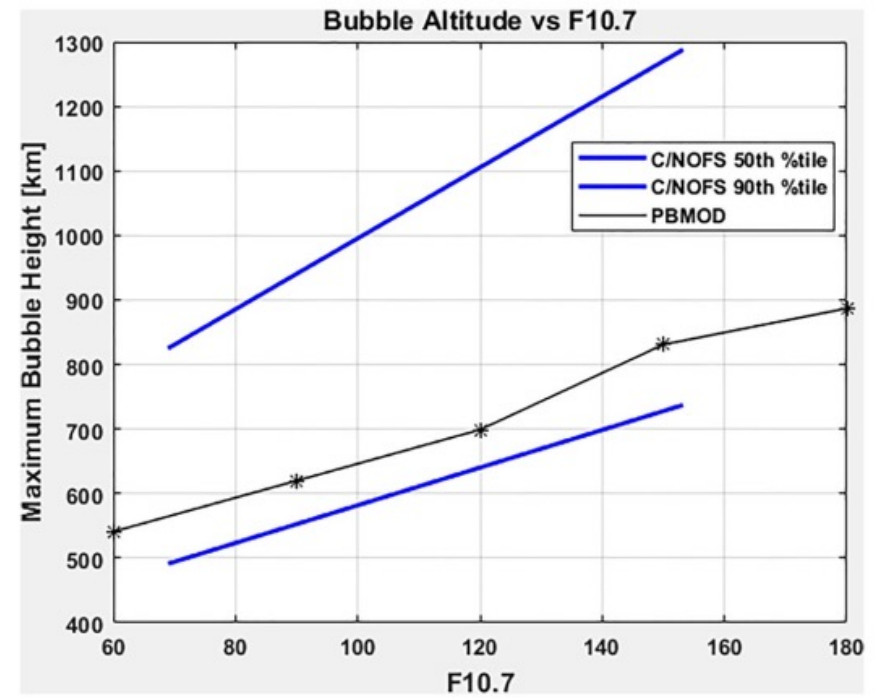
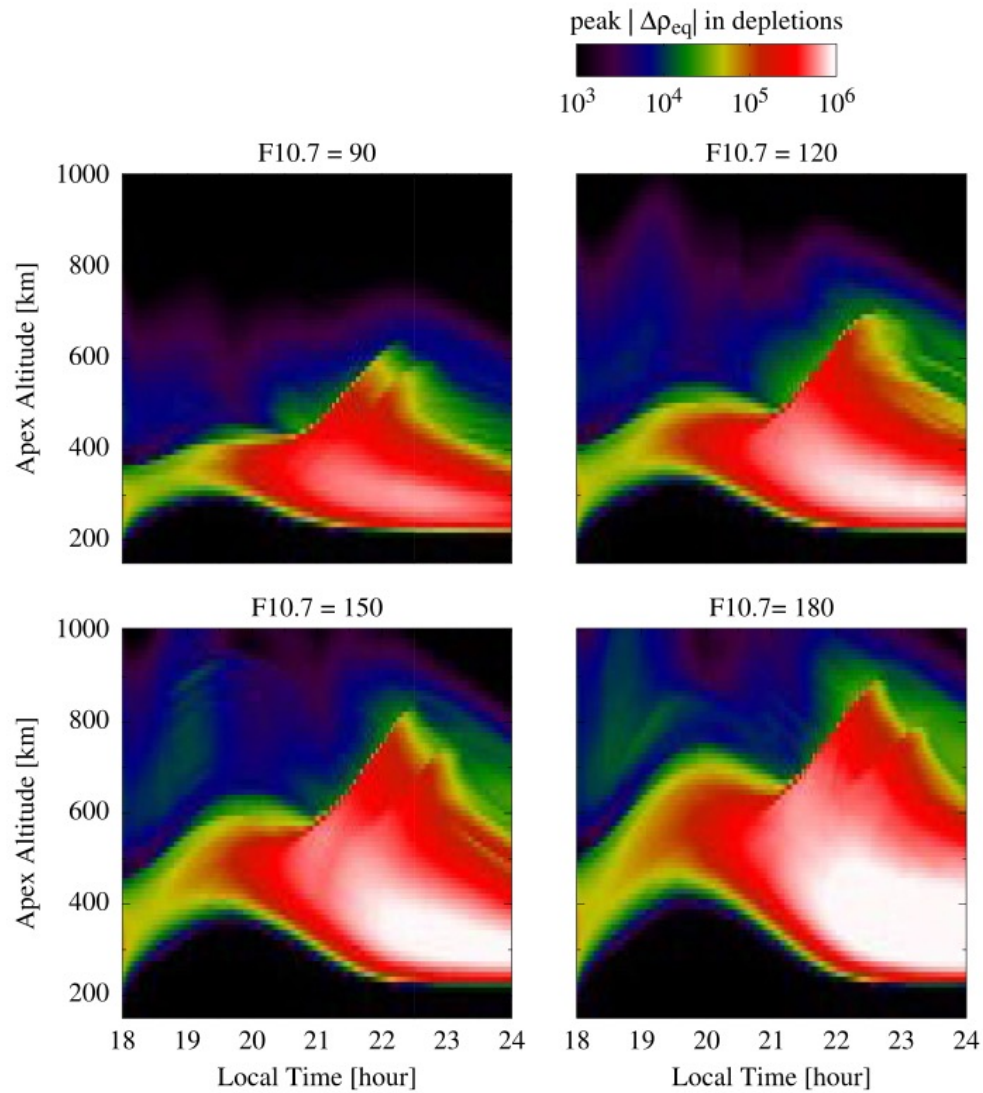
Plasma Density Model and RT growth rate



Contours: model plasma density
Red fill: region of RT instability

Bubble Climatology

Solar-Cycle Variation



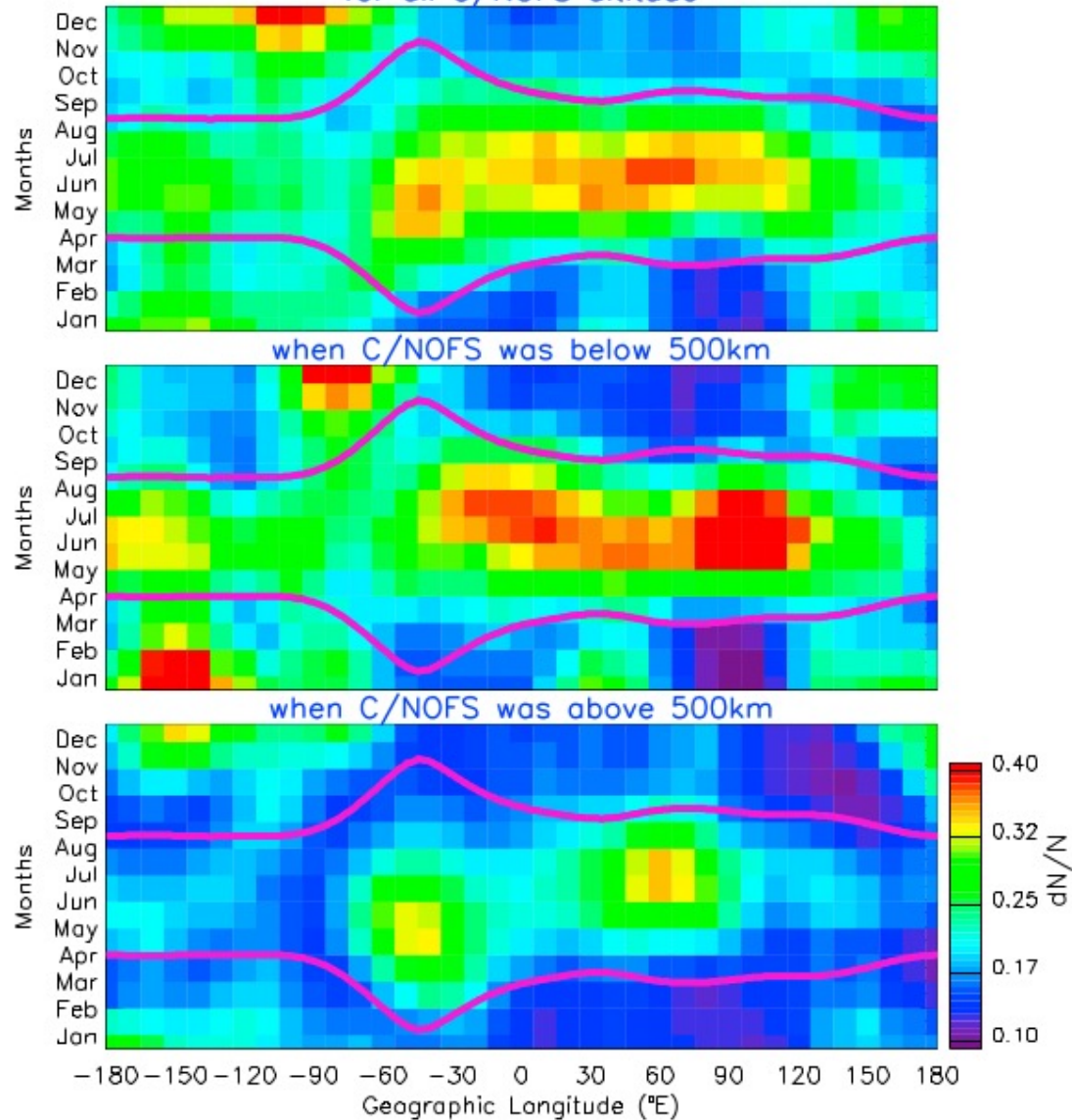
Joshi et al., 2022

Post-Midnight Irregularities over Africa

C/NOFS Observations

Dawn sector (0000–0600 LT) C/NOFS bubble
occurrence during 2009–2012

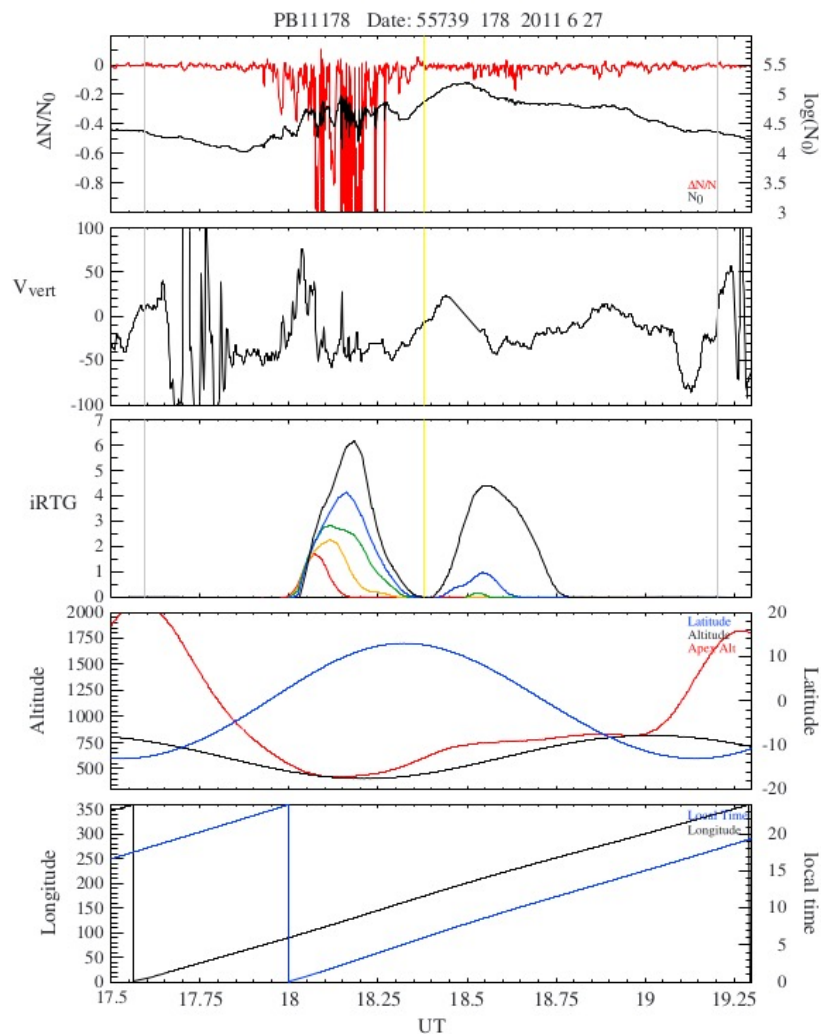
for all C/NOFS altitude



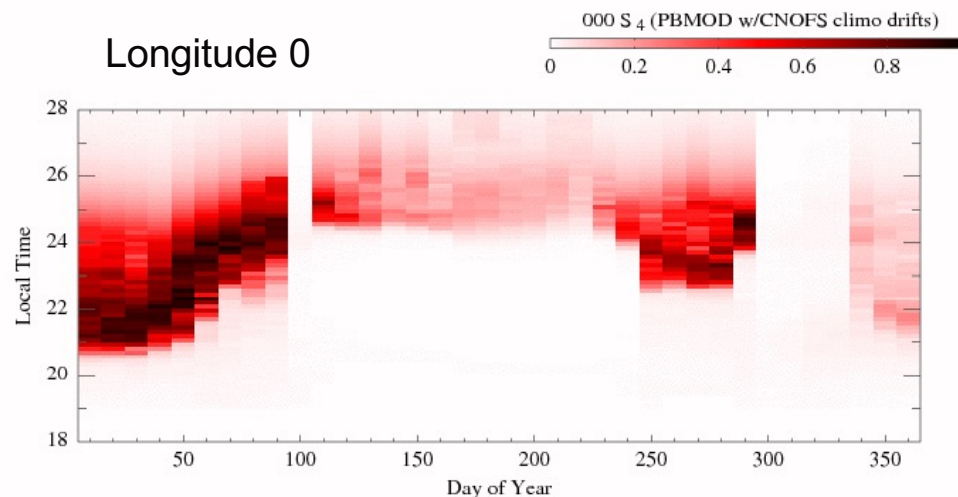
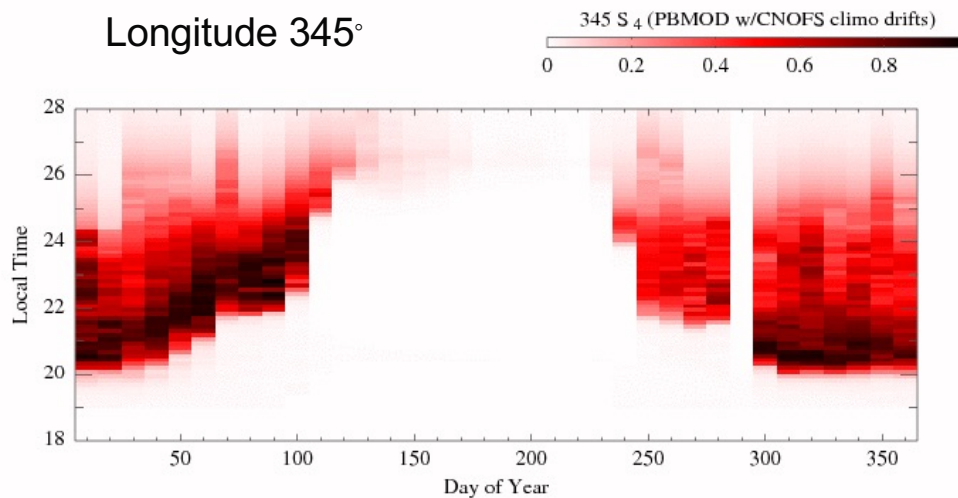
Post-Midnight Irregularities over Africa

Modeling

C/NOFS Event



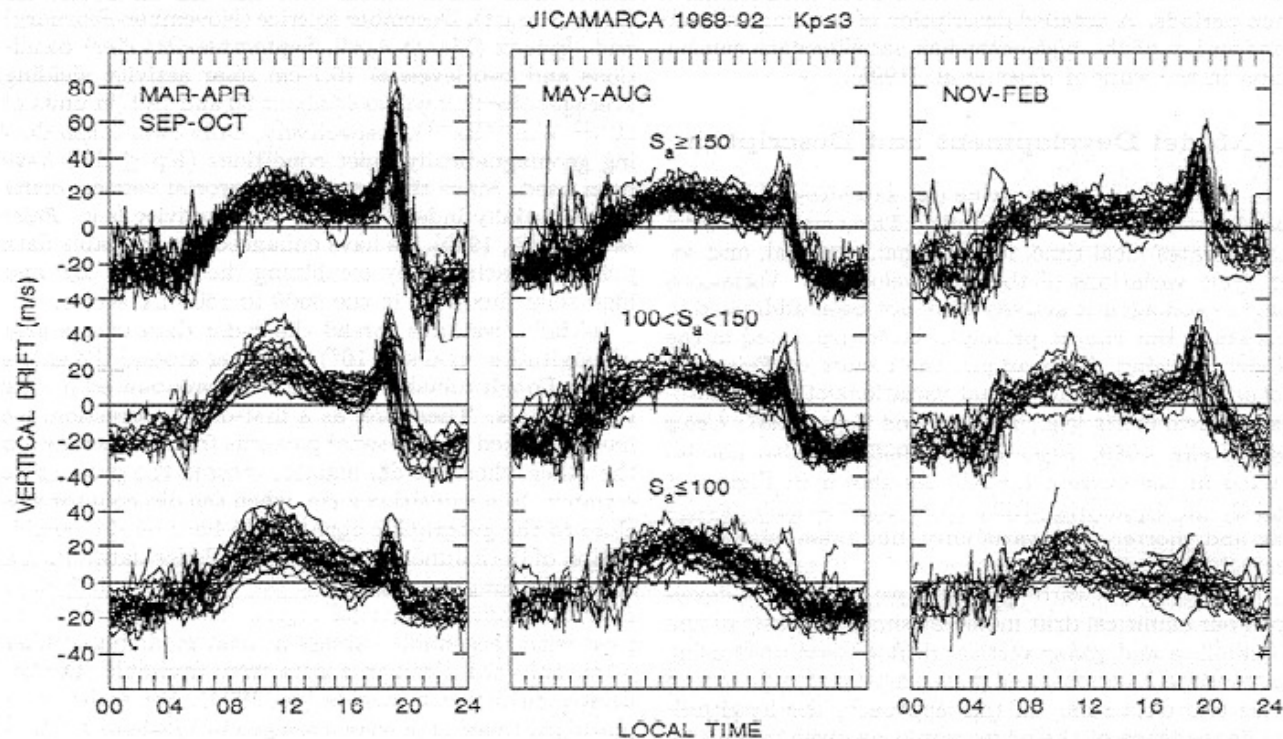
Scintillation modeling with PBMOD using C/NOFS Plasma Drift Climatology



Difficulty: The Uncertainty in Ambient Forecasts

Scintillation sensitively dependent on ambient conditions in ionosphere
Ambient conditions are hard to forecast:

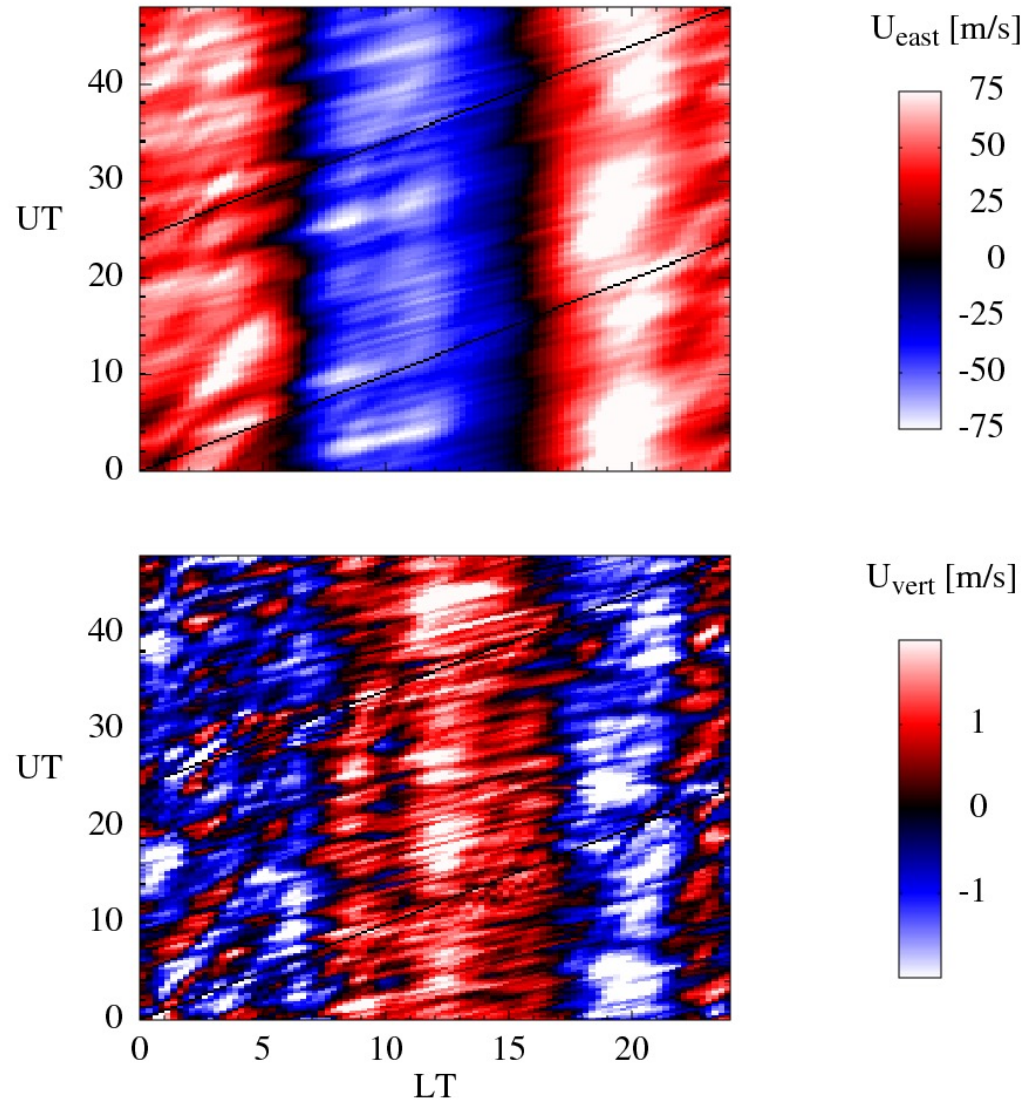
- Dependent on highly variable external drivers
- Highly variable themselves
- Difficult to remotely sense in a global way



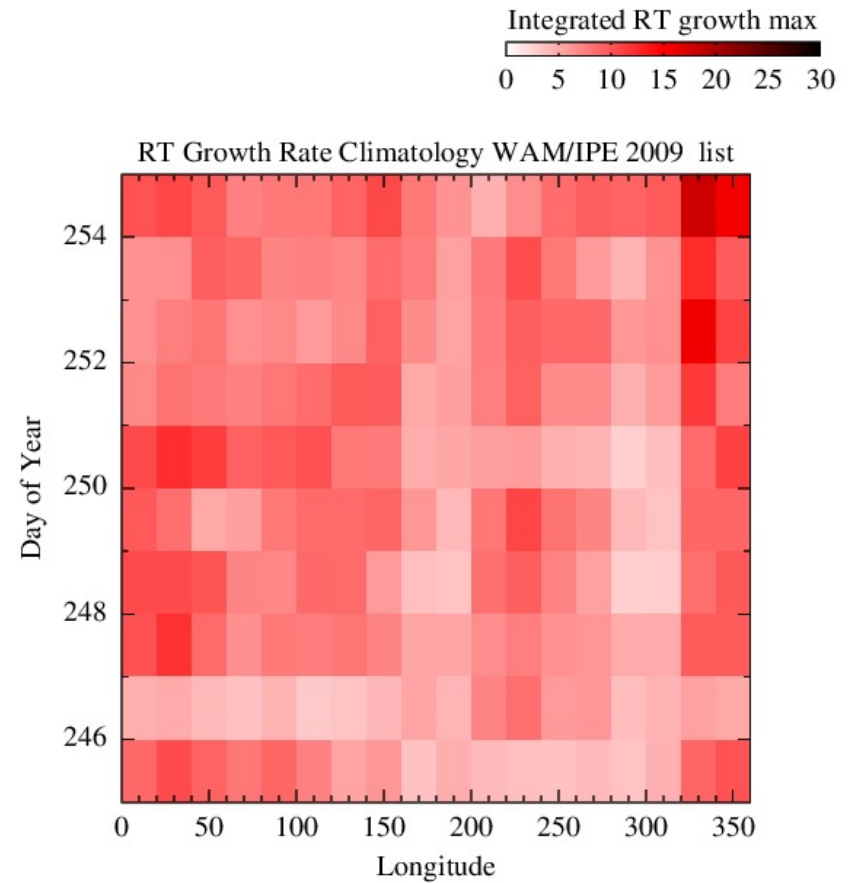
Variability in Plasma Velocity
(Scherliess and Fejer 1999)

Influence of Lower Atmosphere Tides and Gravity Waves Contribute to Daily Variability

Winds in the Whole Atmosphere Model (WAM)

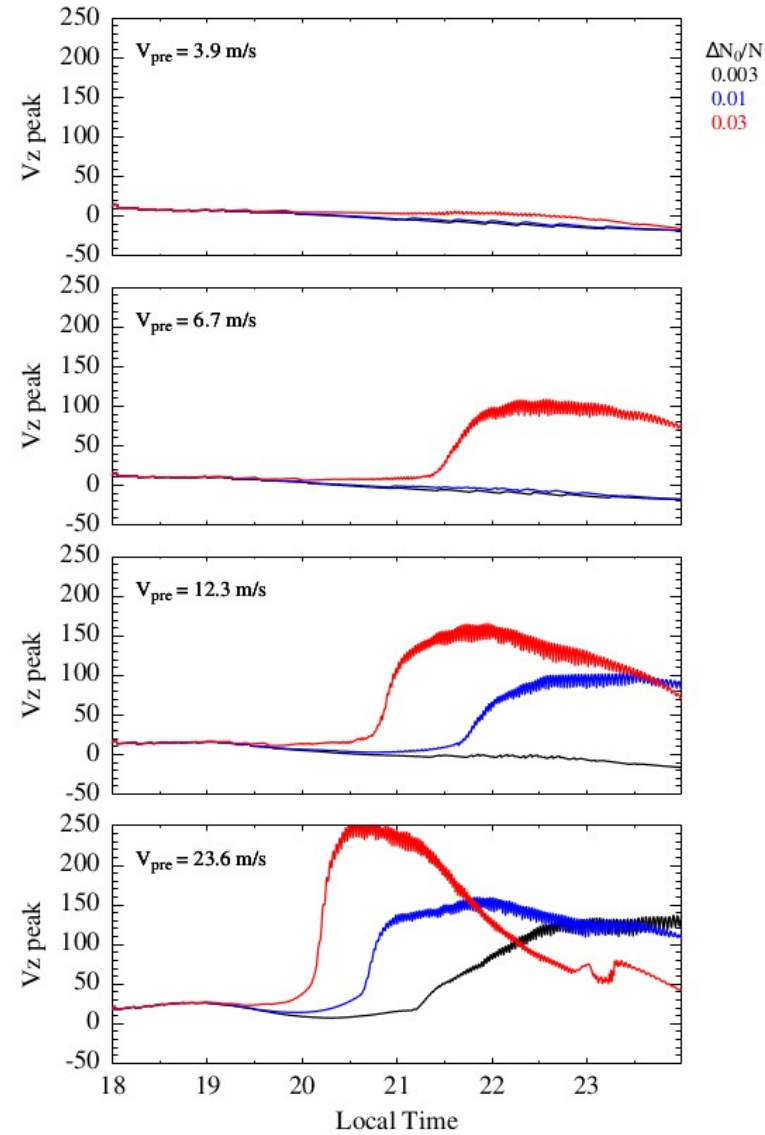


Daily Variability of the RT Growth Rate



Sensitivity to Magnitude of Initial Perturbation

With a weaker PRE, a smaller initial perturbation may not lead to bubble development



Summary

Equatorial scintillation

Occurs primarily at night (early evening and early morning)

Occurs at equinoxes and in other seasons dependent on longitude

Associated with plasma depletions

Caused by ionospheric plasma turbulence resulting from generalized Rayleigh-Taylor plasma instability

Equatorial ionosphere is unstable when lifted to greater height

Prereversal enhancement of upward velocity (from neutral wind dynamo)

Prompt penetration electric fields (during geomagnetically active times)

Summary (continued)

Development of plasma depletions and turbulence is followed using fluid equations for low-latitude ionospheric plasma

Phase-screen model allows spectrum of density irregularities to be used to estimate strength of scintillation

Both climatology and weather are reproduced by models:

Ambient velocities and densities

Instability, bubble formation, and scintillation

Great uncertainty still exists in forecasts

Uncertainty in ambient conditions

Uncertainty in 'seed' plasma fluctuations

Equatorial Plume Issues

- **Identify processes controlling structure of plumes**
 - **Mode structure along field-line**
 - **Cascade processes, Secondary Instabilities**
 - **Dissipation processes**
- **Know ambient environment well enough to apply description of processes**
 - **Gravity waves & mesoscale structure of ambient ionosphere**
 - **Winds & wind shear**
 - **E-region conductivities**
 - **Electrodynamics of the global ionosphere-thermosphere system**

General Reference

**The Earth's Ionosphere: Plasma Physics
and Electrodynamics (Michael C. Kelley)**