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**"Application for Retrieval Theory to the EOS Microwave
Limb Sounder (MLS)"**

N. LIVESEY
Jet Propulsion Laboratory
CALTECH
Pasadena CA
USA

lecture presented by:

H. PUMPHREY
Institute for Meteorology
The University of Edinburgh
Scotland

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Application of retrieval theory to the EOS Microwave Limb Sounder (MLS)

Inverse methods in atmospheric science.

Nathaniel Livesey
Jet Propulsion Laboratory
California Institute of Technology
[livesey@mls.jpl.nasa.gov]

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The EOS MLS instrument.

- ❑ The EOS MLS instrument is a follow on to the successful MLS instrument flown on UARS, launched in 1991.
- ❑ It is designed to measure aspects of the chemistry and dynamics of the stratosphere and upper troposphere.
- ❑ It will fly on the EOS Aura platform, along with the HIRDLS, OMI and TES instruments.
- ❑ The Aura launch is currently scheduled for July 2003.
- ❑ EOS MLS is designed, built and calibrated by the Jet Propulsion Laboratory.
- ❑ The instrument uses the *Microwave Heterodyne* technique (described later) to measure thermal emission from the earth's limb.

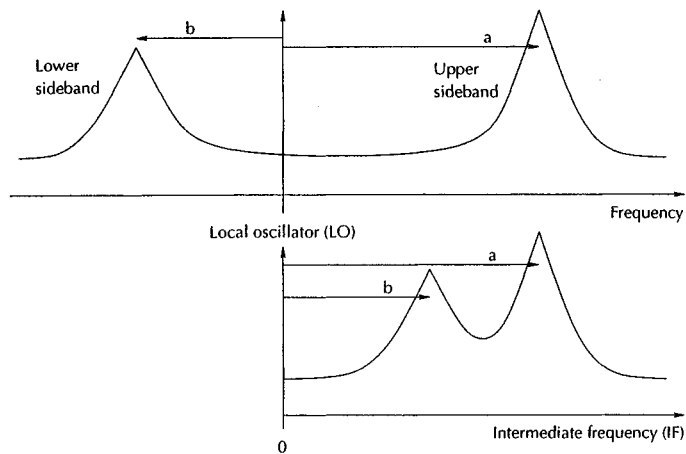
Outline of talk.

- ❑ Description of the EOS MLS instrument.
- ❑ Overview of retrieval problem, construction of vectors etc.
- ❑ Issues related to retrieval 'phasing' and constrained quantity error propagation.
- ❑ A 'two dimensional' approach to the retrieval problem.
- ❑ A discussion of forward model issues.
- ❑ Issues related to 'noisy' products (if time).
- ❑ Implementation of the algorithms in software.
- ❑ Some initial results from the algorithms.
- ❑ Plans for future development.

Some aspects of microwave limb sounding.

- ❑ One major difference between microwave sounding and some other techniques (e.g. infrared radiometry) is that spectral lines are easily resolved.
- ❑ In the stratosphere and troposphere spectral line shapes are dominated by pressure broadening effects, as opposed to Doppler broadening.
- ❑ Lines broaden by ~3 MHz per hPa.
- ❑ Most information comes from observations of *spectral contrast* (the shape of the line), as opposed to absolute radiance (*baseline* effects).
- ❑ So, for example if we can resolve lines with widths up to 1 GHz, we can sound down to 300 hPa.
- ❑ Once the lines get broader than we can resolve issues of absolute radiance come into play, and errors get larger.
- ❑ Radiances described in terms of a *brightness temperature*, in Kelvins.
- ❑ Observed radiance can thus be a rough indication of the temperature in regions where the radiances saturate (black-out).

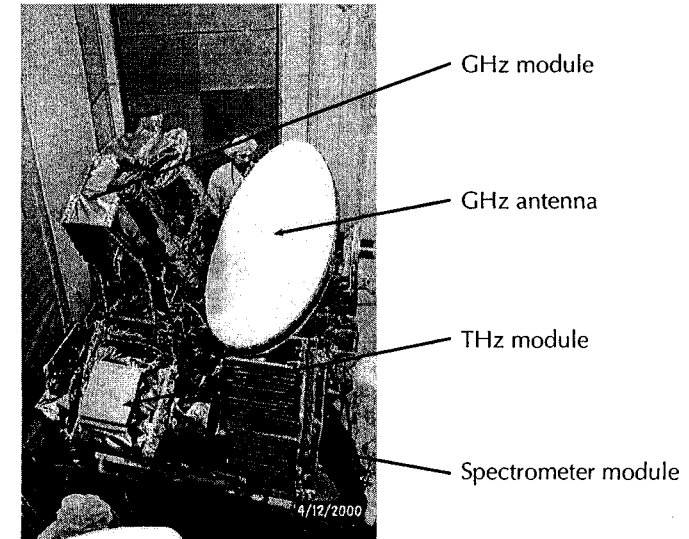
The microwave heterodyne technique.



EOS MLS Receivers.

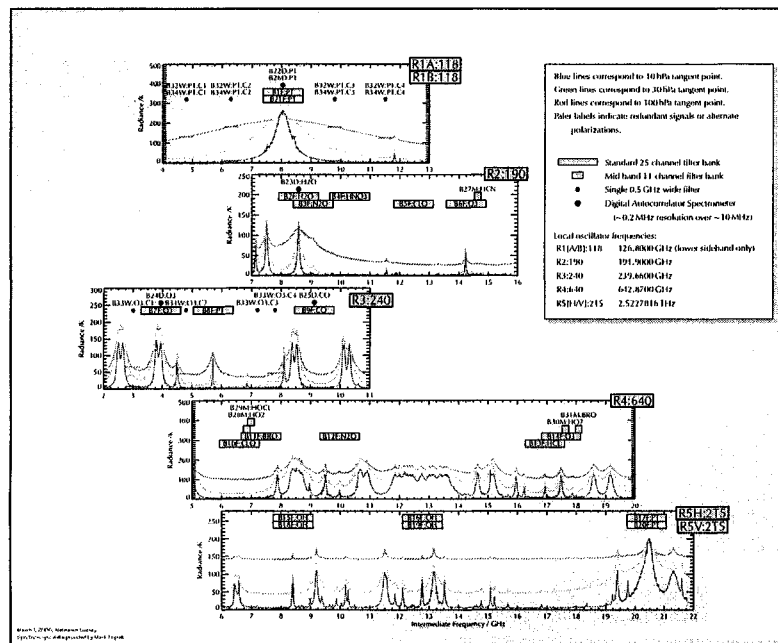
- ❑ EOS MLS contains 7 microwave receivers, measuring radiation in the regions around
 - 118 GHz** (two redundant receivers) measuring O_2 emission for temperature/pressure.
 - 190 GHz** measuring some stratospheric species and upper tropospheric water.
 - 240 GHz** mainly intended for measurements of CO and upper tropospheric O_3 .
 - 640 GHz** the main stratospheric chemistry 'workhorse'.
 - 2.5 THz** (two receivers) for measuring stratospheric OH.
- ❑ The 118 GHz receiver is a single sideband receiver, all the others are double sideband.

The EOS MLS instrument.



EOS MLS Spectrometers.

- ❑ The signals from each receiver are sent to several spectrometers.
- ❑ These are centered on various spectral lines of interest.
- ❑ EOS MLS has four different types of spectrometers.
 - FB25** A filter bank containing 25 discrete channels, with narrow (6 MHz) channels near the line center, broadening to 96 MHz in the at 575 MHz away from line center.
 - MB11** A filter bank containing only 11 channels, corresponding to the center 11 in the FB25 spectrometers.
 - DACS** Digital auto-correlating spectrometers, giving ~ 0.2 MHz resolution over 10 MHz
 - WF4** These consist of four 500 MHz wide channels judiciously placed within the IF spectrum.



The EOS MLS Orbit and scan.

- ❑ Aura will fly in a 98° inclined sun-synchronous orbit, performing ~14.5 orbits per day.
- ❑ EOS MLS observes the limb directly in front of the spacecraft.
 - ⇒ This has interesting and very useful implications to be discussed later in this presentation.
- ❑ The GHz and THz telescopes make a complete vertical scan of the atmosphere every ~24 seconds.
- ❑ The scan pattern is designed that the observed latitudes are essentially unchanged from orbit to orbit.
- ❑ All parts of the globe are measured twice per day.
 - ⇒ Once on an ascending orbital node, once descending.
- ❑ There are plans to have Aqua, Aura, Cloudsat and other platforms fly in formation.
- ❑ This will allow for near simultaneous observations.

Overview of the retrieval process.

- ❑ Like most instruments the EOS MLS data are divided into ‘Levels’.
 - Level 0** Raw data from the instrument.
 - Level 1** Calibrated radiances.
 - Level 2** Geophysical data along the orbit / tangent point track.
 - Level 3** Geophysical data mapped onto some regular lat/lon grid.
- ❑ The rest of this talk concerns the Level 2 processing.
- ❑ The method applied is the standard *optimal estimation* approach.
- ❑ While we have some new approaches to the problem, the fundamental mathematical approach is standard.

The retrieval equation.

- ❑ We choose to represent the state of the atmosphere by the vector \mathbf{x} .
- ❑ Radiances from various bands within the instrument are gathered into measurement vectors \mathbf{y}_i , with (typically diagonal) covariances \mathbf{S}_i .
- ❑ The standard *Gauss Newton* iteration is given by

$$\mathbf{x}^{(r+1)} = \mathbf{x}^{(r)} + \left[\sum_i \mathbf{K}_i^T \mathbf{S}_i^{-1} \mathbf{K}_i \right]^{-1} \sum_i \mathbf{K}_i^T \mathbf{S}_i^{-1} [\mathbf{y}_i - \mathbf{f}_i(\mathbf{x}^{(r)})]$$

where \mathbf{f}_i is the *forward model*, and

$$\mathbf{K}_i = \frac{\partial \mathbf{f}_i(\mathbf{x})}{\partial \mathbf{x}}$$

is the matrix of *weighting functions* or *Jacobians*.

- ❑ The solution covariance is given by

$$\mathbf{S}_x = \left[\sum_i \mathbf{K}_i^T \mathbf{S}_i^{-1} \mathbf{K}_i \right]^{-1}$$

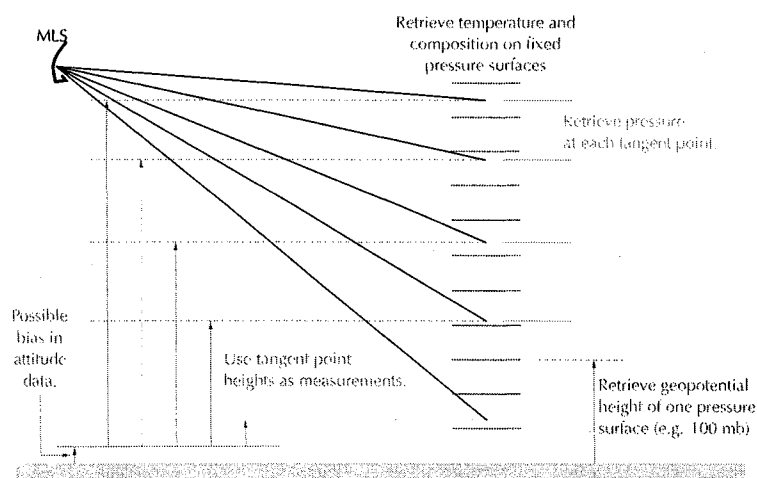
Virtual measurements and *a priori*.

- ❑ As it stands, the matrix inversion above is typically not possible.
 - ⇒ There are aspects of the state vector for which the measurements have yielded no information.
- ❑ We introduce *virtual measurements*, in the form of *a priori* estimates of the state vector values.
- ❑ It will later prove useful to make these separate measurements, rather than one of the \mathbf{y}_i vectors.
 - ⇒ The vector \mathbf{a} is a *virtual measurement* of \mathbf{x} with covariance \mathbf{S}_a .
- ❑ The iteration thus becomes

$$\mathbf{x}^{(r+1)} = \mathbf{x}^{(r)} + \left[\mathbf{S}_a^{-1} + \sum_i \mathbf{K}_i^T \mathbf{S}_i^{-1} \mathbf{K}_i \right]^{-1} \left\{ \mathbf{S}_a^{-1} [\mathbf{a} - \mathbf{x}^{(r)}] + \sum_i \mathbf{K}_i^T \mathbf{S}_i^{-1} [\mathbf{y}_i - \mathbf{f}_i(\mathbf{x}^{(r)})] \right\}$$

- ❑ For elements of \mathbf{x} that don't need *a priori* information, the corresponding rows and columns of \mathbf{S}_a are set to zero.

Construction of the MLS state vector (cont.)



Construction of the MLS state vector.

- ❑ The most important components of the MLS state vector are the temperature and composition of the atmosphere, as a function of pressure.
 - ⇒ These are the *standard products* from MLS.
- ❑ Note that we use a pressure grid as opposed to a height grid.
- ❑ In addition, we include the geopotential height of a single pressure surface (e.g. 100 hPa).
 - ⇒ To include a complete profile of geopotential height is unnecessary, as the temperature profile already conveys this information.
- ❑ However, the forward model requires more information in order to model radiances.
- ❑ The most important information is the atmospheric pressure at each tangent point.
- ❑ Also, the angular offset between the various MLS radiometers fields of view is required.

Sizing the MLS retrieval task.

- ❑ Consider the retrieval of a single MLS ozone profile from one scan's worth of MLS 205 GHz ozone observations.
- ❑ Retrieve ozone at 12 surfaces per decade from 1000 hPa to 0.1 hPa.
 - ⇒ Length of state vector \mathbf{x} , $n = 48$
- ❑ We use 120 minor frames worth of radiances from 25 channels.
 - ⇒ Length of measurement vector \mathbf{y} , $m = 3000$
- ❑ The linear form of the optimal estimation equation gives:

$$\mathbf{x} = \mathbf{a} + \left[\mathbf{S}_a^{-1} + \mathbf{K}^T \mathbf{S}_y^{-1} \mathbf{K} \right]^{-1} \mathbf{K}^T \mathbf{S}_y^{-1} (\mathbf{y} - \mathbf{f})$$

$$\mathbf{I} = \mathbf{I} + \left[\begin{array}{c} \text{matrix} \end{array} + \begin{array}{c} \text{matrix} \end{array} \right]^{-1} \begin{array}{c} \text{matrix} \end{array} \left(\begin{array}{c} \text{matrix} \end{array} - \begin{array}{c} \text{matrix} \end{array} \right),$$

Sizing the MLS retrieval task (cont.)

- ❑ \mathbf{a} is the *a priori* state vector with $n \times n$ covariance matrix \mathbf{S}_a .
- ❑ \mathbf{f} is the forward model measurement vector, (the predicted radiances corresponding to the *a priori* state).
- ❑ \mathbf{S}_y is the $m \times m$ measurement covariance matrix.
- ❑ \mathbf{K} is the $m \times n$ matrix of *weighting functions*:

$$\mathbf{K} = \frac{\partial \mathbf{y}}{\partial \mathbf{x}}.$$

- ❑ The most time consuming aspects of the calculation are the inversion of \mathbf{S}_y . (m^3) and the computation of $\mathbf{K}^T \mathbf{S}_y^{-1} \mathbf{K}$ ($n^2 m + m^2 n$).
- ❑ However, if \mathbf{S}_y is diagonal, we are left with only $n^2 m + nm + m$.

Constrained quantity error propagation.

- ❑ Many previous retrieval algorithms (e.g. UARS MLS, ISAMS) implemented a multi-phase approach
 - ⇒ e.g. a retrieval of temperature/pressure first, from O_2 radiances,
 - ⇒ followed by retrievals of various species, using the temperature and pressure data from the earlier phase in the forward model.
- ❑ The previously retrieved quantities \mathbf{c} (e.g. temperature and pressure) are *constrained* in the later phases.
- ❑ However, our knowledge of these quantities is not perfect, they have a covariance \mathbf{S}_c , estimated by the early phase.
- ❑ This uncertainty needs to be propagated through the forward model into an additional radiance uncertainty.
- ❑ We should modify our \mathbf{S}_y matrices in the later phases according to $\mathbf{S}_y \rightarrow \mathbf{S}_y + \mathbf{K}_c \mathbf{S}_c \mathbf{K}_c^T$, where \mathbf{K}_c describes the sensitivity of the radiances to these constrained quantities $\mathbf{K}_c = \partial \mathbf{y} / \partial \mathbf{c}$.

The measurement covariance matrix \mathbf{S}_y .

- ❑ Clearly, having \mathbf{S}_y as a diagonal matrix would be a real advantage.
- ❑ What does it mean if the \mathbf{S}_y matrix is diagonal?
 - ⇒ The 'errors' in the radiances are all uncorrelated.
 - ⇒ If the radiance in channel 0 is 'too high' that doesn't mean that channel 1 is any more or less likely to also be too high.
- ❑ What causes non diagonal covariance matrices?
 - ⇒ Certain instrumental effects such as gain variation. These can be taken into account by retrieving quantities such as 'baseline'.
 - ⇒ The use of constrained quantity error propagation in multi-phase retrieval processes.

Constrained quantity error propagation (cont.)

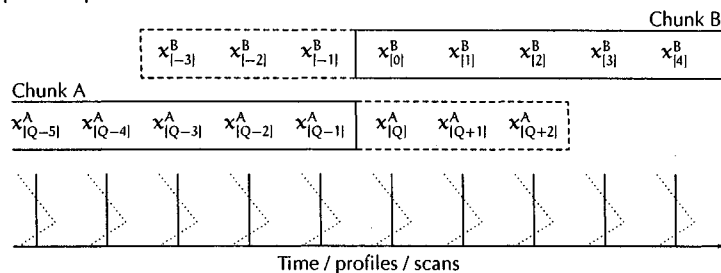
- ❑ This will make \mathbf{S}_y non-diagonal.
 - ⇒ If the temperature we are using is too high, then all the forward model radiances will be too large 'in concert'.
- ❑ One could choose to ignore the non-diagonal elements of the new \mathbf{S}_y matrix.
- ❑ However, previous experience has shown that this can be a poor approximation.
 - ⇒ The retrieval algorithm puts less 'trust' in the measurements than they deserve.
- ❑ Is there an alternative approach which avoids the costly calculations, and yet retains accuracy?

A new approach to multi-phase retrievals.

- ❑ Avoiding constrained quantity error propagation makes S_y diagonal.
- ❑ Instead we retrieve everything at once from every channel.
- ❑ However, as the real MLS system is non linear, we may have to perform several iterations on this 'big' system.
- ❑ To improve the efficiency, we re-introduce phasing with a new twist.
- ❑ In the early phases we retrieve the most non-linear quantities (those needing several iterations to converge) from appropriate bands.
 - ⇒ For example, retrieve temperature and pressure from O_2 radiances.
- ❑ Once a good estimate is obtained for these quantities, add more linear items to the state and measurement vectors, *while still retrieving the earlier quantities*.
- ❑ This larger system will need fewer iterations to converge, as the non-linear quantities are already close to the solution.
- ❑ Think of the earlier phases getting 'initial guesses' for the final phase.

Dividing the data processing into chunks.

- ❑ We process the data in chunks of $\sim 1/8$ – $1/4$ orbit in length.
- ❑ The measurement vectors y_i contain information from M scans.
- ❑ The state vector x describes N vertical profiles.
- ❑ Typically we choose $N = M$ but this is not a requirement.
- ❑ The chunks to overlap slightly, to account for 'edge' effects.
- ❑ We have q profiles of overlap (e.g. 3) giving $Q = N - 2q$ non overlapped profiles per chunk.



A 'two dimensional' approach.

- ❑ Unlike UARS MLS, the EOS MLS instrument looks forward from the spacecraft.
- ❑ This means that all the observations are within the orbital plane.
 - ⇒ Although the rotation of the earth has an impact on this.
- ❑ Each limb ray is affected by the state of the atmosphere over a ~ 1000 km path length.
- ❑ This corresponds to several adjacent retrieved profiles.
- ❑ Note that the scan can be arranged to stack the tangent points in a vertical profile.
 - ⇒ As you scan up, the tangent point gets closer to you.
 - ⇒ If this happens at the same rate as the spacecraft moves forward, the tangent point locus is vertical.
- ❑ In the EOS MLS case, we scan slowly through the troposphere and lower stratosphere, then speed up in the upper stratosphere and mesosphere.
- ❑ How can we devise an algorithm that takes most advantage of this geometry?

Weighting functions for this problem.

- ❑ The efficiency gain in this case comes from noting that the weighting function matrices K_i are very sparse.
- ❑ For example, the values of temperature for profile 1 have no effect on the radiances for scan 10.
- ❑ This gives a block structure for K_i similar to.

$$K_i = \frac{\partial y_i}{\partial x} = \begin{matrix} \text{Profiles} \rightarrow \\ \text{Scans} \downarrow \end{matrix} \begin{bmatrix} \times & \times & 0 & 0 & 0 & 0 \\ \times & \times & \times & 0 & 0 & 0 \\ 0 & \times & \times & \times & 0 & 0 \\ 0 & 0 & \times & \times & \times & 0 \\ 0 & 0 & 0 & \times & \times & \times \\ 0 & 0 & 0 & 0 & \times & \times \end{bmatrix}$$

- ❑ Where 'profile' is taken to mean the complete state (temperature and composition profiles) for one location.

$K_i^T S_i^{-1} K_i$, the 'Normal equations'.

- Given the form for K_i shown above, and assuming S_i is diagonal (more on this later), the matrix $K_i^T S_i^{-1} K_i$, needed in the retrieval, is of the form:

$$K_i^T S_i^{-1} K_i = \begin{matrix} \text{Profiles} \rightarrow \\ \begin{matrix} \text{Profiles} \downarrow \\ \begin{bmatrix} \times & \times & \times & 0 & 0 & 0 \\ \times & \times & \times & \times & 0 & 0 \\ \times & \times & \times & \times & \times & 0 \\ 0 & \times & \times & \times & \times & \times \\ 0 & 0 & \times & \times & \times & \times \\ 0 & 0 & 0 & \times & \times & \times \end{bmatrix} \end{matrix} \end{matrix}$$

- We know that we can ignore any block products involving absent (completely 0) blocks in K_i
- This matrix is sometimes known as the matrix of *normal equations*.
- Given a matrix K_i with block bandwidth p , $K_i^T S_i^{-1} K_i$ will have a block bandwidth $2p$.
- Forming this matrix product is the most CPU intensive part of the inverse model calculation, as $m \gg n$

A prototype retrieval

- A 'proof of concept' prototype has been designed.
- Forward model and retrieval both written in IDL.
- Forward model contains all 2D radiative transfer methods required.
- Retrieval linearises this forward model to the form

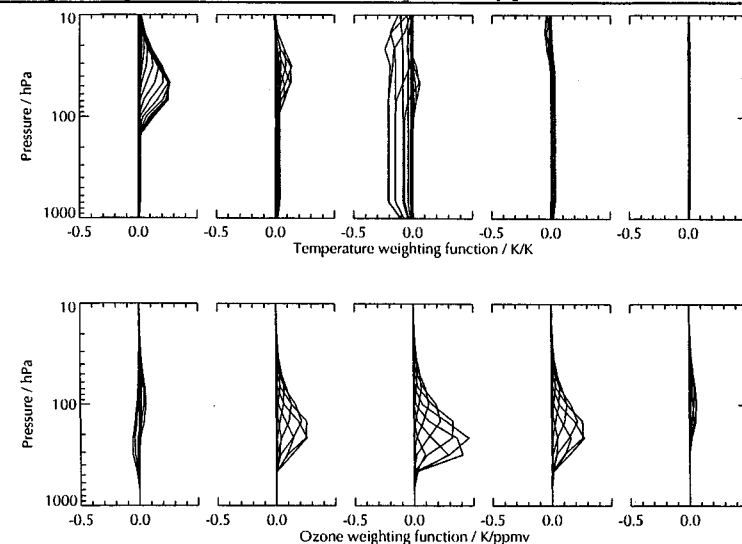
$$y^* = y^* + K^* (x - x^*)$$

- 25 profiles of UARS MLS data have been taken as 'truth'.
 - ⇒ Note that the horizontal resolution of UARS MLS is ~500 km.
 - ⇒ For EOS MLS it is ~150 km.
 - ⇒ Thus the gradients in this model atmosphere are probably a little severe.
- A retrieval of Temperature, tangent pressure and ozone was performed.
- Radiances from R1:118.B1F:PT and R2:190.B6F:O3 were used.

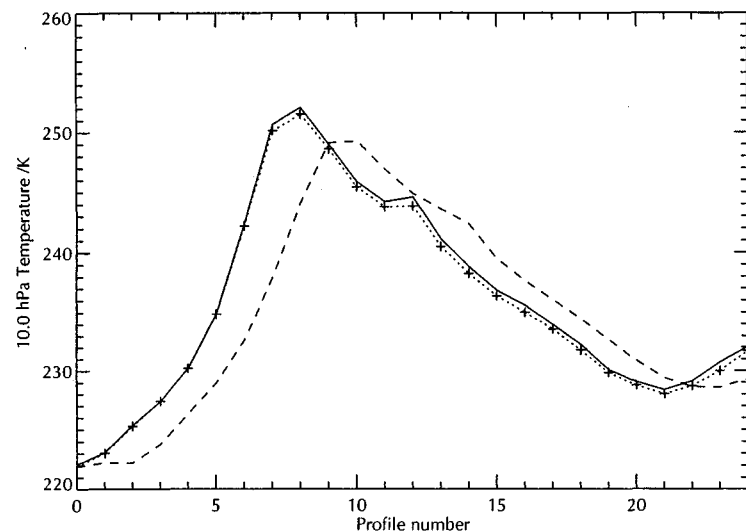
Computational effort in the retrieval

- The formation of the normal equations scales according to Npn^2m .
- The key point is that this operation scales as N , not N^2 . Therefore.
- It takes the same time to retrieve one 200 profile chunk as to retrieve two 100 profile chunks!*
- The limitation on the size of N becomes the memory capacity of the computer.
- Solving this matrix with a 'sparsity aware' Cholesky decomposition scales as N^2pn^3 .
- Thus, the matrix solver will typically be faster than the $K^T S_y^{-1} K$ by a factor of $\sim m/Nn$.
- Of course, in real situations we have more complex state and measurement vectors, introducing more sparsity.
 - ⇒ For example, very few MLS bands have sensitivity to minor species such as ClO.

Weighting functions for the prototype



Results from a prototype — Temperature

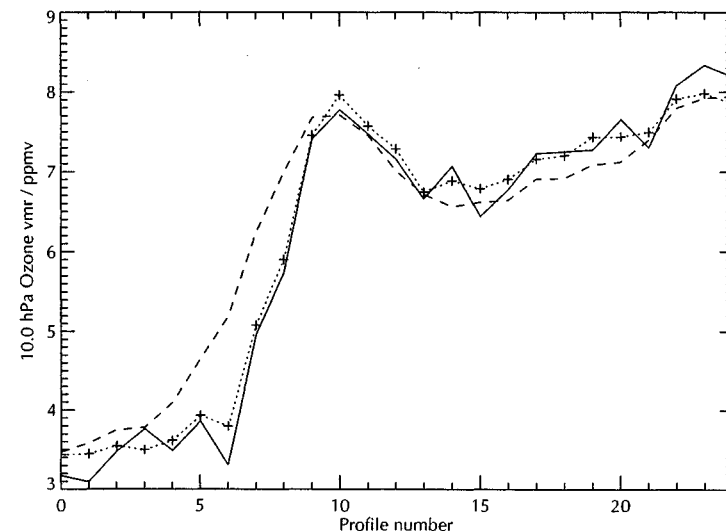


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Results from a prototype — Ozone



EOS MLS Retrievals

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The 'full' MLS forward model.

- ❑ The forward model is similar to that developed for UARS MLS, extended to two dimensions.
- ❑ It is a microwave line by line model, using pressure as the independent vertical coordinate.
- ❑ Radiances are computed for a set of fixed tangent pressures.
 - ⇒ A different fixed frequency mesh is used for each tangent pressure.
 - ⇒ Typically covering one or more 25 channel filter bank.
 - ⇒ The radiances at these frequencies are then convolved with the individual MLS channel responses.
- ❑ These profiles are then convolved with the MLS field of view (FOV) response, and interpolated to the required tangent pressure.
 - ⇒ This interpolation yields the derivative of radiance wrt. tangent pressure virtually for free.

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The 'full' MLS forward model (cont.)

- ❑ The state vector profiles are taken to represent tie points in a 'linear spline' interpolation.
- ❑ Note that the state vector contains vmr not log vmr (except for H₂O in the troposphere).
- ❑ The forward model accounts for the linear variations in temperature and composition across its integration layers.
- ❑ A Gauss-Legendre quadrature (3–6 point) integration scheme is applied.
- ❑ Radiance derivatives with respect to composition, temperature, and some spectroscopic parameters can be computed analytically.
- ❑ The mixing ratio derivatives are cheap to compute.
- ❑ Temperature derivatives are somewhat more expensive.
 - ⇒ It transpires that the most significant terms are those due to the effects of the FOV.
 - ⇒ Changes in temperature affect the shape of the FOV when viewed in pressure space.

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The linear MLS forward model

- ❑ For many of the MLS spectral bands, the system is highly linear.
- ❑ Given this, it is possible to construct a simple linear forward model as

$$\mathbf{y} = \mathbf{y}^* + \mathbf{K}^* [\mathbf{x} - \mathbf{x}^*] \quad (1)$$

- ❑ \mathbf{y}^* and \mathbf{K}^* are pre-tabulated radiances and derivatives for state \mathbf{x}^* .
- ❑ In UARS MLS the \mathbf{x}^* linearisation states were divided up according to latitude band and month.
- ❑ For EOS we intend a more dynamic scheme, tabulating standard cases (e.g. inside polar vortex, tropical spring, ...), and choosing the most appropriate given the value of \mathbf{x} .
- ❑ The radiances and derivatives are tabulated for fixed tangent pressures.
- ❑ These are then interpolated to the tangent pressures in the state vector. The interpolation yielding the derivative with respect to tangent pressure as an added bonus.

Approach for ‘noisy’ products.

- ❑ Many of the MLS data products will be ‘noisy’
- ❑ Products such as BrO with very low concentrations and/or signal strengths.
- ❑ Some form of averaging (e.g. weekly zonal mean, monthly map) will be needed to yield Level 2 data with useful signal to noise.
- ❑

Forward model implementation

- ❑ We plan to use the linear forward model for most channels.
- ❑ As each channel becomes optically thick, the linear forward model becomes a poorer approximation.
- ❑ Optical depth increases with decreasing tangent height and increasing proximity to the line center.
- ❑ We use each channel down to the tangent heights where it is too optically thick, and then ignore it.
- ❑ Information will still be obtained from the channels further from the line center at these heights.
- ❑ For the ‘wing’ channels (furthest from line center), we’ll have to use the full non-linear forward model if we wish to get useful information.
- ❑ We may still take the derivatives from the linear model for speed.

Obvious approaches for ‘noisy’ products

- ❑ There are two obvious approaches for dealing with ‘noisy’ products.
- ❑ **Average in measurement space:**
 - ⇒ Average together many radiance observations and perform retrievals on the averaged radiances.
 - ⇒ This works, provided the signal of interest is not affected by a varying contaminant whose effect on the radiances is non-linear.
- ❑ **Average in state space:**
 - ⇒ Perform single profile retrievals as with the ‘standard’ products and average the results appropriately.
 - ⇒ This solves the problem with non linear contaminants.
 - ⇒ However, the *a priori* information is introduced in *each* profile retrieval and will thus strongly bias an average.
 - ⇒ But one can’t make the individual profile *a priori* too loose without risking instability in the individual retrievals.

A new approach: Average in 'information' space.

- ❑ Construct a state vector which is the desired result.
 - ⇒ For example a monthly zonal mean BrO field.
- ❑ Then we consider each relevant set of radiance observations as an individual measure of this quantity.
- ❑ We use the previously retrieved quantities such as temperature, pressure, ozone etc. as constrained quantities in the forward model for these retrievals.
 - ⇒ This deals with the issues of having non linear contaminants.
 - ⇒ Error propagation for these quantities is tbd.
 - ⇒ We include the *a priori* in the retrieval *once only*.
- ❑ Mathematically this comes down to:

$$\mathbf{x} = \left[\mathbf{S}_a^{-1} + \sum_i \mathbf{K}_i^T \mathbf{S}_i^{-1} \mathbf{K}_i \right]^{-1} \sum_i \mathbf{K}_i^T \mathbf{S}_i^{-1} [\mathbf{y}_i - \mathbf{f}_i(\mathbf{a})],$$

where the summation is over all the relevant sets of radiance profiles.

Implementation of the algorithms in software.

- ❑ We want to process one days worth of data in less than one day.
 - ⇒ We're aiming for 6 hours, to allow for parallel reprocessing streams.
- ❑ The software is written at JPL, and will be run at a Raytheon facility in Pasadena under contract to JPL.
- ❑ The data will then be sent to the Goddard DAAC (EOS data repository) for archive and distribution.
- ❑ We're anticipating running the code on Beowulf style cluster.
- ❑ Having divide the data into the chunks described earlier, we have the nodes work on them independently.
- ❑ If the nodes themselves have multiple (e.g. 2) processors, either:
 - ⇒ give them each two chunks (may take too much memory).
 - ⇒ write the chunk processing code in a parallel manner (a little harder to implement).
- ❑ We currently have a 64 node, 128 processor IBM linux cluster.

Making it even easier

- ❑ It is possible to improve on the efficiency of this algorithm further,
- ❑ if the routine (i.e. daily) processing outputs forward model radiances or the radiance residuals.
- ❑ These are equivalent to the $\mathbf{y} - \mathbf{f}$ terms in the retrieval equation.
- ❑ As the signal of interest is small, weighting functions can be pre-tabulated.
- ❑ The daily processing can even retrieve its own estimates of the 'noisy' species.
 - ⇒ These can be useful in spotting 'freak' events.
- ❑ Again, as the signals are small, a linear correction can be made for the amount inferred by the daily processing.
- ❑ The UARS MLS instrument has a filter bank centered on a spectral line from H_2O_2 .
- ❑ This will be an ideal test case for the algorithm.

Implemetation in software (cont.)

- ❑ Software written in Fortran 95.
 - ⇒ F95 has many powerful features that Fortran 77 really lacks.
 - ⇒ Higher level languages such as IDL and Matlab lack the speed, also cost too much in cluster environment.
 - ⇒ C does not handle arrays as well, and is typically harder to optimize.
- ❑ We implemented a somewhat object orientated approach.
 - ⇒ Defining 'vector' and 'matrix' types and overloading some appropriate operators.
- ❑ The code is driven by the 'Level 2 Configuration File' (l2cf).
 - ⇒ This is essentially a language devised to describe retrievals, forward model calculations and related activities.

Vectors, quantities and matrices in the software

- ❑ At the heart of the software is the concept of a 'quantity'.
 - ⇒ This is a collection of data for a chunk.
 - ⇒ For example, a set of temperature profiles, or ozone profiles, tangent pressures or radiances.
 - ⇒ Simpler items such as instrument calibration parameters, isotope ratios etc. are also stored as 'quantities'.
- ❑ Quantities are collected together to make 'vectors'.
 - ⇒ For example the state vector, and measurement vectors.
 - ⇒ For efficiency we divorce the vector 'template' (quantity geolocation information etc.) from the 'value'.
- ❑ We also define the concept of a matrix.
 - ⇒ These have attached vectors describing their rows and columns.
 - ⇒ Typically describe derivative of one vector with respect to another (e.g. weighting functions), or the covariance of a single vector.

A flexible Level 2 program.

- ❑ The Level 2 software is very flexible:
 - ⇒ Can read and write to/from both Level 1 and Level 2.
 - ⇒ Manipulates gridded data from climatological sources.
 - ⇒ Can perform stand alone forward model calculations, in addition to retrievals.
- ❑ This means that the one program can do:
 - ⇒ Standard retrievals.
 - ⇒ Simulations of radiance fields.
 - ⇒ Pre-computation of the tables for the linear forward model.
 - ⇒ Or even all three together!
- ❑ This is much easier than writing three separate programs, each using slightly different I/O and initialisation code.
- ❑ Clearly, the configuration needs to be described in a clear manner.

Storage and manipulation of matrices

- ❑ The quantities in a vector are themselves divided into 'instances'.
 - ⇒ These are horizontal realizations of the quantity.
 - ⇒ Individual temperature profiles, separate radiance scans etc.
- ❑ The matrices are divided up into blocks by quantity and index.
 - ⇒ The derivative of band 1, scan 10 radiance with respect to temperature profile 11 etc.
- ❑ The blocks in the matrices can be of four types:
 - Absent** All zeros, nothing stored.
 - Full** A 'full' block.
 - Banded** A block with a few clustered non-zero elements per column.
 - Sparse** A block with a few non-zero elements in random locations.
- ❑ The banded and sparse representations are only typically worthwhile for blocks with <~20% non-zero elements.
- ❑ The matrix algebra in the code efficiently deals with all of these.

The Level 2 Configuration File (l2cf)

- ❑ The l2cf is in many ways a computer language for describing retrievals.
- ❑ Can define quantities, vectors, matrices etc. in a very similar manner to the definitions of types and variables in other languages.
- ❑ The syntax is somewhat reminiscent of IDL.

```
; Define a vertical coordinate system in -log10(pressure/hPa),
; with 25 surfaces at 12 per decade starting at 1000mb, followed
; by 24 surfaces at 6 per decade.
standardSurfaces: vGrid, coordinate=Zeta, type=Logarithmic, $
    start=1000mb, formula=[25:12, 24:6]

; Place profiles where GHZ tangent point height first crosses
; 15km each scan.
standardProfiles: hGrid, type=height, height=15km, module=GHZ

; Define a template for temperature, GHZ tangent pressure,
; ozone and band 6 radiances.
temperature: Quantity, vGrid=standardSurfaces, hGrid=standardProfiles, $
    type=temperature
ptahGHZ: Quantity, type=ptan, module=GHZ
ozone: Quantity, type=vmr, molecule=O3, vGrid=standardSurfaces, $
    hGrid=standardProfiles
band6: Quantity, type=radiance, signal='R2:190.B6:O3'
```

The Level 2 Configuration File (cont.)

```
; Define templates for state and measurement vectors
stateTemplate: vectorTemplate, quantities=[temperature, ozone, ptanGHz]
measTemplate: vectorTemplate, quantities=[band6]

; Define various vectors
x: vector, template=stateTemplate ; State vector
a: vector, template=stateTemplate ; A priori state vector
y: vector, template=measTemplate ; Measurement vector
yNoise: vector, template=measTemplate ; Measurement noise

; Set up appropriate default states
Fill, quantity=x.temperature, method=gridded, source=aprioriTemp
; aprioriTemp is a gridded field read by earlier lines
; in the l2cf
.
.
Fill, quantity=y.band6, method=l1b ; Fill radiances from L1 file
.
.
```

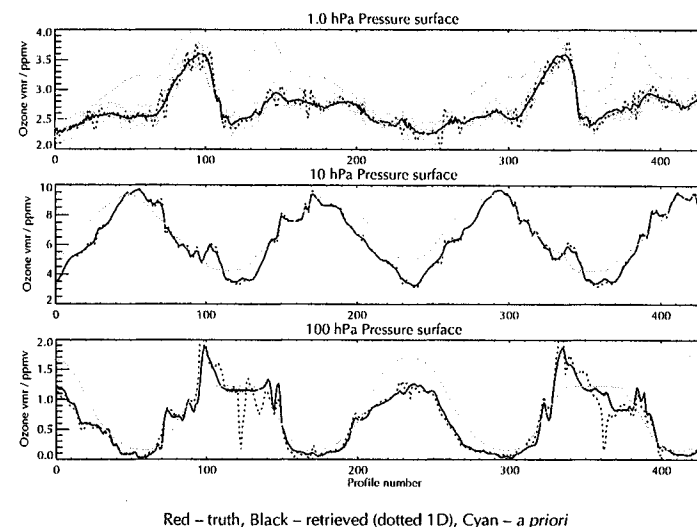
Production code.

- ☐ The production code is still under development and testing.
- ☐ Essential functionality in place.
- ☐ What remains are many ‘small but vital’ features that will be needed.
- ☐ Also need to ensure code is ‘bomb proof’.
 - ⇒ Must be able to cope with missing data, broken radiometers etc.
- ☐ Hoping to add an ‘on-line diagnostic’ capability.
 - ⇒ A separate IDL task that can communicate with the fortran code during testing display results etc.
- ☐ Note that the software is flexible enough that it can easily be modified to process data from UARS MLS.
 - ⇒ No code changes required, just some changes to the l2cf and new calibration files.
- ☐ Some results from a simple retrieval, similar to the ‘prototype’ follow.

The Level 2 Configuration File (cont.)

```
; Perform a very simple retrieval (definition of some terms omitted)
Retrieve, state=x, measurements=y, measurementSD=yNoise, $
forwardModel=retFwm, $
covariance=myCovariance, apriori=a, columnScale=norm, $
maxF=2, maxJ=1, lambda=0.0, outputSD=sdOut
; One defines forward model configurations (e.g. retFwm) earlier
; in the l2cf. A retrieval can use more than one forward model.
.
.
; Later parts of the l2cf deal with joining together data from the
; chunks and outputting them in the appropriate files.
```

Results from production code.



Summary.

- ❑ MLS is a passive microwave instrument designed to measure the chemistry and dynamics of earth's atmosphere from 5–80 km.
- ❑ The retrieval algorithms use the standard *optimal estimation* approach.
- ❑ One new aspect is a two dimensional 'tomographic' approach to the problem.
- ❑ Avoid error propagation problems by doing simultaneous retrievals.
- ❑ Implemented in a very flexible software setup.
- ❑ Work proceeding well.