

# **Interplay of Spin-Orbit Coupling and Interactions in 2D Electron Gas**

**V. M. APALKOV and M. E. RAIKH**

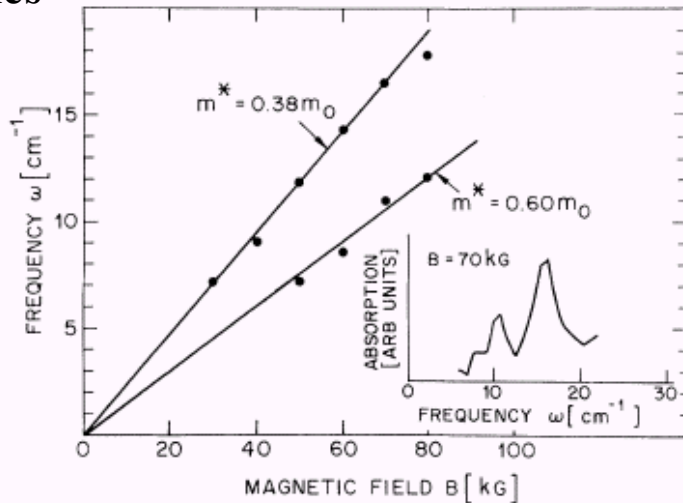
**University of Utah**

# Motivation: Two Experimental Papers

## Splitting of cyclotron resonance

[H.L. Stormer *et.al.*, PRL 51, 126 (1983)]

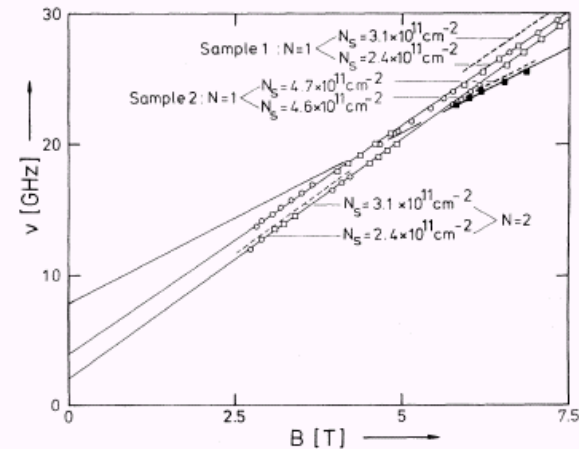
GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As heterostructures – holes



## Electron spin resonance

[D. Stein, K.von Klitzing, and G. Weimann, PRL 51, 130 (1983)]

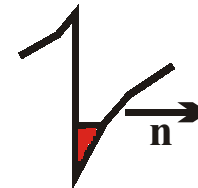
GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As heterostructures – electrons



[Yu.A. Bychkov and E.I. Rashba, JETP Lett. 39, 78 (1984)]:

$$H = \frac{\hbar^2}{2m} \mathbf{k}^2 + \alpha [\boldsymbol{\sigma} \times \mathbf{k}] \mathbf{n}$$

← normal to the hetero-boundary



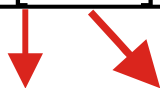
$$[\boldsymbol{\sigma} \times \mathbf{k}] (\nabla V)$$

# Spin-Orbit Hamiltonian: Energy Spectrum

## Confinement asymmetry

[Yu.A. Bychkov and E.I. Rashba, JETP Lett. 39, 78 (1984)]

$$H_{SO} = \alpha [\boldsymbol{\sigma} \times \mathbf{k}] \mathbf{n}$$



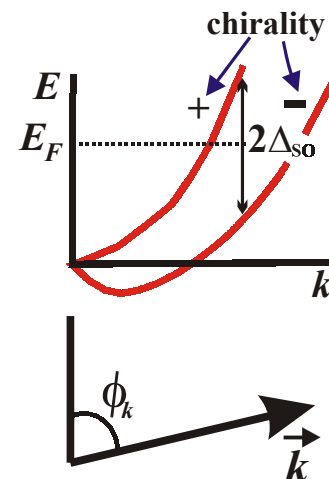
## Energy Spectrum:

- without magnetic field:

$$E(\mathbf{k}) = \frac{\hbar^2 \mathbf{k}^2}{2m} \pm \alpha k$$

$$\Delta_{SO} = \alpha k_F$$

$$\chi_{\mathbf{k}}^{\pm} = \frac{e^{i\mathbf{k}\rho}}{\sqrt{2}} \begin{pmatrix} e^{i\phi_{\mathbf{k}}} \\ \pm 1 \end{pmatrix}$$



- in a perpendicular magnetic field:

$$E_{n,\pm} = \hbar\omega_c \left[ n \pm \sqrt{\delta^2 + \gamma^2 n} \right]$$

$$\delta = \frac{1}{2} \left[ 1 - \frac{\Delta_Z}{2\hbar\omega_c} \right]$$

Zeeman energy

$$\gamma = \sqrt{2m\alpha^2 / \omega_c}$$

cyclotron frequency

# Observation of SO-splitting in 2D Electron Gas

RAPID COMMUNICATIONS

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PHYSICAL REVIEW B

VOLUME 38, NUMBER 14

15 NOVEMBER 1988-I

## Observation of the zero-field spin splitting of the ground electron subband in GaSb-InAs-GaSb quantum wells

J. Luo

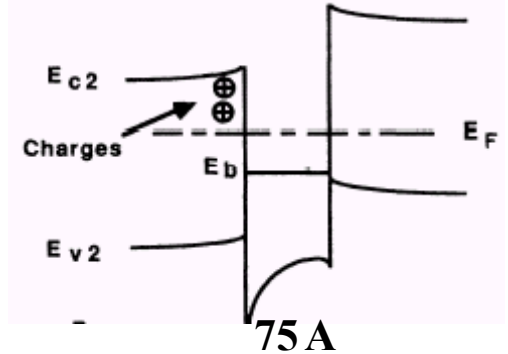
*Physics Department, Brown University, Providence, Rhode Island 02912*

H. Munekata and F. F. Fang

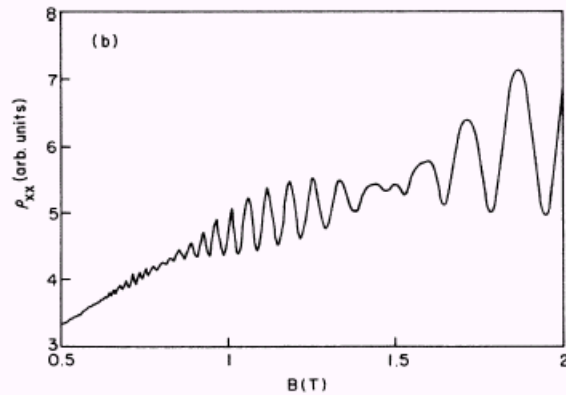
*IBM Thomas J. Watson Research Center, Yorktown Heights, New York 10598*

P. J. Stiles

*Physics Department, Brown University, Providence, Rhode Island 02912*



GaSb - InAs - GaSb  
narrow gap  
heterostructure



From the experiment  
 $2\Delta_{SO} \approx 3.5 \text{ meV}$

## Beats of Shubnikov-de Haas Oscillations

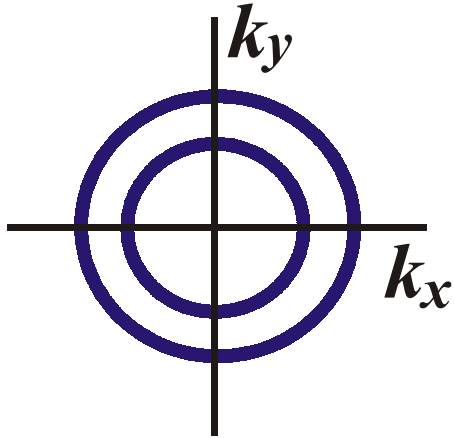
$$\rho_{xx} \propto \exp\left(-\frac{\pi}{\omega_c \tau}\right) \cos\left(\frac{2\pi E_F}{\hbar\omega_c}\right) \quad \text{without SO}$$

$$\rho_{xx} \propto \cos\left(2\pi \frac{E_F + \Delta_{SO}}{\hbar\omega_c}\right) + \cos\left(2\pi \frac{E_F - \Delta_{SO}}{\hbar\omega_c}\right) \quad \text{with SO}$$

$$\propto \exp\left(-\frac{\pi}{\omega_c \tau}\right) \cos\left(\frac{2\pi E_F}{\hbar\omega_c}\right) \cos\left(\frac{2\pi \Delta_{SO}}{\hbar\omega_c}\right) \leftarrow \text{envelope}$$

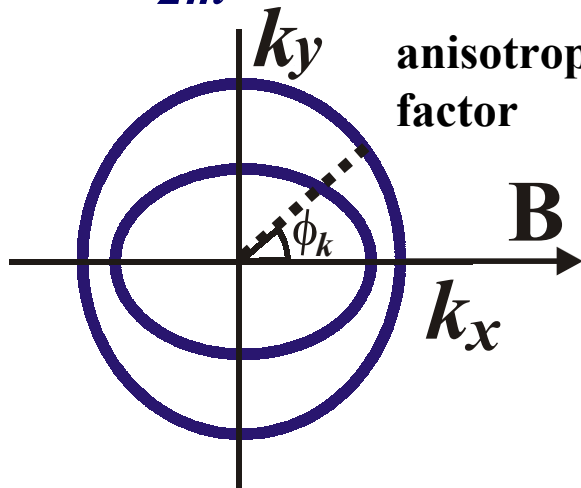
# SO-Induced Anisotropy of the In-Plane Magnetoresistance

either  $\Delta_Z = 0$  or  $\Delta_{SO} = 0$



$\Delta_Z \neq 0$  and  $\Delta_{SO} \neq 0$

$$E(\mathbf{k}) = \frac{\hbar^2 k^2}{2m} \pm \sqrt{\Delta_{SO}^2 + \Delta_Z^2 + 2\Delta_{SO}\Delta_Z \sin\phi_k}$$



anisotropy factor  $\rightarrow \frac{\rho_{\parallel}(B) - \rho_{\perp}(B)}{\rho_{\parallel}(B) + \rho_{\perp}(B)}$

VOLUME 88, NUMBER 7

PHYSICAL REVIEW LETTERS

18 FEBRUARY 2002

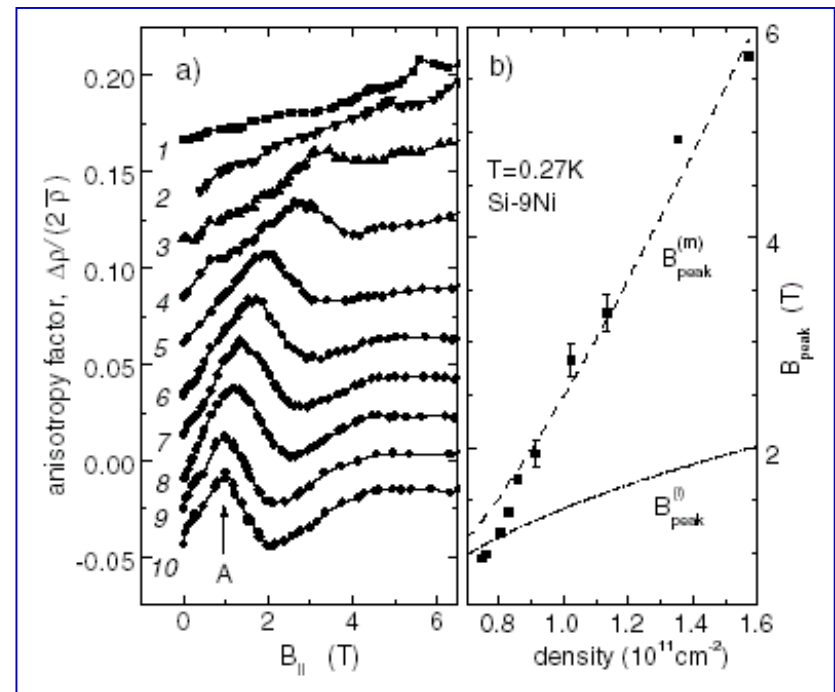
## Weak Anisotropy and Disorder Dependence of the In-Plane Magnetoresistance in High-Mobility (100) Si-Inversion Layers

V. M. Pudalov,<sup>1,2</sup> G. Brunthaler,<sup>3</sup> A. Prinz,<sup>3</sup> and G. Bauer<sup>3</sup>

<sup>1</sup>*P. N. Lebedev Physics Institute, 119991 Moscow, Russia*

<sup>2</sup>*Department of Physics and Astronomy, Rutgers University, New Brunswick, New Jersey 08854*

<sup>3</sup>*Institut für Halbleiterphysik, Johannes Kepler Universität, Linz, A4040, Austria*  
076401-1



# Experimentally Observed Effects that are due to Finite $\Delta_{SO}$ : “hidden” period in conductance fluctuations

VOLUME 80, NUMBER 5

PHYSICAL REVIEW LETTERS

2 FEBRUARY 1998

## Ensemble-Average Spectrum of Aharonov-Bohm Conductance Oscillations: Evidence for Spin-Orbit-Induced Berry's Phase

A. F. Morpurgo, J. P. Heida, T. M. Klapwijk, and B. J. van Wees  
*Department of Applied Physics and Materials Science Centre, University of Groningen,  
 Nijenborgh 4, 9747 AG Groningen, The Netherlands*

G. Borghs

*Interuniversity Microelectronics Center, Kapeldreef 75, B-3030, Leuven, Belgium*

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VOLUME 88, NUMBER 14

PHYSICAL REVIEW LETTERS

8 APRIL 2002

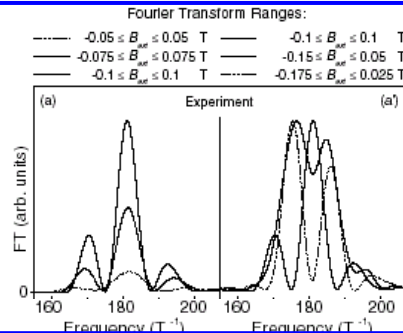
## Aharonov-Bohm Oscillations with Spin: Evidence for Berry's Phase

Jeng-Bang Yau, E. P. De Poortere, and M. Shayegan  
*Department of Electrical Engineering, Princeton University, Princeton, New Jersey 08544*

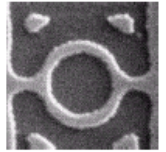
146801-1

## • GaAs/AlGaAs heterostructure – 2D hole system

- diameter  $1\mu m$
- $n \sim 2.4 \times 10^{15} m^{-2}$
- $l \sim 2 \div 3\mu m$



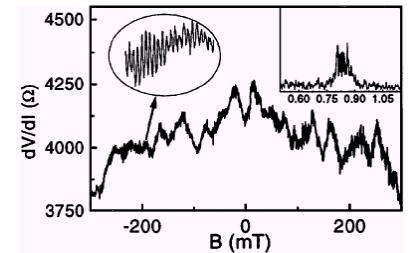
- diameter  $0.9 \div 2.1\mu m$



- AlSb/InAs/AlSb heterostructure

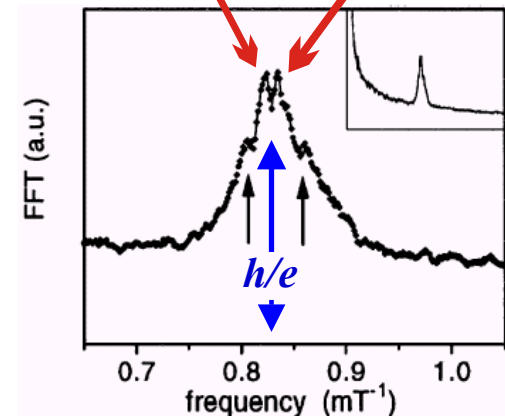
- $n \sim 1.0 \times 10^{16} m^{-2}$

- $l \sim 1\mu m$

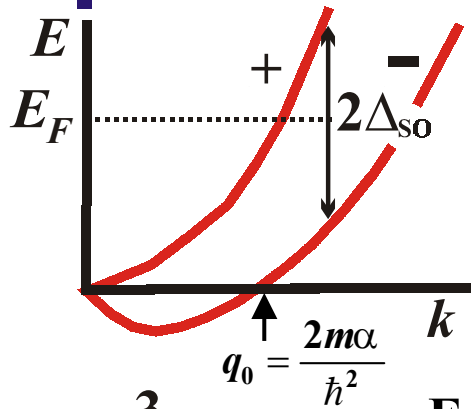


## Aharonov-Bohm effect

### Splitting due to SO



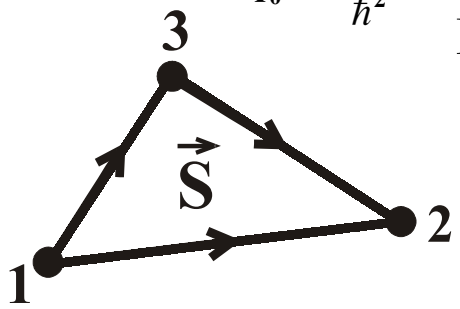
# SO-induced Magnetic Field



$$\hat{G}(\mathbf{r}) = \int \frac{d^2\mathbf{k}}{(2\pi)^2} \frac{e^{i\mathbf{k}\mathbf{r}}}{E - \frac{\hbar^2 k^2}{2m} - \alpha(\mathbf{k} \times \boldsymbol{\sigma})\mathbf{n}} = e^{-\left[\frac{iq_0}{2}\mathbf{n}(\boldsymbol{\sigma} \times \mathbf{r})\right]} G_0(\mathbf{r})$$

For localized electrons,  $E < 0$

$$\hat{A} = \hat{G}(\mathbf{r}_{12}) + \underbrace{Q}_{\text{scattering amplitude}} \hat{G}(\mathbf{r}_{13}) \hat{G}(\mathbf{r}_{32}) \quad e^{\hat{B}} e^{\hat{C}} = e^{\hat{B} + \hat{C} + \frac{1}{2}[\hat{B}, \hat{C}] + \dots}$$



$$\hat{G}(\mathbf{r}_{13}) \hat{G}(\mathbf{r}_{32}) = G_0(\mathbf{r}_{13}) G_0(\mathbf{r}_{32}) \cdot \exp \left\{ -\frac{iq_0}{2} \mathbf{n}(\boldsymbol{\sigma} \times \mathbf{r}_{12}) - \frac{q_0^2}{8} [\mathbf{n}(\boldsymbol{\sigma} \times \mathbf{r}_{13}), \mathbf{n}(\boldsymbol{\sigma} \times \mathbf{r}_{32})] \right\}$$

$$\hat{A} = e^{-\frac{iq_0}{2} \mathbf{n}(\boldsymbol{\sigma} \times \mathbf{r}_{12})} \left[ G_0(\mathbf{r}_{12}) + Q G_0(\mathbf{r}_{13}) G_0(\mathbf{r}_{32}) e^{-\frac{2\pi i}{\Phi_0} (\mathbf{B}_{SO} \cdot \mathbf{S})} \right]$$

$4i(\mathbf{S} \times \mathbf{n})(\boldsymbol{\sigma} \times \mathbf{n})$   
 //  
 vector area

where  $\mathbf{B}_{SO} = \frac{q_0^2 \Phi_0}{4\pi} (\boldsymbol{\sigma} \cdot \mathbf{n}) \mathbf{n}$

# Suppression of Mesoscopic Fluctuations in Quantum Dots

VOLUME 86, NUMBER 10

PHYSICAL REVIEW LETTERS

5 MARCH 2001

## Spin Degeneracy and Conductance Fluctuations in Open Quantum Dots

J. A. Folk, S. R. Patel, and K. M. Birnbaum

*Department of Physics, Stanford University, Stanford, California 94305*

C. M. Marcus

*Department of Physics, Stanford University, Stanford, California 94305  
and Department of Physics, Harvard University, Cambridge, Massachusetts 02138*

C. I. Duruöz and J. S. Harris, Jr.

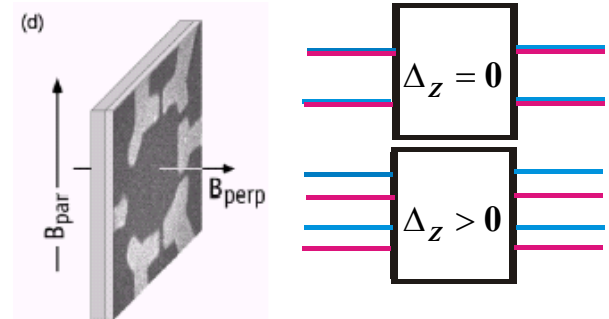
*Department of Electrical Engineering, Stanford University, Stanford, California 94305*

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The variance of fluctuations at high parallel field is reduced compared to the low-field value:

- due to Zeeman splitting – reduction factor – 2.
- experimentally – reduction factor – from 2 to 5.5
- **larger reduction factor is due to SO**

Reduction Factor	$8 \mu\text{m}^2$				$1 \mu\text{m}^2$			
	N=1 100mK	N=3 100mK	N=1 200mK	N=1 300mK	N=1 100mK	N=3 100mK	N=1 300mK	N=3 300mK
Char. Field	0.9T	1.2T	1.4T	1.6T	1.1T	1.9T	3.9T	4.7T

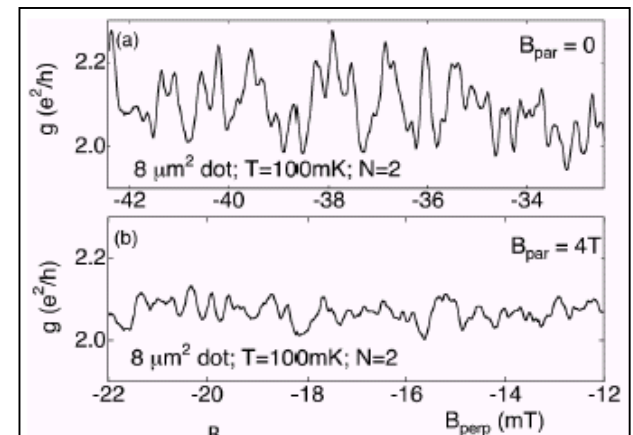


• **GaAs/AlGaAs hetero-structure**

•  $\mu \approx 14 \text{ m}^2 / \text{Vs}$      $l \sim 1.5 \mu\text{m}$

• dots:  $1 \mu\text{m}^2$  and  $8 \mu\text{m}^2$

•  $N_e = 2000$  and  $16000$





# Weak Antilocalization

VOLUME 48, NUMBER 15      PHYSICAL REVIEW LETTERS      12 APRIL 1982

**Influence of Spin-Orbit Coupling on Weak Localization**

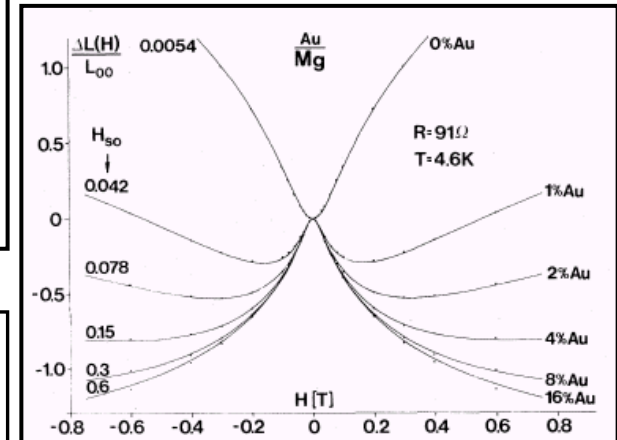
Gerd Bergman  
*Institut für Festkörperforschung der Kernforschungsanlage Jülich, D-517 Jülich, West Germany*

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- magnetoconductance of *Mg* films with different coverages of *Au*
- spin-relaxation mechanism – scattering by *Au* impurities

S. Hikami, A.I Larkin, and Y. Nagaoka, *Prog. Theor. Phys.* 63, 707 (1980)

## magnetoconductance



VOLUME 68, NUMBER 1      PHYSICAL REVIEW LETTERS      6 JANUARY 1992

**Observation of Spin Precession in GaAs Inversion Layers Using Antilocalization**

P. D. Dresselhaus, C. M. A. Papavassiliou,<sup>(a)</sup> and R. G. Wheeler  
*Department of Applied Physics, Yale University, New Haven, Connecticut 06520-2157*

R. N. Sacks  
*United Technologies Research Center, East Hartford, Connecticut 06108*

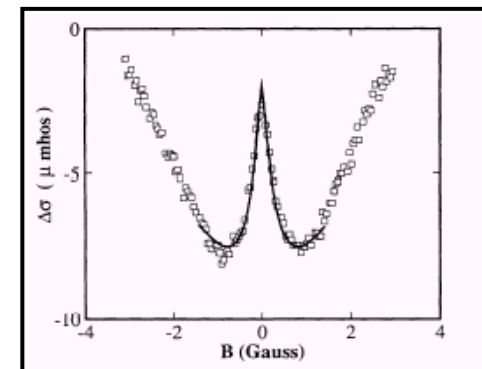
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## GaAs/Al<sub>0.3</sub>Ga<sub>0.7</sub>As heterostructure

$$n \sim 6.4 \times 10^{15} \text{ m}^{-2}$$

$$\mu \sim 10 \text{ m}^2/\text{V sec}$$

## magnetoconductance



- SO determines spin-relaxation mechanism
- SO enters in the combination  $\Delta_{SO}\tau$

# Weak Antilocalization

PHYSICAL REVIEW B

VOLUME 53, NUMBER 7

15 FEBRUARY 1996-I

## Weak antilocalization and spin precession in quantum wells

W. Knap, C. Skierbiszewski,\* A. Zduniak,† E. Litwin-Staszewska,\* D. Bertho, F. Kobbi, and J. L. Robert  
G. E. Pikus F. G. Pikus S. V. Iordanskii V. Mosser K. Zekentes Yu. B. Lyanda-Geller

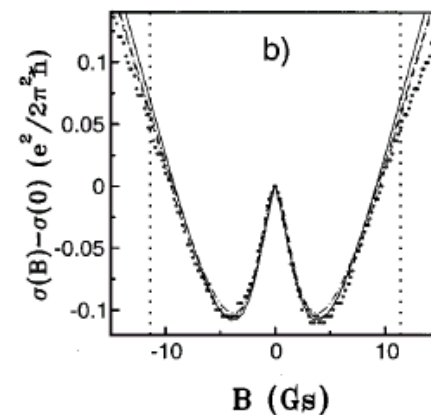
3912

AlGaAs/InGaAs/GaAs quantum well

540 Å / 130 Å / 8000 Å

$$n \sim 1 \times 10^{16} \text{ m}^{-2}$$

$$\mu \sim 4 \text{ m}^2/\text{V sec}$$



## APS March Meeting 2002

### [D19.002] Gate-Controlled Spin-Orbit Coupling in a GaAs Two-Dimensional Electron Gas

*J. B. Miller, D. M. Zumbuhl, C. M. Marcus (Harvard University),*

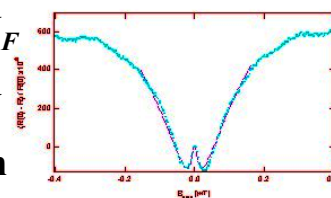
*K. Campman, A. C. Gossard (UC Santa Barbara)*

**crossover** in magnetotransport from **weak localization** to **weak antilocalization** in a gated Hall bar as a function of gate voltage...

$$\Delta_{SO} = \alpha k_F$$

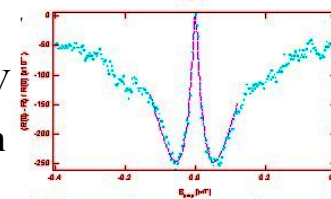
$$V_g = -70 \text{ mV}$$

$$l_{SO} = 22 \mu\text{m}$$



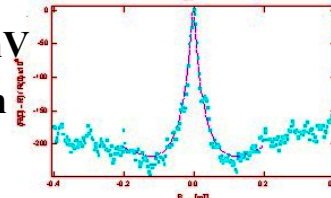
$$V_g = +10 \text{ mV}$$

$$l_{SO} = 17 \mu\text{m}$$



$$V_g = +110 \text{ mV}$$

$$l_{SO} = 10 \mu\text{m}$$



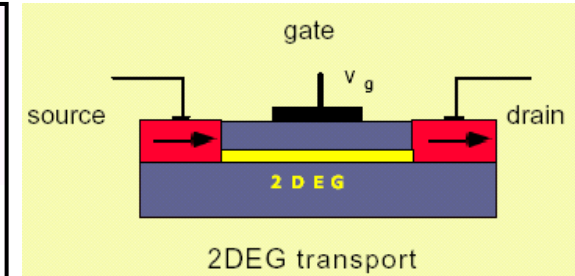
# Applications: Spin Transistor

## Electronic analog of the electro-optic modulator

Supriyo Datta and Biswajit Das

School of Electrical Engineering, Purdue University, West Lafayette, Indiana 47907

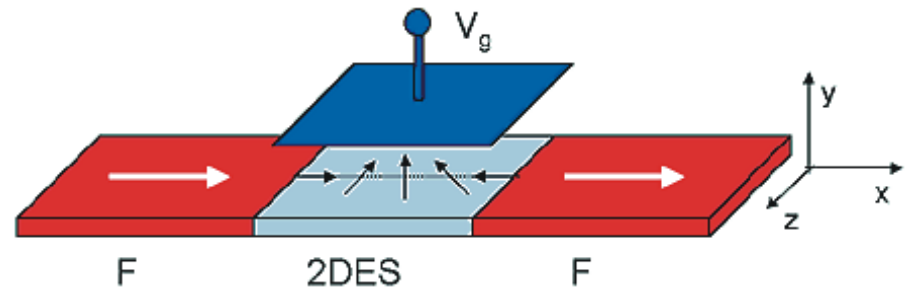
Appl. Phys. Lett 56, 665 (1990)



$$H = \frac{\hbar^2 k_x^2}{2m} + \alpha \sigma_z k_x$$

$$k_{x,+} - k_{x,-} = \frac{2m\alpha}{\hbar^2}$$

$$\Delta\theta = (k_{x,+} - k_{x,-})L = \frac{2m\alpha L}{\hbar^2}$$



- Spin precession of the injected electrons – due to SO splitting
- Gate control of SO ( $\alpha$ )
- High mobility structures,  $L < l$

# Gate Control of Spin-Orbit Splitting

VOLUME 78, NUMBER 7

PHYSICAL REVIEW LETTERS

17 FEBRUARY 1997

## Gate Control of Spin-Orbit Interaction in an Inverted $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{In}_{0.52}\text{Al}_{0.48}\text{As}$ Heterostructure

Junsaku Nitta, Tatsushi Akazaki, and Hideaki Takayanagi

*NTT Basic Research Laboratories, 3-1 Wakamiya, Morinosato, Atsugi-shi, Kanagawa 243-01, Japan*

Takatomo Enoki

*NTT System Electronics Laboratories, 3-1 Wakamiya, Morinosato, Atsugi-shi, Kanagawa 243-01, Japan*

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## InGaAs/InAlAs heterostructure

$$n \sim 1.9 \times 10^{16} \text{ m}^{-2}$$

$$\mu \approx 3.6 \text{ m}^2 / \text{Vs}$$

PHYSICAL REVIEW B

VOLUME 55, NUMBER 4

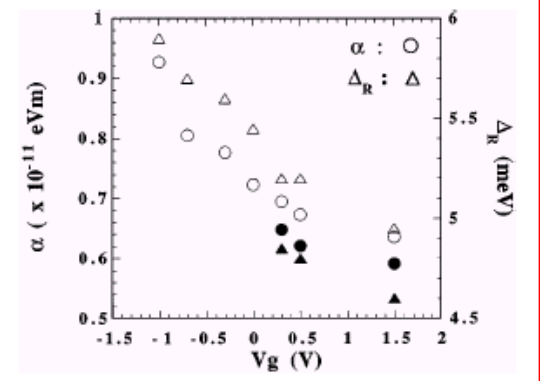
15 JANUARY 1997-II

## Experimental and theoretical approach to spin splitting in modulation-doped $\text{In}_x\text{Ga}_{1-x}\text{As}/\text{InP}$ quantum wells for $B \rightarrow 0$

G. Engels, J. Lange, Th. Schäpers, and H. Lüth

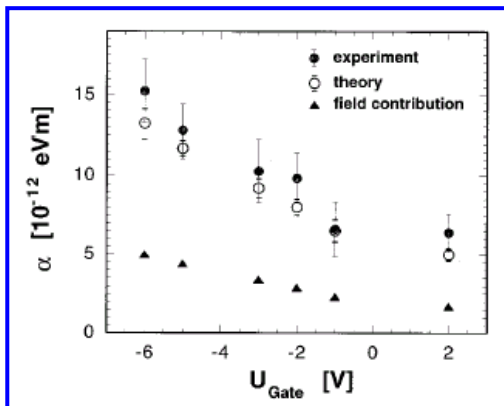
*Institut für Schicht- und Ionentechnik, Forschungszentrum Jülich GmbH, 52425 Jülich, Germany*

R1958



**InP/InGaAs/InP quantum well**  $n \sim 1.59 \times 10^{16} \text{ m}^{-2}$   
 $\mu \approx 20 \text{ m}^2 / \text{Vs}$

From the beats of SdH oscillations



From the beats of SdH oscillations

$$\Delta_{SO} = 5 \text{ meV}$$

$$L_{\Delta\theta=\pi} = 0.5 \mu m$$

$$l = 1 \mu m$$

# Spin-Orbit Splitting in AlGaAs/GaAs heterostructures

PHYSICAL REVIEW B

VOLUME 55, NUMBER 11

15 MARCH 1997-1

## Zero-magnetic-field spin splittings in $\text{Al}_x\text{Ga}_{1-x}\text{As}/\text{GaAs}$ heterojunctions

P. Ramvall, B. Kowalski, and P. Omling

*Solid State Physics, Lund University, Box 118, S-221 00 Lund, Sweden*

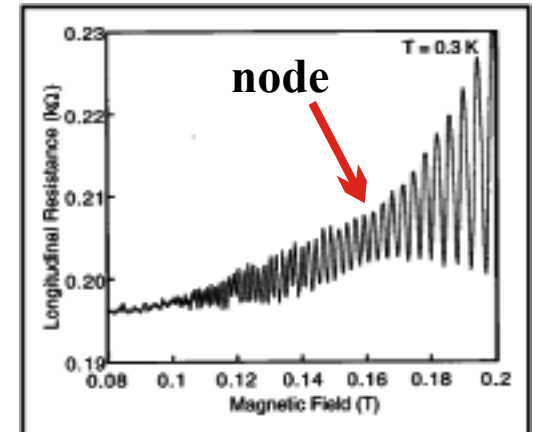
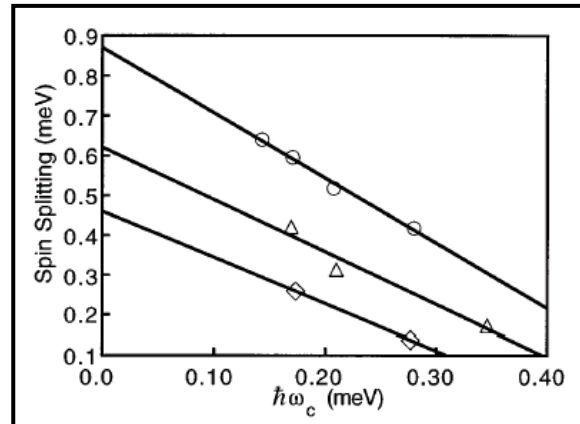
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**AlGaAs/GaAs  
heterostructure**

$$n \sim 0.37 \times 10^{16} \text{ m}^{-2}$$

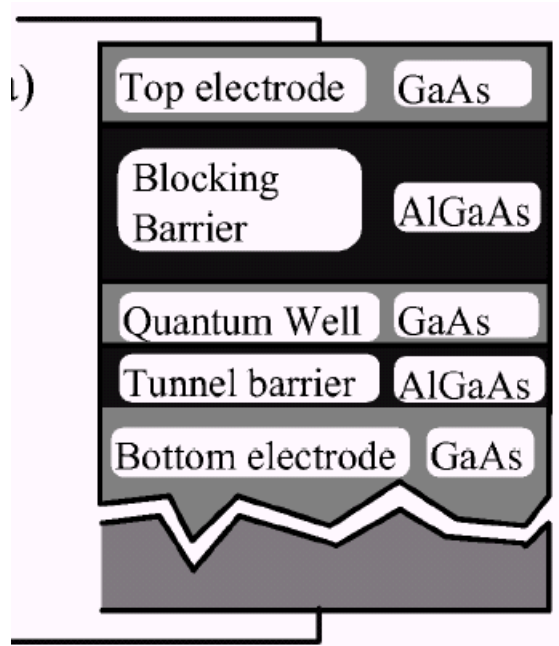
$$\mu \approx 38 \text{ m}^2 / \text{Vs}$$

- SO splitting is determined from linear extrapolation  $\omega_c \rightarrow 0$
- Results are **ambiguous**



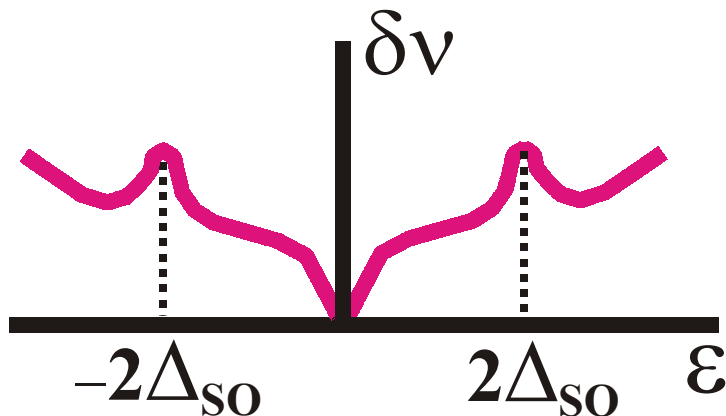
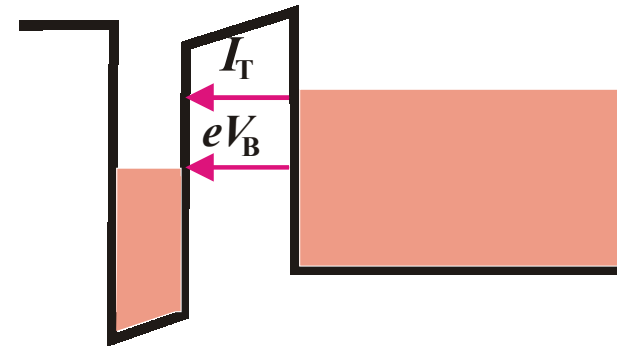
Treatment before measuring	Electron concentration ( $10^{11} \text{ cm}^{-2}$ )	Zero-field spin splitting (meV)
No irradiation, no illumination	3.7	<u>Unmeasurable</u>
No irradiation, illumination	3.9	0.46
Irradiation, no illumination	3.7	0.61
Irradiation, illumination	4.5	0.87

# Tunneling into 2D Electron Gas



- Tunneling conductance  $\propto$  2D (tunneling) density of states,  $\nu$
- Very fine feature can be resolved,  $\Delta\varepsilon < 0.01$  meV

tunneling



$$I_T(V_B) \sim \int_0^{eV_B} d\varepsilon \nu(\varepsilon)$$

$$G(V_B) = \frac{dI_T}{dV_B} \sim \nu(eV_B)$$

# Zero Bias Anomaly (2D): Theory

VOLUME 44, NUMBER 19

PHYSICAL REVIEW LETTERS

12 MAY 1980

## Interaction Effects in Disordered Fermi Systems in Two Dimensions

B. L. Altshuler and A. G. Aronov

*Leningrad Nuclear Physics Institute, Gatchina, Leningrad 188 350, U.S.S.R.*

and

P. A. Lee

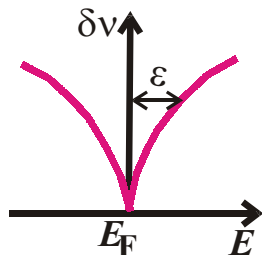
*Bell Laboratories, Murray Hill, New Jersey 07974*

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### Diffusive regime ( $\varepsilon\tau \ll 1$ ):

Interaction-induced corrections to the tunneling density of states:

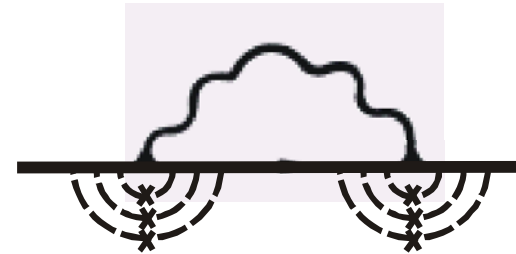
$$\frac{\delta v(\varepsilon)}{v_0} = \frac{1}{4\pi \varepsilon_F \tau} \ln(|\varepsilon| \tau)$$



small in parameter

$$\frac{\langle V_{scr}(\mathbf{k}) \rangle_\varphi}{V_{scr}(0)} \sim \frac{1}{k_F a_B} \sim r_s \ll 1$$

### Exchange correction



### Hartree correction



# Zero Bias Anomaly (2D): Experiment

VOLUME 49, NUMBER 11

PHYSICAL REVIEW LETTERS

13 SEPTEMBER 1982

## Density-of-States Anomalies in a Disordered Conductor: A Tunneling Study

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and

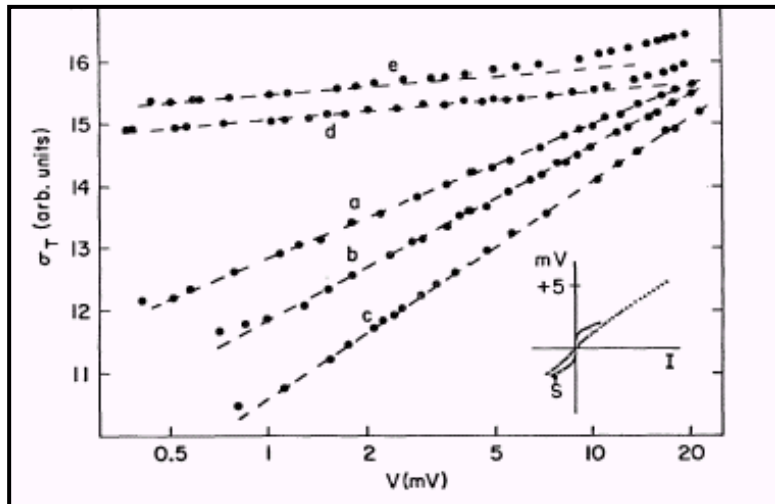
Zvi Ovadyahu<sup>(b)</sup>

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841

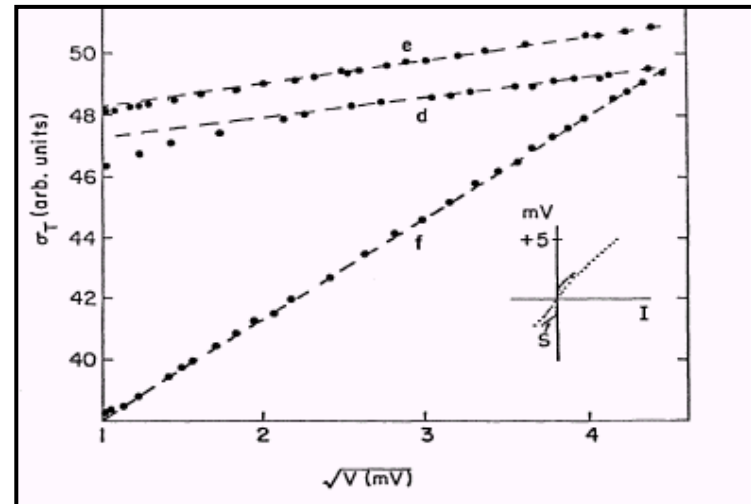
**InO<sub>x</sub> films of various thicknesses**

$$n \sim 0.95 \times 10^{26} \text{ m}^{-3}$$



$d < 460 \text{ \AA}$

$$\sigma_T \sim \delta v \sim \ln(V) \quad \text{2D behaviour}$$



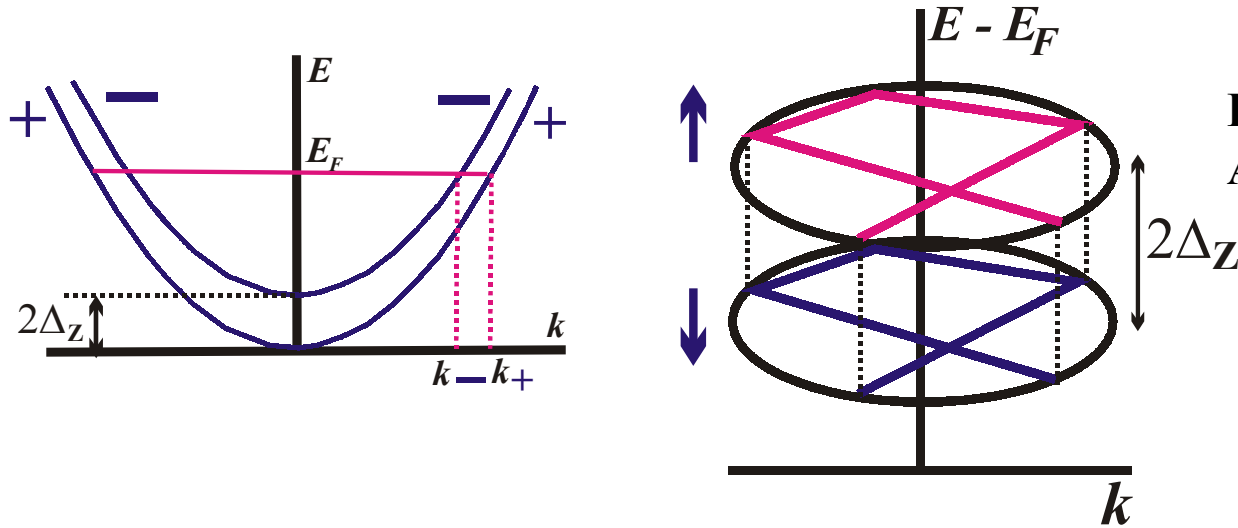
$d > 460 \text{ \AA}$

$$\sigma_T \sim \delta v \sim \sqrt{V} \quad \text{3D behaviour}$$



# Zeeman Satellites of a Zero-Bias Anomaly

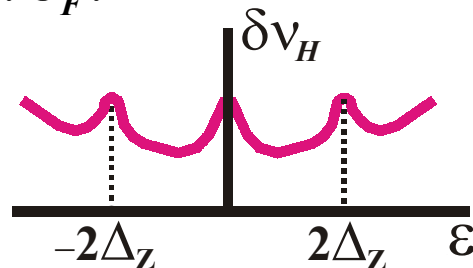
## Two Zeeman-split Fermi surfaces



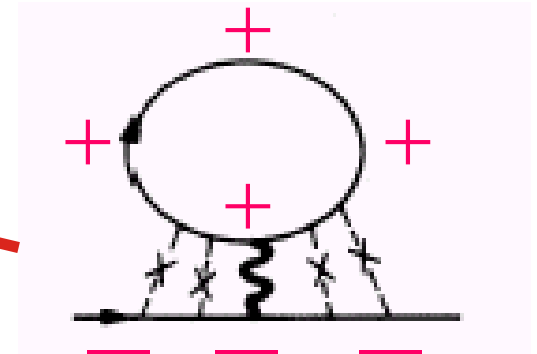
B.L. Altshuler and  
A.G. Aronov (1985)

Satellites of a zero-bias anomaly at  $\epsilon = \pm 2\Delta_Z$

$$\frac{\delta v_Z(\epsilon)}{v_0} = - \frac{v_0 \langle V_{\text{scr}}(\mathbf{k}) \rangle_\varphi}{8\pi \epsilon_F \tau} \ln \left( \tau^2 |\epsilon^2 - 4\Delta_Z^2| \right)$$

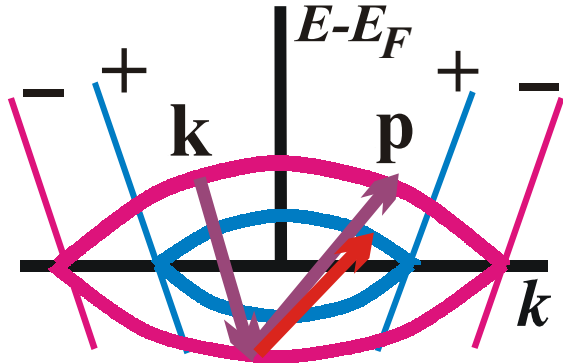


Hartree Correction



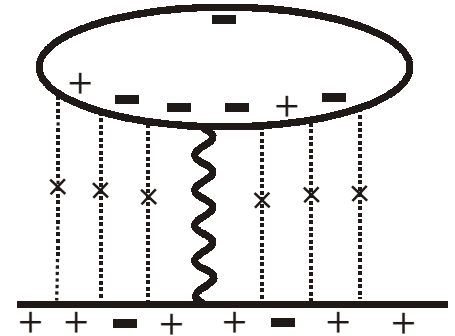
# Zero-Bias Anomaly in the Presence of SO

- **short range disorder**  $\rightarrow$  large momentum transfer



$$W_{kp}^{\mu,\mu} = W_{kp} \left[ \frac{1 + \cos\phi_{kp}}{2} \right]$$

$$W_{kp}^{\mu,-\mu} = W_{kp} \left[ \frac{1 - \cos\phi_{kp}}{2} \right]$$



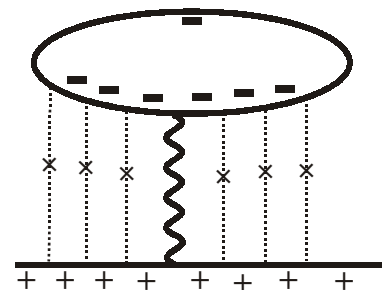
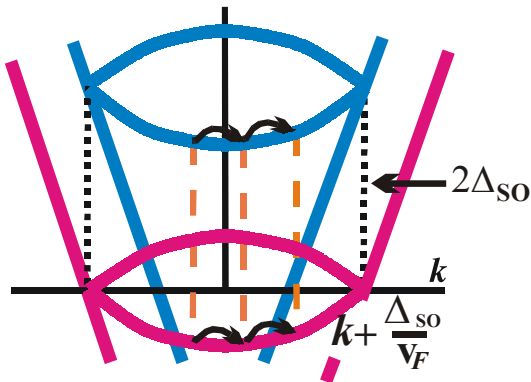
no conservation of the branch index  $\rightarrow$  no SO-induced singularity at  $\varepsilon = \pm 2\Delta_{SO}$

- **smooth disorder,  $\tau_{tr} \gg \tau$**

$\rightarrow$  small momentum transfer,  $\phi_{kp} \ll 1$

$\rightarrow$  approximate conservation of the branch index

$\rightarrow$  SO-induced singularity at  $\varepsilon = \pm 2\Delta_{SO}$



A.M. Rudin, I.L. Aleiner, and L.I. Glazman PRL 78, 709 (1997)

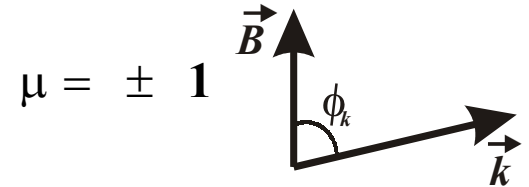
A.P. Dmitriev and V.Yu. Kachorovskii, PRB 63, 113301 (2001)

# Calculation of $\delta v(\varepsilon)$ : Hamiltonian

- $\hat{H} = \frac{\hbar^2 \mathbf{k}^2}{2m} + \alpha (\mathbf{k} \times \hat{\boldsymbol{\sigma}}) \mathbf{n} + \Delta_z \hat{\sigma}_x$

- $E_\mu(\mathbf{k}) = E_F + \hbar v_F (\mathbf{k} - \mathbf{k}_F) + \mu \Delta(\mathbf{k})$

$$\Delta(\mathbf{k}) = \sqrt{\Delta_{SO}^2 + \Delta_z^2 + 2\Delta_{SO}\Delta_z \sin \phi_k}$$



- $\hat{H} = \sum_{\mu} E_{\mu}(\mathbf{k}) \hat{\Lambda}_{\mu}(\mathbf{k})$

$$\hat{\Lambda}_{\mu}(\mathbf{k}) = \frac{1}{2} \begin{pmatrix} 1 & \mu \exp(-i\phi_k) \\ -\mu \exp(i\phi_k) & 1 \end{pmatrix}$$

$$\tan \varphi_k = \tan \phi_k + \frac{\Delta_z}{\Delta_{SO} \cos \phi_k}$$

- $\hat{G}_E^{(0)} = \sum_{\mu} \frac{\hat{\Lambda}_{\mu}(\mathbf{k})}{E - E_{\mu}(\mathbf{k})}$

# Calculation of $\delta v(\varepsilon)$ : diffusive regime

Hartree correction:

$$\frac{\delta v(\varepsilon)}{v_0} = \frac{1}{2\pi} \frac{\partial}{\partial \varepsilon} \text{Re} \int_{\varepsilon}^{\infty} d\omega \int \frac{d\mathbf{q}}{(2\pi)^2} \int \frac{d\mathbf{p}}{(2\pi)^2} \int \frac{d\mathbf{p}'}{(2\pi)^2} V(\mathbf{p}-\mathbf{p}') \Gamma_{--}^{++}(\mathbf{p}, \mathbf{p}', \mathbf{q}, \omega) \\ \times (1-\lambda_{\mathbf{p}+\mathbf{q}, \mathbf{p}'+\mathbf{q}}) (1-\lambda_{\mathbf{p}, \mathbf{p}'}) G_1^R(\varepsilon + \omega, \mathbf{p}+\mathbf{q}) G_1^R(\varepsilon + \omega, \mathbf{p}'+\mathbf{q}) G_{-1}^A(\varepsilon, \mathbf{p}) G_{-1}^A(\varepsilon, \mathbf{p}')$$

interaction

Vertex function

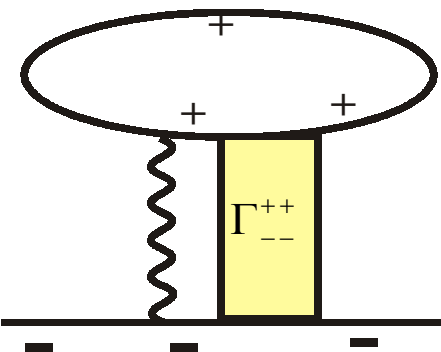
Disorder-induced mixing  
of different branches

Green functions

- $\lambda_{\mathbf{k}, \mathbf{p}} = \text{Tr}(\hat{\Lambda}_{\mu}(\mathbf{k}) \hat{\Lambda}_{-\mu}(\mathbf{p})) \sim (\phi_{\mathbf{k}} - \phi_{\mathbf{p}})^2 \ll 1$  smooth potential

- $G_{\mu}^{R,A} = \frac{1}{\varepsilon - E_{\mu}(\mathbf{k}) \pm i/2\tau}$

$\tau$  - scattering time



# Calculation of $\delta v(\varepsilon)$ : Vertex Function

•  $\Gamma_{--}^{++}(p, p', q, \omega) =$

$(1 - \lambda_{k,p})$       additional small factor  $\lambda_{k,p} \ll 1$

$[\dots]$  are small in parameter  $\frac{1}{\Delta_{SO}\tau_{int}} \ll 1$

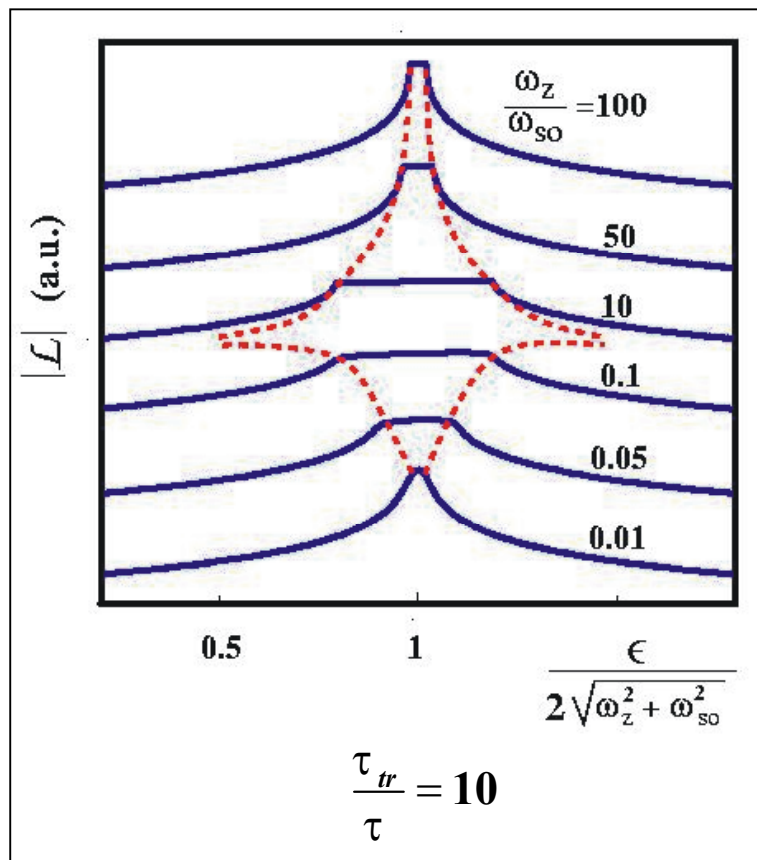
•  $\Gamma_{--}^{++} \propto \frac{1}{-i (\omega - 2\Delta(\mathbf{p}))\tau + Dq^2\tau + \tau/\tau_{int}(\mathbf{p})}$

SO splitting
Diffusion coefficient:  $D = \frac{v_F^2 \tau_{tr}}{2}$

$\tau_{int}(\mathbf{p})$  - inter-subband scattering time

$\tau_{tr}$  - transport relaxation time

# Calculation of $\delta v(\epsilon)$ : Results



$$\frac{\delta v(\epsilon)}{v_0} = - \left( \frac{1}{16\pi E_F \tau_{tr}} \right) L(\epsilon)$$

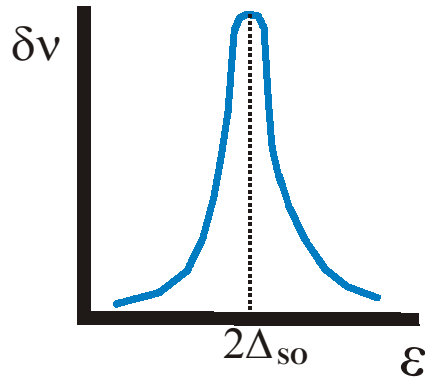
$$L(\epsilon) = \int_0^{2\pi} \frac{d\phi}{2\pi} \ln \left[ (\epsilon - 2\Delta(\phi))^2 \tau^2 + \frac{\tau^2}{\tau_{int}^2(\phi)} \right]$$

$$\tau_{int}(\phi) = 2\tau_{tr} \frac{(\Delta_Z^2 + \Delta_{SO}^2 + 2\Delta_Z \Delta_{SO} \sin\phi)^2}{\Delta_{SO}^2 (\Delta_{SO} + \Delta_Z \sin\phi)^2}$$

$$\Delta(\phi) = \sqrt{\Delta_{SO}^2 + \Delta_Z^2 + 2\Delta_{SO}\Delta_Z \sin\phi}$$

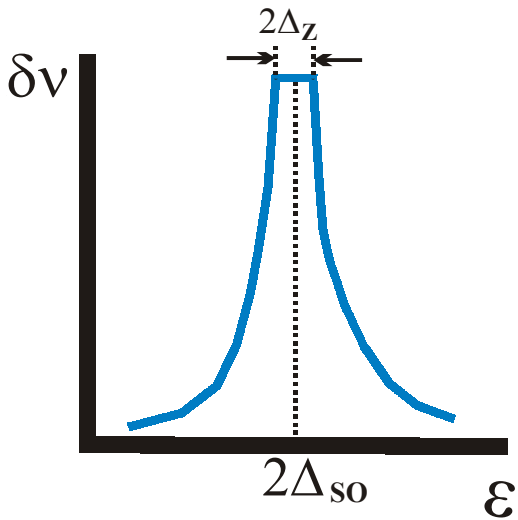
# Limiting cases

➤  $\Delta_z = 0$



$$\frac{\delta v(\epsilon)}{v_0} = - \left( \frac{1}{16\pi E_F \tau_{tr}} \right) \ln \left[ (\epsilon - 2\Delta_{so})^2 \tau^2 + \frac{\tau^2}{2\tau_{tr}^2} \right]$$

➤  $\tau_{tr}^{-1} \ll \Delta_z \ll \Delta_{so}$



$$\frac{\delta v(\epsilon)}{v_0} = - \left( \frac{1}{8\pi E_F \tau_{tr}} \right) \ln \left| \frac{\epsilon}{2} - \Delta_{so} \right| \tau + \sqrt{\left( \frac{\epsilon}{2} - \Delta_{so} \right)^2 \tau^2 - \Delta_z^2 \tau^2}$$

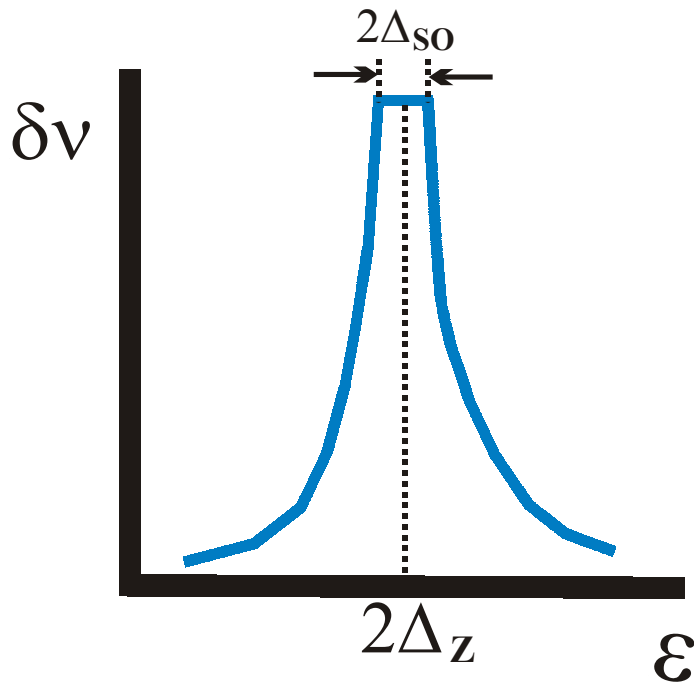
**Plateau of width  $2\Delta_z$  at the top of the SO satellite**

$$\int_0^{2\pi} \frac{d\varphi}{2\pi} \ln(a_\epsilon + b \cos \varphi)^2 = 2 \ln \frac{|a_\epsilon| + \sqrt{a_\epsilon^2 - b^2}}{2}$$

if  $b > a_\epsilon$ , then  $\left| |a_\epsilon| + i\sqrt{b^2 - a_\epsilon^2} \right| = |b|$

# Limit of small SO coupling ( $\Delta_{so} \ll \Delta_z$ )

$$\frac{\delta v(\varepsilon)}{v_0} = - \left( \frac{1}{8\pi E_F \tau_{tr}} \right) \ln \left| \frac{\varepsilon - \Delta_z}{2} \tau + \sqrt{\left( \frac{\varepsilon - \Delta_z}{2} \right)^2 \tau^2 - \Delta_{so}^2 \tau^2} \right|$$



$$\tau_{\text{int}}(\phi) = 2\tau_{tr} \frac{(\Delta_z^2 + \Delta_{so}^2 + 2\Delta_z \Delta_{so} \sin\phi)^2}{\Delta_{so}^2 (\Delta_{so} + \Delta_z \sin\phi)^2}$$

for  $\Delta_{so} \ll \Delta_z$        $\tau_{\text{int}} = \tau_{tr} \left( \frac{\Delta_z}{\Delta_{so}} \right)^2$

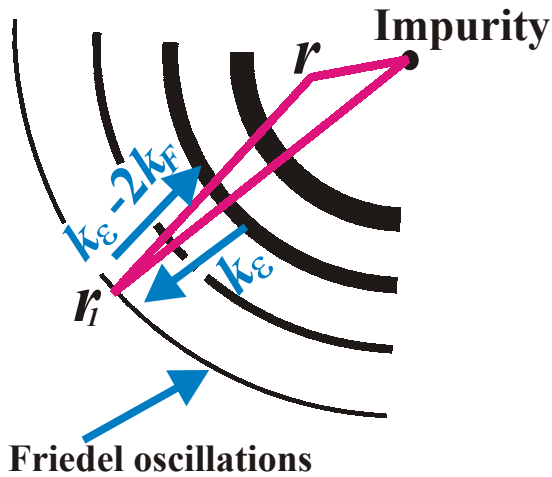
**applicability:**  $\tau \ll \tau_{\text{int}} = \tau_{tr} \left( \frac{\Delta_z}{\Delta_{so}} \right)^2$

**Plateau of width  $2\Delta_{so}$  emerges at the top of the Zeeman satellites**



# Limit of small SO coupling ( $\Delta_{so} \ll \Delta_z$ ): quasiballistic regime

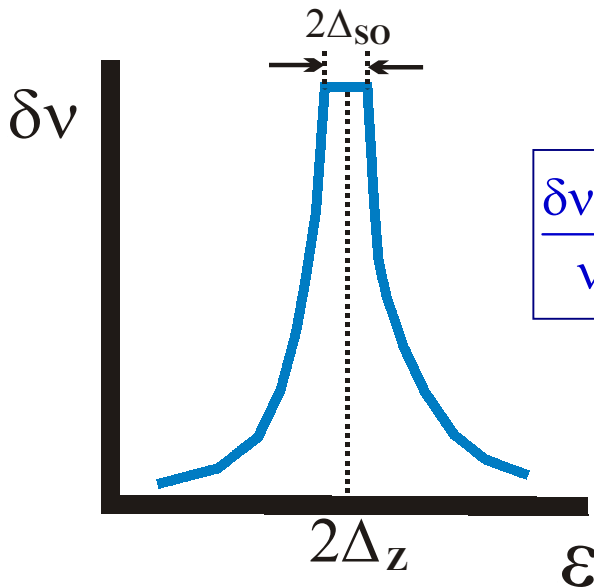
A.M. Rudin, I.L. Aleiner, and L.I. Glazman, PRB 55, 9322 (1997)



$$\frac{\delta v(\epsilon)}{v_0} = - \left( \frac{1}{16\pi E_F \tau} \right) L(\epsilon)$$

$$L(\epsilon) = \int_0^{2\pi} \frac{d\phi}{2\pi} \ln \left[ \frac{(\epsilon - 2\Delta(\phi))^2}{E_F^2} \right]$$

$$\Delta(\phi) = \sqrt{\Delta_{SO}^2 + \Delta_Z^2 + 2\Delta_{SO}\Delta_Z \sin\phi}$$



$$\frac{\delta v(\epsilon)}{v_0} = - \left( \frac{1}{8\pi E_F \tau} \right) \ln \left[ \left| \epsilon - 2\Delta_z \right| + \sqrt{(\epsilon - 2\Delta_z)^2 - 4\Delta_{so}^2} \right] E_F^{-1}$$

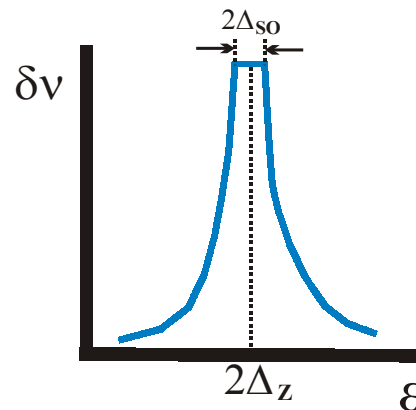
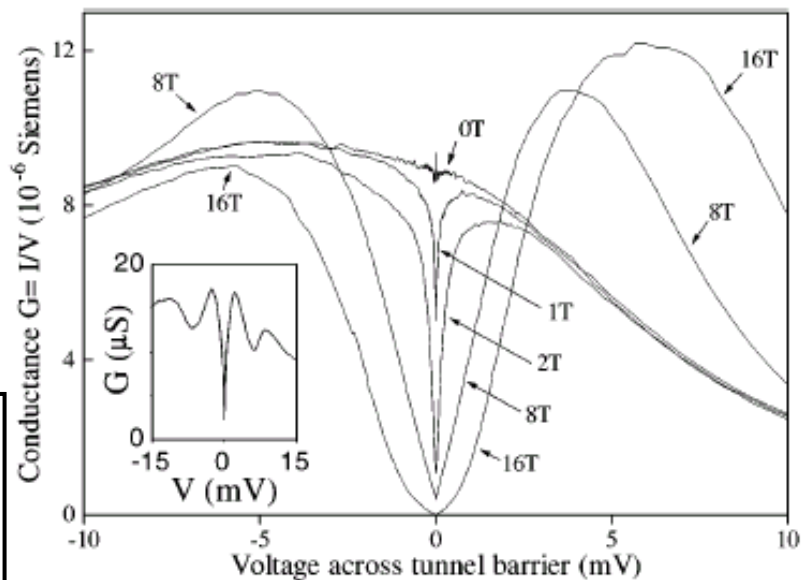
$$\Delta_{so}\tau \gg 1$$

# Tunneling into 2D Electron Gas: Accuracy

- Very fine feature can be resolved,  $\Delta\varepsilon \sim 0.01$  meV
- Accuracy is limited by the noise
- The accuracy is increased with increasing the bias voltage

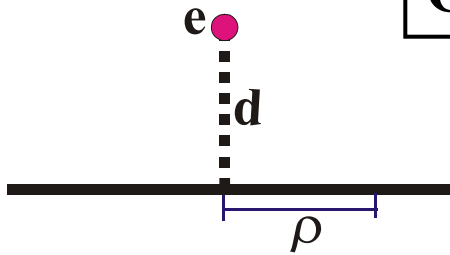
- AlGaAs/GaAs -  $\Delta_{so} < 0.5$  meV
- SO splitting, as the plateau of width  $2\Delta_{so}$  at the top of the Zeeman satellites, can be resolved in tunneling experiments at finite bias ( $V_B = 2\Delta_Z / e$ )

H.B. Chan, P.I. Glicofridis,  
R.C. Ashoori, and M.R. Melloch,  
PRL 79, 2867 (1997)



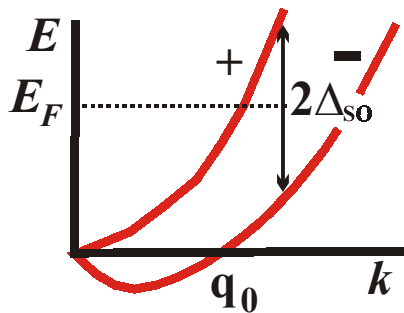
# Oscillations of the Screening Potential with Finite $\Delta_{so}$

G.-H. Chen and M.R. PRB 59, 5090 (1999)



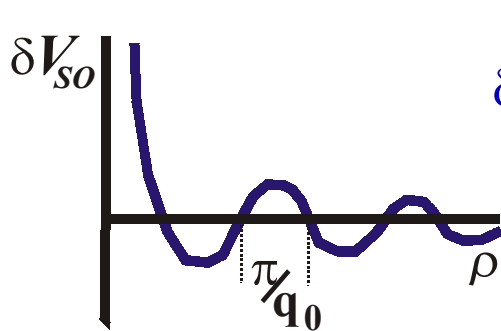
$$V(\rho) = e \int_0^{\infty} dq e^{-qd} \frac{J_0(q\rho)}{\epsilon(q)}$$

RPA: 
$$\frac{\epsilon(q)}{\epsilon_0} = 1 - \frac{2\pi e^2}{q} \sum_{\mathbf{k}, \mu, \mu'} \text{Tr}(\hat{\Lambda}_{\mu}(\mathbf{k}) \hat{\Lambda}_{\mu'}(\mathbf{k} + \mathbf{q})) \frac{f_0(E_{\mu}(\mathbf{k})) - f_0(E_{\mu'}(\mathbf{k} + \mathbf{q}))}{E_{\mu}(\mathbf{k}) - E_{\mu'}(\mathbf{k} + \mathbf{q})}$$



contains  $\sqrt{q_0 - q}$  anomaly

Long-period contribution to  $V(\rho)$



$$\delta V_{so}(\rho) = - \left( \frac{2e^2}{\epsilon_0 a_B} \right) \left( \frac{q_0}{2k_F} \right)^2 \frac{\cos(q_0 \rho)}{(q_0 \rho)^2}$$

not smeared out by the temperature

# Exchange-Induced Enhancement of $\Delta_{SO}$

G.-H. Chen and M.R. PRB 60, 4826 (1999)

$$\hat{H} = \sum_{\mu=\pm 1} E_{\mu}(\mathbf{k}) \hat{\Lambda}_{\mu}(\mathbf{k}) \quad \hat{\Lambda}_{\mu}(\mathbf{k}) = \frac{1}{2} \begin{pmatrix} 1 & \mu \exp(-i\phi_{\mathbf{k}}) \\ -\mu \exp(i\phi_{\mathbf{k}}) & 1 \end{pmatrix}$$

$$\hat{\Sigma}(\mathbf{k}) = - \int \frac{d^2 \mathbf{k}'}{(2\pi)^2} V_{eff}(|\mathbf{k} - \mathbf{k}'|) \sum_{\mu=\pm 1} \hat{\Lambda}_{\mu}(\mathbf{k}') f_0(E_F - E_{\mu}(\mathbf{k}')) =$$

self-energy

$$= \Sigma_1(\mathbf{k}) \hat{\Lambda}_1(\mathbf{k}) + \Sigma_{-1}(\mathbf{k}) \hat{\Lambda}_{-1}(\mathbf{k})$$

$$\Sigma_1(\mathbf{k}) - \Sigma_{-1}(\mathbf{k}) = \int \frac{d^2 \mathbf{k}'}{(2\pi)^2} \cos(\phi_{\mathbf{k}} - \phi_{\mathbf{k}'}) V_{eff}(|\mathbf{k} - \mathbf{k}'|) \times [f_0(E_F - E_1(\mathbf{k}') - \Sigma_1(\mathbf{k}')) - f_0(E_F - E_{-1}(\mathbf{k}') - \Sigma_{-1}(\mathbf{k}'))]$$

$$\Delta_{SO}^* = \frac{\Delta_{SO}}{1 - \frac{m^*}{m} \lambda_{SO}}$$

$$m^* = \hbar^2 k_F \left( \left. \frac{\partial E(\mathbf{k})}{\partial k} \right|_{k_F} \right)^{-1}$$

$$\lambda_{SO} = \frac{m}{(2\pi \hbar)^2} \int_0^{2\pi} d\phi \cos \phi V_{eff} \left( 2k_F \sin \frac{\phi}{2} \right)$$

